

# From Raw Data to Physics: Reconstruction and Analysis

## **Reconstruction: Tracking; Particle ID**

How we try to tell particles apart

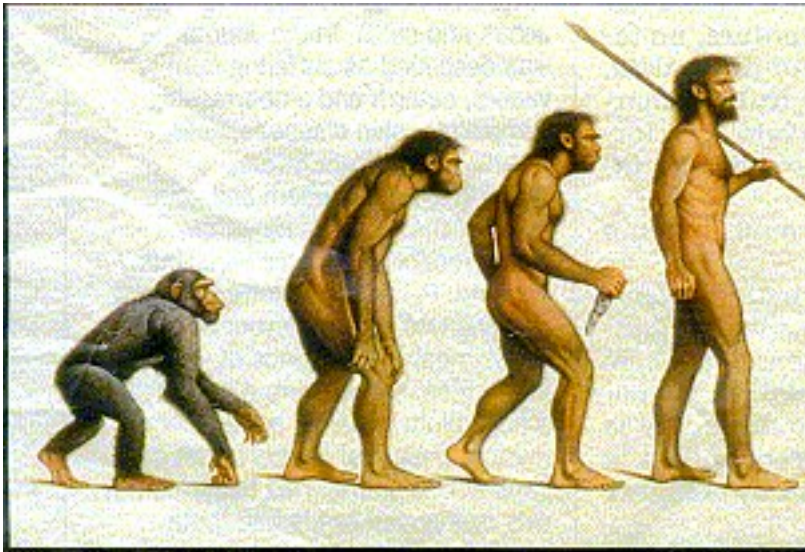
## **Analysis: Measuring $\alpha_s$ in QCD**

What to do when theory doesn't make clear predictions

## **Alignment**

We know what we designed; is it what we built?

## **Summary**



# From Raw Data to Physics: Reconstruction and Analysis

## **Reconstruction: Particle ID**

How we try to tell particles apart

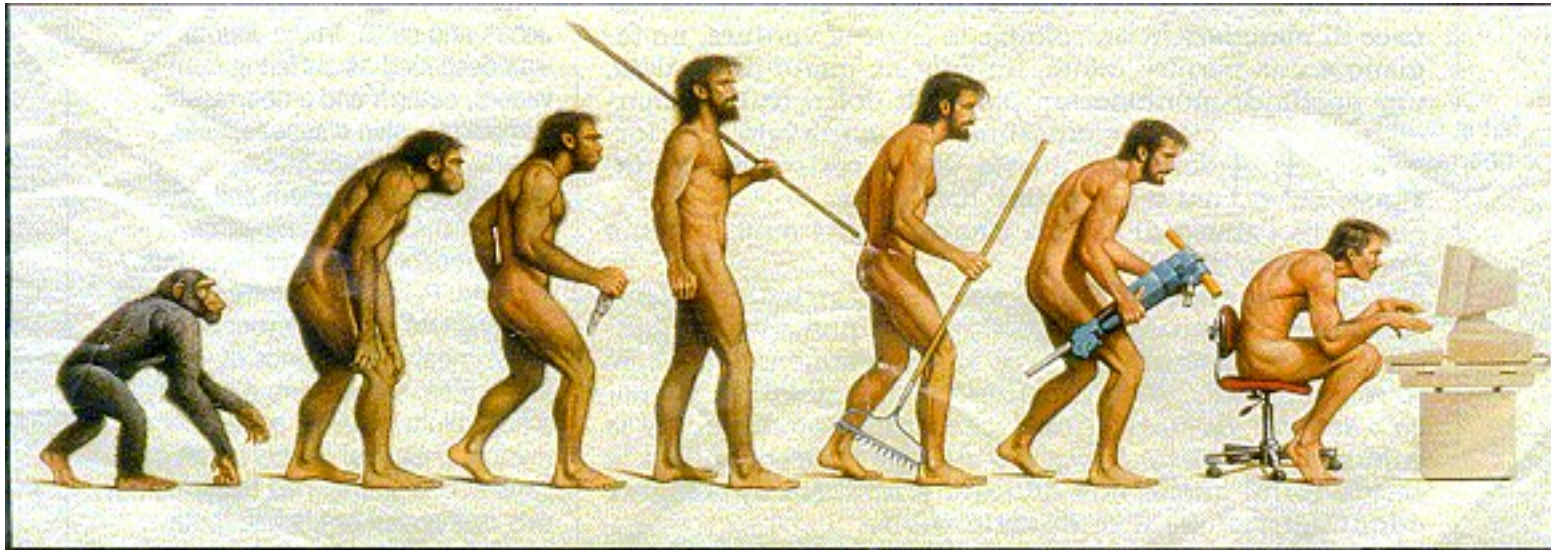
## **Analyzing simulated data: Measuring $\alpha_s$ in QCD**

What to do when theory doesn't make clear predictions

## **Alignment:**

We know what we designed; is it what we built?

## **Computing:**



**Somewhere, something went terribly wrong**

# Why does tracking need to be done well?

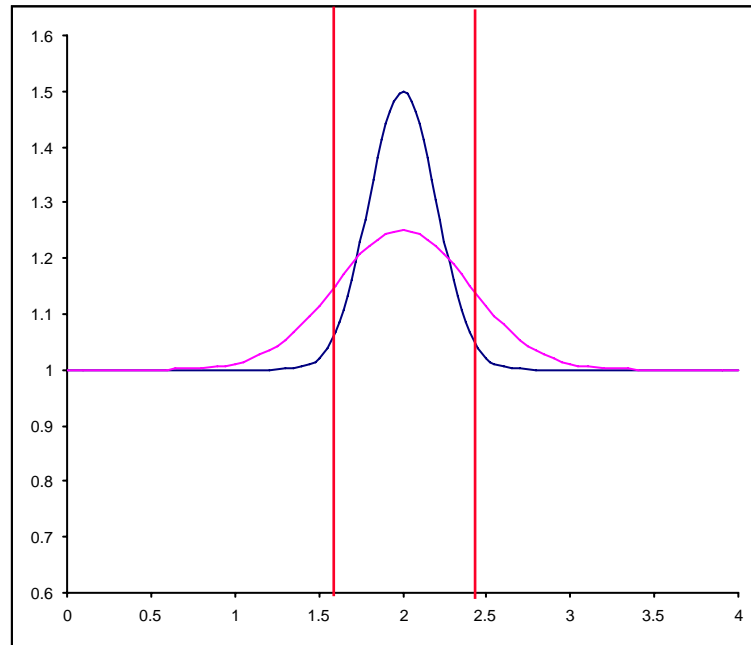
**1) Determine how many particles were created in an event**

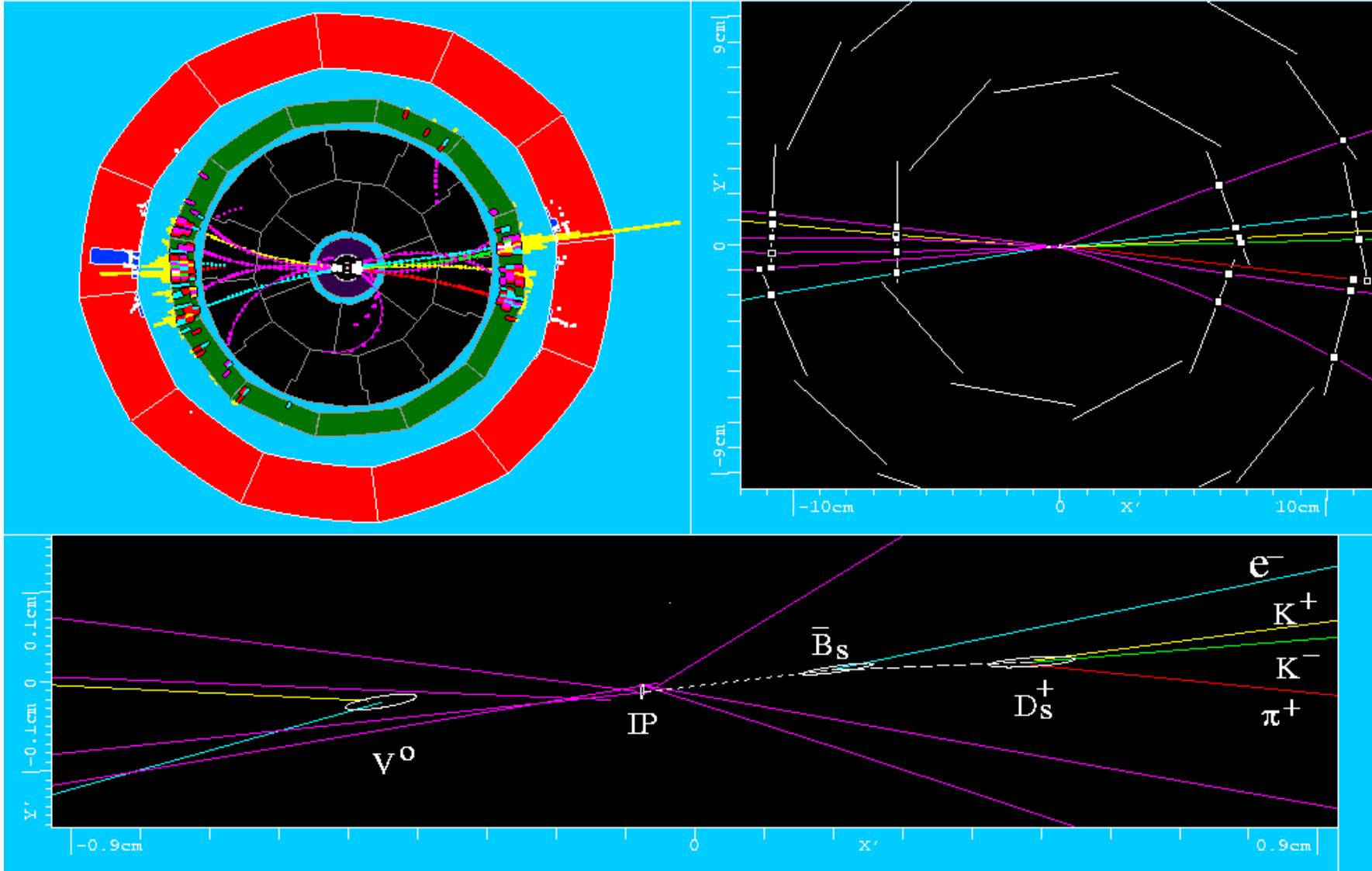
**2) Measure their momentum**

- Direction and magnitude
- Combine these to look for decays with known masses
- Only final particles are visible!

**3) Measure spatial trajectories**

- Combine to look for separated vertices, indicating particles with long lifetimes



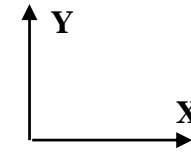


# Track Fitting

## 1D straight line as simple case

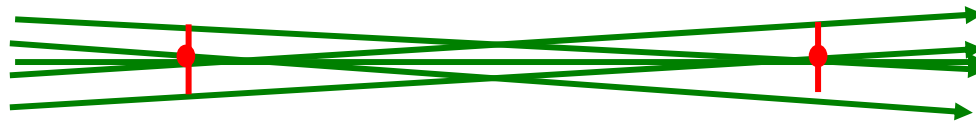
### Two perfect measurements

- Away from interaction point
- With no measurement uncertainty
- Just draw a line through them and extrapolate



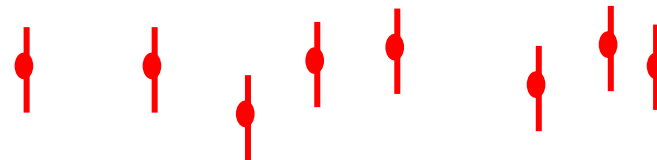
### Imperfect measurements give less precise results

- The farther you go, the less you know



**Smaller errors, more points help constrain the possibilities**

**How to find the best track from a large set of points?**



## How to fit quantitatively?

**Parameterize track:**  $y(x) = \theta x + d$

- Two measurements, two parameters => OK

### Best track?

- Consistency with measurements represented by  $\chi^2 =$   
Sum of normalized errors squared

- This is directly a function of our parameters:

$$\chi^2 = \sum_{i=1}^{n_{hits}} \frac{(y_i - \theta x_i - d)^2}{\sigma_i^2}$$

- The best track has the smallest normalized error
- So minimize in the usual way:

$$\frac{\partial \chi^2}{\partial \theta} = 0 \qquad \frac{\partial \chi^2}{\partial d} = 0$$

