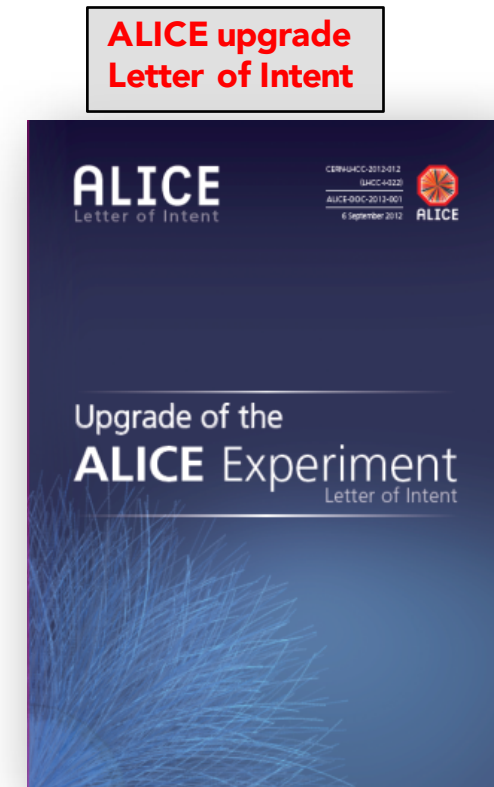


ALICE Upgrade Program

**David Silvermyr (Lund)
for the ALICE collaboration**



- Upgrade Motivation: Key Observables
- The ALICE detector now
- ALICE Upgrade Strategy: ITS, TPC, MFT, O², ...
- Expected Performance
- Summary/Outlook



CERN-LHCC-2012-12

Motivation: QGP precision studies

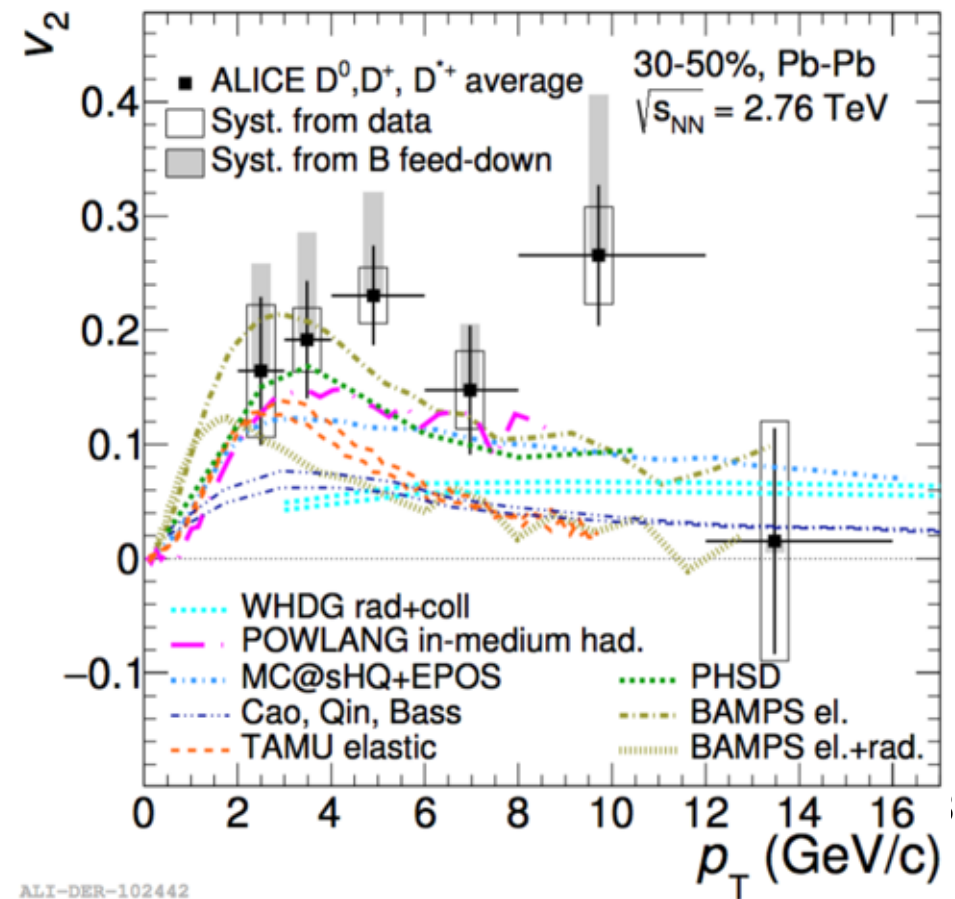
High precision measurements of rare probes from low to high transverse momentum

Requirements:

- Excellent tracking efficiency and resolution at low p_T
- Large statistics
- PID capability (even at high rate)

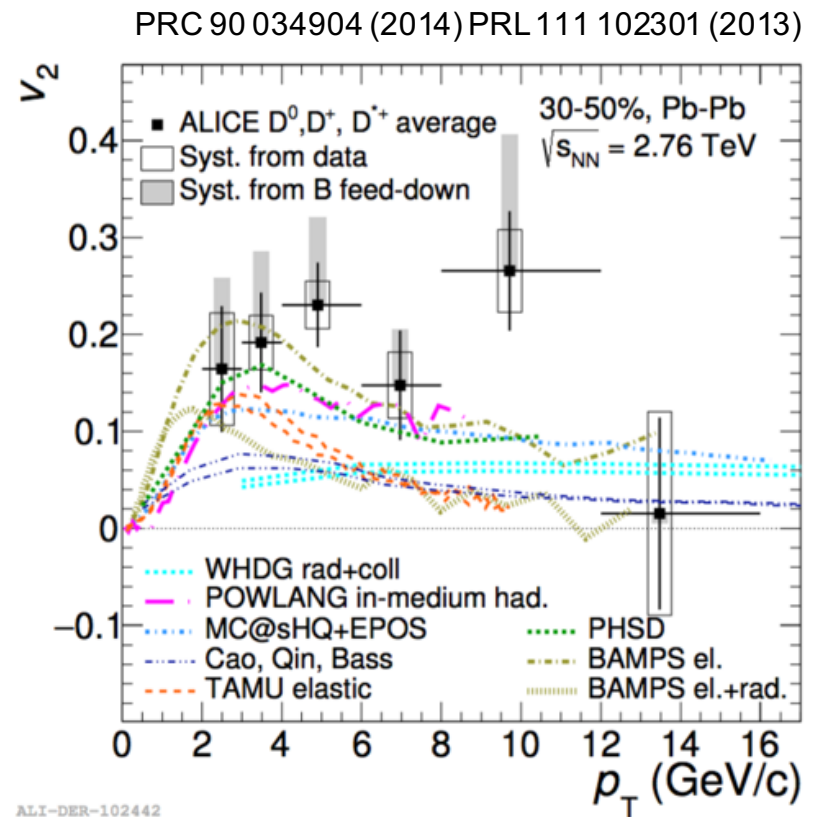
“Semi-hard” Observables

- Significant changes in many observables at intermediate p_T (from soft to hard regions): interesting regime for heavy-ion vs pp comparisons
- Not as easy to trigger on though...
- Key Observables:
 - charm production
 - hydro-dynamics
 - charm quark energy loss / jet quenching
 - J/ψ suppression
 - thermal photons at low and intermediate p_T



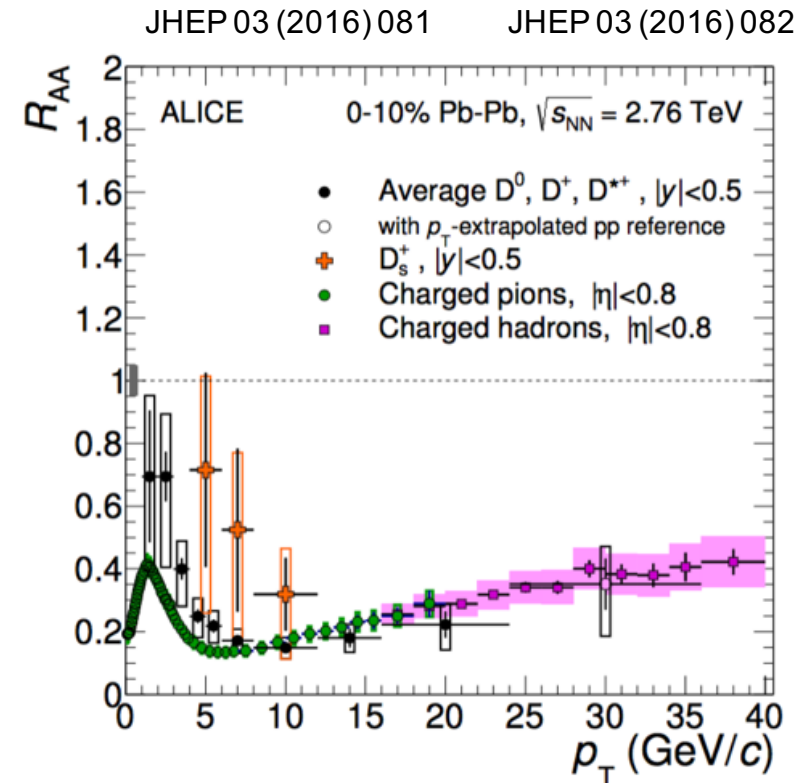
Open heavy flavor: good probes of the hot medium ...

- Heavy quarks take part in collective expansion
- Still challenging to see expected hierarchy in energy losses
- Distinguish between b/c energy losses is limited to high p_T
- No access in Pb-Pb to charm (and beauty) baryons \rightarrow baryon/meson ratio for light flavor only



Open heavy flavor: good probes of the hot medium ...

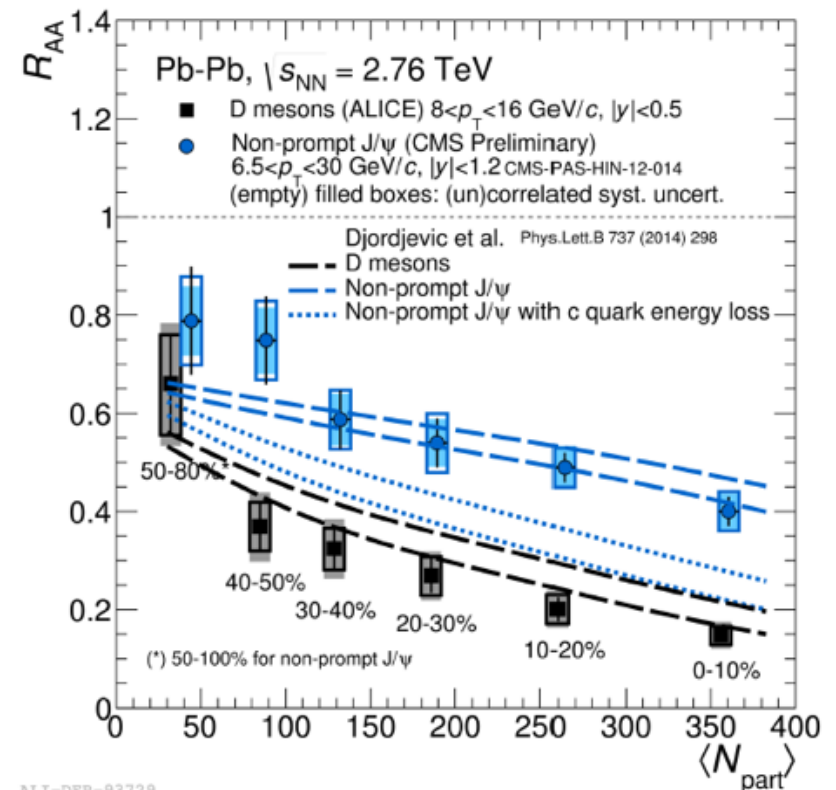
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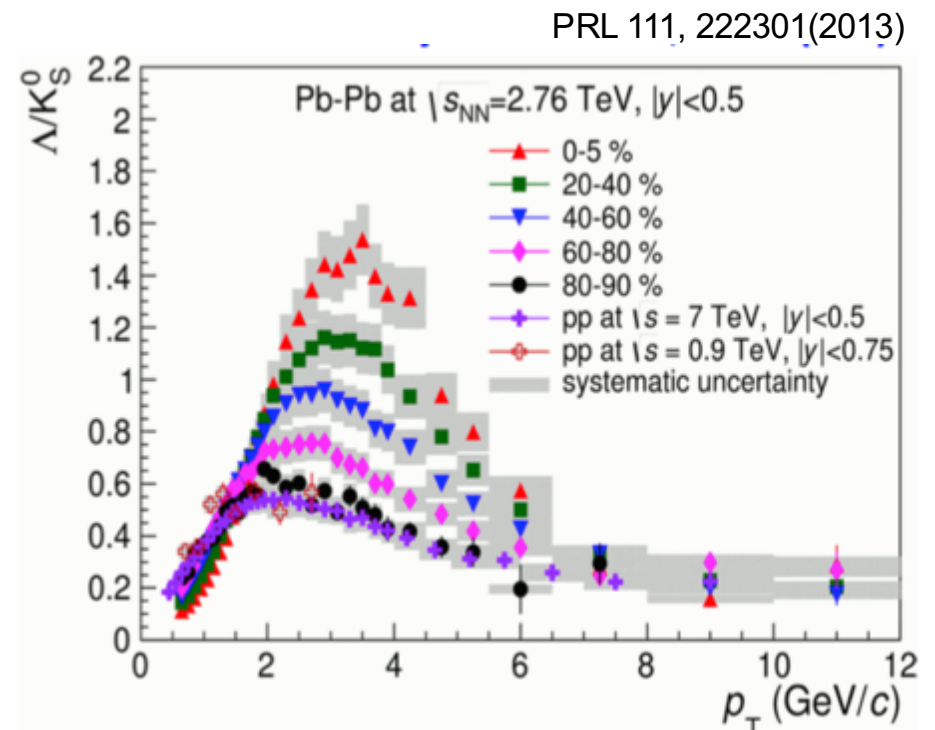
JHEP 11 (2015) 205



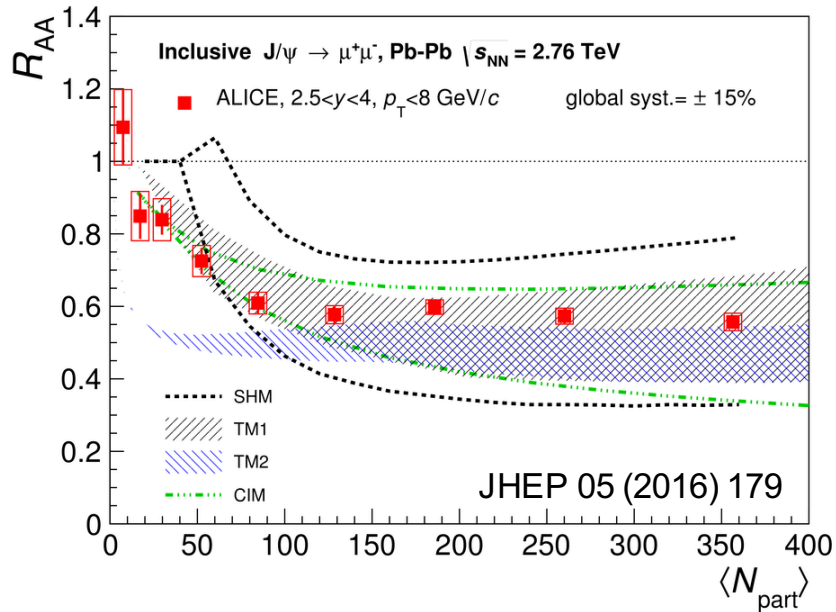
ALI-DER-93729

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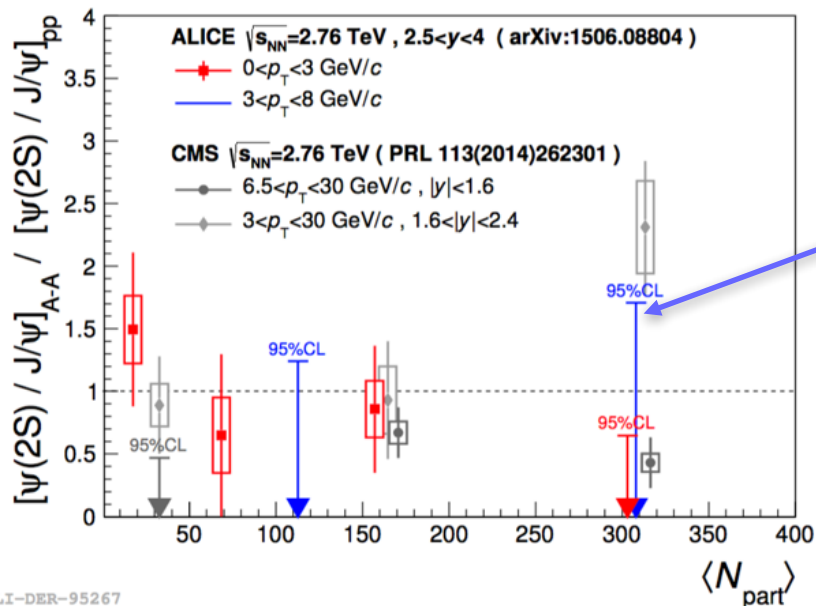
Quarkonia: Hadronize or Recombine?



Reduced suppression with respect to RHIC
 Theoretical models which include $\sim 50\%$ of the low- p_T J/ψ via recombination describe LHC data

→ theor. uncertainties linked to total $\sigma_{c\bar{c}}$ + CNM effects

→ recombination contributes mainly at **low p_T**



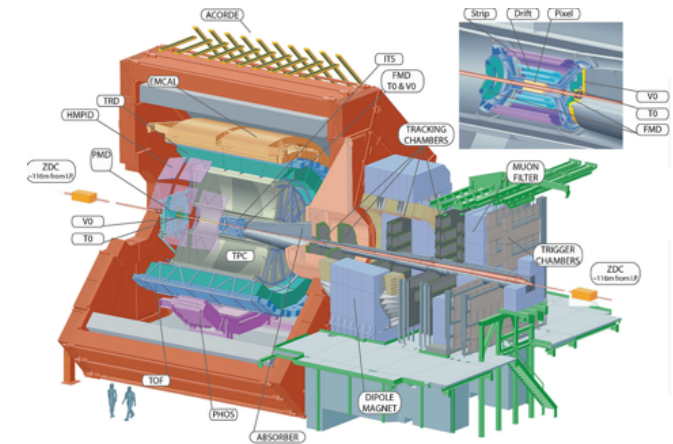
Statistical hadronization or kinetic recombination?

- $\psi(2S)$ can help to disentangle mechanisms at work
- Double ratio has less dependency on $\sigma_{c\bar{c}}$
- CMS/ALICE measurements showed some tensions

→ Precision must be improved

Finding our optimal path forward...

