



**Surviving in space: the challenges  
of a manned mission to Mars**

Lecture 3

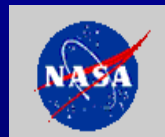
**Modeling the Interaction of the  
Space Radiation in Spacecraft &  
Humans, and Assessing the Risks  
on a Mission to Mars**



# Back to the Moon...

## Then, On to Mars

- President Bush has committed the US Space Program to going to **Mars**...
- The first step will be a return to the **Moon** to develop and test the techniques needed eventually to go to Mars...
- Crew **radiation exposure** has been identified as one of the major problems that must be dealt with to make this possible...



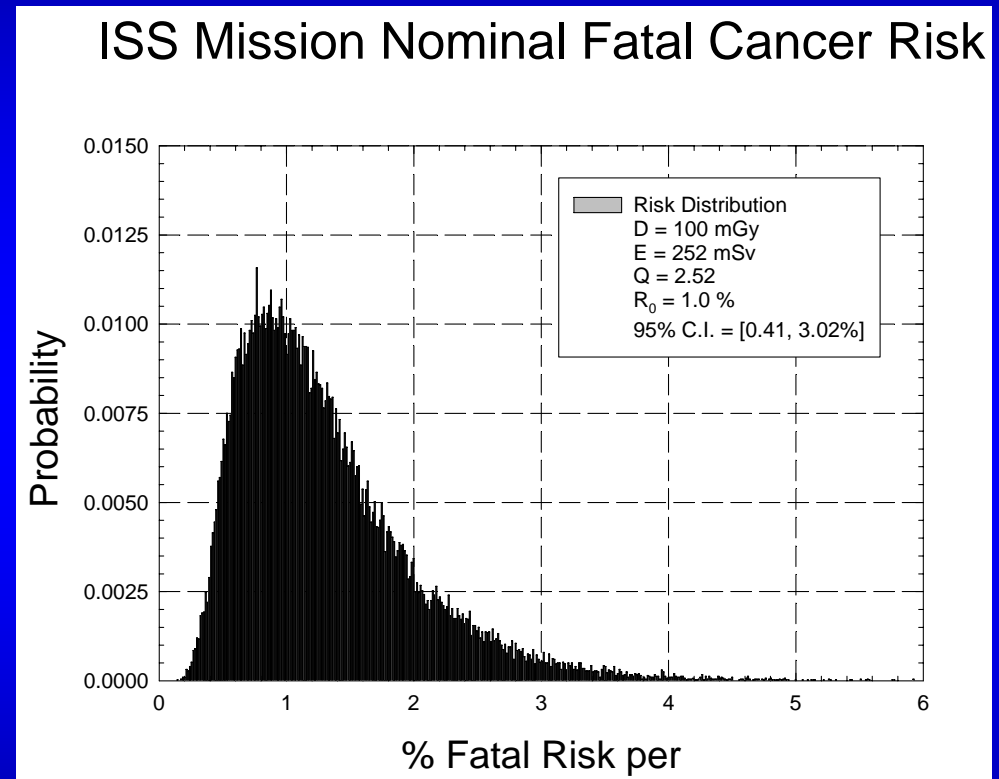
# Radiation Exposure Guidelines

- Recall that astronauts will be held to the same exposure limits in terms of risk to health that ground-based atomic workers are!
- Nominally, for chronic exposure threats the biggest risk is radiation-induced cancer, and the current exposure guideline is to keep the Excess Lifetime Risk (ELR) to under 3% ...



# NASA Revised Standard for Radiation Limits

- ❑ Revised standard applies a 95% confidence level to the career limit of 3% risk of lifetime fatal cancer
  - Approved by NASA Medical Policy Board
- ❑ 95% confidence is conservative
  - Takes specific risk probabilities of individuals into account
  - Narrows range of increased risk
  - Epidemiology, DDREF (Dose and Dose Rate Effectiveness Factor), quality (QF) and dosimetry uncertainties part of evaluation
- ❑ “Lack of knowledge” leads to costs and restrictions



Slide Courtesy of F. Cucinotta, NASA/JSC



# Mars “Reference” Mission

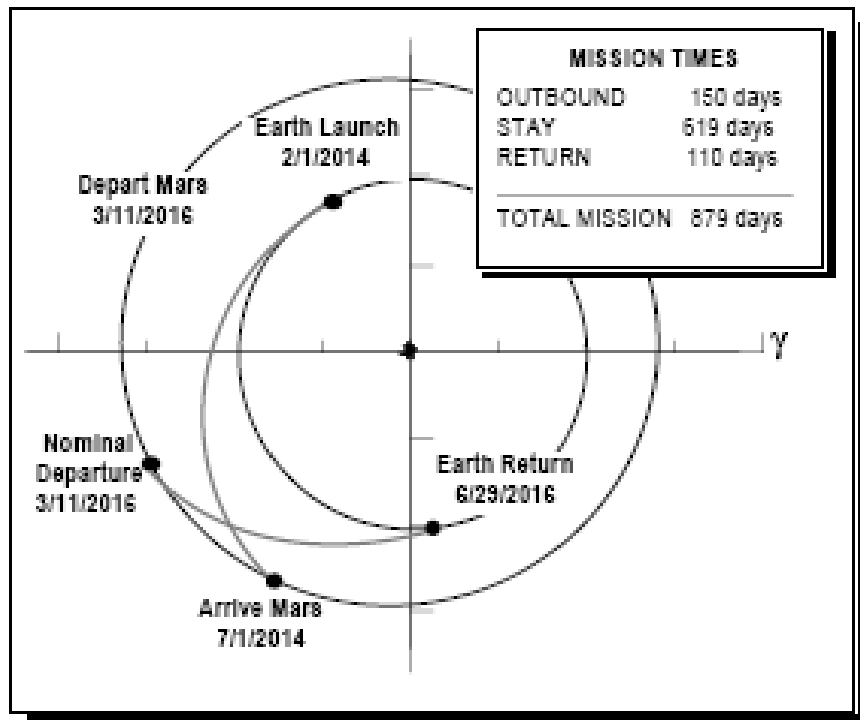
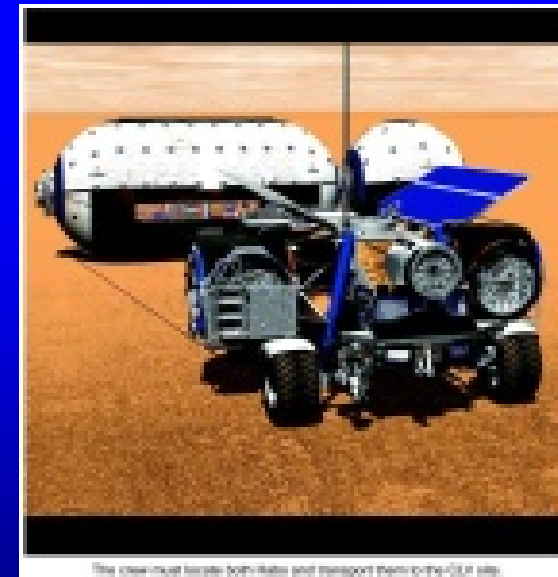
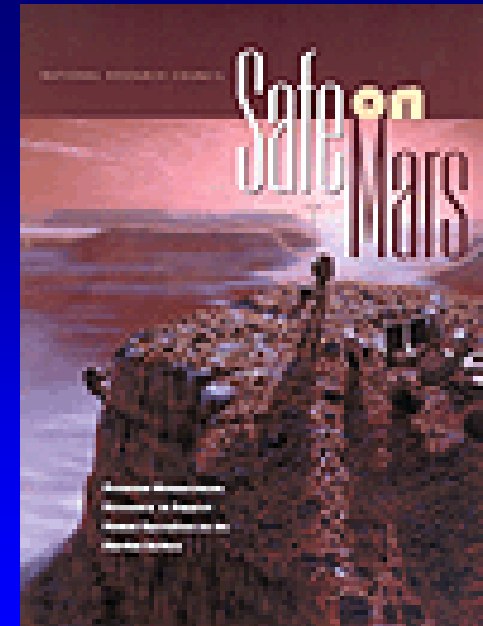


Figure 1-1 Typical fast-transit trajectory.



The crew must locate both habitats and transport them to the OLI site.



# Reference Mars Vehicles

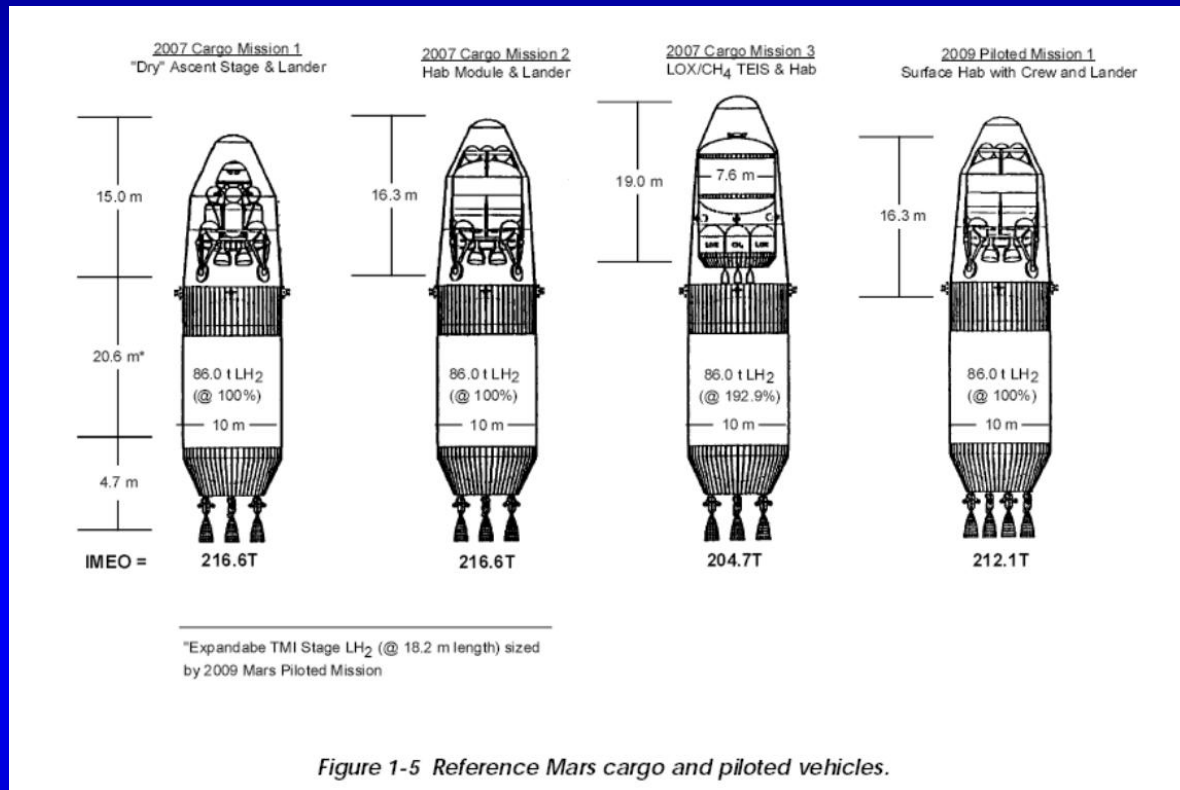


Figure 1-5 Reference Mars cargo and piloted vehicles.

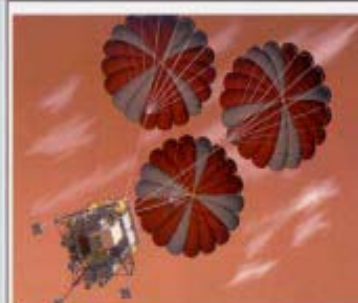
Basic idea is to pre-position the ascent stage and habitats on Mars, along with the orbiting return vehicle before sending the crew with the descent stage... This is just a planning concept, and will likely be modified heavily in practice...





1 Robotic Sample Return Mission Demonstrates Mars Resource Utilization

### Near Term Mars Precursor Strategy



4 Ascent Vehicle and Outpost Cargo Arrives at Mars



7 Backup Ascent Vehicle and Exploration Systems

### Human Exploration of Mars An Exploration Mission Concept Continued



10 Crew Departs Mars After 500 Days on the Surface



2 Energia Launches Mars Habitat Prototype

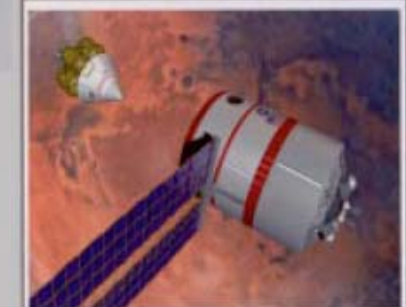
### Human Exploration of Mars An Exploration Mission Concept



5 Power Systems Deployed and Propellant Production Begins



8 Crew Positions Habitation System



11 Rendezvous with Earth Return Vehicle



3 Mars Habitat Prototype Demonstrated on Space Station



6 Arrival of Initial Surface Habitat



9 Pressurized Rover Docked with Habitation System



12 Crew Returns to Earth



## 4-6 crew to lunar surface for extended-duration stay

**CEV:** Earth-moon cruise – 4 days  
Low lunar orbit (LLO) operations- 1 day  
Untended lunar orbit operations – 4-14 days  
Low lunar orbit operations – 1 day  
Moon-Earth cruise – 4 days

**Lunar Lander:** Lunar surface operations 60-90 days

2015-2020



2014

## 4-6 crew to Low Earth Orbit

**Crew Exploration Vehicle:** Launch Environment  
LEO Environment  
Earth entry, water (or land) recovery

## 4-6 crew to lunar surface for long-duration stay

**Lunar Habitat:** Lunar surface operations 60-90 days

2020

Spiral 5

2030+

Crew TBD to Mars surface  
Surface Habitat

2025+

## Crew TBD to Mars Vicinity

**Transit vehicle:** Earth-Mars cruise – 6-9 months  
Mars vicinity operations – 30-90 days  
Mars-Earth cruise – 9-12 months

Slide Courtesy of F. Cucinotta, NASA/JSC

NASA ESMD



# Mars Mission Exposure Regimes

- Trans-Mars & Trans-Earth Vehicle
  - Normal Crew Compartment
  - “Storm Shelter” within Vehicle
  - Spacesuit EVAs
- Martian Surface
  - Within Surface Habitat
  - Possible “Storm Shelter” within Habitat
  - EVA Vehicle
  - Spacesuit EVAs



# DEEP SPACE GCR DOSES

## Trans-Mars & Trans-Earth

- Annual bone marrow GCR doses will range up to ~ 15 cGy at solar minimum (~ 40 cSv) behind ~ 2cm Al shielding
- Effective dose at solar minimum is ~ 45-50 cSv per annum
- At solar maximum these are ~ 15-18 cSv
- Secondary neutrons and charged particles are the major sources of radiation exposure in an interplanetary spacecraft
- **No dose limits yet for these missions**

