

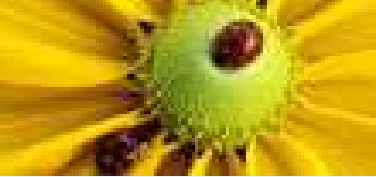
From High Energy QCD to Statistical Physics ... and Back

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Based on:

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E.I., D. Triantafyllopoulos (hep-ph/0411405 & 0501193)



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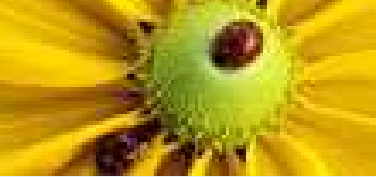
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QCD vs. Statistical Physics

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- What is the high–energy limit of QCD scattering ?
- High energy QCD \iff High density gluonic matter
 - ◆ **Weak coupling !** (by asymptotic freedom)
 - ◆ **Elaborate resummations** (high energy & many body)
- Theoretical progress leading to new tools
 - ◆ Color Dipole Picture (Al Mueller)
 - ◆ Color **G**lass **C**ondensate (MV, JIMWLK)
- Conceptual & phenomenological consequences
 - ◆ **Saturation** of the parton densities
 - ◆ **Unitarization** of the scattering amplitudes
 - ◆ **Geometric scaling** (\implies DIS at small- x)
 - ◆ **Cronin peak & High- p_T suppression** in d+Au at RHIC
- Unexpected link to problems in statistical physics !
High–energy QCD evolution: **a classical stochastic process**



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High–energy QCD evolution: a classical stochastic process



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- Gluon evolution in QCD at high energy
 - ◆ Deep inelastic scattering at small x
 - ◆ Gluon distribution at HERA
 - ◆ BFKL evolution and its ‘small- x problem’
- Gluon Saturation: the general idea
- The QCD effective theory for gluon saturation: CGC
- From High-Energy QCD to Statistical physics
 - ◆ Relation to the “reaction-diffusion” process
 - ◆ The mean field approximation:
Unitarization & Geometric scaling
 - ◆ Particle number fluctuations
- Evolution equations with ‘Pomeron loops’
- Conclusions & Open questions

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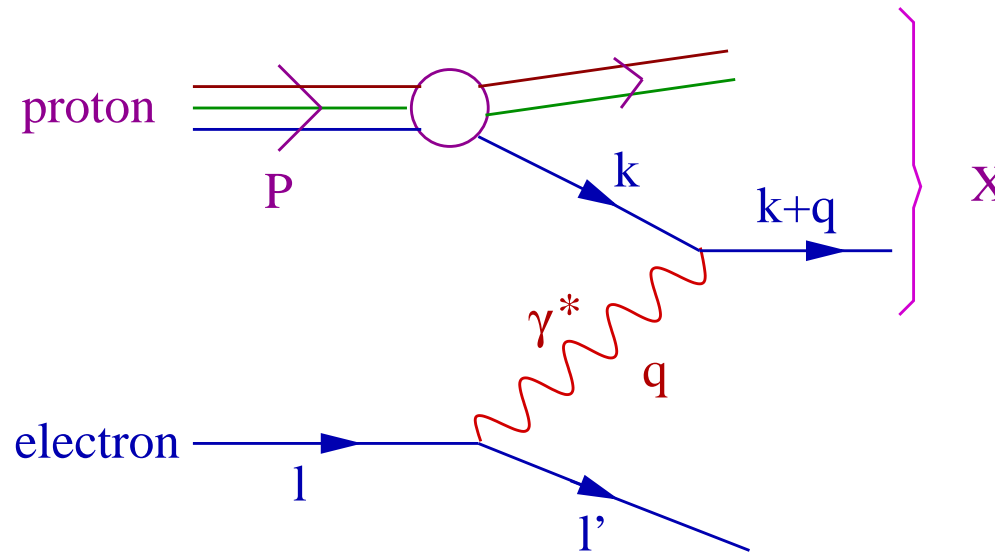
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Deep Inelastic Scattering at Small- x (1)

$$\text{electron (l)} + \text{proton (P)} \longrightarrow \text{electron (l')} + \text{X (P}_X\text{)}$$



■ Two independent kinematical invariants :

- ◆ $Q^2 \equiv -q^\mu q_\mu \geq 0$
- ◆ $x \simeq Q^2/s$ with $s \equiv (P + q)^2 \gg Q^2$

■ Virtual photon absorbed by a quark excitation of the proton

- ◆ with transverse size $\Delta x_\perp \sim 1/Q$
- ◆ and longitudinal momentum $k_z = xP$

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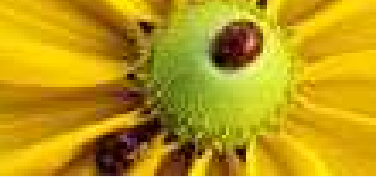
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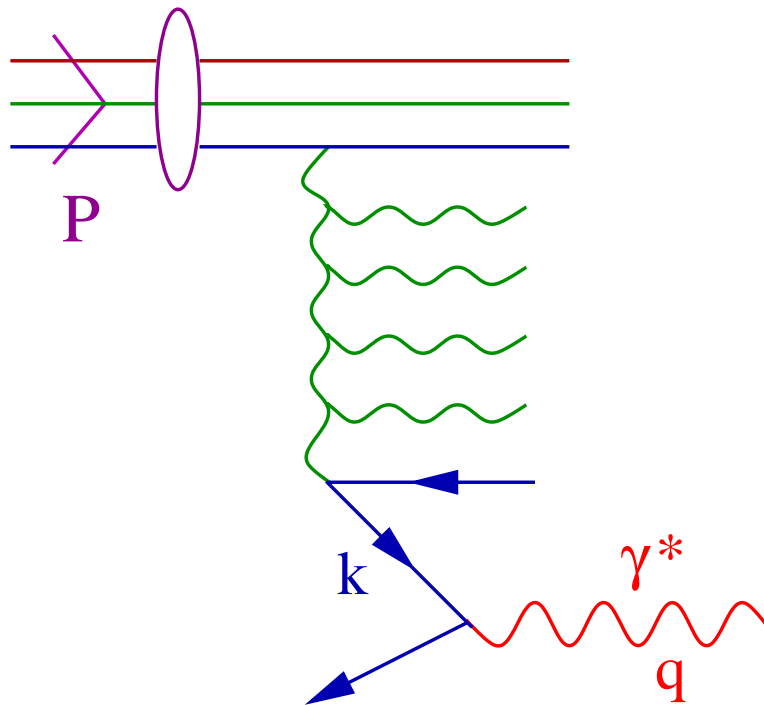
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Deep Inelastic Scattering at Small- x (2)

- High energy ($s \gg Q^2$) \iff Small- x ($x \ll 1$)
- At small- x , the struck quark is typically radiated off the **gluon distribution** in the proton



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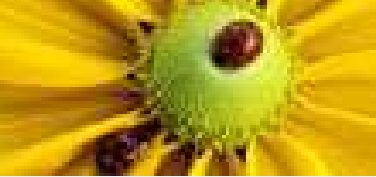
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Gluon distribution at HERA

The gluon density rises very fast at small x ! (as a power of $1/x$)

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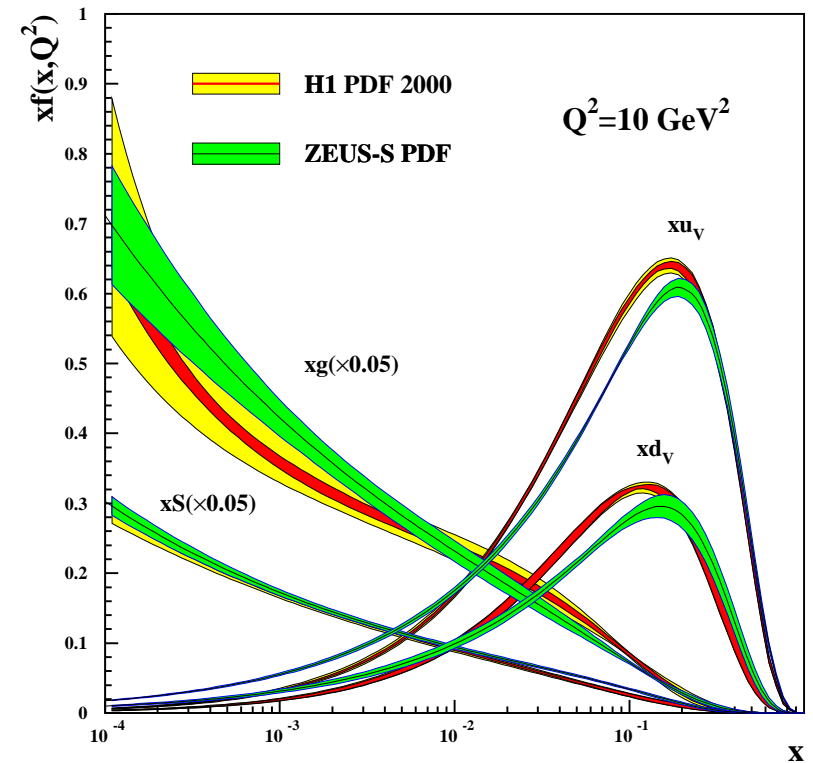
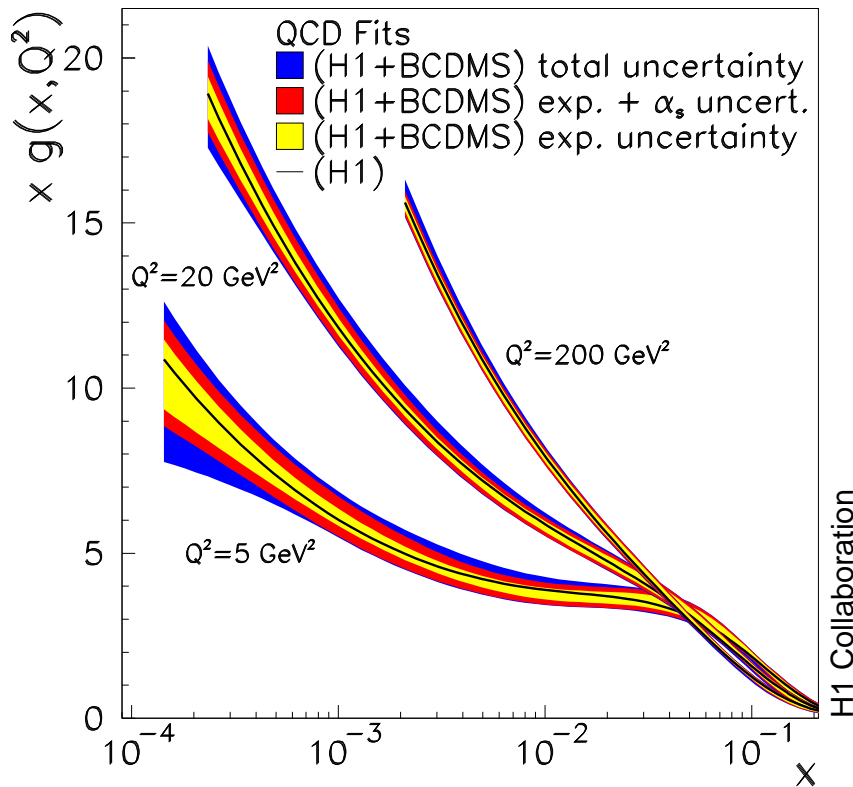
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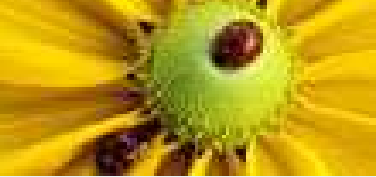
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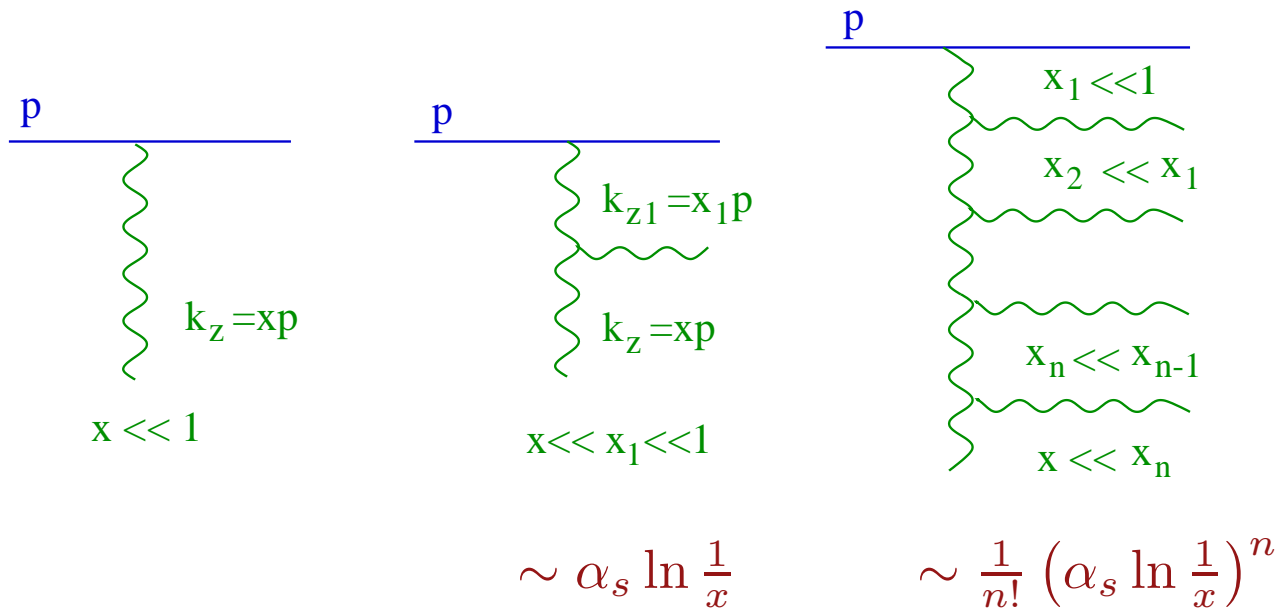
$xG(x, Q^2) = \#$ of gluons with transverse size $\Delta x_\perp \sim 1/Q$ and $k_z = xP$

