



Neutrino Physics: Present and Future

Boris Kayser

CERN

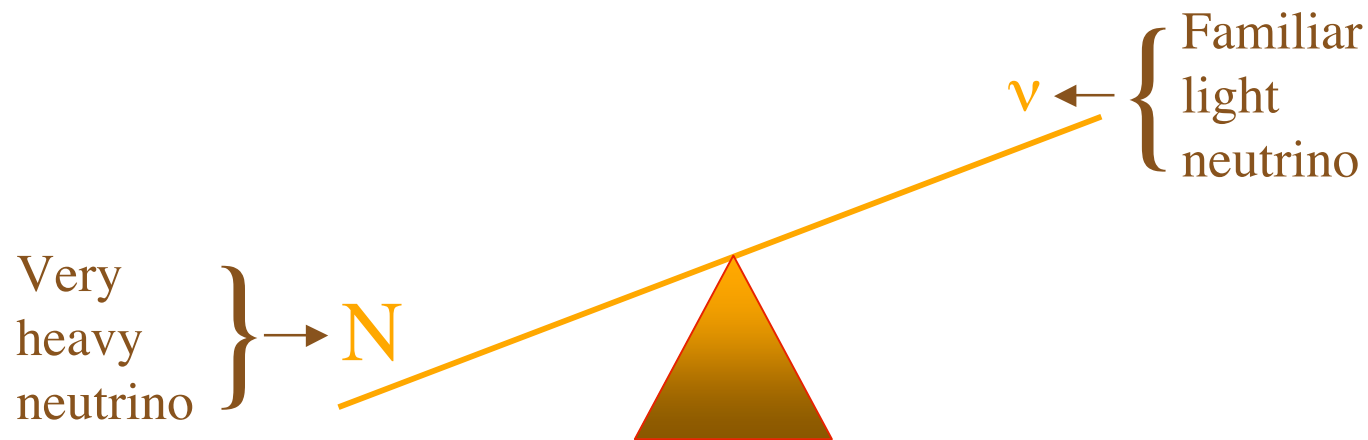
December 1, 2006

Leptogenesis

If the quarks can't generate the observed
MATTER-antimatter asymmetry,
maybe the **leptons** can!

The most popular theory of why neutrinos are so light is the —

See-Saw Mechanism



The heavy neutrinos **N** would have been made in the hot Big Bang.

In the see-saw picture, the heavy neutrinos \mathbf{N} , like the light ones $\mathbf{\nu}$, are Majorana particles. Thus, an \mathbf{N} can decay into ℓ^- or ℓ^+ .

In the early universe, before the neutral Higgs field develops its vacuum expectation value, the Higgs particles φ^+ and φ^0 in —

$$\begin{pmatrix} \varphi^+ \\ \varphi^0 \end{pmatrix}$$

are ordinary massless particles. We can have —

$$\mathbf{N} \rightarrow \ell^- + \varphi^+ \quad \text{and} \quad \mathbf{N} \rightarrow \ell^+ + \varphi^-$$

If CP is violated in N decays, we will have —

$$\Gamma(\mathbf{N} \rightarrow \ell^- + \varphi^+) \neq \Gamma(\mathbf{N} \rightarrow \ell^+ + \varphi^-),$$

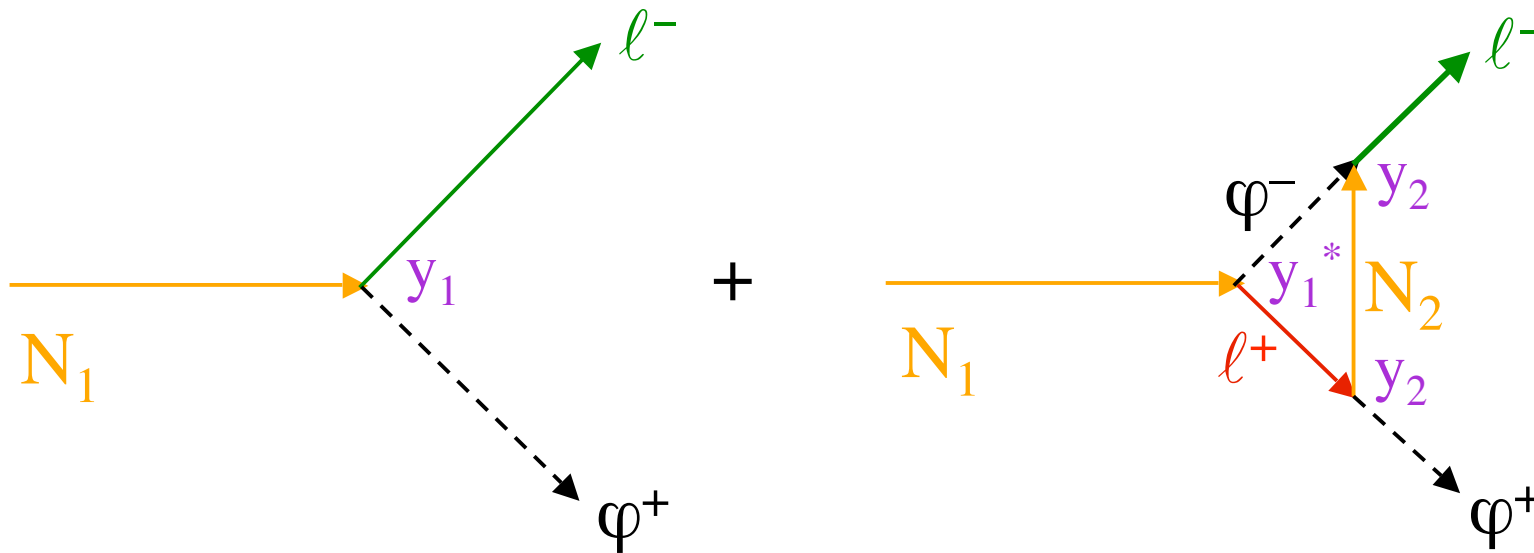
producing a universe with unequal amounts of leptonic **matter** and **antimatter**.

