

HERA-LHC Workshop, DESY, March 21, 2005

Hadroproduction of Heavy Mesons in a massive VFNS

Ingo Schienbein
(DESY, Hamburg)

in collaboration with: B. Kniehl, G. Kramer and H. Spiesberger

PRD71(2005)014018

hep-ph/0502194

Outline

- Overview and Motivation
- Theoretical approaches to 1-particle inclusive heavy quark production
 - Fixed Order
 - Conventional (massless) Parton Model
 - Massive Parton Model
- Hard scattering coefficients with heavy quark masses
 - Massless limit of Fixed Order calculation
 - Mass factorization (with massive regularization)
- Numerical Results
- Conclusions and Outlook

Motivation

Heavy Quarks: $h = c, b, t$

$$m_h \gg \Lambda_{\text{QCD}} \Rightarrow \alpha_s(m_h^2) \propto \ln^{-1}\left(\frac{m_h^2}{\Lambda_{\text{QCD}}^2}\right) \ll 1$$

$$\alpha_s(m_h^2) \ll 1$$

⇒ Perturbation Theory (pQCD) applicable!

⇒ Test pQCD! Heavy Quark Production/Decay

Application of pQCD

Two Aspects:

(i) Factorization: $\sigma = \text{PDF} \otimes \hat{\sigma} \otimes \text{FF}$ (irresp. of heavy quarks)

→ $\hat{\sigma}$ computable within pQCD

(ii) m_h long distance cut-off (heavy quarks)

→ No collinear subtraction necessary; No non-pert. part associated with heavy line

→ No heavy quark PDF or **perturbative** boundary condition

Overview

Subject of this talk:

- 1-particle inclusive hadroproduction of D mesons: $p\bar{p} \rightarrow (D^0, D^{*+}, D^+, D_s^+)X$
- Massive Variable Flavour Number Scheme (Massive VFNS):
 - Collinear logarithms of the heavy quark mass $\ln \mu/m_h$ are subtracted and resummed
 - finite non-logarithmic m_h/Q terms are kept in the hard part/taken into account
 - Scheme based on the factorization theorem of Collins with heavy quarks

[1]

[2]

Further applications:

- 1-particle inclusive hadroproduction of B mesons: $p\bar{p} \rightarrow BX$
- Completes earlier work on D meson production in $\gamma\gamma$ and γp collisions:
 - $\gamma\gamma \rightarrow D^* X$: direct process
 - $\gamma\gamma \rightarrow D^* X$: single-resolved process
 - $\gamma p \rightarrow D^* X$: direct process

[3]

[4]

[5]

[1] B.A. Kniehl, G. Kramer, I.S.,H. Spiesberger, PRD71(2005)014018

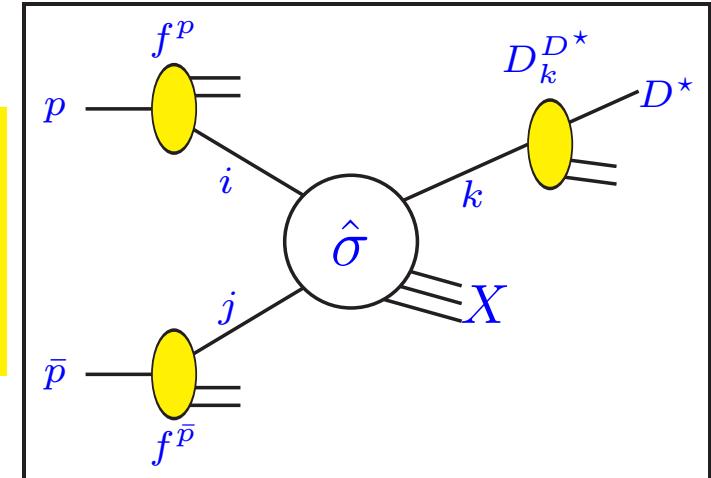
[2] J. Collins, PRD58(1998)094002

[3] G. Kramer, H. Spiesberger, EPJC22(2001)289; [4] EPJC28(2003)495; [5] EPJC38(2004)309

Factorization for $p\bar{p} \rightarrow D^* X$

$$d\sigma = \sum_{i,j,k} f_i^p(x_1) \otimes f_j^{\bar{p}}(x_2) \otimes d\hat{\sigma}(ij \rightarrow kX) \otimes D_k^{D^*}(z)$$

Sum over all possible subprocesses $ij \rightarrow kX$



- $d\hat{\sigma}(\mu_F, \mu'_F, \alpha_s(\mu_R), [\frac{m_h}{p_T}])$: hard scattering cross sections, **perturbatively** computable, free of long-distance physics $\rightarrow m_h$ can be kept
- Parton densities of the proton $f_i^p(x_1, \mu_F)$: **non-perturbative** input
 $i = g, q, \dots$ $[q = u, d, s]$
- Parton densities of the anti-proton $f_j^{\bar{p}}(x_2, \mu_F)$: **non-perturbative** input
 $j = g, q, \dots$
- Fragmentation functions $D_k^{D^*}(z, [\mu'_F])$: **non-perturbative** input
 $k = c, \dots$

Details (which subprocesses, PDFs, FFs; mass terms) depend on the **Heavy Flavour Scheme**

Heavy Flavour Schemes

Two basic approaches:

- Fixed Order Perturbation Theory (FFNS)
- Parton Model (ZM-VFNS)

Interpolating schemes combining the good features:

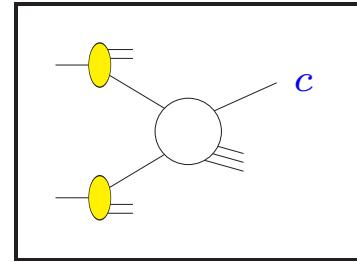
- Parton Model with quark masses (GM-VFNS, ACOT)
- FONLL

Glossary:

- ZM: Zero Mass
- GM: General Mass
- VFNS: Variable Flavour Number Scheme
- FFNS: Fixed Flavour Number Scheme

Fixed Order (FFNS)

