

# *sPlot*: a statistical tool to unfold data distributions

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# 1 Motivation (1)

## Problem to solve when performing an analysis

Data sample  $\equiv$  black box

Few signal events and lots of background

- $\Rightarrow$  How to
- distinguish them ?
  - extract the physics of the signal ?
  - probe the validity of analysis ?
- $\rightarrow$  **check the distributions of events !**

## The context of BABAR in 2002

First goal:  $\sin 2\beta$ , *Phys. Rev. Lett.* 89:201802 (2002)

- “Golden mode” decay analysis:  $B^0 \rightarrow J/\psi K_S^0$
- Low background

$\Rightarrow$  No need for a particular tool

# 1 Motivation (2)

**Very rare decay analysis**  $\sin 2\alpha$  possible thanks to luminosity

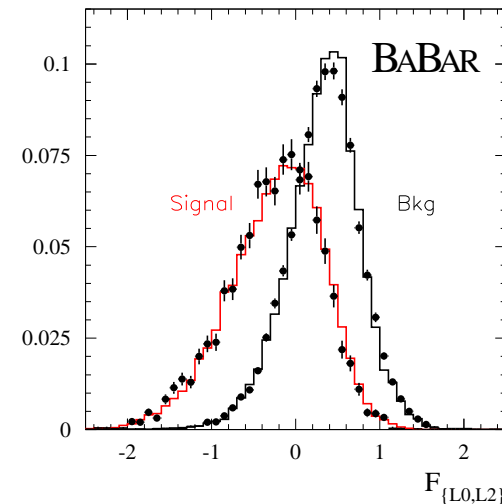
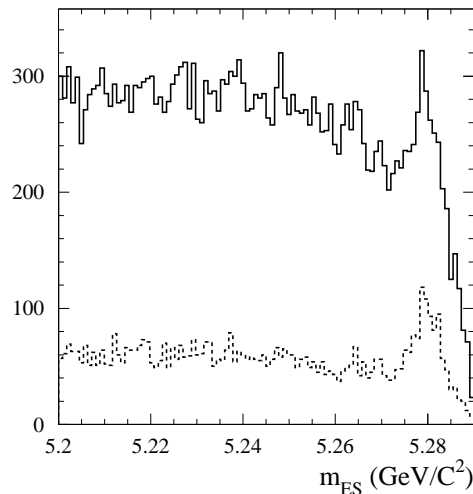
$\implies$  Decay channel  $B^0 \rightarrow h^+h^-$  ( $h = \pi, K$ )

Event selection:

- $m_{ES}$  : reconstructed mass of the  $B$  candidate
- $\Delta E$  : difference of energy between  $B$  candidate and  $\sqrt{s}/2$

Signal/background discrimination:

- Huge  $e^+e^- \rightarrow q\bar{q}$  background
- $\mathcal{F}$  : Fisher discriminant, uses topology difference of the events



Among 88 million of  $B\bar{B}$  pairs

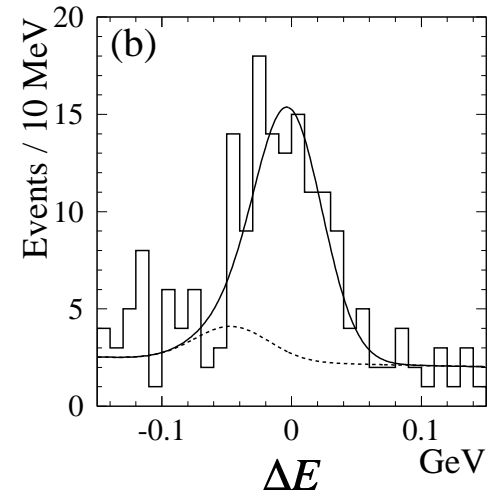
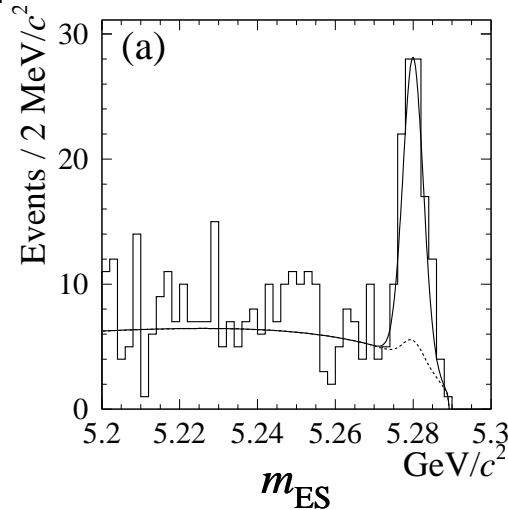
$\implies$  156  $\pi^+\pi^-$  and 588  $K^+\pi^-$  among 26k events

