

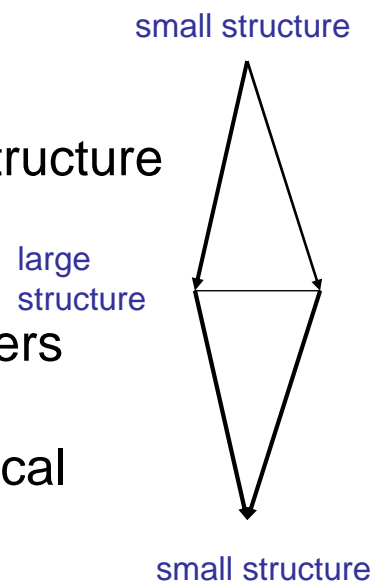
# Galaxy formation within the classical Big Bang Cosmology

Bernd Vollmer

CDS, Observatoire astronomique  
de Strasbourg

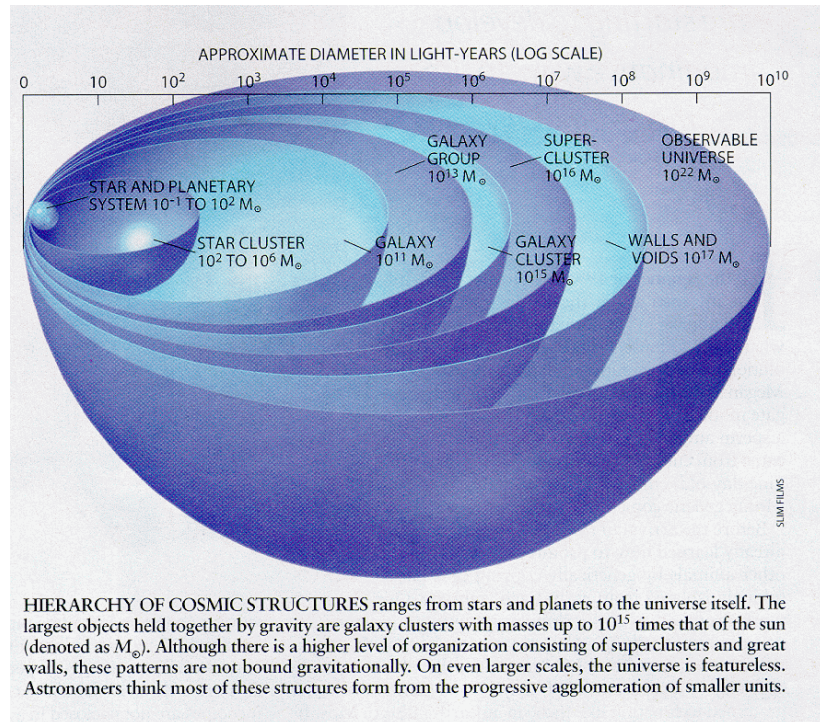
## Outline

- some basics of astronomy
- galaxies, AGNs, and quasars
- from galaxies to the large scale structure of the universe
- the theory of cosmology
- measuring cosmological parameters
- structure formation
- galaxy formation in the cosmological framework
- open questions



# The architecture of the universe

- Earth  
( $\sim 10^{-9}$  ly)
- solar system  
( $\sim 6 \cdot 10^4$  ly)
- nearby stars  
( $> 5$  ly)
- Milky Way  
( $\sim 6 \cdot 10^4$  ly)
- Galaxies  
( $> 2 \cdot 10^6$  ly)
- large scale structure  
( $> 50 \cdot 10^6$  ly)



## How can we investigate the universe

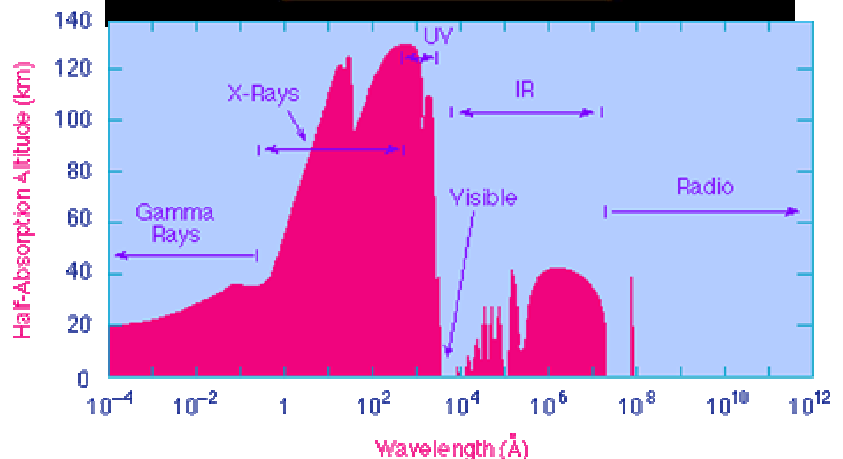
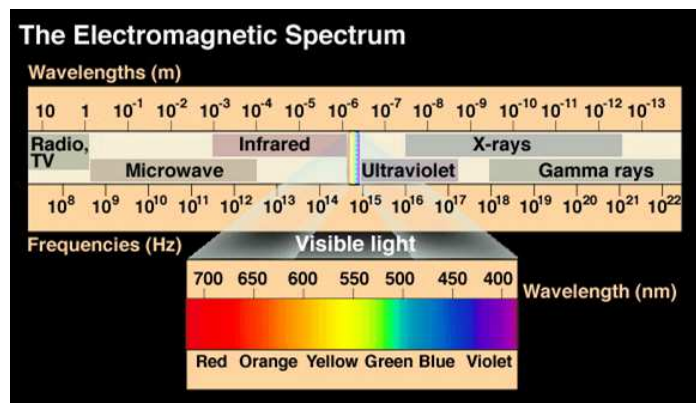
Astronomical objects emit electromagnetic waves which we can use to study them.

**BUT**

The earth's atmosphere blocks a part of the electromagnetic spectrum.

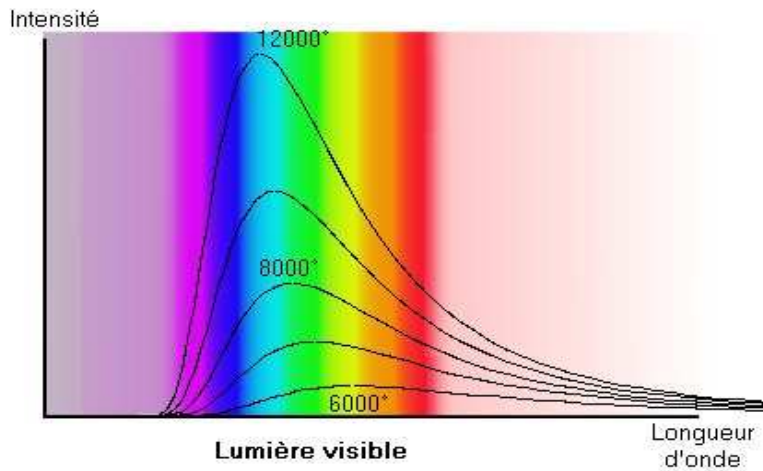


need for satellites



# Back body radiation

- Opaque isolated body at a constant temperature



Wien's law:

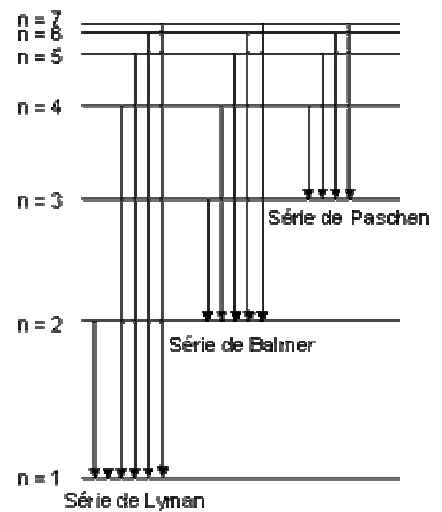
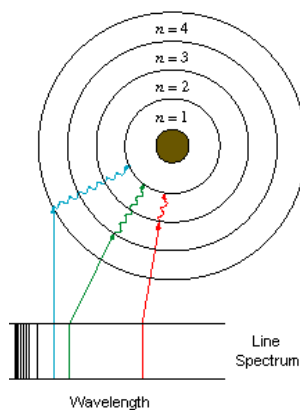
$$\lambda_{\max} \times T = 2900 \text{ } \mu\text{m K}$$

sun/star (5500 K):	0.5 $\mu\text{m}$	visible
human being (310 K):	9 $\mu\text{m}$	infrared
molecular cloud (15 K):	200 $\mu\text{m}$	radio

