



LHC Phase-II Upgrade Scenarios

W. Scandale, F. Zimmermann

We acknowledge the support of the European Community-Research Infrastructure Activity under the FP6 "Structuring the European Research Area" programme (CARE, contract number RII3-CT-2003-506395)

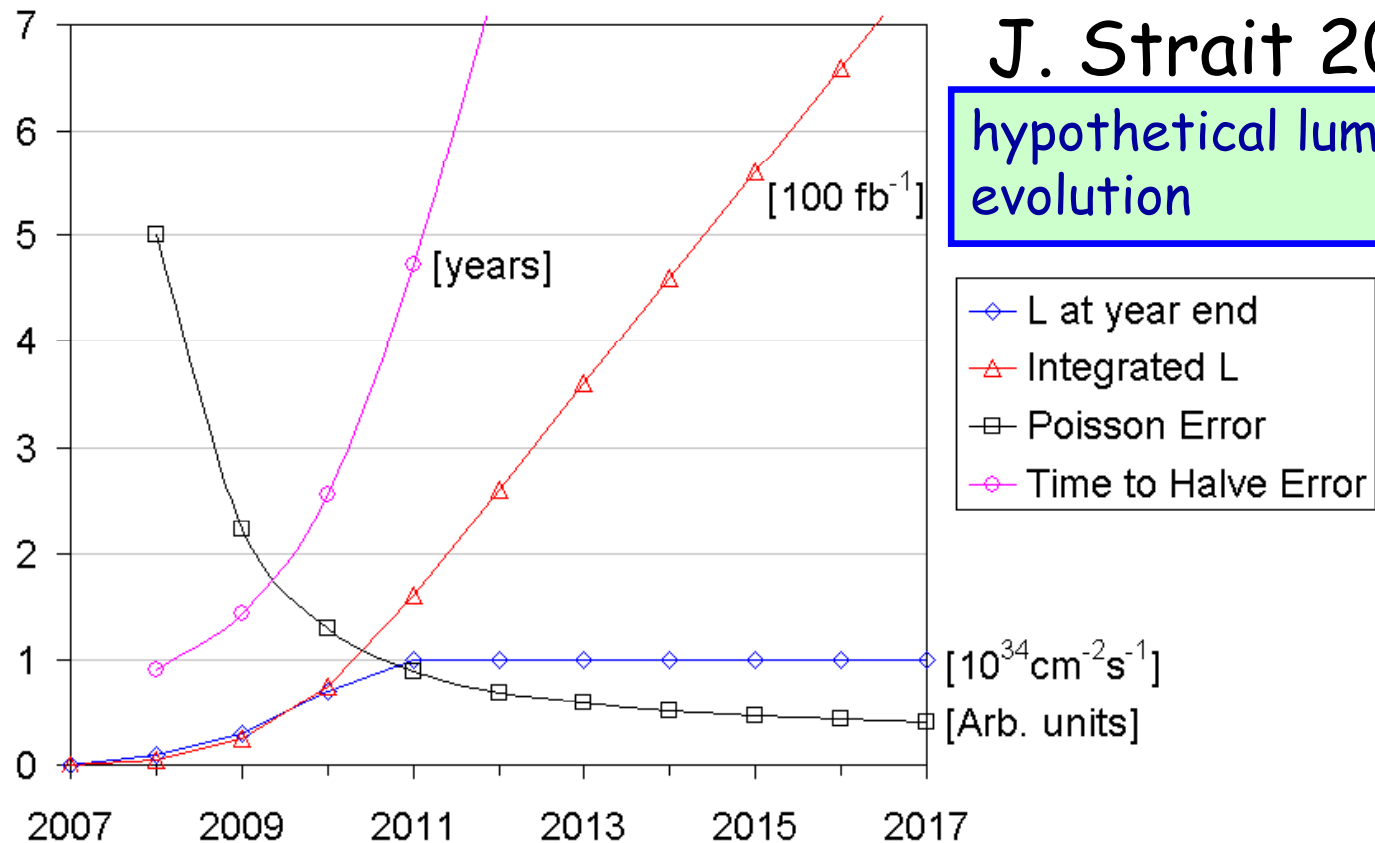


outline

- ✓ motivation & staged approach
- ✓ upgrade scenarios
& luminosity levelling
- ✓ injector upgrade & schedule
- ✓ crab cavities
- ✓ complementary advanced schemes
 - *LR + HO beam-beam compensation, e-cloud mitigation, crystal collimation, cooling, crab waists, ...*
- ✓ strategy for “phase 2”

*motivation &
staged approach*

Three Strong Reasons for LHC Upgrade



- 1) after few years, **statistical error** hardly decreases
- 2) radiation damage limit of **IR quadrupoles** ($\sim 700 \text{ fb}^{-1}$) reached by $\sim 2016 \Rightarrow$ time for an upgrade!
- 3) **extending physics potential!** (A. de Roeck et al)

staged approach to LHC upgrade

“phase 1” 2013:

new triplets, D1, TAS, $\beta^*=0.25$ m in IP1 & 5,
reliable LHC operation at $\sim 2\text{-}3\times$ luminosity;
beam from new Linac4

“phase 2” 2017:

target luminosity $10\times$ nominal,
possibly Nb₃Sn triplet & $\beta^*\sim 0.15$ m

***+ injector
upgrade***

complementary measures 2010-2017:

e.g. long-range beam-beam compensation,
crab cavities, new/upgraded injectors, advanced
collimators, coherent e- cooling??, e- lenses??

phase-2 might be just phase 1 plus complementary measures

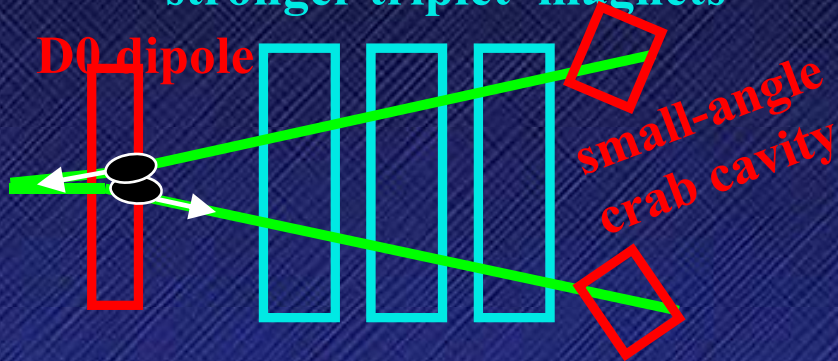
longer term (2020?): energy upgrade, LHeC,...

