# INTRODUCTION TO PARTICLE PHYSICS

### From atoms to quarks

An elementary historical review of concepts, discoveries and achievements

#### **Recommended reading:**

D.H. Perkins, Introduction to High Energy Physics F.E. Close, The cosmic onion

#### The "elementary particles" in the 19<sup>th</sup> century:

#### The Atoms of the 92 Elements

- 1. Hydrogen
- 2. Helium
- 3. Lithium

92. Uranium

Mass  $M_H \approx 1.7 \times 10^{-24} g$ 



Mass  $\approx 238 \, \mathrm{M_H}$ 

#### Estimate of a typical atomic radius

$$n = \frac{N_A}{A} \rho$$

Number of atoms /cm<sup>3</sup>:  $n = \frac{N_A}{A} \rho$   $\begin{cases} N_A \approx 6 \times 10^{23} \text{ mol}^{-1} \text{ (Avogadro costant)} \\ A: \text{ molar mass} \\ \rho: \text{ density} \end{cases}$ 

Atomic volume: 
$$V = \frac{4}{3}\pi R^3$$
 Packing fraction:  $f \approx 0.52 - 0.74$ 

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$$R = \left(\frac{3f}{4\pi n}\right)^{1/3}$$
 Example: Iron (A = 55.8 g;  $\rho$  = 7.87 g cm<sup>-3</sup>)  

$$R = (1.1 - 1.3) \times 10^{-8} \text{ cm}$$

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#### 1894 – 1897: Discovery of the electron

Study of "cathode rays": electric current in tubes at very low gas pressure ("glow discharge")

Measurement of the electron mass:  $m_e \approx M_H/1836$ 

"Could anything at first sight seem more impractical than a body which is so small that its mass is an insignificant fraction of the mass of an atom of hydrogen?" (J.J. Thomson)



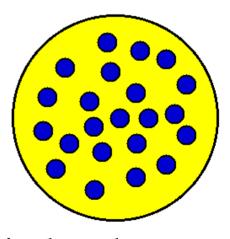
J.J. Thomson



#### ATOMS ARE NOT ELEMENTARY

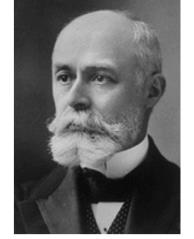
#### Thomson's atomic model:

- Electrically charged sphere
- Radius ~ 10<sup>-8</sup> cm
- Positive electric charge
- Electrons with negative electric charge embedded in the sphere



## 1896: <u>Discovery of natural radioactivity</u> (Henri Becquerel)

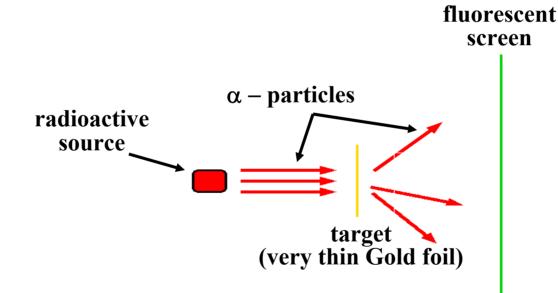
1909 – 13: Rutherford's scattering experiments
Discovery of the atomic nucleus



Henri Becquerel



**Ernest Rutherford** 

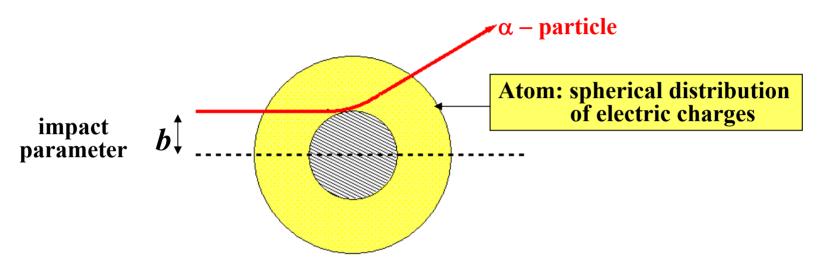




 $\alpha$  – particles: nuclei of Helium atoms spontaneously emitted by heavy radioactive isotopes Typical  $\alpha$  – particle velocity  $\approx 0.05 \, c$  (c: speed of light)

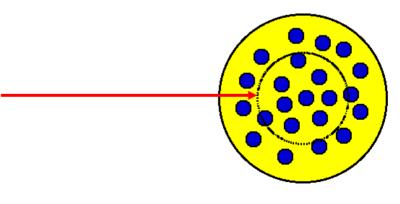
#### Expectations for $\alpha$ – atom scattering

 $\alpha$  – atom scattering at low energies is dominated by Coulomb interaction



 $\alpha$  – particles with impact parameter = b "see" only electric charge within sphere of radius = b (Gauss theorem for forces proportional to  $r^{-2}$ )

For Thomson's atomic model the electric charge "seen" by the  $\alpha$  – particle is zero, independent of impact parameter



⇒ no significant scattering at large angles is expected

#### **Rutherford's observation:**

significant scattering of  $\alpha$  – particles at large angles, consistent with scattering expected for a sphere of radius  $\approx$  few x 10<sup>-13</sup> cm and electric charge = Ze, with Z = 79 (atomic number of gold) and e = |charge of the electron|

an atom consists of a positively charged nucleus surrounded by a cloud of electrons

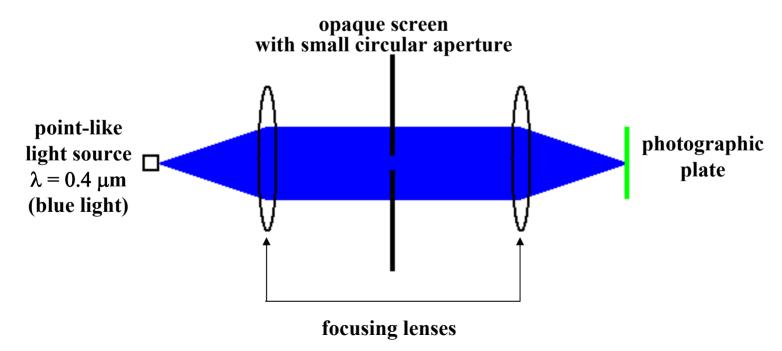
Nuclear radius  $\approx 10^{-13}$  cm  $\approx 10^{-5}$  x atomic radius Mass of the nucleus  $\approx$  mass of the atom (to a fraction of 1%)

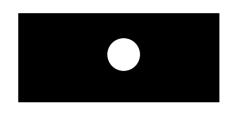
#### **Two questions:**

- Why did Rutherford need α particles to discover the atomic nucleus?
- Why do we need huge accelerators to study particle physics today?

**Answer to both questions from basic principles of Quantum Mechanics** 

#### Observation of very small objects using visible light



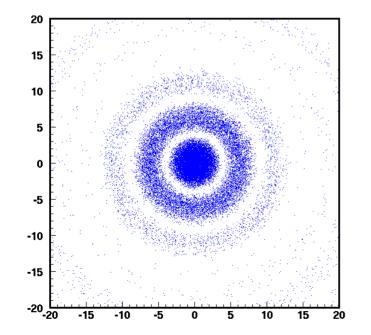


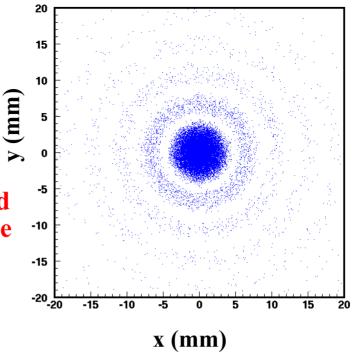
Aperture diameter:  $D = 20 \mu m$ 

Focal length: 20 cm

Observation of light diffraction, interpreted as evidence that light consists of waves since the end of the 17<sup>th</sup> century Angular aperture of the first circle (before focusing):

$$\alpha = 1.22 \lambda / D$$



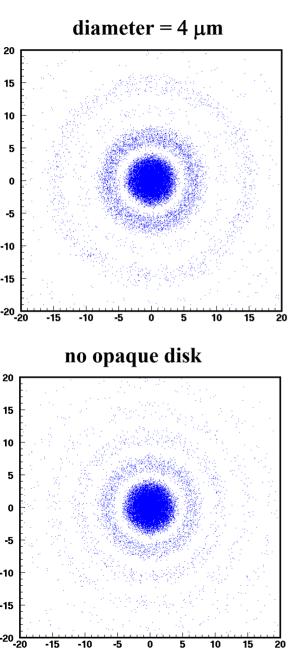


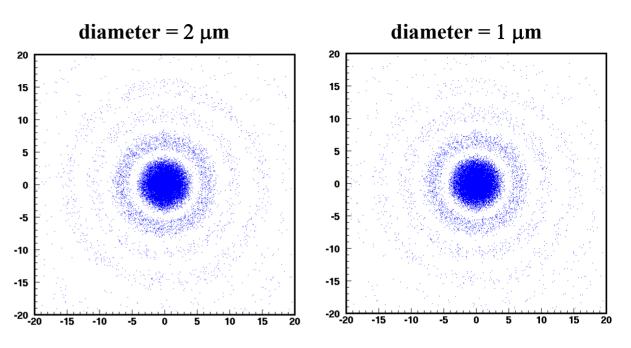


Opaque disk, diam. 10 μm in the centre

Presence of opaque disk is detectable

#### Opaque disk of variable diameter

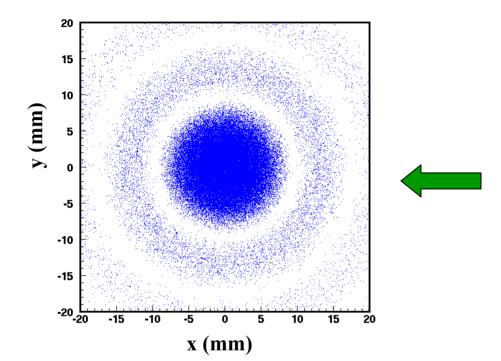




The presence of the opaque disk in the centre is detectable if its diameter is larger than the wavelength  $\lambda$  of the light

The <u>RESOLVING POWER</u> of the observation depends on the wavelength  $\lambda$  Visible light: not enough resolution to see objects smaller than  $0.2-0.3~\mu m$ 

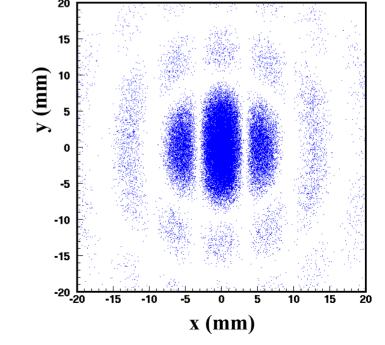
## Opaque screen with two circular apertures



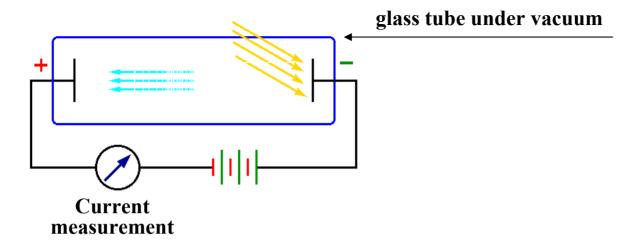
aperture diameter: 10 μm distance between centres: 15 μm

Image obtained by shutting one aperture alternatively for 50% of the exposure time

Image obtained with both apertures open simultaneously



#### **Photoelectric effect:** evidence that light consists of particles



Observation of a threshold effect as a function of the frequency of the light impinging onto the electrode at negative voltage (cathode):

Frequency  $v < v_0$ : electric current = zero, independent of luminous flux;

Frequency  $v > v_0$ : current > 0, proportional to luminous flux

#### **INTERPRETATION** (A. Einstein):

- Light consists of particles ("photons")
- Photon energy proportional to frequency:

$$E = h v$$
 (Planck constant  $h = 6.626 \times 10^{-34} \text{ J s}$ )