# The structure of an atom

**Components:** nucleus (protons, neutrons) + electrons

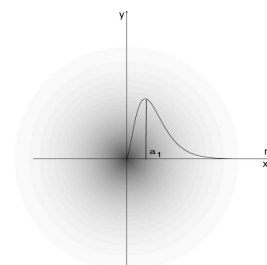
**Bohr's model:** the electrons orbit around the nucleus, the orbits are discrete



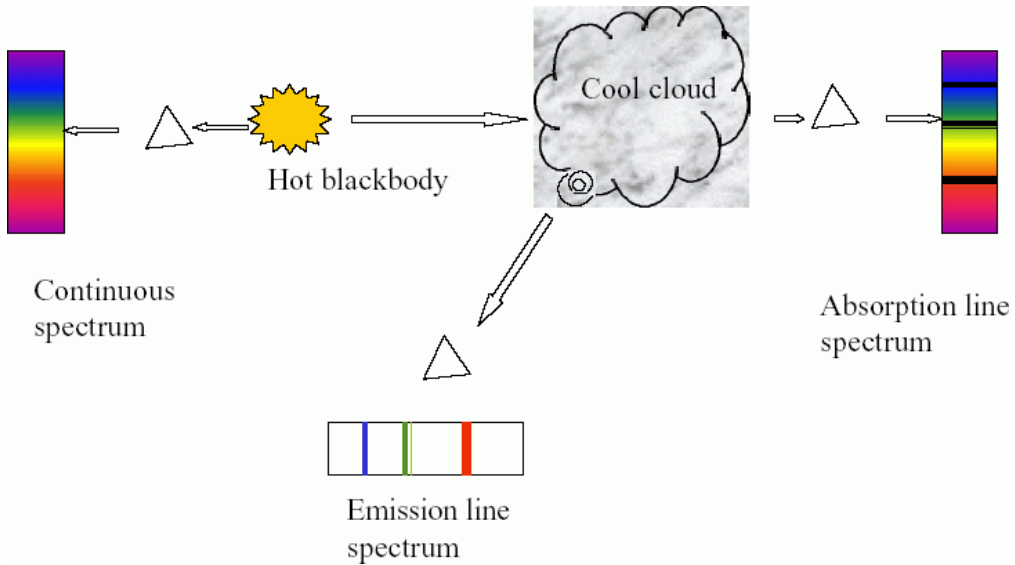
Bohr's model is too simplistic



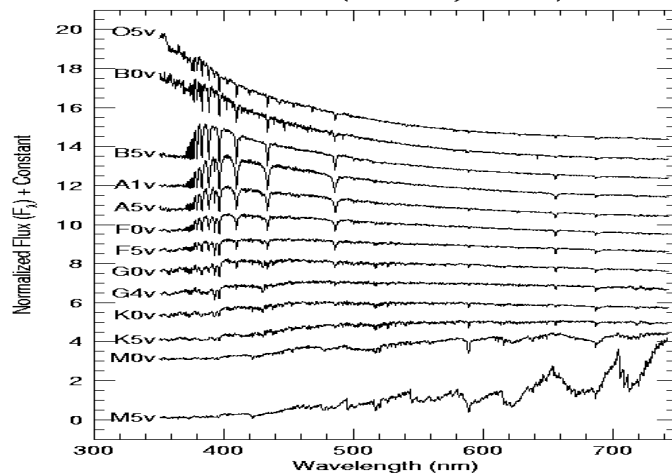
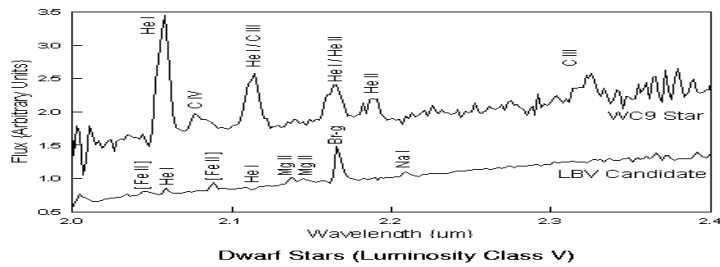
*quantum mechanical description*



The emission of an astronomical object has 2 components:  
 (i) continuum emission + (ii) line emission



# Stellar spectra

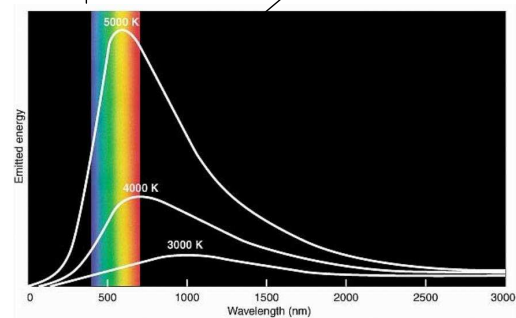
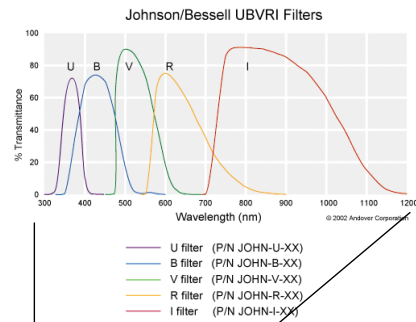


# Observing in colors

Usage of filters

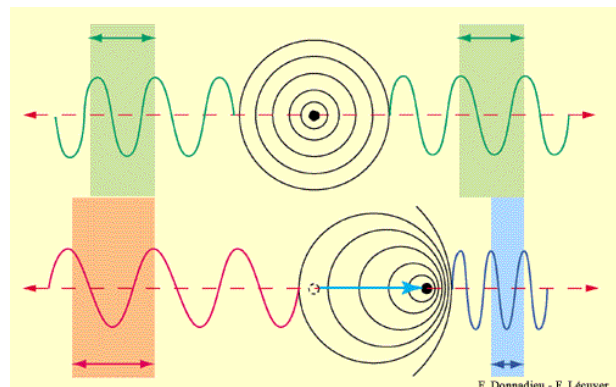
(Johnson):

U (UV), B (blue), V (visible), R (red), I (infrared)



# The Doppler effect

- Is the apparent change in frequency and wavelength of a wave which is emitted by a source moving relative to the observer
- For electromagnetic waves:  
 approaching source: blueshifted emission  
 receding source: redshifted emission

