

# Nonequilibrium dynamics of $\Phi^4$ theory in the two-particle point-irreducible formalism

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1. Introduction
2. Nonequilibrium quantum field theory
3. **The two-particle point-irreducible (2PPI) formalism**
4. **Numerical simulations in the two-loop approximation in  $1 + 1$  dimensions**
5. Summary and outlook

J. Baacke, [A.H.](#), Phys. Rev. **D67** 105020 (2003) [hep-ph/0212312]

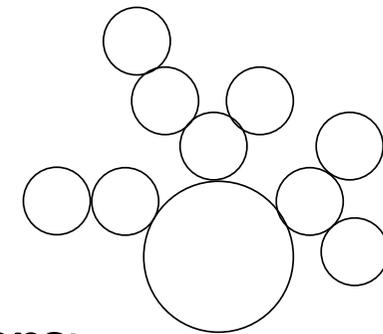
J. Baacke, [A.H.](#), [hep-ph/0305220]

## • 1. Introduction •

- Applications of nonequilibrium quantum field theory: from **(p)reheating** during **cosmic inflation** to relativistic **heavy ion collisions**

**Leading order** approximations (with self-

- consistent resummation of diagrams)  $\rightarrow$  **large- $N$**  and **Hartree** approximation



- Simulations beyond the leading orders in  $1 + 1$  **dimensions**:
  - testing ground (renormalization easy, numerical simulations less involved)
  - **Hartree** approximation gives a **first order phase transition**  $\rightarrow$  in contrast to the expectation of the absence of spontaneous symmetry breaking
  - Hartree approximation displays (almost) no dissipative behavior for the classical field  $\rightarrow$  inflaton needs **dissipative dynamics**

## • 2. Nonequilibrium quantum field theory •

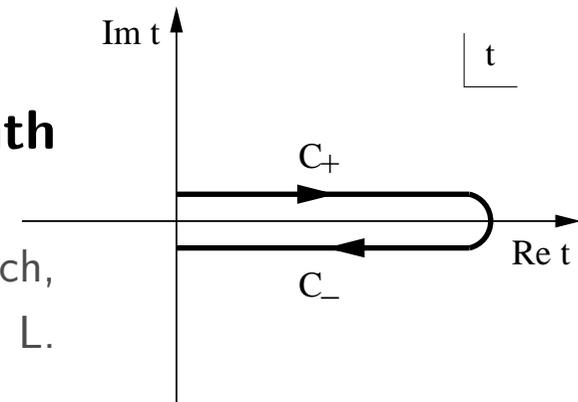
Action for the  $\Phi^4$  model:

$$S[\Phi] = \int d^D x \left[ \frac{1}{2} \partial_\mu \Phi(x) \partial^\mu \Phi(x) - \frac{1}{2} m^2 \Phi^2(x) - \frac{\lambda}{4!} \Phi^4(x) \right] \quad (1)$$

- Split  $\Phi$  into classical expectation values  $\phi = \langle \Phi \rangle$  and quantum parts via  $\Phi(t, \mathbf{x}) = \phi(t) + \eta(t, \mathbf{x}) \rightarrow$  assume **spatially homogeneous fields**

- One needs **causal dynamics**  $\rightarrow$  **closed time path** (CTP) formalism;

J. Schwinger, J. Math. Phys. **2** 407-432(1961); L. V. Keldysh, Zh. Eksp. Teor. Fiz. **47** 1515-1527 (1964); E. Calzetta, B. L. Hu, Phys. Rev. **D37** 2878 (1988)



## Approximation schemes

An approximation scheme for QFT out of thermal equilibrium has to be at least:

- non-perturbative (→ **resumming** perturbative diagrams)
- **energy conserving** (→ variational principle)
- **renormalizable** (→ compare to the equilibrium case)

A reasonable choice: the **two-particle irreducible** (2PI) effective action or Cornwall-Jackiw-Tomboulis (CJT) action! → resummation of 2PI graphs.

J. M. Cornwall, R. Jackiw, E. Tomboulis, Phys. Rev. **D10**, 2428 (1974)

## The 2PI effective action

**Effective action** from a Legendre transformation of  $-i \ln Z[J, K]$  with sources  $J(x)$  and  $K(x, y)$

$$\Gamma[\phi, G] = S[\phi] + \frac{i}{2} \text{Tr} \ln G^{-1} + \frac{i}{2} \text{Tr}(D^{-1}G) + \Gamma^{2\text{PI}}[\phi, G] \quad (2)$$

$$iD^{-1}(x, y) = \frac{\delta^2 S[\phi]}{\delta\phi(x)\delta\phi(y)} . \quad (3)$$

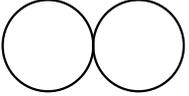
Sum over all 2PI diagrams:

$$\Gamma^{2\text{PI}}[\phi, G] = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

Equations of motion for the variational parameters  $\phi$  and  $G$

$$\frac{\delta\Gamma[\phi, G]}{\delta\phi} = 0 \quad ; \quad \frac{\delta\Gamma[\phi, G]}{\delta G} = 0 \Rightarrow G^{-1} = D^{-1}[\phi] - \underbrace{2i \frac{\delta\Gamma^{2\text{PI}}[\phi, G]}{\delta G}}_{\Sigma[\phi, G]} \quad (4)$$

### Remarks:

- the **Schwinger-Dyson** equation  $G^{-1} = D^{-1}[\phi] - \Sigma[\phi, G]$  resums 2PI graphs
- for the nonequilibrium case the Schwinger-Dyson equation is a **partial integro-differential equation**  $\rightarrow$  solution in general numerically involved
- reproduces the **Hartree (large- $N$ )** approximation if only (parts of)  is included  $\rightarrow$  leading order
- renormalization beyond the leading orders?