■ Threshold energy  $E_0 = hv_0$ : the energy needed to extract an electron from an atom (depends on the cathode material)



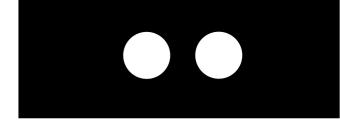
**Albert Einstein** 

Repeat the experiment with two circular apertures using a very weak light source

Luminous flux = 1 photon /second

(detectable using modern, commercially available photomultiplier tubes)

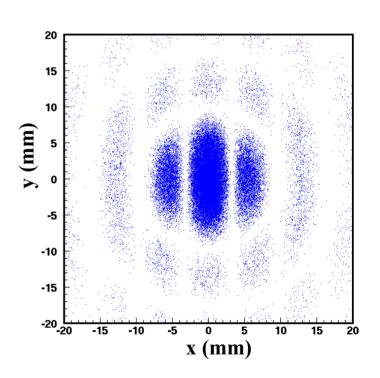
Need very long exposure time



aperture diameter: 10 μm distance between centres: 15 μm

**Question:** which aperture will photons choose?

**Answer:** diffraction pattern corresponds to both apertures simultaneously open, independent of luminous flux



Photons have both particle and wave properties simultaneously It is impossible to know which aperture the photon traversed The photon can be described as a coherent superposition of two states

#### 1924: De Broglie's principle

Not only light, but also matter particles possess both the properties of waves and particles

Relation between wavelength and momentum:



Louis de Broglie

$$\lambda = \frac{h}{p}$$
 $h: Planck constant$ 
 $p = m \text{ v : particle momentum}$ 

Hypothesis soon confirmed by the observation of diffraction pattern in the scattering of electrons from crystals, confirming the wave behaviour of electrons (Davisson and Germer, 1927)

Wavelength of the  $\alpha$  – particles used by Rutherford in the discovery of the atomic nucleus:

#### Typical tools to study objects of very small dimensions

|                            |                                | Resolving power        |
|----------------------------|--------------------------------|------------------------|
| <b>Optical microscopes</b> | Visible light                  | ~ 10 <sup>-4</sup> cm  |
| Electron microscopes       | Low energy electrons           | ~ 10 <sup>-7</sup> cm  |
| Radioactive sources        | α–particles                    | ~ 10 <sup>-12</sup> cm |
| Accelerators               | High energy electrons, protons | ~ 10 <sup>-16</sup> cm |

#### Units in particle physics

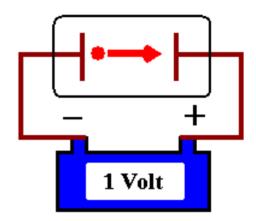
#### Energy

#### 1 electron-Volt (eV):

the energy of a particle with electric charge = |e|, initially at rest, after acceleration by a difference of electrostatic potential = 1 Volt

$$(e = 1.60 \times 10^{-19} \text{ C})$$

$$1 \text{ eV} = 1.60 \times 10^{-19} \text{ J}$$



#### **Multiples:**

$$1 \text{ keV} = 10^3 \text{ eV}$$
;  $1 \text{ MeV} = 10^6 \text{ eV}$ 

1 keV = 
$$10^3$$
 eV; 1 MeV =  $10^6$  eV  
1 GeV =  $10^9$  eV; 1 TeV =  $10^{12}$  eV

Energy of a proton in the LHC (in the year 2007):

$$7 \text{ TeV} = 1.12 \times 10^{-6} \text{ J}$$

(the same energy of a body of mass = 1 mg moving at speed = 1.5 m/s)

#### **Energy and momentum for relativistic particles** (velocity v comparable to c)

Speed of light in vacuum  $c = 2.99792 \times 10^8 \text{ m/s}$ 

Total energy: 
$$E = mc^2 = \frac{m_0 c^2}{\sqrt{1 - (v/c)^2}}$$
 (m: relativistic mass)

Expansion in powers of (v/c): 
$$E = m_0 c^2 + \frac{1}{2} m_0 v^2 + ...$$
 energy associated with rest mass "classical" kinetic energy

Momentum: 
$$p = mv = \frac{m_0 V}{\sqrt{1 - (v/c)^2}}$$

$$\frac{pc}{E} = \frac{V}{c} \equiv \beta$$

$$E^2 - p^2c^2 = (m_0c^2)^2$$
 "relativistic invariant" (same value in all reference frames)

Special case: the photon (v = c in vacuum)

$$E = h v$$
  
 $\lambda = h/p$ 

$$E/p = v \lambda = c \text{ (in vacuum)}$$

$$E^2 - p^2 c^2 = 0$$

$$photon rest mass  $m_{\gamma} = 0$$$

Momentum units: eV/c (or MeV/c, GeV/c, ...)

Mass units:  $eV/c^2$  (or  $MeV/c^2$ ,  $GeV/c^2$ , ...)

Numerical example: electron with v = 0.99 c

Rest mass:  $m_e = 0.511 \text{ MeV}/c^2$ 

$$\gamma \equiv \frac{1}{\sqrt{1 - (v/c)^2}} = 7.089$$
 (often called "Lorentz factor")

Total energy:  $E = \gamma m_e c^2 = 7.089 \times 0.511 = 3.62 \text{ MeV}$ 

Momentum:  $p = (v / c) \times (E / c) = 0.99 \times 3.62 = 3.58 \text{ MeV/}c$ 

#### First (wrong) ideas about nuclear structure (before 1932)

#### **Observations**

- Mass values of light nuclei ≈ multiples of proton mass (to few %)
   (proton ≡ nucleus of the hydrogen atom)
- $\blacksquare$   $\beta$  decay: spontaneous emission of electrons by some radioactive nuclei

**Hypothesis:** the atomic nucleus is a system of protons and electrons strongly bound together

Nucleus of the atom with atomic number Z and mass number A: a bound system of A protons and (A - Z) electrons

Total electric charge of the nucleus = [A - (A - Z)]e = Ze

<u>Problem with this model</u>: the "Nitrogen anomaly" Spin of the Nitrogen nucleus = 1

Spin: intrinsic angular momentum of a particle (or system of particles) In Quantum Mechanics only integer or half-integer multiples of  $\hbar \equiv (h/2\pi)$  are possible:

- integer values for orbital angular momentum (e.g., for the motion of atomic electrons around the nucleus)
- both integer and half-integer values for spin

Electron, proton spin =  $\frac{1}{2}\hbar$  (measured) Nitrogen nucleus (A = 14, Z = 7): 14 protons + 7 electrons = 21 spin  $\frac{1}{2}$  particles TOTAL SPIN MUST HAVE HALF-INTEGER VALUE Measured spin = 1

#### **DISCOVERY OF THE NEUTRON** (Chadwick, 1932)

Neutron: a particle with mass ≈ proton mass but with zero electric charge

Solution to the nuclear structure problem:

Nucleus with atomic number Z and mass number A:

a bound system of Z protons and (A - Z) neutrons



**James Chadwick** 

Nitrogen anomaly: no problem if neutron spin =  $\frac{1}{2}\hbar$ 

Nitrogen nucleus (A = 14, Z = 7): 7 protons, 7 neutrons = 14 spin  $\frac{1}{2}$  particles

 $\Rightarrow$  total spin has integer value

Neutron source in Chadwick's experiments: a  $^{210}$ Po radioactive source (5 MeV  $\alpha$  – particles) mixed with Beryllium powder  $\Rightarrow$  emission of electrically neutral radiation capable of traversing several centimetres of Pb:

$$^{4}$$
He<sub>2</sub> +  $^{9}$ Be<sub>4</sub> →  $^{12}$ C<sub>6</sub> + neutron  
 $^{\alpha}$  - particle

#### **Basic principles of particle detection**

#### Passage of charged particles through matter

**Interaction with atomic electrons** 



ionization

(neutral atom  $\rightarrow$  ion<sup>+</sup> + free electron)



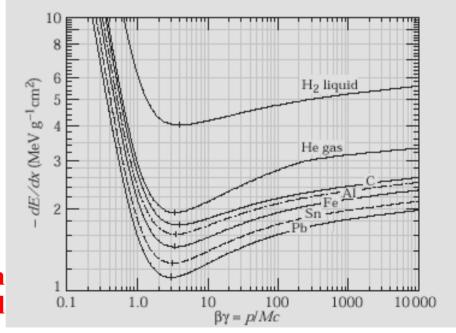
**excitation of atomic energy levels** (de-excitation → photon emission)

Ionization + excitation of atomic energy levels energy loss

Mean energy loss rate -dE/dx

- proportional to (electric charge)<sup>2</sup>
   of incident particle
- for a given material, function only of incident particle velocity
- typical value at minimum:  $-dE/dx = 1 - 2 \text{ MeV}/(\text{g cm}^{-2})$

NOTE: traversed thickness (dx) is given in  $g/cm^2$  to be independent of material density (for variable density materials,



such as gases) – multiply dE/dx by density (g/cm<sup>3</sup>) to obtain dE/dx in MeV/cm

#### Residual range

Residual range of a charged particle with initial energy  $E_0$  losing energy only by ionization and atomic excitation:

$$R = \int_{0}^{R} dx = \int_{E_{0}}^{Mc^{2}} \frac{1}{dE/dx} dE = MF(v)$$

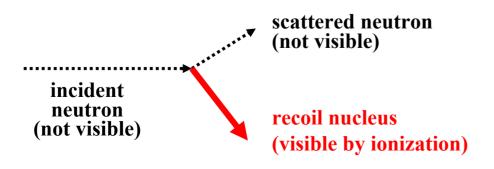
$$\begin{cases}
M: \text{ particle rest mass } \\
v: \text{ initial velocity } \\
E_{0} = Mc^{2}/\sqrt{1 - (v/c)^{2}}
\end{cases}$$

⇒ the measurement of R for a particle of known rest mass M is a measurement of the initial velocity

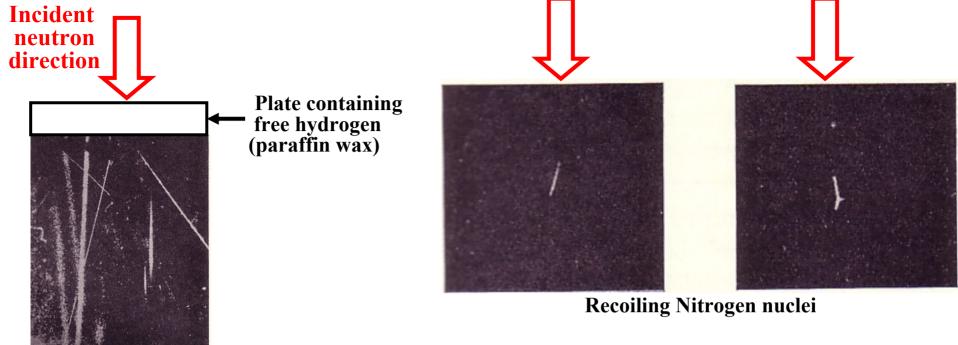
Passage of neutral particles through matter: no interaction with atomic electrons ⇒ detection possible only in case of collisions producing charged particles

#### **Neutron discovery:**

observation and measurement of nuclear recoils in an "expansion chamber" filled with Nitrogen at atmospheric pressure



An old gaseous detector based on an expanding vapour; ionization acts as seed for the formation of liquid drops. Tracks can be photographed as strings of droplets



Assume that incident neutral radiation consists of particles of mass m moving with velocities  $v < V_{max}$ 

proton tracks ejected from paraffin wax

Determine max. velocity of recoil protons  $(U_{\rm p})$  and Nitrogen nuclei  $(U_{\rm N})$  from max. observed range

$$U_{\rm p} = \frac{2m}{m + m_{\rm p}} V_{\rm max} \qquad U_{\rm N} = \frac{2m}{m + m_{\rm N}} V_{\rm max} \qquad \begin{array}{c} \text{From non-relativistic energy-momentum} \\ \text{conservation} \\ m_{\rm p} \text{: proton mass; } m_{\rm N} \text{: Nitrogen nucleus mass} \end{array}$$

$$\frac{U_{\rm p}}{U_{\rm N}} = \frac{m + m_{\rm N}}{m + m_{\rm p}}$$
 From measured ratio  $U_{\rm p}/U_{\rm N}$  and known values of  $m_{\rm p}, m_{\rm N}$  determine neutron mass:  $m \equiv m_{\rm n} \approx m_{\rm p}$ 

Present mass values :  $m_p = 938.272 \text{ MeV}/c^2$ ;  $m_n = 939.565 \text{ MeV}/c^2$ 

#### Pauli's exclusion principle

In Quantum Mechanics the electron orbits around the nucleus are "quantized": only some specific orbits (characterized by integer quantum numbers) are possible.

