



# Polarization and relativistic hydrodynamics: a theoretical overview

## OUTLINE

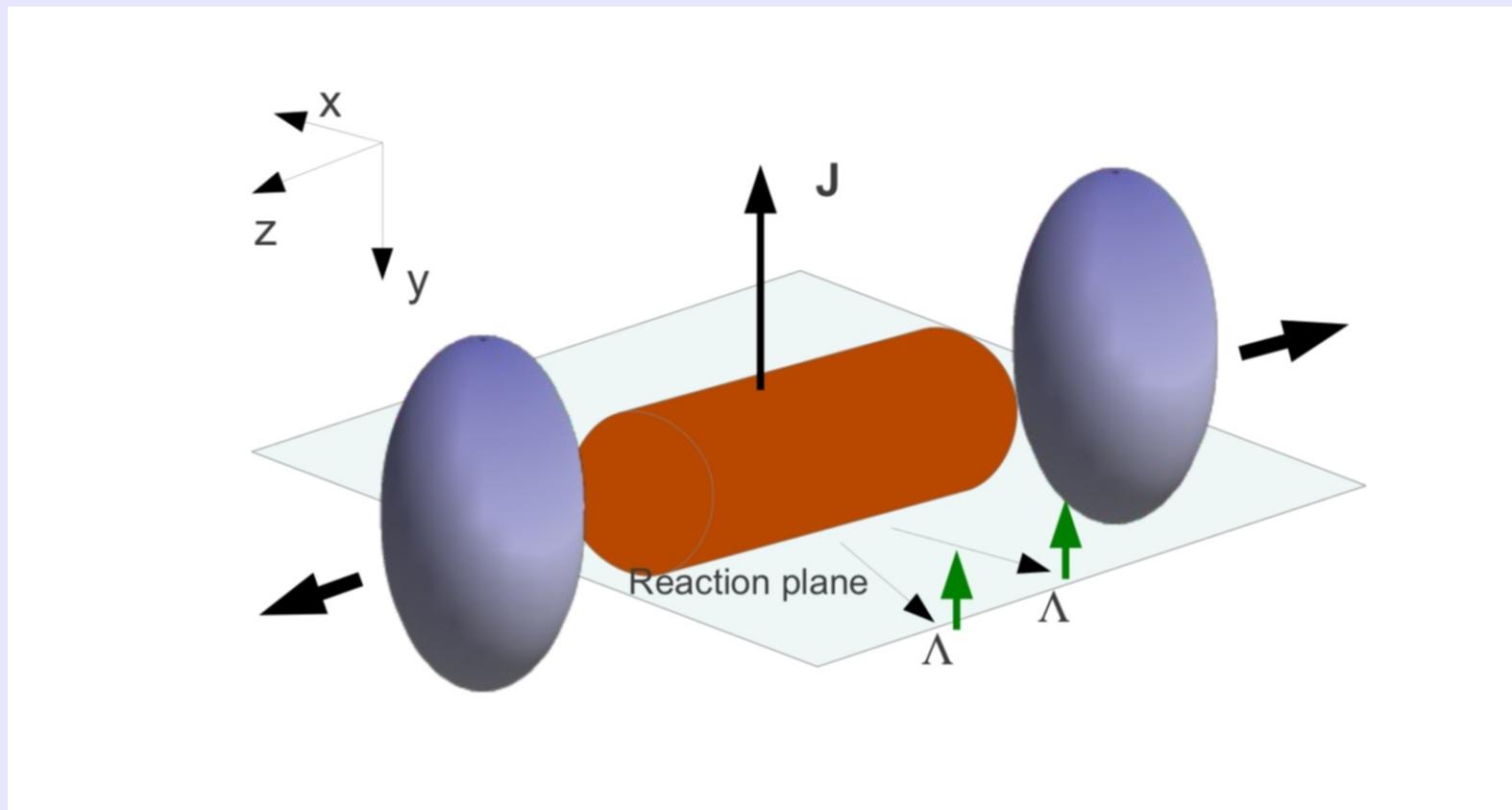
- Introduction
- Quantum-relativistic distribution function for particles with spin
- Polarization of spin  $\frac{1}{2}$  fermions in a thermo-vorticous flow
- A polarization in relativistic heavy ion collisions
- Conclusions and outlook

# Introduction

Peripheral collisions  $\rightarrow$  Angular momentum  $\rightarrow$  Polarization

By parton spin-orbit coupling: Z. T. Liang, X. N. Wang, Phys. Rev. Lett. 94 (2005) 102301

By local equilibration (hydro): F. B., F. Piccinini, Ann. Phys. 323 (2008) 2452; F. B., F. Piccinini, J. Rizzo, Phys. Rev. C 77 (2008) 024906



Finite polarization in heavy ion collisions, with the expected features (M. Lisa talk)  
first evidence of a quantum effect in hydrodynamics (proviso CME)

# Rotation and polarization

Take an ideal gas in a rigidly rotating vessel. At thermodynamical equilibrium the gas will also have a velocity field

$$\mathbf{v} = \boldsymbol{\omega} \times \mathbf{x}$$

For a *comoving* observer the equilibrium particle distribution function will be given by:

WARNING The potential term has a + sign as it stems from both centrifugal and Coriolis potentials

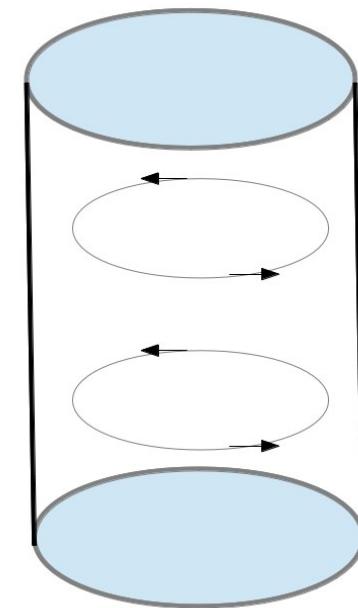


$$f(\mathbf{x}, \mathbf{p}) \propto \exp[-\mathbf{p}'^2/2mT + m(\boldsymbol{\omega} \times \mathbf{x}')^2/2T]$$

If we transform this back to the (primed) inertial observer

$$\mathbf{v} = \mathbf{v}' + \boldsymbol{\omega} \times \mathbf{x} \quad \mathbf{x} = \mathbf{x}'$$

$$\begin{aligned} f(\mathbf{x}, \mathbf{p}) &\propto \exp[-\mathbf{p}^2/2mT + \mathbf{p} \cdot (\boldsymbol{\omega} \times \mathbf{x})/T] \\ &= \exp[-\mathbf{p}^2/2mT + \boldsymbol{\omega} \cdot \mathbf{L}/T] \end{aligned}$$



It seems quite *natural* to extend this to particle with spin

$$f(\mathbf{x}, \mathbf{p}, \mathbf{S}) \propto \exp[-\mathbf{p}^2/2mT + \boldsymbol{\omega} \cdot (\mathbf{L} + \mathbf{S})/T]$$

Which implies that particles (and antiparticles) are *POLARIZED*, in a rotating ideal gas, along the direction of the angular velocity vector by an amount

$$\frac{\hbar\omega}{KT}$$

**WARNING:** The above formula states that the polarization states are unevenly populated in a rotating gas at thermodynamical equilibrium. It does not imply that there is a direct dynamical coupling between spin and rotation (see Mashhoon, Phys. Rev. Lett. 1988). In other words, the above formula does not imply that – for whatever reason - the hamiltonian of the inertial observer contains a term  $\boldsymbol{\omega} \cdot \mathbf{S}$  which would make the spin precessing if particle is accelerated (*not to be confused with Thomas precession, which is a purely relativistic effect*).

# Spin, local equilibrium and relativity

**OBJECTIVE:** Extending the previous relation to the quantum relativistic case and to a local thermodynamical equilibrium situation.

