

# Missing\* Energy and Searches in CMS

\* Transverse

- **Motivation / Introduction**
- **Reconstruction methods**
- **Challenges in applications**
- **Outlook**



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**UNIVERSITY**

## ► Three quarters with missing transverse energy (MET)

### Signatures [edit]

#### Final State Configuration

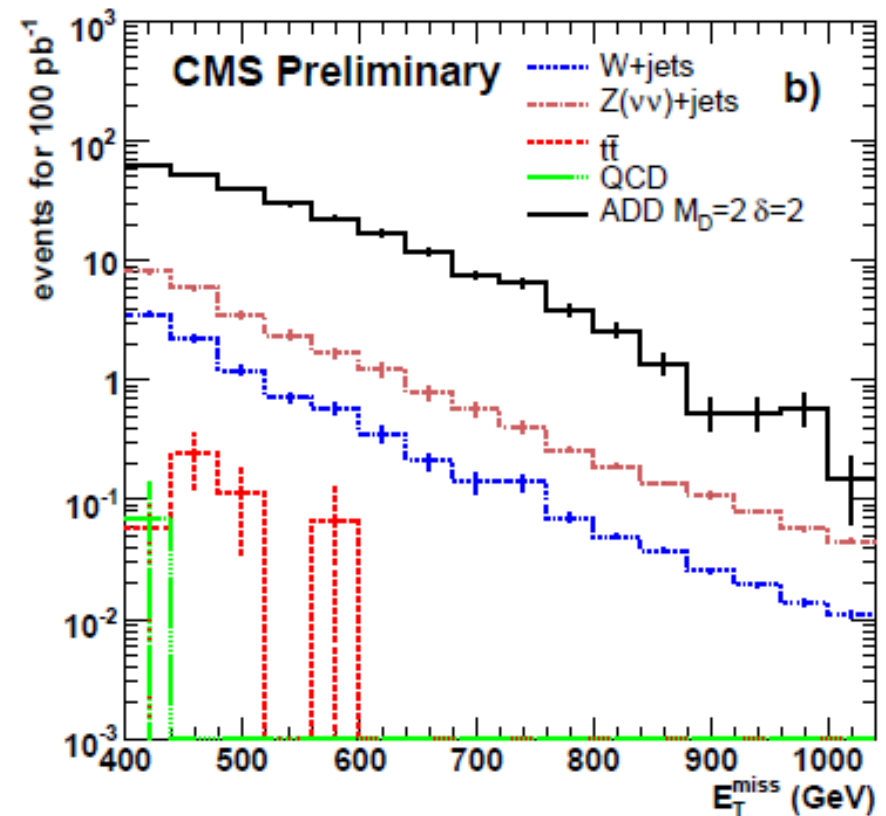
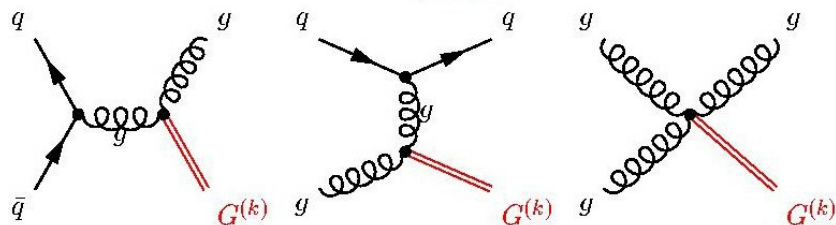
The following signatures refer to specific final state configurations that can, in principle, be observed at the LHC. If you are adding a signature, please choose a meaningful page name, and clearly indicates the signature (e.g. m jets / n leptons / MET). The database software does not like '+' symbols in the page-names, so avoid names like (m jets + n leptons), etc. Additionally, you may provide a short description of the signature.

1. Displaced Vertices
2. Stable Charged Tracks
3. multi b-jets / 2 tau leptons / 2 charged tracks
4. multi b-jets / 1 tau lepton / MET
5. multi-jets / 2 b-jets / 2 SS leptons / MET
6. 2 jets / 2 OS tau leptons / MET
7. 0 hard jets / 2 OSDF leptons / MET
8. 0 hard jets / 3 leptons / MET (jet  $p_T < 30$  GeV)
9. 0 hard jets / 4 leptons / MET
10. 4 jets / multi-resonance
11. 4 tau leptons
12. 4 e/mu leptons
13. 2 OSSF leptons / MET
14. 2 OSSF lepton pairs
15. 2 OSDF leptons / MET
16. multi-jets / 0 leptons / MET
17. multi-jets / 1 leptons / MET
18. multi-jets / 2 SS leptons / MET
19. multi-jets / 2 OS leptons / MET
20. multi-jets / 3 leptons / MET
21. 2 jets / 2 OS leptons / MET
22. 2 jets / 2 b-jets / 1 lepton / MET
23. 2 jets / 3 leptons / MET
24. 2 jets / 4 leptons
25. 2 jets / MET
26. 1 photon / MET
27. 2 photons / MET
28. 2 photons / 2 leptons / MET
29. 2 photons / 1 jet / 2 leptons / MET
30. 2 photons / 4 jets / MET
31. 4 photons
32. 2 top-jets / 2 charm-jets / MET
33. 2 top-jets / 2 b-jets / 2 SS leptons / MET
34. 4 b-jets / 2 SS leptons / MET
35. 4 b-jets / 4 leptons / MET
36. 4 b-jets / 1 lepton / MET
37. 3 b-jets / 1 lepton / MET
38. 2 b-jets / 1 lepton / MET
39. 2 b-jets / 3 leptons / MET
40. 1 b-jet / OSSF leptons

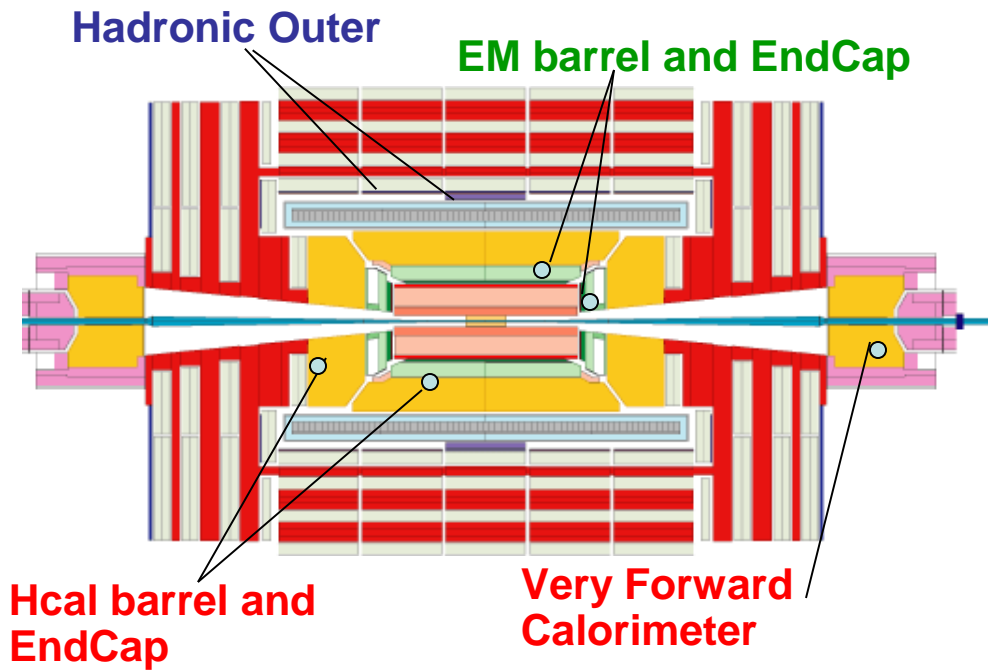
<http://lhcsigs.physics.lsa.umich.edu>

# Introduction

- ▶ Many models of new physics introduce particles that escape undetected, leading to apparent energy-momentum non-conservation → missing transverse energy (MET)
- ▶ Standard Model missing energy “small” in comparison
- ▶ Experimental challenges
  - Understand instrumental backgrounds (mismeasurements, “QCD”)
  - For desired resolution, need entire detector (jets, unclustered energy, electrons, muons, taus, ...)
  - Control energy resolution over wide range, including low energy
  - Understand tails