## 1 Motivation (3)

The question is: how to check the distributions of events ?

**Solution** ? “Projection plots”

Cut applied on the  $\mathcal{L}$  ratio to reduce background



1. subset of sample only
2. signal and background events mixed
3. hard (impossible) if distributions not really different (Fisher ?)

**Solution** ! *sPlot*

New tool: firstly meant as projection plots optimization

1. keep all data
2. separate signal and background
3. applicable for ANY variable

## 2.1 Likelihood analyses

### Extended log-likelihood

$$\mathcal{L} = \sum_{e=1}^N \ln \left\{ \sum_{i=1}^{N_s} N_i f_i(y_e) \right\} - \sum_{i=1}^{N_s} N_i \quad (1)$$

- $N$  : number of events in the data sample
- $e$  : event number
- $N_s$  : number of species in the data sample
- $i$  : species number (signals, backgrounds)
- $y$  : discriminating variables
- $f_i(y_e)$  : distribution of variables  $y$  of species  $i$  for event  $e$ , normalized to unity

### Analysis $B^0 \rightarrow h^+ h^-$

- $N_s$  : three species
- $i$  : signal  $\pi^+ \pi^-$  ( $N_{\pi\pi}$ ), signal  $K^+ \pi^-$  ( $N_{K\pi}$ ), background  $q\bar{q}$  ( $N_{q\bar{q}}$ )
- $y$  :  $m_{ES}$ ,  $\Delta E$ ,  $\mathcal{F}$  (...)

## 2.2 At the beginning where the *inPlot*

Distribution of  $x$  for species  $n$ ,  $x \in y$ , using the (naive) weight

$$\mathcal{P}_n(y_e) = \frac{N_n f_n(y_e)}{\sum_{k=1}^{N_s} N_k f_k(y_e)} \quad (2)$$

The reconstructed distribution  $\tilde{M}_n$  of variable  $x$  is defined by:

$$N_n \tilde{M}_n(x) \delta x \equiv \sum_{e \in \delta x}^N \mathcal{P}_n(y_e) \quad (3)$$

Replacing  $\sum_{e \in \delta x}^N$  by  $\int dy$  (total pdf)  $\delta(x(y) - x) \delta x$ :

$$N_n \tilde{M}_n(x) = \int dy \sum_{i=1}^{N_s} N_i f_i(y) \delta(x(y) - x) \frac{N_n f_n(y)}{\sum_{k=1}^{N_s} N_k f_k(y)} \quad (4)$$

$$= N_n \int dy \delta(x(y) - x) f_n(y) \quad (5)$$

$$\equiv N_n \mathbf{M}_n(x) \quad (6)$$

where  $\mathbf{M}_n(x)$  is the TRUE distribution of variable  $x$  for species  $n$

⇒ Not a clean test:

the Pdf of  $x$  is implicitly used to reconstruct itself ... can we avoid it ?