We need the single particle distribution function, given the scalar form (for bosons):

$$f(x, p) = \frac{1}{e^{\beta(x) \cdot p - \xi(x)} - 1}$$

$$\beta^\mu = \frac{1}{T} u^\mu \quad \xi = \mu/T$$

And of the so-called Cooper-Frye formula

$$\varepsilon \frac{dN}{d^3p} = \int_{\Sigma} d\Sigma_{\mu} p^{\mu} f(x, p)$$

**QUESTION:**

*What happens if particles are relativistic and they have a spin?*

# Polarization as a mean value

$$\langle \hat{X} \rangle = \text{tr}(\hat{\rho} \hat{X})$$

Definition of polarization four-vector

For a single particle

$$\Pi^\mu = -\frac{1}{2m} \epsilon^{\mu\nu\lambda\rho} \langle \hat{J}_{\nu\lambda} \hat{P}_\rho \rangle$$

For a particle with momentum  $p$

$$\Pi^\mu(p) N(p) = -\frac{1}{2m} \epsilon^{\mu\nu\lambda\rho} \int_{\Sigma} d\Sigma_\tau \langle \hat{S}_{\nu\lambda}^\tau \rangle_p p_\rho$$

The operator with 3 indices is the SPIN TENSOR and we need *its momentum-resolved mean value*

[Warning: it depends on the choice of  $T$ , the stress-energy tensor]

For the Dirac field

$$\hat{S}^{\lambda\mu\nu} = \frac{i}{8} \bar{\Psi} \{ \gamma^\lambda [\gamma^\mu, \gamma^\nu] \} \Psi$$

# Wigner function of the free Dirac field

$$\begin{aligned} W(x, k)_{AB} &= -\frac{1}{(2\pi)^4} \int d^4y e^{-ik\cdot y} \langle : \Psi_A(x - y/2) \bar{\Psi}_B(x + y/2) : \rangle \\ &= \frac{1}{(2\pi)^4} \int d^4y e^{-ik\cdot y} \langle : \bar{\Psi}_B(x + y/2) \Psi_A(x - y/2) : \rangle \end{aligned}$$

$$\langle \hat{\mathcal{S}}^{\lambda\mu\nu} \rangle = \frac{i}{8} \langle \bar{\Psi} \{ \gamma^\lambda [\gamma^\mu, \gamma^\nu] \} \Psi \rangle = \frac{i}{8} \int d^4k \text{tr}_4(\{ \gamma^\lambda [\gamma^\mu, \gamma^\nu] \} W(x, k))$$

This is dual to the axial current:

$$j_A^\mu = \langle \bar{\Psi} \gamma^\mu \gamma^5 \Psi \rangle = \int d^4k \text{tr}_4(\gamma^\mu \gamma^5 W(x, k))$$

NOTE the normal ordering in the definition of the mean values

An approximate solution of the Wigner function can be obtained by neglecting second order gradients in the equation, so that it can be written as an on-shell solution:  
 (De Groot, *Relativistic kinetic theory*)

$$W^+(x, k) \equiv \theta(k^0) W(x, k) = \frac{1}{2} \int \frac{d^3 p}{\varepsilon} \delta^4(k - p) \sum_{r,s} u_r(p) f_{rs}(x, p) \bar{u}_s(p)$$

$$W^-(x, k) \equiv \theta(-k^0) W(x, k) = -\frac{1}{2} \int \frac{d^3 p}{\varepsilon} \delta^4(k + p) \sum_{r,s} v_s(p) \bar{f}_{rs}(x, p) \bar{v}_r(p)$$

The  $u, v$  spinors are the usual solution of the free Dirac equation, with all of their well known properties (orthogonality and completeness).

Thus, the distribution function for free spin  $\frac{1}{2}$  particles becomes effectively a  $2 \times 2$  matrix

What about the density operator?

# Global quantum-relativistic equilibrium

General covariant expression of an equilibrium density operator

$$\hat{\rho} = \frac{1}{Z} \exp \left[ - \int_{\Sigma} d\Sigma_{\mu} \left( \hat{T}^{\mu\nu} \beta_{\nu} - \zeta \hat{j}^{\mu} \right) \right]$$

Zubarev 1979  
Weert 1982

Obtained by maximizing the entropy  $S = -\text{tr}(\hat{\rho} \log \hat{\rho})$  with respect to  $\hat{\rho}$  with the constraints of fixed energy, momentum and charge density.

Global equilibrium requires:

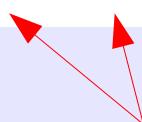
$$\partial_{\mu} \beta_{\nu} + \partial_{\nu} \beta_{\mu} = 0$$

$$\partial_{\mu} \zeta = 0$$

Killing equation

Solution of the Killing equation in Minkowski spacetime:

$$\beta^{\nu} = b^{\nu} + \varpi^{\nu\mu} x_{\mu}$$



Density operator  
becomes:

constants

$$\varpi_{\nu\mu} = -\frac{1}{2} (\partial_{\nu} \beta_{\mu} - \partial_{\mu} \beta_{\nu})$$

*Thermal vorticity*  
Adimensional in natural units

$$\hat{\rho} = \frac{1}{Z} \exp \left[ -b_{\mu} \hat{P}^{\mu} + \frac{1}{2} \varpi_{\mu\nu} \hat{J}^{\mu\nu} + \zeta \hat{Q} \right]$$

F. B., L. Bucciantini, E. Grossi, L. Tinti,  
Eur. Phys. J. C 75 (2015) 191 ( $\beta$  frame)

# Special cases

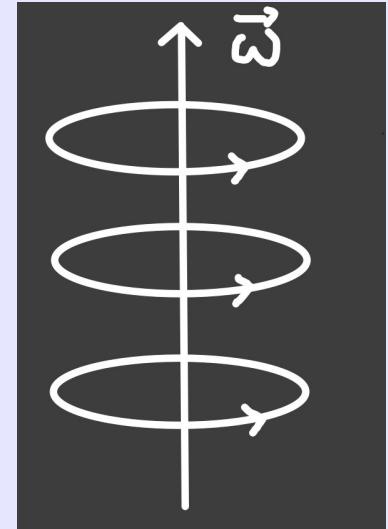
Pure rotation (just seen)

$$b_\mu = (1/T_0, 0, 0, 0)$$

$$\varpi_{\mu\nu} = (\omega/T_0)(g_{1\mu}g_{2\nu} - g_{1\nu}g_{2\mu})$$

$$\beta^\mu = \frac{1}{T_0}(1, \boldsymbol{\omega} \times \mathbf{x})$$

$$\hat{\rho} = (1/Z) \exp[-\hat{H}/T_0 + \omega \hat{J}_z/T_0]$$



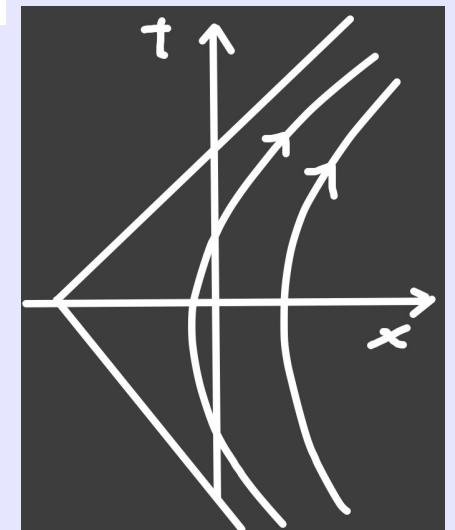
Pure acceleration

$$b_\mu = (1/T_0, 0, 0, 0)$$

$$\varpi_{\mu\nu} = (a/T_0)(g_{0\mu}g_{3\nu} - g_{3\mu}g_{0\nu})$$

$$\beta^\mu = \frac{1}{T_0}(1 + az, 0, 0, at)$$

$$\hat{\rho} = (1/Z) \exp[-\hat{H}/T_0 + a \hat{K}_z/T_0]$$



# Single particle distribution function at local thermodynamical equilibrium

In principle, it should be calculated from the covariant Wigner function with the *local* thermodynamical equilibrium quantum density operator

