

# Atmospheric Neutrinos at Super-Kamiokande

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Wayne State University  
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# Super-Kamiokande

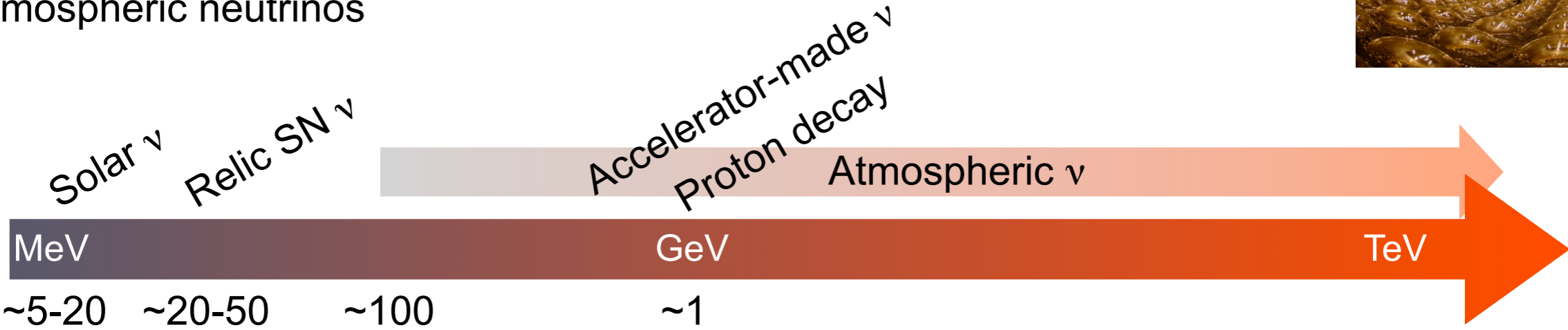
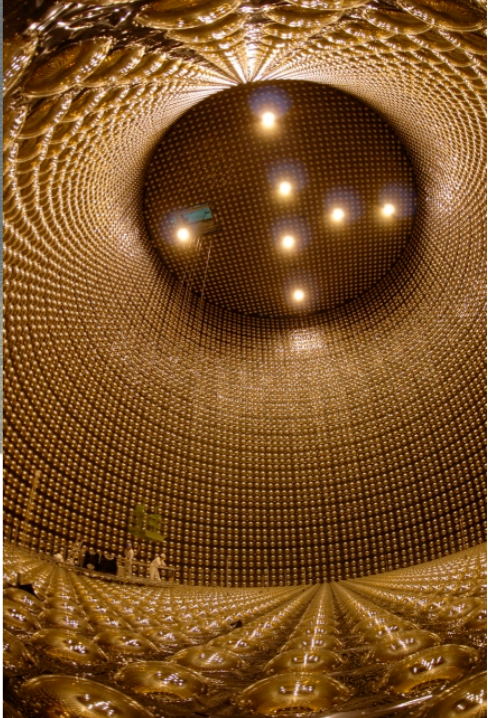
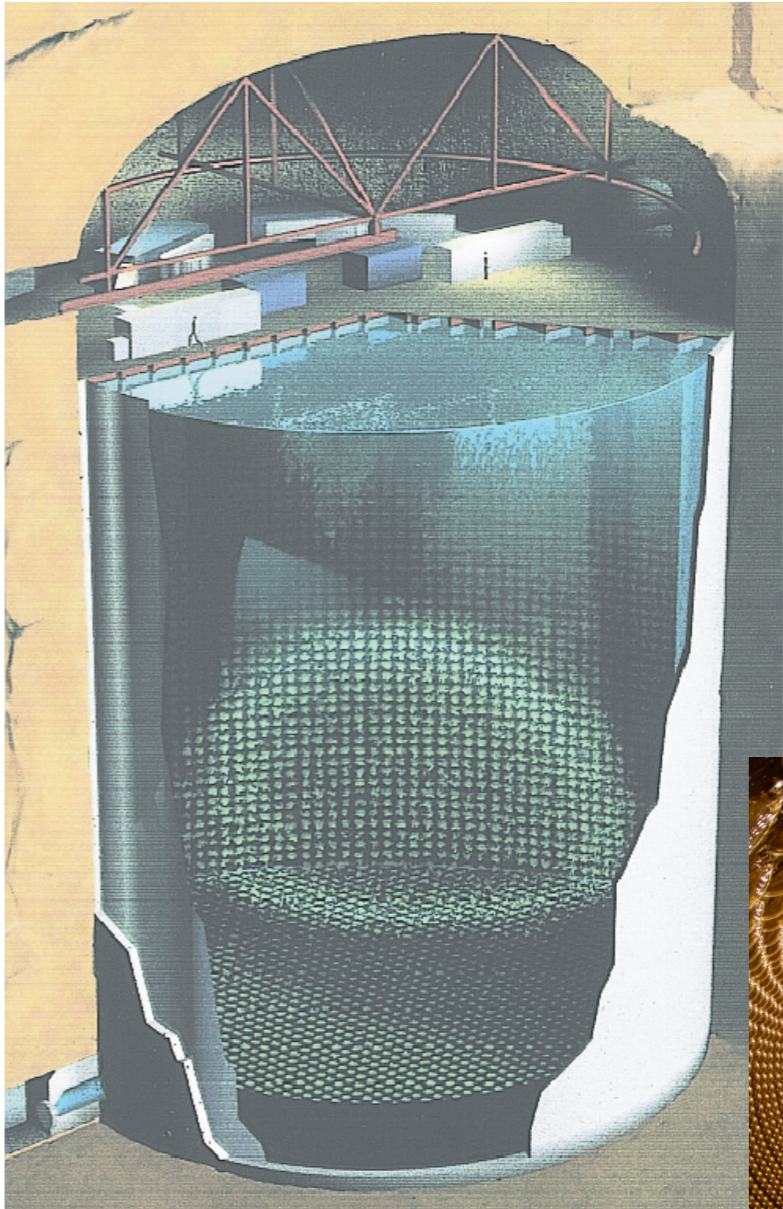
Kamioka-Mozumi zinc mine  
1 km (2700 meters-water-equiv.) rock overburden

Water Čerenkov detector  
50 ktons (22.5 ktons fiducial)

Instrumented with  
50-cm PMTs in Inner Detector (ID)  
20-cm PMTs in Outer Detector (OD)

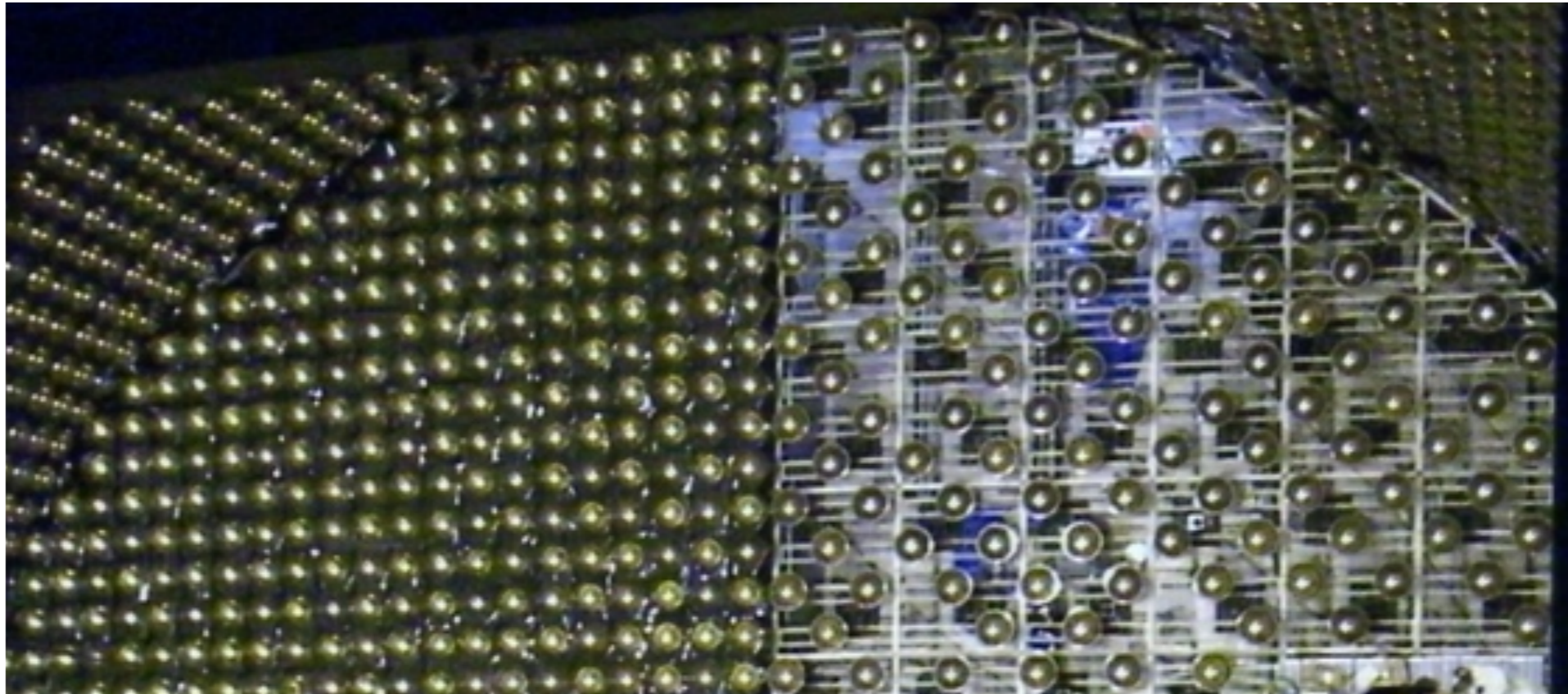
### Goals of Super-K

- Solar neutrinos
- Supernova neutrinos (+ relic SN)
- Accelerator-made neutrinos (T2K)
- Proton decay
- Atmospheric neutrinos

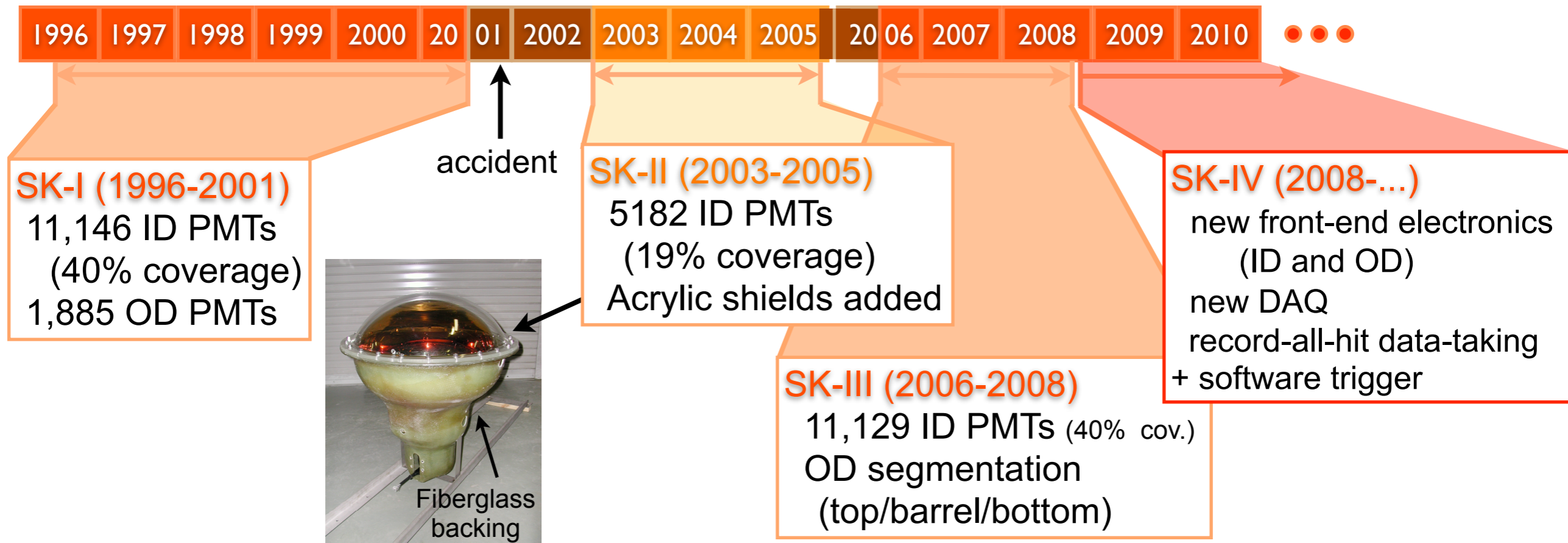




# Timeline

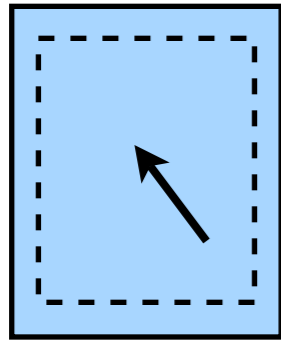


During SK-III construction

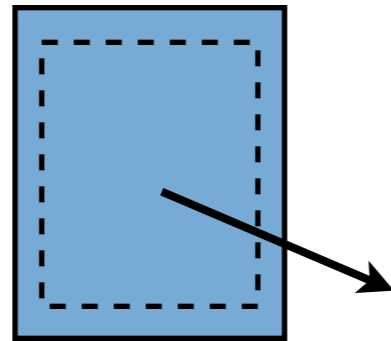


# Atmospheric $\nu$ 's

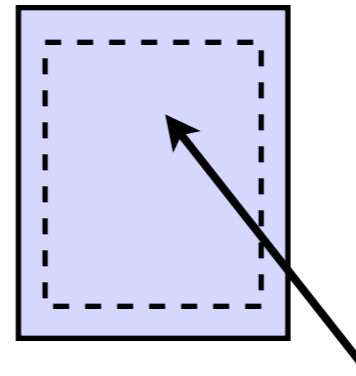
## Event Categories



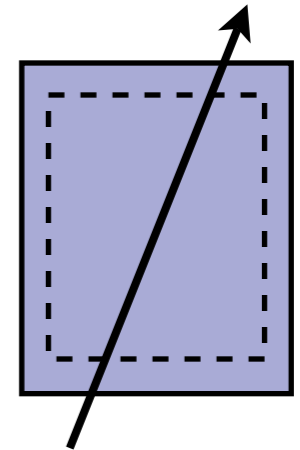
Fully-Contained



Partially-Contained



Upward  
Stopping Muon



Upward Through-  
going Muon

Event Category	Event Rate (events/day)		
	SK-I (1489 days)	SK-II (798 days)	SK-III (518 days)
Fully Contained (FC)	$8.18 \pm 0.07$	$8.22 \pm 0.10$	$8.31 \pm 0.22$
Partially Contained (PC)	$0.61 \pm 0.02$	$0.54 \pm 0.03$	$0.66 \pm 0.04$
Upward-stopping $\mu$ (Upstop)	$0.25 \pm 0.01$	$0.28 \pm 0.02$	$0.24 \pm 0.03$
Upward-thrugoing $\mu$ (Upthru)	$1.12 \pm 0.03$	$1.07 \pm 0.04$	$1.11 \pm 0.06$

