

# Nuclear Matrix Elements for Neutrinoless Double-Beta Decay

*J. Engel*

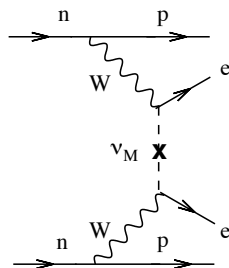
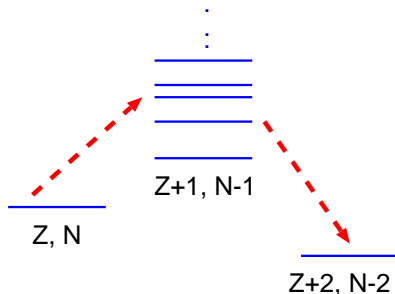


# $0\nu \beta\beta$ Decay

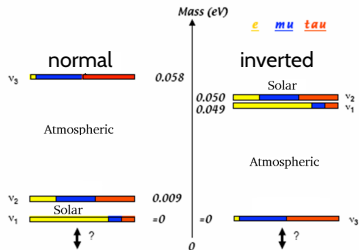
If energetics are right (ordinary  $\beta$  decay forbidden)...

and neutrinos are their own antiparticles...

can observe two neutrons turning into protons, emitting two electrons and nothing else, e.g. via



# Considerations



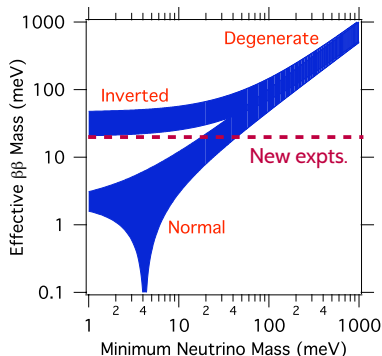
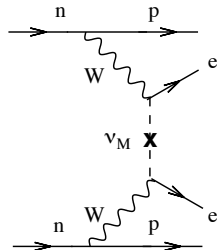
In usual scenario, rate depends on effective neutrino mass:

$$m_{\text{eff}} \equiv \sum_i m_i U_{ei}^2$$

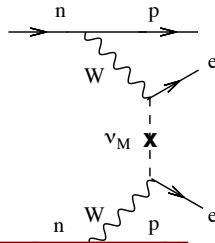
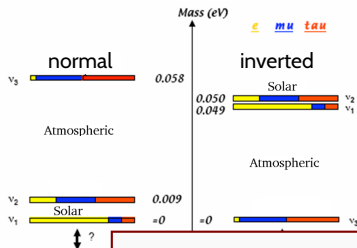
If lightest neutrino is light:

►  $m_{\text{eff}} \propto \sqrt{\Delta m_{\text{sol}}^2}$  **normal**

►  $m_{\text{eff}} \propto \sqrt{\Delta m_{\text{atm}}^2}$  **inverted**



# Considerations



But rate also depends on a nuclear matrix element.

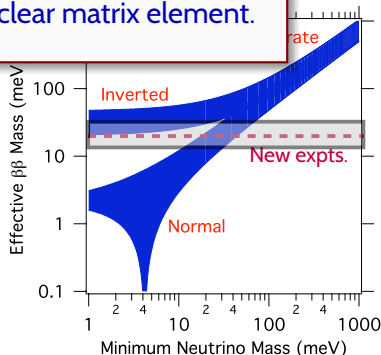
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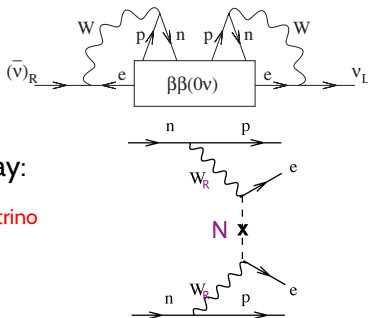


# Other Mechanisms Can Contribute

If neutrinoless decay occurs then  $\nu$ 's are Majorana, no matter what:

but light neutrinos may not drive the decay:

Exchange of heavy right-handed neutrino  
in left-right symmetric model.



