

# Working group summary: Diffraction Theory

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1. Overview
2. Theory of and around diffractive Higgs production
  - gluon distribution
  - rapidity gap survival
  - diffractive parton densities
3. QCD at high parton densities
  - Saturation, multiple interactions, AGK cutting rules
4. Conclusions

Warning and apologies:  
very selective, often gloss over technical details

# Topics and contributions

- ▶ Diffractive Higgs production J Forshaw, G Ingelman, A Kaidalov, P Landhsoff, L Lönnblad, A Martin, L Motyka, R Peschanski
- ▶ Diffractive structure functions and parton densities M Arneodo, M Groys, P Newman, A Proskuryakov, F-P Schilling, G Watt, G Wolf
- ▶ Diffractive factorization breaking (“rapidity gap survival”) A Bruni, M Klasen, U Maor, A Mastroberardino, A Prygarin, S Schätzel, F-P Schilling
- ▶ Multiple interactions J Bartels, K Boreskov, A Kaidalov, H Kowalski, A Sabio-Vera, M Strikman
- ▶ Small- $x$  theory (BFKL, nonlinear evolution, saturation) J Andersen, D Kharzeev, G Ingelman, E Levin, M Lublinski, C Marquet, S Munier, J Raufeisen, A Sabio-Vera, R Venugopalan

- ▶ Charged current exchange L Adamczyk, R Bellan, P Laycock, K Wichmann
- ▶  $\gamma p$  interactions at LHC J Nystrand, K Piotrkowski
- ▶ Diffraction at LHC: generator studies M Boonekamp, B Cox, J Monk, A Pilkington, A Solol, M Tasevsky
- ▶ Diffraction at LHC: detectors and triggers B Cox, R Croft, A De Roeck, I Efthymiopoulos, K Eggert, F Ferro, P Grafström, M Grothe, C Hogg, D Macina, M Murray, K Österberg, R Orava, A Panagiotou, M Rynanen, P v Mechelen
- ▶ Forward detectors at HERA V Andreev, A Bunyatyan, X Janssen, M Kapishin, H Kowalski, V Monaco, R Sacchi, K Vervink
- ▶ Diffraction at the Tevatron C Avila, D Goulios

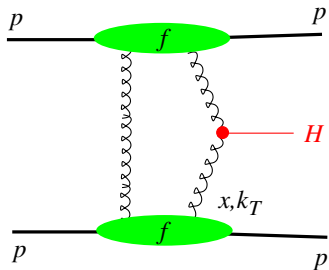
# Exclusive Higgs production

Exclusive channel  $p + p \rightarrow p + H + p$  has many attractive features

- ▶ mass resolution
- ▶ quantum number filter/discriminator  $J^{PC}$
- ▶ scenarios beyond standard model

→ J Ellis, B Cox, plenary talks

# Exclusive Higgs production (very oversimplified)



$$f(x, k_T) =$$

unintegrated gluon distribution

$$\int^{\mu^2} \frac{d^2 k_T}{k_T^2} f(x, k_T^2) = xg(x; \mu^2)$$

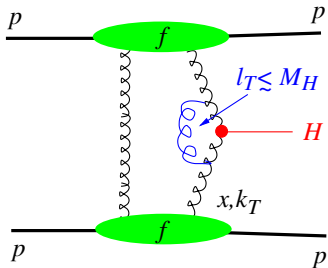
(roughly)

► appears as  $\int \frac{d^2 k_T}{k_T^4} f(x_1, k_T^2) f(x_2, k_T^2)$

→ **not** dominated by  $k_T \sim M_H$

→ bad infrared behavior

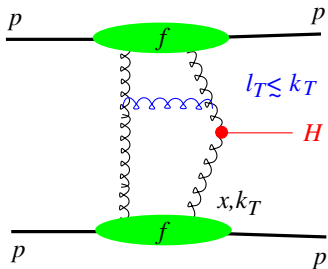
# Exclusive Higgs production (oversimplified)



radiative corrections  
 → large Sudakov logarithms  
 → cancellations in infrared region  
 Khoze, Martin, Ryskin