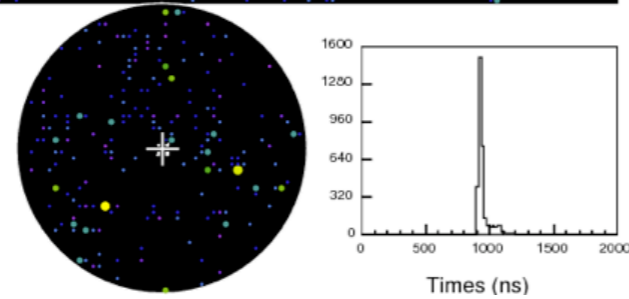
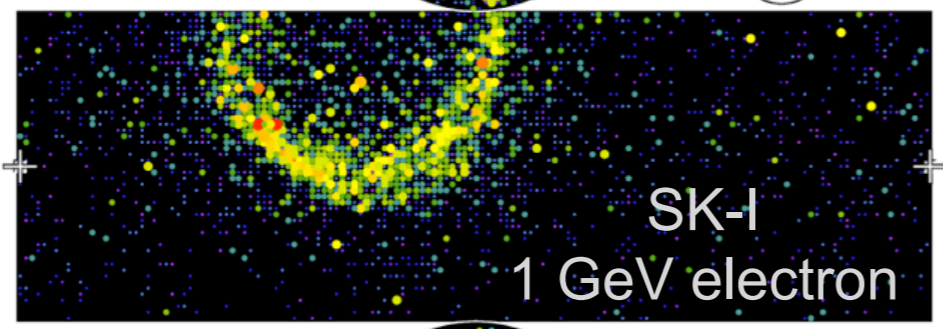
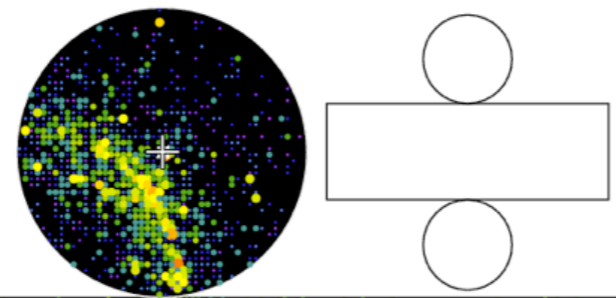
Event rates consistent across all phases of SK



# Atmospheric $\nu$ 's at Super-K (simulated events)

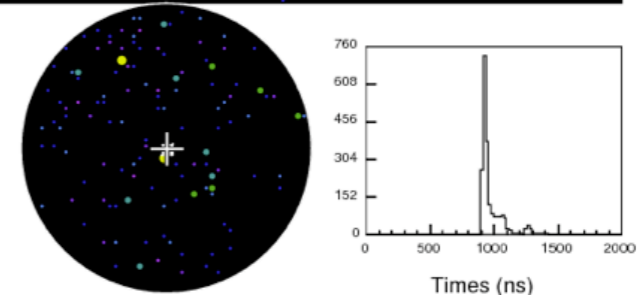
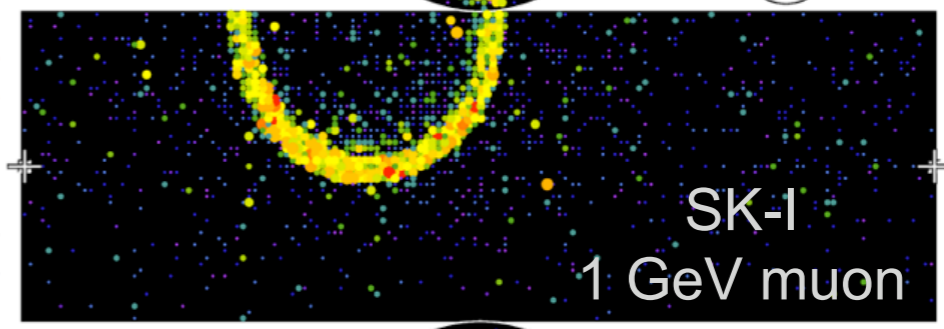
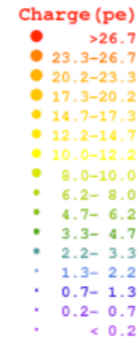
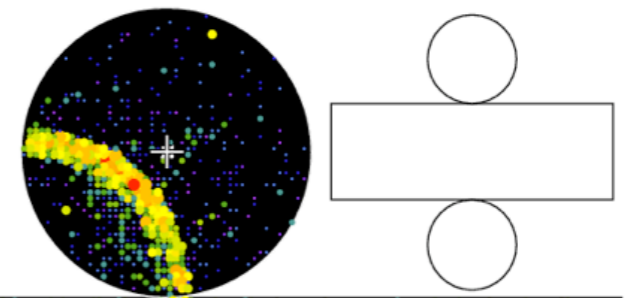
## Super-Kamiokande I

Run 0 Sub 0 Ev 1  
 08-05-19:03:56:17  
 Inner: 3389 hits, 9190 pE  
 Outer: 0 hits, 0 pE (in-time)  
 Trigger ID: 0x00  
 D wall: 1690.0 cm  
 Fully-Contained Mode



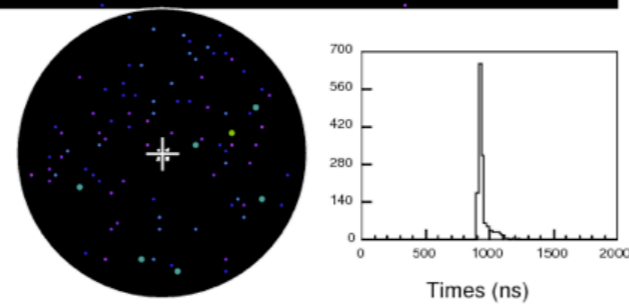
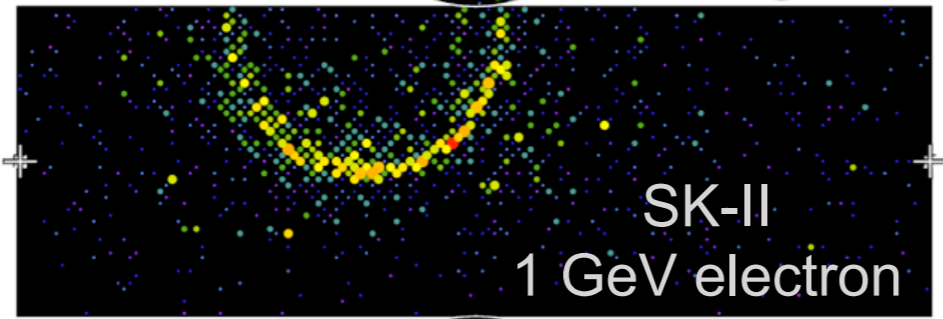
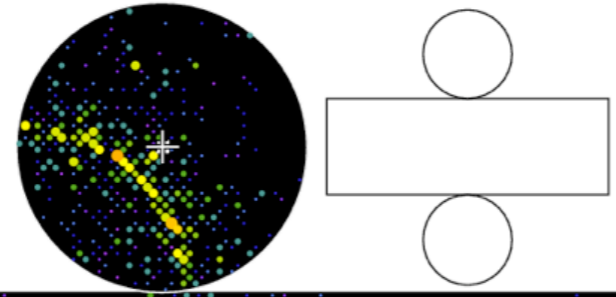
## Super-Kamiokande I

Run 0 Sub 0 Ev 2  
 08-05-19:03:56:30  
 Inner: 2153 hits, 8150 pE  
 Outer: 0 hits, 0 pE (in-time)  
 Trigger ID: 0x00  
 D wall: 1690.0 cm  
 Fully-Contained Mode



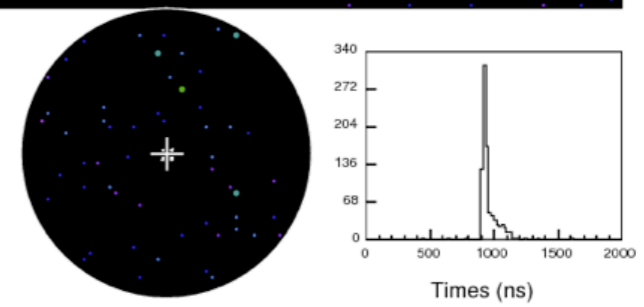
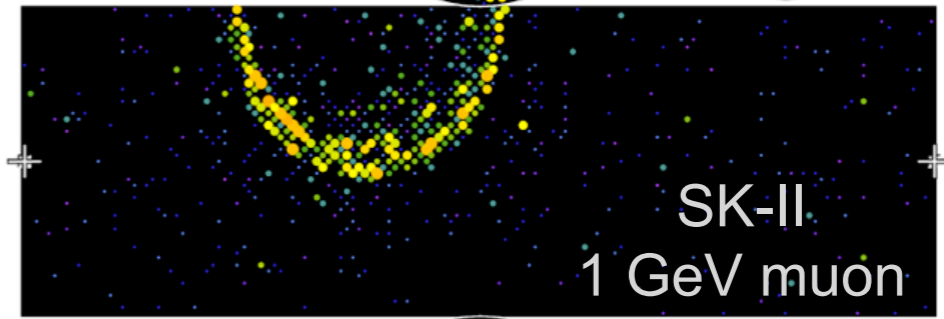
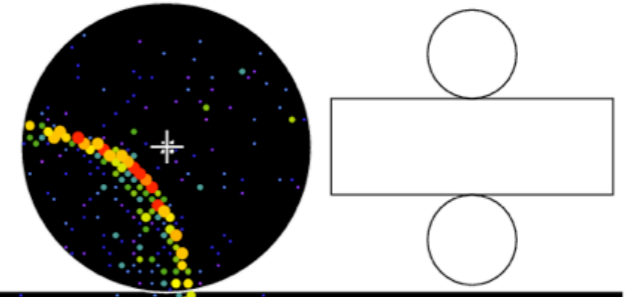
## Super-Kamiokande II

Run 0 Sub 0 Ev 1  
 08-05-19:04:05:46  
 Inner: 1454 hits, 3541 pE  
 Outer: 0 hits, 0 pE (in-time)  
 Trigger ID: 0x00  
 D wall: 1690.0 cm  
 Fully-Contained Mode



## Super-Kamiokande II

Run 0 Sub 0 Ev 2  
 08-05-19:04:06:05  
 Inner: 917 hits, 2979 pE  
 Outer: 0 hits, 0 pE (in-time)  
 Trigger ID: 0x00  
 D wall: 1690.0 cm  
 Fully-Contained Mode



# What can we learn from atmospheric neutrinos?

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{ij} \equiv \sin \theta_{ij}$$
$$c_{ij} \equiv \cos \theta_{ij}$$

## Atmospheric Mixing Parameters

- Two-flavor zenith angle analysis
- L/E analysis
- Solar terms analysis

## Mass Hierarchy and Value of $\theta_{13}$

- Three-flavor zenith angle analysis

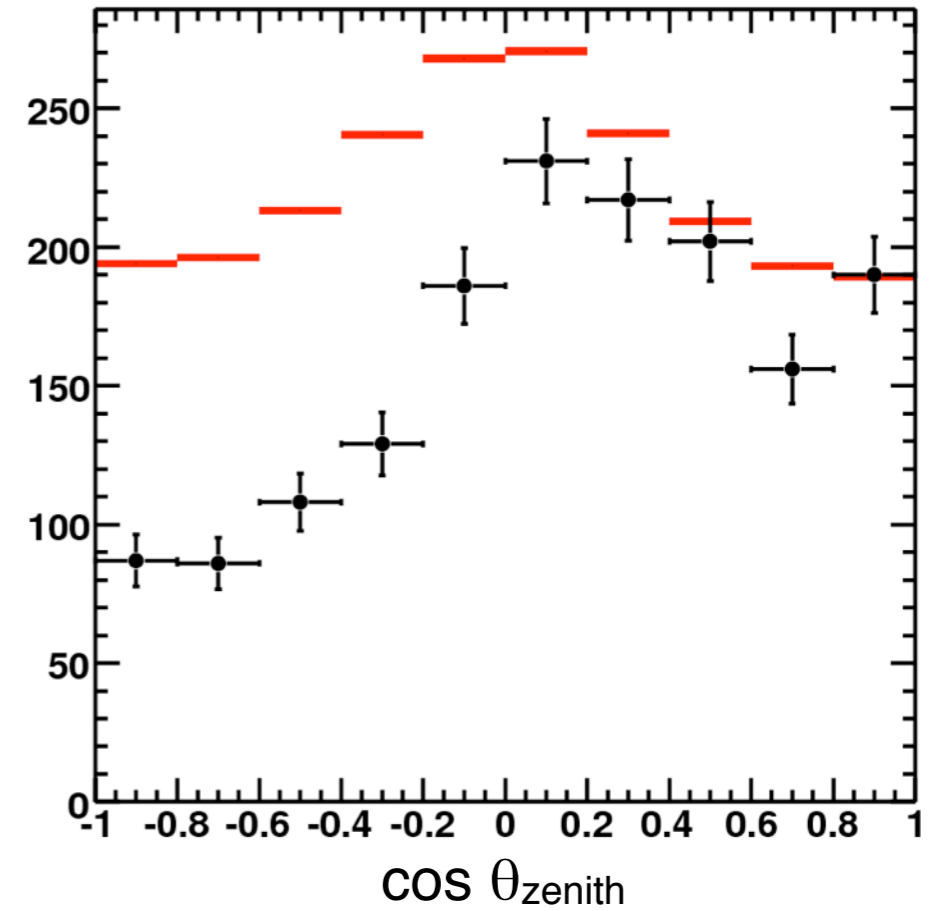
Several methods for probing different neutrino sectors using Super-K data.