The detailed mechanism for ~~CP~~ in these decays makes it very natural.

How ~~CP~~ In N Decays Comes About

Corresponding to the 3 light neutrinos, ν_1 , ν_2 , and ν_3 , there are 3 heavy see-saw partners, N_1 , N_2 , and N_3 .

The decay $N_1 \rightarrow \ell^- + \varphi^+$ involves an interference between the diagrams —



Here, the y_i are the (α -suppressed) Yukawa coupling constants

$$\mathcal{L}_{\text{See-Saw}} = -\sum_{i=1}^3 \frac{M_i}{2} \overline{N_{iR}^c} N_{iR} - \sum_{\alpha, i=1}^3 y_{\alpha i} \left[\overline{\nu_{\alpha L}} \varphi^0 - \overline{\ell_{\alpha L}} \varphi^- \right] N_{iR} + h.c.$$

$$\text{Amp}(N_1 \rightarrow l^+ + \varphi^-) = \text{Amp}(N_1 \rightarrow l^- + \varphi^+; y \Rightarrow y^*)$$

Complex y_i will lead via interference to \not{CP} .

The decay rates were —

$$\Gamma(N_1 \rightarrow \ell^- + \varphi^+) = |ay_1 + by_1^*y_2^2|^2$$

and

$$\Gamma(N_1 \rightarrow \ell^+ + \varphi^-) = |ay_1^* + by_1y_2^{*2}|^2$$

These rates produced a **matter** – **antimatter** asymmetry if —

$$\Delta \equiv \Gamma(N_1 \rightarrow \ell^- + \varphi^+) - \Gamma(N_1 \rightarrow \ell^+ + \varphi^-)$$

$$\propto \text{Im}(ab^*)\text{Im}(y_1^*y_2^2) \neq 0.$$

(Leptogenesis)

$$\Gamma(\mathbf{N} \rightarrow \ell^- + \varphi^+) \neq \Gamma(\mathbf{N} \rightarrow \ell^+ + \varphi^-)$$

generates a **LEPTON** asymmetry.

What about the **BARYON** asymmetry that we see?

Non-perturbative Standard Model **sphaleron processes**, occurring after **N** decay, will change the total baryon number **B**, and the total lepton number **L**, while conserving **B – L**.

If **N** decay produced more ℓ^+ than ℓ^- , then some of this antilepton excess will be reprocessed by the (B – L) conserving sphaleron processes into the baryon excess that we see today.

Leptogenesis and Today's Neutrinos

The hypothesis that the matter-antimatter asymmetry of the universe is due to

Leptogenesis suggests that —

$$\text{Mass[Each } \nu_i] < 0.13 \text{ eV.}$$

(Buchmüller, Di Bari, Plümacher)

{Assumes hierarchical (non-degenerate) N_i }

Recall that from oscillation and cosmological data —

$$0.04 \text{ eV} \lesssim \text{Mass[Heaviest } \nu_i] < (0.07 - 0.4) \text{ eV.}$$

Coincidence??

If ν oscillation violates CP, then quite likely so does N decay.