### • 3. The two-particle point-irreducible (2PPI) formalism •

H. Verschelde, M. Coppins, Phys. Lett. **B287**, 133 (1992)

M. Coppins, H. Verschelde, Z. Phys. **C58**, 319 (1993)

**Idea:** *local sources* ( $K(x, y) \rightarrow K(x)\delta(x - y)$ ) for the 2PI effective action  $\rightarrow$  2PPI effective action.

$$\Gamma[\phi, \mathcal{M}^2] = \int d^D x \left[ \frac{1}{2} \partial_\mu \phi(x) \partial^\mu \phi(x) - \frac{1}{2} \mathcal{M}^2(x) \phi^2(x) + \frac{\lambda}{12} \phi^4(x) + \frac{1}{2\lambda} (\mathcal{M}^2(x) - \mu^2)^2 \right] + \Gamma^{2\text{PPI}}[\phi, \mathcal{M}^2] \quad (5)$$

Equations of motion:

$$\frac{\delta \Gamma[\phi, \mathcal{M}^2]}{\delta \mathcal{M}^2} = 0 \quad ; \quad \frac{\delta \Gamma[\phi, \mathcal{M}^2]}{\delta \phi} = 0 \quad (6)$$

Sum over all 2PPI diagrams:

$$\Gamma^{2\text{PPI}}[\phi, \mathcal{M}^2] = \begin{array}{c} \text{[Diagram 1: Circle]} + \text{[Diagram 2: Circle with horizontal dashed line]} + \text{[Diagram 3: Sphere]} \\ + \text{[Diagram 4: Circle with two horizontal dashed lines]} + \dots \end{array}$$

Gap equation (Schwinger-Dyson equation) from  $\frac{\delta\Gamma}{\delta\mathcal{M}^2} = 0$ :

$$\mathcal{M}^2(t) = m^2 + \frac{\lambda}{2}\phi^2(t) + \frac{\lambda}{2}\Delta(t) \quad (7)$$

$$\Delta(t) := -2 \frac{\delta\Gamma^{2\text{PPI}}[\phi, \mathcal{M}^2]}{\delta\mathcal{M}^2(t)} \quad (8)$$

**Green's function** (local equation):

$$G^{-1}(x, x') = i [\square + \mathcal{M}^2(x)] \delta^D(x - x') \quad (9)$$

In momentum space **factorization** in **mode functions**

$$G_{>}(t, t'; \mathbf{p}) = \frac{1}{2\omega_p} (2n_p + 1) f(t; \mathbf{p}) f^*(t'; \mathbf{p}) \quad (10)$$

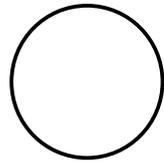
$$0 = \ddot{f}(t; \mathbf{p}) + [\mathbf{p}^2 + \mathcal{M}^2(t)] f(t; \mathbf{p}) , \quad (11)$$

with  $\omega_p = \sqrt{\mathbf{p}^2 + \mathcal{M}^2(0)}$ .

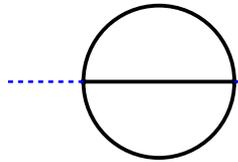
→ The Green's function  $G$  is *no variational parameter* of the theory. **The variational parameters are  $\phi$  and  $\mathcal{M}^2$ !**

## Equations of motion in the two-loop approximation

Two-loop approximation:  $\Gamma^{2\text{PPI}} = \Gamma^{(1)} + \Gamma^{(2)}$  with



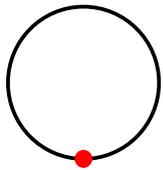
$$\Gamma^{(1)}[\mathcal{M}^2] = \frac{i}{2} \text{Tr} \ln[G^{-1}(\mathcal{M}^2)] \quad (12)$$



$$\Gamma^{(2)}[\phi, \mathcal{M}^2] = i \frac{\lambda^2}{12} \int d^{D-1}x d^{D-1}x' \int_{\text{CTP}} dt dt' \quad (13)$$

$$\times \phi(t)\phi(t')G^3(t, t'; \mathbf{x}, \mathbf{x}')$$

One-loop part of  $\Delta$ :



$$\Delta^{(1)}(t) = -2 \frac{\delta \Gamma^{(1)}[\phi, \mathcal{M}^2]}{\delta \mathcal{M}^2(t)} = \int \frac{d^{D-1}p}{(2\pi)^{D-1}} G_{>}(t, t; \mathbf{p}) \quad (14)$$

**Specialize to 1 + 1 dimensions. . .** → renormalization:

$$m^2 \rightarrow m^2 + \delta m^2 \quad (15)$$

$$\Rightarrow \delta m^2 + \frac{\lambda}{2} \Delta^{(1)}(t) = \frac{\lambda}{8\pi} \ln \frac{|m^2|}{m_0^2} + \Delta_{\text{fin}}^{(1)}(t) \quad (16)$$

Finite one-loop part:

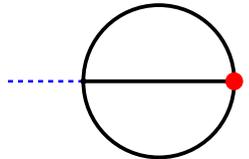
$$\Delta_{\text{fin}}^{(1)}(t) = \int \frac{dp}{2\pi} \left[ G_{>}(t, t; p) - \frac{1}{2\omega_p} \right] = \int \frac{dp}{2\pi 2\omega_p} [(2n_p + 1) |f(t, p)|^2 - 1] \quad (17)$$

**Two-loop contributions:**

$$\mathcal{S}(t) = -\frac{\delta\Gamma^{(2)}[\phi, \mathcal{M}^2]}{\delta\phi(t)} \quad ; \quad \Delta^{(2)}(t) = -2\frac{\delta\Gamma^{(2)}[\phi, \mathcal{M}^2]}{\delta\mathcal{M}^2(t)} \quad (18)$$