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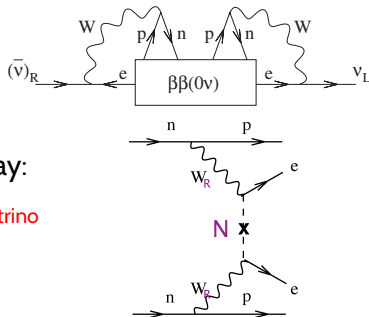
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Amplitude of exotic mechanism:

$$\frac{Z_{0\nu}^{\text{heavy}}}{Z_{0\nu}^{\text{light}}} \approx \left( \frac{M_{W_L}}{M_{W_R}} \right)^4 \left( \frac{\langle q^2 \rangle}{m_{\text{eff}} m_N} \right) \quad \langle q^2 \rangle \approx 10^4 \text{ MeV}^2$$

$$\approx 1 \quad \text{if} \quad m_N \approx 1 \text{ TeV} \quad \text{and} \quad m_{\text{eff}} \approx \sqrt{\Delta m_{\text{atm}}^2}$$

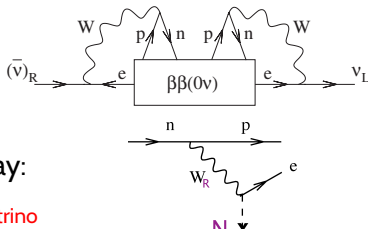
So exotic exchange can occur with roughly the same rate as light- $\nu$  exchange. Untangling would seem to require several expts and accurate nuclear matrix elements for all processes.



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Exchange of heavy right-handed neutrino

But apparently, LHC should either see many such things or rule them out as competition to light- $\nu$  exchange.

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# Light- $\nu$ -Exchange Matrix Element

$$M_{0\nu} = M_{0\nu}^{GT} - \frac{g_V^2}{g_A^2} M_{0\nu}^F + \dots$$

with

$$M_{0\nu}^{GT} = \langle F | \sum_{i,j} H(r_{ij}) \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$M_{0\nu}^F = \langle F | \sum_{i,j} H(r_{ij}) \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$H(r) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \quad \text{roughly } \propto 1/r$$

Contribution to integral peaks at  $q \approx 200 \text{ MeV}$  inside nucleus.  
Corrections are from “forbidden” terms, weak nucleon form factors, many-body currents ...



# Nuclear-Structure Methods in One Slide

- ▶ **Density Functional Theory & Related Techniques:** Mean-field-like theory plus relatively simple corrections in very large single-particle space with phenomenological interaction.
- ▶ **Shell Model:** Partly phenomenological interaction in a small single-particle space – a few orbitals near nuclear Fermi surface – but with arbitrarily complex correlations.
- ▶ **Ab Initio Calculations:** Start from a well justified two-nucleon + three-nucleon Hamiltonian, then solve full many-body Schrödinger equation to good accuracy in space large enough to include all important correlations. At present, works pretty well in systems near closed shells up to  $A \approx 50$ .
- ▶ **Interacting Boson Model:** Model for collective states (as bosonic excitations).

⋮



New!

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Has potential to combine and ground virtues of shell model and density functional theory.

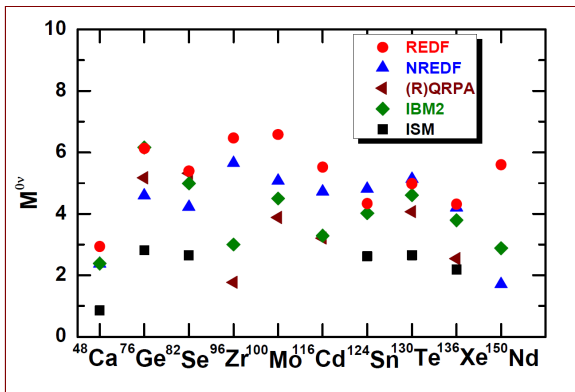


New!

# Level of Agreement So Far

Significant spread.  
And all the models  
could be missing  
important physics.

