



Results and prospects from NA48 and NA62

Evgueni Goudzovski

(University of Birmingham)

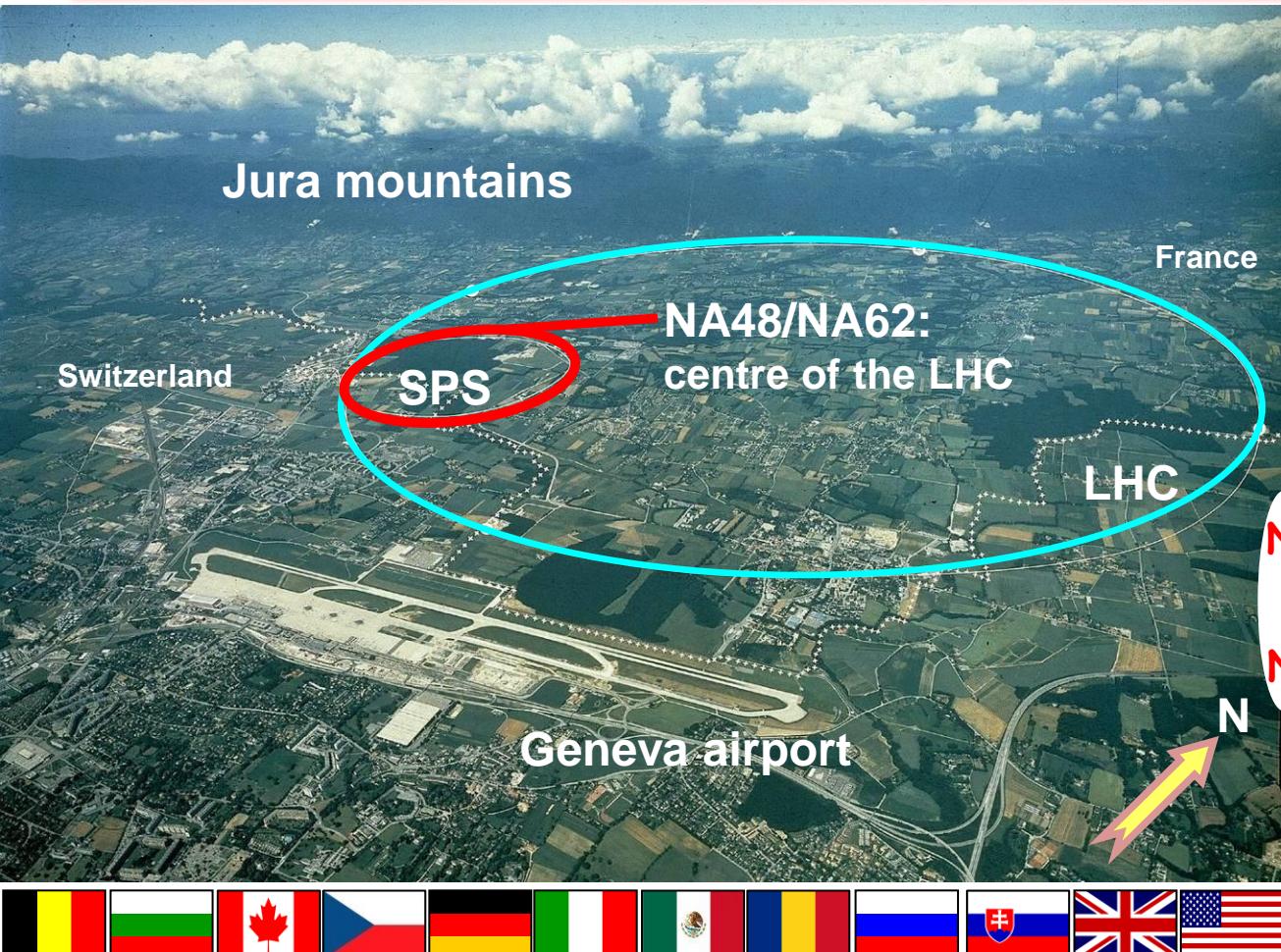
eg@hep.ph.bham.ac.uk

on behalf of the NA62 collaboration

Outline:

- 1) K^\pm decay experiments at CERN: NA48/2 and NA62
- 2) Neutrino portal: heavy neutral leptons
- 3) Vector portal: the dark photon
- 4) Summary

Kaon experiments at CERN



Kaon decay in flight experiments.
NA62: currently ~200 participants, ~30 institutions

Earlier: NA31		
1997:	$\varepsilon'/\varepsilon: K_L+K_S$	
1998:	K_L+K_S	
1999:	K_L+K_S	K_S HI
2000:	K_L only	K_S HI
2001:	K_L+K_S	K_S HI
NA48		
discovery of direct CPV		
2002:	K_S /hyperons	
2003:	K^+/K^-	
2004:	K^+/K^-	
NA48/1		
2007:	$K^\pm_{e2}/K^\pm_{\mu 2}$	tests
2008:	$K^\pm_{e2}/K^\pm_{\mu 2}$	tests
NA48/2		
NA62		
R_K phase		
2014:	pilot run	
2015–:	data taking	

Recent K^\pm experiments

Experiment	NA48/2 (K^\pm)	NA62 (R_K phase) (K^\pm)	NA62 (K^+)
Data taking period	2003–2004	2007–2008	2015–
Beam momentum, GeV/c	60	74	75
RMS momentum bite, GeV/c	2.2	1.4	0.8
Spectrometer thickness, X_0	2.8%	2.8%	1.8%
Spectrometer P_T kick, MeV/c	120	265	270
$M(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$ resolution, MeV/c ²	1.7	1.2	0.8
K decays in fiducial volume	2×10^{11}	2×10^{10}	1.2×10^{13}
Main trigger	multi-track; $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	Min.bias + e^\pm	$K_{\pi vv} + \dots$

The NA62 experiment

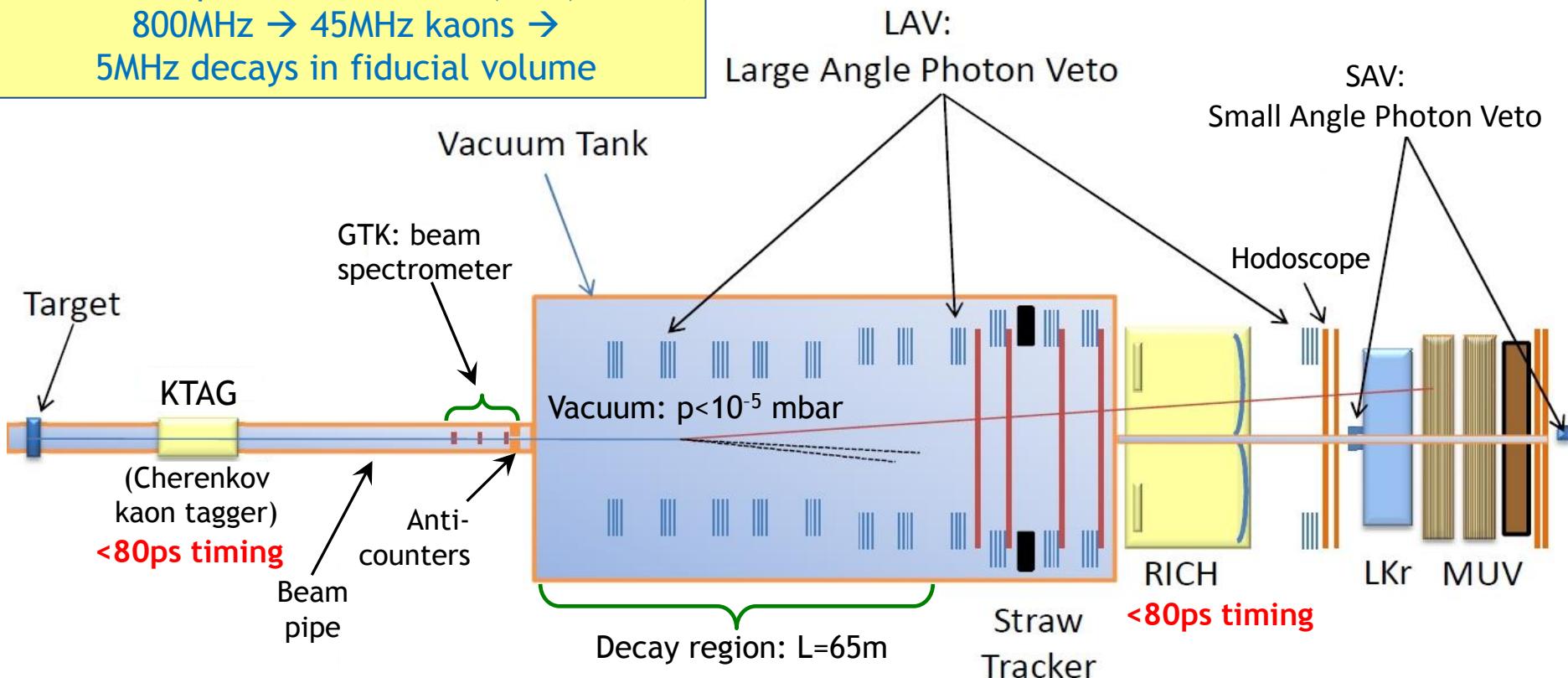
- ❖ Main goal: collection of 100 SM $K^+ \rightarrow \pi^+ vv$ decays, $BR_{SM} = (9.1 \pm 0.7) \times 10^{-11}$.
Buras et al., arXiv:1503.02693
- ❖ Current $K^+ \rightarrow \pi^+ vv$ experimental status: $BR = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$ from 7 candidates with expected background of 2.6 observed by BNL-E949.