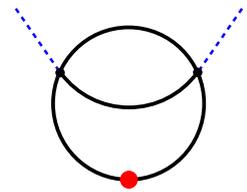
3. The 2PPI formalism

Equations of motion in the two-loop approximation



$$\begin{aligned}
 \mathcal{S}(t) = & -i\frac{\lambda^2}{6} \int_0^t dt' \phi(t') \int \prod_{\ell=1}^3 \left( \frac{dp_\ell}{2\pi} \right) 2\pi \delta \left( \sum_{\ell=1}^3 p_\ell \right) \\
 & \times \left[ \prod_{\ell=1}^3 G_{>}(t, t'; p_\ell) - \prod_{\ell=1}^3 G_{>}(t', t; p_\ell) \right] \quad (19)
 \end{aligned}$$

and



$$\begin{aligned}
 \Delta^{(2)}(t) = & -\lambda^2 \int_0^t dt' \phi(t') \int_0^{t'} dt'' \phi(t'') \int \prod_{\ell=1}^3 \left( \frac{dp_\ell}{2\pi} \right) 2\pi \delta \left( \sum_{\ell=1}^3 p_\ell \right) \\
 & \times [G_{>}(t, t'; p_3) - G_{>}(t', t; p_3)] \\
 & \times [G_{>}(t', t''; p_1) G_{>}(t', t''; p_2) G_{>}(t, t''; p_3) \\
 & - G_{>}(t'', t'; p_1) G_{>}(t'', t'; p_2) G_{>}(t'', t; p_3)] . \quad (20)
 \end{aligned}$$

## Equations of motion

$$0 = \ddot{\phi}(t) + \mathcal{M}^2(t)\phi(t) - \frac{\lambda}{3}\phi^3(t) + \mathcal{S}(t) \quad (21)$$

$$\mathcal{M}^2(t) = m^2 + \frac{\lambda}{2} \left( \phi^2(t) + \Delta^{(1)}(t) + \Delta^{(2)}(t) \right) . \quad (22)$$

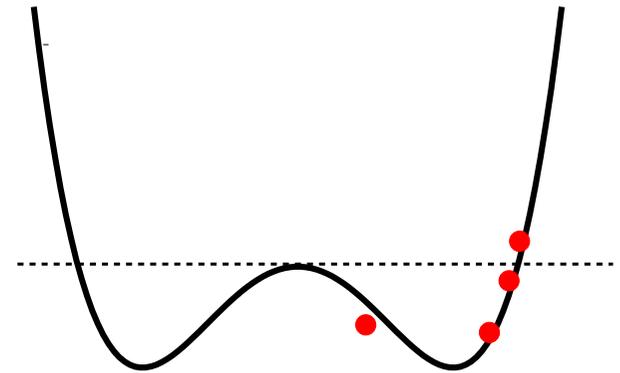
### 3. The 2PPI formalism

#### Remarks:

- the **selfenergy** is local  $\rightarrow$  **local mass** term  $\mathcal{M}^2$
- $G$  can be factorized in mode functions  $\rightarrow$  the equations of motion are **ordinary differential equations**
- there are memory effects ( $\rightarrow$  time integrations over the past of  $\phi$  and  $G$ )
- there is re-scattering of the quanta ( $\rightarrow$  two momentum integrations)
- less powerful in resumming diagrams (compared to the 2PI effective action)
- the problem of renormalization in  $3 + 1$  dimensions has been solved in equilibrium QFT [explicit two-loop calculation at finite temperature: G. Smet et al., Phys. Rev. **D65** 045015 (2002)]

## Initial conditions

- Gaussian initial density matrix  $\rightarrow$  Fock space
  - nonzero value  $\phi(0)$  for the mean field
  - $f(0, p) = 1$ ,  $\dot{f}(0, p) = -i\omega_p$
  - initial ensemble  $n_p$  for the quanta  $\rightarrow$  Bogoliubov rotated Fock space
- at  $t = 0$ : quanta like free particles with a mass  $m_0^2 = \mathcal{M}^2(0)$ ;  $m_0^2$  has to be determined self consistent



## ● 4. Numerical simulations in the two-loop approximation ●

### Numerical implementation:

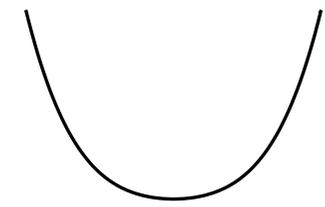
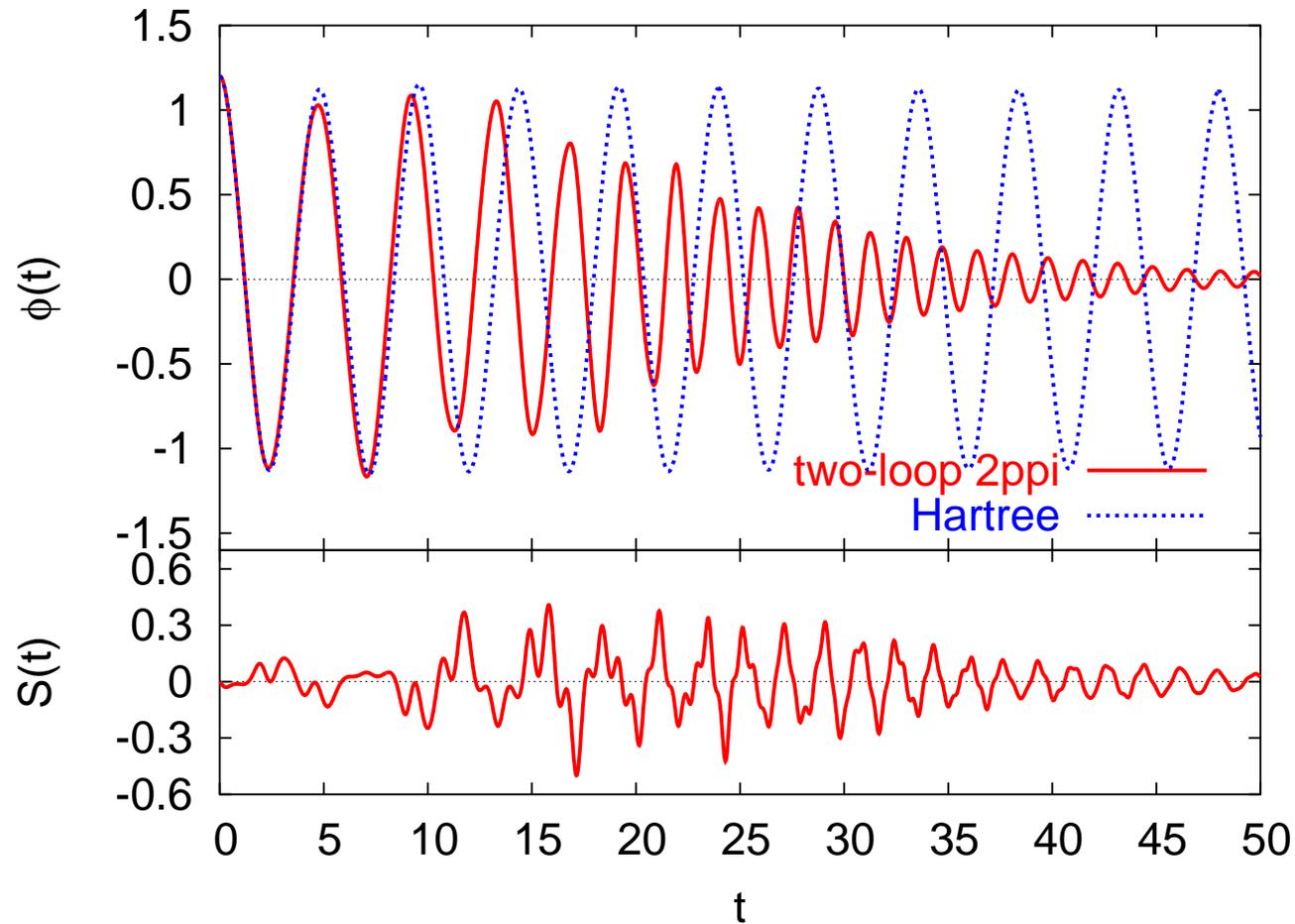
- Runge-Kutta algorithm for the integration of the differential equations with stepsize  $\Delta t = 0.001\text{—}0.005$
  - Momentum discretization with  $p_{\max} = 15\text{—}20$  and  $dp \leq 0.05$
  - Energy conserved to at least five significant digits
  - Wronskians constant with a relative precision of  $10^{-8}$
- Results compared to the **Hartree** approximation (**one-loop 2PPI**)