- ▶ improves infrared behavior  
but retain sensitivity to lowish values of  $k_T$
- ▶ appreciable dependence of cross section on precise implementation of resummation, choice of scales, ...  
 → J Forshaw, L Motyka (Jan meeting)  
 full NLO calculation would be useful

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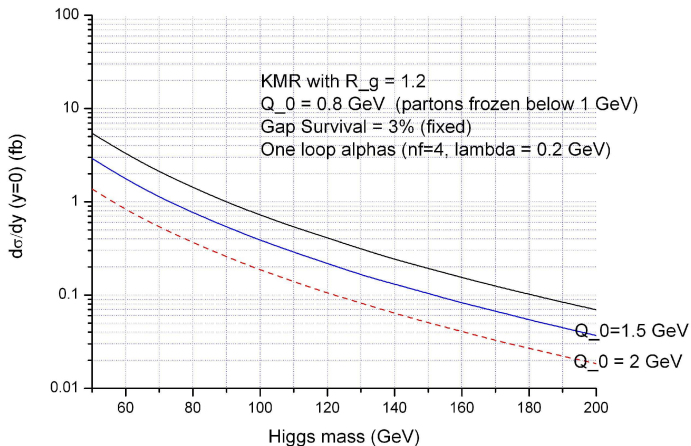
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Sensitivity to infrared region:

Higgs cross section evaluated with cutoff  $Q_0 \leq k_T$

J Forshaw (Jan meeting)

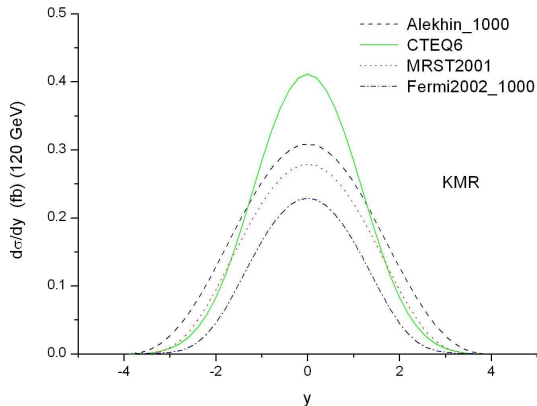


Need to know unintegrated gluon distribution

one strategy: obtain from integrated (DGLAP) distributions

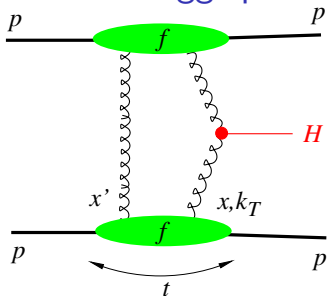
by differentiation  $\int \mu^2 \frac{d^2 k_T}{k_T^2} f(x, k_T^2) = xg(x; \mu^2)$

J Forshaw (Jan)



more fundamentally: how to constrain **unintegrated** gluon distrib.  
from data L Lönnblad (March)

# Exclusive Higgs production (less oversimplified)



need **generalized** gluon distribution  $f(x, x', k_T^2, t)$

- ▶ skewness:  $x \sim M_H^2/s \approx 10^{-2}$  for  $M_H = 120$  GeV,  
 $x' \sim k_T^2/s \ll x$

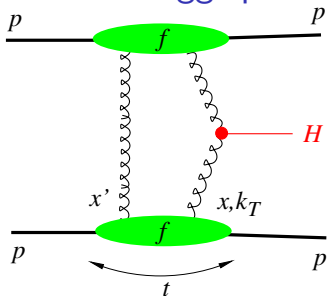
- ▶  $t$  dependence

interplay between  $t$  and  $x$ : shrinkage  $f \sim x^{-\alpha'|t|}$

transformed from  $t$  to impact parameter

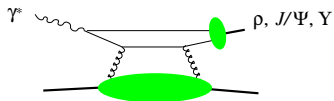
→ distribution of gluons in transverse plane

