



# Recent results on open and closed heavy flavor from PHENIX at RHIC

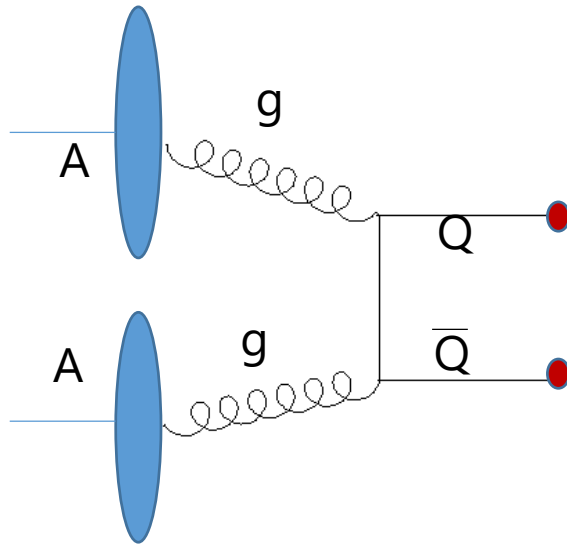
Takashi HACHIYA  
RIKEN BNL Research Center  
for the PHENIX collaboration





# Introduction - why heavy flavor?

- Heavy Flavors (charms and bottoms) in HI collisions
    - HF is created at the early stage of the collisions
      - Mainly initial hard scattering due to large mass ( $M_c \sim 1.2$ ,  $M_b \sim 4.5 \text{ GeV}$ )
      - Production can be calculated by pQCD
- } Calibrated probe

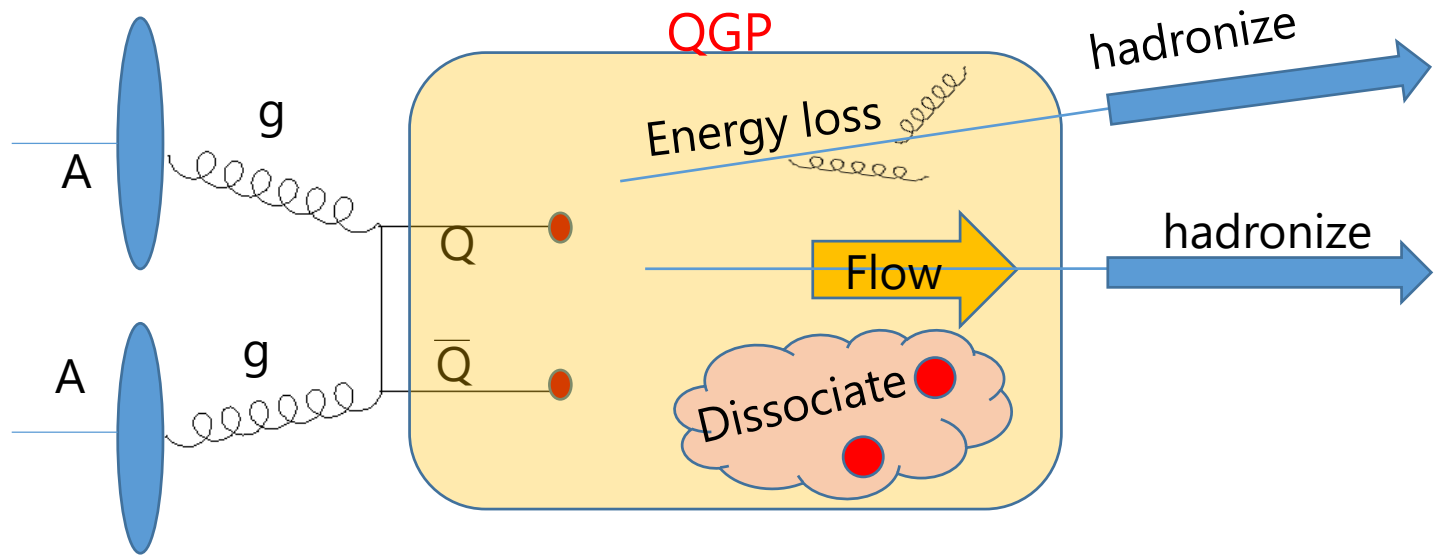




# Introduction - why heavy flavor?

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  - HF is created at the early stage of the collisions
    - Mainly initial hard scattering due to large mass ( $M_c \sim 1.2$ ,  $M_b \sim 4.5 \text{ GeV}$ )
    - Production can be calculated by pQCD
- Passing through the hot and dense medium (QGP)
  - Suffer the energy loss, flow effect, and dissociation of pairs
  - Sensitive to the medium property

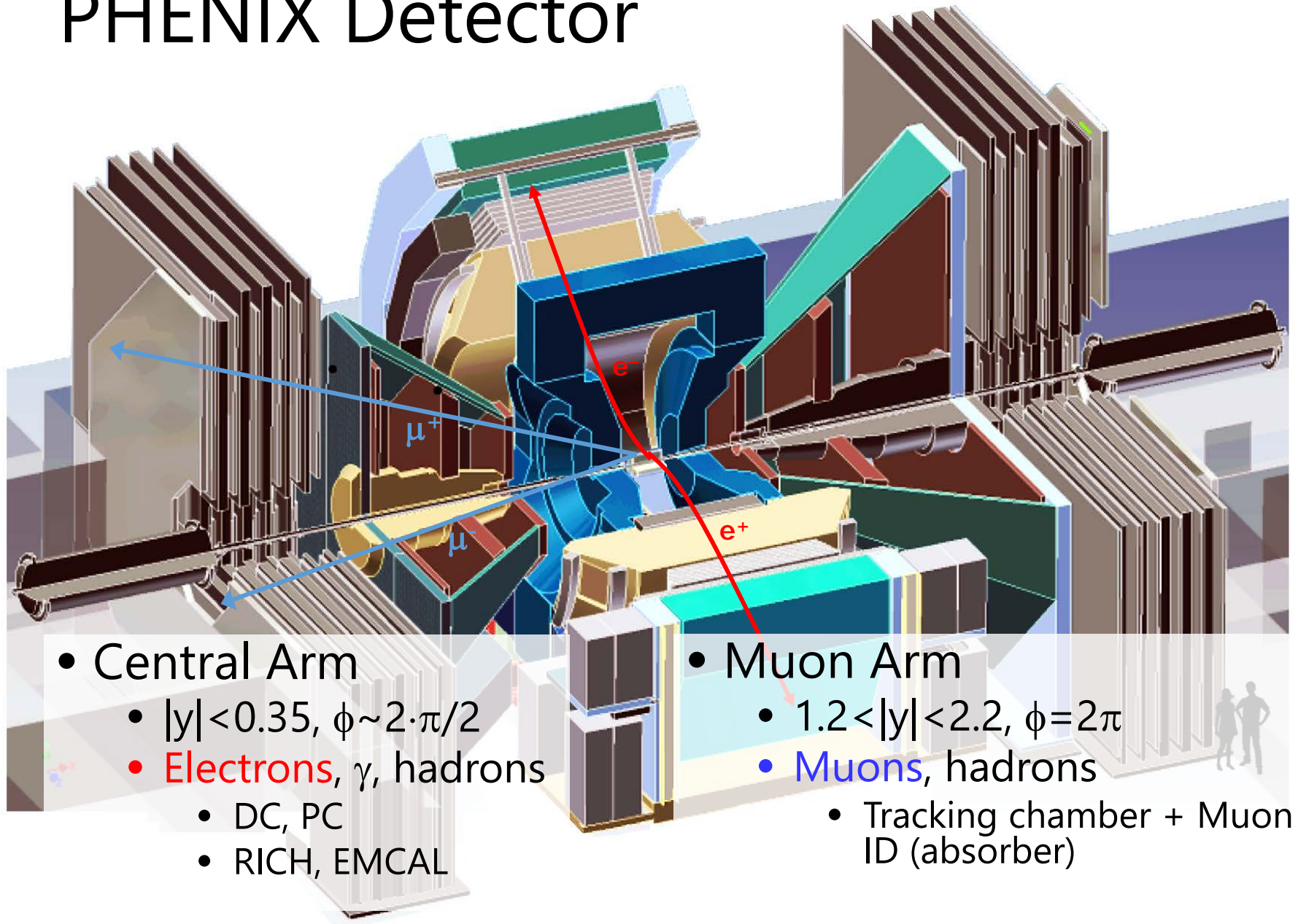
Calibrated probe



Heavy flavor is a clean probe to study QGP property

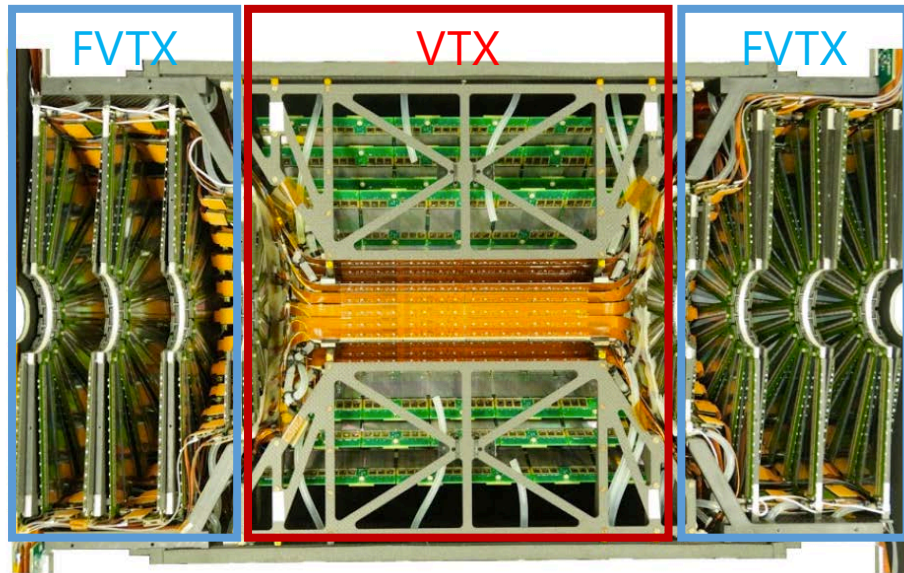


# PHENIX Detector

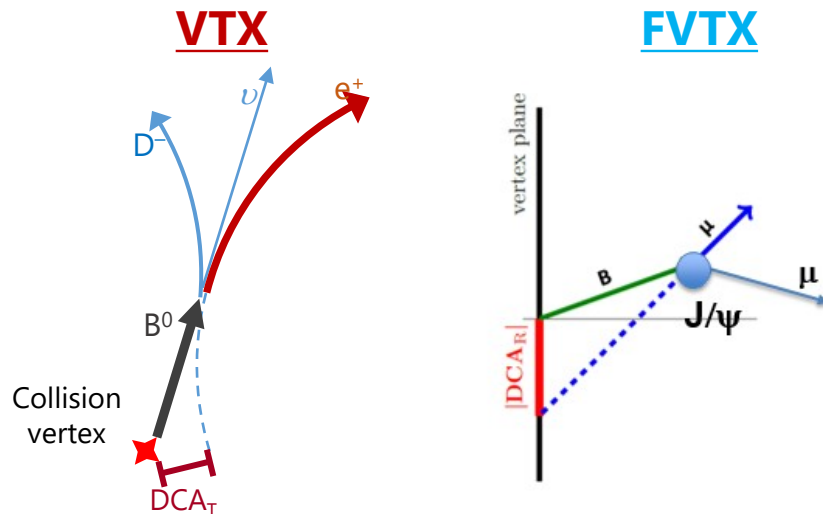




# PHENIX Silicon Vertex Detector (VTX & FVTX)



- **VTX** in 2011
  - $|y| < 1.2$ ,  $\phi \sim 2\pi$
  - 4 layers (2 pixels + 2 strips)
  - 50  $\mu\text{m}$  pixel
- **FVTX** in 2012
  - $1.2 < |y| < 2.2$ ,  $\phi = 2\pi$
  - 4 layers (mini-strip)
  - 75  $\mu\text{m}$  strip



- To measure bottoms & charms
  - Measure **DCA** by VTX and FVTX
  - Utilize difference of decay lengths
  - $B^0$  : 455  $\mu\text{m}$ ,  $D^0$  : 123  $\mu\text{m}$



## Topics :

1. **VTX** result : Separated bottom and charm electrons at **mid-rapidity** in Au+Au200GeV
2. **FVTX** result : Open bottom production via  $B \rightarrow J/\psi$  at **forward rapidity** in Cu+Au 200GeV
3. **FVTX** result : CNM effect of  $\psi'$  and  $J/\psi$  in p/d + A



# Inclusive (charm & bottom) Heavy Flavor Electrons in Au+Au 200GeV

Single electrons from inclusive heavy flavor decays shows :

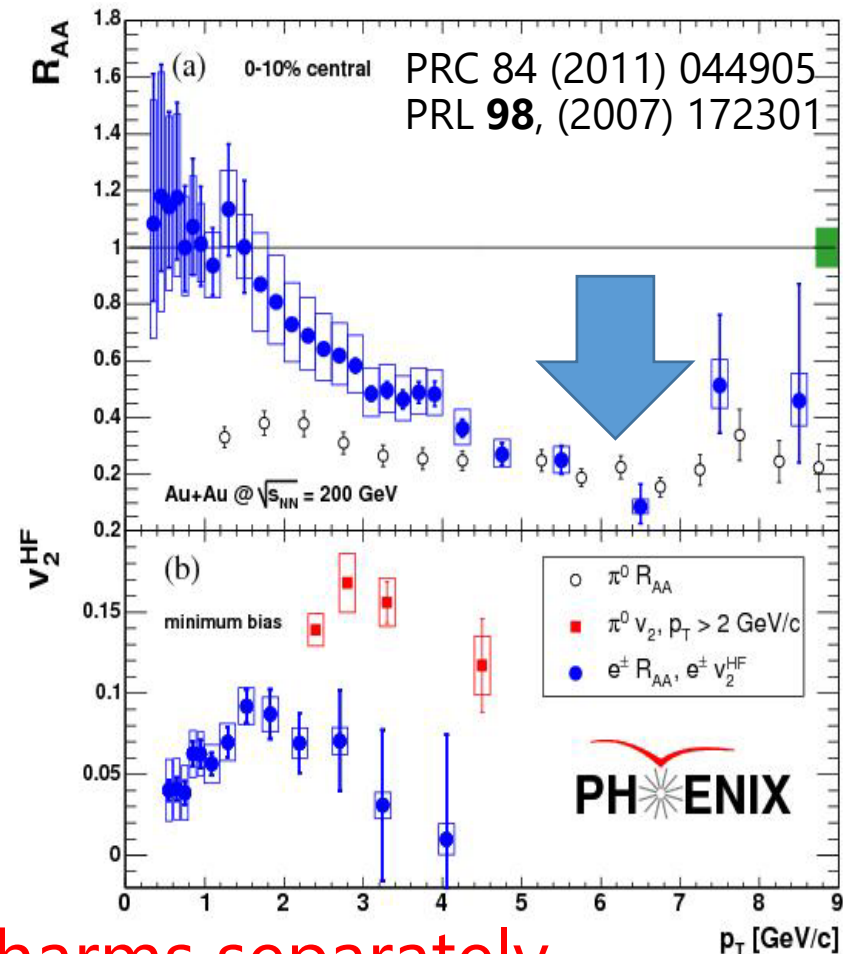
- $R_{AA}$ : strong suppression (Ncoll scaling @ low pT)
- $v_2$  : significant flow

## Surprising results

HQ expected to be less energy loss and smaller (zero) flow due to heavy mass

- Questions
  - What is the energy loss mechanism for heavy flavor?
    - Radiative vs Collisional losses
  - Mass dependence of energy loss?

