

# Charm Mixing and Rare Decays: Looking for New Physics



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- Charm mixing
  - New Physics in  $\Delta c = 1$
  - New Physics in  $\Delta c = 2$
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- Things to take home



# 1. Introduction: identifying New Physics



The LHC ring is 27km in circumference  
KEKb - 3 km...

"Inverse  
LHC problem"

How can BaBar/Belle/BES help with New Physics searches?

# Introduction: charm and New Physics

## Charm transitions serve as excellent probes of New Physics

Unique access to up-quark sector

1. Processes forbidden in the Standard Model to all orders

Examples:  $D^0 \rightarrow p^+ \pi^- \nu$

2. Processes forbidden in the Standard Model at tree level

Examples:  $D^0 - \bar{D}^0$  mixing,  $D \rightarrow \ell^+ \ell^-$ ,  $D \rightarrow X \gamma, \dots$

3. Processes allowed in the Standard Model

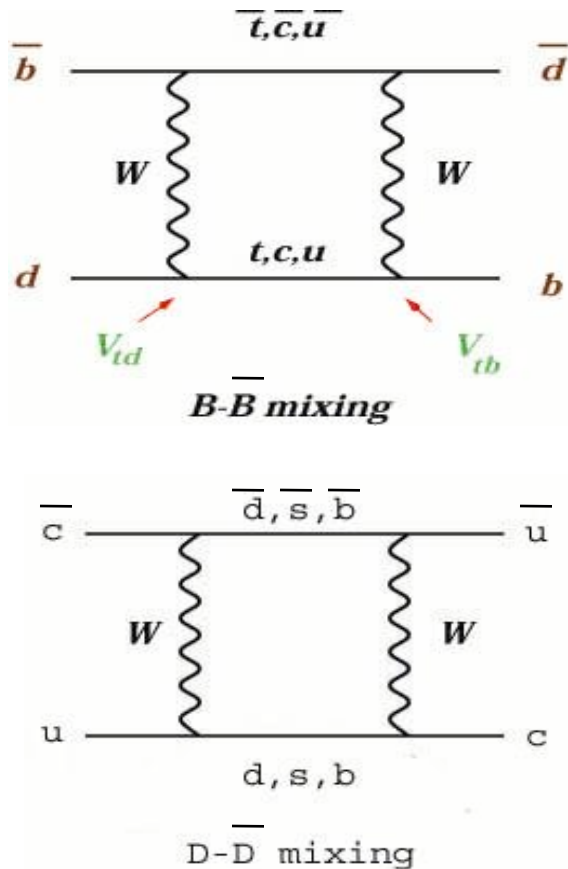
Examples: 1. relations, valid in the SM, but not necessarily in general

CKM triangle relations

2. SM rates and uncertainties are known

Unique feature: not-so-heavy quark

## 2. $\bar{D}^0 - D^0$ mixing?



$\bar{D}^0 - D^0$ mixing	$\bar{B}^0 - B^0$ mixing
<ul style="list-style-type: none"> <li>• intermediate <b>down-type</b> quarks</li> <li>• SM: b-quark contribution is <b>negligible</b> due to <math>V_{cd}V_{ub}^*</math></li> <li>• <math>rate \propto f(m_s) - f(m_d)</math> (<b>zero</b> in the SU(3) limit)</li> </ul> <p style="font-size: small; margin-top: 10px;">Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002 2<sup>nd</sup> order effect!!!</p>	<ul style="list-style-type: none"> <li>• intermediate <b>up-type</b> quarks</li> <li>• SM: t-quark contribution is <b>dominant</b></li> <li>• <math>rate \propto m_t^2</math> (expected to be large)</li> </ul>
<ol style="list-style-type: none"> <li>1. Sensitive to long distance QCD</li> <li>2. <b>Small</b> in the SM: <b>New Physics!</b> (must know SM <math>x</math> and <math>y</math>)</li> </ol>	<ol style="list-style-type: none"> <li>1. Computable in QCD (*)</li> <li>2. <b>Large</b> in the SM: <b>CKM!</b></li> </ol>

(\*) up to matrix elements of 4-quark operators

# Experimental constraints on mixing

Idea: look for a wrong-sign final state

1. Time-dependent or time-integrated semileptonic analysis

$$\text{rate} \propto x^2 + y^2$$

Quadratic in x,y: not so sensitive

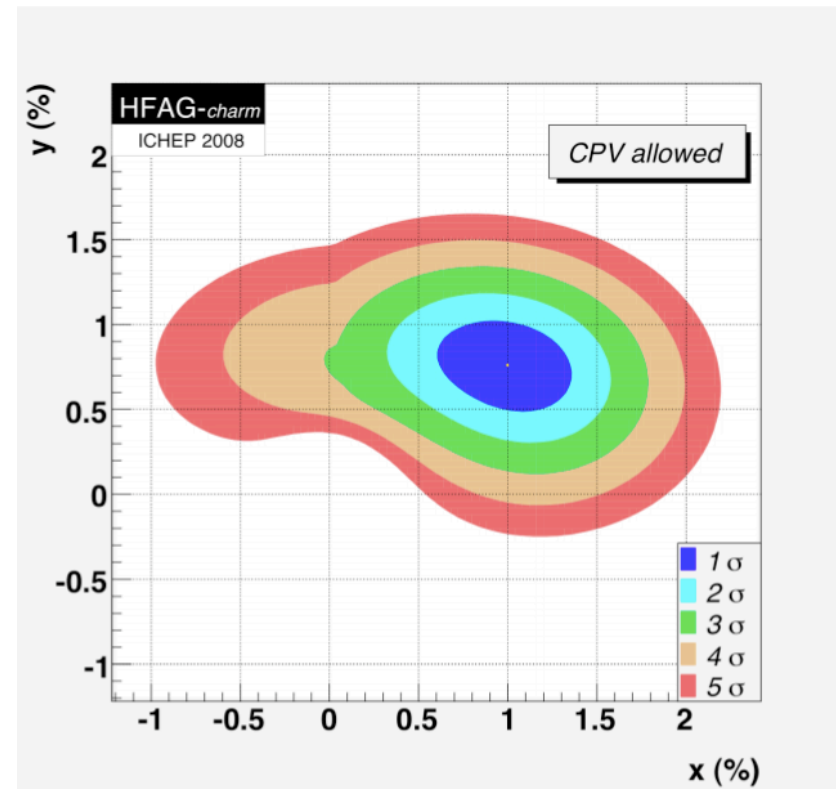
2. Time-dependent  $D^0 \rightarrow K^+ K^-$  analysis (lifetime difference)

$$y_{CP} = \frac{\tau(D \rightarrow \pi^+ K^-)}{\tau(D \rightarrow K^+ K^-)} - 1 = y \cos \phi - x \sin \phi \frac{1 - R_m}{2}$$

3. Time-dependent  $D^0(t) \rightarrow K^+ \pi^-$  analysis

$$\Gamma[D^0(t) \rightarrow K^+ \pi^-] = e^{-\Gamma t} |A_{K^+ \pi^-}|^2 \left[ R + \sqrt{R R_m} (y' \cos \phi - x' \sin \phi) \Gamma t + \frac{R_m^2}{4} (x^2 + y^2) (\Gamma t)^2 \right]$$