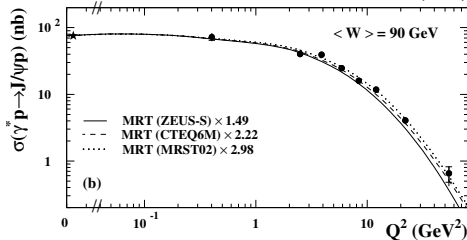
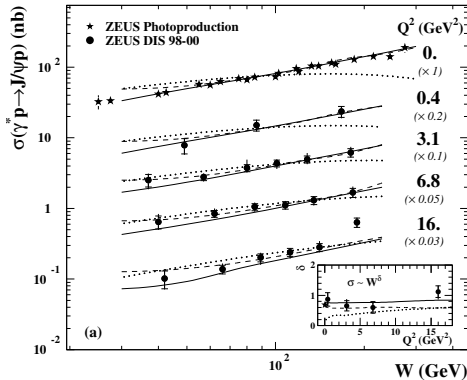
# Exclusive Higgs production (less oversimplified)



need **generalized** gluon  
distribution  $f(x, x', k_T^2, t)$

- ▶ can measure at HERA:  
vector meson production  
and DVCS  $\gamma^* p \rightarrow \gamma$



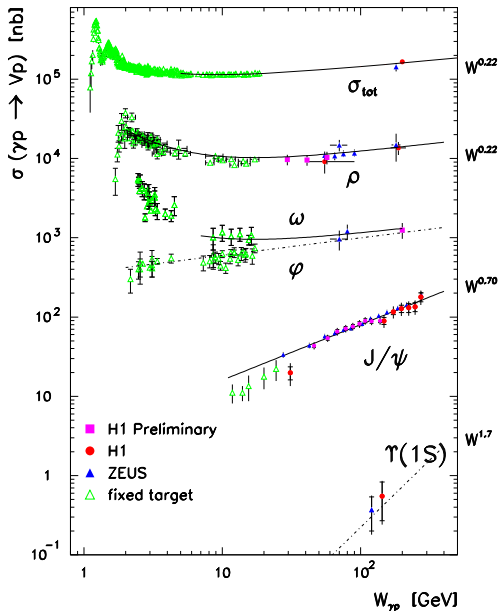


← ZEUS, NPB 695 (2004) 3

- ▶ data sensitive to gluon distrib. at

$$x = \frac{M_V^2 + Q^2}{W^2}$$

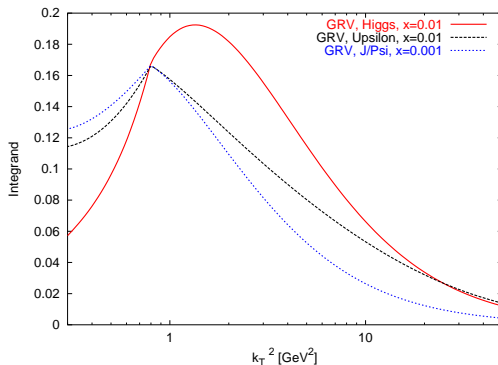
- ▶ but:  
theory uncertainties



- ▶ smallest theory uncertainties:
  - $\Upsilon$  photoproduction
  - DVCS at large  $Q^2$

- ▶  $\Upsilon$  photopr.:  $x \sim 10^{-2}$   
as for light Higgs  
small rate  $\rightsquigarrow$  HERA II  
A Bruni (this meeting)

vector mesons at HERA vs. light Higgs at LHC:



similar  
sensitivity to  
gluon  $k_T$

← L Motyka (Jan)

