

Selected Topics  
in the Theory  
of Heavy Ion Collisions

*Urs Achim Wiedemann, Physics Department,  
CERN, Theory Division*

# Aim: understand data from these machines

- Alternating Gradient Synchrotron (AGS) at Brookhaven BNL

- variety of beams, since 80's

$$\sqrt{s_{NN}} \Big|_{Au+Au}^{AGS} \simeq 2 - 5 \text{ GeV}$$

- CERN SPS fixed target experiments

- variety of beams, Pb-beams since 1994

$$\sqrt{s_{NN}} \Big|_{Pb+Pb}^{SPS} < 17 \text{ GeV}$$

- Relativistic Heavy Ion Collider (RHIC) at Brookhaven BNL

- start in 2000, so far p+p, Au+Au and d+Au

$$\sqrt{s_{NN}} \Big|_{Au+Au}^{RHIC} \leq 200 \text{ GeV}$$

- Large Hadron Collider (LHC) at CERN

- start in 2007 with p+p, in 2008 with Pb+Pb

$$\sqrt{s_{NN}} \Big|_{Pb+Pb}^{LHC} = 5.5 \text{ TeV}$$

- total cross section  $\sigma_{total}^{Pb+Pb} = 8 \text{ barn} = 10^{-24} \text{ cm}^2$

- maximal luminosity  $L_{max}^{Pb+Pb} \sim 10^{27} \text{ cm}^{-2} \text{ s}^{-1}$

8000 collisions per second !

# What is measured when at the LHC ?

$$\sigma_{total}^{Pb+Pb} = 8 \text{ barn} = 10^{-24} \text{ cm}^2$$

$$L_{max}^{Pb+Pb} \sim 10^{27} \text{ cm}^{-2} \text{ s}^{-1}$$

## When ?

after 15 min  $\sim 10^3 \text{ s}$

after 1 month  $\sim 10^6 \text{ s}$   
(1 LHC Pb+Pb year)

after 2-3 LHC years

## How much data ?

$$L_{integrated} = 1 \mu \text{ b}^{-1}$$

$$L_{integrated}^{realistic, reduced} = 10 - 100 \mu \text{ b}^{-1}$$

$$L_{integrated}^{optimal} = 1 \text{ nb}^{-1}$$

p+Pb collisions

## Data on what ?

- event multiplicity
- low-pt hadronic spectra
- particle ratios
- abundant high-pt processes such as jets .....
- rare hadronic and leptonic processes ....

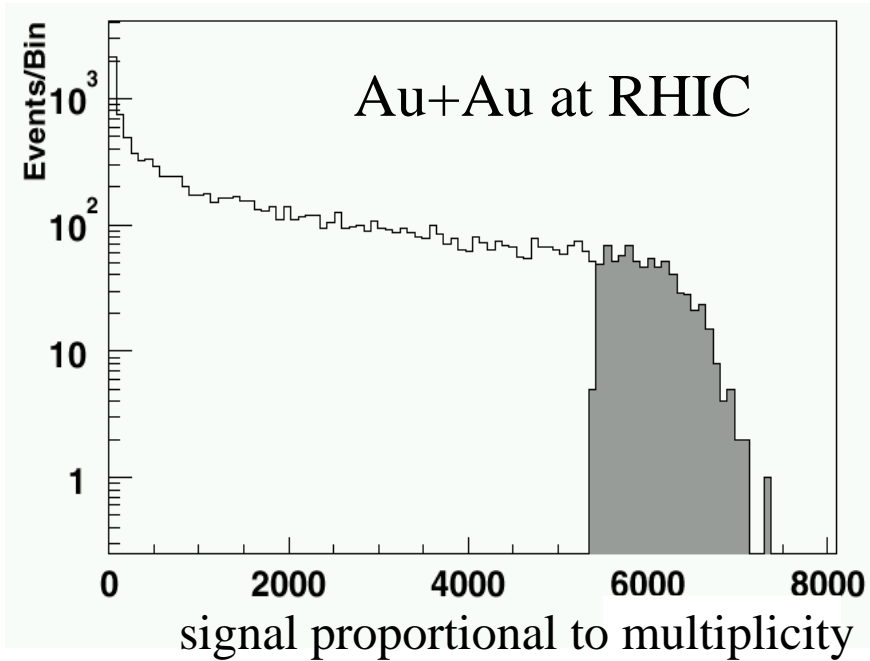
## Strategy for these lectures:

- explain basic theory for the data accessible at the LHC
- explain it in the order in which the data will be obtained
- give motivation for measurements by explaining measurements (not before)
- point out where theory is incomplete
- use wherever possible real RHIC data as *training site* for the LHC

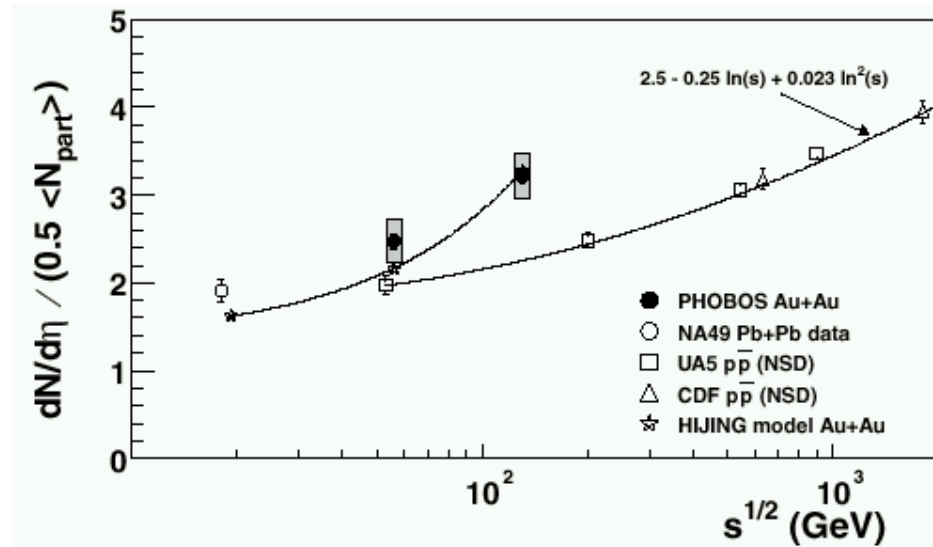
# Lecture 1: Measuring Extensions and Orientations in the Bulk First Signs of Collectivity

- a. Event Multiplicity and Multiplicity Distribution
  - Glauber Theory
  - Centrality Classes
  
- b. Particle Production with respect to the Reaction Plane
  - how to measure it (despite multiplicity fluctuations)
  - elliptic flow as a signal of collective behaviour

# The Day 1 Observable at RHIC and LHC



PHOBOS, Phys. Rev. Lett. 85 (2000) 3100



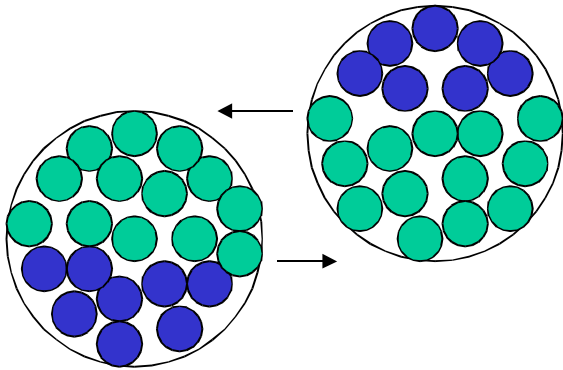
Our starting point: to search for collective effects,  
establish baseline in which collective effects are absent

Baseline here: multiplicity distribution in inelastic A+A collisions  
is incoherent composition of individual n+n collisions  
i.e. extrapolate p+p --> p+A --> A+A without collective effects