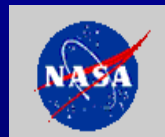
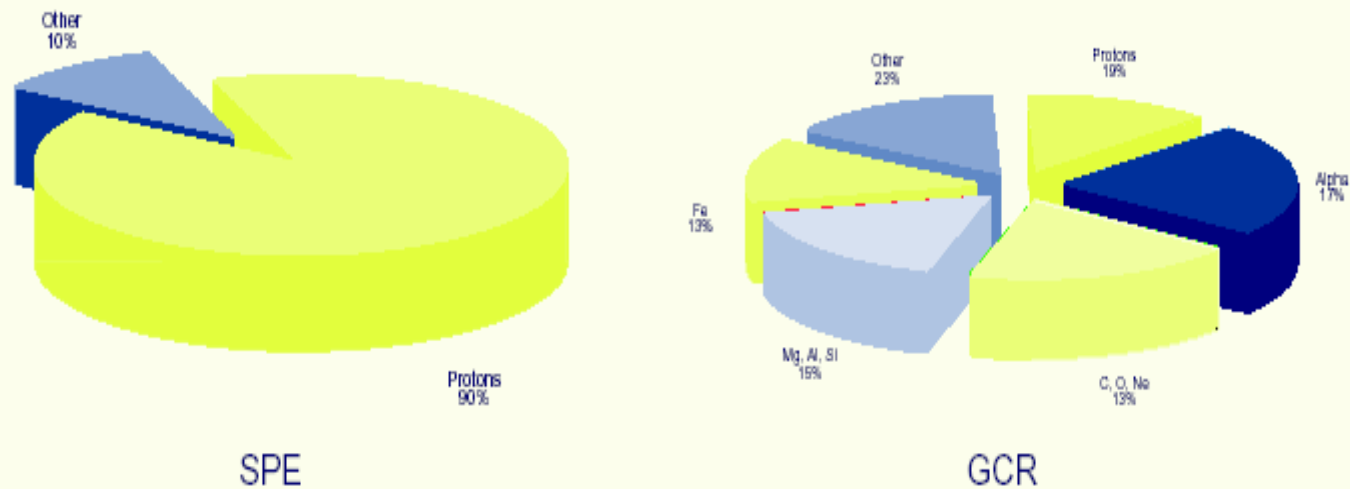
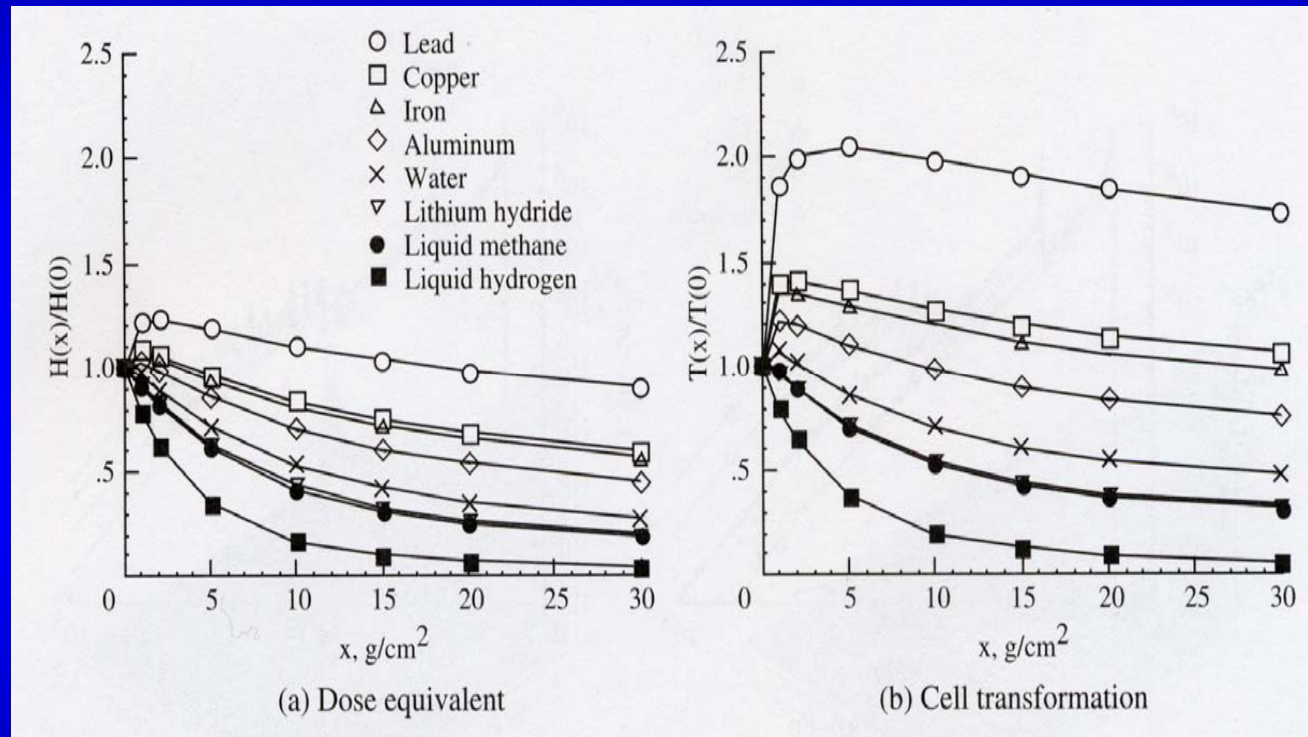


Figure D.4. Relative Contribution of Different Components of Space Radiation to Dose Equivalent



# Dose v. Shielding Depth For Various Materials



From NASA CP3360, J.W. Wilson et al., eds.



# Cosmic Rays and Nuclear Physics

- **NASA** Sponsors Nuclear Modeling to Obtain Nucleus-Nucleus EVENT GENERATORS for use in Monte Carlo Transport Codes...
- Like **FLUKA**...
  - We have embedded **DPMJET** 2.5 & 3 (for  $E > 5 \text{ GeV/A}$ )
  - as well as **RQMD** (for  $100 \text{ MeV/A} < E < 5 \text{ GeV/A}$ )



## ...And, There is an Ongoing NASA-Sponsored Program to Improve the Accuracy of the Event Generators

- There is a Dual Program to Both Model and Measure Cross Sections...
  - The Focus is on the  $E < 5\text{GeV}/A$  Region...
  - Several Approaches are being taken...
  - The NASA-FLUKA Team is developing a new Hamiltonian QMD Formalism (HQMD).
- These Improvements will Directly benefit many Cosmic Ray Applications...
  - Air Shower Calculations...
  - Galactic Transport Calculations...
  - Experimental Corrections...



## The FLUKA Monte Carlo code

- hadron-hadron and hadron-nucleus interactions 0-100 TeV
- nucleus-nucleus interactions 5 GeV/u-10,000 TeV/u (DPMJET)
- electromagnetic and  $\mu$  interactions 0-100 TeV
- neutron multigroup transport and interactions 0-20 MeV
- nucleus-nucleus interactions below 5 GeV/u down to 100 MeV/u (by coupling with the RQMD 2.4 code)
- parallel development of an original non-relativistic QMD code down to  $\sim 20$  MeV/u

Courtesy of F. Balarini



# New Methods

Quality factors      Yields of “Complex Lesions”

GCR and SPE spectra

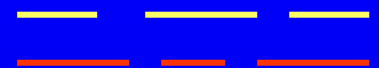
**FLUKA**

Dose

Equivalent dose

“Biological dose”

(Complex Lesions/cell)

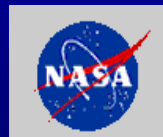
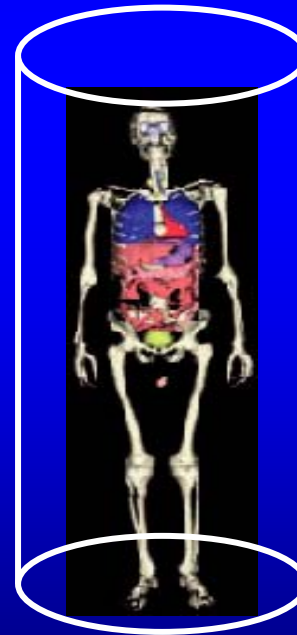


←→  
30 DNA base-pairs

“voxel” phantom (287 regions,  $> 2 \times 10^6$  voxels)

Courtesy of F. Balarini

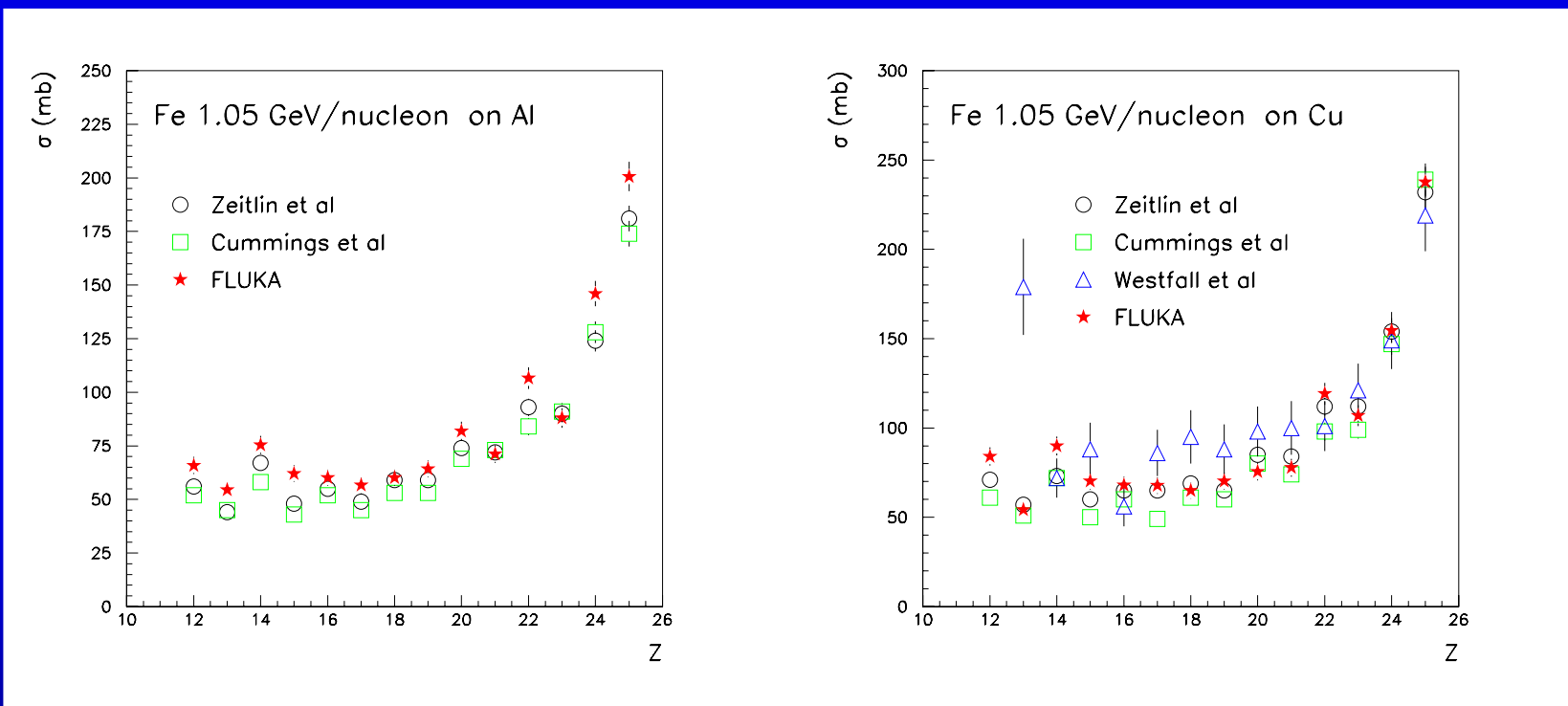
mathematical phantom  
(68 regions)



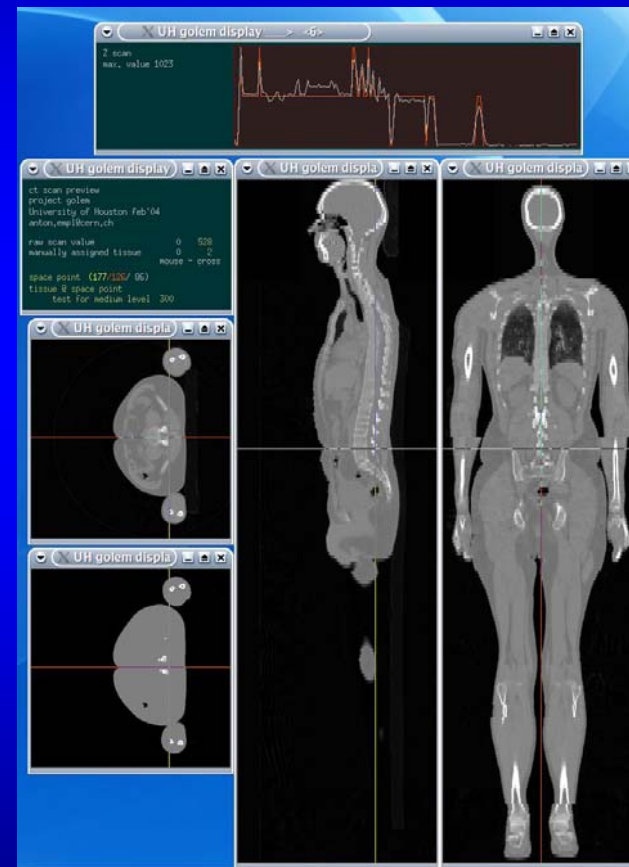
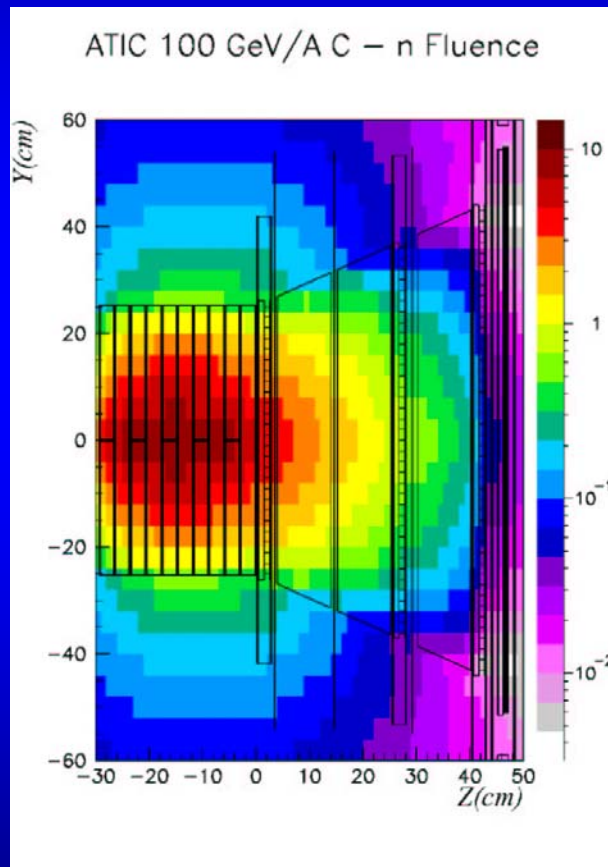


