

# Heavy Quarks at Threshold: Recent Developments

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- I. Motivation
- II. pNRQCD
- III. Applications
- IV. Conclusions/next steps

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LCWS04, Paris, April 2004

# Non-relativistic regime of QCD

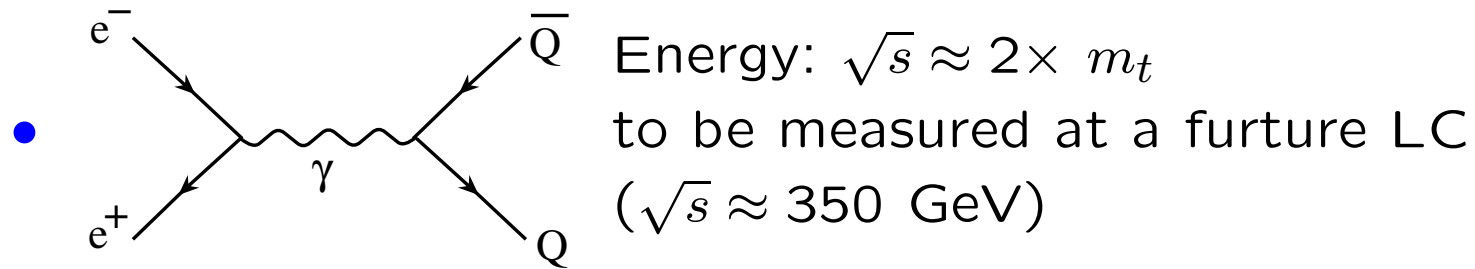
## — Why interesting?

- $(Q\bar{Q}) \Leftrightarrow$  quark masses, strong coupling, . . .
- experimentally and theoretically “clean”
- first principles of QCD
- highly non-trivial multi-scale dynamics:

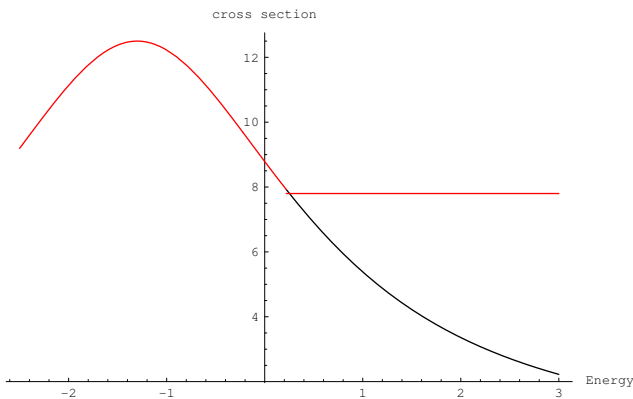
$$m_Q, |\mathbf{p}| \sim m_Q v, E \sim m_Q v^2 \quad [v: \text{velocity of quark } Q]$$

$(t\bar{t})$	$m_t v^2 \approx 20 \text{ GeV} \gg \Lambda_{\text{QCD}}$	perturbative
$(b\bar{b})$	$m_b v^2 \approx \Lambda_{\text{QCD}}$	border of application
$(c\bar{c})$	$m_c \gg \Lambda_{\text{QCD}} \gg m_c v$	non-perturbative quarkonium

# $e^+e^- \rightarrow t\bar{t}$ close to threshold



- cross sections (schematic):



- position of peak  $\Rightarrow$  top quark mass
- line shape  $\Rightarrow m_t, \alpha_s, \Gamma_t$  and  $y_t$