# Oscillation Analyses

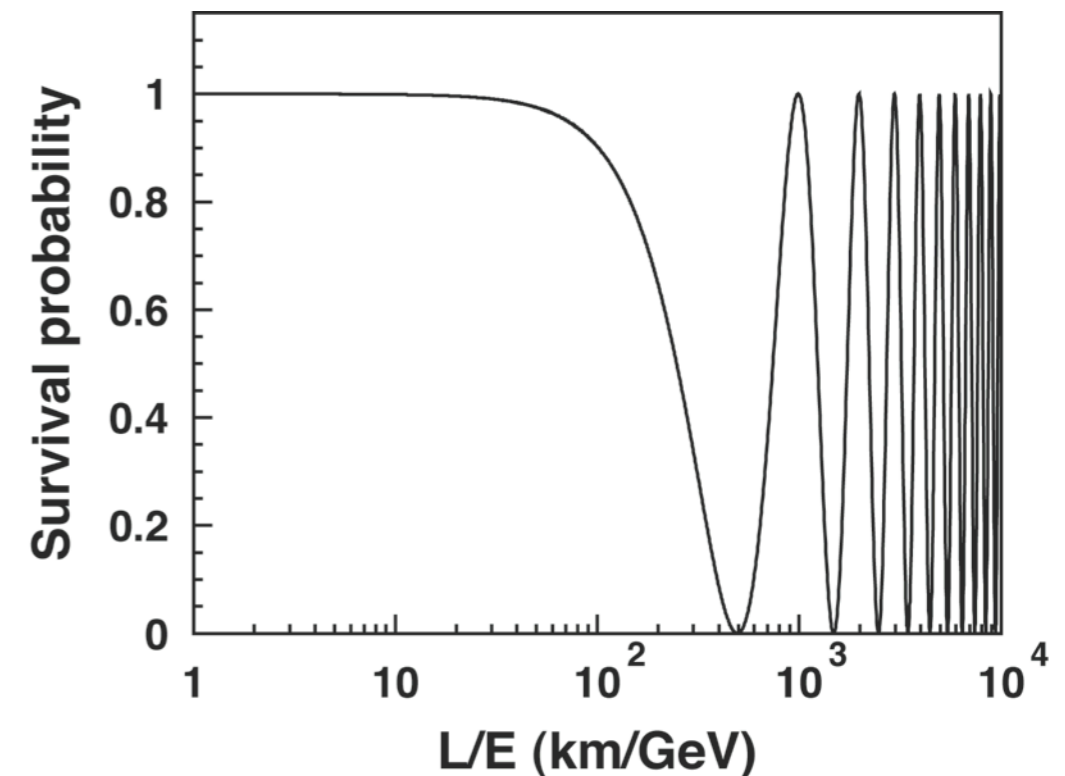
## Zenith angle analysis (fine-binned)

Use many subsamples of data  
Look for zenith angle distortion



## L/E analysis

Use much more selective subsample of data  
Require good L/E resolution  
Look for first oscillation dip



# Zenith Angle Analyses

Data binned according to:

event type	}	420 bins for SK-I	
+			
momentum			420 bins for SK-II
+			420 bins for SK-III
zenith angle			

## Datasets

SK-I FC/PC:	1489 days
SK-I Upmu:	1646 days
SK-II FC/PC:	798 days
SK-II Upmu:	828 days
SK-III FC/PC:	518 days
SK-III Upmu:	635 days

$\chi^2$  fit in bins of zenith angle with systematic error pull terms:

$$\chi^2 = \sum_{i=1}^{N_{bins}} 2 \left( N_i^{exp} - N_i^{obs} + N_i^{obs} \ln \frac{N_i^{obs}}{N_i^{exp}} \right) + \sum_{j=1}^{N_{sys}} \left( \frac{\epsilon_j}{\sigma_j} \right)^2$$

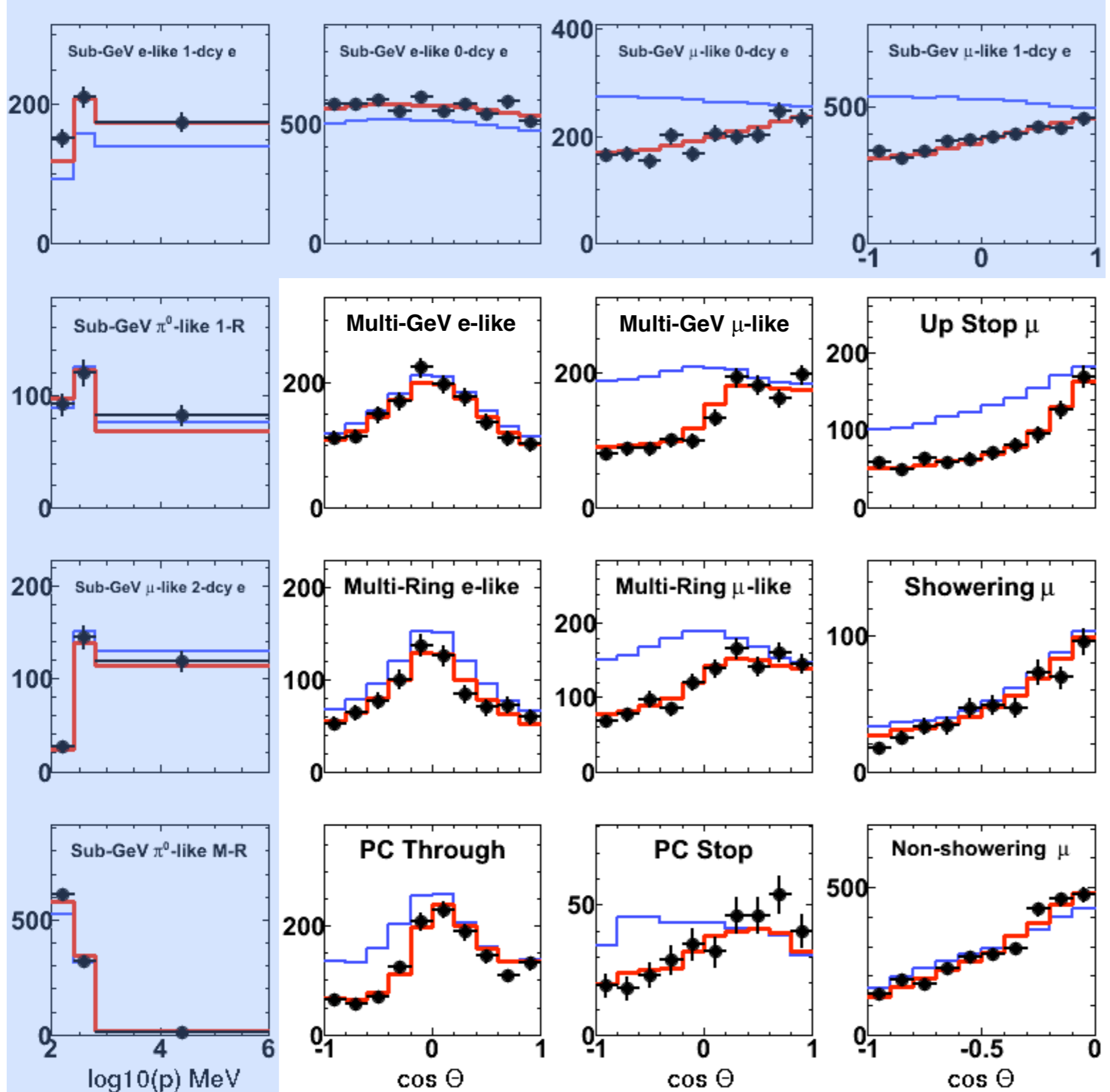
where 
$$N_i^{exp} = N_i^0 \cdot P(\nu_\alpha \rightarrow \nu_\beta) \left( 1 + \sum_{j=1}^{N_{sys}} f_j^i \epsilon_j \right)$$

122 systematic error terms to account for uncertainties in:

Neutrino flux	Cross sections
Event reconstruction	Data reduction



# SK-1+2+3 Data (Preliminary)



Sub-GeV samples subdivided to improve sensitivity to low energy oscillation effects

- Data
- MC (no oscillations)
- MC (best fit oscillations)

# L/E Analysis: SK-1+2+3

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 L}{E_\nu} \right)$$

## Datasets

SK-I FC/PC  $\mu$ -like: 1489 days  
SK-II FC/PC  $\mu$ -like: 798 days  
SK-III FC/PC  $\mu$ -like: 518 days

Use only event categories with good L/E resolution:

Partially-contained muons  
Fully-contained muons

$\chi^2$  fit to 43 bins of  $\log_{10}(L/E)$   
with 29 systematic error terms

Compare against:

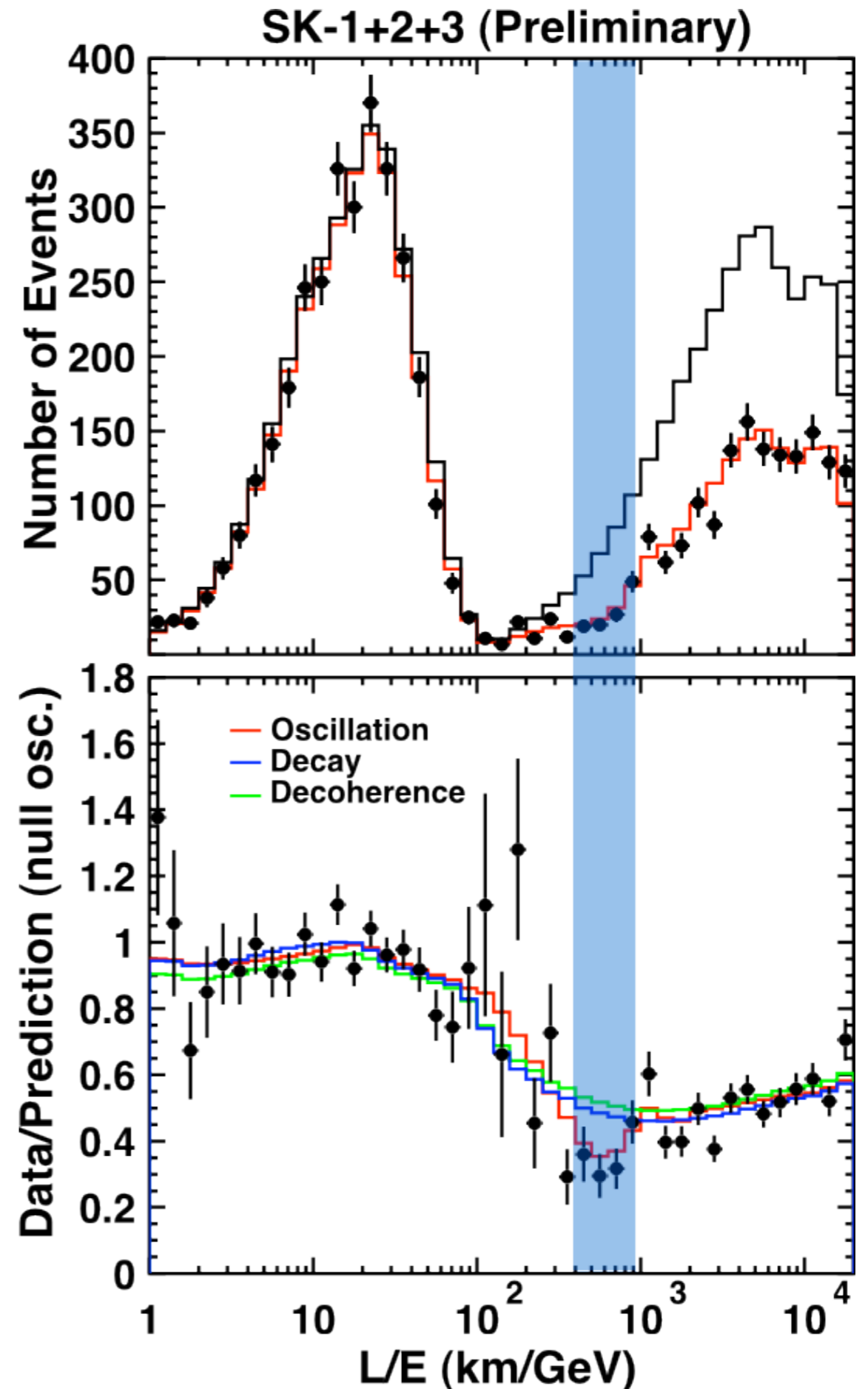
Neutrino decay (disfavored @  $4.4\sigma$ )

Neutrino decoherence ( $5.4\sigma$ )