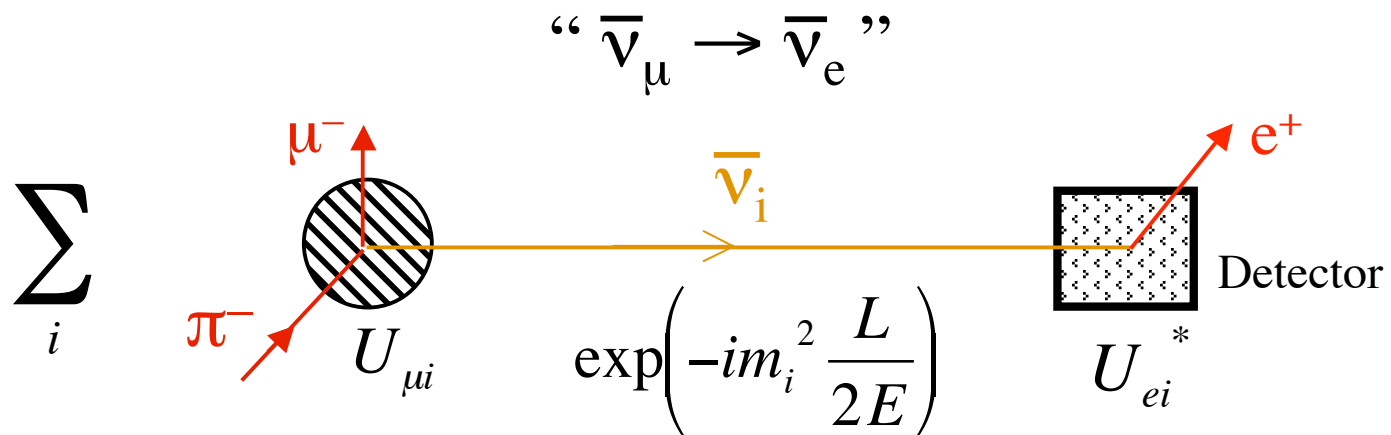
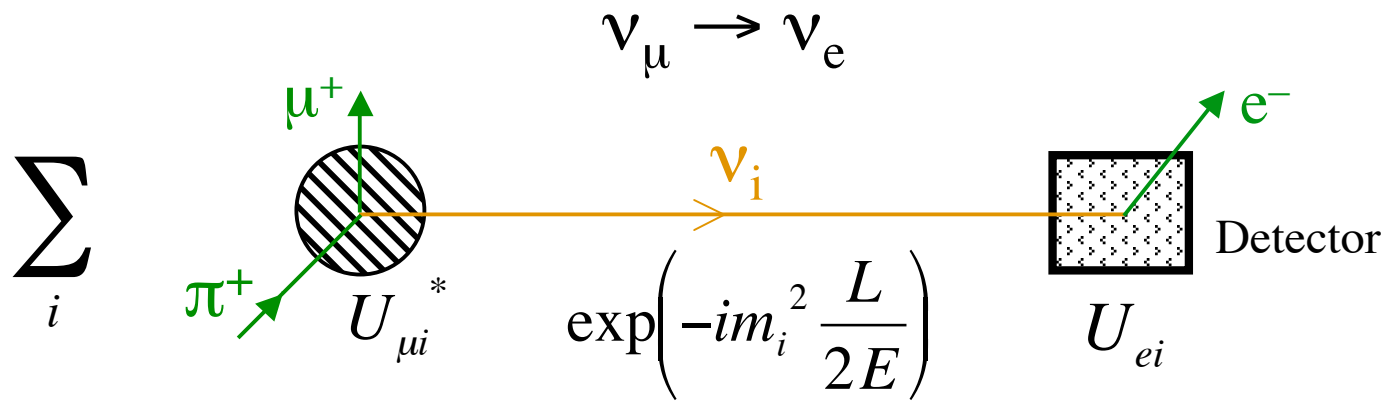
Thus, observing CP violation in neutrino oscillation would lend credence to the hypothesis that Leptogenesis was the original source of the

MATTER-antimatter asymmetry

How To Search for \mathcal{CP}

Look for $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$

“ $\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta$ ” is a different process from $\nu_\alpha \rightarrow \nu_\beta$ even
when $\bar{\nu}_i = \nu_i$



$$\text{CPT: } P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$$

$$\therefore P(\nu_\alpha \rightarrow \nu_\alpha) = P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\alpha)$$

No CP violation in a *disappearance* experiment.

But if δ is present, $P(\nu_\mu \rightarrow \nu_e) \neq P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$:

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) - P(\nu_\mu \rightarrow \nu_e) = 2 \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin \delta \\ \times \sin\left(\Delta m^2_{31} \frac{L}{4E}\right) \sin\left(\Delta m^2_{32} \frac{L}{4E}\right) \sin\left(\Delta m^2_{21} \frac{L}{4E}\right)$$

Separating \cancel{CP} From the Matter Effect

Genuine \cancel{CP} and the matter effect
both lead to a difference between
 ν and $\bar{\nu}$ oscillation.

But genuine \cancel{CP} and the matter effect depend
quite differently from each other on L and E .

To disentangle them, one may make oscillation
measurements at different L and/or E .

The Future

Accelerator neutrino experiments studying —

$$\nu_{\mu} \leftrightarrow \nu_e \quad \text{and} \quad \bar{\nu}_{\mu} \leftrightarrow \bar{\nu}_e$$

can probe *CP violation, the mass hierarchy*,
and (as can reactor experiments) θ_{13} .

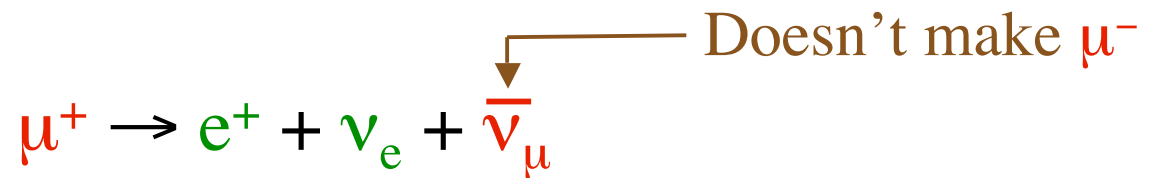
The sensitivity needed will depend on at least
the order of magnitude of θ_{13} .

Neutrino Factories and β Beams

The ultimate in sensitivity. Crucial if $\sin^2 2\theta_{13} \lesssim 0.01$.

Have intense, **flavor-pure** beams.