- $m_c \neq 0, n_f = 3$ fixed
- Partons: g, u, d, s
(NO charm parton: $f_c = 0$)
Charm (only) in final state
- collinear logarithms $\ln \frac{s}{m_c^2}$ finite
→ No factorization; no conceptual necessity for FFs
→ fixed order perturbation theory; **no resummation**
- Usually c treated in on-shell scheme ($\overline{\text{MS}}_m$)



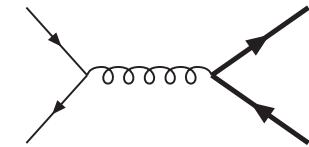
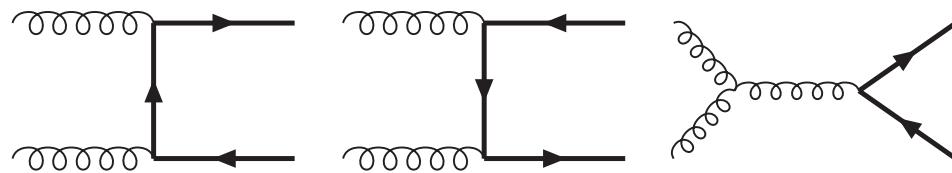
Pro and Contra:

- + $(\frac{m_c}{p_T})^n$ terms included; correct threshold suppression
⇒ valid for $0 \leq p_T^2 \lesssim m_c^2 \Rightarrow \sigma_{\text{tot}}$ calculable
- fixed order logarithms $\ln \frac{p_T^2}{m_c^2}$ large for $p_T^2 \gg m_c^2$;
resummation of these large logarithms necessary
⇒ breaks down for $p_T^2 \gg m_c^2$
- non-perturbative function $D_c^{D^*}(z)$, describing the transition $c \rightarrow D^*$ needs to be included to match data;
→ not based on factorization theorem (no AP evolution)
→ universal?

3-FFS: Partonic Subprocesses

- Leading Order (LO):

1. $gg \rightarrow c\bar{c}$
2. $q\bar{q} \rightarrow c\bar{c}$ ($q = u, d, s$)



- Next-To-Leading Order (NLO):

1. $gg \rightarrow c\bar{c}g$
2. $q\bar{q} \rightarrow c\bar{c}g$
3. $gq \rightarrow c\bar{c}q$, $g\bar{q} \rightarrow c\bar{c}\bar{q}$

NEW@NLO

→ Feynman diagrams

Conventional Parton Model (ZM-VFNS)

- $m_c = 0 \rightarrow$ 'Zero Mass'
- variable number of partons \rightarrow 'VFNS'

$$\text{partons} = \begin{cases} g, u, d, s & : \mu_F < Q_0 \\ g, u, d, s + c & : Q_0 < \mu_F \end{cases}$$

$n_f = 3 \rightarrow n_f = 4$ in VFNS: finite transformation

$$\left. \begin{array}{l} \alpha_s^{(3)} \rightarrow \alpha_s^{(4)} = \alpha_s^{(3)} + \mathcal{O}(\alpha_s^3) \\ f_i^{(3)} \rightarrow f_i^{(4)} = f_i^{(3)} + \mathcal{O}(\alpha_s^2) \end{array} \right\} @ Q_0 = m_c$$

$f_c^{(4)}(x, Q_0^2 = m_c^2) = 0$

pert. boundary condition

- Collinear divergences related to c lines factorized into non-perturbative PDFs and FFs

Pro and Contra:

- + large collinear logarithms $\ln \frac{\mu^2}{m_c^2}$ resummed in evolved $f_c(x, \mu^2)$ and $D_c^{D^\star}(x, \mu^2)$ to LL and NLL accuracy
 \Rightarrow

good for large $\mu^2 \simeq p_T^2 \gg m_c^2$
- + Universality of PDFs and FFs guaranteed by factorization theorem \rightarrow predictive power, global data analysis
- $(\frac{m_c}{p_T})^n$ terms neglected in the hard part
 \Rightarrow

breaks down for $p_T^2 \lesssim m_c^2 \Rightarrow$ No σ_{tot}

Massive VFNS

- VFNS with $m_c \neq 0$
 - Partons: g, u, d, s, c (\exists charm parton: $f_c \neq 0$)
 - collinear $\ln \frac{\mu^2}{m_c^2}$ terms:
subtracted from hard part (avoid double counting!) and
resummed by AP evolution equations ($\rightarrow f_c \neq 0$)
 - $D_c^{D^*}(z, \mu_F'^2)$ evolved
-

Pro and Contra:

- technically more involved:
 - calculation with $m_c \neq 0$
 - subtraction of collinear parts \leftrightarrow 'IR-safe' hard parts
 Mass factorization with **massive regularization**
 - kinematics: factorization with massive partons \rightarrow
 'ACOT- χ ' in DIS
- + large collinear logarithms $\ln \frac{\mu^2}{m_c^2}$ resummed in evolved
 $f_c(x, \mu^2)$ and $D_c^{D^*}(x, \mu^2)$
- + $(\frac{m_c}{p_T})^n$ included

\Rightarrow good for all p_T : $0 \leq p_T^2 \lesssim m_c^2$ and $p_T^2 \gg m_c^2$

FONLL = FO+NLL [1]

$$\text{FONLL} = \text{FO} + (\text{RS} - \text{FOM0})G(m, p_T)$$

FO: Fixed Order; FOM0: Massless limit of FO; RS: Resummed

$$G(m, p_T) = \frac{p_T^2}{p_T^2 + 25m^2}$$

$$\Rightarrow \text{FONLL} = \begin{cases} \text{FO} & : p_T \lesssim 5m \\ \text{RS} & : p_T \gtrsim 5m \end{cases}$$

[1] Cacciari, Greco, Nason, JHEP05(1998)007

Hard scattering coefficients with heavy quark masses

- Massless limit of fixed order calculation
- Mass factorization with massive regularization
- Implementation freedom

Our theoretical basis for $p\bar{p} \rightarrow D^{\star} X$

Factorization Formula:

[1]

$$d\sigma(p\bar{p} \rightarrow D^{\star} X) = \sum_{i,j,k} \int dx_1 dx_2 dz f_i^p(x_1) f_j^{\bar{p}}(x_2) \times \\ d\hat{\sigma}(ij \rightarrow kX) D_k^{D^{\star}}(z) + \mathcal{O}(\alpha_s^{n+1}, (\frac{\Lambda}{Q})^p)$$

Q : hard scale, $p = 1, 2$

- $d\hat{\sigma}(\mu_F, \mu'_F, \alpha_s(\mu_R), \frac{m_h}{p_T})$: hard scattering cross sections free of long-distance physics $\rightarrow m_h$ kept
- PDFs $f_i^p(x_1, \mu_F), f_j^{\bar{p}}(x_2, \mu_F)$: $i, j = g, q, c$ $[q = u, d, s]$
- FFs $D_k^{D^{\star}}(z, \mu'_F)$: $k = g, q, c$

\Rightarrow need short distance coefficients including heavy quark masses

[1] J. Collins, 'Hard-scattering factorization with heavy quarks: A general treatment', PRD58(1998)094002

Adopted Procedure

- Calculate $m \rightarrow 0$ limit of massive 3-FFNS calculation of heavy quark production (at the partonic level) [1]
Only keep m as regulator in $\ln \frac{m^2}{s}$

Partonic subprocesses in 3-FFNS:

- Leading Order (LO):

1. $gg \rightarrow c\bar{c}$
2. $q\bar{q} \rightarrow c\bar{c}$ ($q = u, d, s$)

- Next-To-Leading Order (NLO):

1. $gg \rightarrow c\bar{c}g$
2. $q\bar{q} \rightarrow c\bar{c}g$
3. $gq \rightarrow c\bar{c}q$ New@NLO

Limiting procedure non-trivial:

- Map from **PS-slicing** to **Subtraction method**
- care needed to recover $\delta(1-w)$, $(\frac{1}{1-w})_+$, $(\frac{\ln(1-w)}{1-w})_+$

Checks:

- Compare Abelian parts with results in [2]
- Numerical tests

[1] Bojak, Stratmann, PRD67(2003)034010; FORTRAN code provided by I. Bojak

[2] Kramer, Spiesberger, EPJC22(2001)289; hep-ph/0302081

Adopted Procedure –continued–

- Compare $m \rightarrow 0$ limit of massive calculation with **massless $\overline{\text{MS}}$ calculation** [1]

$$\lim_{m \rightarrow 0} d\sigma(m) = d\hat{\sigma}(\overline{\text{MS}}) + \Delta d\sigma$$

\Rightarrow Subtraction terms

$$d\sigma_{\text{SUB}} \equiv \Delta d\sigma = \lim_{m \rightarrow 0} d\sigma(m) - d\hat{\sigma}(\overline{\text{MS}})$$

- Subtract $d\sigma_{\text{SUB}}$ from **massive** partonic cross section while keeping mass terms

$$d\hat{\sigma}(m) = d\sigma(m) - d\sigma_{\text{SUB}}$$

\rightarrow $d\hat{\sigma}(m)$ short distance coefficient including m

This is equivalent to $\overline{\text{MS}}$ mass factorization in a scheme where **collinear divergences** are **massively regularized** with help of a quark mass m

\rightarrow allows to use PDFs and FFs with $\overline{\text{MS}}$ factorization
 \oplus **massive** short distance cross sections

- Treat contributions with charm in the initial state with $m_c = 0$; \leadsto scheme choice of practical importance;
tiny effect in DIS [2]

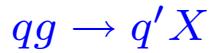
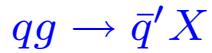
[1] Aversa, Chiappetta, Greco, Guillet, NPB327(1989)105

[2] Kretzer, I.S., PRD58(1998)094035

List of Subprocesses

Calculation: $\overline{\text{MS}}$ -scheme, heavy quark: $m_Q = 0$ [1]

- Red: Heavy quark mass effects included
- Green: Heavy quark initiated: $m_Q = 0$
- Blue: only light lines involved



\oplus charge conjugated processes

Subtraction terms via $\overline{\text{MS}}$ mass factorization: $a(k_1)b(k_2) \rightarrow Q(p_1)X$ [1]

Sketch of kinematics:

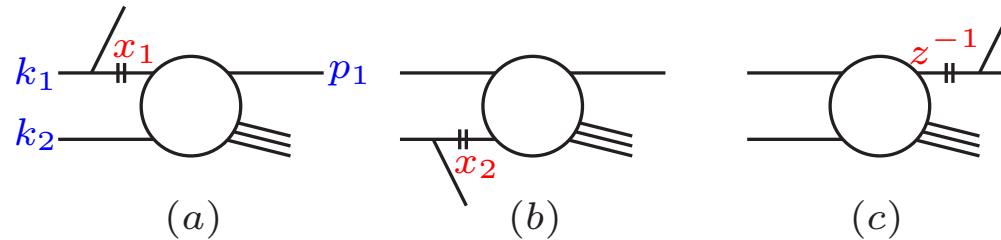


Fig. (a):

$$\begin{aligned} d\sigma^{\text{sub}}(ab \rightarrow QX) &= \int_0^1 dx_1 f_{a \rightarrow i}^{(1)}(\textcolor{red}{x}_1, \mu_F^2) d\hat{\sigma}^{(0)}(ib \rightarrow QX)[\textcolor{red}{x}_1 k_1, k_2, p_1] \\ &\equiv f_{a \rightarrow i}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(ib \rightarrow QX) \end{aligned}$$

Fig. (b):

$$\begin{aligned} d\sigma^{\text{sub}}(ab \rightarrow QX) &= \int_0^1 dx_2 f_{b \rightarrow j}^{(1)}(\textcolor{red}{x}_2, \mu_F^2) d\hat{\sigma}^{(0)}(aj \rightarrow QX)[k_1, \textcolor{red}{x}_2 k_2, p_1] \\ &\equiv f_{b \rightarrow j}^{(1)}(x_2) \otimes d\hat{\sigma}^{(0)}(aj \rightarrow QX) \end{aligned}$$