Can one understand this rise in QCD ?



BFKL evolution

'Quantum evolution' amplifies the gluon density at $x \ll 1$!



- The differential probability for one gluon emission :

$$d\mathcal{P} \simeq \alpha_s \frac{dk_{\perp}^2}{k_{\perp}^2} \frac{dx}{x} \quad (\text{bremsstrahlung})$$

- The cost of one additional gluon :

$$\alpha_s \int_x^1 \frac{dx_1}{x_1} = \alpha_s \ln \frac{1}{x}$$

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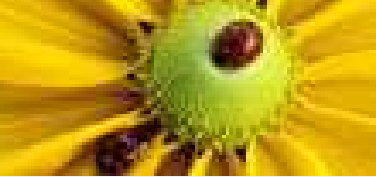
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The small- x problem of BFKL

- When $\alpha_s \ln(1/x) \gtrsim 1 \implies$ Need for resummation

$$N(x) \propto \sum_n \frac{1}{n!} \left(\omega \alpha_s \ln \frac{1}{x} \right)^n = e^{\omega \alpha_s Y}$$

$Y \equiv \ln(1/x) \sim \ln s$: “rapidity”

- BFKL equation : $\omega = (12 \ln 2)/\pi \simeq 2.7$

(Balitsky, Fadin, Kuraev, Lipatov, 78)

- Unstable growth of the gluon distribution !

- Conceptual difficulties in the high-energy limit

- ◆ Violation of the unitarity condition for the S -matrix :

$$SS^\dagger = 1 \implies T(s, b) \leq 1 \quad \dots \quad \text{but } T_{\text{BFKL}}(s, b) \sim s^\omega !$$

- ◆ ‘Infrared diffusion’ : sensitivity to the non-perturbative domain at low momenta $Q^2 \lesssim \Lambda_{\text{QCD}}^2$

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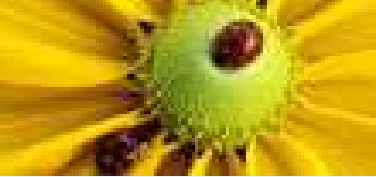
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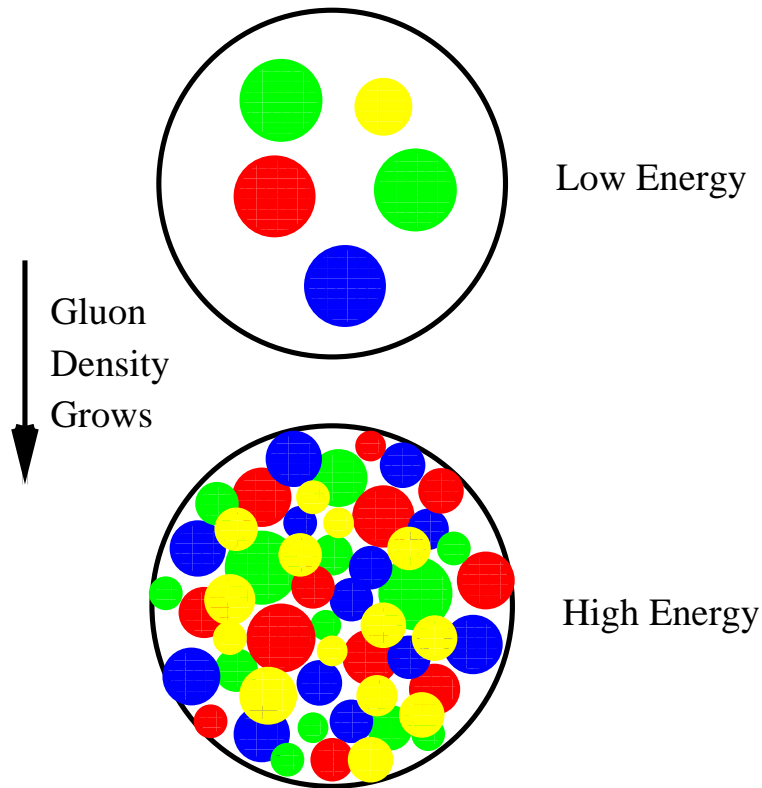
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High energy = High density

- QCD evolution with increasing energy:
A rapid evolution towards increasing density !



- But **no feedback** from the high density on the BFKL evolution

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● High density

● The idea of saturation

● Saturation momentum

● From pQCD to saturation

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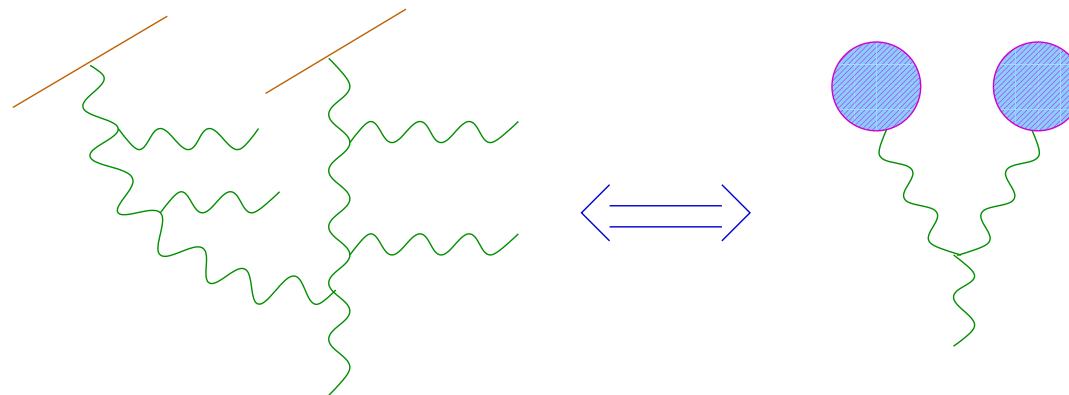
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Gluon Saturation : The General Idea

(Gribov, Levin and Ryskin, 83 ; Mueller and Qiu, 86)

- The high density favors **gluon recombination**



- When **RECOMBINATION = RADIATION** \implies **SATURATION**
- The gluons must be large enough to overlap with each other

$$n(Y, Q^2) \equiv \frac{xG(x, Q^2)}{Q^2 \pi R^2} : \quad \text{Occupation number}$$

- One expects a **non-linear** equation of the generic form:

$$\frac{\partial n}{\partial Y} \simeq \alpha_s n - \alpha_s^2 n^2 = 0 \quad \text{when} \quad n(Y, Q^2) \sim \frac{1}{\alpha_s} \gg 1$$