PRL101 (2008) 191802

The NA62 detector

Un-separated hadron ($p/\pi^+/K^+$) beam:
 400GeV SPS protons \rightarrow 75GeV ($\pm 1\%$) kaons;
 $800\text{MHz} \rightarrow 45\text{MHz}$ kaons \rightarrow
 5MHz decays in fiducial volume

Total length: ~270m



- ❖ Kinematic rejection factors (limited by beam pileup and tails of MCS):
 5×10^3 for $K^+ \rightarrow \pi^+\pi^0$, 1.5×10^4 for $K \rightarrow \mu^+\nu$.
- ❖ Hermetic photon veto: $\sim 10^8$ suppression of $\pi^0 \rightarrow \gamma\gamma$.
- ❖ Particle ID (RICH+LKr+MUV): $\sim 10^7$ muon suppression.

The NA62 detector



Physics data taking started in June 2015

Neutrino Portal: heavy neutral leptons

HNL: production searches

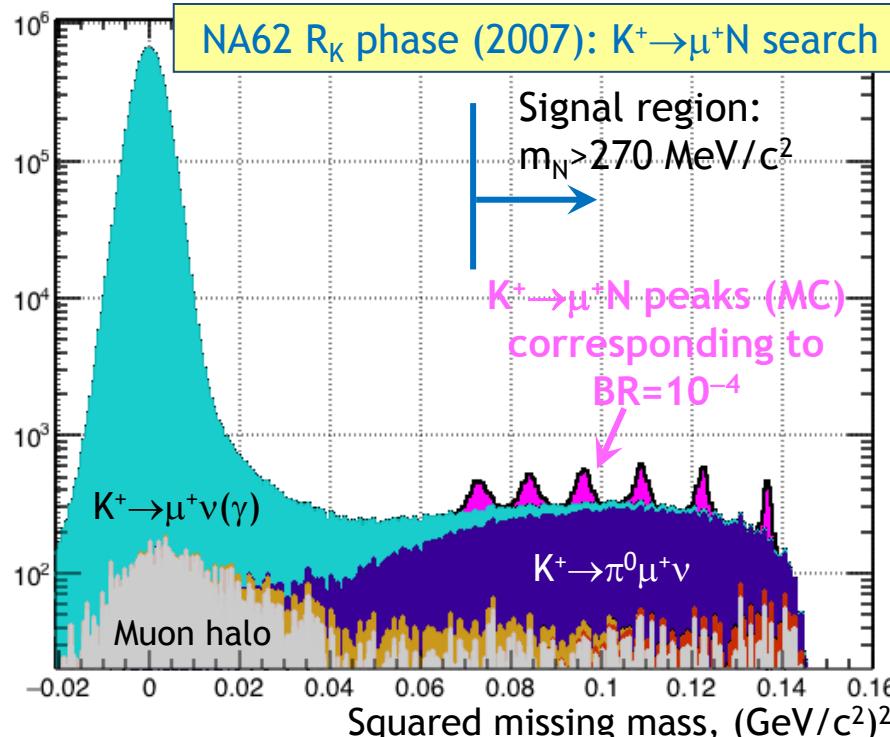
Neutrino minimal SM (**vMSM**): three heavy sterile RH Majorana **vs** ($N_{1,2,3}$).

DM candidate: $m_1 \sim 10 \text{ keV}/c^2$.

HNLs ($m_2 \sim m_3 \sim 1 \text{ GeV}/c^2$) observable
(1) via peak search: production in meson decays; or

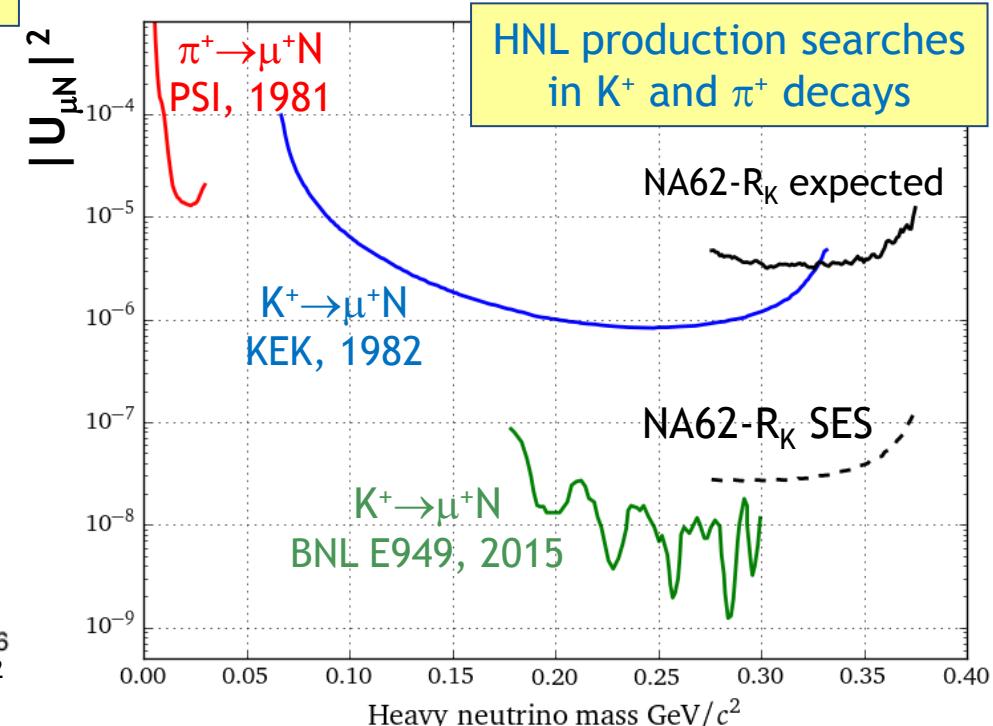
(2) decay search: e.g. $N \rightarrow \pi^\pm \ell^\mp$