$$\hat{\rho} = \frac{1}{Z} \exp \left[ - \int_{\Sigma} d\Sigma_{\mu} \left( \hat{T}^{\mu\nu} \beta_{\nu} - \zeta \hat{j}^{\mu} \right) \right]$$

i.e. without enforcing  $\beta$  to be a Killing vector and  $\zeta$  to be a constant

$$W(x, k) = \text{tr}(\hat{\rho} \text{Combination of quantum fields})$$

The idea is to make a Taylor expansion of the  $\beta$  and  $\zeta$  fields around the point  $x$  where  $W$  is to be calculated (hydrodynamic limit), so that  $W$  is determined by the local values of  $T, u, \mu$  and their local derivatives (antisymmetric part: local thermal vorticity). It can indeed be shown that:

$$\begin{aligned} \hat{\rho} &= \frac{1}{Z} \exp \left[ -\beta(x) \cdot \hat{P} + \zeta(x) \hat{Q} + \frac{1}{2} \varpi_{\mu\nu}(x) \hat{J}_x^{\mu\nu} \right. \\ &\quad \left. + \text{terms vanishing at equilibrium} \right] \end{aligned}$$

# Single particle distribution function at global thermodynamical equilibrium with rotation

In the Boltzmann limit, for an ideal relativistic gas, this calculation can be done without the explicit use of quantum field theory, just with quantum statistical mechanics and group theory (F. B., L. Tinti, Ann. Phys. 325, 1566 (2010)).

Spelled out: maximal entropy principle (equipartition), angular momentum conservation and Lorentz group representation theory.

$$f(x, p)_{rs} = e^{\xi} e^{-\beta \cdot p} \frac{1}{2} (D^S([p]^{-1} R_{\hat{\omega}}(i\omega/T)[p]) + D^S([p]^{\dagger} R_{\hat{\omega}}(i\omega/T)[p]^{\dagger-1}))_{rs}$$

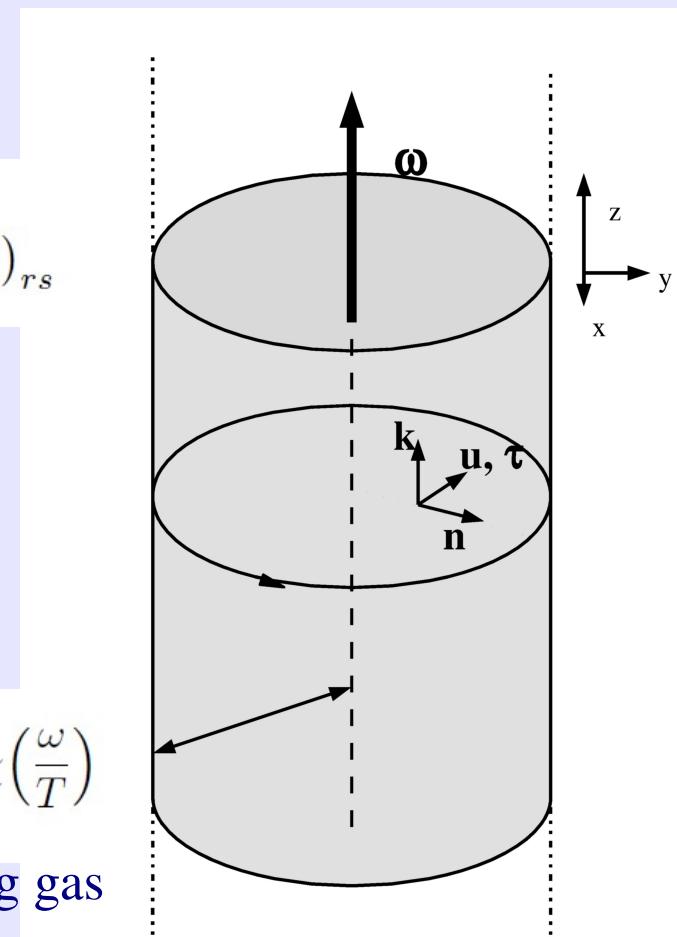
$R_{\hat{\omega}}(i\omega/T) = \exp[D^S(J_3)\omega/T]$  = SL(2,C) matrix representing a rotation around  $\omega$  axis ( $z$  or 3) by an imaginary angle  $i\omega/T$ .

Particle density in phase space

$$\text{tr}_{2S+1} f = e^{\xi} e^{-\beta \cdot p} \text{tr}_{2S+1} R_{\hat{\omega}}(i\omega/T) = e^{\xi} e^{-\beta \cdot p} \sum_{\sigma=-S}^S e^{-\sigma\omega/T} \equiv e^{\xi} e^{-\beta \cdot p} \chi\left(\frac{\omega}{T}\right)$$

As a consequence, particles with spin get polarized in a rotating gas

$$\Pi_0 = \frac{\sum_{n=-S}^S n e^{n\omega/T}}{\sum_{n=-S}^S e^{n\omega/T}} \left[ \frac{\varepsilon}{m} \hat{\omega} - \frac{\hat{\omega} \cdot \mathbf{p} \mathbf{p}}{m(\varepsilon + m)} \right]$$



# Ansatz for LTE in quantum statistics

$$f(x, p) = \frac{1}{2m} \bar{U}(p) \left( \exp[\beta(x) \cdot p - \xi(x)] \exp[-\frac{1}{2} \varpi(x) : \Sigma] + I \right)^{-1} U(p)$$

$$\bar{f}(x, p) = -\frac{1}{2m} (\bar{V}(p) \left( \exp[\beta(x) \cdot p + \xi(x)] \exp[\frac{1}{2} \varpi(x) : \Sigma] + I \right)^{-1} V(p))^T$$

The explicit calculation of  $W(x, k)$  and the extraction of  $f$  in the most general case is difficult. One can make a reasonable ansatz extending previous special cases.

So, the general solution must:

-  reduces to the global equilibrium solution with rotation in the Boltzmann limit
-  reduces to the known Fermi-Juttner or Bose-Juttner formulae at the LTE in the non-rotating case

# Polarization four-vector in the LAB frame

F. B., V. Chandra, L. Del Zanna, E. Grossi, Ann. Phys. 338 (2013) 32

At first order in the gradients:

$$n_F = (e^{\beta \cdot p - \xi} + 1)^{-1}$$

$$\langle \Pi_\mu(x, p) \rangle \simeq \frac{1}{16} \epsilon_{\mu\rho\sigma\tau} (1 - n_F) (\partial^\rho \beta^\sigma - \partial^\sigma \beta^\rho) \frac{p^\tau}{m} = \frac{1}{8} \epsilon_{\mu\rho\sigma\tau} (1 - n_F) \partial^\rho \beta^\sigma \frac{p^\tau}{m}$$

$$\Pi_\mu(p) = \epsilon_{\mu\rho\sigma\tau} \frac{p^\tau}{8m} \frac{\int d\Sigma_\lambda p^\lambda n_F (1 - n_F) \partial^\rho \beta^\sigma}{\int d\Sigma_\lambda p^\lambda n_F}$$

Same formula obtained with a perturbative expansion of the solution of the Wigner function e.o.m. in

R. Fang, L.G. Pang, Q. Wang, X.N. Wang, arXiv:1604.04036

BEWARE the factor 1/8! If polarization is normalized to 100% must be 1/4 instead.  
For the properly normalized polarization I will use the symbol P, so  $P = 2\Pi$

# Polarization is induced by acceleration and vorticity

The thermal vorticity

$$\varpi_{\nu\mu} = -\frac{1}{2}(\partial_\nu\beta_\mu - \partial_\mu\beta_\nu)$$

It can be readily shown that at *global* equilibrium ( $\beta$  a Killing vector) the thermal vorticity has the following decomposition

$$\varpi^{\mu\nu} = \frac{1}{T} (a^\mu u^\nu - a^\nu u^\mu + \varepsilon^{\mu\nu\rho\sigma} \omega_\rho u_\sigma)$$

where  $T$  is the local proper temperature and:

$$a^\mu = u^\nu \partial_\nu u^\mu \quad \omega^\mu = -\frac{1}{2} \varepsilon^{\mu\nu\rho\sigma} \partial_\nu u_\rho u_\sigma$$

are the properly called *acceleration* and *vorticity*.