## 2.3 The *sPlot* tool

### Distribution of $x$ , $x \notin y$

$$N_n \tilde{M}_n(x) = \int dy \sum_{i=1}^{N_s} N_i \mathbf{M}_i(x) f_i(y) \frac{N_n f_n(y)}{\sum_{k=1}^{N_s} N_k f_k(y)} \quad (7)$$

$$= N_n \sum_{i=1}^{N_s} \mathbf{M}_i(x) \left( N_i \int dy \frac{f_n(y) f_i(y)}{\sum_{k=1}^{N_s} N_k f_k(y)} \right) \quad (8)$$

$$\neq N_n \mathbf{M}_n(x) \quad (9)$$

### But but but ... !

Variance matrix:

$$\mathbf{v}_{ni}^{-1} = \frac{\partial^2(-\mathcal{L})}{\partial N_n \partial N_i} = \sum_{e=1}^N \frac{f_n(y_e) f_i(y_e)}{(\sum_{k=1}^{N_s} N_k f_k(y_e))^2} \quad (10)$$

$$= \int dy \frac{f_n(y) f_i(y)}{\sum_{k=1}^{N_s} N_k f_k(y)} \quad (11)$$

Eq. (8) becomes  $\tilde{M}_n(x) = \sum_{i=1}^{N_s} \mathbf{M}_i(x) N_i \mathbf{v}_{ni}^{-1}$

$\implies$  By inversion:

$$N_n \mathbf{M}_n(x) = \sum_{i=1}^{N_s} \mathbf{v}_{ni} \tilde{M}_i(x) \quad (12)$$

## 2.4 *sPlot*: summary

New tool *sPlot*: weight computed for each event and each species

$N_s$  species in the sample, discriminating variables  $y$ ,  $f_i(y)$  their pdfs.

For species  $n$  :

$${}_s\mathcal{P}_n(y_e) = \frac{\sum_{i=1}^{N_s} \mathbf{V}_{ni} f_i(y_e)}{\sum_{k=1}^{N_s} N_k f_k(y_e)} \quad (13)$$

with  $\mathbf{V}_{ni}$  the covariance matrix of the fit (number of events)

The TRUE distribution of  $x$  ( $x \notin y$ ) is:

$$N_n \mathbf{M}_n(x) \equiv \sum_{e \in \delta x} {}_s\mathcal{P}_n(y_e) \quad (14)$$

**NB**

- The most discriminating the variables are, the most powerful *sPlot* is.
- The variables must be uncorrelated (already necessary with the  $\mathcal{L}$ ).



## 2.5 Cute properties

### Normalization

1. Each  $x$ -distribution is properly normalized:

$$\sum_{e=1}^N {}_s\mathcal{P}_n(y_e) = N_n \quad (15)$$

2. The contributions  ${}_s\mathcal{P}_n(y_e)$  add up to the number of events actually observed in each  $x$ -bin. For any event:

$$\sum_{n=1}^{N_s} {}_s\mathcal{P}_n(y_e) = 1 \quad (16)$$

### Uncertainties

3. For each species:

$$\sum_{e=1}^N ({}_s\mathcal{P}_n(y_e))^2 = \sigma^2(N_n) \quad (17)$$

as given by the fit

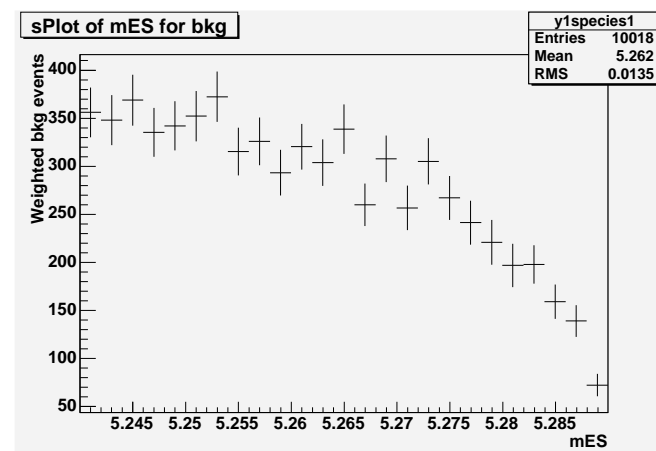
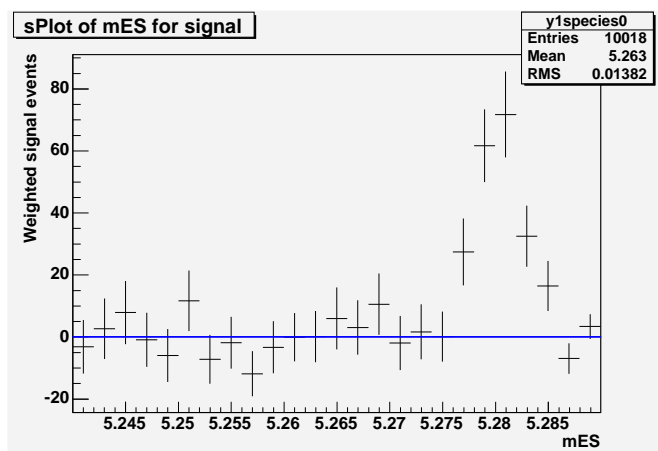
### 3 Easy implementation

