

Search for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at NA62



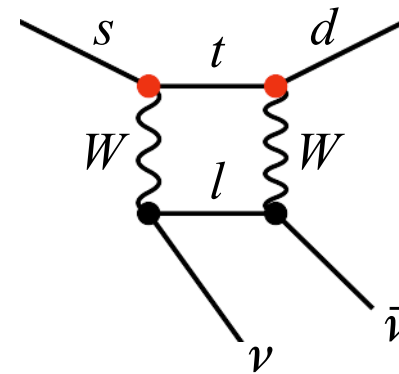
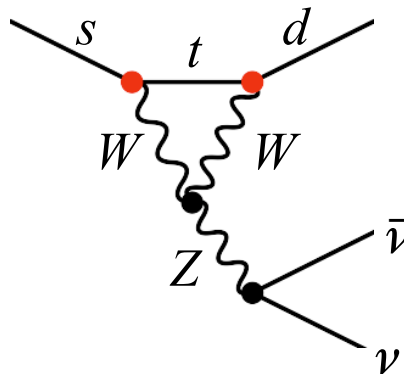
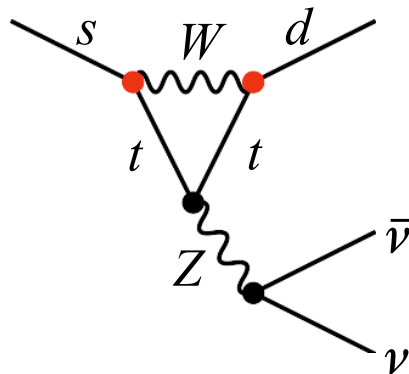
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For the NA62 Collaboration

ICHEP 2016
Chicago – 6 August 2016

$K \rightarrow \pi \nu \bar{\nu}$ in the Standard Model



FCNC processes dominated by Z-penguin and box amplitudes:



Extremely rare decays with rates very precisely predicted in SM:

- Hard GIM suppression - loop functions favor top contribution
- No long-distance contributions from amplitudes with intermediate photons
- Hadronic matrix element obtained from $\text{BR}(K_{e3})$ via isospin rotation

SM predicted rates
Buras et al, JHEP 1511*

Experimental status

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

$\text{BR} = (8.4 \pm 1.0) \times 10^{-11}$

$\text{BR} = (17.3^{+11.5}_{-10.5}) \times 10^{-11}$

Stopped K^+ , 7 events observed
BNL 787/949, PRD79 (2009)

$K_L \rightarrow \pi^0 \nu \bar{\nu}$

$\text{BR} = (3.4 \pm 0.6) \times 10^{-11}$

$\text{BR} < 2600 \times 10^{-11}$ 90%CL
KEK 391a, PRD81 (2010)

* Tree-level determinations of CKM matrix elements

$K \rightarrow \pi \nu \bar{\nu}$ and the unitarity triangle



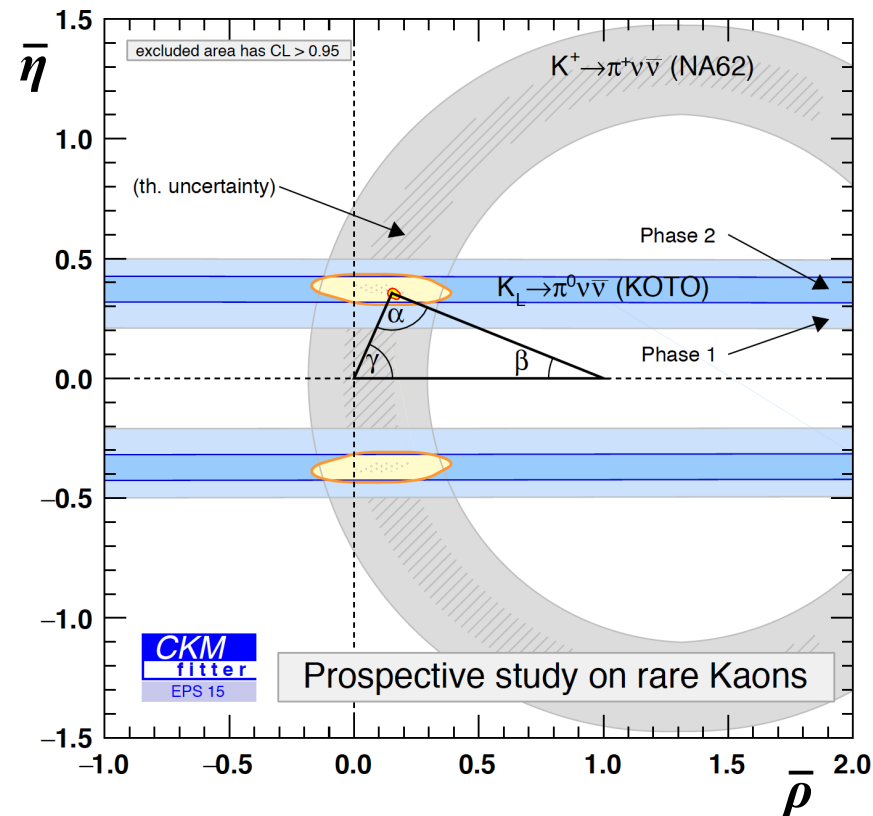
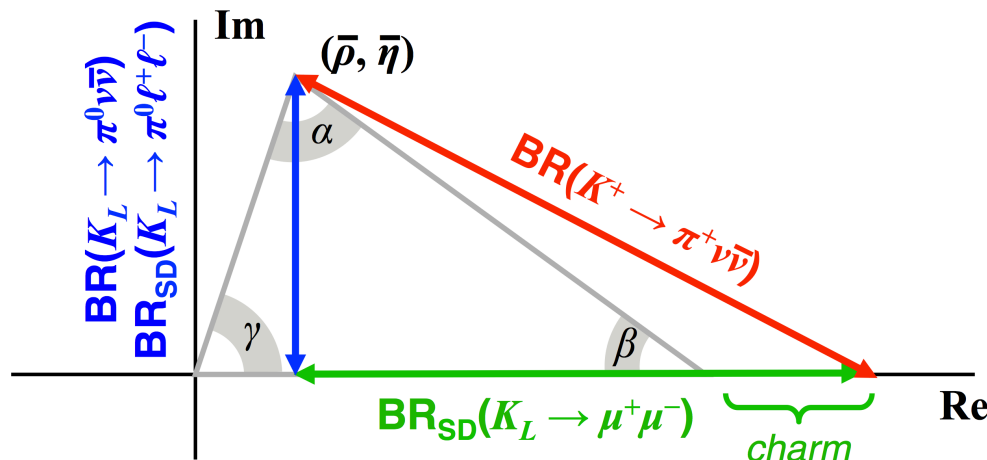
Dominant uncertainties for SM BRs are from CKM matrix elements

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \times 10^{-11} \cdot \left[\frac{|V_{cb}|}{0.0407} \right]^{2.8} \cdot \left[\frac{\gamma}{73.2^\circ} \right]^{0.74}$$

Buras et al.
JHEP 1511

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \times 10^{-11} \cdot \left[\frac{|V_{ub}|}{3.88 \times 10^{-3}} \right]^2 \cdot \left[\frac{|V_{cb}|}{0.0407} \right]^2 \cdot \left[\frac{\sin \gamma}{\sin 73.2^\circ} \right]^2$$