ADD Large Extra Dimensions



## EM calorimeter $|\eta| < 3$ :

PbWO<sub>4</sub> crystals

1 longitudinal section + PS  $1.1 \lambda$

$$\Delta\eta \times \Delta\phi = 0.0174 \times 0.0174$$

## Central Hadronic $|\eta| < 1.7$ :

Brass/scintillator

2 + 1 (Hadron Outer) long. sections

$$5.9 + 3.9 \lambda (|\eta| = 0)$$

$$\Delta\eta \times \Delta\phi = 0.087 \times 0.087$$

## Forward calorimeter $2.9 < |\eta| < 5$ :

Fe/quartz fibers

$$\Delta\eta \times \Delta\phi = \sim 0.175 \times 0.17$$

## Endcap Hadronic $1.3 < |\eta| < 3$ :

Brass/scintillator + WLS

2/3 longitudinal sections  $10\lambda$

$$\Delta\eta \times \Delta\phi = \sim 0.15 \times 0.17$$

# Missing Transverse Energy

▶ Definition 
$$\vec{E}_T = - \sum^n (E_n \sin \theta_n \cos \phi_n \hat{\mathbf{i}} + E_n \sin \theta_n \sin \phi_n \hat{\mathbf{j}}) = E_x \hat{\mathbf{i}} + E_y \hat{\mathbf{j}}$$

▶ Sum over calibrated energy deposits in semi-projective calorimeter towers

▶ Apply corrections *a posteriori*

▶ MET resolution 
$$\sigma(E_T) = A \oplus B \sqrt{\sum E_T - D} \oplus C (\sum E_T - D)$$

A = “Noise”

B = “Stochastic”

C = “Constant” Term

D = “Offset”

▶ Important considerations

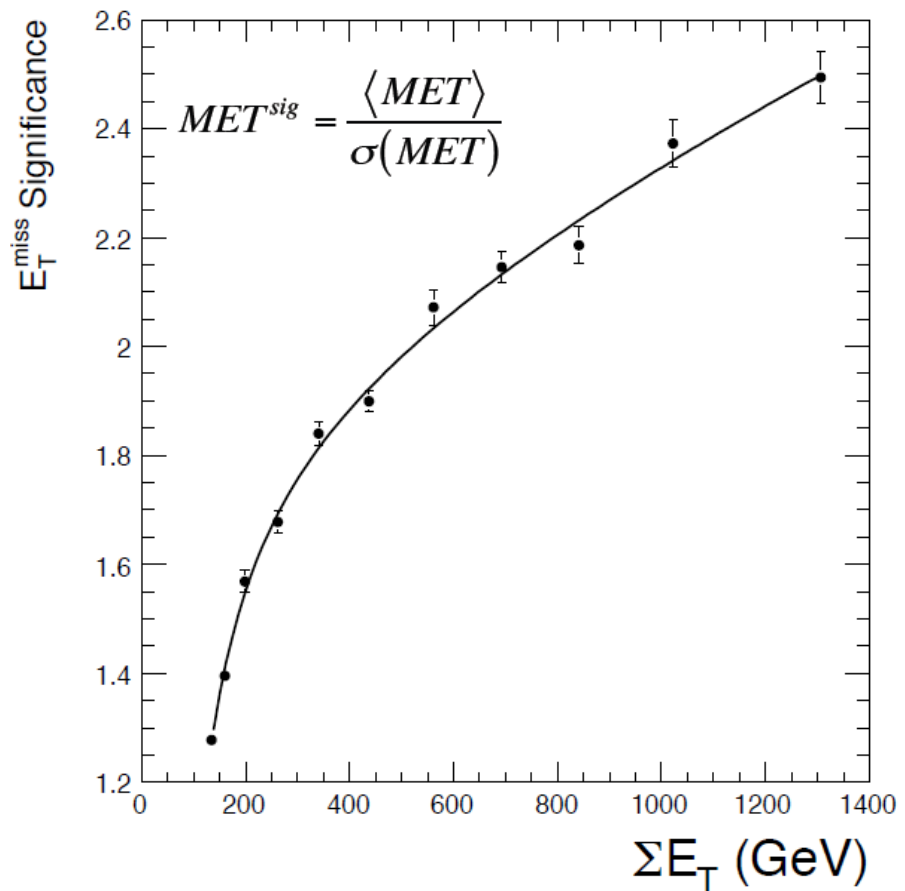
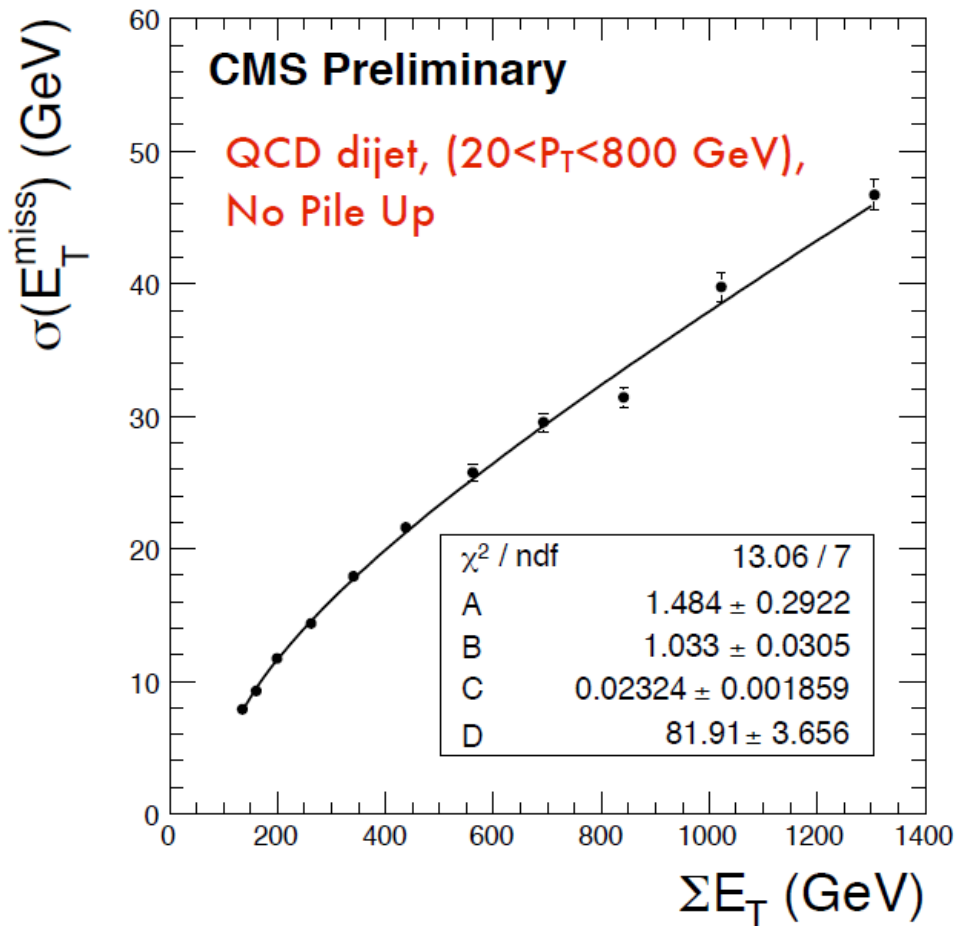
- A: Electronic noise
- A: Pile-up and underlying event
- A: High magnetic field (sweeps out low pt particles)
- B: Good hermetic coverage, energy resolution
- B: (Non-)compensating calorimeter response
- C: Energy loss due to inactive material and punch through
- C: Other residual non-linearities
- D: Effects of noise and pile-up on scalar  $E_T$

# Performance

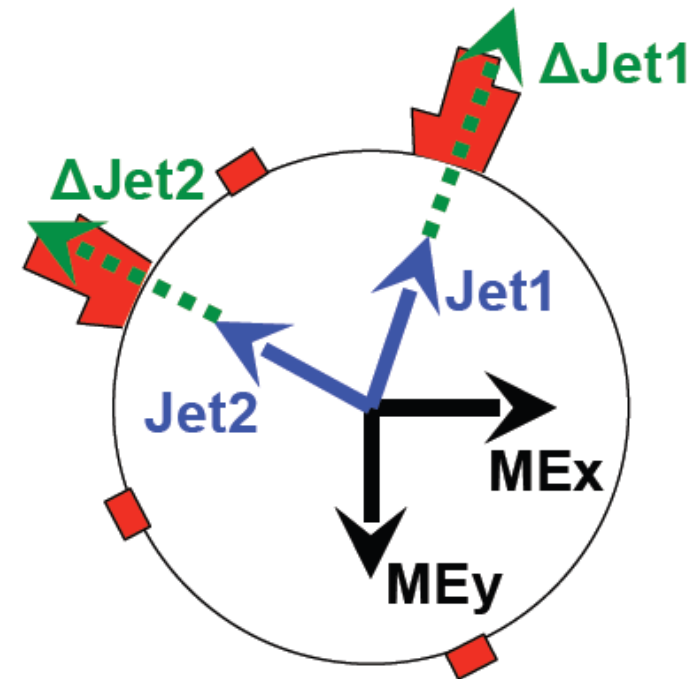


► MET resolution  $\sigma(\cancel{E}_T) = A \oplus B\sqrt{\Sigma E_T - D} \oplus C(\Sigma E_T - D)$

