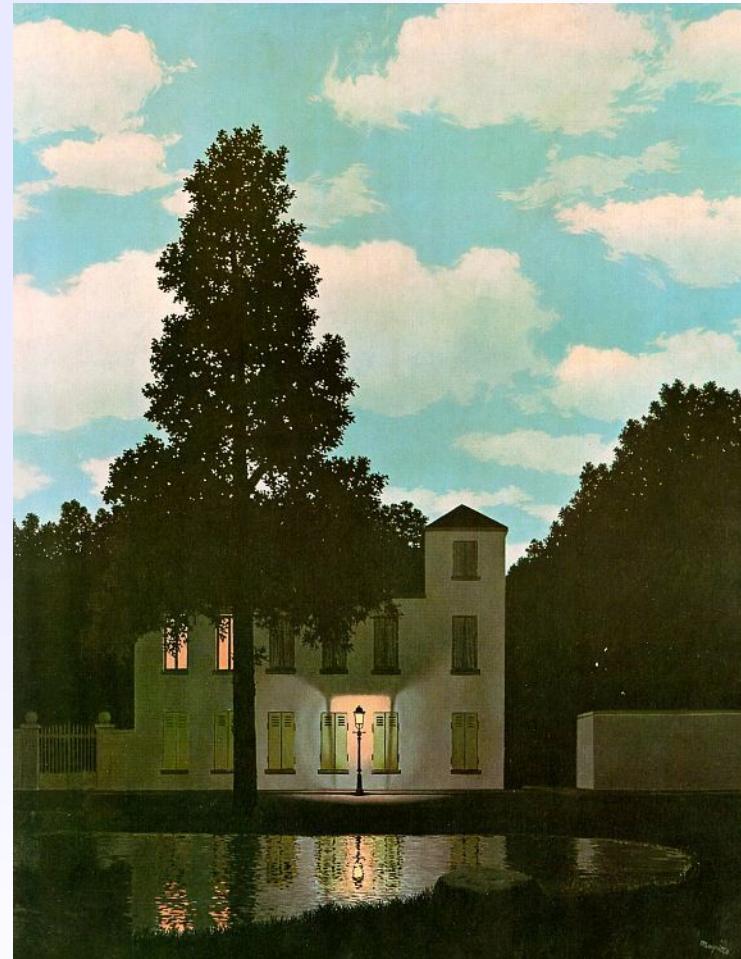




# Particle Detectors – Principles and Techniques (3/5)

## Lecture 3b – Photo-detection

Speaker: Thierry GYS (CERN–PH/DT2)



*The Empire of Lights (René Magritte, Lessines 1898 – Brussels 1967)*

(1954, Canvas, 146 x 114 cm, Brussels, Royal Museums of Fine Arts of Belgium, © SABAM 2001)



# General outline

3b Photo-detection

- **Lecture 1 - Introduction** C. Joram, L. Ropelewski
- **Lecture 2 - Tracking Detectors** L. Ropelewski, M. Moll
- **Lecture 3 - Scintillation and Photo-detection** C. D'Ambrosio, T. Gys
  - **3a) Scintillation**
  - **3b) Photo-detection** **Thierry Gys (CERN - PH/DT2)**
    - Photon detectors: purpose, basic principle and general requirements
    - Vacuum photon detectors
    - Solid-state photon detectors
    - Hybrid photon detectors
    - Literature
- **Lecture 4 - Calorimetry, Particle ID** C. Joram
- **Lecture 5 - Particle ID, Detector Systems** C. Joram, C. D'Ambrosio



# Detailed outline

extra slide  
not shown

3b Photo-detection

## ■ Photon detectors

- Purpose, basic principle and general requirements

## ■ Vacuum photon detectors

- The photoelectric effect, photo-cathodes and optical windows
- Photomultipliers:
  - Basic principle and gain fluctuations
  - Dynode configurations: traditional and position-sensitive
- Image intensifiers: principles, generations and Micro Channel Plates

## ■ Solid-state photon detectors

- Basic principle, PIN and avalanche diodes, light absorption
- A detailed example of CCD optimization for astronomy

## ■ Hybrid photon detectors

- Basic principle and gain fluctuations
- Description of various HPD types

## ■ Literature



# Photon detectors

3b Photo-detection

Purpose:

- Convert light into detectable (electronic) signal

Principle:

- Use photoelectric effect to convert photons ( $\gamma$ ) to photoelectrons (pe)

Standard requirements:

- High sensitivity, usually expressed as:

• quantum efficiency:

$$QE(\%) = \frac{N_{pe}}{N_\gamma}$$

• radiant sensitivity  $S(mA/W)$  with:

$$QE(\%) \approx 124 \cdot \frac{S(mA/W)}{\lambda(nm)}$$

- Low intrinsic noise
- Low gain fluctuations
- High active area



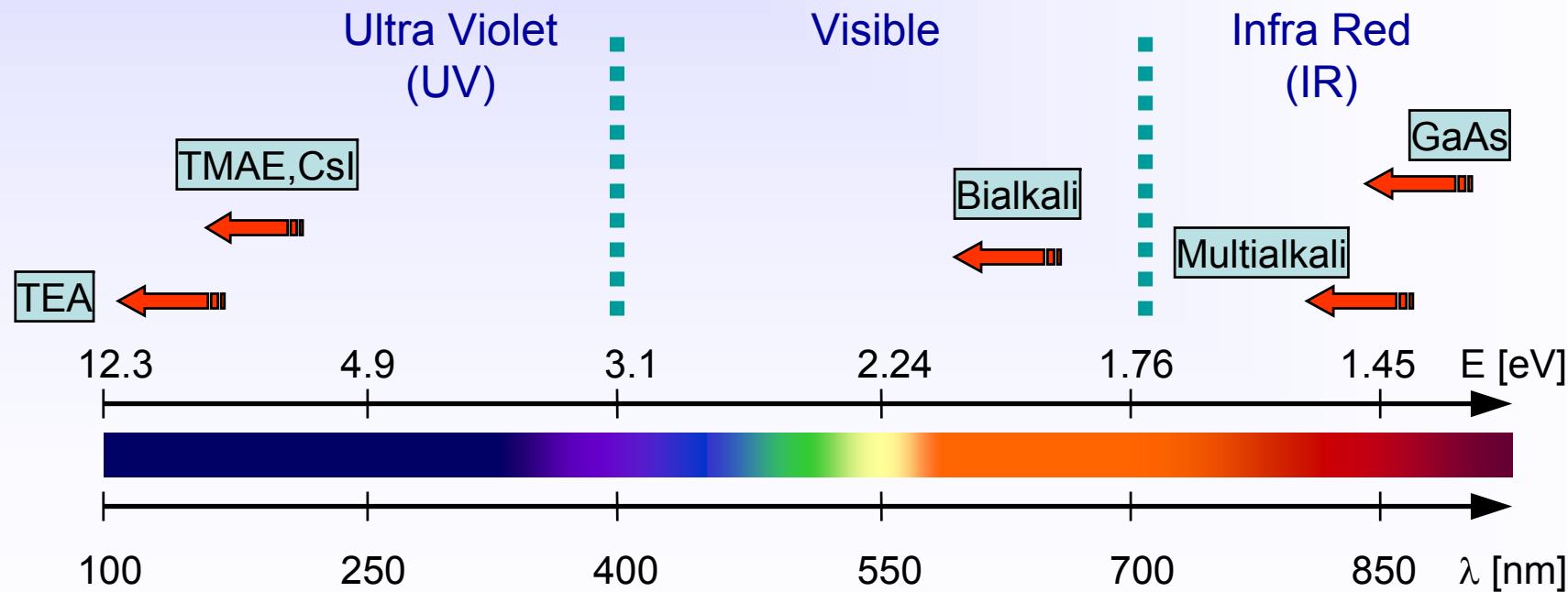
# Photon detectors

3b Photo-detection

Main types of photon detectors:

- gas-based (not covered in this lecture, see lecture 2a)
- vacuum-based
- solid-state (see also lecture 2b)
- hybrid

Photoemission threshold  $W_{ph}$  of various materials

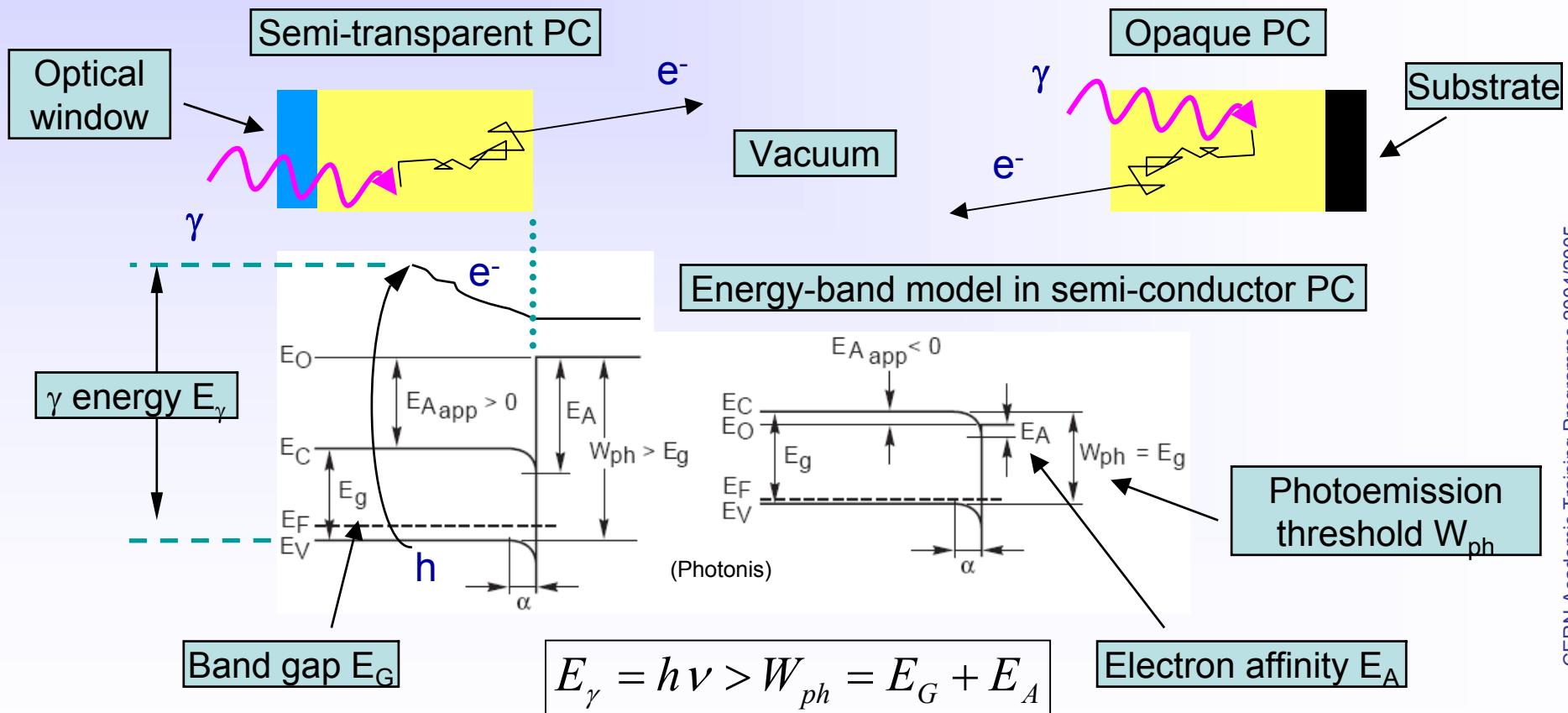


# The photoelectric effect

3b Photo-detection

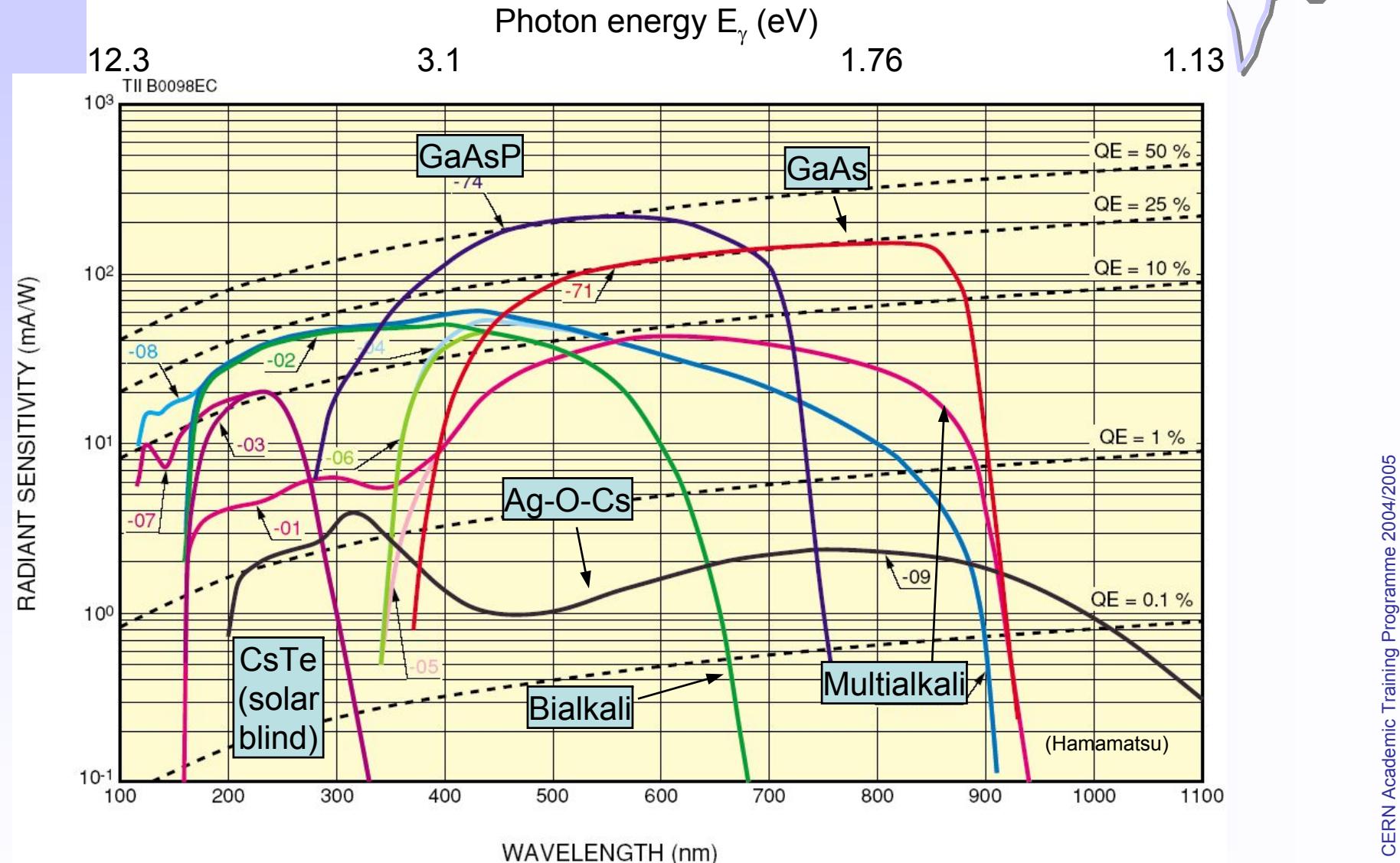
3-step process:

- absorbed  $\gamma$ 's impart energy to electrons ( $e^-$ ) in the material;
- energized  $e^-$ 's diffuse through the material, losing part of their energy;
- $e^-$ 's reaching the surface with sufficient excess energy escape from it;  
 $\Rightarrow$  ideal photo-cathode (PC) must absorb all  $\gamma$ 's and emit all created  $e^-$ 's



# QE's of typical photo-cathodes

3b Photo-detection

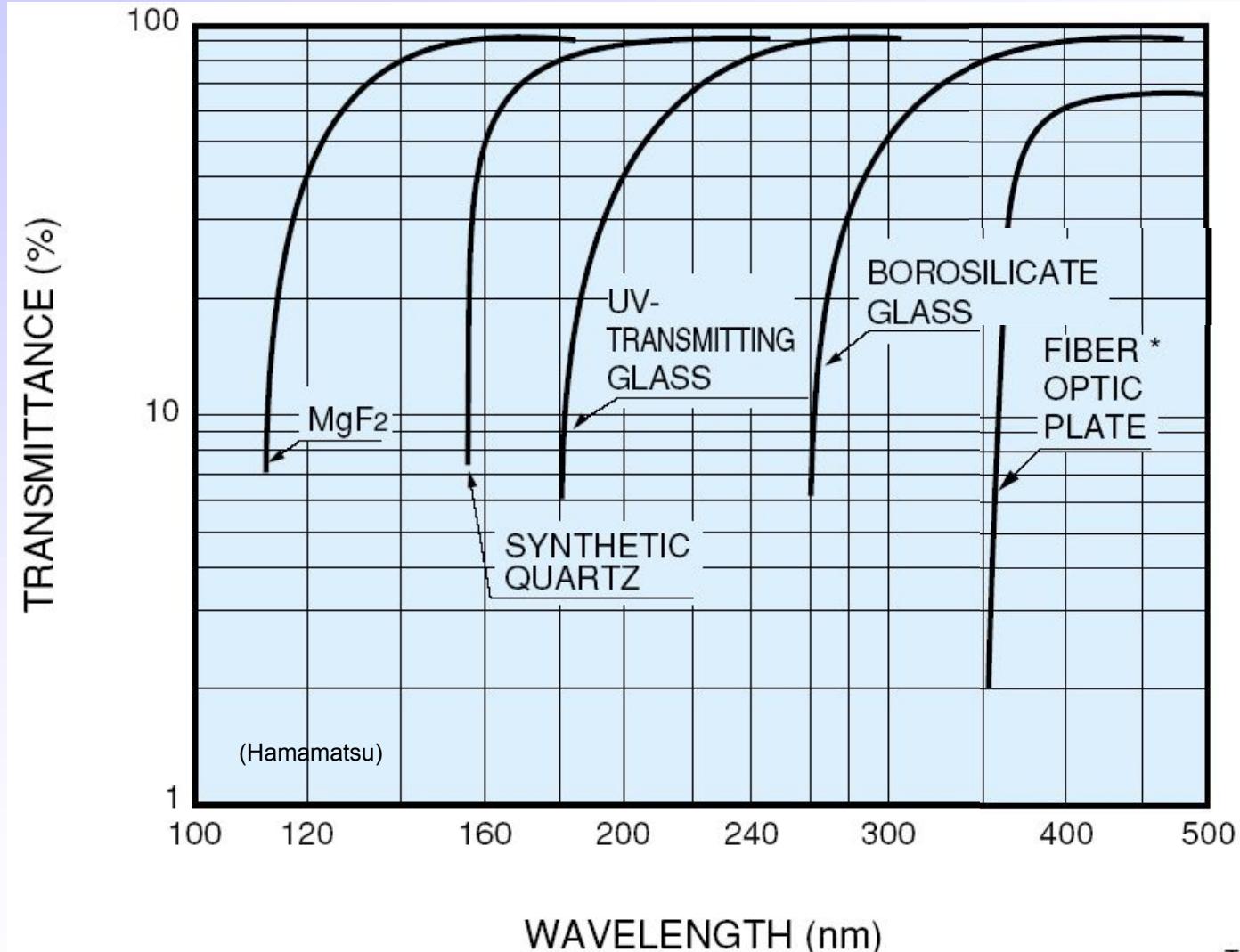


Bialkali: SbKCs, SbRbCs Multialkali: SbNa<sub>2</sub>KCs (alkali metals have low work function)



# Transmission of optical windows

3b Photo-detection



# Photo-multiplier tubes (PMT's)

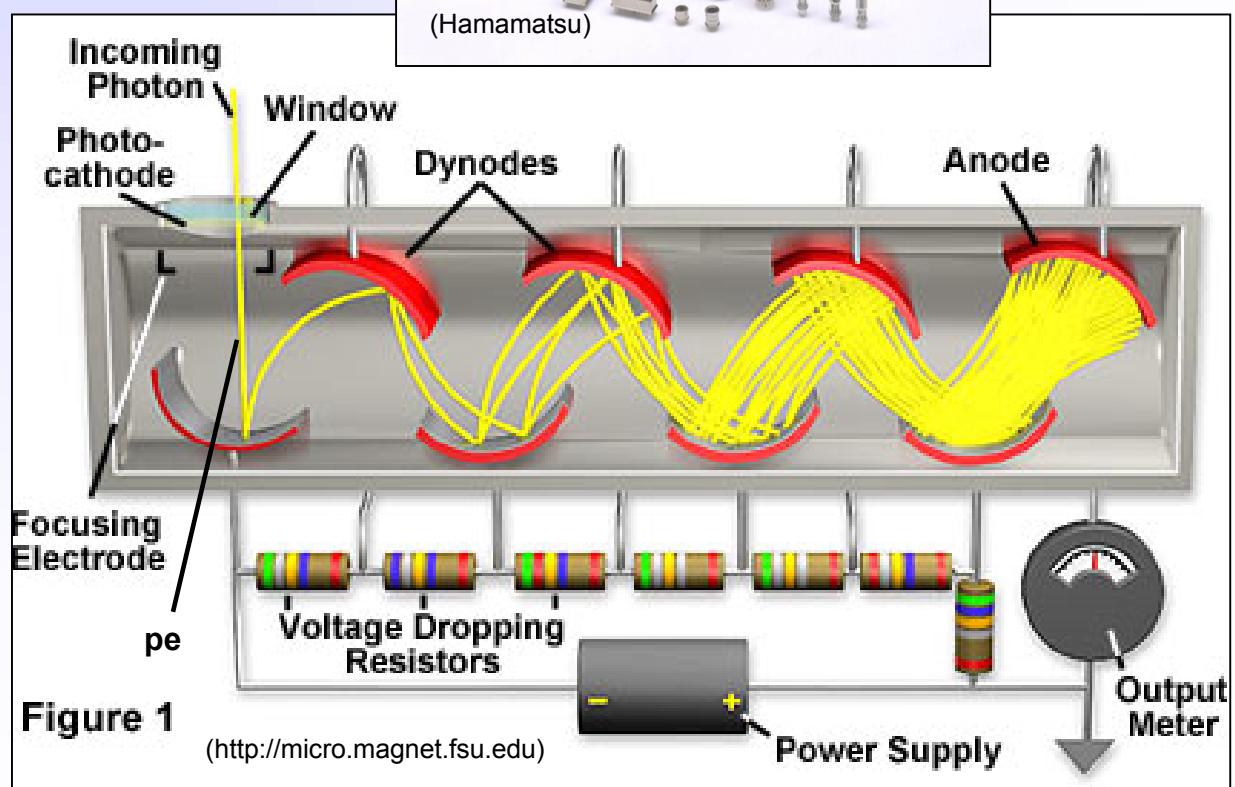
3b Photo-detection

## Basic principle:

- Photo-emission from photo-cathode
- Secondary emission (SE) from N dynodes:
  - dynode gain  $g \approx 3-50$  (function of incoming electron energy  $E$ );
  - total gain  $M$ :

$$M = \prod_{i=1}^N g_i$$

- Example:
  - 10 dynodes with  $g=4$
  - $M = 4^{10} \approx 10^6$





# Gain fluctuations of PMT's

- Mainly determined by the fluctuations of the number  $m(\delta)$  of secondary e's emitted from the dynodes;

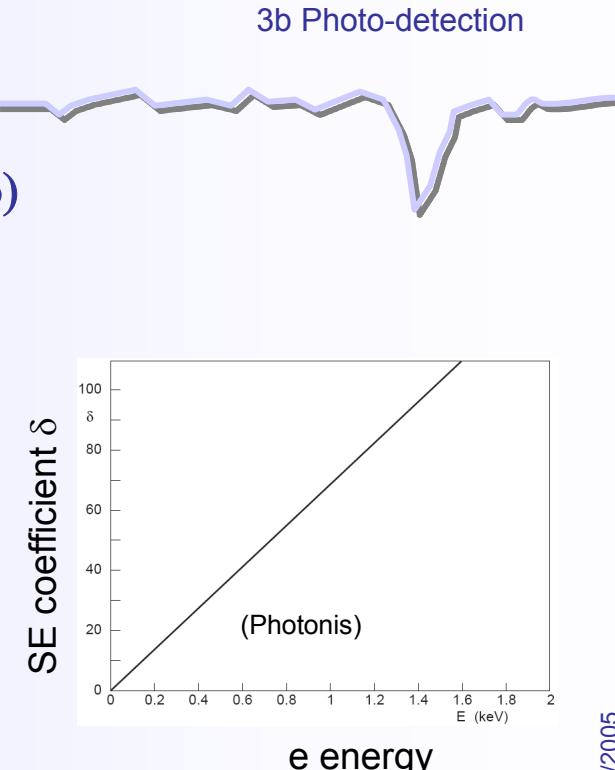
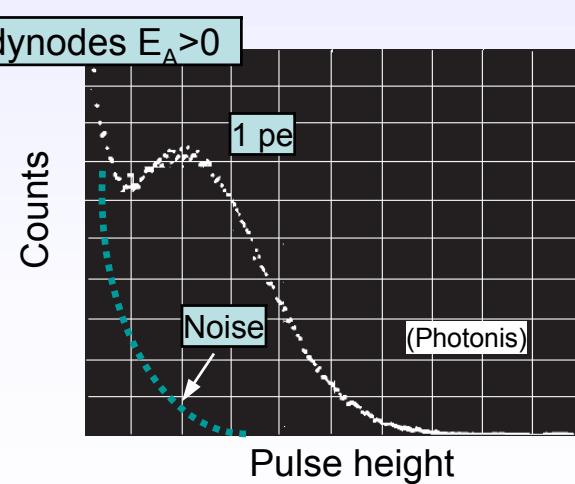
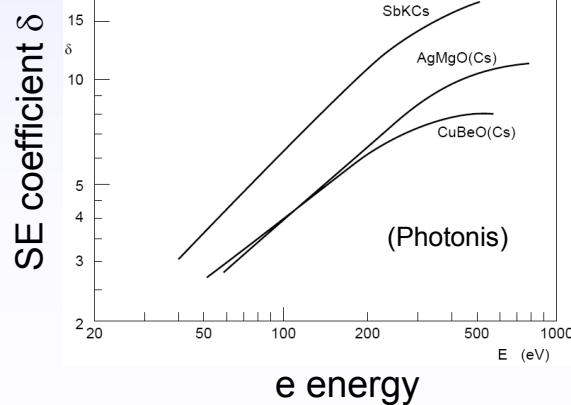
- Poisson distribution:

$$P_\delta(m) = \frac{\delta^m e^{-\delta}}{m!}$$

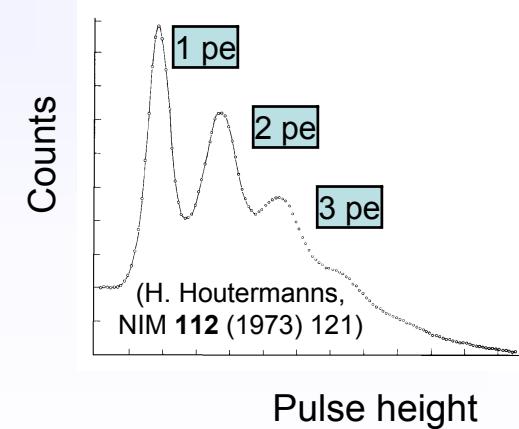
- Standard deviation:

$$\frac{\sigma_m}{\delta} = \frac{\sqrt{\delta}}{\delta} = \frac{1}{\sqrt{\delta}}$$