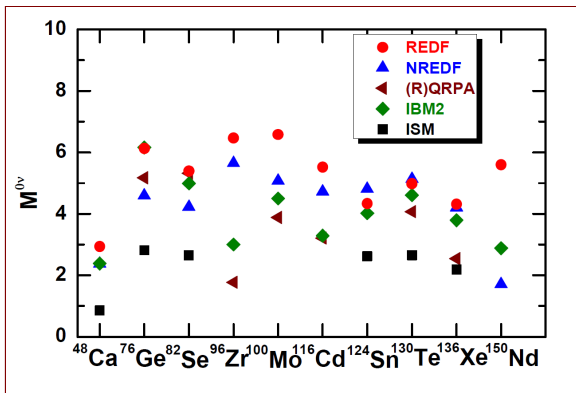
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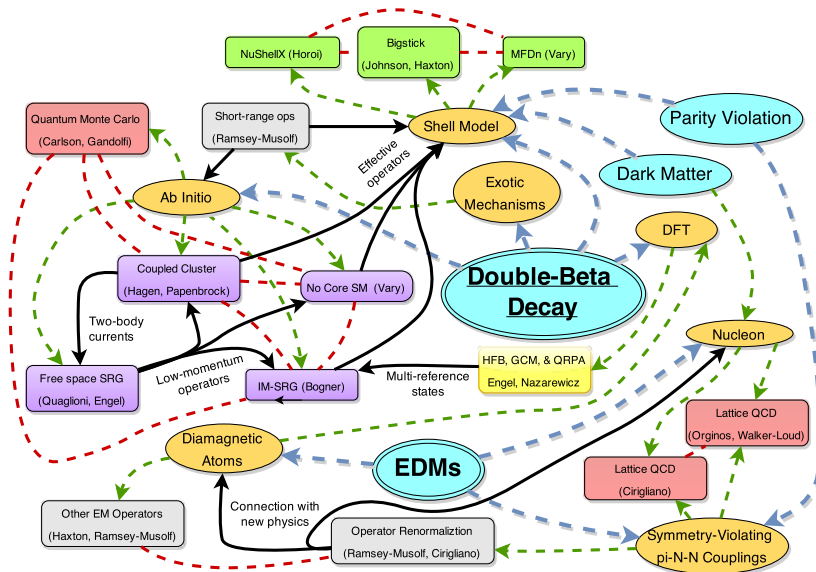
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More computing power and new many-body methods responsible  
for major recent progress in ab initio theory.

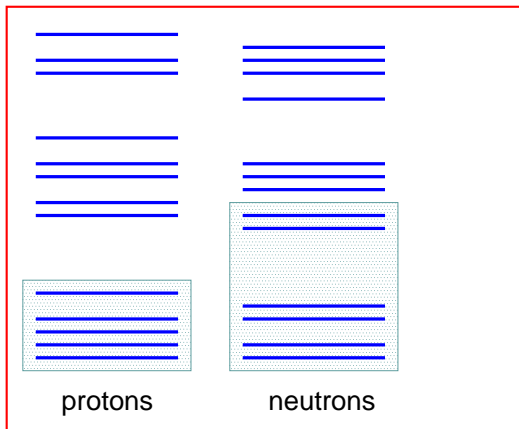
Theorists are organizing; should be able to do better now.

$\beta\beta$  and Fund. Symmetries Topical DOE Collaboration



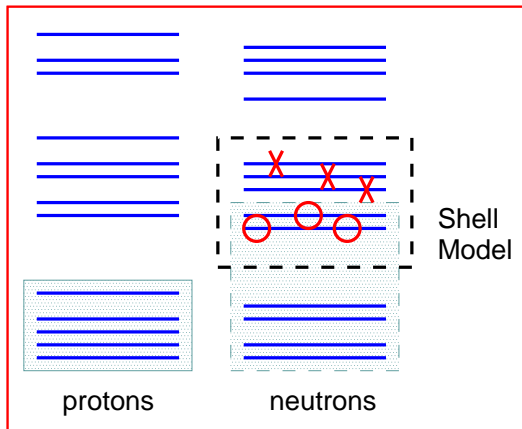
# Traditional Shell Model

Starting point: set of single-particle orbitals in an average potential.