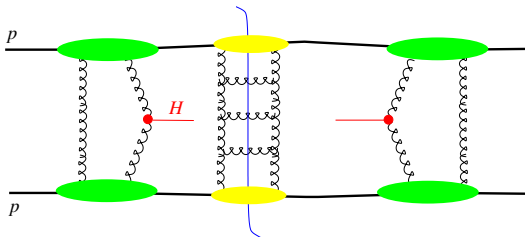
process amplitude  $\propto \int d(\log k_T^2) \times \text{Integrand}$

( $J/\Psi$  and  $\Upsilon$  curves: photoproduction)

(warning: curve for  $k_T < 1$  GeV very dependent on infrared prescription)

ongoing studies L Motyka, T Teubner

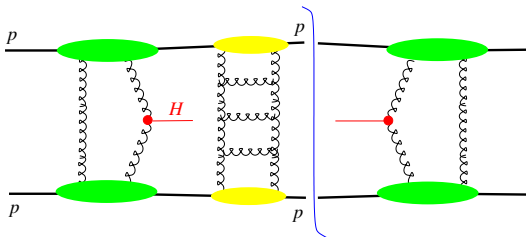
# Exclusive Higgs production (simplified)



- ▶ in  $pp$  collisions **no** factorization for events with rapidity gap  
BIG effects
- ▶ culprit: interactions between spectator partons, mainly  
(but not only) soft

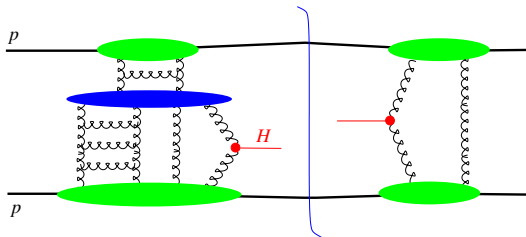


# Exclusive Higgs production (simplified)



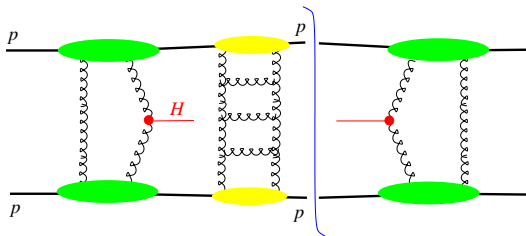
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(**but not only**) soft
- ▶ current models based on data for  $pp$  elastic/diffractive scattering → U Maor (March, Oct), A Prygarin (Jan)

# Exclusive Higgs production (less simplified)



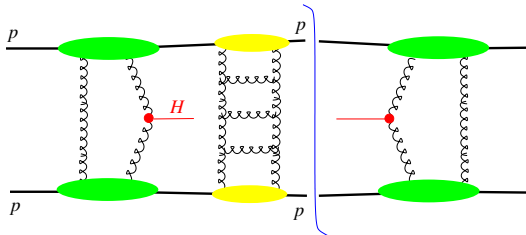
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(**but not only**) soft
- ▶ current models based on data for  $pp$  elastic/diffractive scattering → U Maor (March, Oct), A Prygarin (Jan)
- ▶ (**is enough?**) → L Motyka (Jan)

# Exclusive Higgs production (simplified)



- ▶ in  $pp$  collisions **no** factorization for events with rapidity gap  
BIG effects
- ▶ culprit: interactions between spectator partons, mainly  
(but not only) soft
- ▶ further **input**:  $t$  dependence of hard subprocess  
(collision more central  $\rightarrow$  more secondary interactions)  
 $\rightarrow$  vector meson and DVCS data

# Exclusive Higgs production (simplified)



I can only conclude that this unhappy situation needs a lot more expertise and detailed consideration than I can here provide. The best answer to this problem is to determine the survival probability experimentally.