Fig. (c):

$$\begin{aligned} d\sigma^{\text{sub}}(ab \rightarrow QX) &= \int_0^1 dz d\hat{\sigma}^{(0)}(ab \rightarrow kX)[k_1, k_2, \textcolor{red}{z}^{-1} p_1] d_{k \rightarrow Q}^{(1)}(\textcolor{red}{z}, \mu_F'^2) \\ &\equiv d\hat{\sigma}^{(0)}(ab \rightarrow kX) \otimes d_{k \rightarrow Q}^{(1)}(z) \end{aligned}$$

Subtraction terms via $\overline{\text{MS}}$ mass factorization: Partonic PDFs and FFs

1. initial state:

$$\begin{aligned}
 f_{g \rightarrow Q}^{(1)}(x, \mu^2) &= \frac{\alpha_s(\mu)}{2\pi} P_{g \rightarrow q}^{(0)}(x) \ln \frac{\mu^2}{m^2} \\
 f_{Q \rightarrow Q}^{(1)}(x, \mu^2) &= \frac{\alpha_s(\mu)}{2\pi} C_F \left[\frac{1+z^2}{1-z} \left(\ln \frac{\mu^2}{m^2} - 2 \ln(1-z) - 1 \right) \right]_+ \quad [2] \\
 f_{g \rightarrow g}^{(1)}(x, \mu^2) &= -\frac{\alpha_s(\mu)}{2\pi} \frac{1}{3} \ln \frac{\mu^2}{m^2} \delta(1-x)
 \end{aligned}$$

2. final state:

$$\begin{aligned}
 d_{g \rightarrow Q}^{(1)}(z, \mu^2) &= \frac{\alpha_s(\mu)}{2\pi} P_{g \rightarrow q}^{(0)}(z) \ln \frac{\mu^2}{m^2} \\
 d_{Q \rightarrow Q}^{(1)}(z, \mu^2) &= C_F \frac{\alpha_s(\mu)}{2\pi} \left[\frac{1+z^2}{1-z} \left(\ln \frac{\mu^2}{m^2} - 2 \ln(1-z) - 1 \right) \right]_+ \quad [1, 2, 3]
 \end{aligned}$$

- Other distributions are zero to this order in α_s
- Analogous for photon splitting: $g \rightarrow \gamma$, $\alpha_s \rightarrow \alpha$, color factors

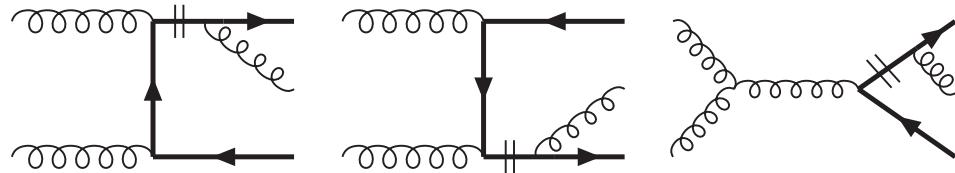
[1] Mele, Nason, NPB361(91)626 [$\gamma^* \rightarrow c\bar{c}g$]

[2] Kretzer, I.S., PRD58(1998)094035; D59(1999)054004 [$c\gamma^* \rightarrow cg$]

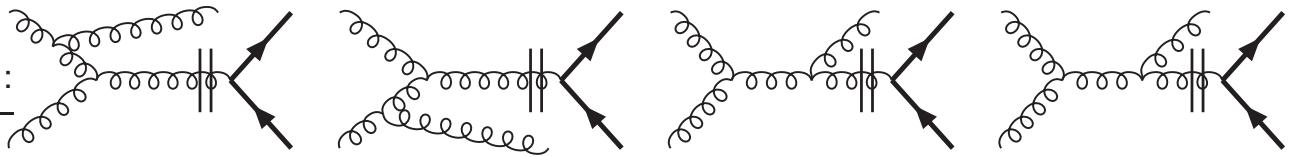
[3] Melnikov, Mitov, PRD70(2004)034027; Mitov, hep-ph/0410205 [$\mathcal{O}(\alpha_s^2)$]

Graphical representation of Subtraction terms for $gg \rightarrow Q\bar{Q}g$

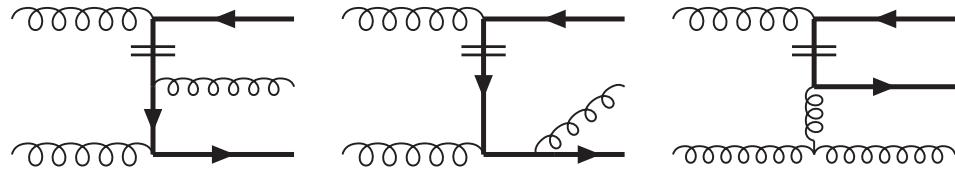
$d\hat{\sigma}^{(0)}(gg \rightarrow Q\bar{Q}) \otimes d_{Q \rightarrow Q}^{(1)}(z)$:



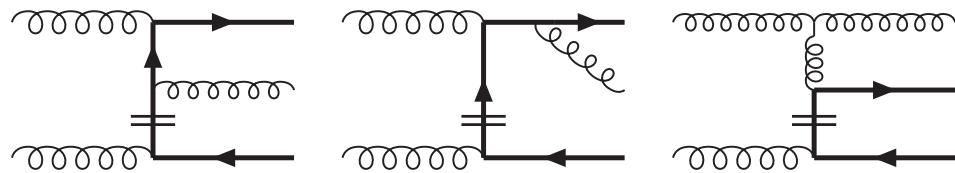
$d\hat{\sigma}^{(0)}(gg \rightarrow gg) \otimes d_{g \rightarrow Q}^{(1)}(z)$:



$f_{g \rightarrow Q}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(Qg \rightarrow Qg)$:

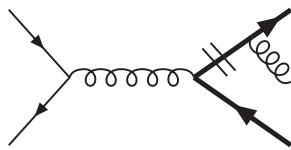


$f_{g \rightarrow Q}^{(1)}(x_2) \otimes d\hat{\sigma}^{(0)}(gQ \rightarrow Qg)$:

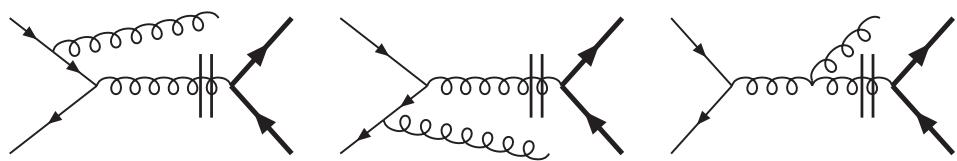


Graphical representation of subtraction terms for $q\bar{q} \rightarrow Q\bar{Q}g$ and $gq \rightarrow Q\bar{Q}q$

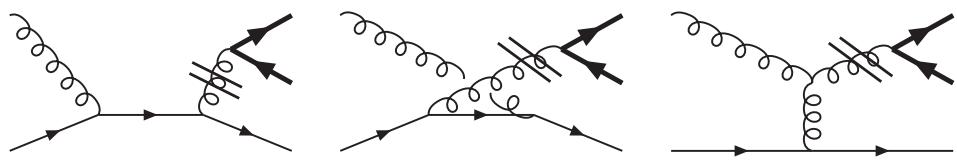
$d\hat{\sigma}^{(0)}(q\bar{q} \rightarrow Q\bar{Q}) \otimes d_{Q \rightarrow Q}^{(1)}(z)$:



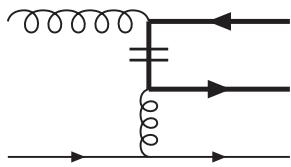
$d\hat{\sigma}^{(0)}(q\bar{q} \rightarrow gg) \otimes d_{g \rightarrow Q}^{(1)}(z)$:



$d\hat{\sigma}^{(0)}(gq \rightarrow gq) \otimes d_{g \rightarrow Q}^{(1)}(z)$:



$f_{g \rightarrow Q}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(Qq \rightarrow Qq)$:



Comparison with FONLL

$\text{FONLL} = \text{FO} + (\text{RS} - \text{FOM0})G(m, p_T)$ with

$$G(m, p_T) = \frac{p_T^2}{p_T^2 + 25m^2}$$

$\text{GM-VFNS} = \text{FO} + (\text{RS} - \text{FOM0})\tilde{G}(m, p_T)$ with

$$\tilde{G}(m, p_T) = 1 \text{ (very similar to S-ACOT scheme)}$$

FO : Fixed Order; FOM0 : Massless limit of FO ; $\text{RS} \equiv \text{ZM-VFNS}$: Resummed

- Both approaches interpolate between FO and ZM-VFNS
 - FONLL : obvious;
 - GM-VFNS : matching with FO at quark level (see [Olness,Scalise,Tung,PRD59\(1998\)014506](#))
- Different point-of-view: GM-VFNS finally needs PDFs and FFs in this scheme.
- Numerical comparisons interesting and should be done!

Numerical Results

$$\int_{-1}^1 dy \frac{d\sigma}{dp_T dy}$$

for

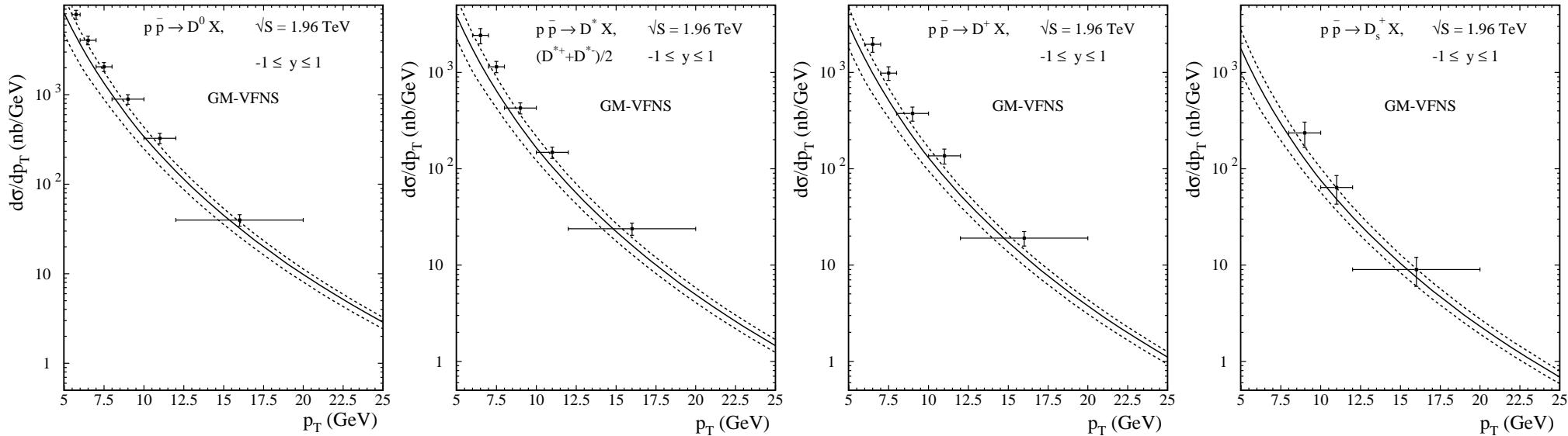
$$p + \bar{p} \rightarrow (D^0, D^{*+}, D^+, D_s^+) + X$$

at the Tevatron

Input parameters:

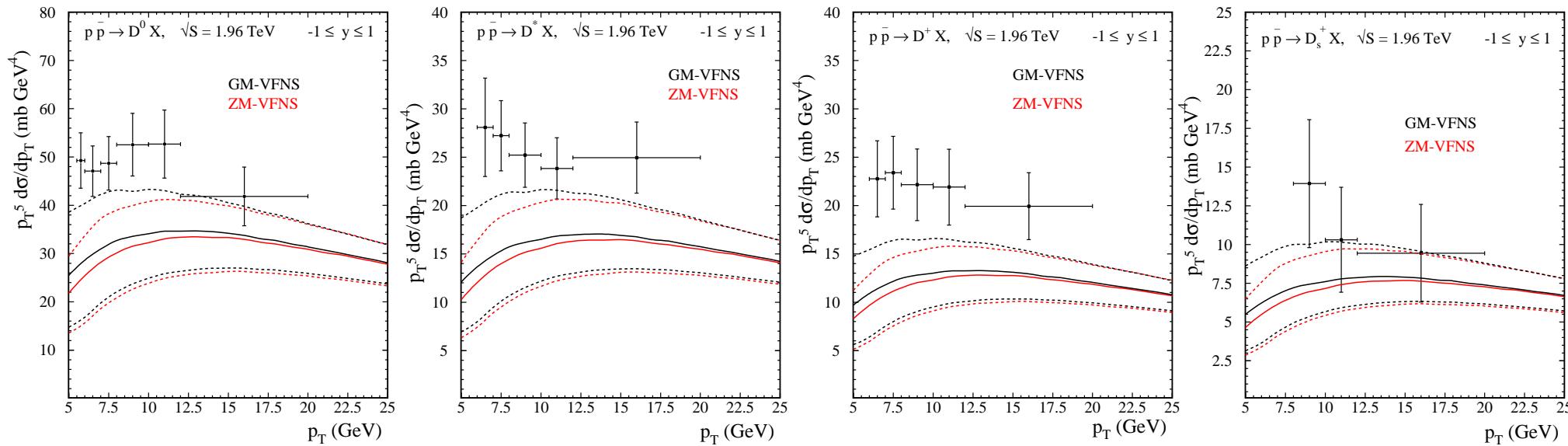
- PDFs: CTEQ6M (NLO)
- FFs: BKK NLO OPAL
- $\alpha_s(M_Z) = 0.118$
- $m_c = 1.5 \text{ GeV}, m_b = 5 \text{ GeV}$

- $d\sigma/dp_T$ (nb/GeV), $|y| \leq 1$, massive VFNS (GM-VFNS)
- Uncertainty band: independent variation of $\mu_R, \mu_F, \mu'_F = \xi m_T$, $\xi \in [1/2, 2]$



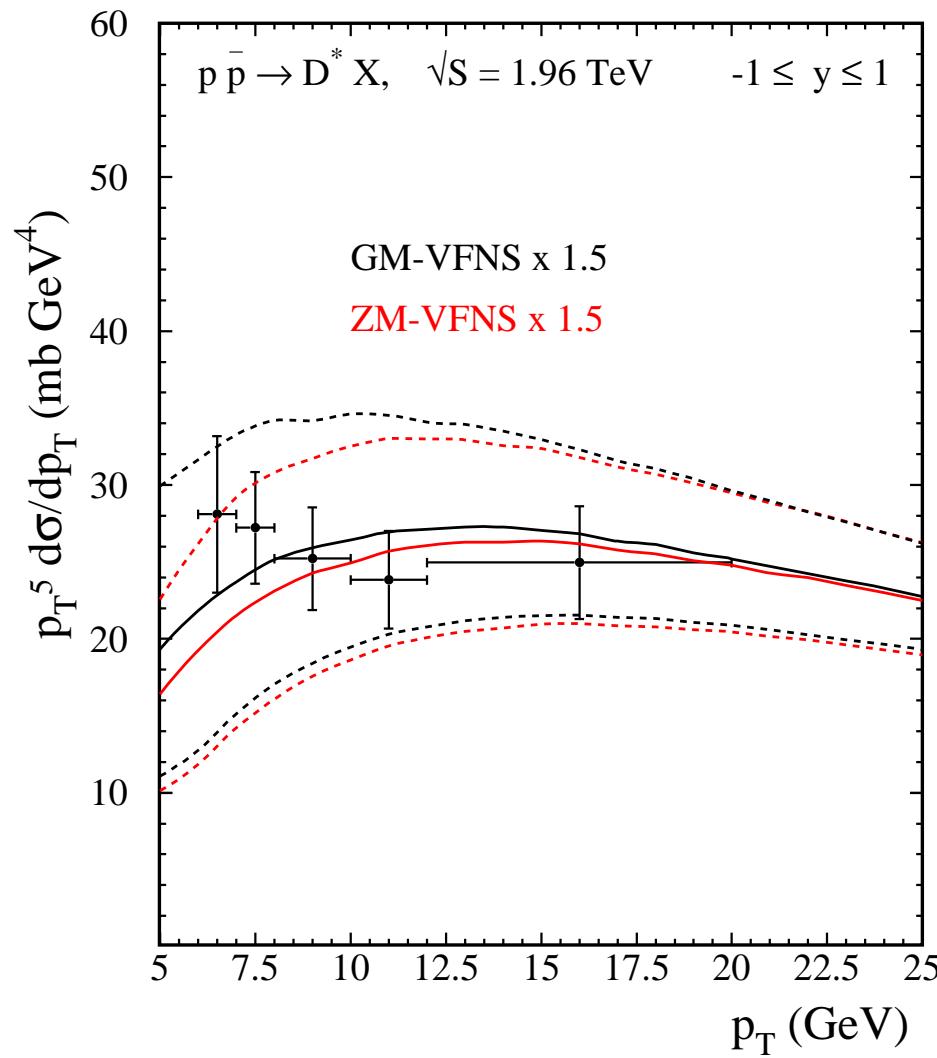
- Prompt charm (no secondary charm from B decay)
- Data and Theory compatible within errors
- Central values: Data/Theory $\simeq 1.5 - 1.8$

- $p_T^5 d\sigma/dp_T$ (mb GeV⁴), $|y| \leq 1$: almost flat
- Uncertainty band: independent variation of $\mu_R, \mu_F, \mu'_F = \xi m_T$, $\xi \in [1/2, 2]$
Large scale uncertainty dominant!