*upgrade scenarios
& luminosity leveling*

LHC phase-2 upgrade paths for IP1 & 5

early separation (ES) J.-P. Koutchouk

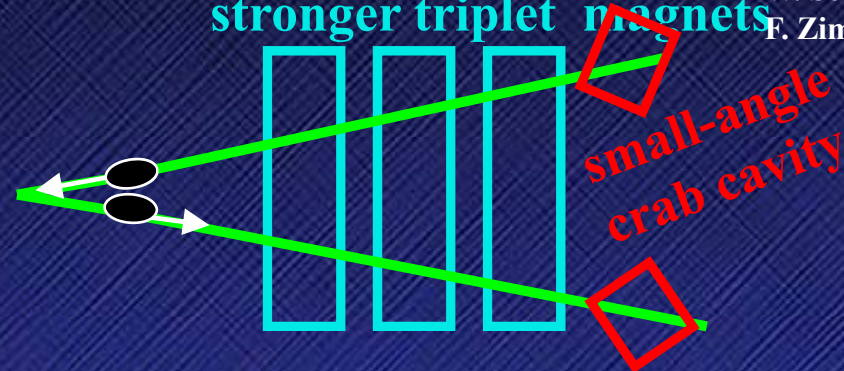
stronger triplet magnets



- ultimate beam (1.7×10^{11} p's/bunch, 25 ns spacing), $\beta^* \sim 10$ cm
- early-separation dipoles in side detectors, crab cavities
→ hardware inside ATLAS & CMS detectors, first hadron crab cavities; off- δ β

full crab crossing (FCC) L. Evans, W. Scandale, F. Zimmermann

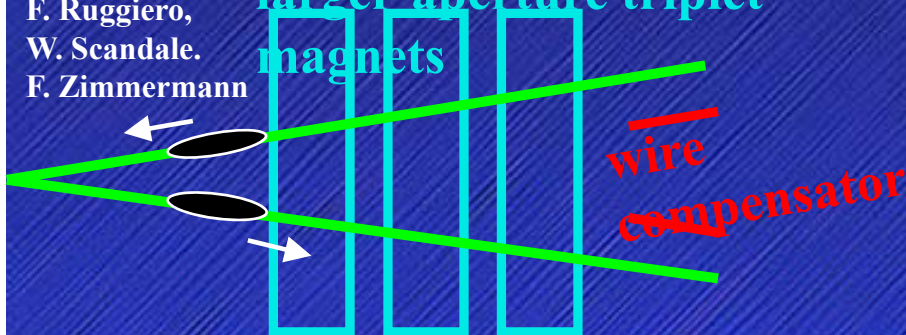
stronger triplet magnets



- ultimate LHC beam (1.7×10^{11} p's/bunch, 25 ns spacing)
- $\beta^* \sim 10$ cm
- crab cavities with 60% higher voltage
→ first hadron crab cavities, off- δ β -beat

large Piwinski angle (LPA) F. Ruggiero, W. Scandale, F. Zimmermann

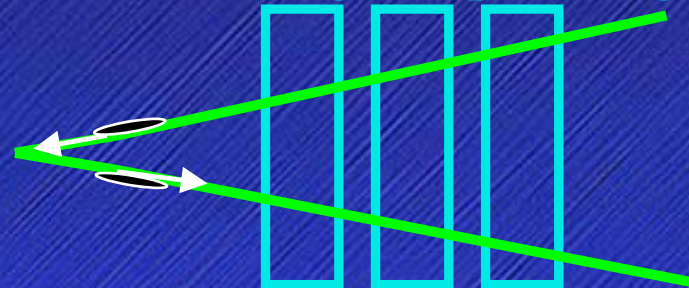
larger-aperture triplet magnets



- 50 ns spacing, longer & more intense bunches (5×10^{11} p's/bunch)
- $\beta^* \sim 25$ cm, no elements inside detectors
- long-range beam-beam wire compensation
→ novel operating regime for hadron colliders, beam generation

low emittance (LE) R. Garoby

stronger triplet magnets



- ultimate LHC beam (1.7×10^{11} p's/bunch, 25 ns spacing)
- $\beta^* \sim 10$ cm
- smaller transverse emittance
→ constraint on new injectors, off- δ β -beat

“LE”: rationale for lower emittance (R. Garoby);
fundamental equations of LHC performance

$$\Delta Q_{bb} \cong -\frac{N_b}{\varepsilon_N} \frac{r_p}{2\pi\sqrt{1+\phi^2}}$$

$$\phi = \theta\sigma_z / (2\sigma^*)$$

$$L = \frac{f_{rev}\gamma}{2r_p} n_b \frac{1}{\beta^*} N_b \Delta Q_{bb} F_{profile} F_{hg}$$

