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# EXCITATION OF PLASMA WAKEFIELDS

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# Basics: definition of laser peak intensity

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- Consider a EM field as a plane wave

$$\mathbf{E}_L(z, t) = \frac{E_0}{2} \exp[-i(k_0 z - \omega_0 t)] \vec{\mathbf{e}}_x + c.c.$$

- Peak intensity is defined as

$$I_0 = \langle \mathbf{E} \times \mathbf{B} \rangle = \frac{c \epsilon_0}{2} E_0^2$$

- For a Gaussian pulse (at focus)

$$I(r, t) = I_0 \exp\left[-2\frac{r^2}{w_0^2}\right] \exp\left[-4 \ln 2 \frac{t^2}{\tau_0^2}\right] \longrightarrow I_0 = \frac{2E}{\pi w_0^2 \tau_0}$$

- Example:  $E=1$  J,  $w_0=20$   $\mu\text{m}$ ,  $\tau_0=30$  fs  
 $I_0=5 \times 10^{18}$  W/cm<sup>2</sup>
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# a: the normalized vector potential

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- Laser E field linked to potential vector a by  $E_L = -\frac{\partial A}{\partial t}$

- Normalized vector potential

$$a = \frac{eA}{m_e c}$$




$$a_0 = -\frac{eE_0}{m_e c \omega_0}$$

- In practical units  $a_0 = 8.5 \times 10^{-10} \lambda [\mu m] I_0^{1/2} [W / cm^2]$
  - Example:  $I_0 = 2 \times 10^{18} \text{ W/cm}^2$  ( $\lambda = 1 \mu m$ )  $\rightarrow a = 1.2$
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# Relativistic regime of laser-plasma interaction

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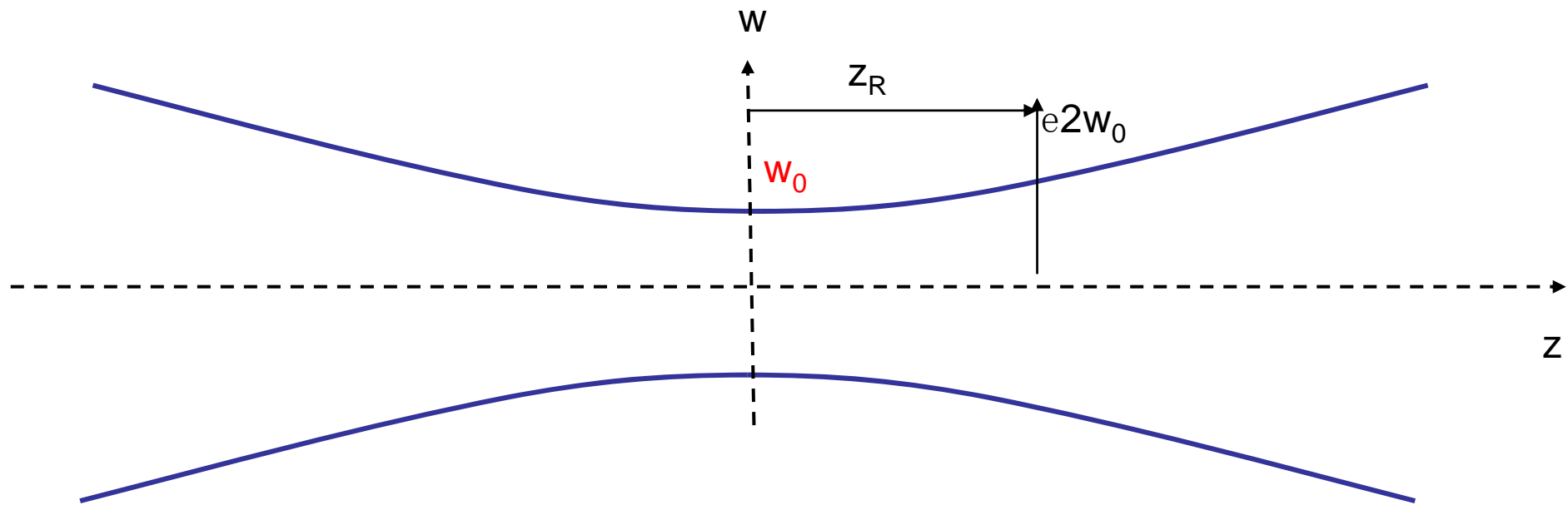
- Electron in laser field: 
$$\frac{d\mathbf{p}}{dt} = -\frac{e}{m_e}(\mathbf{E}_L + \mathbf{v} \times \mathbf{B}_L)$$
- Weakly relativistic case  $v/c \ll 1$   
(magnetic component is neglected) 
$$\frac{dv_{osc}}{dt} = -e \frac{E_L}{m_e} = \frac{e}{m_e} \frac{\partial A}{\partial t}$$

 
$$\frac{v_{osc}}{c} = a$$

Relativistic regime is entered when  $a \sim 1$  ( $I_0 \sim 10^{18}$  W/cm<sup>2</sup>)

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# Basics: gaussian beam propagation



$$w(z) = w_0 \left( 1 + z^2 / z_R^2 \right)^{1/2}$$

Rayleigh length: from  $I_0$  to  $I_0/2$

$$z_R = \pi w_0^2 / \lambda_0$$

Example:  $\lambda=1 \mu\text{m}$ ,  $w_0=20 \mu\text{m}$   $\rightarrow$   $z_R=1.2 \text{ mm}$