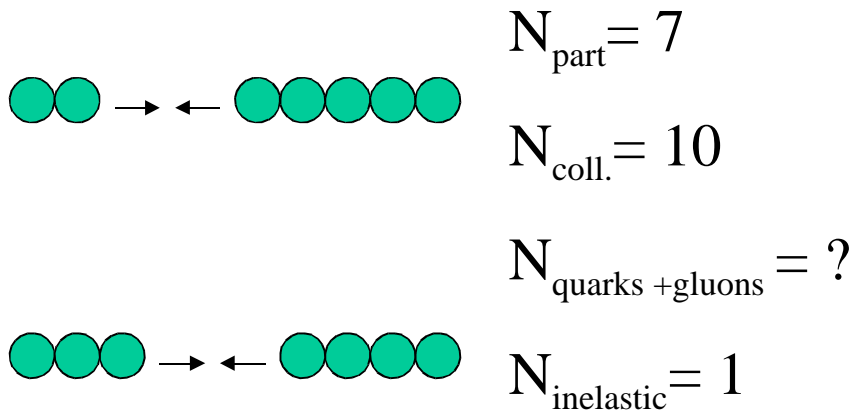


**Glauber theory**

# What are the correct Variables for A+B Collisions ?



- Spectator Nucleons
- Participating Nucleons



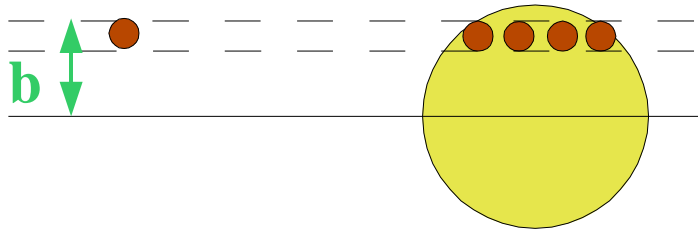
In calculating  $N_{\text{part}}$  or  $N_{\text{coll}}$   $\sigma$  taken to be nucleon-nucleon inelastic cross-section. A priori no reason for this choice other than that it seems to give a useful parameterization.

$$\sigma_{\text{inel}} \sim (R_1 + R_2)^2 \sim (A_1^{1/3} + A_2^{1/3})^2 \sim A^{2/3}$$

$$N_{\text{part}} \sim A^{2/3}(A_1^{1/3} + A_2^{1/3}) \sim A$$

$$N_{\text{coll}} \sim A^{2/3}(A_1^{1/3} * A_2^{1/3}) \sim A^{4/3}$$

# Glauber Theory for n+A and A+B Collisions



nuclear density:  $\rho(b, z)$

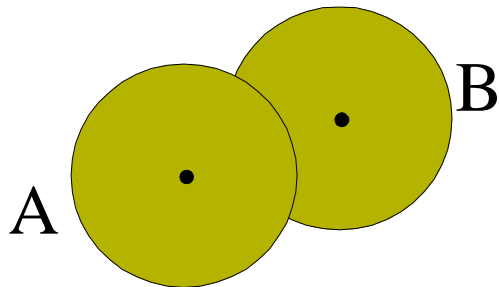
$$\int dz db \rho(b, z) = 1$$

nuclear profile function:  $T_A(b) = \int_{-\infty}^{+\infty} dz \rho(b, z)$

average number of n-n collisions at b:

$$\bar{N}_{coll}^A(b) = A T_A(b) \sigma_{NN}^{inel} = N_{part}^A(b) - 1$$

inelastic n-A cross section:  $\sigma_A^{inel} = \int d\vec{b} \underbrace{(1 - [1 - T_A(b) \sigma_{NN}^{inel}]^A)}_{P_0(\vec{b})}$

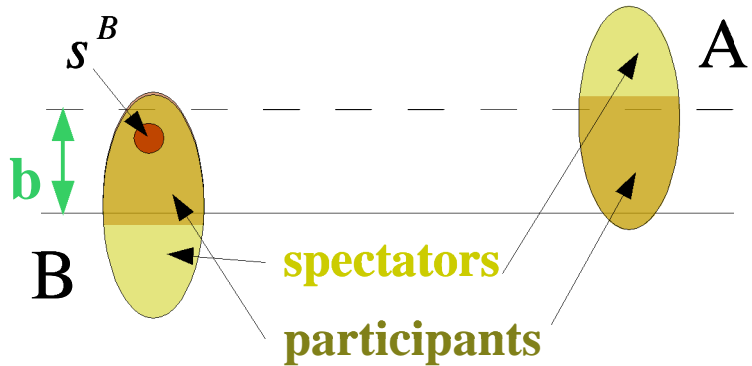


b-dependent nuclear overlap function:

$$T_{AB}(\vec{b}) = \int d\vec{s} T_A(\vec{s}) T_B(\vec{b} - \vec{s})$$

Glauber theory for A+B is more involved ...

# Glauber Theory for A+B Collisions



probability that nucleon at  $s^B$  in B is wounded by A in configuration  $\{s_i^A\}$  :

$$p(s^B, \{s_i^A\}) = 1 - \prod_{i=1}^A [1 - \sigma(s^B - s_i^A)]$$

norm:  $\int ds \sigma(s) = \sigma_{NN}^{inel}$

Probability of finding  $w_B$  wounded nucleons in nucleus B:

$$P(w_b, B, b) = \int \left( \prod_{i=1}^A \prod_{j=1}^B ds_i^A ds_j^B T_A(s_i^A) T_B(s_j^B - b) \right) p(s_1^B, \{s_i^A\}) \dots$$

$$\dots p(s_{w_B}^B, \{s_i^A\}) [1 - p(s_{w_B+1}^B, \{s_i^A\})] \dots [1 - p(s_B^B, \{s_i^A\})]$$

inelastic cross section:  $\sigma_{AB}(b) = \sum_{w_B=1}^B P(w_B, B, b) \approx 1 - [1 - T_{AB}(b) \sigma_{NN}^{inel}]^{AB}$

number of collisions:  $\bar{N}_{coll}^{AB}(b) = A B T_{AB}(b) \sigma_{NN}^{inel}$

**Problem 1:** derive these expressions, use e.g. A. Bialas et al., Nucl. Phys. B111 (1976) 461

number of participants:  
(= no of wounded nucleons)  $\bar{N}_{part}^{AB}(b) = \frac{A \sigma_B^{inel}(b)}{\sigma_{AB}^{inel}(b)} + \frac{B \sigma_A^{inel}(b)}{\sigma_{AB}^{inel}(b)} \neq \bar{N}_{coll}^{AB}(b) + 1$



# Multiplicity in the Wounded Nucleon Model

model ansatz for average multiplicity  $\bar{n}_{AB}(b)$ :  
( $x=0$  is wounded nucleon model)

$$\bar{n}_{AB}(b) = \left( \frac{1-x}{2} \bar{N}_{part}^{AB}(b) + x \bar{N}_{coll}^{AB}(b) \right) \bar{n}_{nn}$$

probability distribution around mean at fixed  $b$ :

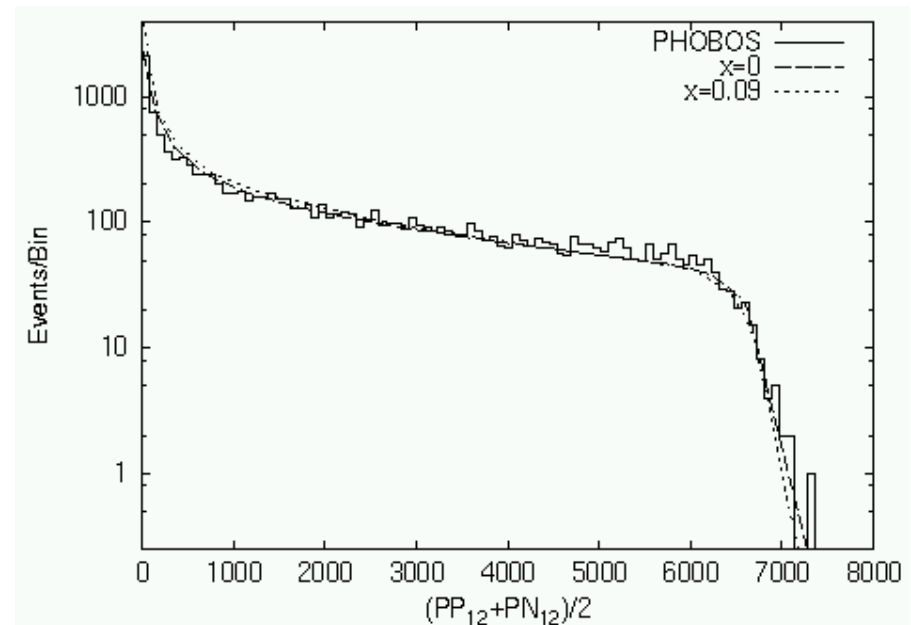
$$P(n, b) = \frac{1}{\sqrt{2\pi d \bar{n}_{AB}(b)}} \exp\left( -\frac{[n - \bar{n}_{AB}(b)]^2}{2 d \bar{n}_{AB}(b)} \right)$$

dispersion is model dependent fit parameter

multiplicity distribution:

$$\frac{dN_{events}}{dn} = \int db P(n, b) \underbrace{\left[ 1 - \left( 1 - \sigma_{NN} T_{AB}(b) \right)^{AB} \right]}_{1 - P_0(b)}$$