In general, in *local* thermodynamical equilibrium, there are terms involving gradients of  $T$

# $\Lambda$ polarization in relativistic heavy ion collisions

Distribution of protons in the  $\Lambda$  rest frame

$$\frac{1}{N} \frac{dN}{d\Omega} = \frac{1}{4\pi} (1 + \alpha \mathbf{P}_0 \cdot \hat{\mathbf{p}}^*) \quad \mathbf{P}_0(p) = \mathbf{P}(p) - \frac{\mathbf{p}}{\varepsilon(\varepsilon + m)} \mathbf{P}(p) \cdot \mathbf{p}$$

A. Ayala et al., Phys. Rev. C 65 024902 (2002)

Z. T. Liang, X. N. Wang, Phys. Rev. Lett. 94 102301 (2005) and others

B. Betz, M. Gyulassy and G. Torrieri, Phys. Rev. C 76 044901 (2007)

F. B., F. Piccinini and J. Rizzo, Phys. Rev. C 77 024906 (2008)

C. C. Barros, Y. Hama, Phys. Lett. B 699 (2011) 74

M. Baznat, K. Gudima, A. Sorin and O. Teryaev, Phys. Rev. C 93 (2016), 031902

## DISTINCTIVE FEATURE OF THERMODYNAMIC POLARIZATION:

particle and antiparticle polarization have the same orientation, unlike in a magnetic field

## PARALLEL SESSION TALKS

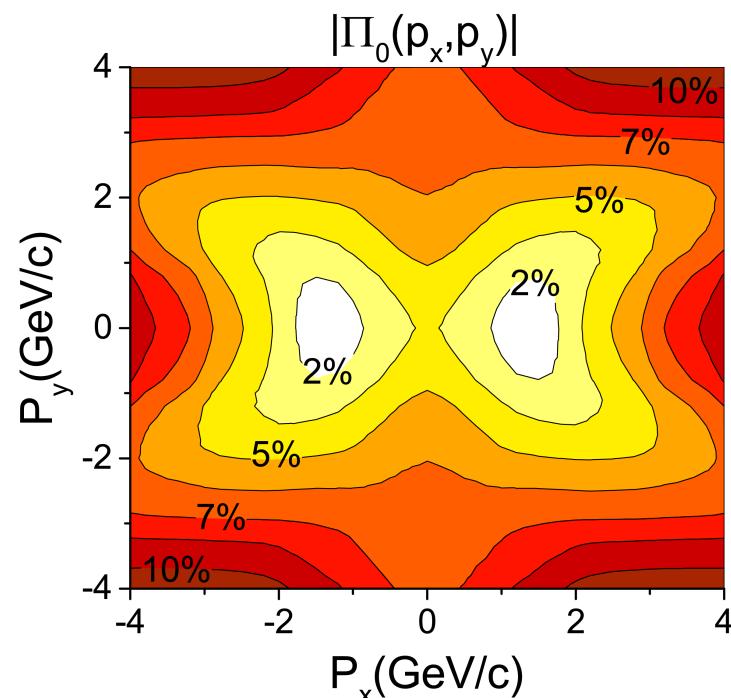
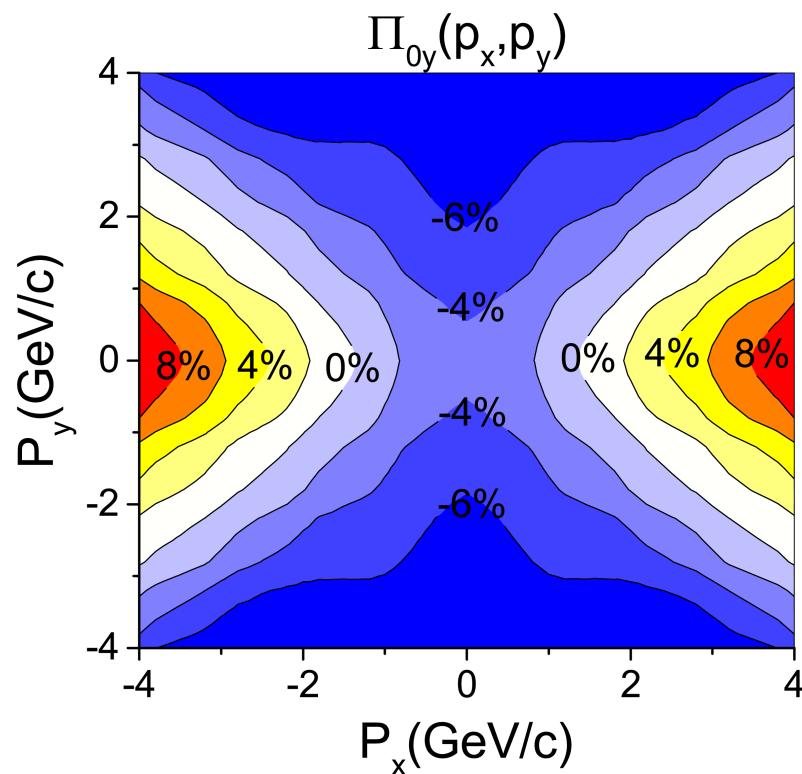
I. Karpenko *Vorticity in the QGP liquid and Lambda polarization at the RHIC BES energies*

L. G. Pang *Vorticity and Lambda polarization in event-by-event (3+1)D viscous hydrodynamics*

X. G. Huang *Vorticity in heavy-ion collisions*

# The $\Lambda$ polarization strongly depends on initial conditions

$\Lambda$  polarization with “fire-streak” initial conditions (initial spatial vorticity  $\neq 0$ )  
L. Csernai et al.