#### The way to follow

1. Perform the fit to obtain the  $N_n$  of each  $n$  species present in the data sample **without the variable** one wants to get the distribution of
2. Compute the sWeights  ${}_s\mathcal{P}$  following Eq. 13, using the covariance matrix given by Minuit or computed directly
3. Fill histograms with the value of the variable  $x$  weighted with the sWeights  ${}_s\mathcal{P}$  for each species present in the data sample

#### Tool ${}_sPlot$ in ROOT

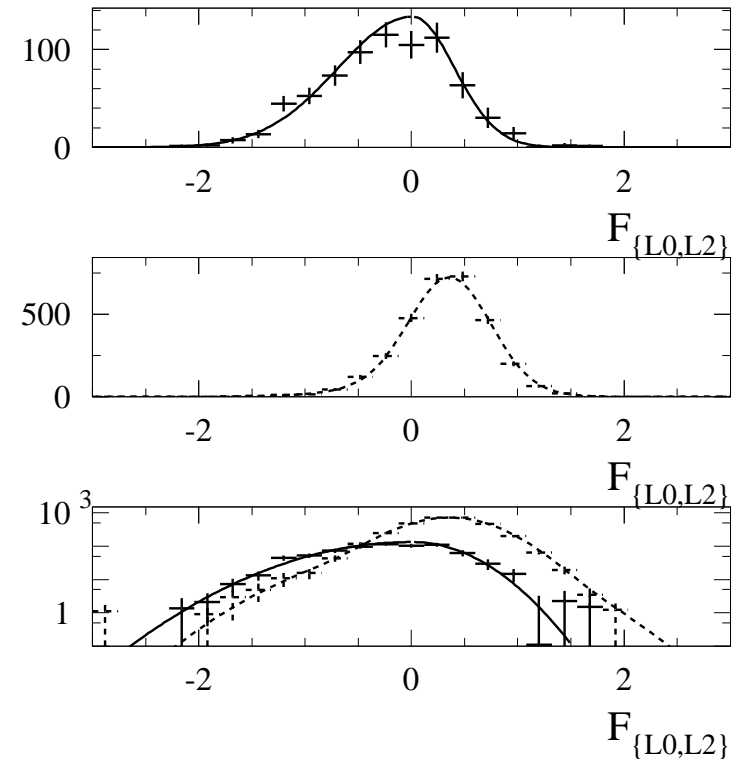
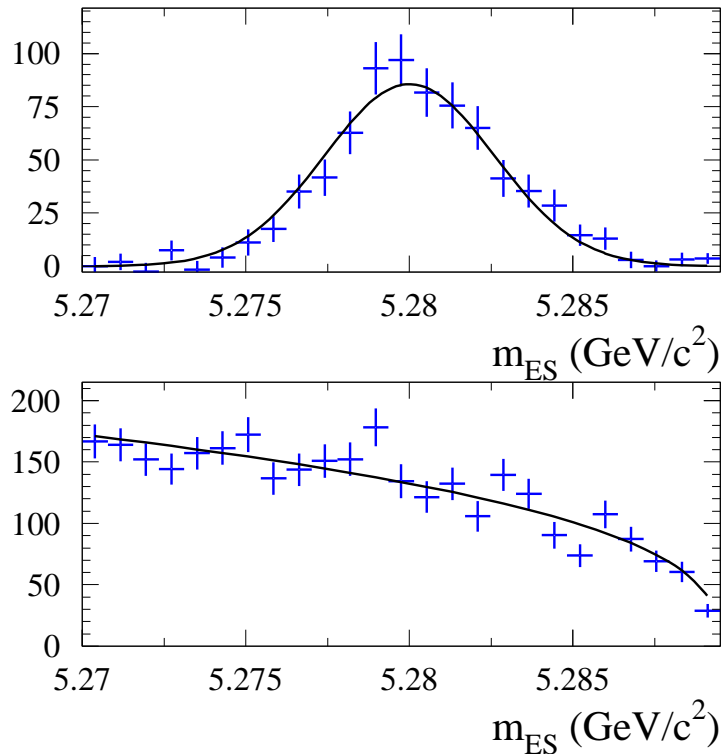
Class  $TSPlot$ : implemented by Anna Kreshuk, to be released soon