Grossman and Worah: hep-ph/9807511

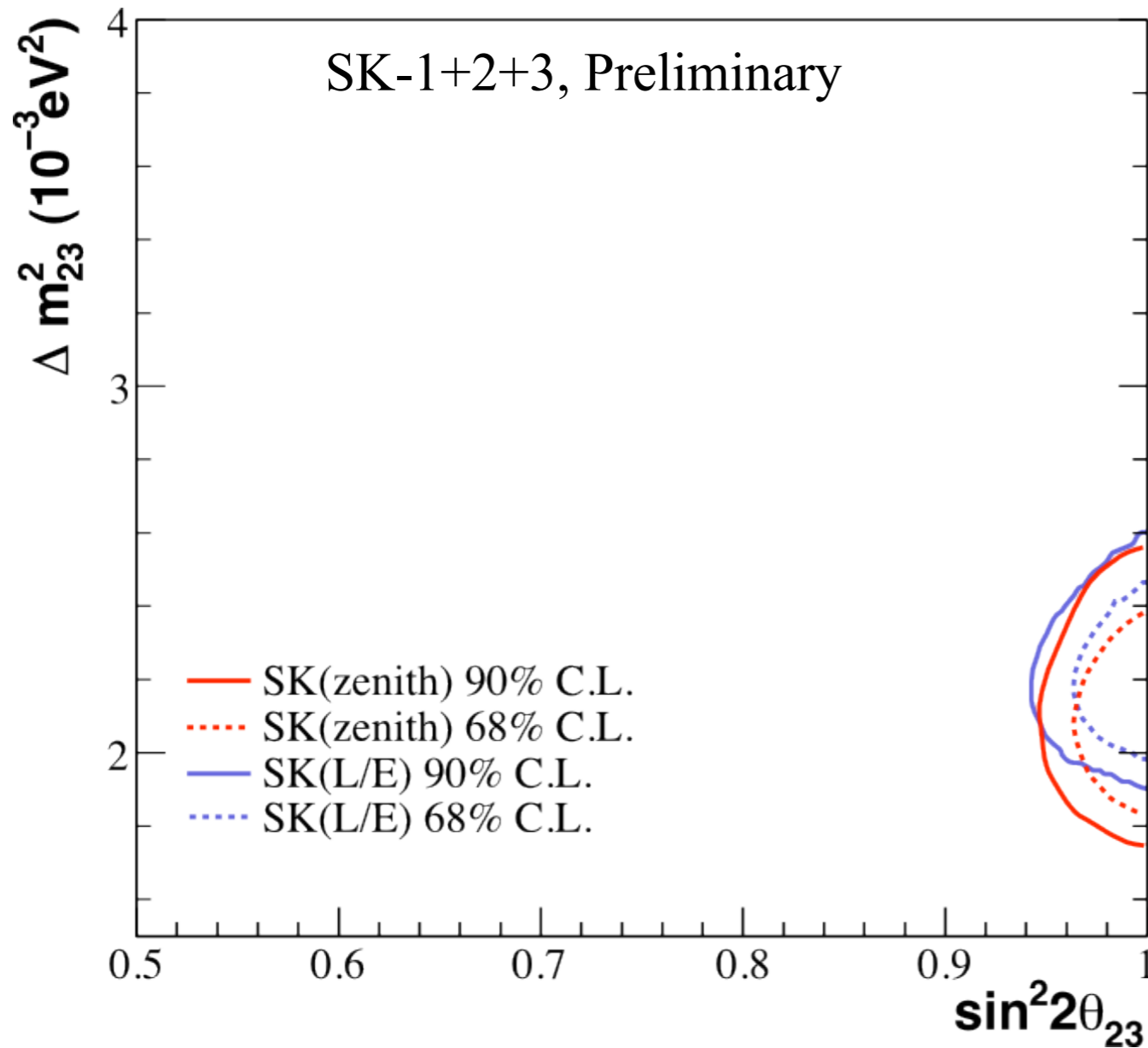
Lisi *et al.*: PRL85 (2000) 1166

Barger *et al.*: PRD54 (1996) 1, PLB462 (1999) 462





# Results of two-flavor oscillation analyses



Zenith angle analysis best fit

$$\sin^2 2\theta_{23} = 1.0$$

$$\Delta m_{23}^2 = 2.1 \times 10^{-3} eV^2$$

$$\chi^2/d.o.f. = 468/420$$

L/E analysis best fit

$$\sin^2 2\theta_{23} = 1.0$$

$$\Delta m_{23}^2 = 2.2 \times 10^{-3} eV^2$$

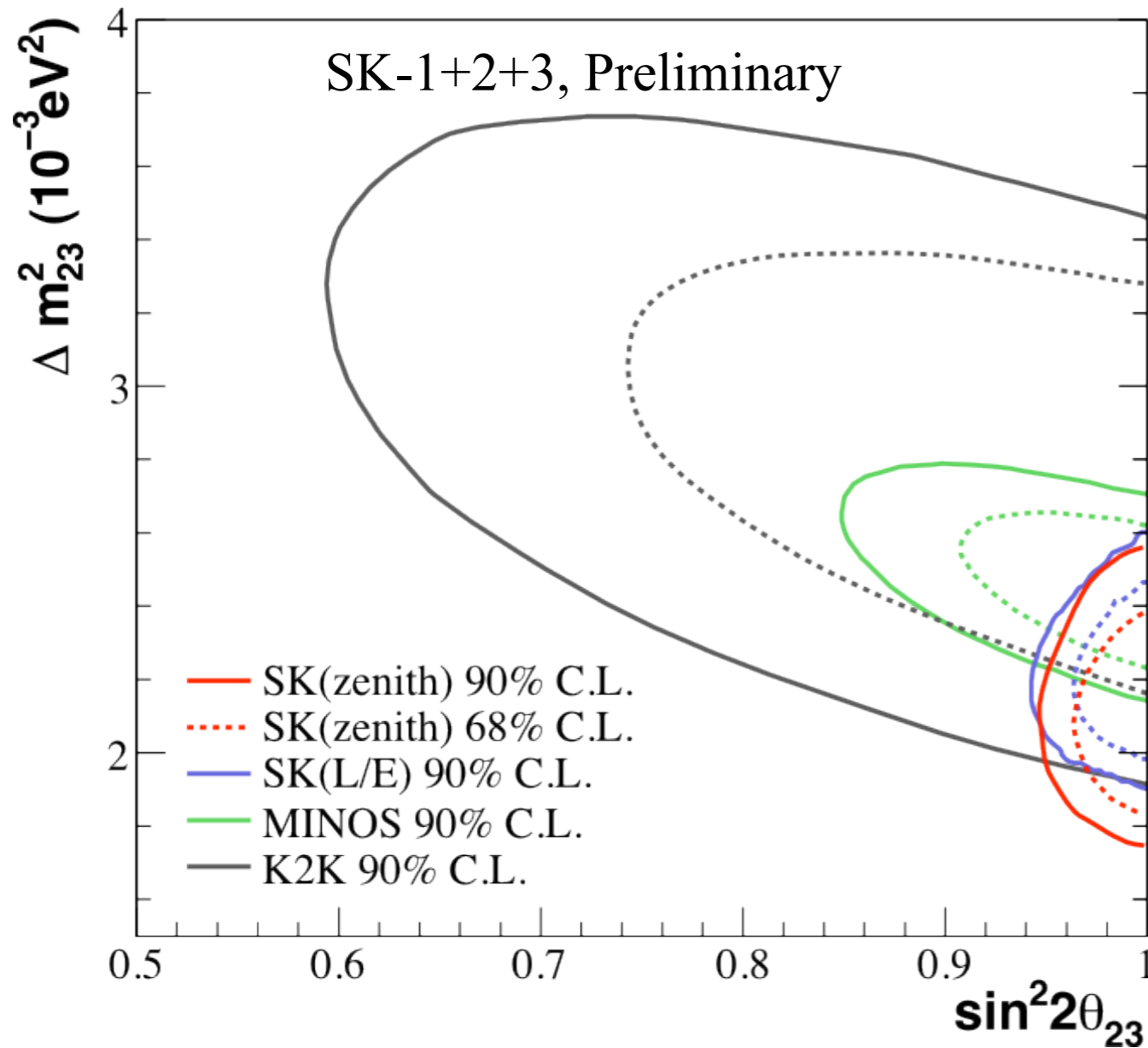
$$\chi^2/d.o.f. = 119/126$$

Complementary analyses:

L/E has stronger  $\Delta m^2$  constraint

Equally strong  $\sin^2 2\theta_{23}$  constraint

# Results of two-flavor oscillation analyses



Zenith angle analysis best fit

$$\sin^2 2\theta_{23} = 1.0$$

$$\Delta m_{23}^2 = 2.1 \times 10^{-3} eV^2$$

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L/E analysis best fit

$$\sin^2 2\theta_{23} = 1.0$$

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$$\chi^2/d.o.f. = 119/126$$

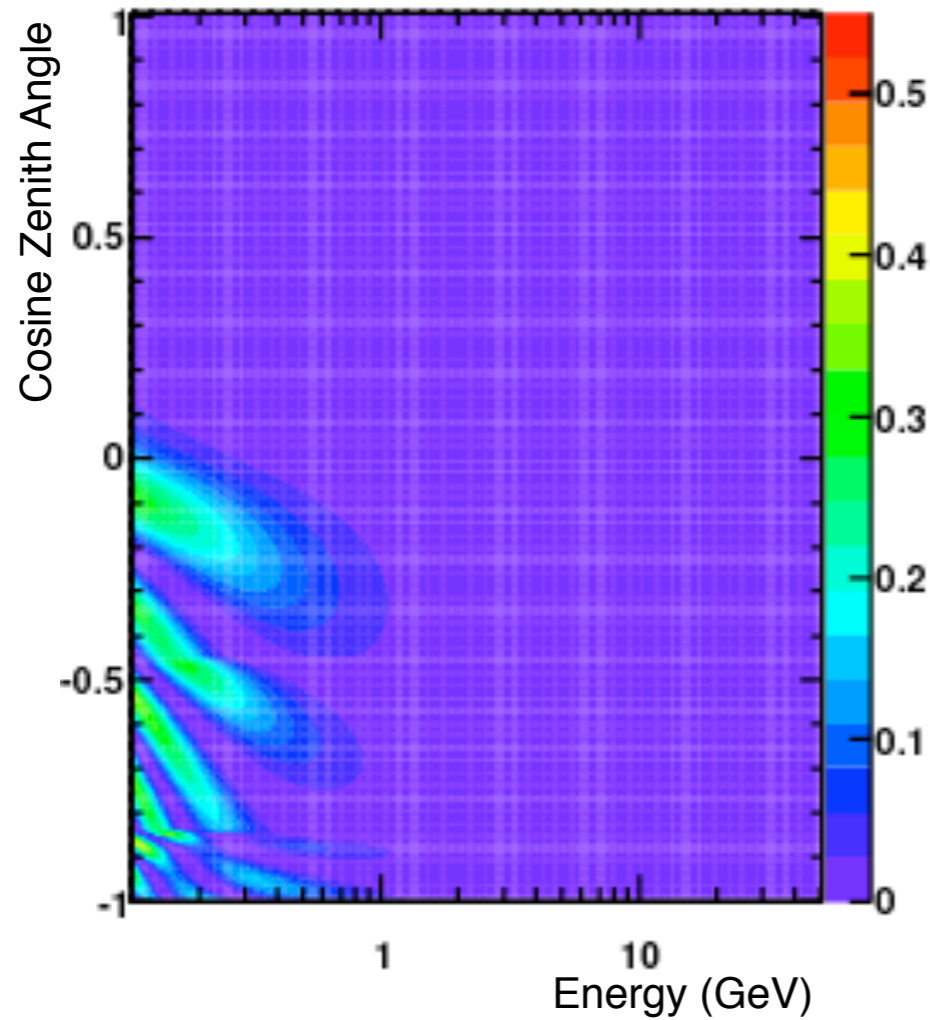
Results agree well with other experiments

LBL better constrains  $\Delta m^2$

Atmospheric still has stronger  $\sin^2 2\theta$  constraint

# Solar Terms Analysis

Look for changes in low energy  $\nu_e$  flux induced by solar-sector oscillations, assuming  $\theta_{13} = 0$ .



Driven by  $\Delta m^2_{12}$  and  $\theta_{12}$ .

In constant density matter:

$$P(\nu_e \leftrightarrow \nu_\mu) = \cos^2 \theta_{23} P(\nu_e \rightarrow \nu_x)$$

$$\cos^2 \theta_{23} < 0.5 \quad \nu_e \text{ flux reduction}$$

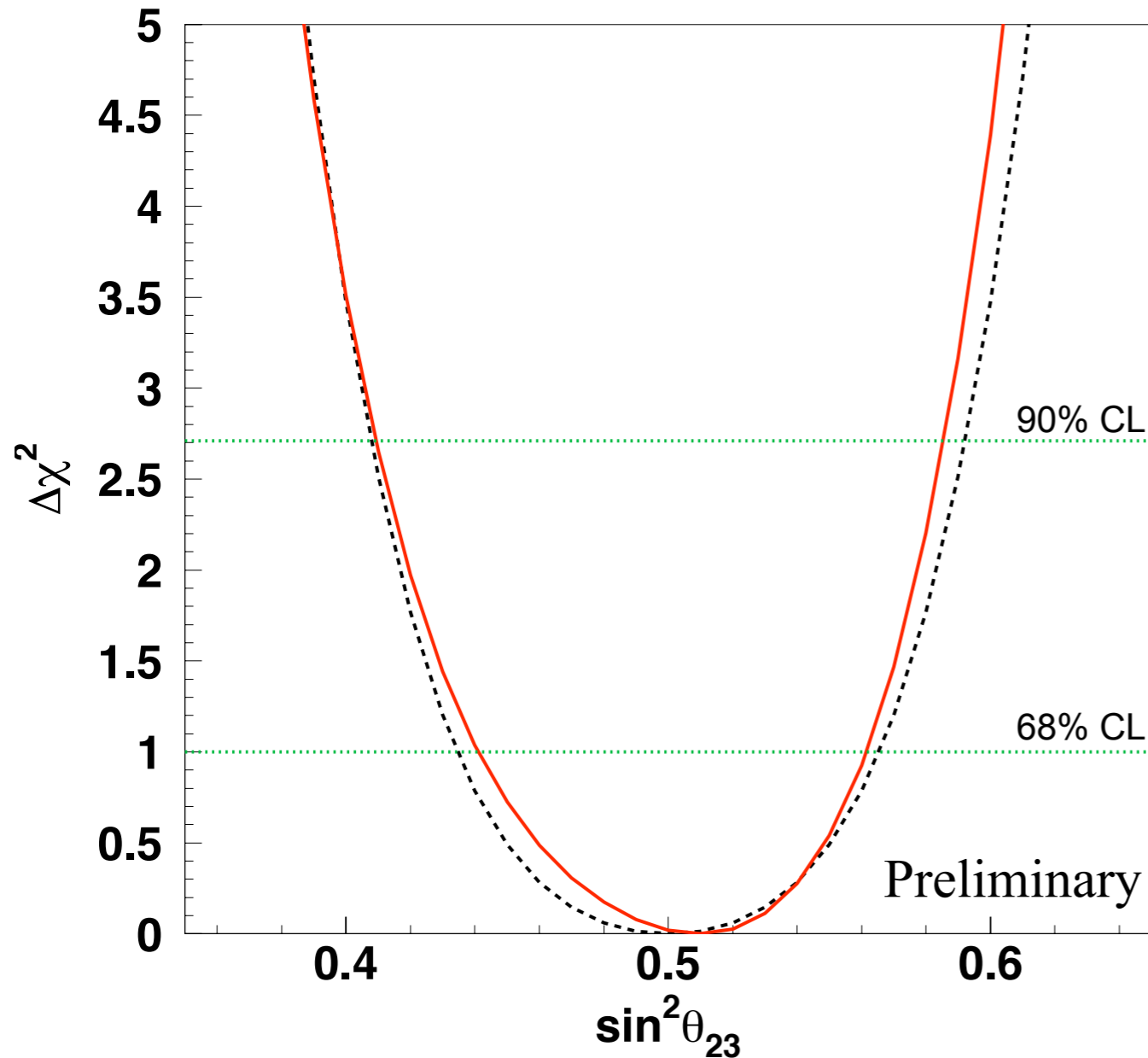
$$\cos^2 \theta_{23} = 0.5$$

$$\cos^2 \theta_{23} > 0.5 \quad \nu_e \text{ flux enhancement}$$

May be possible to determine octant of  $\theta_{23}$  by observing changes in the flux of low-energy  $e$ -like samples.