# Fluid model: hypothesis (1)

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- Plasma ions are supposed to be immobile. This is justified when the typical time for ion motion ( $\omega_{pi}^{-1}$ ) is large compared to the driver pulse duration ( $\tau \ll \omega_{pi}^{-1}$ ).
  - The plasma is represented by an electron fluid. This fluid is described by macroscopic quantities such as its density  $n(\mathbf{r}, t)$ , its velocity  $\mathbf{v}(\mathbf{r}, t)$ . Let us note that in such a model, kinetic effects (trapping, wavebreaking) are not taken into account.
  - The electron fluid is cold. In the case of a laser driver this is justified when the quiver velocity of electrons in the laser field is orders of magnitude larger than the thermal velocity:  $v_{osc} \simeq eE_{laser}/(m_e\omega_0) \gg v_{th} = (k_B T_e/m_e)^{1/2}$ . In the case of a particle beam driver, this is true when the beam causes plasma electrons to move at velocities greater than  $v_{th}$ .
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## Fluid model: hypothesis (2)

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- We consider the weakly relativistic case, also called the linear regime, in which plasma electrons are not relativistic. This implies that the laser intensity is sufficiently low  $a_0^2 \ll 1$ , that the beam density is sufficiently low  $n_b \ll n_0$  and in consequence that the wakefield amplitude is low  $\delta n/n_0 \ll 1$ .
  - The plasma is strongly underdense  $\omega_p/\omega_0 \ll 1$ . Here  $\omega_0$  is the laser frequency and  $\omega_p$  is the plasma frequency.
  - We will assume here that the problem has cylindrical symmetry.
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# Definition of drivers

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- Laser driver

$$\mathbf{a} = \hat{a}(r, z, t) \cos(k_0 z - \omega_0 t) \mathbf{e}_x$$

- envelope

$$\hat{a}^2(r, \zeta) = a_0^2 \exp(-\zeta^2/L_0^2) \exp(-r^2/\sigma^2)$$

$$\zeta = z - v_g t$$

- Electron beam driver

$$n_b(r, \zeta') = n_{b0} \exp(-\zeta'^2/L_b^2) \exp(-r^2/\sigma_b^2)$$

$$\zeta' = z - v_b t$$

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# Poisson equation

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$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0} \quad \text{with} \quad \rho = -e(n - n_0) + qn_b \quad (\text{electron beam driver: } q=-e)$$

In addition, the fields can be written in terms of potentials:

$$\mathbf{B} = \nabla \times \mathbf{A} \quad (5)$$

$$\mathbf{E} = -\nabla\Phi - \frac{\partial\mathbf{A}}{\partial t} \quad (6)$$

We now choose the Coulomb gauge, so that  $\nabla \cdot \mathbf{A} = 0$ . In this gauge, the Poisson equation becomes

$$\nabla^2\Phi = \frac{e}{\epsilon_0}(n + n_b - n_0)$$

Rewrite as:

$$\nabla^2\Phi = \frac{en_0}{\epsilon_0} \left( \frac{\delta n}{n_0} + \frac{n_b}{n_0} \right)$$

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# Physical meaning of potential

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So that  $\Phi$  here has a clear physical meaning: it represents the potential in the plasma due to charge separation. In this gauge, the vector potential  $A$  represents the laser field. In this case, the electric field  $\mathbf{E}$  has two components: the laser component  $\mathbf{E}_L = -\partial\mathbf{A}/\partial t$  which is a high frequency field  $\omega_0$  and the plasma field  $\mathbf{E}_p = -\nabla\Phi$ , which is at  $\omega_p$ .

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# Fluid equations

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We start with the continuity equation

$$\frac{\partial n}{\partial t} + \nabla \cdot (n\mathbf{v}) = 0$$

We also have the equation of motion for fluid electrons

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = -\frac{e}{m_e}(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

which can be rewritten as:

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = -\frac{e}{m_e}(\mathbf{E}_L + \mathbf{v} \times \mathbf{B}_L - \nabla\Phi)$$

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# Ponderomotive force

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We will neglect the plasma field for now in order to emphasize the ponderomotive force. So we will assume temporarily that the electrons only witness the laser field:

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{e}{m_e} (\mathbf{E}_L + \mathbf{v} \times \mathbf{B}_L) \quad (11)$$



Some algebra

$$\frac{\partial \mathbf{v}}{\partial t} = -\frac{e}{m_e} \mathbf{E}_L - c^2 \nabla \frac{a^2}{2}$$