**Parameters:** Distribution function (Bose-Einstein) for the quanta at  $t = 0$

$$n_p = \frac{1}{e^{\omega_p/T_0} - 1} \quad (23)$$

| Set | Potential   | Initial conditions |       | $\lambda$ | $m^2$ |
|-----|---|--------------------|-------|-----------|-------|
|     |   | $\phi(0)$          | $T_0$ |           |       |
| 0   | symmetric      | 1.2                | 0     | 6         | +1    |
| 1   | double well    | 1.4                | 0     | 1         | -1/6  |
| 2   | double well   | 1.2                | 0     | 1         | -1/6  |
| 3   | double well  | 0.4                | 0.1   | 21.9      | -1    |

**Symmetric potential:** *parameter set 0:*  $\phi(t)$  and  $\mathcal{S}(t)$



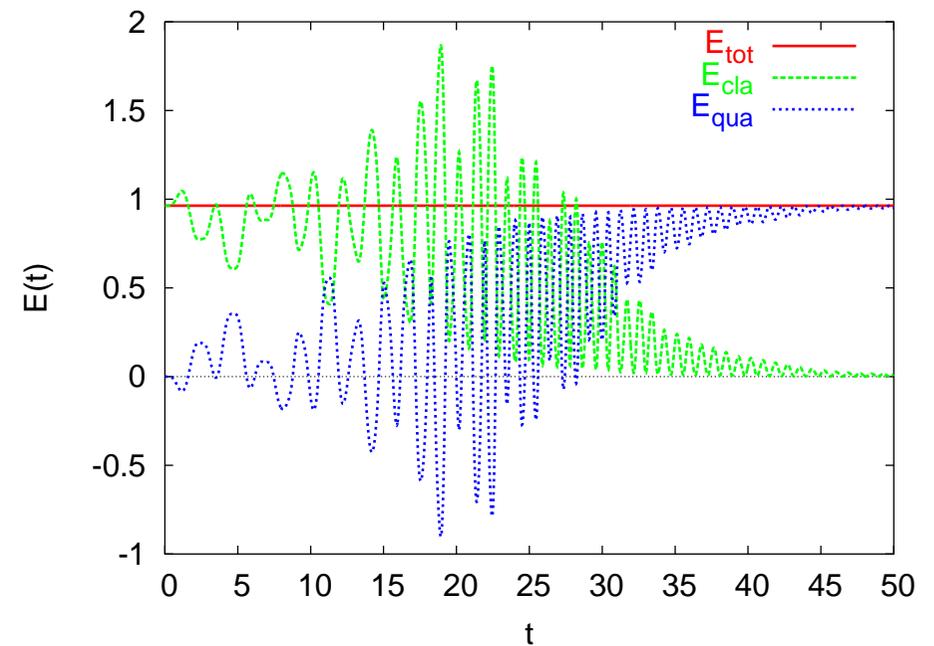
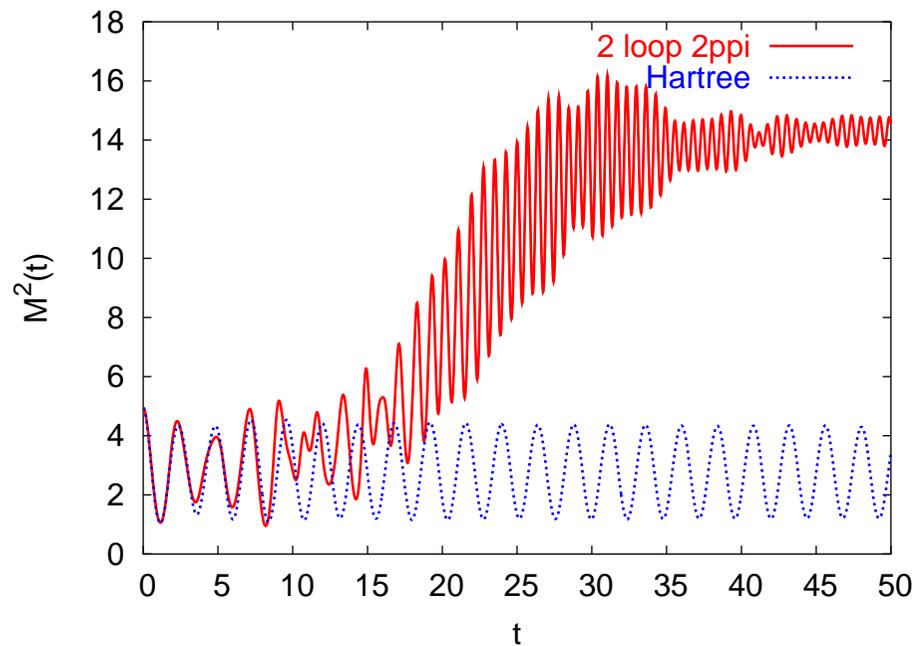
Parameters:

$$\phi(0) = 1.2, T_0 = 0$$

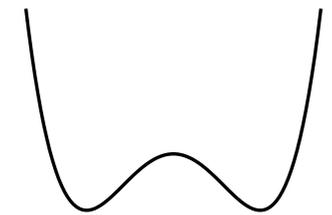
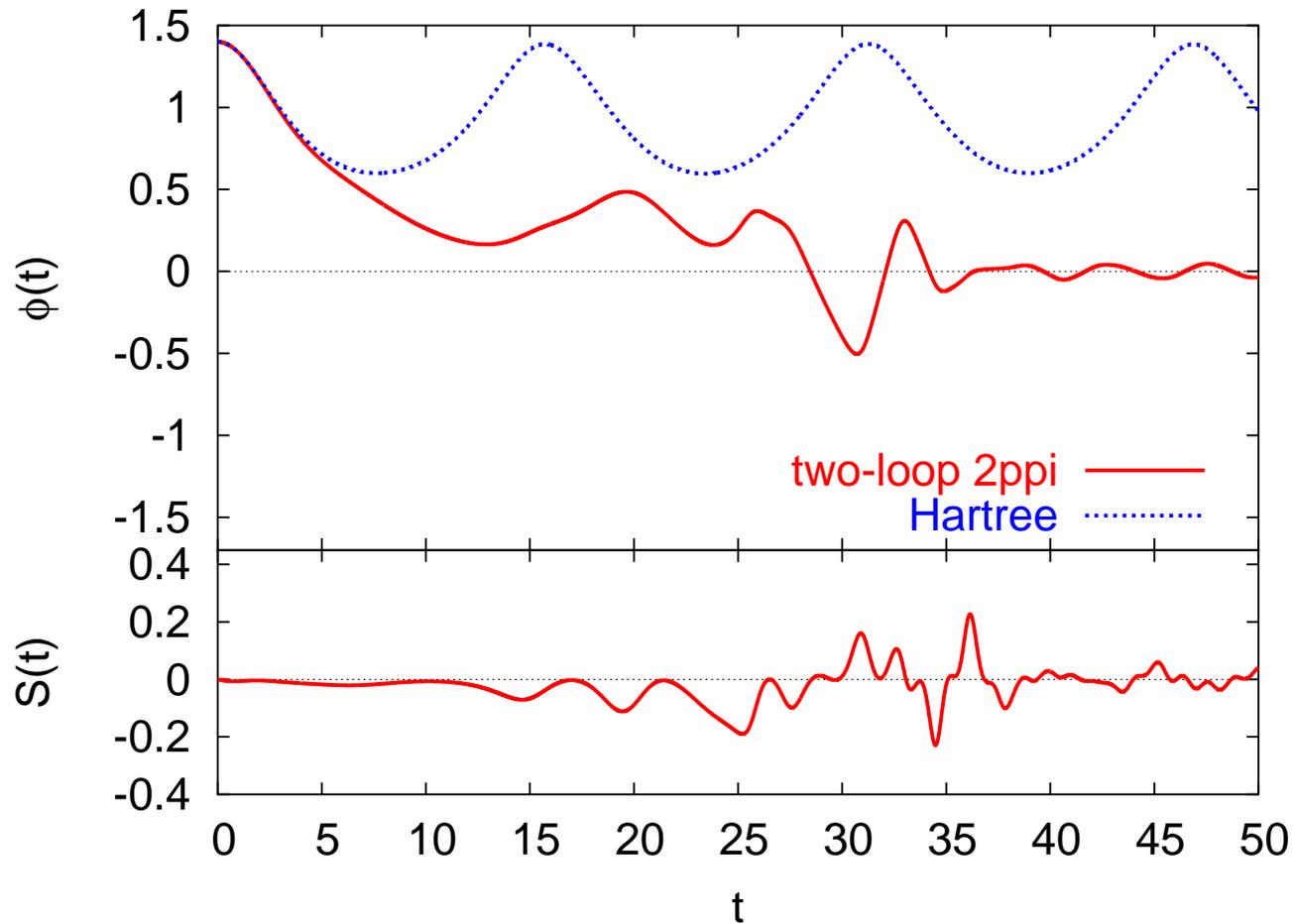
$$\lambda = 6, m^2 = 1$$

Effective mass  $\mathcal{M}^2(t)$  (left);

Energy contributions with  $E_{\text{cla}} = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}(m^2 + \delta m_{\text{fin}}^2)\phi^2 + \frac{\lambda}{4!}\phi^4$  (right)