Position of  $i^{\text{th}}$  hit

Predicted track position at  $i^{\text{th}}$  hit

$$\chi^2 = \sum_{i=1}^{n_{hits}} \frac{(y_i - y(x_i))^2}{\sigma_i^2}$$

Accuracy of measurement

$$\frac{\partial \chi^2}{\partial \theta} = 2 \sum \frac{(y_i - \theta x_i - d)}{\sigma_i^2} (-x_i)$$

$$0 = \left( \sum \frac{y_i x_i}{\sigma_i^2} \right) - \left( \sum \frac{x_i}{\sigma_i^2} \right) d - \left( \sum \frac{x_i^2}{\sigma_i^2} \right) \theta$$

$$\frac{\partial \chi^2}{\partial d} = 2 \sum \frac{(y_i - \theta x_i - d)}{\sigma_i^2} (-1)$$

$$0 = \left( \sum \frac{y_i}{\sigma_i^2} \right) - \left( \sum \frac{1}{\sigma_i^2} \right) d - \left( \sum \frac{x_i}{\sigma_i^2} \right) \theta$$

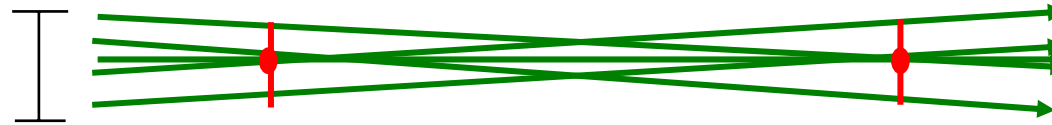
**Two equations in two unknowns**

- Terms in () are constants calculated from measurement, detector geometry

**Generalizes nicely to 3D, helical tracks with 5 parameters**

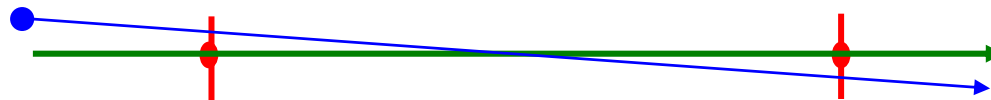
- Five equations in five unknowns

With a little more work, can calculate expected errors on  $\theta$ ,  $d$



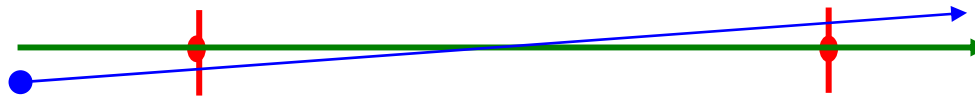
“Most likely” that real  $d$  (Y intercept) is within this band of  $\pm\sigma_d$   
 Similar  $\theta$  error, where  $\theta_{\text{real}}$  is most likely within  $\pm\sigma_\theta$  of best value

Note that the errors are correlated:



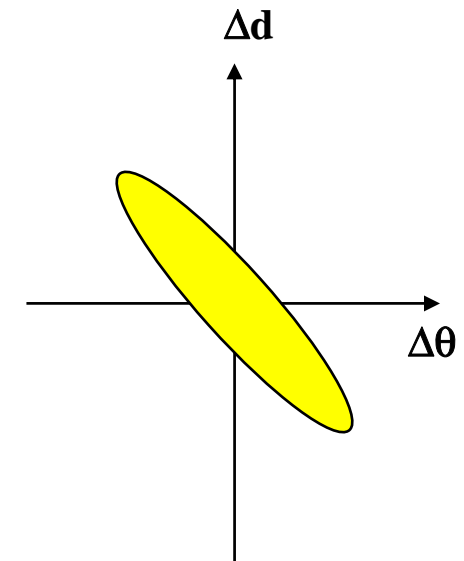
$$\Delta d = \text{“+”} - 0 > 0$$

$$\Delta\theta = \text{“-”} - 0 < 0$$



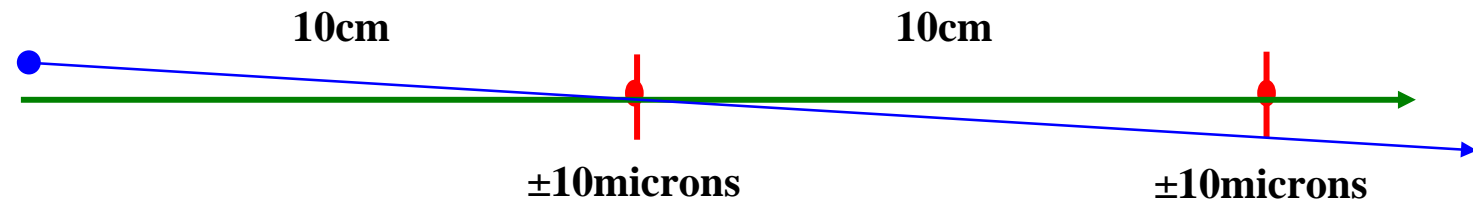
$$\Delta d = \text{“-”} - 0 < 0$$

$$\Delta\theta = \text{“+”} - 0 > 0$$





## Typical size of errors



**Error on position is about  $\pm 10$  microns**

By similar triangles

**Error on angle is about  $\pm 0.1$  milliradians ( $\pm 0.002$  degrees)**

**Satisfyingly small errors!**

Allows separation of tracks that come from different particle decays

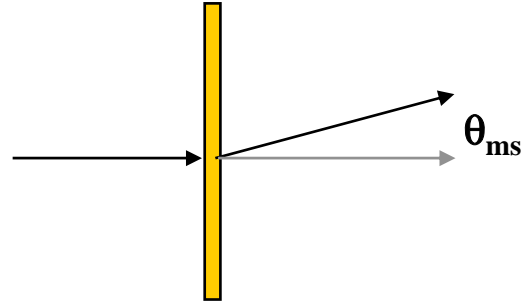
**But how do we “see” particles?**

- Charged particles pass through matter,
- ionize some atoms, leaving energy
- which we can sense electronically.

**More ionization  $\Rightarrow$  more signal  $\Rightarrow$  more precision**

**$\Rightarrow$  more energy loss**

## Multiple Scattering



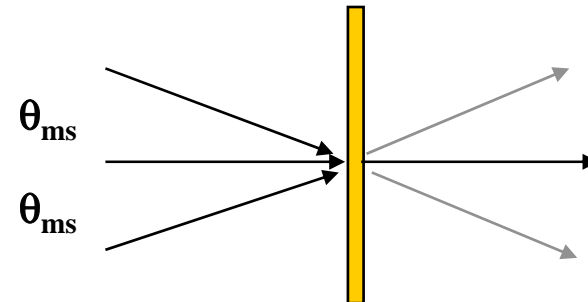
Charged particles passing through matter “scatter” by a random angle

$$\sqrt{\langle \theta_{ms}^2 \rangle} = \frac{15 \text{ MeV} / c}{\beta p} \sqrt{\frac{\text{thickness}}{X_{rad}}}$$

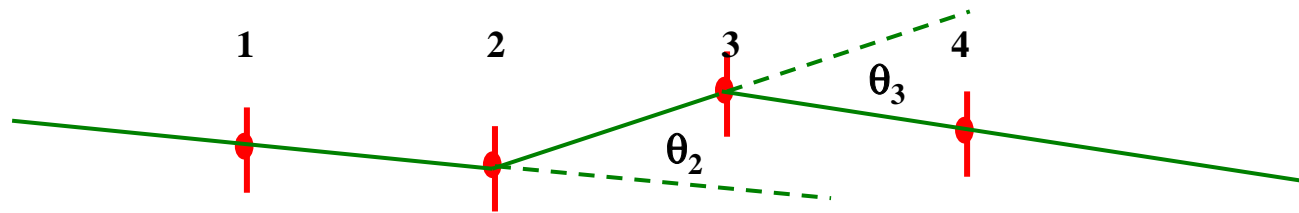
**300 $\mu$  Si RMS = 0.9 milliradians /  $\beta p$**

**1mm Be RMS = 0.8 milliradians /  $\beta p$**

**Also leads to position errors**



## So?



**Fitting points 3 & 4 no longer measures angle at IP**

Track already scattered by random angles  $\theta_1, \theta_2, \theta_3$

**Track has more parameters**

$$y(x) = d + \theta x + \theta_1 (x - x_1) \Theta(x - x_1) + \theta_2 (x - x_2) \Theta(x - x_2) + \theta_3 (x - x_3) \Theta(x - x_3) + \dots$$

1 if  $x - x_3 > 0$ ,  
otherwise 0

**If we knew  $\theta_1, \theta_2, \dots$  we'd know entire trajectory**

**Can we measure those angles?**

$\theta_2$  roughly given by  $y_1, y_2, y_3$

**Just a more complex  $\chi^2$  equation?**

$\sqrt{\langle \theta_{ms}^2 \rangle}$  acts like a measurement

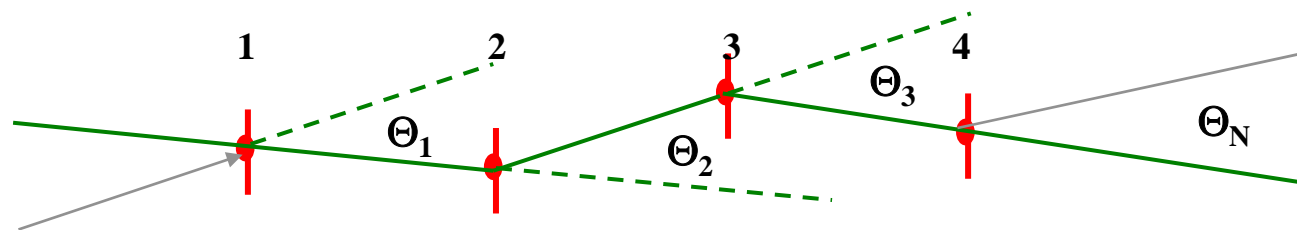
“I’d be surprised if it was larger than  $0 \pm \frac{15 \text{ MeV} / c}{\beta p} \sqrt{\frac{L}{X_{rad}}}$ ”

“Add information” to fit by adding new terms to  $\chi^2$

$$\chi^2 = \chi_{old}^2 + \sum_i \frac{\theta_i^2}{\sigma_{ms}^2}$$

**N measurements from planes (say 100)**

**N+2 unknowns (d,  $\theta$ , plus N scattering angles)**

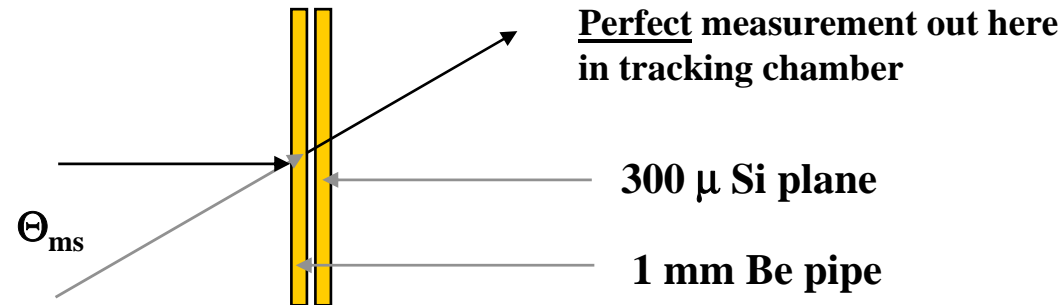


**Can’t see first, last scattering angles; can only extrapolate outside**

Hence ignore  $\theta_1, \theta_N$

**Now all we have to do is solve 100 equations in 100 unknowns...**

**Nobody cares about  $\theta_N$**   
**But  $\theta_1$  effects accuracy of d**



$\theta_{ms} \Rightarrow 1.2$  milliradian/ $\beta p$  error on  $\theta$   
@ 10 cm, leads to  $120\mu/\beta p$  error on d

$$\sigma_d \approx 10\mu \oplus \frac{120\mu}{\beta p}$$

**In spite of**

N=100 chambers,  
complicated programs  
and inverting 100x100 matrices

**Some problems, the programs can't fix!**

## “Kalman fit”?

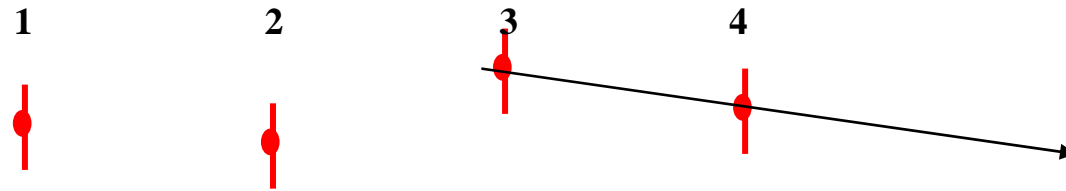
(ref: Brillion)