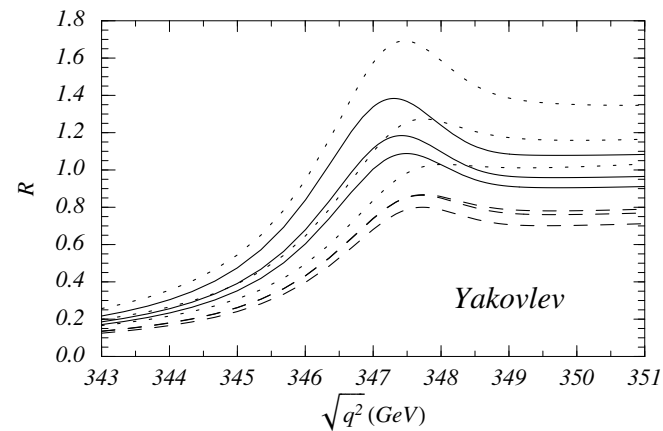
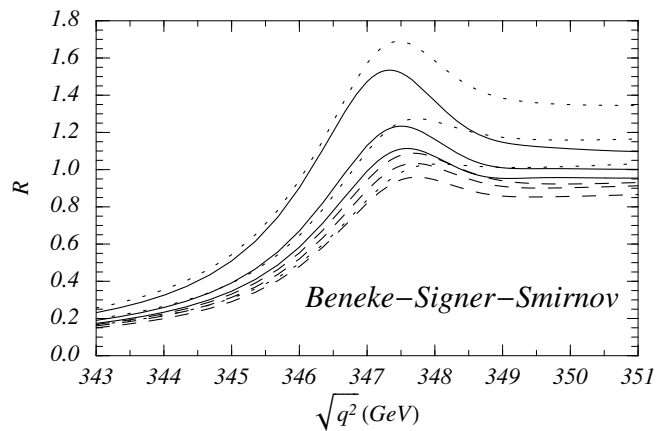
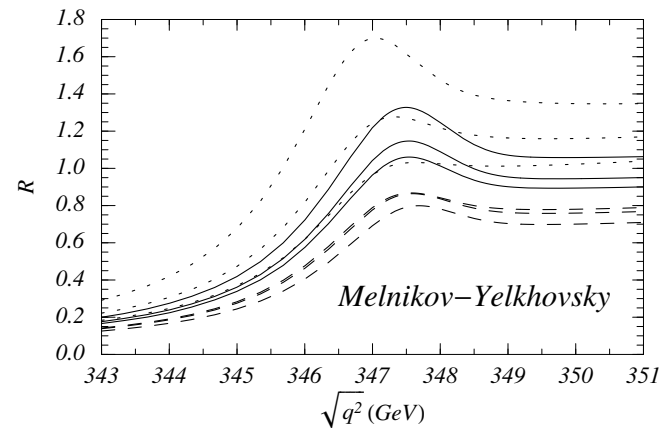
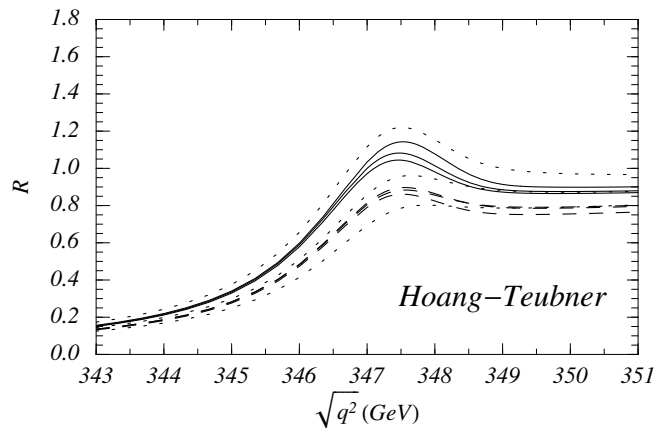
# Determination of $m_t$ , $\alpha_s$ , $\Gamma_t$ and $y_t$ at TESLA

[Martinez,Miquel'02]

- 9 point threshold scan;  $\mathcal{L} = 300 \text{ fb}^{-1}$
- observables:  $\sigma_{\text{tot}}$ , top quark momentum distribution, forward-backward charge asymmetry
- initial state radiation; beam smearing
- theory-error:  $\pm 3\%$  assumed
- 4-parameter fit

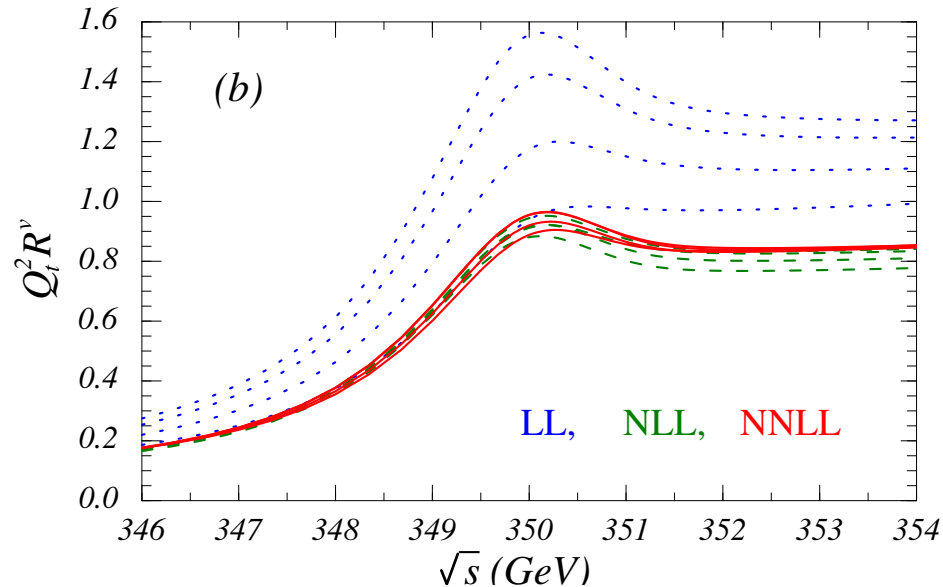
⇒  $\delta m_t \sim 20 \text{ MeV}$ ,  $\delta \Gamma_t \sim 30 \text{ MeV}$   $\delta \alpha_s \sim 0.0012$ ,  $\delta y_t / y_t \sim 35\%$