Motion of electrons in plasma after averaging over fast oscillations

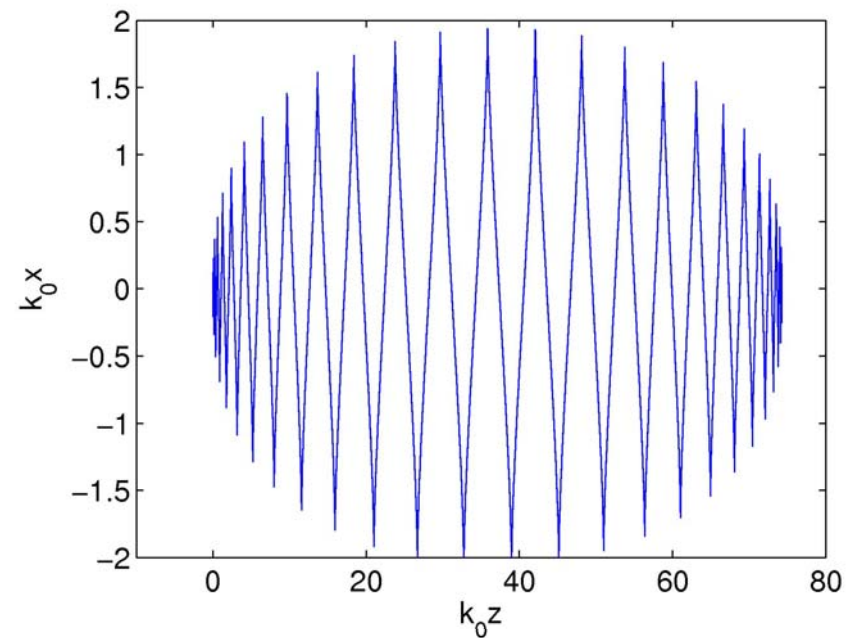
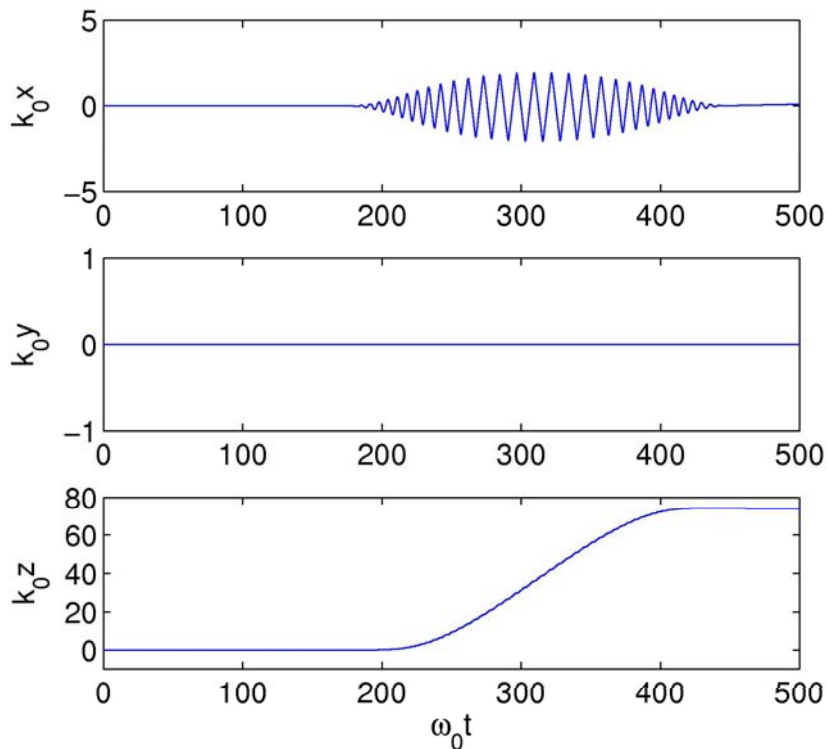
$$\frac{\partial \mathbf{v}}{\partial t} = -c^2 \nabla \frac{\hat{a}^2}{4} + \frac{e}{m_e} \nabla \Phi$$

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# Illustration of ponderomotive force

- Solving the motion of an electron in a laser field (propagating along  $z$  and polarized along  $x$ ):  
$$\frac{d\mathbf{p}}{dt} = -\frac{e}{m_e}(\mathbf{E}_L + \mathbf{v} \times \mathbf{B}_L)$$

$$a_0=2$$



# Plasma wakefield equation

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We now linearize the continuity equation (eq. 8) in order to get the following system of equations:

$$\frac{\partial \delta n}{\partial t} + n_0 \nabla \cdot \mathbf{v} = 0 \quad (14)$$

$$\frac{\partial \mathbf{v}}{\partial t} = -c^2 \nabla \frac{\hat{a}^2}{4} + \frac{e}{m_e} \nabla \Phi \quad (15)$$

$$\nabla^2 \Phi = \frac{en_0}{\epsilon_0} \left( \frac{\delta n}{n_0} + \frac{n_b}{n_0} \right) \quad (16)$$



Some algebra

$$\left( \frac{\partial^2}{\partial t^2} + \omega_p^2 \right) \frac{\delta n}{n_0} = c^2 \nabla^2 \frac{\hat{a}^2}{4} - \omega_p^2 \frac{n_b}{n_0}$$

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## Laser driver case

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- Assume no electron beam  $n_b=0$

$$\left( \frac{\partial^2}{\partial t^2} + \omega_p^2 \right) \frac{\delta n}{n_0} = c^2 \nabla^2 \frac{\hat{a}^2}{4}$$

- Write equation on potential using:  $\phi = e\Phi/m_e c^2$   
 $\nabla^2 \phi = k_p^2 \delta n/n_0$

$$\left( \frac{\partial^2}{\partial t^2} + \omega_p^2 \right) \phi = \omega_p^2 \frac{\hat{a}^2}{4}$$