- shape determined by geometry
- shape insensitive to details of particle production or collective effects

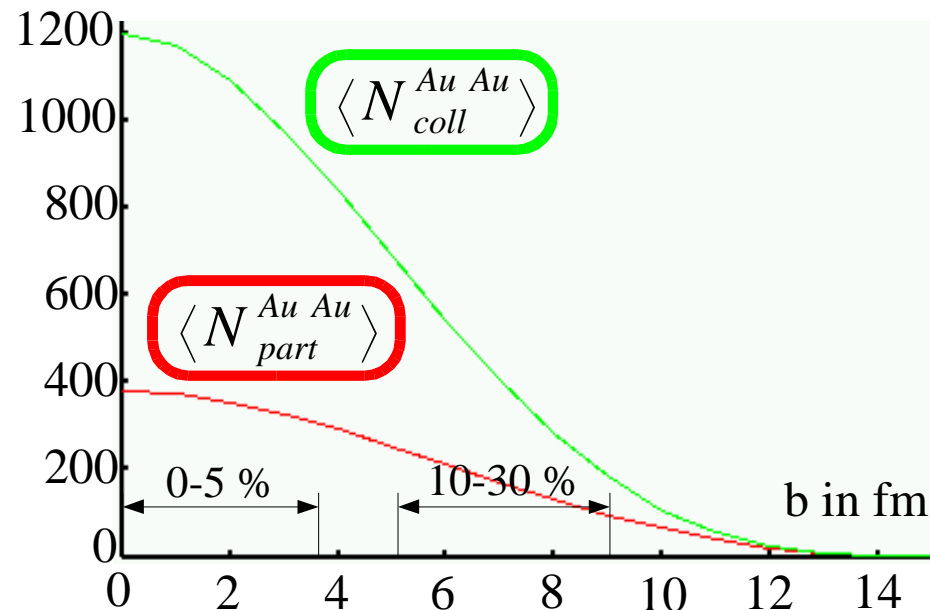
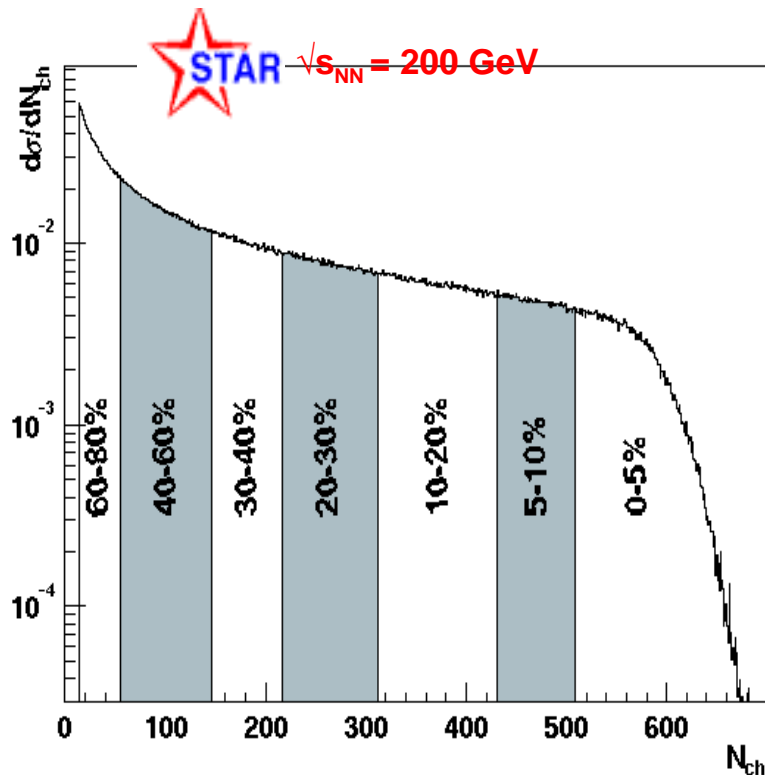


# Multiplicity as a Centrality Measure

- It is customary to express centrality in terms of  $\langle N_{part}^{Au Au} \rangle_{n > n_0}$
- Centrality class = percentage of the minimum bias cross section

$$= \frac{\int_{n_0} dn \int db P(n, b) [1 - P_0(b)] N_{part}(b)}{\int_{n_0} dn \int db P(n, b) [1 - P_0(b)]}$$

- Centrality class specifies range of impact parameters

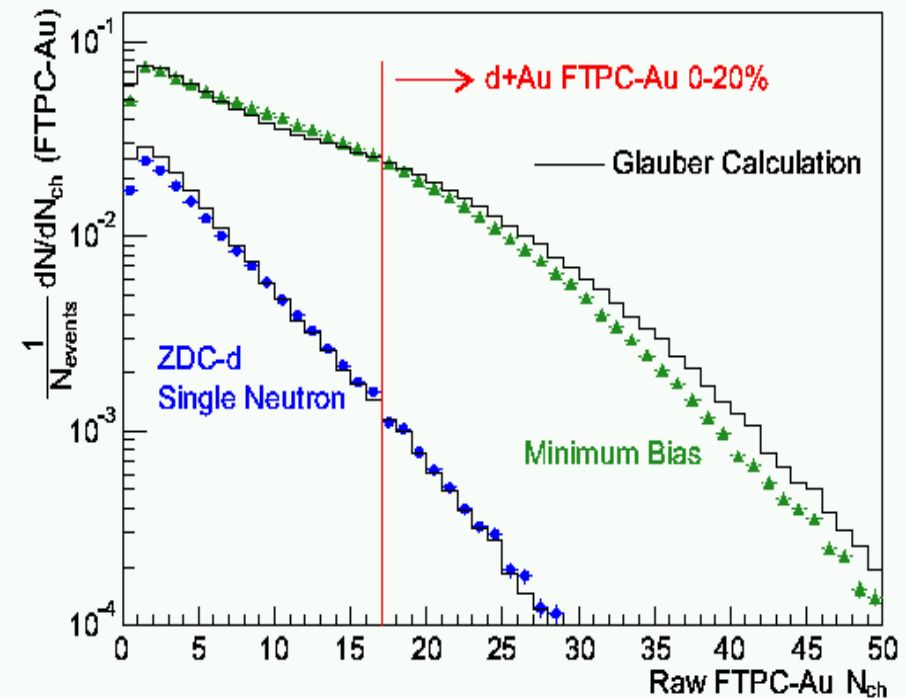
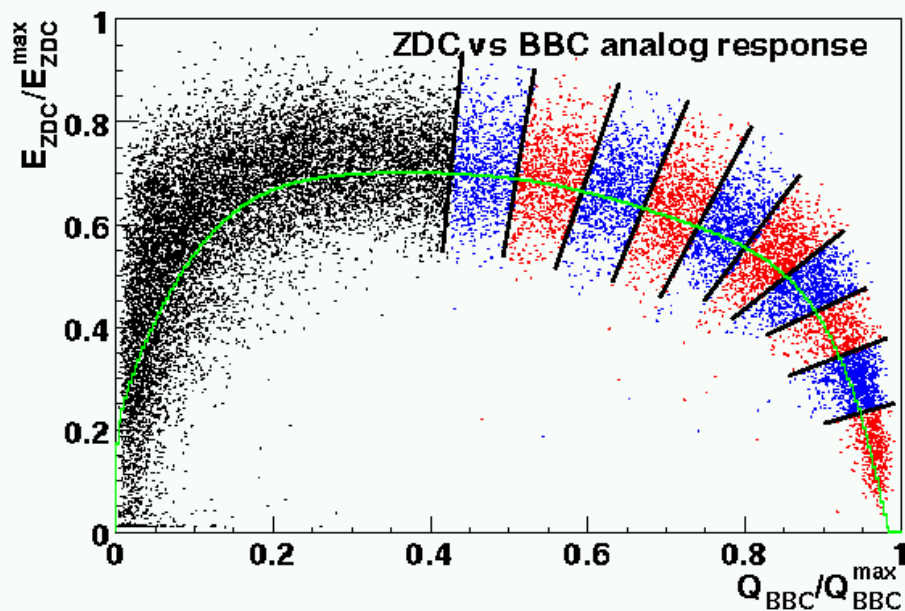


# Cross-Checking Centrality Measurements

Energy  $E_F$  of spectators is deposited in Zero Degree Calorimeter (ZDC)