Example: allowed orbit radii and energies for the Hydrogen atom

$$R_{n} = \frac{4\pi\varepsilon_{0}\hbar^{2}n^{2}}{me^{2}} \approx 0.53 \times 10^{-10}n^{2} \text{ [m]}$$

$$E_{n} = -\frac{me^{4}}{2(4\pi\varepsilon_{0})^{2}\hbar^{2}n^{2}} \approx -\frac{13.6}{n^{2}} \text{ [eV]}$$

$$m = m_{e}m_{p}/(m_{e} + m_{p})$$

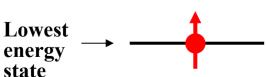
$$n = 1, 2, .....$$

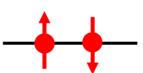
In atoms with Z > 2 only two electrons are found in the innermost orbit – WHY?

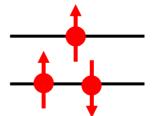
ANSWER (Pauli, 1925): two electrons (spin =  $\frac{1}{2}$ ) can never be in the same physical state

in the same physical state

Hydrogen 
$$(Z = 1)$$
 Helium  $(Z = 2)$  Lithium  $(Z = 3)$  .....









**Wolfgang Pauli** 

Pauli's exclusion principle applies to <u>all</u> particles with half-integer spin (collectively named Fermions)

#### **ANTIMATTER**

Discovered "theoretically" by P.A.M. Dirac (1928)

#### Dirac's equation: a relativistic wave equation for the electron

#### Two surprising results:

P.A.M. Dirac

- Motion of an electron in an electromagnetic field:

  presence of a term describing (for slow electrons) the

  potential energy of a magnetic dipole moment in a magnetic field

  a existence of an intrinsic electron magnetic dipole moment enposite to
  - ⇒ existence of an intrinsic electron magnetic dipole moment opposite to spin

electron spin
electron
magnetic dipole
moment 
$$\mu_e$$

$$\mu_e = \frac{e\hbar}{2m_e} \approx 5.79 \times 10^{-5} \text{ [eV/T]}$$

■ For each solution of Dirac's equation with electron energy E > 0 there is another solution with E < 0

What is the physical meaning of these "negative energy" solutions?

#### Generic solutions of Dirac's equation: complex wave functions $\Psi(\vec{r},t)$

In the presence of an electromagnetic field, for each negative-energy solution the complex conjugate wave function  $\Psi^*$  is a positive-energy solution of Dirac's equation for an electron with opposite electric charge (+e)

#### **Dirac's assumptions:**

- nearly all electron negative-energy states are occupied and are not observable.
- electron transitions from a positive-energy to an occupied negative-energy state are forbidden by Pauli's exclusion principle.
- electron transitions from a positive-energy state to an empty negative-energy state are allowed  $\Rightarrow$  electron disappearance. To conserve electric charge, a positive electron (positron) must disappear  $\Rightarrow$  e<sup>+</sup>e<sup>-</sup> annihilation.
- electron transitions from a negative-energy state to an empty positive-energy state are also allowed  $\Rightarrow$  electron appearance. To conserve electric charge, a positron must appear  $\Rightarrow$  creation of an  $e^+e^-$  pair.
- ⇒ empty electron negative—energy states describe positive energy states of the positron

Dirac's perfect vacuum: a region where all positive-energy states are empty and all negative-energy states are full.

Positron magnetic dipole moment =  $\mu_e$  but oriented parallel to positron spin

#### **Experimental confirmation of antimatter**

(C.D. Anderson, 1932)

<u>Detector</u>: a Wilson cloud – chamber (visual detector based on a gas volume containing vapour close to saturation) in a magnetic field, exposed to cosmic rays



Carl D. Anderson

Measure particle momentum and sign of electric charge from magnetic curvature

Lorentz force 
$$\vec{f} = e\vec{\mathbf{v}} \times \vec{B}$$
 projection of the particle trajectory in a plane perpendicular to  $\vec{B}$  is a circle

Circle radius for electric charge |e|: 
$$R[m] = \frac{10p_{\perp}[\text{GeV/c}]}{3B[\text{T}]}$$

 $p_{\perp}$ : momentum component perpendicular to magnetic field direction

NOTE: impossible to distinguish between positively and negatively charged particles going in opposite direction

⇒ need an independent determination of the particle direction of motion

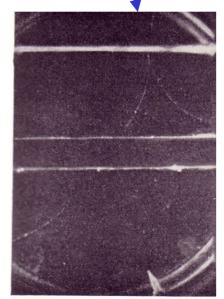
$$+e$$

First experimental observation of a positron

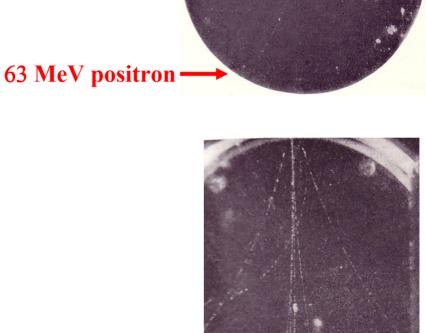
6 mm thick Pb plate

23 MeV positron

direction of high-energy photon



Production of an electron-positron pair by a high-energy photon in a Pb plate

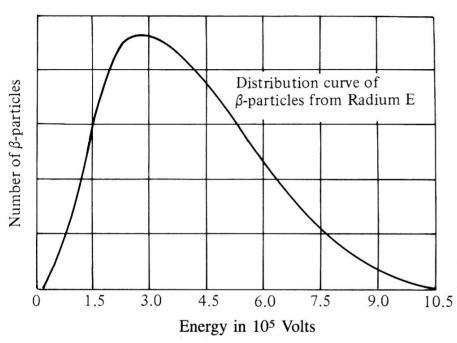


Cosmic-ray "shower" containing several e<sup>+</sup> e<sup>-</sup> pairs

#### **Neutrinos**

#### A puzzle in $\beta$ – decay: the continuous electron energy spectrum

#### First measurement by Chadwick (1914)



Radium E: <sup>210</sup>Bi<sub>83</sub> (a radioactive isotope produced in the decay chain of <sup>238</sup>U)

If  $\beta$  – decay is  $(A, Z) \rightarrow (A, Z+1) + e^-$ , then the emitted electron is mono-energetic: electron total energy  $E = [M(A, Z) - M(A, Z+1)]c^2$ 

(neglecting the kinetic energy of the recoil nucleus  $\frac{1}{2}p^2/M(A,Z+1) \ll E$ )

Several solutions to the puzzle proposed before the 1930's (all wrong), including violation of energy conservation in  $\beta$  – decay

#### December 1930: public letter sent by W. Pauli to a physics meeting in Tübingen

Zürich, Dec. 4, 1930

Dear Radioactive Ladies and Gentlemen,

...because of the "wrong" statistics of the N and <sup>6</sup>Li nuclei and the continuous β-spectrum, I have hit upon a desperate remedy to save the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin ½ and obey the exclusion principle ..... The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous β-spectrum would then become understandable by the assumption that in β-decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and electron is constant. ...... For the moment, however, I do not dare to publish anything on this idea ...... So, dear Radioactives, examine and judge it. Unfortunately I cannot appear in Tübingen personally, since I am indispensable here in Zürich because of a ball on the night of 6/7 December. ....

W. Pauli

#### **NOTES**

- Pauli's neutron is a light particle ⇒ not the neutron that will be discovered by Chadwick one year later
- As everybody else at that time, Pauli believed that if radioactive nuclei emit particles, these particles must exist in the nuclei before emission

#### Theory of $\beta$ -decay (E. Fermi, 1932-33)

 $\beta$ -decay:  $n \rightarrow p + e^- + \overline{\nu}$ 

 $\beta^+$  decay:  $p \to n + e^+ + \nu$  (e.g.,  $^{14}O_8 \to ^{14}N_7 + e^+ + \nu$ )

v: the particle proposed by Pauli

(named "neutrino" by Fermi)

 $\overline{v}$ : its antiparticle (antineutrino)



Enrico Fermi

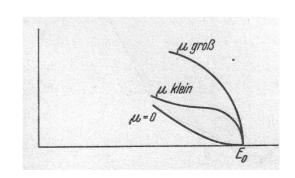
Fermi's theory: a point interaction among four spin ½ particles, using the mathematical formalism of creation and annihilation operators invented by Jordan

 $\Rightarrow$  particles emitted in  $\beta$  – decay need not exist before emission – they are "created" at the instant of decay

Prediction of  $\beta$  – decay rates and electron energy spectra as a function of only one parameter: Fermi coupling constant  $G_F$  (determined from experiments)

Energy spectrum dependence on neutrino mass µ (from Fermi's original article, published in German on Zeitschrift für Physik, following rejection of the English version by Nature)

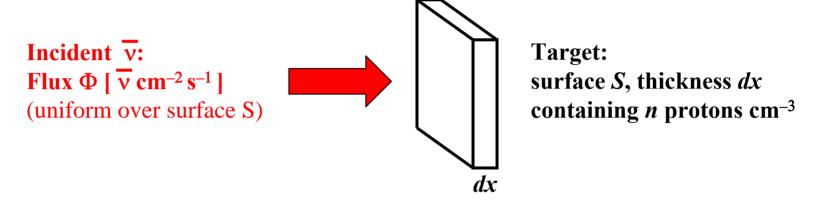
Measurable distortions for  $\mu > 0$  near the end-point  $(E_{\theta}$ : max. allowed electron energy)



#### **Neutrino detection**

Prediction of Fermi's theory:  $\overline{v} + p \rightarrow e^+ + n$ 

 $\overline{v}$  – p interaction probability in thickness dx of hydrogen-rich material (e.g.,  $H_2O$ )



 $\overline{\mathbf{v}}$  p interaction rate =  $\Phi S n \sigma dx$  interactions per second

 $\sigma: \overline{v}$  – proton cross-section (effective proton area, as seen by the incident  $\overline{v}$ )

 $\overline{\mathbf{v}}$  p interaction probability =  $n \circ dx = dx / \lambda$ 

Interaction mean free path:  $\lambda = 1 / n \sigma$ 

Interaction probability for finite target thickness  $T = 1 - \exp(-T/\lambda)$ 

 $\sigma(\overline{\nu} p) \approx 10^{-43} \text{ cm}^2 \text{ for 3 MeV } \overline{\nu} \implies \lambda \approx 150 \text{ light-years of water !}$ 

Interaction probability  $\approx T/\lambda$  very small (~10<sup>-18</sup> per metre H<sub>2</sub>O)  $\Rightarrow$  need very intense sources for antineutrino detection

#### **Nuclear reactors:** very intense antineutrino sources

Average fission: 
$$n + {}^{235}U_{92} \rightarrow (A_1, Z) + (A_2, 92 - Z) + 2.5$$
 free neutrons + 200 MeV nuclei with large neutron excess