4. Dalitz analyses  $D^0(t) \rightarrow K \pi \pi, K K K$
5. Quantum correlations analyses



$$R_m^2 = \left| \frac{q}{p} \right|^2, \quad x' = x \cos \delta + y \sin \delta, \quad y' = y \cos \delta - x \sin \delta$$

Sensitive to DCS/CF strong phase  $\delta$

# Recent experimental results

## ★ Recent experimental data

[287] **Charm mixing and CPV at CDF**

by Nagesh KULKARNI (Wayne State University)  
(17:18 - 17:42)

[slides](#)

[452] **Measurement of  $D^0$ - $D^0$ bar mixing and search for CP violation at Babar**

by Prof. Michael SOKOLOFF (University of Cincinnati)  
(17:42 - 18:06)

[slides](#)

[320] **Quantum Correlated Neutral D Meson Decays**

by Dr. Adam LINCOLN (Wayne State University)  
(18:06 - 18:30)

[slides](#)

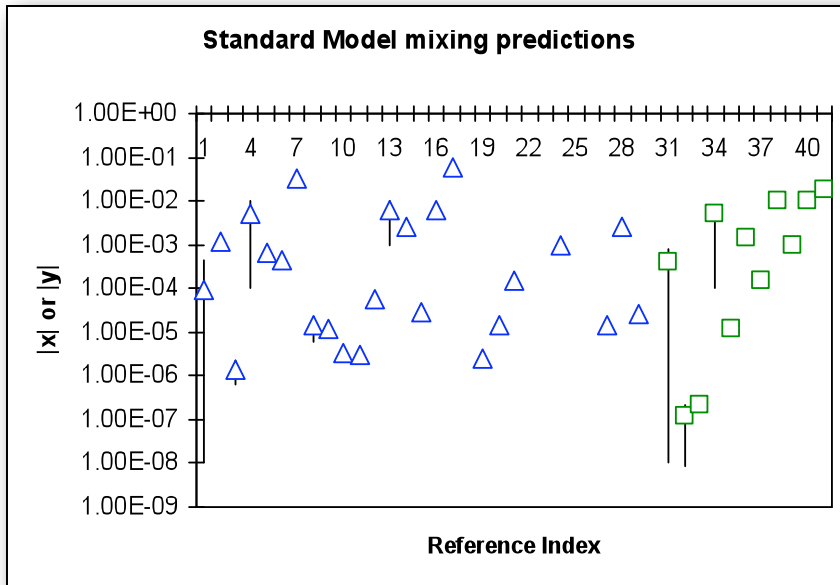
## ★ Recent HFAG numbers

$$x_D \equiv \frac{\Delta M_D}{\Gamma_D} = 0.0100_{-0.0026}^{+0.0024} \quad \text{and} \quad y_D \equiv \frac{\Delta \Gamma_D}{2\Gamma_D} = 0.0076_{-0.0018}^{+0.0017}$$

See talks above for additional details

$|x| \gg |y|$  is NO LONGER a signal for New Physics

# Standard Model predictions



\* Not an actual representation of theoretical uncertainties. Objects might be bigger than what they appear to be...

\*

★ Predictions of  $x$  and  $y$  in the SM are complicated

- second order in flavor SU(3) breaking
- $m_c$  is not quite large enough for OPE
  - $x, y \ll 10^{-3}$  ("short-distance")
  - $x, y \sim 10^{-2}$  ("long-distance")

★ Short distance:

- assume  $m_c$  is large
- combined  $m_s, 1/m_c, a_s$  expansions
- leading order:  $m_s^2, 1/m_c^6!$

H. Georgi; T. Ohl, ...  
I. Bigi, N. Uraltsev;  
M. Bobrowski et al

★ Long distance:

- assume  $m_c$  is NOT large
- sum of large numbers with alternating signs, SU(3) forces zero!
- multiparticle intermediate states dominate

J. Donoghue et. al.  
P. Colangelo et. al.

Falk, Grossman, Ligeti, Nir. A.A.P.  
Phys.Rev. D69, 114021, 2004  
Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002

**Resume:** a contribution to  $x$  and  $y$  of the order of 1% is natural in the SM

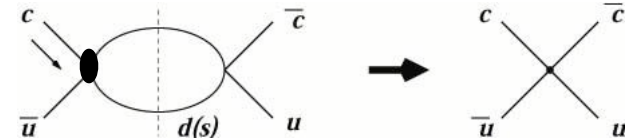
# How New Physics affects $x$ and $y$

- Local  $\Delta C=2$  piece of the mass matrix affects  $x$ :

$$\left( M - \frac{i}{2} \Gamma \right)_{ij} = m_D^{(0)} \delta_{ij} + \frac{1}{2m_D} \langle D_i^0 | H_W^{\Delta C=2} | D_j^0 \rangle + \frac{1}{2m_D} \sum_I \frac{\langle D_i^0 | H_W^{\Delta C=1} | I \rangle \langle I | H_W^{\Delta C=1} | D_j^0 \rangle}{m_D^2 - m_I^2 + i\epsilon}$$

- Double insertion of  $\Delta C=1$  affects  $x$  and  $y$ :

Amplitude  $A_n = \langle D^0 | (H_{SM}^{\Delta C=1} + H_{NP}^{\Delta C=1}) | n \rangle \equiv A_n^{SM} + A_n^{NP}$



Suppose  $|A_n^{NP}| / |A_n^{SM}| : O(\text{exp. uncertainty}) \leq 10\%$

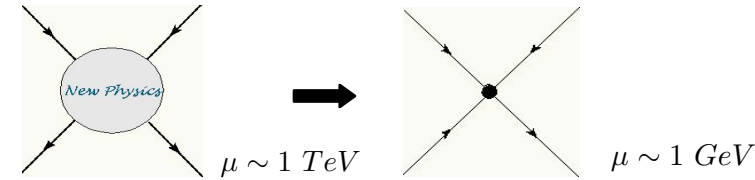
Example:  $y = \frac{1}{2\Gamma} \sum_n \rho_n \left( \bar{A}_n^{SM} + \bar{A}_n^{NP} \right) \left( A_n^{SM} + A_n^{NP} \right) \approx \frac{1}{2\Gamma} \sum_n \rho_n \bar{A}_n^{SM} A_n^{SM} + \frac{1}{2\Gamma} \sum_n \rho_n \left( \bar{A}_n^{SM} A_n^{NP} + \bar{A}_n^{NP} A_n^{SM} \right)$

↗  
phase space



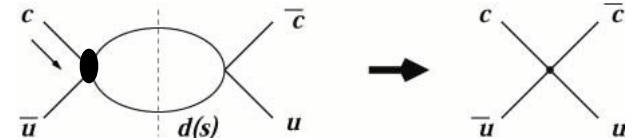
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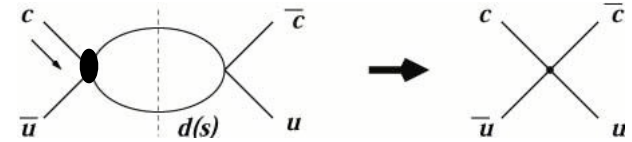
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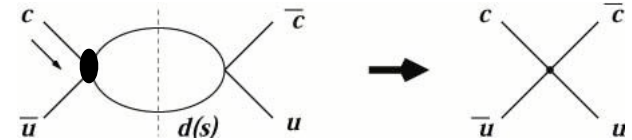
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phase space

Zero in the SU(3) limit

Falk, Grossman, Ligeti, and A.A.P.