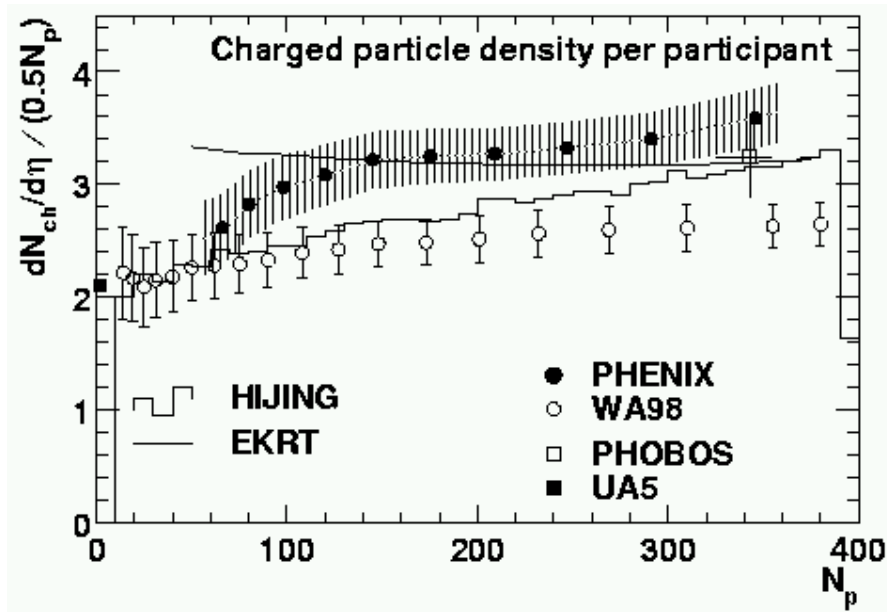
$$E_F = (A - N_{part}(b)/2) \sqrt{s}/2$$

Testing Glauber in d+Au and in p+Au (+ n forward)

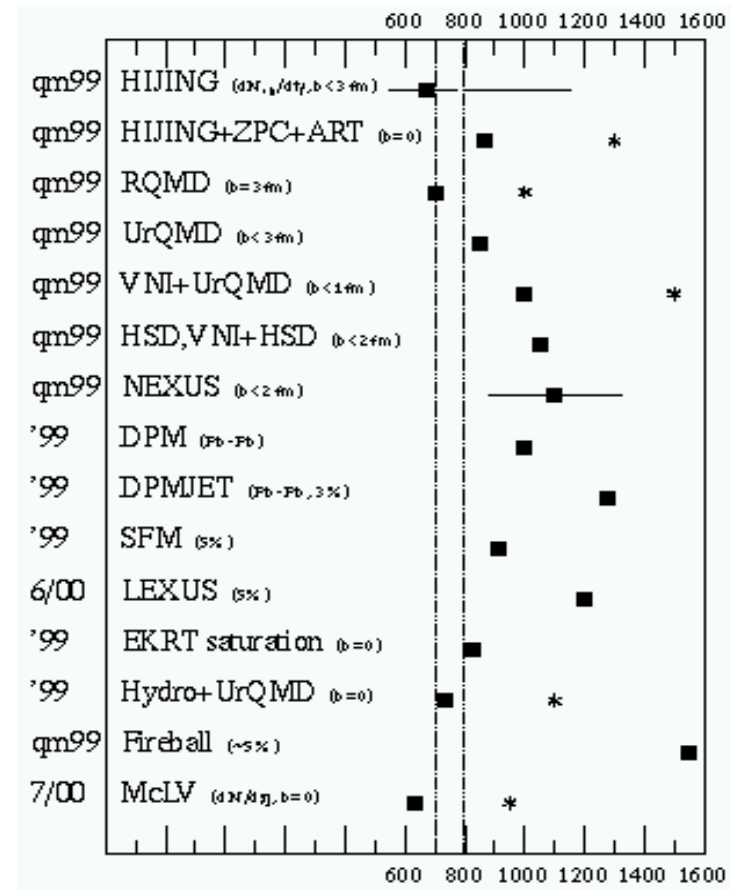


# Multiplicity in A+B is NOT understood

- Clear deviations from multiplicity of wounded nucleon model

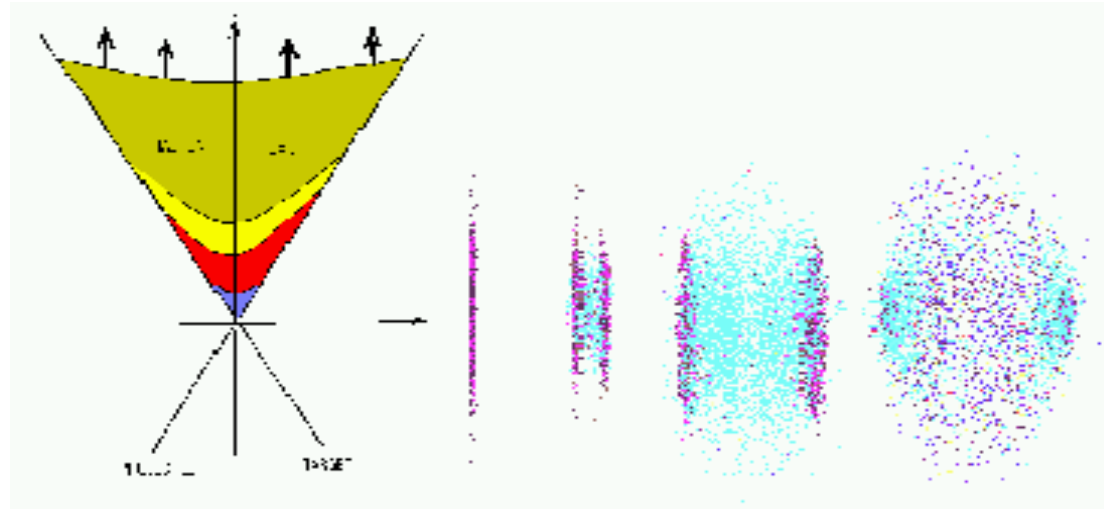


- Total charged multiplicity: models vs. truth



In preparing for HI at LHC, one uses agnostic estimate:  $\frac{dN_{ch}}{d\eta} \Big|_{\eta=0} = 2000 - 8000$

# Bjorken's Estimate of the Energy Density



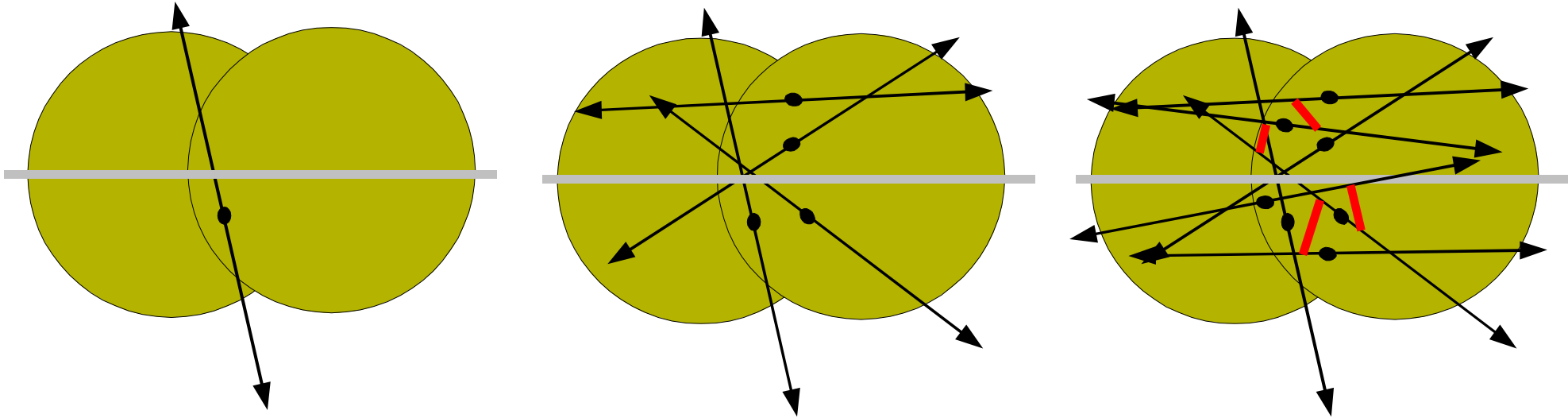
- Multiplicity (or transverse energy) determines basic properties of produced matter

$$\epsilon(\tau_0) = \frac{1}{\pi R^2} \frac{1}{\tau_0} \frac{dE_T}{dy} \quad \frac{dE_T}{dy} \approx \frac{dN}{dy} \langle E_T \rangle$$