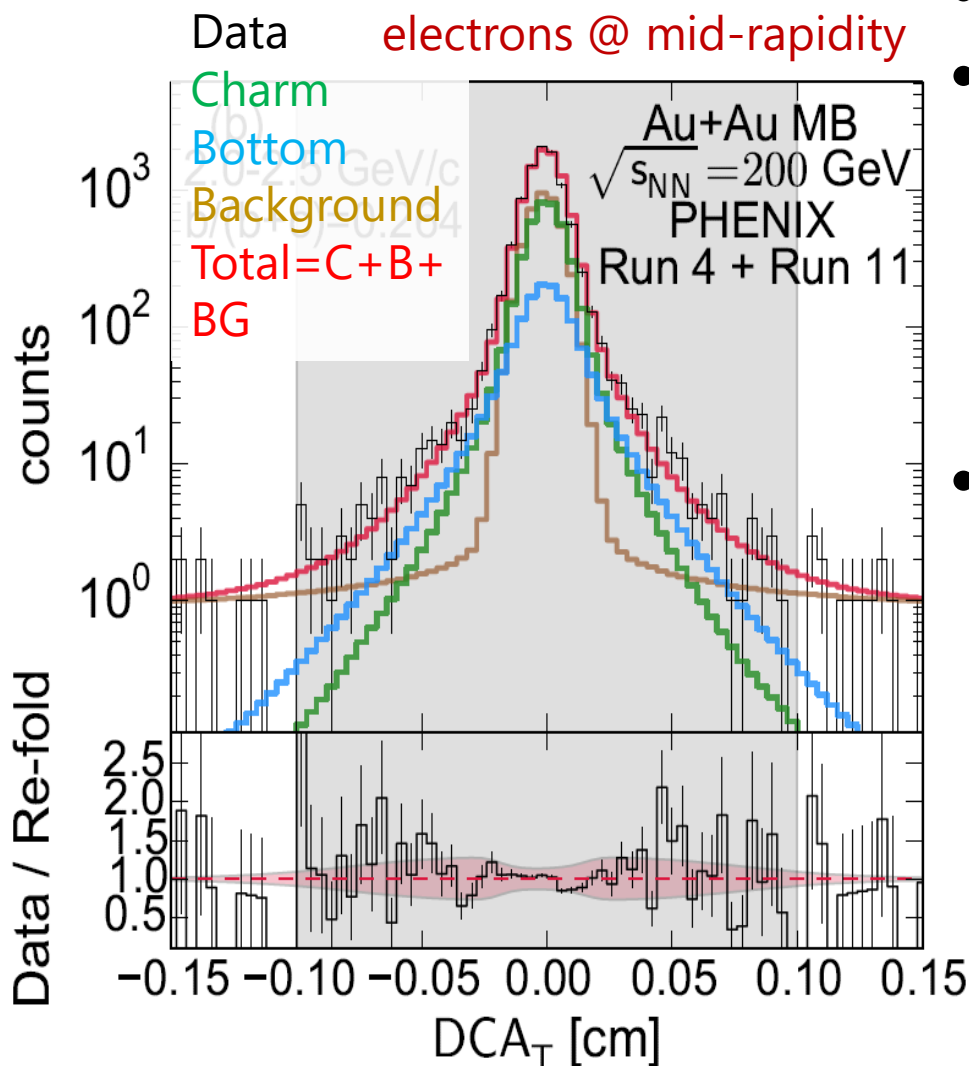
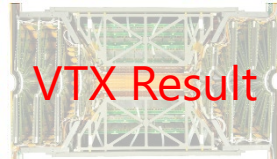
$$R_{AA} = \frac{Yield(Au + Au)}{N_{coll} * Yield(p + p)}$$



measure bottoms & charms separately



# DCA for Bottom/Charm separation

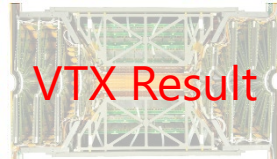


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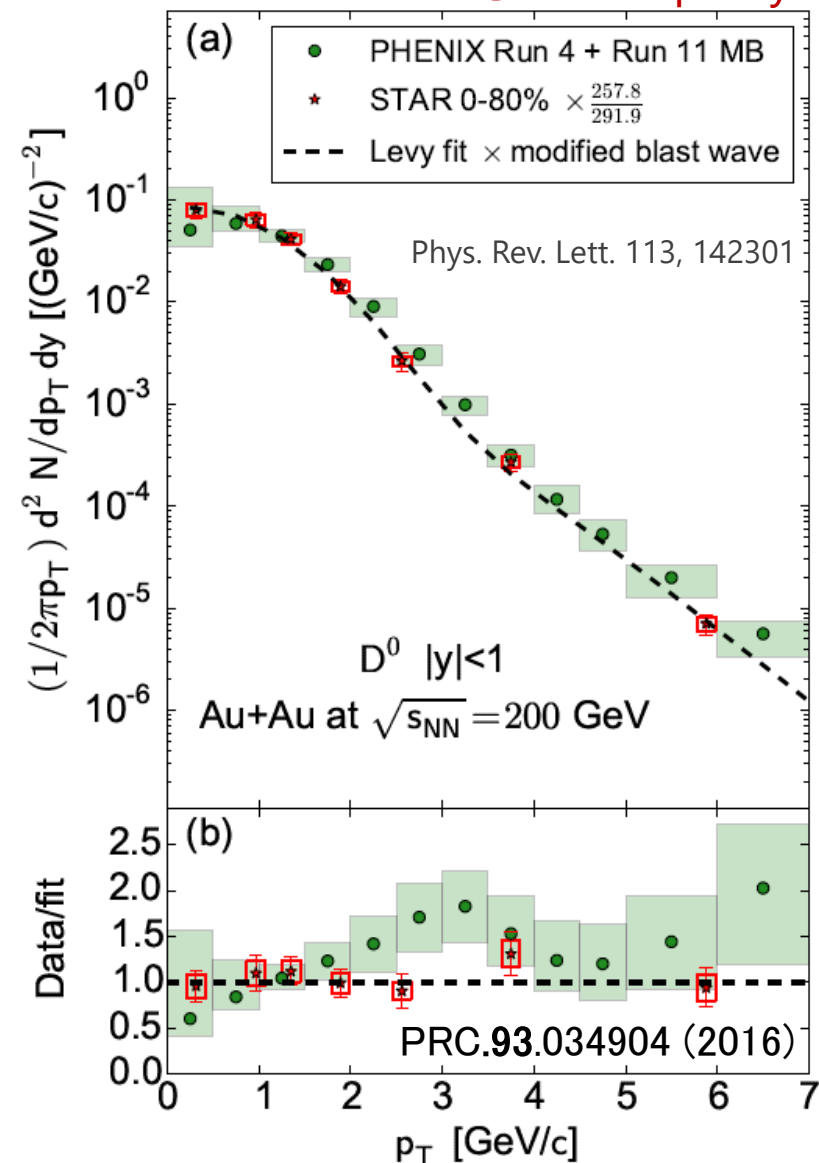
- First VTX results : Au+Au200
- DCA distributions :
  - Several backgrounds:
    - Conversions, Dalitz decays, J/psi & Ke3 decays, mis-ID hadrons, mis-tracking
  - **Bottom & Charm signal**
- Unfolding for B/C separation
  - DCA<sub>T</sub> in 2011 & yield in 2004
  - Bayesian inference technique
  - Parameters = yield of charm/bottom hadrons



# Charm hadrons from unfolding



electrons @ mid-rapidity

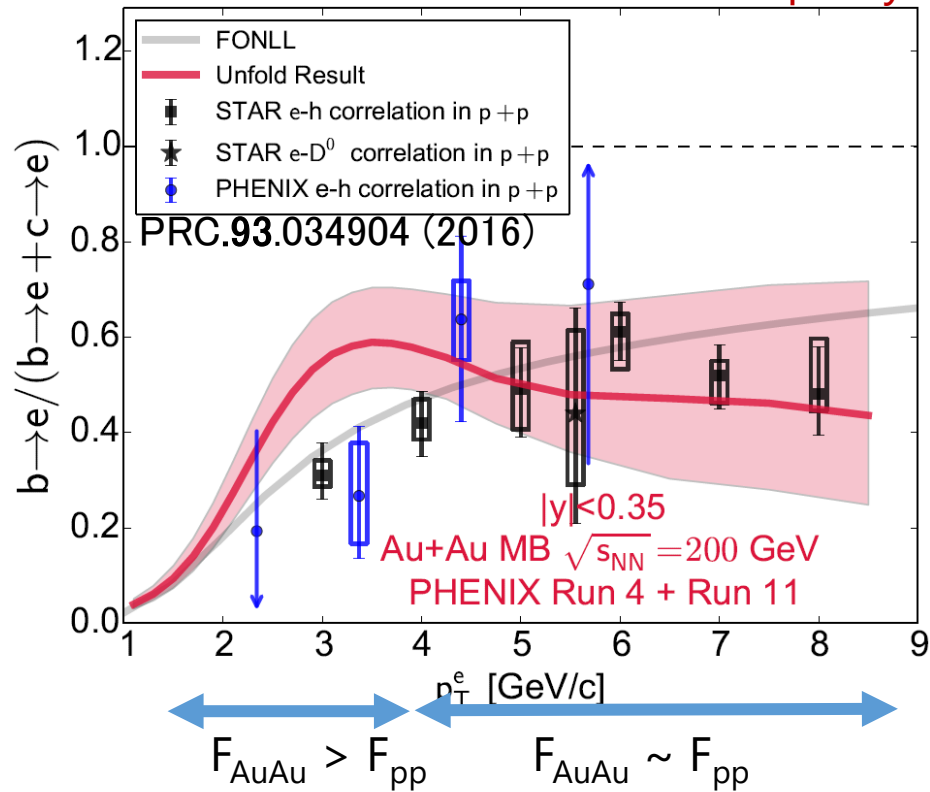
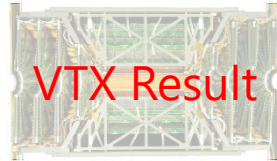


- First VTX results : Au+Au200
- DCA distributions :
  - Several backgrounds:
    - Conversions, Dalitz decays, J/psi & Ke3 decays, mis-ID hadrons, mis-tracking
  - **Bottom & Charm signal**
- Unfolding for B/C separation
  - $DCA_T$  in 2011 & yield in 2004
  - Bayesian inference technique
  - Parameters = yield of charm/bottom hadrons
- Unfold charm hadron is in good agreement with STAR  $D^0$  measurement



# Bottom electron fraction $b \rightarrow e / b \rightarrow e + c \rightarrow e$

electrons @ mid-rapidity



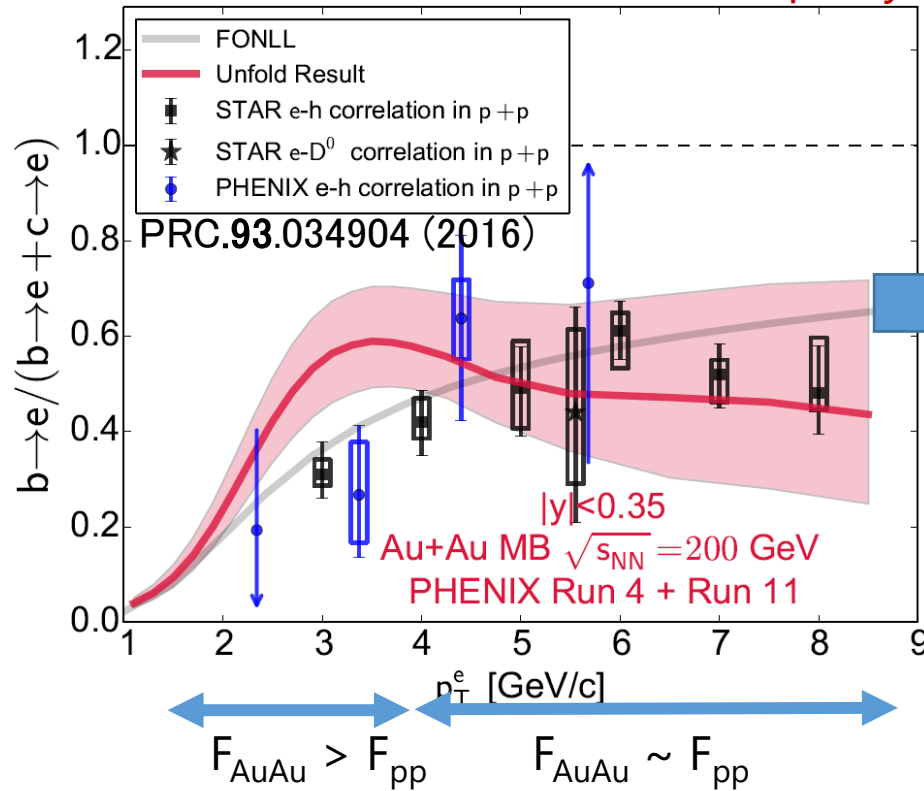
- p+p data is consistent with FONLL
  - Two particle correlation in p+p
- Au+Au shows difference with p+p



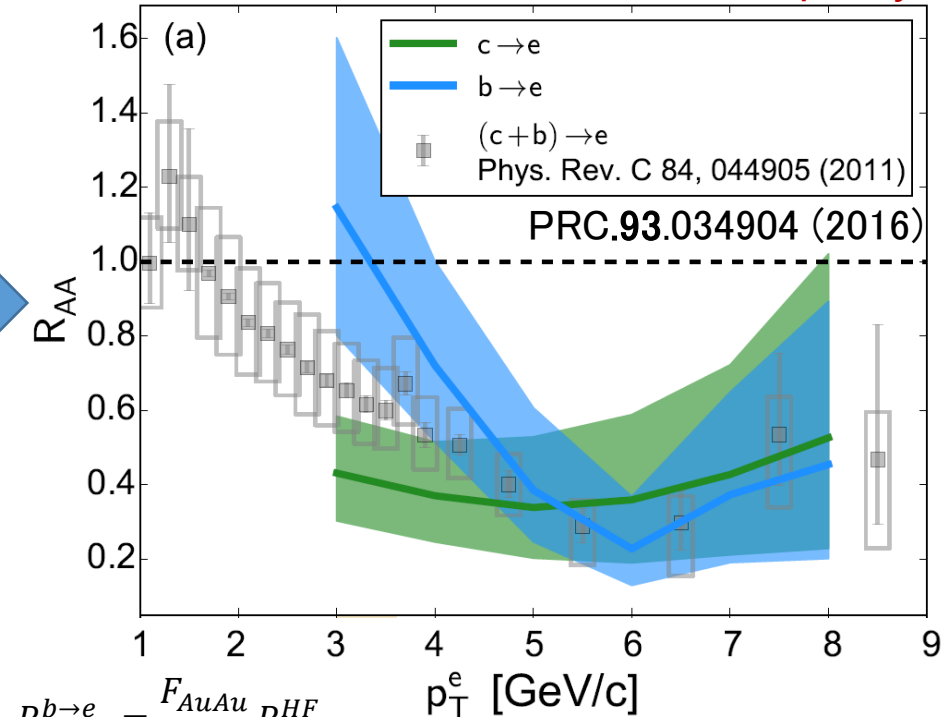
# First result : Bottom and Charm $R_{AA}$



electrons @ mid-rapidity



electrons @ mid-rapidity



$$R_{AuAu}^{b \rightarrow e} = \frac{F_{AuAu}}{F_{pp}} R_{AA}^{HF}$$

$$R_{AuAu}^{c \rightarrow e} = \frac{(1 - F_{AuAu})}{(1 - F_{pp})} R_{AA}^{HF}$$

- Bottom and charm are **similarly suppressed** at high  $p_T$
- Bottom is **less suppressed** than charm at  $p_T = 3-4$  GeV/c
- First measurement at RHIC energy