- Study the thermalization of partons in the QGP, with focus on charm and beauty quarks at low p_T
→ secondary vertices → **improve inner tracker**
- Low-momentum charmonia dissociation (and regeneration?) to study deconfinement and medium temperature
- Production of thermal photons and low-mass dileptons emitted by QGP to study initial temperature and equation of state of the medium
→ **exploit ALICE low p_T reach, PID capabilities, improve vertexing and readout rate → improve inner tracker and readout upgrade of the rest of ALICE**
- Precision study of light nuclei and hyper-nuclei



All this cannot be selected at trigger level (very large combinatorial background) → **read out everything!**

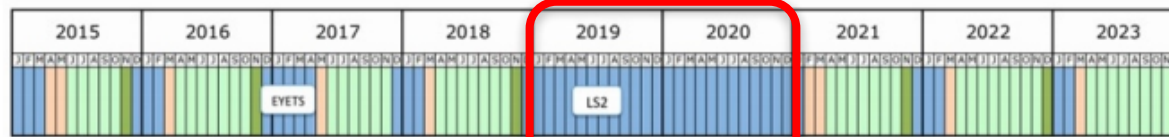


Move from <1 kHz to 50 kHz in Pb-Pb!

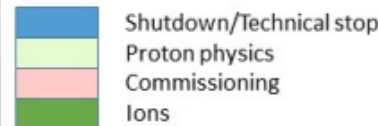
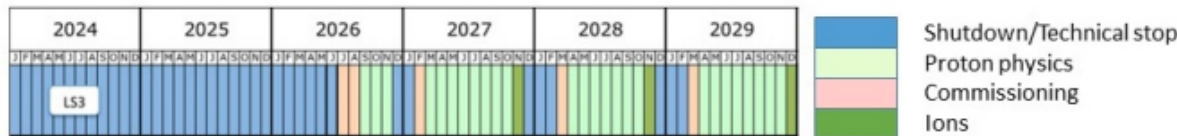
LHC Schedule

$\sqrt{s_{NN}} \sim 5\text{TeV}$

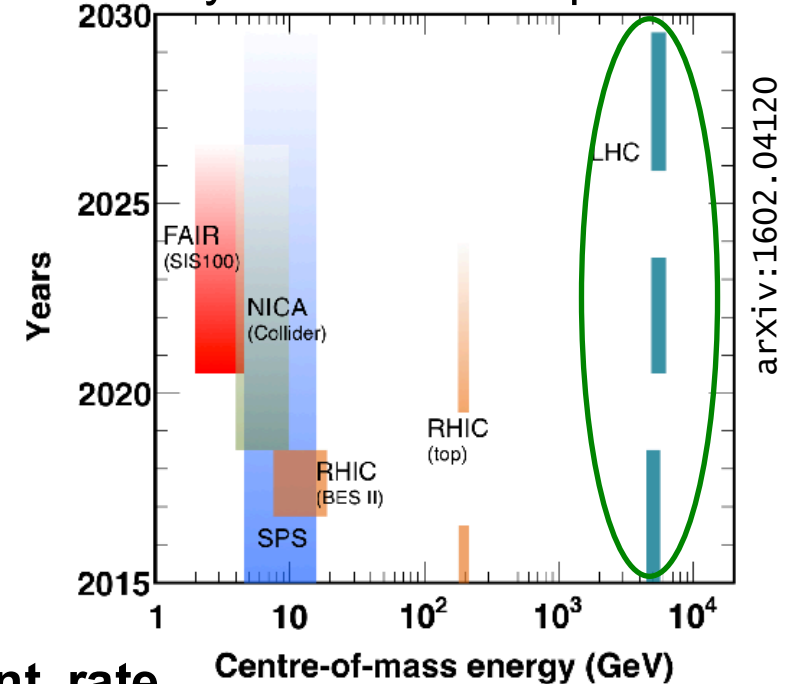
$\sqrt{s_{NN}} = 5.5\text{TeV}$



LS2



Heavy ions facilities up to 2030

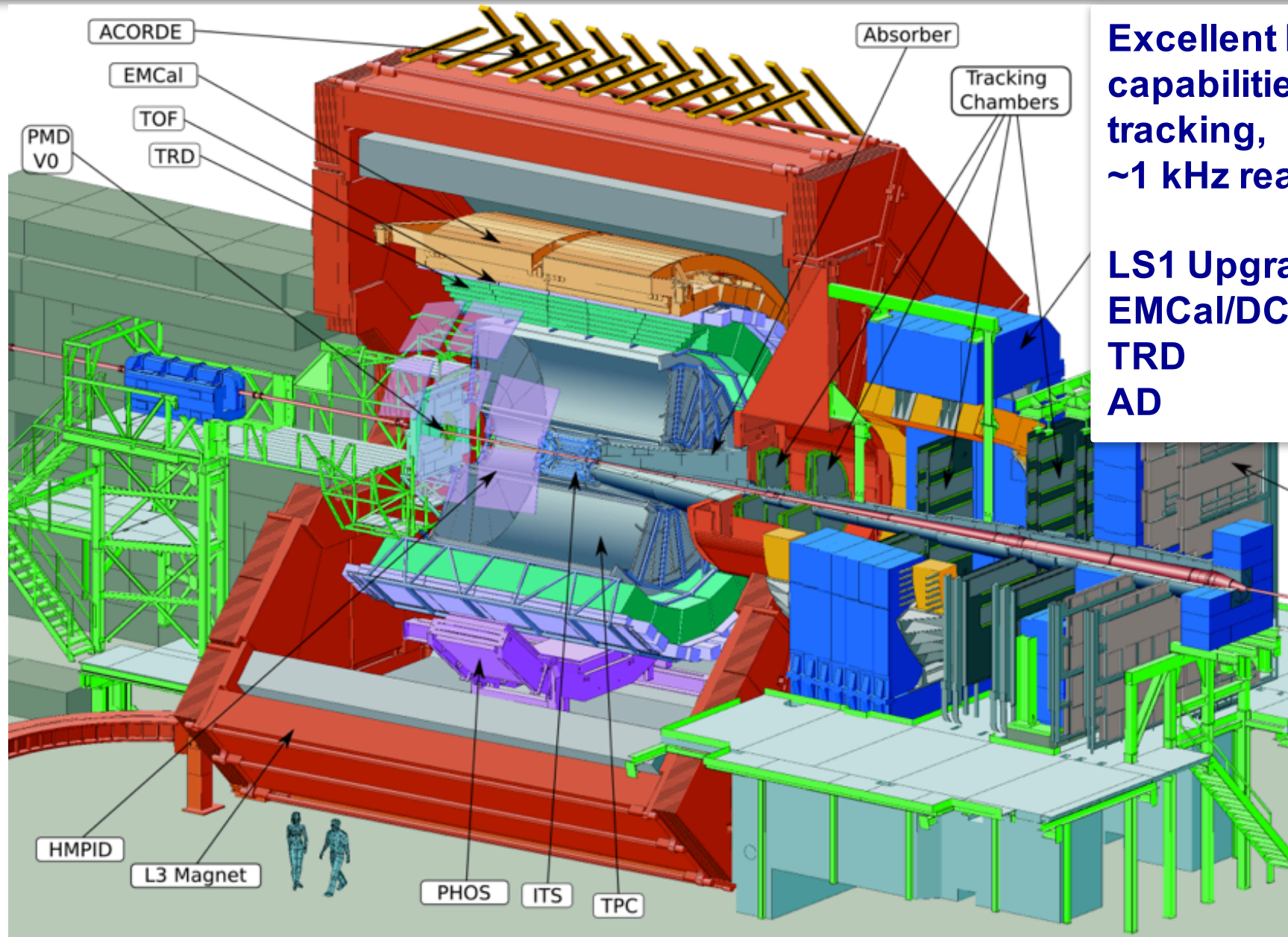


arXiv:1602.04120

- $L_{\text{int}} 10^{27} \text{ cm}^{-2}\text{s}^{-1} \rightarrow (\text{goal}) 6 \cdot 10^{27} \text{ cm}^{-2}\text{s}^{-1} \rightarrow \mathbf{50 \text{ KHz int. rate}}$ (thanks to LHC team!)
- In addition to Pb-Pb: p-Pb and pp at relevant energies
- ALICE request: 10 nb^{-1} Pb-Pb at $B=0.5 \text{ T}$ (+ 3 nb^{-1} at $B=0.2 \text{ T}$)

LHC operates at higher energies and at vanishing baryon chemical potential
 → best suited for measurement of QGP properties
 → abundance of calculable QCD processes (heavy quarks)

The ALICE Detector Now



Excellent PID capabilities, tracking, ~1 kHz readout

**LS1 Upgrades:
EMCal/DCal/PHOS
TRD
AD**

ALICE Upgrade: LS2

- The ALICE LS2 upgrade plans:

- new, high-resolution, low-material Inner Tracking System (ITS)
- upgrade of Time Projection Chamber (TPC)
- new silicon telescope in front of hadron absorber in the acceptance of the Muon Spectrometer (Muon Forward Tracker, MFT)
- upgrade of the online systems (O²)
- upgrade of the forward trigger detectors (FIT) and ZDC
- upgrade of read-out electronics of: TRD, TOF and Muon Spectrometer
- upgrade of the offline reconstruction and analysis framework

Collection of ALICE upgrade TDRs



CERN-LHCC-2013-024



CERN-LHCC-2012-012



CERN-LHCC-2015-021



CERN-LHCC-2013-019

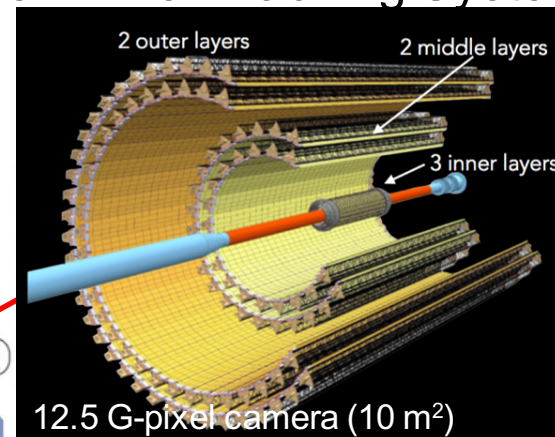


CERN-LHCC-2015-006

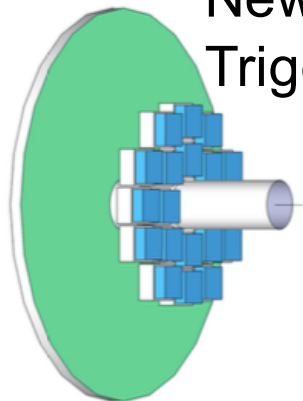
ALICE Upgrade: LS2



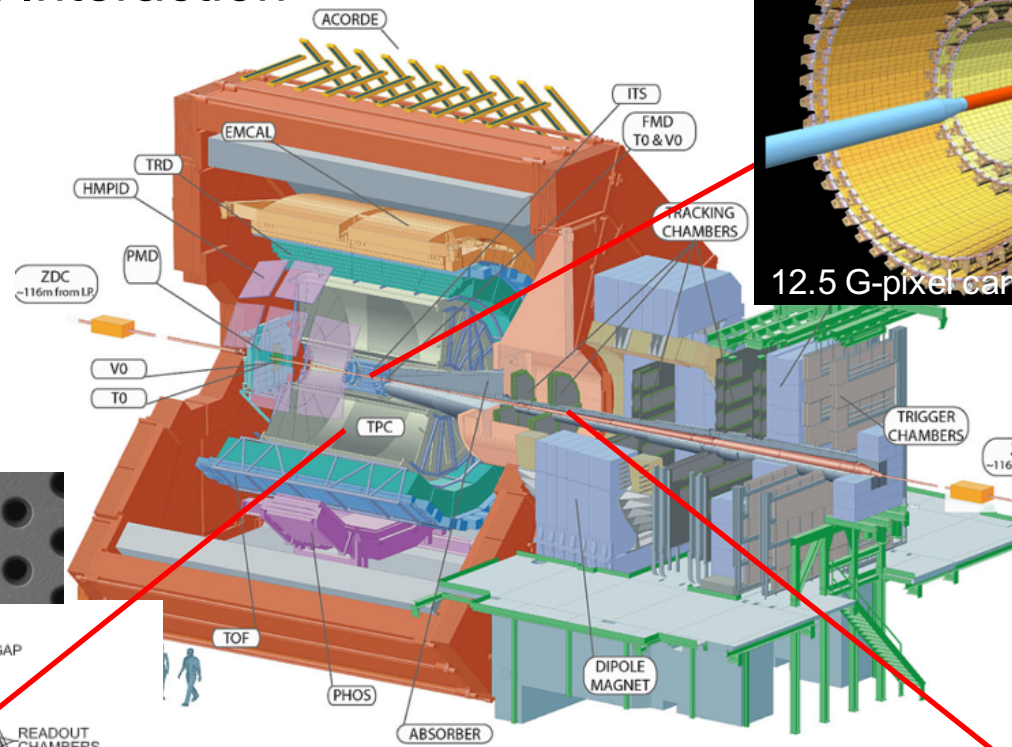
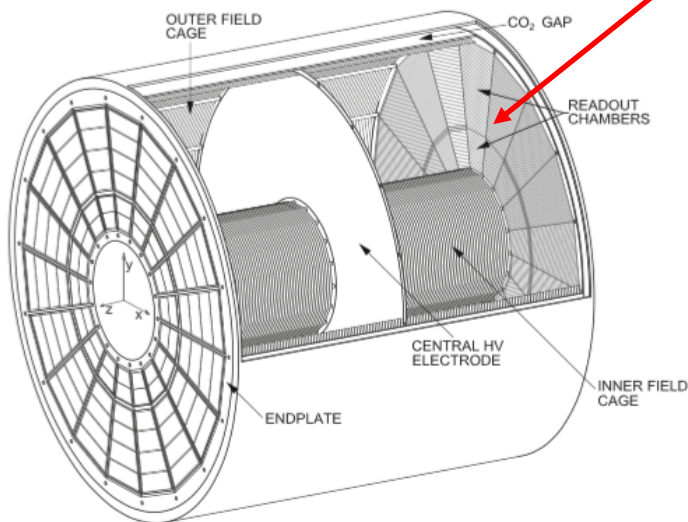
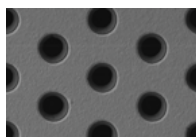
New Inner Tracking System (ITS)



New Forward Interaction Trigger (FIT)

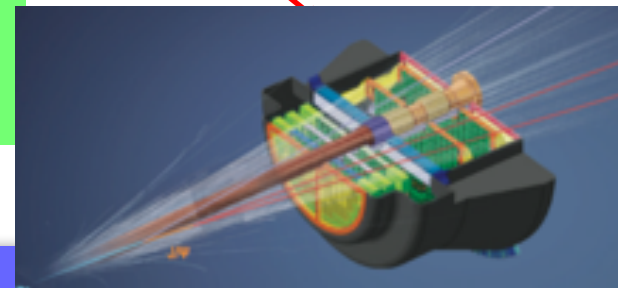


TPC with GEM based readout



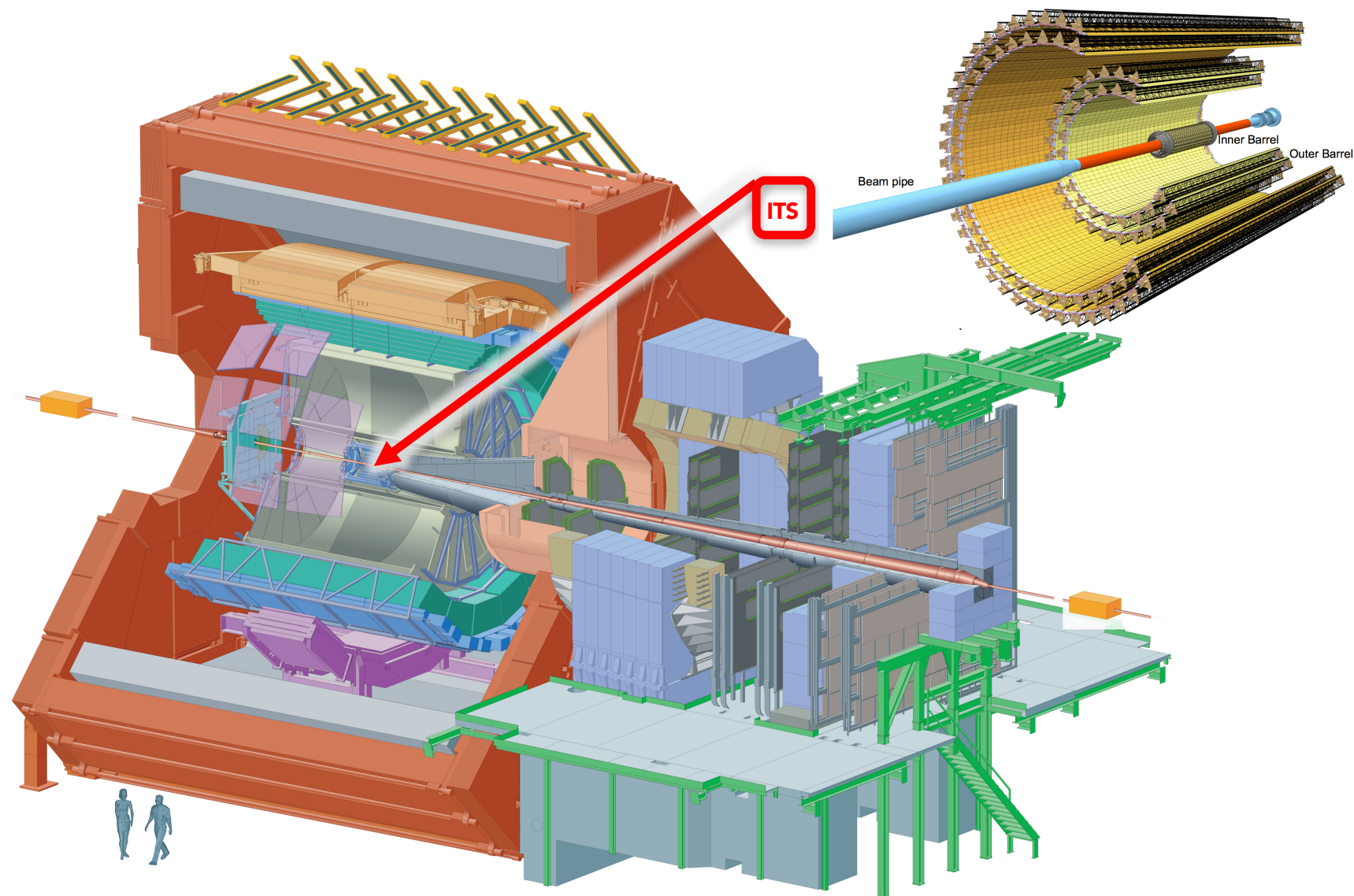
Both based on Monolithic Active Pixel Sensors (MAPS)

New Muon Forward Tracker (MFT)