## 4.1 $sPlot$ at work: $B^0 \rightarrow \pi^+\pi^-$ (1)

**BABAR data:**  $sPlots$  of  $m_{ES}$  and  $\mathcal{F}$

Distributions used in the fit are superimposed



- $\Delta E$  and  $\mathcal{F}$  only
- $m_{ES}$  not in the fit

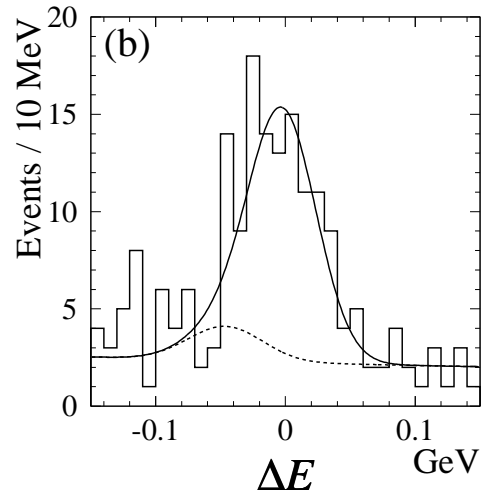
- $m_{ES}$  and  $\Delta E$  only
- $\mathcal{F}$  not in the fit

⇒ Very good agreement

⇒ **Optimal tool to validate an analysis ! Still for Fisher !**

## 4.2 *s*Plot at work: $B^0 \rightarrow \pi^+\pi^-$ (2)

### Comparison with “projection plots”



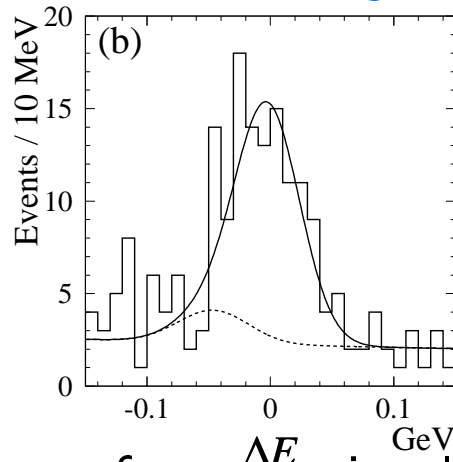
Projection plot :

- Cut on the  $\mathcal{L}$  ratio: signal loss and remaining background
- Uncertainties related to signal + background

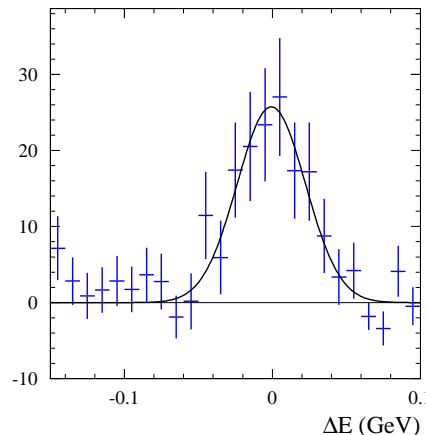
⇒ Excess of events: signal ? background ?