Phys.Rev. D65, 054034, 2002

2<sup>nd</sup> order effect!!!

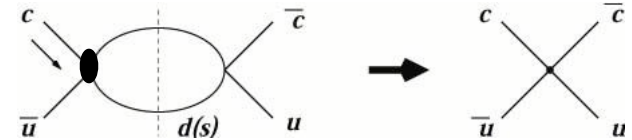
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phase space

Zero in the SU(3) limit

Can be significant!!!

Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002  
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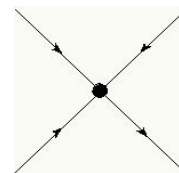
# Global Analysis of New Physics: $\Delta C=1$

E. Golowich, S. Pakvasa, A.A.P.  
Phys. Rev. Lett. 98, 181801, 2007

➤ Let's write the most general  $\Delta C=1$  Hamiltonian

$$\mathcal{H}_{\text{NP}}^{\Delta C=-1} = \sum_{q,q'} D_{qq'} [\bar{C}_1(\mu) Q_1 + \bar{C}_2(\mu) Q_2],$$

$$Q_1 = \bar{u}_i \bar{\Gamma}_1 q'_j \bar{q}_j \bar{\Gamma}_2 c_i, \quad Q_2 = \bar{u}_i \bar{\Gamma}_1 q'_i \bar{q}_j \bar{\Gamma}_2 c_j,$$



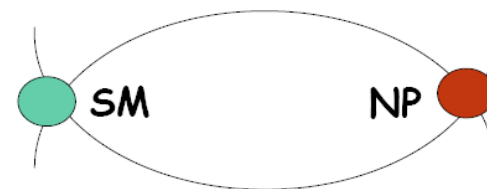
$\mu \leq 1 \text{ TeV}$

Only light on-shell (propagating) quarks affect  $\Delta\Gamma$ :

$$y = -\frac{4\sqrt{2}G_F}{M_D \Gamma_D} \sum_{q,q'} \mathbf{V}_{cq'}^* \mathbf{V}_{uq} D_{qq'} (K_1 \delta_{ik} \delta_{j\ell} + K_2 \delta_{i\ell} \delta_{jk})$$

$$\times \sum_{\alpha=1}^5 I_{\alpha}(x, x') \langle \bar{D}^0 | \mathcal{O}_{\alpha}^{ijk\ell} | D^0 \rangle,$$

with  $K_1 = [c_1 \bar{c}_1 N_c + (c_1 \bar{c}_2 + \bar{c}_1 c_2)]$ ,  $K_2 = c_2 \bar{c}_2$  and



$$\mathcal{O}_1^{ijk\ell} = \bar{u}_k \Gamma_{\mu} \gamma_{\nu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \gamma^{\nu} \Gamma^{\mu} c_i$$

$$\mathcal{O}_2^{ijk\ell} = \bar{u}_k \Gamma_{\mu} \not{p}_c \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \not{p}_c \Gamma^{\mu} c_i$$

$$\mathcal{O}_3^{ijk\ell} = \bar{u}_k \Gamma_{\mu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \not{p}_c \Gamma^{\mu} c_i$$

$$\mathcal{O}_4^{ijk\ell} = \bar{u}_k \Gamma_{\mu} \not{p}_c \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \Gamma^{\mu} c_i$$

$$\mathcal{O}_5^{ijk\ell} = \bar{u}_k \Gamma_{\mu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \Gamma^{\mu} c_i$$

This is the master formula for NP contribution to lifetime differences in heavy mesons

# Global Analysis of New Physics: $\Delta C=1$

## ➤ Some examples of New Physics contributions

Model	$y_D$	Comment
RPV-SUSY	$6 \cdot 10^{-6}$	Squark Exch.
	$-4 \cdot 10^{-2}$	Slepton Exch.
Left-right	$-5 \cdot 10^{-6}$	'Manifest'.
	$-8.8 \cdot 10^{-5}$	'Nonmanifest'.
Multi-Higgs	$2 \cdot 10^{-10}$	Charged Higgs
Extra Quarks	$10^{-8}$	Not Little Higgs