Asaka et al., PLB 631 (2005) 151

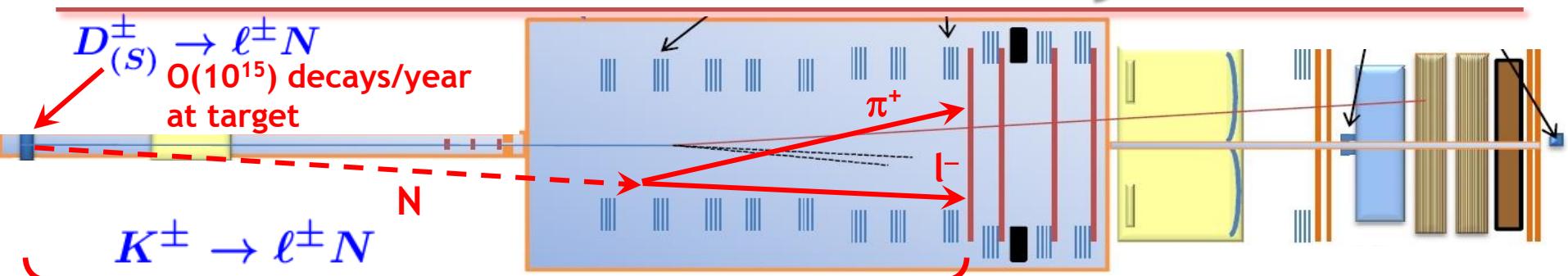


Peak search for $K^+ \rightarrow \mu^+ N$ at **NA62 R_K phase**:

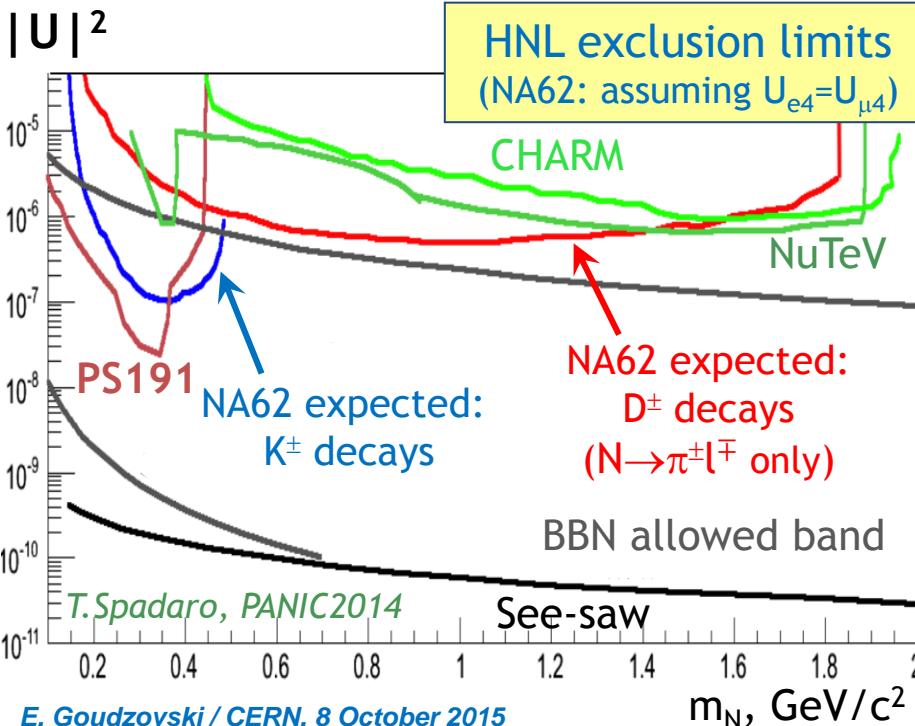
- ❖ Downscaled trigger: small data sample, $\sim 10^8 K^+$ decays in fiducial volume.
- ❖ Sensitivity is limited by backgrounds.
- ❖ However sensitive above $m_N = 330 \text{ MeV}/c^2$.
- ❖ **NA62**: improved SES by factor ~ 500 ; low background (hermetic veto, K^+ tagger); signal region extended into lower m_N ; possibly a search for $K^+ \rightarrow e^+ N$.



HNL: decay searches



Search for decays: $N \rightarrow \pi^\pm \ell^\mp$ ($0.14 \text{ GeV}/c^2 < m_N < 1.9 \text{ GeV}/c^2$)
 $N \rightarrow \rho^\pm \ell^\mp$ ($0.8 \text{ GeV}/c^2 < m_N < 1.9 \text{ GeV}/c^2$)



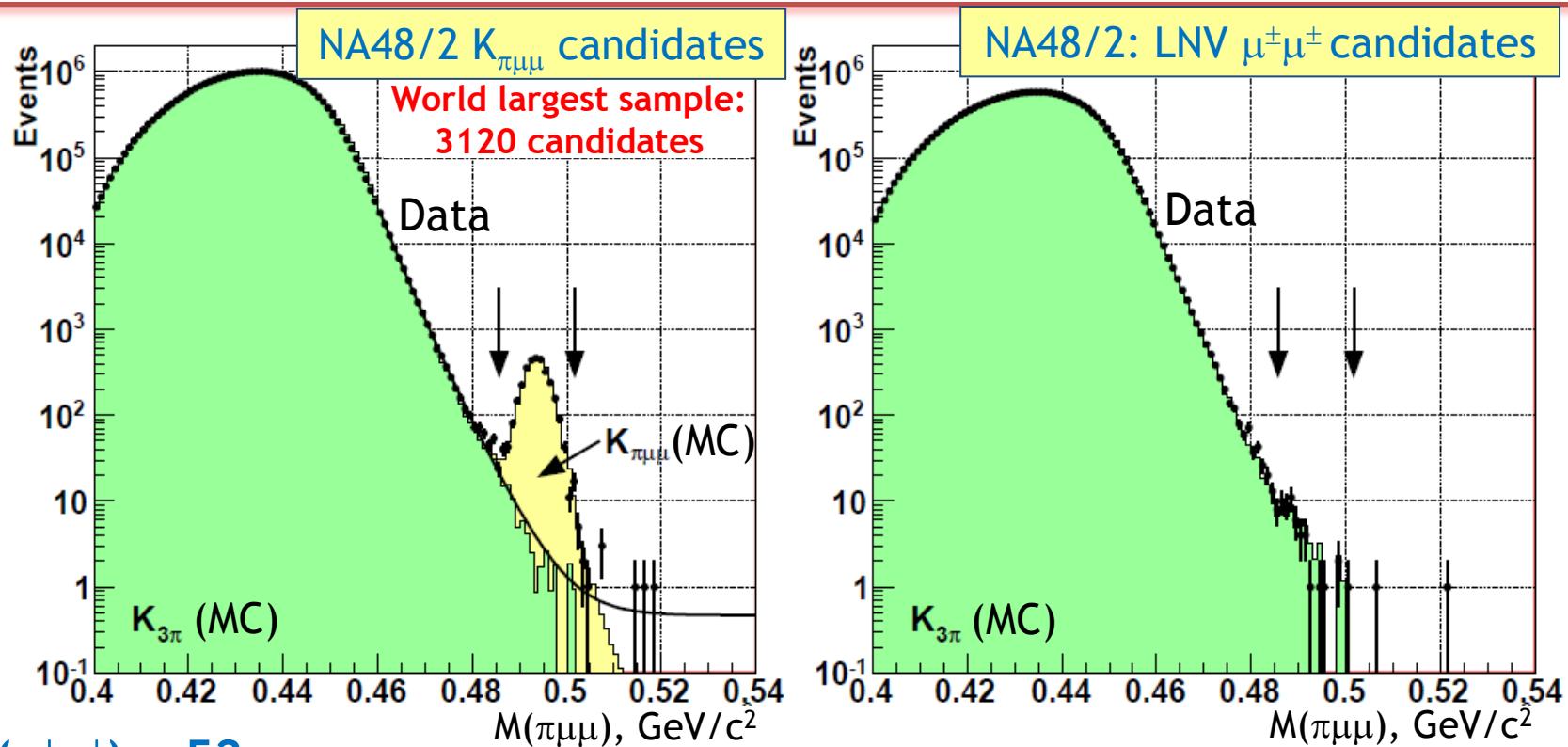
Compatible with the $K_{\pi\nu\nu}$ programme, subject to minor trigger development.

The expected sensitivity is evaluated assuming zero background.

Backgrounds to be considered:
scattering of halo muons ($\mu^\pm N \rightarrow K^0 X$),
charge exchange in KTAG/GTK ($K^+ n \rightarrow K^0 p$),
accidentals (K^+ decays, halo muons).