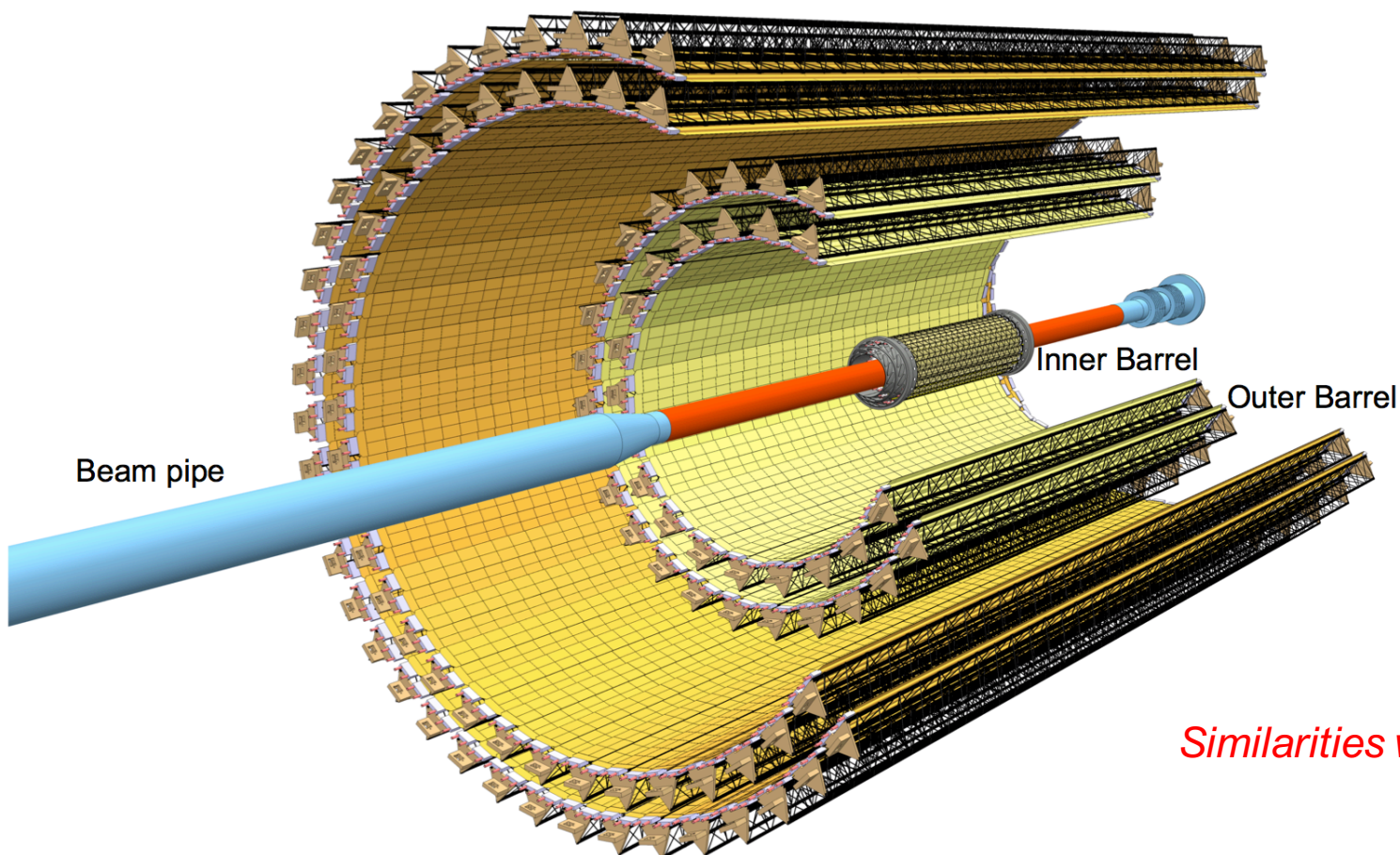


- + improved readout for TOF, ZDC, TRD, MUONARM
- + new Central Trigger Processor
- + new DAQ/Offline architecture

ALICE ITS Upgrade



New ITS Layout



12.5 Gpixels

Area: $\sim 10\text{m}^2$,

**Inner Barrel: 3
layers;**

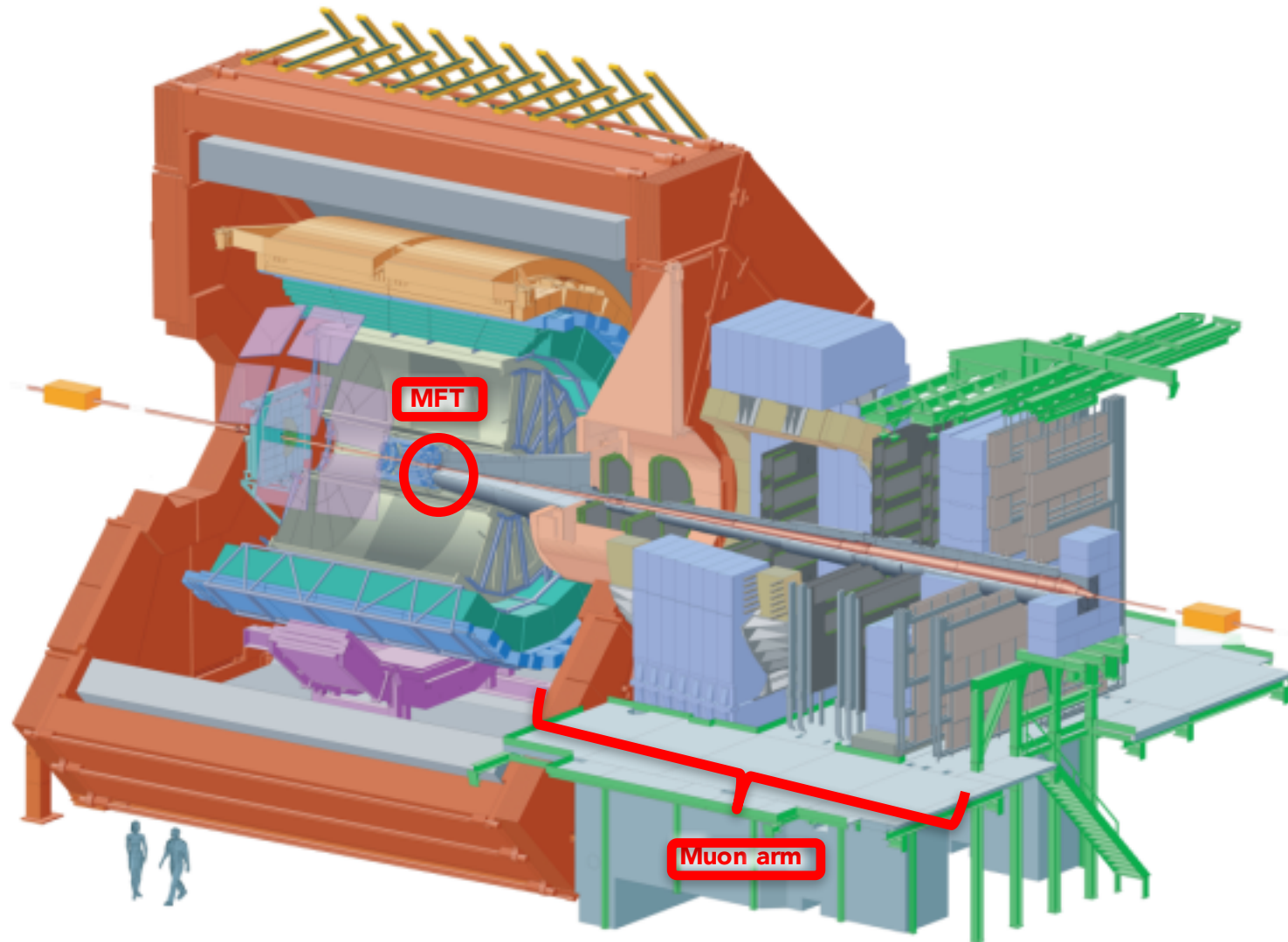
**Outer Barrel: 2+2
layers;**

**Same technology
for IB & OB**

$|\eta| \leq 1.5$

Similarities with STAR HFT project

ALICE MFT Upgrade

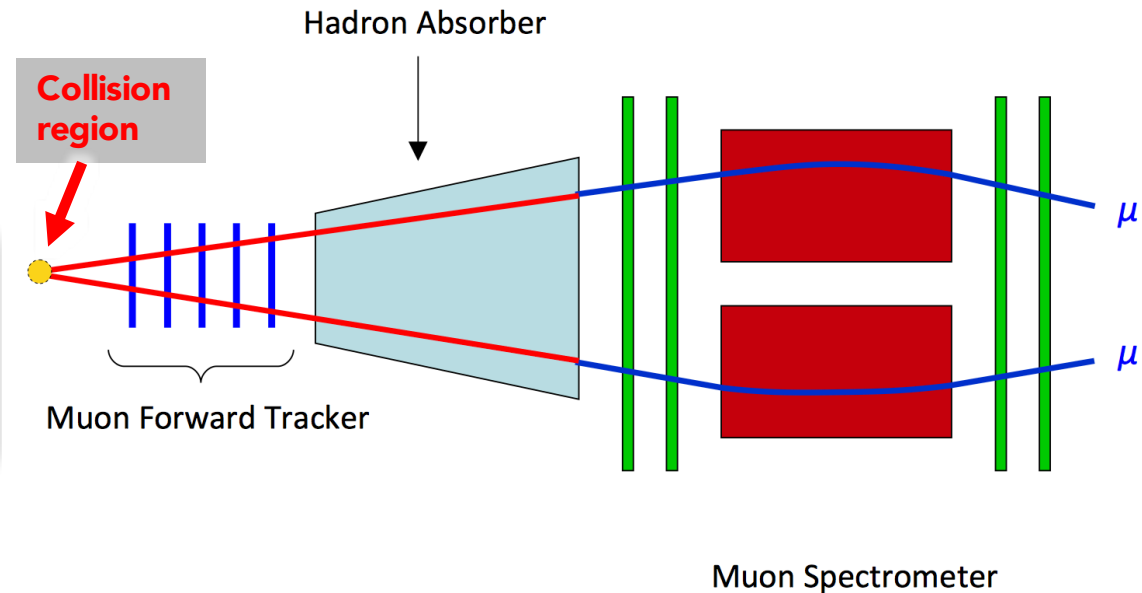


MFT Concept

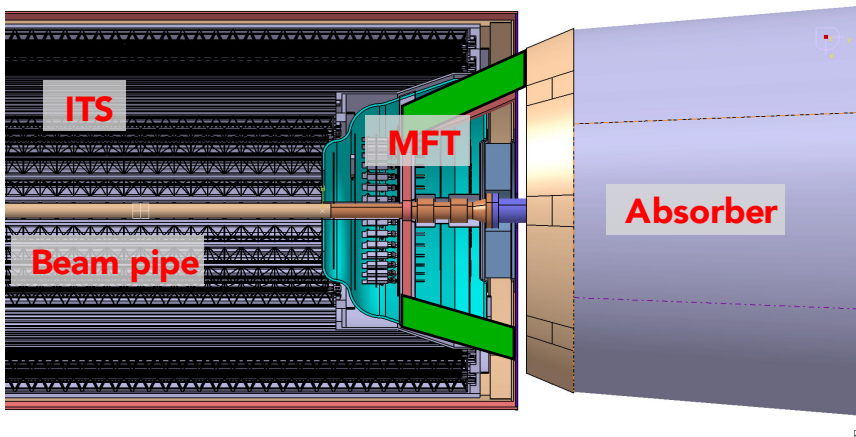
- ALICE muon arm: detect muons in forward range
 $-4.0 < \eta < -2.5$

Muon Forward Tracker (MFT) design objectives: Increase pointing accuracy for the muon tracks, in particular at low p_T

- Implementation: silicon telescope in front of the hadron absorber



Similarities with PHENIX FVTX project

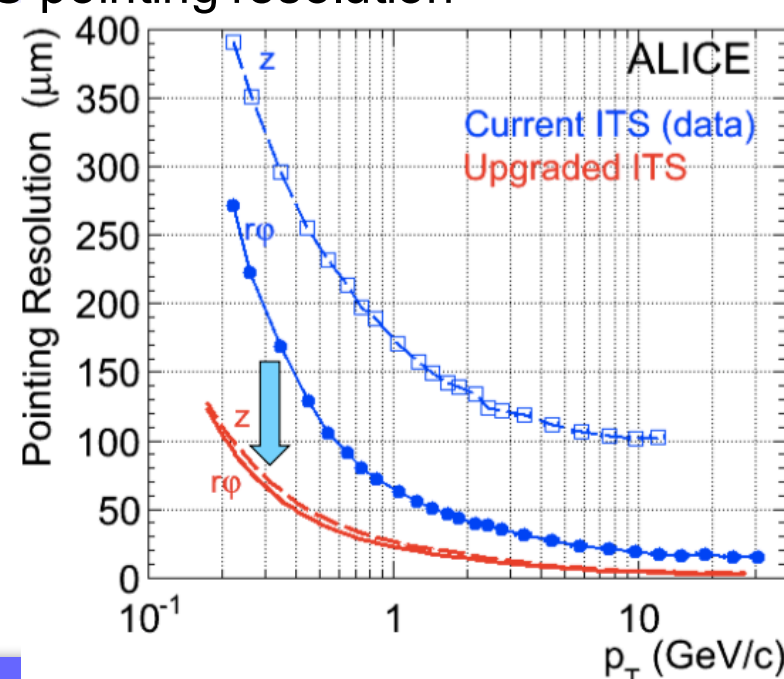
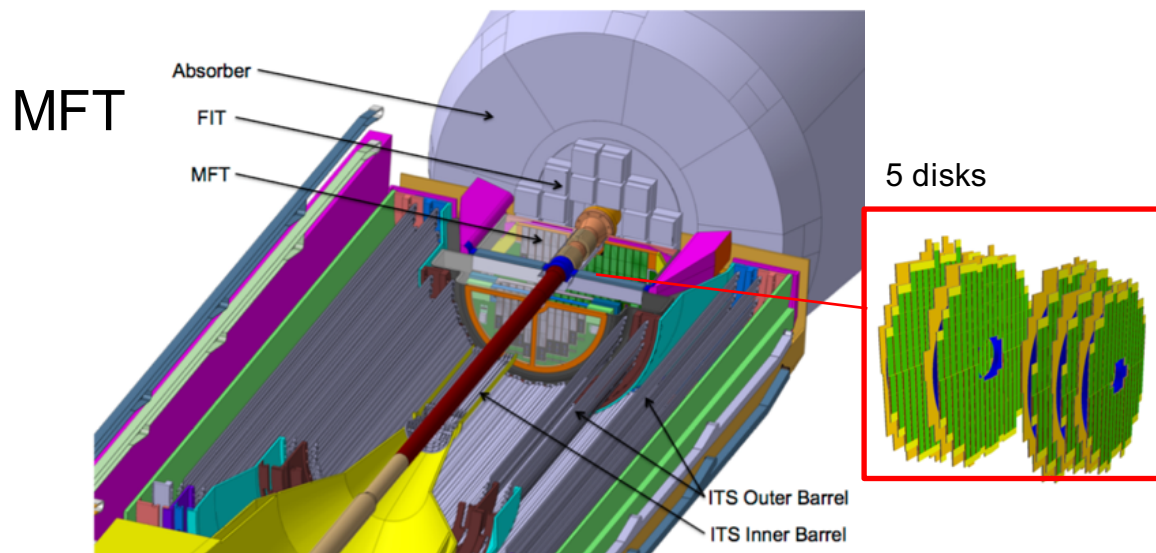
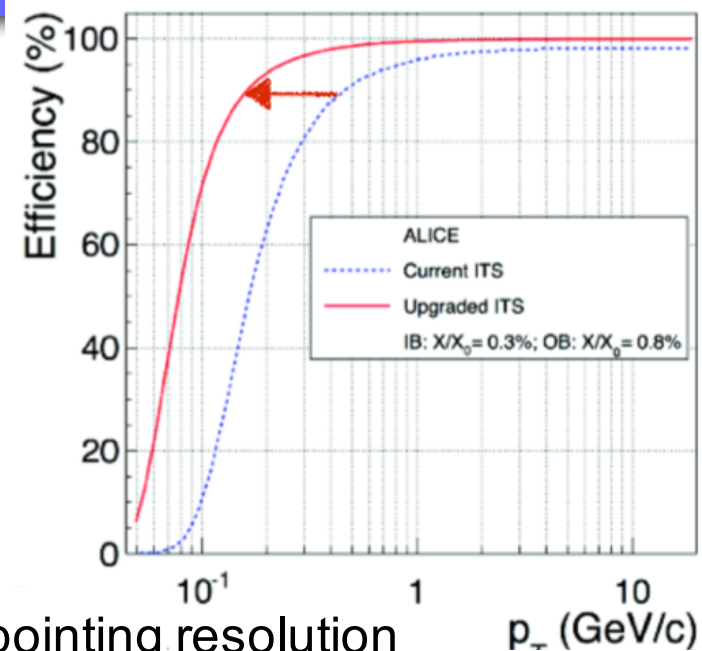


Inner Tracker Upgrade

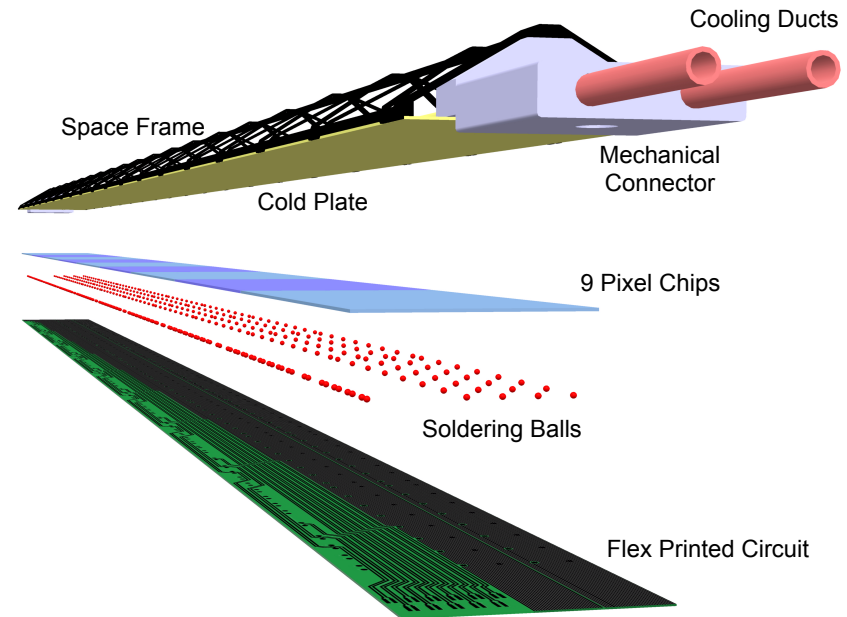
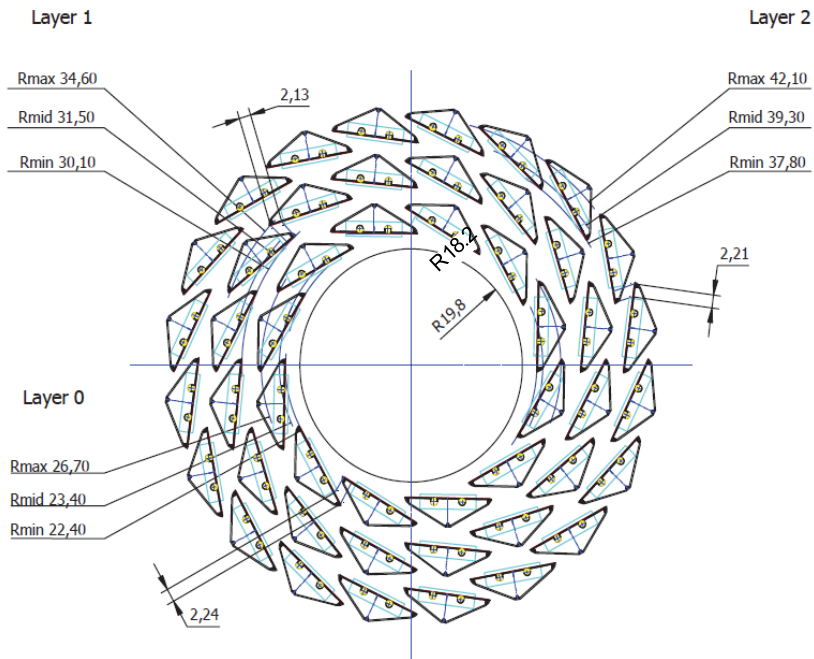