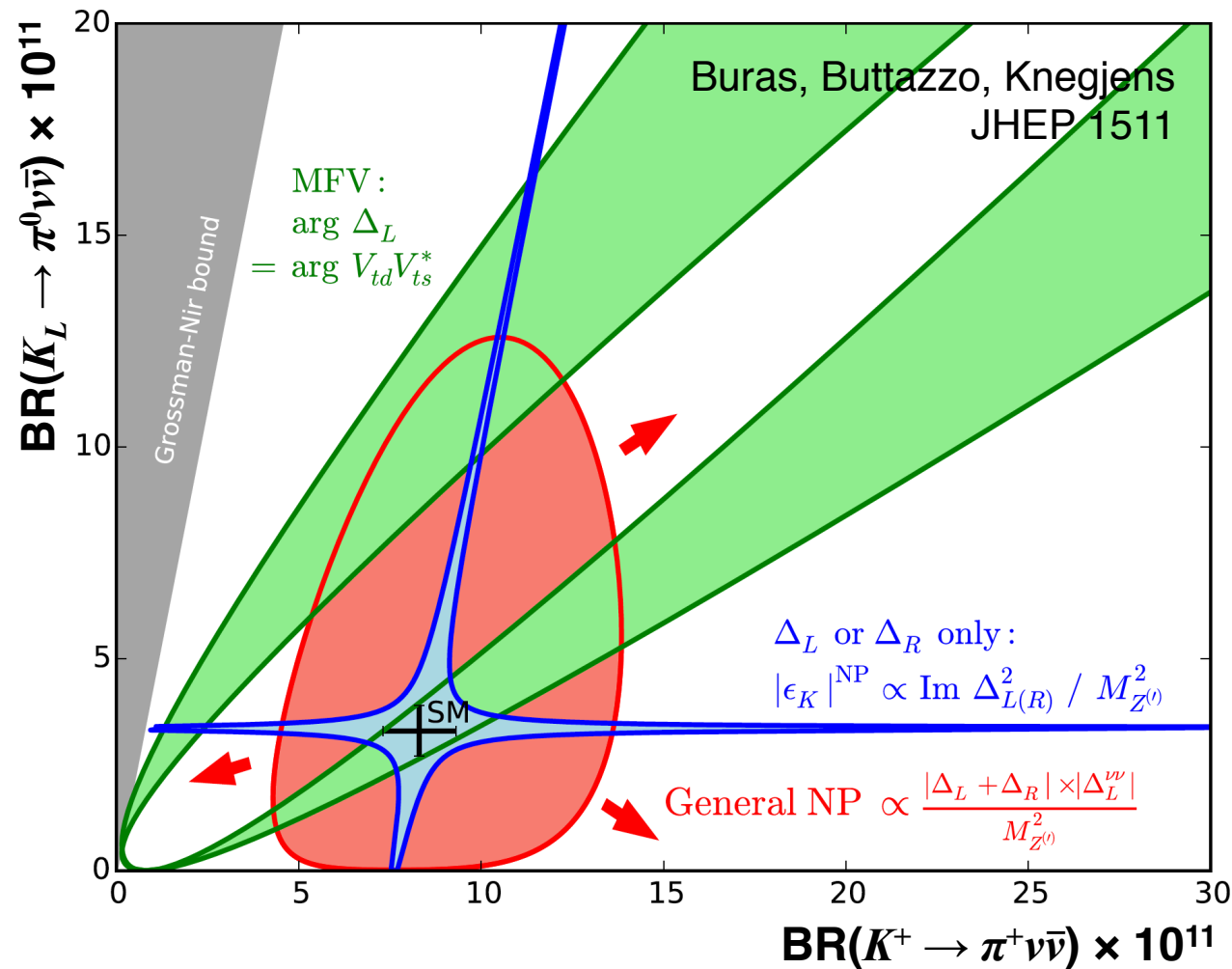
- Intrinsic theory uncertainties \sim few percent
- BR measurements overconstrain CKM matrix and may provide evidence for NP



$K \rightarrow \pi \nu \bar{\nu}$ and new physics



New physics affects BRs differently for different channels
Multiple measurements can discriminate among NP scenarios



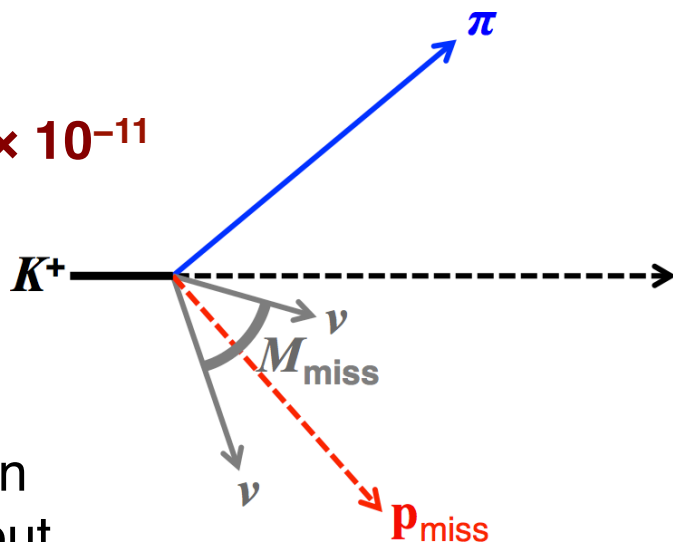
- Models with CKM-like flavor structure
 - Models with MFV
- Models with new flavor-violating interactions in which either LH or RH currents dominate
 - Z/Z' models with pure LH/RH couplings
 - Little Higgs with T parity
- Models without above constraints
 - Randall-Sundrum

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: Signal and background



Signal:

$$\text{BR}_{\text{SM}} \sim 8 \times 10^{-11}$$



K track in
 π track out

No other particles in final state

$$M_{\text{miss}}^2 = (p_K - p_\pi)^2$$

NA62 goal:

Measure BR to 10%



100 signal events

Background < 20%

10^{13} K decays with:

Acceptance $\sim 10\%$

Background rejection $\sim 10^{12}$

Background known to $\sim 10\%$

Decay backgrounds

Mode	BR
$\mu^+ \nu(\gamma)$	63.5%
$\pi^+ \pi^0(\gamma)$	20.7%
$\pi^+ \pi^+ \pi^-$	5.6%
$\pi^0 e^+ \nu$	5.1%
$\pi^0 \mu^+ \nu$	3.3%
$\pi^+ \pi^- e^+ \nu$	4.1×10^{-5}
$\pi^0 \pi^0 e^+ \nu$	2.2×10^{-5}
$\pi^+ \pi^- \mu^+ \nu$	1.4×10^{-5}
$e^+ \nu(\gamma)$	1.5×10^{-5}

Other backgrounds

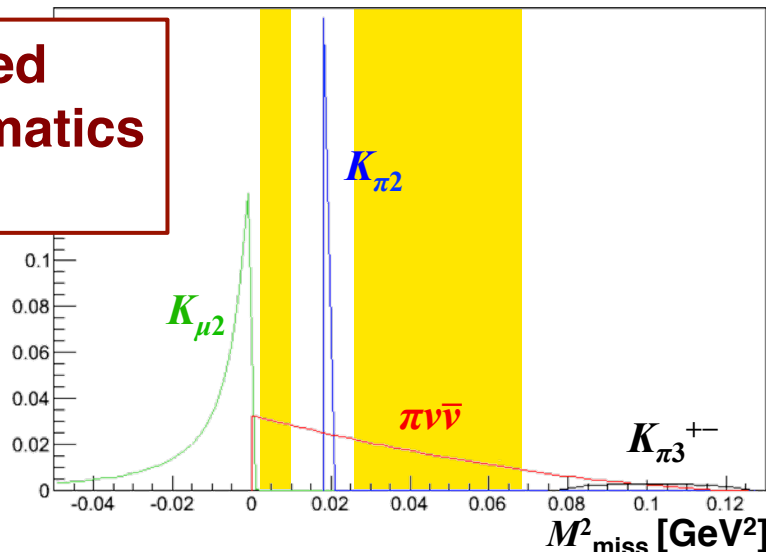
Beam-gas interactions

Upstream interactions

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: Background rejection



**Closed kinematics
92%**



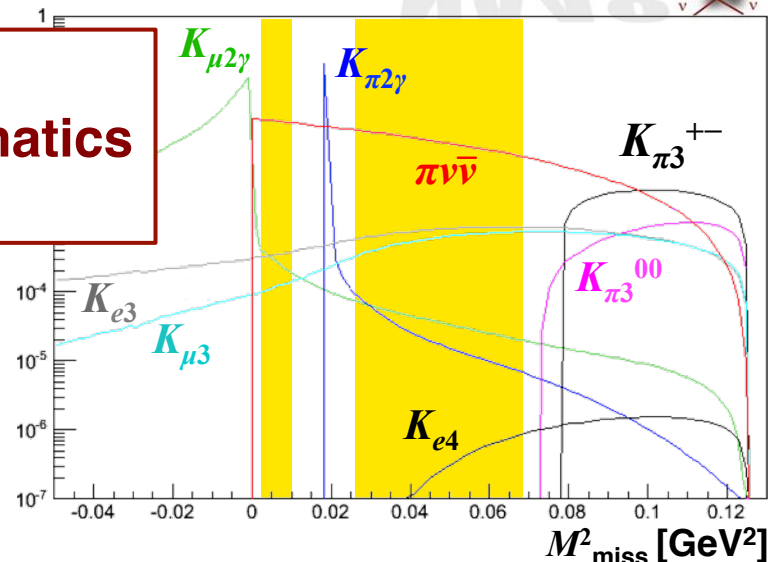
$m_{\text{miss}}^2 = 0$ or $m_{\pi^0}^2$ to reject $\mu\nu$, $\pi\pi^0$ \rightarrow 2 fiducial regions in m_{miss}^2