Background reduction: e.g. adding a halo detector in front of decay tank.

HNL production & decay: $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$



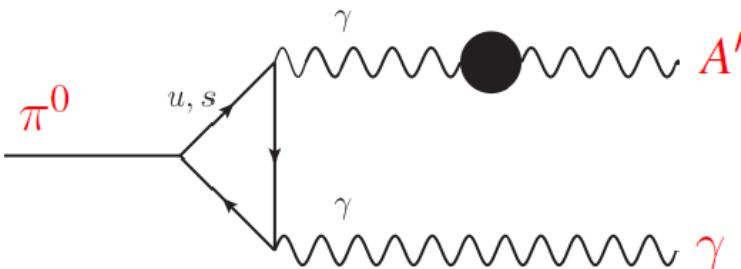
- ❖ Precision limited by background from $\pi^\pm \rightarrow \mu^\pm \nu$, despite $SES \approx 3 \times 10^{-11}$.
- ❖ Re-analysis (3-track vertex) in progress: reduced background, a scan in m_N and τ_N .
Expected sensitivity to $BR(K^+ \rightarrow \mu^+ N) \times BR(N \rightarrow \mu^+ \pi^-)$: $\sim 10^{-10}$ for $\tau_N < 10^{-9}$ s.
Searches for $K^+ \rightarrow \pi^+ X$, $X \rightarrow \mu^+ \mu^-$ and $K^+ \rightarrow \mu^+ X$, $X \rightarrow \pi^+ \mu^-$ can also be performed.
- ❖ NA62: a dedicated $\mu\mu$ trigger; displaced vertex analysis possible (τ_N up to 10^{-7} s). 8

Vector Portal: the dark photon

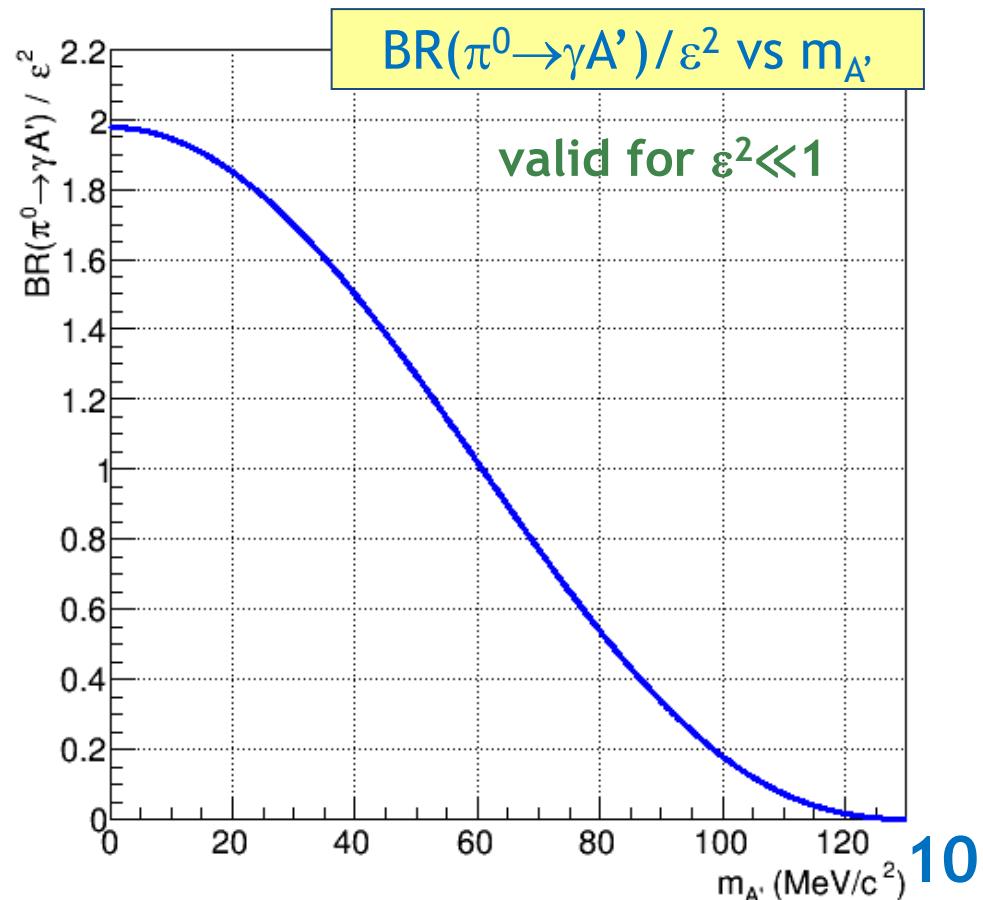
DP production in $\pi^0 \rightarrow \gamma A'$ decay

Batell, Pospelov and Ritz, PRD80 (2009) 095024

$$\mathcal{B}(\pi^0 \rightarrow \gamma A') = 2\epsilon^2 \left(1 - \frac{m_{A'}^2}{m_{\pi^0}^2}\right)^3 \mathcal{B}(\pi^0 \rightarrow \gamma\gamma)$$

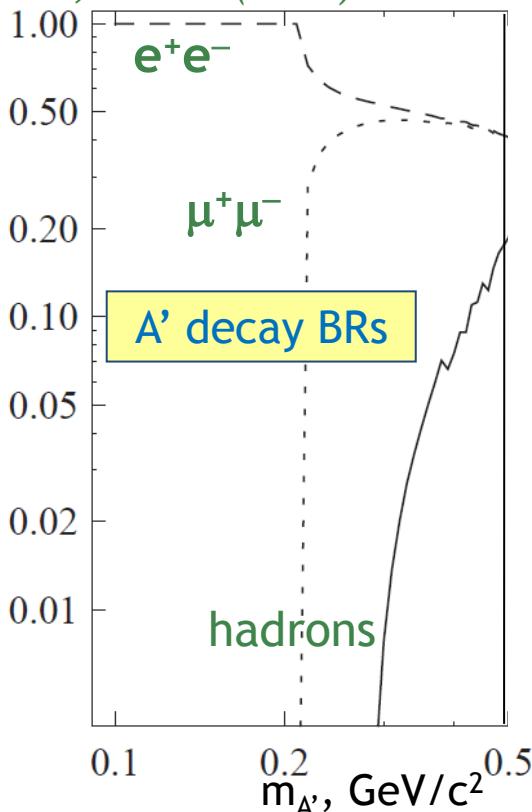
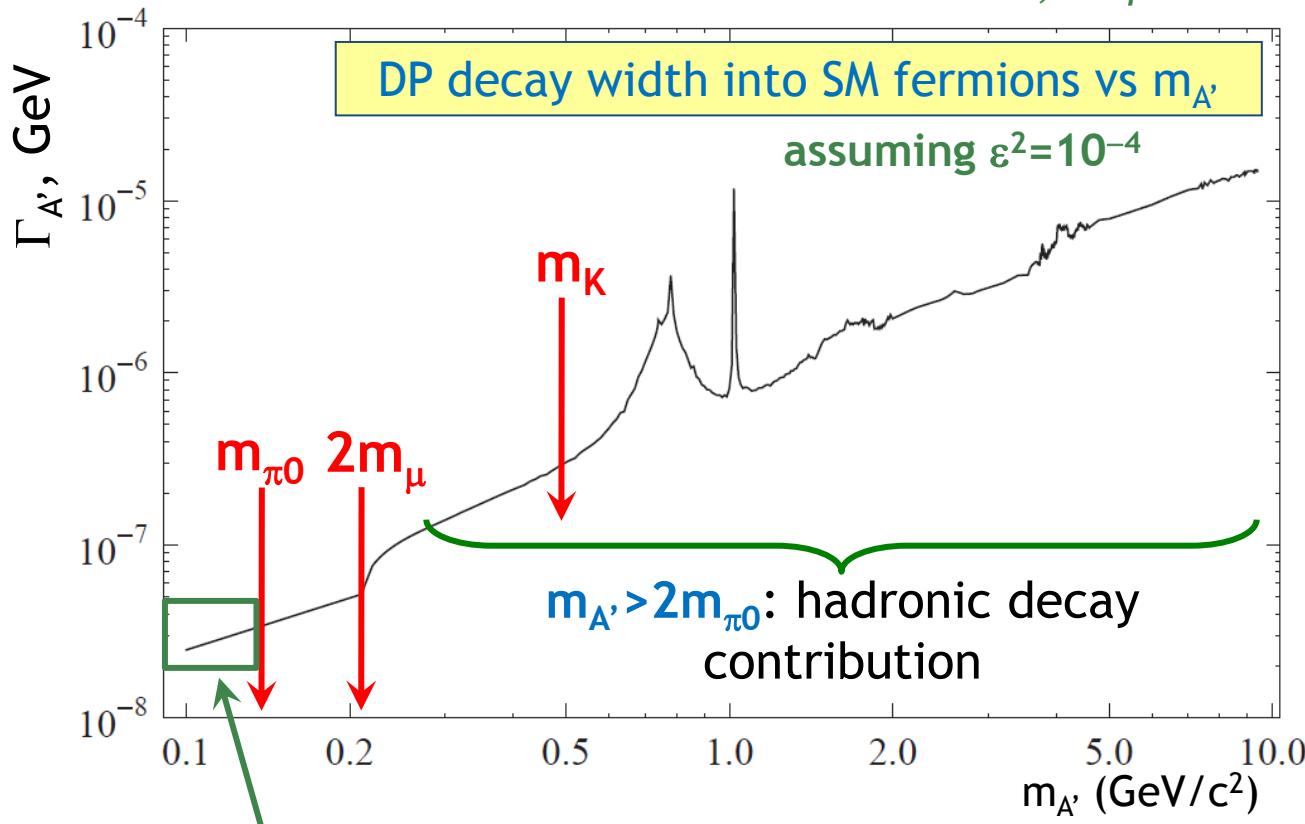