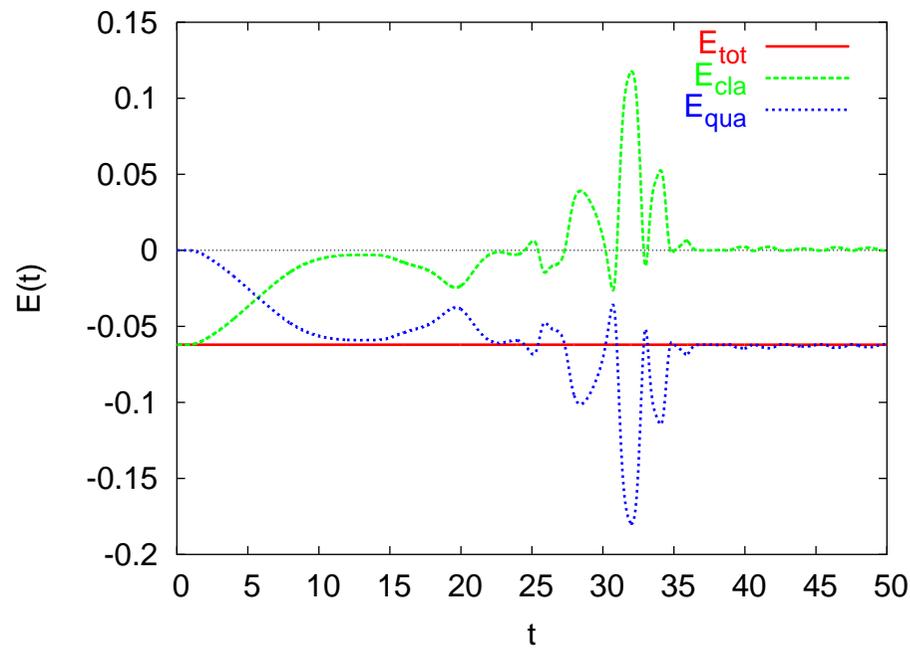
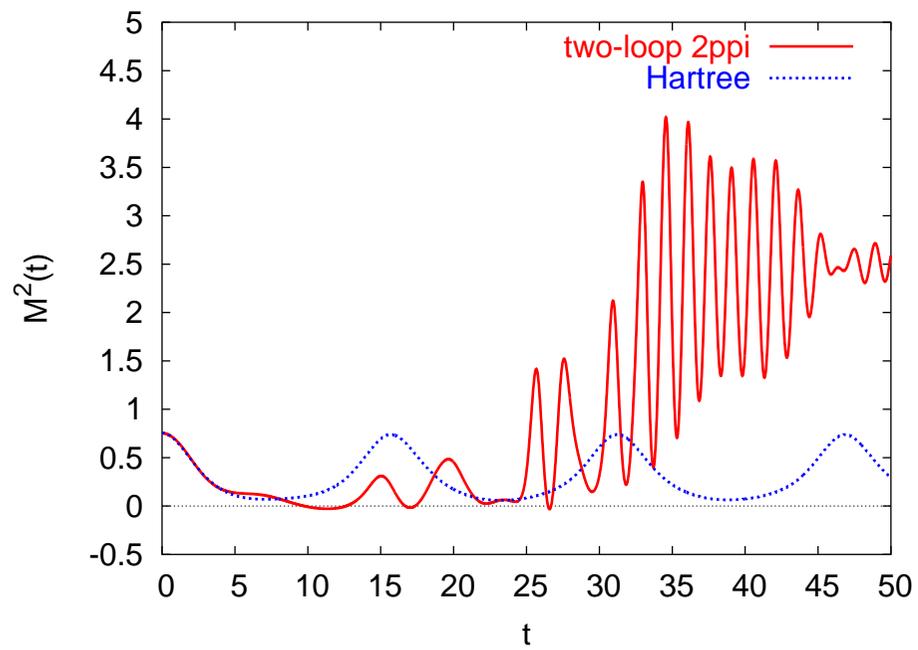
**Double well potential:** *parameter set 1*: near the critical value  $\phi(0) < \sqrt{2}$



Parameters:

$$\phi(0) = 1.4, T_0 = 0$$

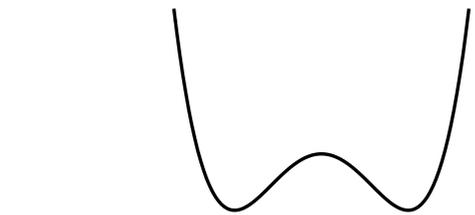
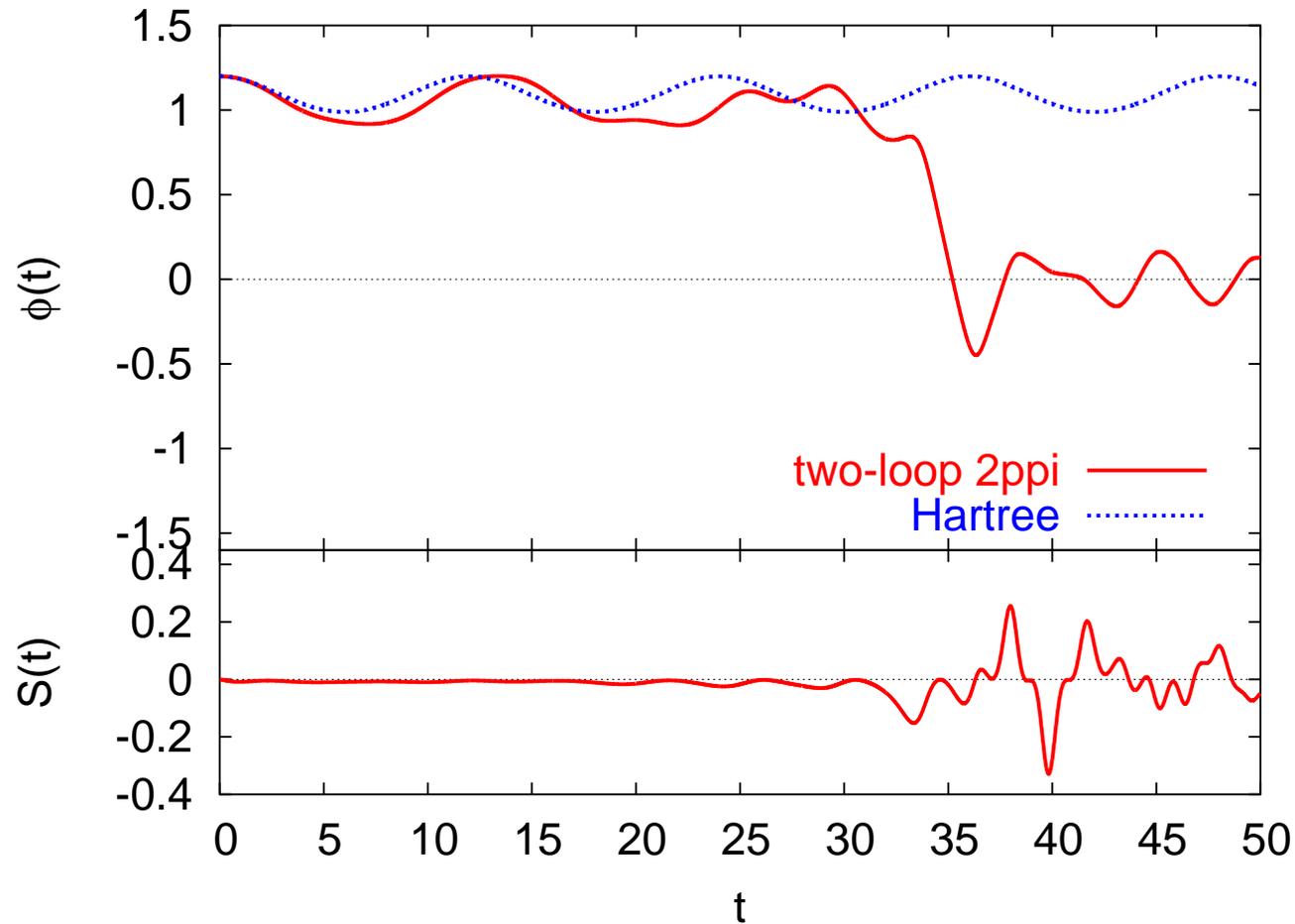
$$\lambda = 1, m^2 = -\frac{1}{6}$$