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# Moving window

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The laser driver moves at the velocity of light ( $a$  is a function of  $\zeta = z - v_g t$ ), so it is practical to change variables in order to follow the laser pulse:  $\tau = t$  and  $\zeta = z - v_g t$ . For the new variables:

$$\begin{aligned}\frac{\partial}{\partial t} &= \frac{\partial}{\partial \tau} - v_g \frac{\partial}{\partial \zeta} \\ \frac{\partial}{\partial z} &= \frac{\partial}{\partial \zeta} \\ \frac{\partial^2}{\partial t^2} &= \frac{\partial^2}{\partial \tau^2} + v_g^2 \frac{\partial^2}{\partial \zeta^2} - 2v_g \frac{\partial^2}{\partial \zeta \partial \tau} \\ \frac{\partial^2}{\partial z^2} &= \frac{\partial^2}{\partial \zeta^2}\end{aligned}$$

Considering laser driver only

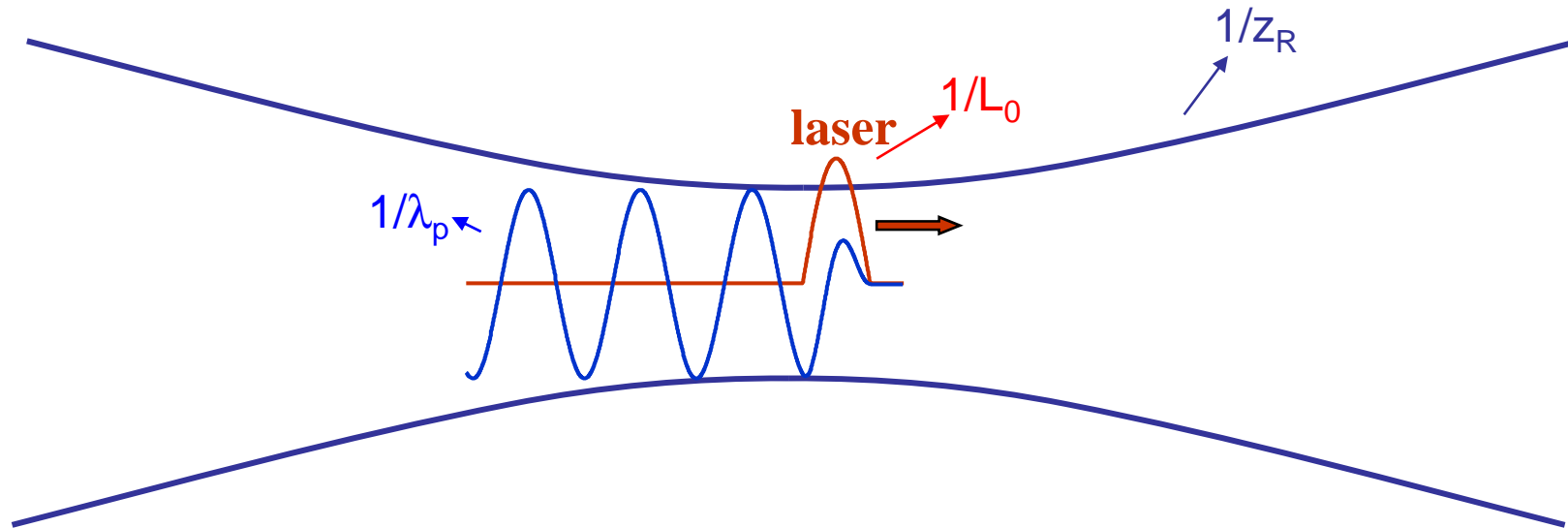
$$\left( \frac{\partial^2}{\partial \tau^2} + v_g^2 \frac{\partial^2}{\partial \zeta^2} - 2v_g \frac{\partial^2}{\partial \tau \partial \zeta} + \omega_p^2 \right) \phi = \omega_p^2 \frac{\hat{a}^2}{4}$$

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# Quasi-static approximation

- Neglect derivatives in  $\tau$  compared to derivatives in  $\zeta$
- Physical meaning: the plasma responds adiabatically to slow changes of the driver



**→** 
$$\left( \frac{\partial^2}{\partial \zeta^2} + k_p^2 \right) \phi = k_p^2 \frac{\hat{a}^2}{4}$$

# Solutions

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- Solution behind the pulse (gaussian shape)

- Potential

$$\phi = -\sqrt{\pi} a_0^2 \frac{k_p L_0}{4} e^{-k_p^2 L_0^2 / 4} e^{-r^2 / \sigma^2} \sin(k_p \zeta)$$

- Electric field

$$\frac{\mathbf{E}}{E_0} = -\frac{1}{k_p} \nabla \phi = -\frac{1}{k_p} \left( \mathbf{e}_z \frac{\partial}{\partial \zeta} + \mathbf{e}_r \frac{\partial}{\partial r} \right) \phi$$

- Longitudinal

$$\frac{E_z}{E_0} = \sqrt{\pi} a_0^2 \frac{k_p L_0}{4} e^{-k_p^2 L_0^2 / 4} e^{-r^2 / \sigma^2} \cos(k_p \zeta)$$

- Transverse

$$\frac{E_r}{E_0} = -\sqrt{\pi} \frac{a_0^2}{2} e^{-k_p^2 L_0^2 / 4} \frac{L_0 r}{\sigma^2} e^{-r^2 / \sigma^2} \sin(k_p \zeta)$$