- ❖ Two unknown parameters: mass ($m_{A'}$) and mixing (ϵ^2).
- ❖ Sensitivity to DP for $m_{A'} < m_{\pi^0}$.
- ❖ Loss of sensitivity to ϵ^2 as $m_{A'}$ approaches m_{π^0} , due to kinematical suppression of the $\pi^0 \rightarrow \gamma A'$ decay.



DP decays into SM fermions

Batell, Pospelov and Ritz, PRD79 (2009) 115008



Accessible in π^0 decays: assuming decays only into SM fermions,

$$\Gamma_{A'} \approx \Gamma(A' \rightarrow e^+e^-) = \frac{1}{3} \alpha \varepsilon^2 m_{A'} \sqrt{1 - \frac{4m_e^2}{m_{A'}^2}} \left(1 + \frac{2m_e^2}{m_{A'}^2}\right) \approx \alpha \varepsilon^2 m_{A'}/3$$

For $\varepsilon^2 > 10^{-7}$ and $m_{A'} > 10 \text{ MeV}/c^2$, prompt A' decay (z vertex resolution $\sim 1 \text{ m}$). Therefore $\pi^0_D \rightarrow e^+e^-\gamma$ is an irreducible background.

NA48/2: the π^0_D sample

Two exclusive selections

$K^\pm \rightarrow \pi^\pm \pi^0_D$ selection:

- $|m_{\gamma ee} - m_K| < 20 \text{ MeV}/c^2$;
- $|m_{\gamma ee} - m_{\pi^0}| < 8 \text{ MeV}/c^2$;
- no missing momentum.

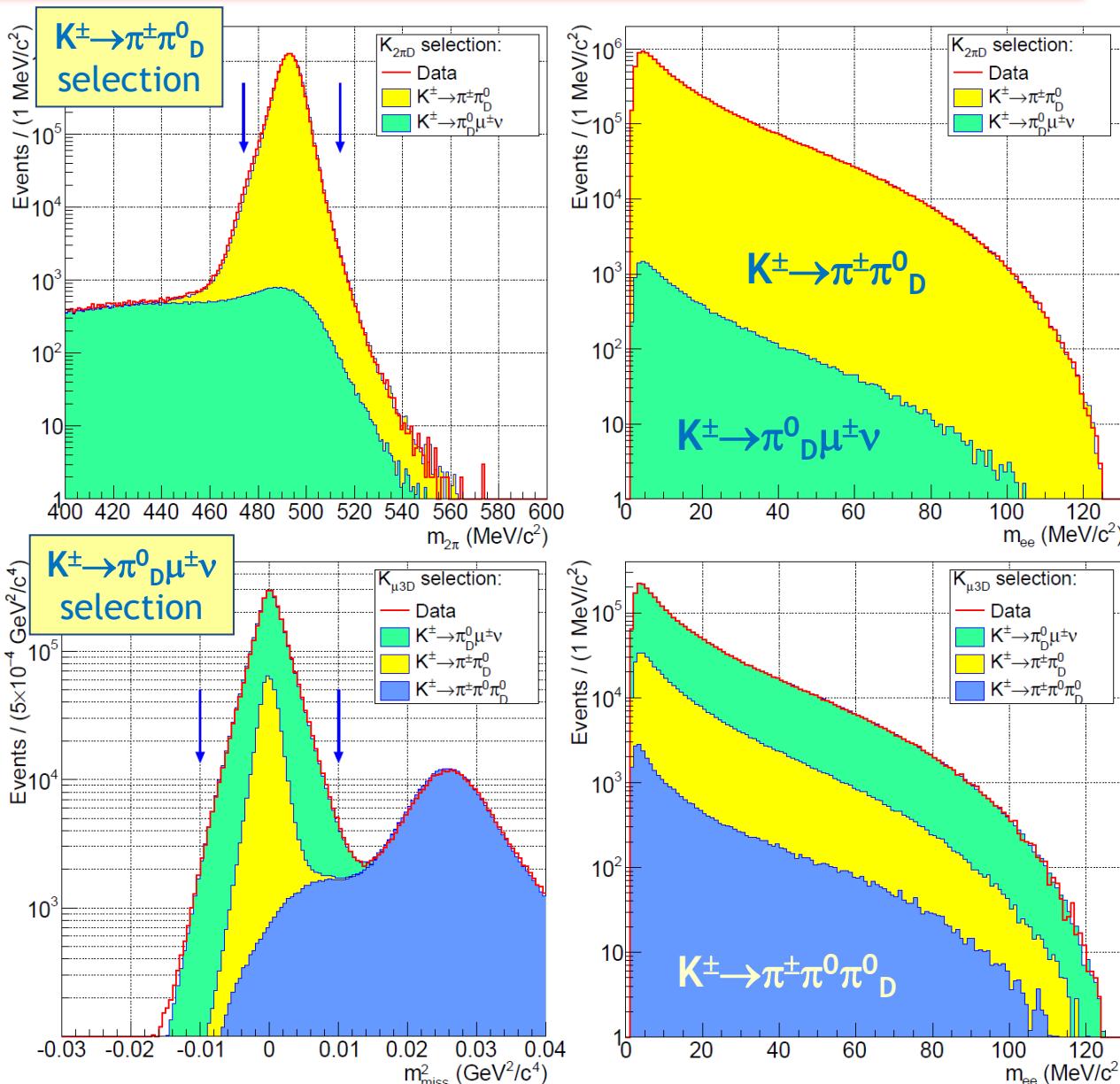
$K^\pm \rightarrow \pi^0_D \mu^\pm \nu$ selection:

- $m_{\text{miss}}^2 = (P_K - P_\mu - P_{\pi^0})^2$ compatible with zero;
- $|m_{\gamma ee} - m_{\pi^0}| < 8 \text{ MeV}/c^2$;
- missing total and transverse momentum.