# $e^+e^- \rightarrow t\bar{t}$ at NNLO — “threshold mass”



LO: dotted  
NLO: dashed  
NNLO: full

# $e^+e^- \rightarrow t\bar{t}$ at NNLL



- idea: resum logarithms  
 $(v \ln v)^k$ ,  $v(v \ln v)^k$ ,  $v^2(v \ln v)^k$
- NNLL result **not** complete
- velocity NRQCD
- claim:  $\frac{\delta\sigma_{tt}}{\sigma_{tt}} \approx \pm 3\% (2001) \rightarrow \pm 6\% (2003)$

[Hoang,Manohar,Stewart,Teubner'01] [Hoang,Stewart'02] [Hoang'03]

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## Recent new results (within potential NRQCD)

- $\mathcal{O}(\alpha_s^3)$  corrections to position of peak
- $\mathcal{O}(\alpha_s^3 \ln \alpha_s)$  corrections to normalization of peak
- NNNLL-resummation for spin-dependent term  
    ⇨ NLL corr. to HFS

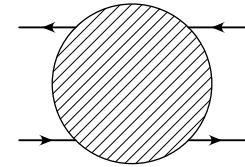
# II. Non-relativistic limit of QCD

scales: mass,  $m$ : hard  $\gg$  momentum,  $mv$ : soft  $\gg$  energy,  $mv^2$ : ultra-soft

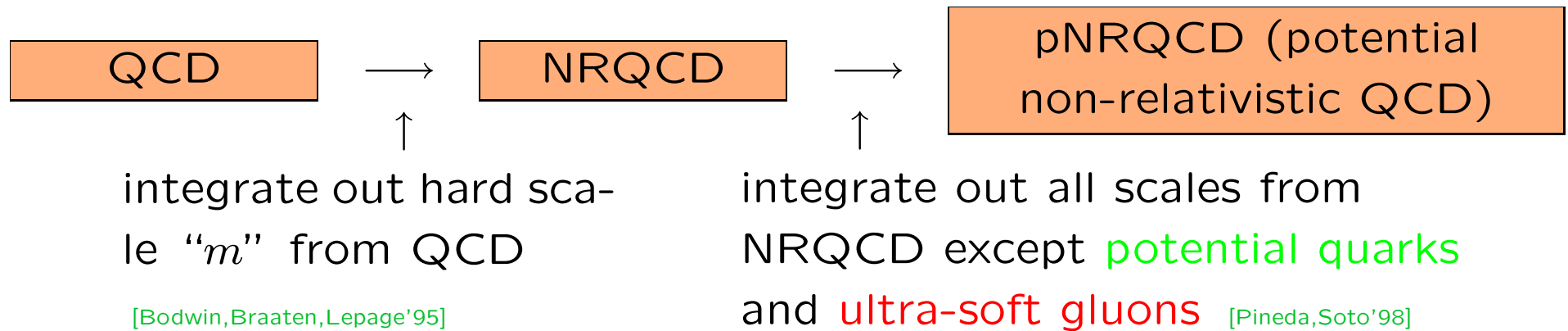
Aim: construct effective theory where active degrees of freedom are

potential quarks:  $\begin{cases} E_p \sim mv^2 \\ |\mathbf{p}| \sim mv \end{cases} \quad \frac{1}{E_p - \frac{\mathbf{p}^2}{2m}}$

ultra-soft gluons:  $\begin{cases} E_k \sim mv^2 \\ |\mathbf{k}| \sim mv^2 \end{cases} \quad \frac{1}{E_k^2 - \mathbf{k}^2}$



–  $(b\bar{b})$  bound state  
 –  $e^+e^- \rightarrow t\bar{t}$  @ threshold



pNRQCD:  $\mathcal{L}_{\text{pNRQCD}} \sim \phi^\dagger (i\partial_0 - V(\mathbf{r})) \phi + \dots \rightarrow i\partial_0 \phi = \left( \frac{\mathbf{p}^2}{m} + V(\mathbf{r}) \right) \phi \rightarrow$  Schrödinger equation

# Computation of potentials

Potential  $\hat{=}$  coefficient functions of pNRQCD

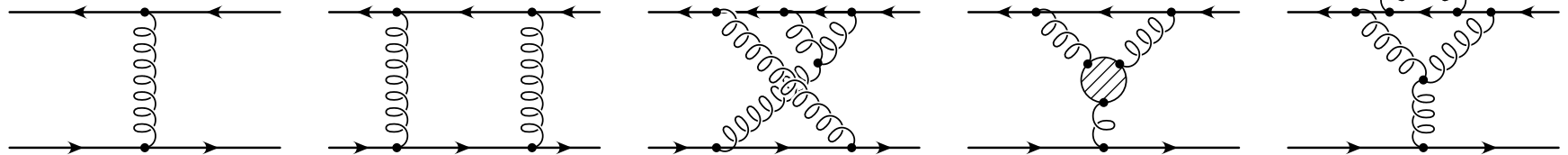
⇒ can be computed in full theory ( $\hat{=}$  QCD/NRQCD)