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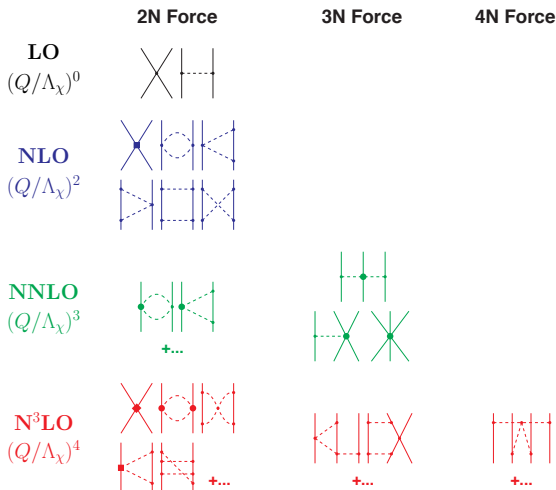
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Shell model neglects all but a few orbitals around the Fermi surface, uses phenomenological Hamiltonian.

# Ab Initio Nuclear Structure in Heavy Nuclei

Typically starts with chiral effective field theory; degrees of freedom are nucleons and pions below the chiral-symmetry breaking scale.





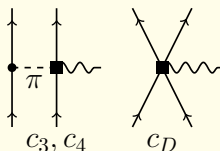
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2N Force

3N Force

4N Force

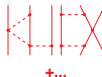


And comes with consistent weak current.

NNLO  
 $(Q/\Lambda_\chi)^3$



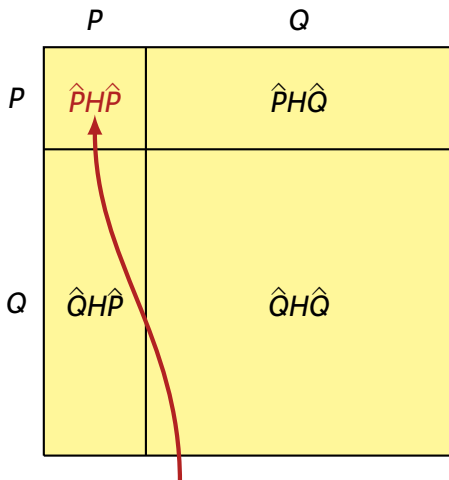
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# Ab Initio Shell Model

Because un-doctored ab initio calculations far from closed shells still difficult

## Partition of Full Hilbert Space



Shell model done here.

$P$  = valence space

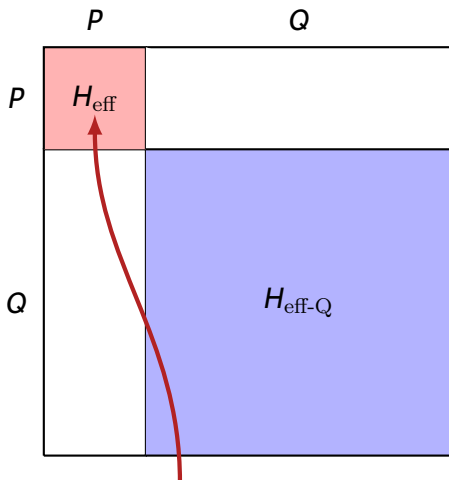
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Task: Find unitary transformation to make  $H$  block-diagonal in  $P$  and  $Q$ , with  $H_{\text{eff}}$  in  $P$  reproducing most important eigenvalues.

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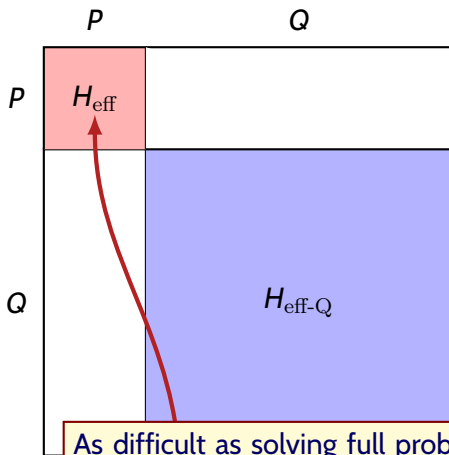
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As difficult as solving full problem. But idea is that  $N$ -body effective operators should not be important for  $N > 2$  or 3.