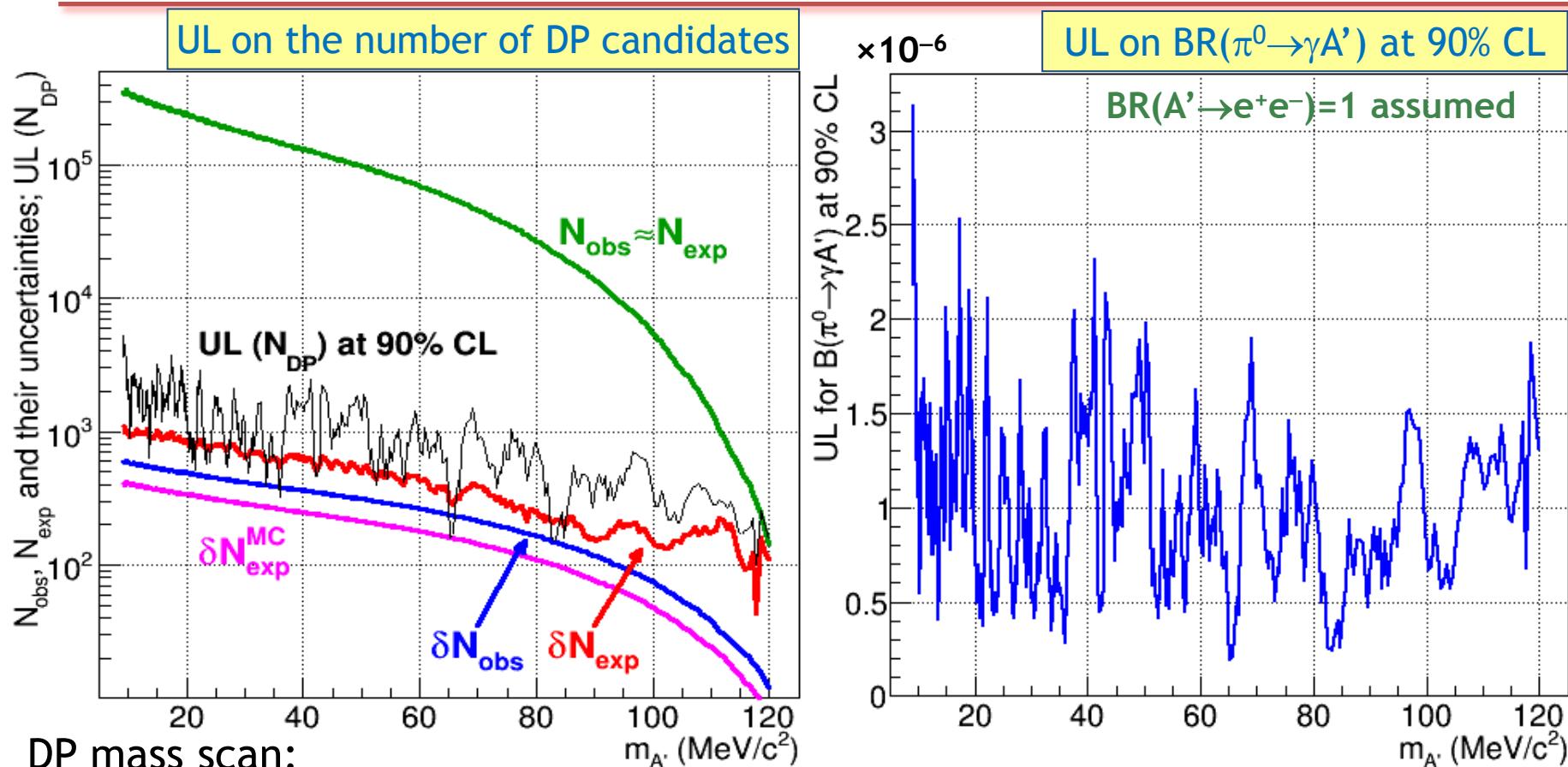
Reconstructed π^0_D decay candidates:

- $N(K_{2\pi D}) = 1.38 \times 10^7$,
- $N(K_{\mu 3D}) = 0.31 \times 10^7$,
- total = 1.69×10^7 .

K^\pm decays in fiducial region:
 $N_K = (1.57 \pm 0.05) \times 10^{11}$.



NA48/2: search for DP signal



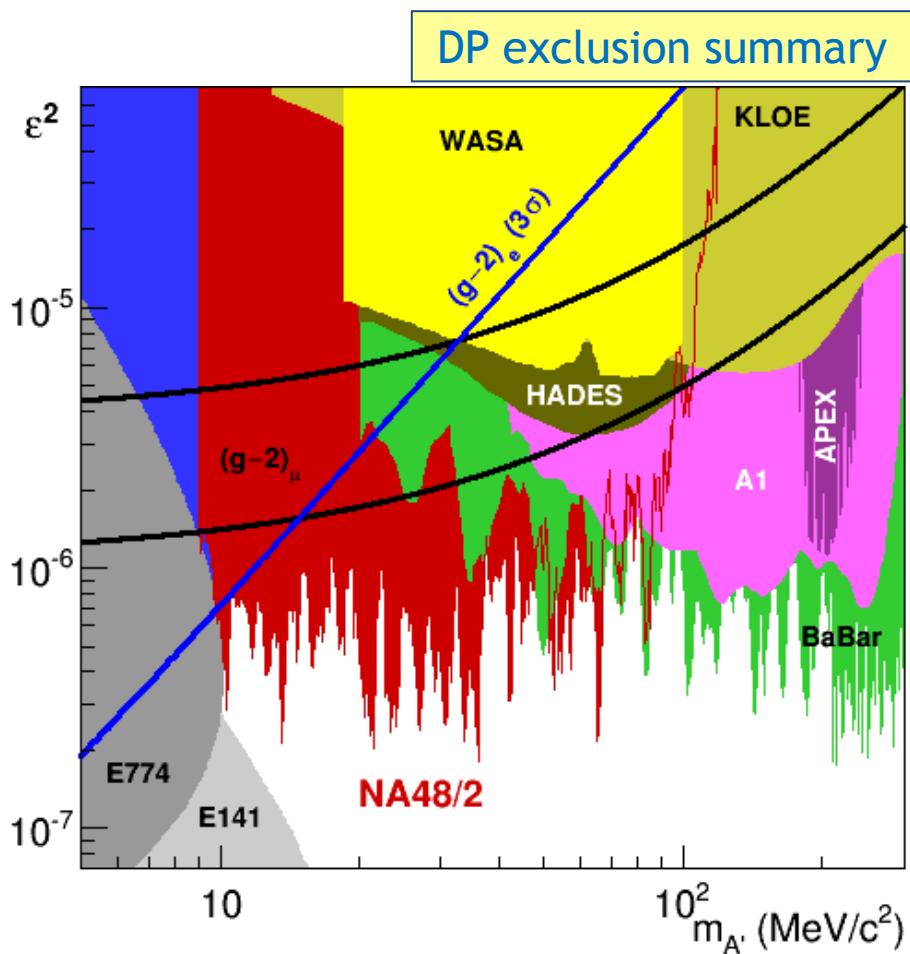
- range: $9 \text{ MeV}/c^2 \leq m_{A'} < 120 \text{ MeV}/c^2$;
- mass step $0.5\sigma_m$, signal window $\pm 1.5\sigma_m$;
- DP mass hypotheses tested: 404.

Local signal significance never exceeds 3σ :
no DP signal is observed.