# Solar Terms Analysis

Fit using 3-flavor oscillation probabilities with and without solar terms, assume  $\theta_{13} = 0$ .



## Solar terms off (best fit)

$$\Delta m_{12}^2 = 0 \text{ (fixed)}$$

$$\Delta m_{23}^2 = 2.1 \times 10^{-3} \text{eV}^2$$

$$\sin^2 \theta_{12} = 0 \text{ (fixed)}$$

$$\sin^2 \theta_{23} = 0.50$$

$$\chi^2/dof = 470.2/418$$

## Solar terms on (best fit)\*

$$\Delta m_{12}^2 = 7.59 \times 10^{-5} \text{eV}^2$$

$$\Delta m_{23}^2 = 2.1 \times 10^{-3} \text{eV}^2$$

$$\sin^2 \theta_{12} = 0.30$$

$$\sin^2 \theta_{23} = 0.51$$

$$\chi^2/dof = 471.2/416$$

\* Solar parameters are constrained with  $\Delta\chi^2$  map information from combined fit to solar neutrino experiment data + KamLAND data.

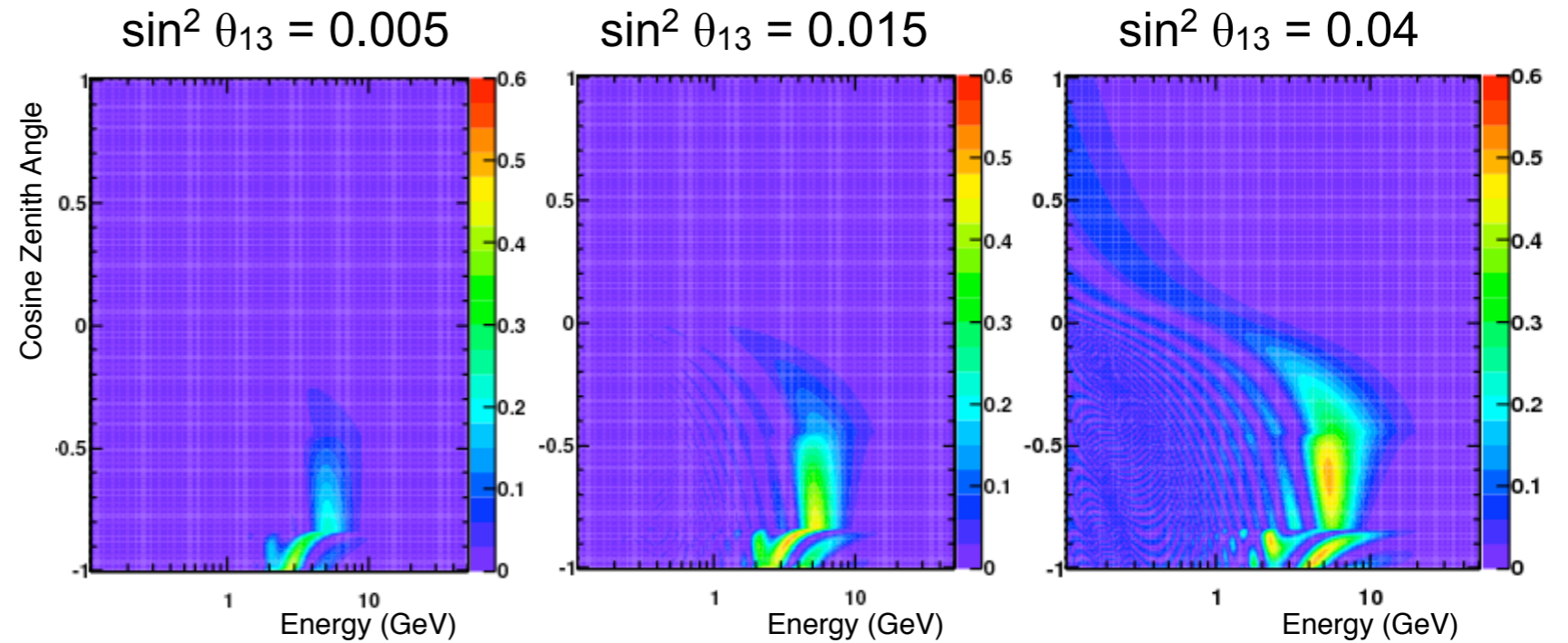
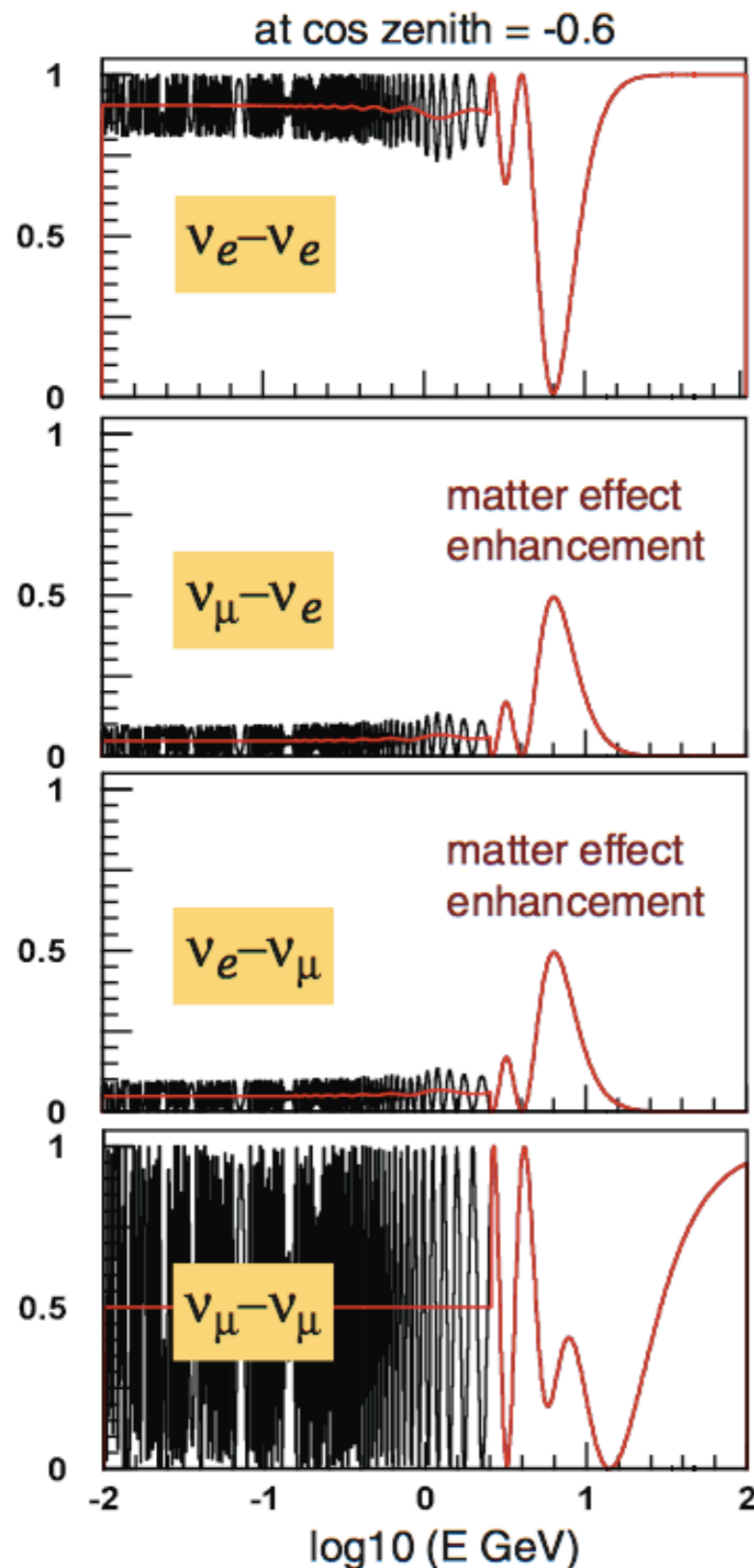
Fogli *et al.* (hep-ph/0808.2016)

Addition of solar terms shows no significant deviation of  $\theta_{23}$  from  $\pi/4$ .



# Addressing non-zero $\theta_{13}$ at Super-K

$$P(\nu_\mu \rightarrow \nu_e)$$



MSW effect gives rise to additional scattering amplitudes in matter (for  $\nu_e$  only).

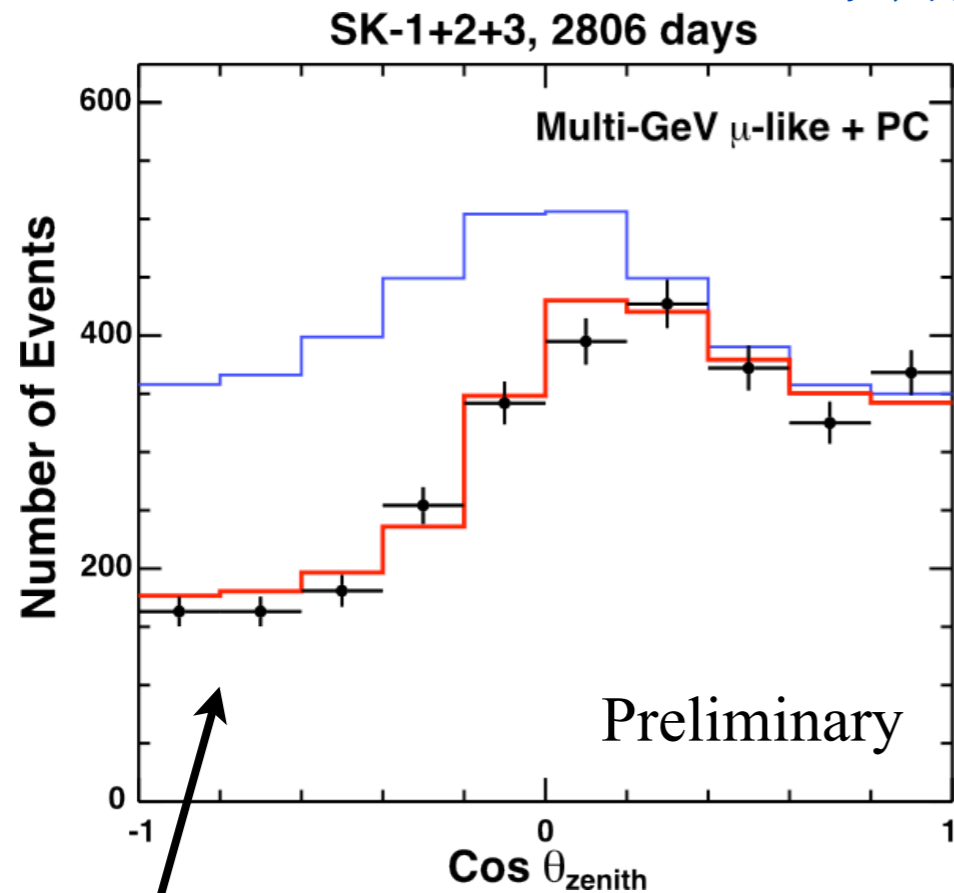
Clearest indication of non-zero  $\theta_{13}$  at Super-K:  
resonance @ ~2-10 GeV for up-going e-like events