⇒ fluctuations dominated by 1<sup>st</sup> dynode gain;



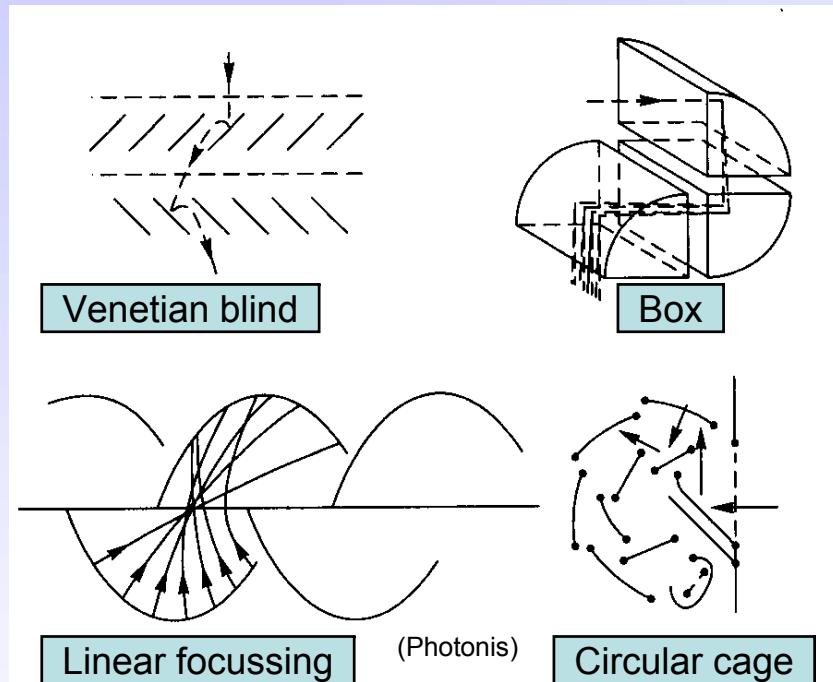
GaP(Cs) dynodes  $E_A < 0$



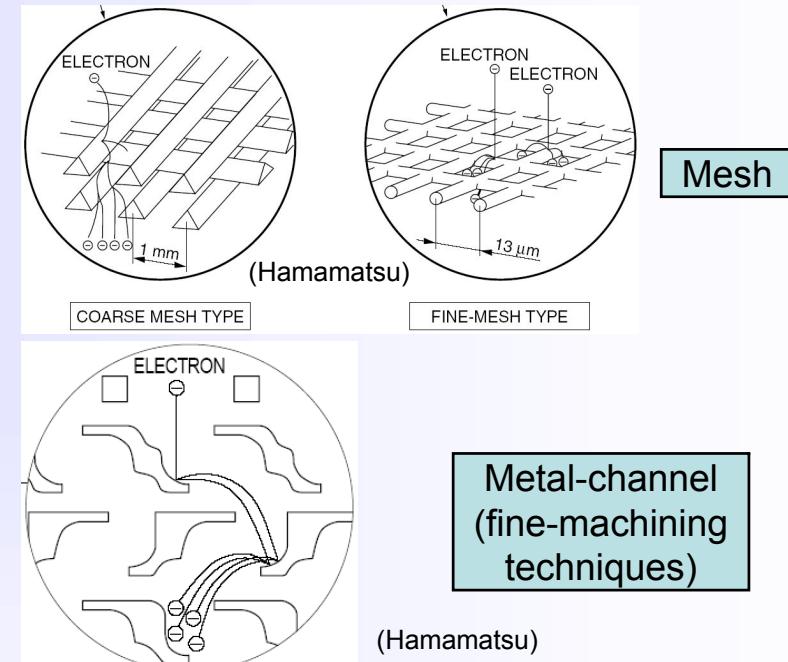
# Dynode configurations of PMT's

3b Photo-detection

Traditional



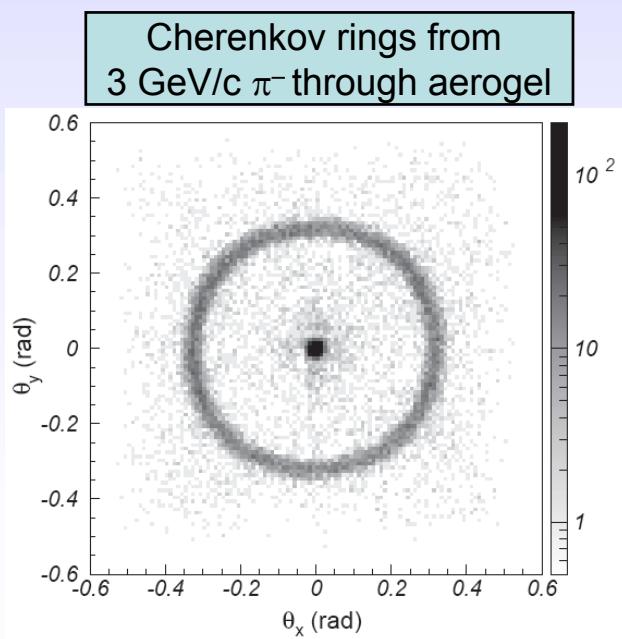
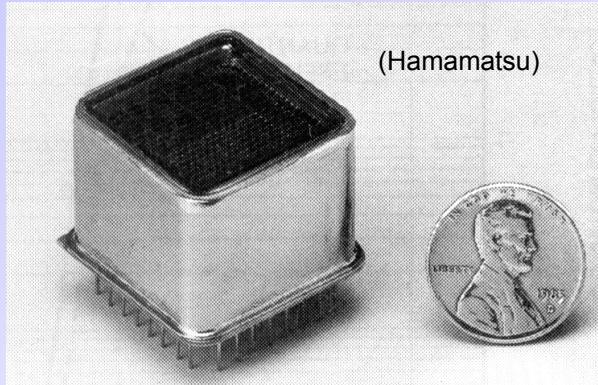
Position-sensitive



- “Fast” PMT’s require well-designed input electron optics to limit (e) chromatic and geometric aberrations → transit time spread < 200 ps;
- PMT’s are in general very sensitive to magnetic fields, even to earth field (30-60 μT). Magnetic shielding required.

# Multi-anode and flat-panel PMT's

3b Photo-detection



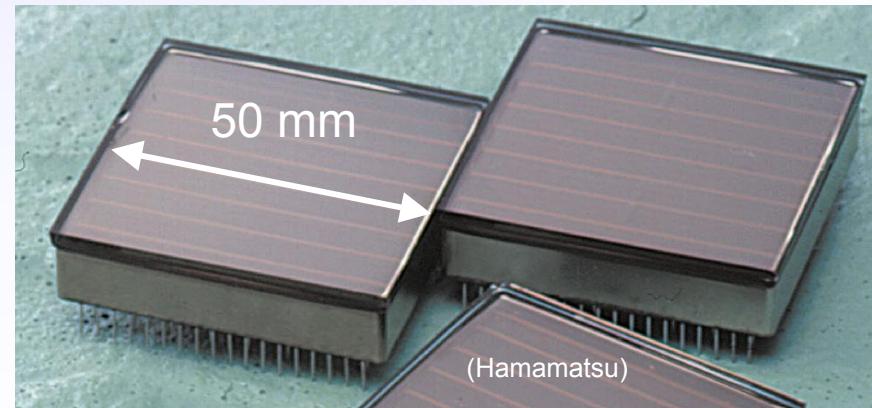
(T. Matsumoto et al., NIMA 521 (2004) 367)

## Multi-anode (Hamamatsu H7546)

- Up to  $8 \times 8$  channels ( $2 \times 2 \text{ mm}^2$  each);
- Size:  $28 \times 28 \text{ mm}^2$ ;
- Active area  $18.1 \times 18.1 \text{ mm}^2$  (41%);
- Bialkali PC: QE  $\approx 20\%$  @  $\lambda_{\max} = 400 \text{ nm}$ ;
- Gain  $\approx 3 \cdot 10^5$ ;
- Gain uniformity typ. 1 : 2.5;
- Cross-talk typ. 2%

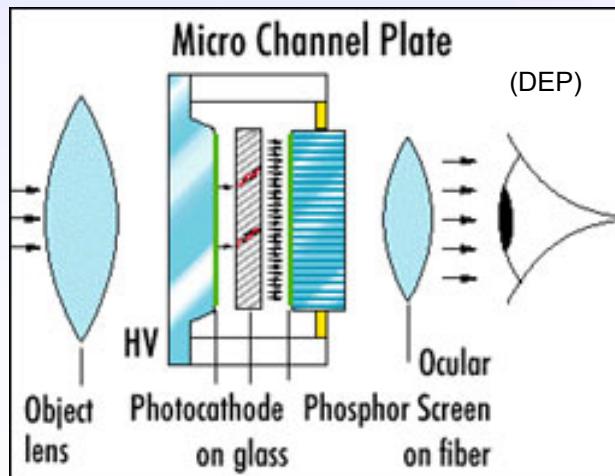
## Flat-panel (Hamamatsu H8500):

- $8 \times 8$  channels ( $5.8 \times 5.8 \text{ mm}^2$  each);
- Excellent surface coverage (89%)



## Basic principle:

- Vacuum photon detectors amplifying low light-level *image* to observable levels;
- Input: collection lens, optical window, photo-cathode;
- Gain: achieved by high voltage and possibly by additional imaging electron multiplier;
- Output: phosphor on optical window, ocular, observer (eye, CCD)

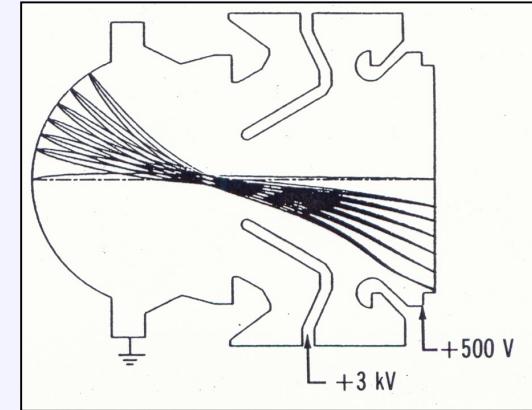
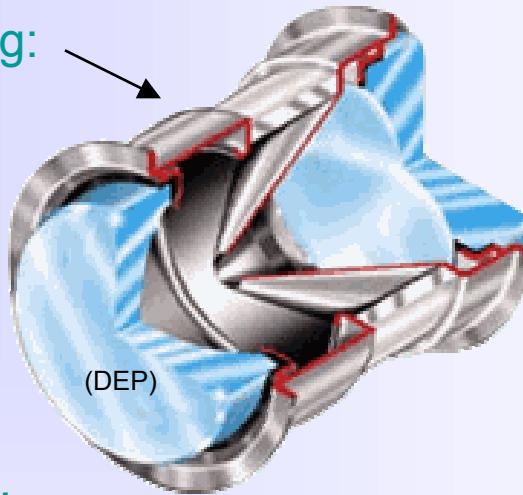


# Image intensifier generations

3b Photo-detection

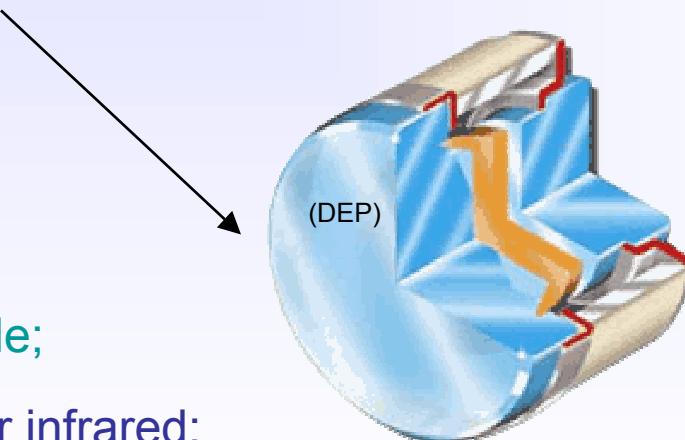
## Gen. I - electrostatic focussing:

- high image resolution;
- wide dynamic range;
- low noise;



## Gen. II - Micro Channel Plate:

- worse resolution;
- much higher gain;



## Gen. III – GaAs photo-cathode;

- enhanced sensitivity in near infrared;



# Phosphor screens

## Principle:

- absorb electrons;
- emit light on a characteristic  $\lambda$  of their material;

## Spectral response:

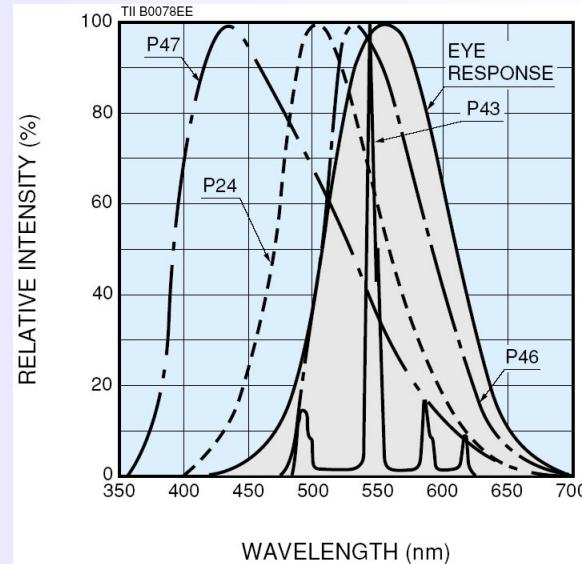
- originally adapted to human eye response;
- must now match solid-state sensor response (e.g. CCD's);