# $\Lambda$ polarization with “conservative” Bjorken-like initial conditions

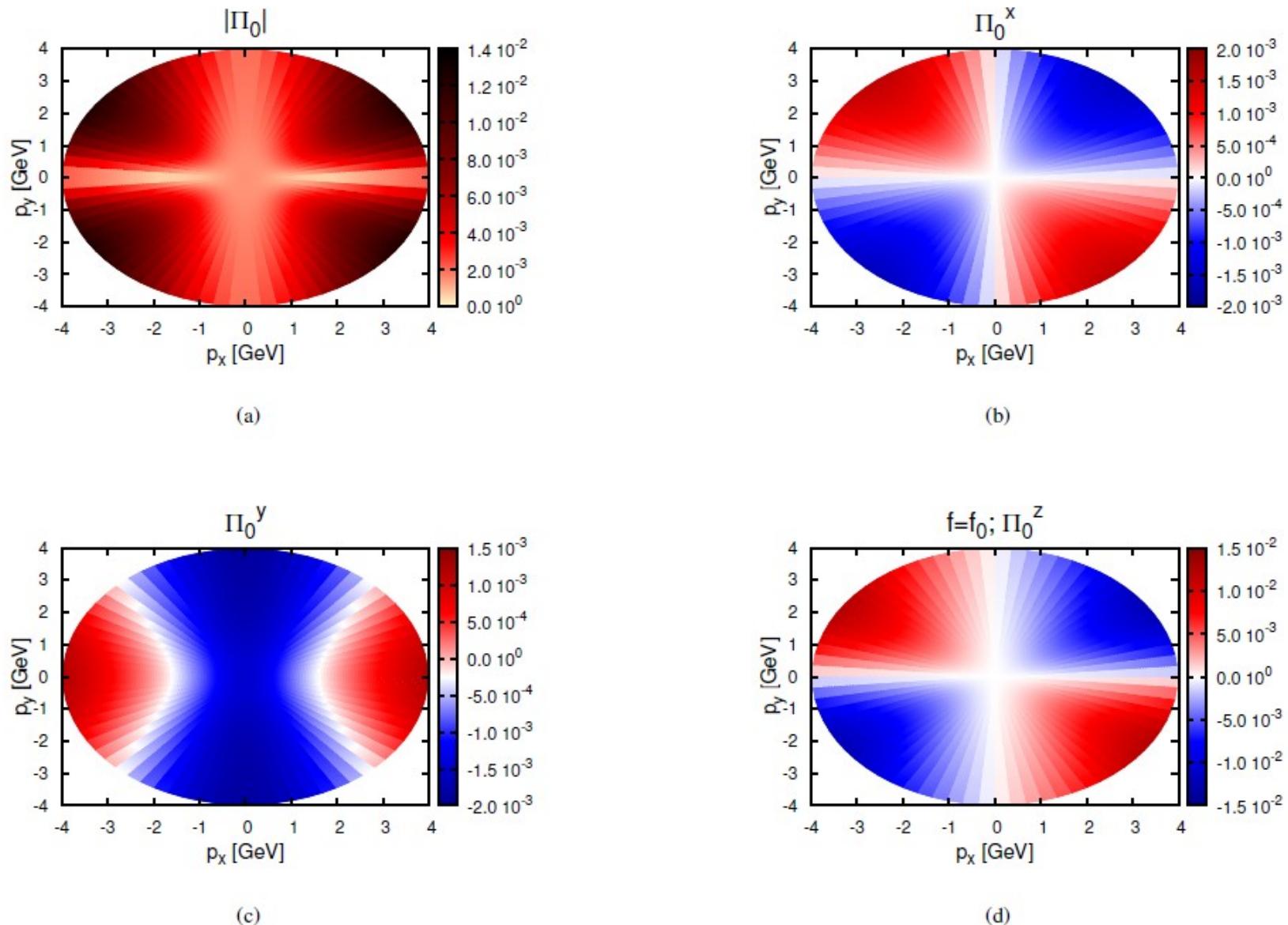
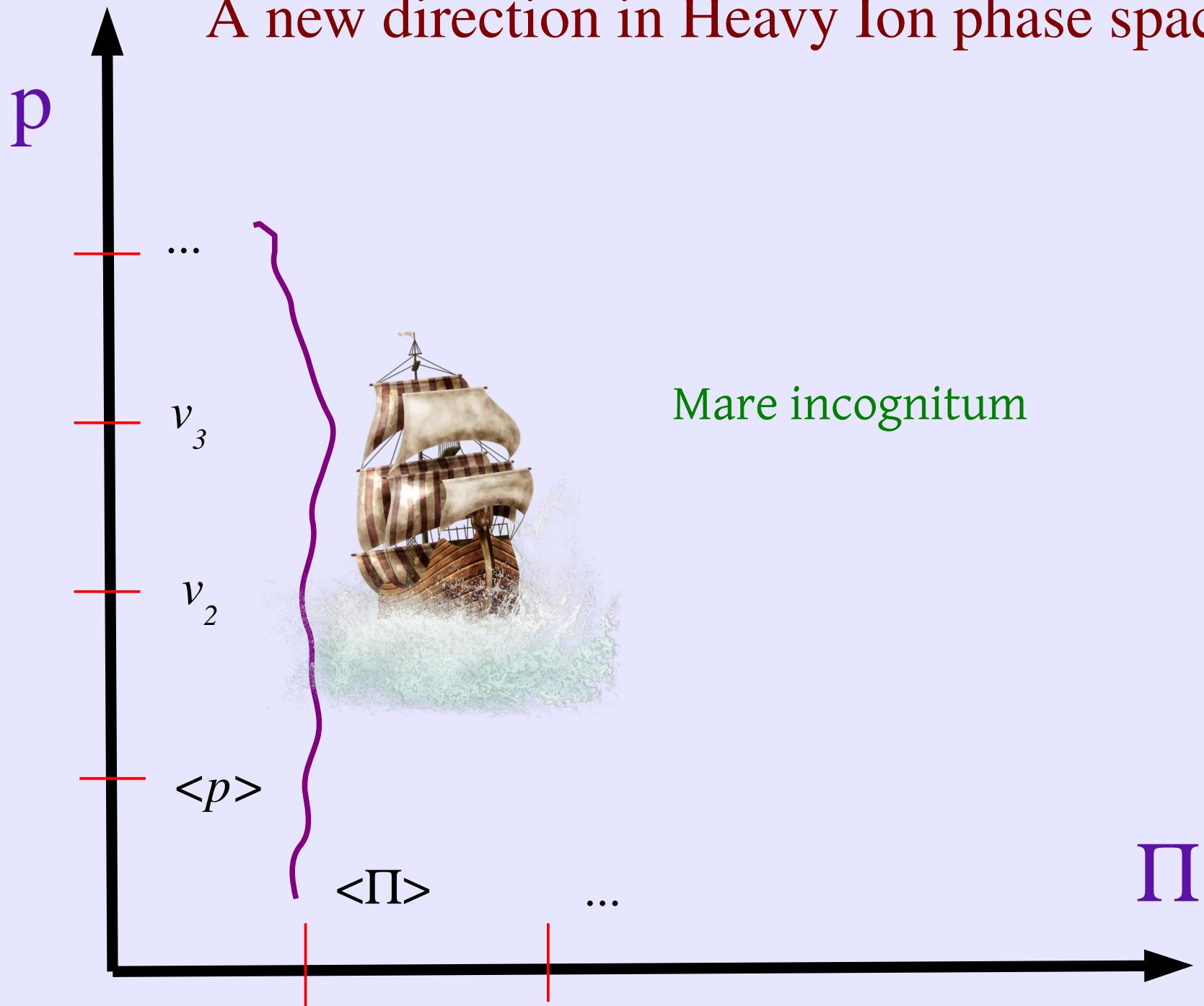


Figure 14: (color online) Magnitude (panel a) and components (panels b,c,d) of the polarization vector of the  $\Lambda$  hyperon in its rest frame.