E. Golowich, S. Pakvasa, A.A.P.  
Phys. Rev. Lett. 98, 181801, 2007

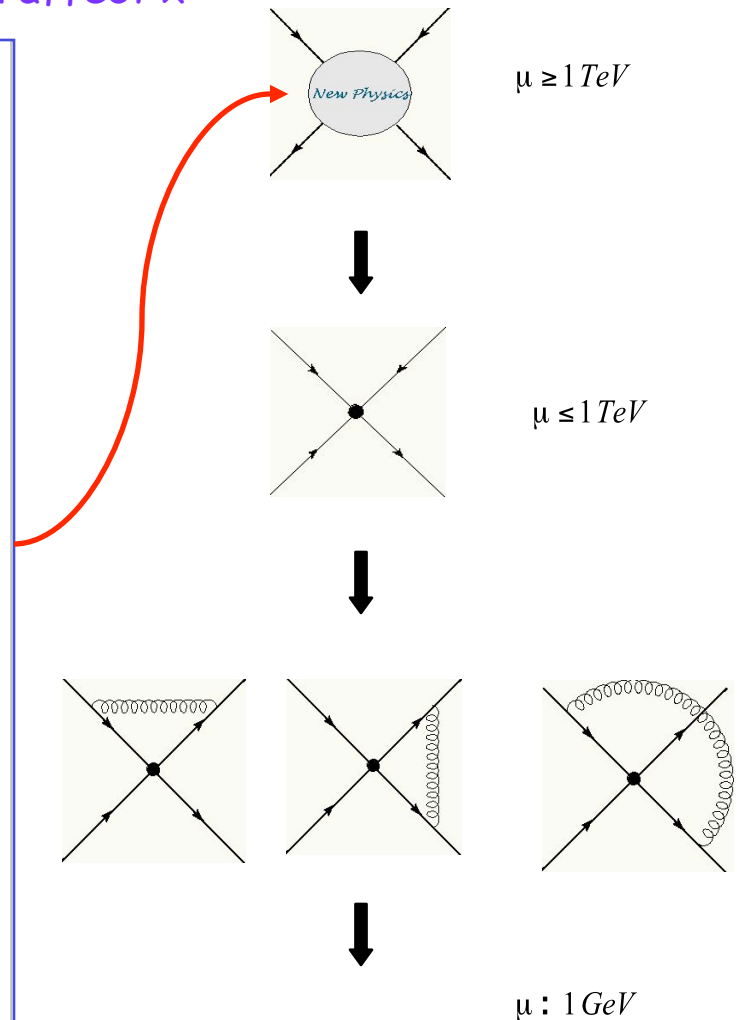
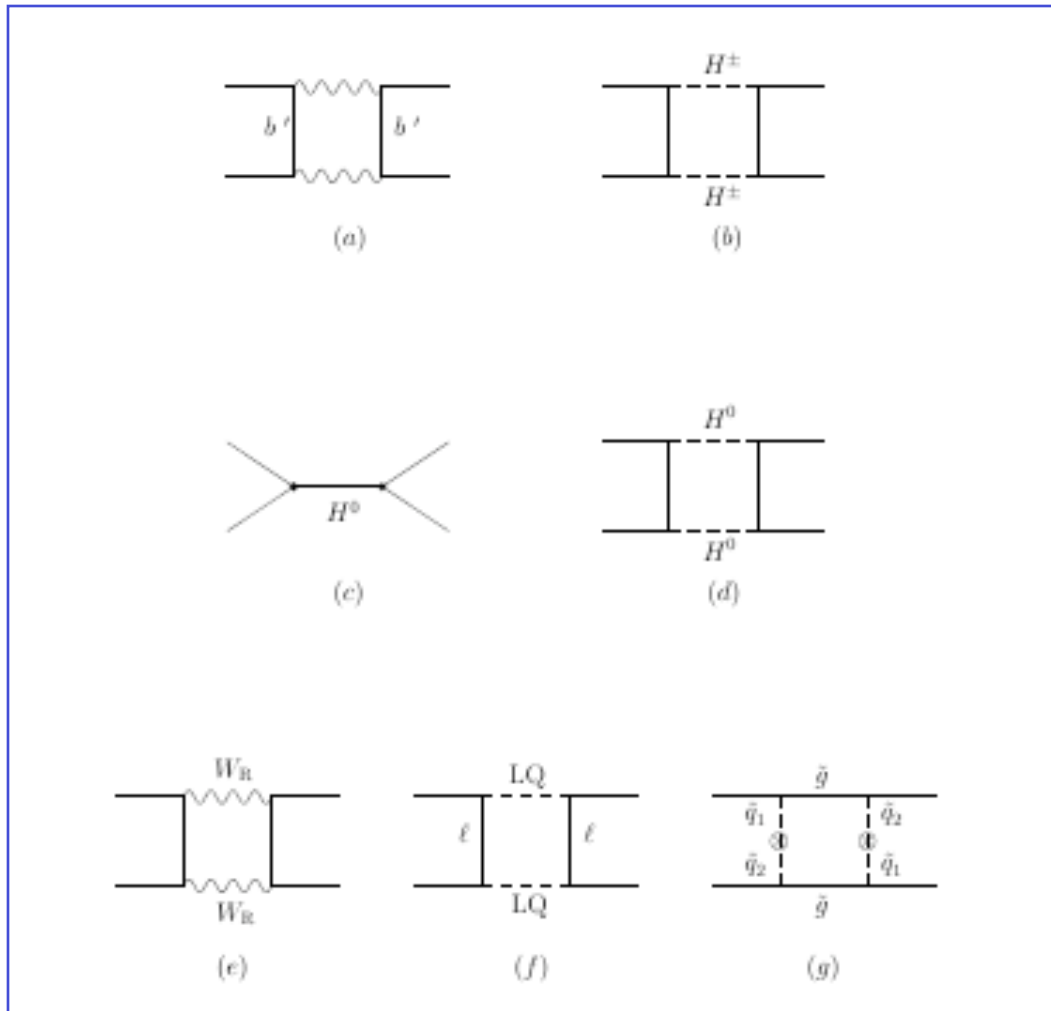
A.A.P. and G. Yeghiyan  
Phys. Rev. D77, 034018 (2008)

M. Bobrowski et al  
arXiv: 0904.3971 [hep-ph]

For considered models, the results are smaller than observed mixing rates

# Global Analysis of New Physics: $\Delta C=2$

➤ Multitude of various models of New Physics can affect  $x$



# Global Analysis of New Physics: $\Delta C=2$

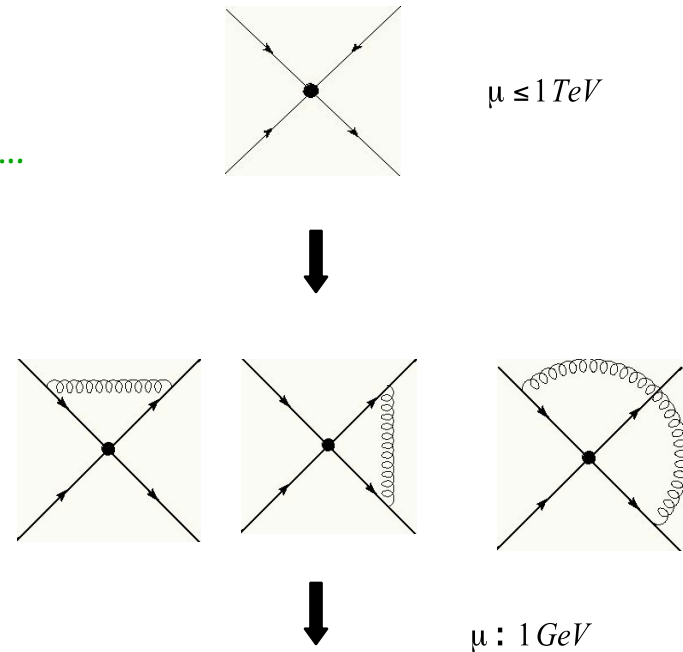
E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

➤ Let's write the most general  $\Delta C=2$  Hamiltonian

$$\langle f | \mathcal{H}_{NP} | i \rangle = G \sum_{i=1} C_i(\mu) \langle f | Q_i | i \rangle(\mu)$$

... with the following set of 8 independent operators...

$$\begin{aligned} Q_1 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_L \gamma^\mu c_L), & Q_5 &= (\bar{u}_R \sigma_{\mu\nu} c_L) (\bar{u}_R \sigma^{\mu\nu} c_L), \\ Q_2 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_R \gamma^\mu c_R), & Q_6 &= (\bar{u}_R \gamma_\mu c_R) (\bar{u}_R \gamma^\mu c_R), \\ Q_3 &= (\bar{u}_L c_R) (\bar{u}_R c_L), & Q_7 &= (\bar{u}_L c_R) (\bar{u}_L c_R), \\ Q_4 &= (\bar{u}_R c_L) (\bar{u}_R c_L), & Q_8 &= (\bar{u}_L \sigma_{\mu\nu} c_R) (\bar{u}_L \sigma^{\mu\nu} c_R). \end{aligned}$$



RG-running relate  $C_i(m)$  at NP scale to the scale of  $m \sim 1 \text{ GeV}$ , where ME are computed (on the lattice)

$$\frac{d}{d \log \mu} \vec{C}(\mu) = \hat{\gamma}^T(\mu) \vec{C}(\mu)$$

Each model of New Physics  
provides unique matching  
condition for  $C_i(\Lambda_{NP})$



# New Physics in $x$ : lots of extras

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

New Physics contributions do not suffer from QCD uncertainties as much as SM contributions since they are short-distance dominated.

- Extra gauge bosons

Left-right models, horizontal symmetries, etc.

- Extra scalars

Two-Higgs doublet models, leptoquarks, Higgsless, etc.

- Extra fermions

4<sup>th</sup> generation, vector-like quarks, little Higgs, etc.

- Extra dimensions

Universal extra dimensions, split fermions, warped ED, etc.

- Extra symmetries

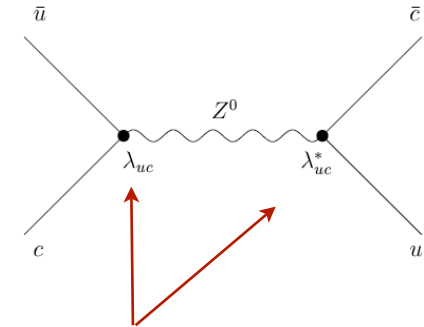
SUSY: MSSM, alignment models, split SUSY, etc.