# FLUKA rQMD

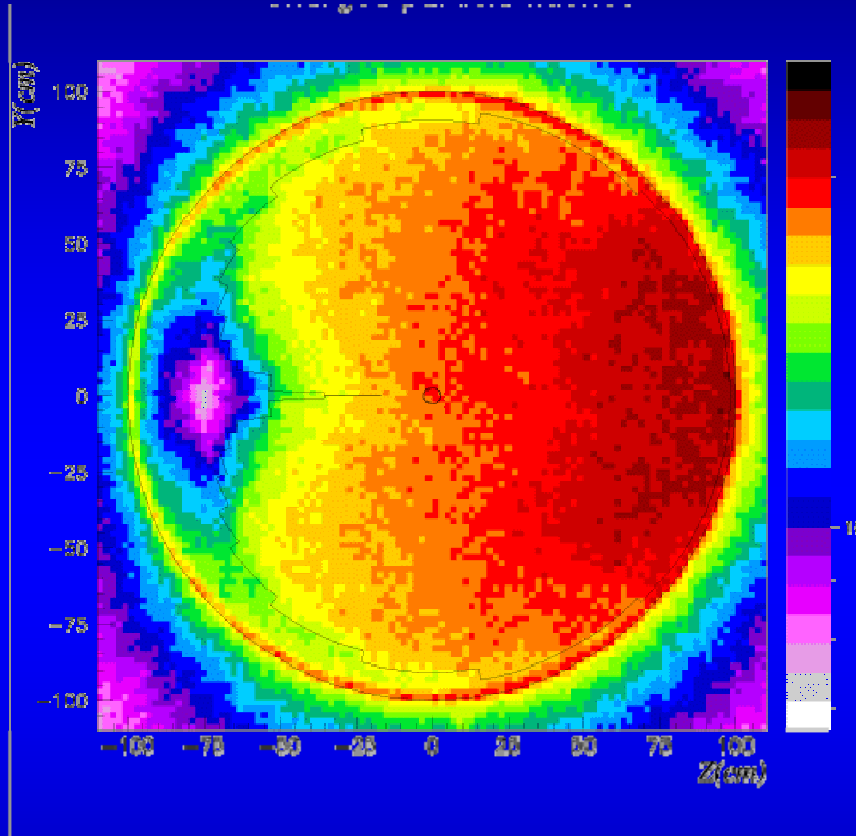
## Fragmentation Yields



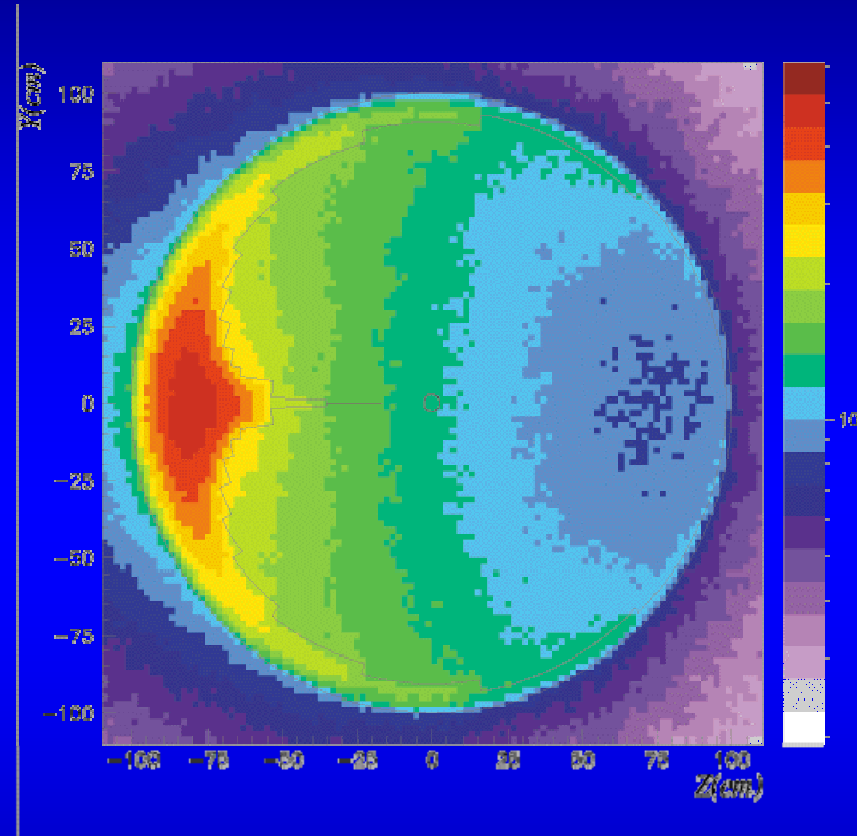
# FLUKA Simulations



# FLUKA MIR TPEC Simulations



Charged Particle Fluences



Neutron Fluences

(Note the albedo fluences outside of the phantom...)



# Future developments

**Further validation of the FLUKA Monte Carlo code in space radiation protection problems with simple shielding geometry**



**Repetition for more realistic geometries of shielding and spacecraft**

Ultimately, we will need to assess the risk from this kind of radiation field, and that is a Biology Problem, not a physics challenge...



# Annual GCR Doses

Al Shield (g cm <sup>-2</sup> )	Skin		Bone Marrow		Annual Effective Dose (cSv)
	Annual Dose (cGy)	Annual Dose Equiv. (cSv)	Annual Dose (cGy)	Annual Dose Equiv. (cSv)	
<b>1970-71 Solar Maximum</b>					
1	6.2	27.4	5.7	16.7	17.9
5	6.4	24.6	5.8	15.6	16.7
10	6.5	21.8	5.8	14.6	15.4



# Fatal Cancer Risk near Solar Maximum\*

(Males) Slide Courtesy of F. Cucinotta, NASA/JSC

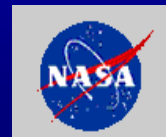
<u>Mission</u>	<u>D, Gy</u>	<u>E, Sv</u>	<u>%REID</u>	<u>95% CI</u>
		<i>5 g/cm<sup>2</sup> Al</i>		
Lunar (90 d)	0.45	0.69	2.7	[0.92,7.4]
Lunar (600 d)	0.63	1.21	4.4	[1.5,13.3]
Lunar (1000 d)	0.66	1.24	4.4	[1.5,13.0]
		<i>20 g/cm<sup>2</sup> Al</i>		
Lunar (90 d)	0.042	0.09	0.35	[0.11,1.2]
Mars (600 d)	0.22	0.54	2.0	[0.65, 6.8]
Mars (1000 d)	0.25	0.60	2.1	[0.69, 7.2]

\**Phi=1100 MV (solar modulation) with Aug. 1972 SPE in transit*



# Solar Min Annual GCR Dose

Al Shield (g cm <sup>-2</sup> )	Skin		Bone Marrow		Annual Effective Dose (cSv)
	Annual Dose (cGy)	Annual Dose Equiv. (cSv)	Annual Dose (cGy)	Annual Dose Equiv. (cSv)	
<b>1977 Solar Minimum</b>					
1	18.4	79.8	16.4	44.5	48.8
5	18.3	66.9	16.3	40.5	43.7
10	18.0	56.2	16.1	37.0	39.3

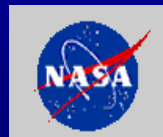


# Fatal Cancer Risk at Solar Minimum (20 g/cm<sup>2</sup> Aluminum Shielding)

<u>Mission</u>	<u>D, Gy</u>	<u>E, Sv</u>	<u>%REID</u>	<u>95% CI</u>
		<i>Males</i>		
Lunar (90 d)	0.03	0.071	0.28	[0.09,0.96]
Mars (600 d)	0.36	0.87	3.2	[1.0,10.5]
Mars (1000 d)	0.41	0.96	3.4 (3.2)*	[1.1,11.0]
		<i>Females</i>		
Lunar (90 d)	0.03	0.071	0.34	[0.11,1.2]
Mars (600 d)	0.36	0.87	3.9	[1.2, 12.8]
Mars (1000 d)	0.41	0.96	4.1 (4.5)**	[1.4, 14.4]

\*Parenthesis exclude Prostate cancer; \*\*Parenthesis LSS-report 12 (others report 13)

Slide Courtesy of F. Cucinotta, NASA/JSC





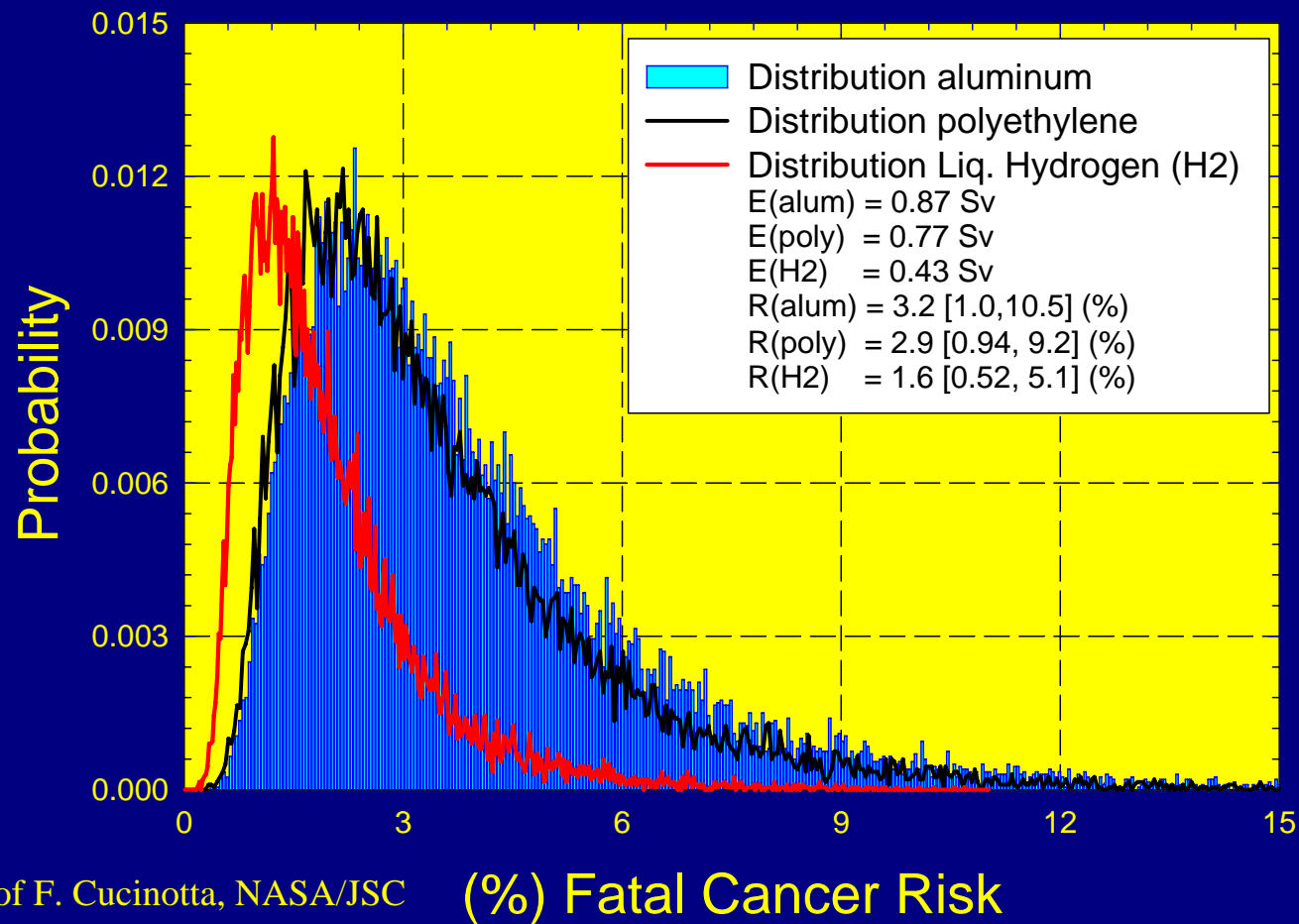
# Material Shielding and GCR Risks

- Do materials rich in hydrogen and other light atomic mass atoms significantly reduce GCR risks?
- Possible advantages
  - High  $Z/A$  ratio increases stopping effectiveness
  - Higher projectile fragmentation per unit mass in hydrogen
  - Reduced target fragmentation per unit mass in hydrogen
- Possible physics limitations to GCR shielding approaches
  - GCR not stopped in practical amounts of shielding
  - Target fragmentation is largely short-range and correlated with projectile track
  - Target fragments in tissue occur for all materials and largely produced by relativistic ions not absorbed by shielding
- Do the biological uncertainties prevent us from knowing?