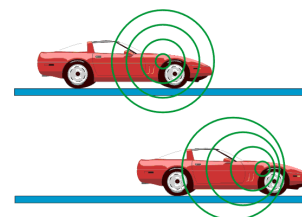


$$v/c = \Delta\lambda/\lambda$$

$\lambda$ : wavelength

c: velocity of light

v: velocity of the source



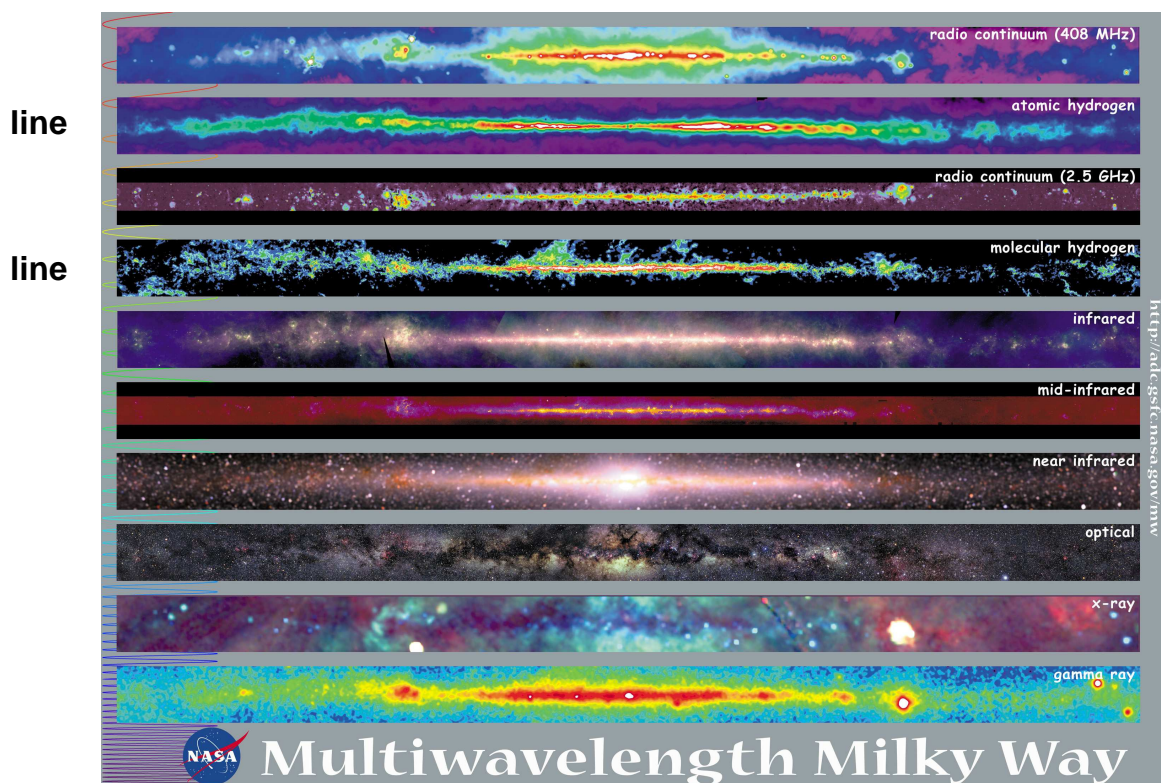
# The Milky Way galaxy

- $\sim 10^{11}$  stars: halo + bulge + disk



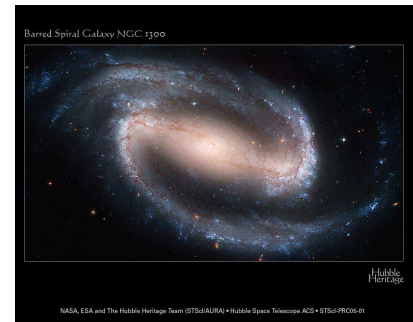
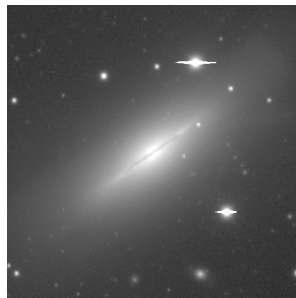
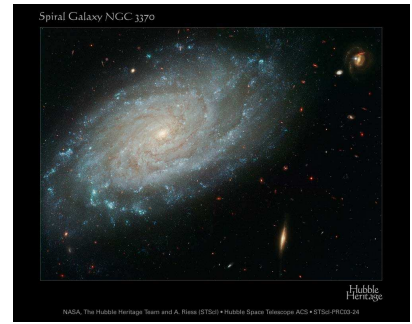
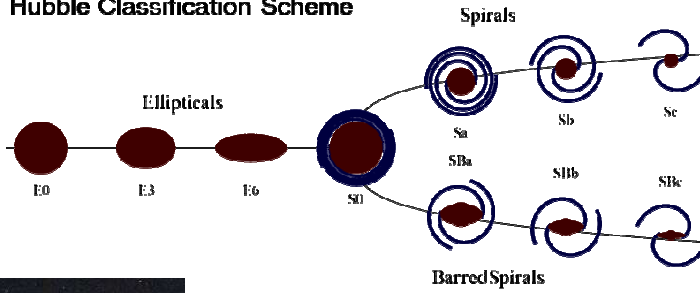
- diameter:  $\sim 10^5$  ly
- disk rotation velocity: 200 km/s
- Interstellar matter (ionized, atomic, and molecular): several  $10^9$  solar masses
- dark matter

## The Milky Way at different wavelengths



# The Hubble sequence

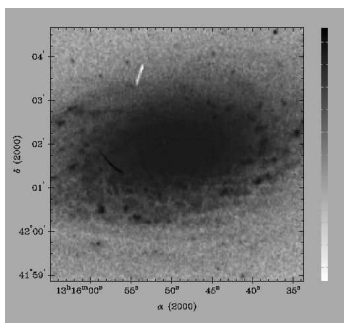
Hubble Classification Scheme



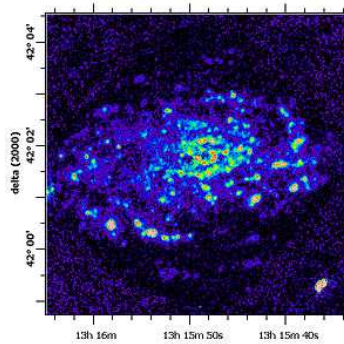
# Galaxy dynamics

- Observations of the interstellar gas: optical lines ( $H\alpha$ ) or radio lines (mm: CO, cm: HI)
- Doppler effect

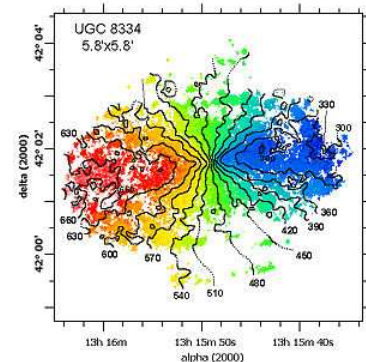
Example: M63



Optical image



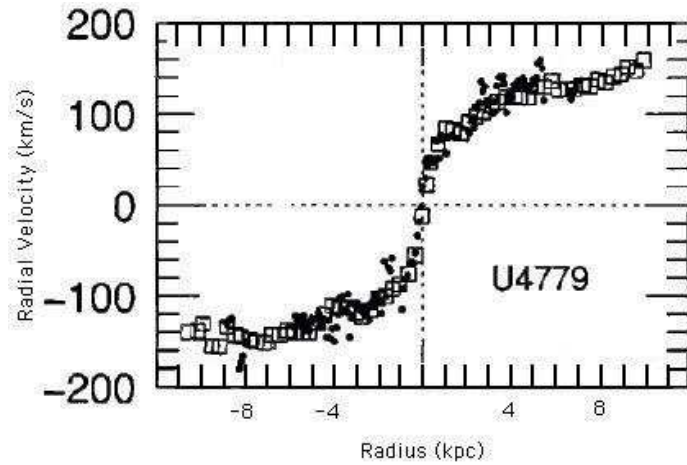
$H\alpha$  image



$H\alpha$  velocity field

# The rotation curve

- Extraction of the radial velocities as a function of galactic radius
- Correction for the galaxy's inclination with respect to the image plane

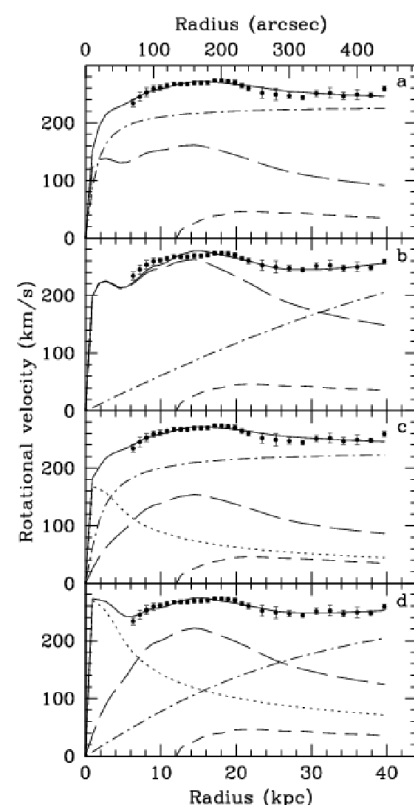


## Decomposition of the rotation curve

- $mMv^2/R = mMG/R^2 \rightarrow v^2 = MG/R$   
 $m$ : mass of a star,  $M$ : mass included within the radius  $R$ ,  $v$ : rotation velocity,  $G$ : constant of gravitation,  $R$ : galactic radius
- mass components: bulge (...), disc (- - -), gas (- - - -), dark matter (.\_.\_.\_)
- mass to light ratio ( $M/L$ ) for the stars