**Computational expensive to calculate solutions with 100 angles**

Computer time grows like  $O(N^3)$ , with  $N$  large

**And we’re not really interested in all those angles anyway**

**Instead, approximate, working inward  $N$  times:**



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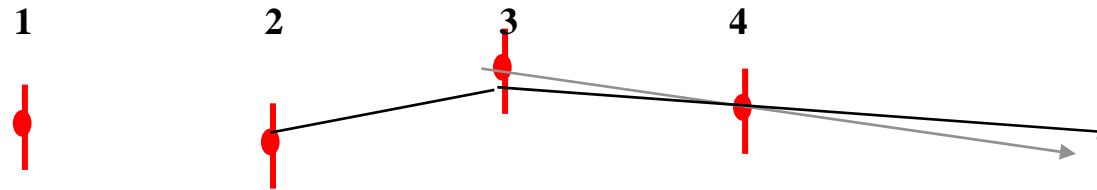
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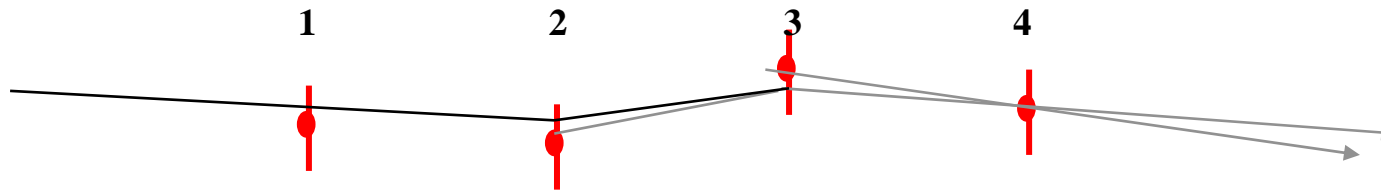
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**Computational expensive to calculate solutions with 100 angles**

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**And we’re not really interested in all those angles anyway**

**Instead, approximate, working inward  $N$  times:**



**This is  $O(N)$  computations**

May need to repeat once or twice to use good starting estimate

Each one a little more complex

But still results in a large net savings of CPU time

**Moral: Consider what you really want to know**



## Particle ID (PID)

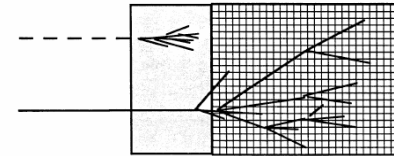
**Track could be e,  $\mu$ ,  $\pi$ , K, or p; knowing which improves analysis**

- Vital for measuring  $B \rightarrow K\pi$  vs  $B \rightarrow \pi\pi$  rates
- Mistaking a  $\pi$  for e,  $\mu$ , K or p increases combinatoric background

**Leptons have unique interactions with material**

- e deposits energy quickly, so expect  $E=p$  in calorimeter
- $\mu$  deposits energy slowly, so expect penetrating trajectory

**But hadronic showers from  $\pi$ , K, p all look alike**



**Can't you measure mass from  $m^2 = E^2 - p^2$ ?**

**For  $p=2\text{GeV}/c$ , pion energy = 2.005 GeV, kaon energy = 2.060 GeV**

**Calorimeters are not that accurate**

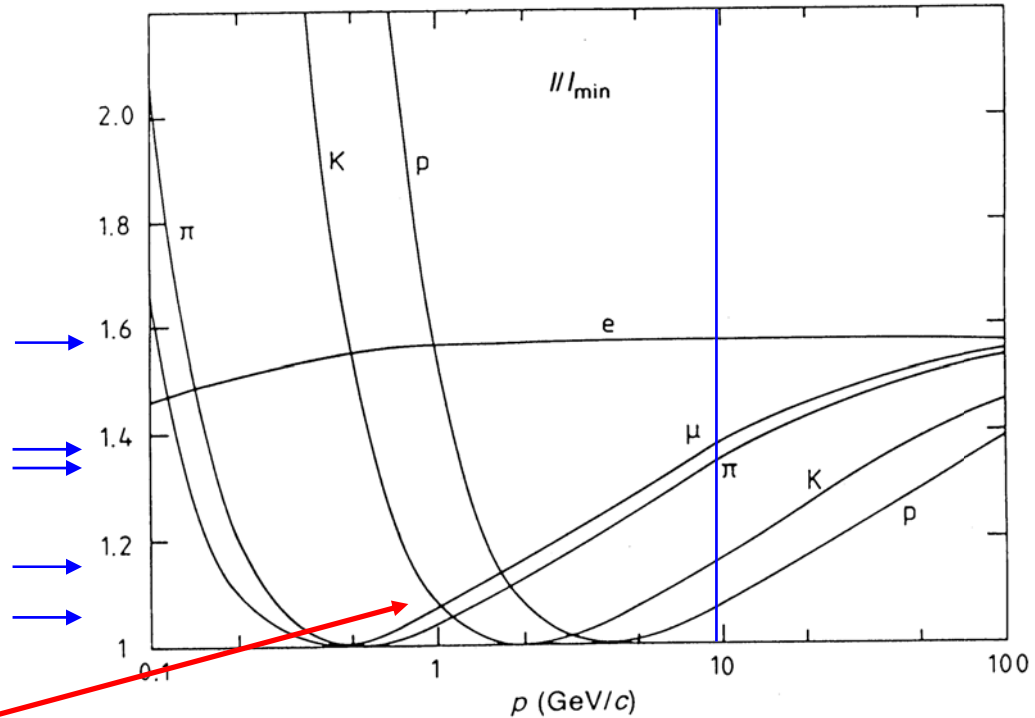
(We usually cheat and calculate E from p and m)

# dE/dx

Charged particles moving through matter lose energy to ionization

Loss is a function of the speed,  $\beta \equiv \frac{v}{c}$  so a function of mass and momentum

$$m = \frac{p}{\gamma\beta}$$



Alternately, measuring  
With certain  
ambiguities!

## Its hard to make this precise

**Minimize material -> small losses**

- Hard to measure  $dE$  well

**Geometry of tracking is complex**

- Hard to measure  $dx$  well

**Typical accuracy is 5-10%**

- “2 sigma separation”

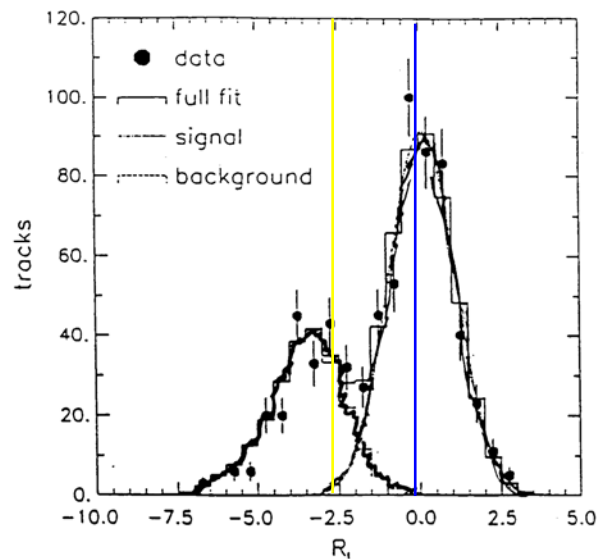


Fig. 10: Histogram of electron candidates using the  $dE/dx$  information of the TPC

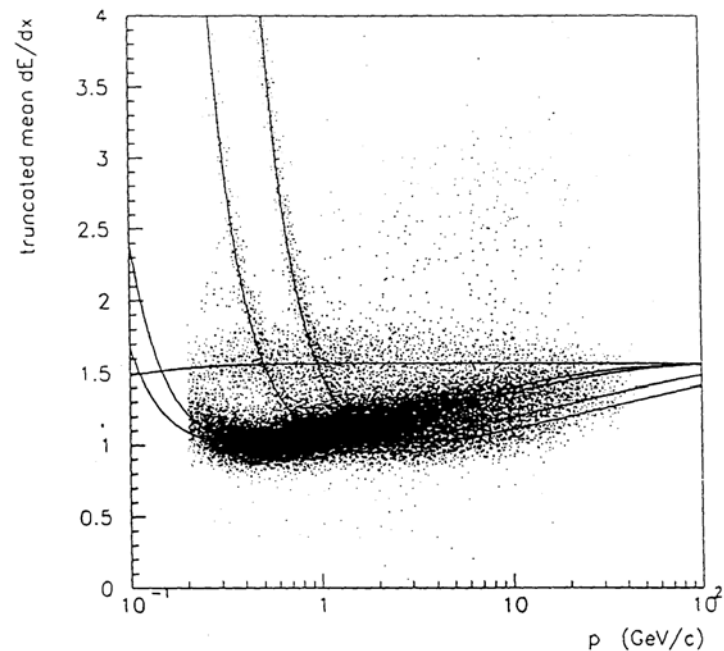


Fig. 8: Scatter plot of the ionisation measurement for a large set of hadronic  $Z_0$  decays

**During analysis, can choose**

- efficiency
- purity

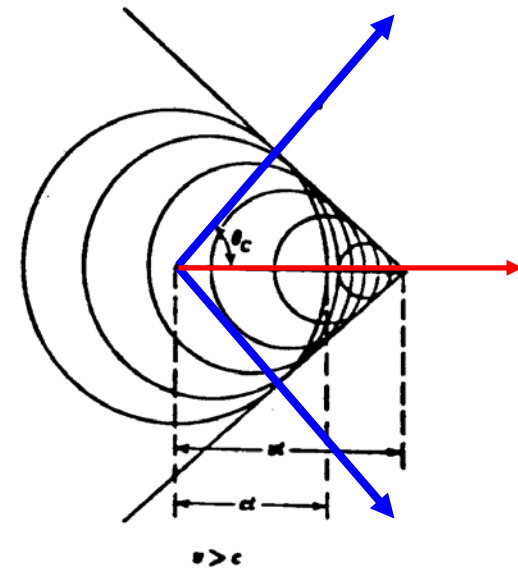
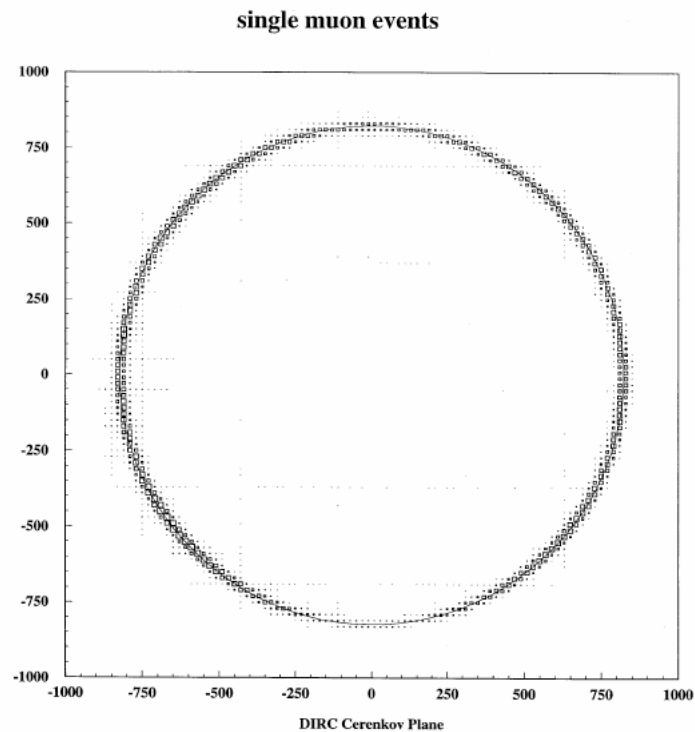
**But can't have both!**