# Significance of Shielding Materials for GCR

## Spiral 4 (40-yr Males): 20 g/cm<sup>2</sup> Shields



Slide Courtesy of F. Cucinotta, NASA/JSC



CERN Course – Lecture 3  
October 28, 2005 – L. Pinsky



Modeling the Interaction of the Space  
Radiation in Spacecraft & Humans, and  
Assessing the Risks on a Mission to Mars



# GCR Risks

- Clearly, annual doses  $< 20\text{cGy}$  present no acute health hazard to crews on deep space missions
- Hence only stochastic effects such as cancer induction and mortality or late deterministic effects, such as cataracts or damage to the central nervous system are of concern.
- Unfortunately, there are **NO DATA** for human exposures from these radiations that can be used to estimate risks to crews
- In fact, as noted yesterday, it is not clear that the usual methods of estimating risk by calculating dose equivalent are even appropriate for these particles



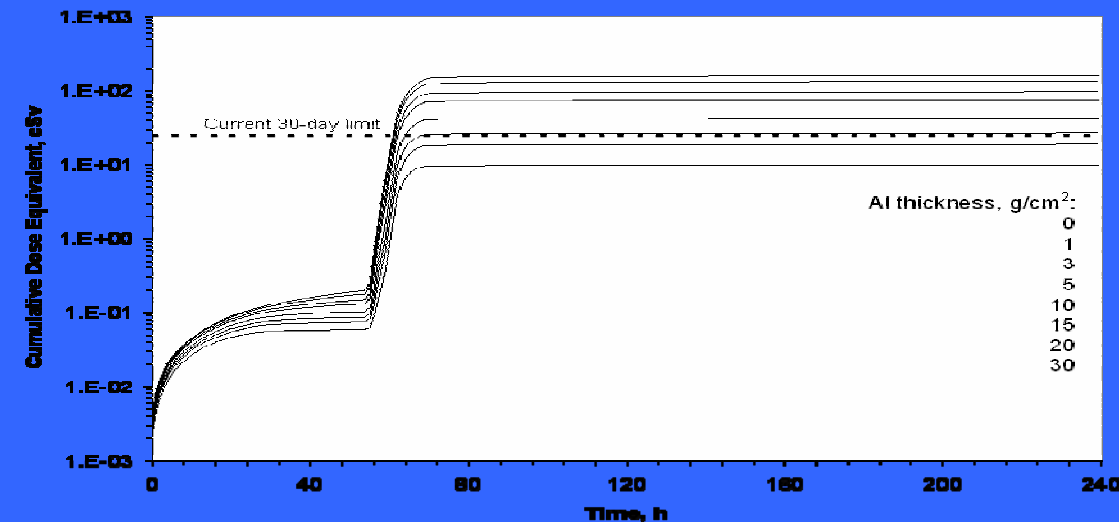
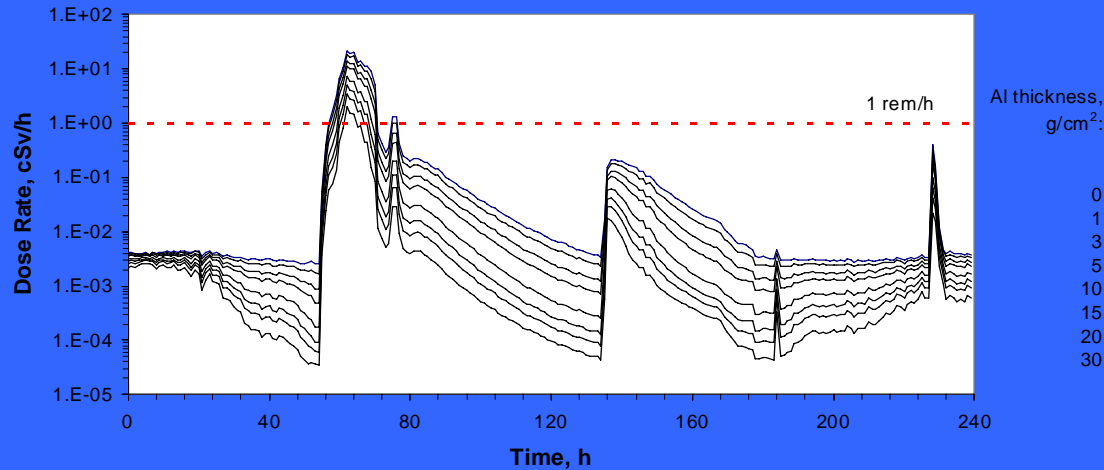
# CONCLUSIONS - GCR

- Cancer risks for exploration missions
  - Risks from GCR are 2 to 5 percent mortality with upper 95% C.I. exceeding 10% for both males and females
    - Shielding will not significantly reduce GCR risks
    - Materials have unknown benefits because of biological uncertainties
  - **Risks from SPE are manageable with shielding approaches**
    - **Hydro-carbon shields offer a mass reduction over Aluminum shields of factor of two or more for acute effects from most SPE spectra**
    - **Benefits for cancer risk reduction are similar, however not significant for poly or similar materials again due to biological uncertainties**
- Uncertainty factors of 4-fold for GCR and 2.5 fold for SPE do not include several model assumptions
  - Uncertainties for Mars mission likely higher than estimated here
- Exploration vehicle shielding should focus on SPE not GCR

Slide Courtesy of F. Cucinotta, NASA/JSC



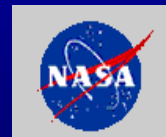
# August 1972 Solar Particle Event



BFO Dose, rem (cSv)

<u>Depth</u>	<u>Alum</u>	<u>Poly</u>
5	61.1	39.1
10	20.9	10.7
20	4.57	1.83

Slide Courtesy of  
F. Cucinotta, NASA/JSC

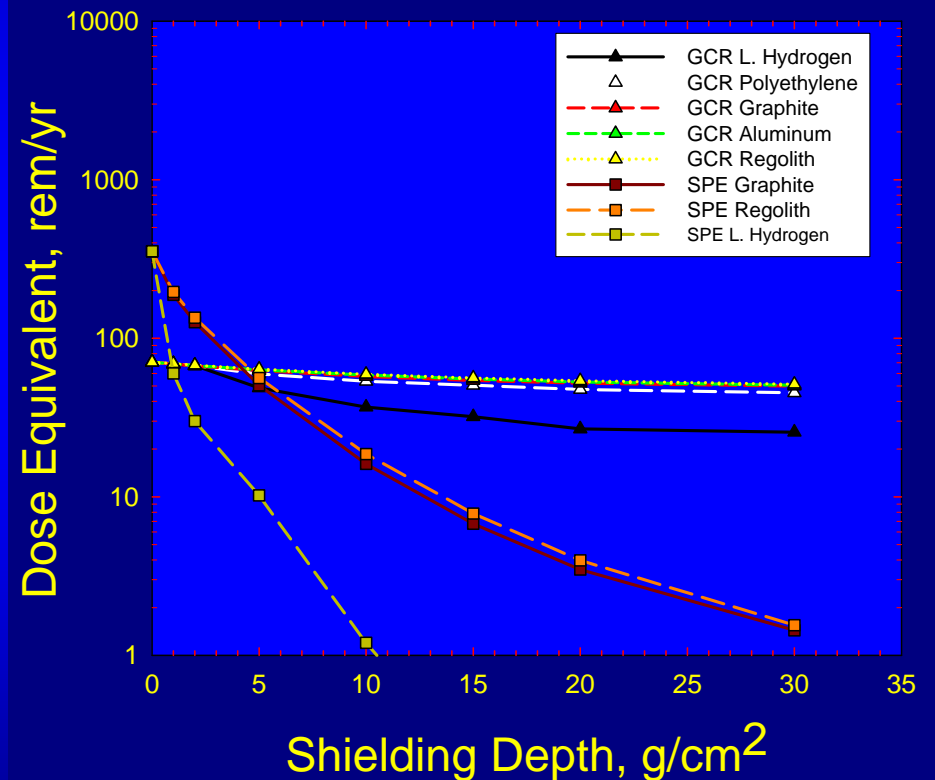
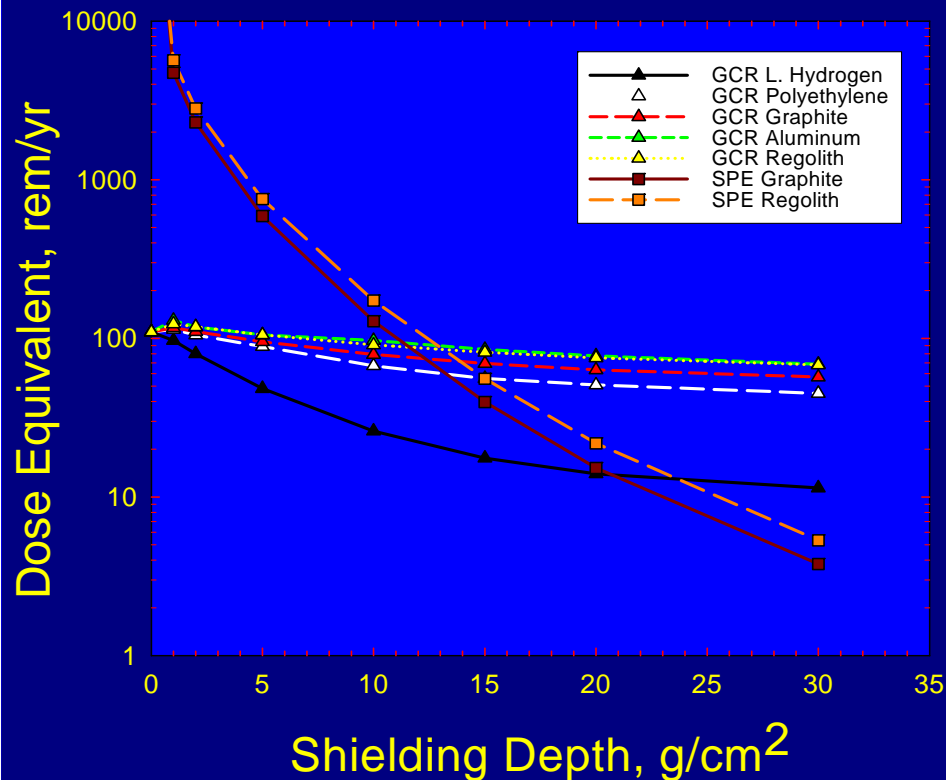


# GCR and SPE Dose: Materials & Tissue

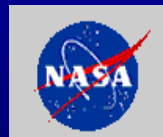
- GCR much higher energy producing secondary radiation

No Tissue Shielding

With Tissue Shielding



August 1972 SPE Slide Courtesy of F. Cucinotta, NASA/JSC

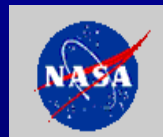


# August 1972 SPE - comparison to NCRP limits

Al shield (g/cm <sup>2</sup> )	Skin		Lens		BFO	
	<i>Erma</i>	<i>Golem</i>	<i>Erma</i>	<i>Golem</i>	<i>Erma</i>	<i>Golem</i>
1	13.31	11.63	6.89	8.01	1.80	2.76
2	7.25	6.57	4.90	5.81	1.32	1.95
5	2.23	2.11	1.60	1.79	0.62	0.88
10	0.62	0.60	0.56	0.42	0.25	0.33 !!!

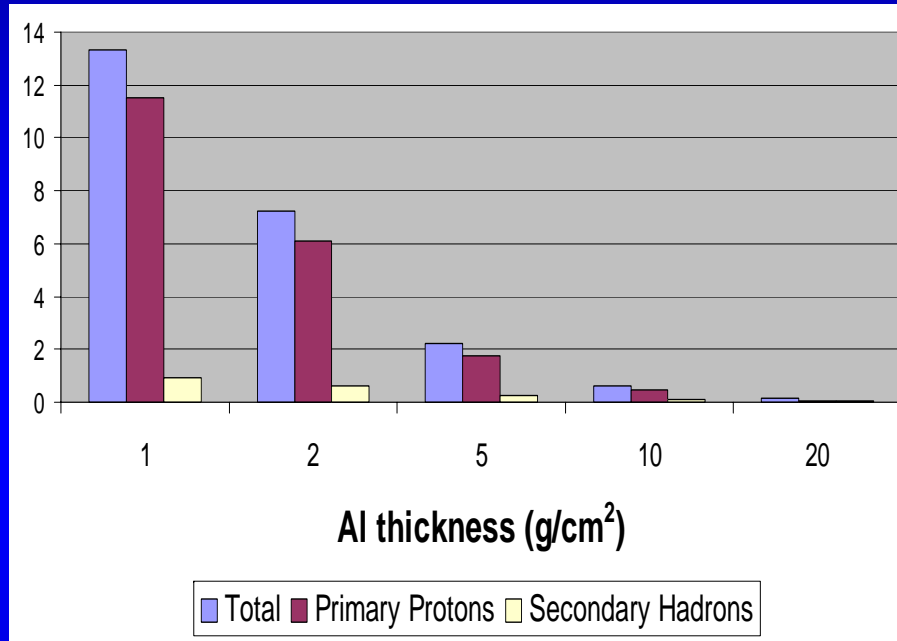
*NCRP limits for 30 days LEO missions: 1.5, 1.0 and 0.25 Gy-Eq for skin, lens and BFO, respectively ⇒ a 10 g/cm<sup>2</sup> Al storm shelter would provide adequate protection*

Courtesy of F. Balarini

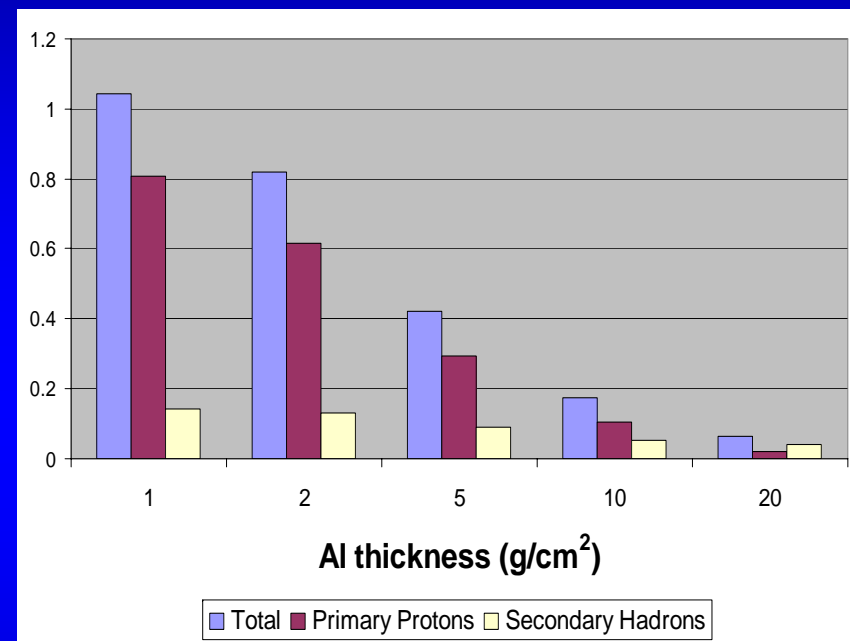


# August 1972 SPE - skin vs. internal organs

## Equivalent dose to skin (Sv)



## Equivalent dose to liver (Sv)



- much lower doses to liver than to skin (e.g. 1.0 vs. 13.3 Sv behind 1 g/cm<sup>2</sup> Al)
- larger relative contribution of nuclear reaction products for liver than for skin (e.g. 14% vs. 7% behind 1 g/cm<sup>2</sup> Al)

Courtesy of F. Balarini



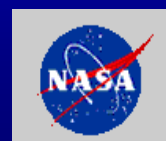


# Aug. 1972 and Oct. 1989 SPEs -Effective Dose (Sv)

Al shield (g/cm <sup>2</sup> )	August 1972 ( <i>Erma</i> )			October 1989 ( <i>Erma</i> )		
	E	E*	E* <sub>NASA</sub>	E	E*	E* <sub>NASA</sub>
1	2.04	1.35	1.31	1.11	0.78	0.78
2	1.43	0.95	0.94	0.79	0.55	0.58
5	0.63	0.43	0.52	0.42	0.30	0.33
10	0.23	0.17	0.27	0.20	0.15	0.18

Courtesy of F. Balarini

- large contribution (33-50%) from gonads, especially with small shielding
- E\* values (by neglecting gonads) very similar to those calculated with the BRYNTRN code and the CAM phantom (*Hoff et al. 2002, J. Rad. Res. 43*)



# Conclusions - SPEs

- calculation of:
  - dose decrease with increasing shielding
  - differences between internal organs and skin
  - relative contribution of primary protons and secondaries
  - contribution to effective dose from gonads
- Concludes that in case of an SPE similar to the August 1972 event, a 10 g/cm<sup>2</sup> Al storm shelter should allow us to respect the 30-days NCRP limit.