 $\blacksquare$  a chain of  $\beta$  decays with very short lifetimes:

$$(A, Z) \xrightarrow{e^- \overline{v}} (A, Z + 1) \xrightarrow{e^- \overline{v}} (A, Z + 2) \xrightarrow{e^- \overline{v}} \dots$$
 (until a stable or long lifetime nucleus is reached)

On average,  $6 \bar{v}$  per fission

$$\overline{v}$$
 production rate =  $\frac{6P_t}{200 \text{ MeV} \times 1.6 \times 10^{-13}} = 1.87 \times 10^{11} P_t \overline{v}/\text{s}$ 

 $P_t$ : reactor thermal power [W]

For a typical reactor: 
$$P_t = 3 \times 10^9 \text{ W} \implies 5.6 \times 10^{20} \, \overline{\text{v}} / \text{s}$$
 (isotropic)

conversion factor

 $MeV \rightarrow J$ 

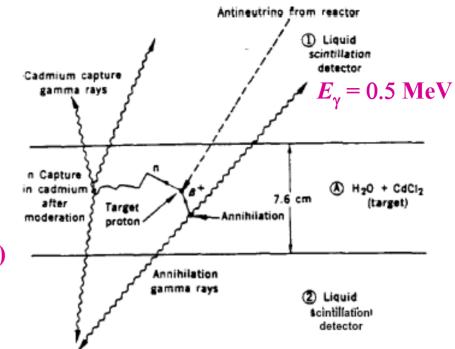
Continuous  $\bar{v}$  energy spectrum – average energy ~3 MeV

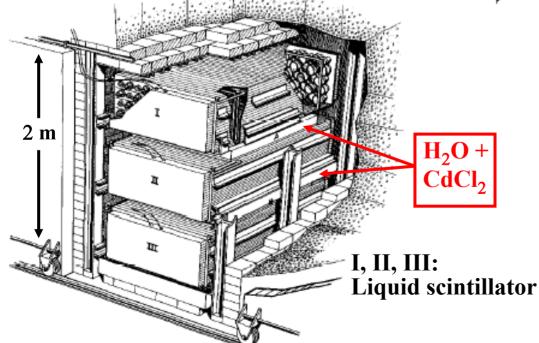
#### First neutrino detection

(Reines, Cowan 1953)

$$\overline{v} + p \rightarrow e^+ + n$$

- detect 0.5 MeV  $\gamma$ -rays from  $e^+e^- \rightarrow \gamma \gamma$ (t = 0)
- neutron "thermalization" followed by capture in Cd nuclei ⇒ emission of delayed γ-rays (average delay ~30 µs)





Event rate at the Savannah River nuclear power plant:

 $3.0 \pm 0.2$  events / hour

(after subracting event rate measured with reactor OFF)

in agreement with expectations

#### **COSMIC RAYS**

- Discovered by V.F. Hess in the 1910's by the observation of the increase of radioactivity with altitude during a balloon flight
- Until the late 1940's, the only existing source of high-energy particles

#### Composition of cosmic rays at sea level – two main components

 Electromagnetic "showers", consisting of many e<sup>±</sup> and γ-rays, mainly originating from:

```
\gamma + nucleus \rightarrow e<sup>+</sup>e<sup>-</sup> + nucleus (pair production);
e<sup>±</sup> + nucleus \rightarrow e<sup>±</sup> + \gamma + nucleus ("bremsstrahlung")
```

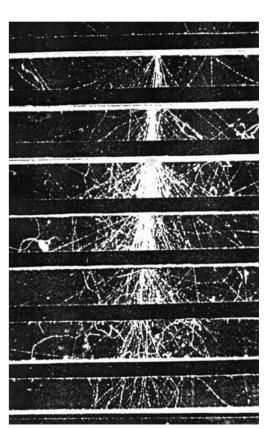
The typical mean free path for these processes ("radiation length",  $x_0$ ) depends on Z.

For Pb (Z = 82)  $x_0 = 0.56$  cm Thickness of the atmosphere  $\approx 27 x_0$ 

• Muons (  $\mu^{\pm}$  ) capable of traversing as much as 1 m of Pb without interacting; tracks observed in cloud chambers in the 1930's.

Determination of the mass by simultaneous measurement of momentum  $p = m\mathbf{v}(1 - \mathbf{v}^2/c^2)^{-1/2}$  (track curvature in magnetic field) and velocity  $\mathbf{v}$  (ionization):

$$m_{\mu} = 105.66 \text{ MeV}/c^2 \approx 207 m_{\rm e}$$

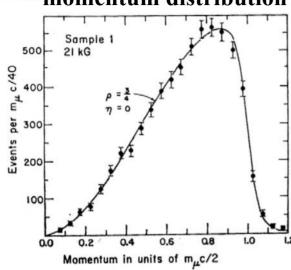


Cloud chamber image of an electromagnetic shower. Pb plates, each 1. 27 cm thick

#### Muon decay

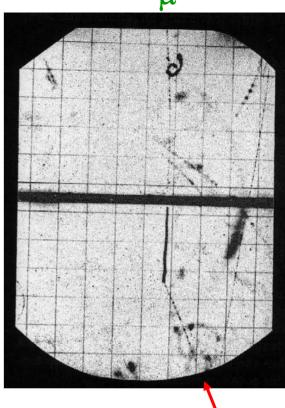
$$\mu^{\scriptscriptstyle \pm} \to e^{\scriptscriptstyle \pm} + \nu + \overline{\nu}$$

### **Decay electron momentum distribution**



Cosmic ray muon stopping in a cloud chamber and decaying to an electron

Muon spin = 
$$\frac{1}{2}$$



decay electron track

Muon lifetime at rest: 
$$\tau_{\mu} = 2.197 \times 10^{-6} \text{ s} \equiv 2.197 \text{ }\mu\text{s}$$

#### Muon decay mean free path in flight:

$$\lambda_{decay} = \frac{\nabla \tau_{\mu}}{\sqrt{1 - (\nabla / c)^2}} = \frac{p \tau_{\mu}}{m_{\mu}} = \frac{p}{m_{\mu} c} \tau_{\mu} c \qquad \left( \begin{array}{c} \mathbf{p} : \text{muon momentum} \\ \tau_{\mu} \mathbf{c} \approx \mathbf{0.66 \ km} \end{array} \right)$$

⇒ muons can reach the Earth surface after a path ≥ 10 km because the decay mean free path is stretched by the relativistic time expansion

#### Particle interactions (as known until the mid 1960's)

#### In order of increasing strength:

Gravitational interaction (all particles)
 Totally negligible in particle physics

Example: static force between electron and proton at distance D

Gravitational: 
$$f_G = G_N \frac{m_e m_p}{D^2}$$
 Electrostatic:  $f_E = \frac{1}{4\pi\varepsilon_0} \frac{e^2}{D^2}$  Ratio  $f_G/f_E \approx 4.4 \times 10^{-40}$ 

■ Weak interaction (all particles except photons)
Responsible for β decay and for slow nuclear fusion reactions in the star core
Example: in the core of the Sun ( $T = 15.6 \times 10^6 \, ^{\rm o}$ K)  $4p \rightarrow {}^4{\rm He} + 2e^+ + 2v$ Solar neutrino emission rate ~ 1.84 x  $10^{3.8}$  neutrinos / s
Flux of solar neutrinos on Earth ~ 6.4 x  $10^{10}$  neutrinos cm<sup>-2</sup> s<sup>-1</sup>

Very small interaction radius  $R_{int}$  (max. distance at which two particles interact) ( $R_{int} = 0$  in the original formulation of Fermi's theory)

Electromagnetic interaction (all charged particles)
 Responsible for chemical reactions, light emission from atoms, etc.
 Infinite interaction radius
 (example: the interaction between electrons in transmitting and receiving and

(example: the interaction between electrons in transmitting and receiving antennas)

■ Strong interaction (neutron, proton, .... NOT THE ELECTRON!) Responsible for keeping protons and neutrons together in the atomic nucleus Independent of electric charge Interaction radius  $R_{\rm int} \approx 10^{-13}$  cm

In Relativistic Quantum Mechanics static fields of forces <u>DO NOT EXIST</u>; the interaction between two particles is "transmitted" by intermediate particles acting as "interaction carriers"

Example: electron – proton scattering (an effect of the electromagnetic interaction) is described as a two-step process: 1) incident electron → scattered electron + photon 2) photon + incident proton → scattered proton

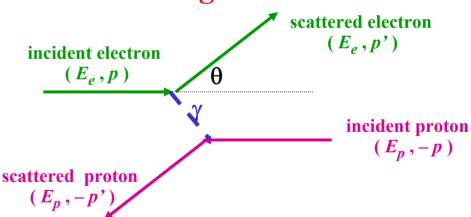
# The photon ( $\gamma$ ) is the carrier of the electromagnetic interaction

In the electron – proton centre-of-mass system

**Energy – momentum conservation:** 

$$E_{\gamma} = 0$$

$$\overrightarrow{p}_{\gamma} = \overrightarrow{p} - \overrightarrow{p}, \quad (|\overrightarrow{p}| = |\overrightarrow{p}'|)$$



"Mass" of the intermediate photon:  $Q^2 = E_{\gamma}^2 - p_{\gamma}^2 c^2 = -2 p^2 c^2 (1 - \cos \theta)$ The photon is in a VIRTUAL state because for real photons  $E_{\gamma}^2 - p_{\gamma}^2 c^2 = 0$  (the mass of real photons is ZERO) – virtual photons can only travel over very short distances thanks to the "Uncertainty Principle"

# The Uncertainty Principle

### **CLASSICAL MECHANICS**

Position and momentum of a particle can be measured independently and simultaneously with arbitrary precision



Werner Heisenberg

### **QUANTUM MECHANICS**

Measurement perturbs the particle state  $\Rightarrow$  position and momentum measurements are correlated:

$$\Delta x \Delta p_x \approx \hbar$$

(also for y and z components)

Numerical example:

$$\Delta p_x = 100 \text{ MeV/c} \implies \Delta x \approx 1.97 \times 10^{-13} \text{ cm}$$

### 1937: Theory of nuclear forces (H. Yukawa)

Existence of a new light particle ("meson") as the carrier of nuclear forces

Relation between interaction radius and meson mass m:

$$R_{\text{int}} = \frac{\hbar}{mc}$$
  $\longrightarrow$   $\frac{mc^2 \approx 200 \text{ MeV}}{\text{for } R_{\text{int}} \approx 10^{-13} \text{ cm}}$ 



Hideki Yukawa

Yukawa's meson initially identified with the muon – in this case  $\mu^-$  stopping in matter should be immediately absorbed by nuclei  $\Rightarrow$  nuclear breakup (not true for stopping  $\mu^+$  because of Coulomb repulsion -  $\mu^+$  never come close enough to nuclei, while  $\mu^-$  form "muonic" atoms)

Experiment of Conversi, Pancini, Piccioni (Rome, 1945): study of  $\mu^-$  stopping in matter using  $\mu^-$  magnetic selection in the cosmic rays

In light material ( $Z \le 10$ ) the  $\mu^-$  decays mainly to electron (just as  $\mu^+$ ) In heavier material, the  $\mu^-$  disappears partly by decaying to electron, and partly by nuclear capture (process later understood as  $\mu^- + p \rightarrow n + \nu$ ). However, the rate of nuclear captures is consistent with the weak interaction.



the muon is not Yukawa's meson

# 1947: Discovery of the $\pi$ - meson (the "real" Yukawa particle)

Observation of the  $\pi^+ \to \mu^+ \to e^+$  decay chain in nuclear emulsion

exposed to cosmic rays at high altitudes

Nuclear emulsion: a detector sensitive to ionization with  $\sim$ 1 µm space resolution (AgBr microcrystals suspended in gelatin)