## Another velocity-dependent process: Cherenkov light

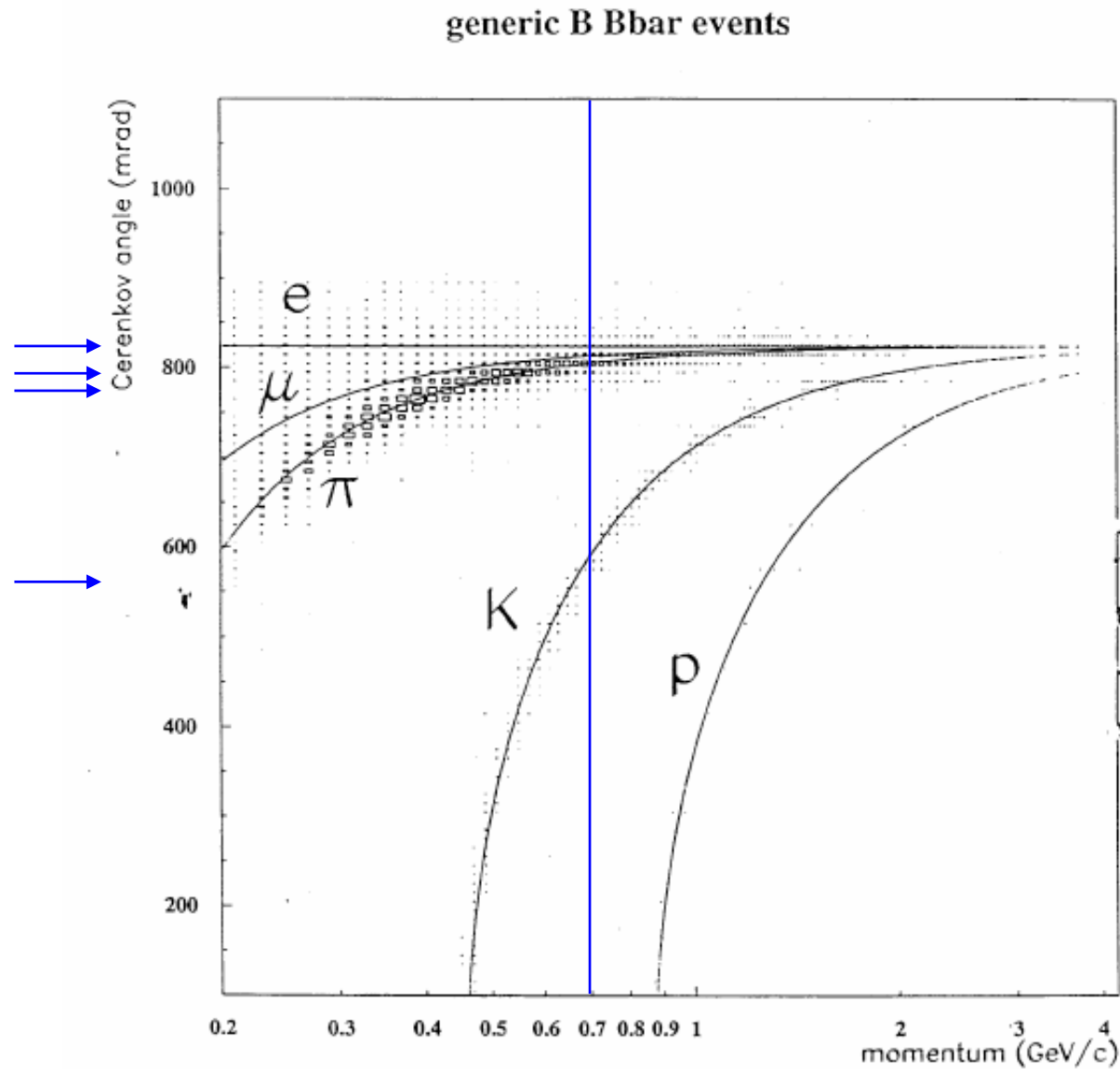
Particles moving faster than light in a medium (glass, water) emit light

- Angle is related to velocity
- Light forms a cone

Focus it onto a plane, and you get a circle:

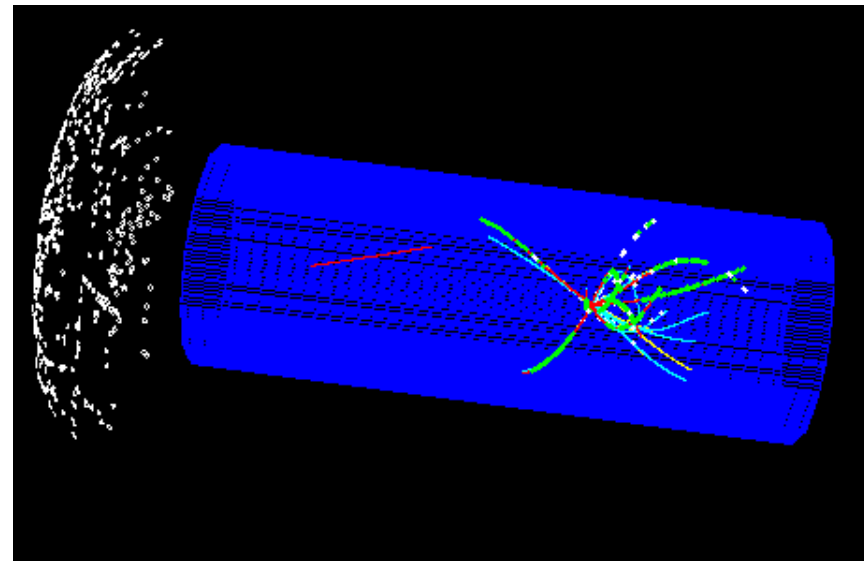
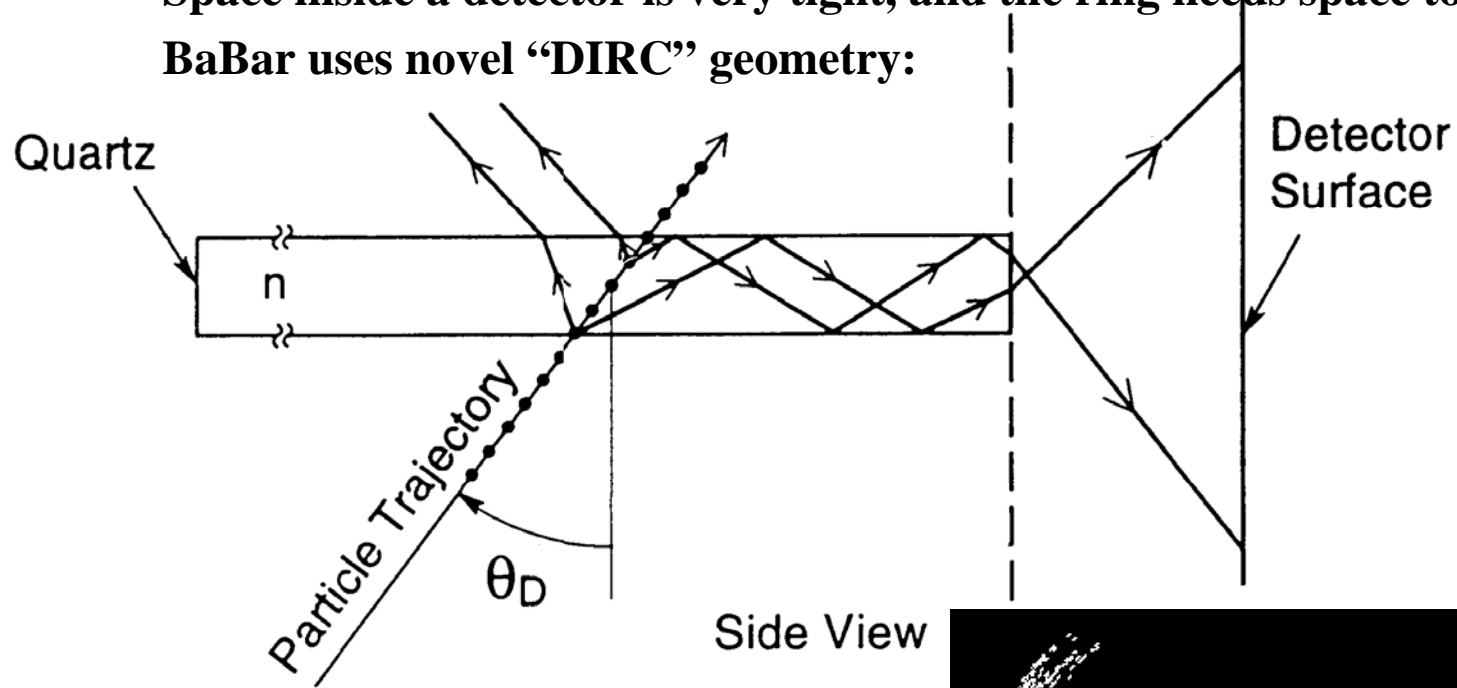


# Radius of the reconstructed circle give particle type:

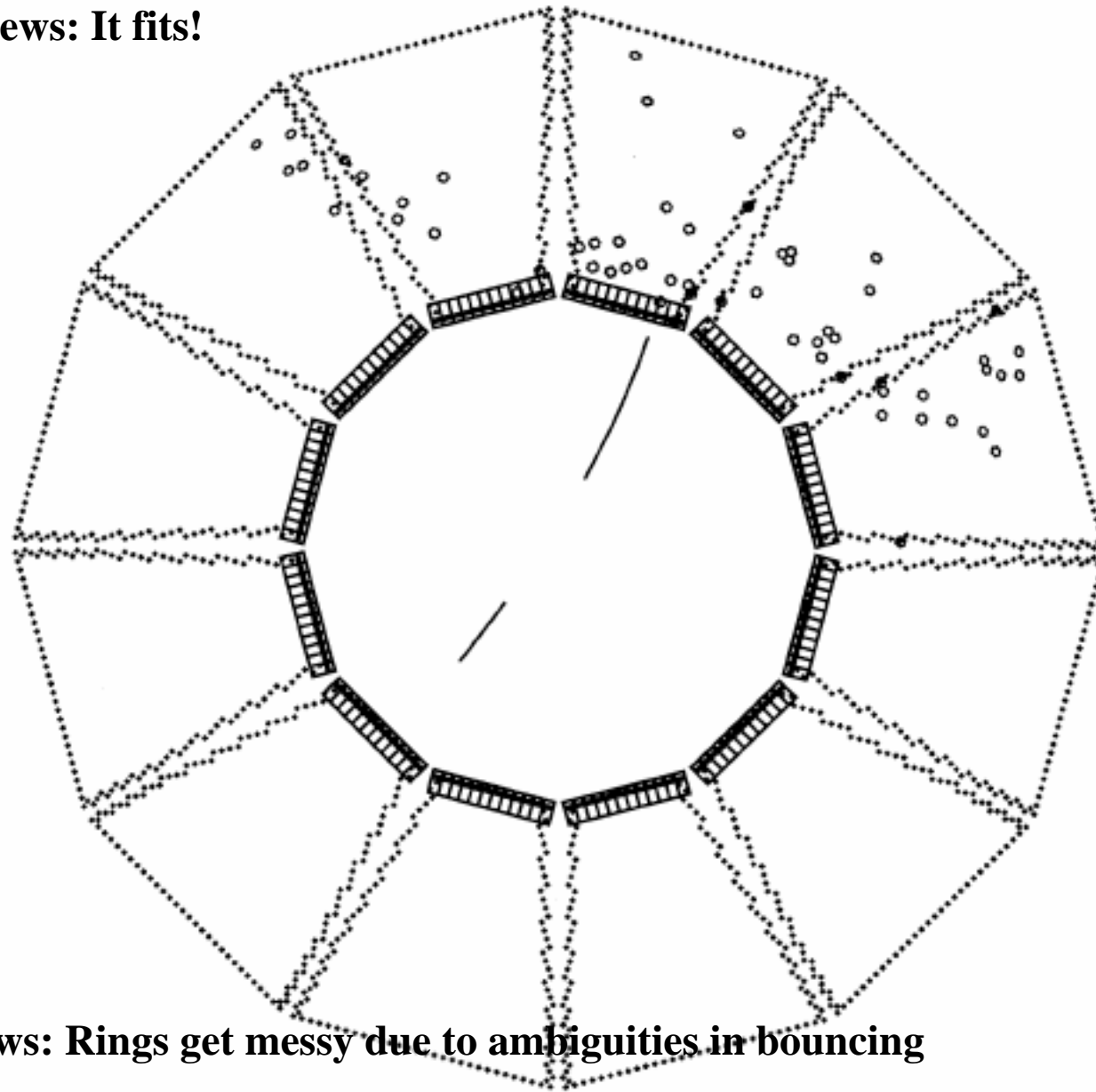


## How to make this fit?

Space inside a detector is very tight, and the ring needs space to form  
BaBar uses novel “DIRC” geometry:

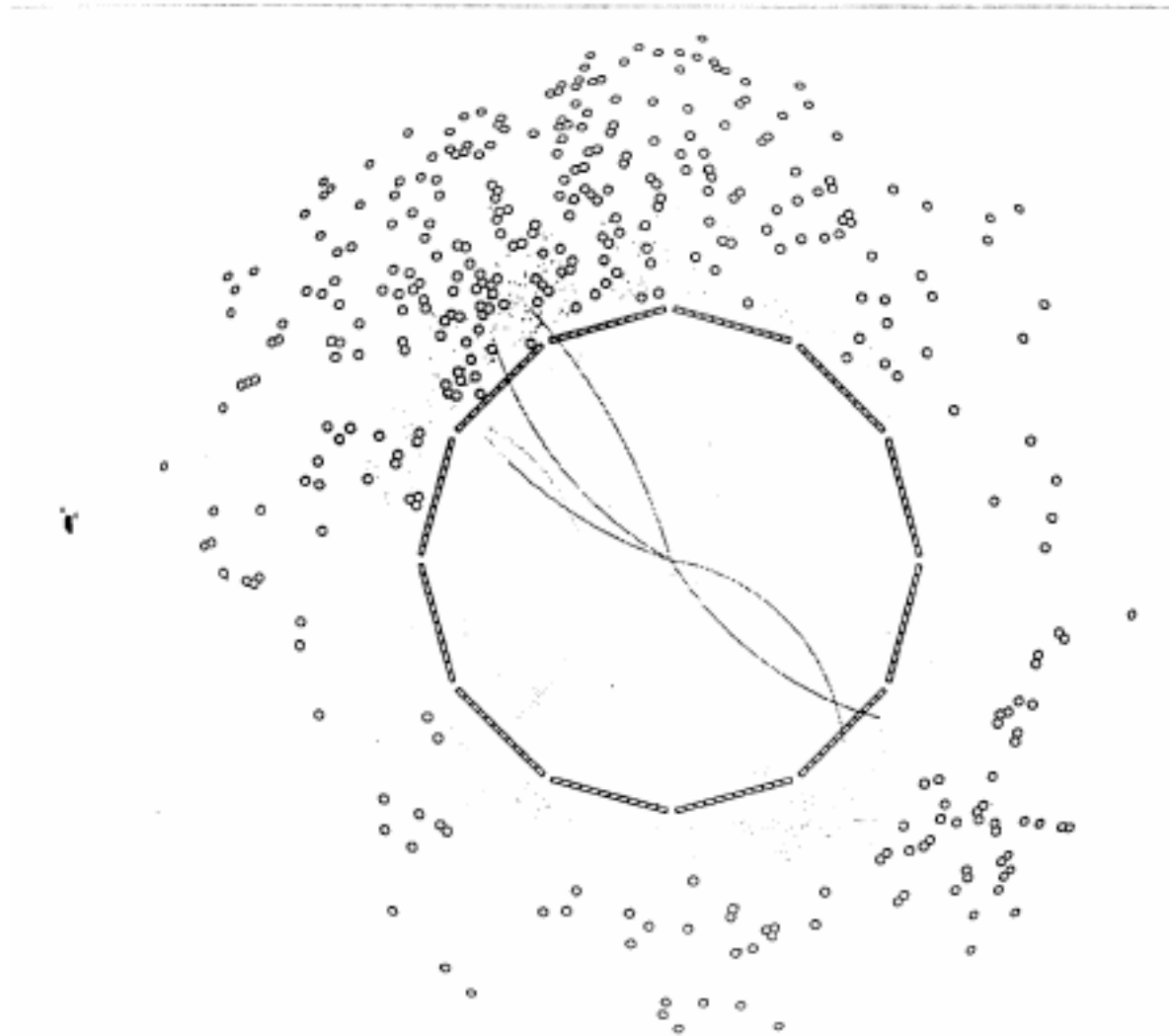


**Good news: It fits!**



**Bad news: Rings get messy due to ambiguities in bouncing**

## Simple event with five charged particles:



**Brute-force circle-finding is an  $O(N^4)$  problem**



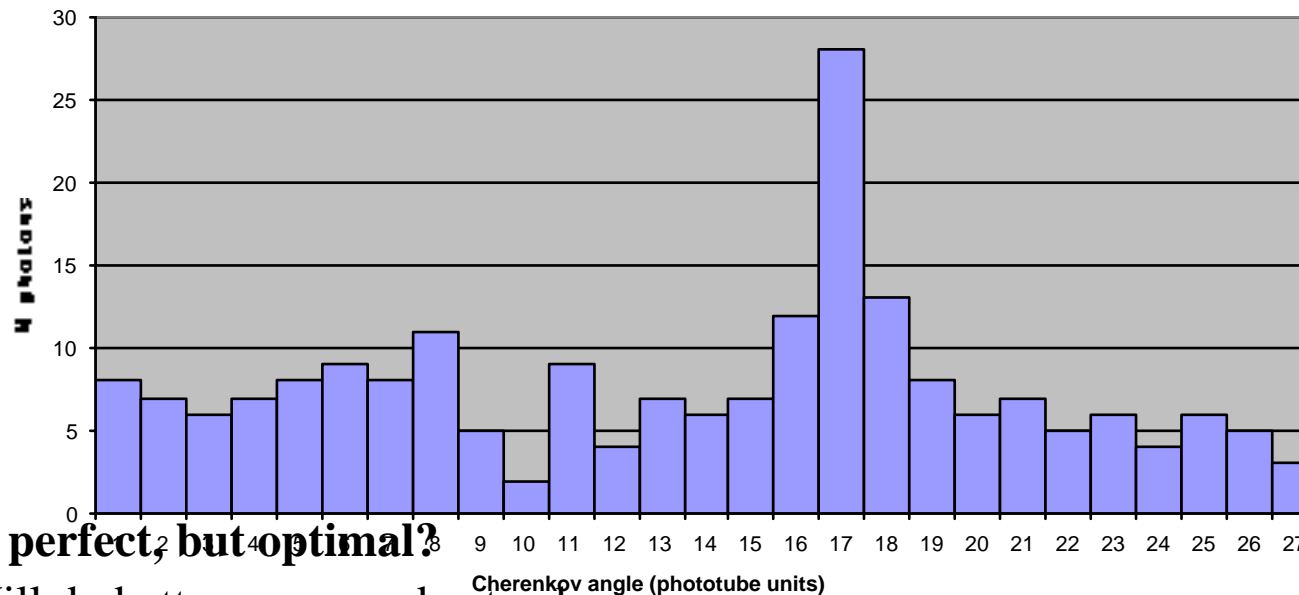
## Realistic solution?

### Use what you know:

- Have track trajectories, know position and angle in DIRC bars
- All photons from a single track will have the same angle w.r.t. track  
No reason to expect that for photons from other tracks

### For each track, plot angle between track and every photon

- Don't do pattern recognition with individual photons
- Instead, look for overall pattern



Not perfect, but optimal?

Will do better as we understand more

## What about the computing behind this?

### **BaBar records about 250k B events per day**

- Hidden in 25 million events recorded/day
- Take data about 300 days per year

### **‘Prompt processing’**

- Want data available in several days
- Reconstruction takes about 3 CPU seconds/evt
- Processed multiple times

E.g. new algorithms, constants, etc

### **We have about 5000 million simulated events to study**

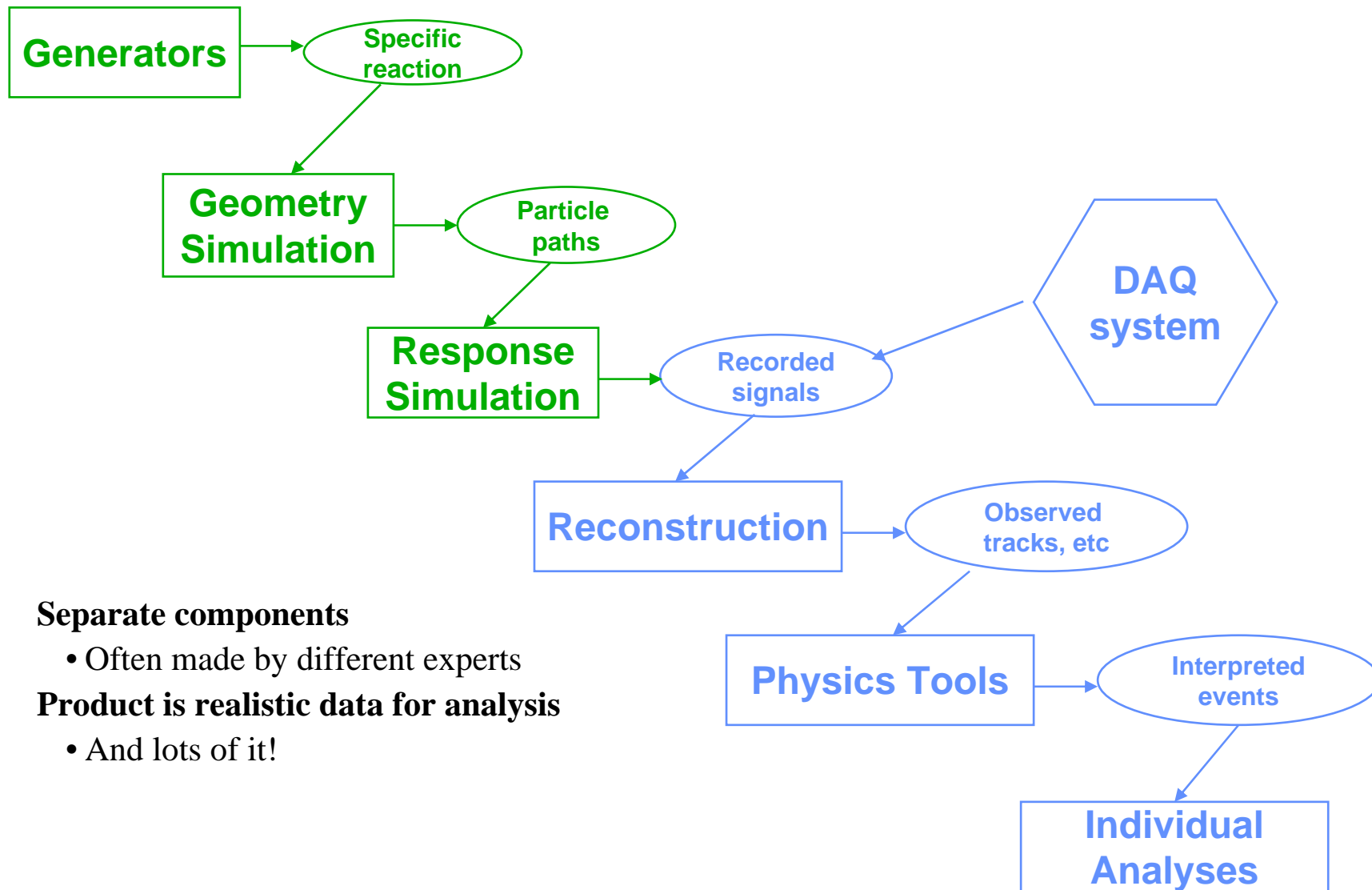
- About half in specific decay modes
- Half ‘generic’ decays to all modes