A = "Noise"      B = "Stochastic"      C = "Constant" Term      D = "Offset"

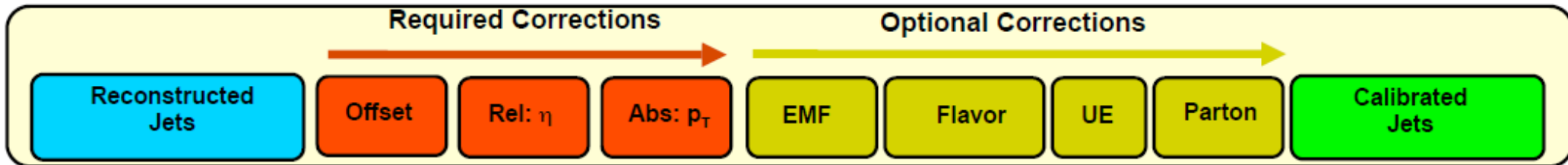


- ▶ Orders of magnitude in MET
  - “Nothing”
    - Drell-Yan, ...
  - Small / medium (20-100 GeV)
    - top, W, H, ...
  - Large (several 100 GeV)
    - supersymmetry, large extra dimensions, ...
- ▶ Corrections to achieve good performance in many topologies
  - Jet energy scale
  - $e, \mu, \tau$
  - Hot, dead, warm, ... channels
  - Vertex corrections



# Corrections: Jet Energy Scale

## ► Factorized multi-level jet corrections



- **Offset:** correct for pile-up and electronic noise (measure in zero-bias)
- **Relative ( $\eta$ ):** variations in jet response with eta
- **Absolute ( $p_T$ ):** correct to particle level jets
- **EMF:** variations in jet response with electromagnetic energy fraction
- **Flavor:** variations in jet response according to flavor (uds, c, b, gluon)
- **Underlying event**
- **Parton:** correct measured jet  $p_T$  to parton level

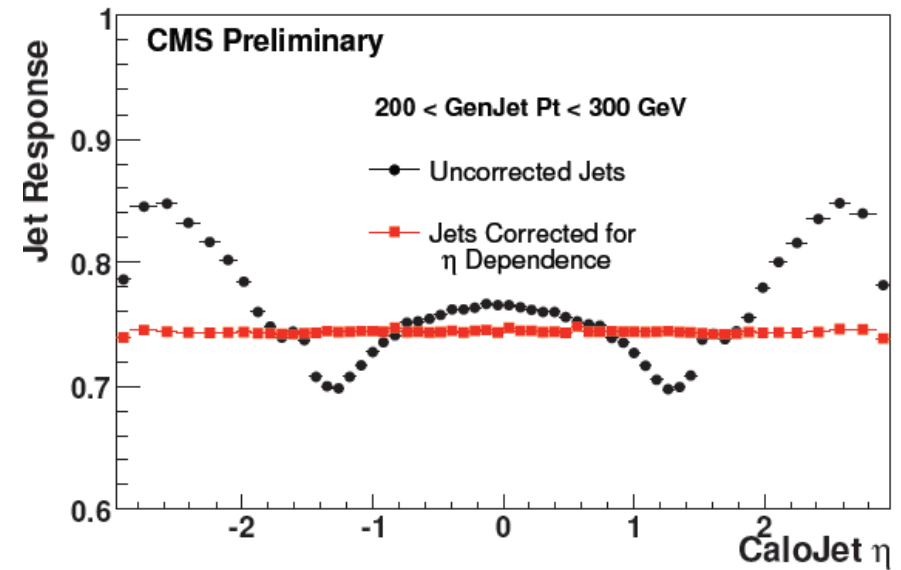
Derive from MC simulation tuned on testbeam data for now, use real data as soon as available



# Corrections: Jet Energy Scale

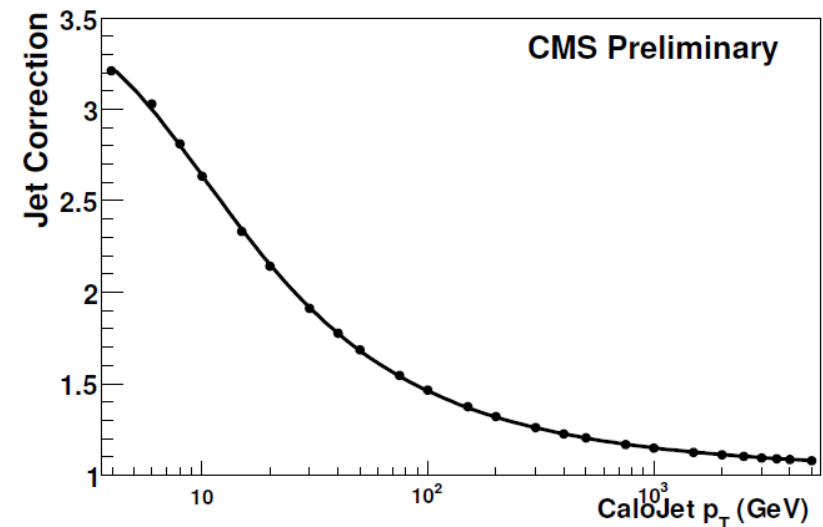
- ▶ Flatten jet response vs. eta
- ▶ Now MC-based, later data-driven (di-jet balance)

$$\text{Response} = p_T^{\text{CaloJet}} / p_T^{\text{GenJet}}$$



- ▶ Correct jet energy to particle level
- ▶ Now MC-based, later data-driven ( $p_T$  balance in  $\gamma$ +jet, Z+jet)

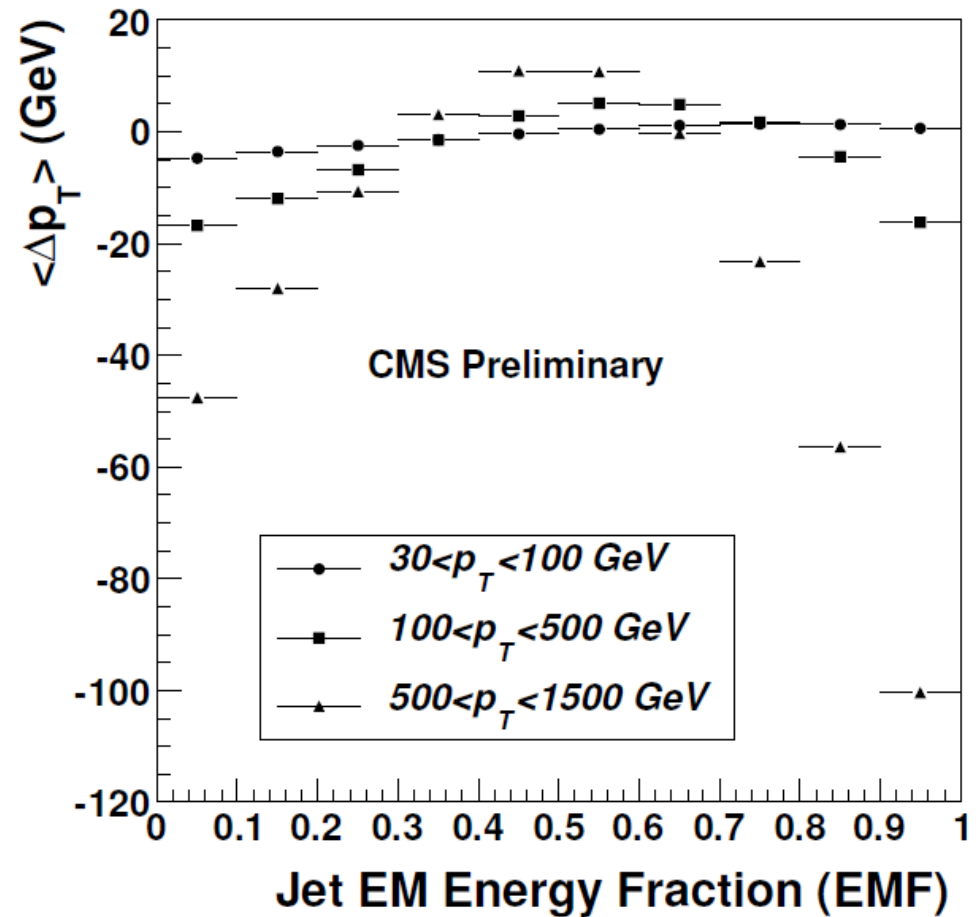
## Absolute Jet Correction vs. $p_T$ (CaloJet)