⇒ expansion in  $\alpha_s$  (→ counts # loops)

and  $|\mathbf{p}|/m \sim v$  (→ higher order operators in  $\mathcal{L}_{\text{pNRQCD}}$ )

Potential known to next-to-next-to-next-to leading order (N<sup>3</sup>LO)

[Kniehl, Penin, Smirnov, MS'02]



$$V = V_C \left[ 1 + \delta V^{\text{NLO}} + \delta V^{\text{NNLO}} + \delta V^{\text{N}^3\text{LO}} + \dots \right]$$

$$V_C(\mathbf{q}) = -\frac{16\pi\alpha_s}{3q^2}$$

$$V_C(\mathbf{r}) = -\frac{4\alpha_s}{3|\mathbf{r}|}$$

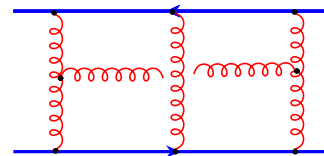
$$\alpha_s, \frac{|\mathbf{p}|}{m}$$

$$\alpha_s^2, \alpha_s \frac{|\mathbf{p}|}{m}, \left(\frac{|\mathbf{p}|}{m}\right)^2$$

$$\alpha_s^3, \alpha_s^2 \frac{|\mathbf{p}|}{m}, \alpha_s \left(\frac{|\mathbf{p}|}{m}\right)^2, \left(\frac{|\mathbf{p}|}{m}\right)^3$$

$$V_{1/m}(\mathbf{q}) = \frac{4\pi^2\alpha_s^2}{3m|\mathbf{q}|} \left( b_1 + \frac{\alpha_s}{\pi} b_2 \right)$$

$$V_{1/m}(\mathbf{r}) = \frac{4\alpha_s}{6m\mathbf{r}^2} \left( \bar{b}_1 + \frac{\alpha_s}{\pi} \bar{b}_2 \right)$$





# Rayleigh-Schrödinger perturbation theory

Energy level:  $E_n = E_n^C + \delta E_n^{(1)} + \delta E_n^{(2)} + \delta E_n^{(3)} + \dots$   $E_n^C = -\frac{4\alpha_s^2 m}{9n^2}$

$\delta E_1^{(3)}$  gets contributions from

- $\langle \psi_1^C | \delta \mathcal{H}^{N^3LO} | \psi_1^C \rangle$
- iteration of  $\delta \mathcal{H}^{NLO}$  and  $\delta \mathcal{H}^{NNLO}$ ; 3 iterations of  $\delta \mathcal{H}^{NLO}$
- $\langle \psi_1^C | \delta^{US} \mathcal{H} | \psi_1^C \rangle$
- retarded ultra-soft contribution

⇒ analytical result for  $\delta E_1^{(3)}$

Numerical result for  $\delta E_1^{(3)}$

$$\delta E_1^{(3)} = \alpha_s^3(\mu_s) E_1^C \left[ \left( \begin{array}{c} 70.590|_{n_l=4} \\ 56.732|_{n_l=5} \end{array} \right) + 15.297 \ln(\alpha_s(\mu_s)) + 0.001 a_3 + \left( \begin{array}{c} 34.229|_{n_l=4} \\ 26.654|_{n_l=5} \end{array} \right) \right] \Big|_{\beta_0^3}$$

# $\delta E_1^{(1)}$ and $\delta E_2^{(1)}$

$$\delta E_1^{(1)} = E_1^C \frac{\alpha_s}{\pi} \left[ 4\beta_0 L_\mu + 4\beta_0 + \frac{a_1}{2} \right]$$

$L_\mu = \ln(\mu/(C_F \alpha_s m))$   
 $\beta_0, \beta_1, \beta_2$ : QCD  $\beta$  function  
 $C_A, C_F, T_F$ : colour factors  
 $a_1, a_2, a_3$ : coef. of static pot.  
 $S$ : Spin

$$\delta E_1^{(2)} = E_1^C \left( \frac{\alpha_s}{\pi} \right)^2 \left[ 12\beta_0^2 L_\mu^2 + (16\beta_0^2 + 3a_1\beta_0 + 4\beta_1) L_\mu + \left( 4 + \frac{2\pi^2}{3} + 8\zeta(3) \right) \beta_0^2 \right. \\ \left. + 2a_1\beta_0 + 4\beta_1 + \frac{a_1^2}{16} + \frac{a_2}{8} + \pi^2 C_A C_F + \left( \frac{21\pi^2}{16} - \frac{2\pi^2}{3} S(S+1) \right) C_F^2 \right]$$