ALICE

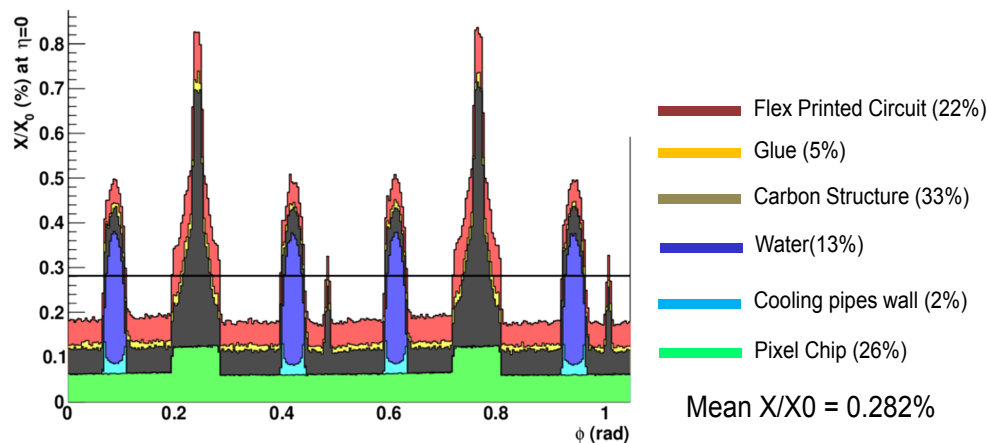
	ITS	ITS UPGRADE	MFT
# layers	6	7	5
Rapidity coverage	$ \eta < 0.9$	$ \eta < 1.5$	$-3.6 < \eta < -2.4$
r_{\min}	3.9 cm	2.3 cm	/
Material budget per layer	1.1% X_0	0.3 - 1% X_0	0.6% X_0
Spatial resolution	12 x 100 μm^2 35 x 20 μm^2 20 x 830 μm^2	$\sim 5 \times 5 \mu\text{m}^2$	$\sim 5 \times 5 \mu\text{m}^2$
Max Pb-Pb readout rate	1 kHz	100 kHz	100 kHz



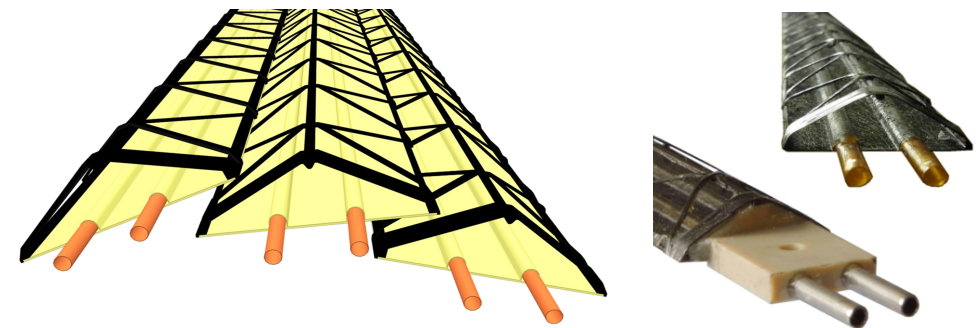
Inner Barrel



Material budget: $\sim 0.3\% X_0$ per layer



Inner Barrel Stave



Monolithic Active Pixel Sensors

MAPS attractive technology for ALICE due to:

- Reduction of material budget (sensor&readout integrated) $350 \mu\text{m} \rightarrow 50\text{-}100 \mu\text{m/layer}$
- Radiation tolerance and moderate read-out time fitting ALICE needs

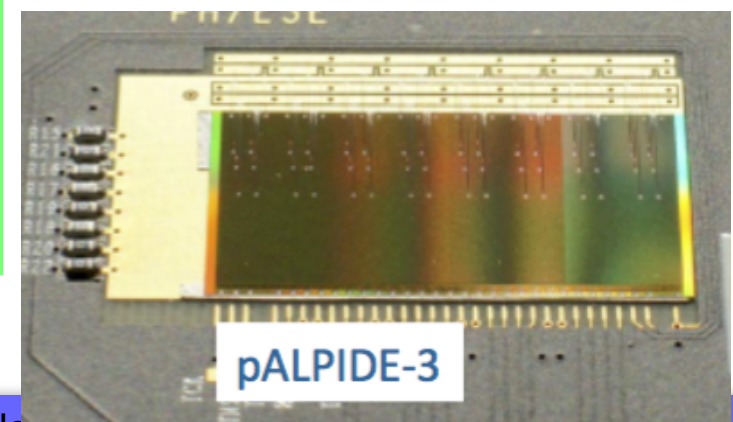
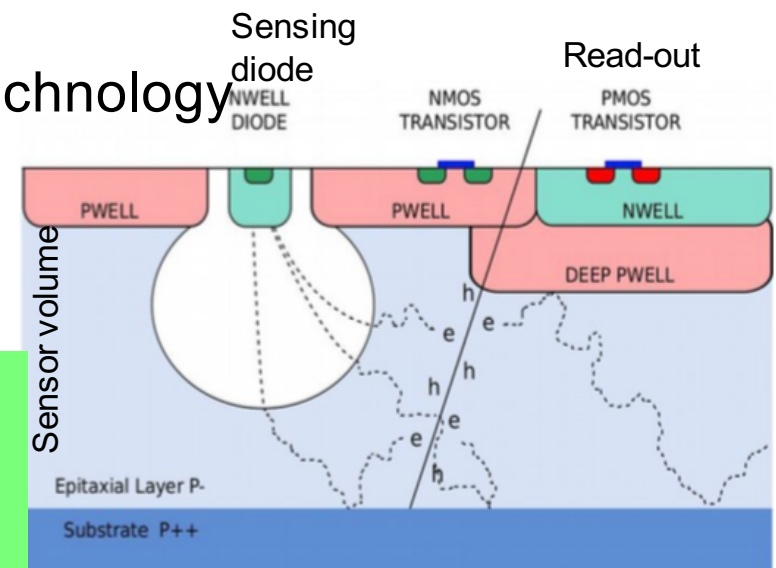
ALICE baseline: MAPS using CMOS $0.18 \mu\text{m}$ technology (TowerJazz)

Pixel pitch $\sim 30 \mu\text{m} \times 30 \mu\text{m}$

Final version of MAPS chip (ALPIDE = ALICE Pixel Detector) due July 2016 (after 3 prototype runs)
 \rightarrow start production end of the year

Power consumption: $< 100 \text{ mW/cm}^2$
Efficiency $> 99\%$
Noise probability: $< 10^{-6}$

Non-Ionizing Energy Loss: $1.7 \times 10^{13} \text{ 1 MeV neq /cm}^2$
Total Ionizing Dose: 2.7 Mrad for IB, 100 krad for OB

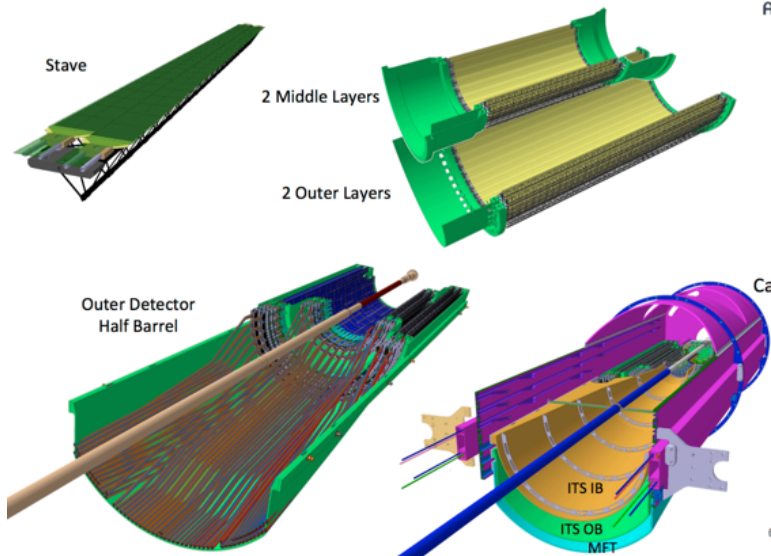
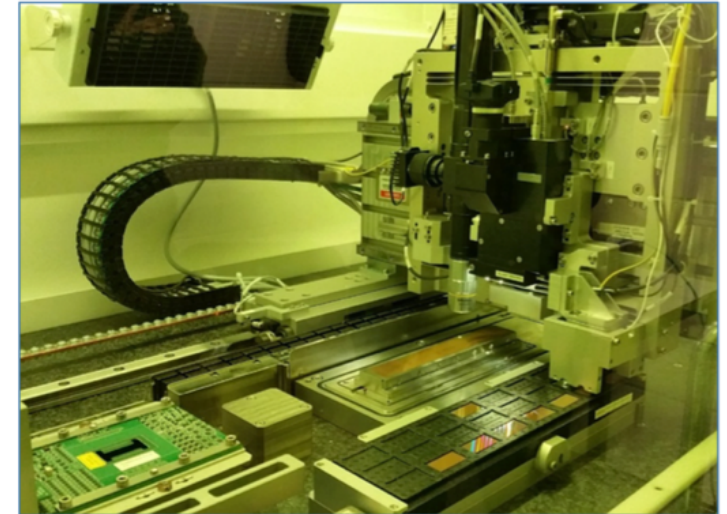


From plots & drawings to pictures

MFT disk # 1: PCB + support



Module assembly machines

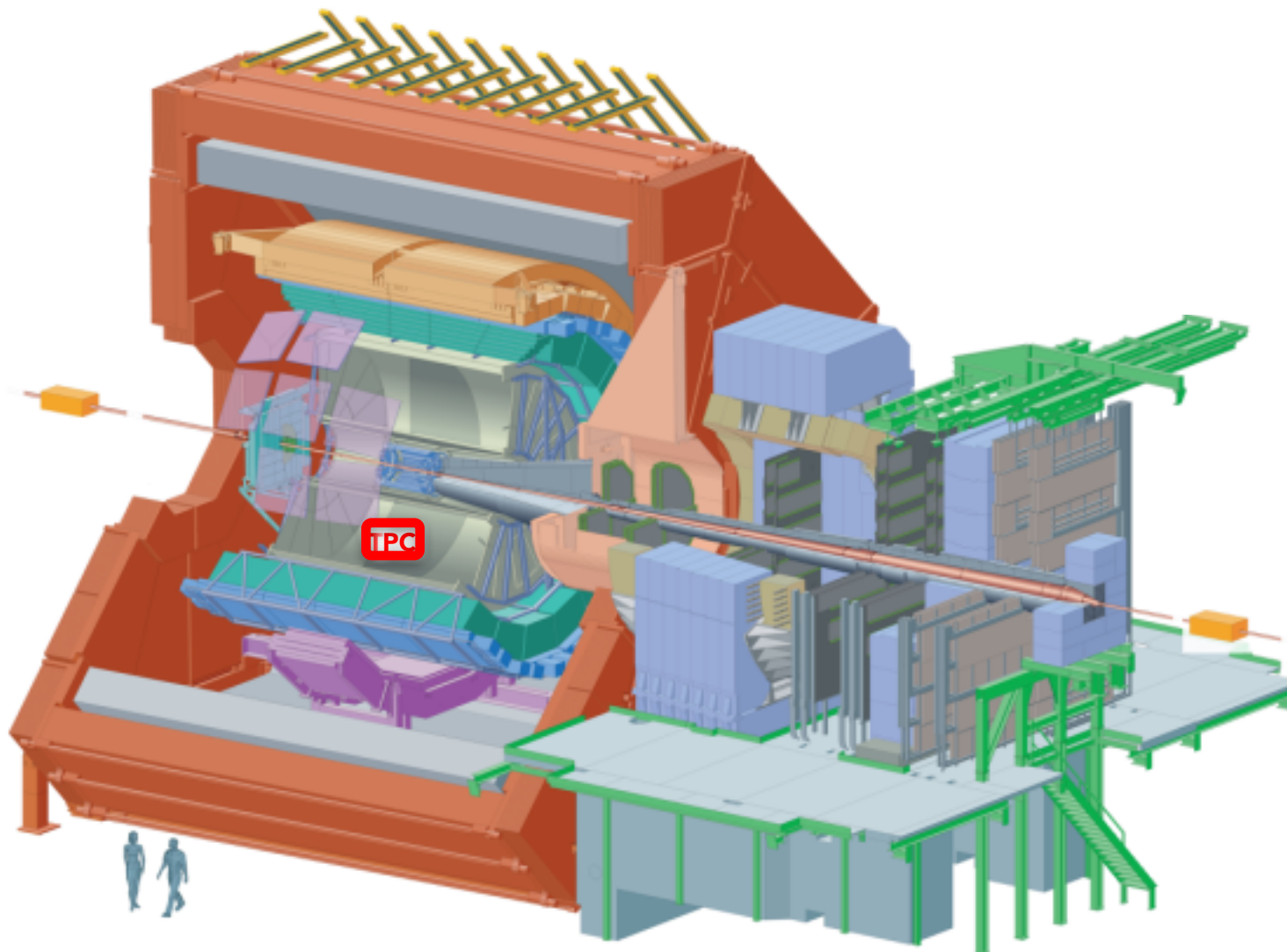


ITS IB staves

Production:
-n. 31 units produced



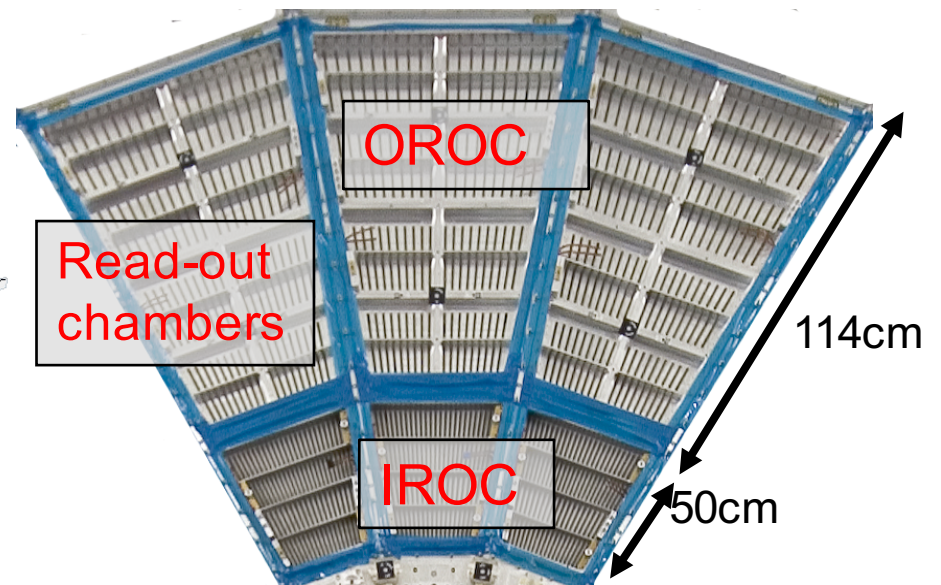
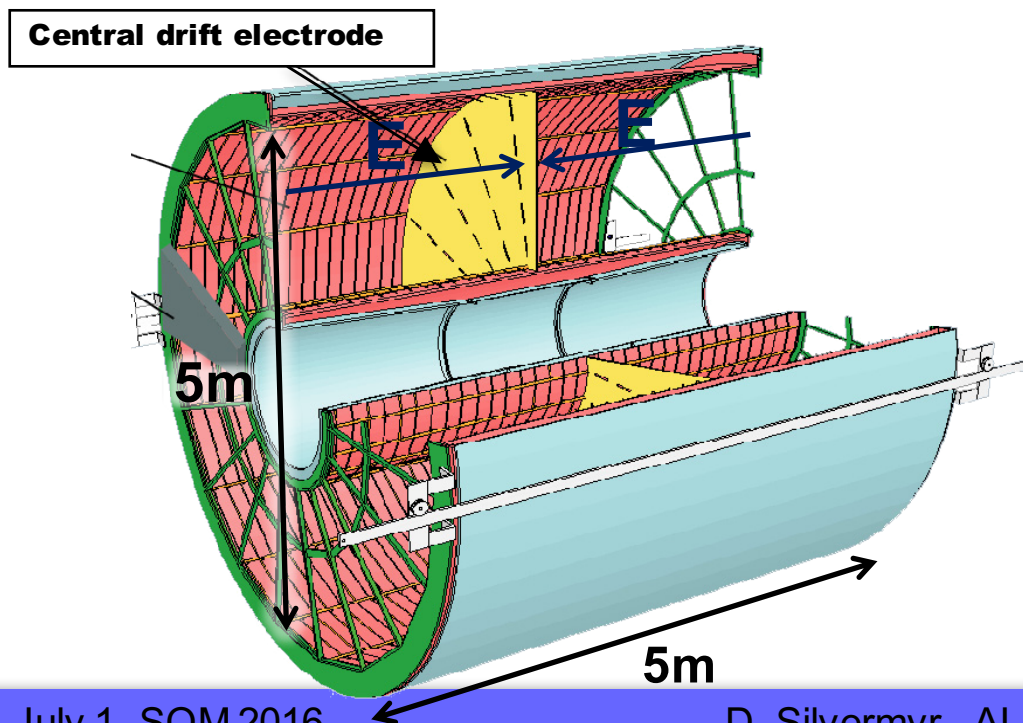
ALICE TPC Upgrade