$M/L > 1$  or the need for dark matter  
 $\rightarrow$  matter

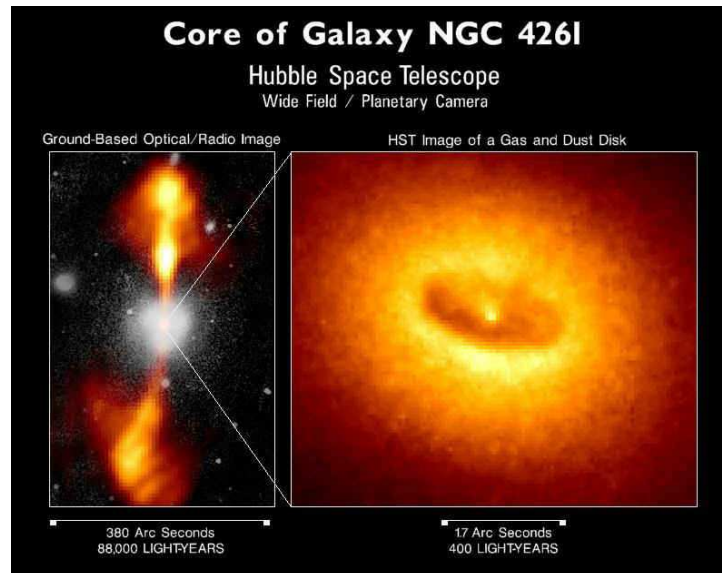
Typically  $M/L \sim 10$





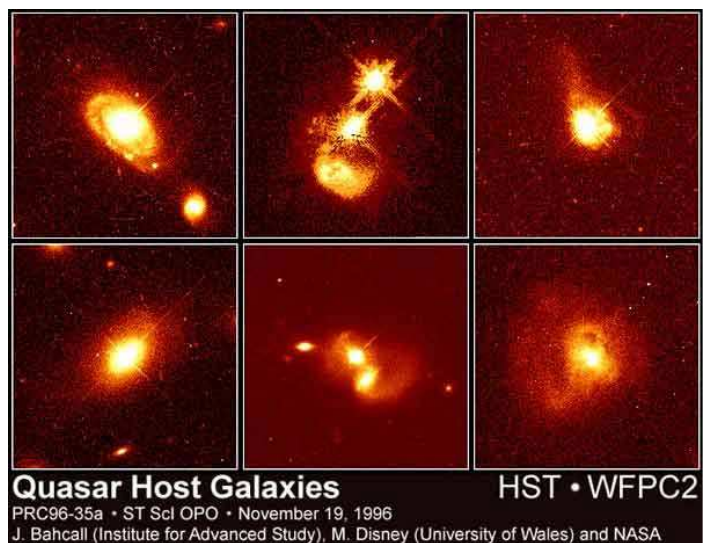
# Galaxies with active galactic nuclei (AGN)

- Galaxies whose nucleus is brighter than the whole stellar disk
- Energy source: gravitation (black hole)



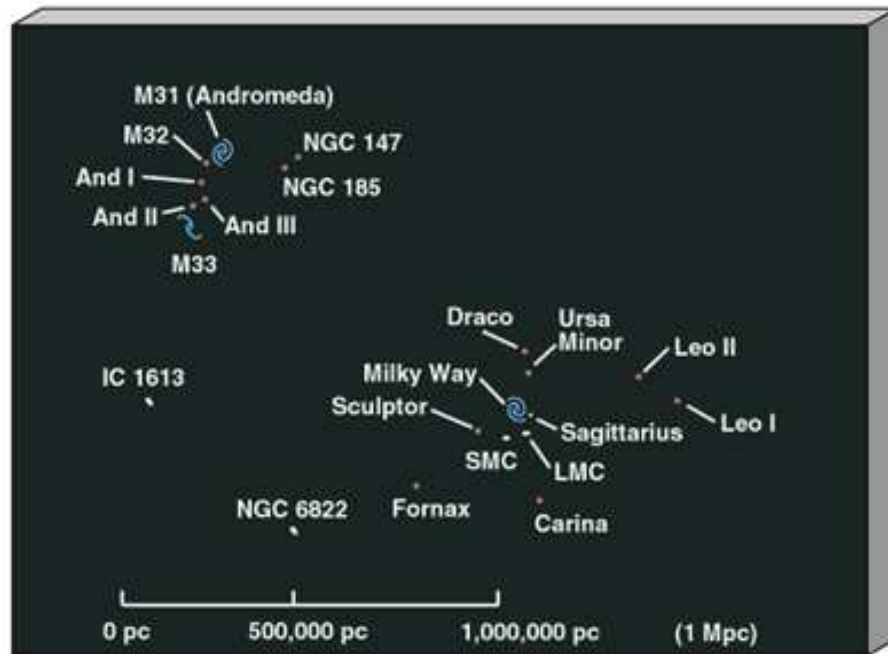
## Quasars

- « Quasi stellar objects »  
small compact objects
- very distant sources:  
« light from the edge of  
the universe »
- Class of AGNs
- Objects with the highest  
known luminosities