# Corrections: Jet Energy Scale

- Correct for variations in jet response as a function of electromagnetic energy fraction (non-compensating calorimeter,  $e/h \neq 1$ )

$$\vec{E}_T^{\text{corr}} = \vec{E}_T - \sum_{i=1}^{N_{\text{jets}}} [\vec{p}_{T_i}^{\text{corr}} - \vec{p}_{T_i}^{\text{raw}}]$$

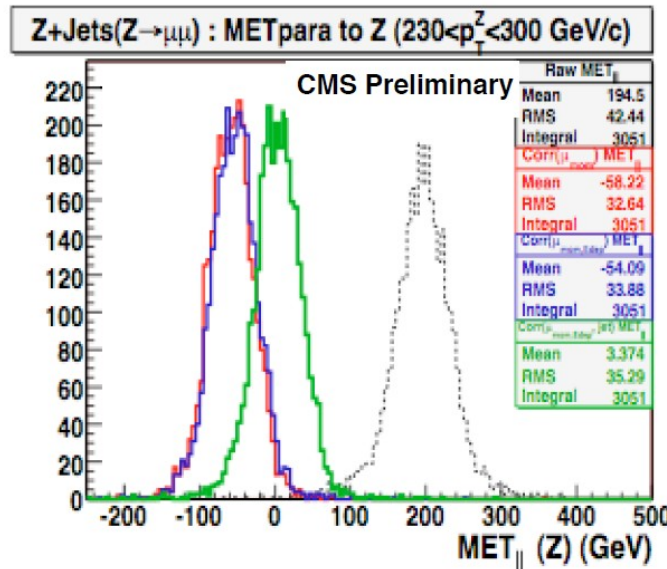
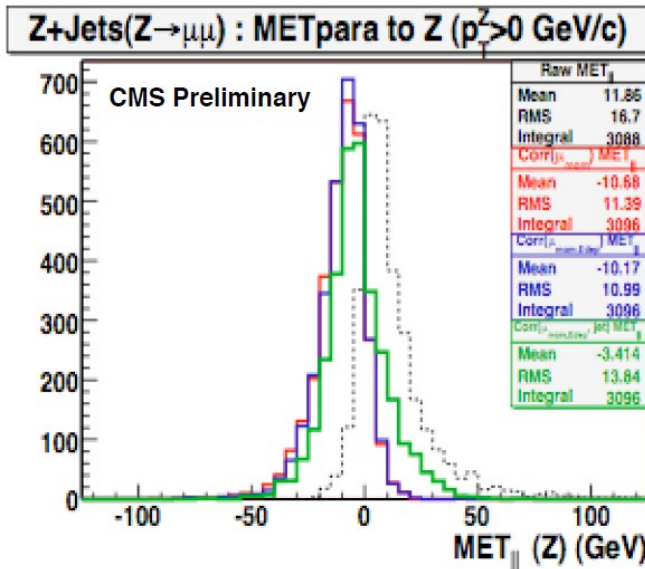


- Small (separate) correction for reconstructed electrons possible

# Corrections: Muons

- ▶ Muon leaves typically small deposit in calorimeters
- ▶ Correct using measurement in tracker and muon systems
- ▶ Also correct for muon energy deposition in calorimeters

$$\vec{E}_T = - \sum_{i=1}^{\text{towers}} \vec{E}_T^i - \sum_{\text{muons}} \vec{p}_T^\mu + \sum_{i=1}^{\text{deposit towers}} \vec{E}_T^i.$$



MET component  
Parallel to Z

+ muon correction

+ muon deposit

+ JES

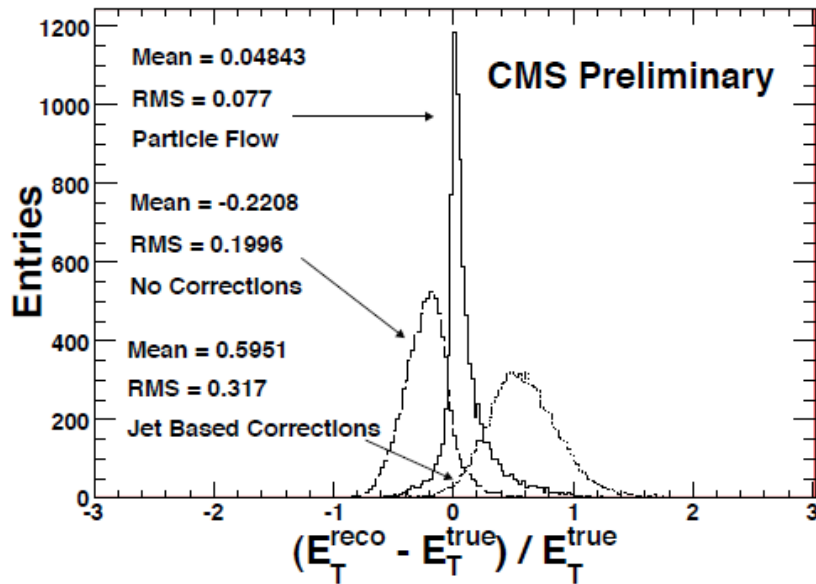
Note totally different p<sub>T</sub> dependent resolutions of muons and calorimeter objects – A handful “straight” muons reconstructed with O(TeV) can destroy new physics sensitivity (or fake a discovery)

# Corrections: Taus

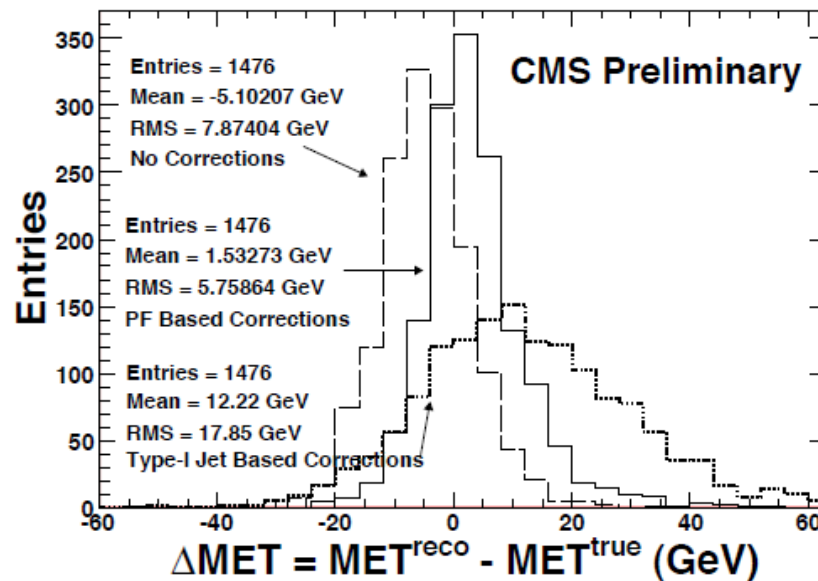
- ▶ Applying standard jet corrections to pencil-like hadronic  $\tau$  jets would lead to over-corrected MET
- ▶ Use particle flow algorithm (tracking + calorimeter) to correct for  $\tau$ 's

$$\Delta \vec{E}_T = \sum \vec{E}_T^{\text{cal jet } 0.5} - \vec{E}_T^{\text{PF } \tau}$$

Good tau energy reconstruction



Best bias and resolution after correction



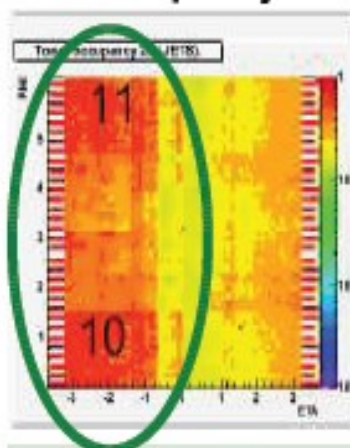
- ▶ Useful for analyses targeting  $\tau$ 's in the final state



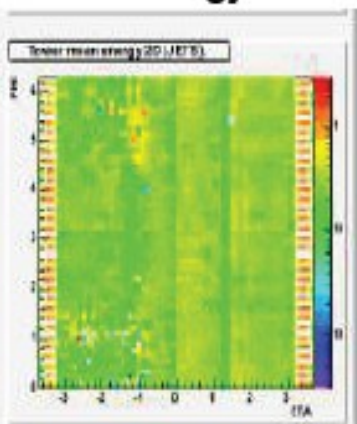
## Calorimeter “Noise”