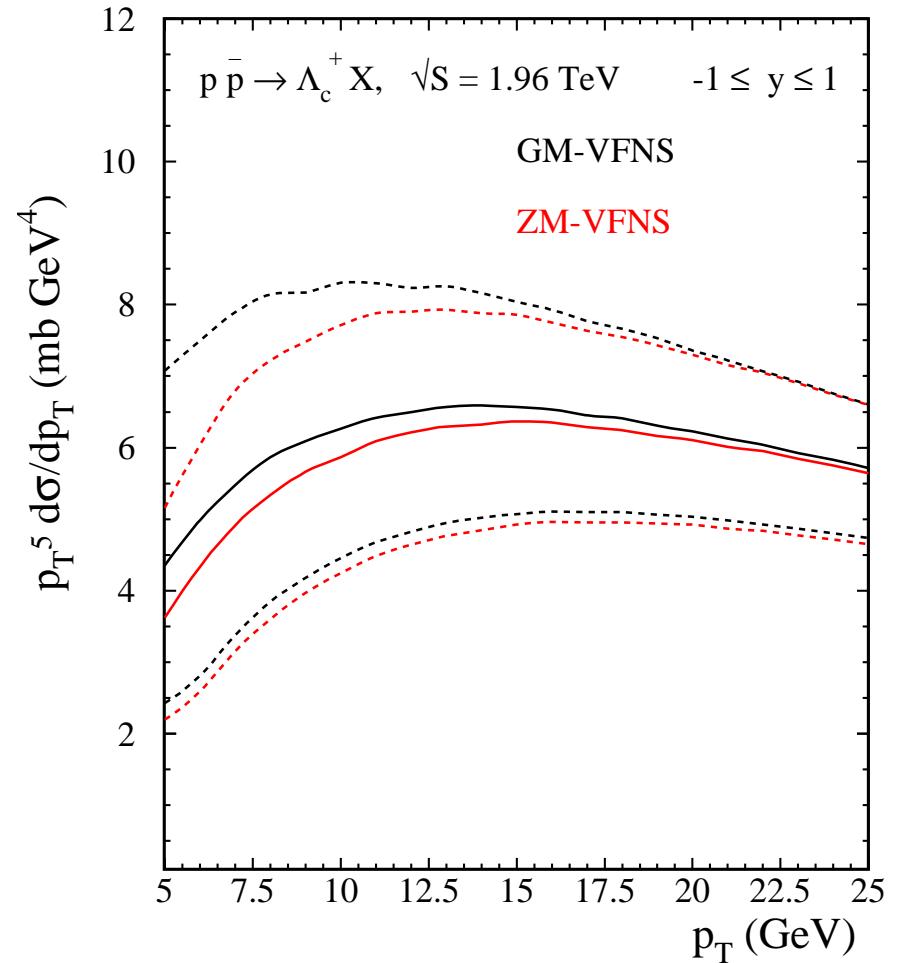
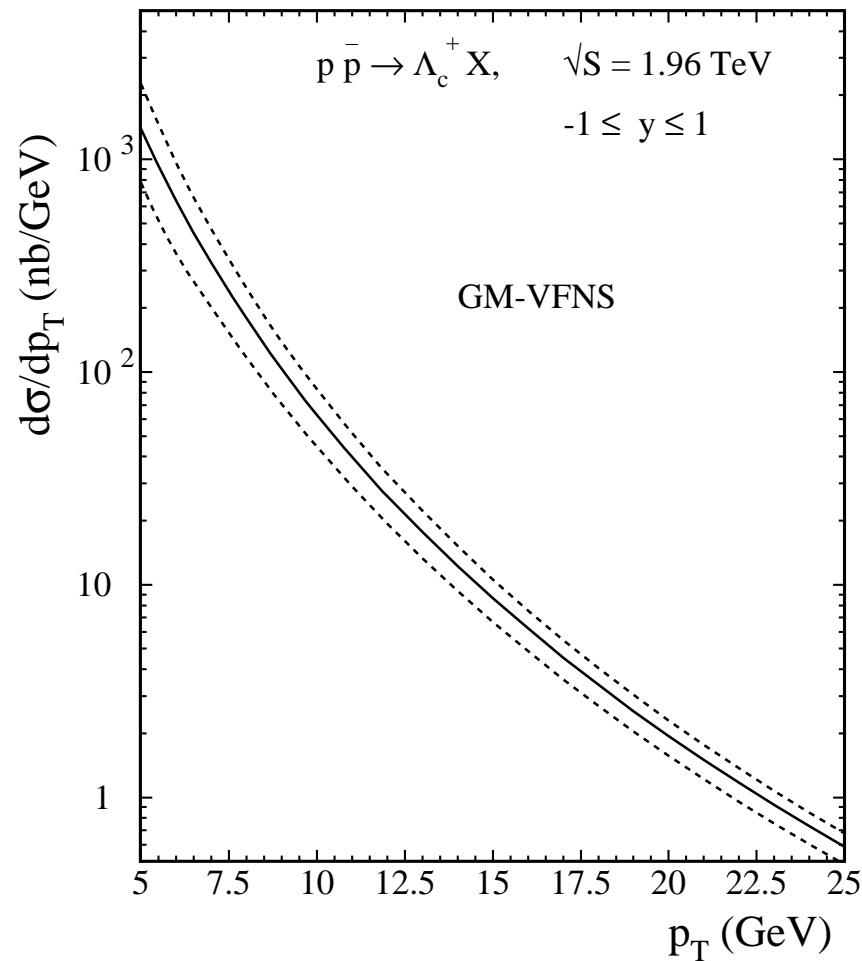


- Prompt charm (no secondary charm from B decay)
- Data and Theory compatible within errors
- Central values: Data/Theory $\simeq 1.5 - 1.8$

Central values: Data/Theory $\simeq 1.5$



Predictions for Λ_c^+



Status

Theory compatible with exp. results within errors. However, for central curves:

Data/Theory $\simeq 1.5 \dots 2$

Explanations? [Assuming: pQCD/Factorization valid; Data correct (within errors)]

- Non-pert. Input: PDFs, FFs

Note: Gluon fragmentation contributes about 30% to the cross section at Tevatron.