In all events the muon has a fixed kinetic energy (4.1 MeV, corresponding to a range of  $\sim 600 \mu m$  in nuclear emulsion)  $\Rightarrow$  two-body decay

$$m_{\pi} = 139.57 \text{ MeV}/c^2 \text{ ; spin} = 0$$

Dominant decay mode:  $\pi^+ \rightarrow \mu^+ + \nu$ 

(and  $\pi^- \rightarrow \mu^- + \overline{\nu}$ )

Mean life at rest:  $\tau_{\pi} = 2.6 \text{ x } 10^{-8} \text{ s} = 26 \text{ ns}$ 

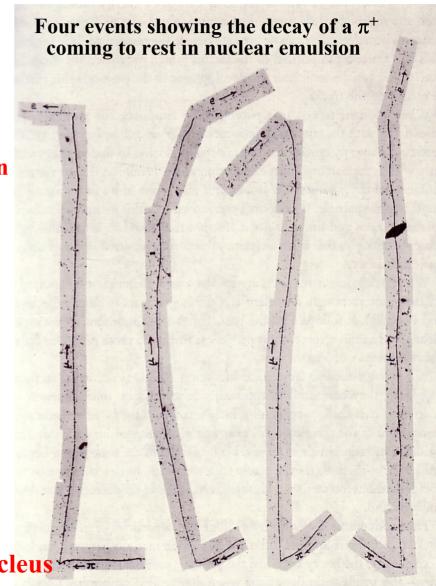
 $\pi^-$  at rest undergoes nuclear capture, as expected for the Yukawa particle

A neutral  $\pi$  – meson ( $\pi$ °) also exists:

$$m(\pi^{\circ}) = 134.98 \text{ MeV}/c^2$$

Decay:  $\pi^{\circ} \rightarrow \gamma + \gamma$ , mean life = 8.4 x  $10^{-17}$  s

 $\pi$  – mesons are the most copiously produced particles in proton – proton and proton – nucleus collisions at high energies



### **CONSERVED QUANTUM NUMBERS**

### Why is the free proton stable?

Possible proton decay modes (allowed by all known conservation laws: energy – momentum, electric charge, angular momentum):

$$p \rightarrow \pi^{\circ} + e^{+}$$

$$p \rightarrow \pi^{\circ} + \mu^{+}$$

$$p \rightarrow \pi^{+} + \nu$$

No proton decay ever observed – the proton is STABLE

Limit on the proton mean life:  $\tau_p > 1.6 \times 10^{25}$  years

Invent a new quantum number: "Baryonic Number" B

B = 1 for proton, neutron

B = -1 for antiproton, antineutron

B = 0 for  $e^{\pm}$ ,  $\mu^{\pm}$ , neutrinos, mesons, photons

Require conservation of baryonic number in all particle processes:

$$\sum_i \mathbf{B}_i = \sum_f \mathbf{B}_f$$

(i: initial state particle; f: final state particle)

### **Strangeness**

Late 1940's: discovery of a variety of heavier mesons (K – mesons) and baryons ("hyperons") – studied in detail in the 1950's at the new high-energy proton synchrotrons (the 3 GeV "cosmotron" at the Brookhaven National Lab and the 6 GeV Bevatron at Berkeley)

### **Mass values**

Mesons (spin = 0): 
$$m(K^{\pm}) = 493.68 \text{ MeV}/c^2$$
 ;  $m(K^{\circ}) = 497.67 \text{ MeV}/c^2$   
Hyperons (spin = ½):  $m(\Lambda) = 1115.7 \text{ MeV}/c^2$  ;  $m(\Sigma^{\pm}) = 1189.4 \text{ MeV}/c^2$   
 $m(\Xi^{\circ}) = 1314.8 \text{ MeV}/c^2$ ;  $m(\Xi^{-}) = 1321.3 \text{ MeV}/c^2$ 

### **Properties**

- Abundant production in proton nucleus,  $\pi$  nucleus collisions
- Production cross-section typical of strong interactions ( $\sigma > 10^{-27}$  cm<sup>2</sup>)
- Production in pairs (example:  $\pi^- + p \rightarrow K^{\circ} + \Lambda$ ;  $K^- + p \rightarrow \Xi^- + K^+$ )
- Decaying to lighter particles with mean life values  $10^{-8} 10^{-10}$  s (as expected for a weak decay)

### **Examples of decay modes**

$$\mathbf{K}^{\pm} \to \pi^{\pm} \pi^{\circ} \; ; \; \mathbf{K}^{\pm} \to \pi^{\pm} \pi^{+} \pi^{-} \; ; \; \; \mathbf{K}^{\pm} \to \pi^{\pm} \pi^{\circ} \; \pi^{\circ} \; ; \; \mathbf{K}^{\circ} \to \pi^{+} \pi^{-} \; ; \; \mathbf{K}^{\circ} \to \pi^{\circ} \; \pi^{\circ} \; ; \ldots$$

$$\Lambda \to \mathbf{p} \; \pi^{-} \; ; \; \Lambda \to \mathbf{n} \; \pi^{\circ} \; ; \; \Sigma^{+} \to \mathbf{p} \; \pi^{\circ} \; ; \; \Sigma^{+} \to \mathbf{n} \; \pi^{+} \; ; \; \Sigma^{+} \to \mathbf{n} \; \pi^{-} \; ; \ldots$$

$$\Xi^{-} \to \Lambda \; \pi^{-} \; ; \; \Xi^{\circ} \to \Lambda \; \pi^{\circ}$$

# Invention of a new, additive quantum number "Strangeness" (S)

(Gell-Mann, Nakano, Nishijima, 1953)

- conserved in strong interaction processes:  $\sum_{i} S_{i} = \sum_{f} S_{f}$
- not conserved in weak decays:  $\left| \mathbf{S}_i \sum_f \mathbf{S}_f \right| = 1$

S = +1:  $K^+$ ,  $K^\circ$ ; S = -1:  $\Lambda$ ,  $\Sigma^{\pm}$ ,  $\Sigma^\circ$ ; S = -2:  $\Xi^\circ$ ,  $\Xi^-$ ; S = 0: all other particles (and opposite strangeness -S for the corresponding antiparticles)

Example of a K - stopping in liquid hydrogen:

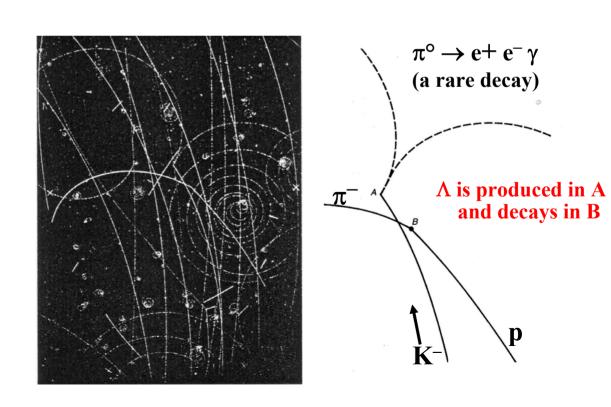
$$K^- + p \rightarrow \Lambda + \pi^{\circ}$$

(strangeness conserving)

followed by the decay

$$\Lambda \rightarrow p + \pi^-$$

(strangeness violation)



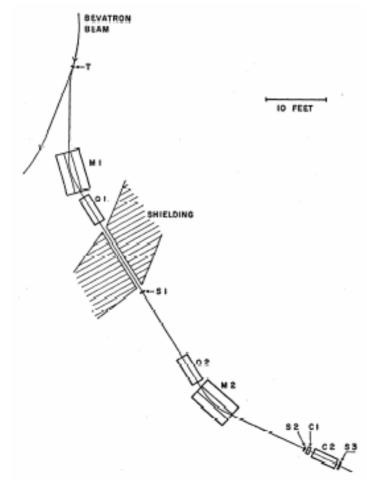
# **Antiproton discovery (1955)**

Threshold energy for antiproton ( $\overline{p}$ ) production in proton – proton collisions Baryon number conservation  $\Rightarrow$  simultaneous production of  $\overline{p}$  and p (or  $\overline{p}$  and n)

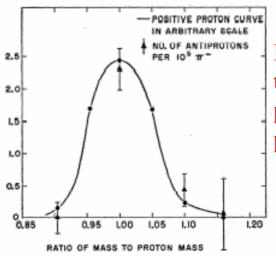
**Example:**  $p + p \rightarrow p + p + \overline{p} + p$ 

Threshold energy ~ 6 GeV

"Bevatron": 6 GeV proton synchrotron in Berkeley

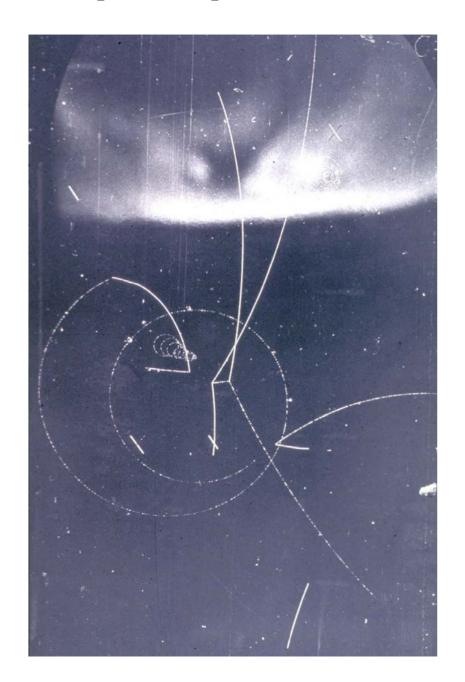


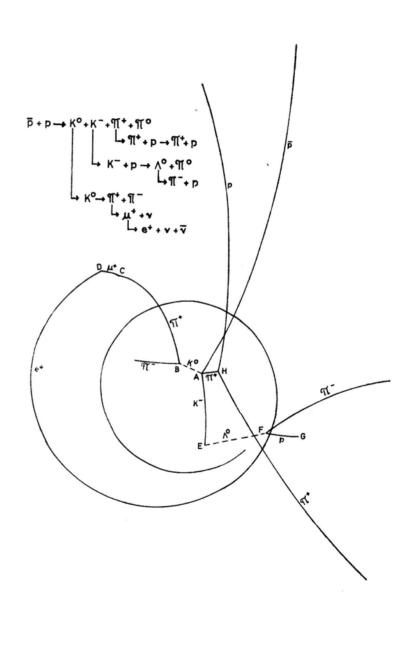
- build a beam line for 1.19 GeV/c momentum
- select negatively charged particles (mostly  $\pi^-$ )
- reject fast π − by Čerenkov effect: light emission in transparent medium if particle velocity v > c / n (n: refraction index) − antiprotons have v < c / n ⇒ no Čerenkov light</li>
- measure time of flight between counters  $S_1$  and  $S_2$  (12 m path): 40 ns for  $\pi^-$ , 51 ns for antiprotons



For fixed momentum, time of flight gives particle velocity, hence particle mass

# Example of antiproton annihilation at rest in a liquid hydrogen bubble chamber





### **Another neutrino**

A puzzle of the late 1950's: the absence of  $\mu \rightarrow e \gamma$  decays

Experimental limit: < 1 in  $10^6 \ \mu^+ \rightarrow e^+ \nu \ \overline{\nu}$  decays

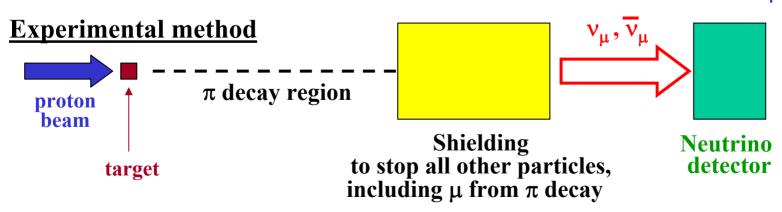
A possible solution: existence of a new, conserved "muonic" quantum number distinguishing muons from electrons