[Pineda, Yndurain'98; Penin, Pivovarov'98; Melnikov, Yelkhovsky'99]

$$\delta E_1^{(3)} = \delta E_1^{(3)} \Big|_{\beta(\alpha_s)=0} + \delta E_1^{(3)} \Big|_{\beta(\alpha_s)}$$

$$\begin{aligned} \delta E_1^{(3)} \Big|_{\beta(\alpha_s)=0} &= -E_1^C \frac{\alpha_s^3}{\pi} \left\{ -\frac{a_1 a_2 + a_3}{32\pi^2} + \left[ -\frac{C_A C_F}{2} + \left( -\frac{19}{16} + \frac{S(S+1)}{2} \right) C_F^2 \right] a_1 + \left[ -\frac{1}{36} + \frac{\ln 2}{6} + \frac{L_{\alpha_s}}{6} \right] C_A^3 \right. \\ &+ \left[ -\frac{49}{36} + \frac{4}{3} (\ln 2 + L_{\alpha_s}) \right] C_A^2 C_F + \left[ -\frac{5}{72} + \frac{10}{3} \ln 2 + \frac{37}{6} L_{\alpha_s} + \left( \frac{85}{54} - \frac{7}{6} L_{\alpha_s} \right) S(S+1) \right] C_A C_F^2 \\ &+ \left[ \frac{50}{9} + \frac{8}{3} \ln 2 + 3L_{\alpha_s} - \frac{S(S+1)}{3} \right] C_F^3 + \left[ -\frac{32}{15} + 2 \ln 2 + (1 - \ln 2) S(S+1) \right] C_F^2 T_F \\ &\left. + \frac{49 C_A C_F T_F n_l}{36} + \left[ \frac{11}{18} - \frac{10}{27} S(S+1) \right] C_F^2 T_F n_l + \frac{2}{3} C_F^3 L_1^E \right\} \quad [\text{Kniehl, Penin, Smirnov, MS'01}] \end{aligned}$$

$$\begin{aligned} \delta E_1^{(3)} \Big|_{\beta(\alpha_s)} &= E_n^C \left( \frac{\alpha_s}{\pi} \right)^3 \left\{ 32\beta_0^3 L_\mu^3 + [40\beta_0^3 + 12a_1\beta_0^2 + 28\beta_1\beta_0] L_\mu^2 + \left[ \left( \frac{16\pi^2}{3} + 64\zeta(3) \right) \beta_0^3 + 10a_1\beta_0^2 \right. \right. \\ &+ \left. \left( 40\beta_1 + \frac{a_1^2}{2} + a_2 + 8\pi^2 C_A C_F + \left( \frac{21\pi^2}{2} - \frac{16\pi^2}{3} S(S+1) \right) C_F^2 \right) \beta_0 + 3a_1\beta_1 + 4\beta_2 \right] L_\mu \\ &+ \left( -8 + 4\pi^2 + \frac{2\pi^4}{45} + 64\zeta(3) - 8\pi^2\zeta(3) + 96\zeta(5) \right) \beta_0^3 + \left( \frac{2\pi^2}{3} + 8\zeta(3) \right) a_1\beta_0^2 \\ &+ \left( \left( 8 + \frac{7\pi^2}{3} + 16\zeta(3) \right) \beta_1 - \frac{a_1^2}{8} + \frac{3}{4} a_2 + \left( 6\pi^2 - \frac{2\pi^4}{3} \right) C_A C_F \right. \\ &\left. + \left( 8\pi^2 - \frac{4\pi^4}{3} + \left( -\frac{4\pi^2}{3} + \frac{4\pi^4}{9} \right) S(S+1) \right) C_F^2 \right) \beta_0 + 2a_1\beta_1 + 4\beta_2 \right\} \quad [\text{Penin, MS'02}] \end{aligned}$$

$$L_{\alpha_s} = -\ln(C_F \alpha_s), \quad L_\mu = \ln(\mu / (C_F \alpha_s m))$$

# Perturbation theory for wave function

Wave function:  $|\psi_n^C(0)|^2 \left( 1 + \delta\psi_n^{(1)} + \delta\psi_n^{(2)} + \delta\psi_n^{(3)} + \dots \right) \quad |\psi_n^C(0)|^2 = \frac{8\alpha_s^3 m^3}{27\pi n^3}$

$$\delta\psi_n^{(3)} = \left(\frac{\alpha_s}{\pi}\right)^3 \left[ K_2 \ln^2 \alpha_s + K_1 \ln \alpha_s + K_0 \right] \quad \text{“present limit”}: K_1$$

$K_2$ :

[Kniehl, Penin'00, Manohar, Stewart'01]