### **About 4 million lines of code in simulation and reconstruction programs**

- Plus the individual analyses



## Traditional flow of data - real and simulated



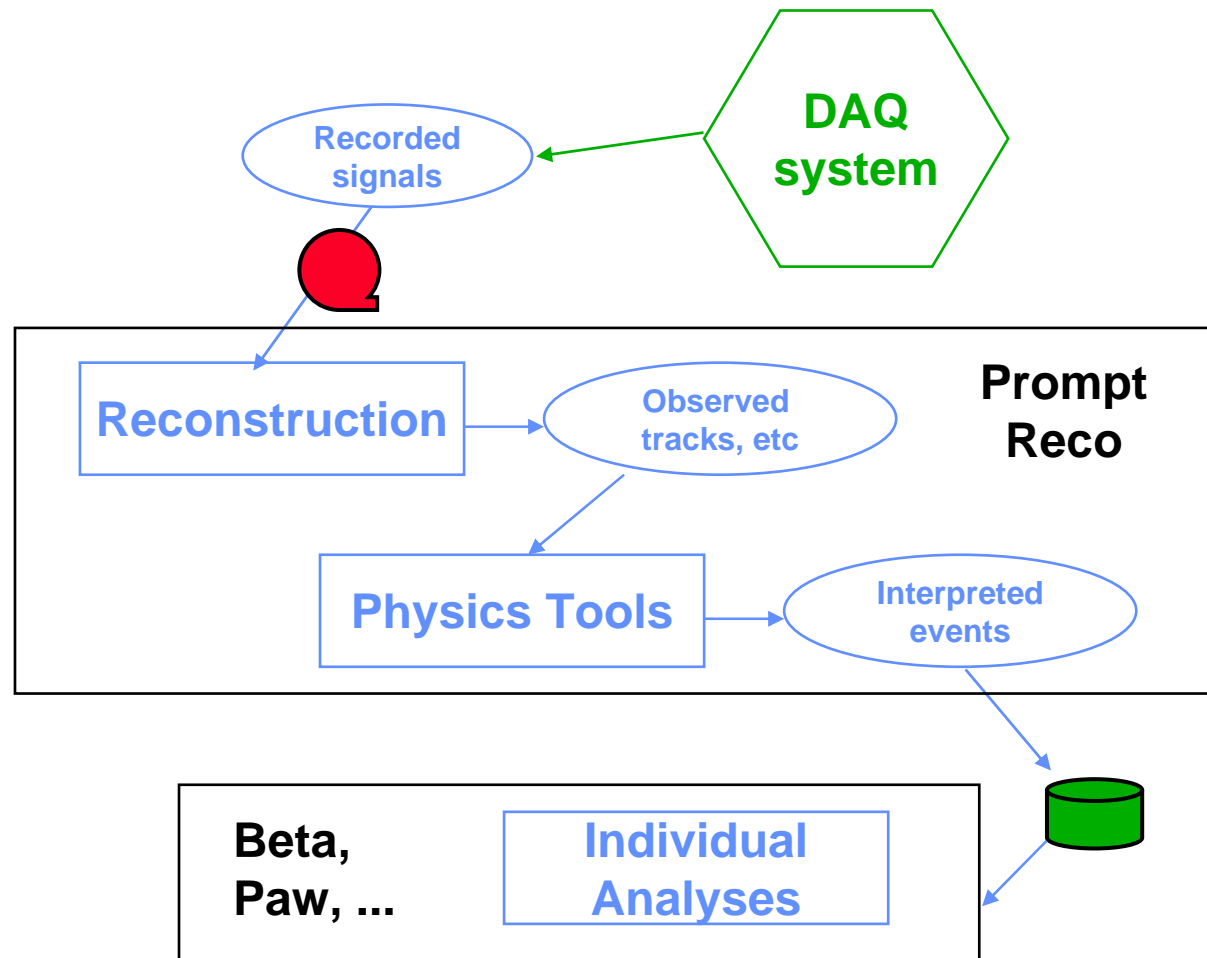
### **Separate components**

- Often made by different experts

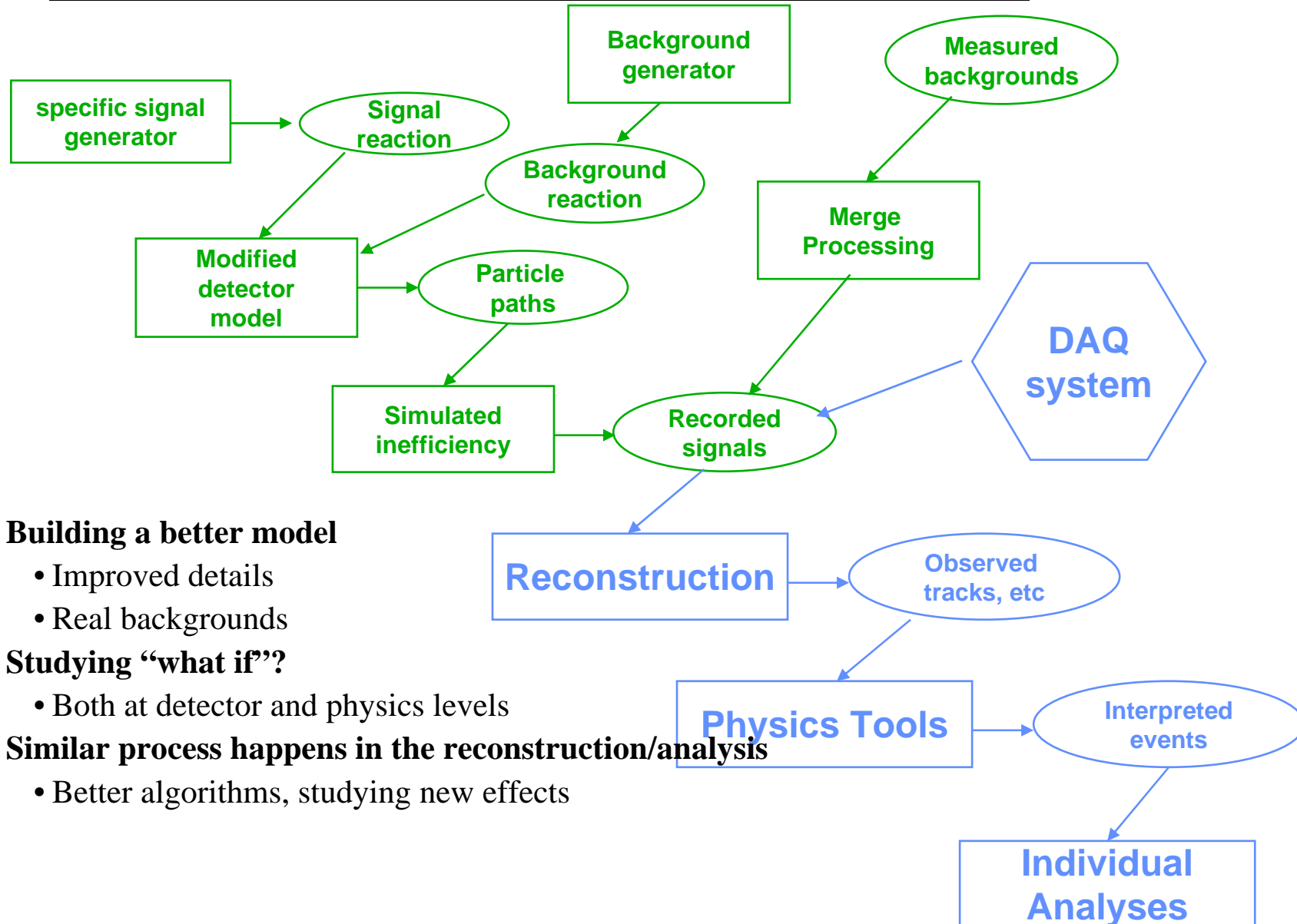
### **Product is realistic data for analysis**

- And lots of it!

# Processing real data



## More detailed studies via more detailed simulation



### **Building a better model**

- Improved details
- Real backgrounds

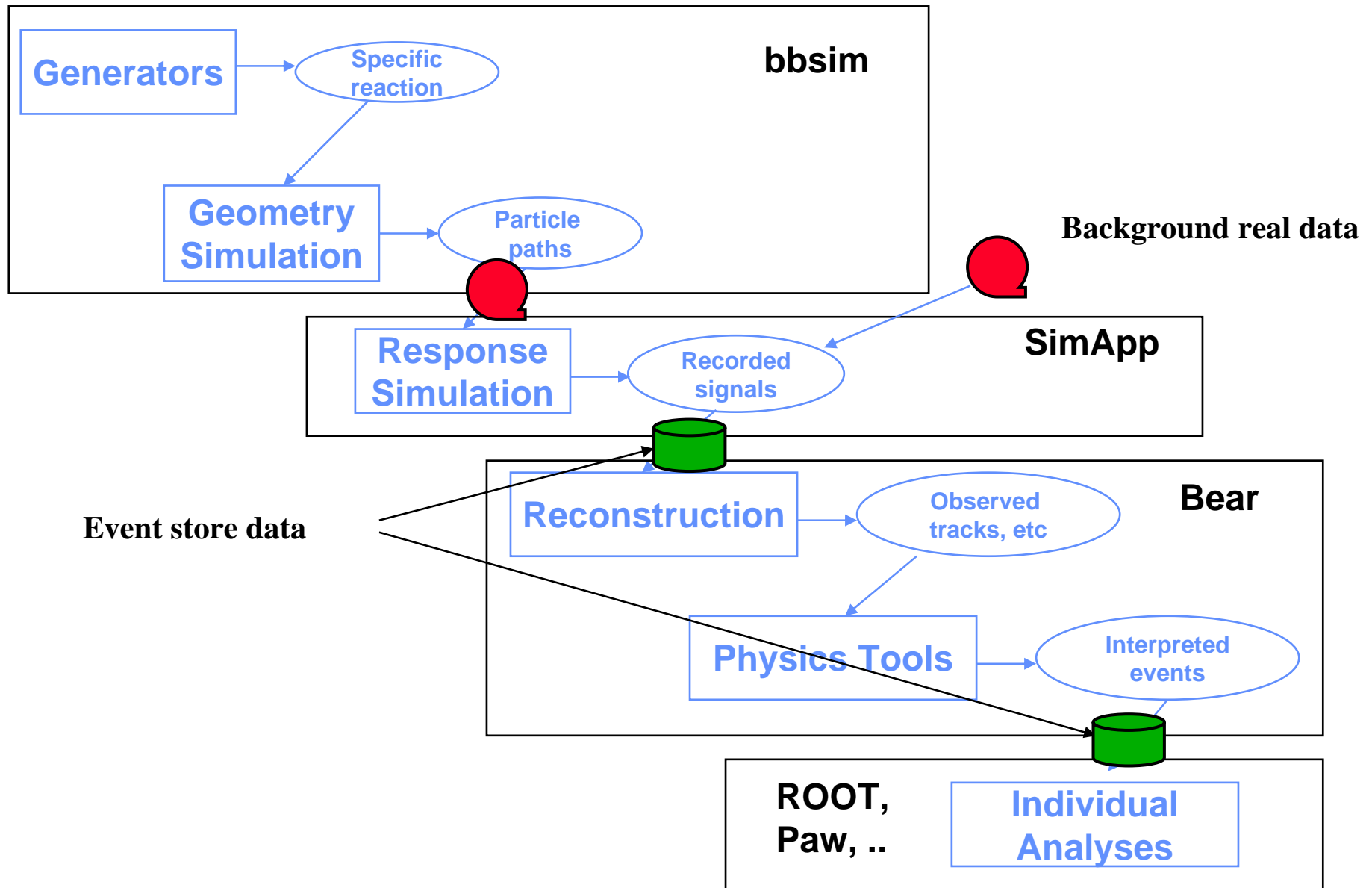
### **Studying “what if”?**

- Both at detector and physics levels

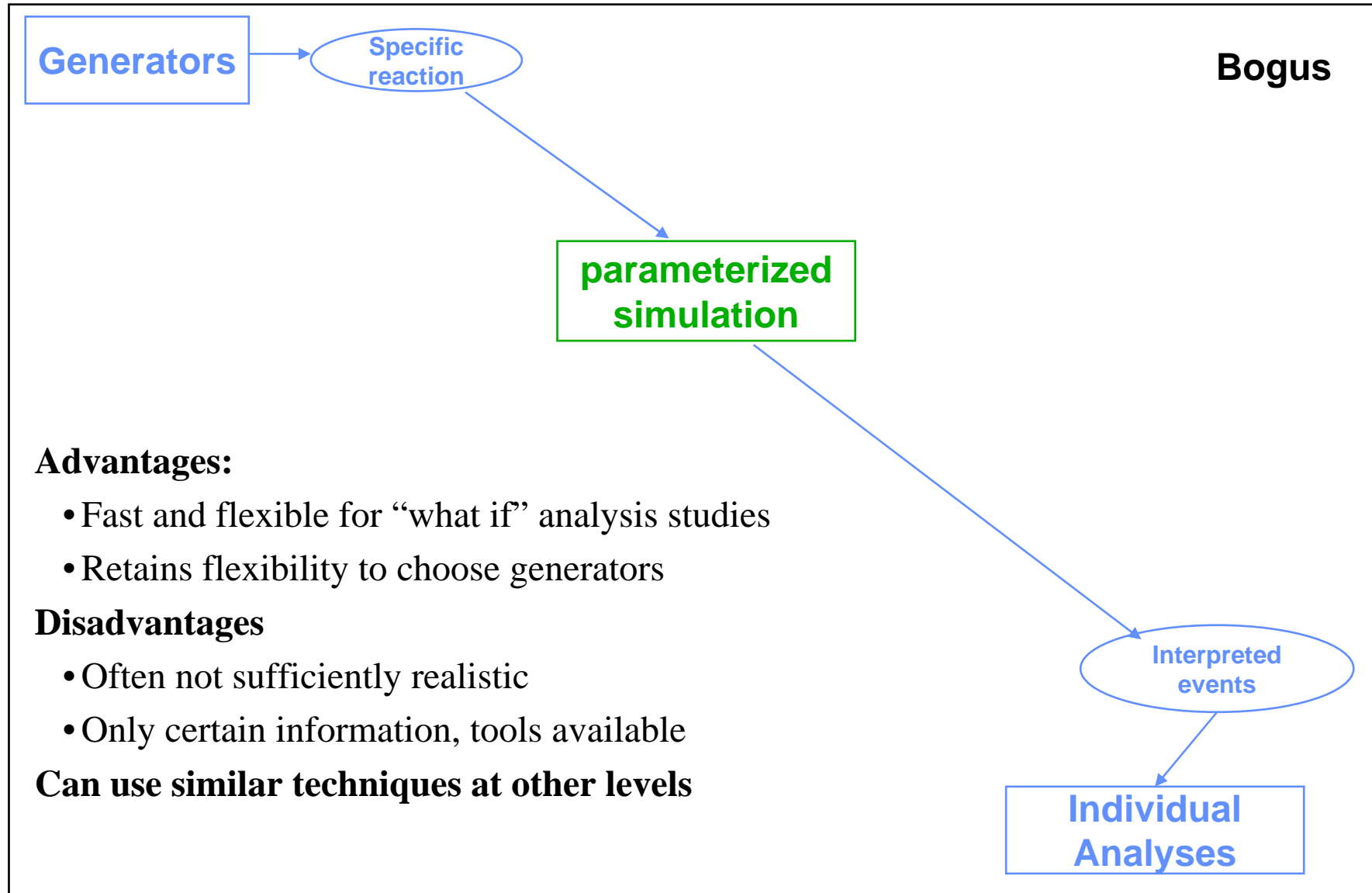
### **Similar process happens in the reconstruction/analysis**