The obtained limits are
background limited:
2–3 orders of magnitude
above single event sensitivity

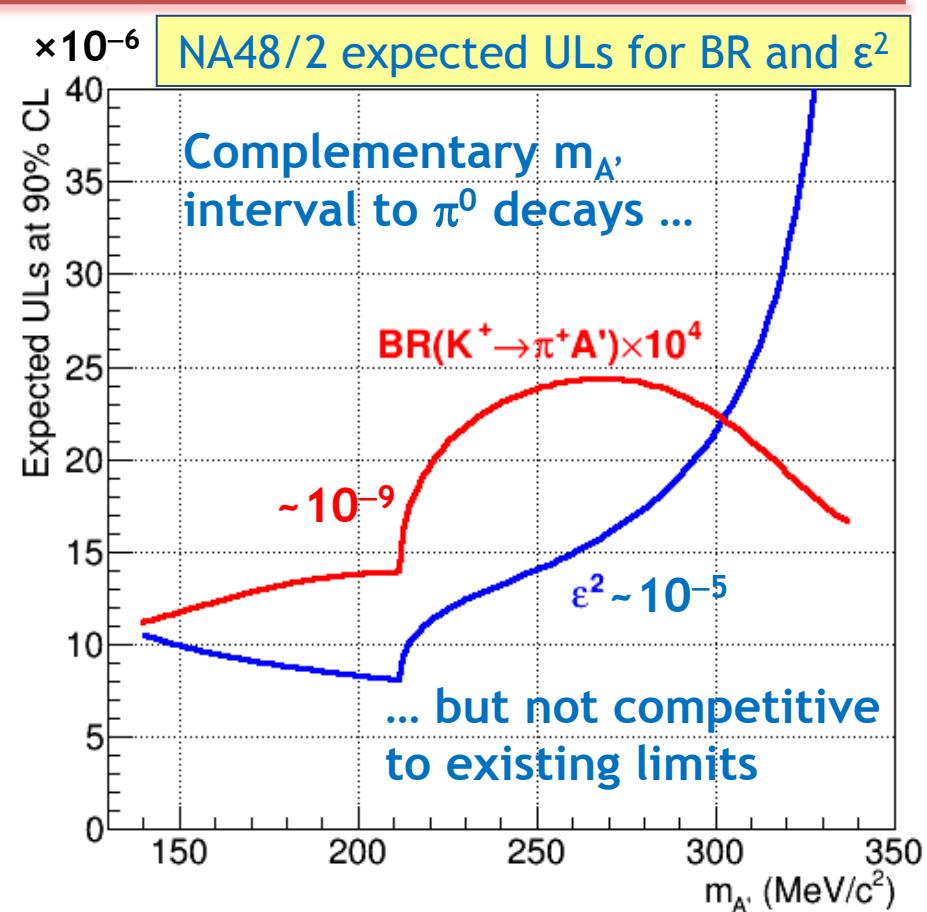
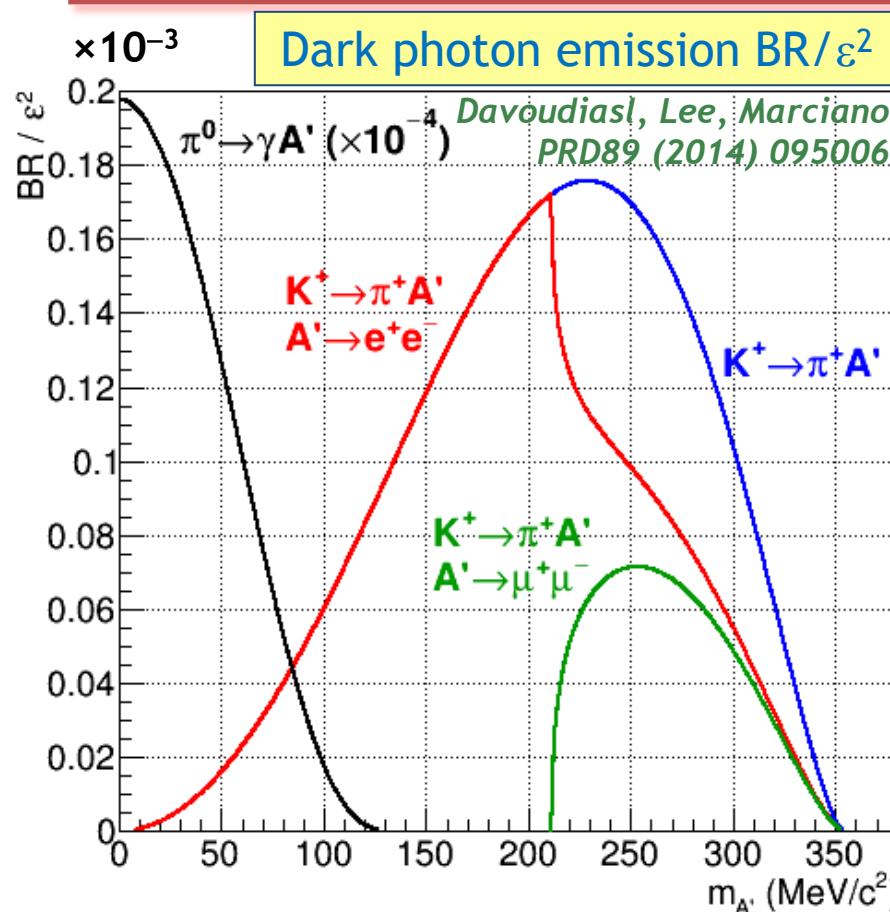
NA48/2: dark photon in π^0 decays

PLB746 (2015) 178



- ❖ Improvement on the existing limits in the $m_{A'}$ range **9–70 MeV/c²**.
- ❖ Most stringent limits are at low $m_{A'}$ (kinematic suppression is weak).
- ❖ Sensitivity limited by irreducible π^0_D background: upper limit on ϵ^2 scales as $\sim(1/N_K)^{1/2}$, modest improvement with larger samples (e.g. at NA62).
- ❖ If DP couples to quarks and decays **mainly to SM fermions**, it is ruled out as the explanation for the anomalous $(g-2)_\mu$.
- ❖ Sensitivity to smaller ϵ^2 with displaced vertex analysis is under investigation.

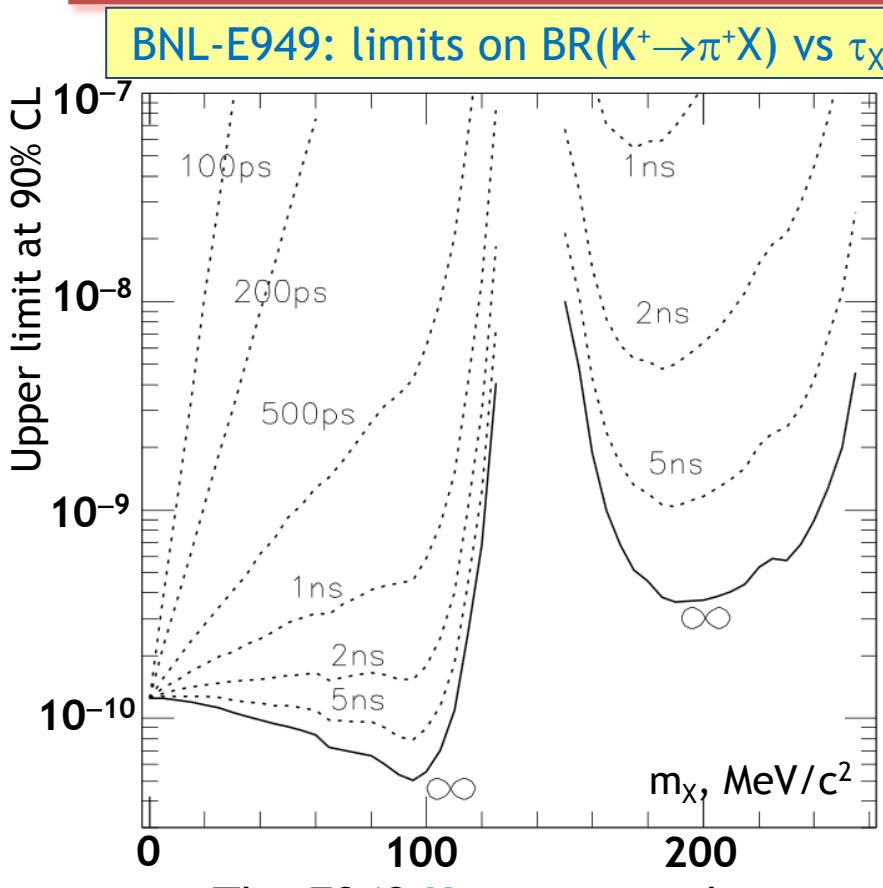
Prospects for $K^\pm \rightarrow \pi^\pm A'$, $A' \rightarrow l^+ l^-$