**Total:** 21 models considered

# Dealing with New Physics-I

➤ Consider an example: FCNC  $Z^0$ -boson

appears in models with  
extra vector-like quarks  
little Higgs models



$$\lambda_{uc} \equiv -(V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb})$$

1. Integrate out Z: for  $\mu < M_Z$  get

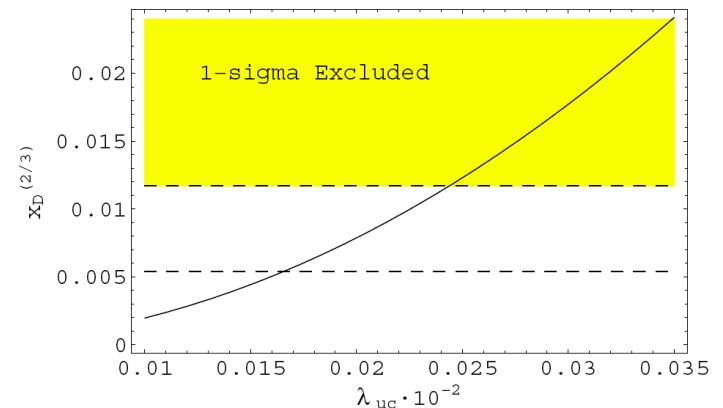
$$\mathcal{H}_{2/3} = \frac{g^2}{8 \cos^2 \theta_w M_Z^2} (\lambda_{uc})^2 \bar{u}_L \gamma_\mu c_L \bar{u}_L \gamma^\mu c_L$$

2. Perform RG running to  $\mu \sim m_c$  (in general: operator mixing)

$$\mathcal{H}_{2/3} = \frac{g^2}{8 \cos^2 \theta_w M_Z^2} (\lambda_{uc})^2 r_1(m_c, M_Z) Q_1$$

3. Compute relevant matrix elements and  $x_D$

$$x_D^{(2/3)} = \frac{2G_F f_D^2 M_D}{3\sqrt{2}\Gamma_D} B_D (\lambda_{uc})^2 r_1(m_c, M_Z)$$

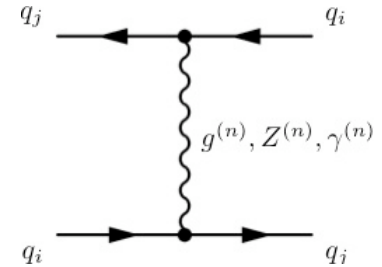


4. Assume no SM - get an upper bound on NP model parameters (coupling)

# Dealing with New Physics - II

➤ Consider another example: warped extra dimensions

FCNC couplings via KK gluons



1. Integrate out KK excitations, drop all but the lightest

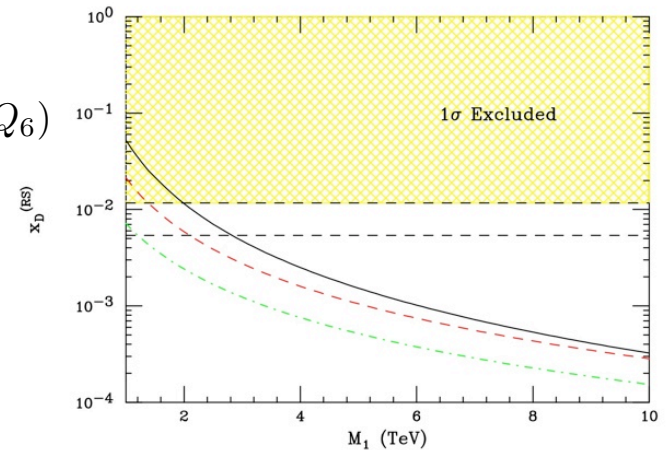
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 (C_1(M_n)Q_1 + C_2(M_n)Q_2 + C_6(M_n)Q_6)$$

2. Perform RG running to  $\mu \sim m_c$

$$\mathcal{H}_{RS} = \frac{g_s^2}{3M_1^2} (C_1(m_c)Q_1 + C_2(m_c)Q_2 + C_3(m_c)Q_3 + C_6(m_c)Q_6)$$

3. Compute relevant matrix elements and  $x_D$

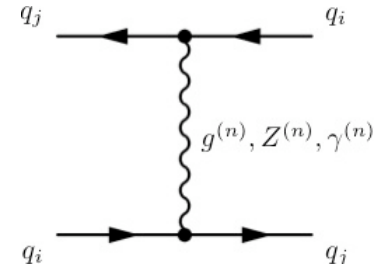
$$x_D^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left( \frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$



# Dealing with New Physics - II

➤ Consider another example: warped extra dimensions

FCNC couplings via KK gluons



1. Integrate out KK excitations, drop all but the lightest

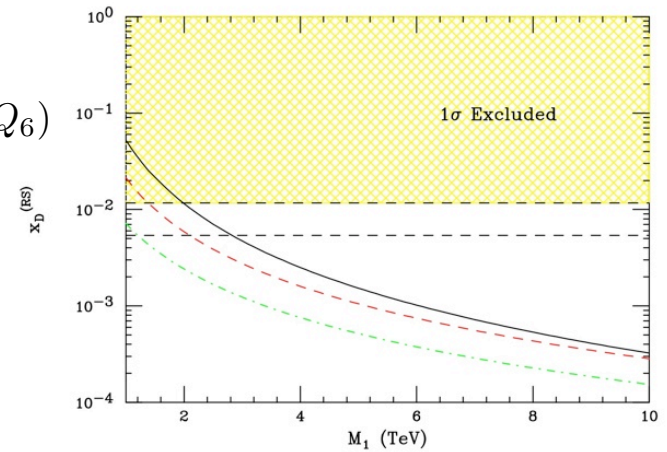
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 (C_1(M_n)Q_1 + C_2(M_n)Q_2 + C_6(M_n)Q_6)$$

2. Perform RG running to  $\mu \sim m_c$

$$\mathcal{H}_{RS} = \frac{g_s^2}{3M_1^2} (C_1(m_c)Q_1 + C_2(m_c)Q_2 + C_3(m_c)Q_3 + C_6(m_c)Q_6)$$

3. Compute relevant matrix elements and  $x_D$

$$x_D^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left( \frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$

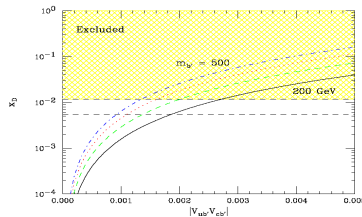


Implies:  $M_{1KKg} > 2.5 \text{ TeV!}$

# Constraints on New Physics from $x$

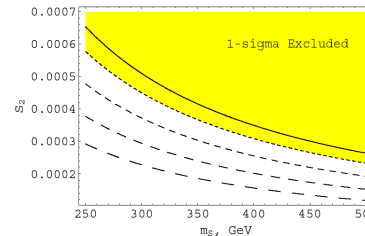
➤ Extra fermions

4th generation



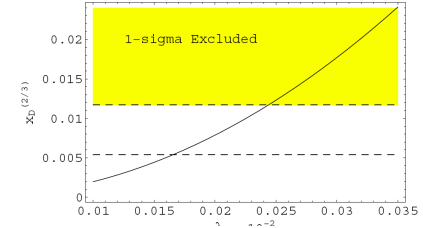
$$x_D^{(4th)} = \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D \lambda_{ij}^2 S(x_{ij}, x_{ij}) r_1(m_c, M_W)$$