## Decay time:

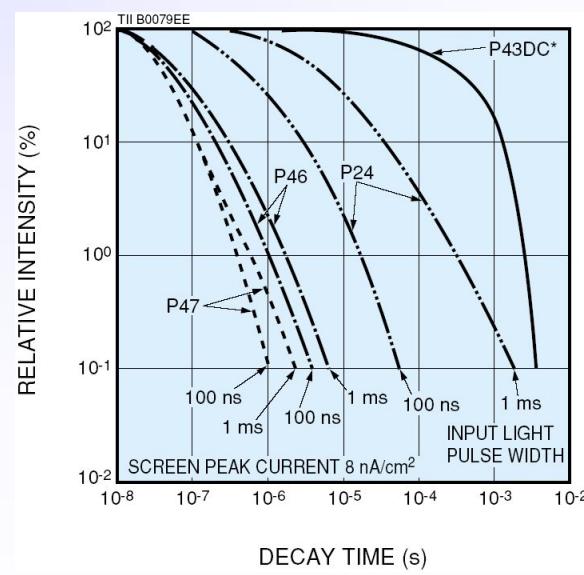
- short (<100ns) for e.g. high-speed CCD's to minimize afterglow;
- long (~1ms) for night-vision and surveillance to minimize flicker;

extra slide  
not shown

3b Photo-detection



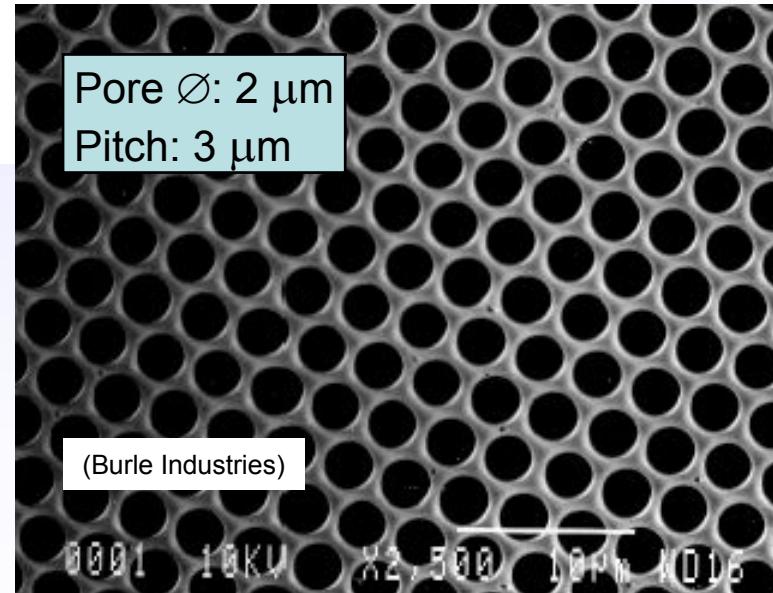
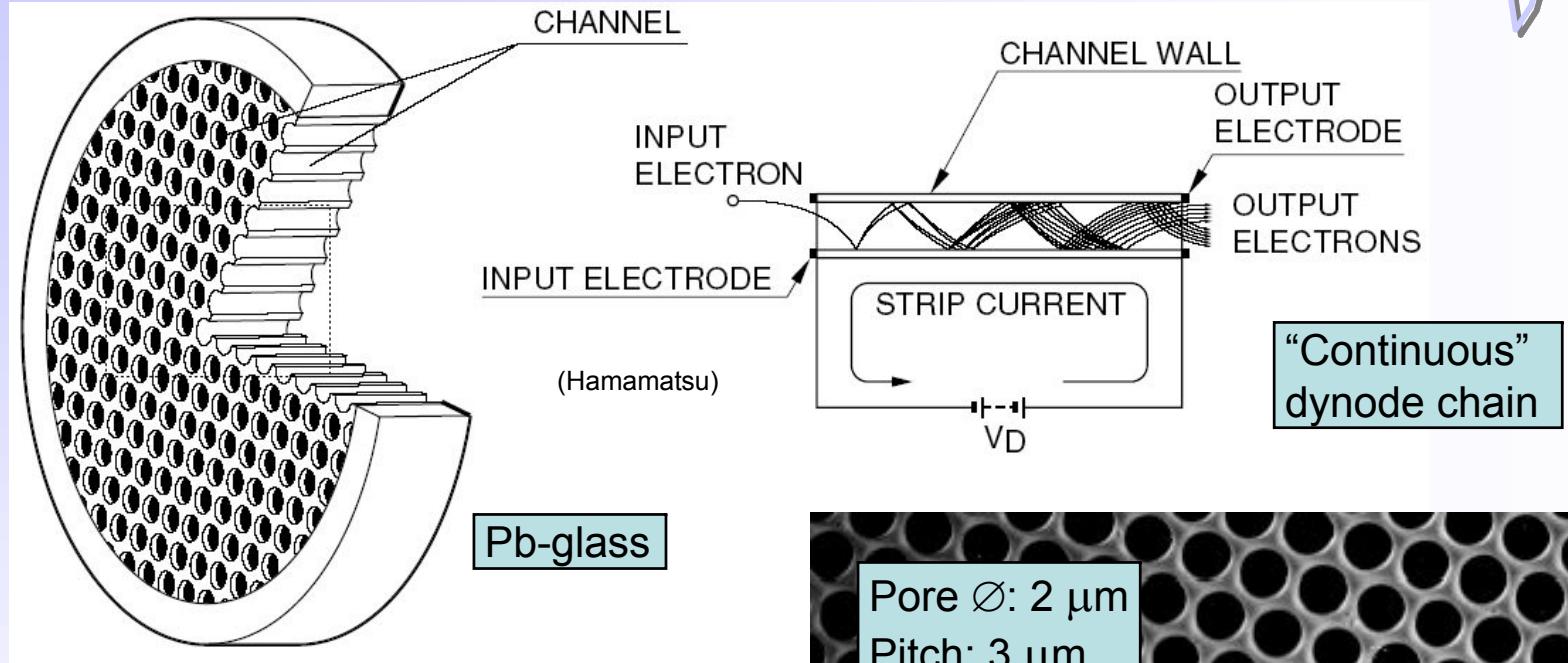
(Hamamatsu)



(Hamamatsu)

# The Micro Channel Plate (MCP)

3b Photo-detection

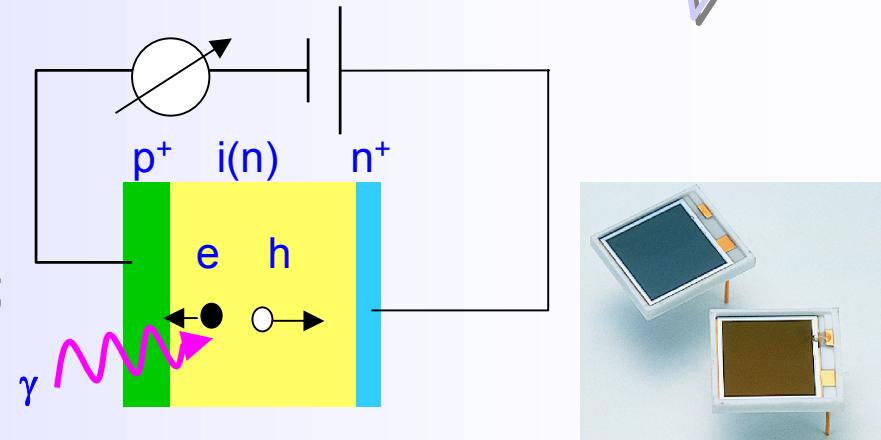


## Kind of 2D PMT:

- + high gain up to  $5 \cdot 10^4$ ;
- + fast signal (transit time spread  $\sim 50$  ps);
- + less sensitive to B-field (0.1 T);
- limited lifetime ( $0.5$  C/cm $^2$ );
- limited rate capability ( $\mu\text{A}/\text{cm}^2$ );

## Photodiodes:

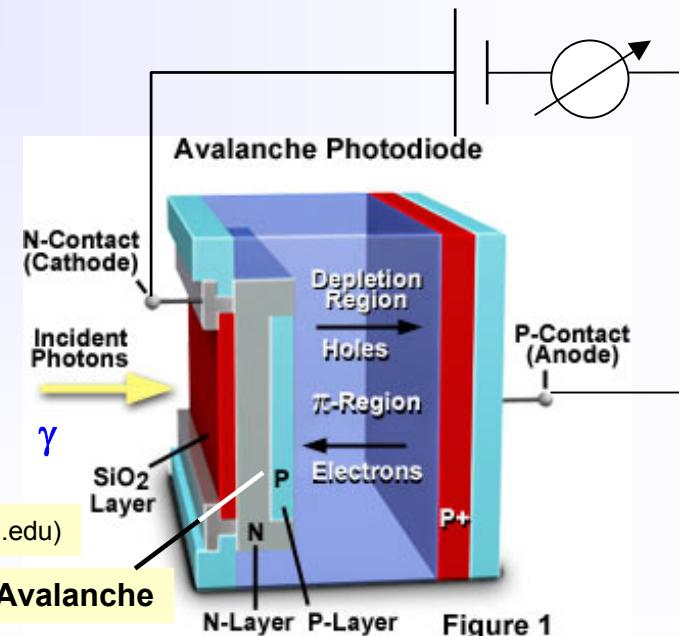
- P(I)N type (see lecture 2b);
- p layer very thin ( $<1 \mu\text{m}$ ), as visible light is rapidly absorbed by silicon (see next slide);
- High QE (80% @  $\lambda \approx 700\text{nm}$ );
- No gain: cannot be used for single photon detection;



## Avalanche photodiode:

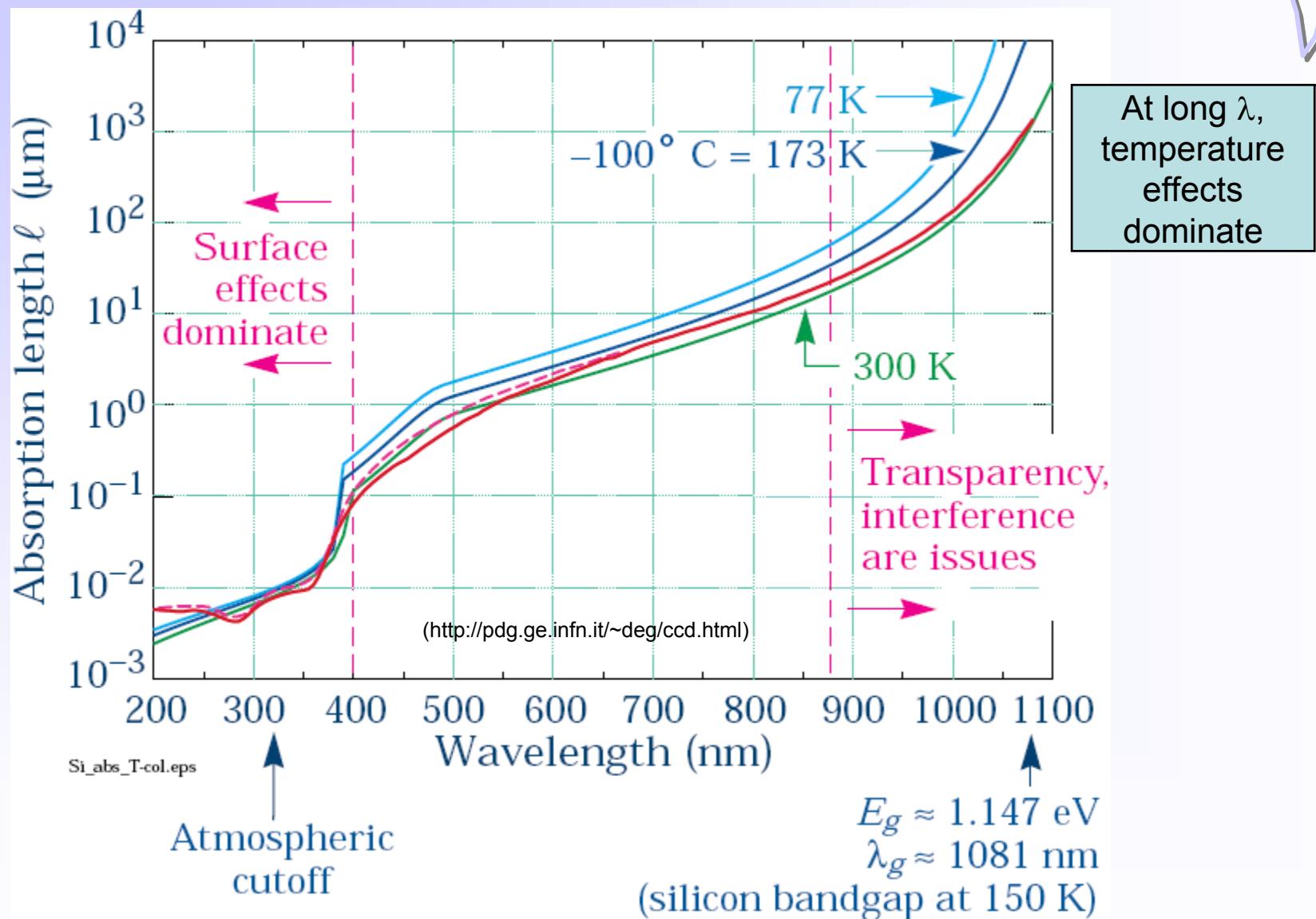
- High reverse bias voltage: typ. 100-200 V  
⇒ due to doping profile, high internal field and avalanche multiplication;
- High gain: typ. 100-1000;
- Used in CMS ECAL;