To allow  $\mu^+ \to e^+ \nu \ \overline{\nu}$  decays,  $\overline{\nu}$  must have "muonic" quantum number but not  $\nu \Rightarrow$  in  $\mu^+$  decay the  $\overline{\nu}$  is not the antiparticle of  $\nu$ 

 $\Rightarrow$  two distinct neutrinos  $(\nu_e^{}\,,\,\nu_\mu^{})\,$  in the decay  $\,\mu^+^{}\to e^+^{}\,\nu_e^{}\,\overline{\nu}_\mu^{}$ 

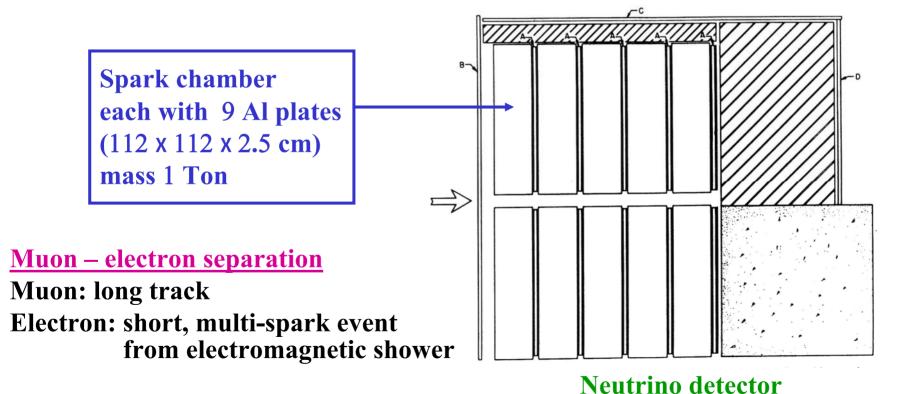
Consequence for  $\pi-$  meson decays:  $\pi^+\to\mu^+\,\nu_\mu^{}$  ;  $\pi^-\to\mu^-\,\overline{\nu}_\mu^{}$  to conserve the "muonic" quantum number

High energy proton accelerators: intense sources of  $\pi^{\pm}$  – mesons  $\Rightarrow \nu_{\mu}$ ,  $\overline{\nu}_{\mu}$ 



If  $v_{\mu} \neq v_{e}$ ,  $v_{\mu}$  interactions produce  $\mu^{-}$  and not  $e^{-}$  (example:  $v_{\mu} + n \rightarrow \mu^{-} + p$ )

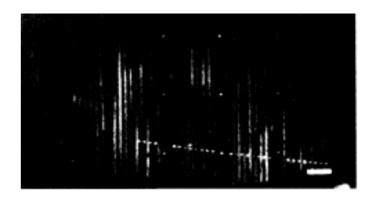
 $1962: \nu_{\mu} \ discovery \ at \ the \ Brookhaven \ AGS \\ (a \ 30 \ GeV \ proton \ synchrotron \ running \ at \ 17 \ GeV \\ for \ the \ neutrino \ experiment)$   $Neutrino \ energy \ spectrum \\ known \ from \ \pi \ , K \ production \\ and \ \pi \rightarrow \mu \ , K \rightarrow \mu \ decay \ kinematics$   $13.5 \ m \ iron \ shielding \\ (enough \ to \ stop \ 17 \ GeV \ muons)$ 

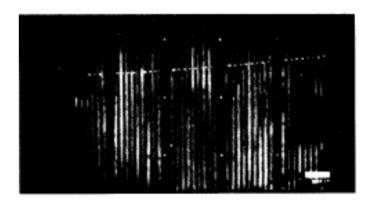


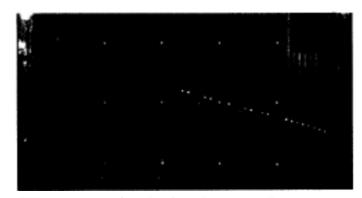
### 64 "events" from a 300 hour run:

- 34 single track events, consistent with µ track
- 2 events consistent with electron shower (from small, calculable  $v_e$  contamination in beam)

Clear demonstration that  $v_{\mu} \neq v_{e}$ 







Three typical single-track events in the BNL neutrino experiment

# THE "STATIC" QUARK MODEL

Late 1950's – early 1960's: discovery of many strongly interacting particles at the high energy proton accelerators (Berkeley Bevatron, BNL AGS, CERN PS), all with very short mean life times  $(10^{-20} - 10^{-23})$  s, typical of strong decays)  $\Rightarrow$  catalog of > 100 strongly interacting particles (collectively named "hadrons")

### ARE HADRONS ELEMENTARY PARTICLES?

1964 (Gell-Mann, Zweig): Hadron classification into "families"; observation that all hadrons could be built from three spin ½ "building blocks" (named "quarks" by Gell-Mann):

|                             | u    | d    | S    |
|-----------------------------|------|------|------|
| Electric charge (units  e ) | +2/3 | -1/3 | -1/3 |
| Baryonic number             | 1/3  | 1/3  | 1/3  |
| Strangeness                 | 0    | 0    | -1   |

and three antiquarks  $(\overline{u}, \overline{d}, \overline{s})$  with opposite electric charge and opposite baryonic number and strangeness

# **Mesons:** quark – antiquark pairs

**Examples of non-strange mesons:** 

$$\pi^+ \equiv u\overline{d}$$
 ;  $\pi^- \equiv \overline{u}d$  ;  $\pi^0 \equiv (d\overline{d} - u\overline{u})/\sqrt{2}$ 

**Examples of strange mesons:** 

$$K^{-} \equiv s\overline{u}$$
 ;  $\overline{K}^{0} \equiv s\overline{d}$  ;  $K^{+} \equiv \overline{s}u$  ;  $K^{0} \equiv \overline{s}d$ 

Baryons: three quarks bound together Antibaryons: three antiquarks bound together

**Examples of non-strange baryons:** 

proton 
$$\equiv uud$$
; neutron  $\equiv udd$ 

Examples of strangeness -1 baryons:

$$\Sigma^+ \equiv suu$$
 ;  $\Sigma^0 \equiv sud$  ;  $\Sigma^- \equiv sdd$ 

Examples of strangeness -2 baryons:

$$\Xi^0 \equiv ssu$$
 ;  $\Xi^- \equiv ssd$ 

### Prediction and discovery of the $\Omega^-$ particle

### A success of the static quark model

The "decuplet" of spin  $\frac{3}{2}$  baryons

| Strangeness |                         |                        |            |            |                     |                        | Mass (MeV/c <sup>2</sup> ) |
|-------------|-------------------------|------------------------|------------|------------|---------------------|------------------------|----------------------------|
| 0           | N* <sup>++</sup><br>uuu | N* <sup>+</sup><br>uud |            | N*°<br>udd |                     | N* <sup>-</sup><br>ddd | 1232                       |
| -1          | $\Sigma^{*+}$ suu       |                        | Σ*° sud    |            | $\Sigma^{*-}$ $sdd$ |                        | 1384                       |
| <b>-</b> 2  |                         | Ξ*°                    |            | Ξ*-<br>ssd |                     |                        | 1533                       |
| -3          |                         |                        | $\Omega^-$ |            |                     |                        | 1672 (predicted)           |

 $\Omega^-$ : the bound state of three s – quarks with the lowest mass with total angular momentum =  $3/2 \implies \phi$ 

Pauli's exclusion principle requires that the three quarks cannot be identical

# The first $\Omega^-$ event (observed in the 2 m liquid hydrogen bubble chamber at BNL using a 5 GeV/c K<sup>-</sup> beam from the 30 GeV AGS)

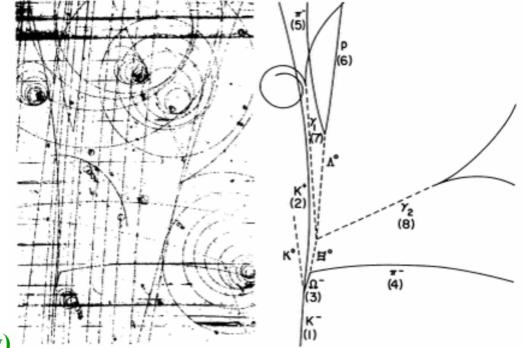
#### Chain of events in the picture:

$$K^- + p \rightarrow \Omega^- + K^+ + K^\circ$$
 (strangeness conserving)

$$\Omega^- \rightarrow \Xi^0 + \pi^-$$
  
( $\Delta S = 1$  weak decay)

$$\Xi^{\circ} \rightarrow \pi^{\circ} + \Lambda$$
  
( $\Delta S = 1$  weak decay)

$$\Lambda \rightarrow \pi^- + p$$
  
( $\Delta S = 1$  weak decay)



 $\pi^{\circ} \rightarrow \gamma + \gamma$  (electromagnetic decay)

with both  $\gamma$  – rays converting to an  $e^+e^-$  in liquid hydrogen

(very lucky event, because the mean free path for  $\gamma \to e^+e^-$  in liquid hydrogen is ~10 m)

 $\Omega^-$  mass measured from this event = 1686 ± 12 MeV/ $c^2$ 

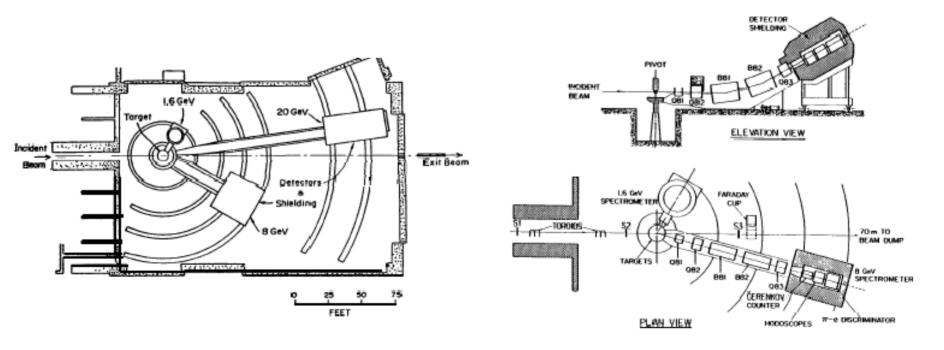
### "DYNAMIC" EVIDENCE FOR QUARKS

Electron – proton scattering using a 20 GeV electron beam from the Stanford two – mile Linear Accelerator (1968 – 69).