Vector-like quarks (Q=+2/3)



$$x_D^{(-1/3)} \simeq \frac{G_F^2}{6\pi^2 \Gamma_D} f_D^2 B_D r_1(m_c, M_W) M_D M_W^2 (V_{cs}^* V_{us})^2 f(x_S)$$

Vector-like quarks (Q=-1/3)

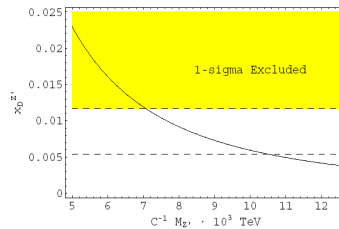


$$x_D^{(2/3)} = \frac{2G_F}{3\sqrt{2}\Gamma_D} (\lambda_{uc})^2 r_1(m_c, M_Z) f_D^2 M_D B_1$$

$$\lambda_{uc} \equiv -(V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb})$$

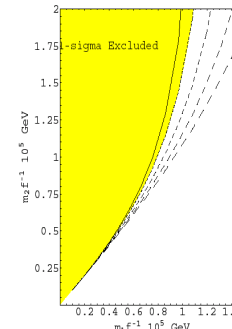
➤ Extra vector bosons

Generic Z' models



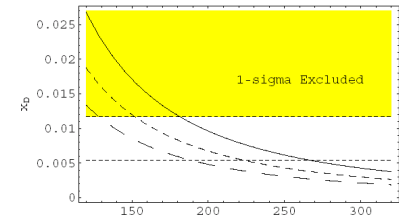
$$x_D^{(Z')} = \frac{f_D^2 B_D M_D}{2\Gamma_D M_{Z'}^2} \left[ \frac{2}{3} (C_1(m_c) + C_6(m_c)) + C_2(m_c) \left( \frac{1}{2} + \frac{\eta}{3} \right) + C_3(m_c) \left( \frac{1}{12} - \frac{\eta}{2} \right) \right]$$

Family symmetry



$$x_D^{(FS)} = \frac{2}{3\Gamma_D} r_1(m_c, M) \left( \frac{f^2}{m_1^2} - \frac{f^2}{m_2^2} \right) f_D^2 M_D B_D$$

Vector leptoquarks

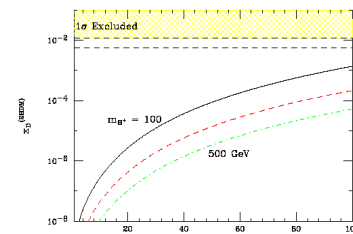


$$x_D^{(VLQ)} = -\frac{1}{8\pi^2 m_{LQ}^2 \Gamma_D M_D} \left[ (\lambda_L(Q_1) + \lambda_R(Q_6)) + \frac{10}{9} \frac{m_c^2}{m_{LQ}^2} (\lambda_L(Q_7) + \lambda_R(Q_4)) \right]$$

$$= -\frac{f_D^2 M_D B_D}{12\pi^2 m_{LQ}^4 \Gamma_D} (\lambda_L + \lambda_R) \left( 1 + \frac{5\eta}{3} \frac{m_c^2}{m_{LQ}^2} \right)$$

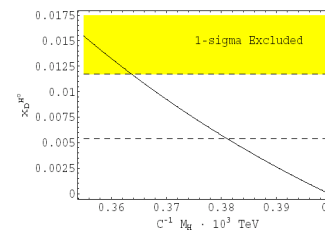
➤ Extra scalars

2 Higgs doublet



$$x_D^{(2HDM)} = \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D r_1(m_c, M_{H^\pm}) \times \sum_{ij} \lambda_i \lambda_j \left[ \tan^4 \beta A_{HH}(x_i, x_j, x_H) + \tan^2 \beta A_{WH}(x_i, x_j, x_H) \right]$$

FCNC Higgs



$$x_D^{(H)} = \frac{5f_D^2 M_D B_D}{24\Gamma_D M_H^2} \left[ \frac{1-\eta}{5} C_1(m_c) + \eta (C_1(m_c) + C_7(m_c)) - \frac{12\eta}{5} (C_1(m_c) + C_8(m_c)) \right]$$

Extra dimensions,  
extra symmetries,  
etc...

# Summary: New Physics in mixing

Model	Approximate Constraint
Fourth Generation (Fig. 2)	$ V_{ub}V_{cb}  \cdot m_b < 0.5$ (GeV)
$Q = -1/3$ Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27$ (GeV)
$Q = +2/3$ Singlet Quark (Fig. 6)	$ \lambda_{uc}  < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark Box: Region of parameter space can reach observed $x_D$
Generic $Z'$ (Fig. 7)	$M_{Z'}/C > 2.2 \cdot 10^3$ TeV
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3$ TeV (with $m_1/m_2 = 0.5$ )
Left-Right Symmetric (Fig. 9)	No constraint
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2$ TeV ( $m_{D_1} = 0.5$ TeV) $(\Delta m/m_{D_1})/M_R > 0.4$ TeV <sup>-1</sup>
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1)$ TeV
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3$ TeV
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc}  > 600$ GeV
Scalar Leptoquark Bosons	See entry for RPV SUSY
Higgsless (Fig. 17)	$M > 100$ TeV
Universal Extra Dimensions	No constraint
Split Fermion (Fig. 19)	$M/ \Delta y  > (6 \cdot 10^2)$ GeV
Warped Geometries (Fig. 21)	$M_1 > 3.5$ TeV
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta_{12}^u)_{LR,RL}  < 3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1$ TeV $ (\delta_{12}^u)_{LL,RR}  < .25$ for $\tilde{m} \sim 1$ TeV
Supersymmetric Alignment	$\tilde{m} > 2$ TeV
Supersymmetry with RPV (Fig. 27)	$\lambda'_{12k} \lambda'_{11k}/m_{\tilde{d}_{R,k}} < 1.8 \cdot 10^{-3}/100$ GeV
Split Supersymmetry	No constraint

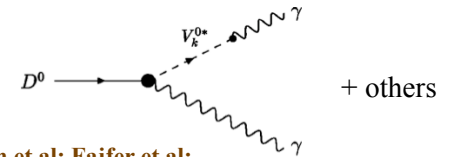
- ✓ Considered 21 well-established models
- ✓ Only 4 models yielded no useful constraints
- ✓ Consult paper for explicit constraints on your favorite model!

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

# 3. Mixing vs rare decays

- These decays only proceed at one loop in the SM; GIM is very effective
  - SM rates are expected to be small

- ★ Radiative decays  $D \rightarrow \gamma X$ ,  $\gamma\gamma$  mediated by  $c \rightarrow u \gamma$ 
  - SM contribution is dominated by LD effects
  - dominated by SM anyway: useless?