- Better algorithms, studying new effects

# Partitioning production system into programs



## Speed, simplify simulation by crossing levels



## Why do we do this?

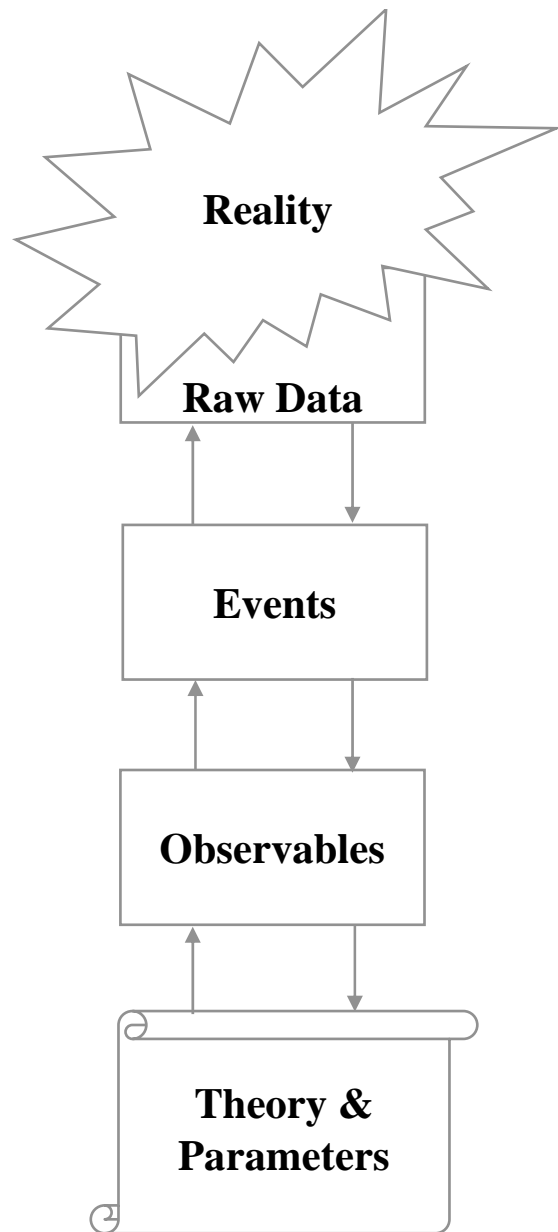
### **Detailed simulations are part of HEP physics**

- Simulations are present from the beginning of an experiment
  - Simple estimates needed for making detector design choices
- We build them up over time
  - Adding/removing details as we go along
- We use them in many different ways
  - Detector performance studies
  - Providing efficiency, purity values for analysis
  - Looking for unexpected effects, backgrounds

### **Why do we use such a structure?**

- Flexibility - we have different versions of the pieces
  - Comparison forms an important cross check
- Efficiency
  - We build up collections of data at each step for repeated study
  - “I found this background effect in the Spring dataset...”
- Manageability
  - Large programs are hard to build, understand, use





**The imperfect measurement of  
a (set of) interactions in the detector**

**A unique happening:  
Run 21007, event 3916 which  
contains a  $J/\psi \rightarrow e\bar{e}$  decay**

**Specific lifetimes, probabilities, masses,  
branching ratios, interactions, etc**

**A small number of general equations, with specific  
input parameters (perhaps poorly known)**

## Analysis: Measuring $\alpha_s$ in QCD

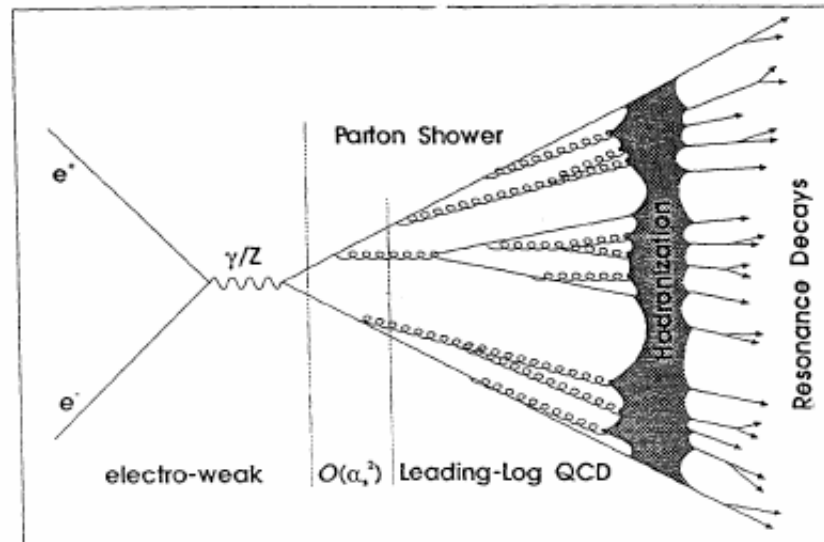
**QCD predicts a set of basic interactions:**

- You can measure the strong coupling constant by the relative rates

$$\mathcal{L}_{\text{QCD}} = \left[ \begin{array}{c} a \text{-----} b \\ \delta^{ab} \end{array} + \begin{array}{c} a \text{-----} b \\ \diagup \quad \diagdown \\ \text{g} f^{abc} \\ c \end{array} + \begin{array}{c} a \text{-----} b \\ \diagdown \quad \diagup \\ \text{g} f^{abc} \\ c \end{array} \right] \\
 + \sum_{\text{flavours}} \left[ \begin{array}{c} l \text{-----} l \\ \delta^l \end{array} + \begin{array}{c} l \text{-----} l \\ \diagup \quad \diagdown \\ \frac{1}{2} g \lambda_a^l \\ a \end{array} \right]$$

**Unfortunately, QCD only makes exact predictions at high energy**

- Low energy QCD, e.g. making hadrons, must be “modeled”



**Compare models to observations in lots of different variables**

**Over time, new models get created and old ones improve**

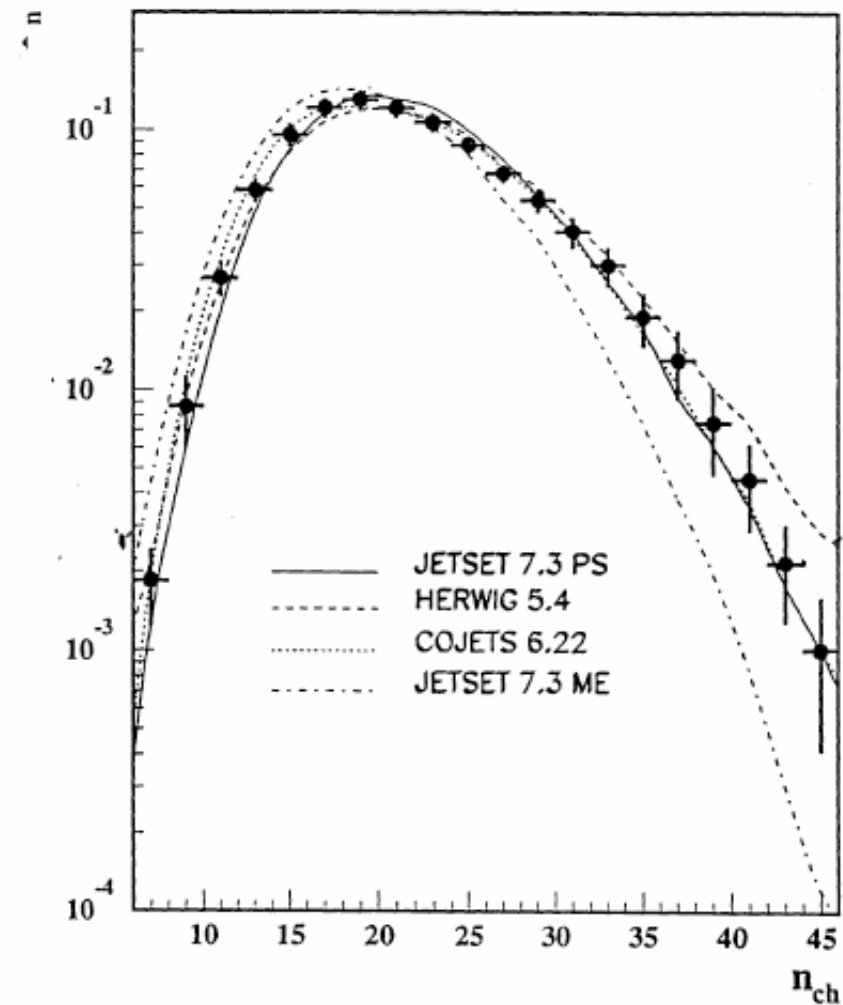
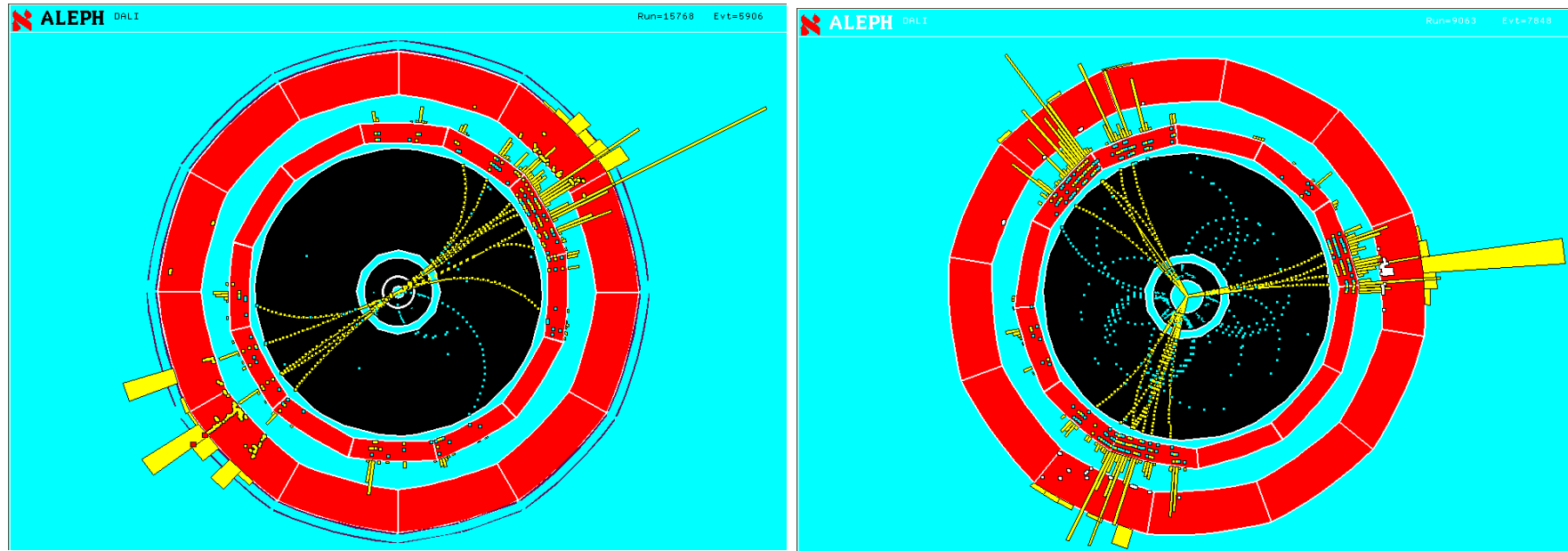


Figure 5: Charged multiplicity distribution measured by the L3 collaboration [28]. The points with error bars are the experimental data, the curves are model predictions.