- $E_0$  wavebreaking field

$$E_0 = \frac{m_e c \omega_p}{e} \propto n^{1/2}$$

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# Solutions

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- Using Poisson equation, compute density perturbation

- Longitudinal  $\frac{\delta n_z}{n_0} = \sqrt{\pi} a_0^2 \frac{k_p L_0}{4} e^{-k_p^2 L_0^2 / 4} e^{-r^2 / \sigma^2} \sin(k_p \zeta)$

- Transverse  $\frac{\delta n_r}{n_e} = \frac{\delta n_z}{n_0} \frac{4}{\sigma^2 k_p^2} \left(1 - \frac{r^2}{\sigma^2}\right)$

$\phi$ ,  $E_z/E_0$ ,  $\delta n_z/n_0$  are *normalized* quantities and have the same amplitude

$$\phi \simeq \sqrt{\pi} a_0^2 \frac{k_p L_0}{4} e^{-k_p^2 L_0^2 / 4}$$

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# Resonance condition

- Find optimum pulse duration for plasma wave excitation

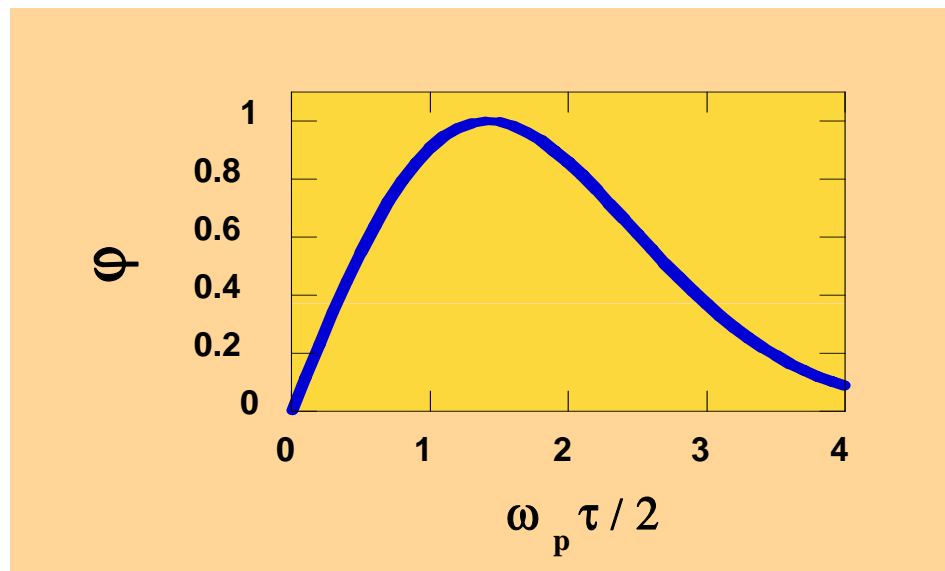
$$\partial\phi / \partial L_0 = 0$$

- Broad resonance condition:

$$k_p L_0 = \sqrt{2}$$

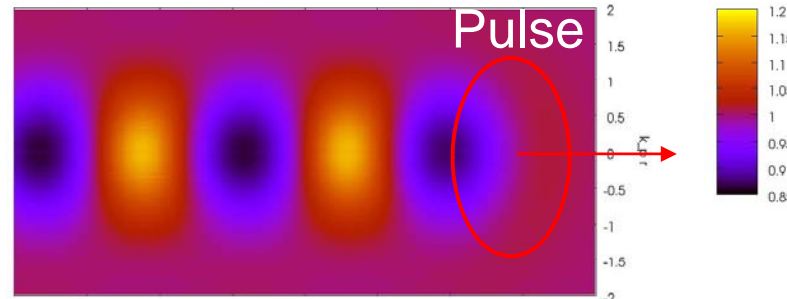
- Practical units

$$n_{er}(\text{cm}^{-3}) = \frac{1.7 \times 10^{21}}{\tau_{fwhm}^2(\text{fs})}$$

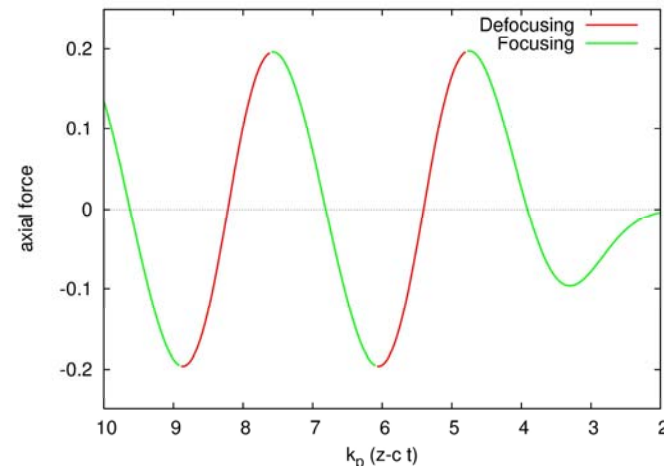


# Accelerating and focusing fields

$a=0.5$



Electron density



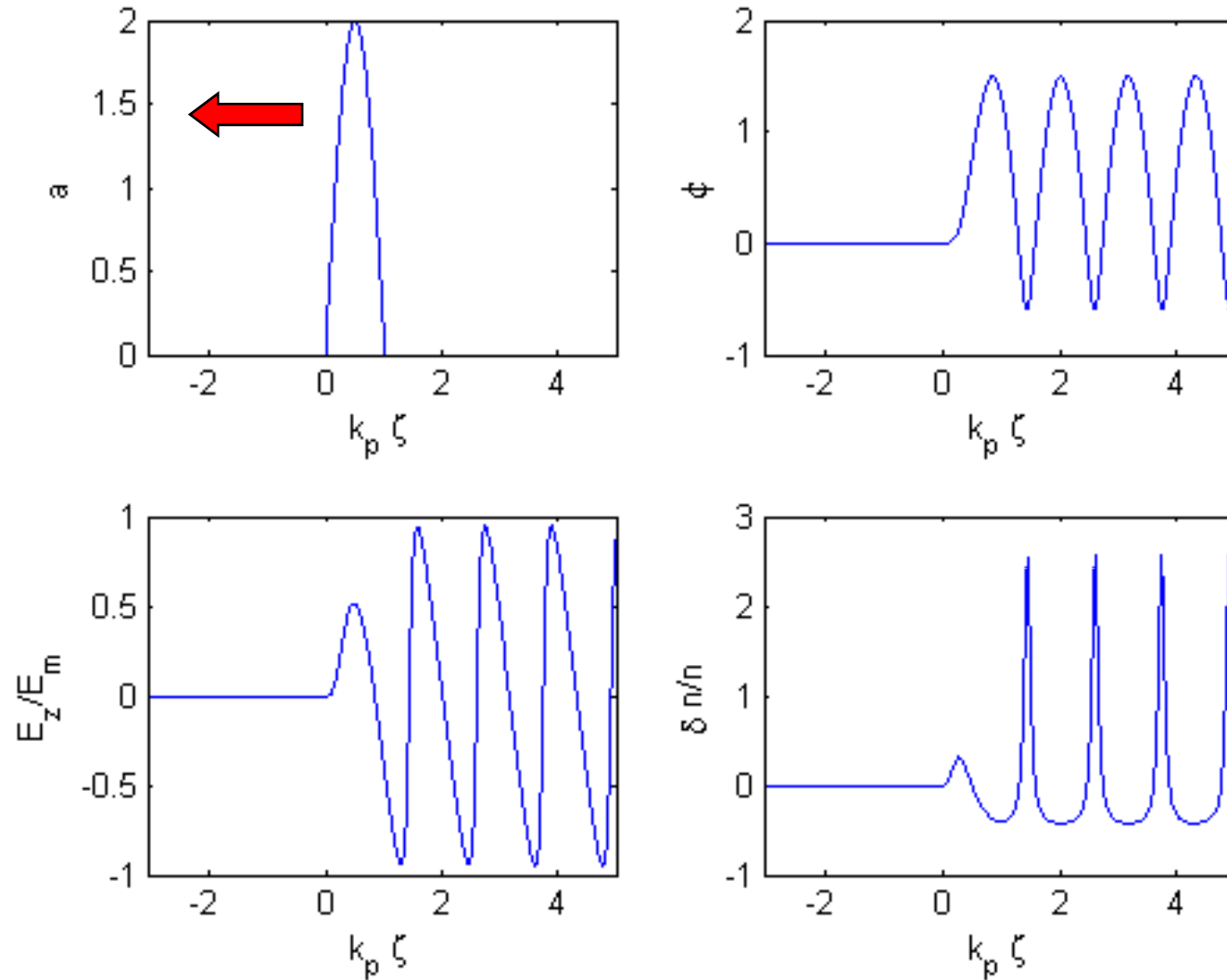
# 1D nonlinear theory (fully relativistic)