[Kniehl, Penin, Smirnov, MS'02, Hoang'03]

$$\begin{aligned} K_1 = & \left[ \left( -3 + \frac{2\pi^2}{3} \right) C_A C_F + \left[ \frac{4\pi^2}{3} - \left( \frac{10}{9} + \frac{4\pi^2}{9} \right) S(S+1) \right] C_F^2 \right] \beta_0 \\ & + \left[ -\frac{3}{4} C_A C_F + \left( -\frac{9}{4} + \frac{2}{3} S(S+1) \right) C_F^2 \right] a_1 + \frac{1}{4} C_A^3 + \left( \frac{59}{36} - 4 \ln 2 \right) C_A^2 C_F \\ & + \left[ \frac{143}{36} - 4 \ln 2 - \frac{19}{108} S(S+1) \right] C_A C_F^2 + \left[ -\frac{35}{18} + 8 \ln 2 \right. \\ & \left. - \frac{1}{3} S(S+1) \right] C_F^3 + \left[ -\frac{32}{15} + 2 \ln 2 + (1 - \ln 2) S(S+1) \right] C_F^2 T_F \\ & + \frac{49}{36} C_A C_F T_F n_l + \left[ \frac{8}{9} - \frac{10}{27} S(S+1) \right] C_F^2 T_F n_l, \quad (\mu = C_F m_q \alpha_s) \end{aligned}$$

# III.A. Top quark mass

$$E_{\text{res}} = 2m_t + E_1^{\text{p.t.}} + \delta^{\Gamma_t} E_{\text{res}}$$

$E_1^{\text{p.t.}}$ : perturbative contribution to energy level (discussed above)

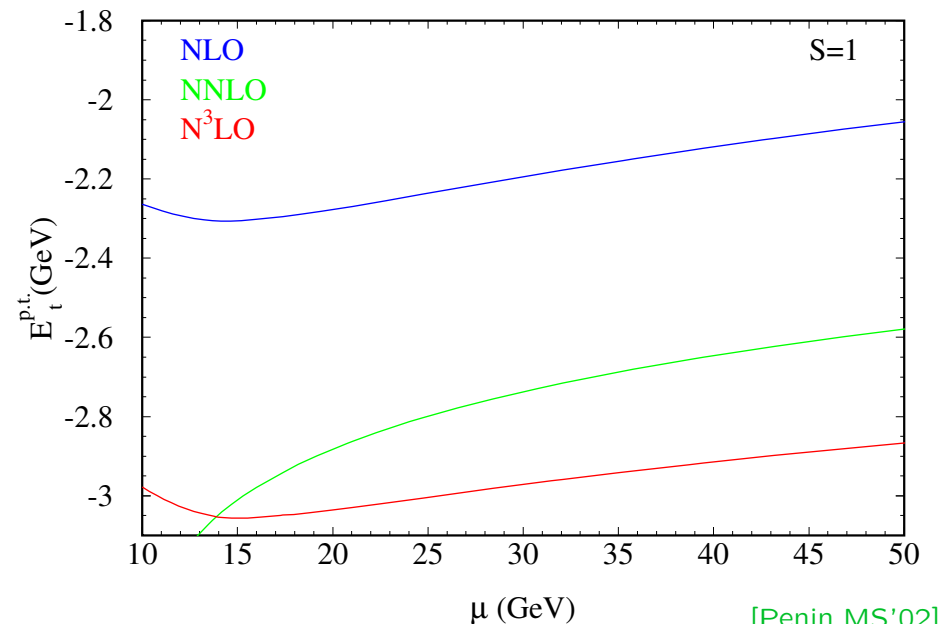
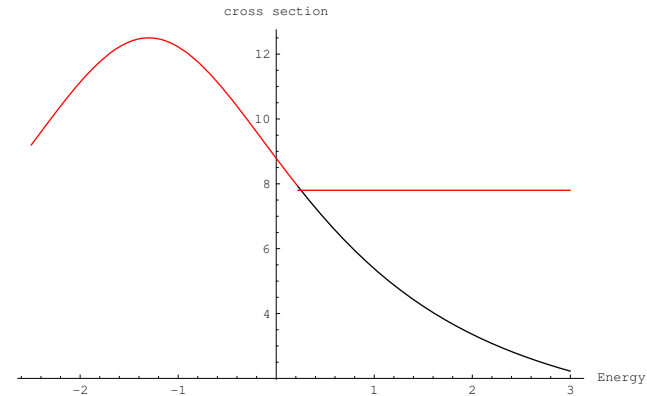
$\delta^{\Gamma_t} E_{\text{res}} = 100 \pm 10 \text{ MeV}$ :  
effect from (large) width, continuum and higher resonances

typical scale:  $C_F m_t \alpha_s \approx 30 \text{ GeV}$

⇒  $E_{\text{res}} = (1.9833 \pm 0.0009) \times m_t$

- ⇒ measure peak position of cross section  $\sigma(e^+e^- \rightarrow t\bar{t})$
- ⇒ determine  $m_t$  with an error of **80 MeV**

- convergence for the pole quark mass observed
- renormalon does not (yet) dominate
- fixed order pole quark mass
- MS mass: convergence slightly worse