# A new direction in Heavy Ion phase space



# $\Lambda$ polarization correlations

L. G. Pang, H. Petersen, Q. Wang, X.N. Wang,  
arXiv:1605.04024 [hep-ph].

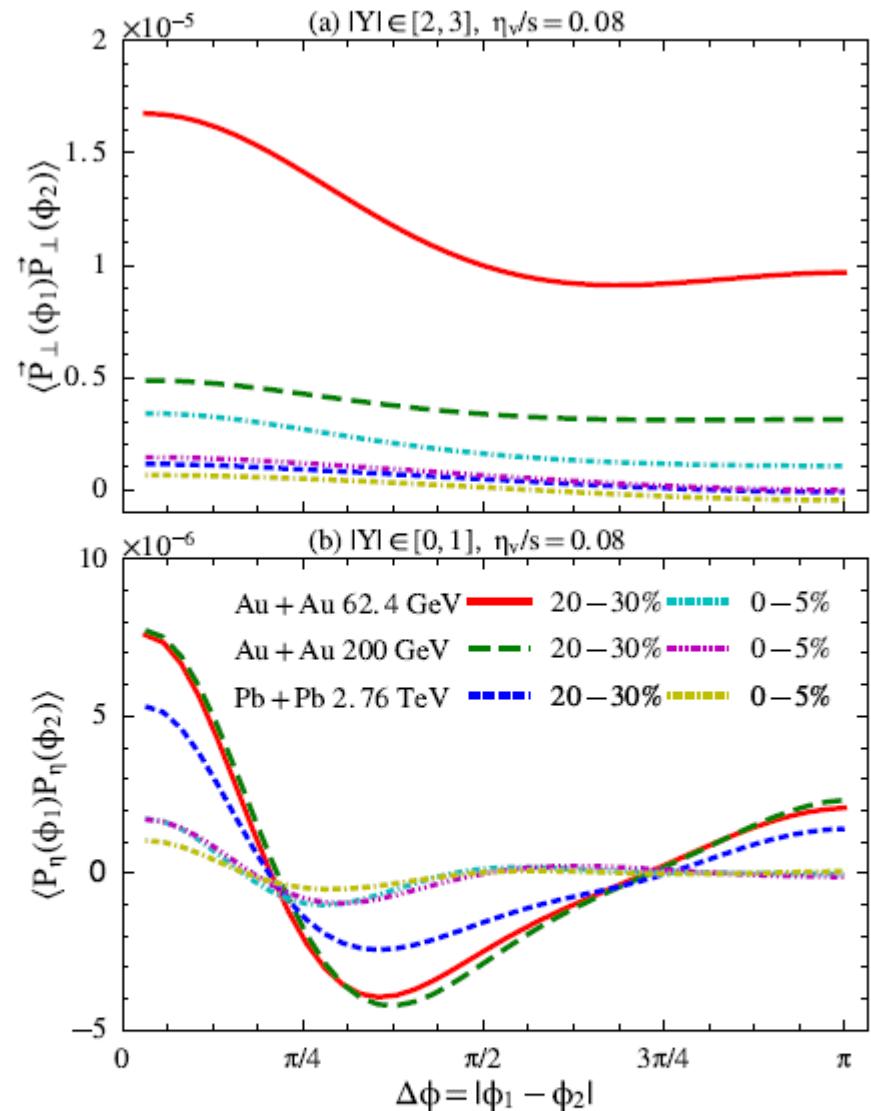


Figure 3: (color online) (a) Transverse ( $|Y| \in [2, 3]$ ) and (b) longitudinal ( $|Y| \in [0, 1]$ ) spin correlation of two  $\Lambda$ 's as a function of the azimuthal angle difference (of their momenta) in semi-peripheral (20-30%) and central (0-5%) Au+Au collisions at  $\sqrt{s_{NN}} = 62.4, 200$  GeV and Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV with  $\eta_v/s = 0.08$ .

# Conclusions and Outlook

- Relativistic distribution function of particles with spin  $1/2$  at local thermodynamical equilibrium. Particles get polarized, in general, if the fluid has a local thermal vorticity (exterior derivative of  $\beta$ ), comprising acceleration and vorticity.
- Distinctive feature of thermodynamic polarization: C-even effect, particle and antiparticle have the same polarization (unlike E.M. induced polarization).
- $\Lambda$  polarization in relativistic heavy ion collisions: from some permille to some percent, a sensitive probe of the initial conditions and a stringent test of the hydrodynamic picture. The first clearcut observation of a quantum effect in relativistic hydro not driven by EM fields.
- Can we find an EXACT formula for the polarization in a (locally) rotating-accelerating fluid, for a free Dirac field?
- How to include the effect of resonance decay, rescattering in the calculation of  $\Lambda$  polarization?

# Why “vorticous” ?

LATIN	ITALIAN	FRENCH	ENGLISH
gratiosus	grazioso	gracieux	gracious
deliciosus	delizioso	delicieux	delicious
copiosus	copioso	copieux	copious
vorticous	vorticoso	?	vorticous

# Polarization in global equilibrium: rotation

Density operator (see e.g. Landau, *Statistical physics*; A. Vilenkin, Phys. Rev. D 21 2260)

$$\hat{\rho} = \frac{1}{Z_\omega} \exp \left[ -\frac{\hat{H}}{T_0} + \frac{\mu_0 \hat{Q}}{T_0} + \frac{\omega \hat{J}_z}{T_0} \right]$$

Grand-canonical rotational  
partition function

Obtained by maximizing the entropy  $S = -\text{tr}(\hat{\rho} \log \hat{\rho})$  with respect to  $\hat{\rho}$   
with the constraints of total mean energy, mean momentum and mean angular momentum  
Fixed (equivalent to exact conservation for a *large* system)

$\omega/T$  is the Lagrange multiplier of the angular momentum conservation constraint  
and its physical meaning is that of an *angular velocity*

$$\mathbf{v} = \boldsymbol{\omega} \times \mathbf{x}$$

# Polarization in a relativistic fluid

Definition:

$$\Pi_\mu = -\frac{1}{2}\epsilon_{\mu\rho\sigma\tau} S^{\rho\sigma} \frac{p^\tau}{m}$$

also known as Pauli-Lubanski vector

should be the total angular momentum vector of the particle

Mean polarization in  $x$ :

$$\langle \Pi_\mu(x, p) \rangle = -\frac{1}{2} \frac{1}{\text{tr}_2 f} \epsilon_{\mu\rho\sigma\tau} \frac{d\mathcal{J}^{0,\rho\sigma}(x, p)}{d^3 p} \frac{p^\tau}{m}$$

Total angular momentum tensor

$$\mathcal{J}^{\lambda,\rho\sigma}(x) = x^\rho T^{\lambda\sigma}(x) - x^\sigma T^{\lambda\rho}(x) + \mathcal{S}^{\lambda,\rho\sigma}(x)$$

$$\frac{d\mathcal{J}^{0,\rho\sigma}(x)}{d^3 p} = (x^\rho p^\sigma - x^\sigma p^\rho) \text{tr}_2 f(x, p) + \frac{d\mathcal{S}^{\lambda,\rho\sigma}(x)}{d^3 p}$$

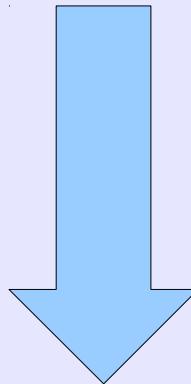


vanished by the Levi-Civita symbol

# Canonical spin tensor

By using the Wigner function and the distribution function definitions, it can be shown that

$$\mathcal{S}^{\lambda,\mu\nu}(x) \equiv \frac{1}{2} \langle : \bar{\Psi}(x) \{ \gamma^\lambda, \Sigma^{\mu\nu} \} \Psi(x) : \rangle = \frac{1}{2} \int \frac{d^3 p}{2\varepsilon} \text{tr}_2 (f(x,p) \bar{U}(p) \{ \gamma^\lambda, \Sigma^{\mu\nu} \} U(p)) - \text{tr}_2 (\bar{f}^T(x,p) \bar{V}(p) \{ \gamma^\lambda, \Sigma^{\mu\nu} \} V(p))$$



...tracing the  $\gamma$ 's, expanding in  $\varpi(x)$   
which is usually a small number (at global  
equilibrium  $\hbar\omega/KT \ll 1$ )...

$$\frac{d\mathcal{S}^{\lambda,\rho\sigma}(x)}{d^3 p} \simeq \frac{1}{2\varepsilon} (p^\lambda n_F (1 - n_F) \varpi^{\rho\sigma} + \text{rotation of indices})$$

NOTE: the above spin tensor is the dual of the axial current

$$n_F = \frac{1}{e^{\beta(x) \cdot p - \xi(x)} + 1}$$

# Spinorization of $f$

For the case S=1/2 the formulae can be rewritten using Dirac spinors (Weyl repr'n)

$$f(x, p) = e^{\xi} e^{-\beta \cdot p} \frac{1}{2m} \bar{U}(p) \exp[(\omega/T) \Sigma_z] U(p) \quad \Sigma_z = \frac{1}{2} \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$$

$$\bar{f}(x, p) = -e^{-\xi} e^{-\beta \cdot p} \frac{1}{2m} [\bar{V}(p) \exp[-(\omega/T) \Sigma_z] V(p)]^T$$