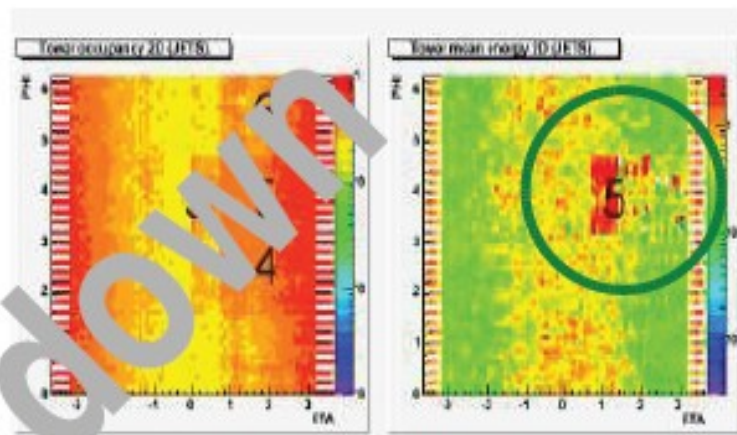
### Occupancy



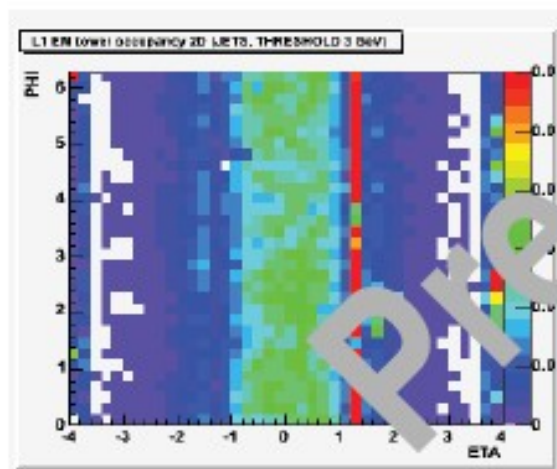
### Energy



### January/February – “Toroid Noise”



### July – “Noon Noise”



### August – “Welder Noise”

None of these has been observed after the end of the shutdown. Still have

- ~0.1Hz “Ring of Fire”
- Coherent noise
- ...



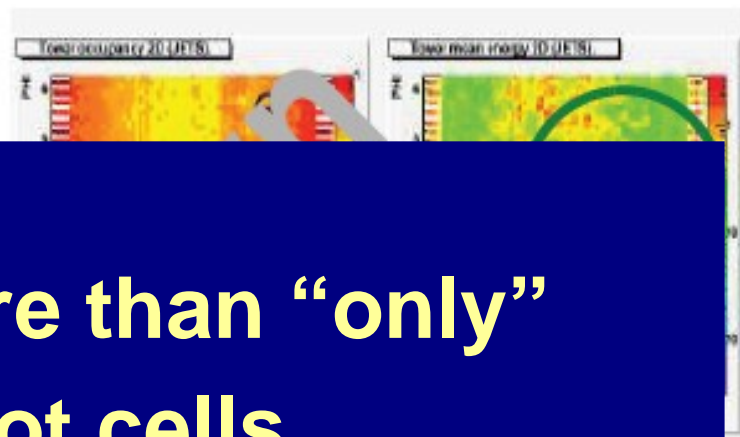
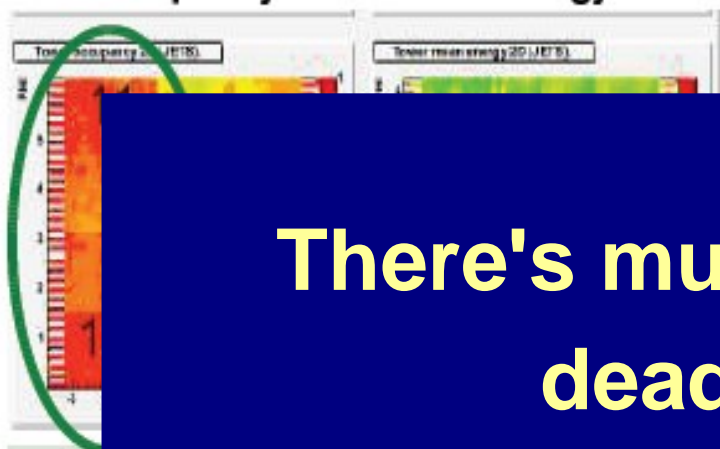


## Calorimeter "Noise"



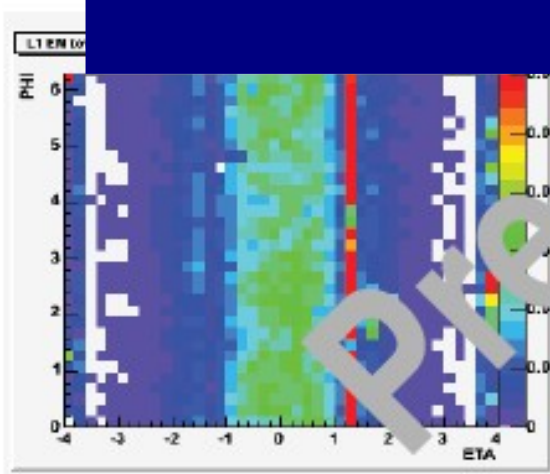
Occupancy

Energy



**There's much more than "only" dead and hot cells (but yes, tools are being developed...)**

Jan

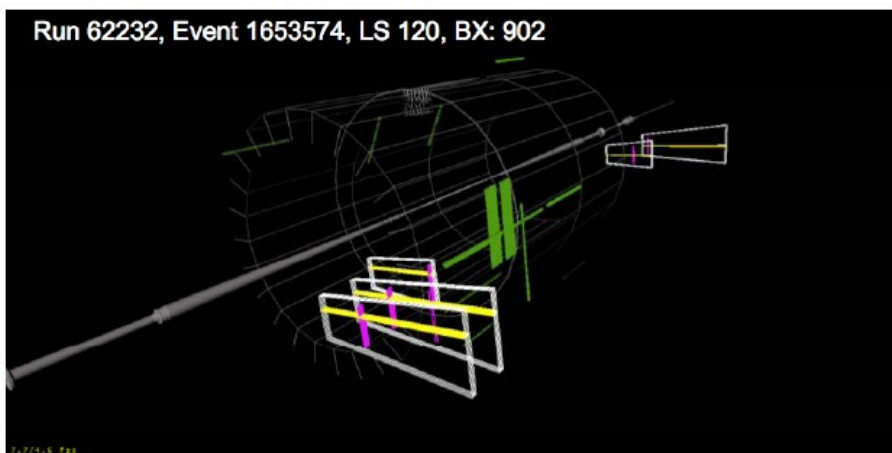
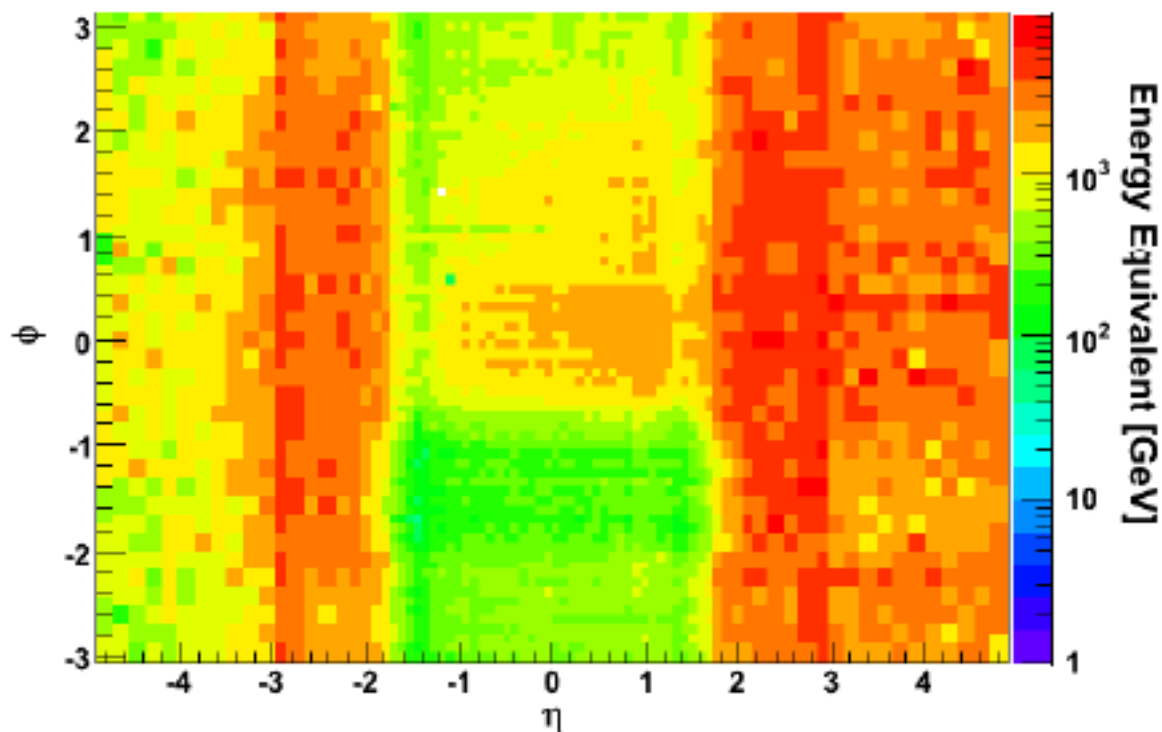


- ~0.1Hz "Ring of Fire"
- Coherent noise
- ...