Normal hierarchy  $\Rightarrow$  neutrino enhancement

Inverted hierarchy  $\Rightarrow$  anti-neutrino enhancement

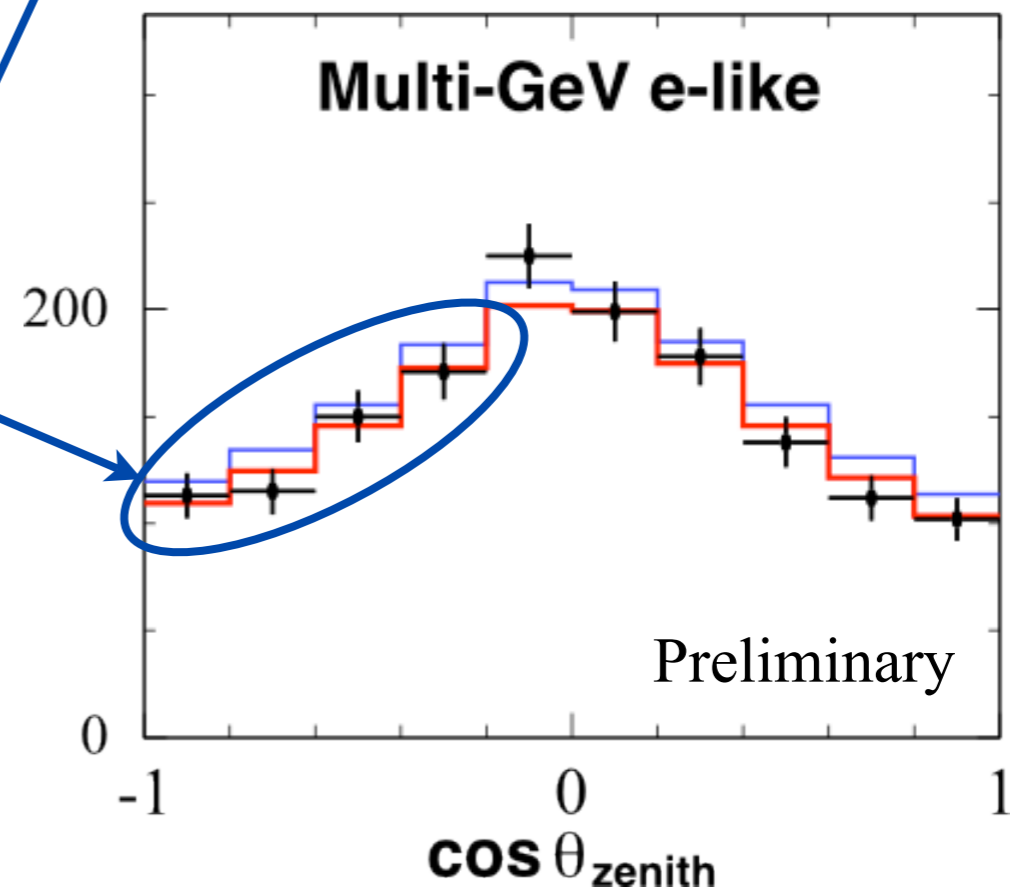
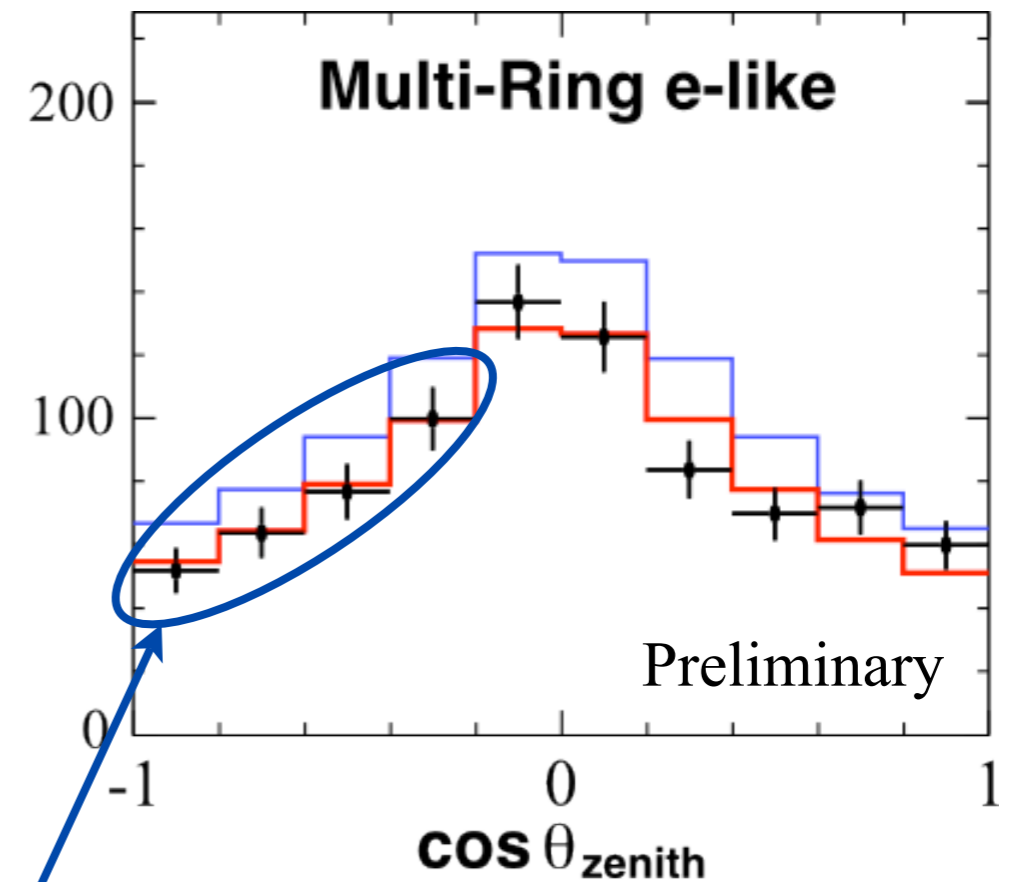
Analysis uses 3 parameters ( $\sin^2\theta_{13}$ ,  $\sin^2\theta_{23}$ ,  $\Delta m^2_{23}$ )  
assuming a single “dominant mass scale” ( $\Delta m^2_{23} \gg \Delta m^2_{12}$ ).

# Three-flavor zenith angle analysis



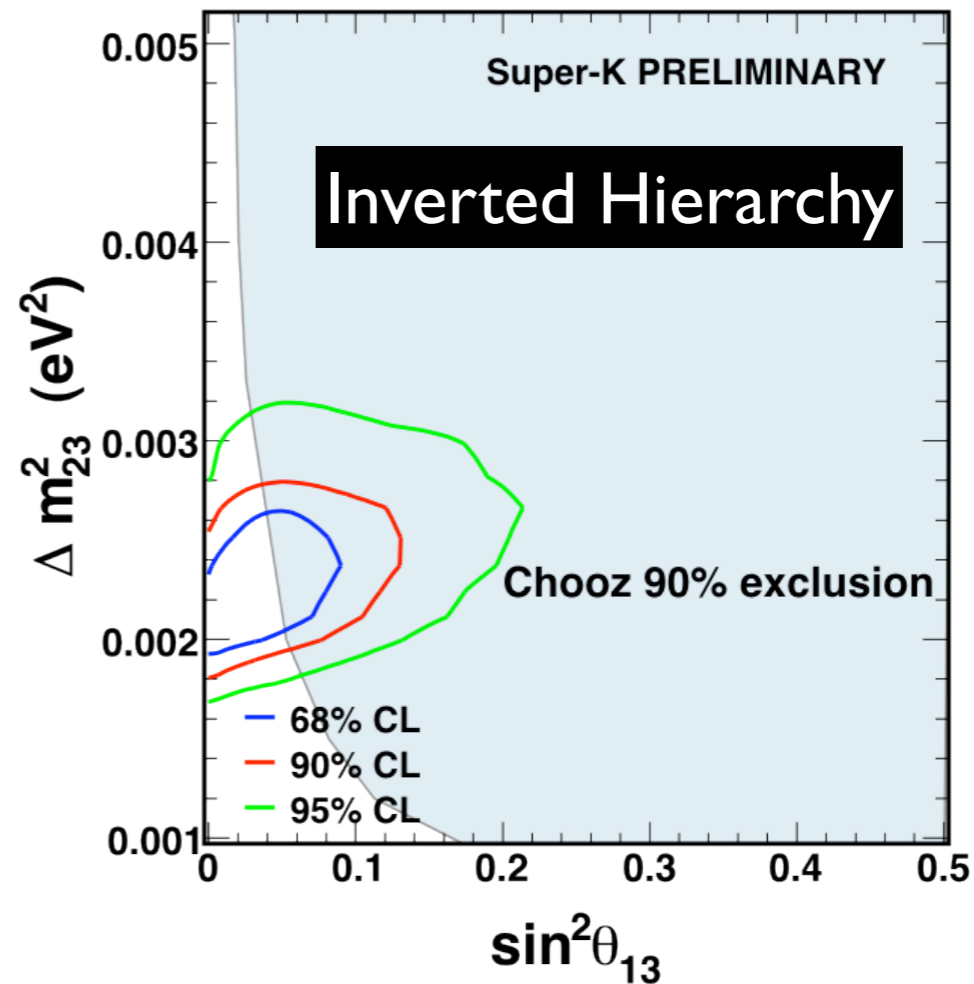
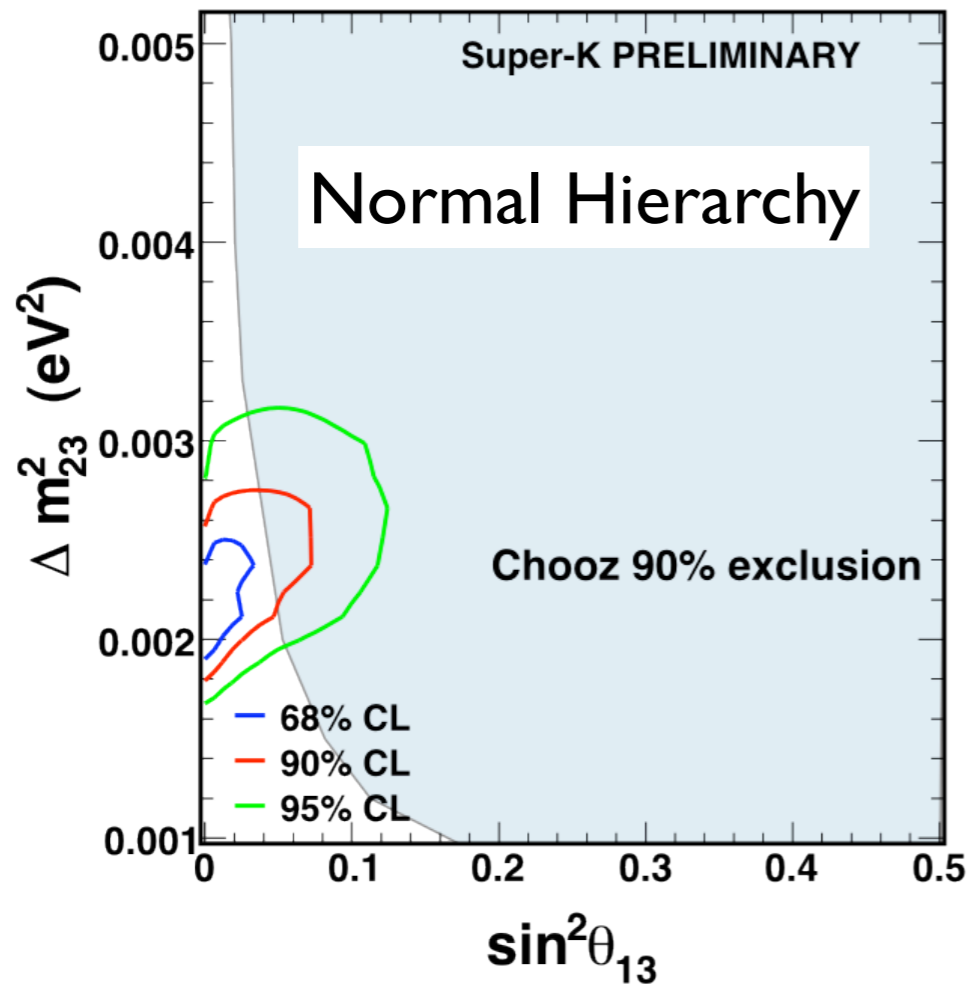
Clear distortion of muon-like zenith distribution, well-described by 2-flavor  $\nu_{\mu} \rightarrow \nu_{\tau}$  disappearance...

Allow also  $\nu_{\mu} \rightarrow \nu_e$  appearance in 3-flavor analysis, look for enhancement of high-energy upward-going e-like events.



No distortion in electron-like samples...  
no evidence for matter-enhanced  $\nu_e$  appearance.

# Three-flavor results



	$\chi^2/\text{dof}$	$\Delta m_{23}^2$	$\sin^2\theta_{23}$	$\sin^2\theta_{13}$
Normal	469/417	$2.1 \times 10^{-3}$	0.50	0
Inverted	468/417	$2.1 \times 10^{-3}$	0.55	0.01

Data consistent with both hierarchies; no electron-like excess observed.  
 Analysis assumes  $\Delta m_{12}^2 \approx 0$ , next update will include solar terms.

# Conclusions

Super-K's atmospheric neutrino dataset is useful in studying oscillations and other phenomena

Updated physics results using >28,000 events from 3 phases of the experiment

- ◆ Probe atmospheric mixing (2-3 sector) and sub-dominant effects
  - ✦ Two-flavor oscillation (zenith angle and L/E analyses)  
 $\Delta m^2_{23} = 2.1 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1.0$
  - ✦ Two-flavor oscillation with solar terms  
Observe no significant deviation from  $\sin^2 \theta_{23} = 0.5$   
Best fit with solar terms on:  $\Delta m^2_{12} = 7.59 \times 10^{-5} \text{ eV}^2$ ,  $\sin^2 \theta_{12} = 0.30$
- ◆ Search for non-zero  $\theta_{13}$ 
  - ✦ Three-flavor oscillation  
 $\theta_{13}$  consistent with 0  
No preference for mass hierarchy

Full three-flavor analysis including solar terms is currently underway...