Burdman et al; Fajfer et al;  
Greub, Hurth, Misiak, Wyler

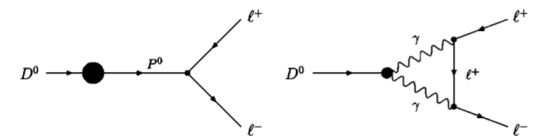
- ★ Rare decays  $D \rightarrow e^+e^-/\mu^+\mu^-/\tau^+\tau^-$  mediated by  $c \rightarrow u \ell\ell$

$$\mathcal{L}_{\text{eff}}^{\text{SD}} = \frac{G_F}{\sqrt{2}} V_{cb}^* V_{ub} \sum_{i=7,9,10} C_i Q_i$$

$$Q_7 = \frac{e}{8\pi^2} m_c F_{\mu\nu} \bar{u} \sigma^{\mu\nu} (1 + \gamma_5) c, \quad Q_9 = \frac{e^2}{16\pi^2} \bar{u}_L \gamma_\mu c_L \bar{\ell} \gamma^\mu \ell,$$

$$Q_{10} = \frac{e^2}{16\pi^2} \bar{u}_L \gamma_\mu c_L \bar{\ell} \gamma^\mu \gamma_5 \ell,$$

- SM contribution is dominated by LD effects
- could be used to study NP effects and correlate to mixing



- ★ Rare decays  $D \rightarrow M e^+e^-/\mu^+\mu^-/\tau^+\tau^-$  mediated by  $c \rightarrow u \ell\ell$ 
  - SM contribution is dominated by LD effects
  - could be used to study NP effects

Burdman, Golowich, Hewett, Pakvasa;  
Fajfer, Prelovsek, Singer

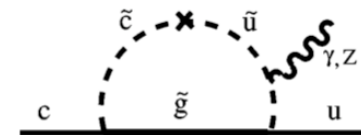
# Rare and radiative decays

## ➤ Some examples of New Physics contributions

### ★ R-parity-conserving SUSY

- operators with the same mass insertions contribute to D-mixing

Bigi, Gabbiani, Masiero; Prelovsek, Wyler; Ciuchini et al; Nir; Golowich et al.

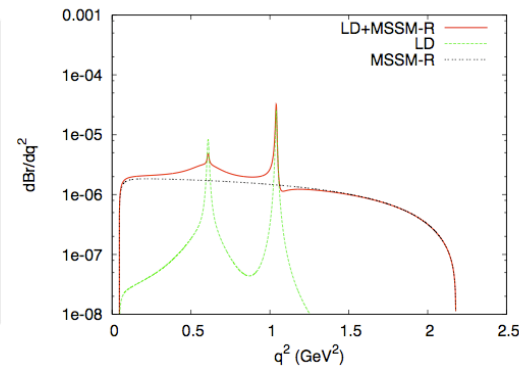
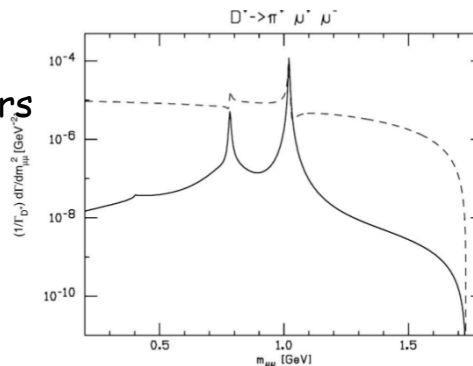


- feed results into rare decays: NP is smaller than LD SM!

Fajfer, Kosnik, Prelovsek

### ★ R-parity-violating SUSY

- operators with the same parameters contribute to D-mixing
- feed results into rare decays



### ★ Same for other models...

Mode	LD	Extra heavy $q$	LD + extra heavy $q$
$D^+ \rightarrow \pi^+ e^+ e^-$	$2.0 \times 10^{-6}$	$1.3 \times 10^{-9}$	$2.0 \times 10^{-6}$
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$2.0 \times 10^{-6}$	$1.6 \times 10^{-9}$	$2.0 \times 10^{-6}$
Mode	MSSM-R	LD + MSSM-R	
$D^+ \rightarrow \pi^+ e^+ e^-$	$2.1 \times 10^{-7}$	$2.3 \times 10^{-6}$	
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$6.5 \times 10^{-6}$	$8.8 \times 10^{-6}$	

Impact of NP is reduced...



# Mixing vs rare decays

## Basics of rare decays

★ Most general effective Hamiltonian:

$$\langle f | \mathcal{H}_{NP} | i \rangle = G \sum_{i=1} C_i(\mu) \langle f | Q_i | i \rangle(\mu)$$

$$\begin{aligned} \tilde{Q}_1 &= (\bar{\ell}_L \gamma_\mu \ell_L) (\bar{u}_L \gamma^\mu c_L), & \tilde{Q}_4 &= (\bar{\ell}_R \ell_L) (\bar{u}_R c_L), \\ \tilde{Q}_2 &= (\bar{\ell}_L \gamma_\mu \ell_L) (\bar{u}_R \gamma^\mu c_R), & \tilde{Q}_5 &= (\bar{\ell}_R \sigma_{\mu\nu} \ell_L) (\bar{u}_R \sigma^{\mu\nu} c_L), \\ \tilde{Q}_3 &= (\bar{\ell}_L \ell_R) (\bar{u}_R c_L), & & \text{plus } L \leftrightarrow R \end{aligned}$$

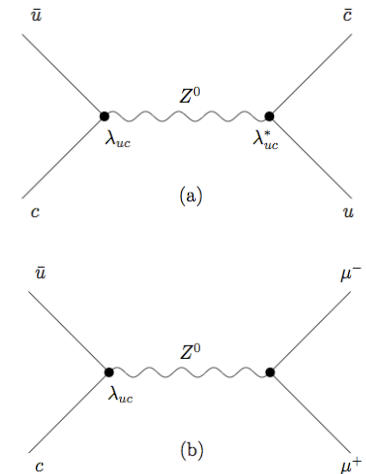
★ ... thus, the amplitude for  $D \rightarrow e^+ e^- / \mu^+ \mu^- / \tau^+ \tau^-$  decay is

$$\mathcal{B}_{D^0 \rightarrow \ell^+ \ell^-} = \frac{M_D}{8\pi\Gamma_D} \sqrt{1 - \frac{4m_\ell^2}{M_D^2}} \left[ \left(1 - \frac{4m_\ell^2}{M_D^2}\right) |A|^2 + |B|^2 \right],$$

$$\mathcal{B}_{D^0 \rightarrow \mu^+ e^-} = \frac{M_D}{8\pi\Gamma_D} \left(1 - \frac{m_\mu^2}{M_D^2}\right)^2 [|A|^2 + |B|^2],$$

$$|A| = G \frac{f_D M_D^2}{4m_c} [\tilde{C}_{3-8} + \tilde{C}_{4-9}],$$

$$|B| = G \frac{f_D}{4} \left[ 2m_\ell (\tilde{C}_{1-2} + \tilde{C}_{6-7}) + \frac{M_D^2}{m_c} (\tilde{C}_{4-3} + \tilde{C}_{9-8}) \right]$$



**Important:** many NP models give contributions to both D-mixing and  $D \rightarrow e^+ e^- / \mu^+ \mu^- / \tau^+ \tau^-$  decay: **correlate!!!**