- This estimate is based on geometry, thermalization is not assumed. Numerically:

$$\epsilon^{SPS}(\tau_0 \simeq 1 \text{ fm}/c) = 3 - 4 \text{ GeV}/\text{fm}^3$$

# Particle Production with respect to Reaction Plane



- single 2 → 2 process
- maximal asymmetry
- NOT correlated to the reaction plane

- many 2 → 2 or 2 → n processes
- reduced asymmetry  $\propto \frac{1}{\sqrt{N}}$  cancels in the limit
- NOT correlated to the reaction plane

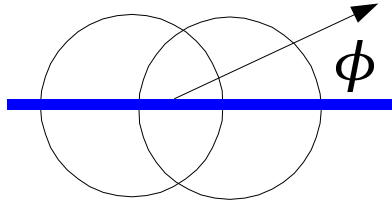
- **final state interactions**
- asymmetry may not be caused only by finite multiplicity fluctuations
- **collective component** is correlated to reaction plane

The azimuthal asymmetry of particle production has a collective and a random component. Disentangling the two requires a statistical analysis of finite multiplicity fluctuations



# Particle production w.r.t. reaction plane: Elliptic Flow

- Want to measure particle production as function of angle w.r.t. reaction plane



$$v_n(D) = \langle e^{i n \phi} \rangle_D$$

But reaction plane is unknown ...

- Have to measure particle correlations:

$$\langle e^{i n (\phi_1 - \phi_2)} \rangle_{D_1 \times D_2} = v_n(D_1) v_n(D_2) + \underbrace{\langle e^{i n (\phi_1 - \phi_2)} \rangle_{D_1 \times D_2}^{corr}}_{\sim O\left(\frac{1}{N}\right)} \quad \text{“Non-flow effects”}$$

But this requires signals  $v_n > \frac{1}{\sqrt{N}}$

- Improve measurement with higher cumulants:

Problem 2: derive this cumulant improvement, see Borghini et al. Phys. Rev. C63 054906 (2001)

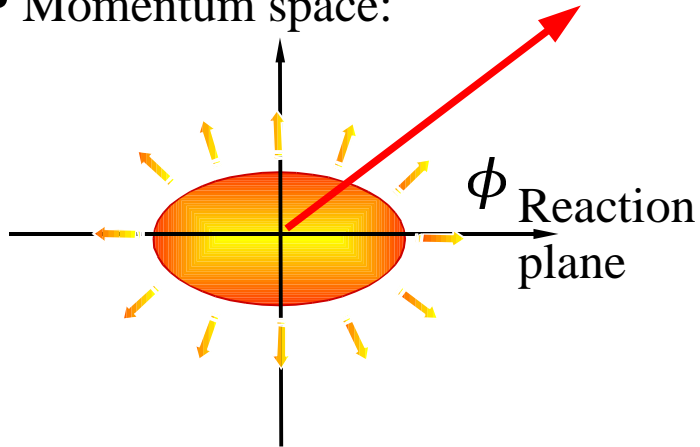
$$\langle e^{i n (\phi_1 + \phi_2 - \phi_3 - \phi_4)} \rangle - \langle e^{i n (\phi_1 - \phi_3)} \rangle \langle e^{i n (\phi_2 - \phi_4)} \rangle - \langle e^{i n (\phi_1 - \phi_4)} \rangle \langle e^{i n (\phi_2 - \phi_3)} \rangle = -v_n^4 + O\left(\frac{1}{N^3}\right)$$

This requires signals  $v_n > \frac{1}{N^{3/4}}$

# Elliptic Flow

$$E \frac{dN}{d^3 p} = \frac{1}{2\pi} \frac{dN}{p_t dp_t d\eta} \left[ 1 + 2 v_2(p_t) \cos(2(\phi - \psi_{\text{reaction plane}})) \right]$$

- Momentum space:



- Signal  $v_2 \approx 0.2$   
implies 40 % more particles  
emitted in the reaction plane

- 'Non-flow' background  
for 2<sup>nd</sup> order cumulants

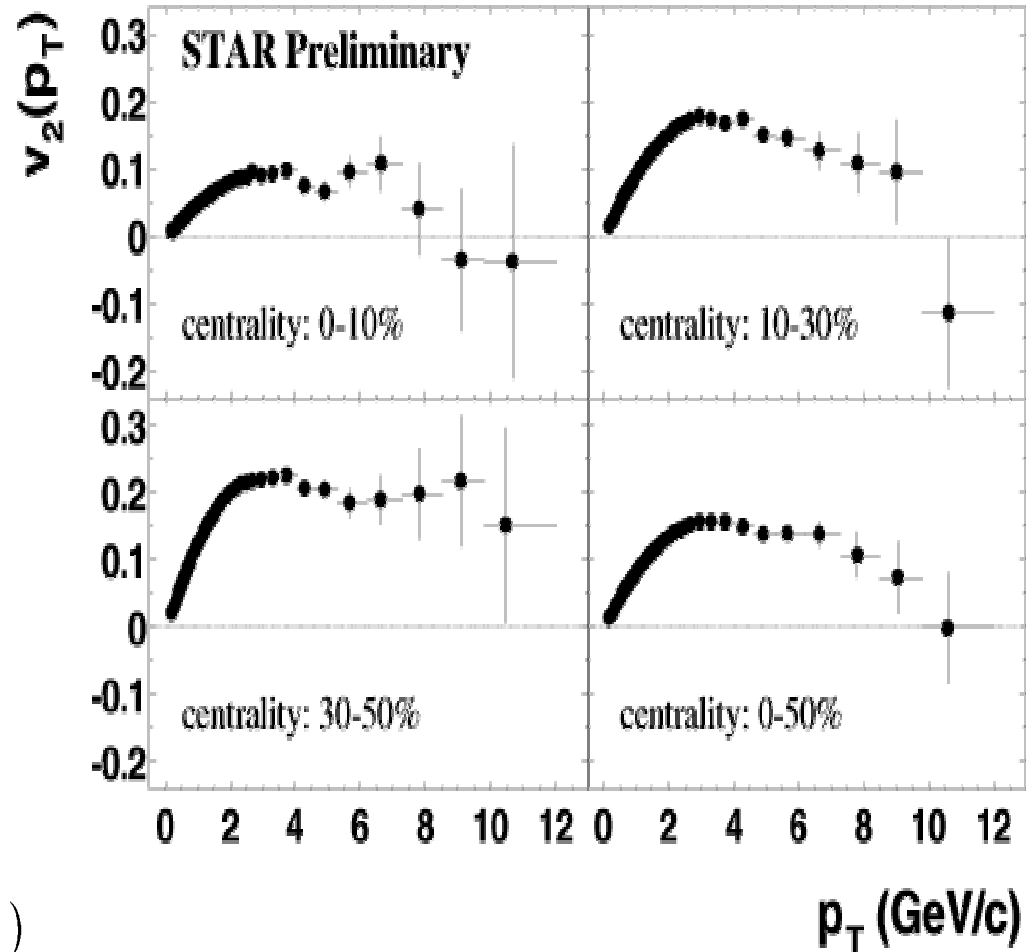
$$N \sim 100 \rightarrow \frac{1}{\sqrt{N}} \sim 0.1 \sim O(v_2)$$