**August – "Welder Noise"**

## Beam on collimator

With corrections (HB: tile length, HE: cell area)



## Halo muon

## **Performance depends on event content!**

**(jets, e, mu, tau, ...)**

**Different resolution for different objects**

**Different systematics for different objects**

**Not all objects at the same level of “understanding”  
at a given time, especially in early running**



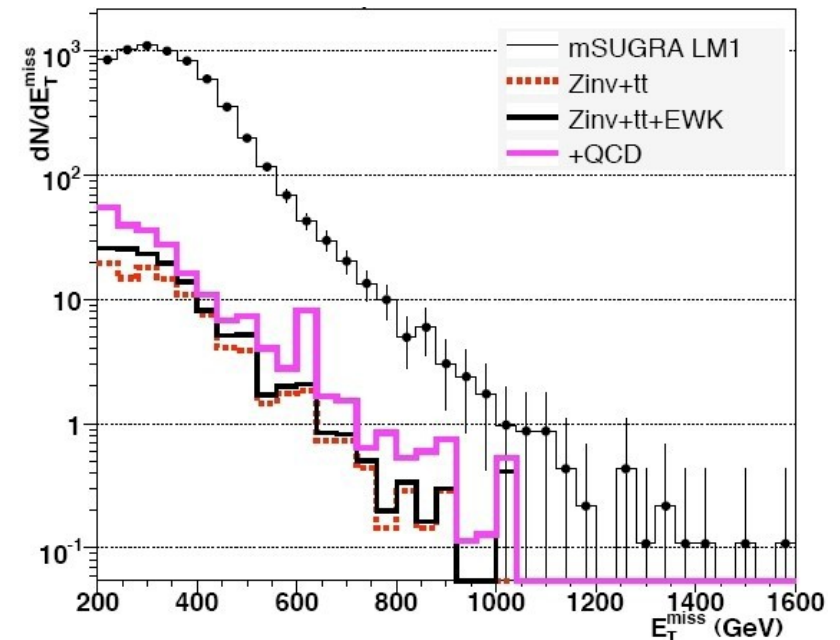
# Early MET Applications

## ► Option (A): “We’ll plan for success”

- Assume entire detector is basically available
- Assume systematic uncertainties can be controlled
- Many “TDR-style” analyses in this category
- Reflecting CMS capabilities correctly, but probably not what first results will look like

## ► Example: Typical cut-based search for supersymmetry in jets+MET

- **MET > 200 GeV**
- $\geq 3$  jets ( $|\eta| < 1.7/3/3$ ) with  $E_T > 180/110/30$  GeV
- $H_T(\text{jet1, jet2, jet3, MET}) > 500$  GeV
- Indirect lepton veto
- Cleanup and anti-QCD selection (topological cuts)
- Can find low mass SUSY (mSUGRA, LM1) with  $100 \text{ pb}^{-1}$



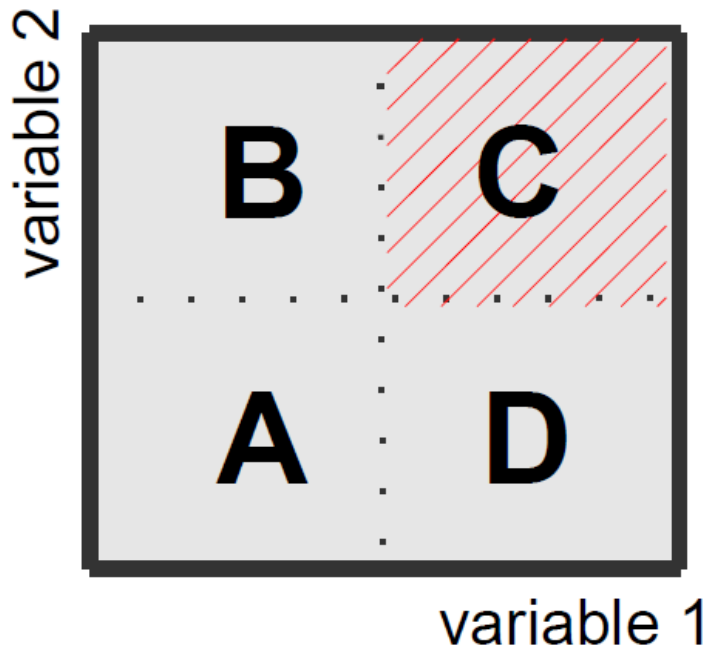
# Improvements



- ▶ Major backgrounds include QCD (mismeasured MET) and  $Z \rightarrow \nu\nu$
- ▶ Several methods developed to constrain from data

## QCD example:

### “ABCD”-method



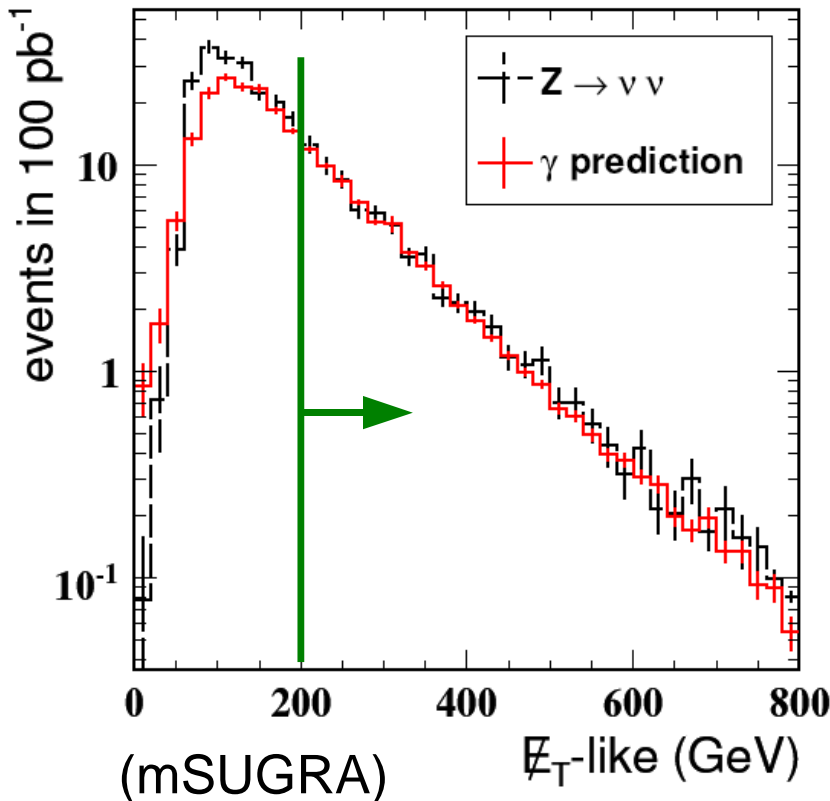
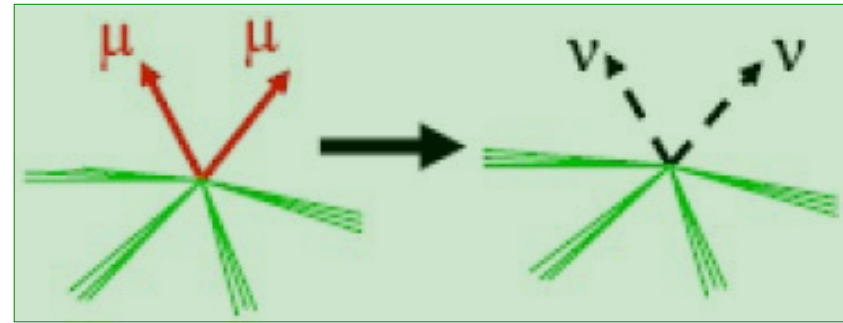
- **Two uncorrelated variables** (or account for correlations)
- **With separation power for signal and background**
- **In signal region C the background is**

$$C = D \cdot \frac{B}{A}$$

- **Need to control signal contamination in A, B, D**

# Improvements: $Z \rightarrow \nu\nu$ (+ jets)

- ▶ Significant irreducible background to many searches: SUSY, monojets / monophotons (large extra dimensions etc.)
- ▶ Several methods to determine this background
  - Most direct:  $Z p_T$  spectrum from  $Z \rightarrow \mu\mu$  /  $ee$  decays, well established
  - But BF only  $2 \times 1/6$  of  $\nu\nu$