(<http://micro.magnet.fsu.edu>)



# Light absorption in Silicon

3b Photo-detection





## Many more types exist ...

3b Photo-detection

Non-exhaustive list:

- Visible Light Photon Counter (VLPC);
- Silicon Photo-Multiplier (Si-PMT);
- Strip, pad and pixel arrays;
- CCD's:
  - conventional, front-illuminated;
  - thinned, back-illuminated;
  - fully-depleted, back-illuminated;

(see a detailed example of the latter 2 for astronomical applications in the next slides)



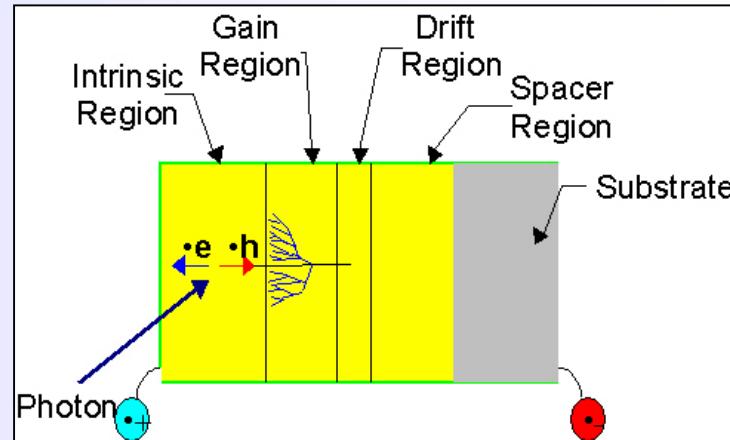
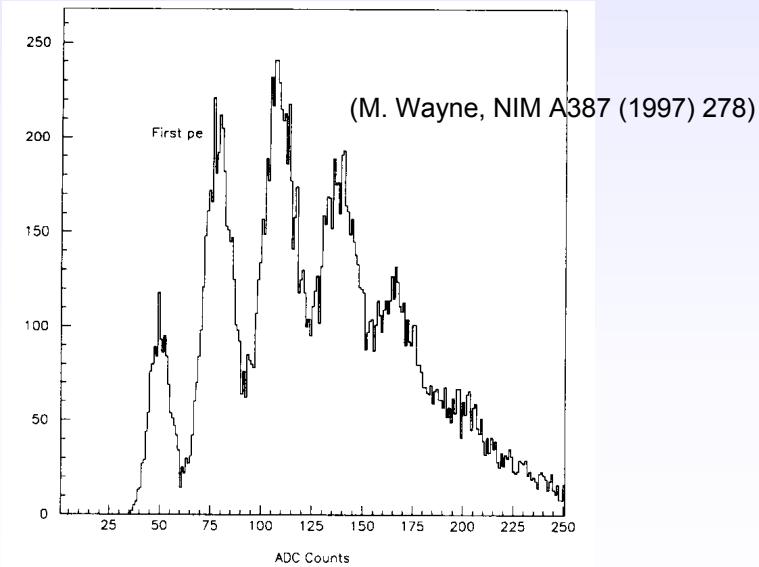
# Visible Light Photon Counter

extra slide  
not shown

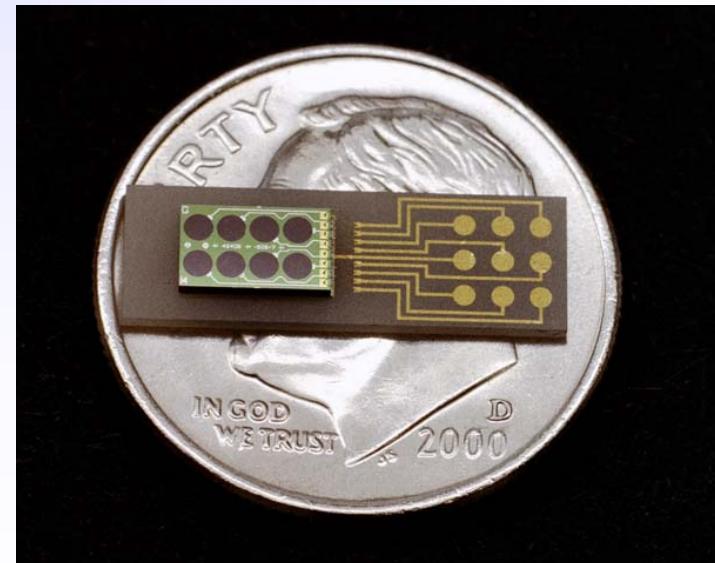
3b Photo-detection

## Visible Light Photon Counter (VLPC):

- Originally developed by Rockwell;
- Operation at low bias voltage (7V);
- High IR sensitivity:  
 $\Rightarrow$  *requires cooling  
at liquid He T° (7K)!*
- Q.E.  $\approx$  70% around 500 nm;
- Gain up to 50.000 !
- used in the D0 Central Scintillating Fibre Tracker



([http://d0server1.fnal.gov/projects/scifi/pictures/vlpc\\_related.html](http://d0server1.fnal.gov/projects/scifi/pictures/vlpc_related.html))

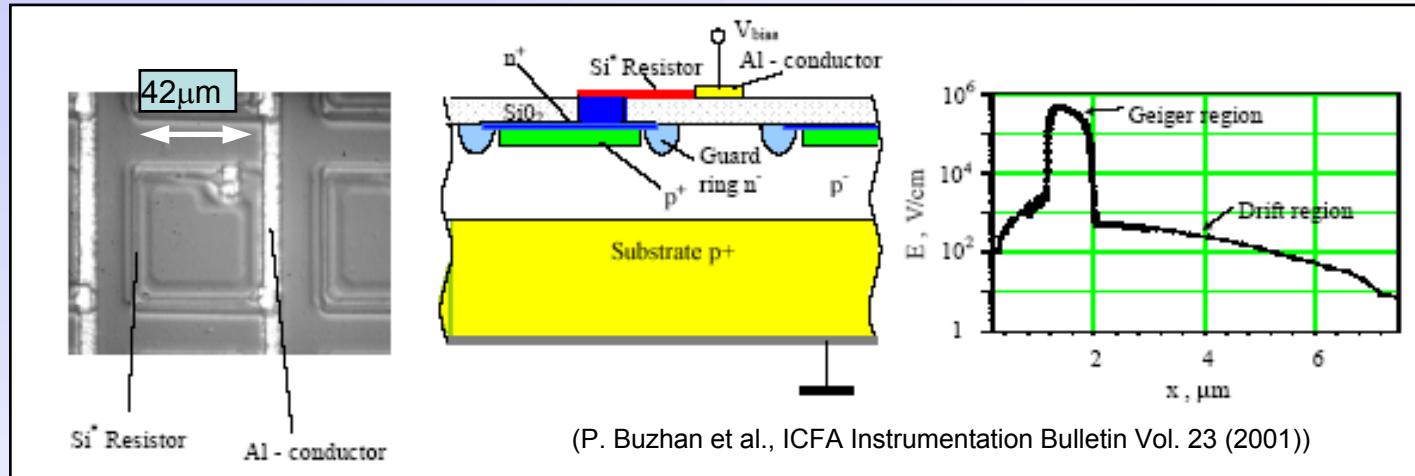




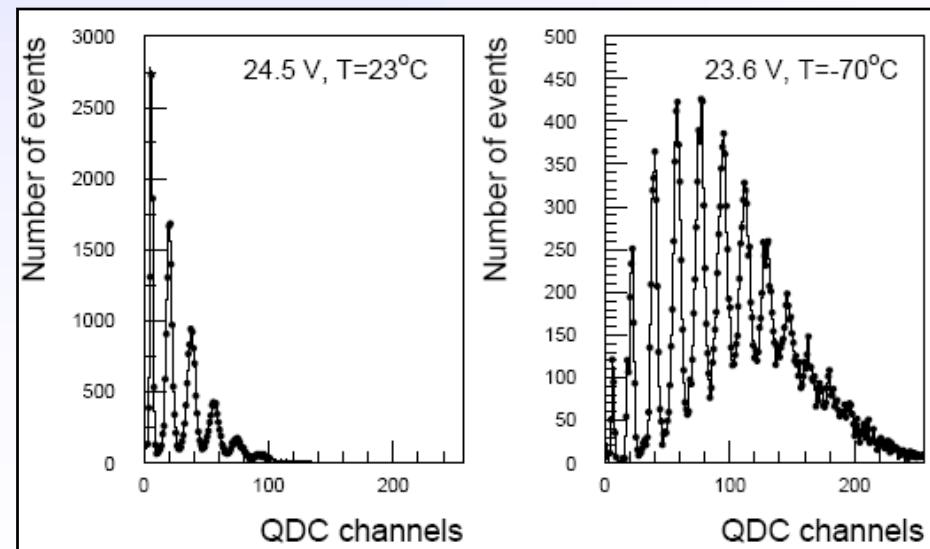
# Silicon Photo-Multiplier

extra slide  
not shown

3b Photo-detection



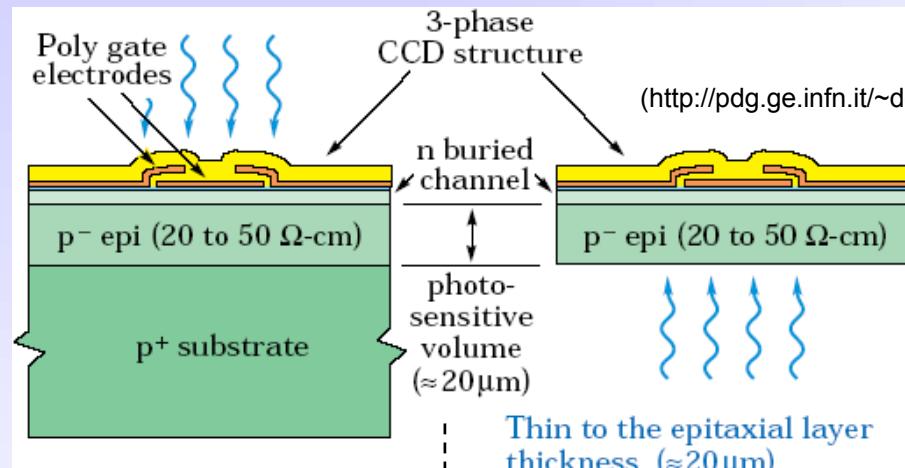
Kind of APD array  
operating in Geiger  
mode



# Back-illuminated fully depleted CCD

3b Photo-detection

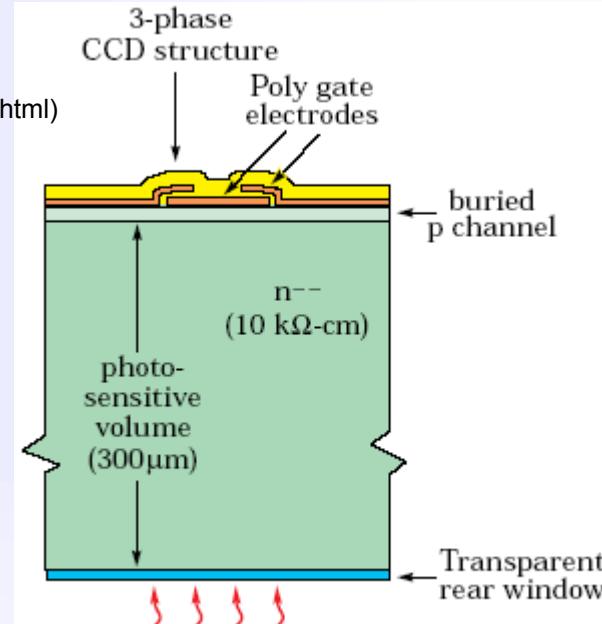
Front-  
illuminated  
CCD



- poor response in blue (poly-Si) and IR (thin epitaxial layer);
- interference (gate);