Neutrino Factory: A muon storage ring, producing neutrinos via —



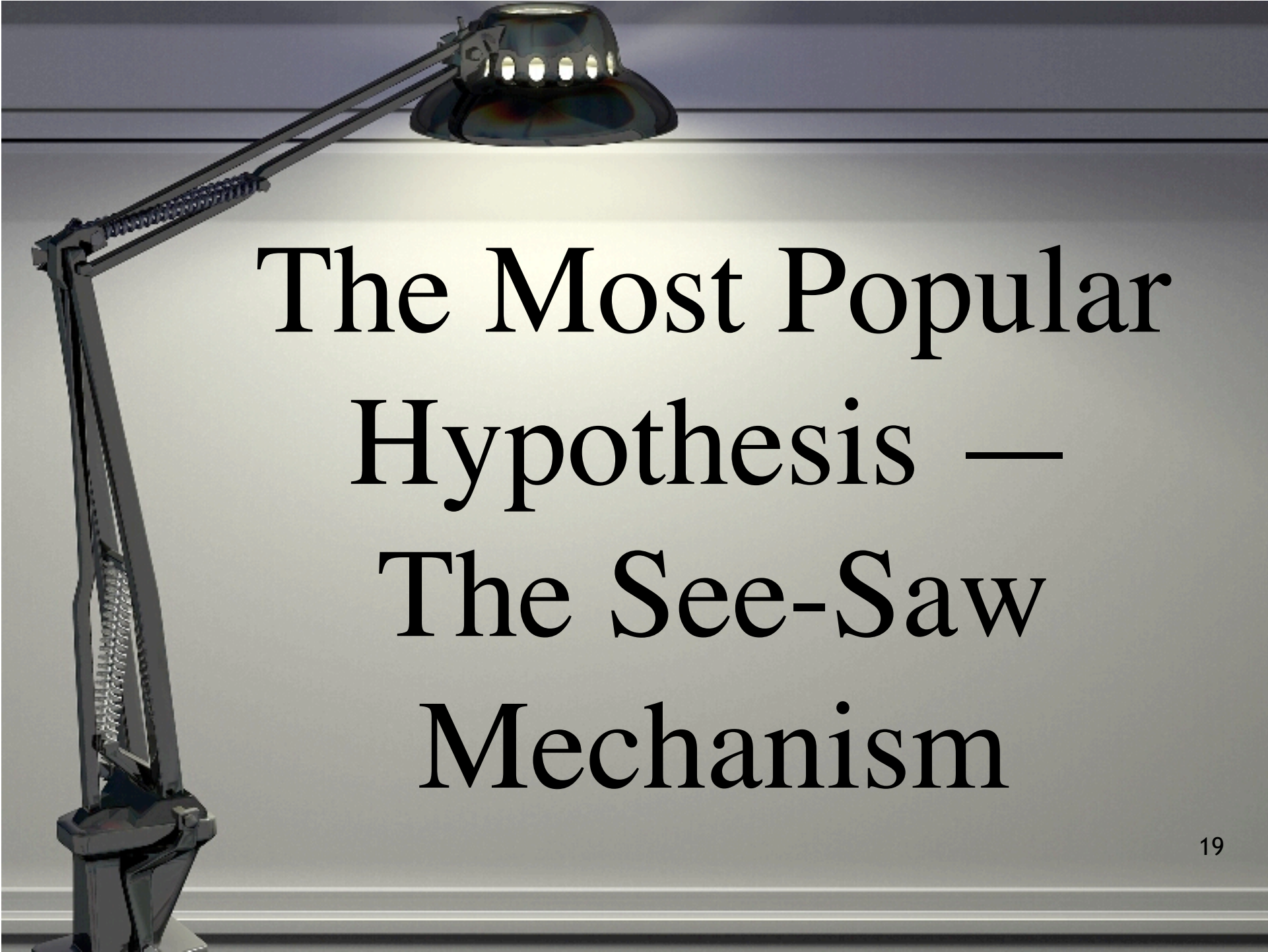
β Beam: A boosted-radioactive-ion storage ring, producing neutrinos via, e.g., —



Monoenergetic ν_e
from e^- capture

Then look for $\nu_e \rightarrow \nu_\mu$

What Physics Is Behind Neutrino Mass?

A desk lamp with a see-saw mechanism. The lamp has a dark, adjustable arm with a spring, a circular shade with a grid pattern, and a base. The lamp is positioned on the left side of the frame, and its light is directed towards the center of the page.

The Most Popular Hypothesis — The See-Saw Mechanism

The See-Saw Mechanism — A Summary —

This assumes that a neutrino has *both*
a Majorana mass term $m_R \overline{\nu_R^c} \nu_R$
and a Dirac mass term $m_D \overline{\nu_L} \nu_R$.

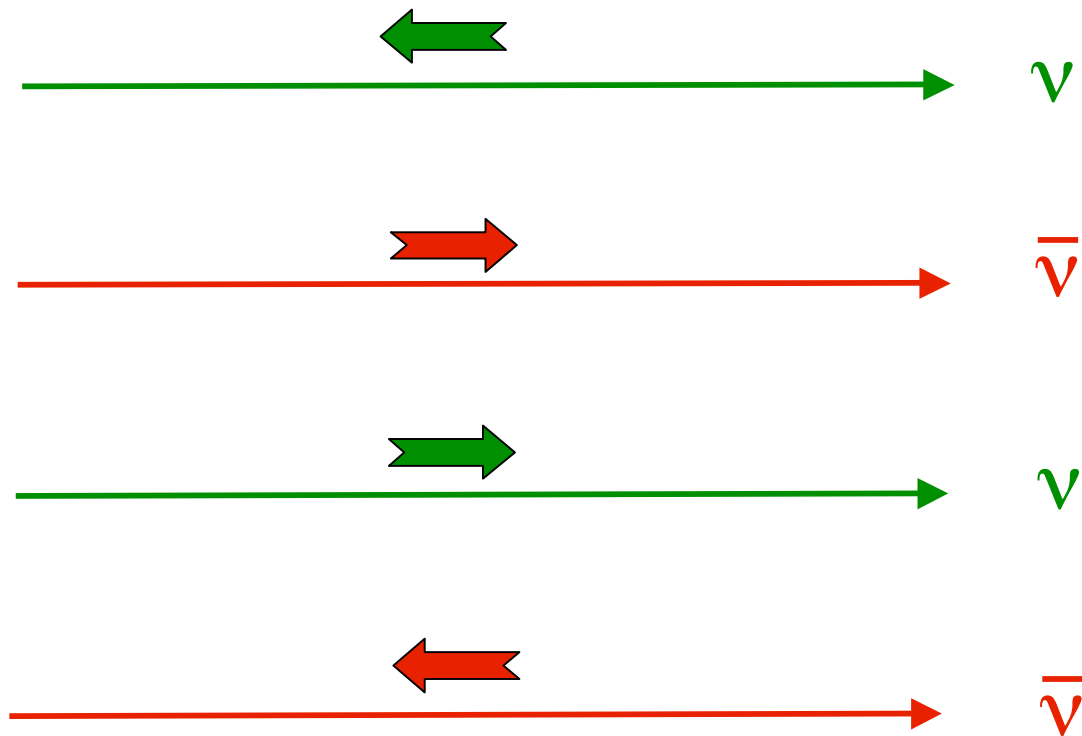
No SM principle prevents m_R from being
extremely large.