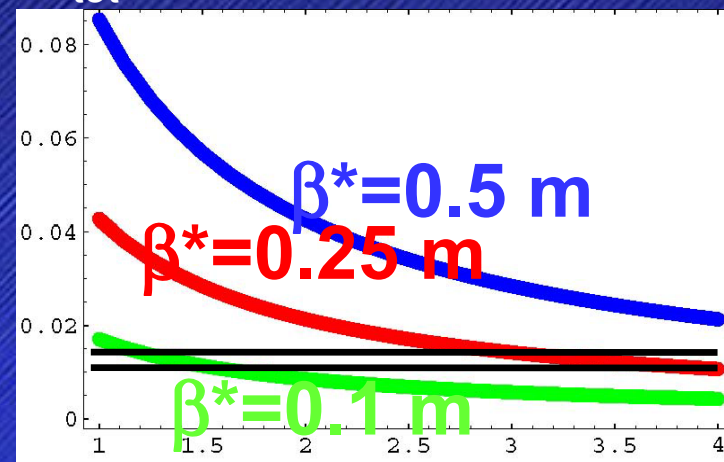
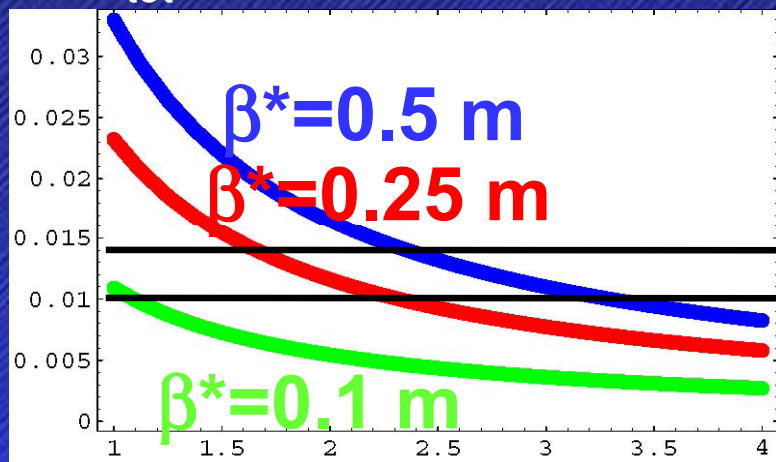
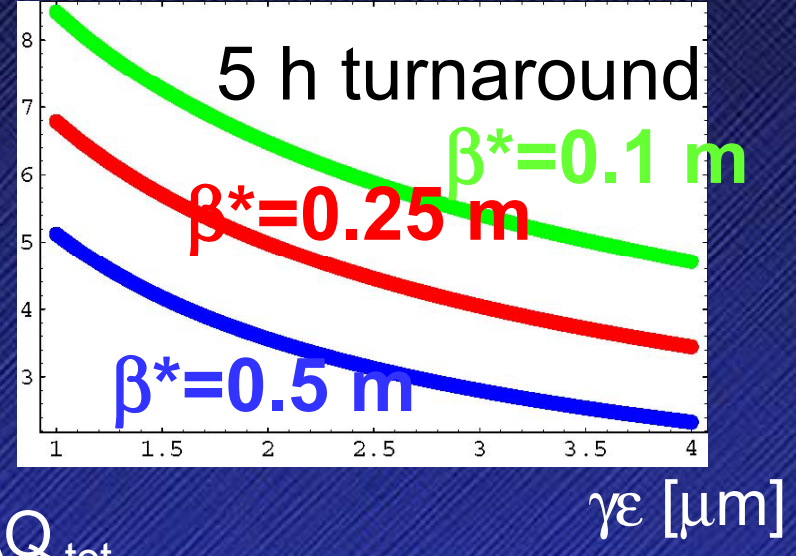
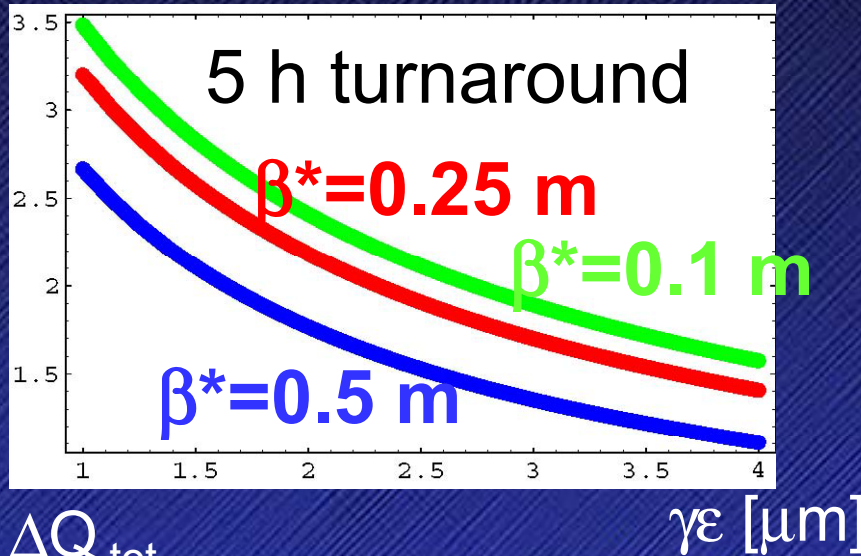
basic idea: reduce ε to compensate for larger
Piwinski angle and get the full benefit of IR
upgrade; at the same time the smaller emittance
relaxes aperture constraints

→ possible implications for the injection design

$\langle L \rangle$ and ΔQ vs. emittance

ultimate Gaussian bunches,
 $\langle L \rangle [10^{34} \text{ cm}^{-2}\text{s}^{-1}]$ 25 ns

long flat "LPA" bunches,
 $\langle L \rangle [10^{34} \text{ cm}^{-2}\text{s}^{-1}]$ 50 ns



2808 bunches with 7.55 cm length,
 ultimate bunch intensity 1.7×10^{11} , 9.5σ sep.

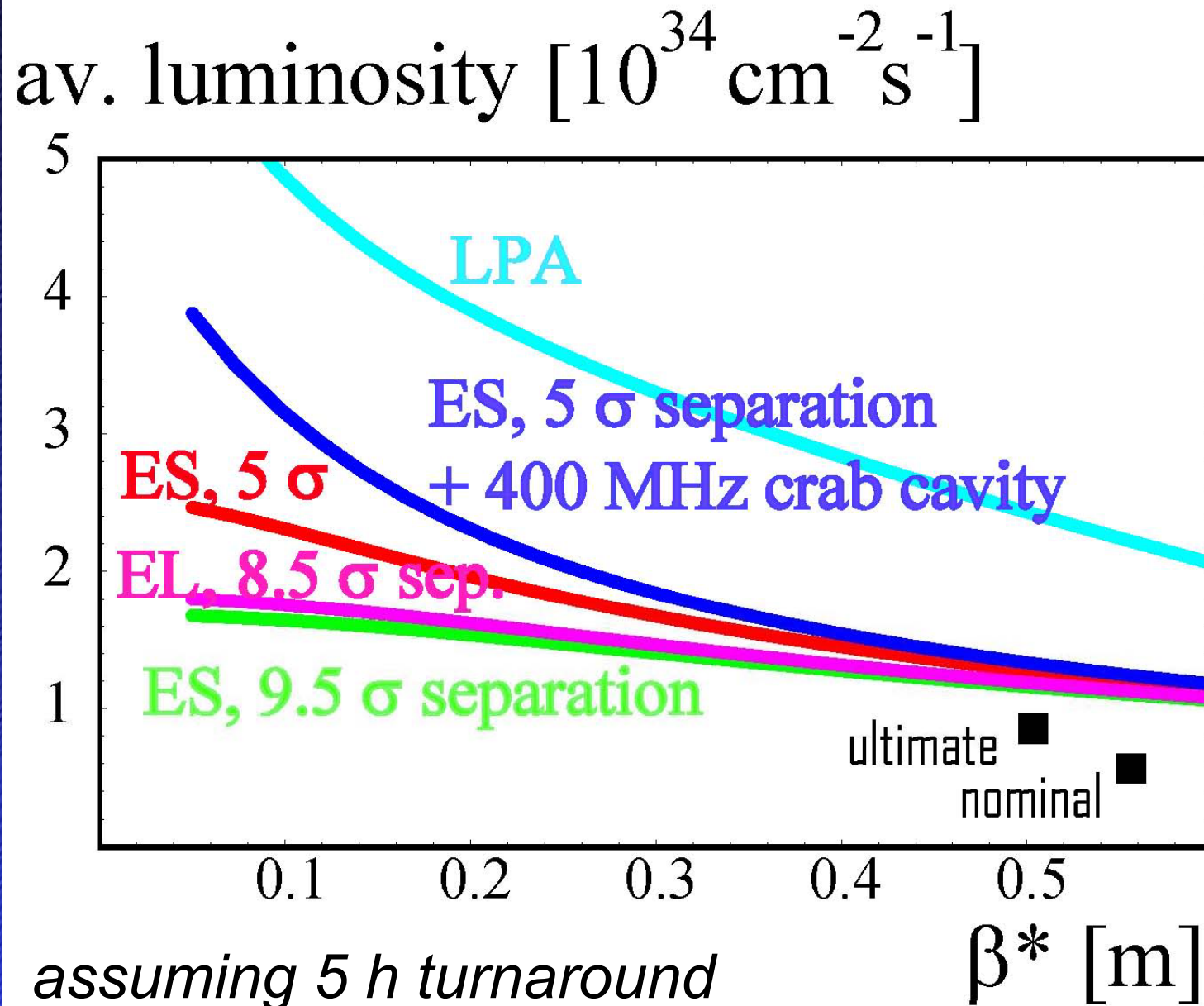
1404 bunches with 41 cm full length,
 bunch intensity 4.9×10^{11} , 8.5σ sep.