Back-  
illuminated  
thinned CCD

Back-  
illuminated  
fully depleted CCD

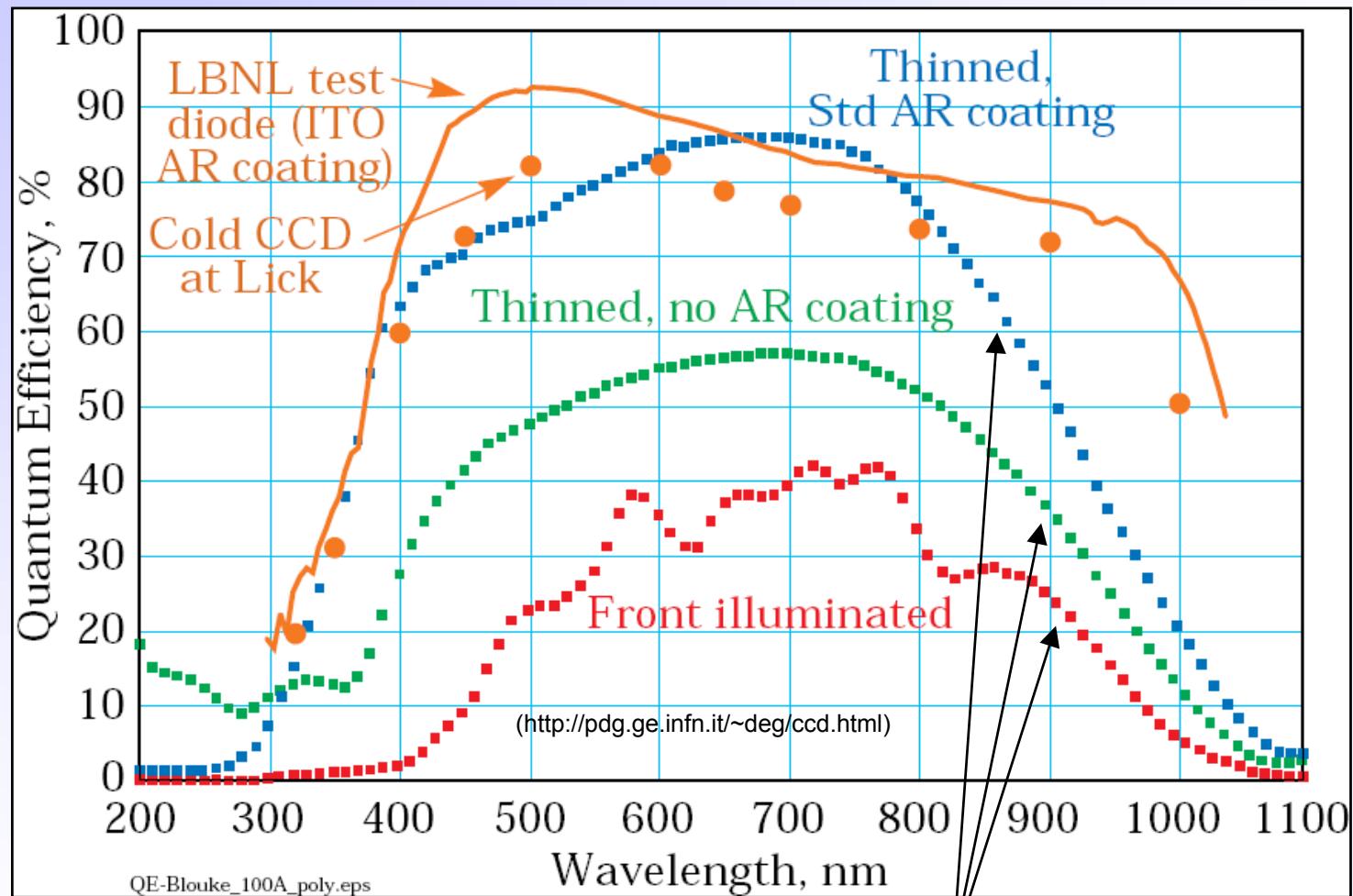


- thinning difficult, expensive and not flat;
- poor IR response;
- fringing;
- lateral diffusion  $\Rightarrow$  degraded PSF;
- charge build-up at rear surface;

- +conventional MOS process;
- +full QE up to  $\lambda = 1 \mu\text{m}$ , (no fringing);
- +good blue response;
- enhanced sensitivity to radiation

# Measured QE curves

3b Photo-detection



(M. Blouke and M. Nelson, SPIE **1900** (1993), 228-240)



## And the result is ...

3b Photo-detection

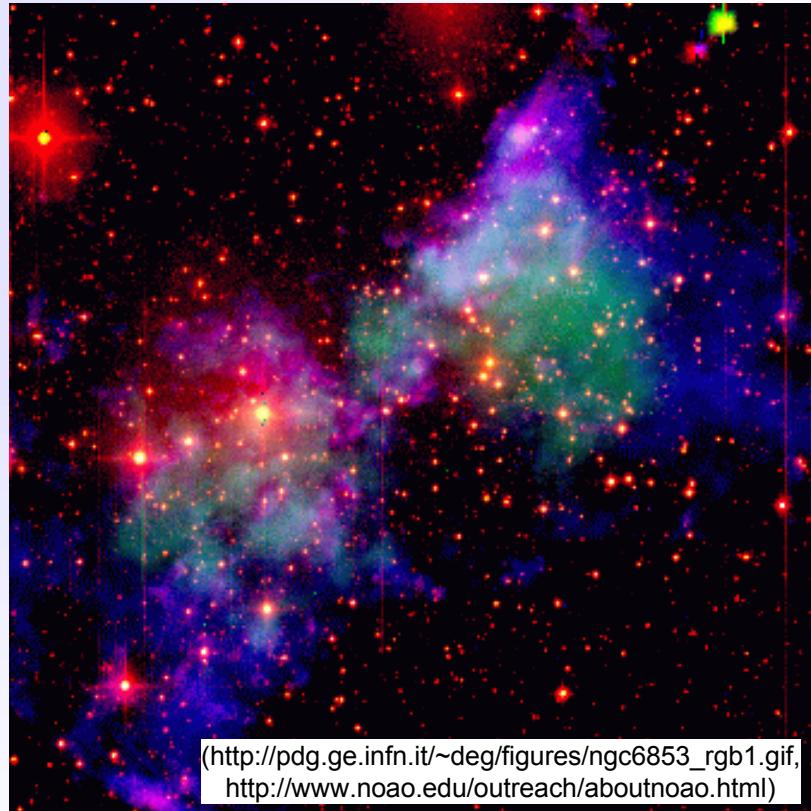
Dumbbell Nebula in Vulpecula (M27, NGC 6853)



(<http://antwrp.gsfc.nasa.gov/apod/ap981009.html>)

FORS false color image using a Tektronix back-illuminated  $2k \times 2k$  CCD with  $24\mu\text{m}$  pixels thinned and anti-reflection coated.

This image was obtained on ESO 8.2-m VLT Unit Telescope (UT) 1 on September 28, 1998.



([http://pdg.ge.infn.it/~deg/figures/ngc6853\\_rgb1.gif](http://pdg.ge.infn.it/~deg/figures/ngc6853_rgb1.gif),  
<http://www.noao.edu/outreach/aboutnoao.html>)

NOAO false color image using a back-illuminated fully depleted  $2k \times 2k$  CCD with  $15\mu\text{m}$  pixel.

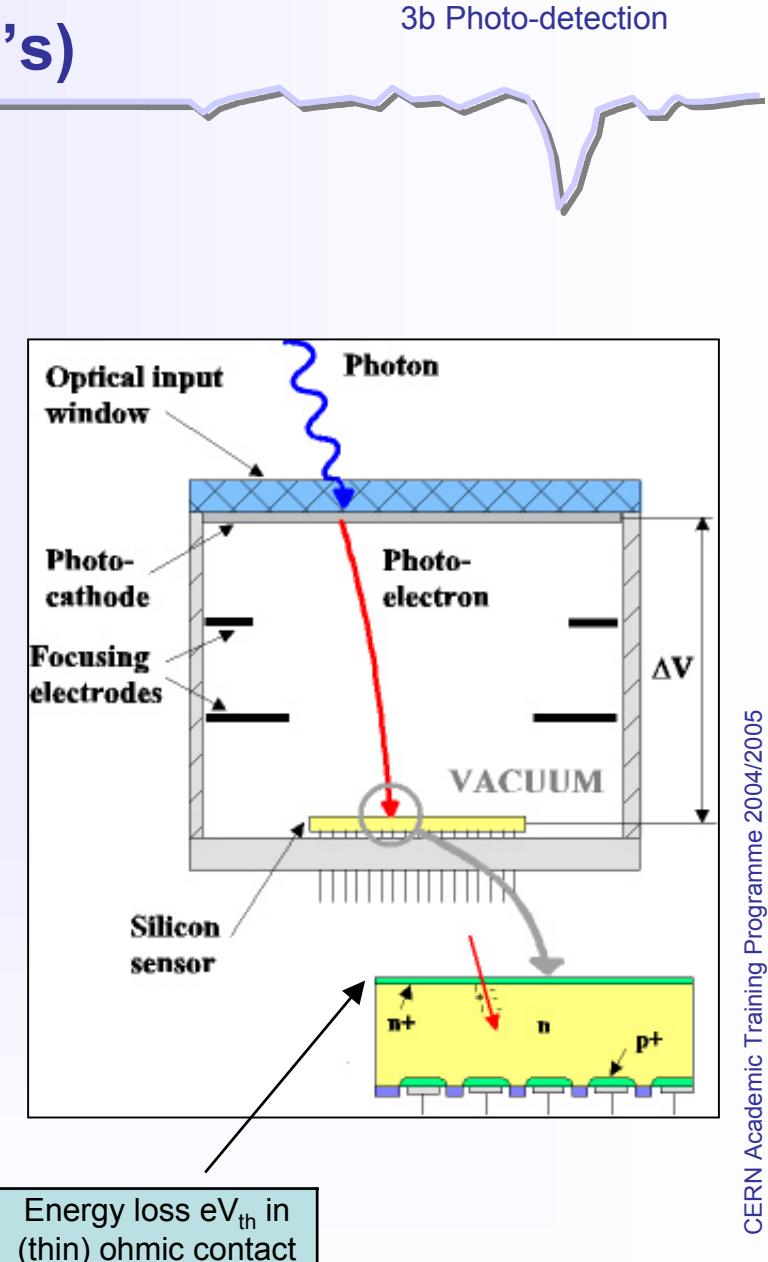
This image was obtained on WIYN 3.5-m Telescope on June 7, 2001.



# Hybrid Photon Detectors (HPD's)

## Basic principle:

- Combination of vacuum photon detectors and solid-state technology;
- Input: collection lens, (active) optical window, photo-cathode;
- Gain: achieved *in one step* by energy dissipation of keV pe's in solid-state detector anode; this results in low gain fluctuations;
- Output: direct electronic signal;
- Encapsulation in the tube implies:
  - compatibility with high vacuum technology (low outgassing, high T° bake-out cycles);
  - internal (for speed and fine segmentation) or external connectivity to read-out electronics;
  - heat dissipation issues;



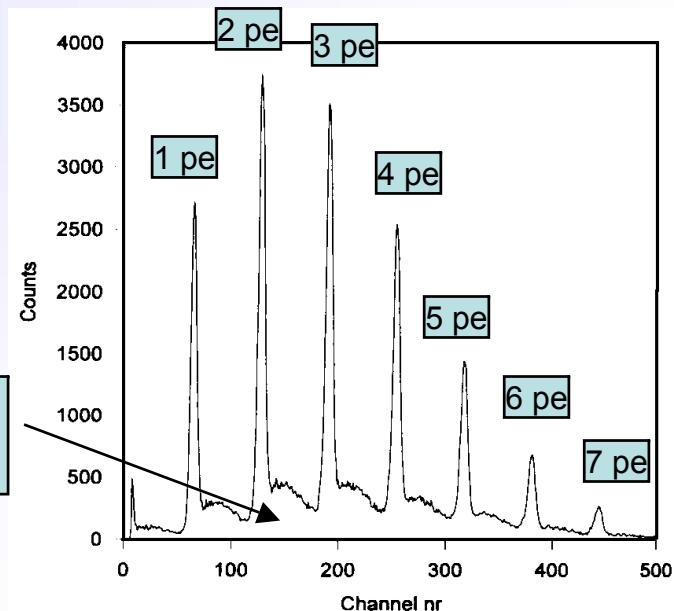
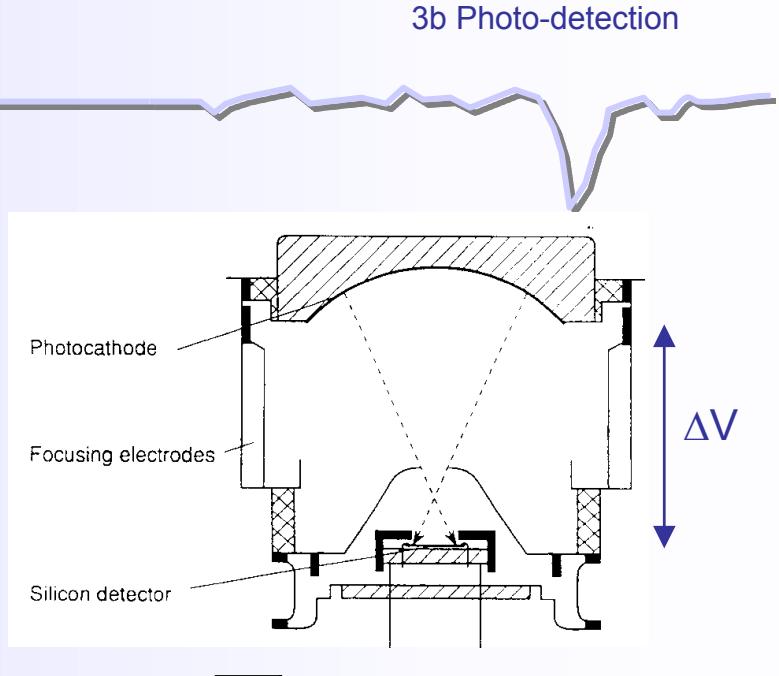
CERN Academic Training Programme 2004/2005

# Energy resolution of HPD's

## Basic properties:

- Photo-emission from photo-cathode;
- Photo-electron acceleration to  $\Delta V \approx 10-20\text{kV}$ ;
- Energy dissipation through ionization and phonons ( $W_{Si}=3.6\text{eV}$  to generate 1 e-h pair in Si) with low fluctuations (Fano factor  $F \approx 0.12$  in Si);
- Gain  $M$ : 
$$M = \frac{e(\Delta V - V_{th})}{W_{Si}}$$
- Gain fluctuations  $\sigma_M$ : 
$$\sigma_M = \sqrt{F \times M}$$
  
 $\Rightarrow$  dominated by electronics
- Example:  $\Delta V = 20\text{kV}$   
 $\Rightarrow M \approx 5000$  and  $\sigma_M \approx 25$
- suited for single photon detection with high resolution;