J D Bjorken, Phys Rev D47 (1992) 101

# Survival probability

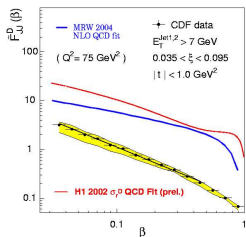
test models in a variety of processes → inclusive diffraction

- ▶ Tevatron  $p\bar{p} \rightarrow p + \text{gap} + \text{jets} + X + \bar{p}$   
or  $\bar{b}b$  or  $W$  instead of jets (looking forward to run II data)

## CDF diffractive dijets

- Diffractive structure function of the antiproton:

$$\tilde{F}_{JJ}^D(\beta) = \frac{1}{\xi_{\max} - \xi_{\min}} \int_{\xi_{\min}}^{\xi_{\max}} d\xi \left[ \beta g^D(\xi, \beta, Q^2) + \frac{4}{9} \beta \Sigma^D(\xi, \beta, Q^2) \right]$$



- Fairly close to result presented by M. Arneodo (October meeting)
- Results for 'survival probability' of the rapidity gap do not contradict calculation by Kaidalov-Khoze-Martin-Ryskin, 2000/1:

$$S^2 \simeq 0.12-0.28$$

← G Watt (Jan)

## Survival probability

test models in a variety of processes → inclusive diffraction

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or  $\bar{b}b$  or  $W$  instead of jets (looking forward to run II data)
- ▶ essential input: diffractive parton densities from HERA  
 $\gamma^*p \rightarrow X + p$ ,  $\gamma^*p \rightarrow \text{jets} + X + p$ ,  $\gamma^*p \rightarrow \bar{c}c + X + p$   
ongoing analyses and fits M Groy, P Newman, A Proskuryakov,  
G Wolf  
diffractive PDF library F-P Schilling
- ▶ diffractive structure function data:  
globally good agreement between H1 and ZEUS  
but notable differences in detail  
→ uncertainties on diffractive PDFs, especially on gluon  
P Newman, M Groy (this meeting)  
further data (high  $Q^2$ , jets, charm, tagged protons) will help

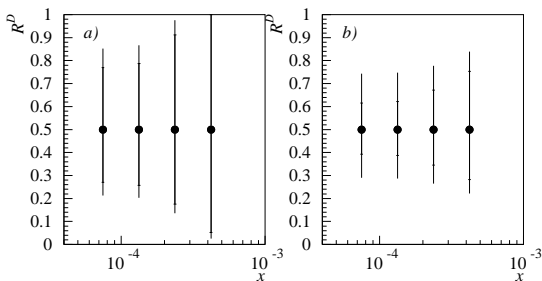
# Survival probability

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diffractive PDF library F-P Schilling
- ▶ outstanding theory issues: → e.g. G Watt (June, Jan)
  - ▶ treatment of diffractive charm
  - ▶ kinematics where leading-twist description adequate  
at large  $\beta$  expect dominant twist-4 contribution from  
longitudinal  $\gamma^*$

direct measurement of  $F_L^D$  would provide

- ▶ handle on diffractive gluon distribution
- ▶ information on twist-4 contamination at high  $\beta$



projected errors with  
low-energy running

$$R^D = \frac{F_L^D}{F_2^D - F_L^D}$$

M Kapishin (this meeting)

left:  $10 \text{ pb}^{-1}$  at  $E_p = 500 \text{ GeV}$  and  $50 \text{ pb}^{-1}$  at  $E_p = 820 \text{ GeV}$

right:  $50 \text{ pb}^{-1}$  at  $E_p = 500 \text{ GeV}$  and  $250 \text{ pb}^{-1}$  at  $E_p = 820 \text{ GeV}$

cuts  $Q^2 \geq 5 \text{ GeV}^2$ ,  $x_F \leq 0.01$



# Survival probability at HERA

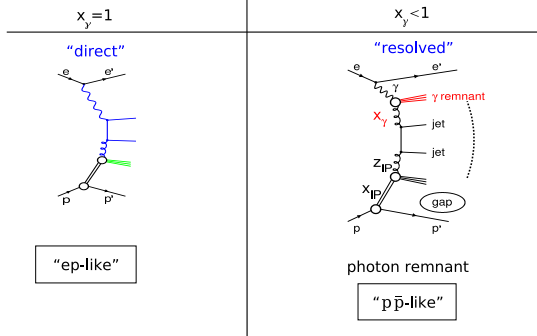
factorization breaking also expected in  $\gamma p$