Shell model done here.

# Method 1: Coupled-Cluster Theory

Ground state in closed-shell nucleus:

$$|\Psi_0\rangle = e^T |\varphi_0\rangle \quad T = \sum_{i,m} t_i^m a_m^\dagger a_i + \sum_{ij,mn} \frac{1}{4} t_{ij}^{mn} a_m^\dagger a_n^\dagger a_i a_j + \dots$$

**Slater determinant** 


$m, n > F \quad i, j < F$

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## Construction of Unitary Transformation to Shell Model:

1. Complete calculation of low-lying states in nuclei with 1, 2, and 3 nucleons outside closed shell (where calculations are feasible).
2. **Lee-Suzuki mapping** of lowest eigenstates onto shell-model space, determine effective Hamiltonian and decay operator.

Lee-Suzuki maps lowest eigenvectors to orthogonal vectors in shell model space in way that minimizes difference between mapped and original vectors.

3. Use these operators in shell-model for  $\beta\beta$ -decaying nucleus.

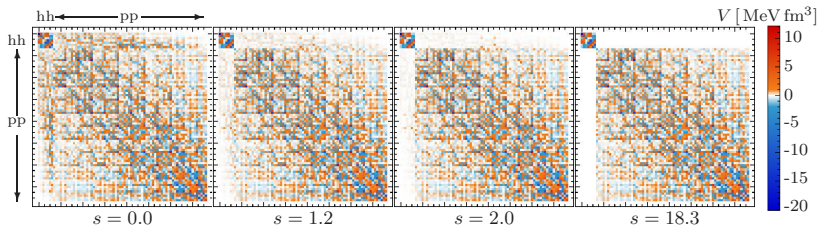
# Method 2: In-Medium Similarity Renormalization Group

Flow equation for effective Hamiltonian.  
Shell-model space asymptotically decoupled.

$$\frac{d}{ds}H(s) = [\eta(s), H(s)], \quad \eta(s) = [H_d(s), H_{od}(s)], \quad H(\infty) = H_{\text{eff}}$$

$d$  = diagonal

$od$  = off diagonal

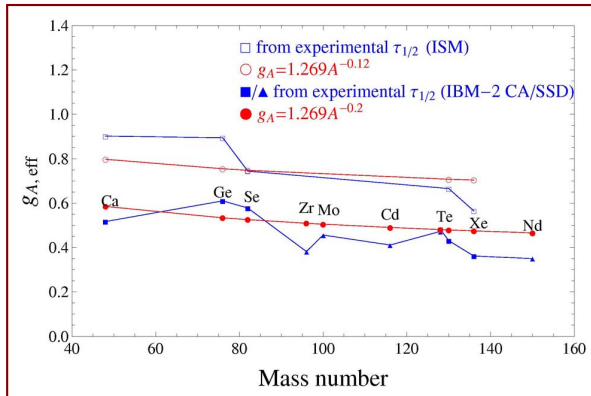


Hergert et al.

Development about as far along as coupled clusters.

# Related Issue Facing All Calculations: “ $g_A$ ”

40-Year-Old Problem Particularly Important in  $\beta\beta$  Decay:  
Effective  $g_A$  needed for two-neutrino decay in shell model and IBM



F. Iachello, MEDEX'13 meeting

If  $O\nu$  matrix elements quenched by same amount, experiments will be less sensitive; rates go like fourth power of  $g_A$ .



# We Should Resolve the Issue Soon

Problem must be due to some combination of:

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Should be fixable in ab-initio shell model, which compensates effects of truncation via effective operators.

2. Many-body weak currents.

Size still not clear, particularly for  $0\nu\beta\beta$  decay, where current is needed at finite momentum transfer  $q$ .

Leading terms in chiral EFT for finite  $q$  only recently worked out. Careful determination and use in decay computations will happen in next year or two.

## Finally...

Existence of topical collaboration will speed progress in next few years on this and other fronts:

- ▶ Uncertainty quantification
- ▶ Other mechanisms for  $\beta\beta$  decay, short-range physics

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Goal is accurate matrix elements with quantified uncertainty by end of collaboration (5 years from now).

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That's all.