Background from  
electron back-scattering  
at Si surface



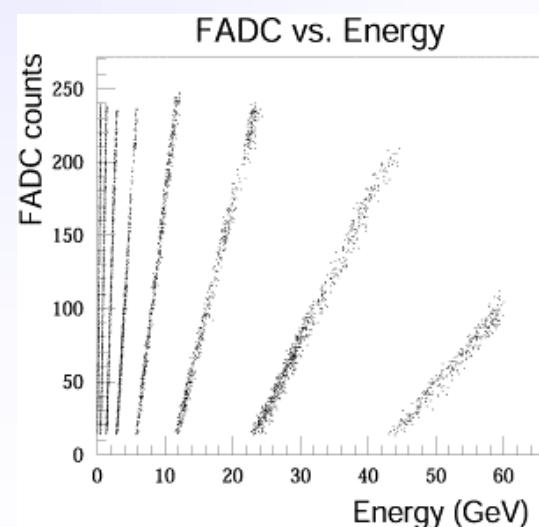
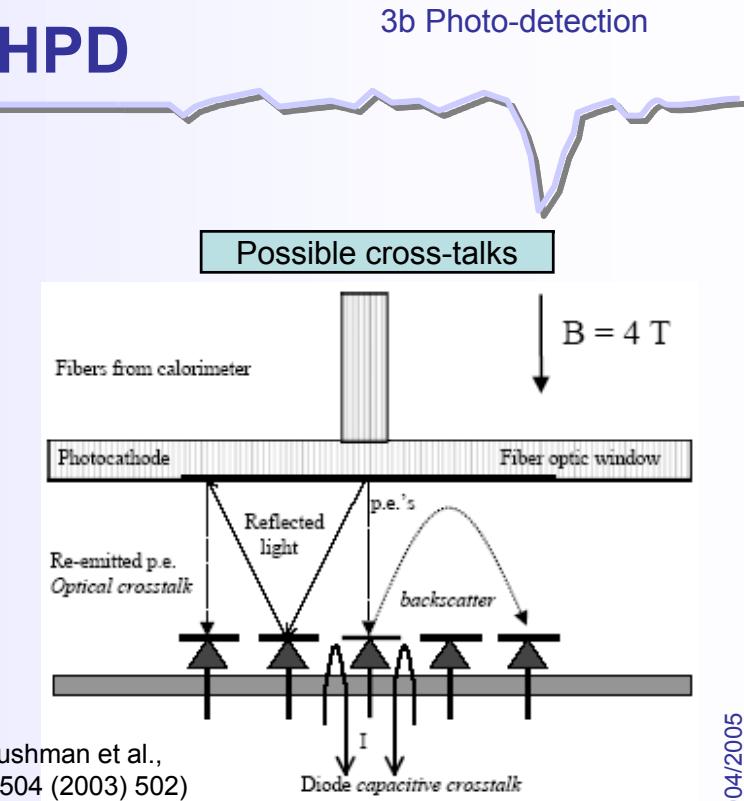
(C.P. Datema et al., NIM A 387(1997) 100)



# Multi-pixel proximity-focussed HPD

DEP-CMS HCAL example:

- $B=4\text{T} \Rightarrow$  proximity-focussing with 3.35mm gap and  $\text{HV}=10\text{kV}$ ;
- Minimize cross-talks:
  - pe back-scattering: align with  $B$ ;
  - capacitive: Al layer coating;
  - internal light reflections: a-Si:H AR coating optimized @  $\lambda = 520\text{nm}$  (WLS fibres);
- Results in linear response over a large dynamic range from minimum ionizing particles (muons) up to 3 TeV hadron showers;

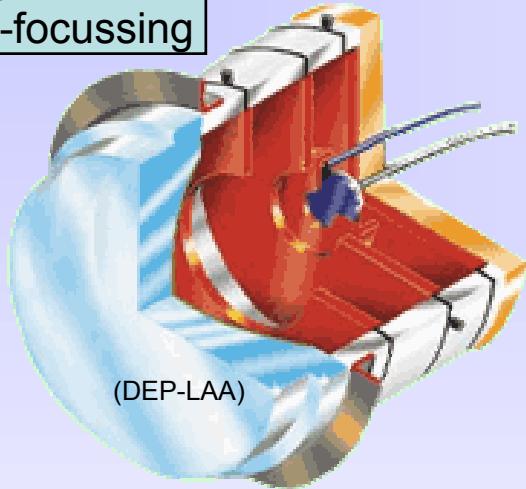


# Various kinds of commercial HPD's

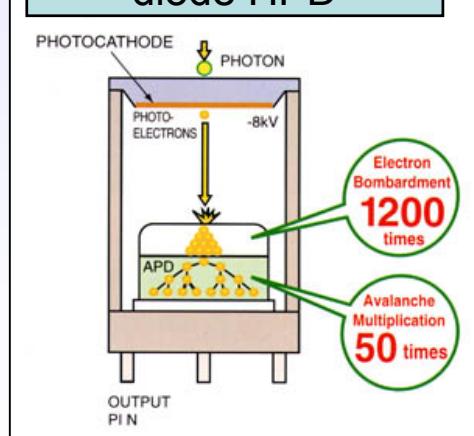
extra slide  
not shown

3b Photo-detection

Single-diode  
cross-focussing

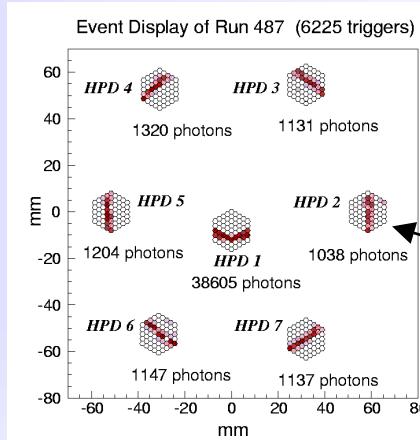


Single avalanche  
diode HPD

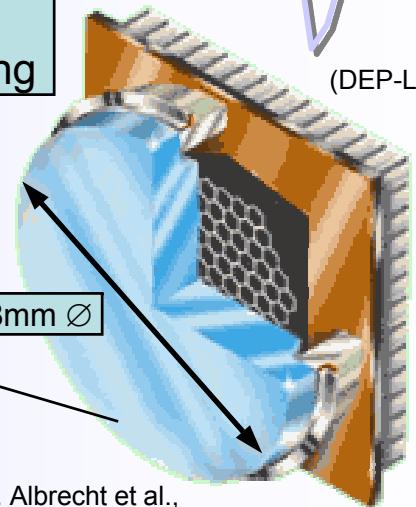


(Hamamatsu)

Multi-pixel  
proximity-focussing



(E. Albrecht et al.,  
NIMA A 411 (1998) 249-264)



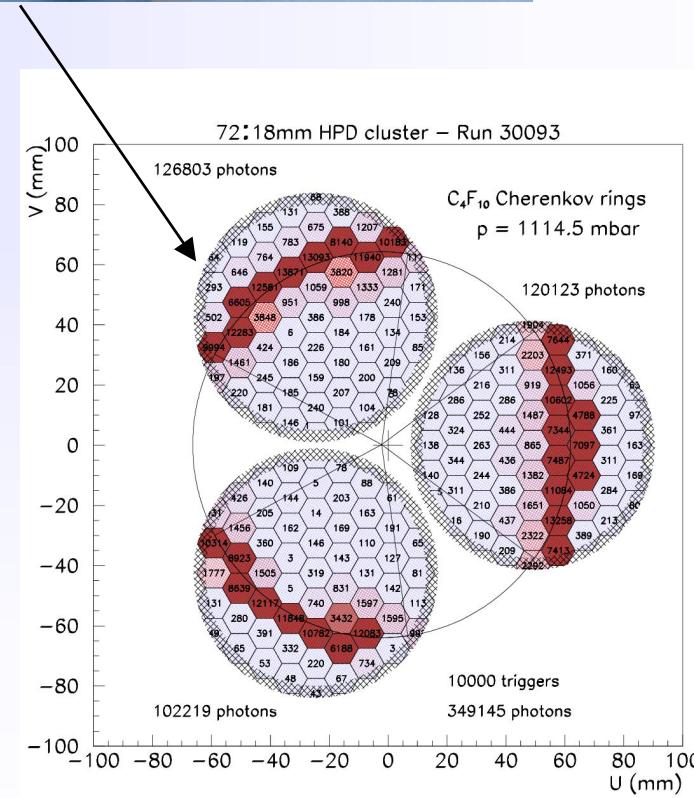
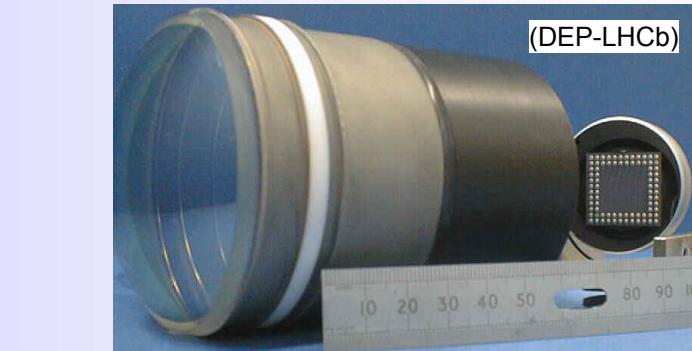
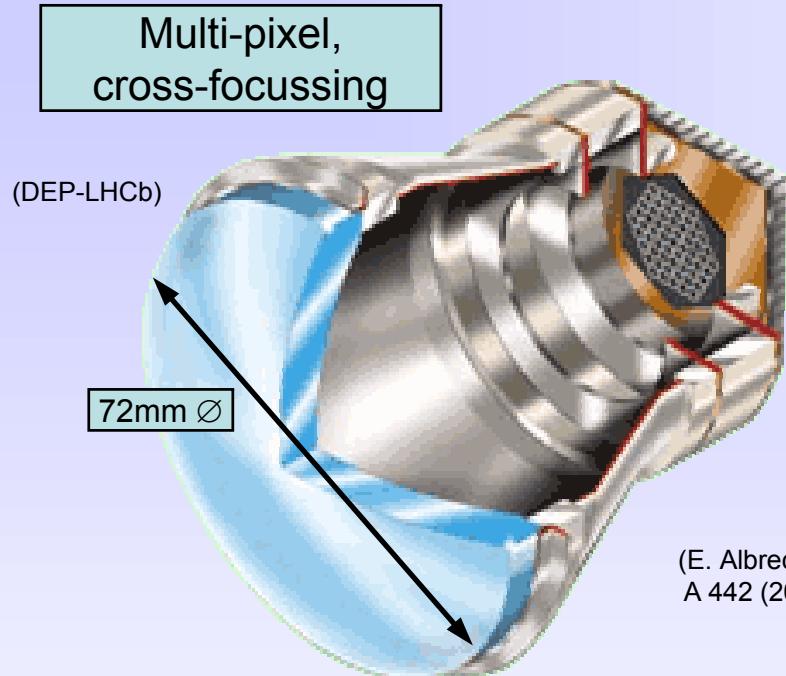
## DEP-LHCb development:

- Multi-alkali photo-cathode;
- Commercial anode with 61 2mm-pixels; vacuum feed-throughs to external analog (VA2) readout electronics;
- Proximity-focussing electron optics;
- Poor intrinsic active area coverage (~50%);

# Various kinds of commercial HPD's

extra slide  
not shown

3b Photo-detection



## DEP-LHCb development:

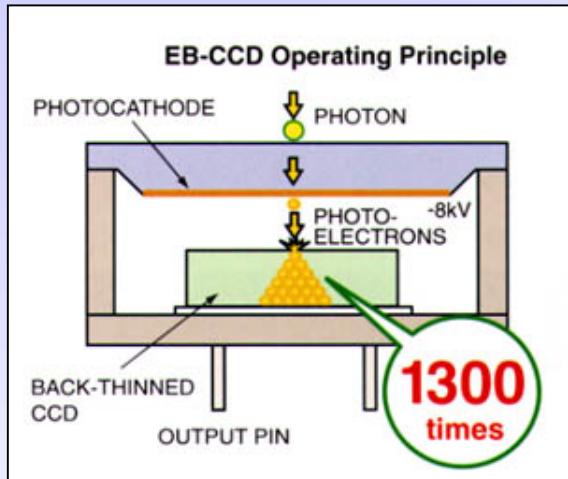
- Commercial anode;
- Cross-focussing electron optics (de-magnification by ~5);
- High intrinsic active area coverage (83%);



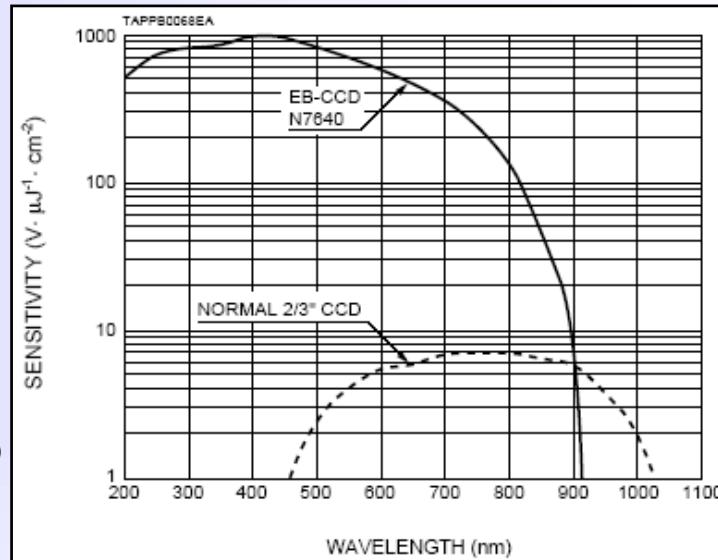
# Electron-bombarded CCD (EBCCD)

3b Photo-detection

## EBCCD proximity-focussed



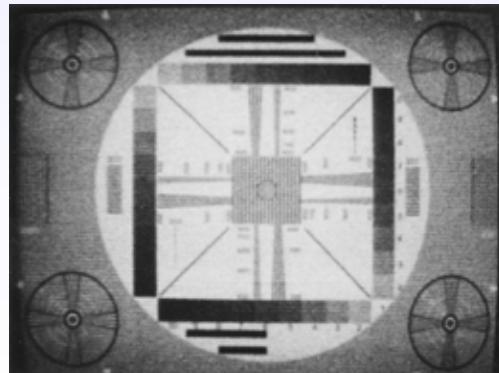
(Hamamatsu)