## Topics :

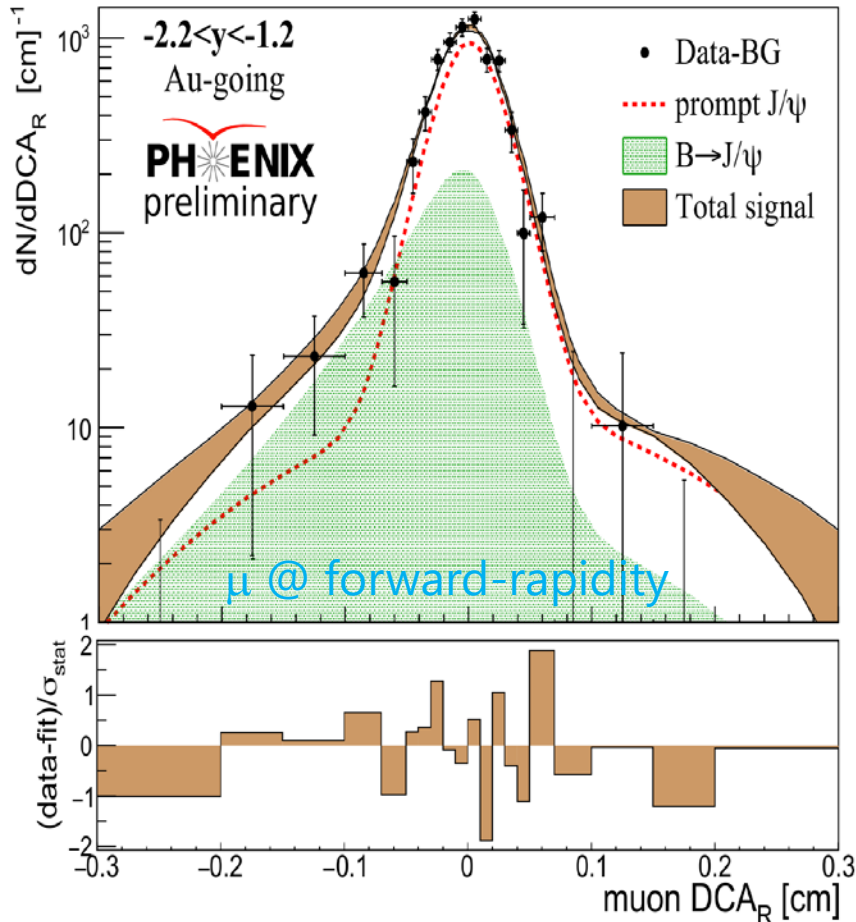
1. VTX result : Separated bottom and charm electrons at mid-rapidity in Au+Au200GeV
2. FVTX result : Open bottom production via  $B \rightarrow J/\psi$  at forward rapidity in Cu+Au 200GeV
3. FVTX result : CNM effect of  $\psi'$  and  $J/\psi$  in p/d + A



# First results : $B \rightarrow J/\psi$ in Cu+Au



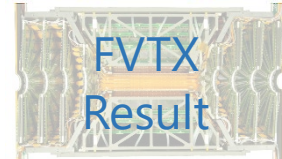
$\mu$   $DCA_R$  from  $J/\psi$  decays



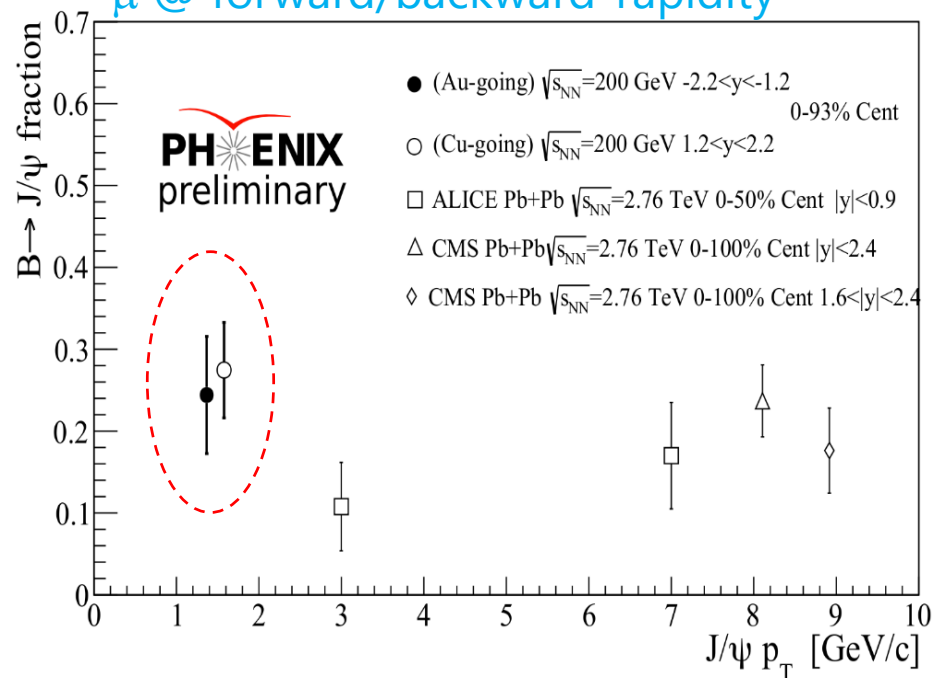
- Cu+Au 200GeV in 2012
  - Forward  $J/\psi \rightarrow \mu\mu$
- Measure  $\mu$   $DCA_R$  from  $J/\psi$  decays
  - separate  $B \rightarrow J/\psi$  and prompt  $J/\psi$
  - Utilize difference of decay lengths
  - $B \rightarrow J/\psi$ : 455  $\mu\text{m}$ , Prompt  $J/\psi$ : 0  $\mu\text{m}$
- $DCA_R$  fits with template shapes of  $B \rightarrow J/\psi$  & prompt  $J/\psi$
- The sum of  $DCA_R$  contributions agrees well with the data



# First results : $B \rightarrow J/\psi$ in Cu+Au



$\mu$  @ forward/backward-rapidity



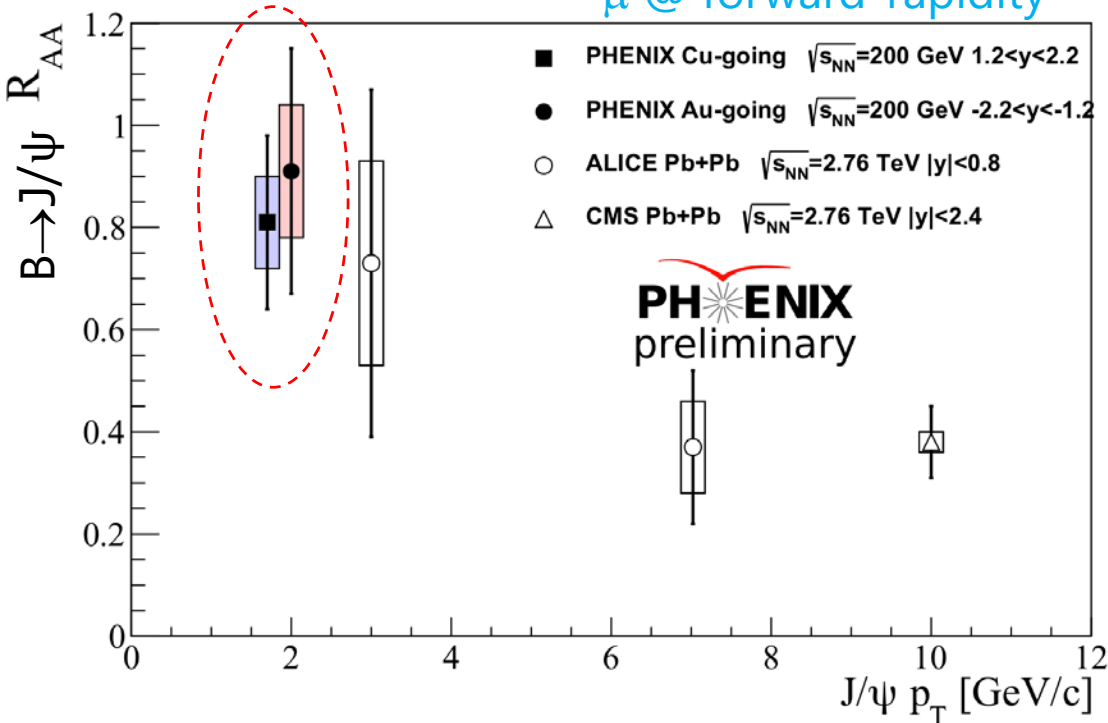
- Cu+Au 200GeV in 2012
  - Forward  $J/\psi \rightarrow \mu\mu$
- Measure  $\mu$   $DCA_R$  from  $J/\psi$  decays
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  - Utilize difference of decay lengths
  - $B \rightarrow J/\psi$ : 455  $\mu\text{m}$ , Prompt  $J/\psi$ : 0  $\mu\text{m}$
- $DCA_R$  fits with template shapes of  $B \rightarrow J/\psi$  & prompt  $J/\psi$
- The sum of  $DCA_R$  contributions agrees well with the data
- B fraction was determined for both Cu-& Au-going directions
- B fraction is larger than that at LHC because prompt  $J/\psi$  is more suppressed at RHIC



# First results : $B \rightarrow J/\psi$ $R_{AA}$ in Cu+Au



$\mu$  @ forward-rapidity



$$R_{CuAu}^{B \rightarrow J/\psi} = \frac{F_{B \rightarrow J/\psi}^{CuAu}}{F_{B \rightarrow J/\psi}^{pp} = 0.1} R_{CuAu}^{inc. J/\psi}$$

Assume  $F(B)$  in pp = 0.1

- $B \rightarrow J/\psi$   $R_{AA}$  is consistent with **little/no suppression** in Cu & Au going directions
  - Consistent qualitatively with bottom electrons at RHIC
  - Same trend with the suppression at LHC
    - less at low  $p_T$  and more at high  $p_T$



## Topics :

1. VTX result : Separated bottom and charm electrons at **mid-rapidity** in Au+Au200GeV
2. FVTX result : Open bottom production via  $B \rightarrow J/\psi$  at **forward rapidity** in Cu+Au 200GeV
3. **FVTX** result : CNM effect of  $\psi'$  and  $J/\psi$  in p/d + A

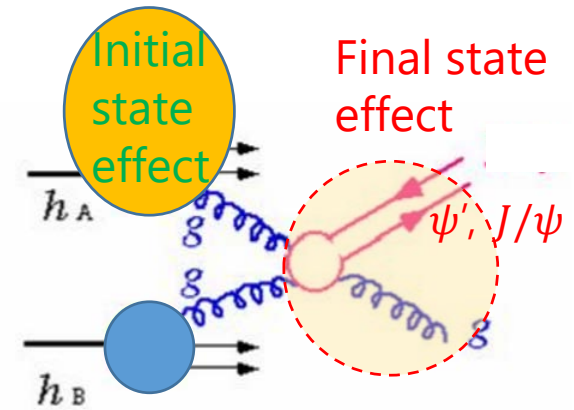



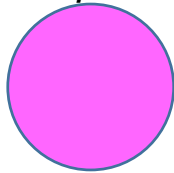
# CNM in $\psi'$ production

## CNM is key to understand charmonia suppression in QGP

- Charmonia would be **dissociated** in QGP due to color screening but **many other effects** modify charmonia yield as well
- $\psi'$  and  $J/\psi$  is good tool to study CNM
  - Initial state effects** (shadowing, Cronin) should be similar for  $J/\psi$  and  $\psi'$
  - Final state effect** (breakup) can be different since their different binding energies (=radii)

Measure  $\psi'$  and  $J/\psi$  in different p/d + A



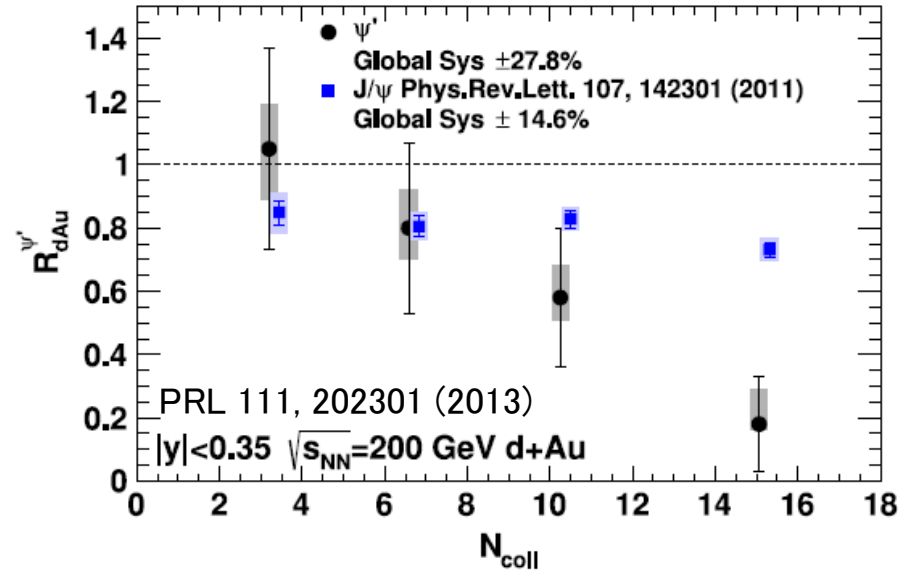
$J/\psi$	$\psi'$
	
$\Delta E = 0.64$	$0.05 \text{ (GeV)}$
$R = 0.25$	$0.45 \text{ (fm)}$



# $\psi'$ suppression in d+Au 200GeV

$\psi'$  in  
CNM

$\psi'$ ,  $J/\psi \rightarrow ee$  at mid. rapidity in d+Au



- Large suppression of  $\psi'$  is observed in central d+Au
  - Sequential suppression of  $J/\psi$  and  $\psi'$  is seen
  - nPDF & nuclear break up cannot explain

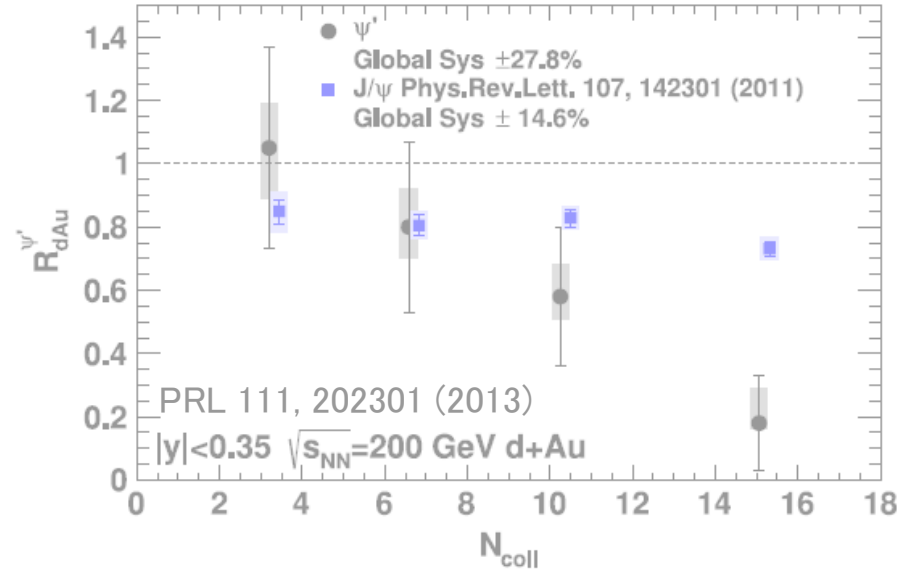
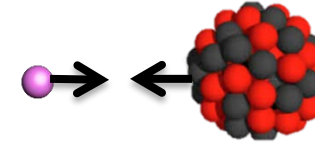


# $\psi'$ & $J/\psi$ Suppression in p+A 200GeV

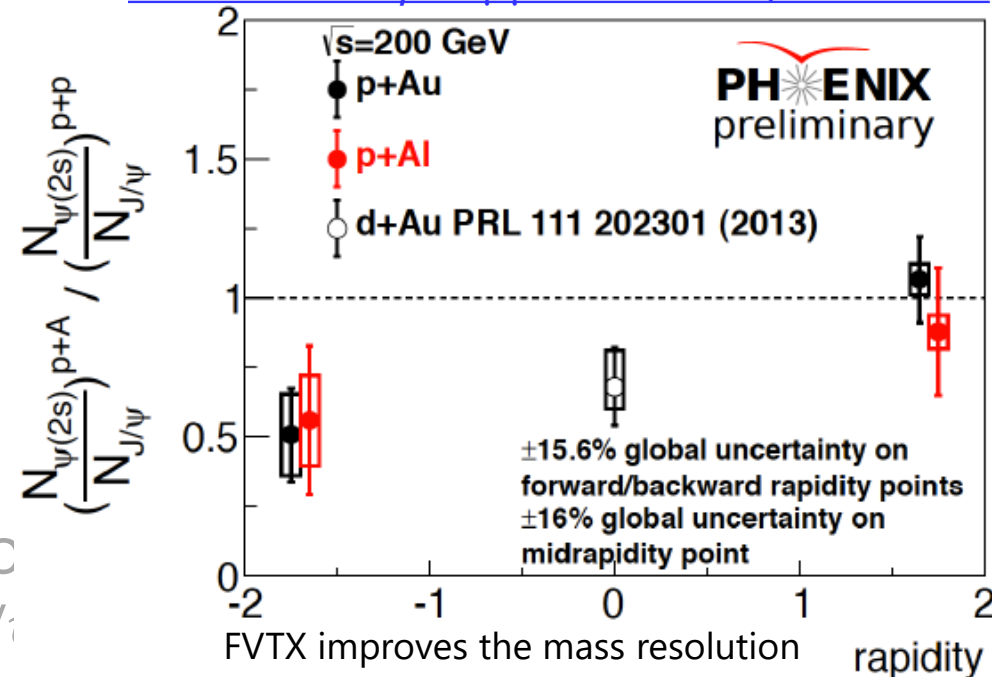
$\psi'$  in  
CNM

FVTX  
Result

$\psi'$ ,  $J/\psi \rightarrow ee$  at mid. rapidity in d+Au



New result :  $\psi' \rightarrow \mu\mu$  at forward/backward



- Large suppression of  $\psi'$  is c
  - Sequential suppression of  $J/\psi$
  - nPDF & nuclear break up cannot explain
- Larger suppression on  $\psi'$  for A-going and equivalent suppression on both states for p-going direction
  - No collision system dependence is seen