- High resolution m_{miss}^2 reconstruction
- Precise measurement of p_K and p_{π}
- Minimize multiple scattering

High-rate beam tracker
Low-mass spectrometer in vacuum

Rejection from kinematics alone:
 10^5 at best

**Open kinematics
8%**



(Further) rejection relies on PID and vetoes

- Veto detectors for π^0 rejection
- K^+ identification in hadron beam
- Detectors for π/μ separation

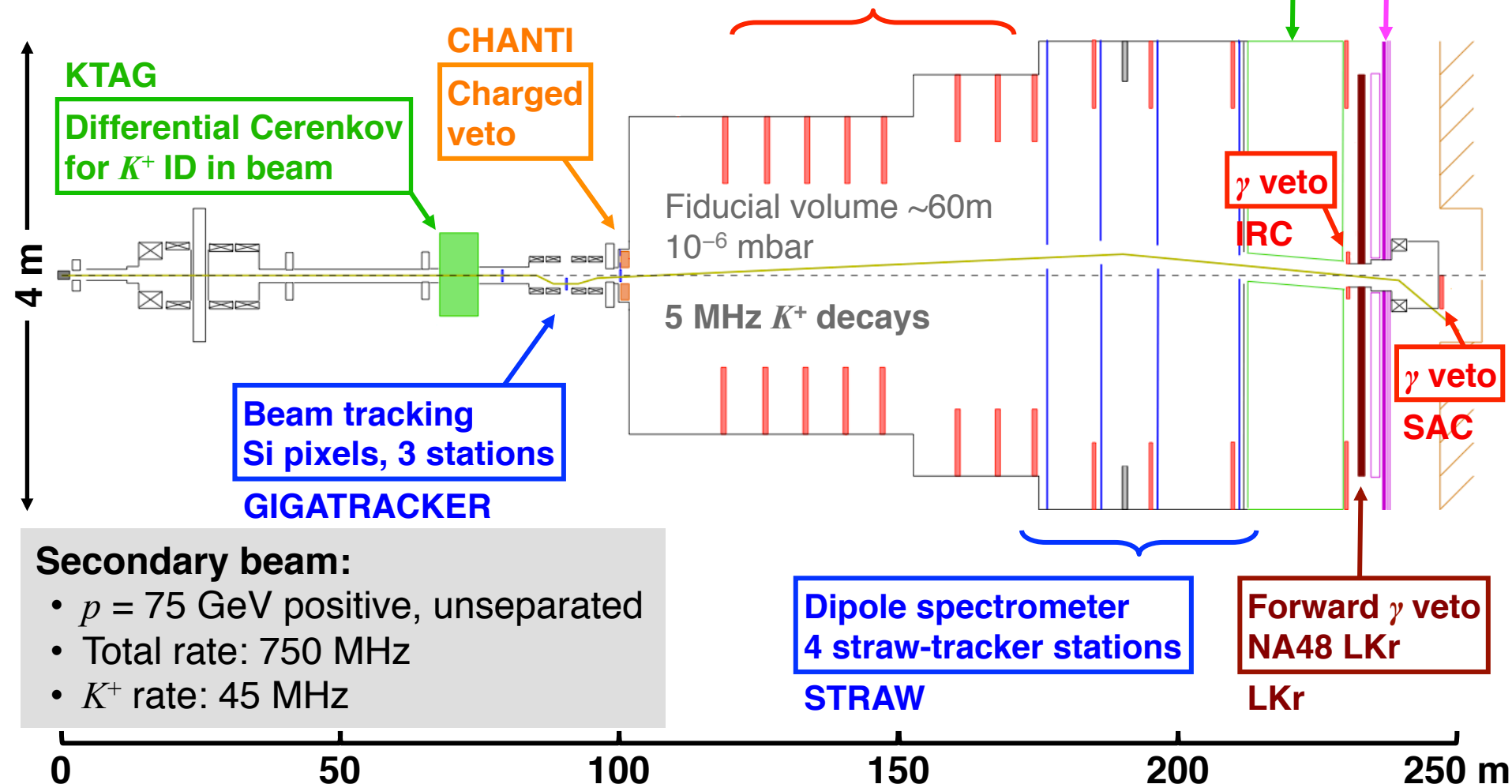
Hermetic γ vetoes
Beam & secondary particle ID
Muon vetoes

The NA62 experiment at the SPS



Primary beam:

- $p = 400$ GeV SPS protons
- 10^{12} protons/effective second



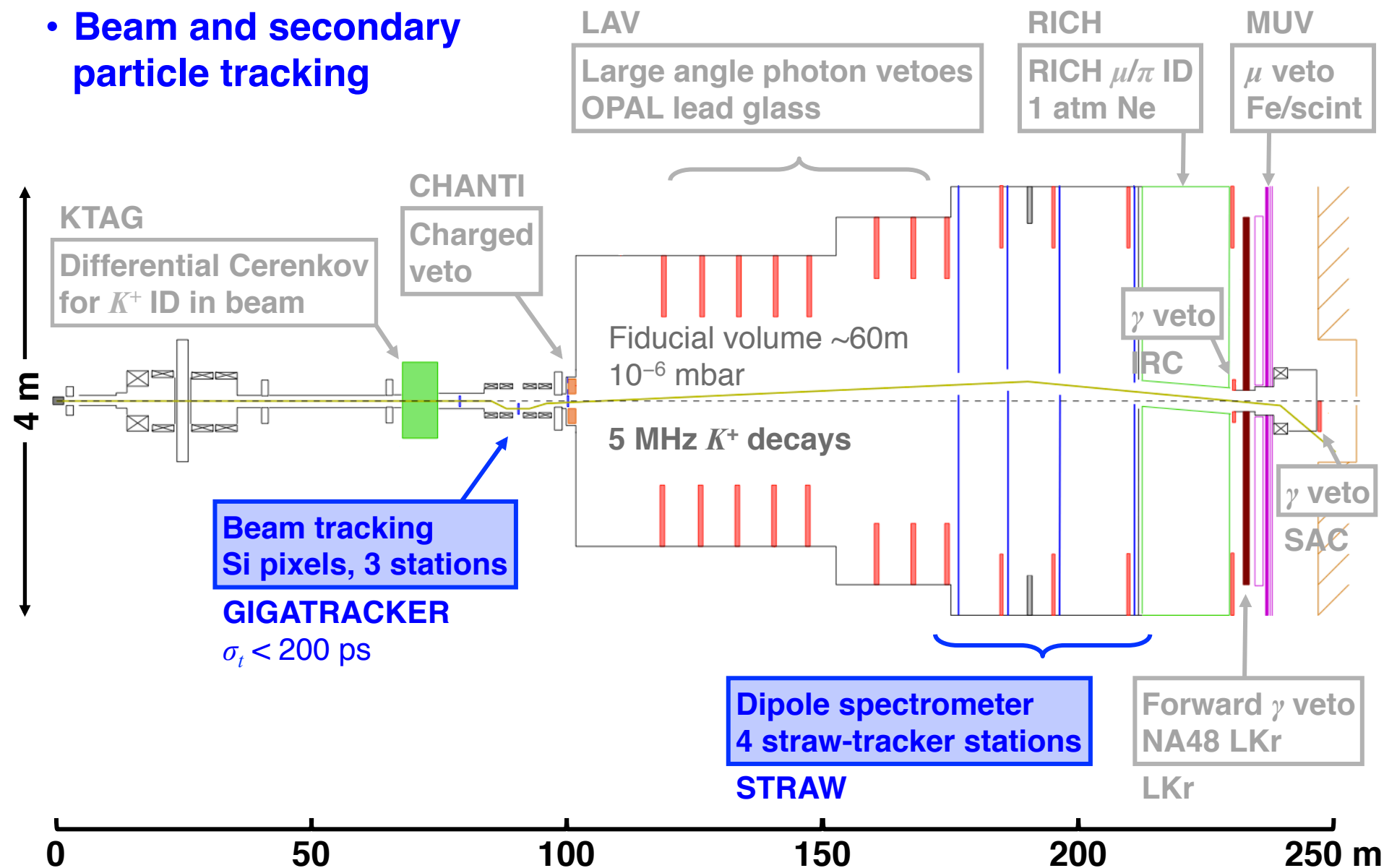
Secondary beam:

- $p = 75$ GeV positive, unseparated
- Total rate: 750 MHz
- K^+ rate: 45 MHz

The NA62 experiment at the SPS



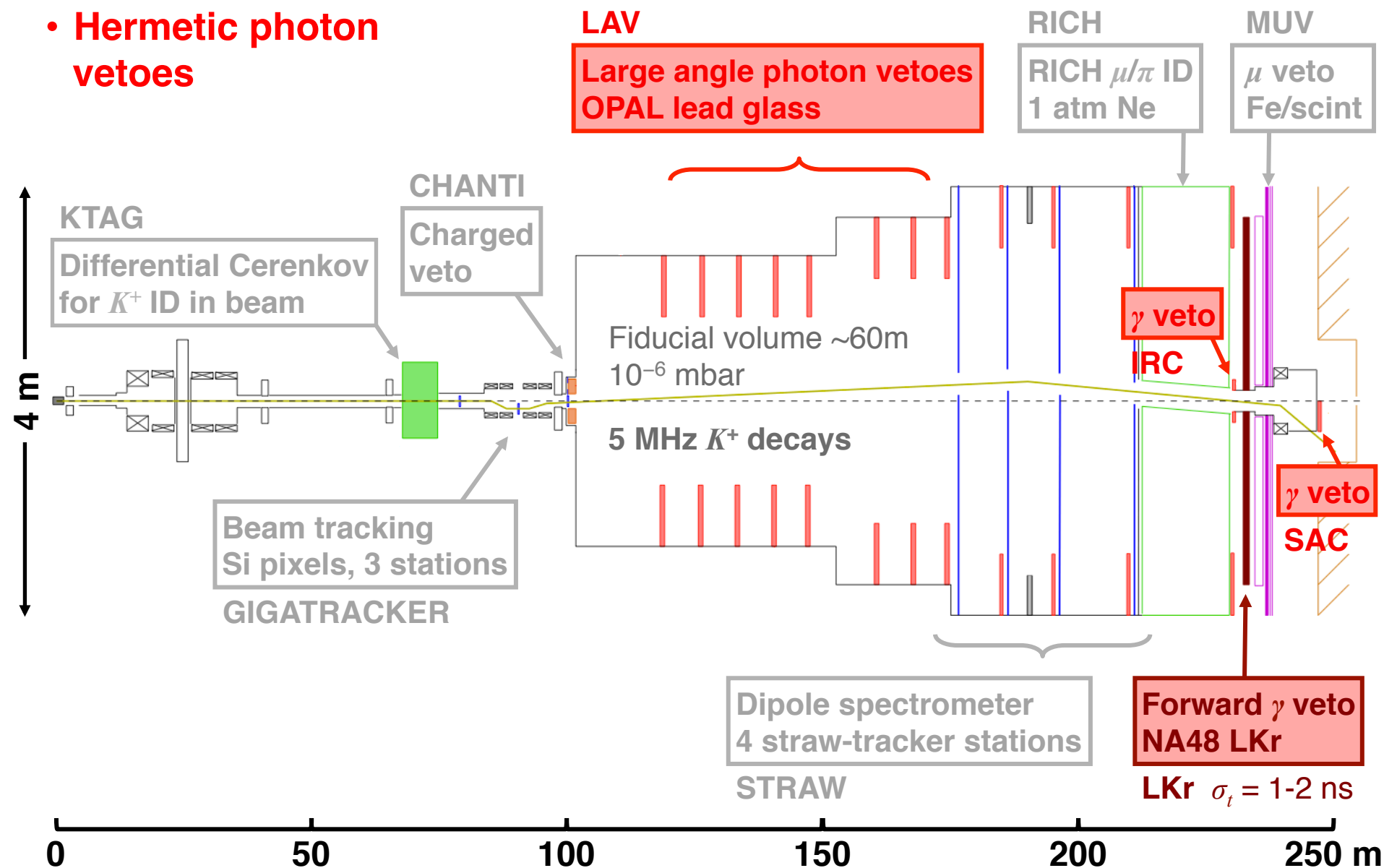
- **Beam and secondary particle tracking**