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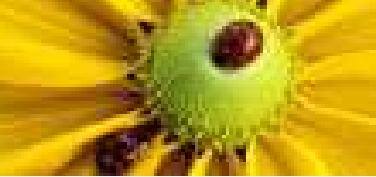
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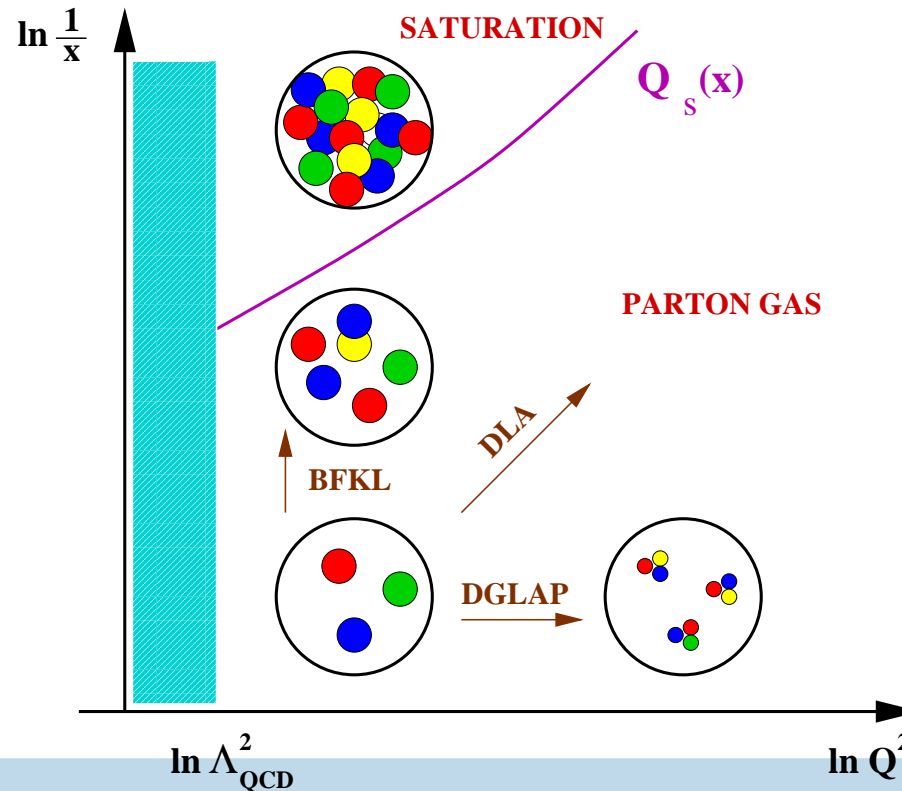
The Saturation Momentum

- For a given $Y = \ln 1/x$, this requires Q^2 to be smaller than

$$Q_s^2(x) \simeq \alpha_s \frac{xG(x, Q_s^2)}{\pi R^2} \sim \frac{1}{x^\lambda}$$

$Q^2 \gg Q_s^2(x)$: Dilute regime (rapid growth: BFKL, DGLAP)

$Q^2 \lesssim Q_s^2(x)$: Saturation: $n \sim 1/\alpha_s$ (large but constant)



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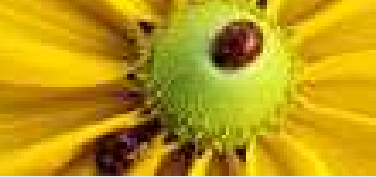
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How to describe saturation within QCD ?

- The high–density gluons are **weakly coupled** :

$$Q_s^2(x) \gg \Lambda_{\text{QCD}} \simeq 200 \text{ MeV} \implies \alpha_s(Q_s^2) \ll 1$$

- ... yet, their dynamics is **fully non–linear** !

$$n \sim 1/\alpha_s \implies \alpha_s n \sim 1$$

- **Weak coupling + Large occupation numbers**

\implies **Strong classical ‘color’ fields**

- **Color Glass Condensate**

A classical effective theory for the small– x gluons as obtained after integrating out the gluons at large x in perturbation theory

*L. McLerran, R. Venugopalan (94) : a model for a large nucleus
E.I., A. Leonidov & L. McLerran (00) : quantum theory*

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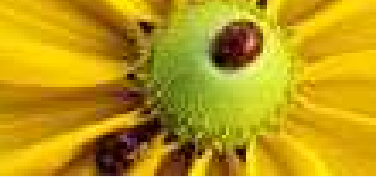
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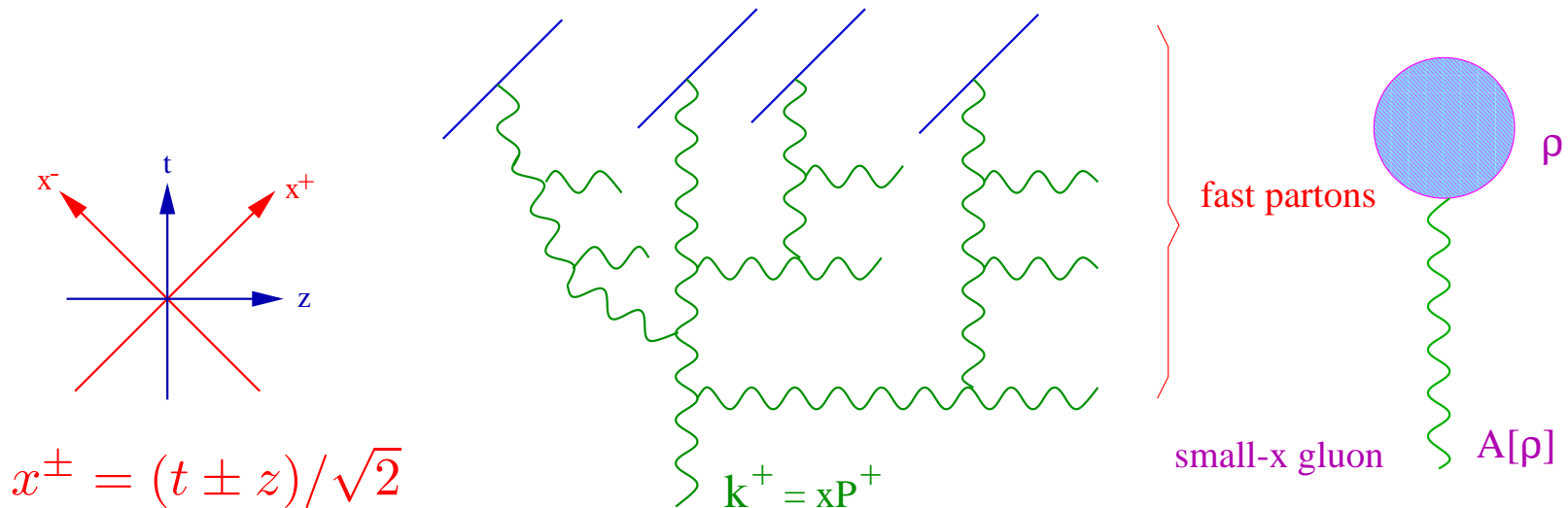
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The Color Glass Condensate



- **Small- x gluons** : The classical field $A[\rho]$ radiated by fast ($x' > x$) partons having a **color charge density** ρ_a
- **The fast partons** are 'frozen' (by Lorentz time dilation) in some **random** configuration
 \implies Probability distribution $W_Y[\rho]$ for the color charge
- With increasing Y , **new quanta** are included in ρ (**evolution**)

$$\frac{\partial W_Y[\rho]}{\partial Y} = -H\left[\rho, \frac{\delta}{\delta\rho}\right] W_Y[\rho] \quad (\text{JIMWLK})$$

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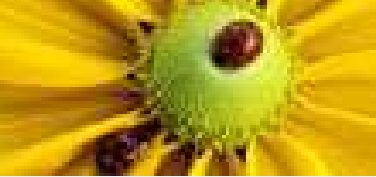
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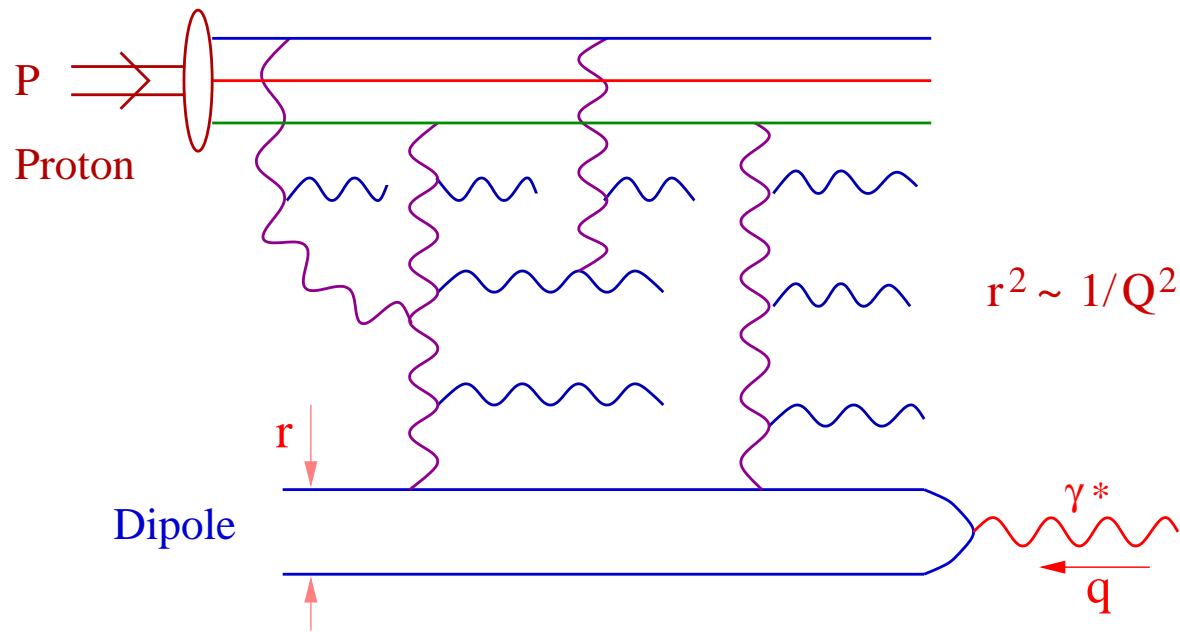
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Deep Inelastic Scattering off the CGC



- γ^* fluctuates into a quark–antiquark pair (‘color dipole’)
- The dipole scatters (multiply) off the CGC in the proton

$$S_Y = \frac{1}{N_c} \left\langle \text{tr} (V_x^\dagger V_y) \right\rangle_Y = \int D[A^+] W_Y[A^+] \frac{1}{N_c} \text{tr} (V_x^\dagger[A^+] V_y[A^+])$$

$$V(\mathbf{x}) \equiv P \exp \left(ig \int dx^- A_a^+(x^-, \mathbf{x}) T^a \right) \quad \text{Wilson line (eikonal)}$$

- Quenched average (e.g., spin glass) \implies “Color Glass”