Courtesy of F. Balarini

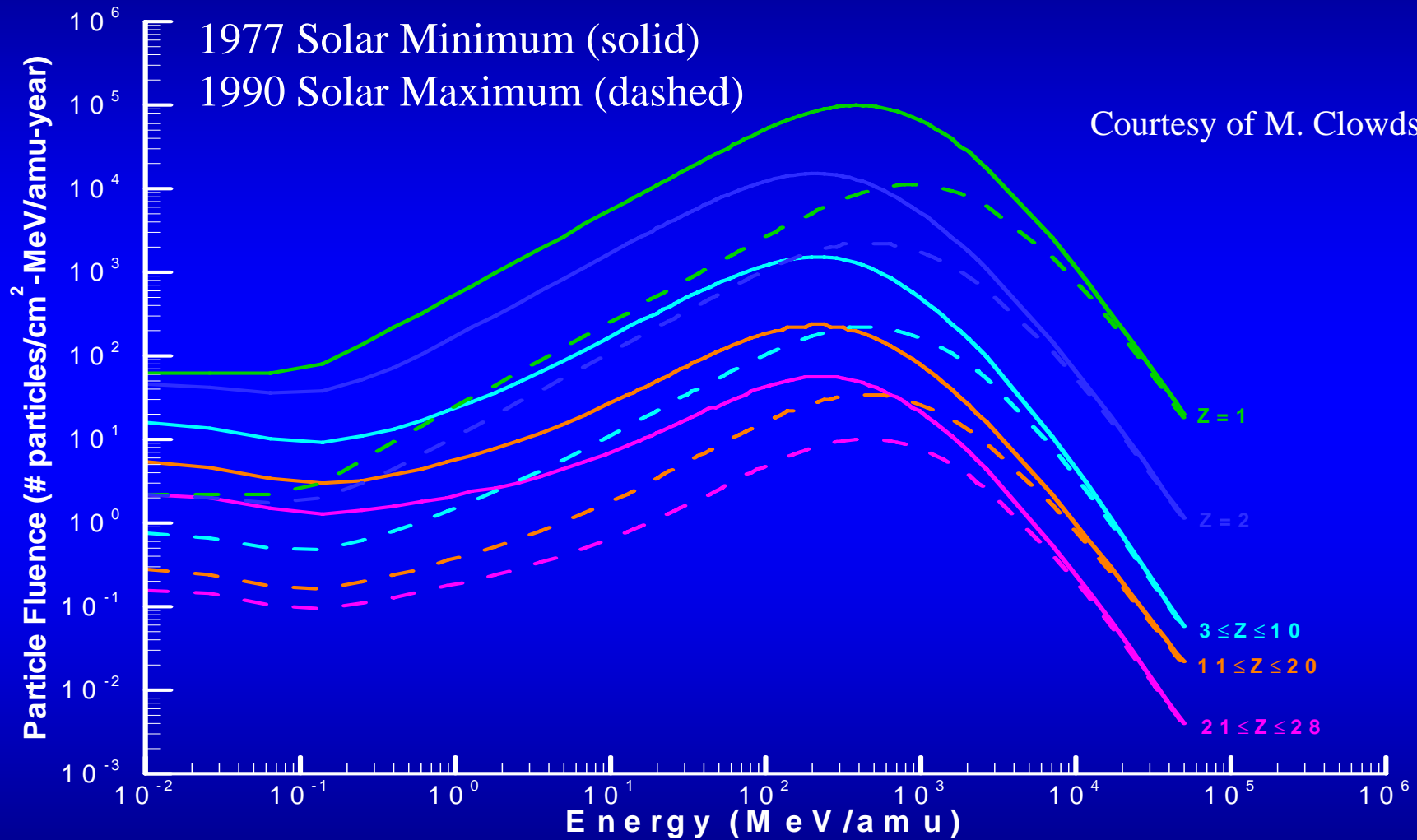


# Modeling Lunar & Martian Surface Radiation Environments

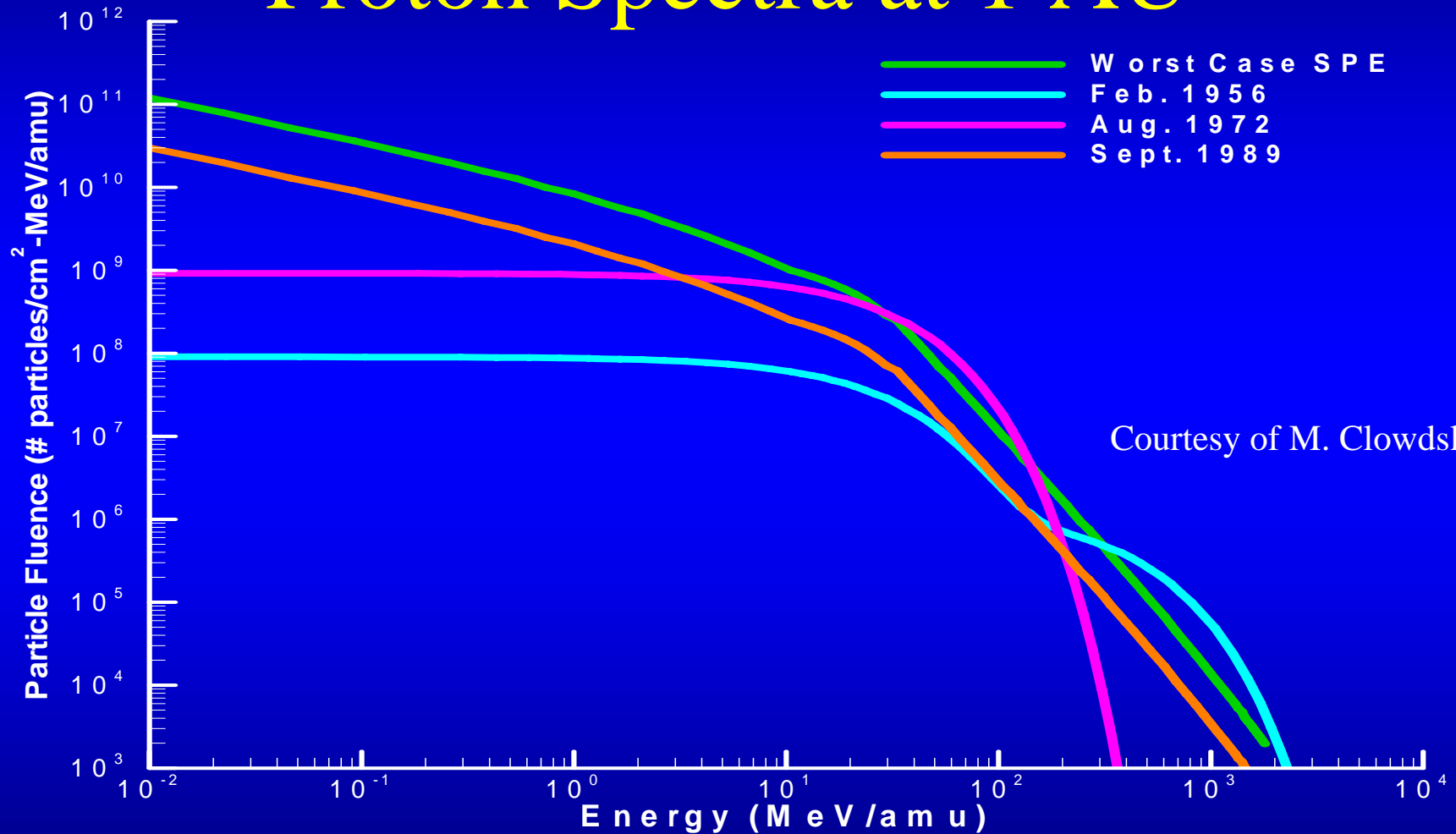
- We need to start with the Free Space Fluences
- For the Moon, we can just calculate the albedo produced by the impact of the primary fluences and add one half the free space fluence to the albedo.
- For Mars, we have to propagate the free space fluence through the atmosphere to and into the surface materials. Then examine the field near and underneath the surface including all secondaries...



# Free Space GCR Environments at 1 AU



# Free Space Solar Particle Event Proton Spectra at 1 AU

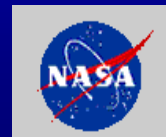
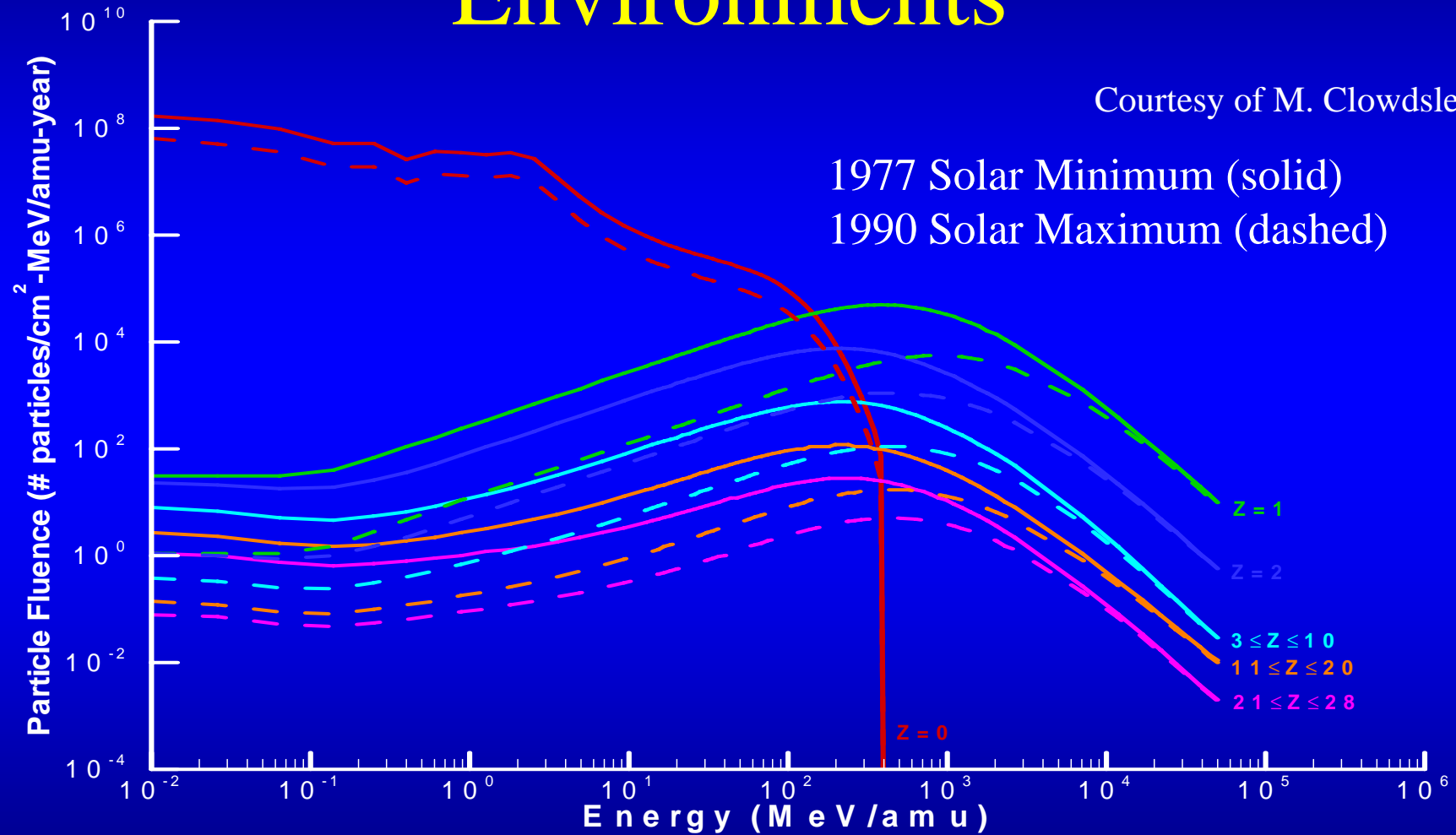