TPC Overview

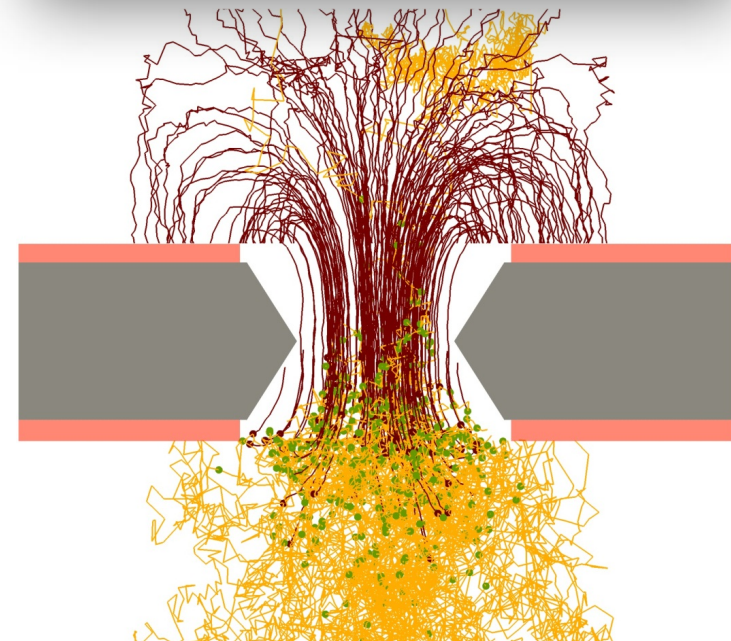
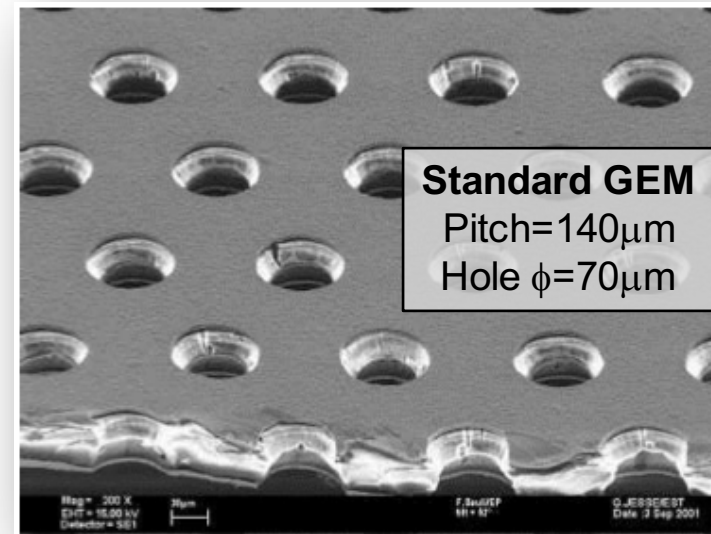
- Diameter: 5 m, length: 5 m
- Acceptance: $|\eta| < 0.9$, $\Delta\phi = 2\pi$
- Gas: Ne-CO₂ in Run1,
Ar-CO₂ in Run2
- Drift field = 400V/cm
 - Diffusion: $\sigma_T \approx \sigma_L \approx 0.2 \text{ mm}/\sqrt{\text{cm}}$
 - $v_d \approx 2.7 \text{ cm}/\mu\text{s}$, max. drift time: 92 μs

- **Read-out Chambers: Total = 36 × 2**
 - **outer (OROC): 18 × 2**
 - **inner (IROC): 18 × 2**
- **Pad sizes: 4 × 7.5 mm², 6 × 10 (15) mm²**
- **Channel number: 557 568**
- **In Run1 & Run2: MWPC + gating grid operation**
 - **Present rate limitation: few kHz**



TPC Upgrade Objectives

- Main objective: Retain physics performance in high rate operation
 - continuous read-out of Pb-Pb events at 50 kHz collision rate
- Operation of MWPC without gating grid would lead to massive space-charge distortions due to back-drifting ions
- Instead: Continuous read-out with micro-pattern gaseous detectors (GEMs)
- Advantages:
 - reduced ion backflow (IBF)
 - high rate capability
 - no long ion tail

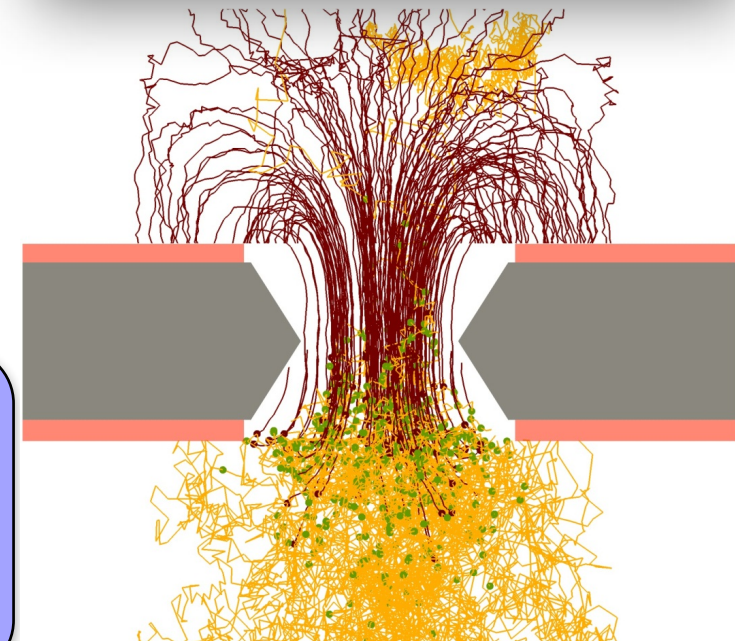
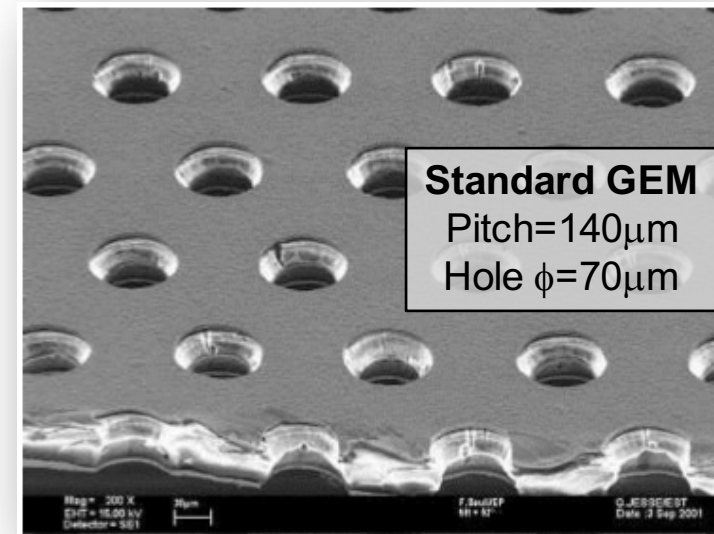


TPC Upgrade Objectives

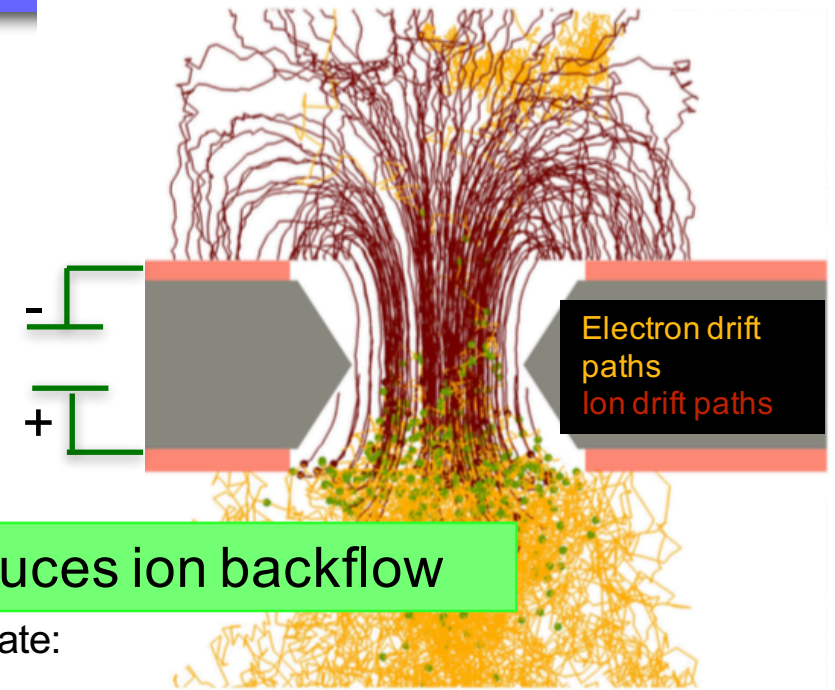
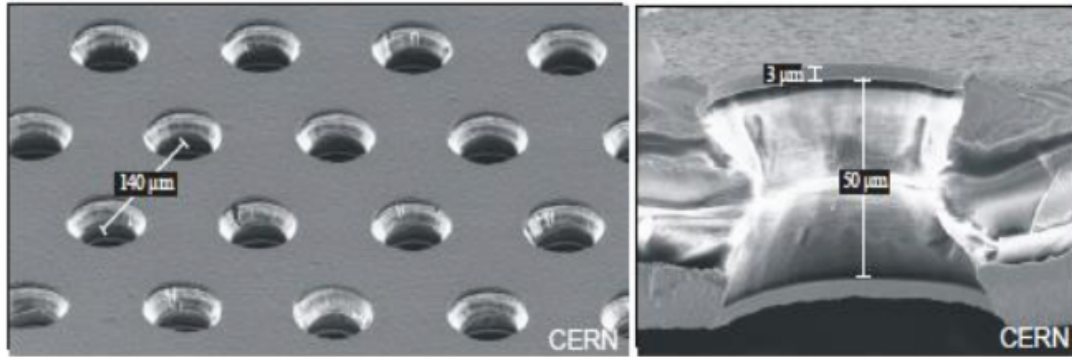
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 - continuous read-out of Pb-Pb events at 50 kHz collision rate
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- Instead: Continuous read-out with micro-pattern gaseous detectors (GEMs)
- Advantages:
 - reduced ion backflow (IBF)
 - high rate capability
 - no long ion tail

Requirements for read-out system:

- **IBF < 1% at gain 2000**
- **dE/dx resolution < 12% for ^{55}Fe**
- **Stable operation under LHC conditions**



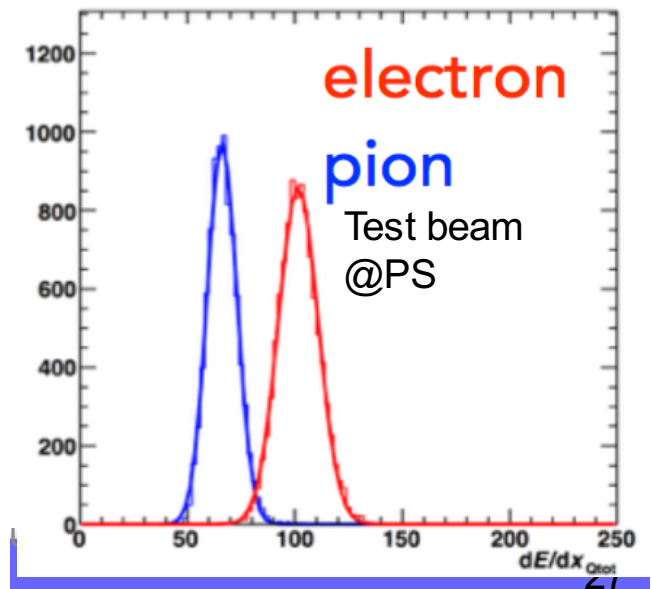
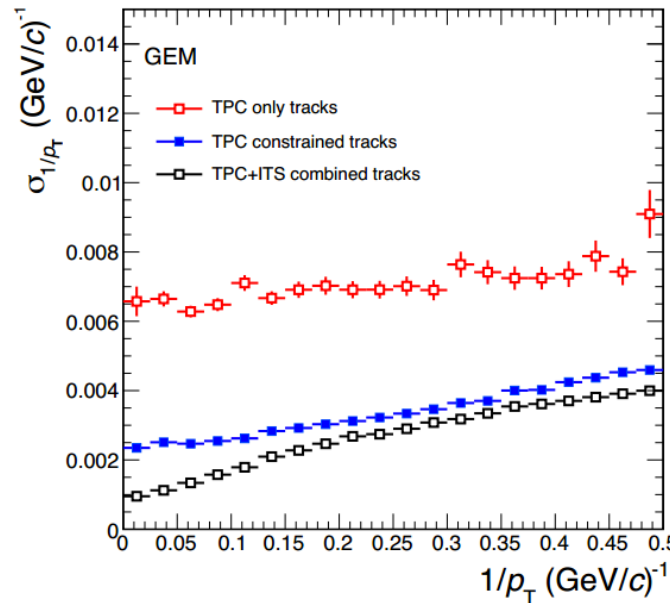
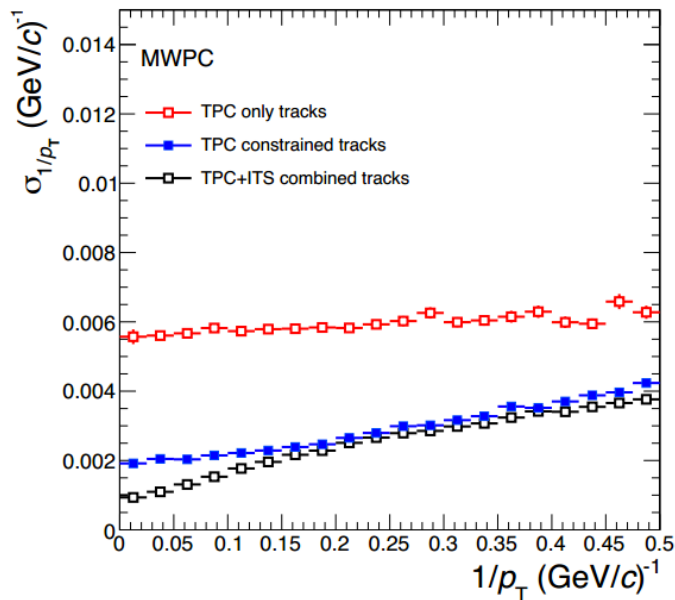
Collect Large Statistics with the TPC



GEM (Gas Electron Multiplier) technology reduces ion backflow

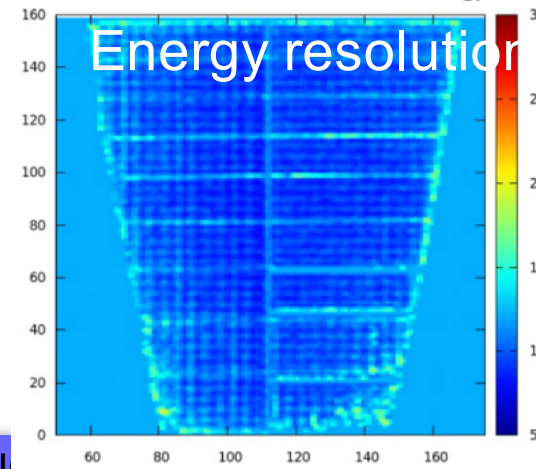
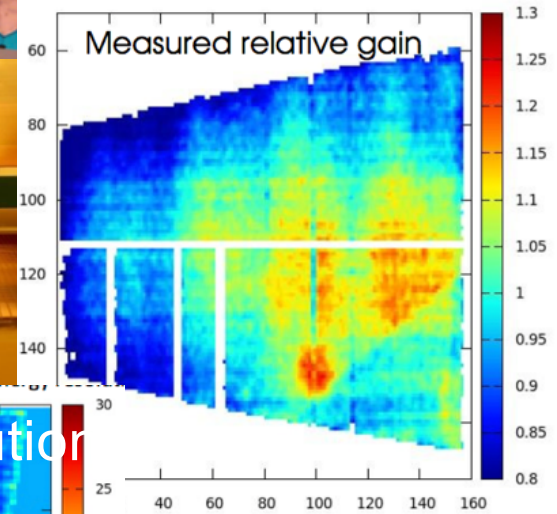
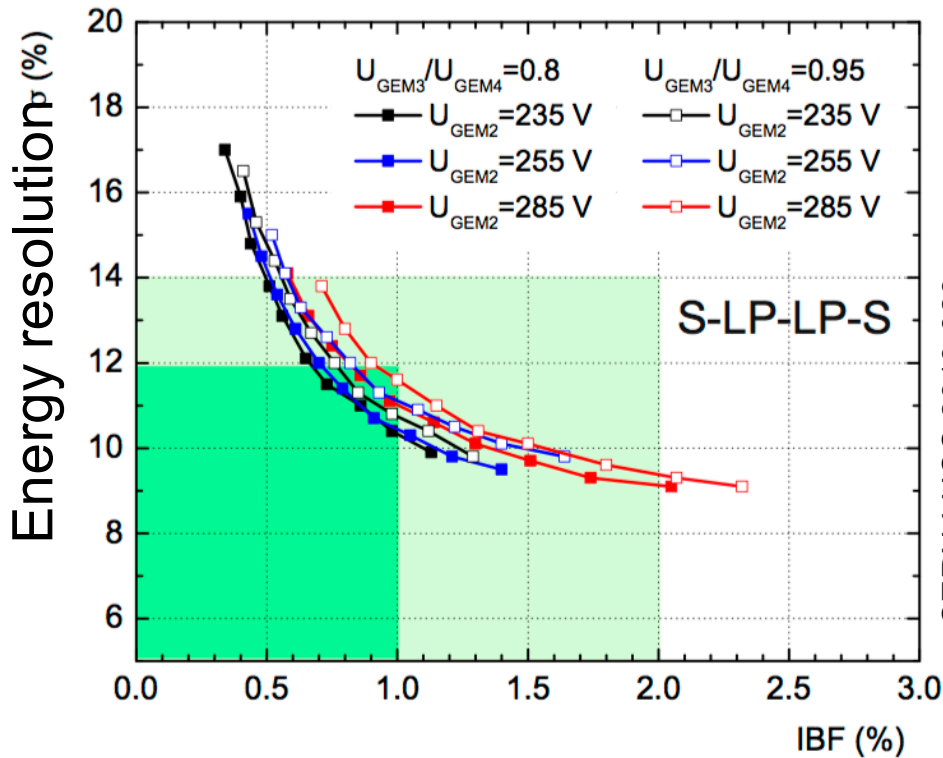
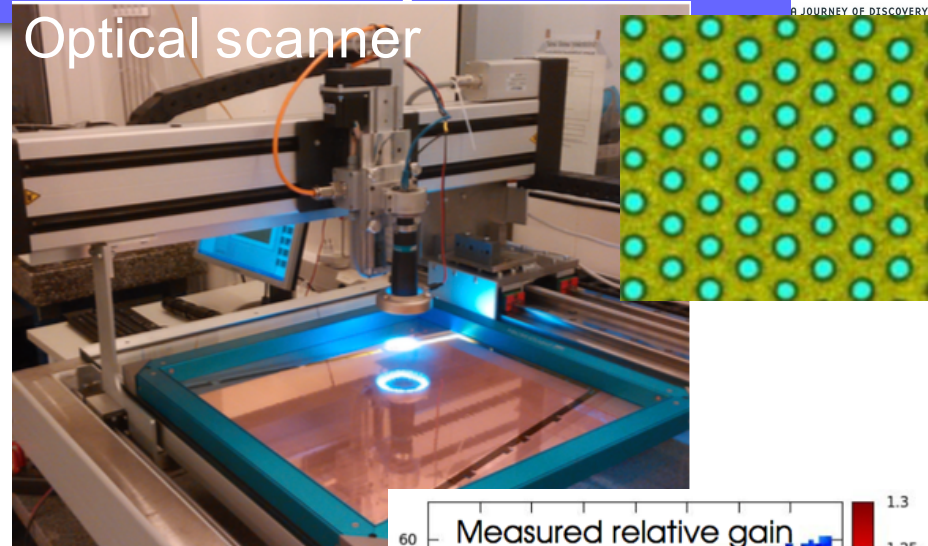
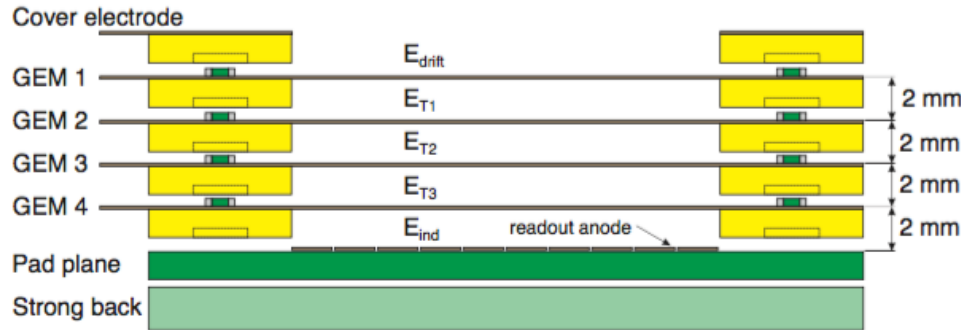
With GEM TPC continuous readout possible @Pb-Pb interaction rate:
50 kHz, preserving tracking, p resolution and PID capabilities

TPC TDR: CERN-LHCC-2012-012



R&D Work (and Pictures...)