luminosity gain from smaller emittance

- for Gaussian ultimate bunches and $\beta^* \sim 0.1-0.25$ m, emittance reduction from 3.75 to **2 micron yields ~40-50% higher integrated luminosity**; a smaller emittance reduction to **2.6 micron gives ~22-27% av. luminosity increase**
- for long flat bunches and $\beta^* \sim 0.25$, emittance can be reduced only to 3 micron (tune shift limit), and the luminosity gain here is 13%
- for comparison the luminosity gain from crab cavities would be ~90% for $\beta^* \sim 0.1$ m

parameter	symbol	nominal	ultimate	ES	FCC	LE	LPA
transverse emittance	ϵ [μm]	3.75	3.75	3.75	3.75	1.0	3.75
protons per bunch	N_b [10^{11}]	1.15	1.7	1.7	1.7	1.7	4.9
bunch spacing	Δt [ns]	25	25	25	25	25	50
beam current	I [A]	0.58	0.86	0.86	0.86	0.86	1.22
longitudinal profile		Gauss	Gauss	Gauss	Gauss	Gauss	Flat
rms bunch length	σ_z [cm]	7.55	7.55	7.55	7.55	7.55	11.8
beta* at IP1&5	β^* [m]	0.55	0.5	0.08	0.08	0.1	0.25
full crossing angle	θ_c [μrad]	285	315	0	0	311	381
Piwinski parameter	$\phi = \theta_c \sigma_z / (2 \sigma_x^*)$	0.64	0.75	0	0	3.2	2.0
geometric reduction		1.0	1.0	0.86	0.86	0.30	0.99
peak luminosity	L [$10^{34} \text{ cm}^{-2}\text{s}^{-1}$]	1	2.3	15.5	15.5	16.3	10.7
peak events per #ing		19	44	294	294	309	403
initial lumi lifetime	τ_L [h]	22	14	2.2	2.2	2.0	4.5
effective luminosity ($T_{\text{turnaround}}=10 \text{ h}$)	L_{eff} [$10^{34} \text{ cm}^{-2}\text{s}^{-1}$]	0.46	0.91	2.4	2.4	2.5	2.5
	$T_{\text{run,opt}}$ [h]	21.2	17.0	6.6	6.6	6.4	9.5
effective luminosity ($T_{\text{turnaround}}=5 \text{ h}$)	L_{eff} [$10^{34} \text{ cm}^{-2}\text{s}^{-1}$]	0.56	1.15	3.6	3.6	3.7	3.5
	$T_{\text{run,opt}}$ [h]	15.0	12.0	4.6	4.6	4.5	6.7
e-c heat SEY=1.4(1.3)	P [W/m]	1.1 (0.4)	1.04(0.6)	1.0 (0.6)	1.0 (0.6)	1.0 (0.6)	0.4 (0.1)
SR heat load 4.6-20 K	P_{SR} [W/m]	0.17	0.25	0.25	0.25	0.25	0.36
image current heat	P_{IC} [W/m]	0.15	0.33	0.33	0.33	0.33	0.78
gas-s. 100 h (10 h) τ_b	P_{gas} [W/m]	0.04 (0.4)	0.06 (0.6)	0.06 (0.56)	0.06 (0.56)	0.06 (0.56)	0.09 (0.9)
extent luminous region	σ_l [cm]	4.5	4.3	3.7	3.7	1.5	5.3
comment		nominal	ultimate	D0 + crab	crab		wire comp.

average luminosity vs β^*



2008 progress with all 4 scenarios

ES: 2008 SPS beam studies;
beam-beam working meeting August 2008;
detailed design & discussion with experiments;
→ *G. Sterbini, J.-P. Koutchouk*

FCC: two mini-workshops, global collaboration,
joint studies with KEKB team; staged
approach
→ *R. Calaga*

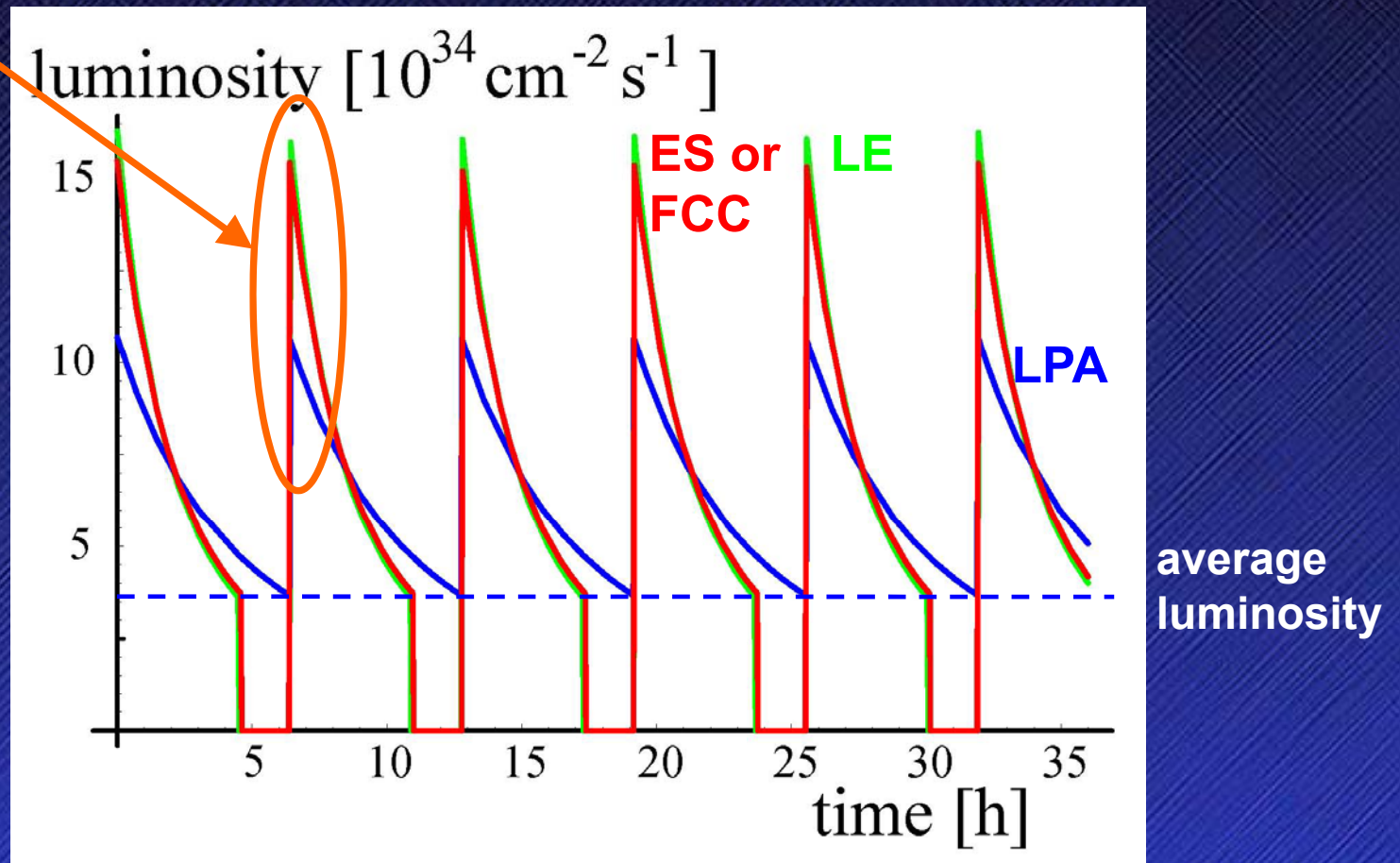
LPA: 2008 simulations & PS beam study
→ *C. Bhat*