[Penin,MS'02]

## III.B. Peak for $\sigma(e^+e^- \rightarrow t\bar{t})$

$$R_{\text{res}}(e^+e^- \rightarrow t\bar{t}) = \frac{6\pi N_c Q_t^2}{m_t^2 \Gamma_t} c_v^2 |\psi_1(0)|^2$$

$c_v$ : hard matching coefficient  
[Czarnecki, Melnikov'97; Beneke, Signer, Smirnov'97]

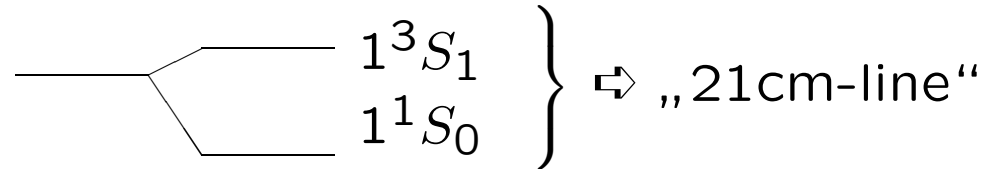
$$\frac{R_{\text{res}}(e^+e^- \rightarrow t\bar{t})}{R_{\text{res}}^{\text{LO}}(e^+e^- \rightarrow t\bar{t})} = 1 - 0.244_{\text{NLO}} + 0.438_{\text{NNLO}} - 0.171_{\text{N}^3\text{LO}; \text{no const.}}$$

- nice  $\mu$  dependence
- first sign of convergence

# III.C. Prediction of $M(\eta_b)$

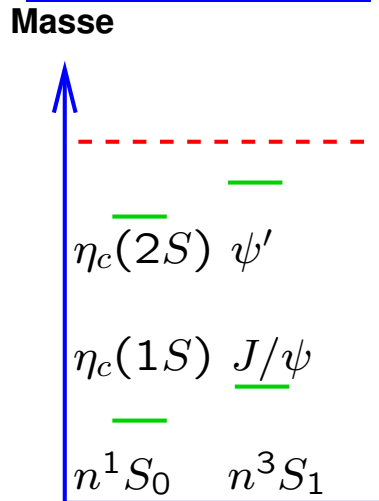
## Hyperfine splitting

H atom:  $n = 1$



Quarkonium:

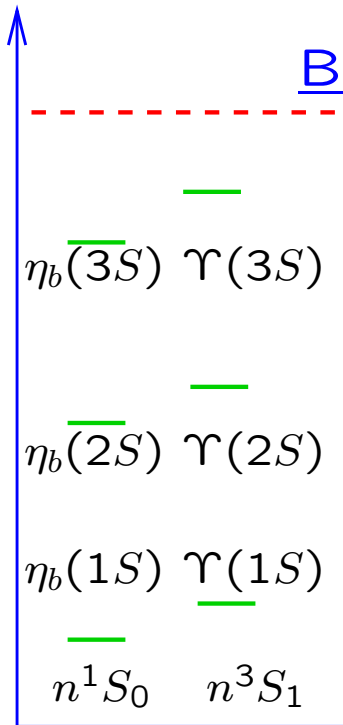
### Charmonium



$$M(\eta_c(1S)) = 2979.2(1.3) \text{ MeV}$$

$$M(J/\psi) = 3096.87(4) \text{ MeV}$$

### Bottomonium



$$M(\Upsilon(1S)) = 9460.30(26) \text{ MeV}$$

$\eta_b(1S)$  not yet observed

# Nonrelativistic Renormalization Group (NRG)

$$\delta\mathcal{H}_{\text{spin}} = D_{S^2,s}^{(2)} \frac{4C_F\pi}{3m_q^2} S^2, \quad S = \frac{\sigma_1 + \sigma_2}{2},$$

- consider soft, potential and ultra-soft running of  $D_{S^2,s}^{(2)}$   
i.e.: consider corresponding UV divergences
- LL: only soft running:  $\nu_s \frac{d}{d\nu_s} D_{S^2,s}^{(2)} = \alpha_s c_F^2 \gamma_s$
- NLL: in addition potential and ultra-soft contributions
- matching performed at scale  $m_q$
- analytical calculation

$$E_{\text{hfs}} = -\frac{64}{27} E_1^C \alpha_s^2 \left[ 1 + \frac{\alpha_s}{\pi} \left( c_1^{(1)} \ln \alpha_s + c_0^{(1)} \right) \right. \\ \left. + \left( \frac{\alpha_s}{\pi} \right)^2 \left( c_2^{(2)} \ln^2 \alpha_s + c_1^{(2)} \ln \alpha_s + c_0^{(2)} \right) \right. \\ \left. + \left( \frac{\alpha_s}{\pi} \right)^3 \left( c_3^{(3)} \ln^3 \alpha_s + c_2^{(3)} \ln^2 \alpha_s + c_1^{(3)} \ln \alpha_s + c_0^{(3)} \right) + \dots \right]$$