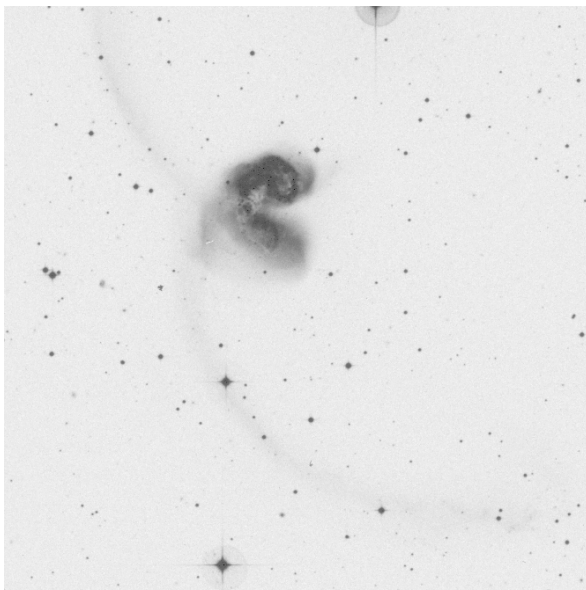
# The local group

M/L ~100

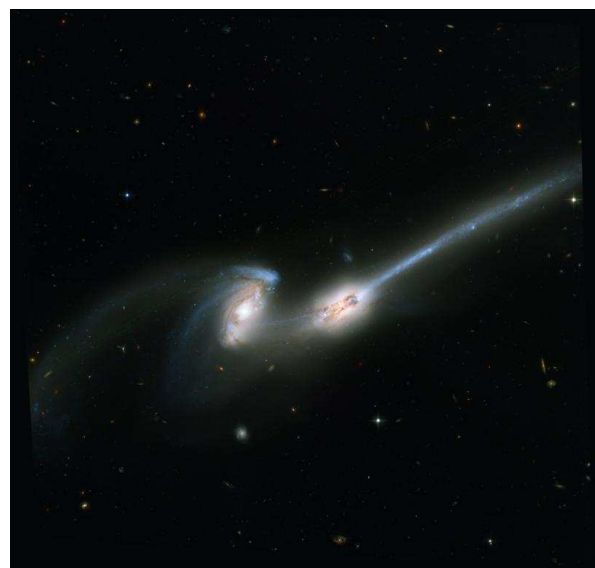


1 pc (parsec) ~ 3 ly

## Galaxy evolution via gravitational interactions



The antenna galaxies

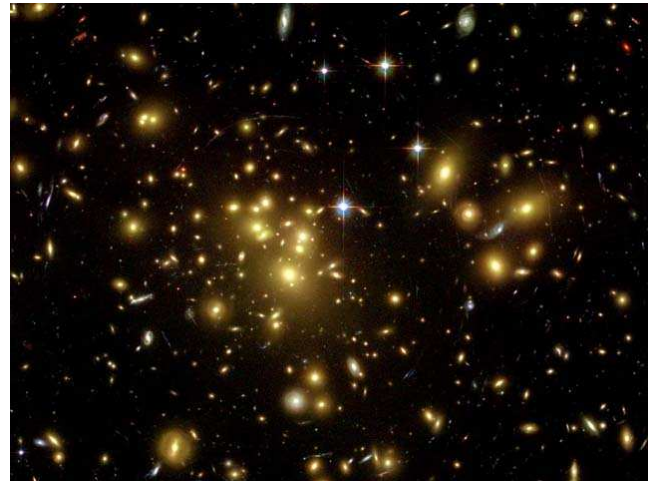


The mice

# Galaxy clusters

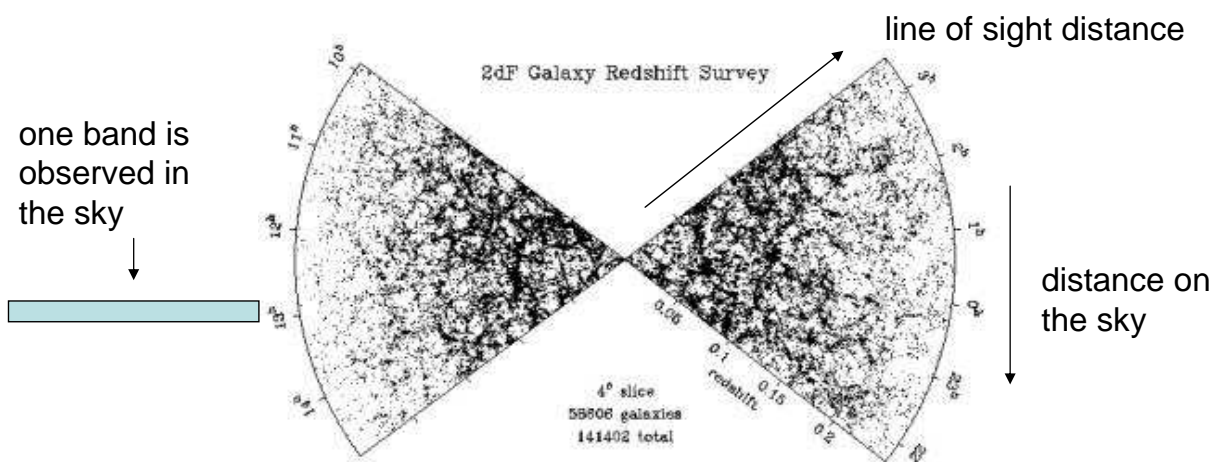
- dimension:  $\sim 10$  Mly
- more than 100 galaxies
- closest galaxy cluster in the northern hemisphere: Virgo cluster (distance:  $\sim 50$  Mly)
- determination of M/L:  
velocity dispersion, X-rays from hot gas + hydrostatic equilibrium + gravitational lenses
- typically:  $M/L \sim 300$

Abell 1689



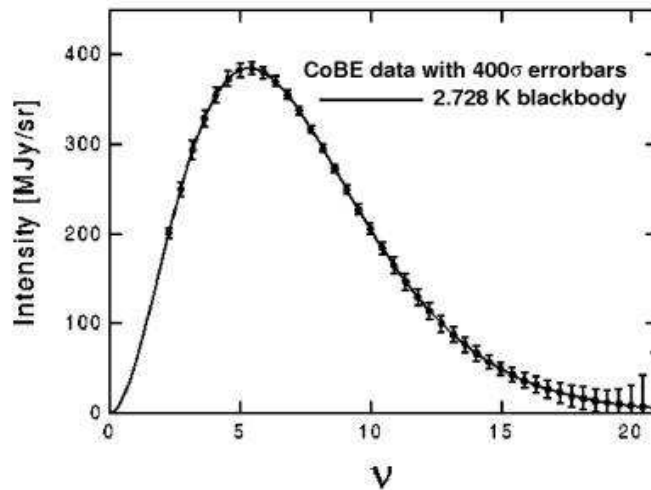
## Large scale distribution of galaxies

- distances  $> 10$  Mly
- picture: large scale distribution has a **foam** or a **web** structure

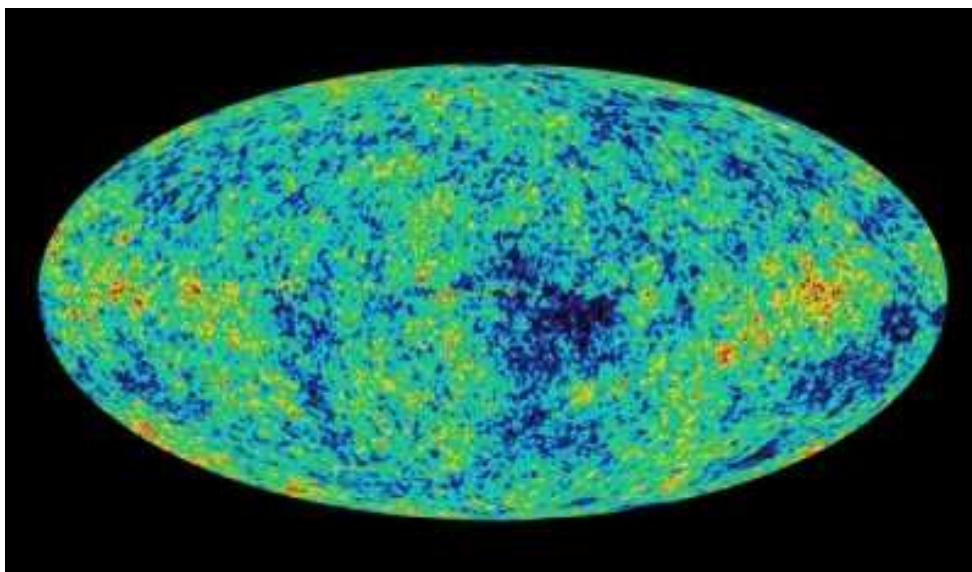


# The cosmic microwave background

- 1965: A. Penzias and A.W. Wilson observed an excess emission in the radio independent of position → Nobel prize (1978)
- Perfect Black Body radiation
- Temperature  $T = 2.725$  K



## The 2D distribution of the CMB

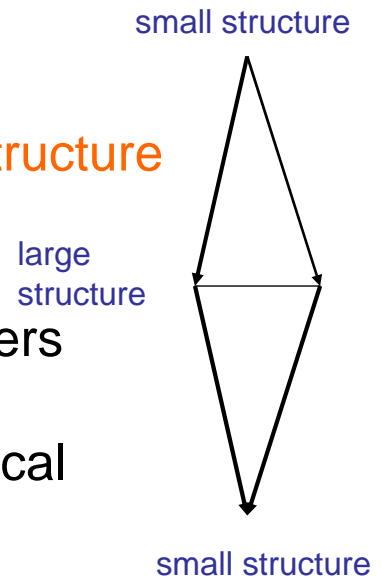


WMAP CMB map

Note: the galactic foreground emission had to be removed

# Outline

- some basics of astronomy
- galaxies, AGNs, and quasars
- from galaxies to the large scale structure of the universe
- the theory of cosmology
- measuring cosmological parameters
- structure formation
- galaxy formation in the cosmological framework
- open questions



## The basis of cosmology

ingredients:

- (i) theory of gravitation (general relativity)
- (ii) postulates giving rise to a relation between the topology of the universe and its energy-matter content
- (iii) cosmological principles

**restricted relativity:** 4D space-time

distance between two events at  $(t,x,y,z)$  and  $(t+dt, x+dx,y+dy,z+dz)$ :

$$ds^2=c^2dt^2-(dx^2+dy^2+dz^2)$$

which is invariant with respect to coordinate transformations

path of a photon  $ds=0$

without external forces (e.g. gravitation) particles follow a straight line

**general relativity:**

gravitation is no longer a force, but a property of space-time, which is not necessarily flat, but can have a curvature caused by gravitation

$$ds^2=g_{ij} dx^i dx^j \quad \text{where } g_{ij} \text{ is the metric tensor}$$