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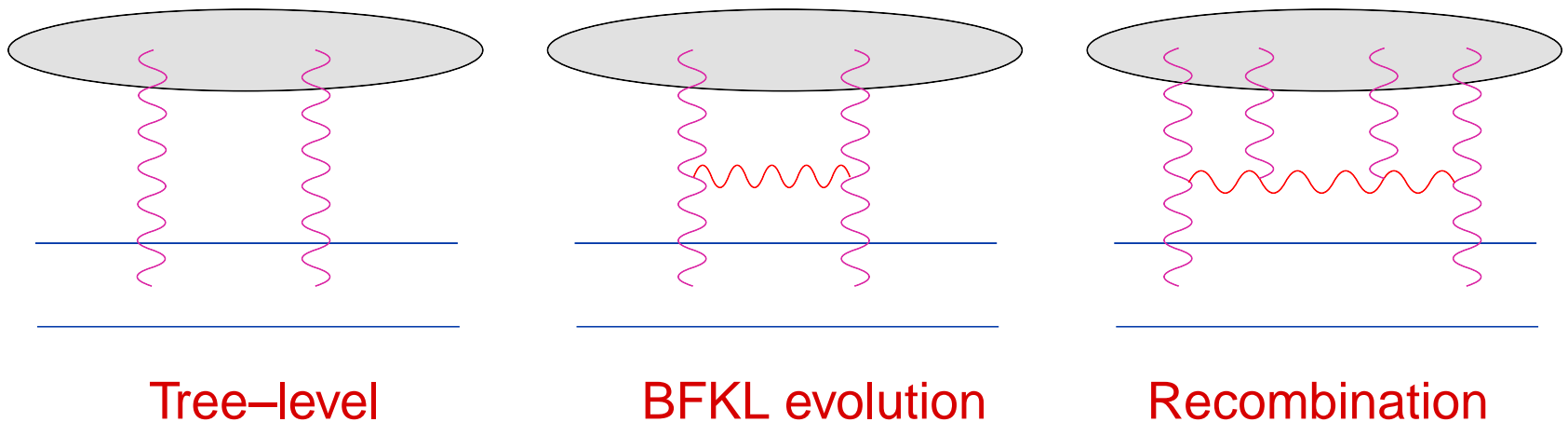
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Evolution equation for the dipole S -matrix

$$\partial_Y S(\mathbf{x}, \mathbf{y}) = \int D[A] (\partial_Y W_Y) \frac{1}{N_c} \text{tr}(V_{\mathbf{x}}^\dagger V_{\mathbf{y}})$$

\Rightarrow a relatively simple equation !

- One-step evolution of the gluons in the target (CGC)



- BFKL evolution : $2 \rightarrow 2$ vertex
- Gluon recombination: $n \rightarrow 2$ vertex, with $n > 2 \Rightarrow$ **Unitarity**
- All this is encoded in the JIMWLK equation

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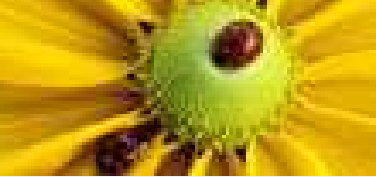
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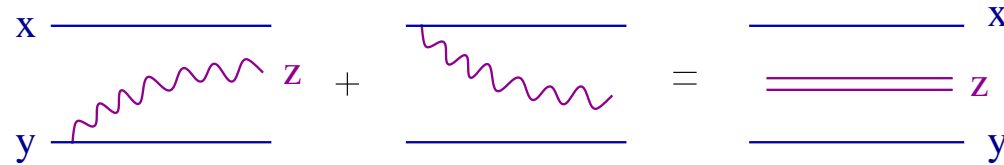
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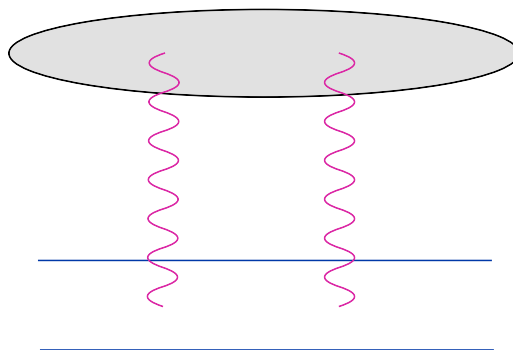


Projectile (Dipole) Evolution

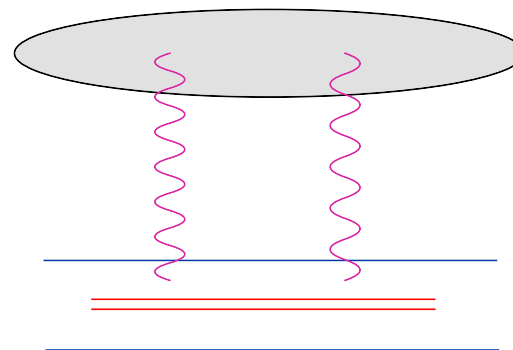
- Projectile evolution \iff Dipole splitting (at large N_c)



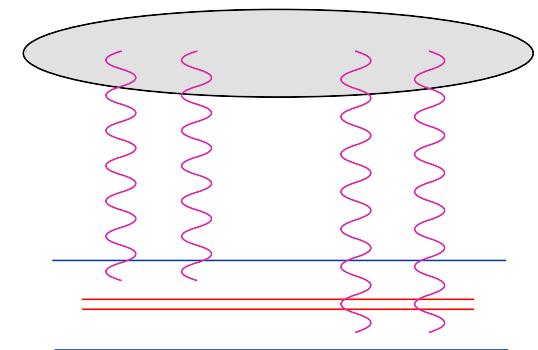
$$p(\mathbf{x}, \mathbf{y} | \mathbf{z}) d^2 z = \frac{\alpha_s N_c}{2\pi^2} \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2} d^2 z$$



Tree-level



Single scattering



Double scattering

- BFKL evolution: A single child dipole scatters off the target
- Unitarity corrections: Both child dipoles scatter off the target

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The Balitsky–Kovchegov equation

$$\frac{\partial}{\partial Y} \langle T(\mathbf{x}, \mathbf{y}) \rangle_Y = \bar{\alpha}_s \int_z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} \left\langle \underbrace{-T(\mathbf{x}, \mathbf{y}) + T(\mathbf{x}, \mathbf{z}) + T(\mathbf{z}, \mathbf{y})}_{\text{BFKL (linear)}} - \underbrace{T(\mathbf{x}, \mathbf{z})T(\mathbf{z}, \mathbf{y})}_{\text{non-linear}} \right\rangle_Y$$

- The first equation in an infinite hierarchy ! (Balitsky, 96)
- Mean field approximation : $\langle TT \rangle \approx \langle T \rangle \langle T \rangle$
 \implies A closed, non-linear, equation for $\langle T \rangle$: BK equation (99)
- $T = 1$: Fixed point at high energy \implies “Black Disk Limit”
- The same universality class as the F–KPP equation (Munier & Peschanski, 03)
“F–KPP” : Fischer, Kolmogorov, Petrov, Piskounov (\sim 1940)
 \triangleright Familiar in statistical physics, chemistry, biology, ...

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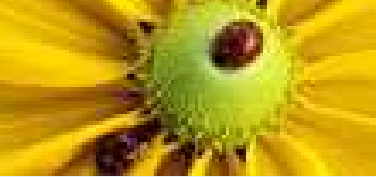
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Reaction–diffusion process $A \rightleftharpoons 2A$

- Particles of type A distributed on an one-dimensional lattice

- ◆ Particle splitting (rate α) : $A \xrightarrow{\alpha} A + A$

- ◆ Particle merging (rate β) : $A + A \xrightarrow{\beta} A$

- ◆ A particle can diffuse to a neighboring site

- $n(x, t)$: number of particles on site x at time t

At large t , $n(x, t)$ saturates at a value $N \equiv \alpha/\beta \gg 1$

- $N \rightarrow \infty$: $h(x, t) = n(x, t)/N$ obeys the F–KPP equation :

$$\partial_t h(x, t) = \underbrace{\partial_x^2 h(x, t)}_{\text{diffusion}} + \underbrace{h(x, t)}_{\text{growth}} - \underbrace{h^2(x, t)}_{\text{recombination}}$$

- Two fixed points: $h = 0$ (unstable) and $h = 1$ (stable)
- “Traveling wave” : a front propagating into the unstable state

$$h(x, t) \simeq F(x - vt), \quad F(z \rightarrow -\infty) \rightarrow 1, \quad F(z \gg 1) \sim e^{-\gamma z}$$

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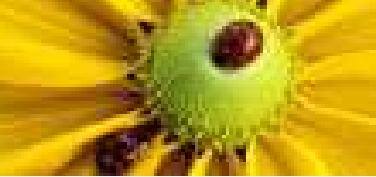
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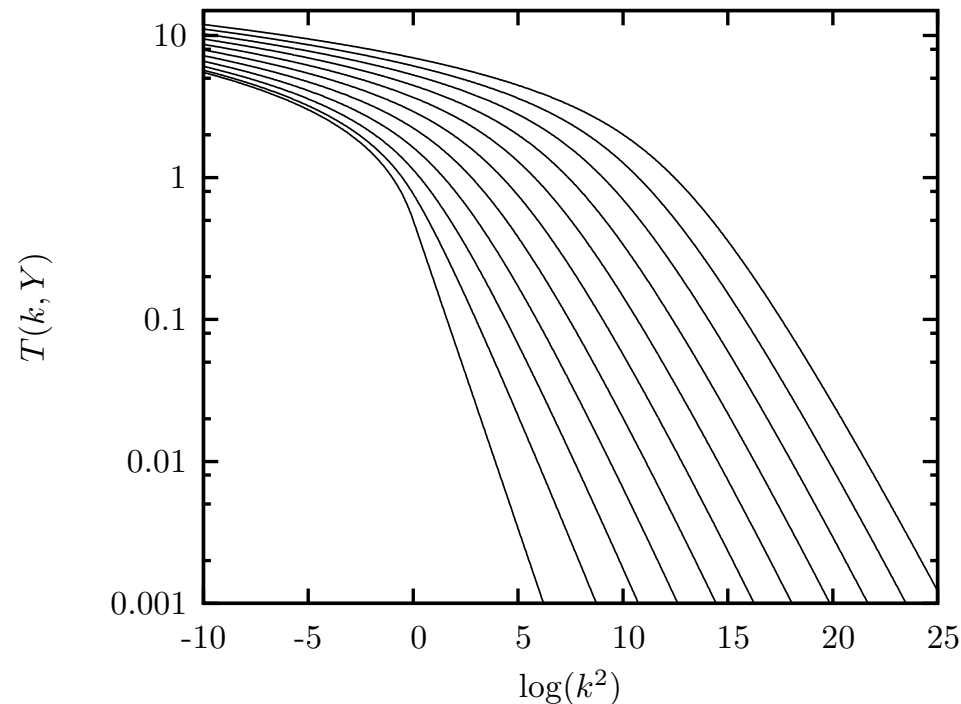
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BK Equation: The Traveling Wave

$$\partial_Y T(\rho, Y) = \underbrace{\partial_\rho^2 T(\rho, Y) + T(\rho, Y)}_{\text{BFKL evolution}} - \underbrace{T^2(\rho, Y)}_{\text{recombination}}$$

- $Y \sim \ln s$ ('time') & $\rho \equiv \ln 1/r^2 \sim \ln k^2$ (dipole inverse size)



- Large $\rho \implies$ Small dipole \implies **Weak interaction**
- Small $\rho \implies$ Strong scattering \implies **Unitarization**