- α_s uncertainty: increase of 10% – 20% possible

(of course increase of α_s feeds back on PDFs)

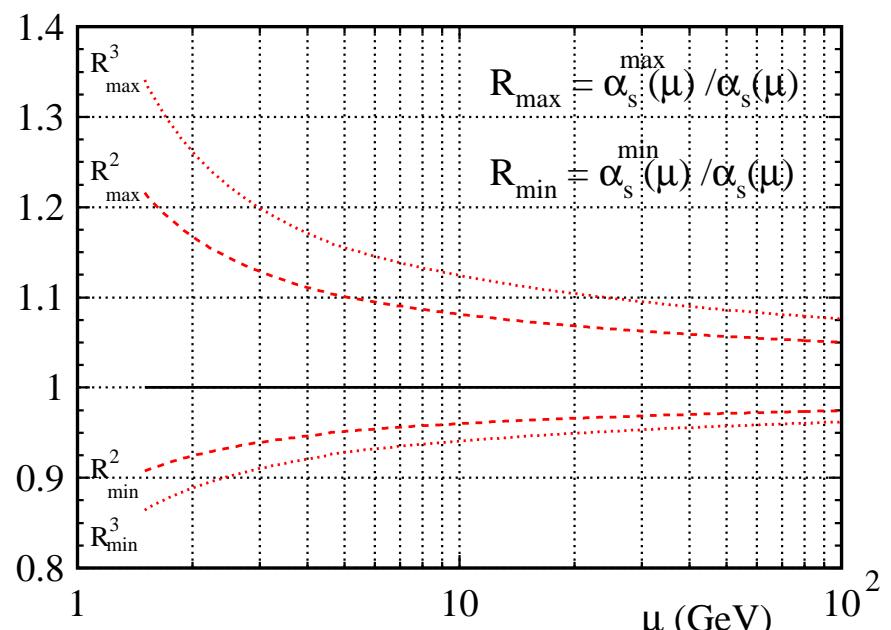
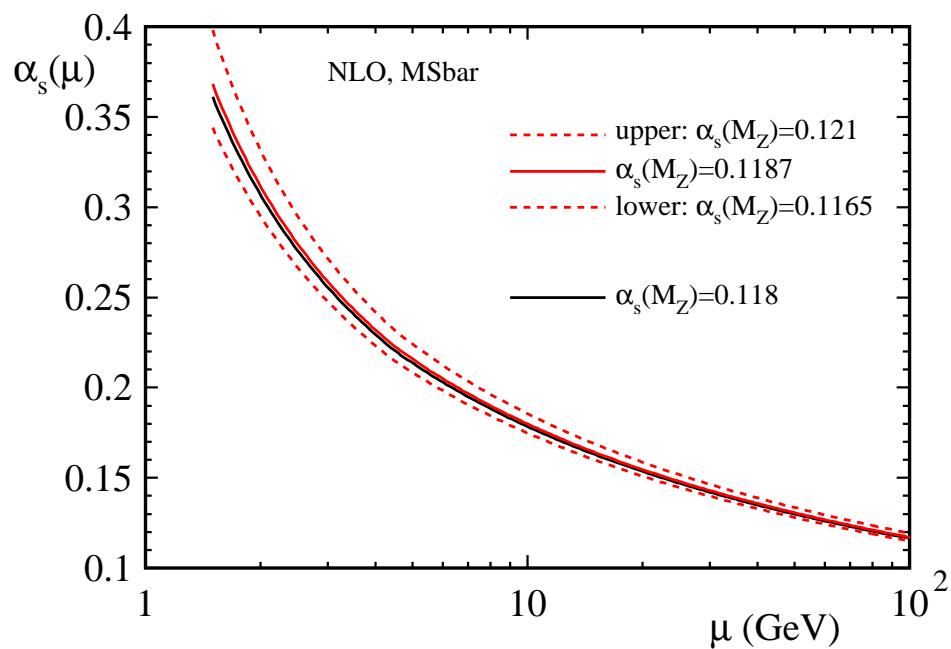
[→ Figure]

- NNLO corrections

- New physics

- ... ?

- PDG'04: $\alpha_s(M_Z) = 0.1187 \pm 0.0020$
- CTEQ6M PDFs: $\alpha_s(M_Z) = 0.118$; MRST03 $\alpha_s(M_Z) = 0.1165$;
- $d\sigma(p\bar{p} \rightarrow DX) \propto \alpha_s^2(1 + \alpha_s K)$



Conclusions and Outlook

We have established a **massive VFNS** for heavy quark production in hadron hadron collisions. Same approach as in previous work by **G. Kramer** and **H. Spiesberger** for $\gamma\gamma, \gamma p \rightarrow D^* X$:

- Massless limit of massive calculation compared with massless $\overline{\text{MS}}$ calculation
Subtraction terms identified:

$$d\sigma_{\text{SUB}} \equiv \Delta d\sigma = \lim_{m \rightarrow 0} d\sigma(m) - d\hat{\sigma}(\overline{\text{MS}})$$

- Subtraction terms in agreement with calculation based on **mass factorization with massive regularization**. Useful for future applications.
- **Short distance coefficients** including heavy quark mass m constructed:

$$d\hat{\sigma}(m) = d\sigma(m) - d\sigma_{\text{SUB}}$$

- Numerical checks of subtraction terms: $d\hat{\sigma}(m) \rightarrow d\hat{\sigma}(\overline{\text{MS}})$ for $m \rightarrow 0$.
- allows to use PDFs and FFs with $\overline{\text{MS}}$ factorization together with **massive** short distance cross sections
- Applications: $p\bar{p} \rightarrow DX$ and $p\bar{p} \rightarrow BX$ at the Tevatron. Resolved contribution to $\gamma p \rightarrow D^* X, \gamma\gamma \rightarrow D^* X$.