→ can test at HERA alone

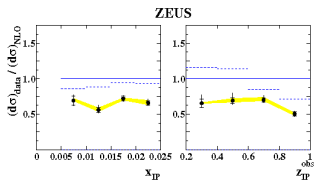
avoid extrapolation of diffractive PDFs to Tevatron kinematics

A Bruni, M Klasen, A Mastroberardino, S Schätzel, F-P Schilling

## "direct" and "resolved" photon interactions



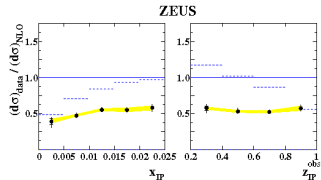
## Ratio data / NLO (unsuppressed)

Resolved  $x_\gamma < 0.75$ Direct  $x_\gamma > 0.75$ 

- ZEUS (prel.) 99-00
- Energy scale uncertainty
- NLO @ had.
- ⋯ NLO / (NLO @ had.)

R=1, H1 2002 fit (prel.)

$x_\gamma^{\text{obs}} < 0.75$



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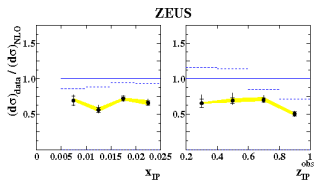
$x_\gamma^{\text{obs}} \geq 0.75$

- Photon PDF uncertainties at high  $E_T$ ,  $\eta^{\text{jet}}$
- Data lower by a factor  $\sim 0.5$  vs NLO QCD model

1

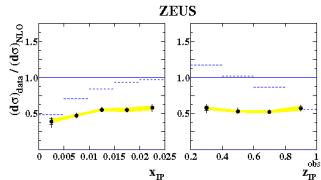
- ▶ for resolved photon suppression, as expected
- ▶ suppression also seen for  $x_\gamma \rightarrow 1$ , not understood

## Ratio data / NLO (unsuppressed)

Resolved  $x_\gamma < 0.75$ Direct  $x_\gamma > 0.75$ 

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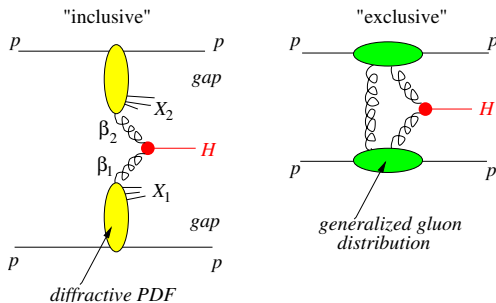
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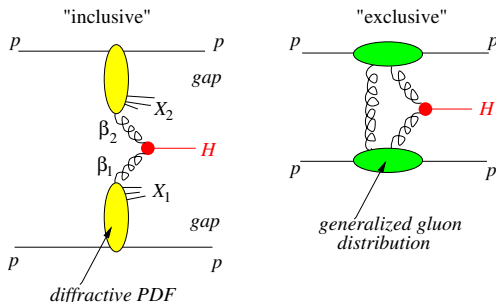
- ▶ found by both H1 and ZEUS
- ▶ further studies may help clarify:
  - ratios diffractive/nondiffractive, diffractive  $\bar{c}c$ , leading baryons
  - A Kaidalov, this meeting