LE: 2008 proposal (R. Garoby); parameter study
→ *discussion?!*

luminosity leveling

initial luminosity
peak may not
be useful for
physics
(set up &
tuning?)

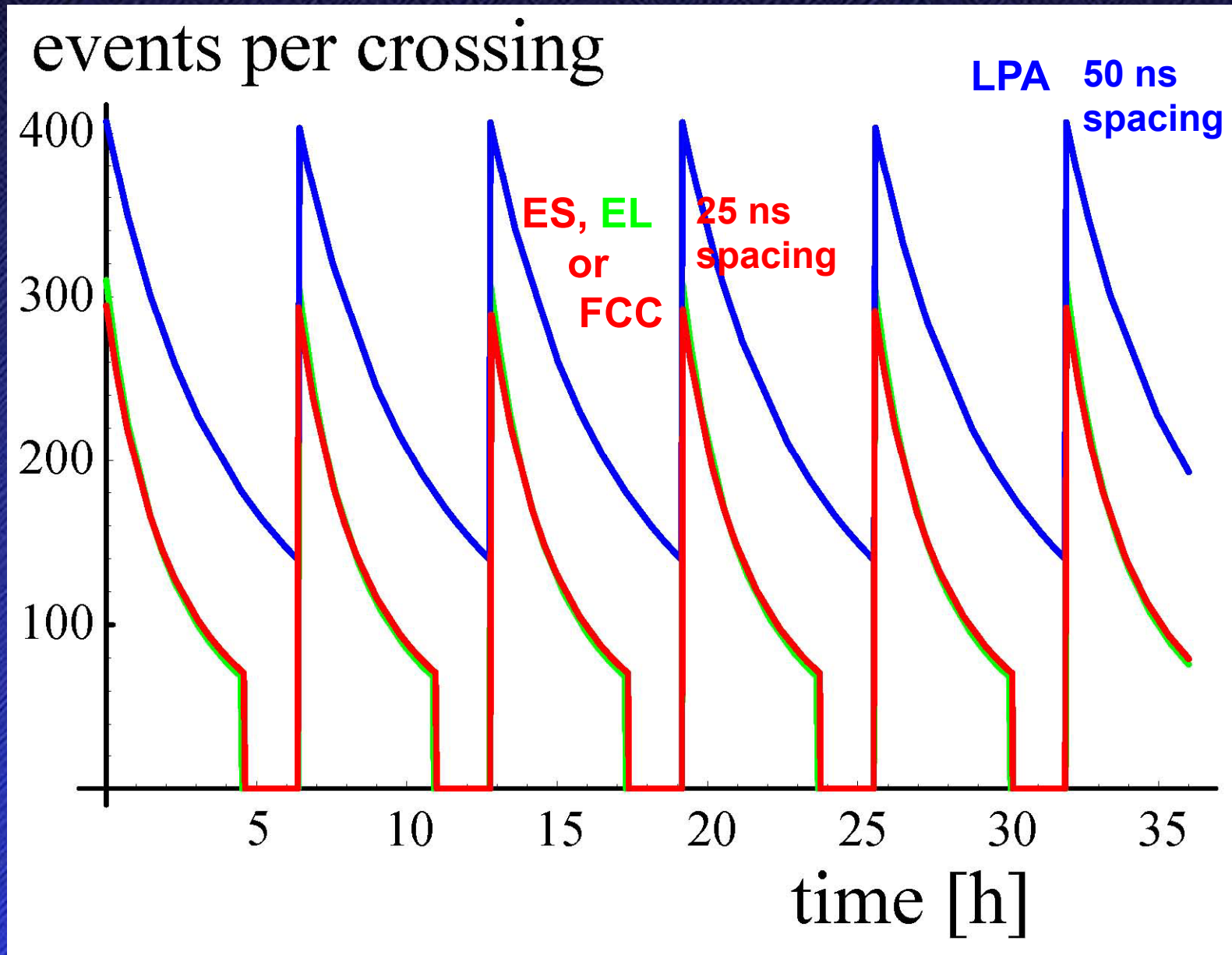
experiments
prefer
~constant
luminosity, less
pile up at start
of run, higher
luminosity at
end



how can we achieve this?

ES, or FCC: dynamic β squeeze, or dynamic θ change (either IP angle bumps or varying crab voltage); LE: β or θ change; LPA: dynamic β squeeze, or dynamic change of bunch length

IP1& 5 event pile up for 25 & 50-ns spacing w/o leveling



run time & average luminosity

	w/o leveling	with leveling
luminosity evolution	$L(t) = \frac{\hat{L}}{\left(1 + t / \tau_{eff}\right)^2}$	$L = L_0 \approx const$
beam current evolution	$N(t) = \frac{N_0}{\left(1 + t / \tau_{eff}\right)}$	$N = N_0 - \frac{N_0}{\tau_{lev}} t$
optimum run time	$T_{run} = \sqrt{\tau_{eff} T_{turn-around}}$	$T_{run} = \frac{\Delta N_{max} \tau_{lev}}{N_0}$
average luminosity	$L_{ave} = \hat{L} \frac{\tau_{eff}}{\left(\tau_{eff}^{1/2} + T_{turn-around}^{1/2}\right)^2}$	$L_{ave} = \frac{L_0}{1 + \frac{L_0 \sigma_{tot} n_{IP}}{\Delta N_{max} n_b} T_{turn-around}}$