They can be also rewritten in a fully covariant form by using the thermal vorticity tensor:

$$\varpi_{\nu\mu} = -\frac{1}{2}(\partial_\nu \beta_\mu - \partial_\mu \beta_\nu)$$

being

$$\beta = \frac{1}{T}(1, \boldsymbol{\omega} \times \mathbf{x}) = \frac{1}{T_0}(\gamma, \gamma \mathbf{v})$$

and the generators of the Lorentz group in the Dirac representation

$$\Sigma^{\mu\nu} = \frac{i}{4}[\gamma^\mu, \gamma^\nu]$$

$$f(x, p) = e^{\xi} e^{-\beta \cdot p} \frac{1}{2m} \bar{U}(p) \exp \left[ \frac{1}{2} \varpi^{\mu\nu} \Sigma_{\mu\nu} \right] U(p) \quad \bar{f}(x, p) = -e^{-\xi} e^{-\beta \cdot p} \frac{1}{2m} \left[ \bar{V}(p) \exp \left[ -\frac{1}{2} \varpi^{\mu\nu} \Sigma_{\mu\nu} \right] V(p) \right]^T$$

The latter equation can be checked explicitly, but its form is indeed a deeper consequence of relativity coupled with thermodynamics

*Equilibrium in relativity can be achieved only if the inverse four-temperature field is a Killing vector*

$$\partial_\mu \beta_\nu + \partial_\nu \beta_\mu = 0$$

→  $\beta_\mu = b_\mu + \varpi_{\mu\nu} x^\nu$      $b$  and  $\varpi$  constants

$$\varpi_{\mu\nu} = -\frac{1}{2}(\partial_\mu \beta_\nu - \partial_\nu \beta_\mu)$$

→ “*Thermal vorticity*”

If deviations from equilibrium are *small*, we know that the tensor  $\varpi(x)$  should differ from the above expression only by terms which vanish at equilibrium, i.e. second-order terms in the gradients of the  $\beta$  field

$$\varpi_{\mu\nu} = -\frac{1}{2}(\partial_\mu \beta_\nu - \partial_\nu \beta_\mu) + \mathcal{O}(\partial^2 \beta)$$

This is what we need for leading-order hydrodynamics!

# What is $\varpi(x)$ ?

This is a crucial issue to calculate polarization

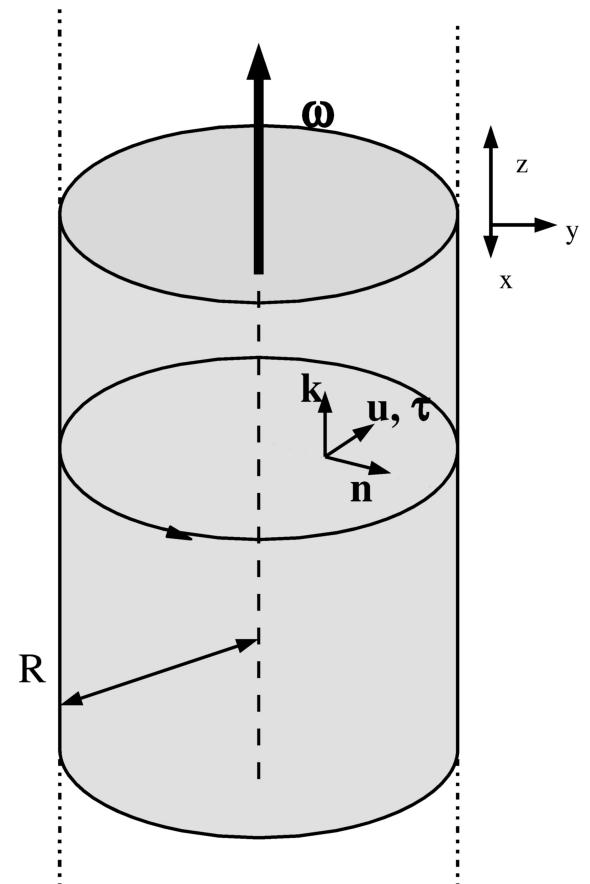
At global equilibrium with rotation:

$$\varpi_{\mu\nu} = (\omega/T)(\delta_\mu^1 \delta_\nu^2 - \delta_\nu^1 \delta_\mu^2) = \sqrt{\beta^2} \Omega_{\mu\nu}$$

$$\sqrt{\beta^2} = \frac{1}{T_0} \quad \Omega^{\mu\nu} = \sum_{i=1}^4 \frac{D e_i^\mu}{d\tau} e^{i\nu}$$

At the same time, we have seen that, at global equilibrium

$$\varpi_{\mu\nu} = -\frac{1}{2}(\partial_\mu \beta_\nu - \partial_\nu \beta_\mu)$$



$$e_0 = u \quad e_1 = (0, \hat{\mathbf{n}}) \quad e_2 = (0, \hat{\mathbf{k}}) \quad e_3 = \tau$$

being:

# Converse: Einstein-De Haas effect

*the only experiment by Einstein*

A. Einstein, W. J. de Haas, Koninklijke Akademie van Wetenschappen te Amsterdam, Proceedings, 18 I, 696-711 (1915)

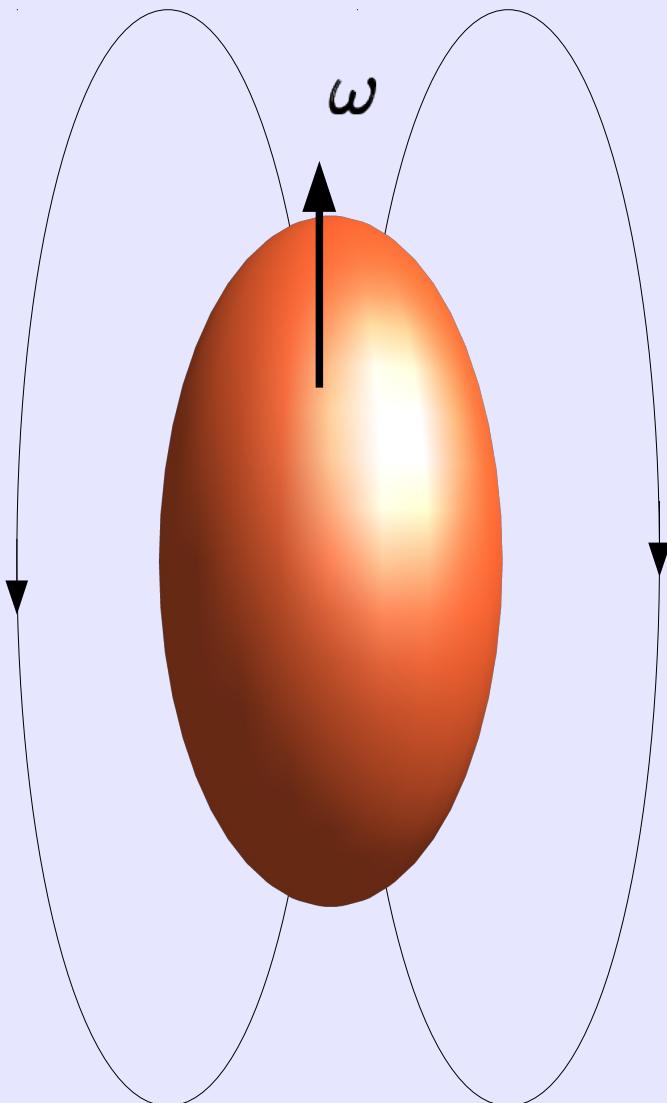


Rotation of a ferromagnet originally at rest  
when put into an external H field

An effect of angular momentum  
conservation:  
spins get aligned with H (irreversibly) and  
this must be compensated by a on overall  
orbital angular momentum

# Polarization by rotation: have we observed it? Yes: Barnett effect

S. J. Barnett, Magnetization by Rotation, Phys. Rev.. 6, 239–270 (1915).



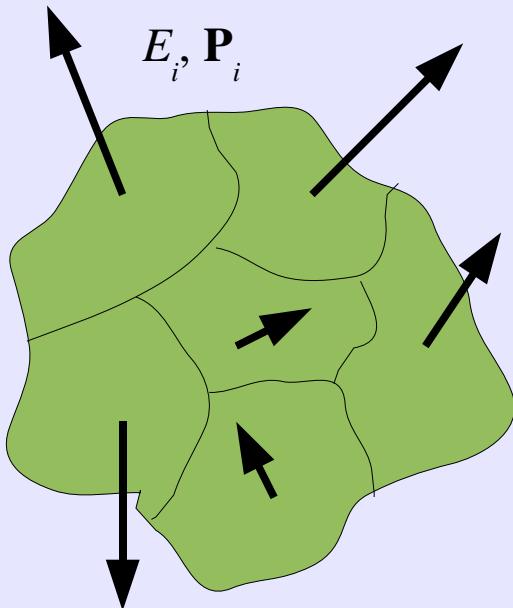
Spontaneous magnetization of an uncharged body  
when spun around its axis, in quantitative agreement  
with the previous polarization formula

$$M = \frac{\chi}{g} \omega$$

It is a dissipative transformation of the orbital angular momentum into spin of the constituents. The angular velocity decreases and a small magnetic field appears; this phenomenon is accompanied by a heating of the sample. Requires a spin-orbit coupling.