Numerical simulations

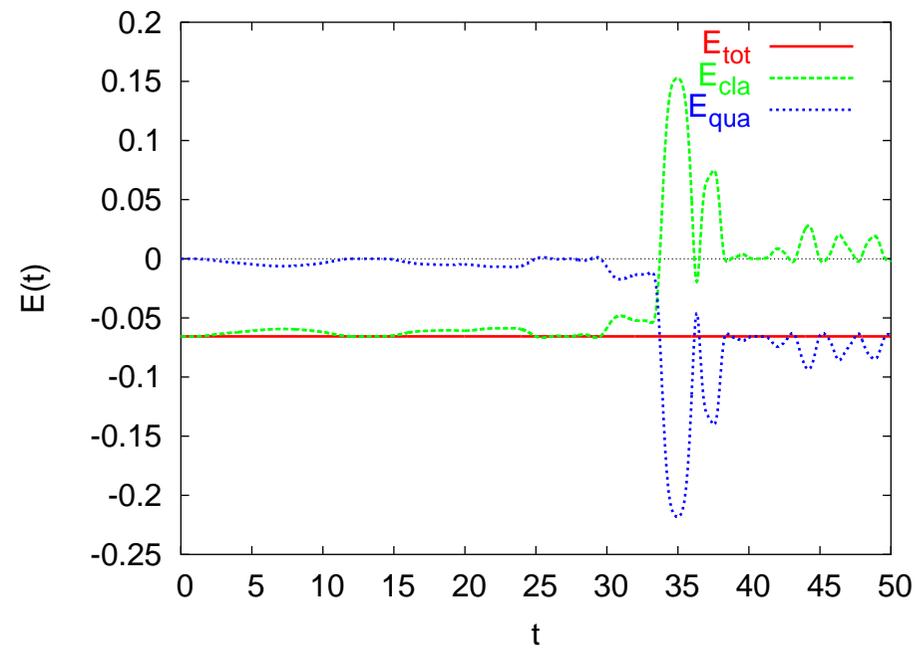
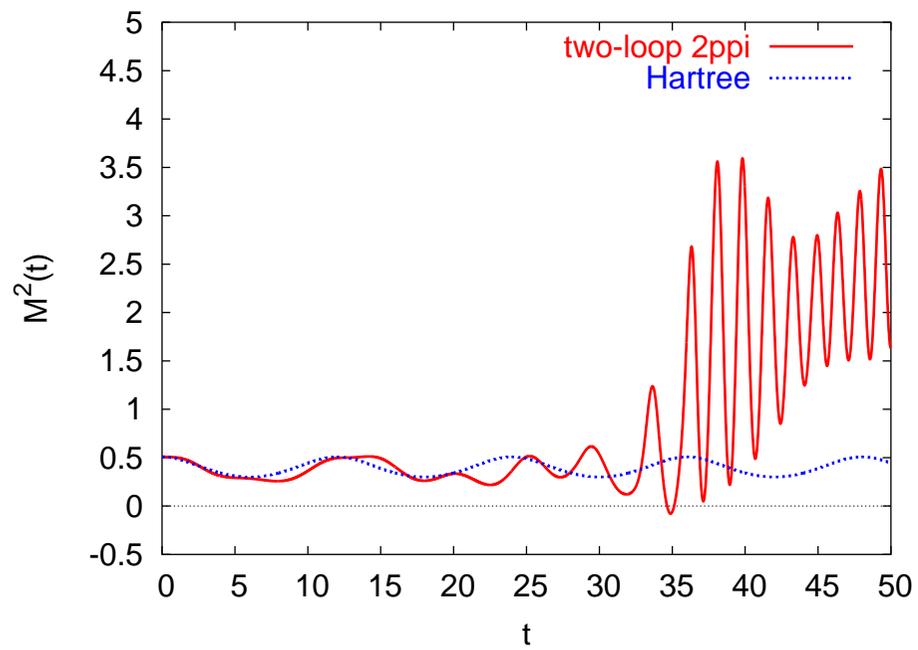
Double well potential

parameter set 2:  $\phi(0) = 1.2$



Parameters:

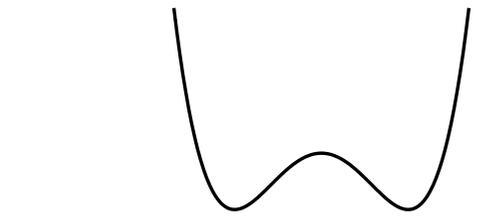
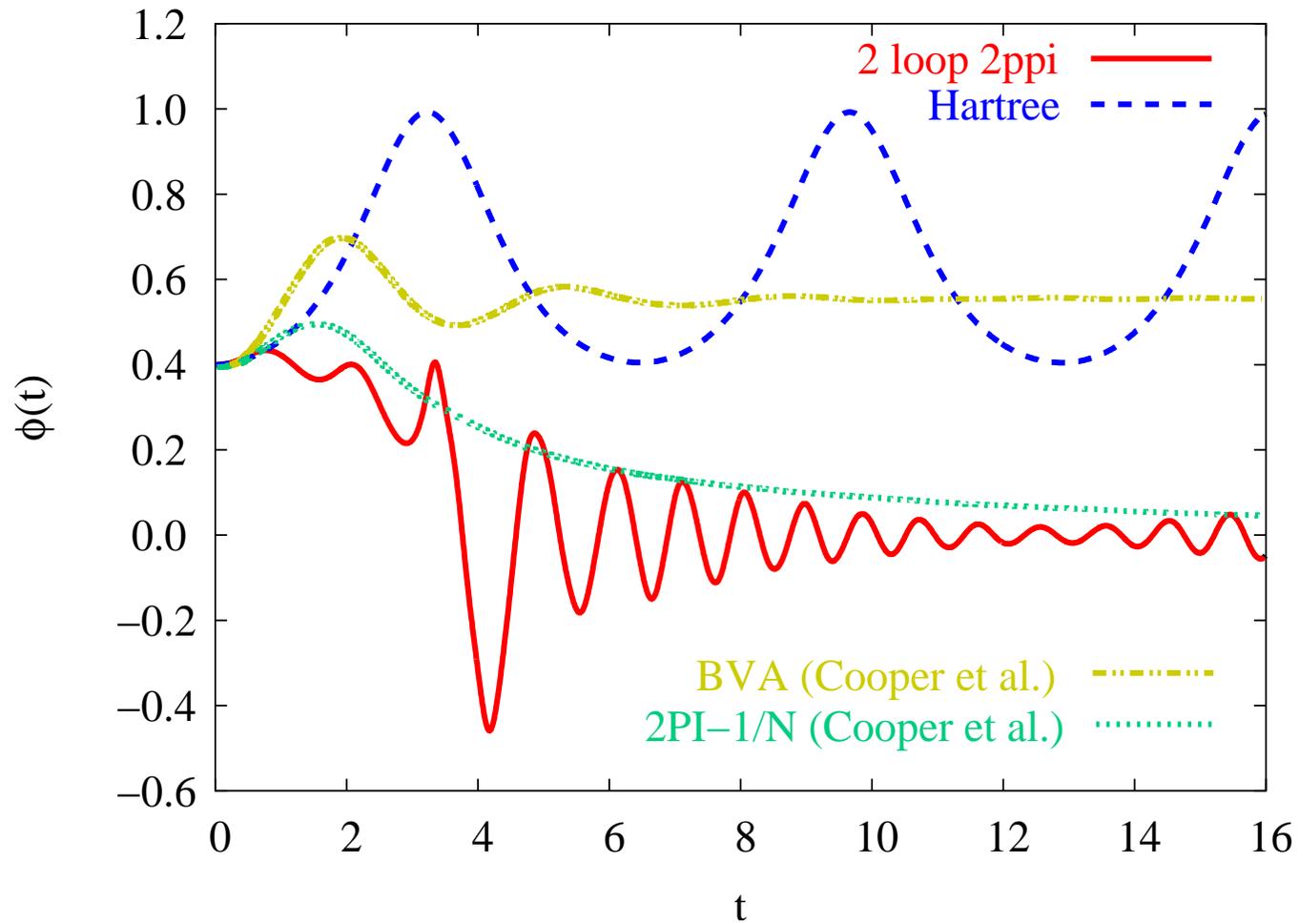
$$\phi(0) = 1.2, T_0 = 0$$
$$\lambda = 1, m^2 = -\frac{1}{6}$$



Numerical simulations

Double well potential

*parameter set 3: a large coupling constant*



Parameters:

$$\phi(0) = 0.4, \quad T_0 = 0.1$$

$$\lambda = 21.9, \quad m^2 = -1$$

## • 5. Summary and Outlook •

### Summary:

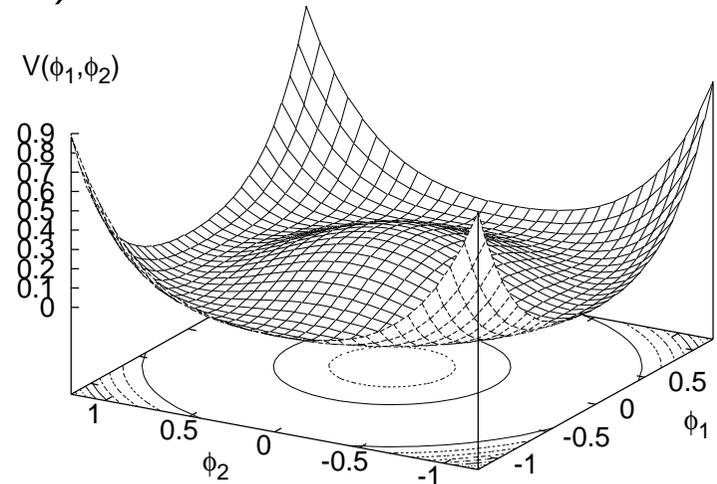
- We have presented the **generalization** of the **2PPI** scheme to **nonequilibrium** quantum field theory
- Compared to other methods computationally less involved framework for simulations beyond the large- $N$  or Hartree approximation
- Numerical simulations in  $1 + 1$  dimensions in the two-loop approximation displayed:
  - the **absence of symmetry breaking** as expected from the exact theory
  - **normal dissipative dynamics** for the mean field  $\phi$
  - this both cures (some) obvious deficits of the Hartree (and large- $N$ ) approximation

Outlook

## Outlook:

- **Extension** of the presented formalism **to 3+1 dimensions** as well as to  $O(N)$  models → the techniques for the **separation of the divergent parts** are available
- Application of the formalism to **hybrid potentials** with additional scalar fields → scenario for the **(p)reheating stage of cosmic inflation**

$O(N)$



hybrid