## Commercial 2/3" CCD



## Hamamatsu N7640 EB-CCD



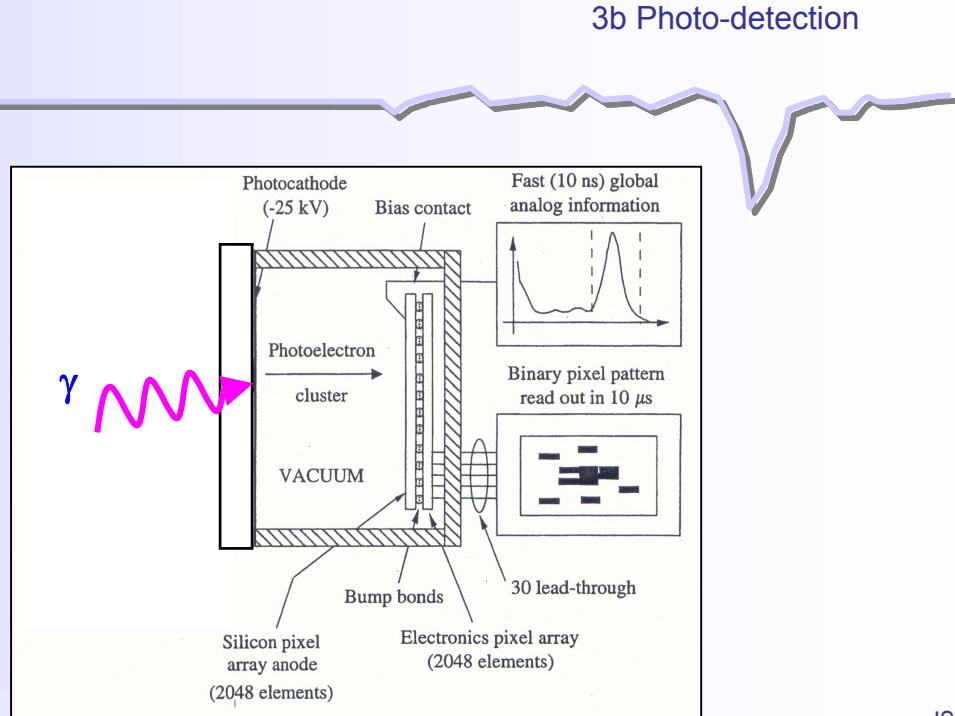
Object illuminance: 0.1lx



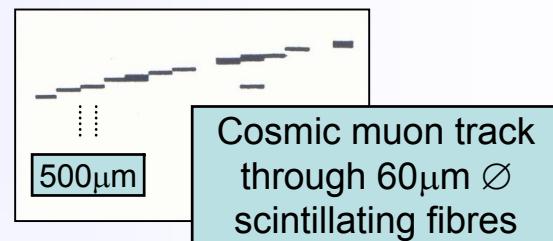
# ISPA-tube

## Imaging with Silicon Pixel Array:

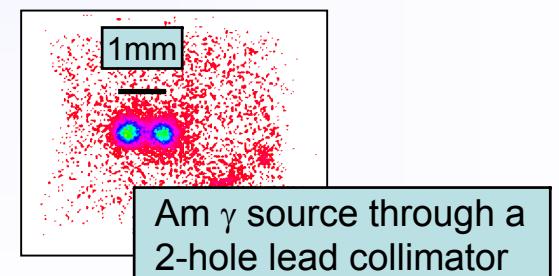
- Pixel array sensor bump-bonded to binary electronic chip, developed for tracking (CERN-RD19);
- Flip-chip assembly encapsulated inside vacuum tube using standard parts, commercial ceramic carriers and packaging techniques;
- First ISPA prototype (1994) used to read small-diameter scintillating fibres developed for tracking (CERN-RD7);
- Spin-off applications for beta- and gamma-detection (quartz and YAP-crystal windows)



(T. Gys et al., NIMA 355  
(1995) 386-389)



(F. Cindolo et al., IEEE TNS , Vol. 50,  
No. 1, February 2003, 126-132)



CERN Academic Training Programme 2004/2005

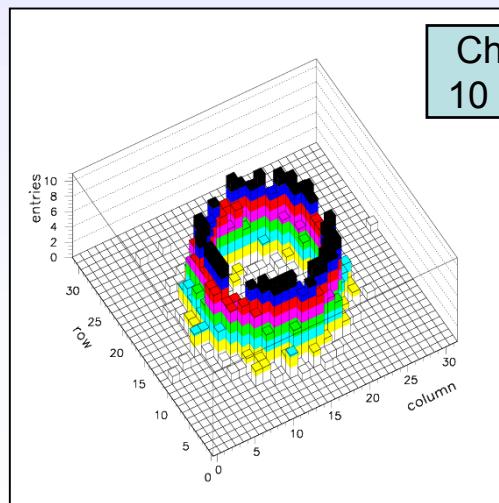
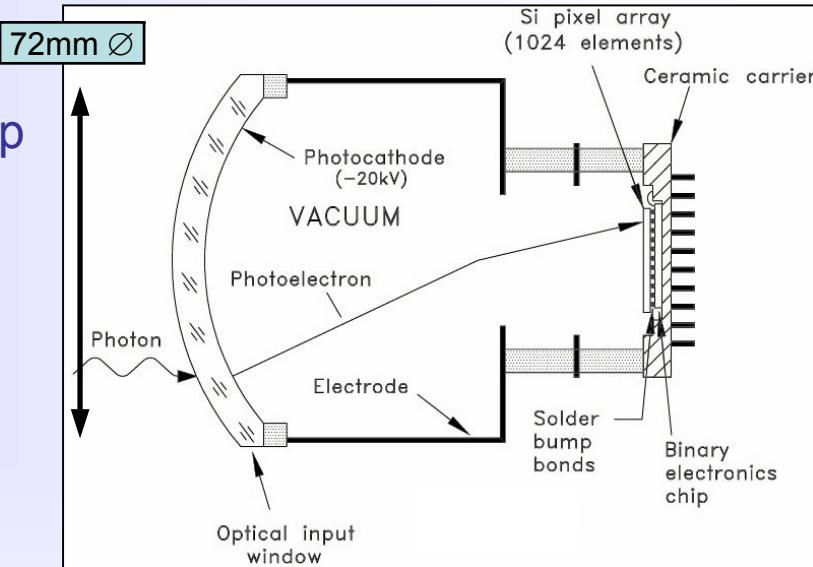


# Pixel-HPD's for LHCb RICH's

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## Industry-LHCb development:

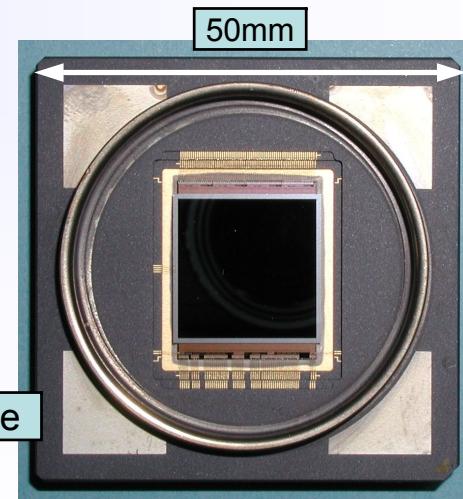
- LHCb-dedicated pixel array sensor bump-bonded to binary electronic chip (in coll. w. ALICE-ITS), specially developed high T° bump-bonding;
- Flip-chip assembly encapsulated inside vacuum tube using full-custom ceramic carrier;



Cherenkov rings from  
10 GeV/c  $\pi^-$  through air

(M. Moritz et al., IEEE TNS Vol. 51,  
No. 3., June 2004, 1060-1066)

Pixel-HPD anode





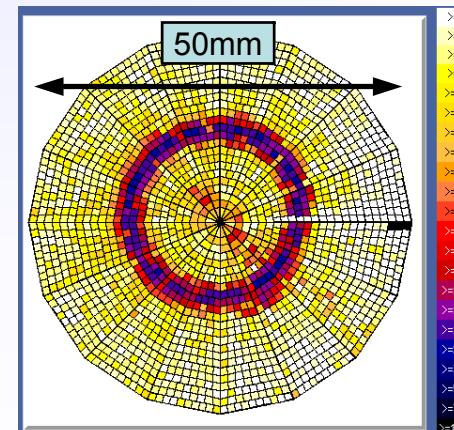
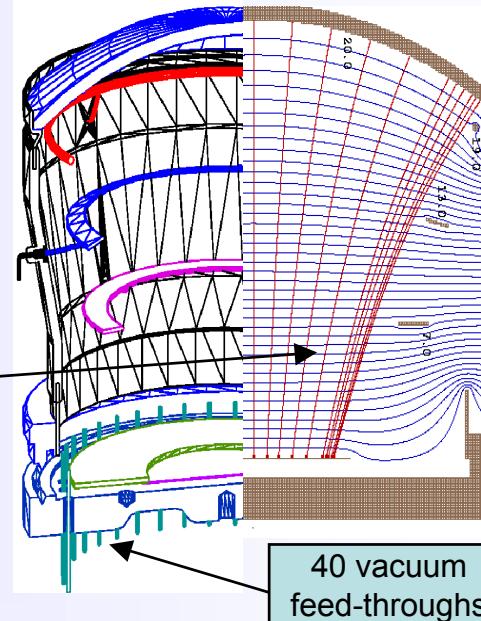
# The pad HPD for RICH detectors

Full in-house (LHCb, CERN, Bologna, CdF) development:

- Aim for active area > 80%; (LHCb 98-007, RICH)
- Bi-alkali photo-cathode;
- “Fountain” focussing electron optics (de-magnification ~2.4);
- Si detector:  $16 \times 128 = 2048$  pads ( $\sim 1 \times 1 \text{ mm}^2$  each);
- Analogue electronics (16 VA3 chips) encapsulated inside vacuum tube;
- Standard Al wedge bonding;

extra slide  
not shown

5" (127mm) Ø



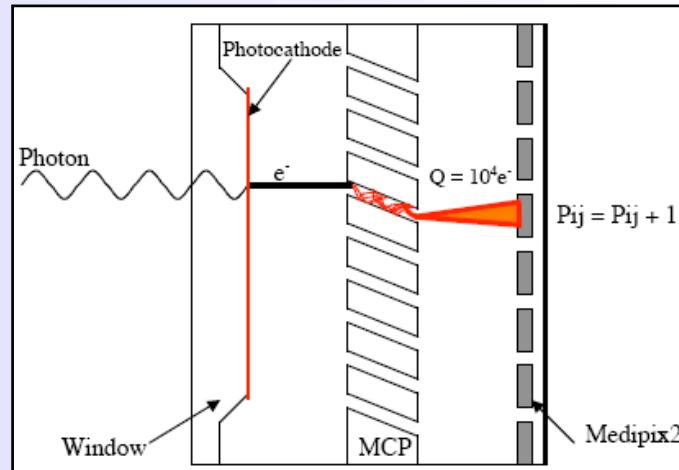
# Hybrid MCP for adaptive optics (AO)

extra slide  
not shown

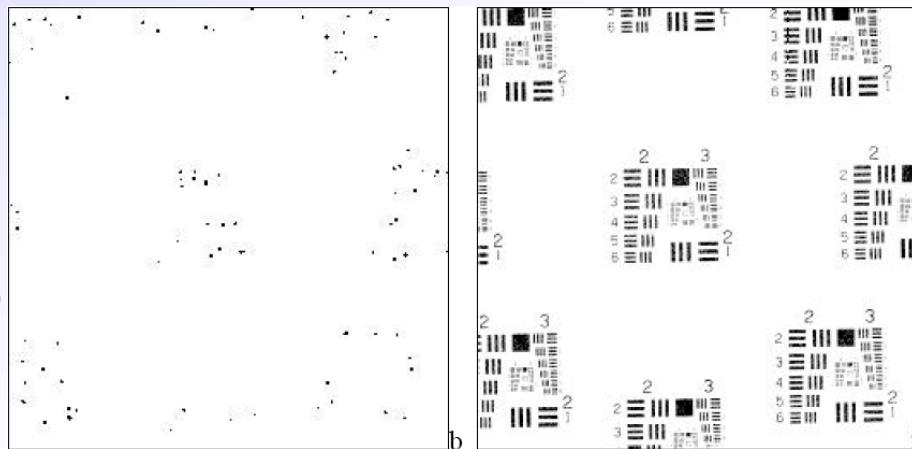
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Development of next-generation astronomical AO:

- Alternative to replace more conventional high-speed CCD's;
- Aim for IR response, ultra-low noise and several kHz frame-rates;
- GaAs photo-cathode;
- Proximity-focussing electron optics;
- High-gain wide dynamic range MCP;
- Anode: Medipix2 photon-counting chip used both as direct electron detector ( $55\mu\text{m}$  pixels) and FE readout electronics;



(J. Vallerga et al., Proc. SPIE, vol. 5490 (2004) 1256-1267)



Images of USAF test pattern,  
100ms (left) and 100s (right) exposures,  
50k MCP gain



# Literature

3b Photo-detection

Non-exhaustive list:

- [www.photonis.com](http://www.photonis.com): “Photomultiplier tubes, principles and applications”;
- [www.hamamatsu.com](http://www.hamamatsu.com);
- [www.dep.nl](http://www.dep.nl);
- A.H. Sommer, “Photoemissive materials”, J. Wiley & Sons (1968);
- H. Bruining, “Physics and Applications of Secondary Electron Emission”, Pergamon Press (1954);
- I. P. Csorba, “Image Tubes”, Sams (1985);
- Proceedings of the Beaune Conferences (1996-1999-2002) on “New Developments in Photo-detection”, published in NIMA;