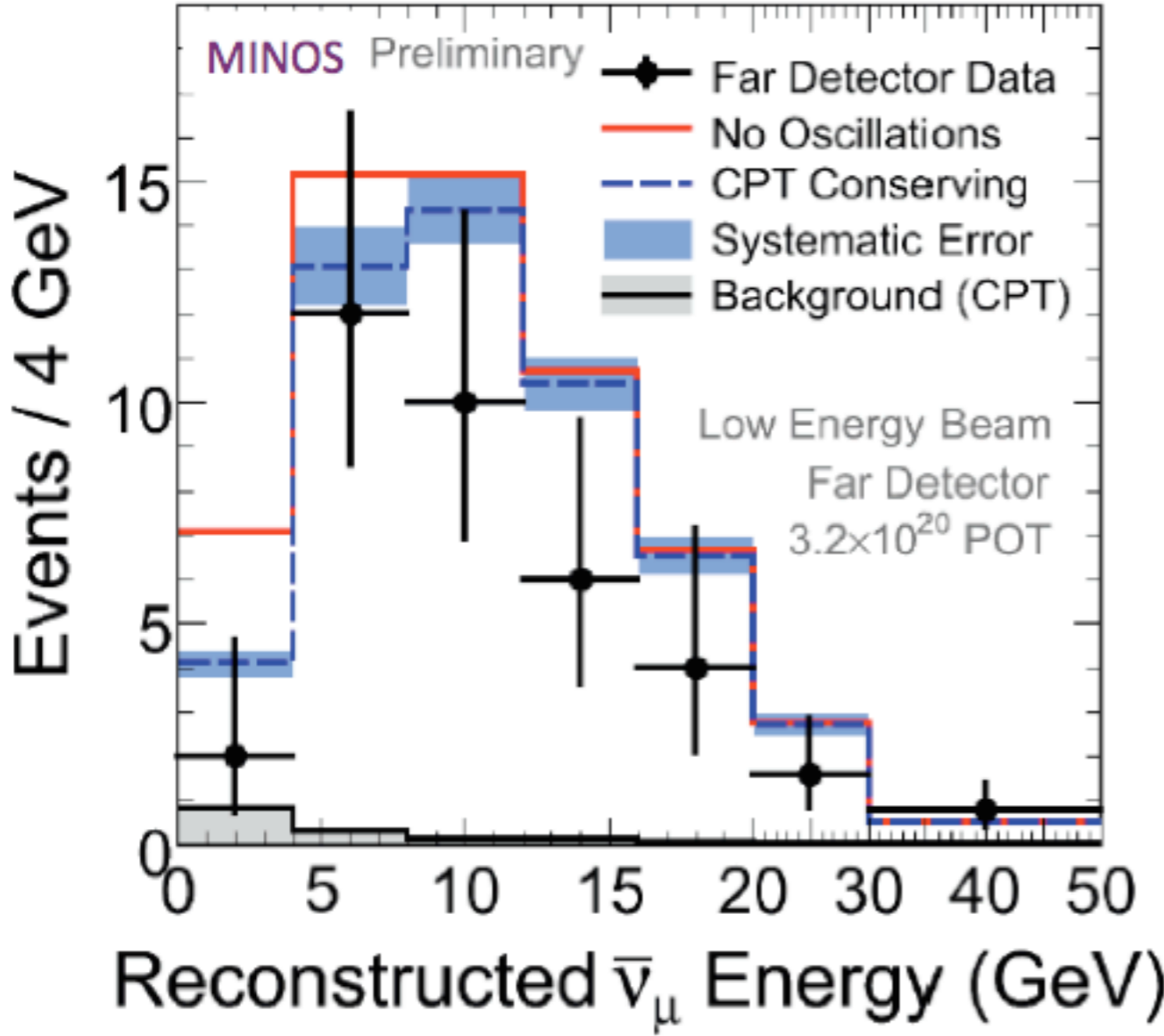
Thank you!



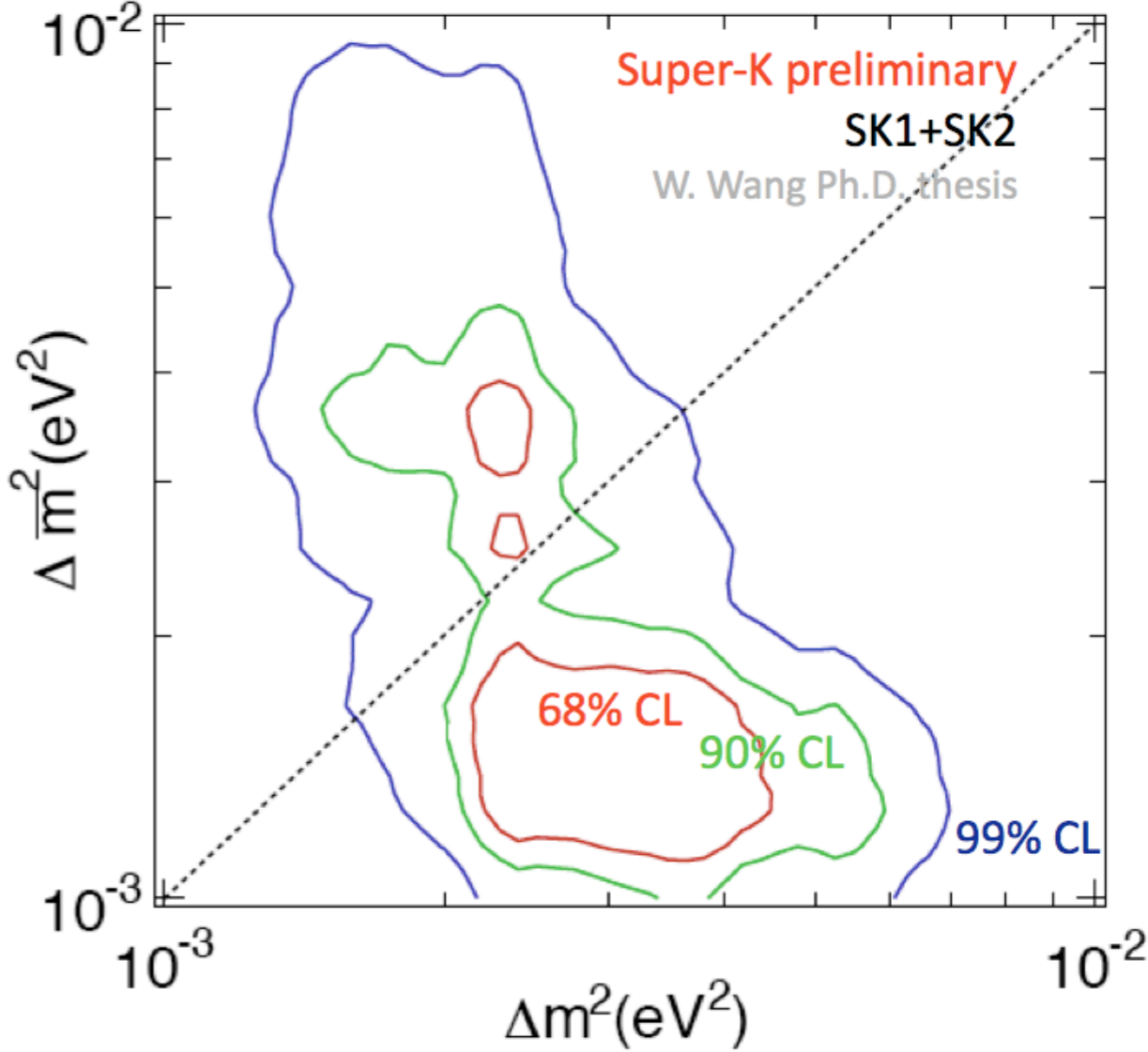
Extras

# Neutrinos vs. anti-neutrinos

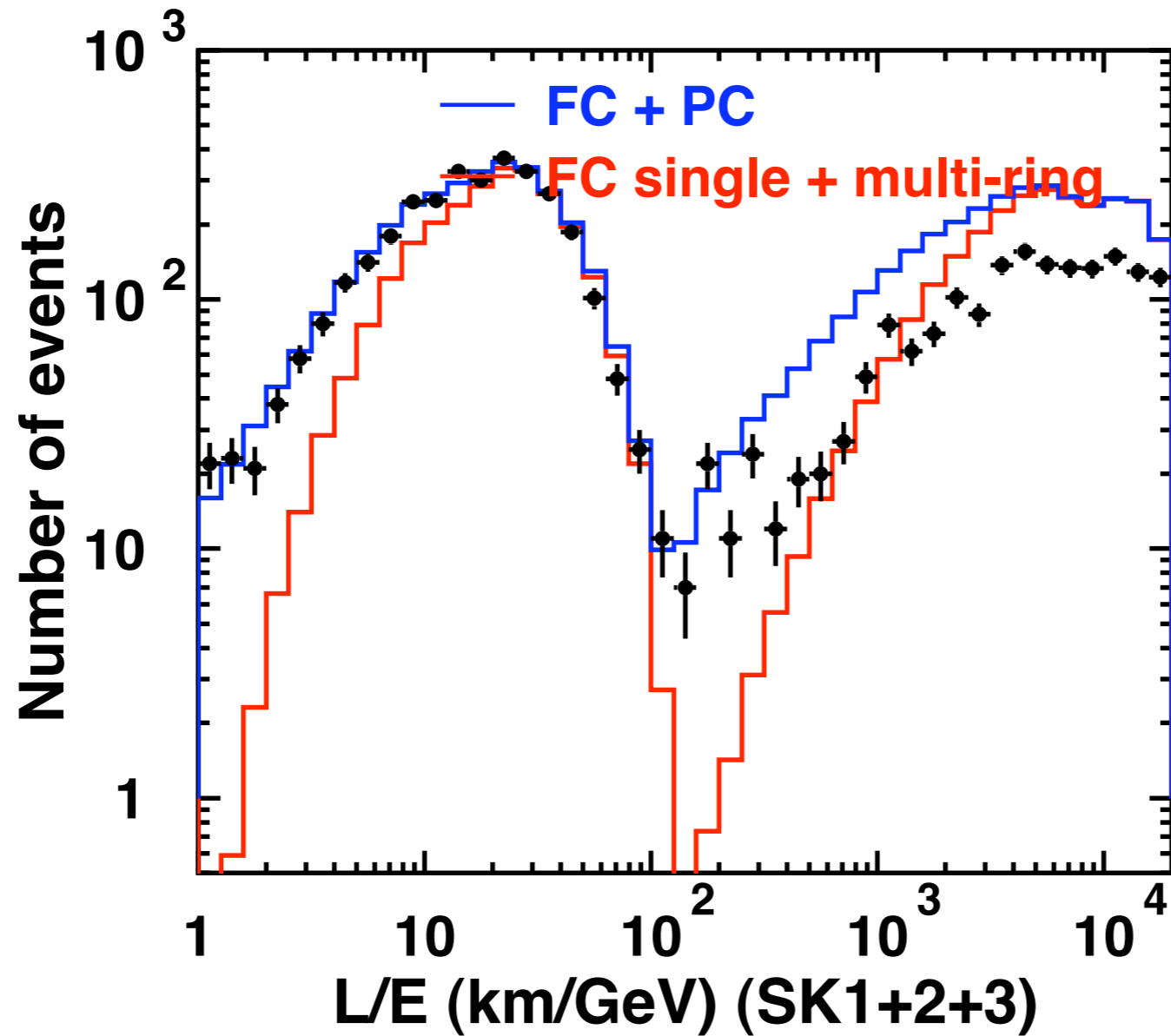
MINOS can distinguish neutrinos from anti-neutrinos on an event-by-event basis by +/- charged particle discrimination.



Super-K must rely on statistical sensitivity from different fluxes, cross sections, etc.



# L/E event distribution



Events in “dip” region mostly PC through-going  
- good L/E resolution

High purity sample: >93% CC  $\nu_\mu$  interactions

# What can we learn from atmospheric neutrinos?

$$\partial_x \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = [UMU^\dagger + \boxed{A}] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$s_{ij} \equiv \sin \theta_{ij}$   
 $c_{ij} \equiv \cos \theta_{ij}$

## Atmospheric Mixing Parameters

- Two-flavor zenith angle analysis
- L/E analysis
- Solar terms analysis

## Mass Hierarchy and Value of $\theta_{13}$

- Three-flavor zenith angle analysis

## Value of Potential $A$

- Non-standard interactions analysis

Not covered in this talk



# Non-Standard $\nu$ Interactions (NSI): SK-I + SK-II

Non-standard interactions (beyond Standard Model) may coexist with  $\nu$  oscillations, but would be subdominant:

- matter-dependent
- could enhance or suppress oscillations (variety of signatures)

$$A_{NSI} = \sqrt{2}G_f N_f \begin{pmatrix} \epsilon_{ee} & 0 & \epsilon_{e\tau} \\ 0 & 0 & 0 \\ \epsilon_{e\tau}^* & 0 & \epsilon_{\tau\tau} \end{pmatrix}$$

Flavor-changing neutral currents (arrow pointing to  $\epsilon_{e\tau}$ )

Lepton non-universality (arrow pointing to  $\epsilon_{\tau\tau}$ )

Assume 2-flavor oscillation parameters ( $\nu_\mu \rightarrow \nu_\tau$ ):  $(\sin^2\theta, \Delta m^2) = (0.5, 2.1 \times 10^{-3})$