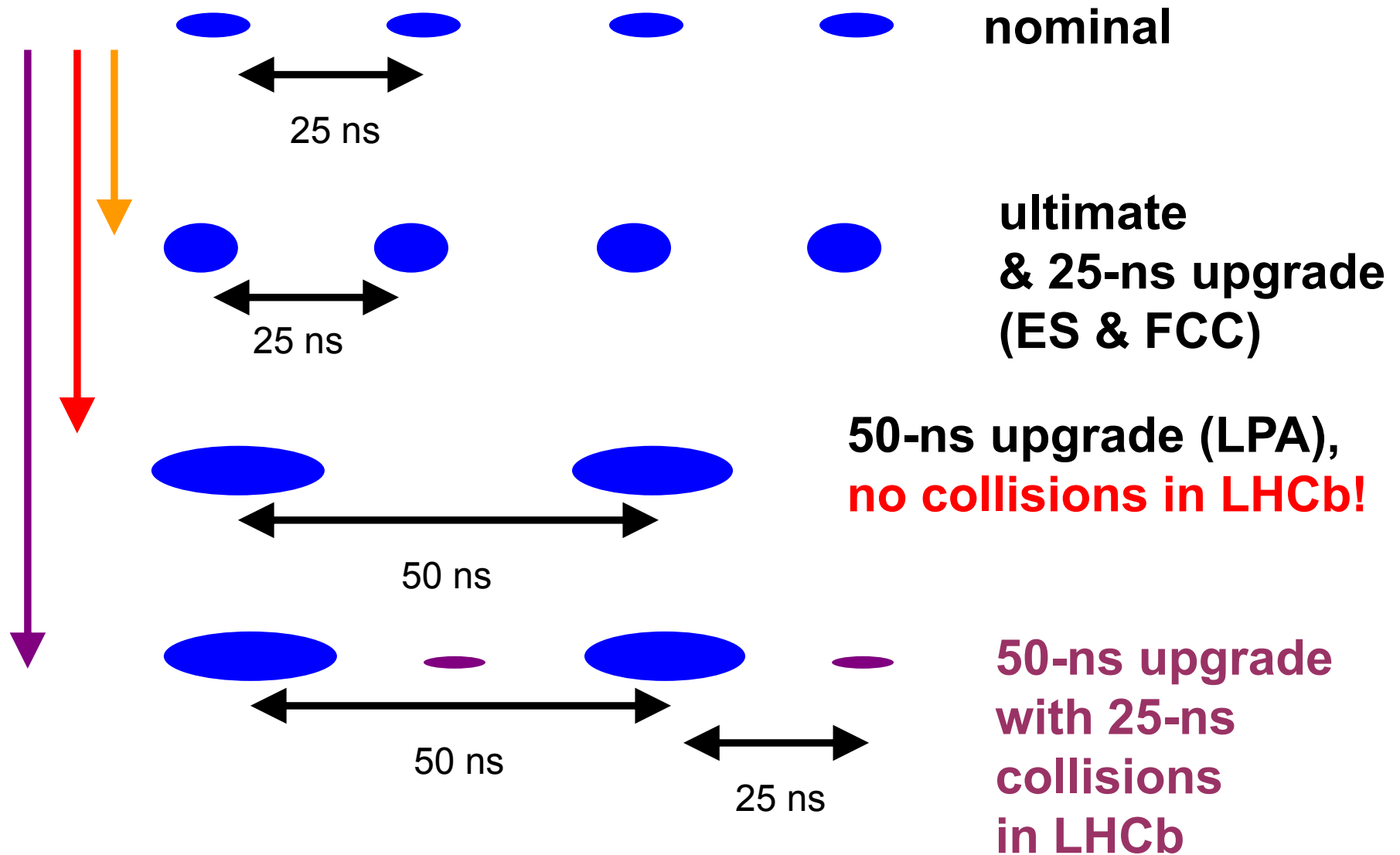
$$\tau_{eff} = \frac{N_0 n_b}{n_{IP} \hat{L} \sigma_{tot}}$$

$$\tau_{lev} = \frac{N_0 n_b}{n_{IP} L_0 \sigma_{tot}}$$

<i>examples</i>	ES, low β^* , with leveling	LPA, long bunches, with leveling
events/crossing	300	300
run time	N/A	2.5 h
av. luminosity	N/A	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$
events/crossing	150	150
run time	2.5 h	14.8 h
av. luminosity	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$	$2.9 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$
events/crossing	75	75
run time	9.9 h	26.4 h
av. luminosity	$2.6 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$	$1.7 \times 10^{34} \text{s}^{-1} \text{cm}^{-2}$

assuming 5 h turn-around time

upgrade bunch structures





experimenters' choice (LHCC July 2008)

- ✓ no accelerator components inside detector
- ✓ lowest possible event pile up
- ✓ possibility of easy luminosity levelling