But we expect m_D to be of the same order as the
masses of the quarks and charged leptons.

Thus, we assume that $m_R \gg m_D$.

When $\bar{\nu} \neq \nu$

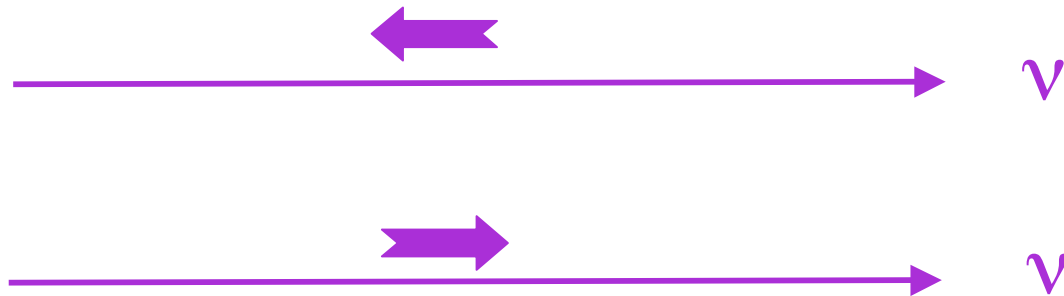
We have 4 mass-degenerate states:



This collection of 4 states is a Dirac neutrino plus its antineutrino.

When $\bar{\nu} = \nu$

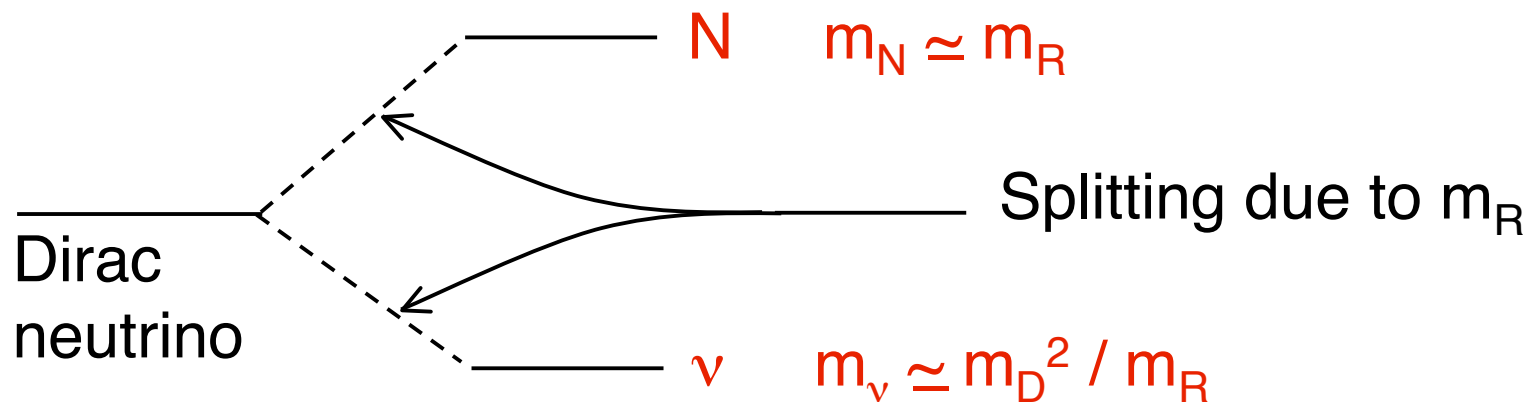
We have only 2 mass-degenerate states:



This collection of 2 states is a Majorana neutrino.