The modern version of Rutherford's original experiment: resolving power  $\approx$  wavelength associated with 20 GeV electron  $\approx 10^{-15}$  cm

Three magnetic spectrometers to detect the scattered electron:

- 20 GeV spectrometer (to study elastic scattering  $e^- + p \rightarrow e^- + p$ )
- 8 GeV spectrometer (to study inelastic scattering  $e^- + p \rightarrow e^- + hadrons$ )
- 1.6 GeV spectrometer (to study extremely inelastic collisions)





The Stanford two-mile electron linear accelerator (SLAC)

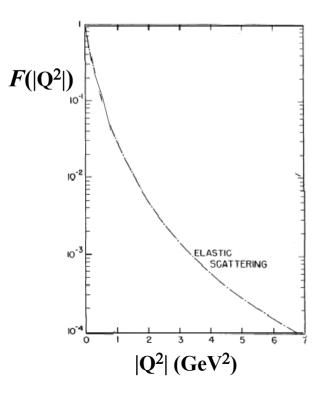
Electron elastic scattering from a point-like charge |e| at high energies: differential cross-section in the collision centre-of-mass (Mott's formula)

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2 (\hbar c)^2}{8E^2} \frac{\cos^2(\theta/2)}{\sin^4(\theta/2)} \equiv \sigma_M \qquad \left(\alpha = \frac{e^2}{\hbar c} \approx \frac{1}{137}\right)$$

Scattering from an extended charge distribution: multiply  $\sigma_M$  by a "form factor":

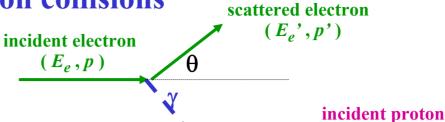
$$\frac{d\sigma}{d\Omega} = F(|\mathbf{Q}^2|)\sigma_M$$

 $\frac{d\sigma}{d\Omega} = F(|\mathbf{Q}^2|)\sigma_M \qquad \begin{aligned} |\mathbf{Q}| &= \hbar / \mathbf{D} : \text{ mass of the exchanged virtual photon} \\ \mathbf{D} : \text{ linear size of target region contributing to scattering} \\ \mathbf{Increasing} |\mathbf{Q}| \rightarrow \text{ decreasing target electric charge} \end{aligned}$ Increasing  $|Q| \Rightarrow$  decreasing target electric charge



 $F(|\mathbf{Q}^2|) = 1$  for a point-like particle ⇒ the proton is not a point-like particle

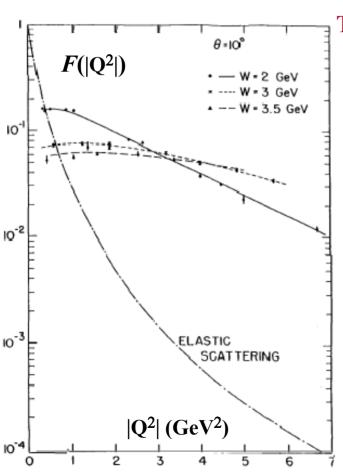




Hadrons (mesons, baryons)

Total hadronic energy:  $W^2 = \left(\sum_i E_i\right)^2 - \left(\sum_i \vec{p}_i\right)^2 c^2$ 

 $(E_p, -p)$ 



For deeply inelastic collisions, the cross-section depends only weakly on  $|\mathbf{Q}^2|$ , suggesting a collision with a <u>POINT-LIKE</u> object

### Interpretation of deep inelastic e - p collisions

Deep inelastic electron – proton collisions are elastic collisions with point-like, electrically charged, spin  $\frac{1}{2}$  constituents of the proton carrying a fraction x of the incident proton momentum

Each constituent type is described by its electric charge  $e_i$  (units of |e|) and by its x distribution  $(dN_i/dx)$  ("structure function")

If these constituents are the u and d quarks, then deep inelastic e-p collisions provide information on a particular combination of structure functions:

$$\left(\frac{dN}{dx}\right)_{e-p} = e_u^2 \frac{dN_u}{dx} + e_d^2 \frac{dN_d}{dx}$$

Comparison with  $v_{\mu}$  – p and  $\overline{v}_{\mu}$  – p deep inelastic collisions at high energies under the assumption that these collisions are also elastic scatterings on quarks

$$\frac{\mathbf{v}_{\mu} + \mathbf{p} \rightarrow \mu^{-} + \text{hadrons}: \quad \mathbf{v}_{\mu} + d \rightarrow \mu^{-} + u \quad (\text{depends on } d\mathbf{N}_{d} / dx)}{\overline{\mathbf{v}}_{\mu} + \mathbf{p} \rightarrow \mu^{+} + \text{hadrons}: \quad \overline{\mathbf{v}}_{\mu} + u \rightarrow \mu^{+} + d \quad (\text{depends on } d\mathbf{N}_{u} / dx)}$$

(Neutrino interactions do not depend on electric charge)

All experimental results on deep inelastic e - p,  $v_{\mu} - p$ ,  $\overline{v_{\mu}} - p$  collisions are consistent with  $e_u^2 = 4/9$  and  $e_d^2 = 1/9$  the proton constituents are the quarks

### PHYSICS WITH e<sup>+</sup>e<sup>-</sup> COLLIDERS

Two beams circulating in opposite directions in the same magnetic ring and colliding head-on

$$e^+$$
 $E, \overrightarrow{p}$ 
 $E, -\overrightarrow{p}$ 

A two-step process:  $e^+ + e^- \rightarrow virtual \ photon \rightarrow f + \overline{f}$ 

f: any electrically charged elementary spin  $\frac{1}{2}$  particle ( $\mu$ , quark) (excluding e<sup>+</sup>e<sup>-</sup> elastic scattering)

Virtual photon energy – momentum :  $E_{\gamma} = 2E$ ,  $p_{\gamma} = 0 \Rightarrow Q^2 = E_{\gamma}^2 - p_{\gamma}^2 c^2 = 4E^2$ 

Cross - section for 
$$e^+e^- \rightarrow f \bar{f}$$
:  $\sigma = \frac{2\pi\alpha^2\hbar^2c^2}{3Q^2}e_f^2\beta(3-\beta)$ 

$$\alpha = e^2/(\hbar c) \approx 1/137$$

 $e_f$ : electric charge of particle f (units |e|)  $\beta = v/c$  of outgoing particle f

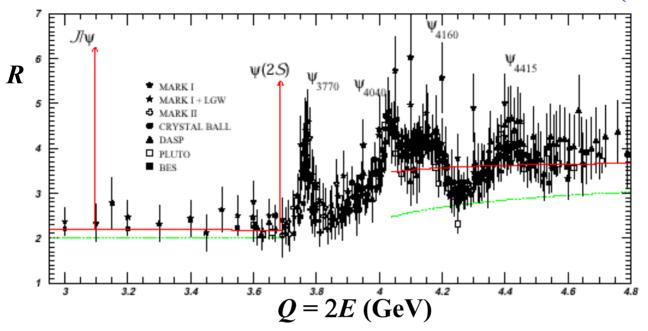
$$\vec{\beta} = v/c$$
 of outgoing particle f

(formula precisely verified for  $e^+e^- \rightarrow \mu^+\mu^-$ )

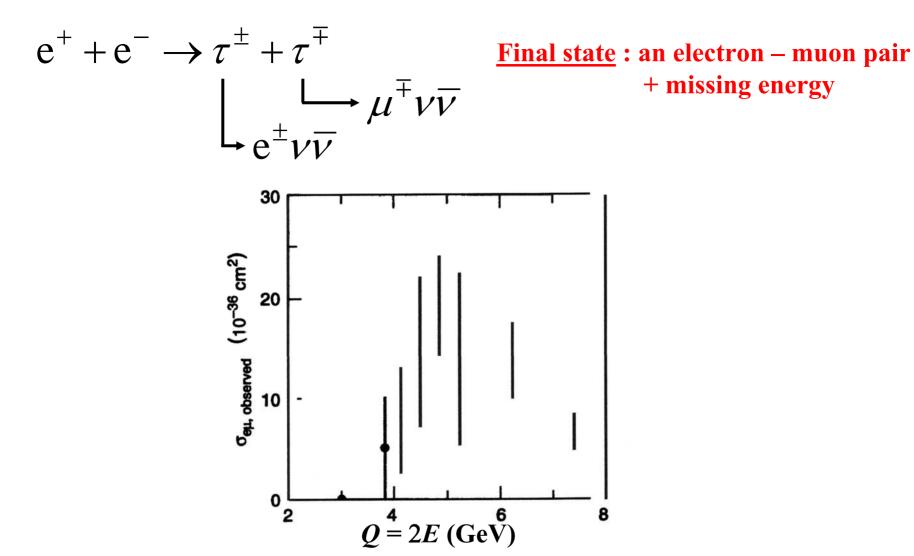
Assumption:  $e^+e^- \rightarrow quark(q) + antiquark(\overline{q}) \rightarrow hadrons$  $\Rightarrow$  at energies  $E >> m_q c^2$  (for q = u, d, s)  $\beta \approx 1$ :

$$R = \frac{\sigma(e^+e^- \to hadrons)}{\sigma(e^+e^- \to \mu^+ \mu^-)} = e_u^2 + e_d^2 + e_s^2 = \frac{4}{9} + \frac{1}{9} + \frac{1}{9} = \frac{2}{3}$$

### Experimental results from the Stanford e<sup>+</sup>e<sup>-</sup> collider SPEAR (1974 –75):



- For Q < 3. 6 GeV  $R \approx 2$ . If each quark exists in three different states,  $R \approx 2$  is consistent with 3 x (2/3). This would solve the  $\Omega^-$  problem.
- Between 3 and 4.5 GeV, the peaks and structures are due to the production of quark-antiquark bound states and resonances of a fourth quark ("charm", c) of electric charge +2/3
- Above 4.6 GeV  $R \approx 4.3$ . Expect  $R \approx 2$  (from u, d, s) + 3 x (4/9) = 3.3 from the addition of the c quark alone. So the data suggest pair production of an additional elementary spin ½ particle with electric charge = 1 (later identified as the  $\tau$  lepton (no strong interaction) with mass  $\approx 1777$  MeV/ $c^2$ ).



Evidence for production of pairs of heavy leptons  $\tau^{\pm}$ 

# THE MODERN THEORY OF STRONG INTERACTIONS: the interactions between quarks based on "Colour Symmetry" Quantum ChromoDynamics (QCD) formulated in the early 1970's

- Each quark exists in three states of a new quantum number named "colour"
- Particles with colour interact strongly through the exchange of spin 1 particles named "gluons", in analogy with electrically charged particles interacting electromagnetically through the exchange of spin 1 photons

#### A MAJOR DIFFERENCE WITH THE ELECTROMAGNETIC INTERACTION

Electric charge: positive or negative

Photons have no electric charge and there is no direct photon-photon interaction

**Colour: three varieties** 

Mathematical consequence of colour symmetry: the existence of eight gluons with eight variety of colours, with direct gluon – gluon interaction

- The observed hadrons (baryons, mesons ) are colourless combinations of coloured quarks and gluons
- The strong interactions between baryons, mesons is an "apparent" interaction between colourless objects, in analogy with the apparent electromagnetic interaction between electrically neutral atoms

Free quarks, gluons have never been observed experimentally; only indirect evidence from the study of hadrons — WHY?

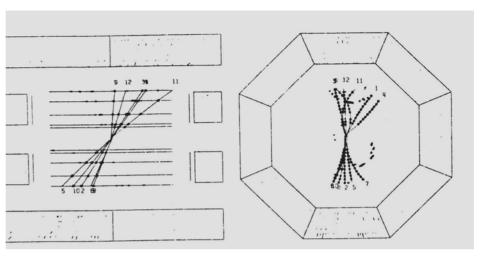
**CONFINEMENT:** coloured particles are confined within colourless hadrons because of the behaviour of the colour forces at large distances

The attractive force between coloured particles increases with distance  $\Rightarrow$  increase of potential energy  $\Rightarrow$  production of quark – antiquark pairs which neutralize colour  $\Rightarrow$  formation of colourless hadrons (hadronization)

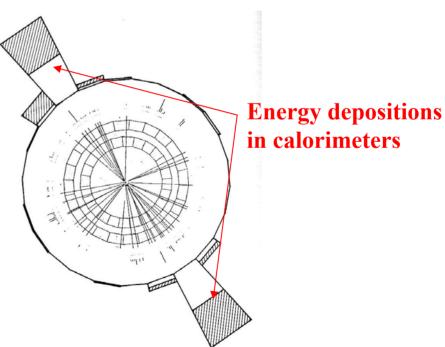
At high energies (e.g., in  $e^+e^- \rightarrow q + \overline{q}$ ) expect the hadrons to be produced along the initial direction of the  $q - \overline{q}$  pair  $\Rightarrow$  production of hadronic "jets"

**CONFINEMENT, HADRONIZATION:** properties deduced from observation. So far, the properties of colour forces at large distance have no precise mathematical formulation in QCD.