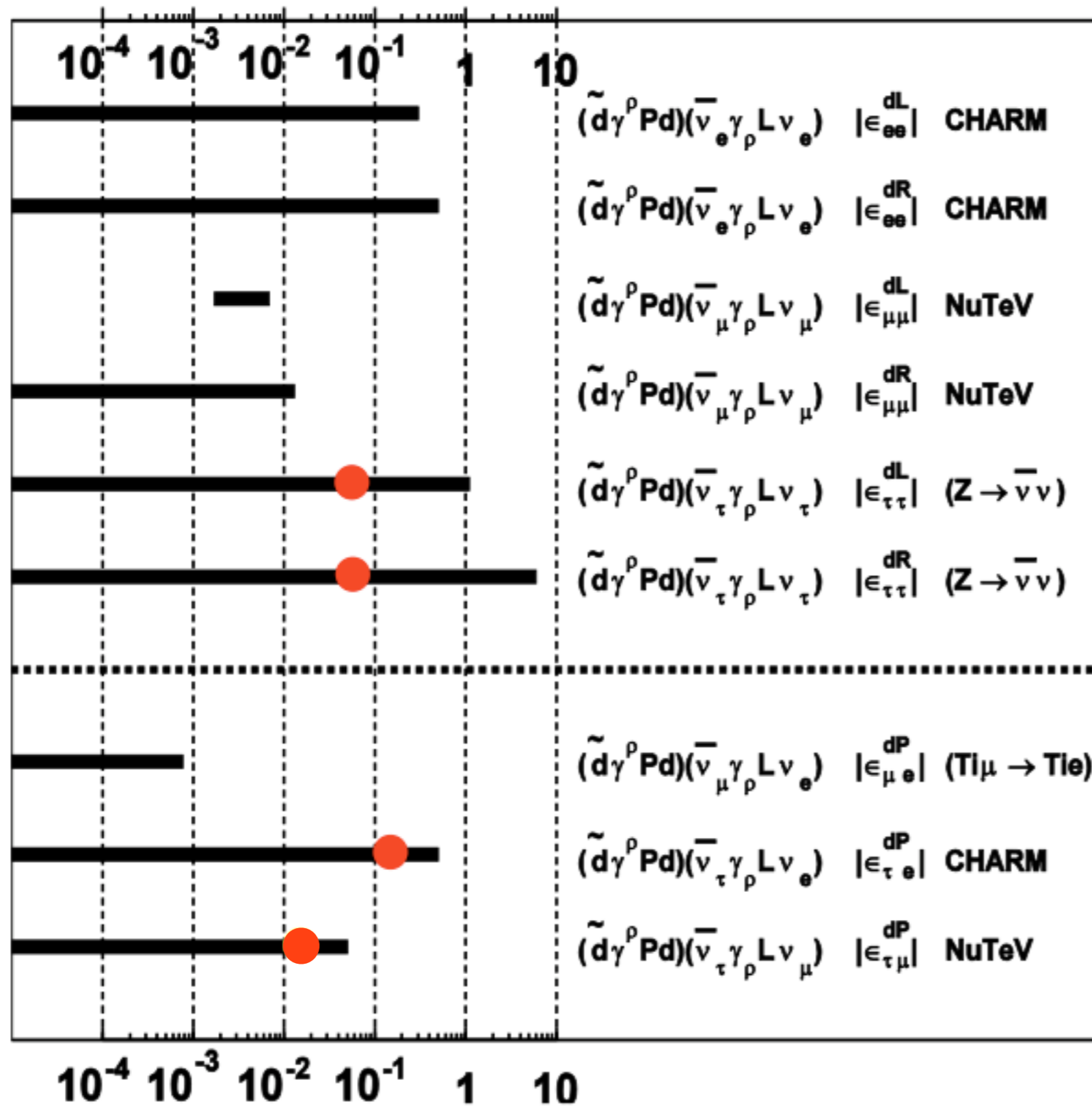
Determine best fit parameters for NSI under an oscillation + NSI hypothesis

Best fit NSI parameters:

$$(\epsilon_{ee}, \epsilon_{e\tau}, \epsilon_{\tau\tau}) = (-0.250, 0.016, 0.024)$$

$$\chi_{min}^2/d.o.f = 830/747$$

# Limits on Non-Standard Interactions

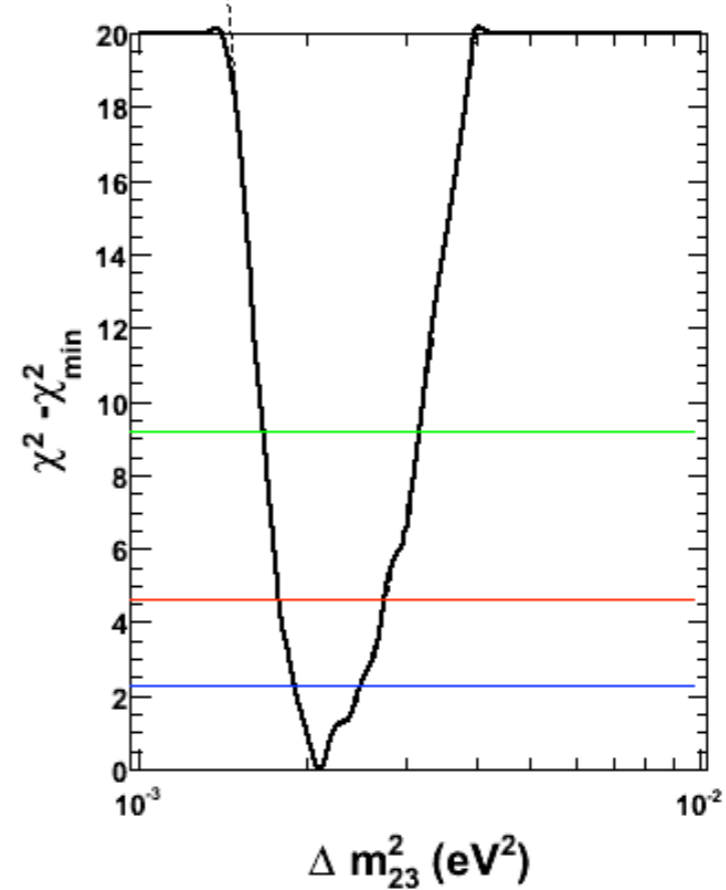
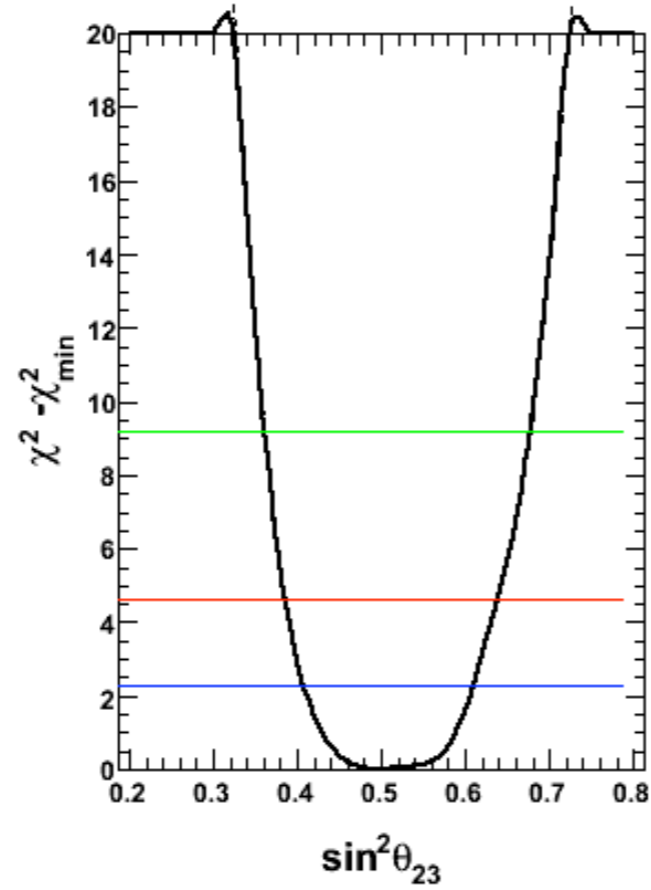
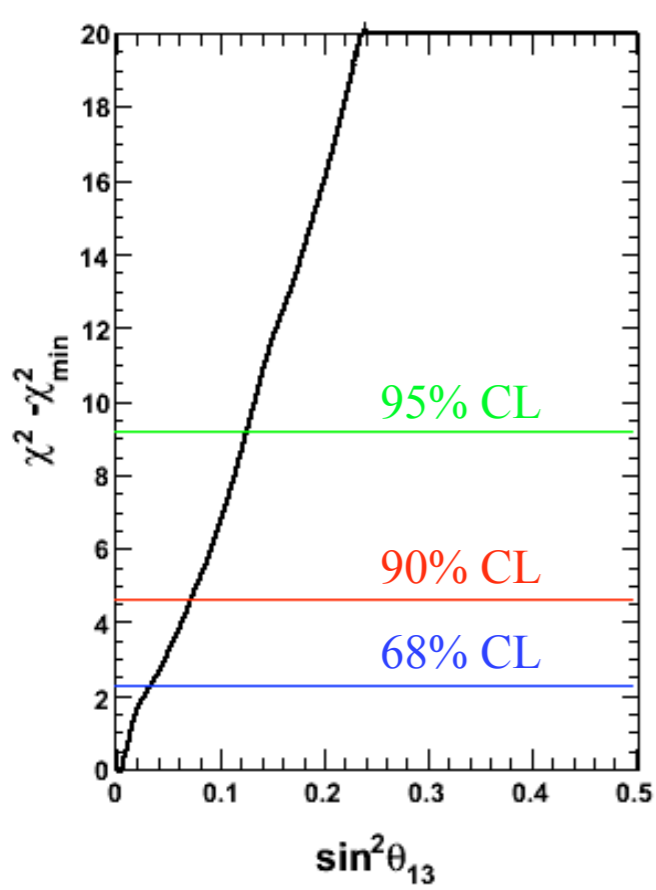


● SK-1+2 limit (90% CL)

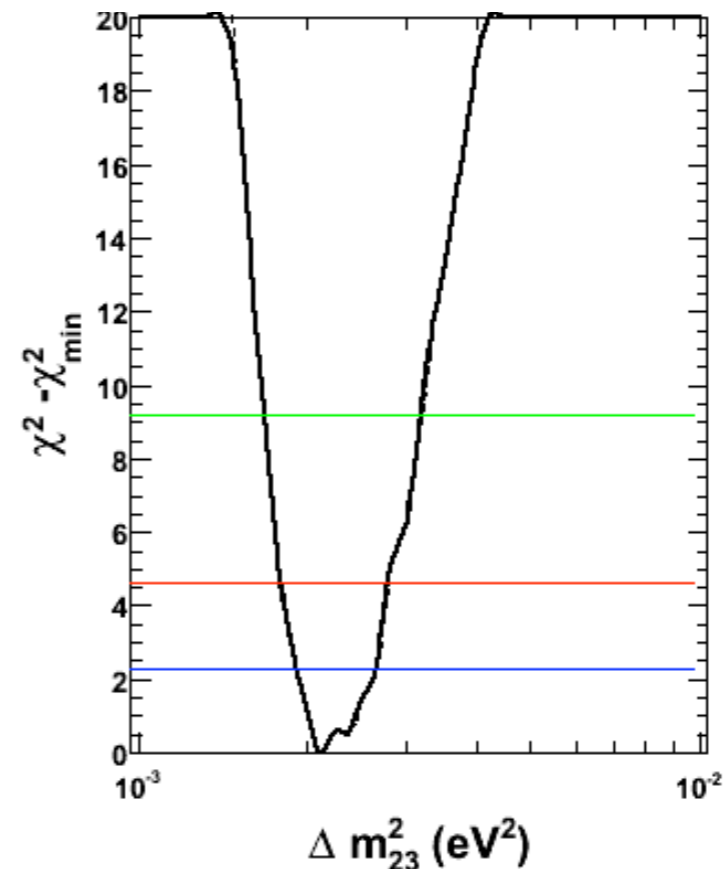
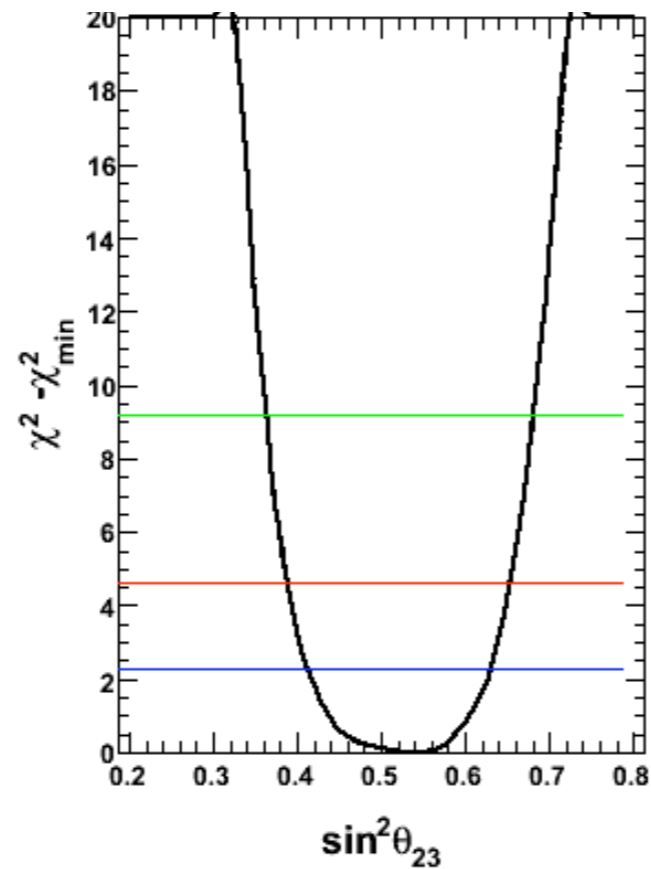
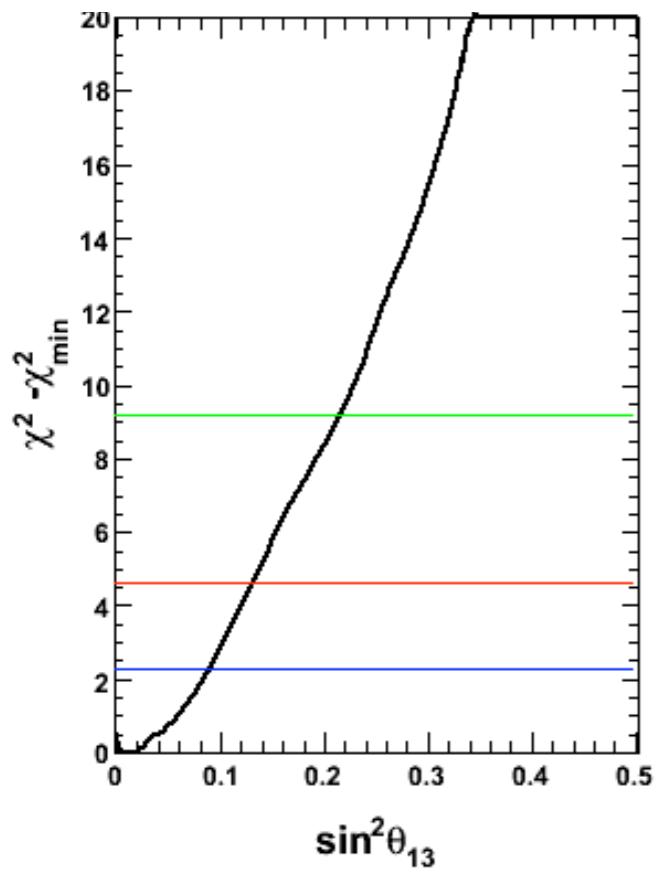
Lepton Non-Universality

Flavor Changing Neutral Currents

# Three-flavor results



Normal  
Hierarchy



Inverted  
Hierarchy