The NA62 experiment at the SPS



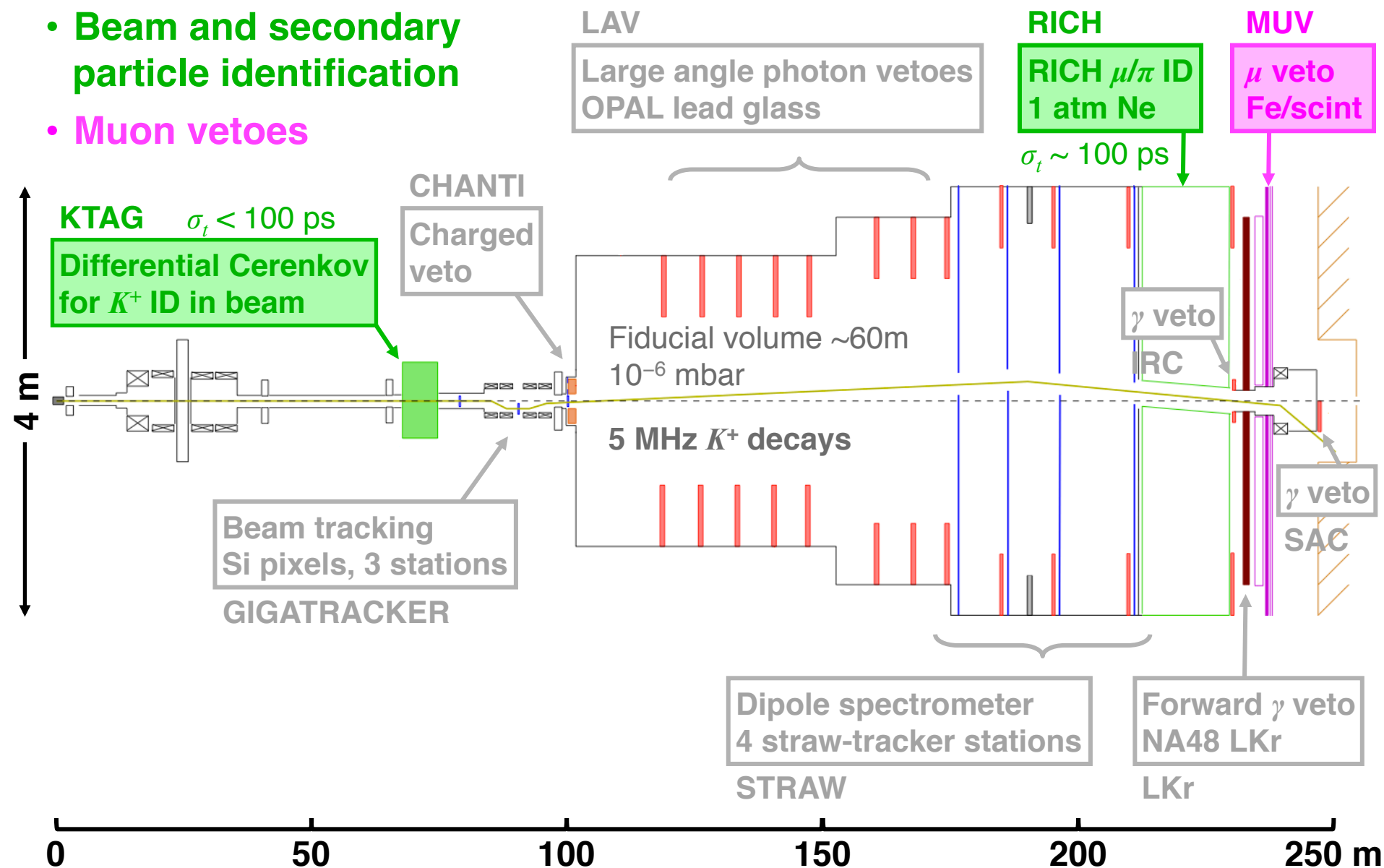
- Hermetic photon vetoes



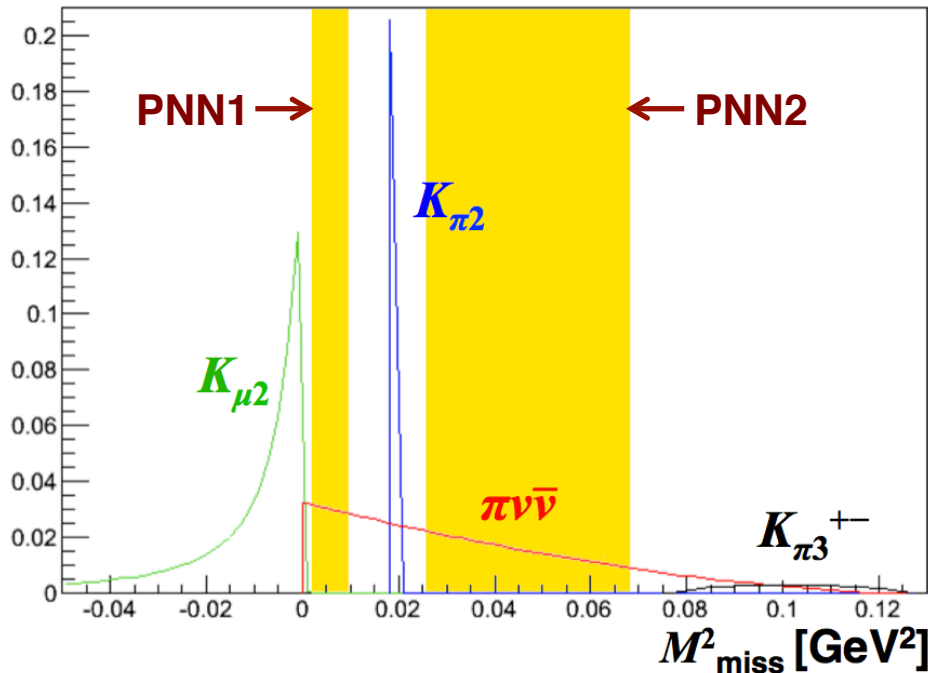
The NA62 experiment at the SPS



- Beam and secondary particle identification
- Muon vetoes



Expected performance



Acceptance: ~12%

3% in PNN1 region

9% in PNN2 region

50% loss from momentum cut

Detector inefficiencies included

45 signal events/yr

- 1 track with $15 < p_\pi < 35$ GeV and π PID in RICH
- No γ s in LAV, LKr, IRC, SAC
- No μ s in MUVs
- 1 beam particle in Gigatracker with K PID by KTAG
- z_{vtx} in 60 m fiducial volume

Expected backgrounds

$K^+ \rightarrow \pi^+ \pi^0$	10%
$K^+ \rightarrow \pi^+ \pi^0 \gamma_{\text{IB}}$	3%
$K^+ \rightarrow \mu^+ \nu$	2%
$K^+ \rightarrow \mu^+ \nu \gamma_{\text{IB}}$	1%
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	< 1%
K^+_{e4} , other 3 track decays	< 1%
K^+_{e3} , $K^+_{\mu3}$	negligible
Total	< 20%

Experimental status

NA62 data taking:

- First data from pilot run in fall 2014
- First physics run June-November 2015
- 2016 run in progress (April-November)
- Expect to take data through 2018 (until LS2)

Beamline commissioned up to full intensity

Detector status:

- Gigatracker partially commissioned
- Spectrometer, PID detectors, photon vetoes all fully operational

Trigger and data acquisition status:

- Level-0 trigger fully operational
- Level-1 and level-2 triggers partially commissioned

Data and reconstruction quality under study using 2015 data:

- Minimum-bias data at 1% beam intensity – *this talk*
- Data with preliminary LKr $\pi\nu\bar{\nu}$ trigger at 50-100% intensity – under study

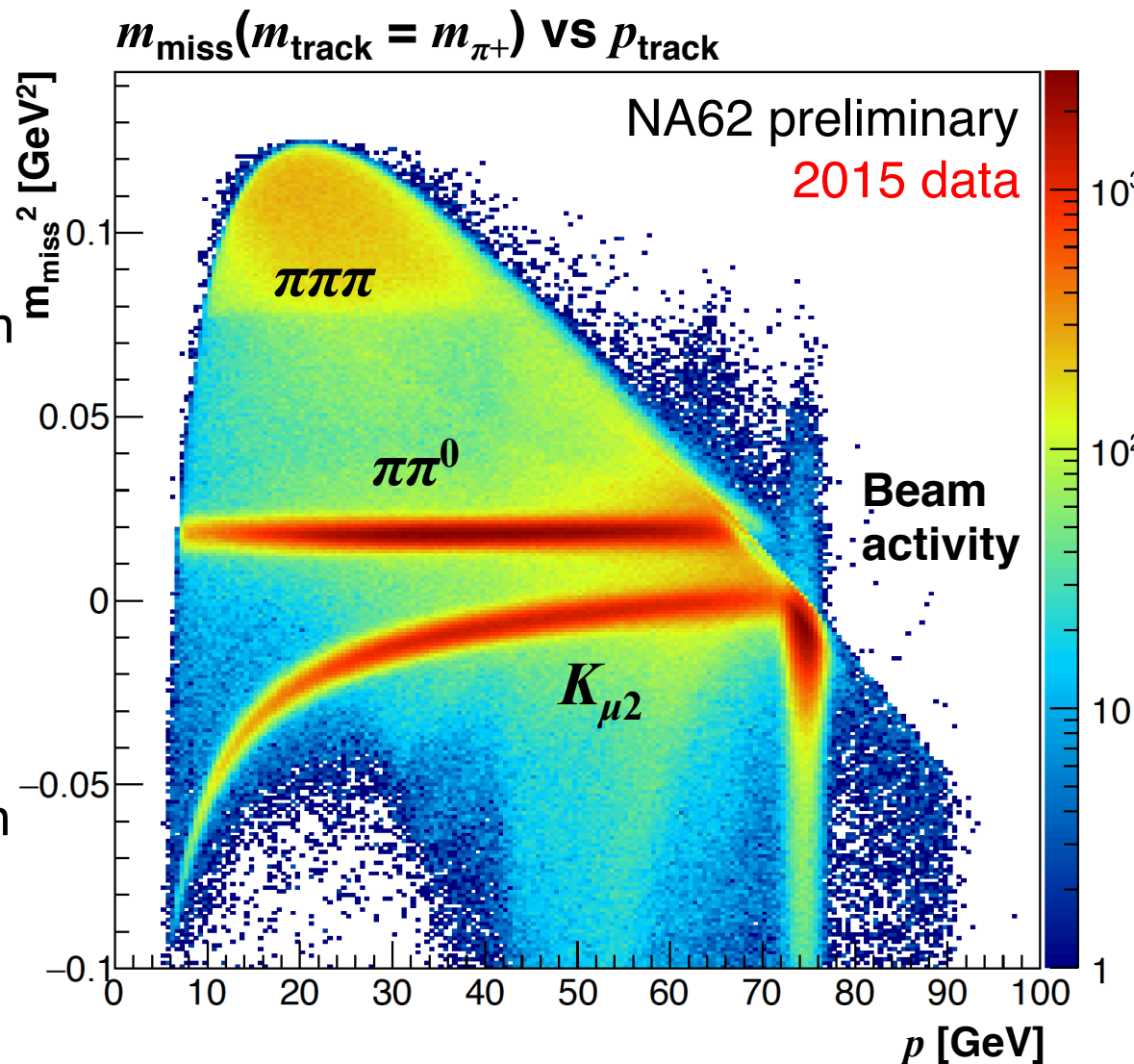
Reconstruction & signal selection



Minimum-bias data at 1% beam intensity

1-track selection:

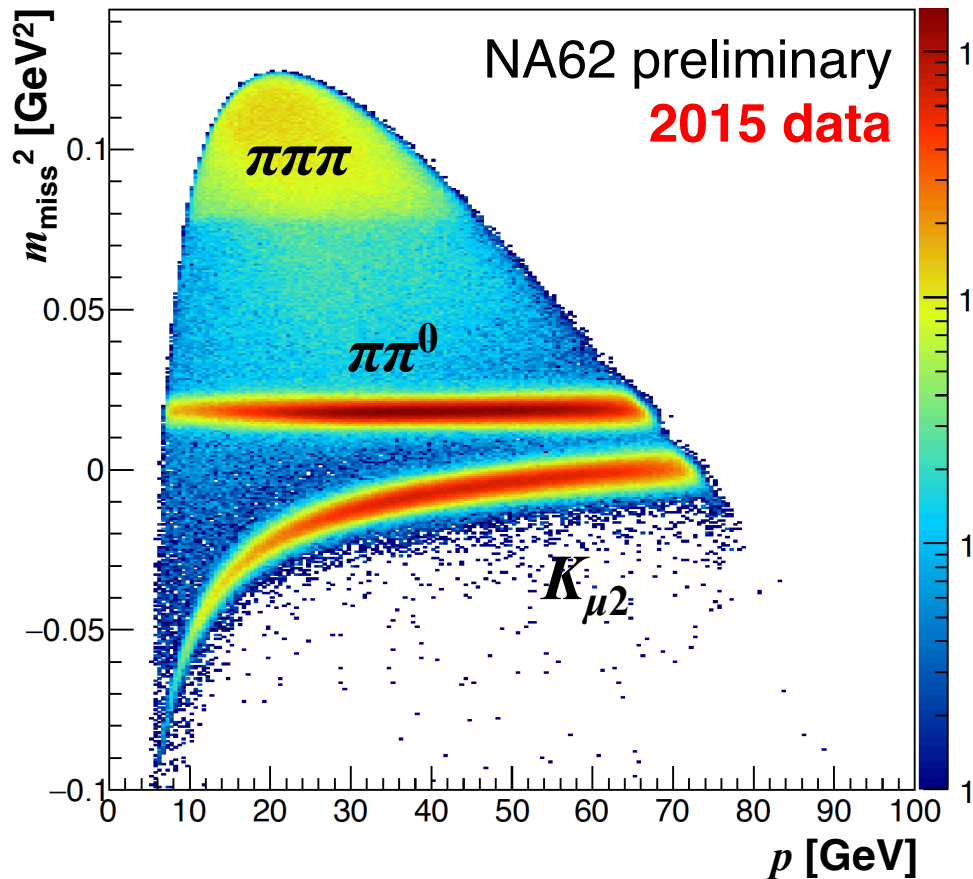
- 1 positive track
- Acceptance/quality cuts
- Track origin in fiducial region
- Matched to hit on CHOD
($\sigma_t \sim 200$ ps)
- Matched to KTAG beam ID
($\sigma_t \sim 100$ ps)
- Forming vertex with beam track from GTK
- Further associated to hits on LKr, RICH, muon vetoes