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- Basic equation: 
$$\frac{\partial^2 \phi}{\partial \zeta^2} = \frac{k_p^2}{2} \left[ \frac{1 + a^2}{(1 + \phi)^2} - 1 \right]$$
  - No limit on  $a$  (until wavebreaking...)
  - Wavebreaking field: 
$$E_{WB}^{1D} = \sqrt{2(\gamma_p - 1)} E_0$$
  - Fields higher than  $E_0$  are possible
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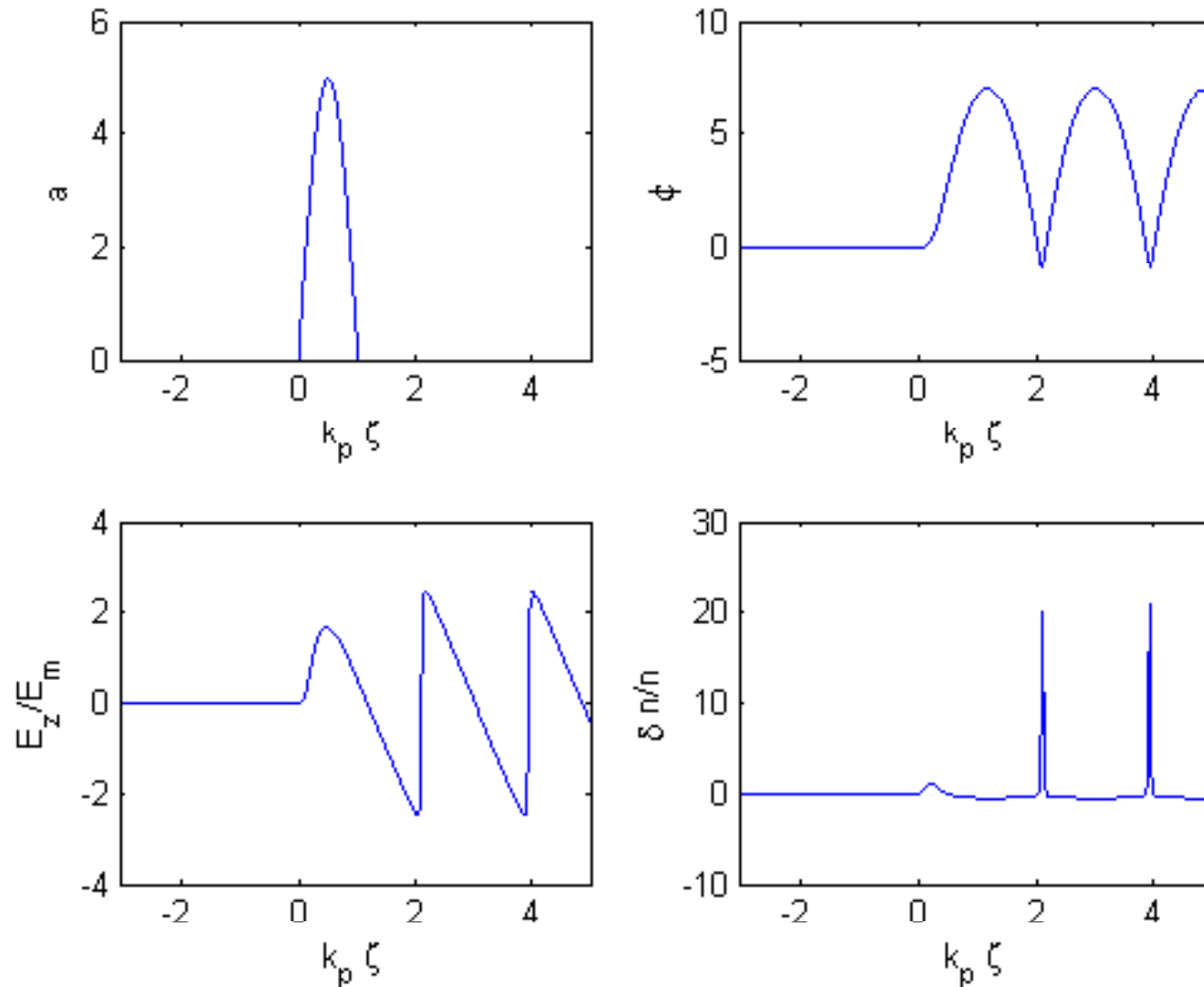
# 1D nonlinear plasma waves