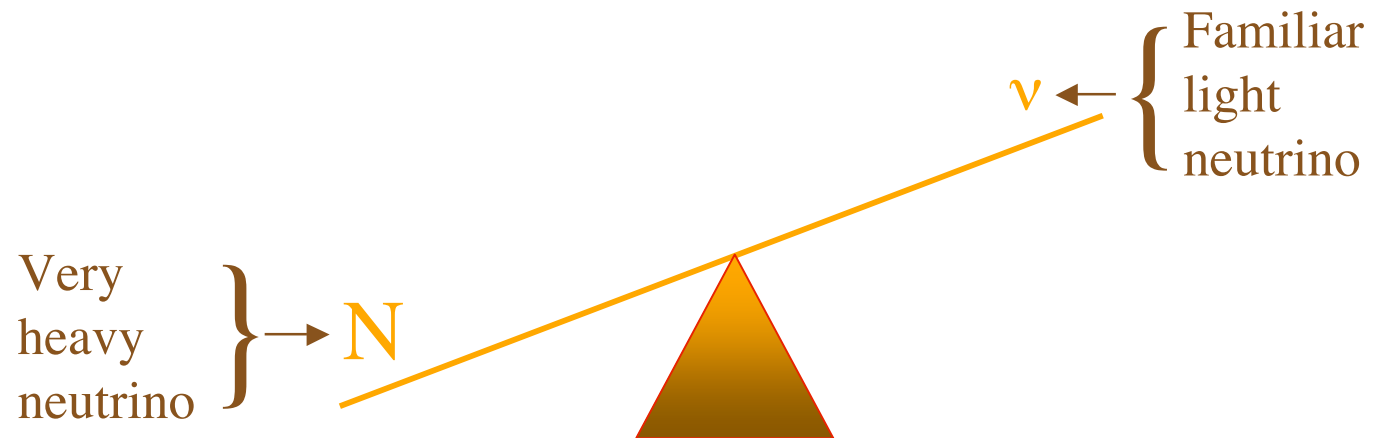
What Happens In the See-Saw?

The Majorana mass term splits a *Dirac* neutrino into **two Majorana neutrinos**.



Note that $m_\nu m_N \sim m_D^2 \sim m_{q \text{ or } l}^2$. *See-Saw Relation*

The See-Saw Relation



Predictions of the See-Saw

- Each $\bar{\nu}_i = \nu_i$ (Majorana neutrinos)
- The light neutrinos have heavy partners N

How heavy??

$$m_N \sim \frac{m_{\text{top}}^2}{m_\nu} \sim \frac{m_{\text{top}}^2}{0.05 \text{ eV}} \sim 10^{15} \text{ GeV}$$

Near the GUT scale.

Coincidence??

A Possible Consequence of the See-Saw – *Leptogenesis*

In *Leptogenesis*, it is \not{CP} in the decays of the heavy *See-Saw* partners N that is the origin of the matter-antimatter asymmetry of the universe.

Leptogenesis is an outgrowth of the *See-Saw* mechanism.

We have learned a lot about the neutrinos in the last decade.

What we have learned raises some very interesting questions.

Exciting times lie ahead.

The See-Saw Mechanism

— For the Dedicated —

For a *Dirac* neutrino mass eigenstate ν of mass m , the mass term in the Lagrangian density is —

$$L_m = -m\bar{\nu}\nu$$

Then —

$$\langle \nu \text{ at rest} | H_m | \nu \text{ at rest} \rangle = \langle \nu \text{ at rest} | m \int d^3x \bar{\nu}\nu | \nu \text{ at rest} \rangle = m$$

↑
Hamiltonian

For a *Majorana* neutrino mass eigenstate ν of mass m , the mass term in the Lagrangian density is —

$$L_m = -\frac{m}{2} \bar{\nu} \nu$$

with $\nu^c = \underbrace{\text{(phase factor)} \times \nu}_{\text{Antineutrino} = \text{Neutrino}}$

Then —

$$\langle \nu \text{ at rest} | H_m | \nu \text{ at rest} \rangle = \langle \nu \text{ at rest} | \frac{m}{2} \int d^3x \bar{\nu} \nu | \nu \text{ at rest} \rangle = m$$

{The matrix element of $\bar{\nu} \nu$ is doubled in the *Majorana* case.}

Chiral fields:

Chirally left- and right-handed fermion fields satisfy the constraints —

$$P_L f_L \equiv \frac{(1 - \gamma_5)}{2} f_L = f_L \quad \text{and} \quad P_R f_R \equiv \frac{(1 + \gamma_5)}{2} f_R = f_R$$

For a *massless* fermion, chirality = helicity.


In the Standard Model (SM), only chirally left-handed fermion fields couple to the W boson.

Therefore, it is convenient to express the SM in terms of “*underlying*” chiral fields.

Expressed in terms of chiral fields, any mass term connects only fields of *opposite* chirality:

$$\bar{g}_R f_L$$


Chiral fermion fields

$$\bar{j}_L k_L = \bar{j}_R k_R = 0$$


Chiral fermion fields

For example —

$$\bar{j}_L k_L = \overline{\left(\frac{1-\gamma_5}{2}\right)j} \left(\frac{1-\gamma_5}{2}\right)k = \bar{j} \left(\frac{1+\gamma_5}{2}\right) \left(\frac{1-\gamma_5}{2}\right)k = 0$$

Note: Charge conjugating a chiral field reverses its chirality.

Dirac Mass Term

For quarks, charged leptons and *maybe* neutrinos.

Suppose ν_L^0 and ν_R^0 are underlying chiral fields in terms of which the SM, extended to include neutrino mass, is written.