## 4.2 *s*Plot at work: $B^0 \rightarrow \pi^+\pi^-$ (2)

### Comparison with “projection plots”



⇒ Excess of events:  $\Delta E$ : signal ? background ?



⇒ Signal ! radiative events ( $B^0 \rightarrow \pi^+\pi^-\gamma$ ) ignored in the analysis

⇒  $\mathcal{B}(B^0 \rightarrow h^+h^-)$  under-estimated by about 10% (!!)

Confirmed later for different charmless *BABAR* analyses (hep-ex/0508046)

Projection plot :

- Cut on the  $\mathcal{L}$  ratio: signal loss and remaining background
- Uncertainties related to signal + background

*s*Plot : Can reveal subtle effects

- No cut applied: keep all the signal events and get rid of all the background ones (statistically)
- Uncertainties related to the signal only

## 4.3 Publications

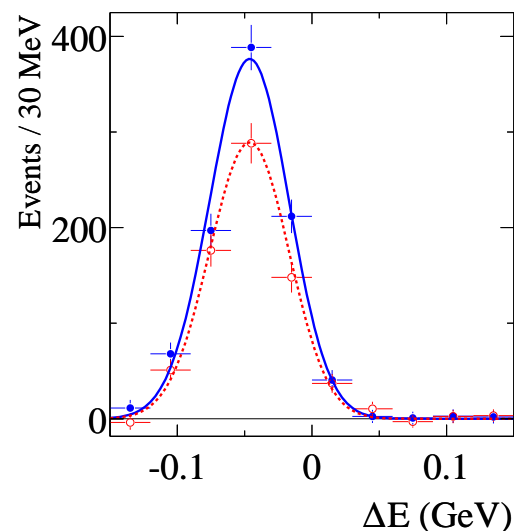
### Only BABAR so far ...

1. Branching fractions and CP asymmetries in  $B^0 \rightarrow K^+ K^- K_S^0$  and  $B^+ \rightarrow K^+ K_S^0 K_S^0$ , *Phys. Rev. Lett.*93:181805, 2004
2. Measurement of neutral B decay branching fractions to  $K_S^0 \pi^+ \pi^-$  final states, *Phys. Rev. D*70:091103, 2004
3. BF and CP asymmetries in  $B^0 \rightarrow \pi^0 \pi^0$ ,  $B^+ \rightarrow \pi^+ \pi^0$  and  $B^+ \rightarrow K^+ \pi^0$  decays and isospin analysis of the  $B \rightarrow \pi\pi$  system, *Phys. Rev. Lett.*94:181802, 2005
4. Measurement of CP asymmetries in  $B^0 \rightarrow \phi K_S^0$  and  $B^0 \rightarrow K^+ K^- K_S^0$  decays, *Phys. Rev. D*71:091102, 2005
5. ...

### Observation of direct CP violation in $B^0 \rightarrow K^+ \pi^-$

*Phys. Rev. Lett.*93:131801 (2004)

- $N_{K^+ \pi^-} + N_{K^- \pi^+} = 1606 \pm 51$   
 $N_{K^+ \pi^-} = 910$   
 $N_{K^- \pi^+} = 696$
- $A_{K\pi} = -0.133 \pm 0.030 \pm 0.009$



## 5 Summary and conclusion

**New tool *sPlot*** : optimal for information !

1. Only data involved
2. No bias (*sPlotted* variable not in the fit)
3. Shows signal and background separately
4. Statistical uncertainties
5. **Easy to use** ! Moreover class **TSPlot** in ROOT very soon
  - ⇒ Excellent tool to **validate** an analysis  
Reveal subtle effects :  $B^0 \rightarrow h^+h^-(\gamma)$
  - ⇒ Excellent tool to **perform** an analysis in Dalitz

### More in the reference

- Detailed explanations
- Case where species fixed in the fit

**Shall be useful beyond *B* physics**

Higgs searches, SuperSYmetry, ...