- for 4<sup>th</sup> order cumulants

$$\frac{1}{N^{3/4}} \sim 0.03 \ll v_2$$



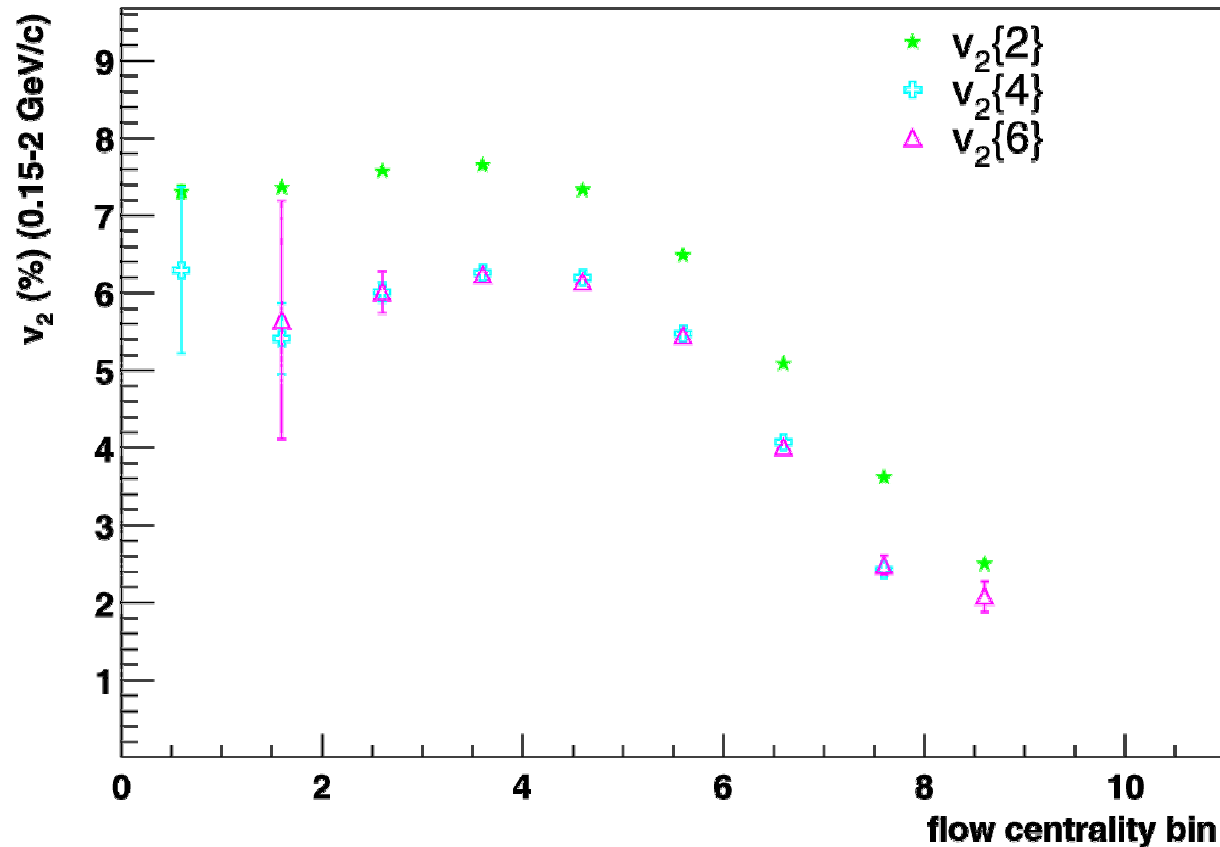
non-flow effects should disappear if  
going from 2<sup>nd</sup> to 4<sup>th</sup> order cumulants





# pt-integrated Elliptic Flow

STAR Coll, Phys. Rev. C66 (2002) 034904

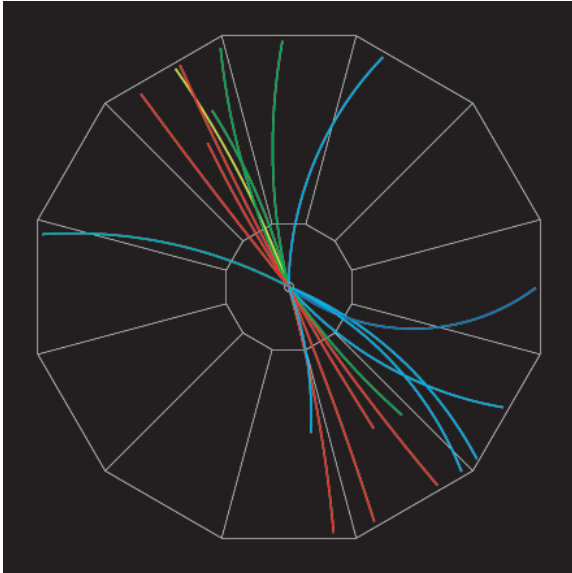


Elliptic Flow is stable if reconstructed from higher cumulants

➔ **collective effect**, which cannot be mimicked by multiplicity fluctuations in the reaction plane!

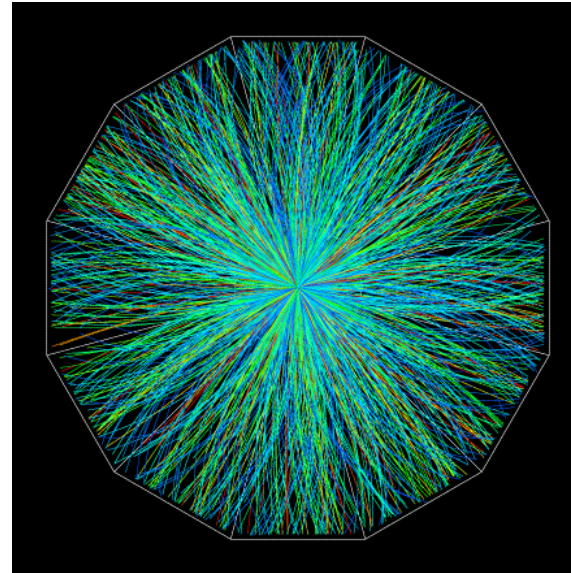
# 1<sup>st</sup> Conclusion about Elliptic Flow

p+p @ RHIC



- compared to the reaction plane, this is rotationally symmetric
- azimuthal asymmetry comes from non-flow effects (here: momentum conservation)

Au+Au @ RHIC



- compared to the reaction plane, this is rotationally asymmetric for semi-central collisions
- azimuthal asymmetry is much larger than non-flow effects allow

To understand the size of  $v_2$ , let us study a theoretical baseline:  
the zero mean free path limit of final state interactions

→ Hydrodynamics

# The Hydrodynamic Model of A+A Collisions

- Main assumption: matter is thermalized fluid, described by

energy momentum tensor  $T^{\mu\nu}(x) = (e(x) + p(x))u^\mu(x)u^\nu(x) - p(x)g^{\mu\nu}$

flow field in global rest frame:  $u^\mu(x) = \gamma(x)(1, \vec{v}(x))$ ,  $\gamma(x) = 1/\sqrt{1 - \vec{v}^2(x)}$

conserved charge currents:  $j_i^\mu(x) = n_i(x)u^\mu(x)$ ,  $i = 1, \dots, M$   
(e.g. baryon #, strangeness,...)

- Hydrodynamic equations of motion

local energy momentum conservation:  $\partial_\mu T^{\mu\nu}(x) = 0$  (4 eqs.)

local charge conservation:  $\partial_\mu j_i^\mu(x) = 0$  (M eqs.)

M+5 undetermined fields: 3 flows  $\vec{v}(x)$ , energy density  $e(x)$ ,  
pressure  $p(x)$ , M charges  $n_i(x)$

set of equations closed by:  $p(e, n)$  equation of state (EOS)

results from lattice QCD and/or  
from (a large class of) hadronic  
models enter here

# Two-dimensional Bjorken Hydrodynamics

- Main assumption: init. conditions for thdyn. fields do not depend on  $\eta = \frac{1}{2} \ln \left( \frac{t+z}{t-z} \right)$   
longitudinal flow has 'Hubble form'  $v_z = z/t$

**Bjorken scaling:** hydrodyn. eqs. preserve Hubble form

- Parametrization of **Bjorken longitudinally boost-invariant flow** field

$$u^\mu = \cosh(y_T) (\cosh(\eta), v_x, v_y, \sinh(\eta))$$

$$t = \tau \cosh(\eta), \quad z = \tau \sinh(\eta)$$

$$\tau = \sqrt{t^2 - z^2}, \quad \eta = \operatorname{artanh}(z/t)$$

at mid-rapidity  $v_r(\tau, r, \eta = 0) \equiv \tanh(y_T(\tau, r)), \quad v_r = \sqrt{v_x^2 + v_y^2}$

at other rapidities  $v_r(\tau, r, \eta) = \frac{v_r(\tau, r, \eta = 0)}{\cosh \eta}$

- Equations of motion ( $\eta$ -direction is trivial)

$$T_{,\tau}^{\tau\tau} + (v_x T^{\tau\tau})_{,x} + (v_y T^{\tau\tau})_{,y} = -\frac{1}{\tau} (T^{\tau\tau} + p) - (p v_x)_{,x} - (p v_y)_{,y}$$

$$T_{,\tau}^{\tau x} + (v_x T^{\tau x})_{,x} + (v_y T^{\tau x})_{,y} = -\frac{1}{\tau} T^{\tau x} - p_{,x}$$

$$T_{,\tau}^{\tau y} + (v_x T^{\tau y})_{,x} + (v_y T^{\tau y})_{,y} = -\frac{1}{\tau} T^{\tau y} - p_{,y}$$

$$\frac{1}{\tau^2} p_{,\eta} = 0$$

$$j_{,\tau}^{\tau} + (v_x j^{\tau})_{,x} + (v_y j^{\tau})_{,y} = -\frac{1}{\tau} j^{\tau}$$