Courtesy of M. Cloudsley



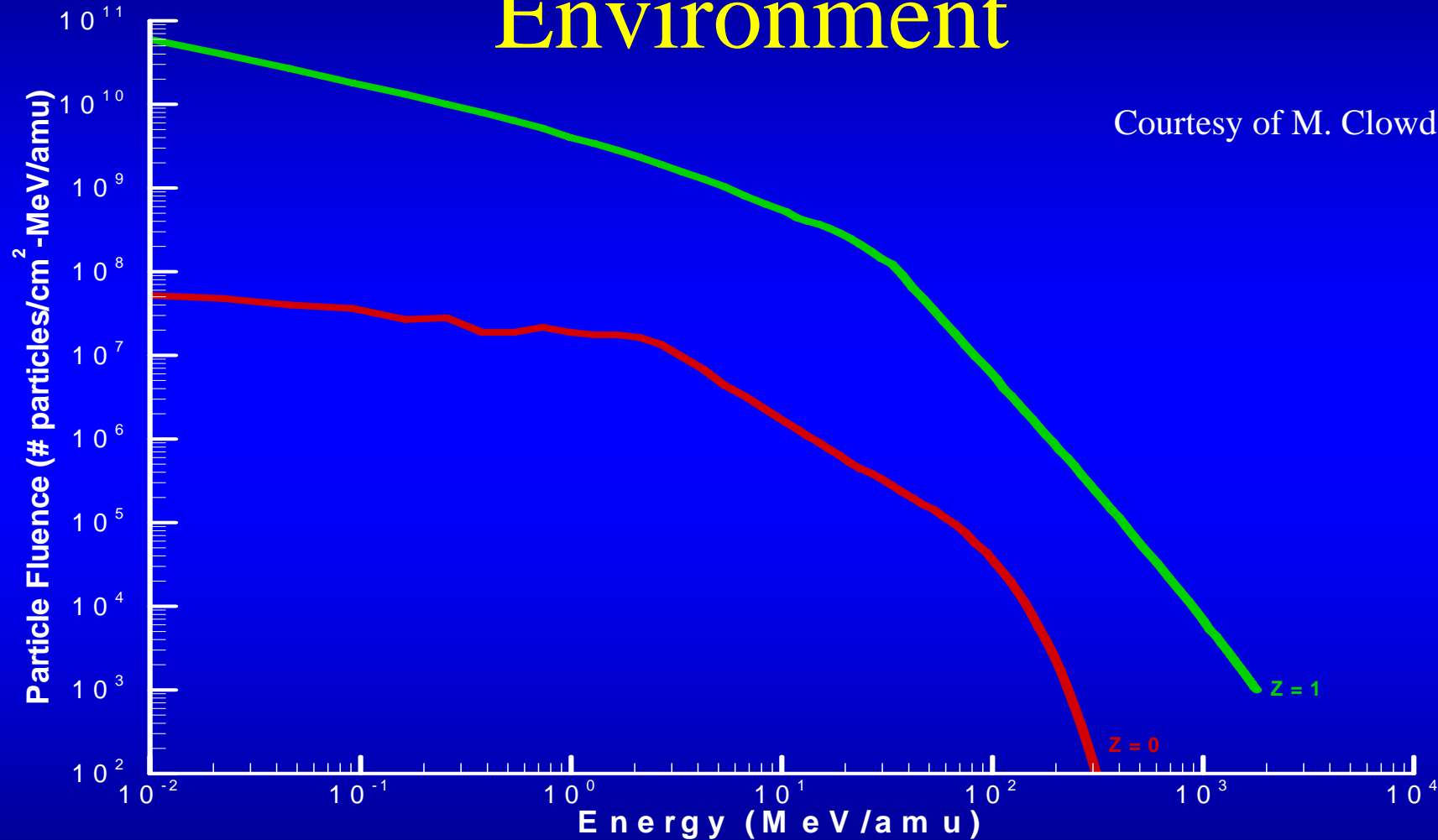
# Lunar Surface GCR Environments

Courtesy of M. Cloudsley

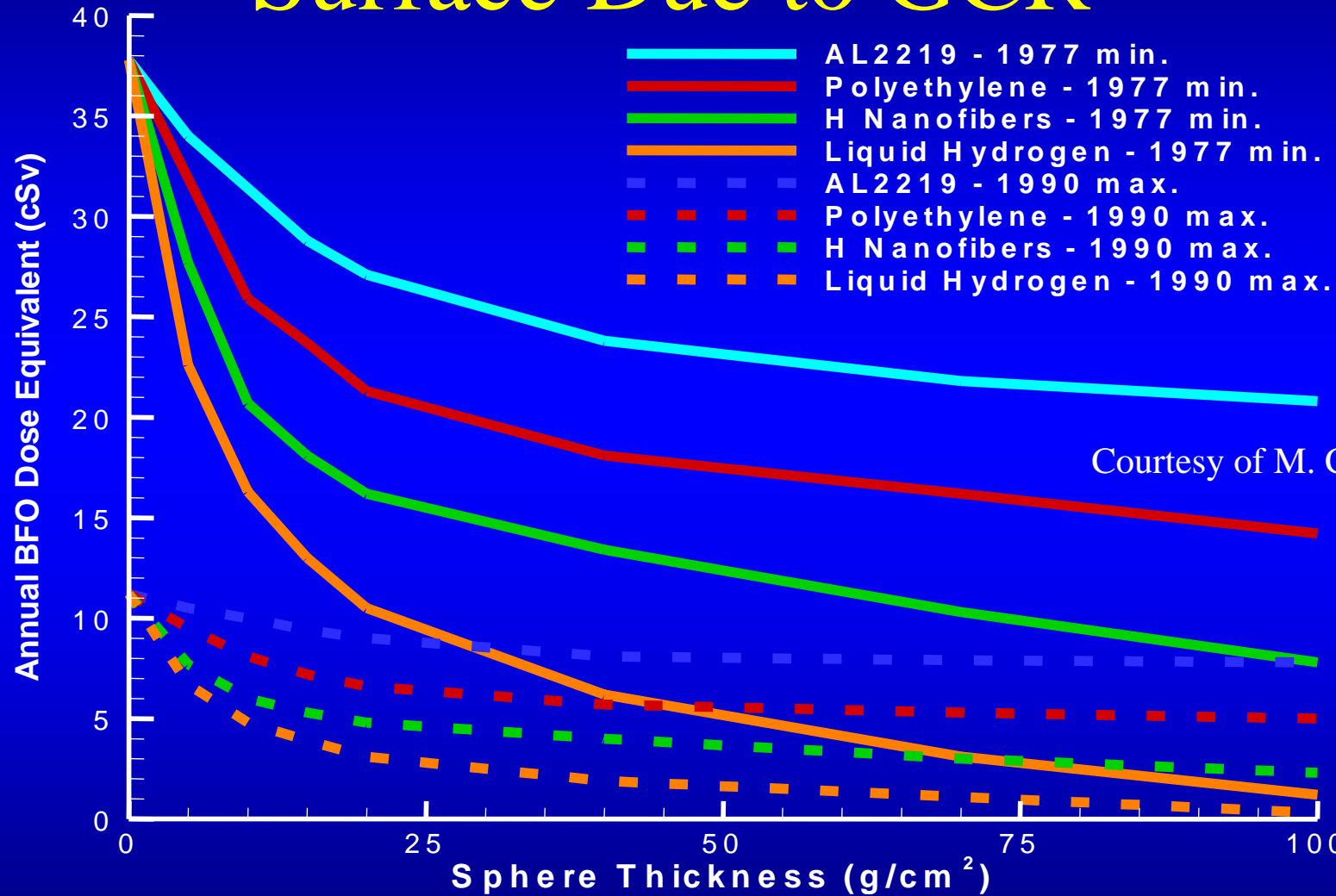


# Lunar Surface “Worst Case SPE” Environment

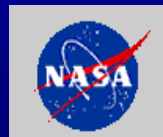
Courtesy of M. Cloudsley



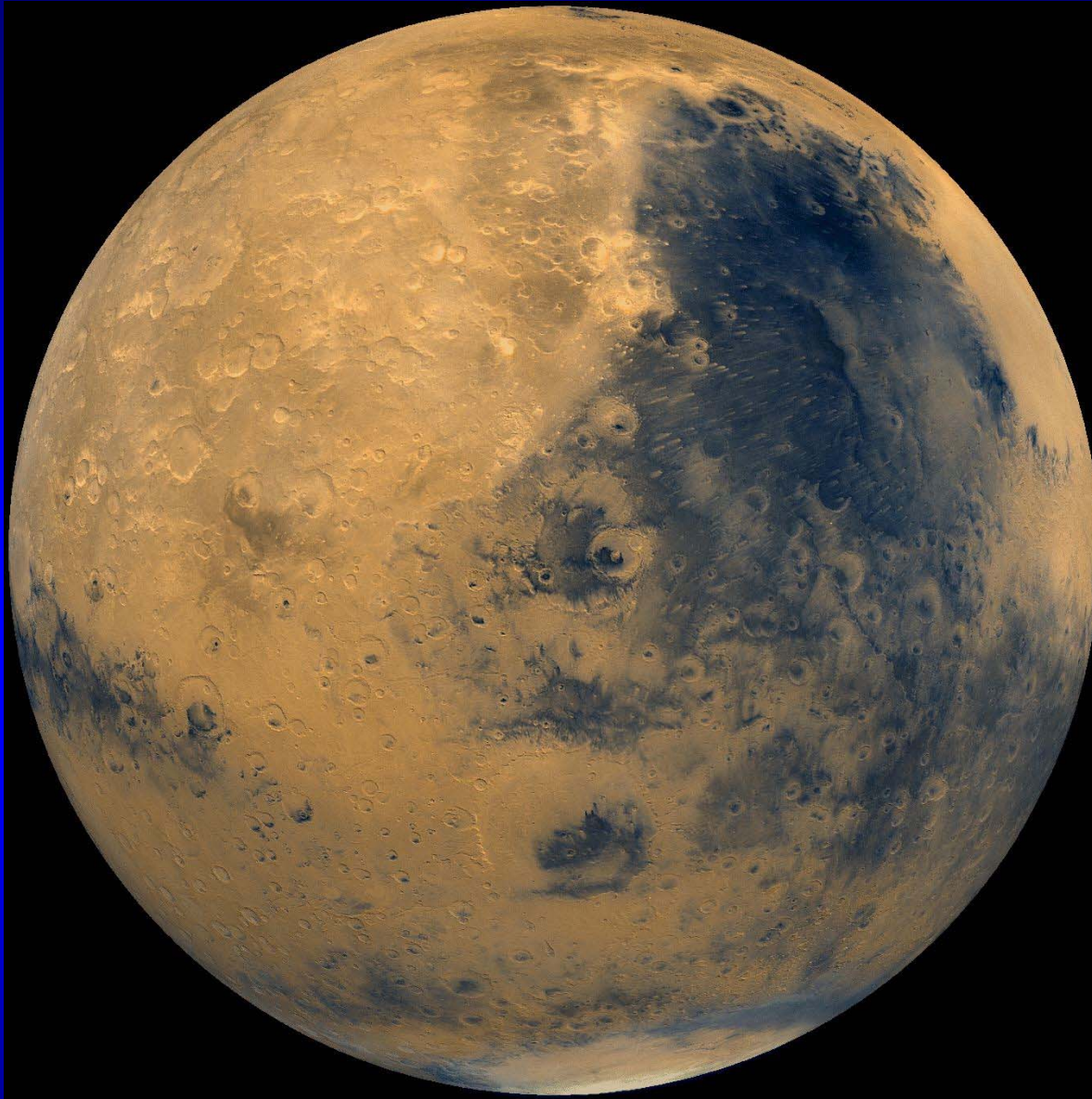
# Dose Equivalent on Lunar Surface Due to GCR



Courtesy of M. Cloudsley



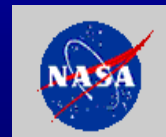




# Modeling the Martian Surface Radiation Environment



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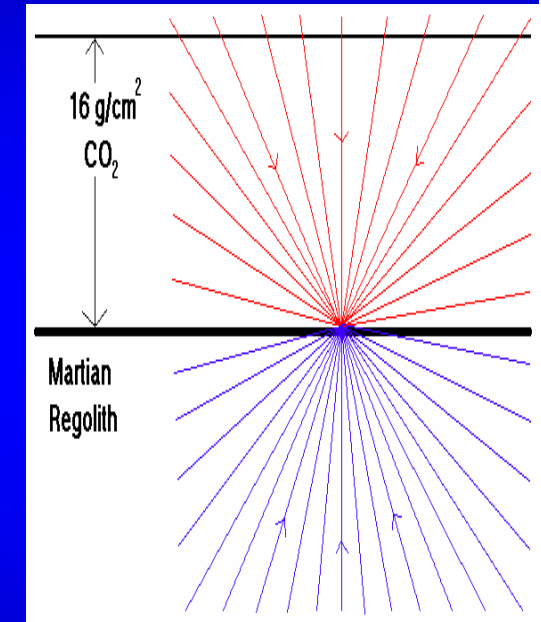
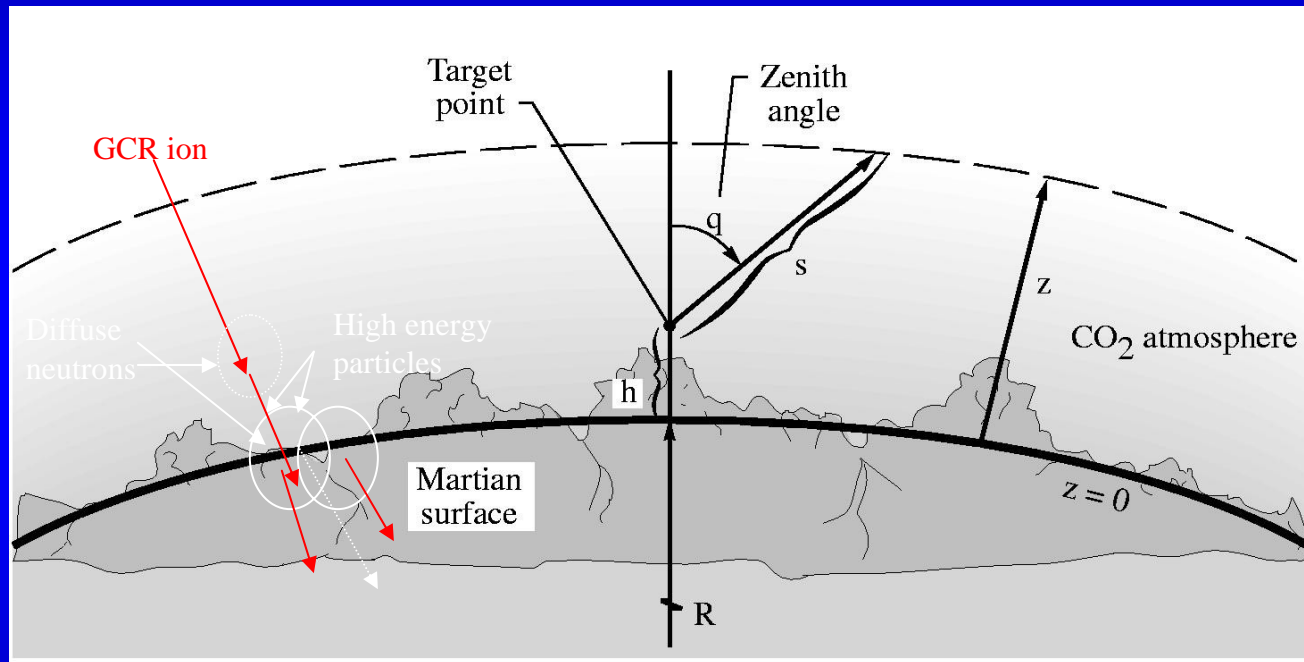


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Assessing the Risks on a Mission to Mars



# Mars Induced Fields

## Planetary Surface Material and Atmosphere



~15% of the primary GCR flux reaches the Martian Surface...