The **Dirac** mass term is then —

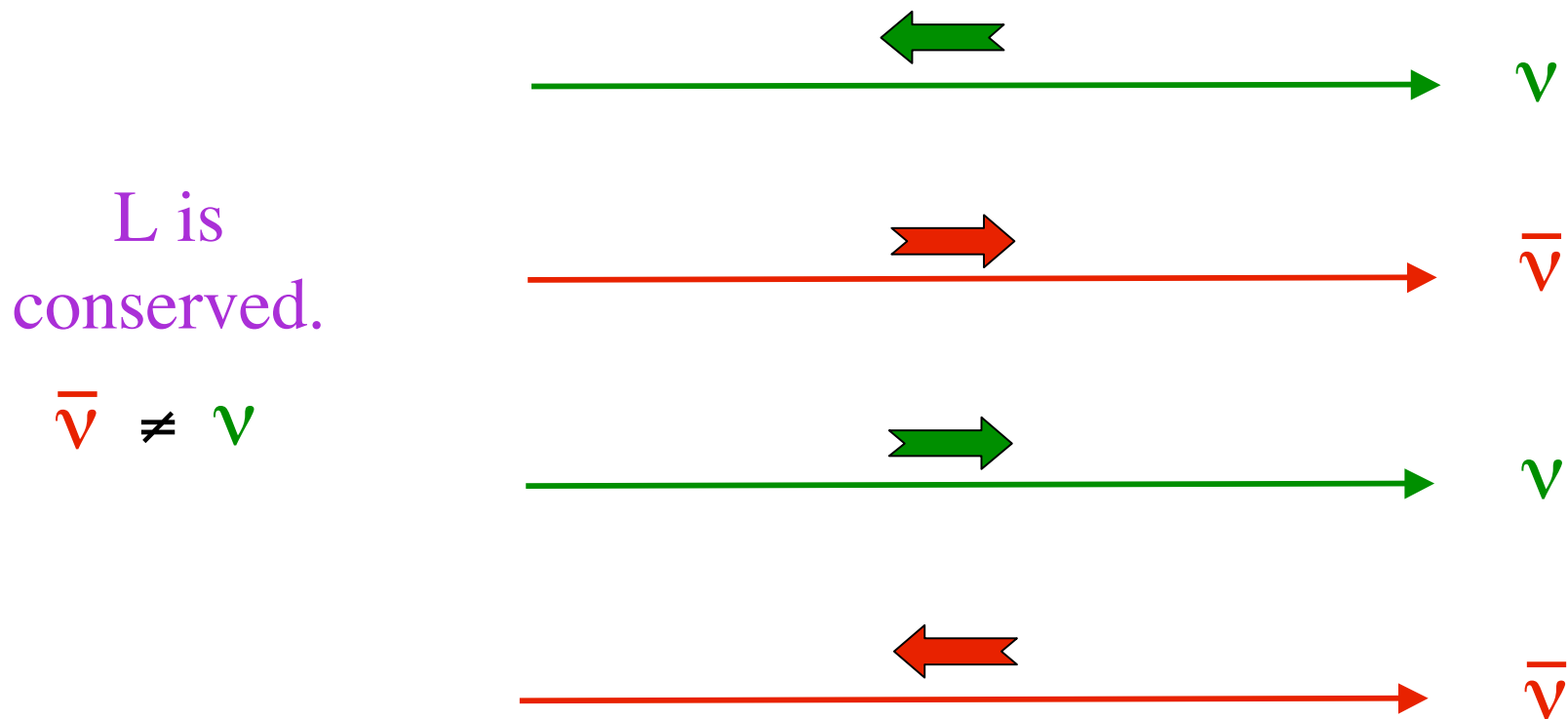
$$L_D = -m_D \overline{\nu_R^0} \nu_L^0 + \text{h.c.} = -m_D (\overline{\nu_R^0} \nu_L^0 + \overline{\nu_L^0} \nu_R^0)$$

In terms of $\nu \equiv \nu_L^0 + \nu_R^0$, $L_D = -m_D \bar{\nu} \nu$, since

$$\bar{\nu} \nu = \overline{(\nu_L^0 + \nu_R^0)} (\nu_L^0 + \nu_R^0) = \overline{\nu_R^0} \nu_L^0 + \overline{\nu_L^0} \nu_R^0$$

ν is the mass eigenstate, and has mass m_D .

We have 4 mass-degenerate states:



This collection of 4 states is a Dirac neutrino plus its antineutrino.

Majorana Mass Term

For neutrinos only.

Suppose ν_R^0 is an electroweak singlet chiral field.

The **right-handed Majorana** mass term is then —

$$L_R = -\frac{m_R}{2} \overline{(\nu_R^0)^c} \nu_R^0 + \text{h.c.} = -\frac{m_R}{2} \left[\overline{(\nu_R^0)^c} \nu_R^0 + \overline{\nu_R^0} (\nu_R^0)^c \right]$$

In terms of $\nu \equiv \nu_R^0 + (\nu_R^0)^c$, $L_R = -\frac{m_R}{2} \bar{\nu} \nu$, since

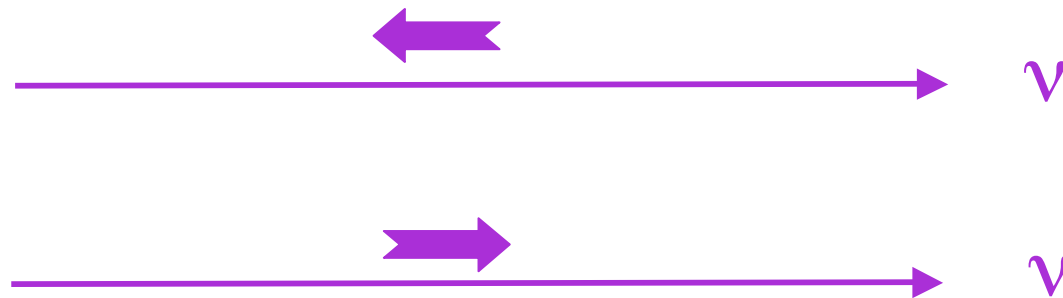
$$\bar{\nu} \nu = \overline{\left[\nu_R^0 + (\nu_R^0)^c \right]} \left[\nu_R^0 + (\nu_R^0)^c \right] = \overline{(\nu_R^0)^c} \nu_R^0 + \overline{\nu_R^0} (\nu_R^0)^c$$

ν is the mass eigenstate, and has mass m_R .

$$\nu^c = \left[\nu_R^0 + (\nu_R^0)^c \right]^c = (\nu_R^0)^c + \nu_R^0 = \nu$$

Thus, ν is its own antiparticle. It is a Majorana neutrino.