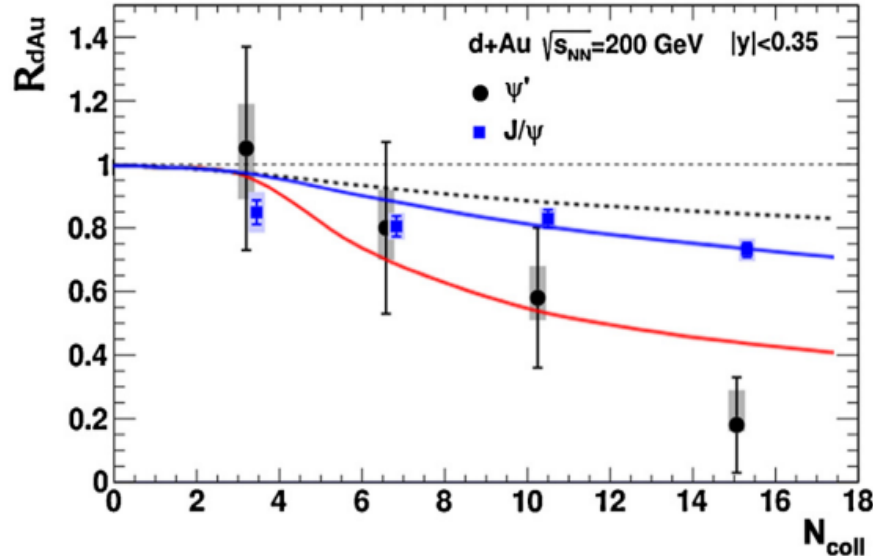


# $\psi'$ & $J/\psi$ comparison with Model

$\psi'$  in  
CNM

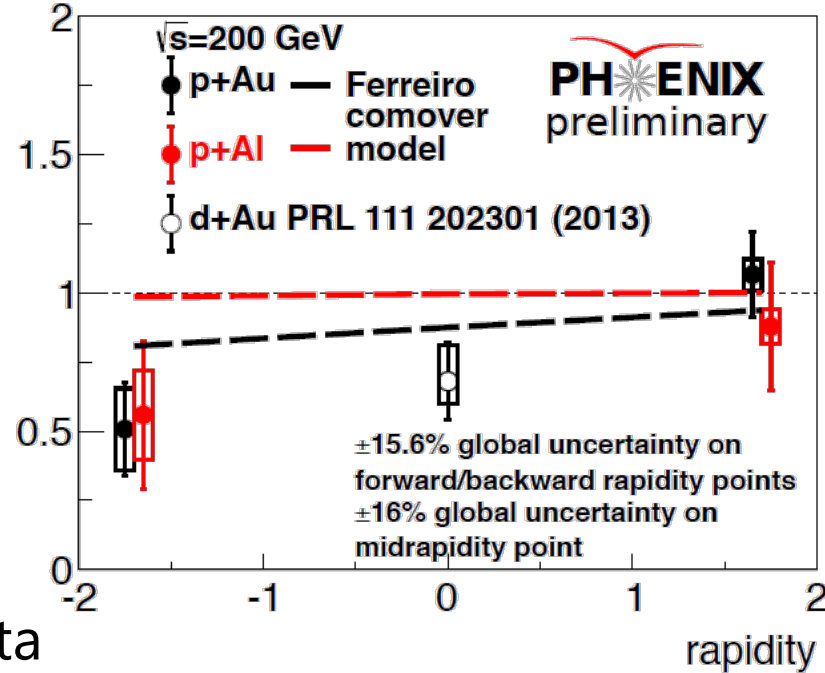
FVTX  
Result

$\psi', J/\psi \rightarrow ee$  at mid. rapidity



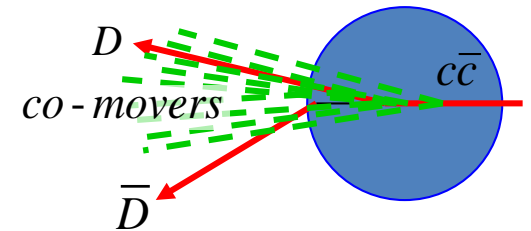
$$\frac{N_{\psi(2s)}^{p+A}}{N_{J/\psi}^{p+A}} / \frac{N_{\psi(2s)}^{p+p}}{N_{J/\psi}^{p+p}}$$

New result :  $\psi' \rightarrow \mu\mu$  at forward/backward



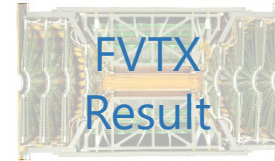
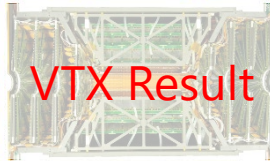
- Model including co-moving hadrons is qualitatively consistent with the data
  - Sequential suppressions of  $J/\psi$  and  $\psi'$
  - Rapidity dependence of the suppressions

Co-moving hadrons should contribute to  $J/\psi$  suppression in A+A collisions





# Summary



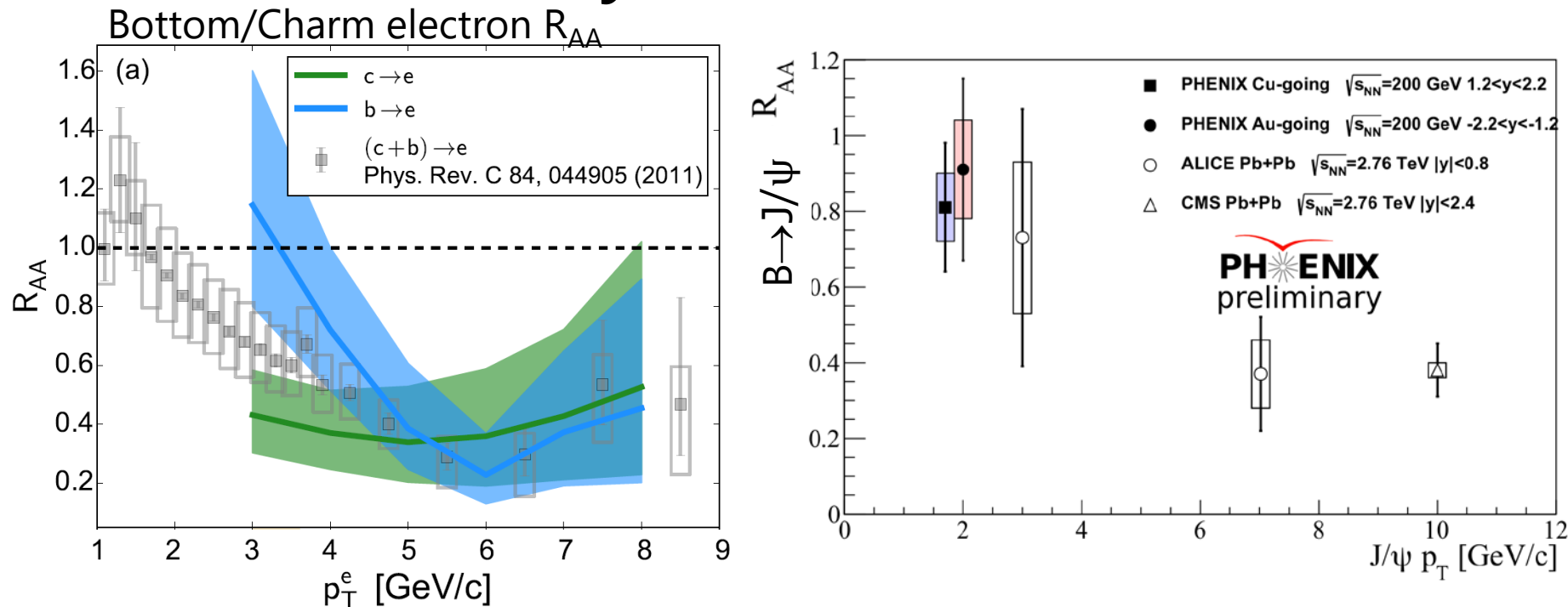
- New bottom results
  - First result of bottom suppressions from both VTX and FVTX
  - **Bottoms** in Au+Au 200 GeV
    - similarly suppressed as** charms at high  $p_T$
    - less suppressed** at low  $p_T$
  - Little suppression for  $B \rightarrow J/\psi$  at low  $p_T$  in Cu+Au 200 GeV
- $\psi'$  suppression in CNM
  - Sequential dissociation of  $\psi'$  and  $J/\psi$  is observed at mid. and backward rapidity in 200 GeV p/d + A collisions
  - Co-moving hadrons is important to understand quarkonia suppression
- Outlook
  - VTX / FVTX silicon detector enables precise open/closed heavy flavor measurements
  - 10x Larger statistics Au + Au and good p+p/p+A dataset in 2014-2016. New results will come soon. Stay tuned!



# Backup



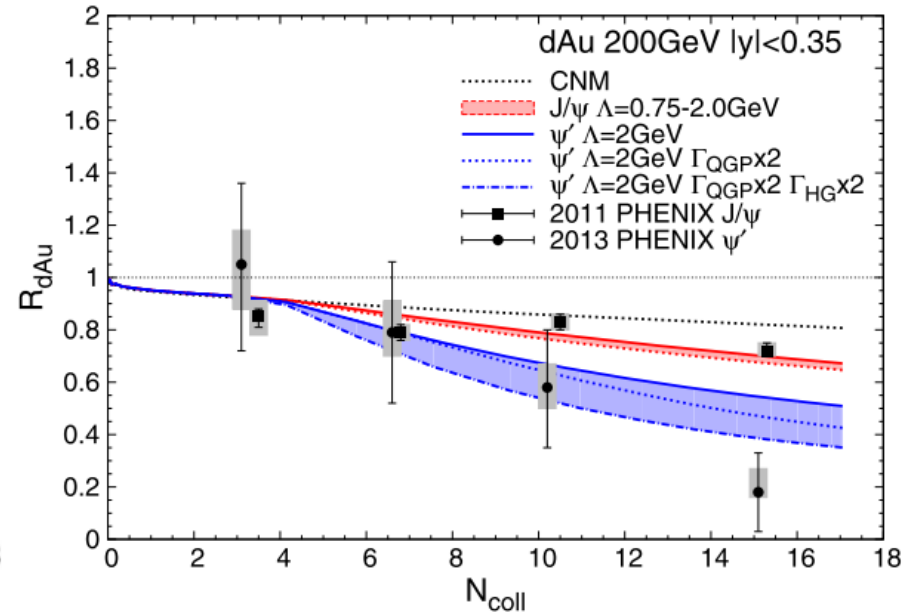
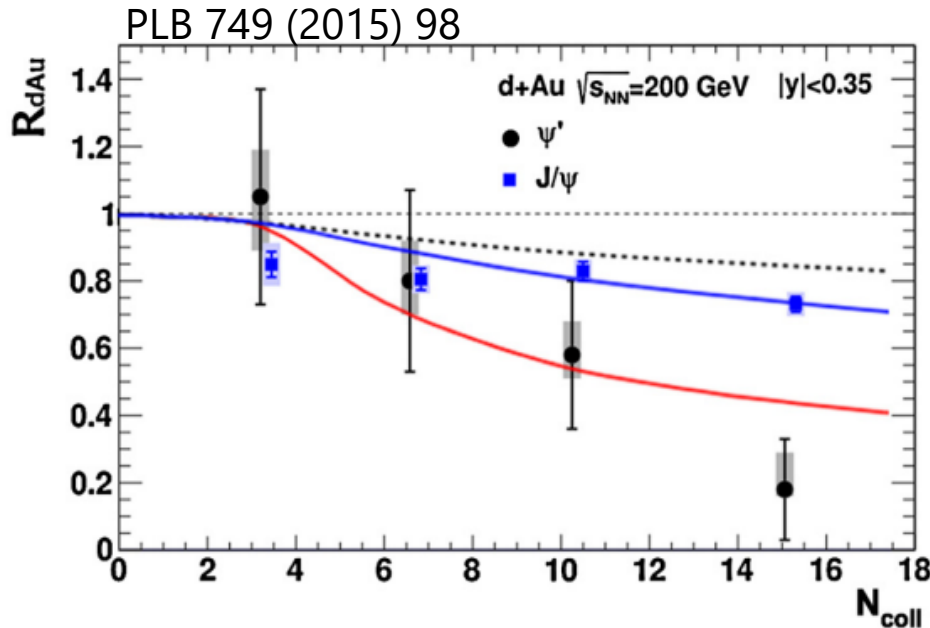
# Outlook : Heavy Flavor



- Large statistics in 2014 + 2016 Au+Au datasets
  - 10 ~ 20 times enables to study with separated bottom/charm
    - Higher  $p_T$ ,
    - centrality dependence
    - $V_n$
- Good 2015 p+p and p+Au datasets for baseline



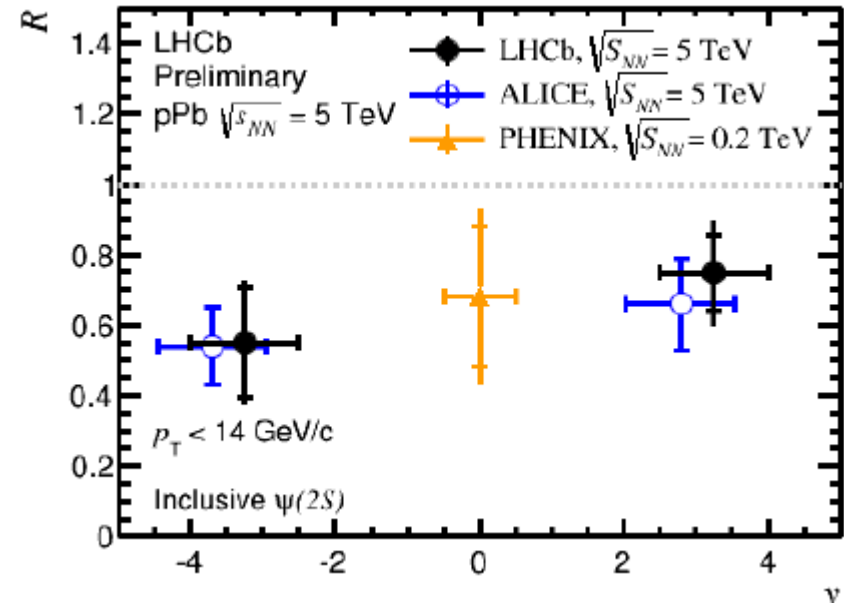
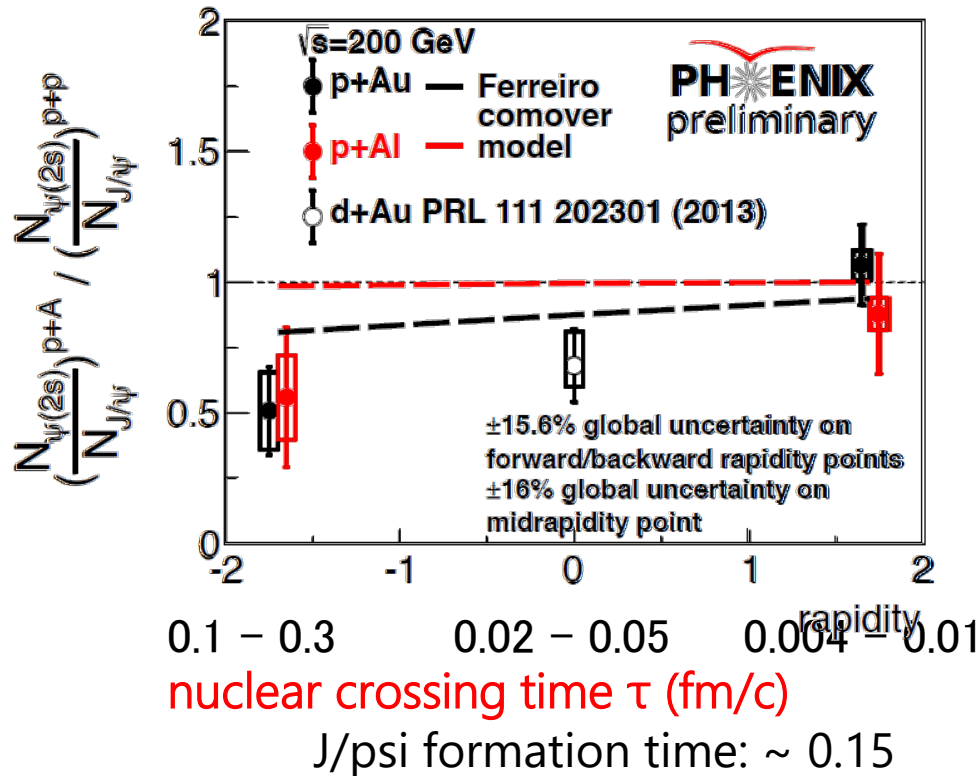
# Suppression in d+Au 200GeV