**Problem 3:** derive these equations of motion, check e.g. Kolb et al. Phys. Rev. C 62 (2000) 054909

# Input for Hydrodynamic Simulations

- Initialization: a typical ansatz is

$$\epsilon_{init}(x) \propto \left( \frac{1-a}{2} \bar{N}_{part}^{AB}(b, x) + a \bar{N}_{coll}^{AB}(b, x) \right)$$

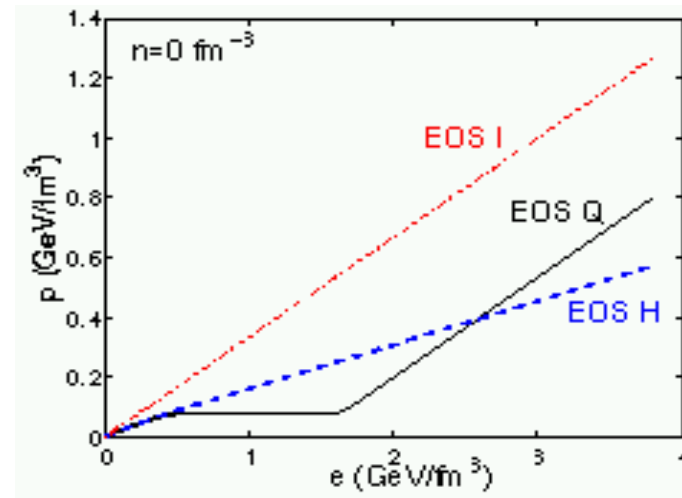
- Equation of State (EOS):  $p(e, n)$

Velocity of sound:  $c_s^2 = \frac{\partial p}{\partial e}$

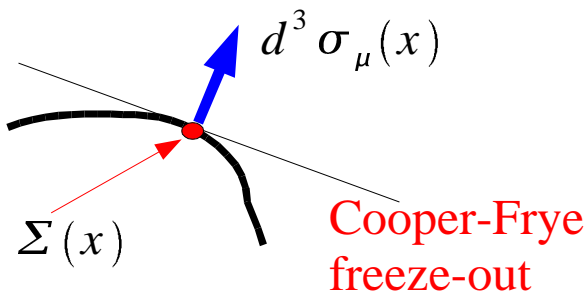
Expectation:  $c_s^2 \approx 0.15$     **soft EOS**  
 (hadron resonance gas)

$c_s^2 = 1/3$     **stiff EOS**

Input from (many) models and from lattice QCD



- Freeze-out: local temperature  $T(x) = T_{fo}$  defines space-time hypersurface element  $\Sigma(x)$

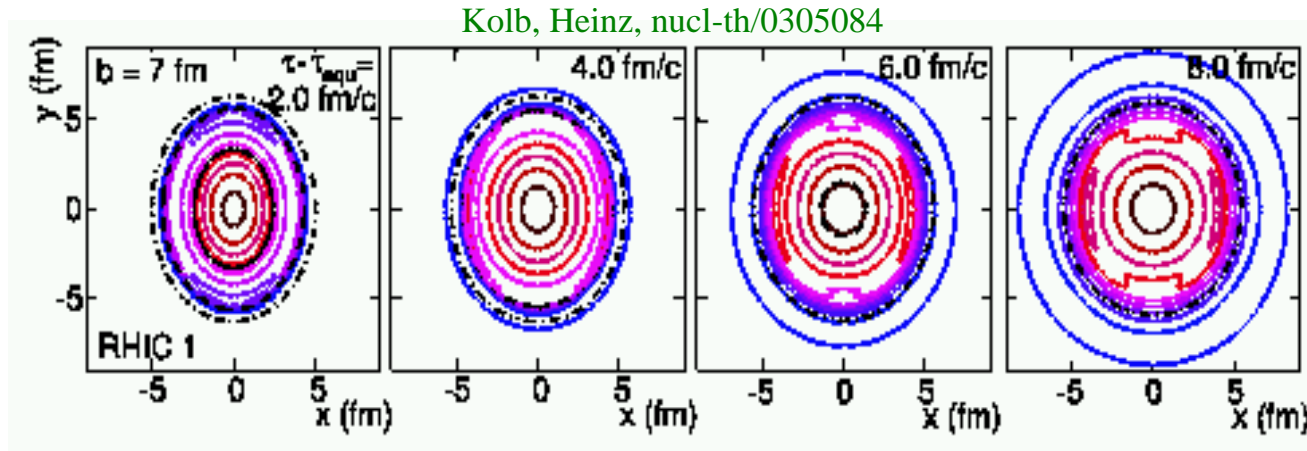


spectrum:  $E \frac{dN_i}{d^3 p} = \frac{g_i}{(2\pi)^3} \int_{\Sigma} p \cdot d^3 \sigma(x) f_i(p \cdot u(x), x)$

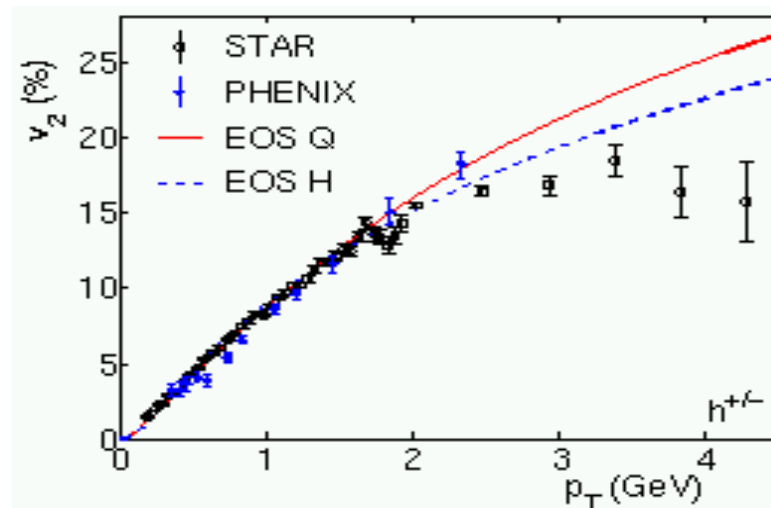
$$f_i(E, x) = \frac{1}{\exp[(E - \mu_i(x))/T(x)] \pm 1}$$

# Hydrodynamic Simulations account for Elliptic Flow

- Space-time evolution of 2-dim hydro in transverse plane

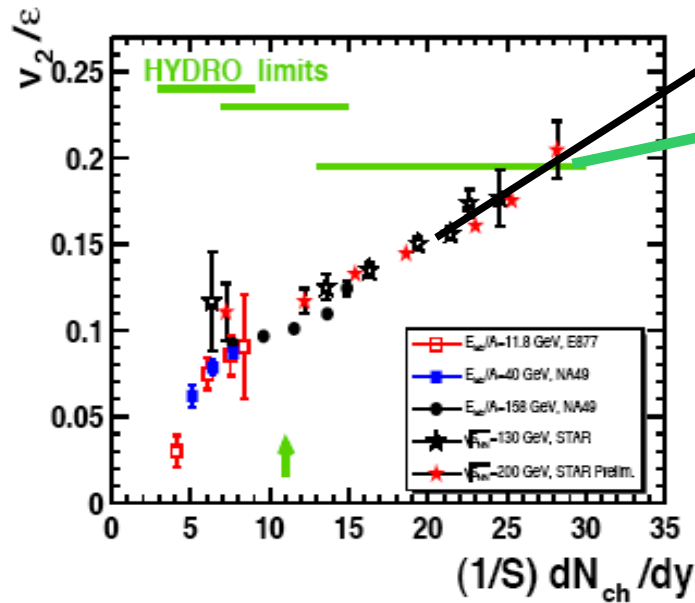
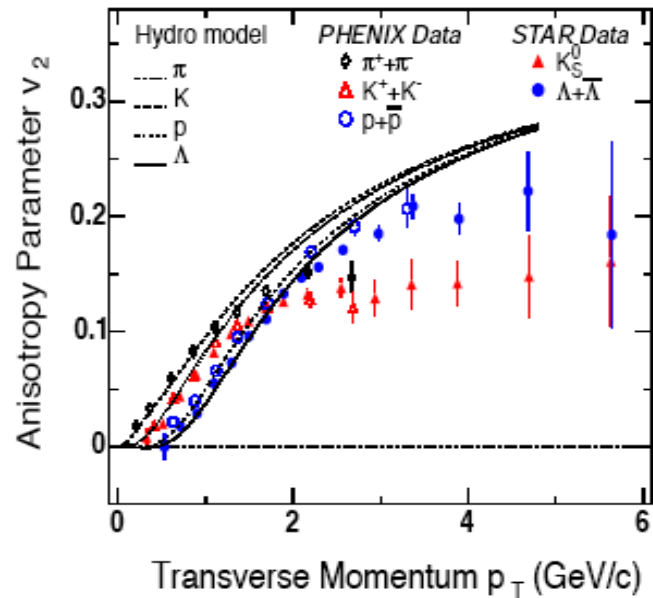