## additional postulats:

1. relation between matter-density and metric
2. energy-momentum tensor  $T_{ij}$  only contains first derivations of  $g_{ij}$
3. covariant derivation of  $T_{ij}$  is zero
4. at the limit of weak gravitation  $\Delta\Phi=4\pi G\rho$  (Poisson's law)  
 $\longrightarrow$  Einstein's equation

formal

### Cosmological principle

- 1.) there is a universal time such that  $ds^2 = c^2 dt^2 - dl^2$
- 2.) the spatial component of the universe is homogenous and isotropic

$dl^2 = B(r, t)dx^2$  where  $B(r, t) = R^2(t)F(r)$   
 $R(t)$  is a scale factor

most general expression for  $F(r)$ :

$$F(r)^2 = \frac{1}{(1 + \frac{k}{4}r^2)^2}; \quad k = -1, 0, 1$$

$\rightarrow$  Robertson-Walker metric

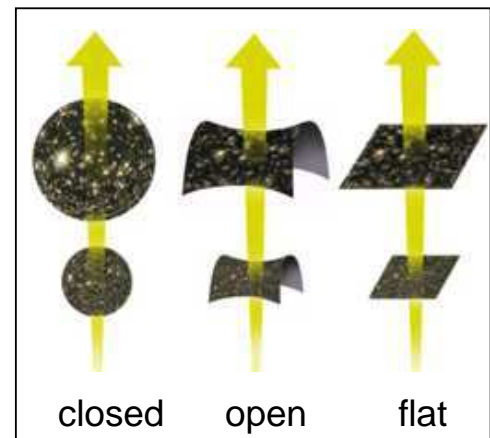
k=0: flat univers (euklidian);  
 k=-1: open universe;  
 k=1 closed universe

volume:

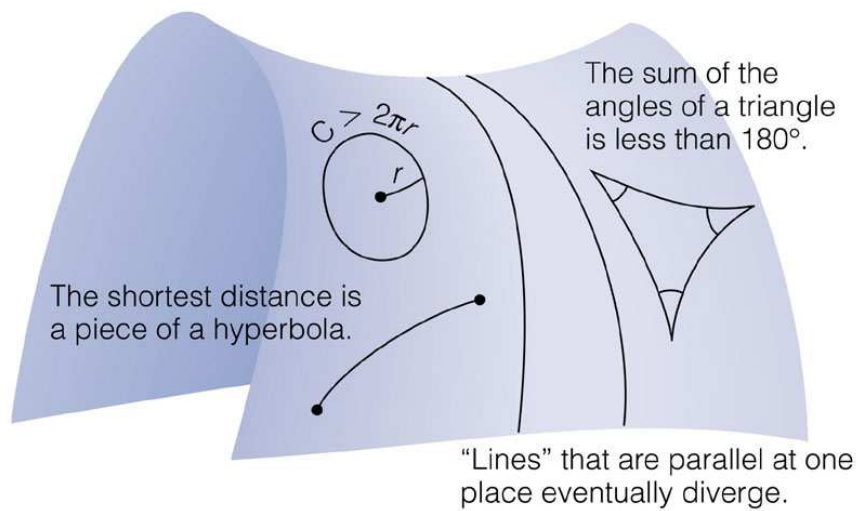
$$V_{k=0} = \frac{4}{3}\pi(Rr)^3$$

$$V_{k=1} \neq V_{k=0}$$

The Pythagorean theorem ( $c^2 = a^2 + b^2$ ) is only valid for k=0



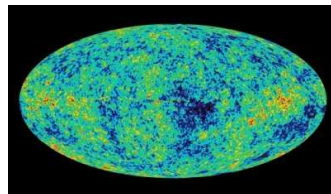
## Example: the case of an open universe ( $k=-1$ )



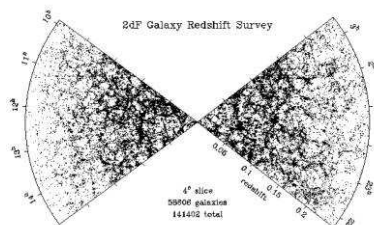
Copyright © 2004 Pearson Education, publishing as Addison Wesley.

## Justification of the cosmological principle

- Isotropy (structure is independent of direction):  
temperature variations in the cosmic microwave background (CMB):  
 $\Delta T/T \sim 10^{-5}$



- Homogeneity (translational invariance in 4D):  
quasar distribution, galaxy distribution at distances  $> 600$  Mly



## Consequences of the cosmological principle

### 1.) Hubble's law:

let us define a proper distance:  $d_{\text{pr}} = \int c dt = R(t) f(r)$   
 $\rightarrow$  proper distances change with time

radial velocity:

$$v_r = \frac{d(d_{\text{pr}}(t))}{dt} = H(t) d_{\text{pr}}$$

this is Hubble's law where  $H(t) = \frac{\dot{R}(t)}{R(t)}$  is the Hubble constant.  
 definition:  $H_0 = H(t_0)$

### 2.) redshift:

$$z = \frac{\lambda_0 - \lambda_e}{\lambda_e}$$

from  $ds^2 = 0 \rightarrow$  for two maxima of a wave:

$$\int_{t_e}^{t_0} \frac{cdt}{R(t)} = \int_{t_e + \delta t_e}^{t_0 + \delta t_0} \frac{cdt}{R(t)}$$

one can show that  $\delta t_e / R(t_e) = \delta t_0 / R(t_0)$ ; with  $\delta t = \nu^{-1}$

$$z + 1 = R(t_0) / R(t)$$

in words: the redshift corresponds to the ratio of the scale factors

## The deceleration parameter

Taylor expansion of  $R(t)$ :

$$\begin{aligned} R(t) &= R(t_0) + (t - t_0) \left( \frac{dR(t)}{dt} \right)_{t=t_0} + \frac{1}{2} (t - t_0)^2 \left( \frac{d^2 R(t)}{dt^2} \right)_{t=t_0} + \dots = \\ &= R_0 [1 + H_0 (t - t_0) + \frac{1}{2} H_0^2 q_0 (t - t_0)^2 + \dots] \end{aligned}$$

where  $q_0 = -(\ddot{R}(t_0) R(t_0)) / \dot{R}(t_0)^2$  is the deceleration parameter

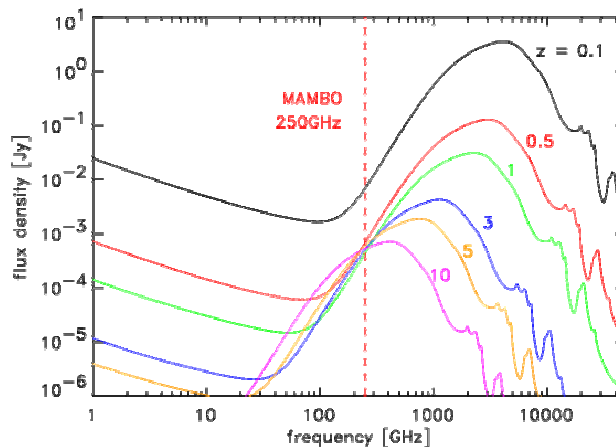
## Distances

- proper distance:  $d_{\text{pr}} = -cdt = -cdR/\dot{R}$
- comobile distance:  $d_{\text{com}} = -cdt/R = -cdR/(R\dot{R})$
- luminosity distance:  $d_L = L/(4\pi l)^{\frac{1}{2}}$   
 where  $L$  is the absolute and  $l$  the apparent (measured) luminosity
- angular diameter distance:  $d_A = D/\Theta$   
 where  $D$  is the intrinsic (proper) dimension and  $\Theta$  the observed angular diameter



# Cosmological dimming factor and K correction

- for resolved sources in the local universe: the surface brightness of a source is independent of distance
- for sources at cosmological distances: the observed surface brightness decreases with  $(1+z)^{-4}$  cosmological dimming
- Flux of a galaxy at a redshift  $z$ : its spectrum is shifted and distorted (recall: observations with filters)