- Large suppression of  $\Psi'$  is observed in central d+Au
  - Break up in nucleus or co-moving hadrons?
- Model including co-moving hadrons is in good agreement with sequential dissociation of  $J/\psi$  and  $\Psi'$  in d+Au collisions
- A model including QGP in d+Au + hadron gas is also in good agreement



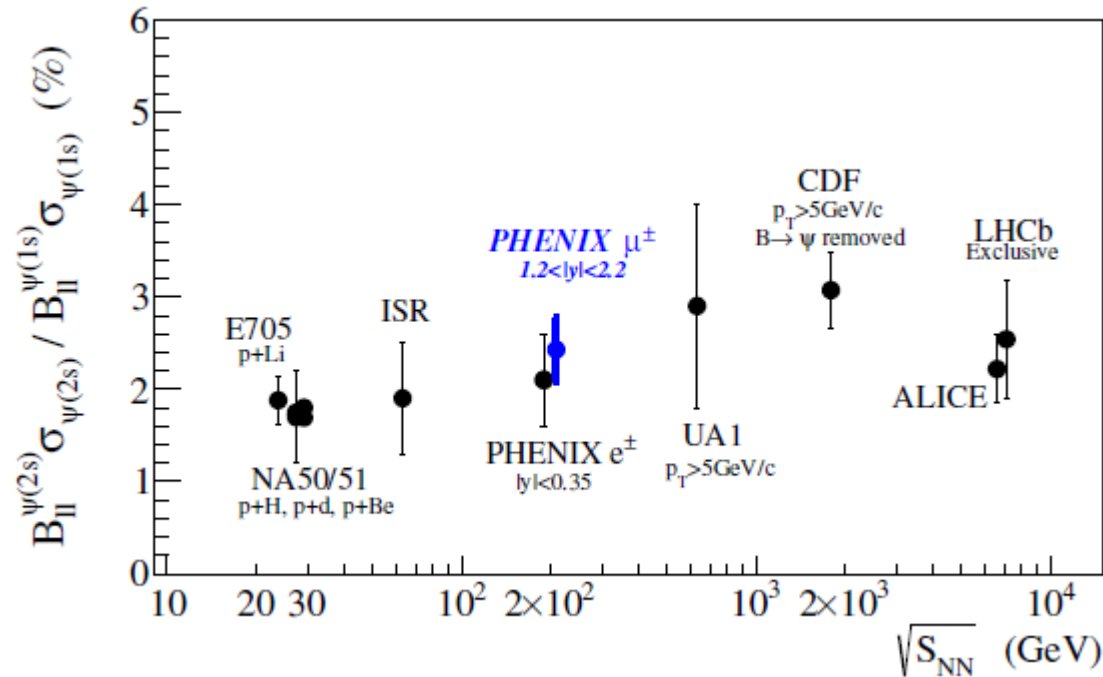
# Comparison with LHC



- Similar suppression of  $\Psi'$  and  $J/\psi$  at LHC
- But large suppression even at forward  $y$ 
  - Breaking up by comover may be significant due to larger particle multiplicity



# Psi' / J/psi ratio in pp



- The ratio is independent of collision energy
  - Even cc cross section changes with collision energy

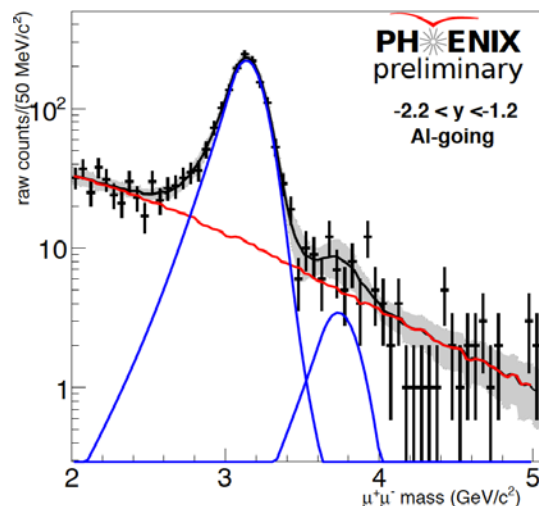


**NEW!**

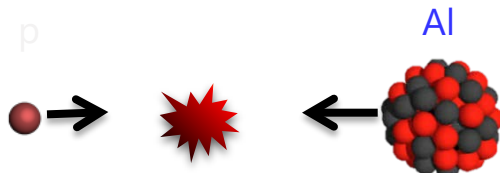
# Quarkonia:

Backward: Al-going direction

Run-15 p+Al  $\sqrt{s} = 200$  GeV

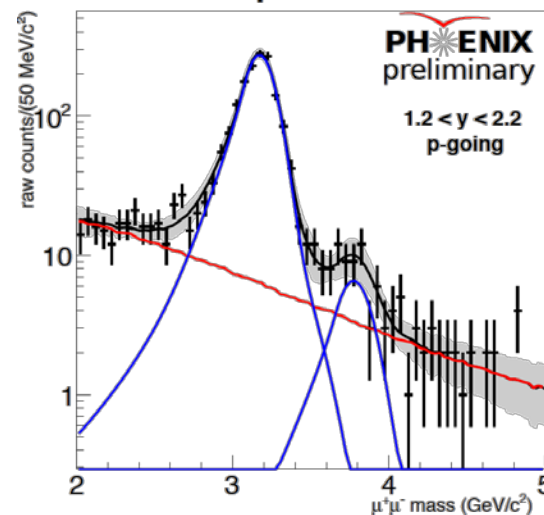


central collision



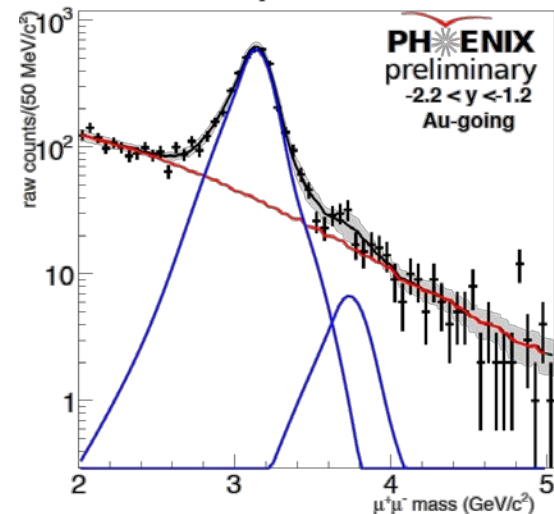
Forward: p-going direction

Run-15 p+Al  $\sqrt{s} = 200$  GeV

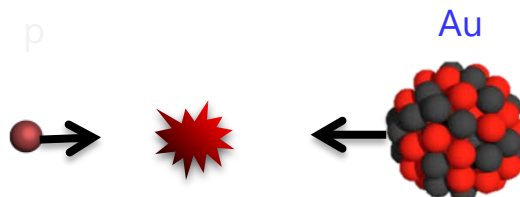


Backward: Au-going direction

Run-15 p+Au  $\sqrt{s} = 200$  GeV

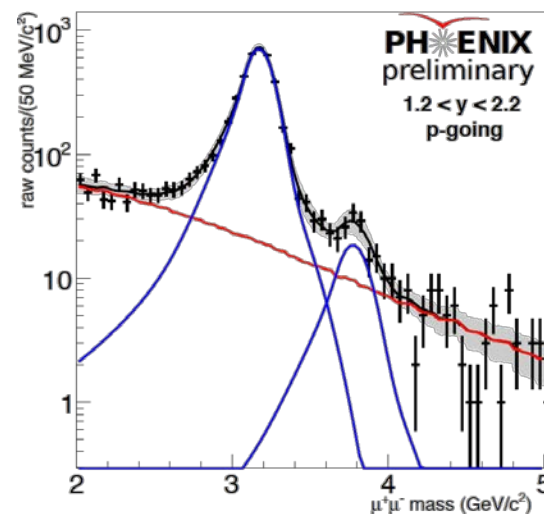


central collision



Forward: p-going direction

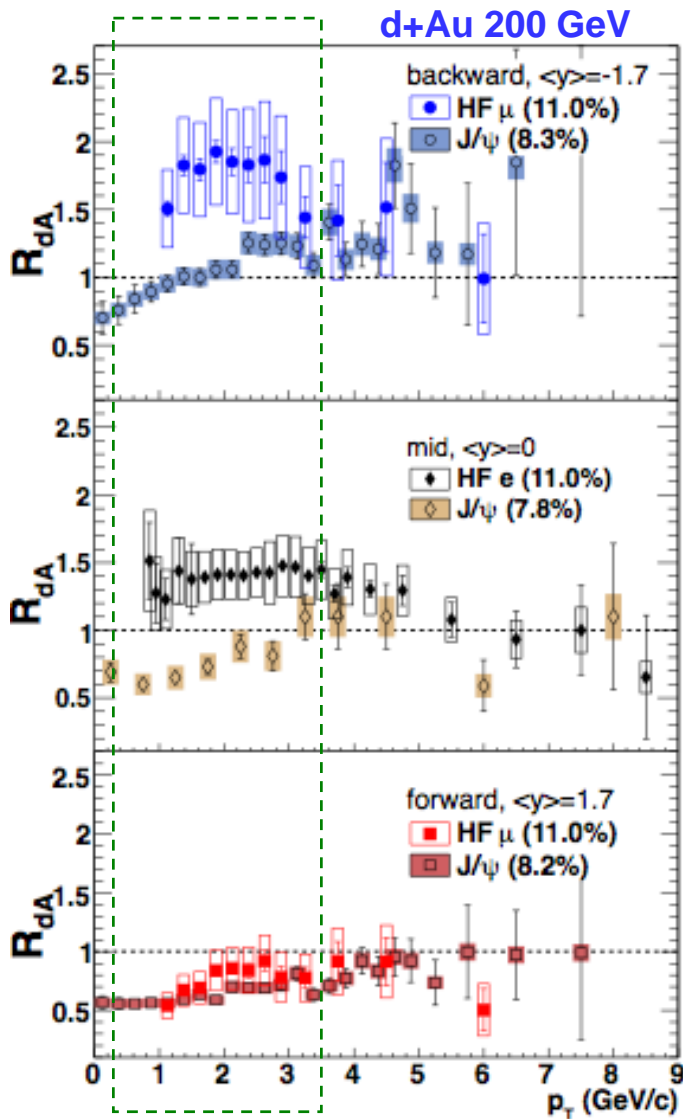
Run-15 p+Au  $\sqrt{s} = 200$  GeV





# RAA for open and closed heavy flavor

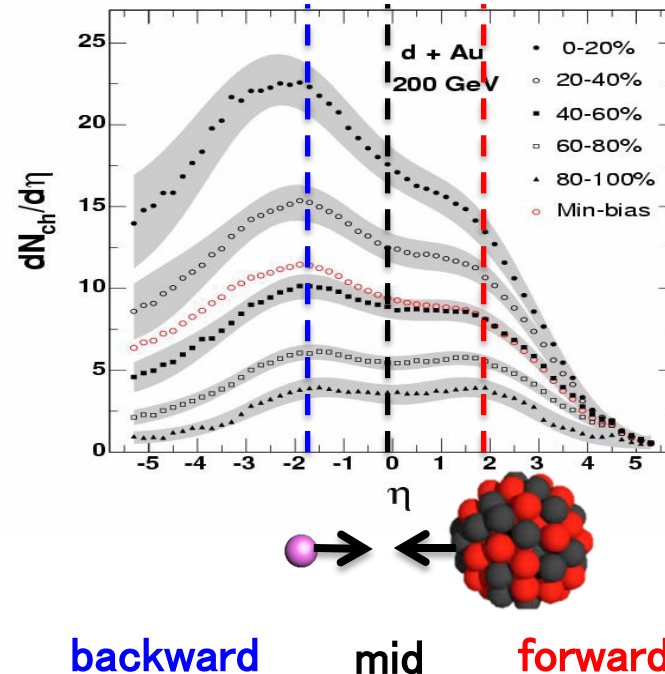
PEHNIX: PRC 87 034904  
PHENIX: PRL 112 252301



## In the most central collision:

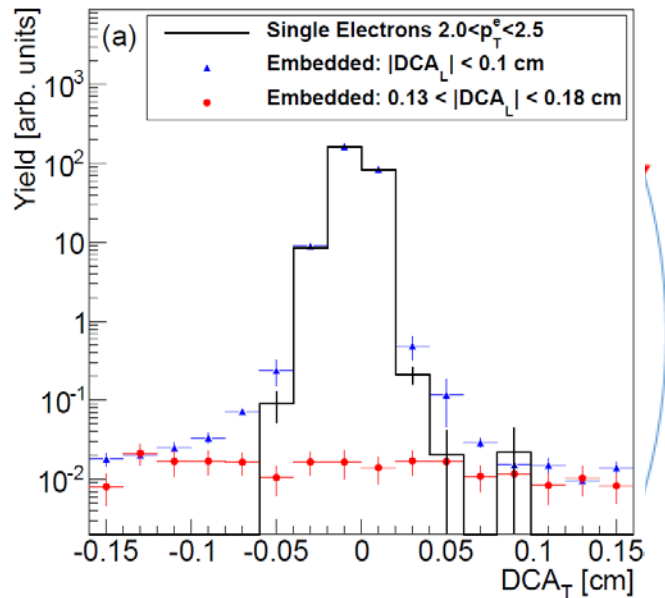
1.  $R_{dA}$  of HF muon and J/ $\psi$  are consistent at forward rapidity
  2. however, clearly different at backward rapidity
  3. charm production is enhanced but J/ $\psi$  production is significantly suppressed due to nuclear breakup inside dense comovers at backward rapidity
- Shadowing (nPDF) should be similar for single and J/psi
  - Final state effect is related with multiplicity