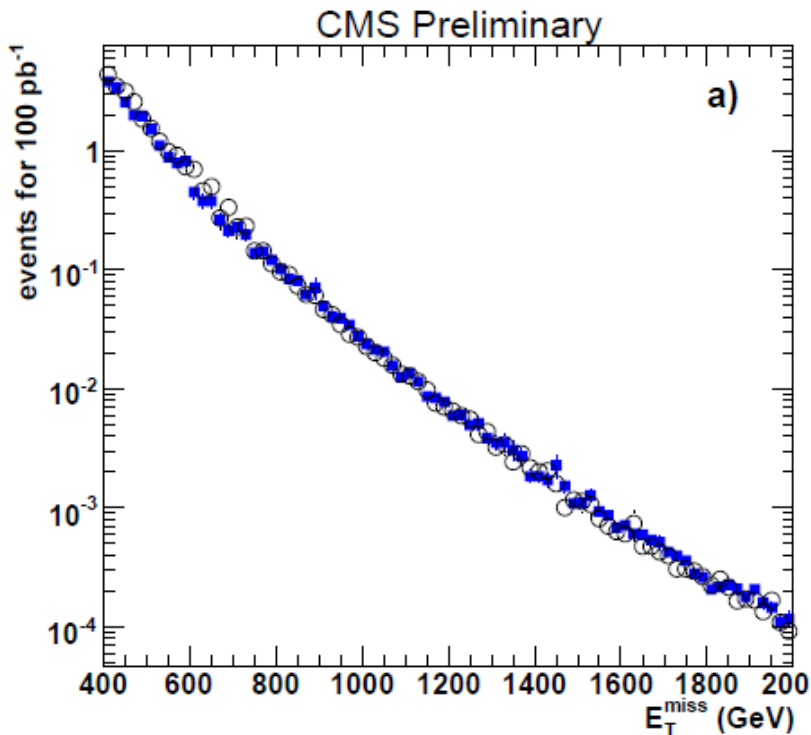
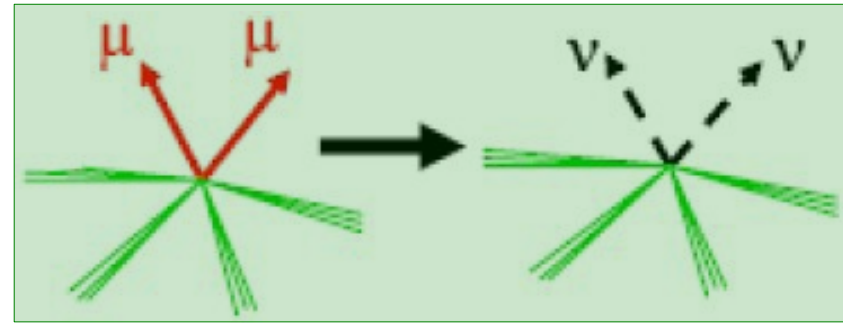


- ▶ Alternatively extrapolate from  $W \rightarrow \mu\nu$  or  $\gamma$  + jets (gain 10-30 x statistics)
- ▶ Need to control lepton efficiencies, backgrounds /  $\gamma$  fake rates, trigger efficiencies, theoretical uncertainties

$Z \rightarrow \nu\nu$ background estimate ( $100 \text{ pb}^{-1}$ )	
MC-truth	35
From $\gamma$ +jets	$29 \pm 3$ (stat) $\pm 5$ (sys)
From $W$ +jets	$35 \pm 10$ (stat) $\pm 8$ (sys) $\pm 3$ (theory)

# Improvements: $Z \rightarrow \nu\nu$ (+ jets)

- ▶ Significant irreducible background to many searches: SUSY, monojets / monophotons (large extra dimensions etc.)
- ▶ Several methods to determine this background
  - Most direct:  $Z p_T$  spectrum from  $Z \rightarrow \mu\mu$  /  $ee$  decays, well established
  - But BF only  $2 \times 1/6$  of  $\nu\nu$



(ADD monojets)

- ▶ Alternatively extrapolate from  $W \rightarrow \mu\nu$  or  $\gamma$  + jets (gain 10-30 x statistics)
- ▶ Need to control lepton efficiencies, backgrounds /  $\gamma$  fake rates, trigger efficiencies, theoretical uncertainties

$Z \rightarrow \nu\nu$  + jets MC  
 Estimate from  $W \rightarrow \mu\nu$

# Early MET Applications

- ▶ Option (B): “Be prepared for some failures”
  - Assume most of the detector is basically available for MET
  - Expect that certain systematic uncertainties cannot be controlled
- ▶ Reduce exposure using data-driven techniques
- ▶ Simpler / more robust MET varieties
- ▶ Example: Use MHT (missing  $H_T$ ) instead of MET
  - Does mostly require JES
  - More robust, especially for trigger

# Model independent search MUSiC I



- ▶ MUSiC (Model Unspecific Search in CMS) performs a general scan of the data for deviations from the Standard Model expectation

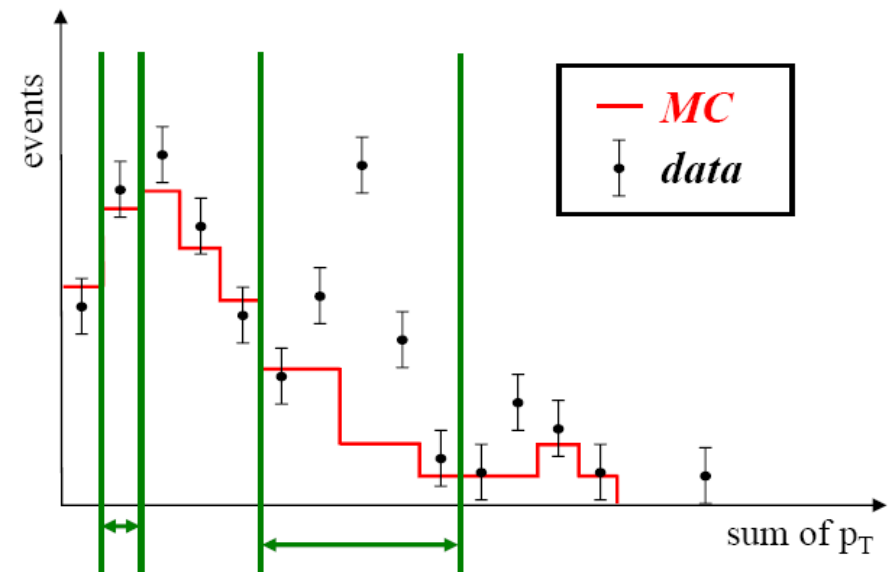
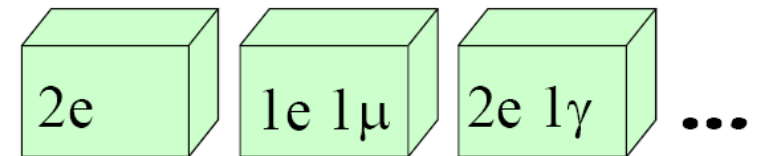
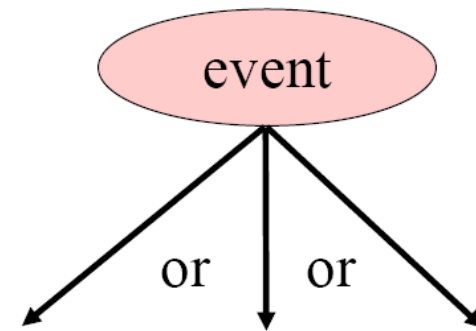
- ▶ Classify events by particle content

- Single isolated lepton always required
- Exclusive vs. inclusive final states

- ▶ Scan distributions for statistically significant deviations

- Presently  $\Sigma p_T$ , invariant (transverse) mass, MET
- Find “Region of Interest” = one or more connected bins with the biggest discrepancy between data and SM