→ **full crab crossing upgrade**

→ **and/or low-emittance upgrade?**



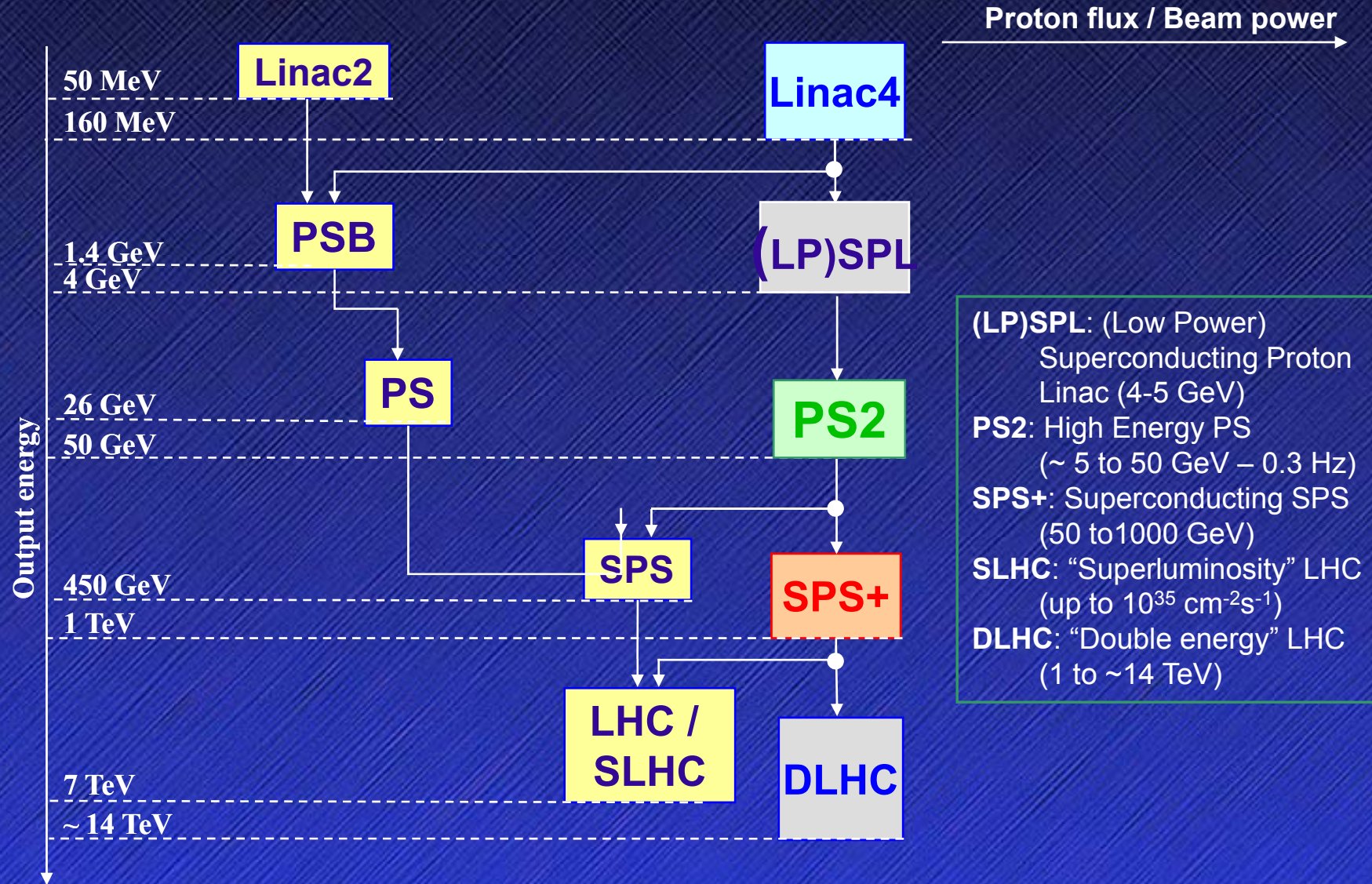
*injector upgrade
& schedule*

LHC injector upgrade

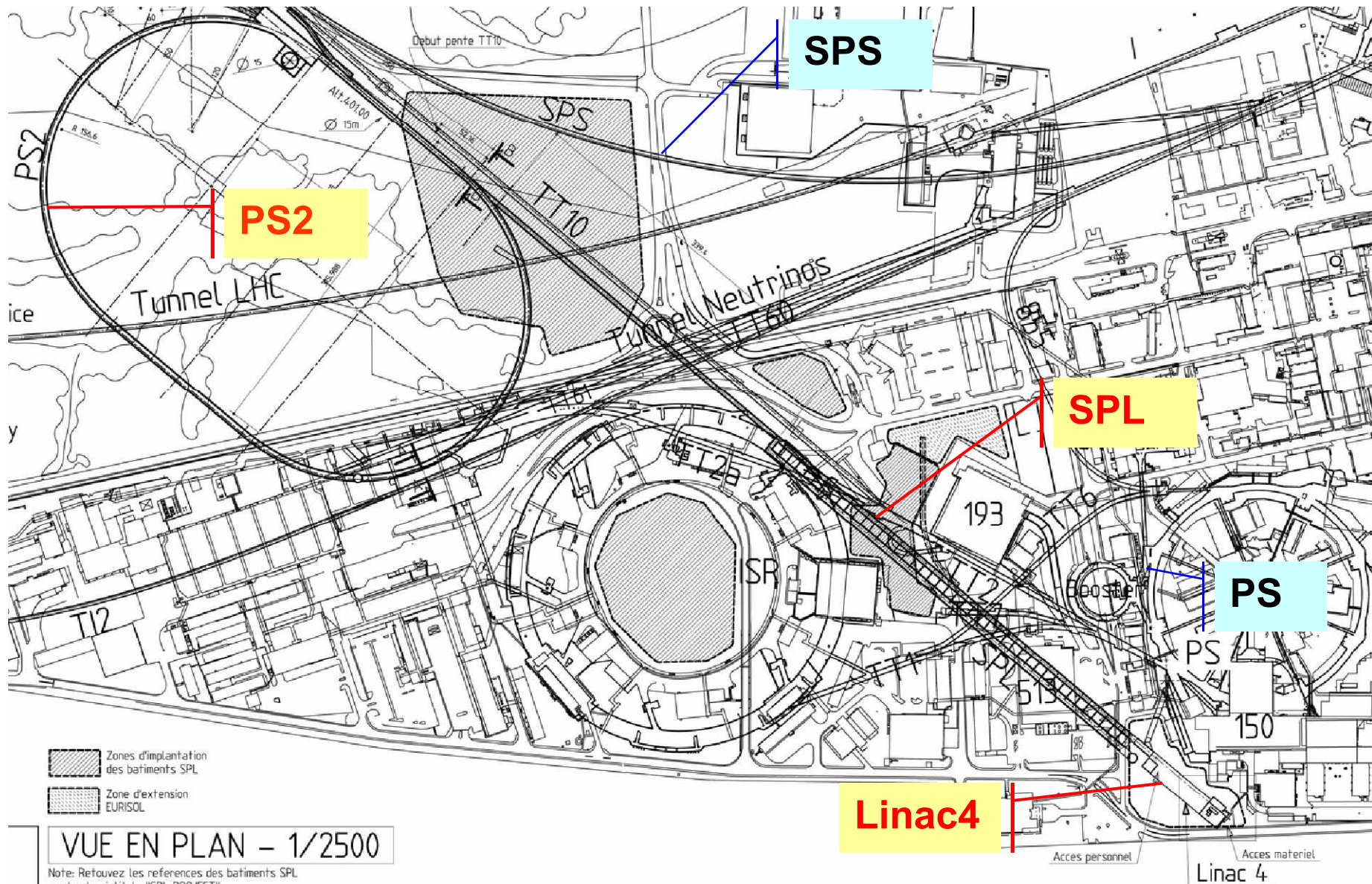
Reasons:

- need for **reliability**:
 - accelerators are old [Linac2: 1978, PSB: 1975, PS: 1959, SPS: 1976]
 - they operate far from their design parameters and close to hardware limits
 - the infrastructure has suffered from the concentration of resources on LHC during the past 10 years
- need for **better beam characteristics**