- Zero mean free path approximation does not apply for  $p_T > 2 \text{ GeV}$



# Caveats ...

- Hydro limit reached at upper RHIC energy only

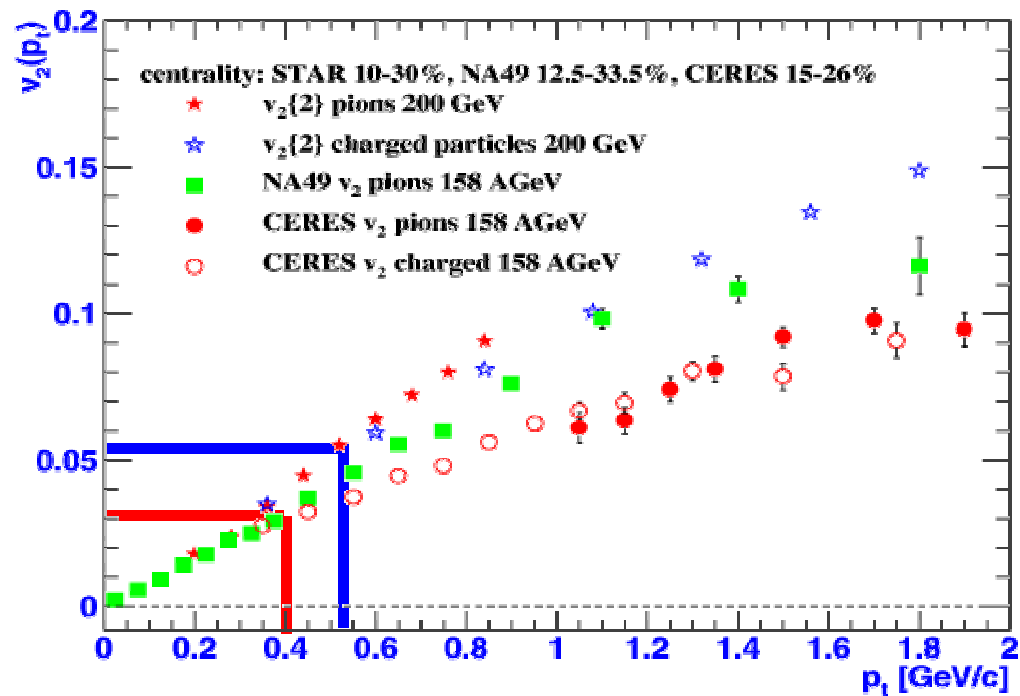


LHC ?

• Is part of the effect non-hydro ?

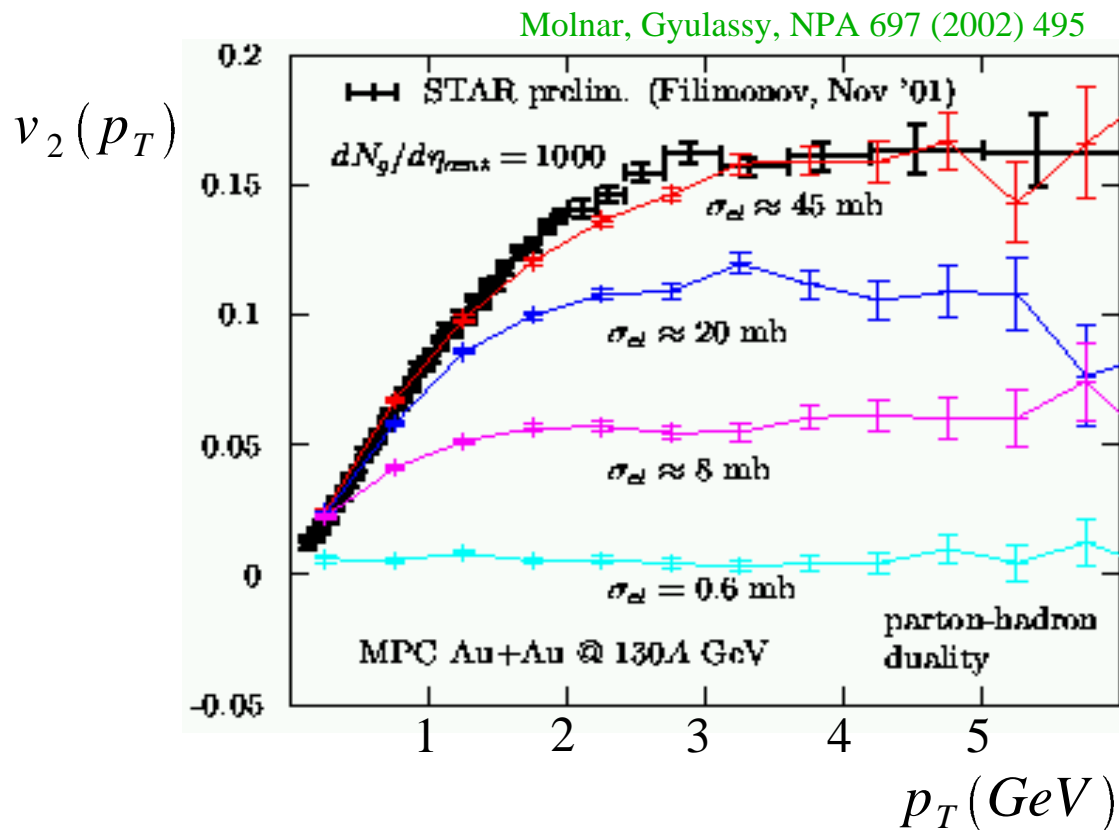
$$\langle p_T \rangle_{SPS} \sim 400 \text{ MeV}$$

$$\langle p_T \rangle_{RHIC} \sim 500 \text{ MeV}$$



# Caveat: Opacity Problem

- Microscopic parton cascades reproduce hydrodynamic behavior with unnatural large partonic cross sections only

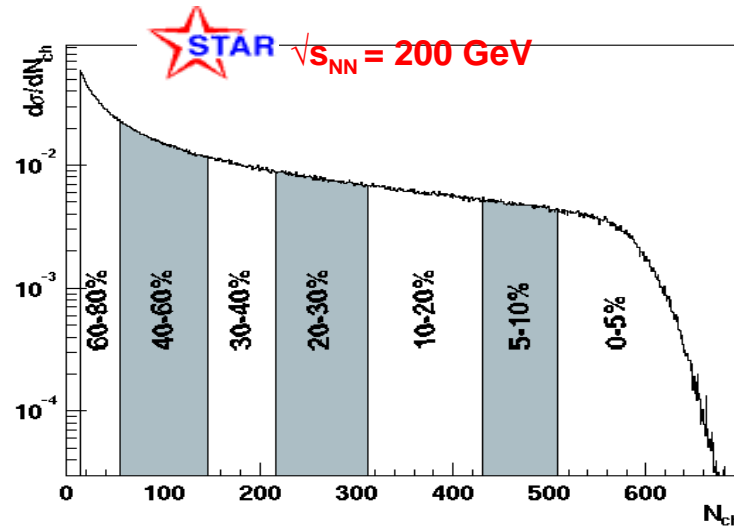


Agreement with and deviations from hydrodynamics are a very active field of current research



# Summary of Lecture 1

- Minimum Bias Multiplicity Distribution:
  - shape determined by nuclear geometry
  - a robust centrality measure



- Particle Production with respect to the reaction plane
  - large asymmetry at RHIC (80 % more particles in plane)
  - signal of collectivity
  - if hydrodynamical description applies then elliptic flow gives access to the QCD equation of state