Comparison of ($K^\pm \rightarrow \pi^\pm A'$, $A' \rightarrow e^+ e^-$, $m_{A'} > m_{\pi^0}$) vs ($\pi^0 \rightarrow \gamma A'$, $A' \rightarrow e^+ e^-$, $m_{A'} < m_{\pi^0}$):

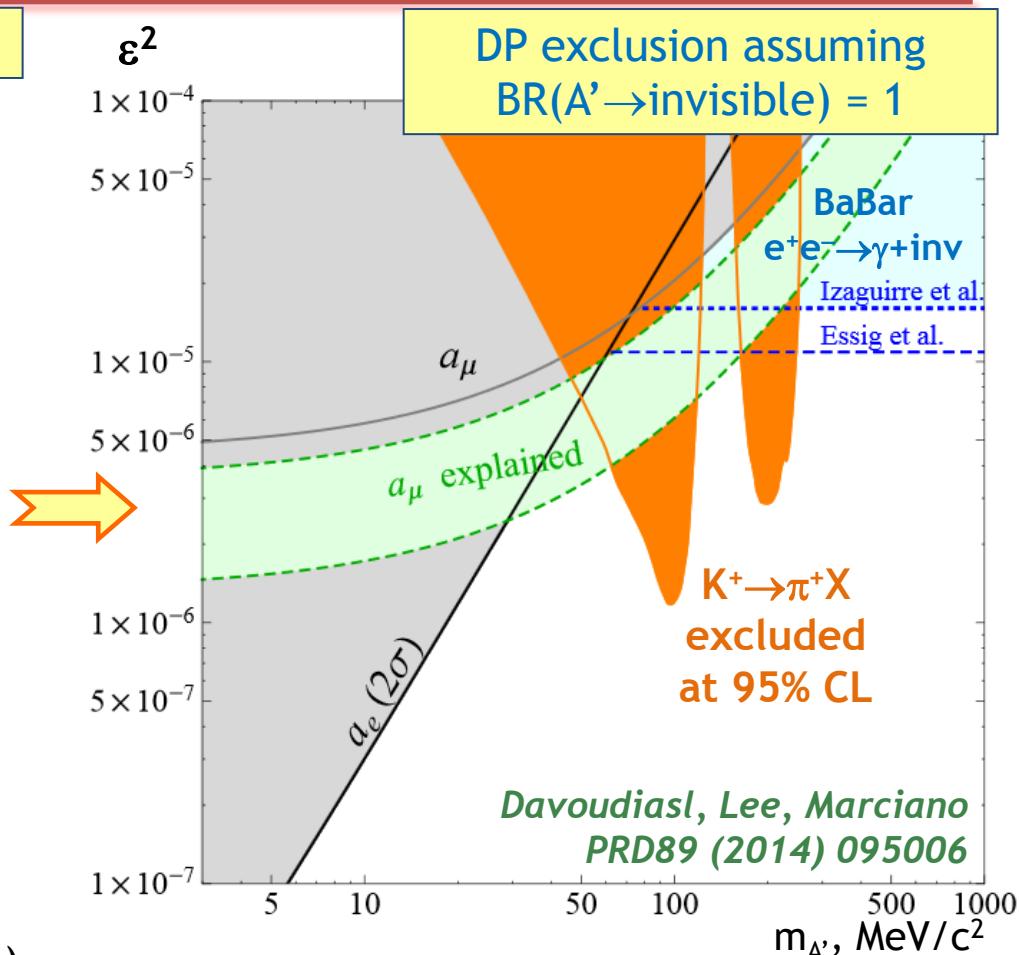
- ❖ Lower irreducible background: $BR(K^\pm \rightarrow \pi^\pm e^+ e^-) \sim 10^{-7}$ vs $BR(\pi^0_D) \sim 10^{-2}$.
- ❖ Higher acceptance ($\times 4$), favourable K/π^0 flux ratio ($\times 4$).
- ❖ Therefore the expected BR limits: $BR(K^\pm \rightarrow \pi^\pm A') \sim 10^{-9}$ vs $BR(\pi^0 \rightarrow \gamma A') \sim 10^{-6}$.
- ❖ However $BR(K^\pm \rightarrow \pi^\pm A')/BR(\pi^0 \rightarrow \gamma A') \sim 10^{-4}$, expected ε^2 limits are $\varepsilon^2 \sim 10^{-5}$.

$K^\pm \rightarrow \pi^\pm A'$, $A' \rightarrow \text{invisible}$



The E949 $K^+ \rightarrow \pi^+ vv$ analysis:
 $K^+ \rightarrow \pi^+ X$ search (where X is invisible)
PRD79 (2009) 092004

$\text{BR}(\pi^0 \rightarrow \text{invisible}) < 2.7 \times 10^{-7}$ at 90% CL
PRD72 (2005) 091102



Non-trivial limits on DP phase space
 Including the $(g-2)_\mu$ favoured band,
 assuming invisible DP decays.

NA62: expect an order of magnitude improvement

Summary

- ❖ NA62 physics data taking started in 2015.
- ❖ NA62 is capable of improving the current limits on:
 - ✓ NHL production in K^+ decays, $0.1 \text{ GeV} < m_N < 0.4 \text{ GeV}$.
 - ✓ Possibly HNL decays, $0.4 \text{ GeV} < m_N < 1.5 \text{ GeV}$?
 - ✓ Neutral particles ($\chi \rightarrow l^+ l^-$) with $m_\chi < 0.35 \text{ GeV}$ and $\tau_\chi < 10^{-7} \text{ s}$.
 - ✓ LFV and LNV in K^+ and π^0 decays.
 - ✓ DP production in K^+ and π^0 decays ($0.01 \text{ GeV} < m_A' < 0.35 \text{ GeV}$), assuming both visible ($A' \rightarrow l^+ l^-$) and invisible A' decays.
- ❖ Further sensitivity studies (axions, inflatons) are in progress.
- ❖ New ideas are very welcome!

Spares

NA62 & SHiP design parameters

Primary beam for both NA62 and SHiP: **400 GeV/c** SPS protons

	NA62 (running experiment)	SHiP (proposal)
Years of operation	3	5
POT per SPS spill	3×10^{12}	4×10^{13}
POT total	5×10^{18}	2×10^{20}
Decay volume (m ³)	260 m ³	1780 m ³
Decay volume distance to target	104–183 m	64–124 m
Decay volume pressure (bar)	10^{-9} bar	10^{-6} bar
Halo muon rate in spectrometer	6 MHz	few kHz
Straw chamber area	$0.06 \text{ m} < R < 1.05 \text{ m}$	$R_1 = 5 \text{ m}, R_2 = 10 \text{ m}$

LFV in K^\pm and π^0 decays

Mode	UL at 90% CL	Experiment	Reference
$K^+ \rightarrow \pi^+ \mu^+ e^-$	1.3×10^{-11}	BNL E777/E865	PRD 72 (2005) 012005
$K^+ \rightarrow \pi^+ \mu^- e^+$	5.2×10^{-10}		
$K^+ \rightarrow \pi^- \mu^+ e^+$	5.0×10^{-10}	BNL E865*	PRL 85 (2000) 2877
$K^+ \rightarrow \pi^- e^+ e^+$	6.4×10^{-10}		
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$	1.1×10^{-9}	CERN NA48/2	PLB 697 (2011) 107
$K^+ \rightarrow \mu^- \nu e^+ e^+$	2.0×10^{-8}	Geneva-Saclay	PL 62B (1976) 485
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		
$\pi^0 \rightarrow \mu^+ e^-$	3.6×10^{-10}	FNAL KTeV	PRL 100 (2008) 131803
$\pi^0 \rightarrow \mu^- e^+$	3.6×10^{-10}		

* CERN NA48/2 sensitivities for these three modes are similar to those of BNL E865

Expected NA62 single event sensitivities:
 ~ 10^{-12} for K^\pm decays, ~ 10^{-11} for π^0 decays.

- ❖ NA62 is capable of improving on all these decay modes.
- ❖ Sensitivity will depend on the trigger selectivity.