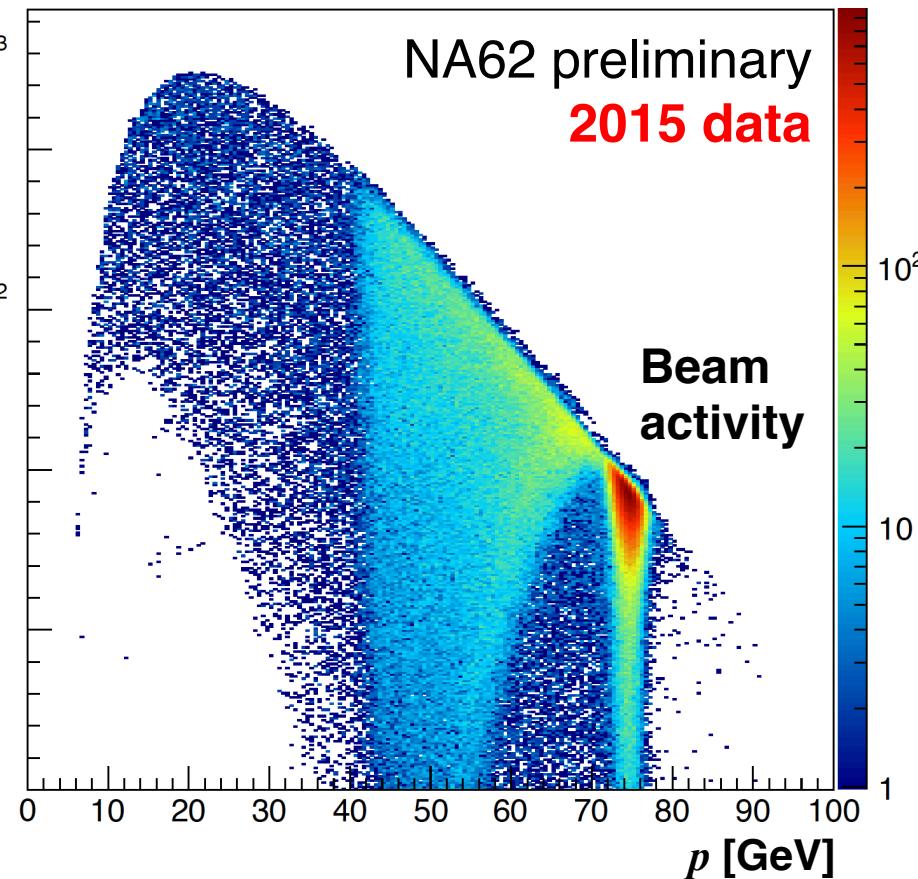
Signal selection and kaon ID



1-track selection
 K^+ ID in KTAG



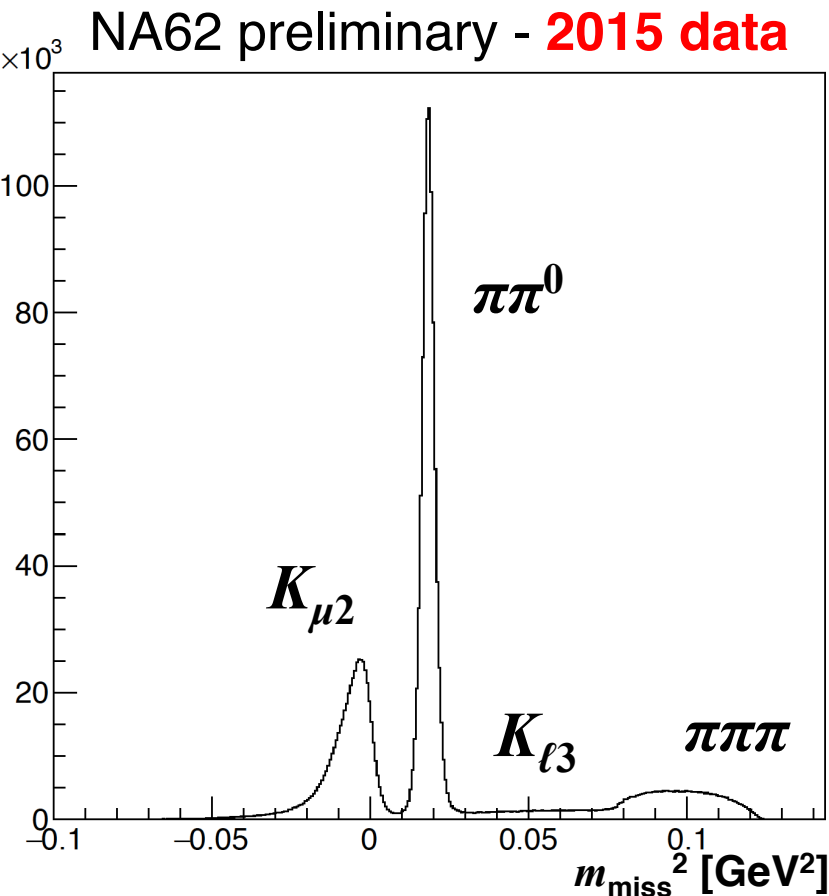
1-track selection
No K^+ ID in KTAG



Reconstruction of kinematics

Goal: 10^4 - 10^5 rejection for 2-body decays

Use LKr to obtain clean sample of $\pi\pi^0$ events to study m_{miss} resolution



m_{miss} resolution vs p

□ Without GTK

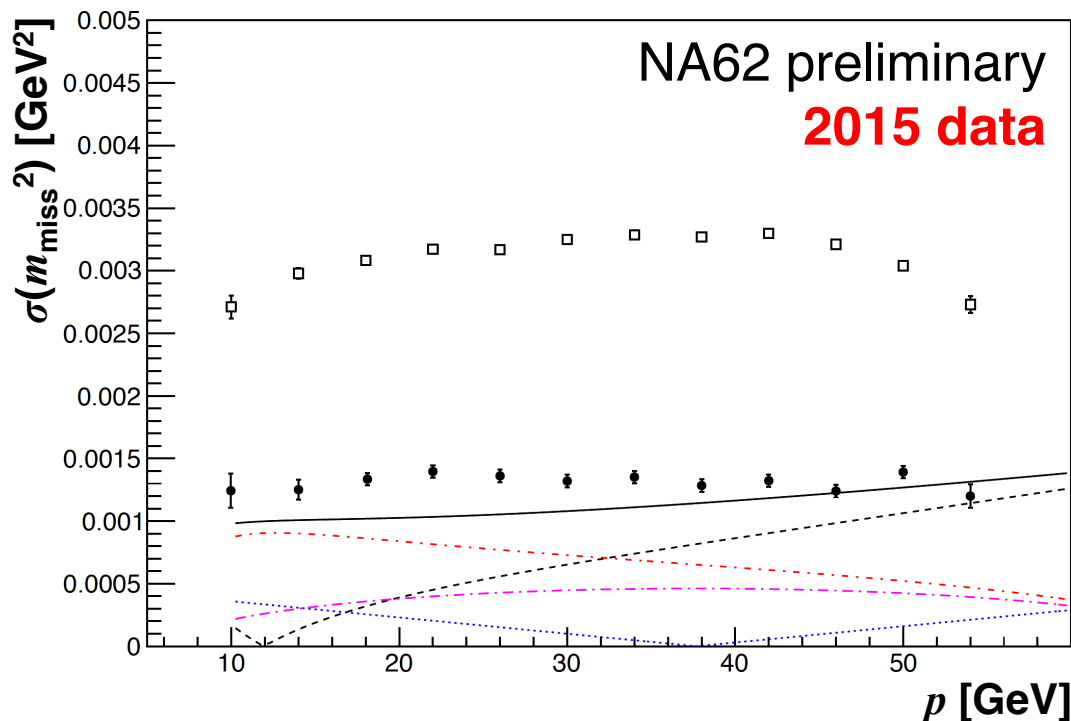
● With GTK

— Expected (proposal)

Contrib to expected res:

---- $p \pi^+$ $p K^+$

-.-.- $\theta \pi^+$ -.-.- θK^+



m_{miss} resolution in 2015 near to design value: $O(10^3)$ $\pi\pi^0$ suppression obtained

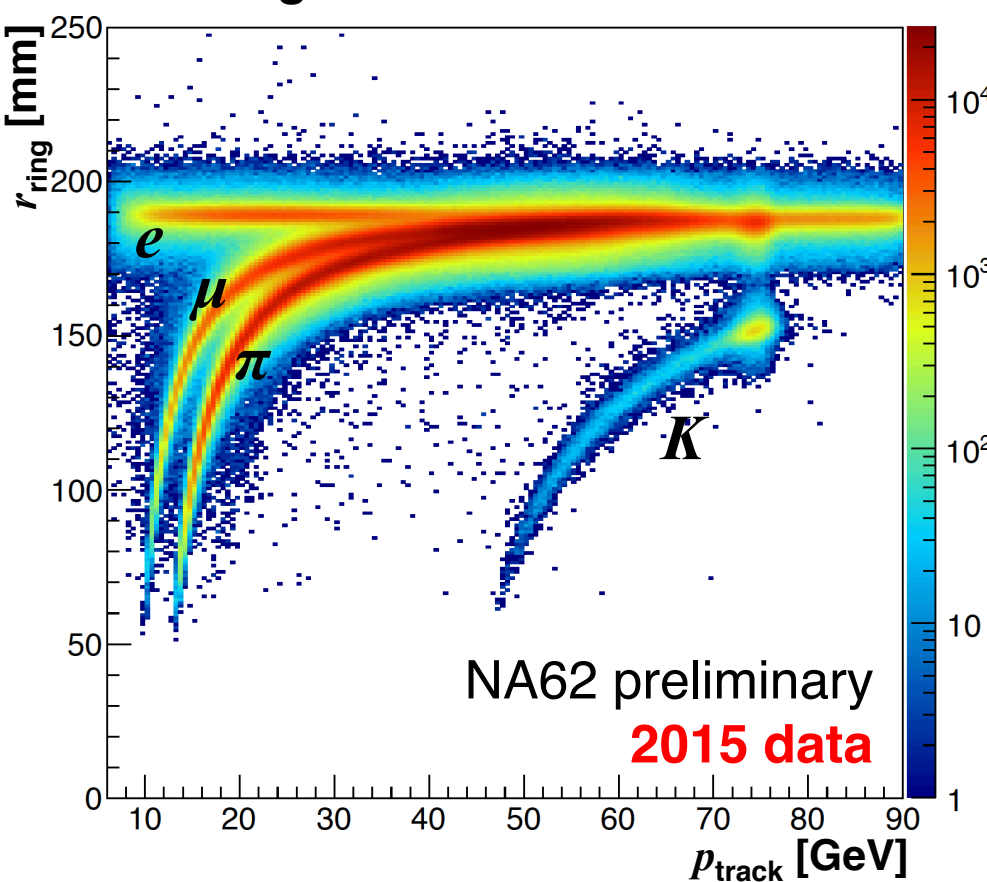
Secondary particle identification



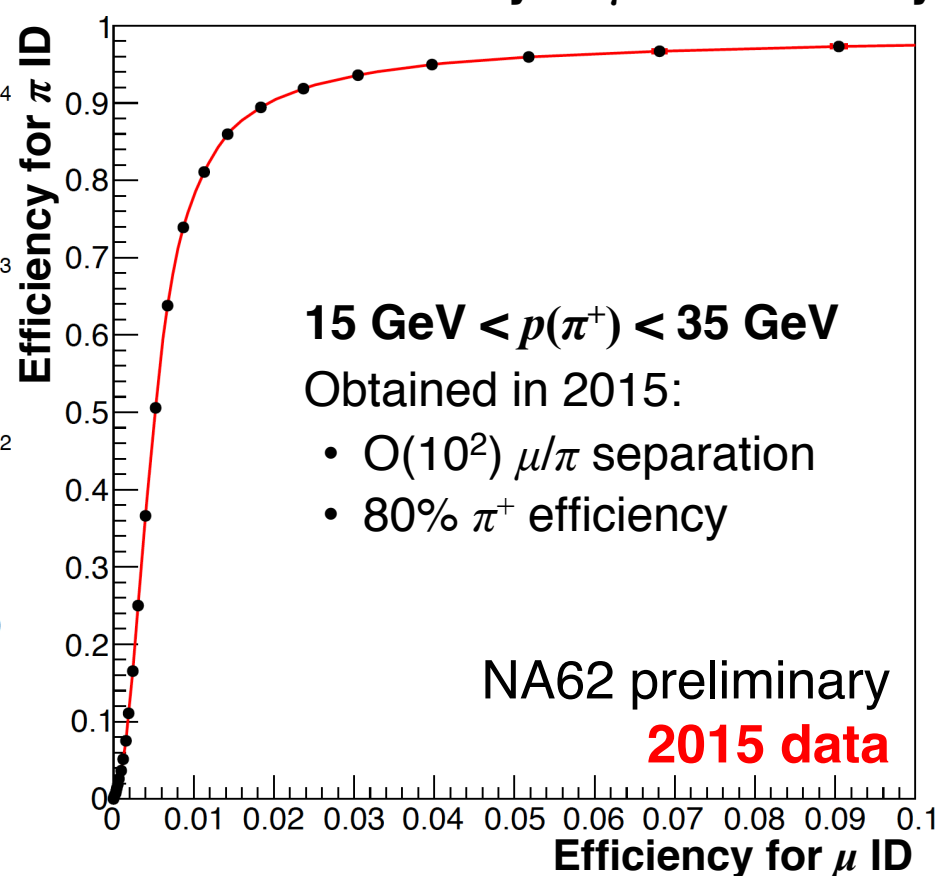
Goal: 10^7 μ/π separation, mainly for rejection of $K_{\mu 2}$