To effectively reduce IBF a GEM stack is needed
 → 4 GEM scheme with optimization of holes



Pre-production
 to be launched
 after Summer
 2016

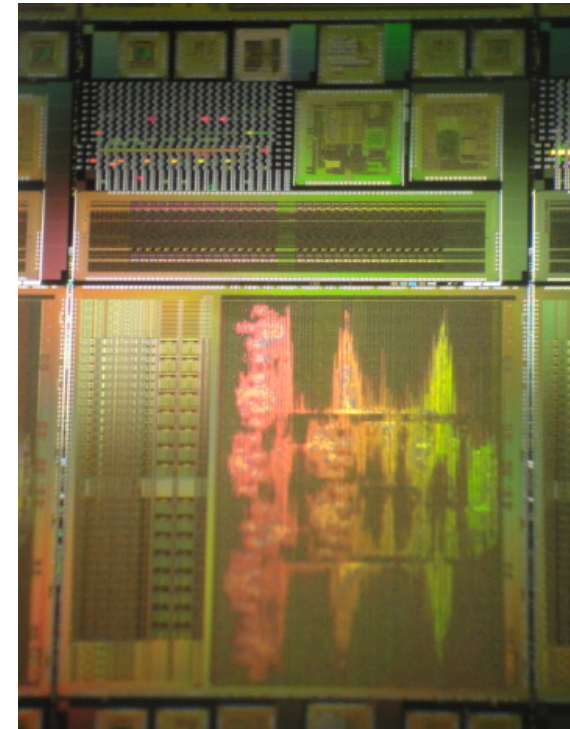
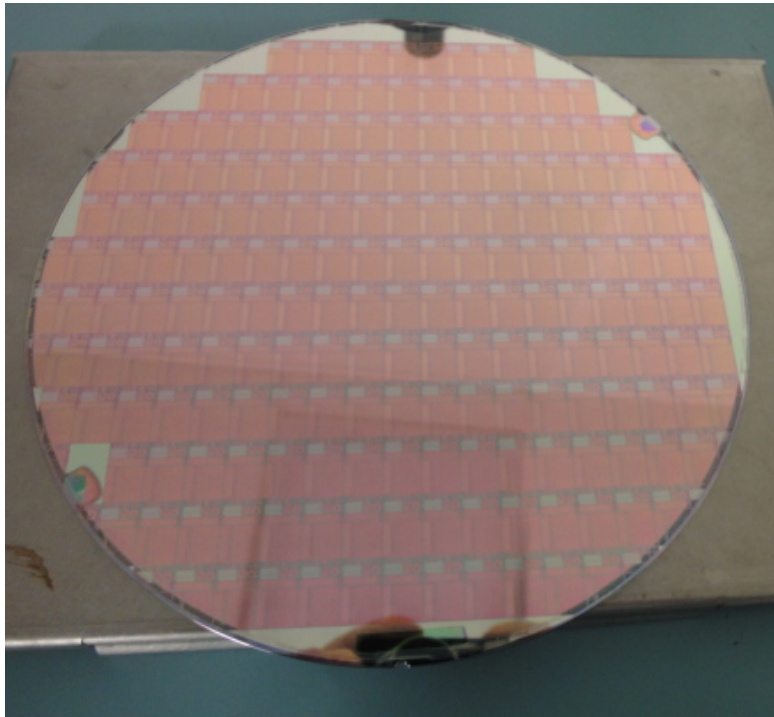
Read-out ASIC: SAMPA

- Integrate functionality of present preamplifier / shaper and ALTRO chip. To be used by TPC & Muon Chambers (MCH)

- Pos/neg. polarity
- continuous / triggered read-out

*Interest also e.g. from STAR
iTPC project*

- **MPW2 testing starting now!**



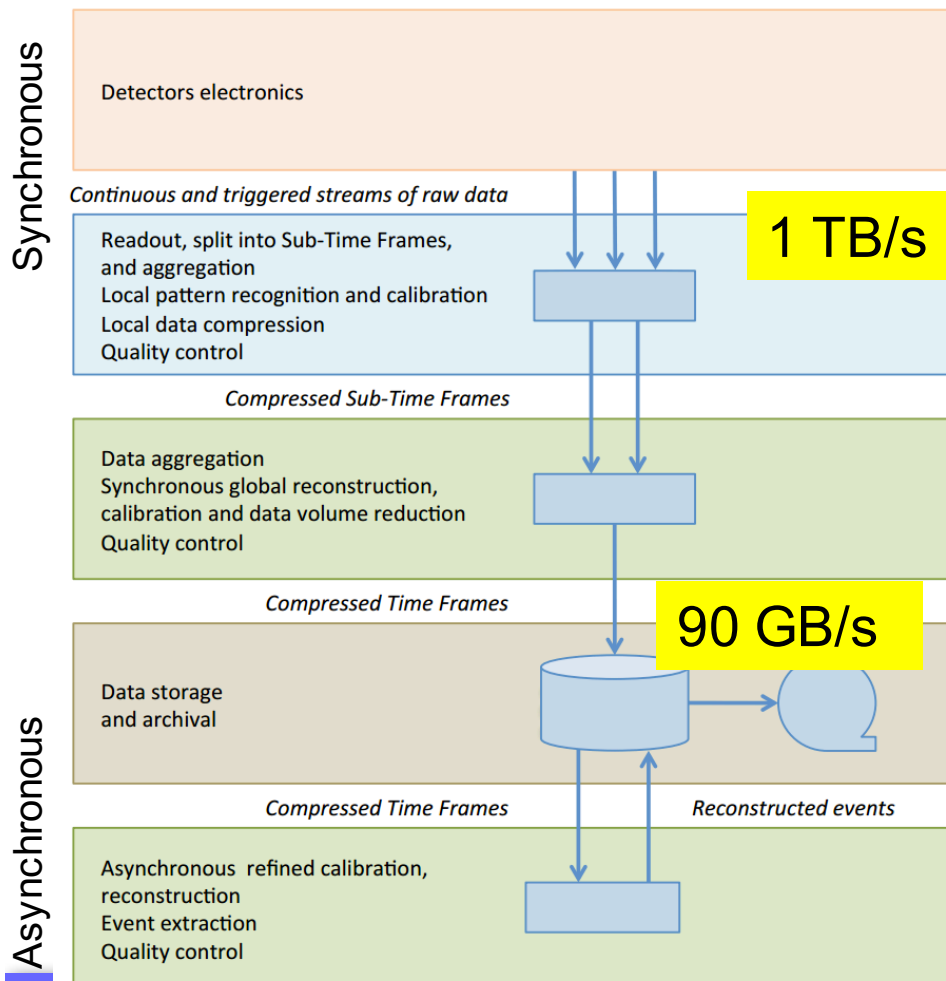
O²: Adapt Online & Offline

The challenges:

- Target luminosity implies 1 TB/s
- Data reduction is key
- Online calibration needed

The new infrastructure

- O²: new ALICE facility
- 100 k CPU cores
- 60 PB of storage



- Data transfer in continuous mode fashion or by using minimum bias trigger
- Local compression (i.e. for TPC cluster finding)
- Online calibration & global reconstruction replace original raw data with compressed data

The O² system will perform calibration and reconstruction **concurrently** with data taking

- Two reconstruction stages are carried out on the O² computing farm
 - first reconstruction stage (aimed at data reduction) online
 - second reconstruction stage (aimed at obtaining final performance) asynchronous
- Data bandwidth:

Detector	Input to Online System (GByte/s)	Peak Output to Local Data Storage (GByte/s)	Avg. output to computing center (GByte/s)
TPC	1000	50.0	8.0
TRD	81.5	10.0	1.6
ITS	40	10.0	1.6
Others	25	12.5	2.0
Total	1146.5	82.5	13.2

- LHC luminosity variation during fill and efficiency taken into account for average output to computing center

Expected performance



- Reduction of uncertainties for charm
- Separation between beauty and charm
- Full reconstruction of B decays
- Measurements of heavy-flavor baryons
- Low mass di-leptons: e^+e^- (barrel) and $\mu^+\mu^-$ (MFT)
- $\psi(2S) \rightarrow$ discrimination between models of recombination
- and more.... (light nuclei)

All results with $\mathcal{L}_{\text{int}}=10 \text{ nb}^{-1}$
achievable only via the five
joint ALICE upgrade projects



CERN-LHCC-2013-024



CERN-LHCC-2012-012



CERN-LHCC-2015-021

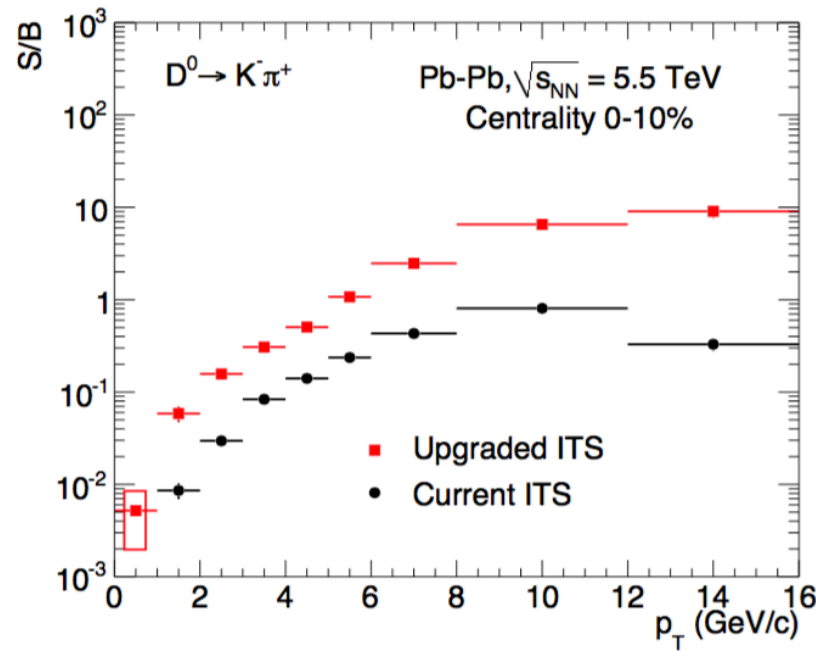
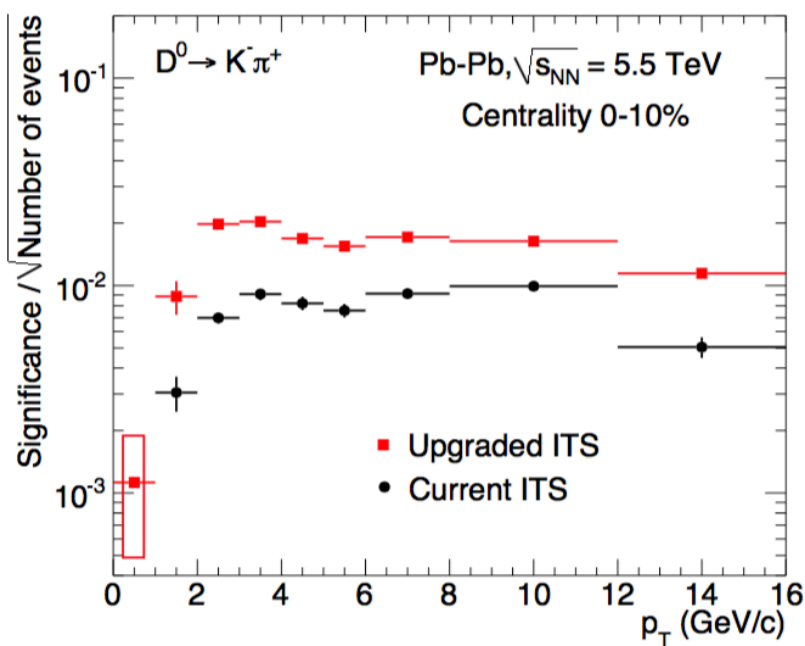


CERN-LHCC-2013-019



CERN-LHCC-2015-006

D⁰ as reference candle for charm



Background down by factor 5

For $\mathcal{L}_{int} = 10 \text{ nb}^{-1}$ number of events 8×10^9

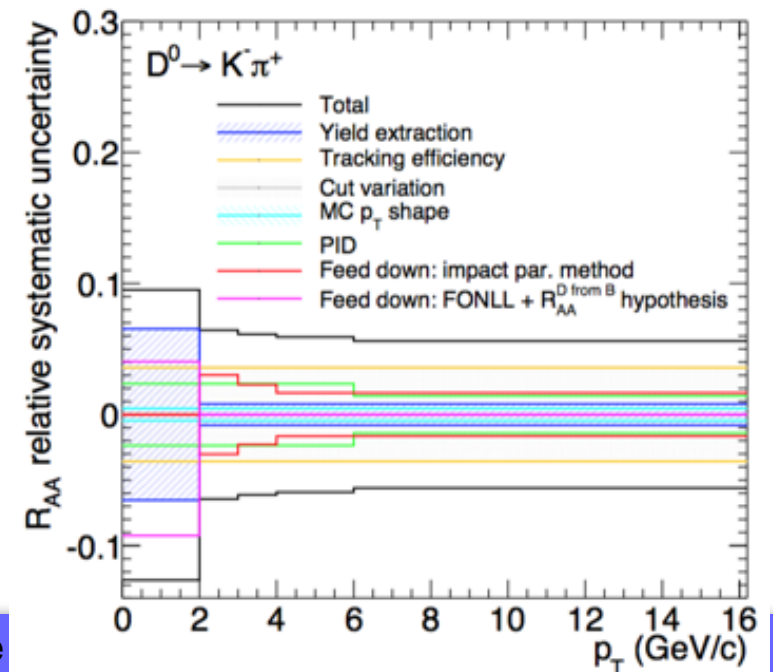
→ Significance 50 for $p_T < 1 \text{ GeV}/c$ (and 1000 at high p_T)

Systematics improvement due to:

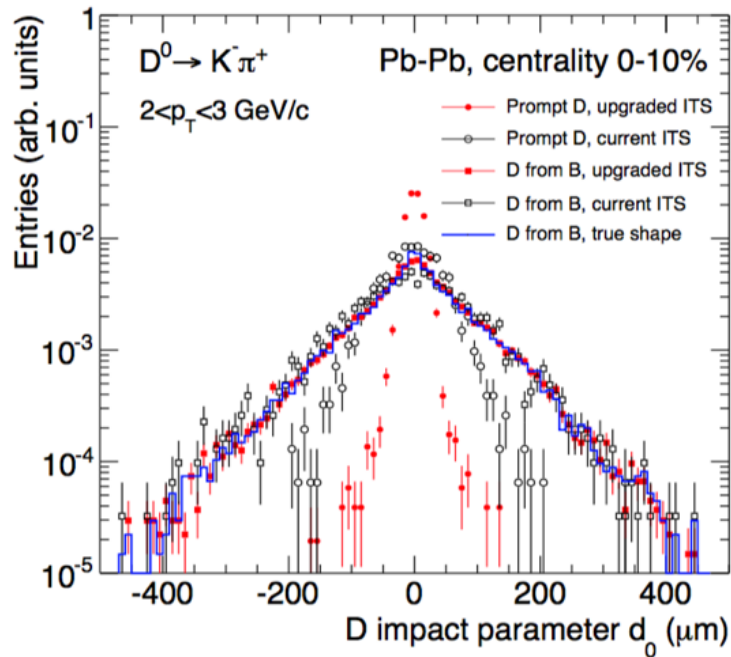
- Easier signal extraction
- "direct" feed-down correction →

Compare with current 12% in

JHEP 11(2015) 205! →



Separation between charm and beauty

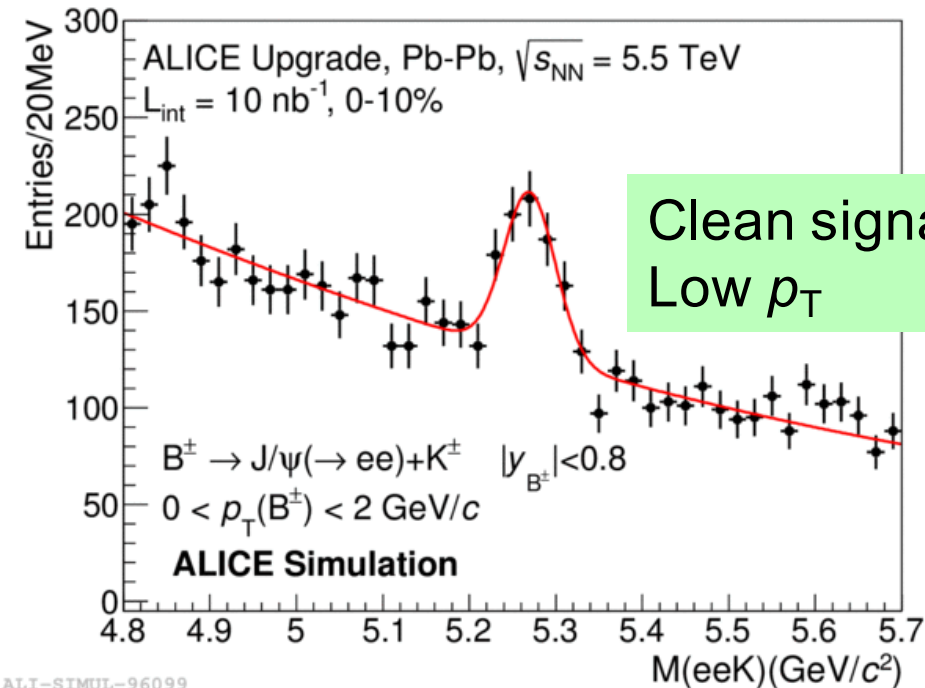
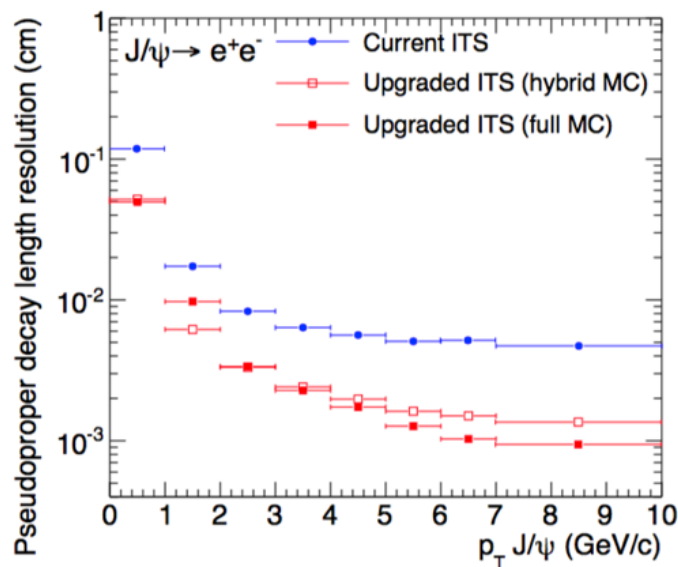