## Friedmann's model of the universe

Robertson-Walker metric + Einstein's equation

assume a perfect fluid:

$$\ddot{R} = -\frac{4\pi G}{3}\left(\rho + \frac{3P}{c^2}\right)R$$

$$\dot{R}^2 + kc^2 = \frac{8\pi G}{3}R^2\rho$$

energy conservation:

$$\frac{d}{dR}[\rho c^2 R^3] + 3PR^2 = 0$$

now: assume an equation of state:  $P = \omega\rho c^2$ ;  $0 \leq \omega \leq 1$

- $\omega = 0$ : "dusty" matter-dominated universe  $\rho = \rho_0(1+z)^3$
- $\omega = \frac{1}{3}$ : radiation dominated universe  $\rho = \rho_0(1+z)^4$

**Newtonian view:**

consider a sphere of mass  $m$  and radius  $l$ ; acceleration of a particle located at the edge of the sphere:

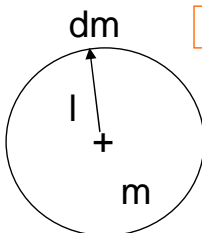
$$\frac{d^2l}{dt^2} = -\frac{Gm}{l^2} = -\frac{G}{l^2} \frac{4}{3}\pi l^3 \rho$$

assume a scaling law:  $l/R = l_0/R_0 \rightarrow$

$$\frac{1}{2}\left(\frac{l_0}{R_0}\dot{R}\right)^2 = G\frac{4\pi}{3}\rho\left(\frac{l_0}{R_0}R\right)^2 + C$$

where  $C = -K/s\left(\frac{l_0}{R_0}c\right)^2$  is proportional to the total energy

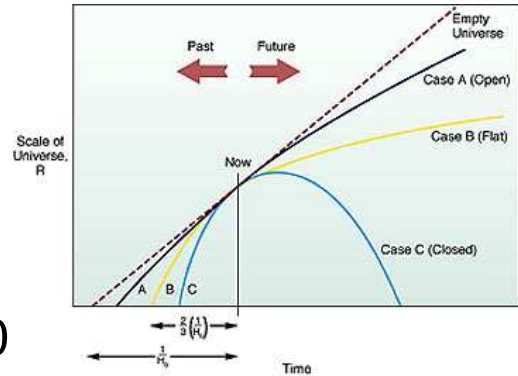
- $K = 1 \rightarrow C < 0$  negative total energy  $\rightarrow$  possible collapse
- $K = -1 \rightarrow C > 0$  positive total energy  $\rightarrow$  ever lasting expansion
- $K = 0 \rightarrow C = 0$  zero total energy  $\rightarrow$  expansion at the escape velocity ( $v = 0$  for  $t \rightarrow \infty$ )



# The existence of a singularity: The Big Bang

- $d^2R(t)/dt < 0$
- $dR(t)/dt > 0$  : expanding universe (observed)

→  $R(t)$  is concave  
 curvature depends on  
 the metric (via  $k$ )



singularity:  $R(t) \rightarrow 0$  for  $t \rightarrow 0$   
 where  $\rho \rightarrow$  infinity → Big Bang  
 (due to initial conditions of an expanding  
 homogenous and isotropic universe)

## The critical density of the universe

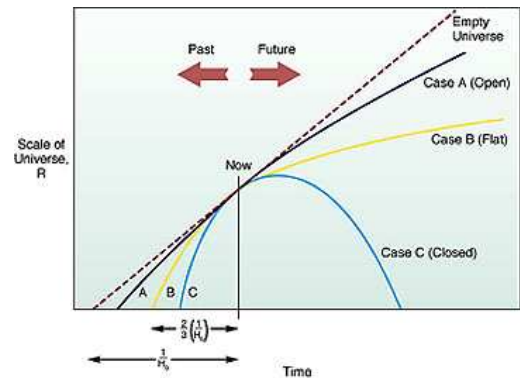
define a critical density:  $\rho_{0c} = \frac{3H_0}{8\pi G}$

define  $\Omega = \rho_0/\rho_{0c}$

one can show that

$$H_0^2(1 - \Omega_0) = -\frac{kc^2}{R_0^2}$$

if  $\Omega_0 = 1 \rightarrow k = 0$  (flat euclidian universe)



## The Einstein-de Sitter universe

$\Omega = 1$  ;  $\omega = 0$ : flat matter-dominated universe

- $\rho(t) = \frac{1}{6\pi Gt^2}$
- $R(t) = R_0(\frac{t}{t_0})^{\frac{2}{3}}$
- $t = t_0(1+z)^{-\frac{3}{2}}$
- $H = \frac{2}{3t} = H_0(1+z)^{\frac{3}{2}}$
- $q_0 = \frac{1}{2}$
- $t_0 = \frac{2}{3H_0}$

the curvature of the  
 universe depends on  
 its energy-matter content

## The cosmological constant

A. Einstein wanted a stationary universe  
most general form of Friedmann's equations:

$$\ddot{R} = -\frac{4\pi G}{3}\left(\rho + \frac{3P}{c^2}\right)R + \frac{\Lambda}{3}R$$
$$\dot{R}^2 + kc^2 = \frac{8\pi G}{3}\rho R^2 + \frac{\Lambda}{3}R^2$$

let us define

$$\Omega_\Lambda = \frac{\Lambda c^2}{3H_0^2}$$

for  $\Omega_\Lambda = 1 \rightarrow$  length scale  $L = \Lambda^{-\frac{1}{2}} \sim 5Gly$ .

let us define:  $\Omega_k = 1 - \Omega_m - \Omega_\Lambda$  where  $\Omega_m$  corresponds to the former  $\Omega_0$

effect of the cosmological constant:

additional driving of expansion

« dark energy »

## The age and size of the Universe

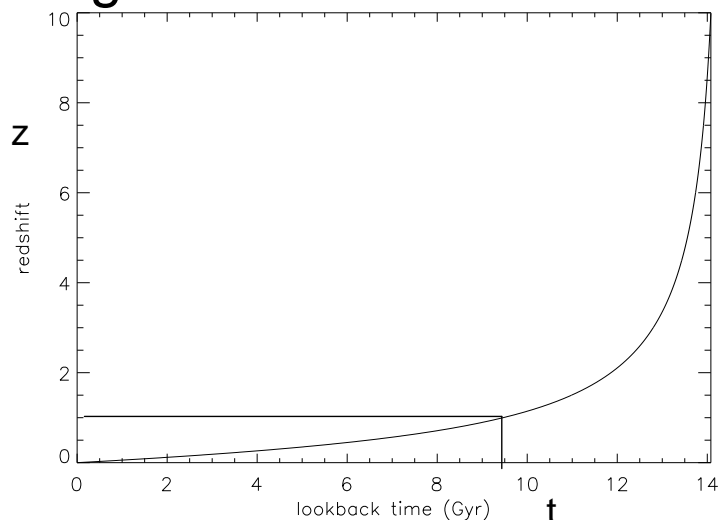
size: maximum distance that a photon can travel

for the Einstein - de Sitter universe:

- age:  $t_0 = \frac{2}{3H_0}$ ,
- size:  $d_H(t) = 3ct$

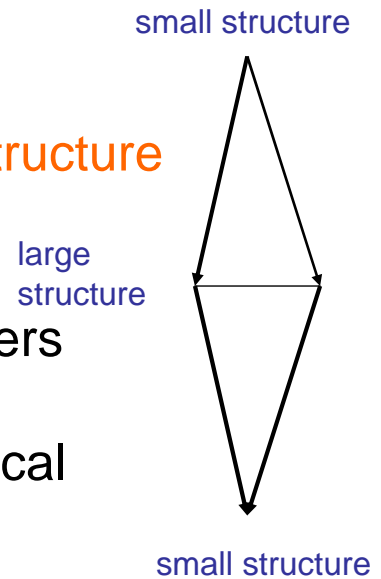
# Redshift versus lookback time

- depends on cosmology
- at  $z=1$  the age of the universe is less than half of its present age