30 fs pulse  
 $a=2$



# Limit of 1D nonlinear fluid theory: wave breaking

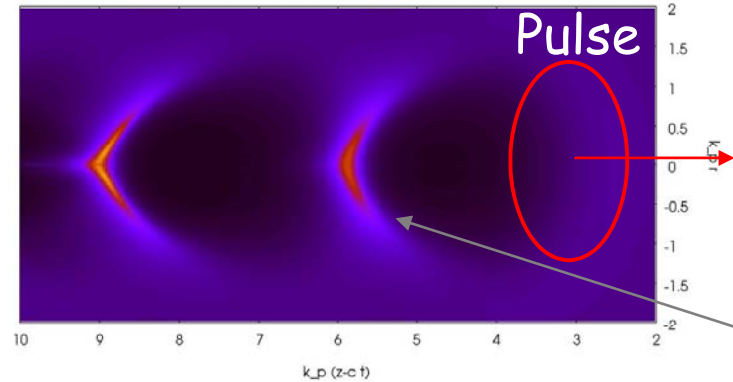
30 fs pulse  
 $a=5$





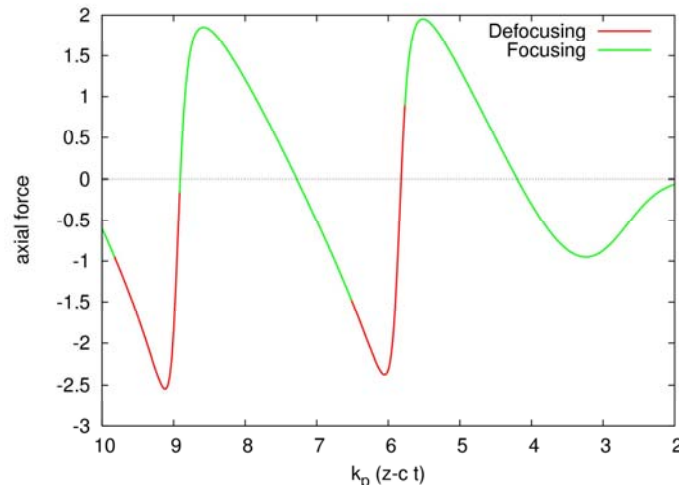
# 3D nonlinear wakefields

$$a_0=2$$



Electron density

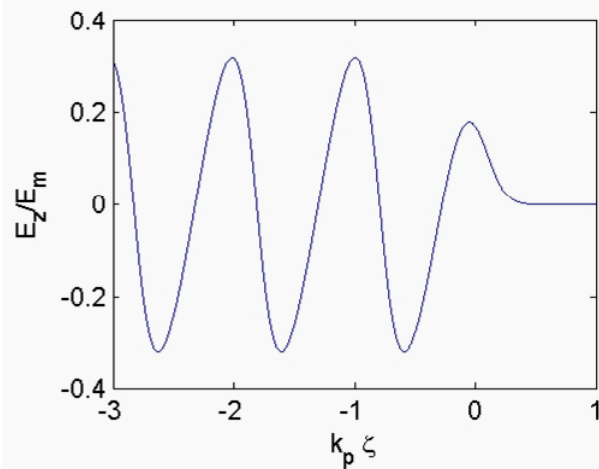
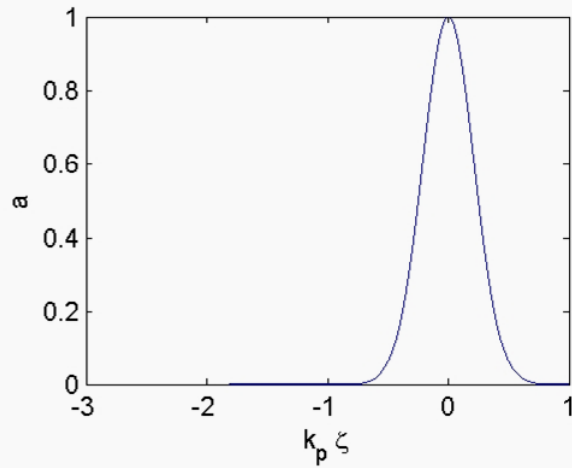
relativistic shift of  $\omega_p$



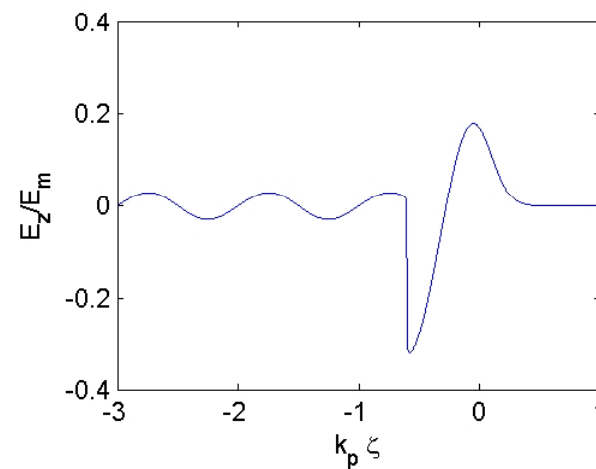
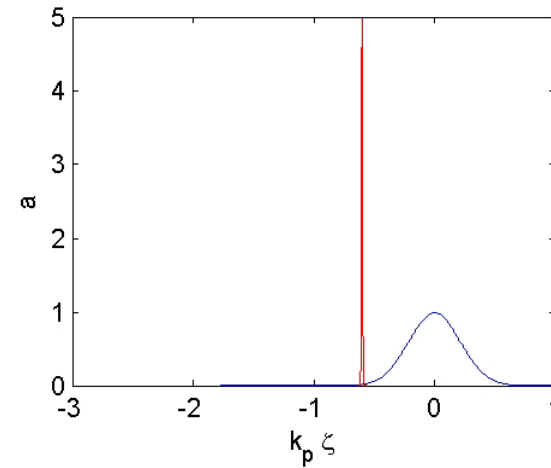
 Requires more complex models (fluid or kinetic)  
+ computer simulations

# Beam loading considerations

No particle beam



with particle beam



# Charge limit

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$$\left(\frac{\partial^2}{\partial t^2} + \omega_p^2\right) \frac{\delta n}{n_0} = c^2 \nabla^2 \frac{\hat{a}^2}{4} - \omega_p^2 \frac{n_b}{n_0}$$

- Limit on beam density:  $n_b \sim n_0 \hat{a}^2$
  - Limit on charge:  $N_{\text{part}} = V_{\text{beam}} \times n_0 \hat{a}^2$
  - Typical example: bunch  $5 \mu\text{m} \times 5 \mu\text{m} \times 10 \mu\text{m}$ ,  $\hat{a}=1$ ,  $n_0=10^{19} \text{ cm}^{-3}$ 
    - $Q=400 \text{ pC}$
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# Summary

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- Intensity and  $a_0$ :  $a_0 = 8.5 \times 10^{-10} \lambda [\mu\text{m}] I_0^{1/2} [\text{W} / \text{cm}^2]$

- Wakefield amplitude: *proportional to laser intensity*

$$\phi \simeq \sqrt{\pi} a_0^2 \frac{k_p L_0}{4} e^{-k_p^2 L_0^2 / 4}$$

- Wakefield max at resonance

$$n_{er} (\text{cm}^{-3}) = \frac{1.7 \times 10^{21}}{\tau_{fwhm}^2 (\text{fs})}$$

- Linear regime: sinusoidal field + radial fields
- Nonlinear regime: focusing phase is longer

- Charge limited by beam loading:  $N_{\text{part}} = V_{\text{beam}} \times n_0 \hat{a}^2$

- Scaling law with particle beam, **replace  $\hat{a}^2/4$  by  $n_b/n_0$**
-