B.R. ($B \rightarrow D^0 + X$) $\sim 60\%$

B: $c\tau \sim 460\text{-}490 \mu\text{m} \rightarrow$ exploit DCA shape

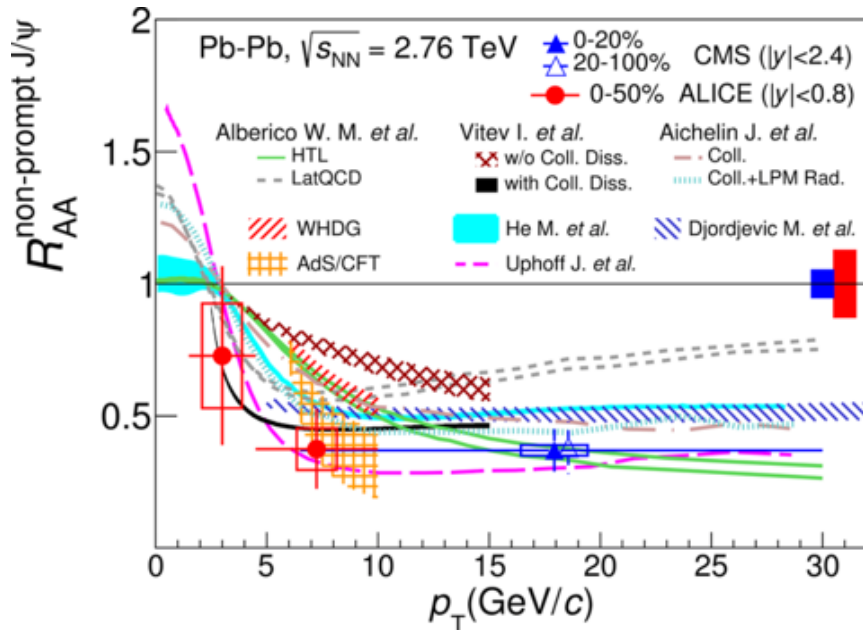
Upgraded ITS: resolution improves by factor 3
 \rightarrow Better separation of the two components

This is also valid for displaced J/ψ , e.g. for the study of $B \rightarrow J/\psi (\rightarrow e^+e^-) + K$



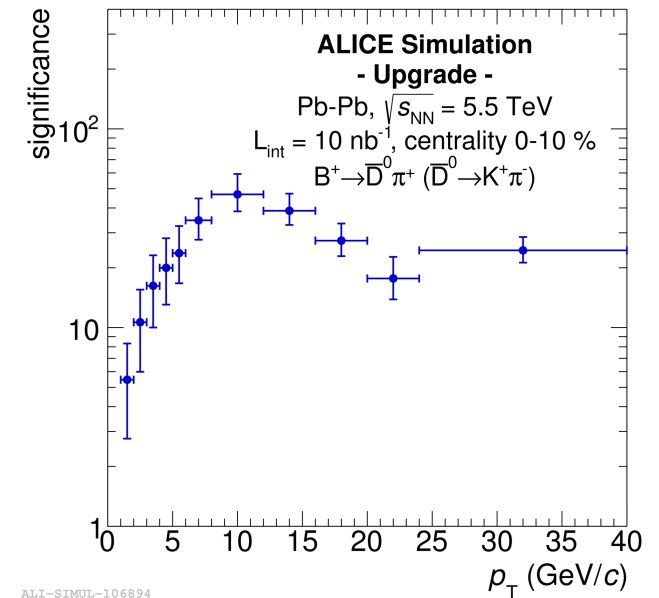
From run 1 to run 3-4: beauty

What can we see? JHEP 07 (2015) 051

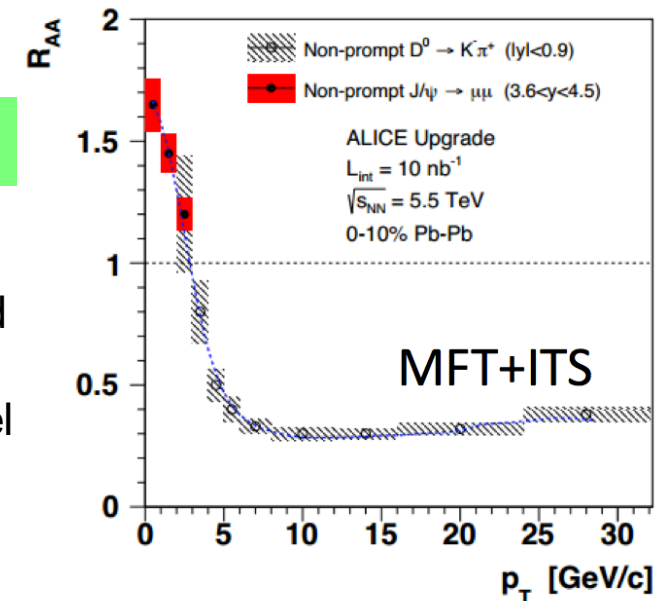


Upgraded ITS $|\eta| < 0.9$

- New channel opened (B) + much larger precision on R_{AA} (both via displaced J/ψ and non prompt D^0)
- The study of non prompt J/ψ in $\mu^+\mu^-$ channel key tool to measure B down to zero p_T

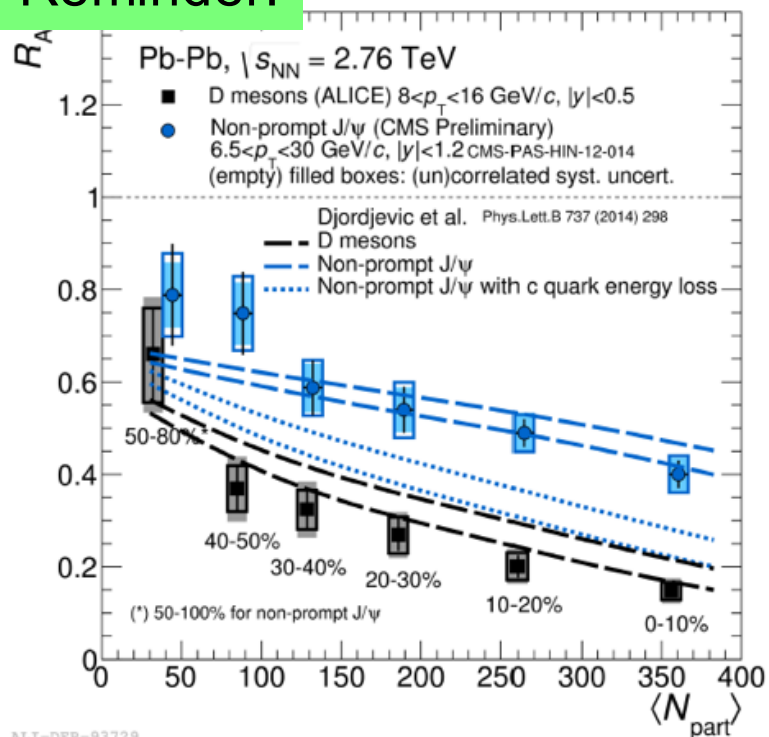


ALI-SIMUL-106894

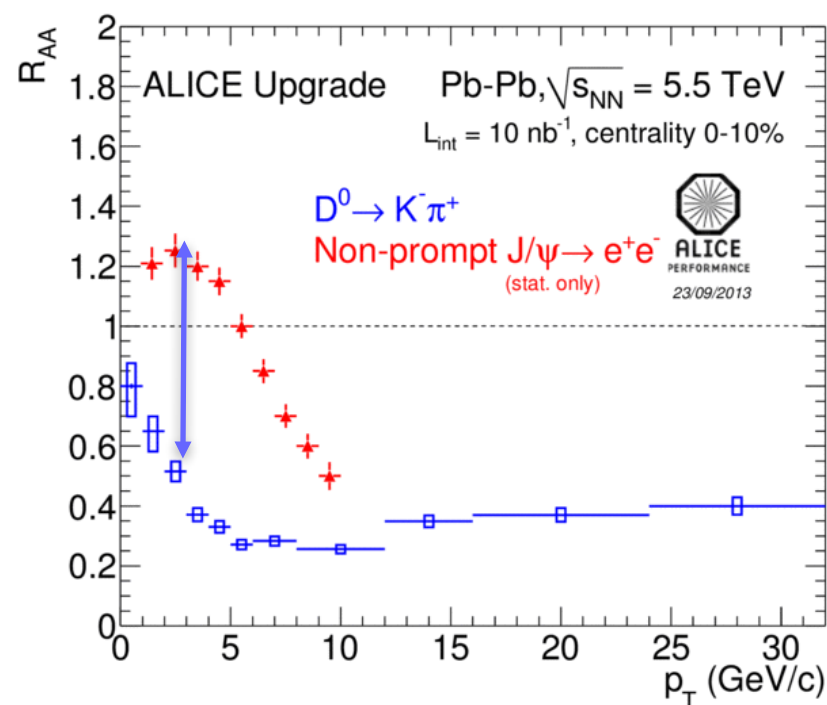


Beauty and charm energy loss

Reminder:



ALI-DER-93729



ALI-PERF-59950

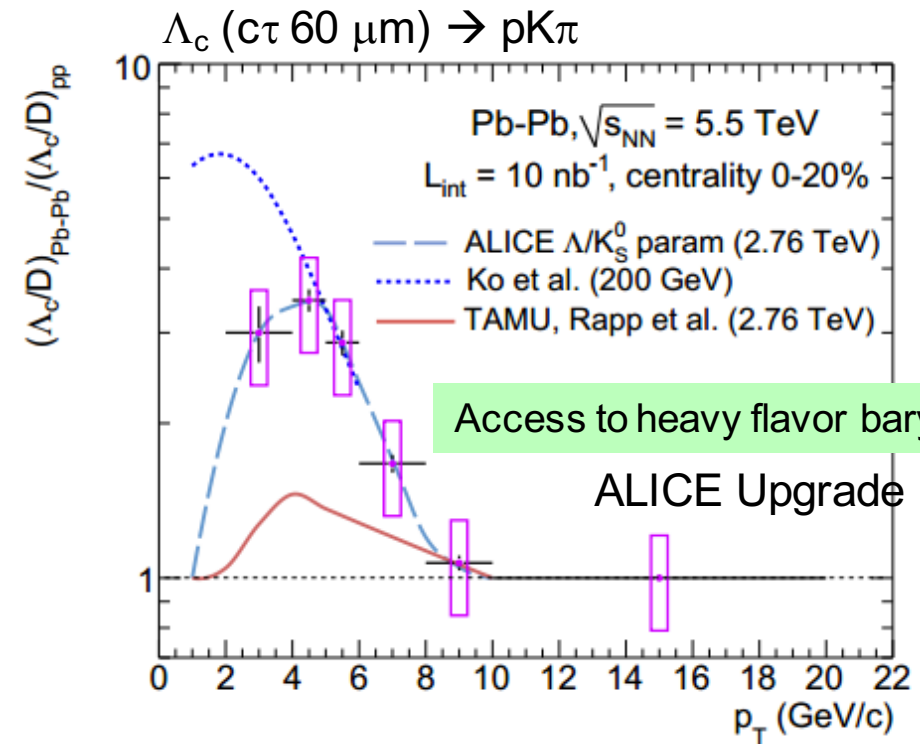
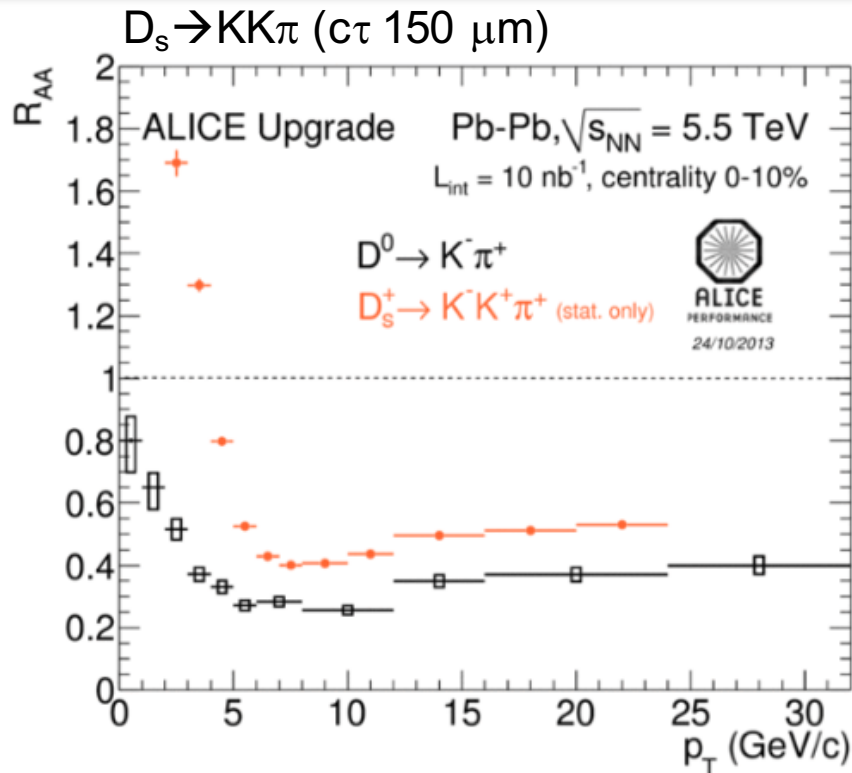
Move from “first clear indication of $\Delta E_c > \Delta E_b$ ” to test quantitative description as a function of p_T

Physics Letters B 519 (2001) 199–206

Heavy-quark colorimetry of QCD matter

Yu.L. Dokshitzer^a, D.E. Kharzeev^{a,b}

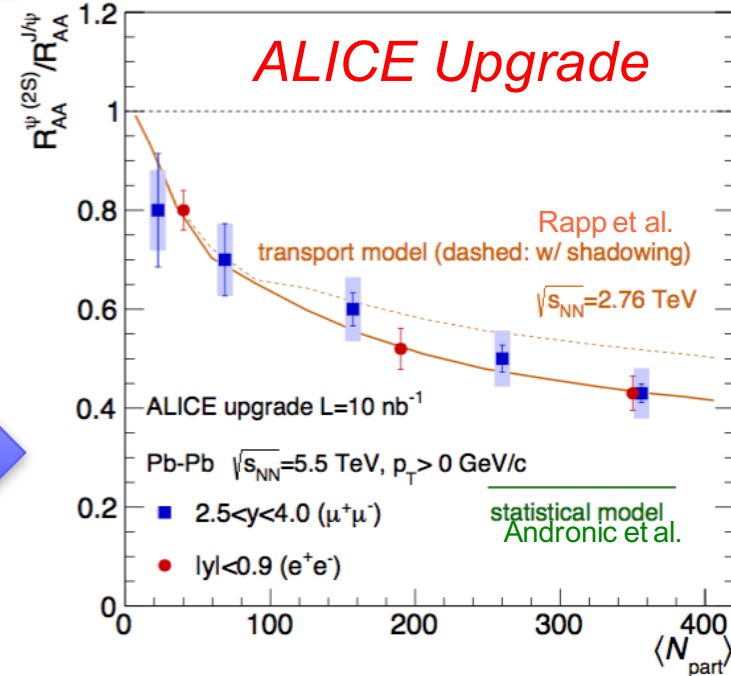
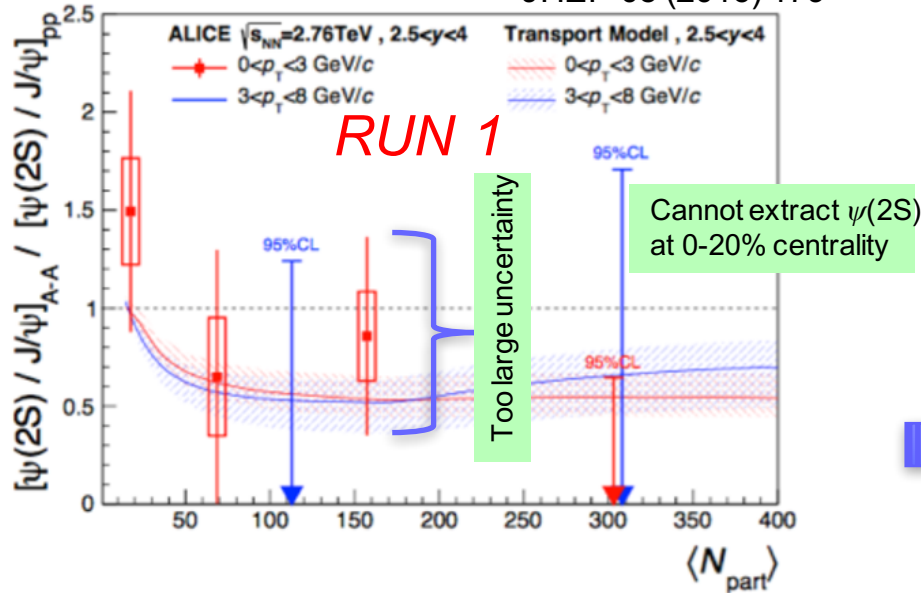




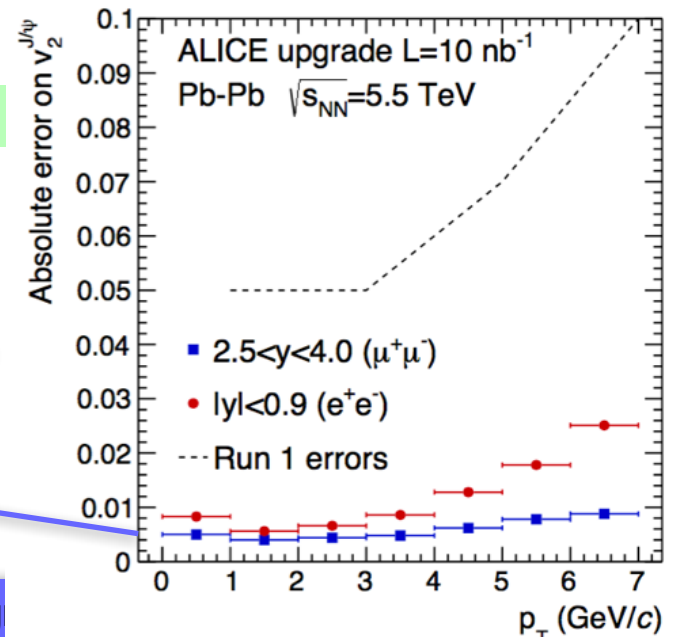
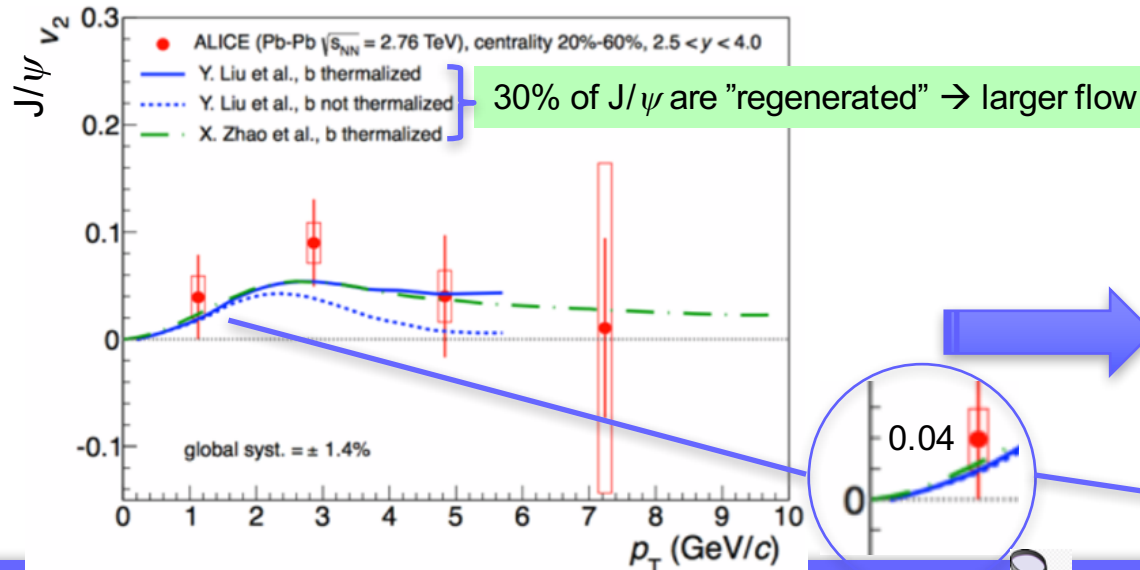
- In QGP higher s abundance $\rightarrow D_s$ enhanced if charm hadrons form predominantly in in-medium hadronization (Rafelski et al., Rapp et al.)
- Λ_c for the first time accessible in Pb-Pb.
- Discrimination among different models of hadronization (thermal vs coalescence). In-medium recombination $\rightarrow \Lambda_c/D^0$ increases at intermediate momenta (Ko et al., Rapp et al., Greco et al.)