PHOBOS: PRC 72 031901

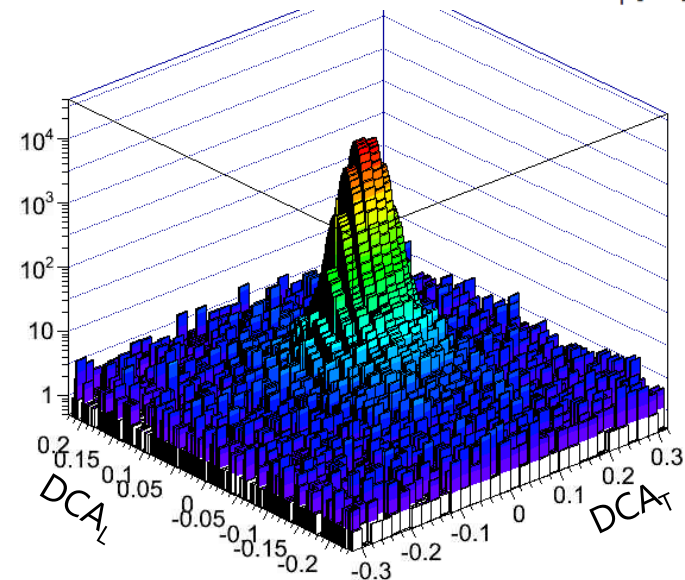




# Background : Mis-associated with VTX



- The BG widely spreads with flat shape
  - Large  $DCA_L$  has mostly the BG.
- The BG is studied by embedding e into data
  - Embedding reproduces the BG nicely
- Use  $DCA_T$  distribution with  $0.13 < |DCA_L| < 0.18$  cm



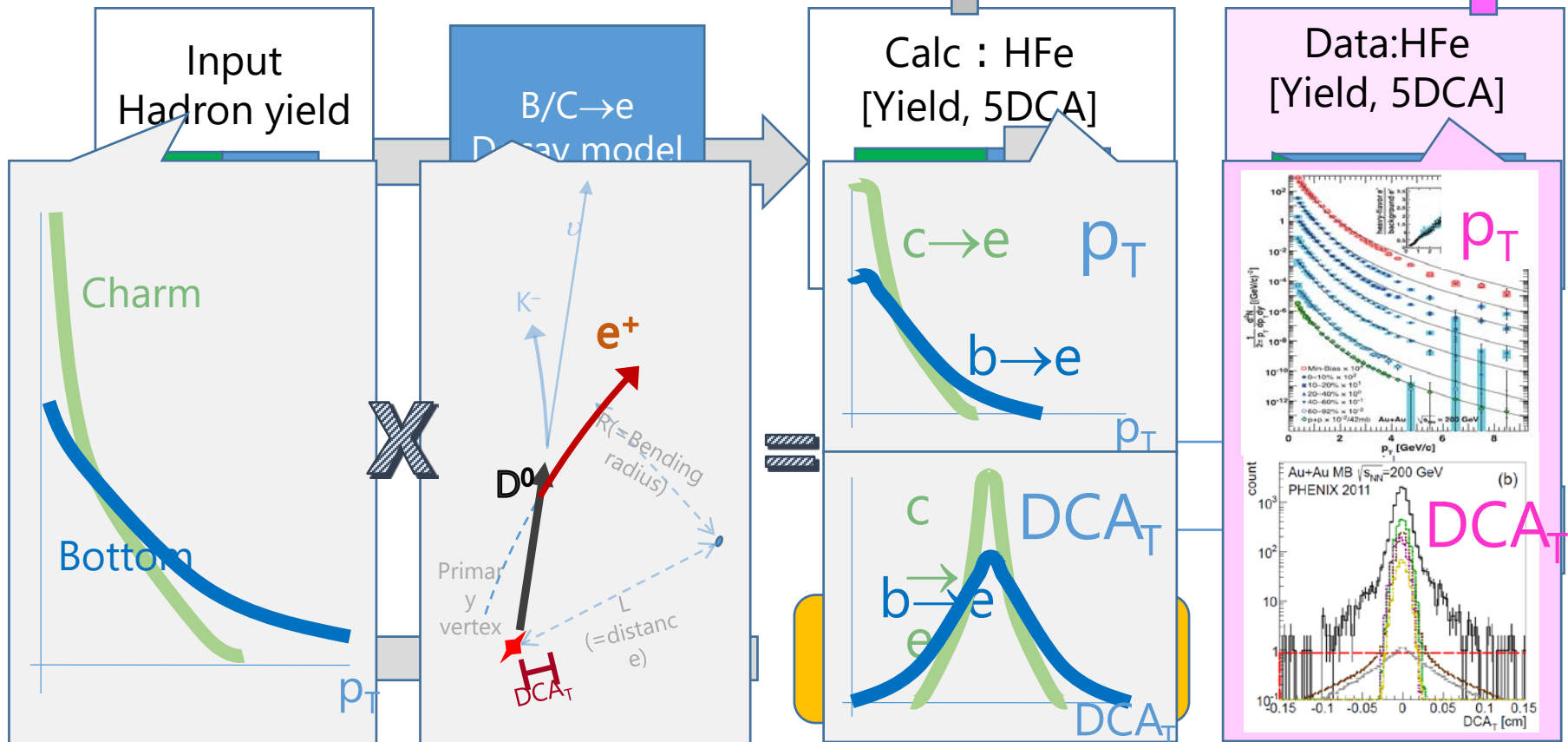


# Unfolding: Bayesian inference

- Purpose: extract parent **B/C hadron yield**
  - B/C hadron based on Bayesian inference
  - MCMC(Markov chain Monte Carlo) sampling
  - Obtain probability of B/C yield for pT bins

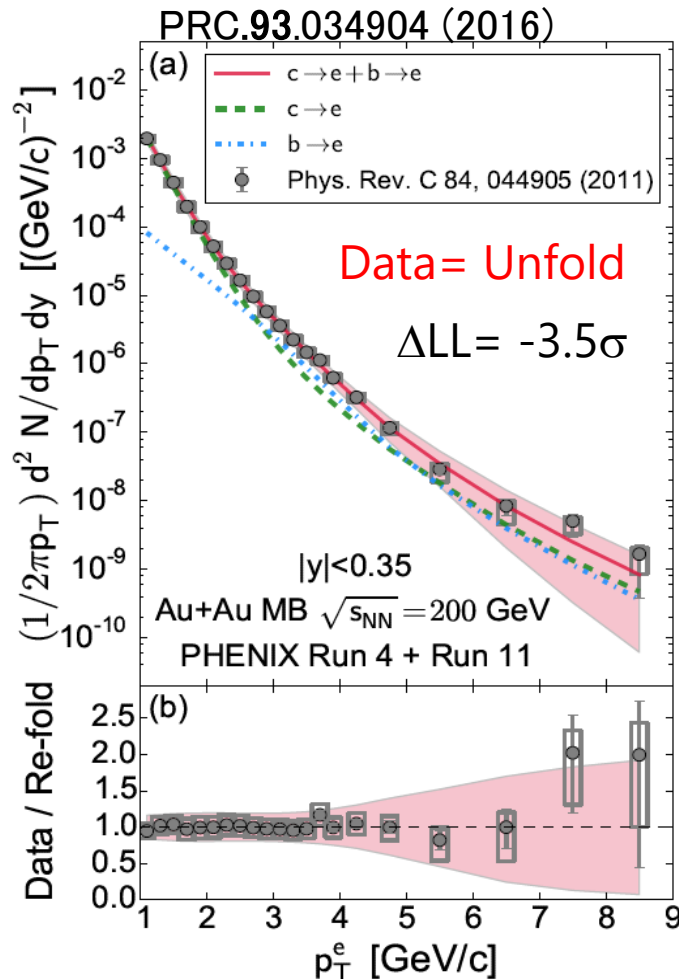
$$P(B|A) = \frac{P(A|B) \cdot P(B)}{P(A)}$$

Calculation vs Data  
**Likelihood**

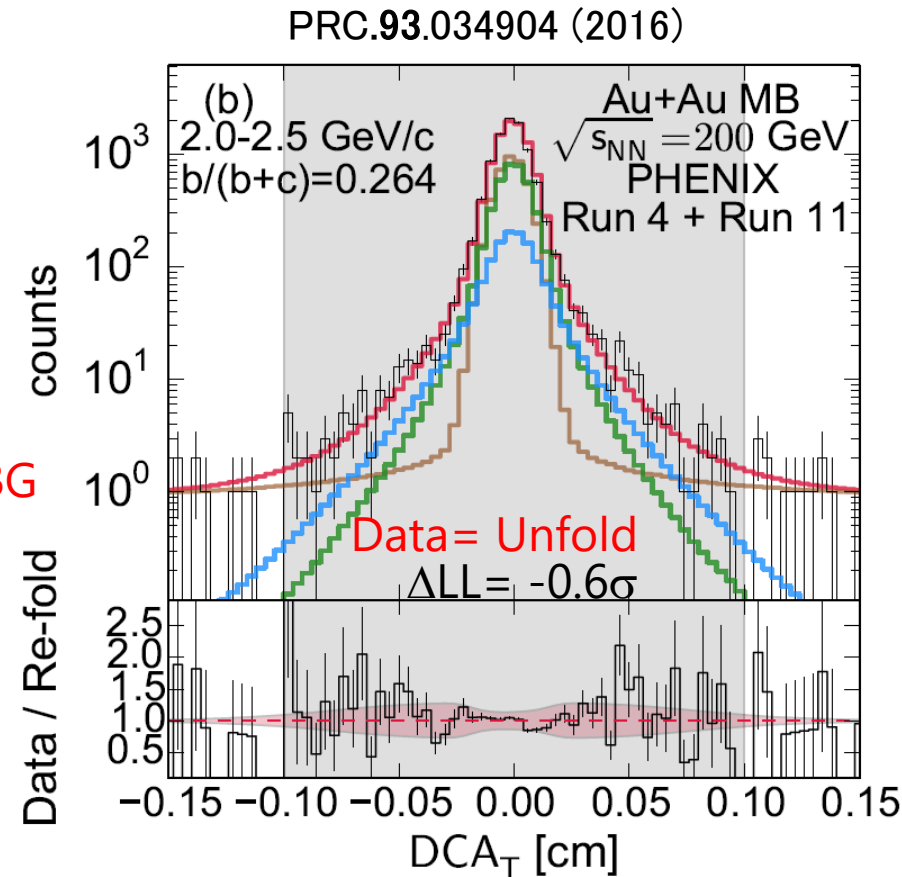




# Validation: Unfolding & Data



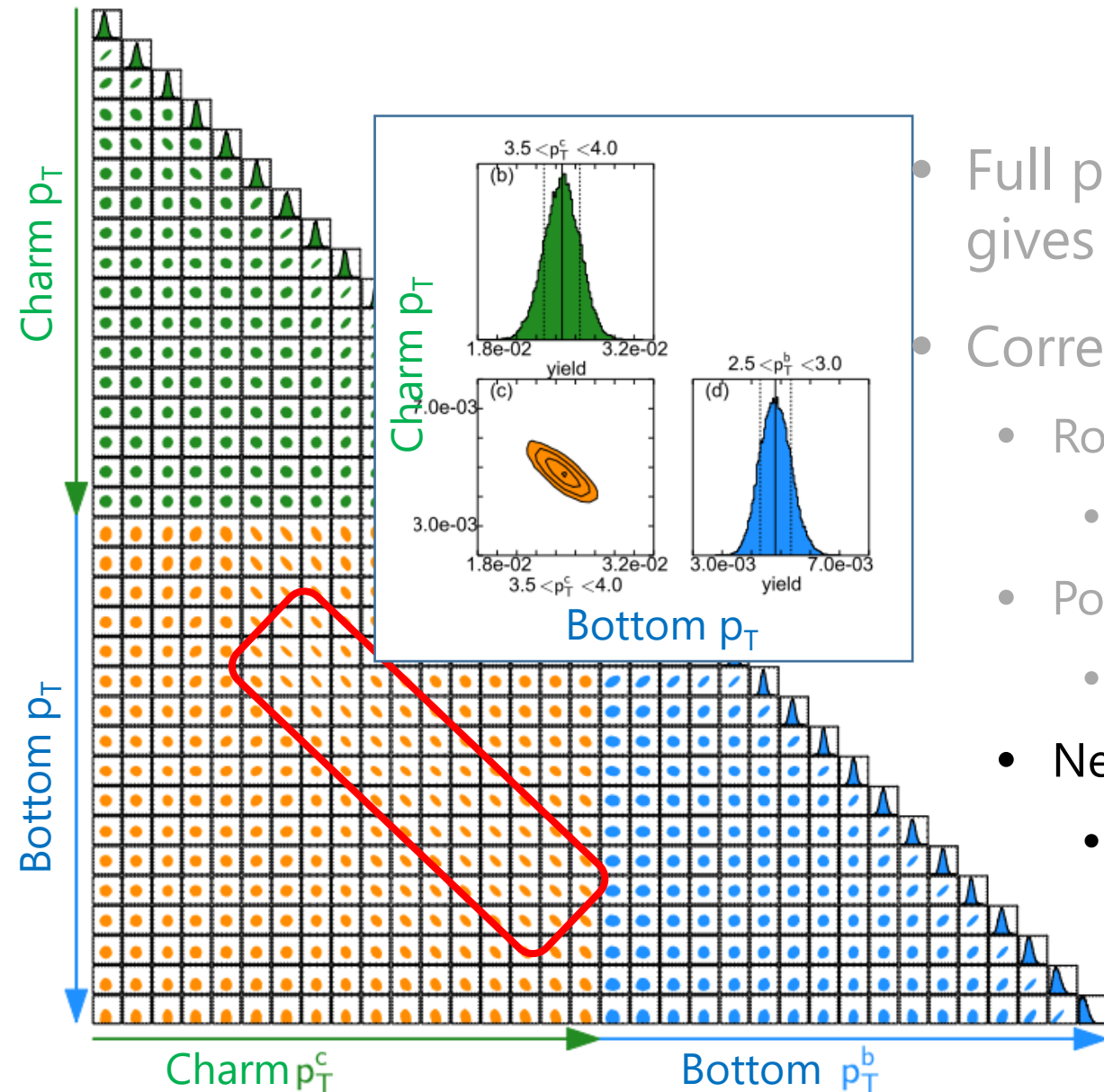
Data  
Charm  
Bottom  
Background  
Total=C+B+BG



- Unfolding is consistent with electron data for yield and  $DCA_T$ 
  - Diff likelihood:  $\Delta LL = -0.6 \sim 3.8 \sigma$



# Full probability distribution

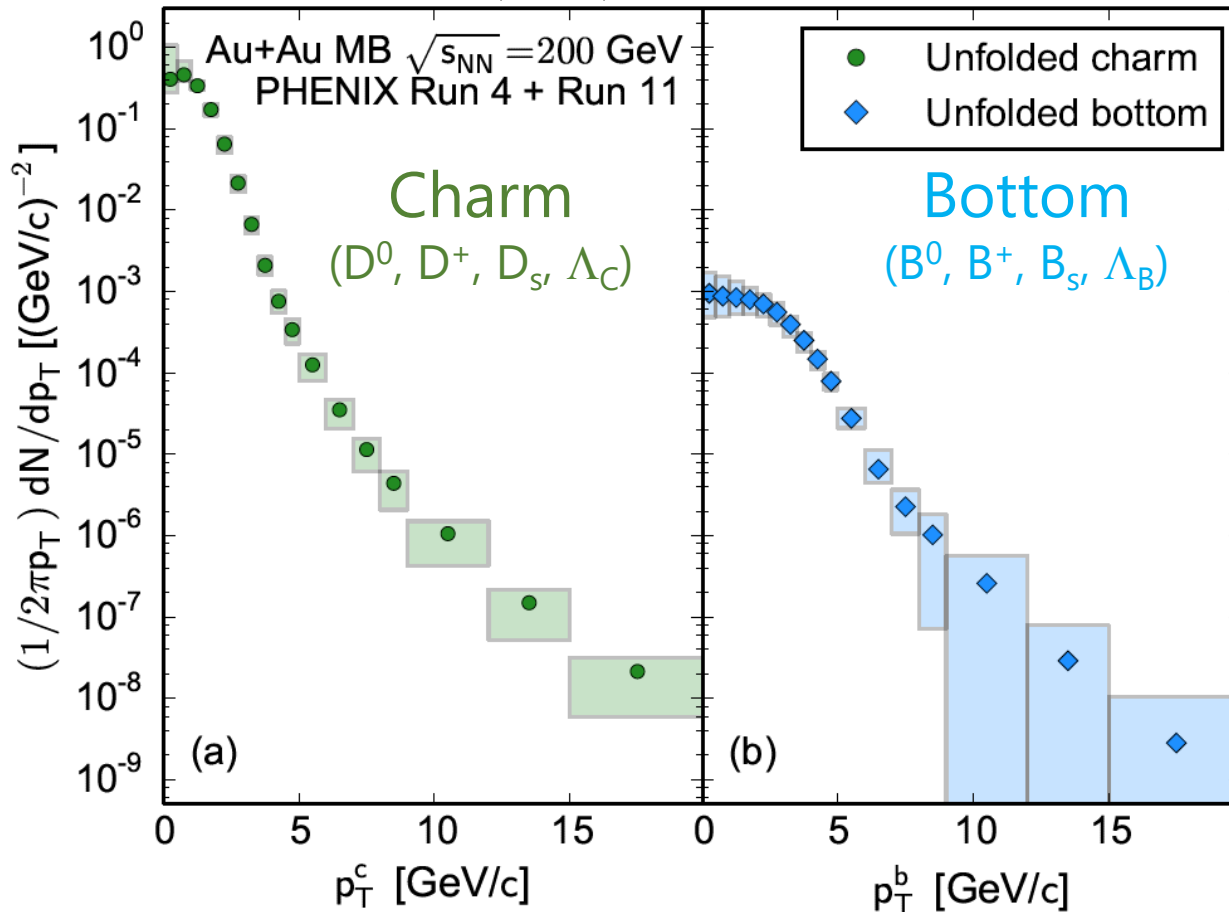


- Full probability distribution gives bottom & charm yield
- Correlation in yield
  - Round
    - no correlation
  - Positive in charm near  $p_T$ 
    - increase simultaneously
  - Negative
    - charm  $\uparrow$  + bottom  $\downarrow$