 $e^+ + e^- \rightarrow hadrons$ A typical event at Q = 2E = 35 GeV: reconstructed charged particle tracks



A typical proton-antiproton collision at the CERN  $\overline{p}$  p collider (630 GeV) producing high-energy hadrons at large angles to the beam axis (UA2 experiment, 1985)



# 1962-66: Formulation of a Unified Electroweak Theory (Glashow, Salam, Weinberg)

### 4 intermediate spin 1 interaction carriers ("bosons"):

- the photon  $(\gamma)$  responsible for all electromagnetic processes
- three weak, heavy bosons W<sup>+</sup> W<sup>-</sup> Z

 $W^{\pm}$  responsible for processes with electric charge transfer =  $\pm 1$  (Charged Current processes)

**Examples:** 

$$\begin{array}{ll} n \rightarrow p & e^- \, \overline{\nu} : \ n \rightarrow p + W^- \ \ followed \ by \ W^- \rightarrow e^- \overline{\nu} \\ \mu^+ \rightarrow e^+ \, \nu_e \, \overline{\nu}_\mu : \mu^+ \rightarrow \overline{\nu}_\mu + W^+ \ \ followed \ by \ \ W^+ \rightarrow e^+ \, \nu_e \end{array}$$

Z responsible for weak processes with no electric charge transfer (Neutral Current processes)

PROCESSES NEVER OBSERVED BEFORE

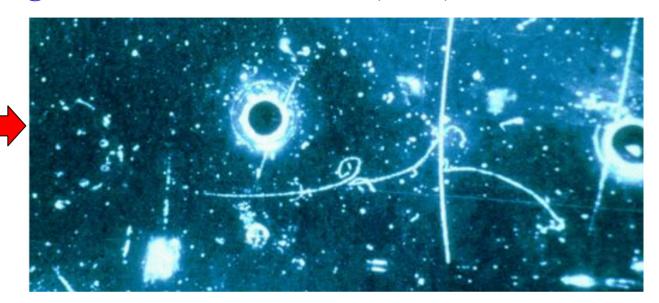
Require neutrino beams to search for these processes, to remove the much larger electromagnetic effects expected with charged particle beams

# First observation of Neutral Current processes in the heavy liquid bubble chamber Gargamelle at the CERN PS (1973)



 $\overline{\nu}_{\mu} + e^{-} \rightarrow \overline{\nu}_{\mu} + e^{-}$ (elastic scattering) Recoil electron energy = 400 MeV

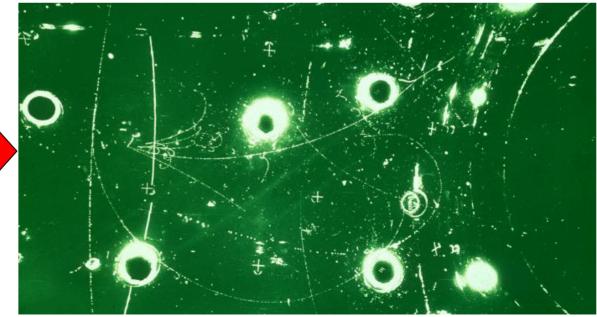
( $\overline{\nu}_{\mu}$  beam from  $\pi^{-}$  decay in flight)



### **Example of**

 $\nu_{\mu}$  + p (n)  $\rightarrow$   $\nu_{\mu}$  + hadrons (inelastic interaction)

 $(\nu_{\mu} \text{ beam from } \pi^{+} \text{ decay})$ in flight)

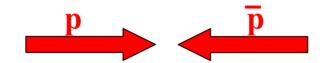


Measured rates of Neutral Current events  $\Rightarrow$  estimate of the W and Z masses (not very accurately, because of the small number of events):

$$M_W \approx 70 - 90 \text{ GeV/}c^2$$
 ;  $M_Z \approx 80 - 100 \text{ GeV/}c^2$ 

too high to be produced at any accelerator in operation in the 1970's

1975: Proposal to transform the new 450 GeV CERN proton synchrotron (SPS) into a proton – antiproton collider (C. Rubbia)



Beam energy = 315 GeV  $\Rightarrow$  total energy in the centre-of-mass = 630 GeV

Beam energy necessary to achieve the same collision energy on a proton at rest:

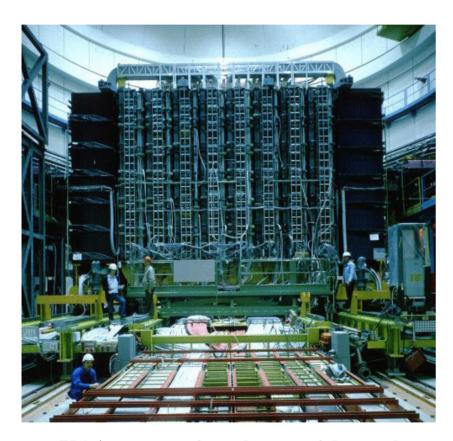
$$(E + m_p c^2)^2 - p^2 c^2 = (630 \,\text{GeV})^2$$
  $\longrightarrow$   $E = 210 \,\text{TeV}$ 

Production of W and Z by quark – antiquark annihilation:

$$u + \overline{d} \to W^+$$
  $\overline{u} + d \to W^-$   
 $u + \overline{u} \to Z$   $d + \overline{d} \to Z$ 

# **UA1 and UA2 experiments (1981 – 1990)**

Search for 
$$W^{\pm} \rightarrow e^{\pm} + \nu$$
 (UA1, UA2);  $W^{\pm} \rightarrow \mu^{\pm} + \nu$  (UA1)  $Z \rightarrow e^{+}e^{-}$  (UA1, UA2);  $Z \rightarrow \mu^{+} \mu^{-}$  (UA1)

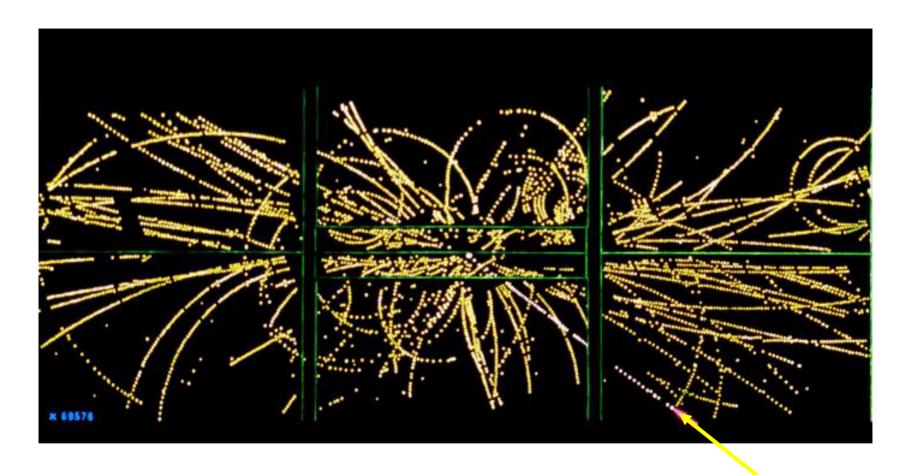


<u>UA1</u>: magnetic volume with trackers, surrounded by "hermetic" calorimeter and muon detectors



<u>UA2</u>: non-magnetic, calorimetric detector with inner tracker

# One of the first $W \rightarrow e + v$ events in UA1



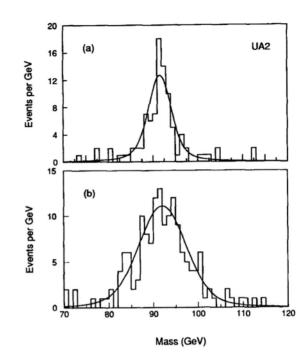
48 GeV electron identified by surrounding calorimeters

### **UA2 final results**

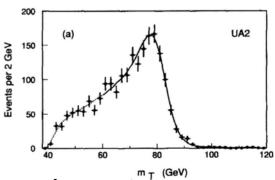
Events containing two high-energy electrons: Distributions of the "invariant mass"  $M_{\rm ee}$ 

$$(M_{ee}c^2)^2 = (E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2 c^2$$

(for 
$$Z \rightarrow e^+e^ M_{ee} = M_Z$$
)



Events containing a single electron with large transverse momentum (momentum component perpendicular to the beam axis) and large missing transverse momentum (apparent violation of momentum conservation due to the escaping neutrino from  $W \rightarrow ev$  decay)

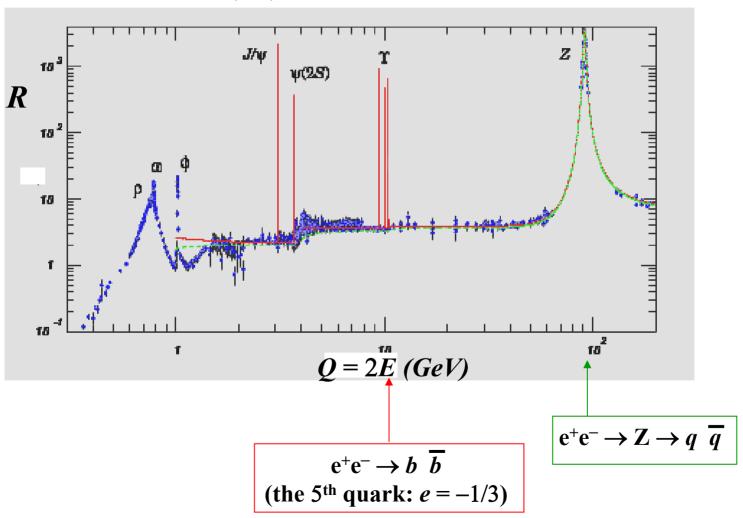


m<sub>T</sub> ("transverse mass"): invariant mass of the electron – neutrino pair calculated from the transverse components only

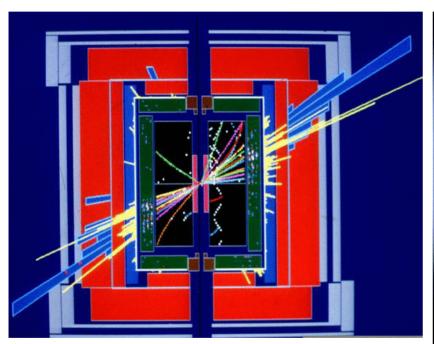
 $M_W$  is determined from a fit to the  $m_T$  distribution:  $M_W = 80.35 \pm 0.37 \text{ GeV}/c^2$ 

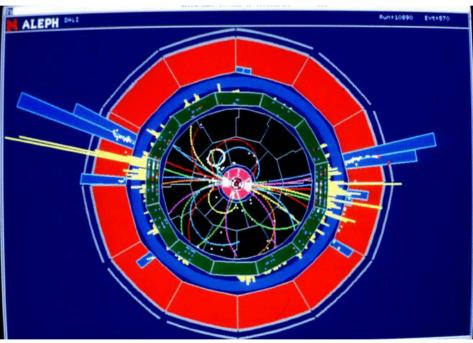
# e<sup>+</sup>e<sup>-</sup> colliders at higher energies

$$R = \frac{\sigma(e^+e^- \to hadrons)}{\sigma(e^+e^- \to \mu^+\mu^-)}$$
 between 0.3 and 200 GeV



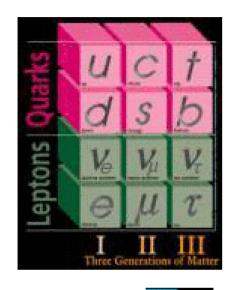
# The two orthogonal views of an event $Z \to q \overline{q} \to \text{hadrons}$ at LEP (ALEPH detector)





# **CONCLUSIONS**

### The elementary particles today:





- + 6 leptons
- = 24 fermions (constituents of matter)
- + 24 antiparticles
  - 48 elementary particles

consistent with point-like dimensions within the resolving power of present instrumentation (  $\sim 10^{-16}\ cm)$ 



12 force carriers ( $\gamma$ , W<sup>±</sup>, Z, 8 gluons)

+ the Higgs spin 0 particle (NOT YET DISCOVERED) responsible for generating the masses of all particles