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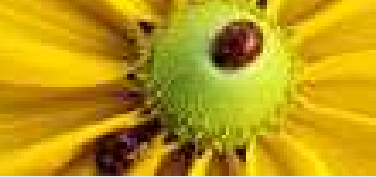
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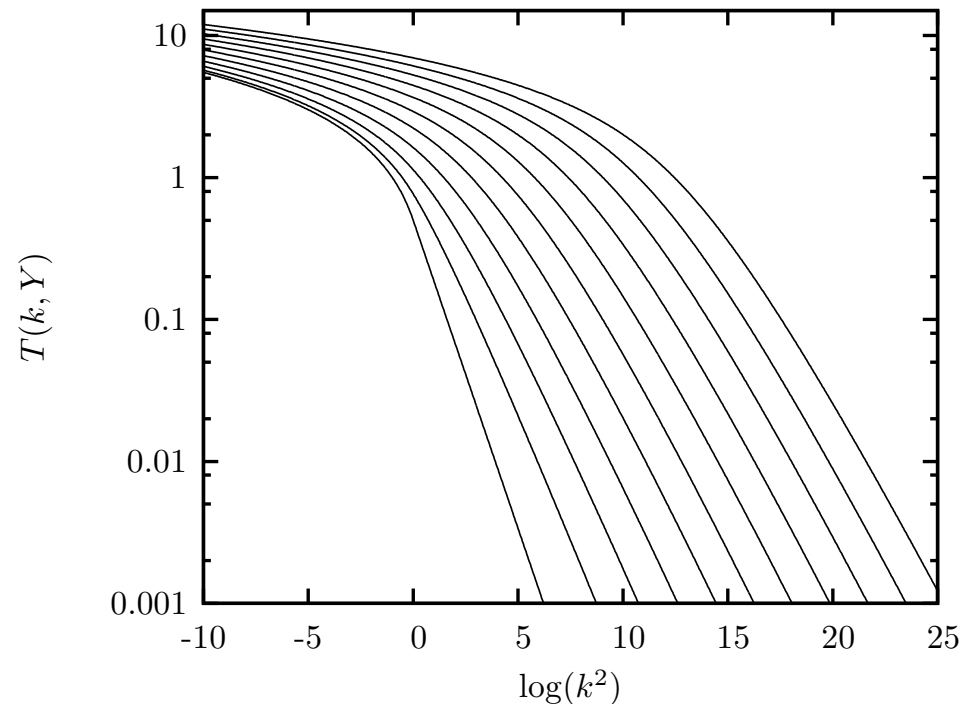
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- $Y \sim \ln s$ ('time') & $\rho \equiv \ln 1/r^2 \sim \ln k^2$ (dipole inverse size)



- $T \sim$ (unintegrated) gluon distribution
- High- k_\perp : power spectrum. Low- k_\perp : flat spectrum

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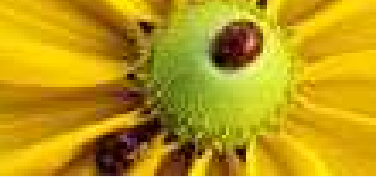
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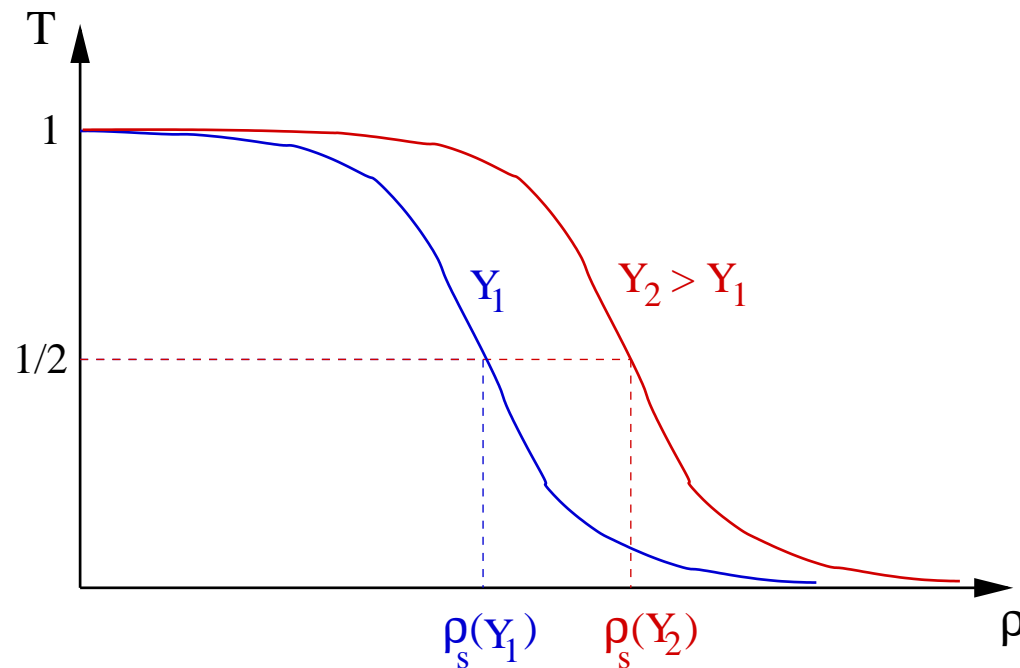
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- The position of the front: $T(\rho, Y) = 1/2$ for $\rho = \rho_s(Y)$

- ◆ $\rho > \rho_s(Y) \implies$ Color transparency & BFKL growth

$$T(\rho, Y) \sim r^{2\gamma} s^{\bar{\alpha}_s \omega} \sim e^{-\gamma\rho} e^{\bar{\alpha}_s \omega Y}$$

- ◆ $\rho < \rho_s(Y) \implies$ Black disk limit



- $T(\rho_s, Y) = 1/2 \implies \rho_s(Y) \simeq \lambda_0 \bar{\alpha}_s Y$, $\lambda_0 \equiv \omega/\gamma = 4.883\dots$

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● Traveling wave

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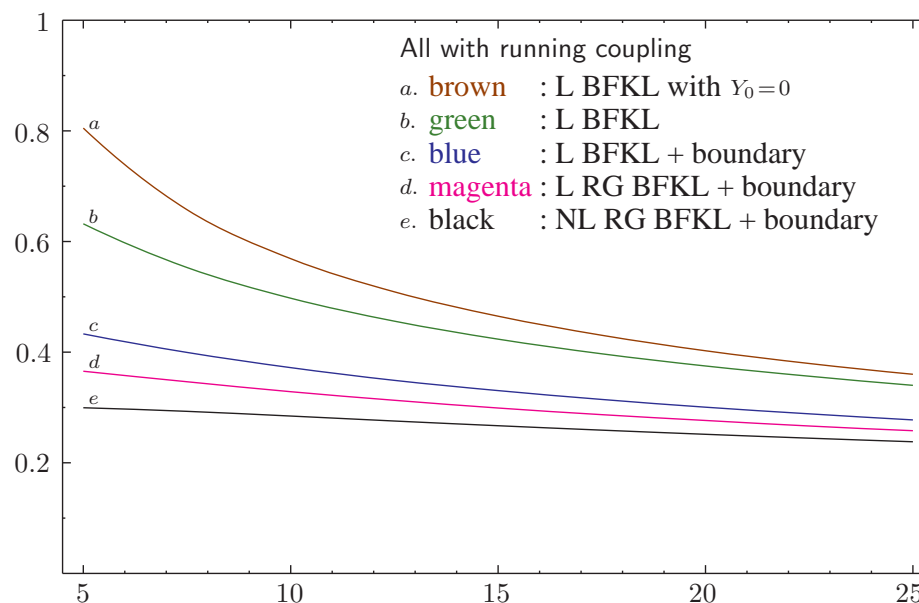
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The saturation momentum at NLO

$$\rho_s(Y) \equiv \ln Q_s^2(Y) \simeq \lambda_0 \bar{\alpha}_s Y \implies Q_s^2(Y) \propto e^{\lambda_0 \bar{\alpha}_s Y}$$

- N.B. : λ_0 is fully determined by the BFKL dynamics !
- The saturation exponent: $\lambda(Y) \equiv d \ln Q_s^2(Y) / dY$



- Next-to-leading order BFKL + Collinear resummation (a la Salam et al) : [Triantafyllopoulos, 2002](#)
- $\lambda(Y) \approx 0.3$ as opposed to $\lambda_0 \bar{\alpha}_s \approx 1$!!

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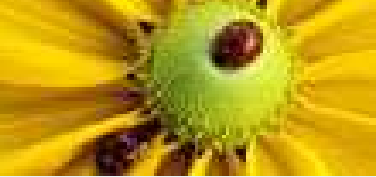
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Geometric Scaling

- Traveling wave: The front propagates without distortion

$$T(\rho, Y) \simeq F(\rho - \rho_s(Y)) \equiv \mathcal{F}(r^2 Q_s^2(Y))$$

⇒ “Geometric scaling” (a function of a single variable)

(E.I., Itakura, McLerran, 02 ; Mueller, Triantafyllopoulos, 02)

- A natural explanation for a new scaling law identified in the HERA data for DIS at small- x

(Staśto, Golec-Biernat, and Kwieciński, 2000)

- Relevant for the high- p_T suppression observed in deuteron-gold collisions at RHIC

(Kharzeev, Levin, McLerran, 02 ; E.I., Itakura, Triantafyllopoulos, 04)