# Global thermodynamical equilibrium with angular momentum

## non-quantum Landau's argument



$$S = \sum_i S_i (\sqrt{E_i^2 - \mathbf{P}_i^2})$$

$$\frac{\partial S_i}{\partial E_i} = \frac{E_i}{M_i} \frac{\partial S_i}{\partial M_i} = \frac{\gamma_i}{T_i}$$

Maximize entropy with constraints

$$\sum_i S_i - \frac{\beta}{T} \cdot \sum_i \mathbf{P}_i - \frac{1}{T} (\sum_i E_i - E_0) - \frac{\omega}{T} \cdot (\sum_i \mathbf{x}_i \times \mathbf{P}_i - \mathbf{J})$$



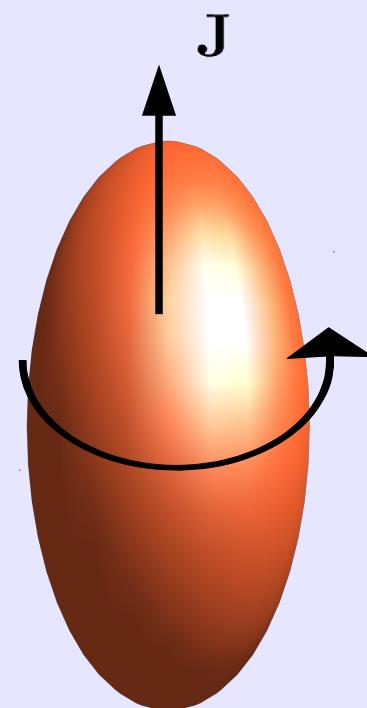
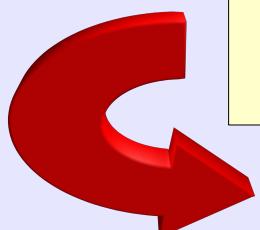
$$\frac{\gamma_i}{T_i} = \frac{1}{T} \quad \forall i$$

$$\mathbf{v}_i = \boldsymbol{\omega} \times \mathbf{x}_i \quad \forall i$$

$$\forall i$$

Local temperature

$$T_i = \frac{T}{\sqrt{1 - (\boldsymbol{\omega} \times \mathbf{x}_i)^2}}$$



# The role of the spin tensor in relativistic hydrodynamics

F. B., L. Tinti, Phys. Rev. D 84 (2011) 025013

F. B., L. Tinti, Phys. Rev. D 87 (2013) 025029

In Minkowski space-time, from translational and Lorentz invariance one obtains two conserved Noether currents:

$$\hat{T}^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \Psi^a)} \partial^\nu \Psi^a - g^{\mu\nu} \mathcal{L}$$

$$\hat{\mathcal{S}}^{\lambda,\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\lambda \Psi^a)} D^A (J^{\mu\nu})_b^a \Psi^b$$

It is very important to stress that these are operators (henceforth denoted with a hat)

$$\partial_\mu \hat{T}^{\mu\nu} = 0$$

$$\partial_\lambda \hat{\mathcal{J}}^{\lambda,\mu\nu} = \partial_\lambda \left( \hat{\mathcal{S}}^{\lambda,\mu\nu} + x^\mu \hat{T}^{\lambda\nu} - x^\nu \hat{T}^{\lambda\mu} \right) = \partial_\lambda \hat{\mathcal{S}}^{\lambda,\mu\nu} + \hat{T}^{\mu\nu} - \hat{T}^{\nu\mu} = 0$$

## Relation between macroscopic (classical) and quantum observables

$$\mathcal{O}_{\text{cl.}} = \text{tr} \left( \hat{\rho} : \hat{O} : \right)$$

Therefore we define as the (macroscopic) stress-energy and spin tensors:

$$T^{\mu\nu}(x) = \text{tr} \left( \hat{\rho} : \hat{T}^{\mu\nu}(x) : \right)$$

$$\mathcal{S}^{\lambda,\mu\nu}(x) = \text{tr} \left( \hat{\rho} : \hat{\mathcal{S}}^{\lambda,\mu\nu}(x) : \right)$$

# Pseudo-gauge transformations with a *superpotential*

$\widehat{\Phi}$

F.W. Hehl, Rep. Mat. Phys. 9 (1976) 55

$$\begin{aligned}\widehat{T}'^{\mu\nu} &= \widehat{T}^{\mu\nu} + \frac{1}{2}\partial_\alpha \left( \widehat{\Phi}^{\alpha,\mu\nu} - \widehat{\Phi}^{\mu,\alpha\nu} - \widehat{\Phi}^{\nu,\alpha\mu} \right) \\ \widehat{\mathcal{S}}'^{\lambda,\mu\nu} &= \widehat{\mathcal{S}}^{\lambda,\mu\nu} - \widehat{\Phi}^{\lambda,\mu\nu} + \partial_\alpha \widehat{\Xi}^{\alpha\lambda,\mu\nu}\end{aligned}$$

With (we confine ourselves to  $\Xi = 0$ ):

$$\int_{\partial\Omega} dS \left( \widehat{\Phi}^{i,0\nu} - \widehat{\Phi}^{0,i\nu} - \widehat{\Phi}^{\nu,i0} \right) n_i = 0$$

$$\int_{\partial\Omega} dS \left[ x^\mu \left( \widehat{\Phi}^{i,0\nu} - \widehat{\Phi}^{0,i\nu} - \widehat{\Phi}^{\nu,i0} \right) - x^\nu \left( \widehat{\Phi}^{i,0\mu} - \widehat{\Phi}^{0,i\mu} - \widehat{\Phi}^{\mu,i0} \right) \right] n_i = 0$$

They leave the conservation equations and spacial integrals (=generators, or total energy, momentum and angular momentum) invariant.

This seems to be enough for a quantum relativistic field theory. It is not in gravity but, as long as we disregard it, different couples of tensors related by a pseudo-gauge transformation cannot be distinguished

## Example: Belinfante symmetrization procedure

Just take  $\widehat{\Phi} = \widehat{\mathcal{S}}$

$$\begin{aligned}\widehat{T}'^{\mu\nu} &= \widehat{T}^{\mu\nu} + \frac{1}{2}\partial_\alpha \left( \widehat{\mathcal{S}}^{\alpha,\mu\nu} - \widehat{\mathcal{S}}^{\mu,\alpha\nu} - \widehat{\mathcal{S}}^{\nu,\alpha\mu} \right) \\ \widehat{\mathcal{S}}'^{\lambda,\mu\nu} &= 0\end{aligned}$$

This is a way of getting rid of the spin tensor, whose physical meaning seems to be thus very limited in QFT (eliminated by a pseudo-gauge transformation). The (mean value of the) above symmetrized Belinfante tensor is commonly assumed to be the source of the gravitational field, at least in GR.

*Nevertheless, if we are interested in local mean values, that is mean energy-momentum density and angular momentum density or polarization, the equivalence may be broken. Thermodynamics and hydrodynamics make a difference!*