Study with pure kinematic selection of $K_{\mu 2}$ and $\pi\pi^0$ events

RICH ring radius vs track momentum

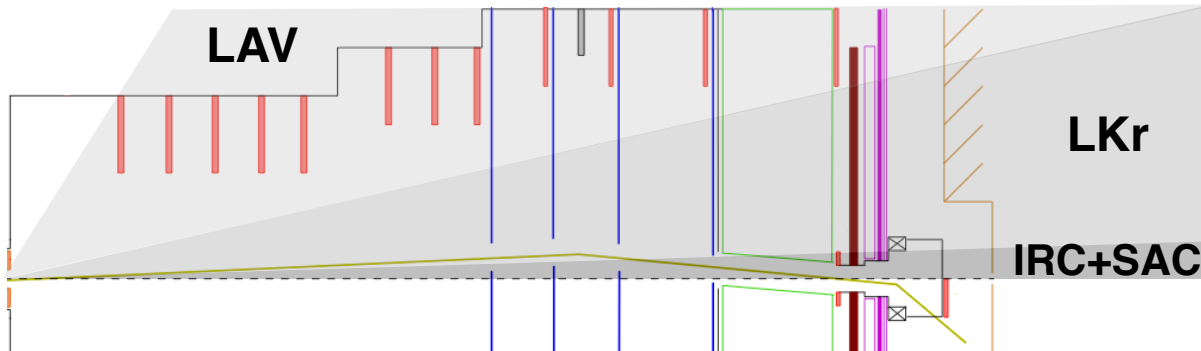


RICH π ID efficiency vs μ ID efficiency



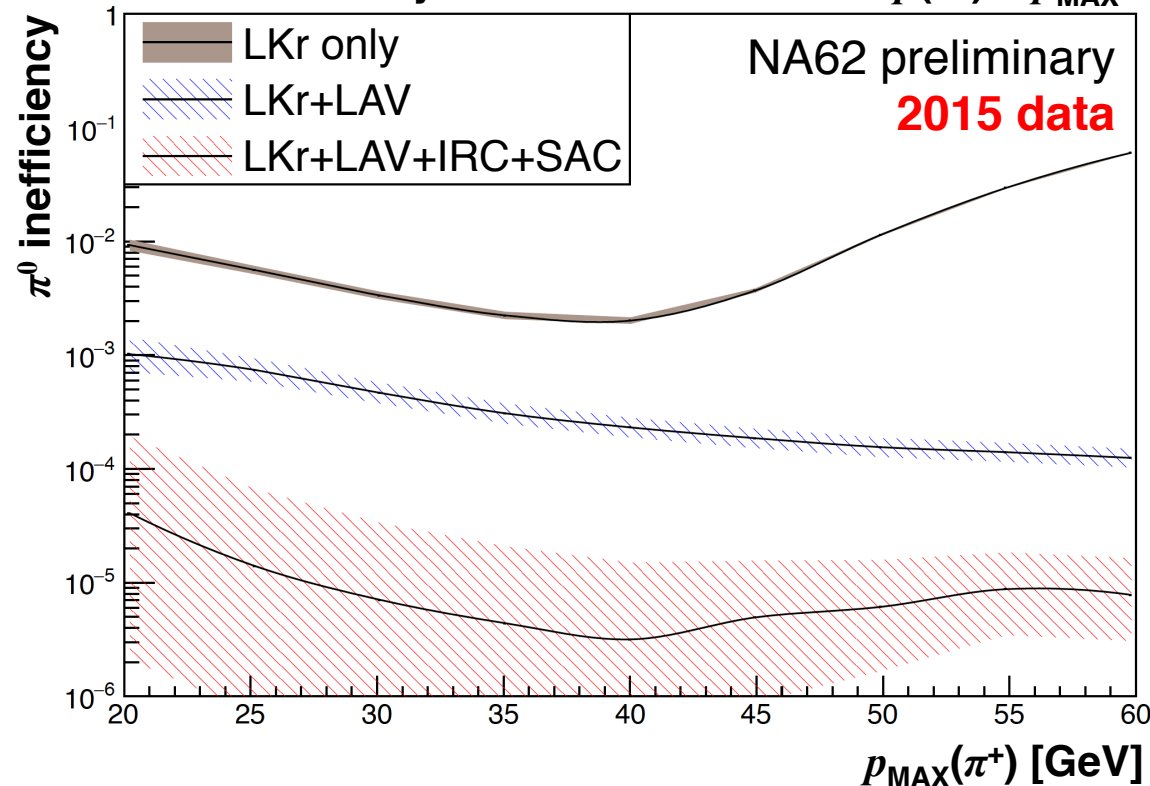
LKr + muon vetoes: Preliminary results from cut analysis with 2015 data
 $O(10^6)$ μ rejection at 50% π^+ efficiency (efficiency expected to improve)

Photon rejection



Detector	θ [mrad]
LAV	8.5 - 50
LKr	1 - 8.5
IRC+SAC	< 1

π^0 inefficiency for $\pi^+\pi^0$ with $15 \text{ GeV} < p(\pi^+) < p_{\text{MAX}}$



- **Goal:** 10^8 rejection of $\pi^0 \rightarrow \gamma\gamma$
- Requiring $p(\pi^+) < 35 \text{ GeV}$ ensures $E(\pi^0) > 40 \text{ GeV}$
- Use tracking/PID to obtain clean sample of $K \rightarrow \pi\pi^0$ events to study
- **$O(10^6)$ rejection obtained in 2015**

Measurement with minimum-bias data limited by statistics

Standard kaon physics

- Precision measurements of dominant K^+ BRs
- ChPT studies: $K^+ \rightarrow \pi^+ \gamma \gamma$, $K^+ \rightarrow \pi^+ \pi^0 e^+ e^-$, K_{e4}
- Precision test of lepton universality: $R_K = \Gamma(K \rightarrow e \nu(\gamma)) / \Gamma(K \rightarrow \mu \nu(\gamma))$

Searches for lepton-flavor or -number violating decays

- $K^+ \rightarrow \pi^+ \mu e$, $K^+ \rightarrow \pi^- \mu^+ e^+$, $K^+ \rightarrow \pi^- \ell^+ \ell^+$

Searches for heavy neutrinos

- $K^+ \rightarrow \ell^+ \nu_h$ (inclusive)
- ν_h from upstream K , D decays with $\nu_h \rightarrow \pi \ell$

Searches for long-lived dark sector particles

- Dark photon γ' produced in π/ρ decays in target, with $\gamma' \rightarrow \ell^+ \ell^-$
- Axion-like particle A^0 produced in target/beam dump, with $A^0 \rightarrow \gamma \gamma$

π^0 decays

- $\pi^0 \rightarrow$ invisible; $\pi^0 \rightarrow 3\gamma$, 4γ ; $\pi^0 \rightarrow \gamma \gamma'$

Summary and outlook



NA62 beamline and detector commissioned up to full program intensity

First physics run in 2015:

- Minimum bias data collected at low intensity used for data quality studies
- Data and reconstruction quality consistent with design expectations for measurement of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

NA62 physics runs 2016-2018:

- Currently running at 20% intensity; will go to 50% soon
- Expect to collect a few SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events by end of 2016 run
- On track to collect O(100) SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events and measure BR to $\sim 10\%$ by 2018

NA62 will pursue a broad array of measurements as a side program

- Traditional K physics, LFNV searches, exotic long-lived particle searches, and more