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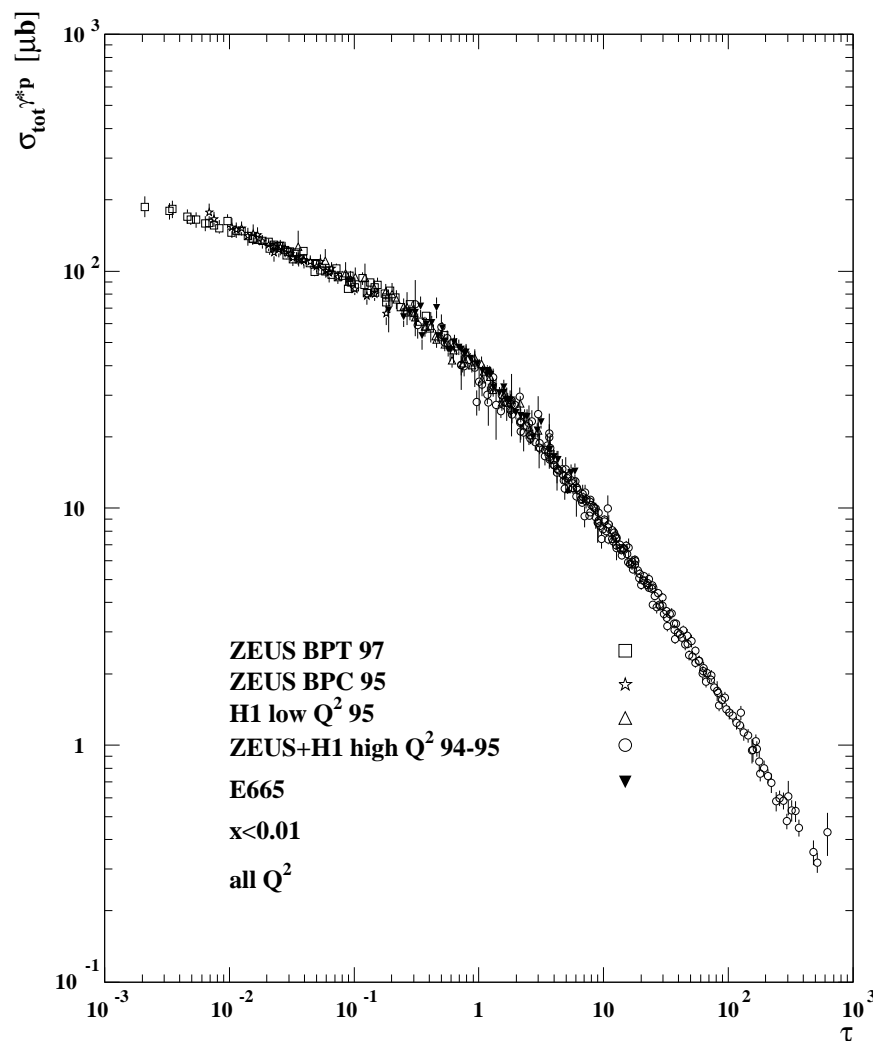
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Geometric Scaling at HERA

$$\sigma_{\gamma^*p}(x, Q^2) \approx \sigma(\tau), \quad \text{with } \tau \equiv \frac{Q^2}{Q_s^2(x)}, \quad Q_s^2(x) \sim 1/x^\lambda, \quad \lambda \simeq 0.3$$



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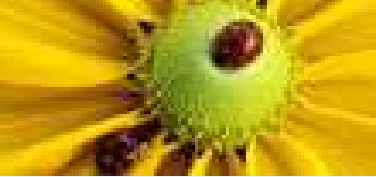
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Beyond the mean field approximation

1. The correspondence statistical physics extends also **beyond the mean field approximation**
2. This is important, and also useful, since the physics of saturation is **very sensitive to fluctuations !**
(E.I., A. Mueller, S. Munier, 04)

- The importance of fluctuations for the high–energy evolution in QCD has been anticipated in previous work by **A. Mueller (94), G. Salam (95), E.I. and A. Mueller (03), A. Mueller and A. Shoshi (04)**

- **What is the origin of the fluctuations in the case of QCD ?**

Recall: Reaction–diffusion process $A \rightleftharpoons 2A$:

Fluctuations in the number of particles

- **Why are the effects of the fluctuations so important ?**

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Gluon number fluctuations

- In a given event, the dipole–hadron scattering amplitude counts the **number of gluons** in the target wavefunction:

$$T(r, Y) \approx \alpha_s^2 n(r, Y) \quad \text{with} \quad n(r, Y) = 0, 1, 2, \dots$$

- ◆ $n(r, Y)$ = number of ‘equivalent dipoles’ with size r
- ◆ α_s^2 : amplitude for dipole–dipole scattering

⇒ In an event–by–event description, T is discrete !

⇒ Gluon number fluctuations entail fluctuations in T :

$$\delta T \sim \alpha_s^2 \sqrt{n} \sim \sqrt{\alpha_s^2 T} \implies \delta T \sim T \quad \text{when} \quad T \lesssim \alpha_s^2$$

- Mean field approximation is not reliable in the **tail of the front!**

And so what ?!

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● **Discreteness**

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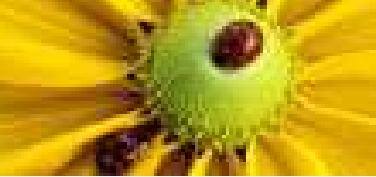
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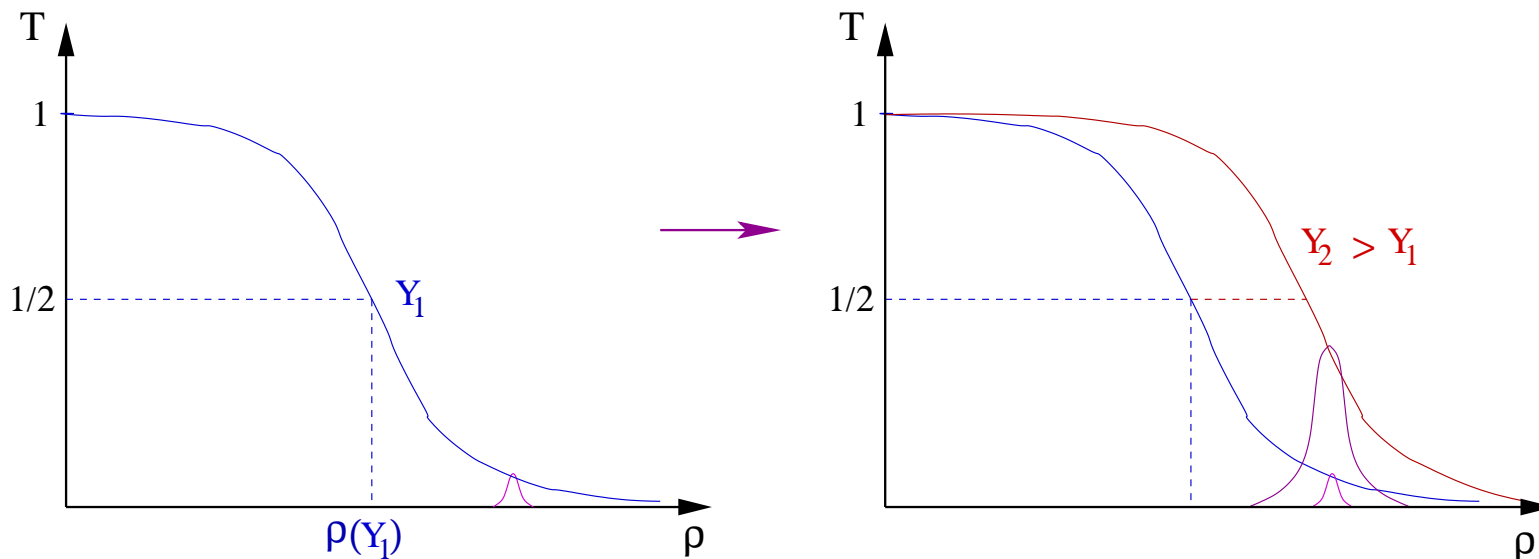
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Pulled Fronts & Fluctuations

- The propagation of the front is driven by the **growth and spreading of the small perturbations about the unstable state**
- The ‘pulled front’ dynamics is very sensitive to fluctuations !
“Fluctuating pulled fronts” (see arXiv:cond-mat after 97)
- Discreteness modifies the mechanism for front propagation
 - ◆ **MFA** : BFKL growth in the tail



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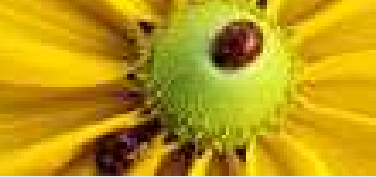
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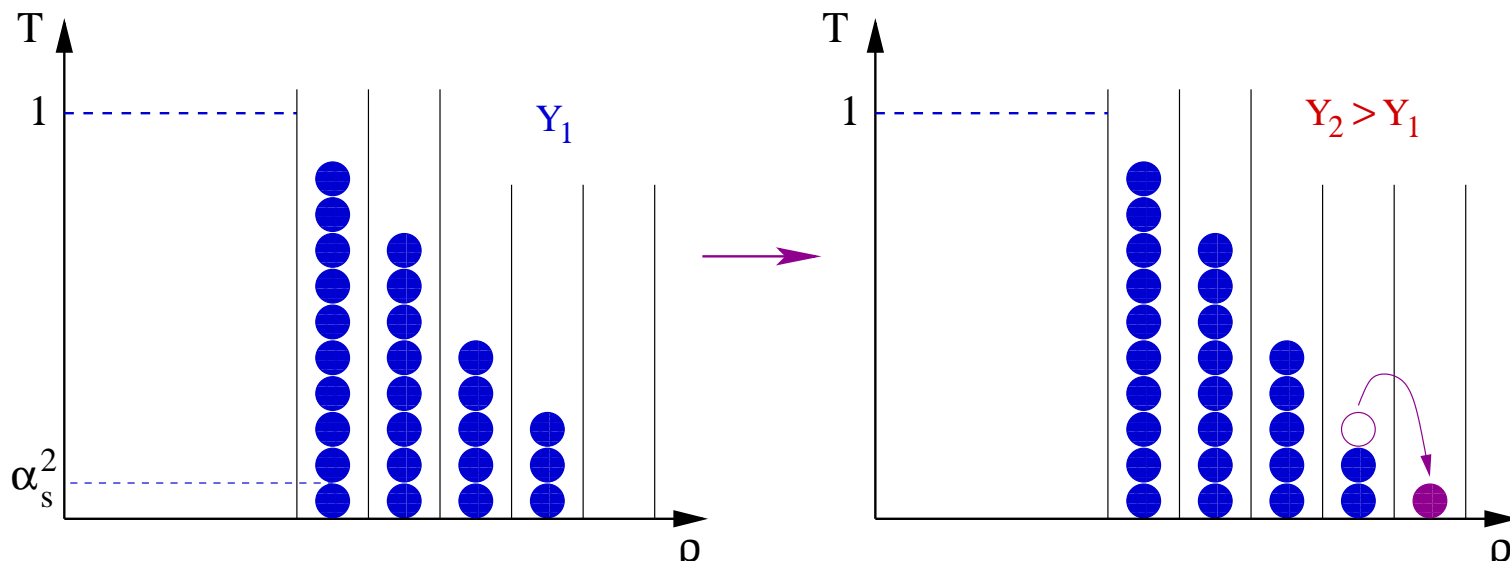
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Pulled Fronts & Fluctuations

- The propagation of the front is driven by the **growth and spreading of the small perturbations about the unstable state**
- The ‘pulled front’ dynamics is very sensitive to fluctuations !
“Fluctuating pulled fronts” (see arXiv:cond-mat after 97)
- Discreteness modifies the mechanism for front propagation
 - ◆ **Discrete system** : Diffusion of gluons in the foremost bin



- As compared to the MFA, the front should **slow down** !