# Mixing vs rare decays

## ★ Recent experimental constraints

$$\mathcal{B}_{D^0 \rightarrow \mu^+ \mu^-} \leq 1.3 \times 10^{-6}, \quad \mathcal{B}_{D^0 \rightarrow e^+ e^-} \leq 1.2 \times 10^{-6},$$

$$\mathcal{B}_{D^0 \rightarrow \mu^\pm e^\mp} \leq 8.1 \times 10^{-7},$$

E. Golowich, J. Hewett, S. Pakvasa and A.A.P.  
arXiv: 0903.2830

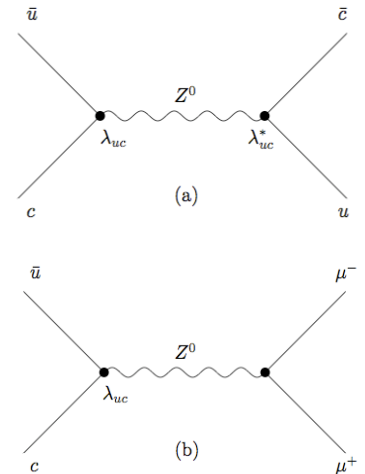
## ★ Relating mixing and rare decay

- consider an example: heavy vector-like quark (Q=+2/3)
- appears in little Higgs models, etc.

Mixing: 
$$\mathcal{H}_{2/3} = \frac{g^2}{8 \cos^2 \theta_w M_Z^2} \lambda_{uc}^2 Q_1 = \frac{G_F \lambda_{uc}^2}{\sqrt{2}} Q_1$$

$$x_D^{(+2/3)} = \frac{2G_F \lambda_{uc}^2 f_D^2 M_D B_{Dr}(m_c, M_Z)}{3\sqrt{2}\Gamma_D}$$

Rare decay: 
$$A_{D^0 \rightarrow \ell^+ \ell^-} = 0 \quad B_{D^0 \rightarrow \ell^+ \ell^-} = \lambda_{uc} \frac{G_F f_D m_\mu}{2}$$



$$\mathcal{B}_{D^0 \rightarrow \mu^+ \mu^-} = \frac{3\sqrt{2}}{64\pi} \frac{G_F m_\mu^2 x_D}{B_{Dr}(m_c, M_Z)} \left[ 1 - \frac{4m_\mu^2}{M_D} \right]^{1/2}$$

$$\simeq 4.3 \times 10^{-9} x_D \leq 4.3 \times 10^{-11}.$$

**Note:** a parameter-free relation!

# Mixing vs rare decays

## ★ Correlation between mixing/rare decays

- possible for tree-level NP amplitudes
- some relations possible for loop-dominated transitions

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
arXiv: 0903.2830 [hep-ph]

## ★ Considered several popular models

Model	$\mathcal{B}_{D^0 \rightarrow \mu^+ \mu^-}$
Standard Model (SD)	$\sim 10^{-18}$
Standard Model (LD)	$\sim \text{several} \times 10^{-13}$
$Q = +2/3$ Vectorlike Singlet	$4.3 \times 10^{-11}$
$Q = -1/3$ Vectorlike Singlet	$1 \times 10^{-11} (m_S/500 \text{ GeV})^2$
$Q = -1/3$ Fourth Family	$1 \times 10^{-11} (m_S/500 \text{ GeV})^2$
$Z'$ Standard Model (LD)	$2.4 \times 10^{-12} / (M_{Z'}(\text{TeV}))^2$
Family Symmetry	$0.7 \times 10^{-18}$ (Case A)
RPV-SUSY	$1.7 \times 10^{-9} (500 \text{ GeV}/m_{\tilde{d}_k})^2$

Upper  
limits on  
rare  
decay  
branching  
ratios

Same idea can be employed to relate D-mixing to K-mixing

Blum, Grossman, Nir, Perez  
arXiv:0903.2118 [hep-ph]

# Things to take home

- Indirect effects of New Physics at flavor factories help to distinguish among models possibly observed at the LHC
  - a combination of bottom/charm sector studies
  - don't forget measurements unique to tau-charm factories
- Charm provides great opportunities for New Physics studies
  - unique access to up-type quark sector
  - large available statistics/in many cases small SM background
  - D-mixing is a **second** order effect in SU(3) breaking ( $x, y \sim 1\%$  in the SM)
  - large contributions from New Physics are possible
  - **out of 21 models studied, 17 yielded competitive constraints**
- Can correlate mixing and rare decays with New Physics models
  - signals in D-mixing vs rare decays help differentiate among models
- Observation of CP-violation in the current round of experiments provide "smoking gun" signals for New Physics
  - Different observables should be used to disentangle CP-violating contributions to  $\Delta c=1$  and  $\Delta c=2$  amplitudes



There is always something new in charm!

Additional slides

# Theoretical estimates I

A. Short distance + "subleading corrections" (in  $\{m_s, 1/m_c\}$  expansion):

$$y_{sd}^{(6)} \propto \frac{(m_s^2 - m_d^2)^2}{m_c^2} \frac{m_s^2 + m_d^2}{m_c^2} \mu_{had}^{-2} \propto m_s^6 \Lambda^{-6}$$

$$x_{sd}^{(6)} \propto \frac{(m_s^2 - m_d^2)^2}{m_c^2} \mu_{had}^{-2} \propto m_s^4 \Lambda^{-4}$$

4 unknown matrix elements

...subleading effects?

$$y_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$$

$$x_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$$

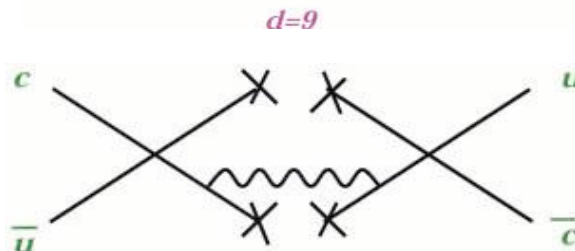


15 unknown matrix elements

H. Georgi, ...  
I. Bigi, N. Uraltsev

$$y_{sd}^{(12)} \propto \beta_0 \alpha_s^2(\mu) m_s^2 \Lambda^{-2}$$

$$x_{sd}^{(12)} \propto \alpha_s(\mu) m_s^2 \Lambda^{-2}$$



Twenty-something unknown matrix elements

Leading contribution!!!

Guestimate:  $x \sim y \sim 10^{-3}$ ?

# Theoretical estimates II

B. Long distance physics dominates the dynamics...

$m_c$  is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with  $n$  being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ ,  $KK$  intermediate states as an example...

$$\begin{aligned} y_2 &= Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ &\quad - 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)} \end{aligned}$$

J. Donoghue et. al.  
P. Colangelo et. al.

If every Br is known up to  $O(1\%)$   $\rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

$x = ?$  Extremely hard...



Need to “repackage” the analysis: look at the complete multiplet contribution



# Theoretical estimates II

B. Long distance physics dominates the dynamics...

$m_c$  is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with  $n$  being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ ,  $KK$  intermediate states as an example...

$$y_2 = Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ \ominus 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)}$$

J. Donoghue et. al.  
P. Colangelo et. al.

cancellation expected!

If every Br is known up to  $O(1\%)$   $\rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

$x = ?$  Extremely hard...



Need to “repackage” the analysis: look at the complete multiplet contribution