Charmonium: deconfined charm quarks in the QGP phase?

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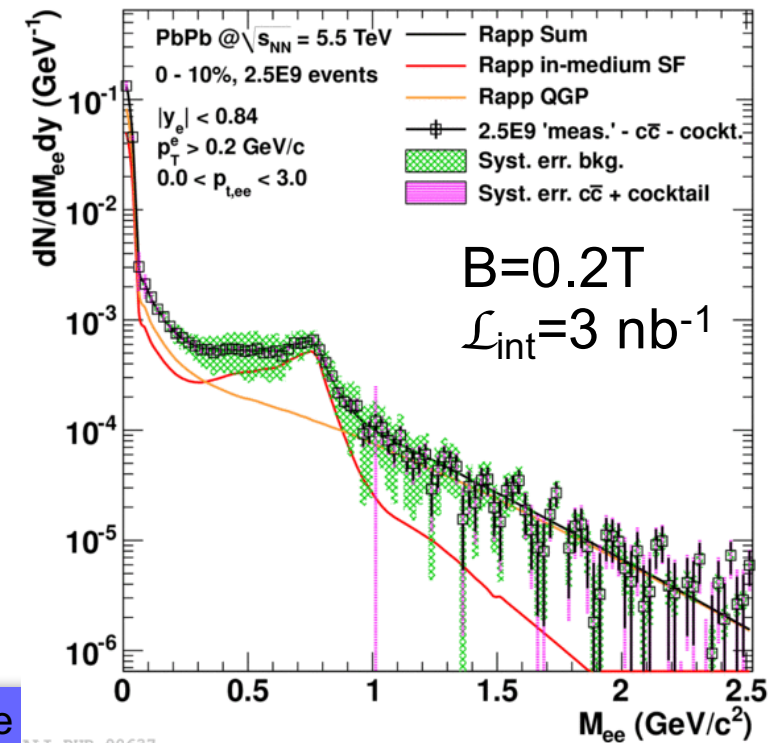
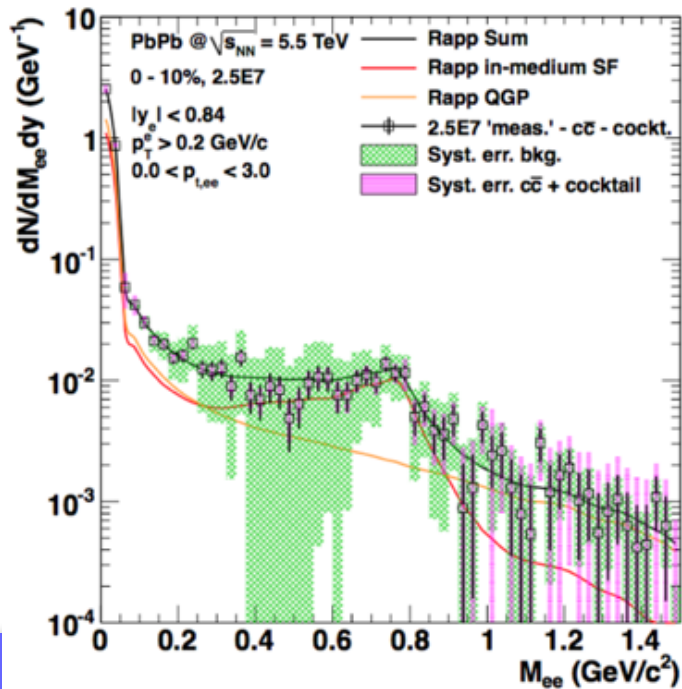
PRL 111, 162301 (2013)



Low mass di-leptons

- Dileptons carry temperature information from the initial phase
- Temperature can be extracted via $\frac{dN}{dM_{ee}} \propto \exp\left(-M_{ee}/T_{fit}\right)$
- Statistical error on the slope $< 10\%$ for M_{ee}
- ITS and MUON+MFT enable M_{ee} and $M_{\mu\mu}$ studies
- ITS reduced thickness reduces conversion probability
- High rate + low B \rightarrow increase electron acceptance / use PID
- ITS/MFT spatial resolution \rightarrow reject charm decays

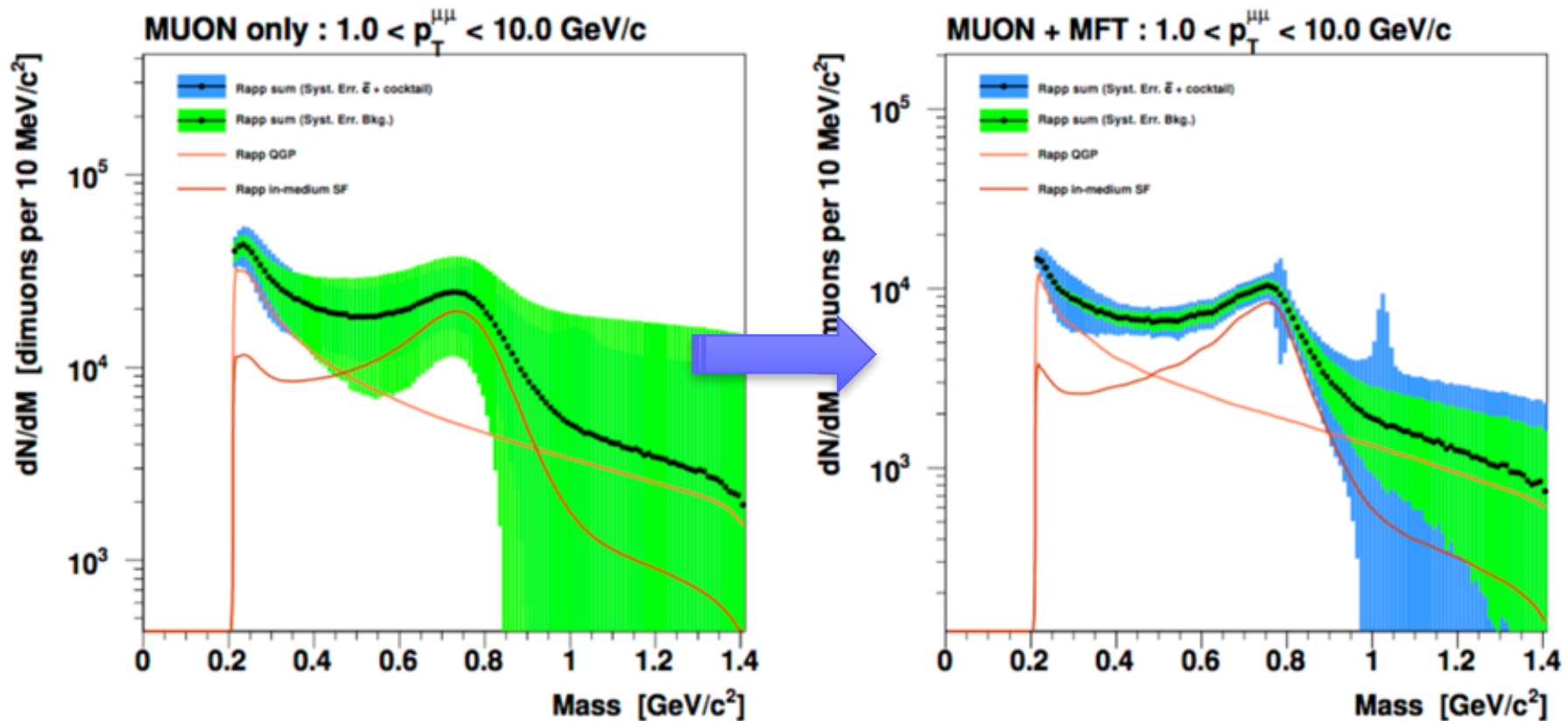
Ref. Model: Rapp et al., NPA 806 333 (2008)



Low mass di-leptons

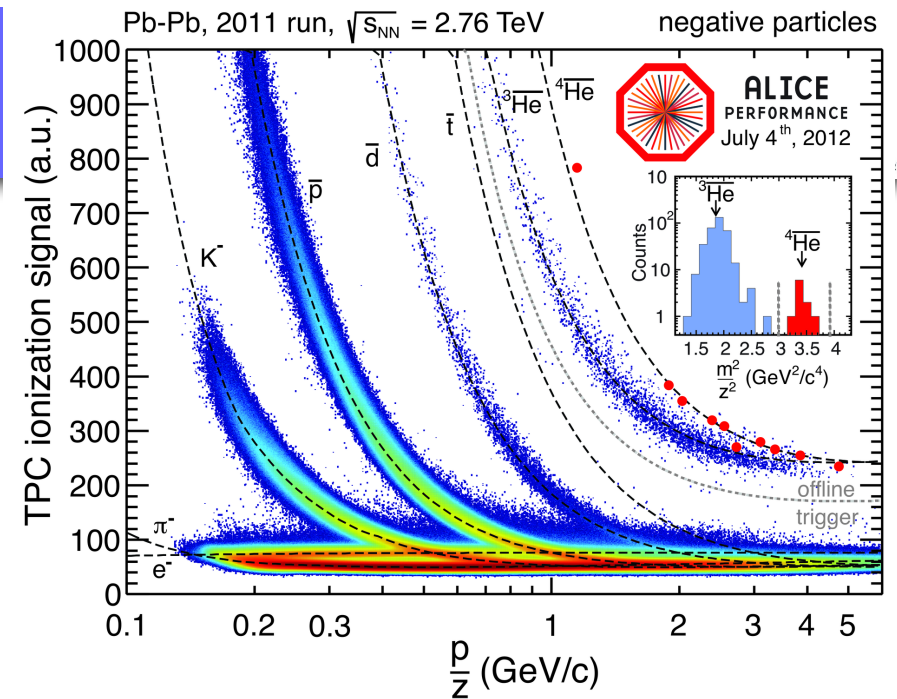
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Hyper-Nuclei

- (anti-)(hyper-)nuclei are rarely produced: each additional nucleon leads to reduction of the yield by a factor of about 300!
- 10 anti-alpha candidates were detected in LHC Run 1.
- All the physics which is done in Run1 and Run2 for $A = 2$ and $A = 3$ (hyper-)nuclei will be done for $A = 4$ in Run3.

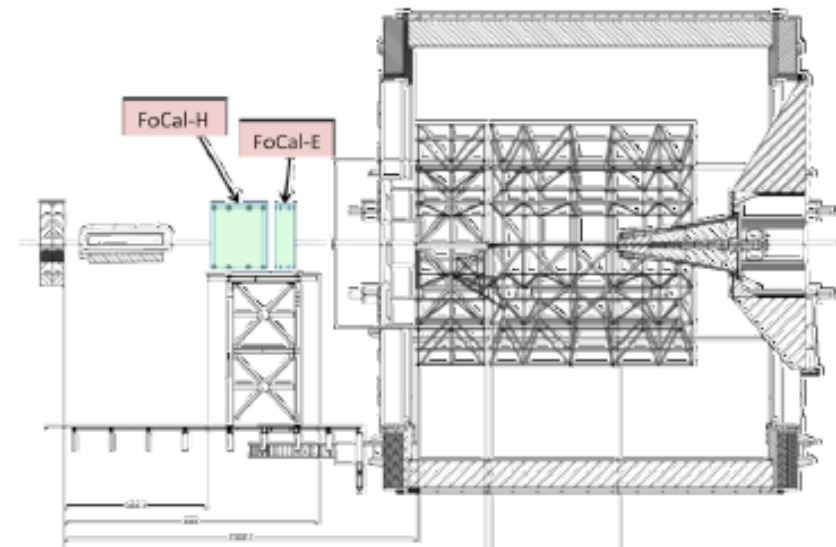
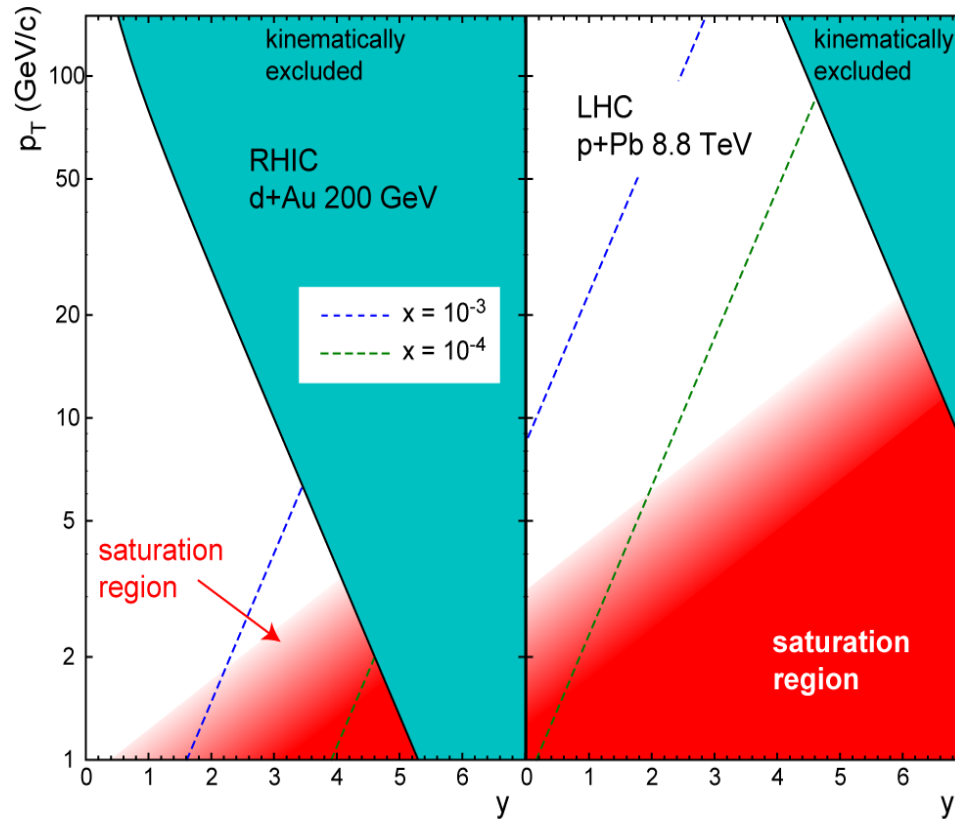


ALI-PERF-36713

Particle	Yield
Anti-alpha ${}^4\bar{\text{He}}$	3.0×10^4
Anti-hypertriton ${}^3_{\Lambda}\bar{\text{H}}$ ($\bar{\Lambda}\bar{p}\bar{n}$)	3.0×10^5
${}^4_{\Lambda}\bar{\text{H}}$ ($\bar{\Lambda}\bar{p}\bar{n}\bar{n}$)	8.0×10^2
${}^5_{\Lambda}\bar{\text{H}}$ ($\bar{\Lambda}\bar{p}\bar{n}\bar{n}\bar{n}$)	3.0
${}^4_{\Lambda\bar{\Lambda}}\bar{\text{H}}$ ($\bar{\Lambda}\bar{\Lambda}\bar{p}\bar{n}$)	3.4×10^1
${}^5_{\Lambda\bar{\Lambda}}\bar{\text{H}}$ ($\bar{\Lambda}\bar{\Lambda}\bar{p}\bar{n}\bar{n}$)	0.2

Particle yields (including reconstruction efficiency) for 10^{10} central Pb-Pb collisions from ALICE upgrade LOI.

LS3: FoCal?



Flagship measurements of direct γ R_{pA} (and R_{AA}) at forward rapidities $\eta \sim 3-5$

Low x (large y): large gluon density
 Gluon density also increases with A , and with beam energy



New regime at LHC:
 Strong fields, particularly at large rapidities

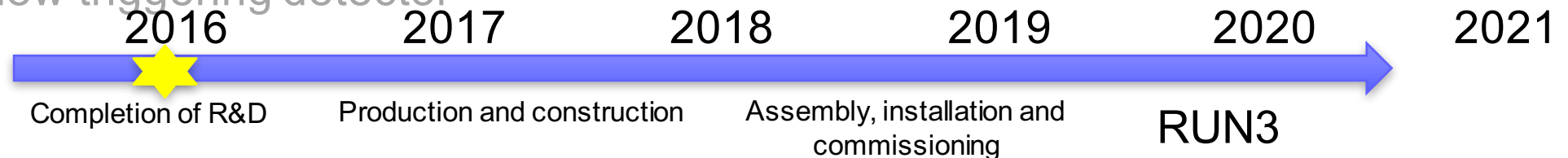
Forward Calorimeter proposal (γ, π^0 measurements) under internal discussion

Summary

ALICE has a comprehensive upgrade programme starting to move into its implementation phase

LS2 (2019-2020) is a major ALICE upgrade:

- Improved tracking precision (ITS+MFT) at low p_T mid/fwd y
- Readout at 50 kHz in Pb-Pb via TPC (+ readout upgrade)
- New paradigm for Online/Offline operations
- New triggering detector



- It will extend ALICE life cycle well beyond 2020. First Pb-Pb physics data in 5 years from now.
- The upgrade strategy is oriented **to explore low and intermediate p_T observables** to study QGP properties in particular using **heavy flavor probes** and **invariant mass di-leptons**
- The ALICE upgrade physics programme is **unique and complementary** (so... unique strengths!) with respect to other observables studied by other LHC detectors.