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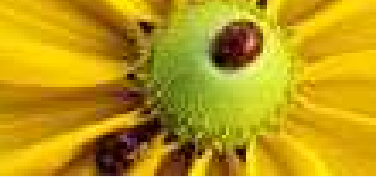
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Saturation exponent with fluctuations

- Brunet–Derrida (97, for the reaction-diffusion process) :
“There should be at least one gluon per bin for the BFKL growth to get started” : $n \geq 1$, or $T \gtrsim \alpha_s^2$.

$$\partial_Y T(\rho, Y) = \partial_\rho^2 T(\rho, Y) + \Theta(T - \alpha_s^2)(T - T^2)$$

- The speed of the front (saturation exponent) for $\alpha_s \rightarrow 0$:

$$\lambda_s \equiv \frac{1}{\bar{\alpha}_s} \frac{d\rho_s(Y)}{dY} \approx \lambda_0 - \frac{C}{\ln^2(1/\alpha_s^2)}, \quad \lambda_0 \approx 4.88, \quad C \approx 150 (!)$$

Mueller, Shoshi (04); E.I., Mueller, Munier (04)

- An **exact** result in QCD in the limit $\alpha_s \rightarrow 0$
... but pretty useless for practical applications !
- Fluctuations are parametrically more important than the NLO BFKL corrections

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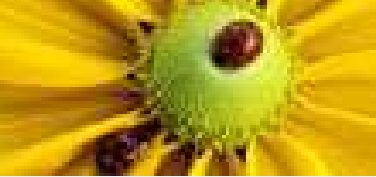
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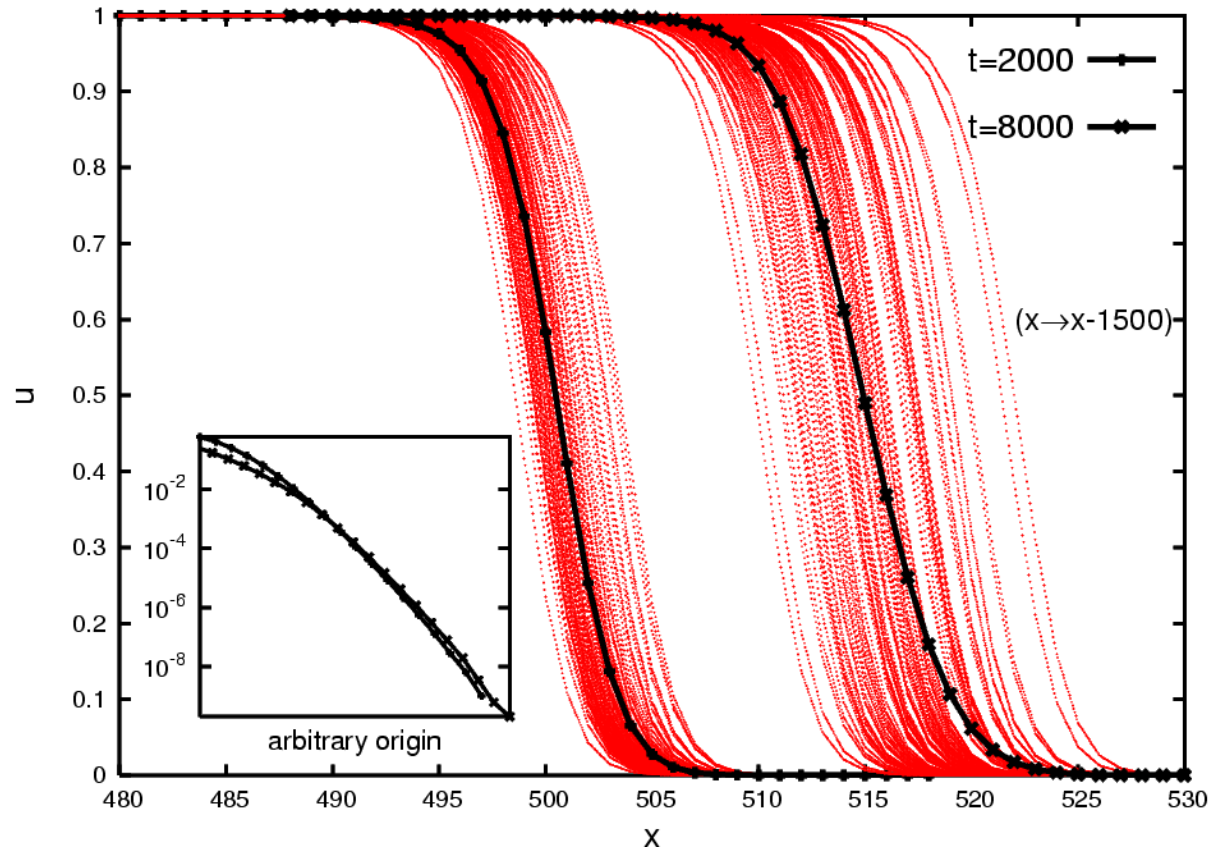
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Front diffusion violates geometric scaling

- A stochastic evolution generates an ensemble of fronts.
- The position $\rho_s(Y)$ of the front shows a diffusive wandering around its average value :

$$\langle \rho_s(Y) \rangle = \lambda_s \bar{\alpha}_s Y, \quad \langle \rho_s^2 \rangle - \langle \rho_s \rangle^2 = D \bar{\alpha}_s Y, \quad D \sim \frac{1}{\ln^3(1/\alpha_s^2)}$$



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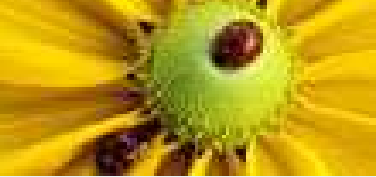
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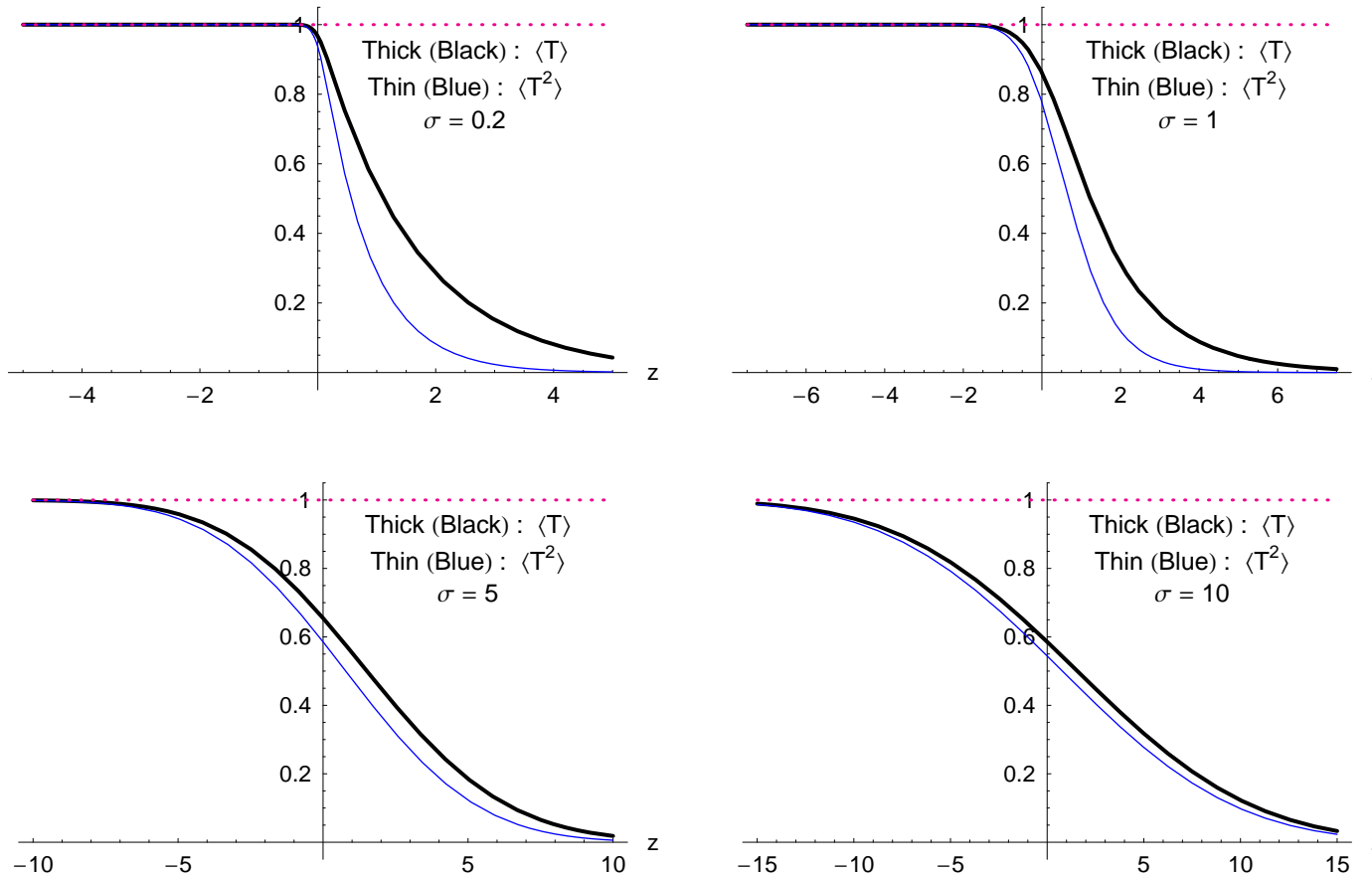
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Breakdown of BFKL approx. in the tail

- In the MFA (BFKL) : $\langle T^2 \rangle \approx \langle T \rangle \langle T \rangle$ in the tail
- In the presence of fluctuations : $\langle T \rangle \approx \langle T^2 \rangle \dots \approx \langle T^n \rangle$



- Averages in the tail are dominated by rare fluctuations

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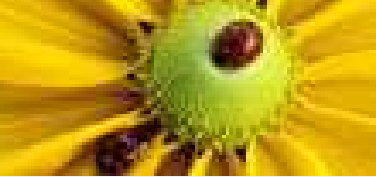
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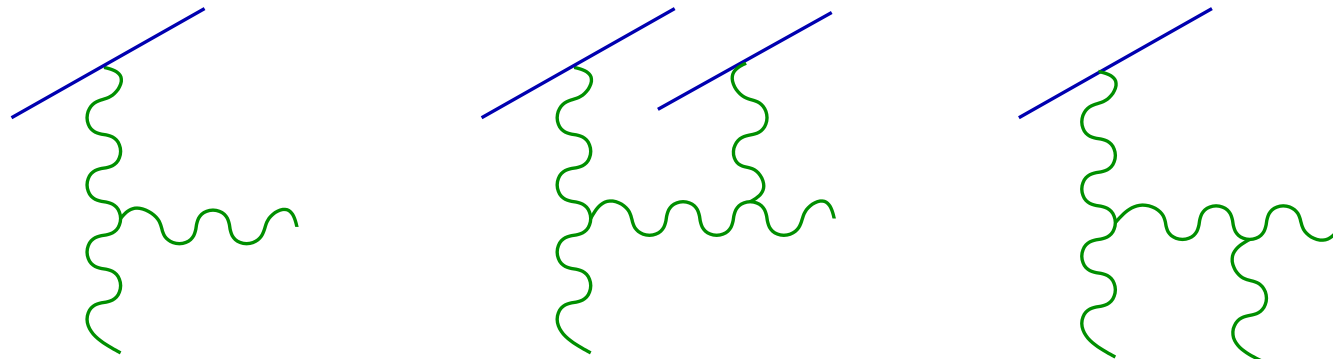
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Beyond JIMWLK: Pomeron loops