# Outline

- some basics of astronomy
- galaxies, AGNs, and quasars
- from galaxies to the large scale structure of the universe
- the theory of cosmology
- measuring cosmological parameters
- structure formation
- galaxy formation in the cosmological framework
- open questions

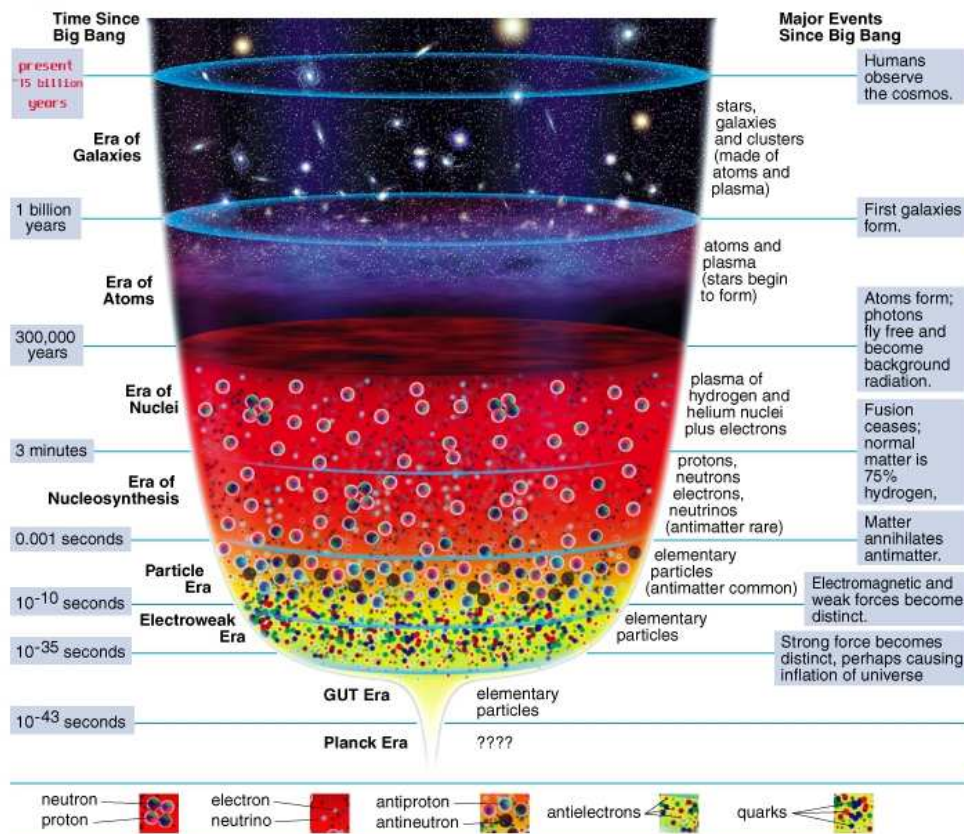


## Measuring the cosmological parameters

- $H_0$ : use standard candles (Cepheids, SNIa, Tully-Fisher etc.) and measure luminosity and redshift
- $\Omega_m$ : measure M/L (galaxies, groups, clusters)
- $\Omega_m$  and  $\Omega_\Lambda$ : luminosity and angular distance are affected; CMB  $\Rightarrow \Omega_m + \Omega_\Lambda \sim 1$   
SNIa  $\Rightarrow \Omega_m \sim 0.3$  and  $\Omega_\Lambda \sim 0.7$

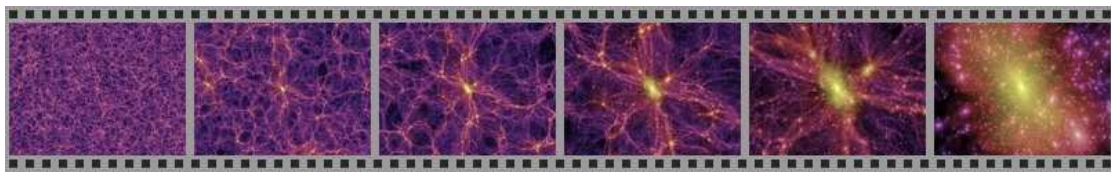
# The horizon and flatness problem

- horizon: the largest causal angular distance is  $\sim 2^\circ$ , but the CMB is isotropic everywhere
- flatness: evolution of  $\Omega(t)$  shows that  $\Omega(t)$  had to be exactly one at early times, why?
- solution: *inflation* (Guth 1981)  $\Rightarrow$  sudden expansion of the universe shortly after the Big Bang



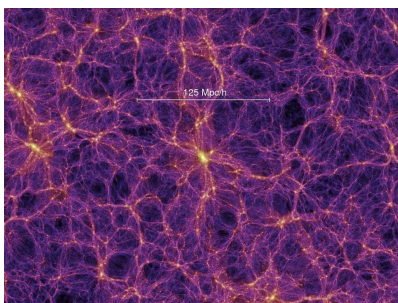
# Simulations of the formation of large scale structure

Ingredients: cold dark matter (non-collisional) + initial perturbation (CMB) + cosmology + gravitation (Poisson's equation) + gas/hydrodynamics (optional) + star formation (semi-analytical, optional)

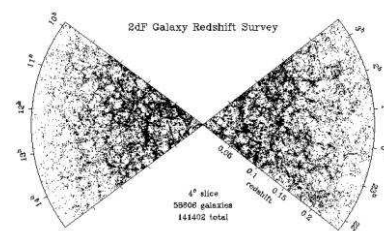


## Hierarchical structure formation

- small objects form first (dark matter halos)
- small objects merge to form larger objects
- simulated: dark matter, observed: baryonic matter => observational bias
- can reproduce many observations

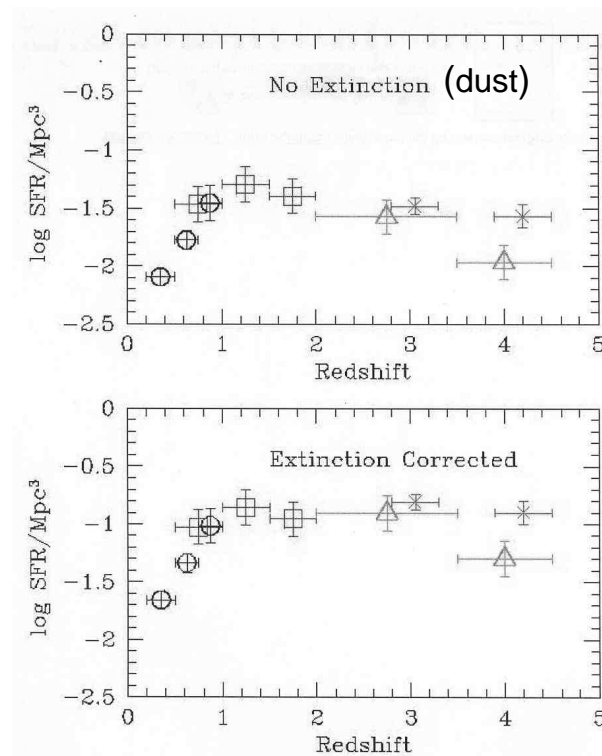


simulations (mainly dark matter)



observations: galaxies

# Star formation in the universe



## Problems of hierarchical structure formation

- simulated spiral galaxies are too small;  
*solution*: feedback, e.g. galactic winds
- recent observations: massive galaxies exist already at high  $z$  ( $z > 2$ )  
*solution*: « downsizing »: at high  $z$  the « action » (star formation) takes place in massive objects
- hierarchical structure formation predicts too many small objects (dwarf galaxies?)  
*solution*: époque of reionization, feedback

# Some open questions

- Quasars have already massive black holes; who was first, the galaxy or the black hole?
- What was the role of the first stars (population 3 stars without metals)?
- How do spiral galaxies form? Why do all spiral galaxies have an exponential disk?
- How does star formation work in detail?

## Outline

- some basics of astronomy
- galaxies, AGNs, and quasars
- from galaxies to the large scale structure of the universe
- the theory of cosmology
- measuring cosmological parameters
- structure formation
- galaxy formation in the cosmological framework
- open questions