# Unfold Charm and Bottom hadron spectra

PRC.93.034904 (2016)

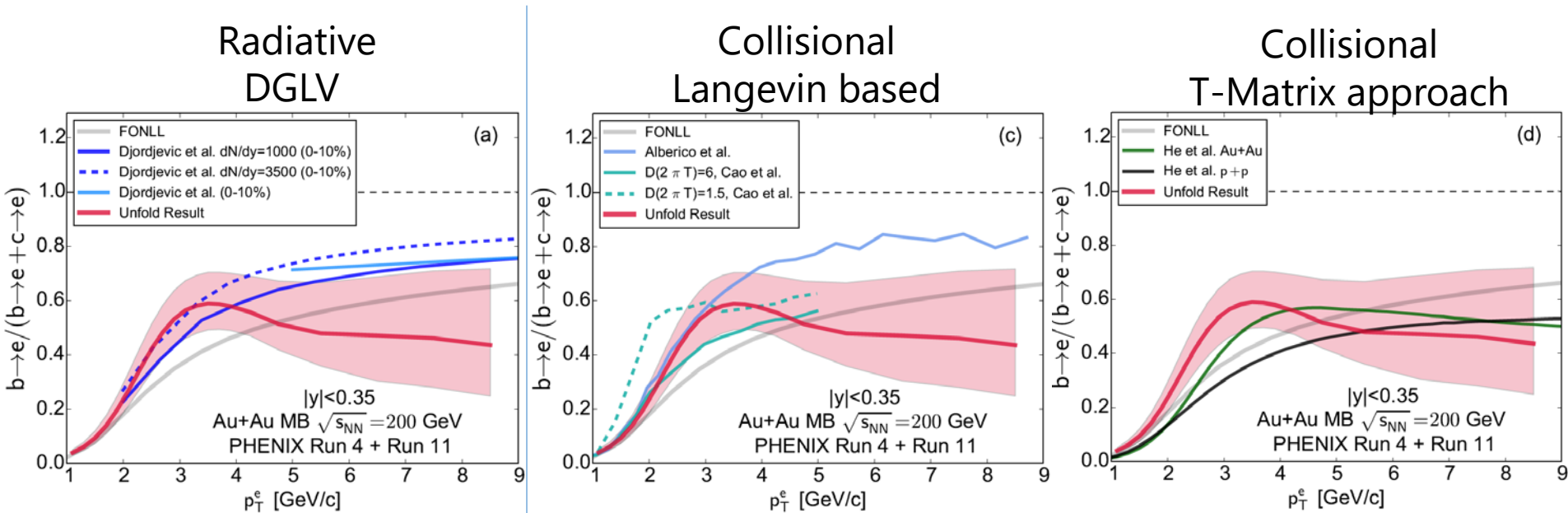


New method is successfully developed.

- Bottom & Charm hadron yield are successfully extracted
  - Whole rapidity
- First bottom hadron measurement



# Comparison with Model

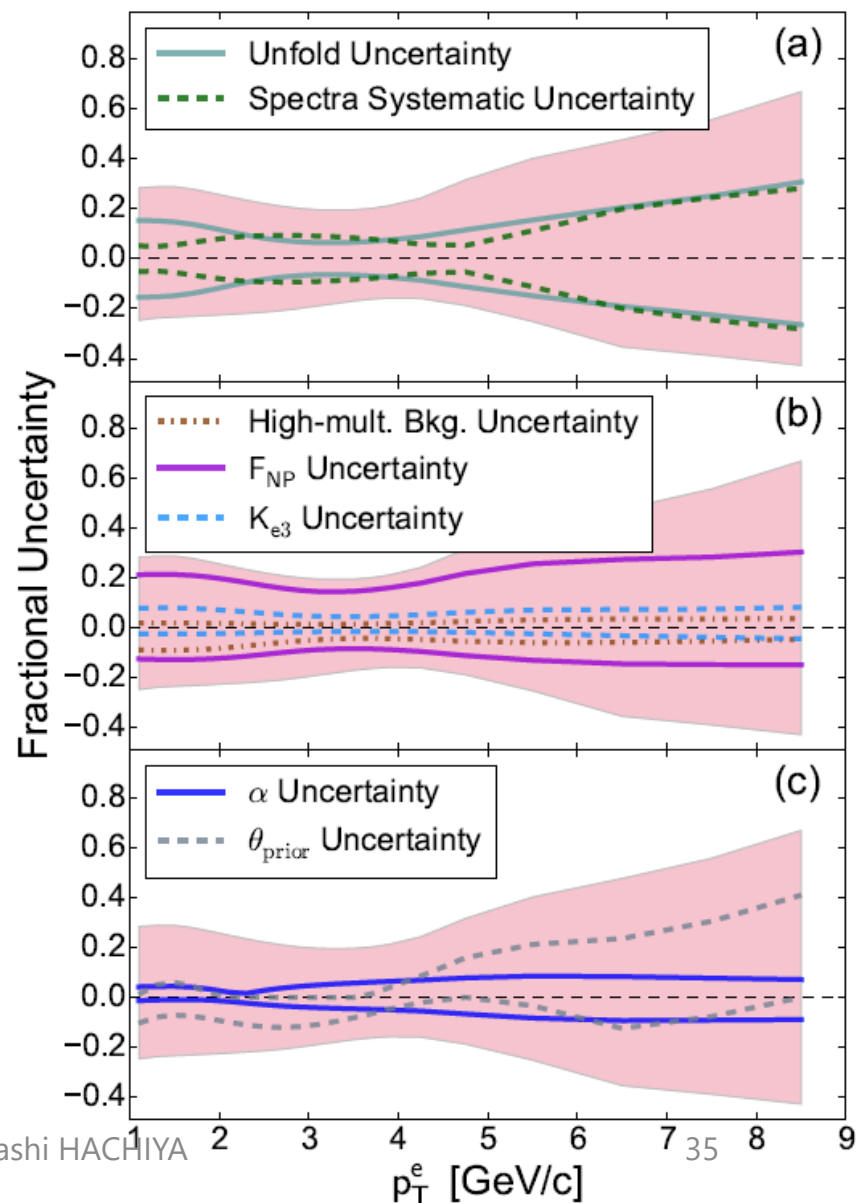


- Models are not consistent with data
  - Models shows monotonic and non-monotonic behavior
  - Data can constrain the models
- Need higher statistics to improve error at high  $p_T$ 
  - Available from 2014-2016 : 10x more stat & good detector condition
  - New data will show the centrality dependence and VN measurement as well



# Systematic uncertainty on unfolding

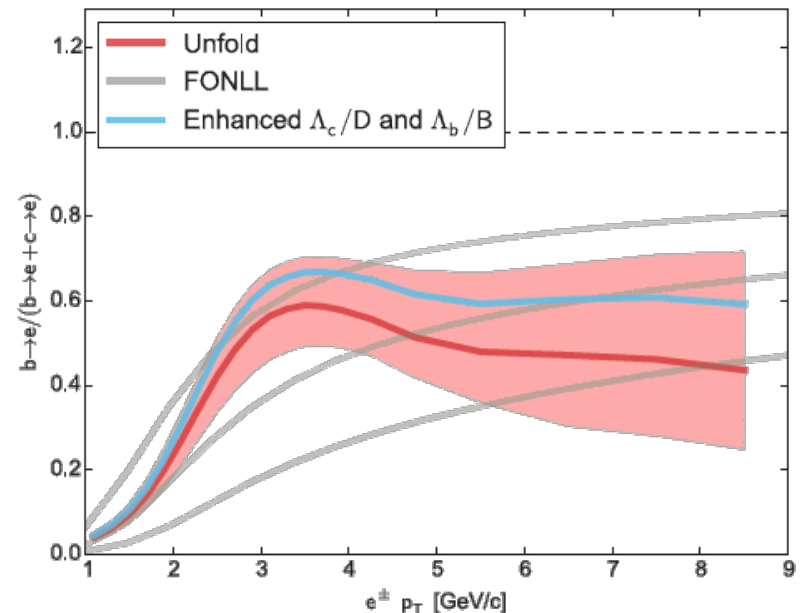
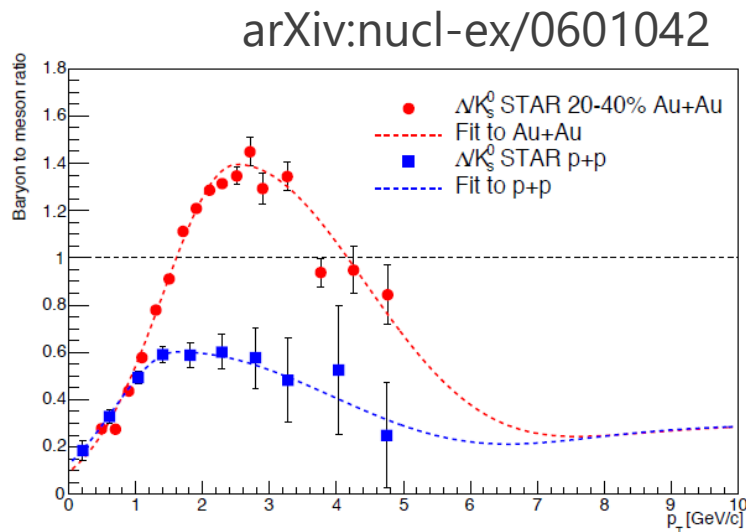
- Systematic uncertainty is obtained by changing the inputs within systematic uncertainty for each component.
- Type of uncertainties
  1. Unfold uncertainty : Due to data statistics
  2. Spectra uncertainty : Invariant HF spectrum
  3. High-mult Bkg : Mis-associated bg
  4. FNP : normalization on photonic BG
  5. Ke3 : Ke3 normalization
  6.  $\alpha$  : Strength of smoothness
  7.  $\theta_{\text{prior}}$  : Reference hadron shape for smoothness



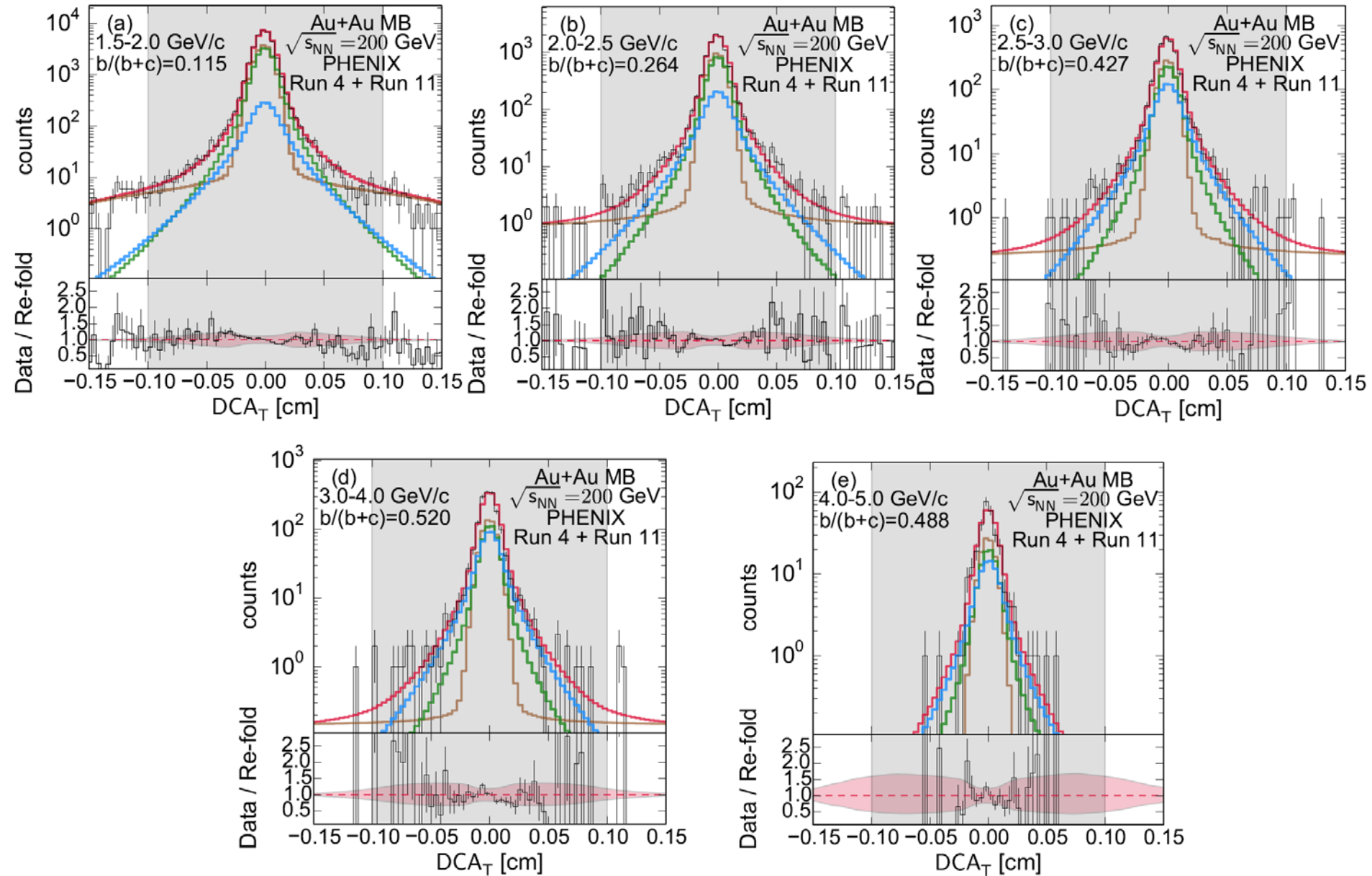


# Effect on Baryon enhancement

- A baryon enhancement was observed in strange and non-strange hadrons. Same (or similar) enhancement may happen in heavy quarks.
- We tested how the enhancement change the bottom electron fraction
  - Input : STAR  $\Lambda/K$ s in AuAu & pp
- Result
  - Bottom fraction was changed but within systematic uncertainty
  - We did not include this difference as an additional systematic uncertainty