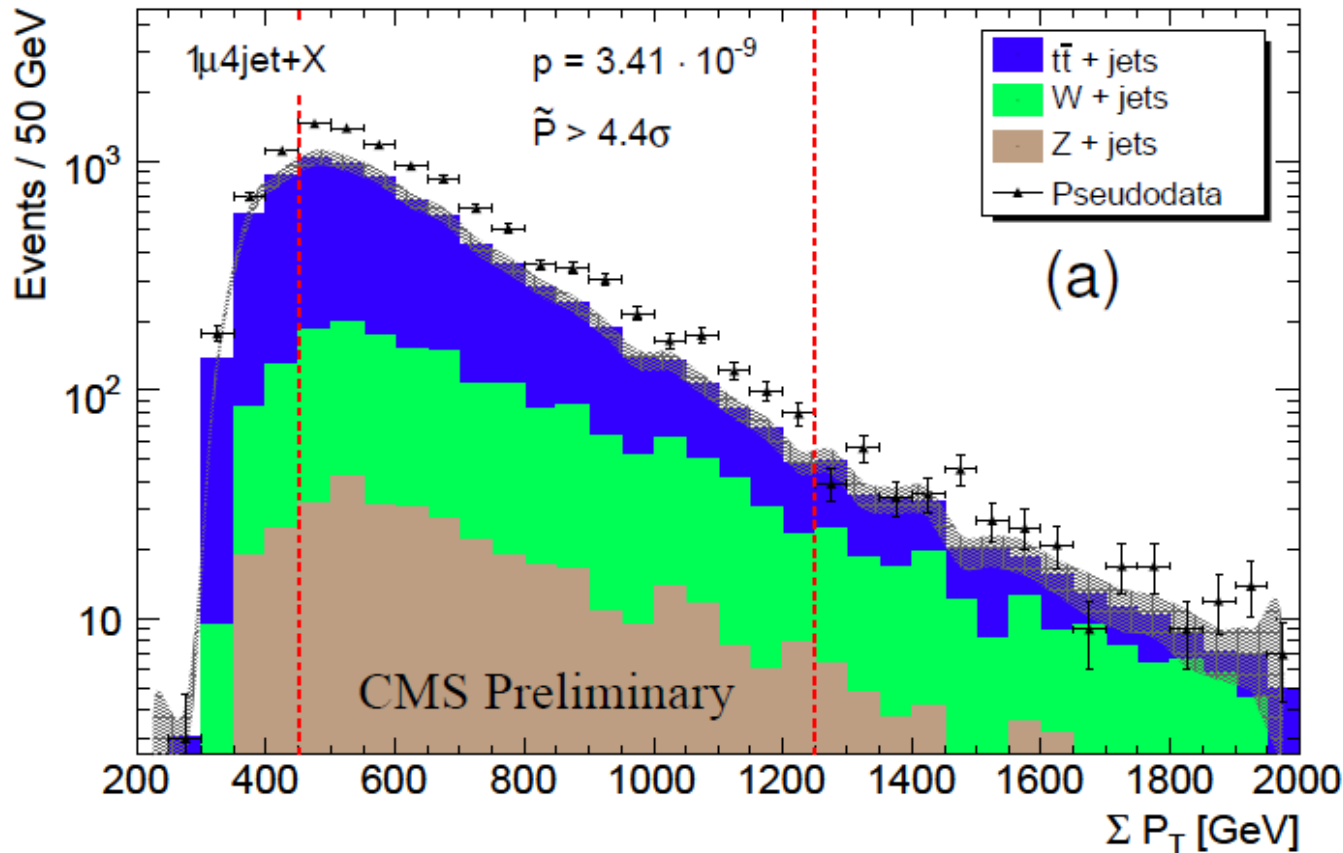
- ▶ Includes systematic uncertainties



# Model independent search MUSiC II



- ▶ Sensitive not only to new physics
- ▶ Can also uncover problems in simulation and detector



**JES 10% up for  
this exercise**

**(equivalent for MET)**



# Early MET Applications

▶ Option (C): “MET will not be usable for analysis”

- Assume systematic uncertainties cannot be controlled early on

▶ Example: Search for clever alternatives to MET

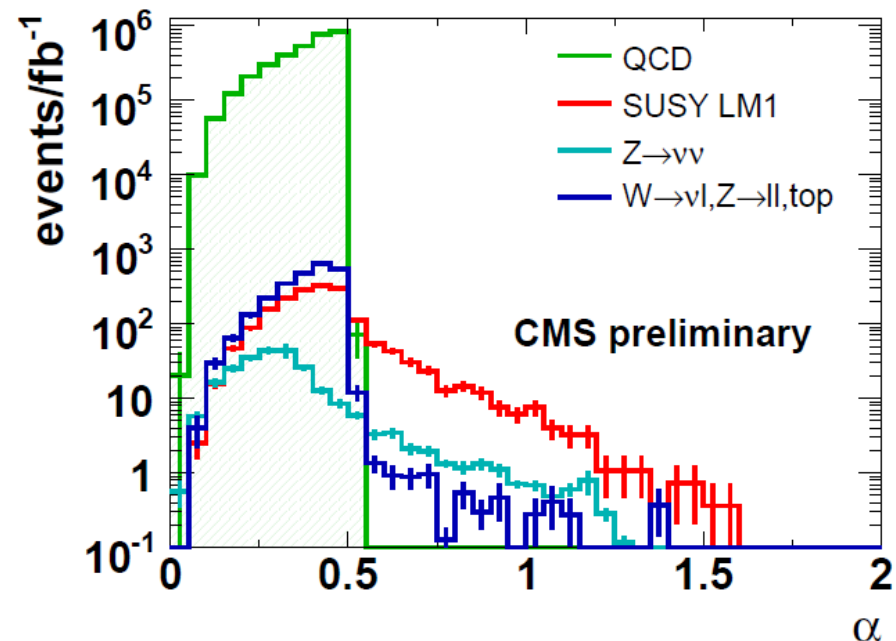
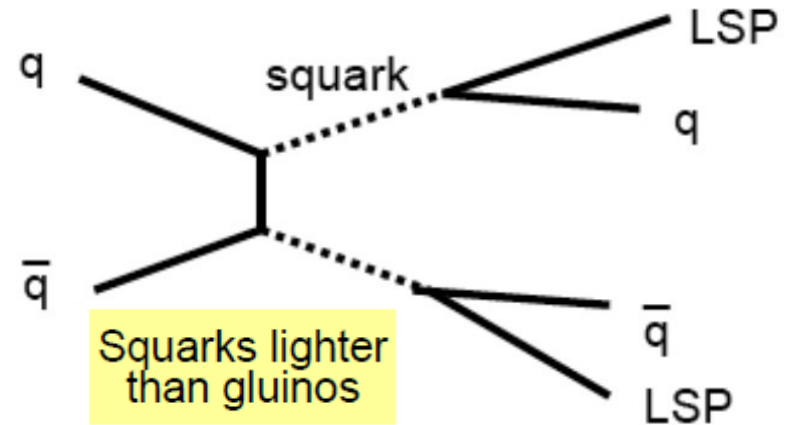
▶ **SUSY in di-jet events**

- = 2 jets with  $p_T > 50$  GeV, lepton veto
- $H_T = p_{Tj1} + p_{Tj2} > 500$  GeV
- Angular/acceptance cuts for cleaning
- New variable (Randall/Tucker-Smith):

$$\alpha = \frac{E_{Tj2}}{M_{j1j2}} = \frac{E_{Tj2}}{\sqrt{2E_1E_2(1-\cos\theta)}} > 0.55$$

▶ MET not (directly) used

▶ Nevertheless, low mass (mSUGRA LM1) SUSY discovery with  $100 \text{ pb}^{-1}$  possible







- ▶ Reconstructing MET is trivial, will be available on day one
- ▶ Workflows for most of the required or optional corrections at hand
- ▶ When will the entire chain be completed, and the ultimate resolution (?) be achieved? Probably 3 years after the LHC has been turned off
- ▶ When can MET be used for physics? Maybe sooner than one might imagine
  - First D0 Run II New Phenomena paper: GMSB (diphotons + MET)
  - Key: ability to measure all backgrounds from data
- ▶ Many refinements under development or in place, e.g.:
  - Track corrected MET (use tracks to replace charged particles)
  - Particle flow MET (optimally combine all CMS subdetectors for best resolution)
  - MET significance algorithm (optimally taking into account the uncertainties of all input objects)

# Backup