# Non-exclusive background



- ▶ "inclusive" diffractive events become background to "exclusive" ones when remnant systems  $X_{1,2}$  become soft
- ▶ relevant region for diffractive PDFs:  
 $\beta_{1,2} \rightarrow 1$  and  $Q_{1,2}^2 \sim M_H^2$

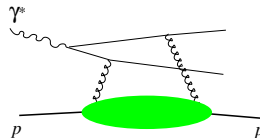
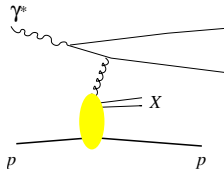
# Non-exclusive background



- ▶ for “exclusive” mechanism  $b\bar{b}$  is suppressed compared with  $H$ , but **not** for “inclusive” one  
 → potentially dangerous background  
 affects Higgs mass measurement,  $J^{PC}$  filtering

further studies:

- ▶ inclusive vs. exclusive dijets in  $pp$  or  $p\bar{p}$  (Tevatron run II)  
jet finding algorithms → A Pilkington (Jun)
- ▶ possibility at HERA: inclusive vs. exclusive diffractive dijets



ongoing studies

# QCD of high parton densities

## Some (almost) trivialities:

In **high-energy** collisions multiple interactions are generally present

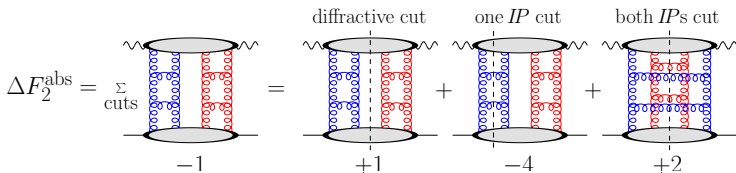
- ▶ modify event characteristics compared to single hard scattering → underlying event [Summary talk L Lönnblad](#)
- ▶ effects cancel in **sufficiently inclusive** observables  
(**rapidity gaps in  $pp$  not “sufficiently inclusive”**)  
if hard scale large enough
  - underlies leading-twist factorization
- ▶ “large enough” becomes more demanding at smaller  $x$ 
  - breakdown of leading-twist factorization,  
parton saturation at high energies

## Phenomena are related

- absorptive corrections to inclusive observables
- multiple interactions in single event
- rapidity gap events

can be generated by **same** diagrams, cut in **different** ways  
 → constraints for theory and modeling

absorptive corrections (to exchange of 1 gluon ladder) in  $\gamma^*p$



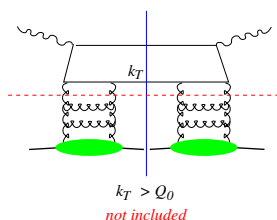
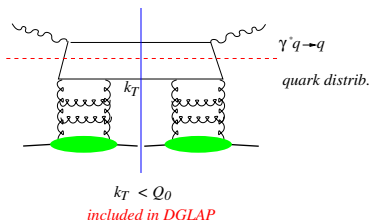


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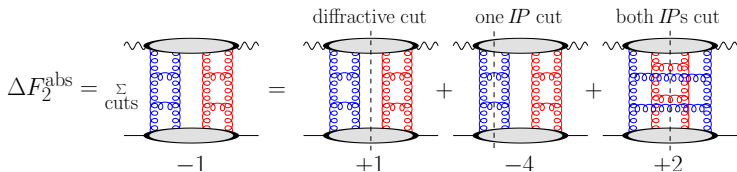
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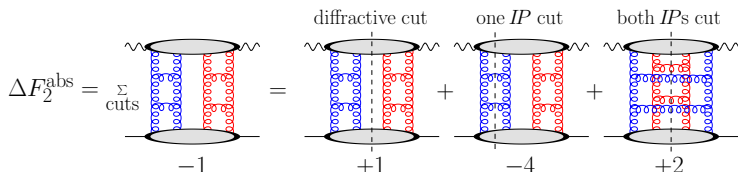
→ extraction of gluon density at low  $x$  and moderate  $Q^2$