We have only 2 mass-degenerate states:



The See-Saw

We include *both* Majorana and Dirac mass terms:

$$\begin{aligned} L_m &= -m_D \overline{\nu_R^0} \nu_L^0 - \frac{m_R}{2} \overline{(\nu_R^0)^c} \nu_R^0 + \text{h.c.} \\ &= -\frac{1}{2} \left[\overline{(\nu_L^0)^c}, \overline{\nu_R^0} \right] \begin{bmatrix} 0 & m_D \\ m_D & m_R \end{bmatrix} \begin{bmatrix} \nu_L^0 \\ (\nu_R^0)^c \end{bmatrix} + \text{h.c.} \end{aligned}$$

We have used $\overline{(\nu_L^0)^c} m_D (\nu_R^0)^c = \overline{\nu_R^0} m_D \nu_L^0$.

$M_\nu = \begin{bmatrix} 0 & m_D \\ m_D & m_R \end{bmatrix}$ is called the **neutrino mass matrix**.

No SM principle prevents m_R from being extremely large.

But we expect m_D to be of the same order as the masses of the quarks and charged leptons.

Thus, we assume that $m_R \gg m_D$.

M_ν can be diagonalized by the transformation —

$$Z^T M_\nu Z = D_\nu$$

With $\rho \equiv m_D/m_R \ll 1$,

$$Z \cong \begin{bmatrix} 1 & \rho \\ -\rho & 1 \end{bmatrix} \begin{bmatrix} i & 0 \\ 0 & 1 \end{bmatrix}$$

Makes eigenvalues positive

and

$$D_\nu \cong \begin{bmatrix} m_D^2/m_R & 0 \\ 0 & m_R \end{bmatrix}$$

Define $\begin{bmatrix} \nu_L \\ N_L \end{bmatrix} \equiv Z^{-1} \begin{bmatrix} \nu_L^0 \\ (\nu_R^0)^c \end{bmatrix}$ and $\begin{bmatrix} \nu \\ N \end{bmatrix} \equiv \begin{bmatrix} \nu_L + (\nu_L)^c \\ N_L + (N_L)^c \end{bmatrix}$.

Majorana neutrinos

Then —

$$L_m = -\frac{1}{2} \frac{m_D^2}{m_R} \bar{\nu} \nu - \frac{1}{2} m_R \bar{N} N$$

Mass of ν

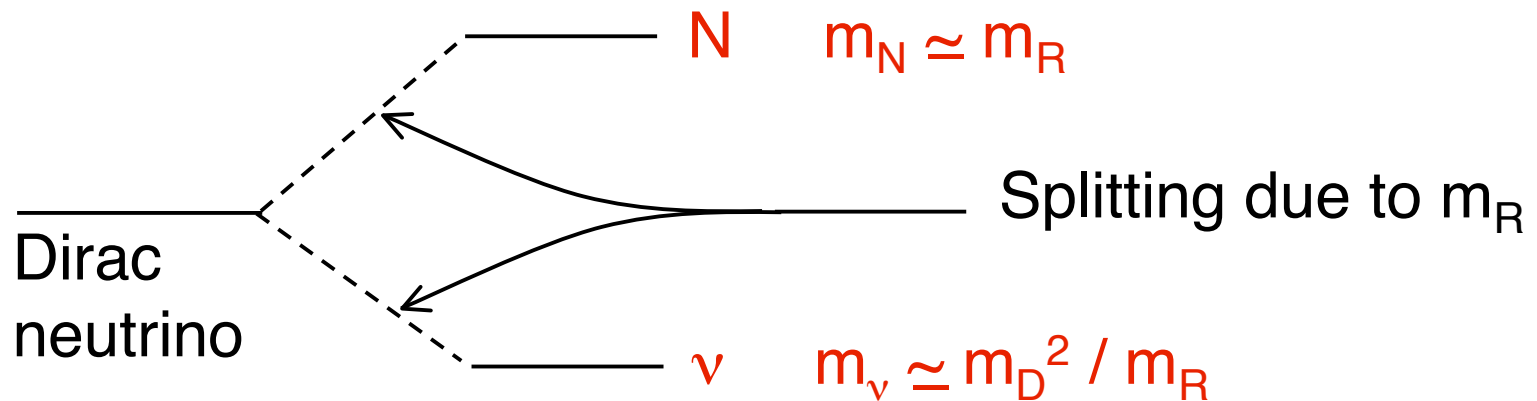
Mass of N

$$(\text{Mass of } \nu) \times (\text{Mass of N}) = m_D^2 \sim m_{\text{quark or lepton}}^2$$

The See-Saw Relation

What Happened?

The Majorana mass term split a Dirac neutrino into two Majorana neutrinos.



Predictions of the See-Saw

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Coincidence??