# “Jets”

Groups of particles probably come from the underlying quarks and gluons



**But how to make this more quantitative?**

- Don't want people “guessing” at whether there are two or three jets
- Need a jet-finding algorithm

**Simple one:**

- Take two particles with most similar momentum and combine into one
- Repeat, until you reach a stopping value “ $y_{\text{cut}}$ ”

## What about that arbitrary cut?

### Nature doesn't know about it

- If your model is right, your simulation should reproduce the data at any value of the cut
- Pick one (e.g. 0.04), and use the number of 2,3,4, 5 jet events to determine  $\alpha_s$ .
- Then check consistency at other values, with other models

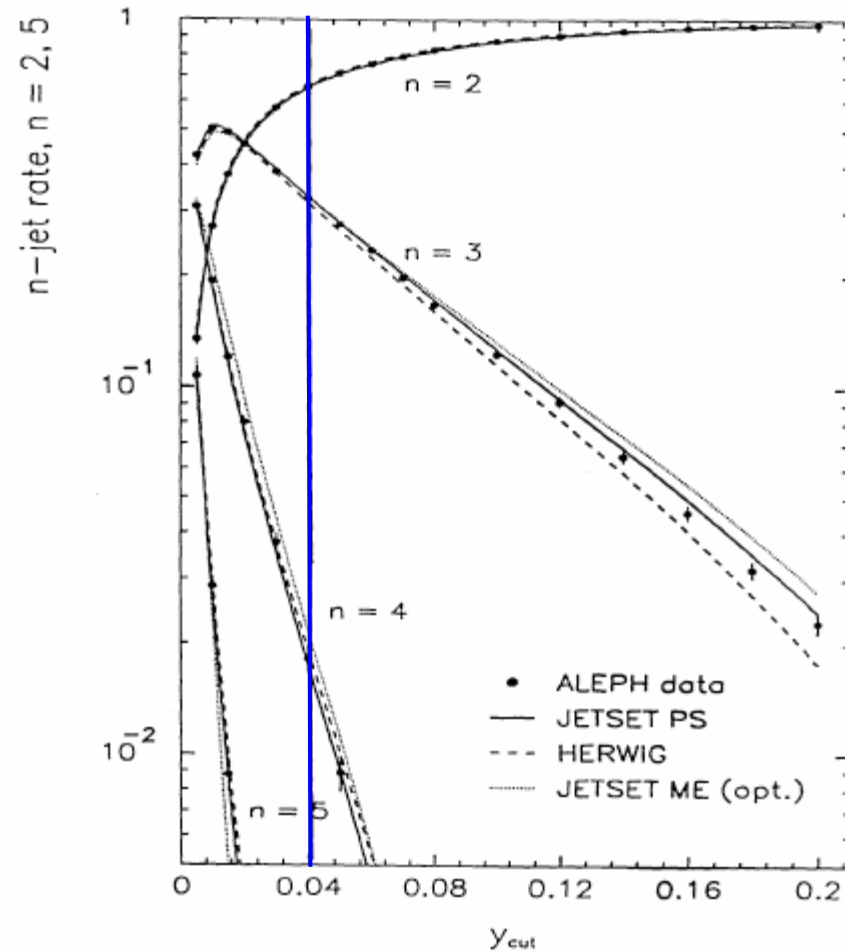


Figure 8: Jet rates determined by the ALEPH-collaboration [29] as function of the jet resolution parameter  $y_{cut}$ . The experimental results are compared to model calculations. Note that neighbouring points are highly correlated.

## Many ways to measure $\alpha_s$

If the theory's right, all get same value  
because all are measuring same thing

If the values are inconsistent, perhaps  
a more complicated theory is needed

Or maybe we just made a mistake...

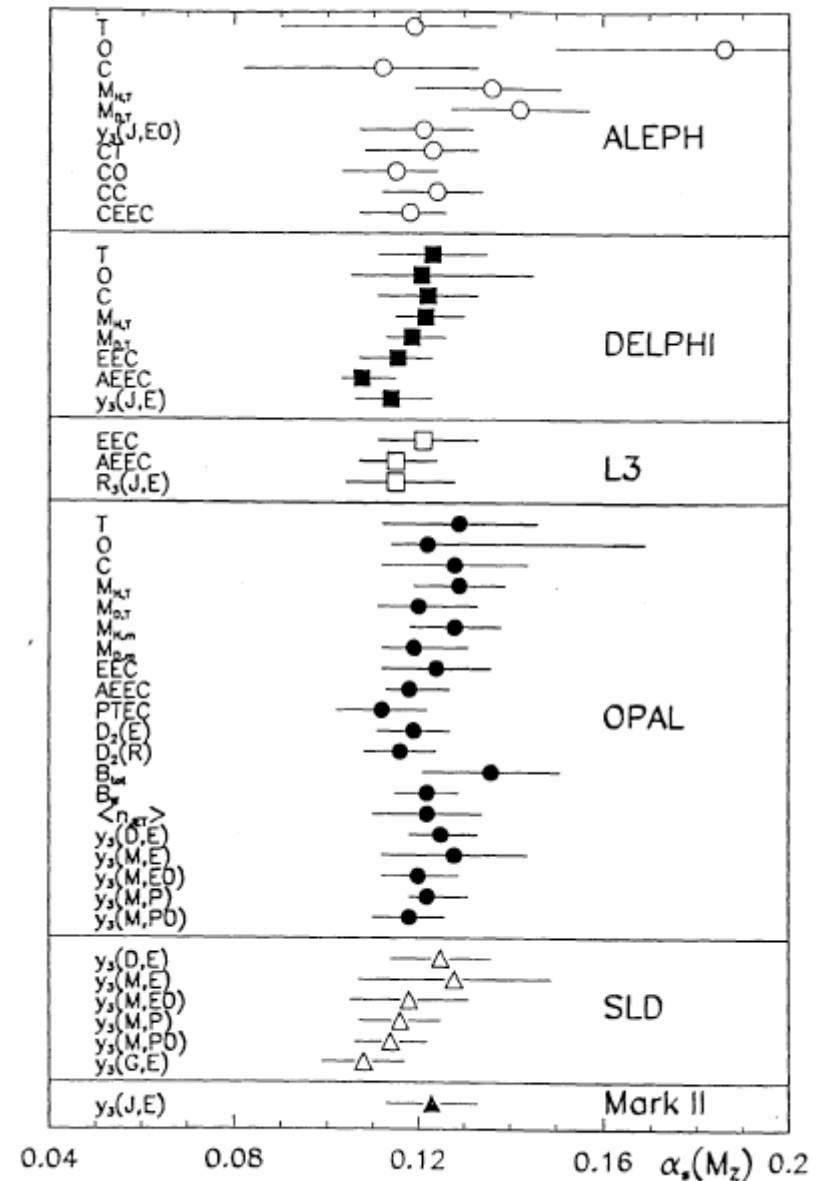


Figure 12: Measurements of the strong coupling constant from event shape variables based on second order QCD predictions.

# **Alignment & Calibration**

## **How do you know the gain of each calorimeter cell?**

- What's the relationship between ADC counts and energy?
- You designed it to have a specific value; does it?

## **How do you know where the tracking hits are in space?**

- Need to know Si plane positions to about 5 microns

## **Start with**

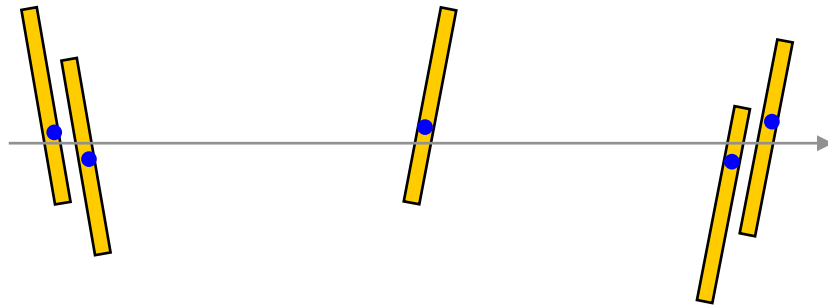
- Test beam information
- Surveys during construction
- Simulations and tests

**But it always comes down to calibrating/aligning with real data**

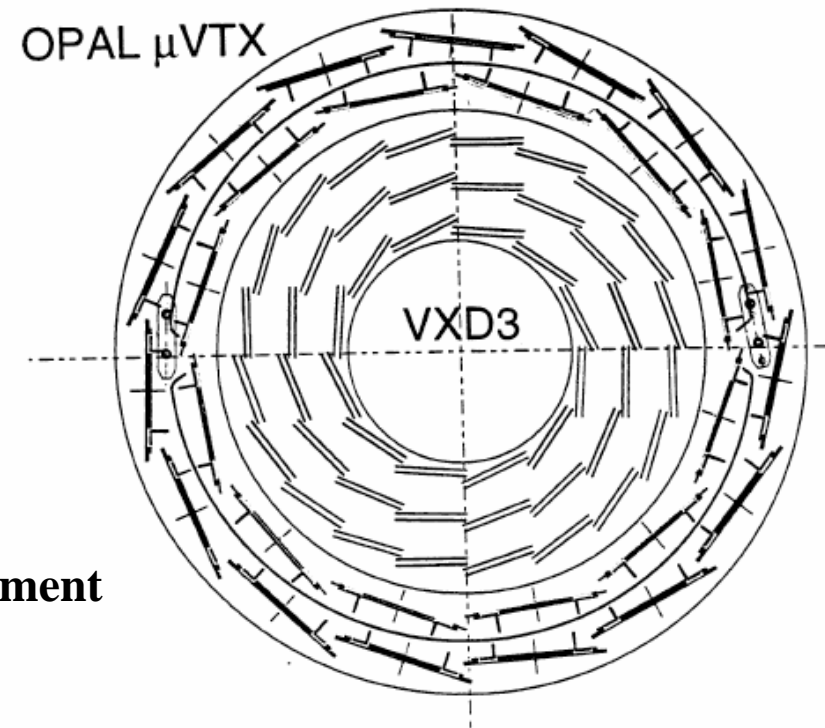
## Example: BaBar vertex detector alignment

**About 700 Si wafers**

- Each with 6 degrees of freedom
- => 4200 alignment constants to find



**Small motions => small changes in alignment  
=> change  $\chi^2$  of track**



**Approach 1: Take  $10^5$  tracks**

**Calculate sum of track  $\chi^2$ s**

**For each of 4200 constants, generate equation from  $\frac{\partial \chi^2}{\partial c_i} = 0$**

**Solve 4200 equations in 4200 unknowns**

**Computationally infeasible**

- Even worse, non-linear fit won't converge

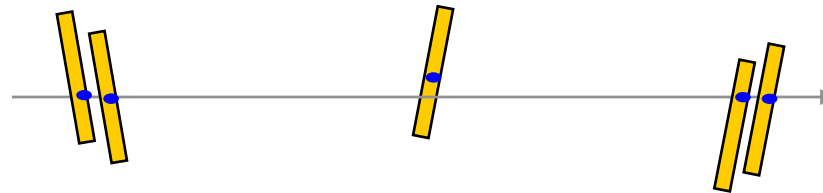
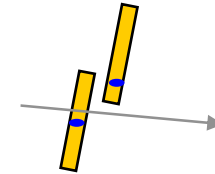


### Instead, break problem into pieces:

- Two mechanical halves => 2x6 “global alignment constants”
- “local” constants within the halves

### Do local alignment iteratively

- Look at pairs of adjacent wafers, and try to position them
- Then use tracks to position entire layers



- And iterate as needed

### Iterative, sensitive process

- Manually guided from initial knowledge to final approximation
- Requires judgement on when to stop, how often to redo

## **Summary**

**Reconstruction and analysis is how we get from raw data to physics papers**

**Throughout, you deal with:**

- Too little information
- Too much detail
- Little prior knowledge

**You have to count on**

- Lots of cross checks
- Prior art
- Tuning and evolutionary improvement

**But you can generate wonderful results from these instruments!**