G Watt (Jun, Jan)



AGK (Abramovsky, Gribov, Kancheli) cutting rules  
give relative weights of different cuts

- ▶ original rules modified in QCD J Bartels, A Sabio-Vera  
“two gluons=pomeron” too simple
- ▶ essential input: coupling of gluons to projectile  
can calculate for  $\gamma^*$   
for  $p$  must model/extract from data



AGK (Abramovsky, Gribov, Kancheli) cutting rules  
give relative weights of different cuts

- ▶ numerical study in dipole model H Kowalski (Jun)  
simultaneously good description of inclusive and diffract. DIS  
F Eisele (plenary talk)

→ substantial fraction of multiple interactions at moderate  $Q^2$

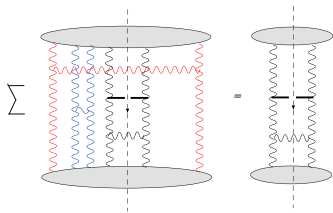
at  $Q^2 = 4 \text{ GeV}^2$ :  $\sim \frac{3}{4}$  of events with 1 cut ladder

$\sim \frac{1}{8}$  with  $\geq 2$  cut ladders

$\sim \frac{1}{8}$  with no cut ladder (diffractive)

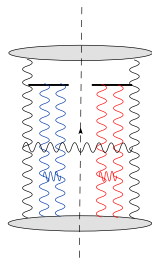
# applications in $pp$ scattering

J Bartels, this meeting



interactions between colliding protons  
cancel in **1-jet inclusive** cross section  
(as in **collinear factorization**)

but still have interactions  
between jet and one proton



- more complicated results for **2-jet inclusive** cross section

first results obtained, but much theory remains to be worked out

perspectives:

- ▶ relations between different cuts (i.e. different observables)
- ▶ experimental information on final states  
     $\rightsquigarrow$  information on absorptive corrections
- ▶ make contact with “DGLAP based approach” to multiple scattering as e.g. implemented in Pythia, Herwig  
    → implement theory constraints in models/event generators
- ▶ theory of gap survival probability
- ▶  $ep$  as “testing ground” for more complicated  $pp$  case

## Nonlinear effects: from $ep$ to $pp$

Theoretical framework for scattering at high parton densities:  
**color glass condensate** → R Venugopalan (this meeting)

- ▶ strong nonlinear effects for processes with hardness  $k_T < Q_s$   
saturation scale  $Q_s(x)$  grows when  $x$  decreases
- ▶ in low-density limit recover standard BFKL,  $k_T$  factorization

## Nonlinear effects: from $ep$ to $pp$

Theoretical framework for scattering at high parton densities:  
**color glass condensate** → R Venugopalan (this meeting)

- ▶ strong nonlinear effects for processes with hardness  $k_T < Q_s$   
saturation scale  $Q_s(x)$  grows when  $x$  decreases
- ▶ recover **color dipole** formulation for DIS and  $ep$  diffraction  
same dipole cross section also appears in forward Drell-Yan  
production → J Raufeisen (Nov)
- ▶ dipole cross section **not** sufficient input for more complicated  
final states in  $pp$  collisions  
need more complicated gluon correlation functions  
color dipoles → color “multipoles”  
possibly can constrain with suitable diffractive final states  
(work in progress)

# Conclusions

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  - optimize detectors/triggers
  - control backgrounds
- ▶ input from HERA:
  - ▶ generalized gluon distribution
  - ▶ diffractive parton distributions
  - ▶ rapidity gap survival (HERA→Tevatron and HERA→HERA)
- ▶ multiple interactions, absorptive corrections, rapidity gaps
  - ▶ become important at high energies and moderate scales
  - ▶ are interrelated
  - ▶ of fundamental and of practical interest
  - ▶ e.g.: extraction of gluon density from  $F_2(x_B, Q^2)$
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but much remains to be done for theory and measurement

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