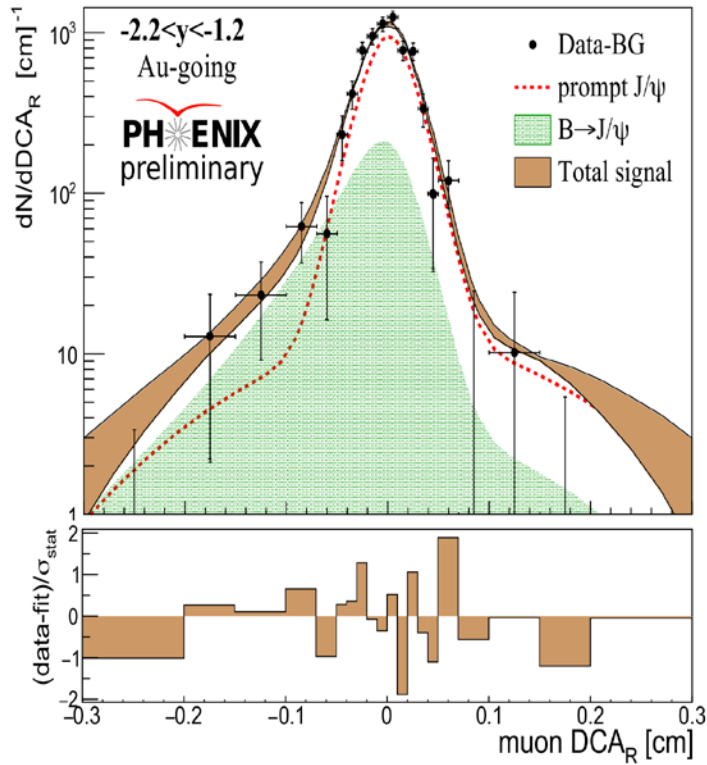




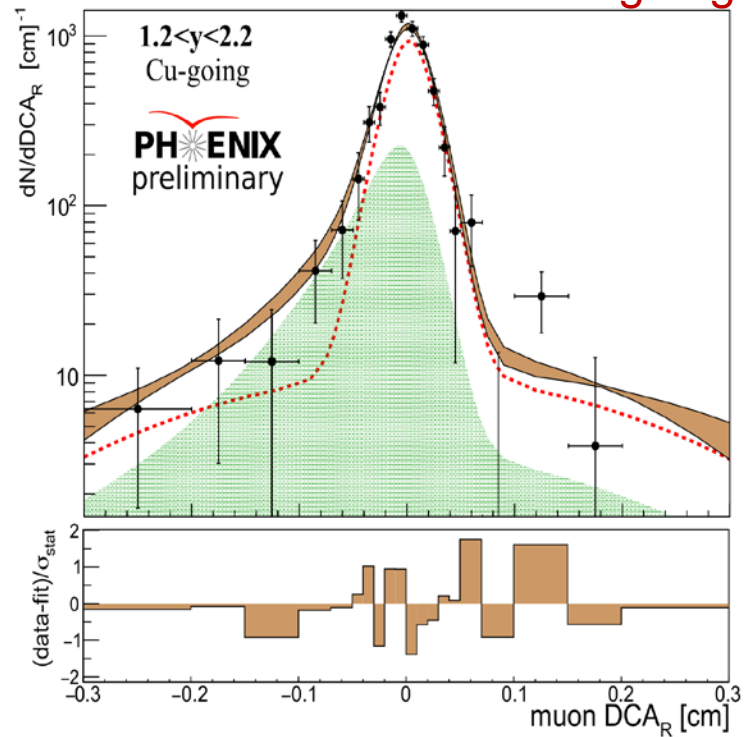


# First Results : B-meson $\rightarrow$ J/ $\psi$ in Cu+Au 200 GeV

Cu-going



Cu-going

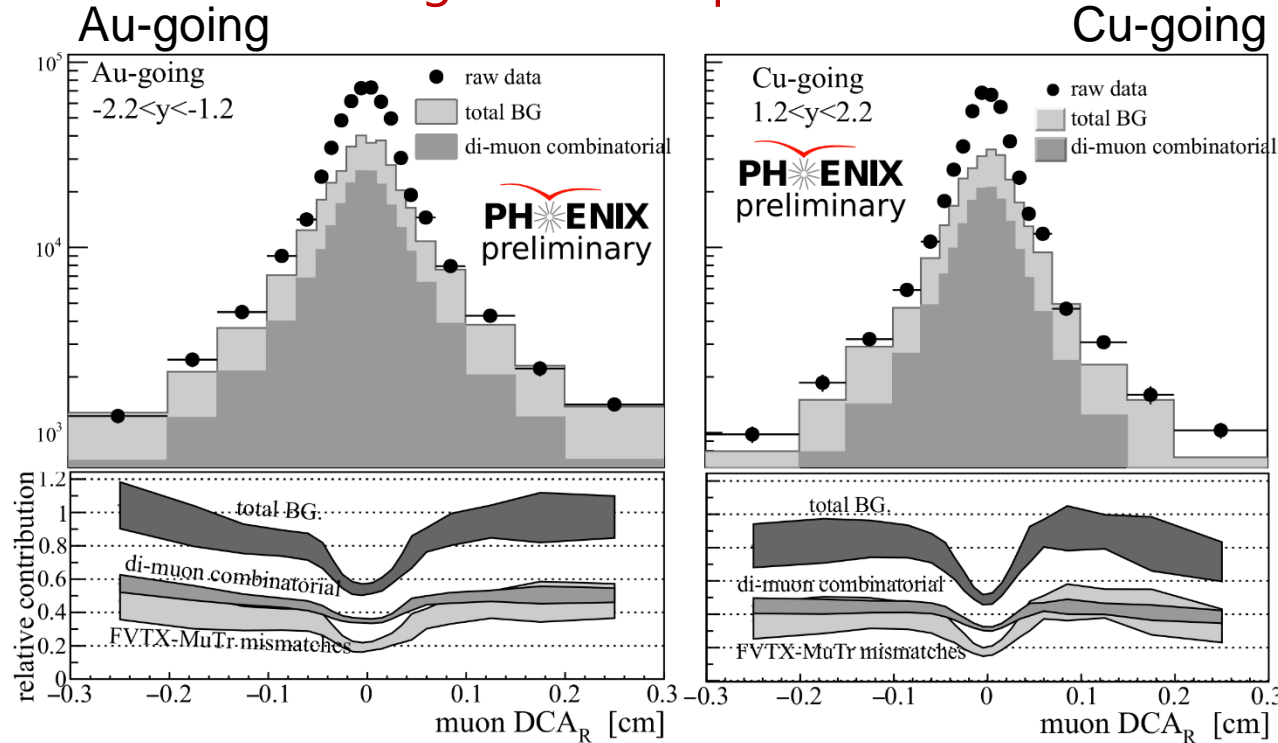


DCA<sub>R</sub> Distributions. BG is subtracted for clarity



# What NEW on Open Heavy Flavor?

## Background components included



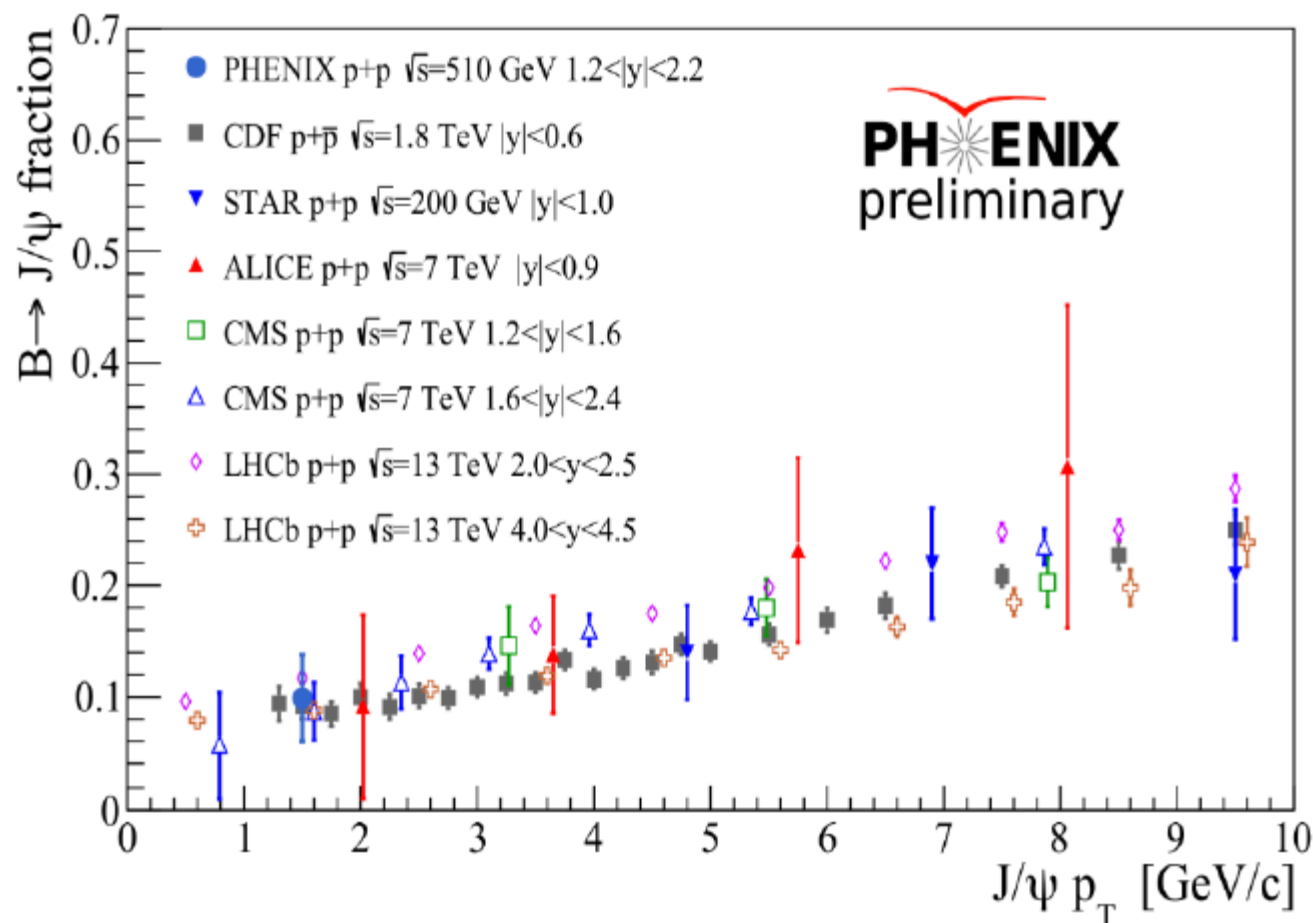
### Two sources of background:

- Di-muon combinatorial
- FVTX-MuTr mismatches
  - Coming from incorrectly matching a MuTr track to the FVTX stand alone track.
- ccbar

Signal templates and backgrounds are fitted together to extract the  $B \rightarrow J/\psi$  fraction.



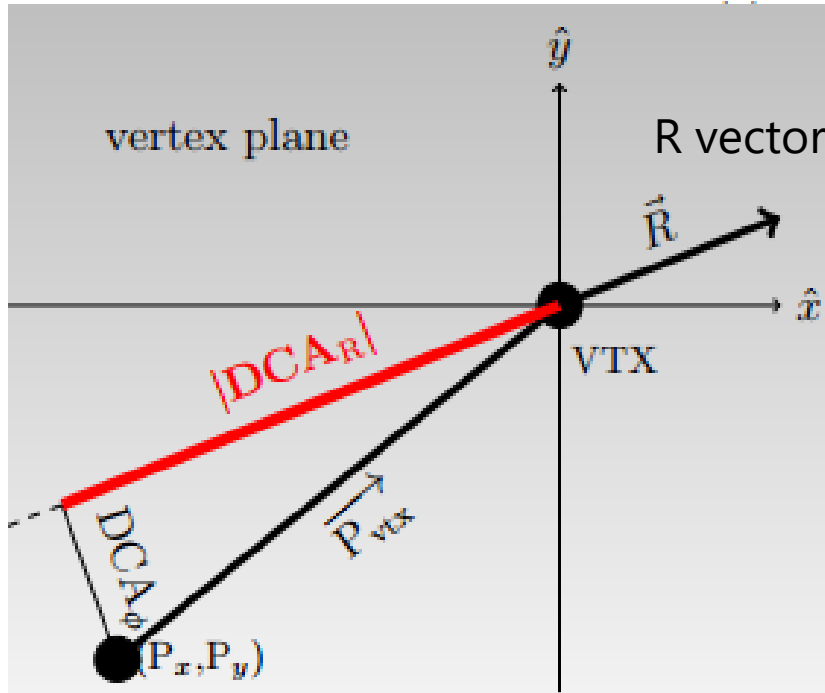
# B fraction in pp : World data



- B fraction is mostly independent of collision energy

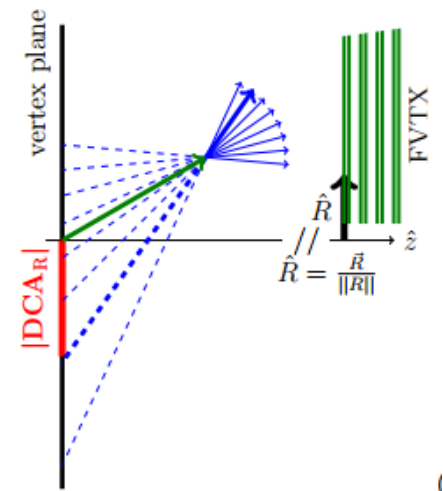


# DCA\_R definition



Projection to X-Y plane  
at Z=collision vertex

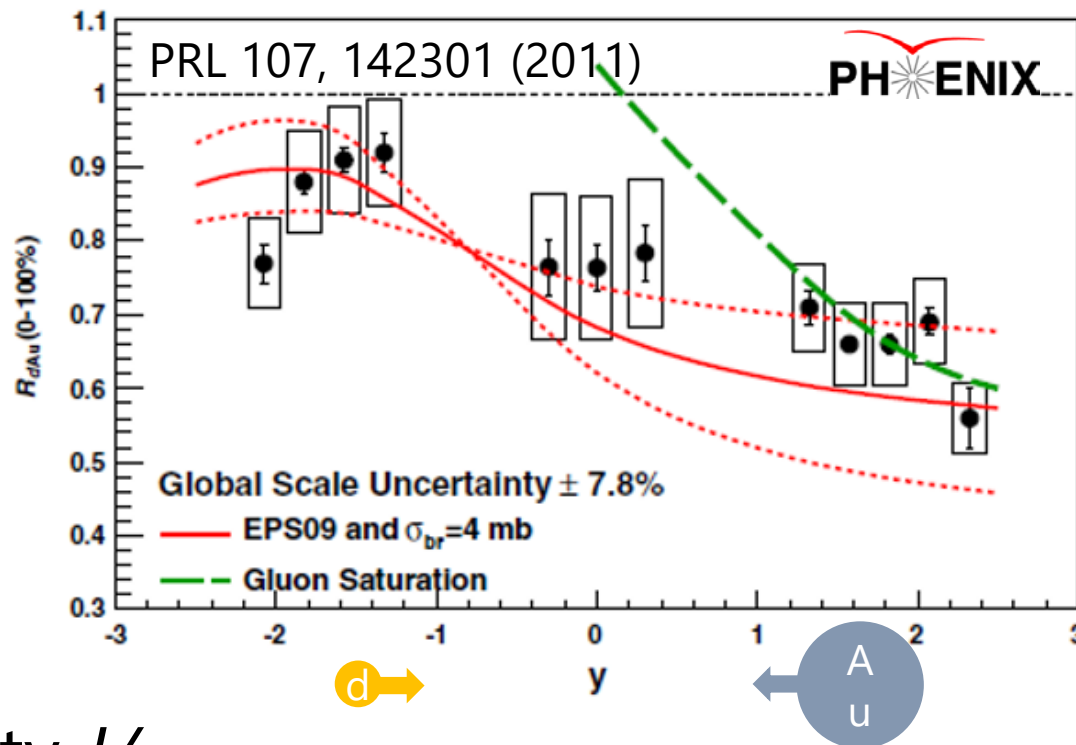
$$DCA_R = \vec{P}_{vtx} \cdot \frac{\vec{R}}{||\vec{R}||}$$



- DCA\_R is the projection of DCA vector to R vector on the vertex plane.
- DCA\_R can be negative if R and P vector goes opposite direction.
- For B- > Jpsi which decays at far from vertex, P vector get longer due to Lorentz boost to Z direction. Therefore,



# $J/\psi$ in d+Au 200GeV: CNM effect



- Rapidity  $J/\psi$ 
  - Asymmetric Suppression
    - Stronger @ forward  $y$
  - Nuclear shadowing + **cc breakup** describes the data
    - favors  $\sigma_{br} = 4\text{mb}$

cc breakup :  
cc loses pair when passing through (cold) nuclei