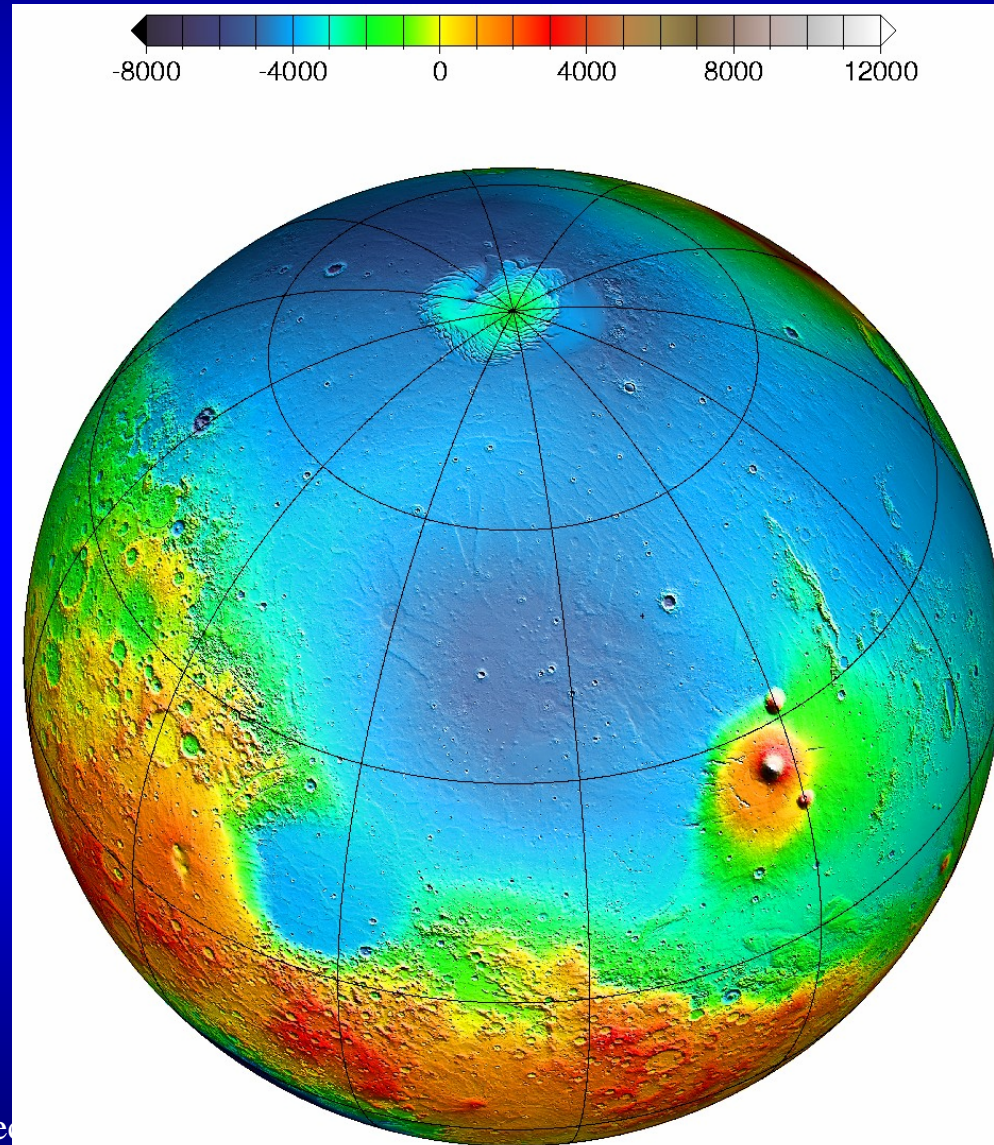
(From Simonsen et al.)

Courtesy of M. Cloudsley

Neutron albedos must be included in dose estimates...



# Mars Surface Environment



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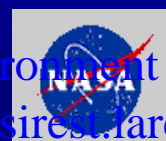
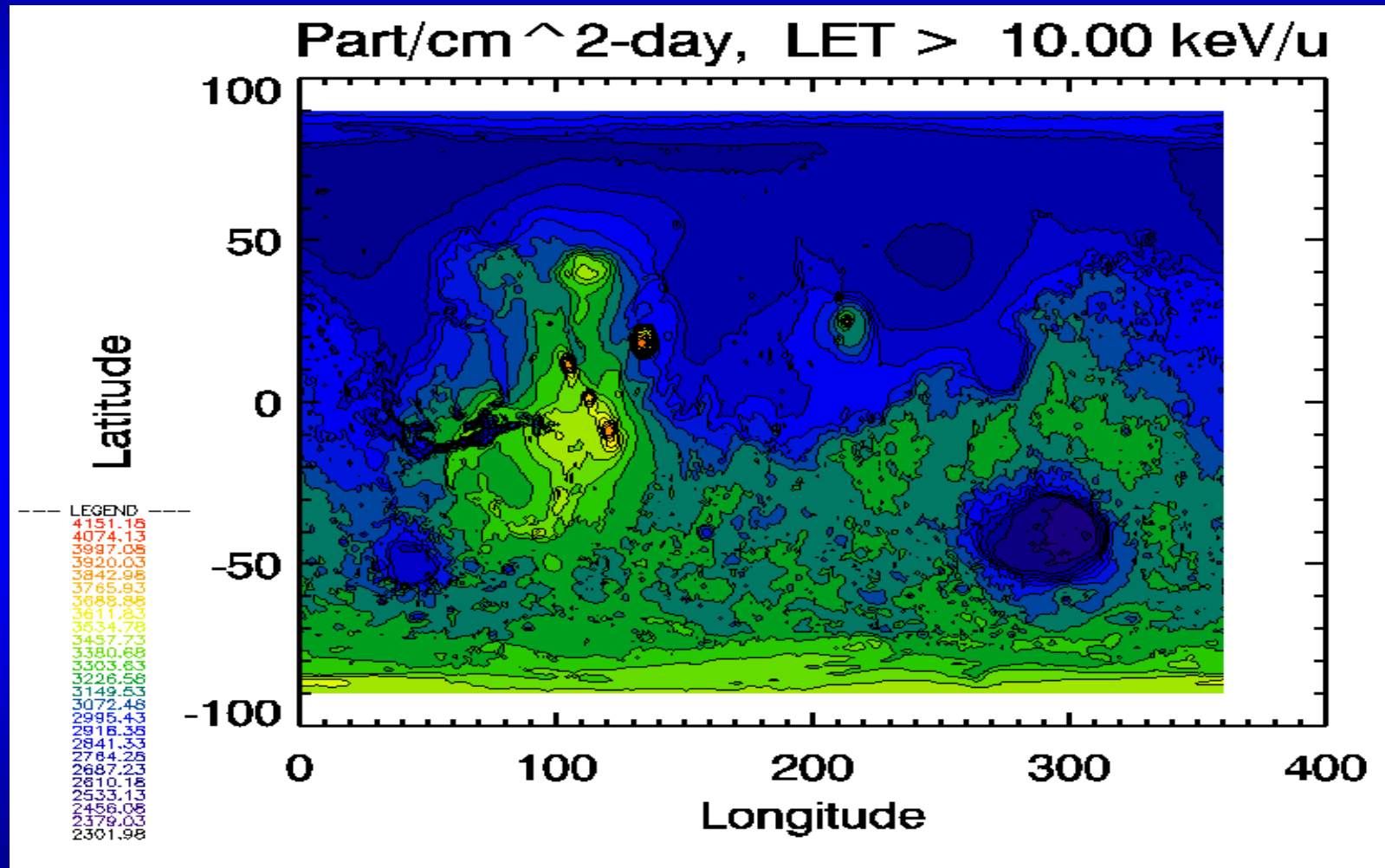


of the Space  
Humans, and

# Mars Surface Mapping

Charged Ions – 1977 Solar Minimum

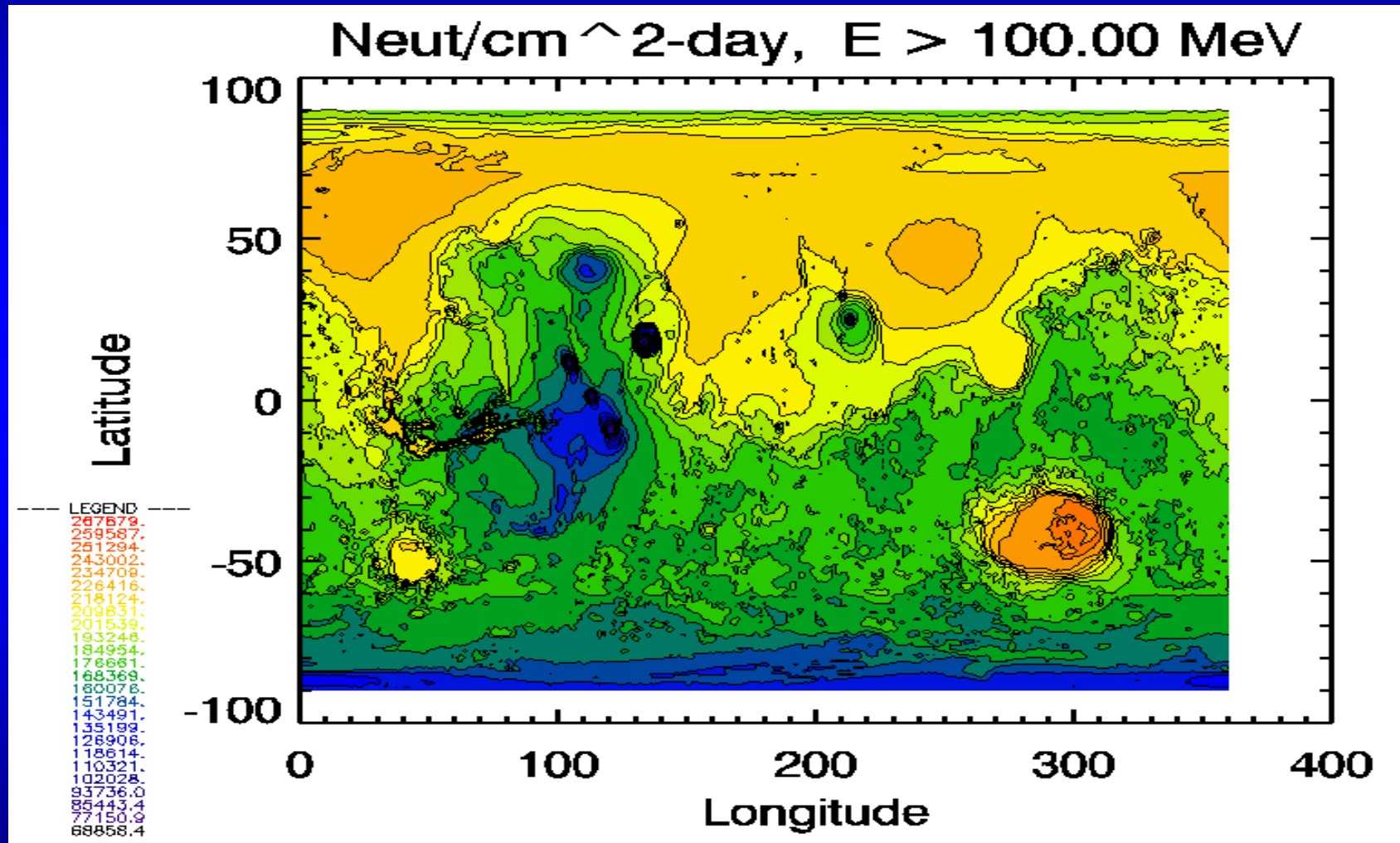
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# Mars Surface Mapping