- How to go beyond asymptotic results ?
- The **subasymptotic** behaviour is **not** universal !
⇒ One needs the actual evolution equations of QCD
 - ◆ BFKL evolution
 - ◆ Gluon recombination (saturation) : JIMWLK
 - ◆ Particle number fluctuations
- The fluctuations are induced by **radiation processes** (bremsstrahlung) which are **not** included in JIMWLK !
(E.I., D. Triantafyllopoulos, 04)



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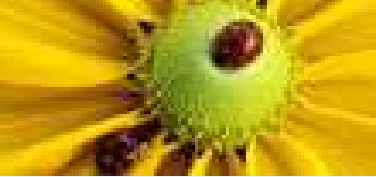
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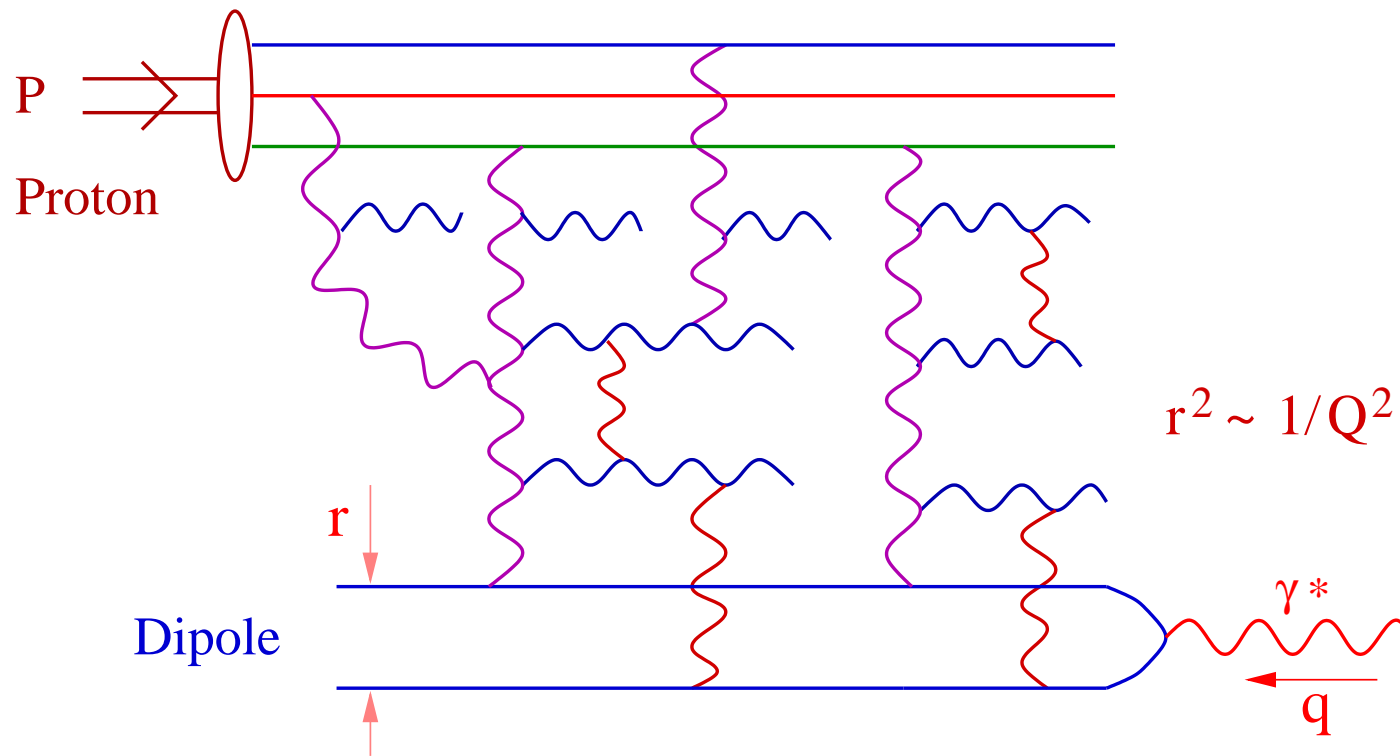
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Update on DIS at small- x



- A summary of the QCD evolution required for computing deep inelastic scattering at (very !) small- x
- Gluon splitting + merging \implies 'Pomeron loops'

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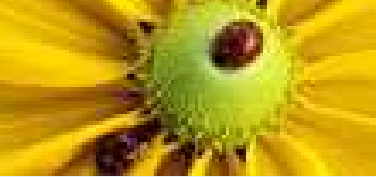
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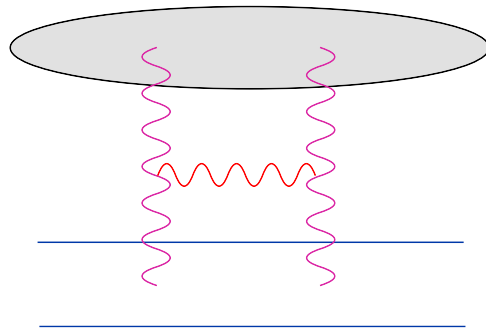
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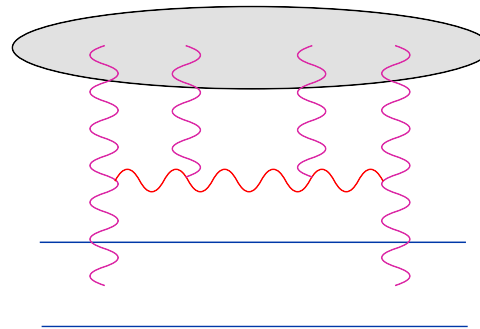


Evolution equations with 'Pomeron loops' (1)

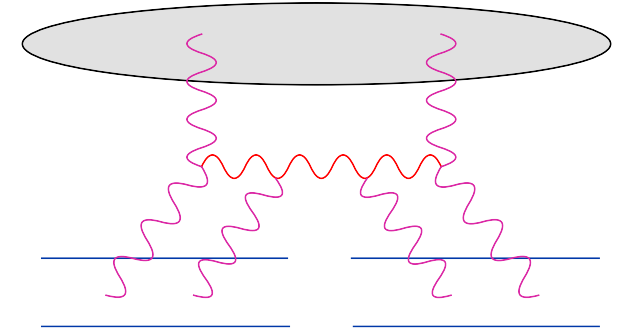
E.I., D. Triantafyllopoulos (04, 05)



BFKL



Recombination



Bremsstrahlung

- Approximation: $SU(3) \longrightarrow SU(N_c)$ with $N_c \gg 1$

$$\frac{dT}{dY} = T - T^{(2)}$$

$$\frac{dT^{(2)}}{dY} = T^{(2)} - T^{(3)} + T$$

• • •

$$\frac{dT^{(n)}}{dY} = \underbrace{T^{(n)}}_{\text{BFKL}} - \underbrace{T^{(n+1)}}_{\text{merging}} + \underbrace{T^{(n-1)}}_{\text{splitting}}$$

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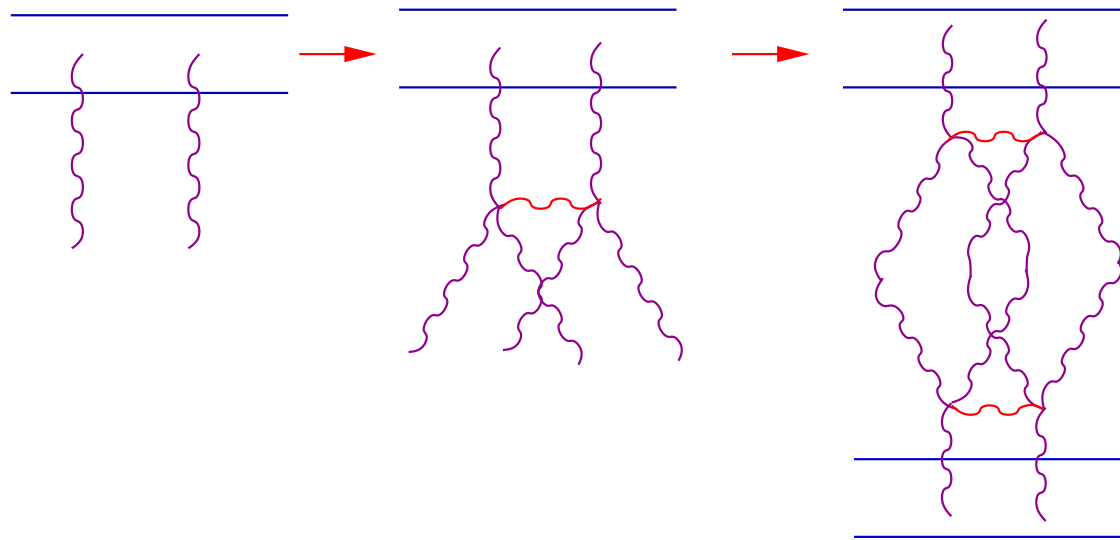
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Evolution equations with Pomeron loops (2)

■ Splitting + Recombination \implies Pomeron loops



■ Evolution Hierarchy \approx A Langevin Equation (\sim sFKPP)

$$\frac{dT}{dY} = T - T^2 + \sqrt{\alpha_s^2 T} \nu \quad \text{with} \quad \langle \nu(Y) \nu(Y') \rangle = \delta(Y - Y')$$

$$\text{N.B. } T(r, Y) \approx \alpha_s^2 n(r, Y) \implies \delta T \sim \alpha_s^2 \sqrt{n} \sim \sqrt{\alpha_s^2 T}$$

■ Well suited for numerical simulations

G. Soyez, hep-ph/0504129; Enberg, Golec-Biernat, Munier, 0505101

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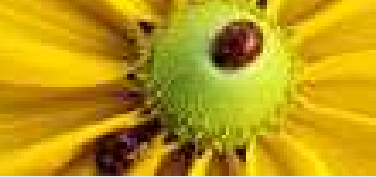
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Conclusions & Open questions

■ Color Glass Condensate

High-density, but weakly coupled, form of gluonic matter which controls hadron interactions at high energies

■ QCD evolution at high energy: Classical stochastic process

- ◆ mean field aspects & fluctuations
- ◆ non-linear effects \implies gluon saturation
- ◆ unitarization of scattering amplitudes
- ◆ geometric scaling and its violations

■ Interesting new developments

- ◆ relation to problems in statistical physics, chemistry, ...
- ◆ evolution equations with Pomeron Loops

■ Intense activity & Many open problems

- ◆ urgent need for better estimates & numerics
- ◆ evolution equations for $N_c = 3$
- ◆ next-to-leading order corrections

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