LL

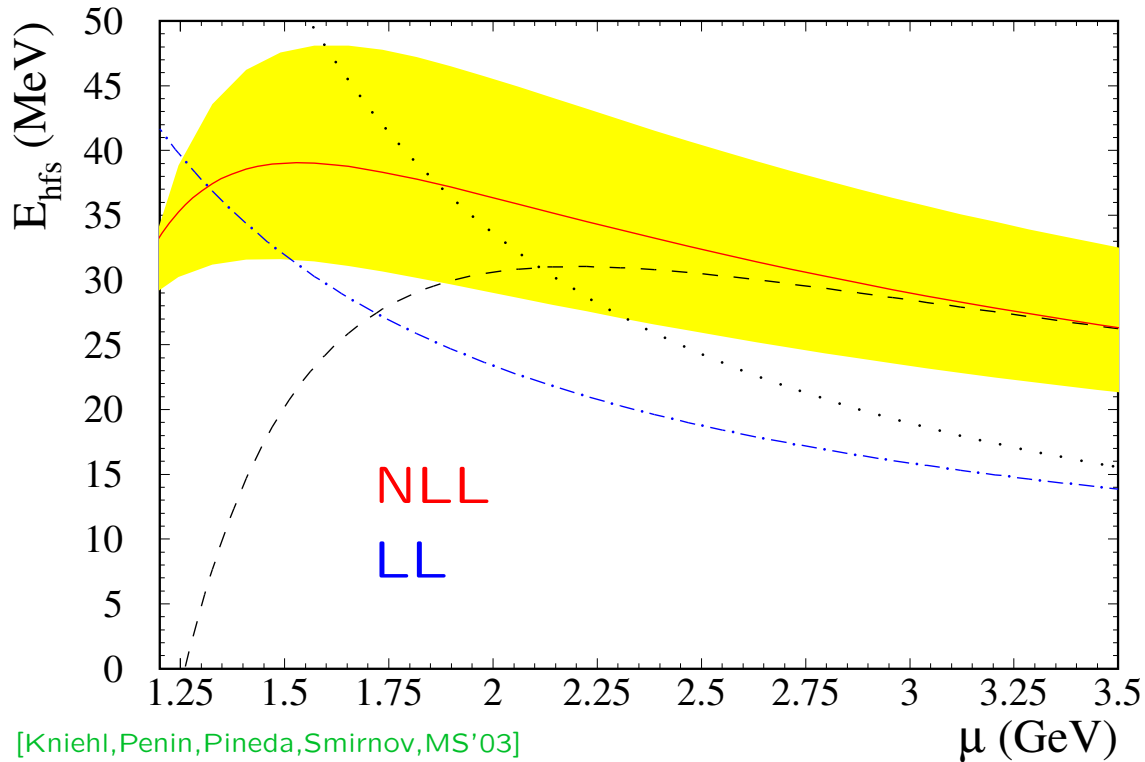
“Leading Log“

NLL

“Next-to-Leading Log“



$$E_{\text{hfs}} \text{ — } M(\eta_b)$$



$$\Rightarrow M(\eta_b) = 9420 \pm 10(\text{th})_{-8}^{+9}(\alpha_s) \text{ MeV}$$

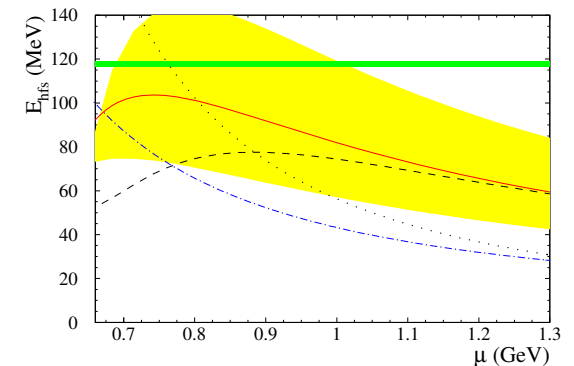
Good agreement with lattice results:

SESAM 33.4 MeV

CP-PACS 33.2 MeV

Charm system:  $M(J/\psi) - M(\eta_c) \approx 104 \text{ MeV}$

Experiment:  $M(J/\psi) - M(\eta_c) = 117.7 \pm 1.3 \text{ MeV}$



# Conclusions — Next Steps

- $E_1$ : N<sup>3</sup>LO; good convergence;  $\delta m_t = 80$  MeV
  - $\delta\psi$ :  $\alpha_s^3 \ln \alpha_s$ ; first sign of convergence; constant = ?
  - HFS: NLL, significantly reduced  $\mu$ -dependence, prediction for  $M(\eta_b)$
- 

- $a_3, c_v^{(3)}$
  - $\delta\psi_{\text{const}}^{(3)}$
  - summation of logarithms for spin-independent terms
  - ...
-