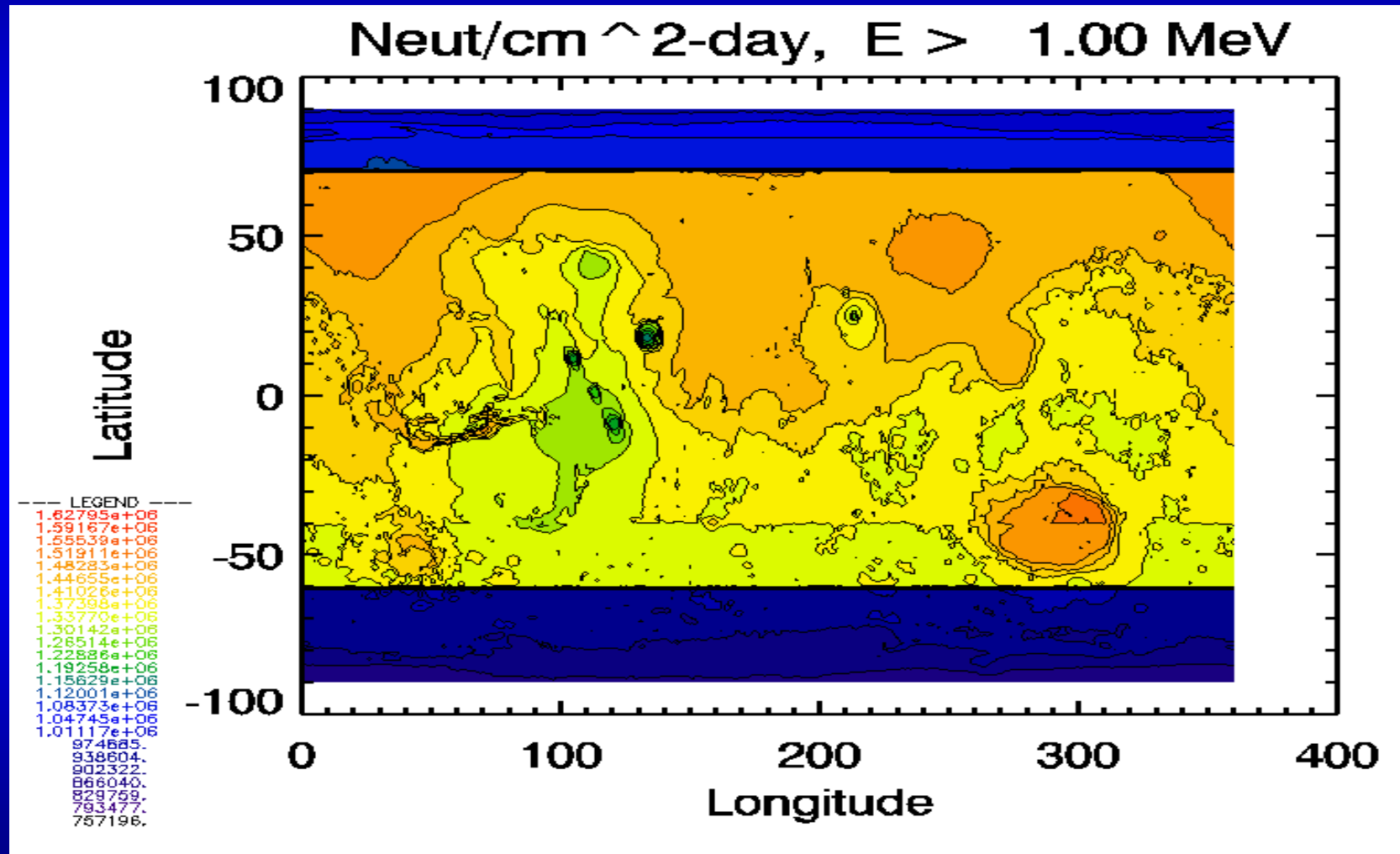
Neutrons – 1977 Solar Minimum

Courtesy of M. Cloudsley



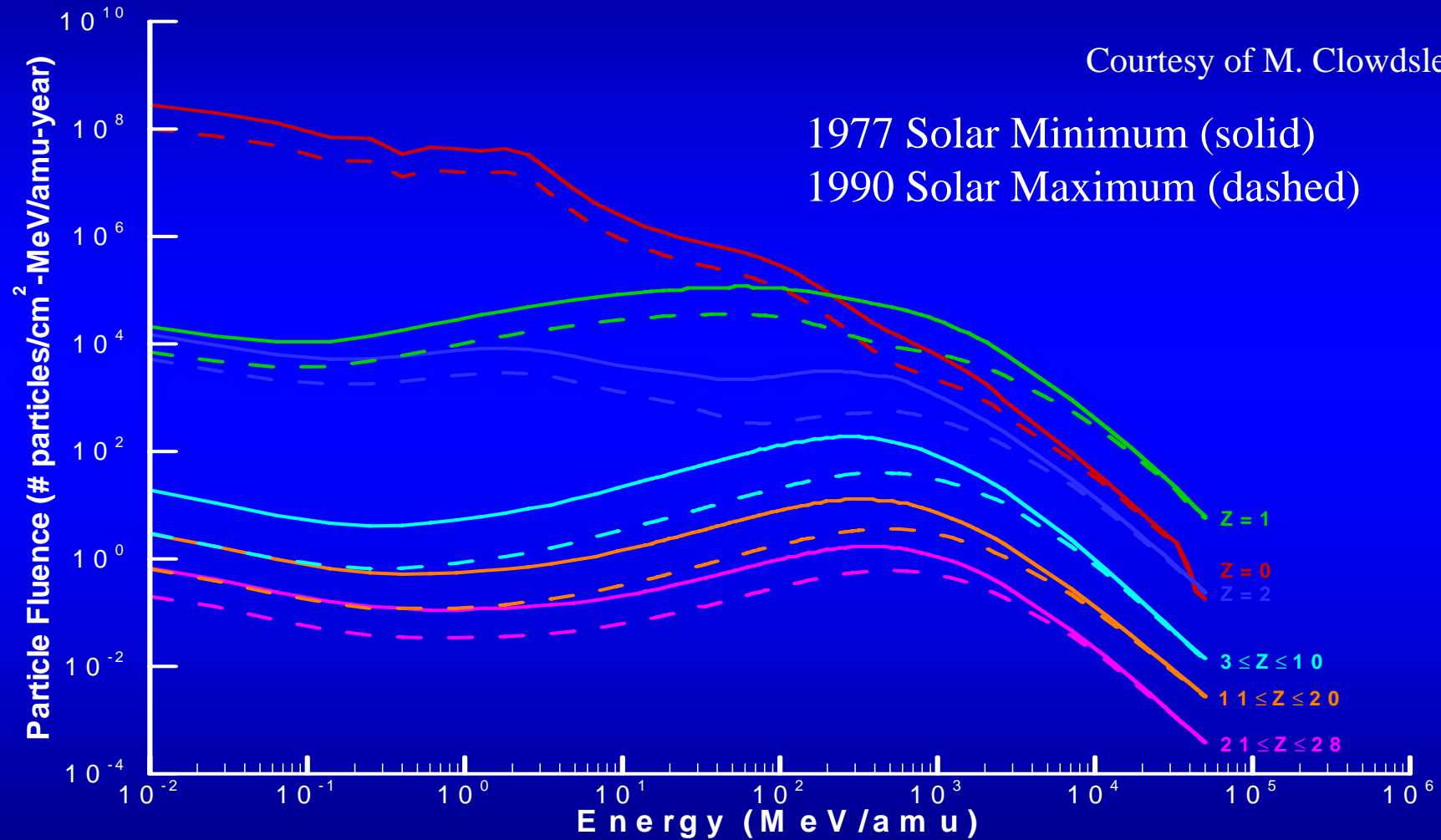
# Mars Surface Mapping

Low Energy Neutrons – 1977 Solar Minimum Courtesy of M. Cloudsley

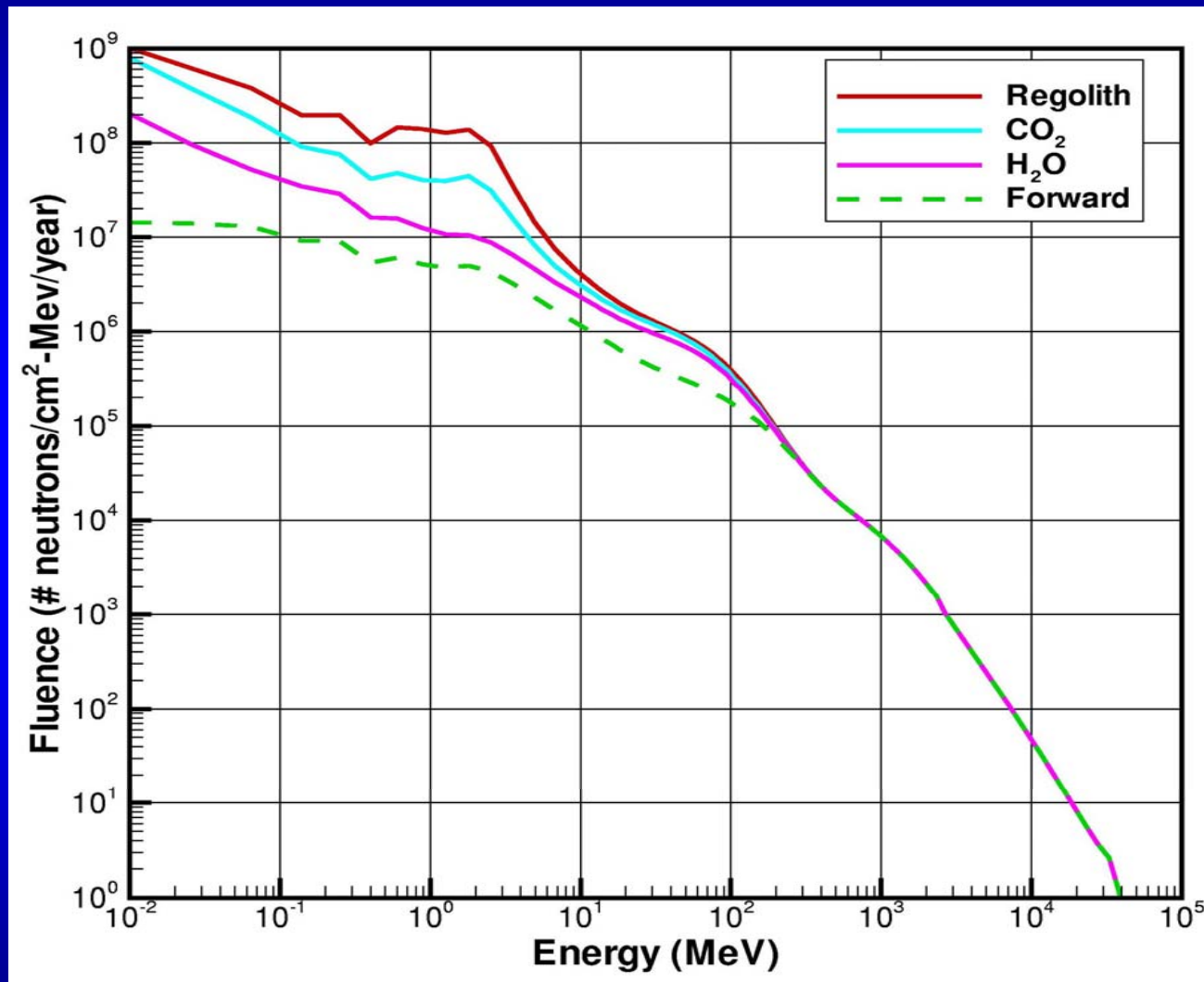


# Mars Surface GCR Environments

Courtesy of M. Cloudsley



# Mars Surface Neutrons



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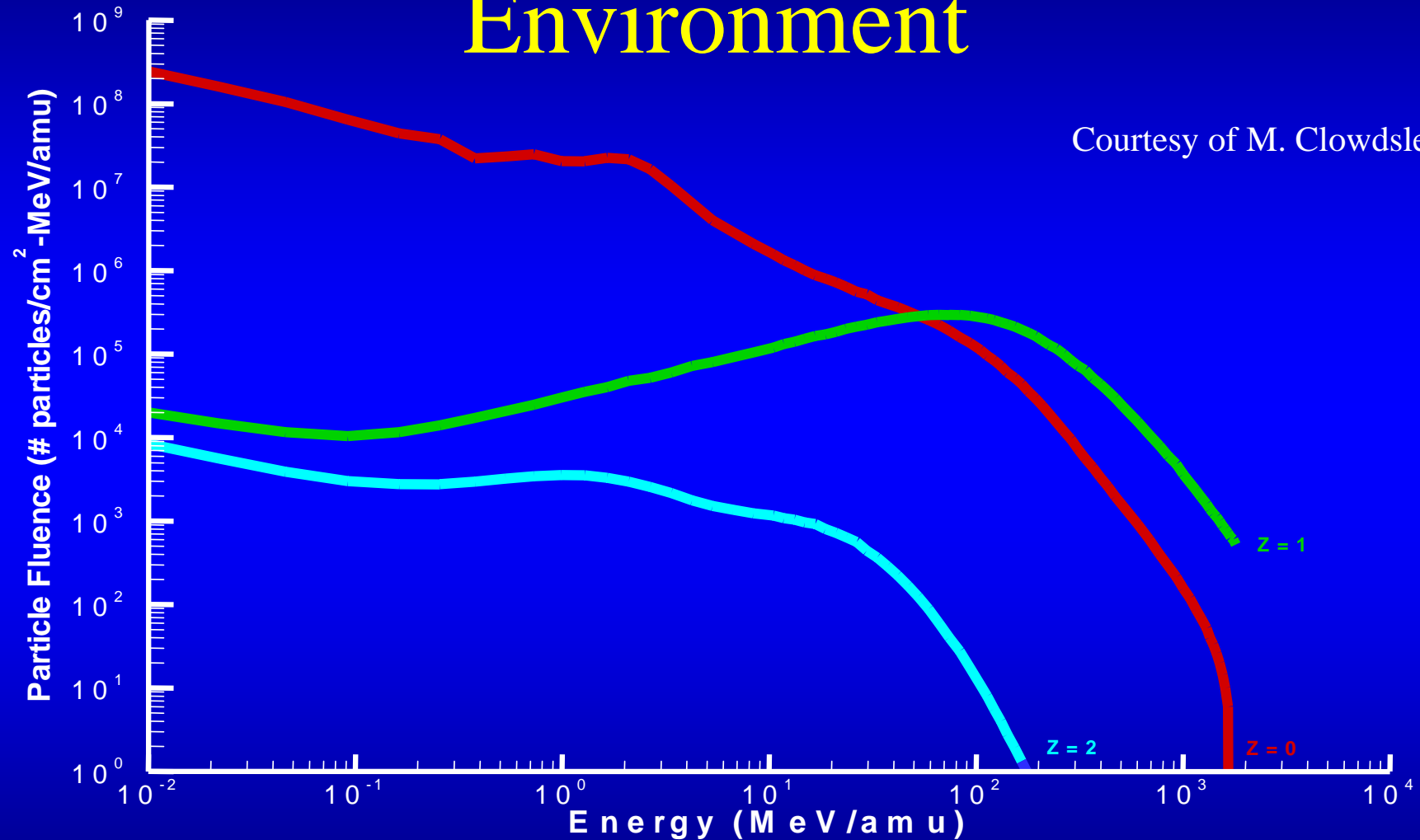


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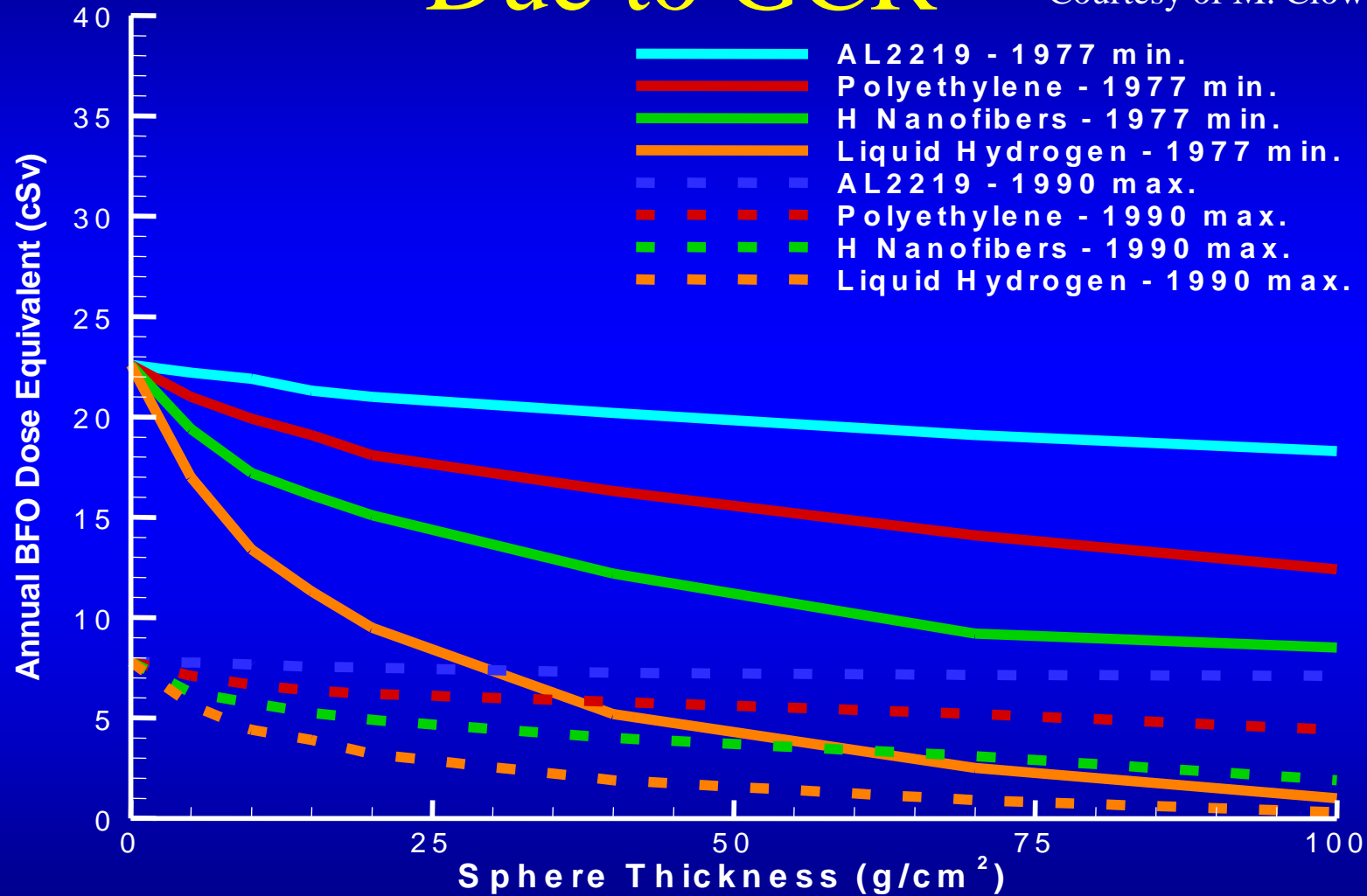


# Mars Surface “Worst Case SPE” Environment



# Dose Equivalent on Mars Surface Due to GCR

Courtesy of M. Cloudsley



# Summary and Conclusions

- SPE's can probably be shielded against
  - ...But only in “Storm-Shelters” or Protected Habitats on planetary surfaces.
  - Problematic during EVAs and in thinly shielded surroundings.
- GCR is more difficult to protect against in terms of keeping the Chronic Dose acceptable during a long mission, but enough shielding or a shorter mission could work...



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