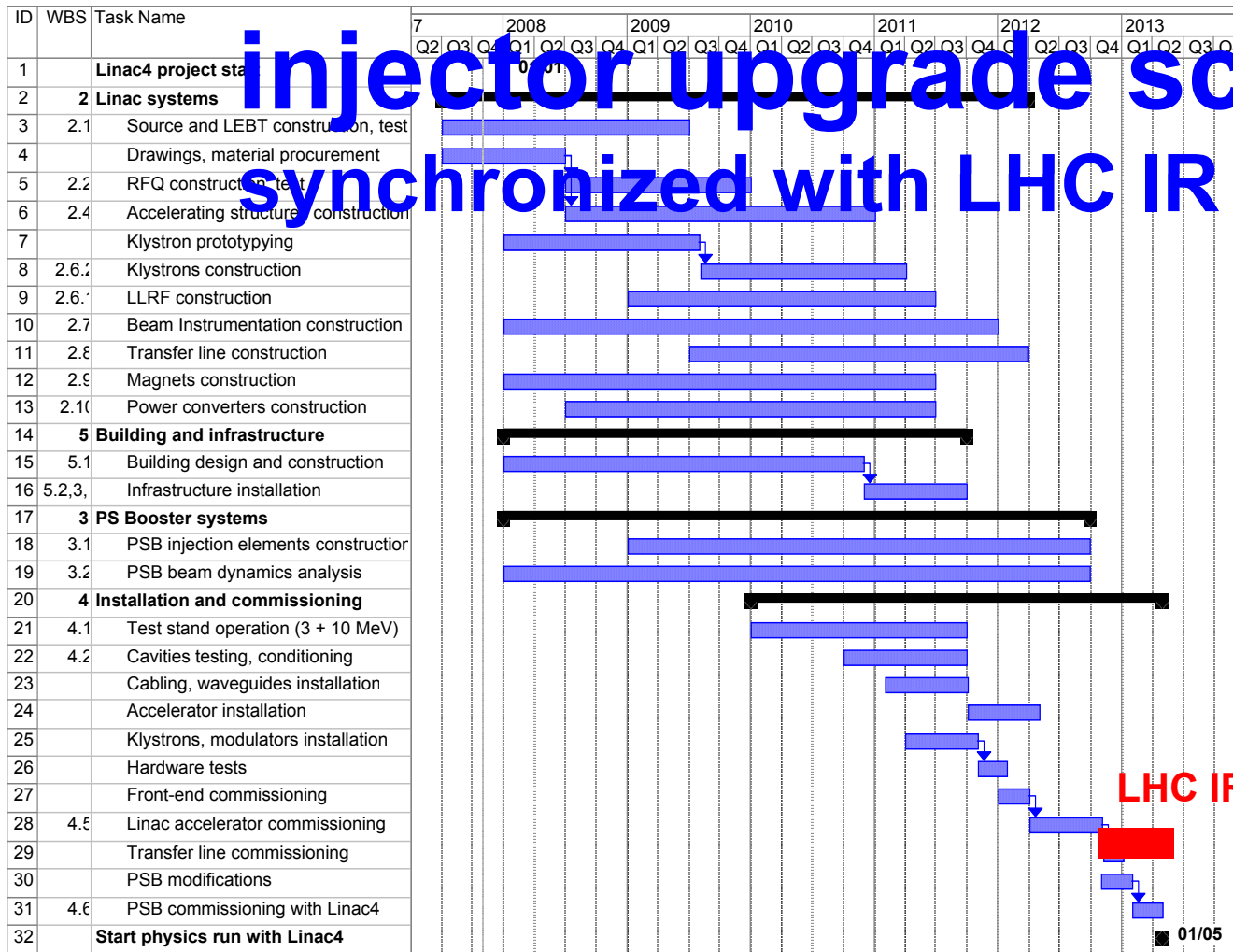
present and future injectors



layout of the new injectors



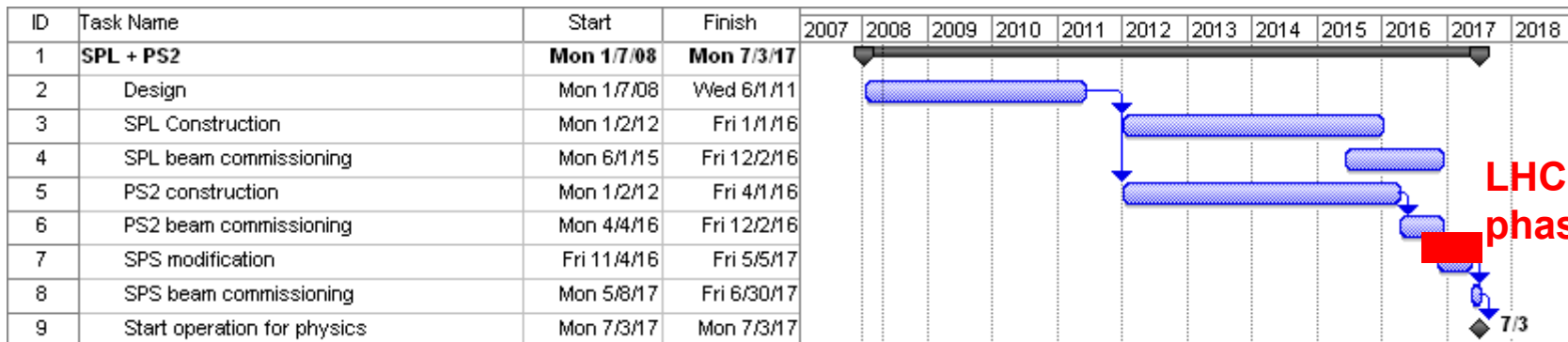
injector upgrade schedule synchronized with LHC IR upgrades



R. Garoby,
LHCC 1 July 2008

LHC IR phase 1

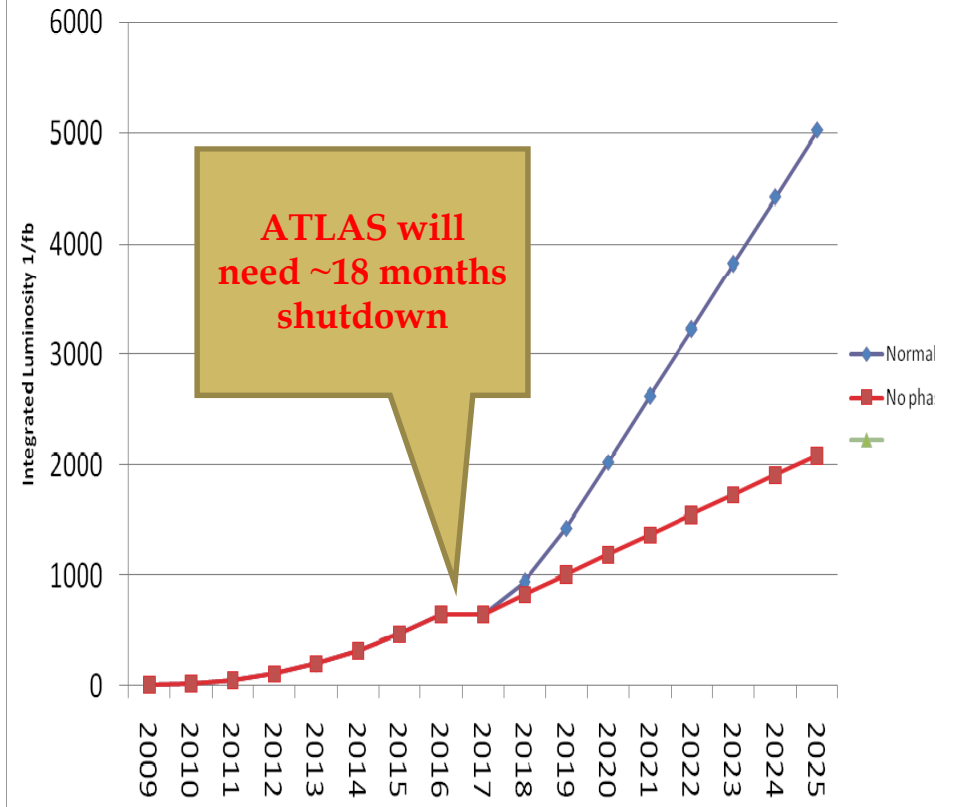
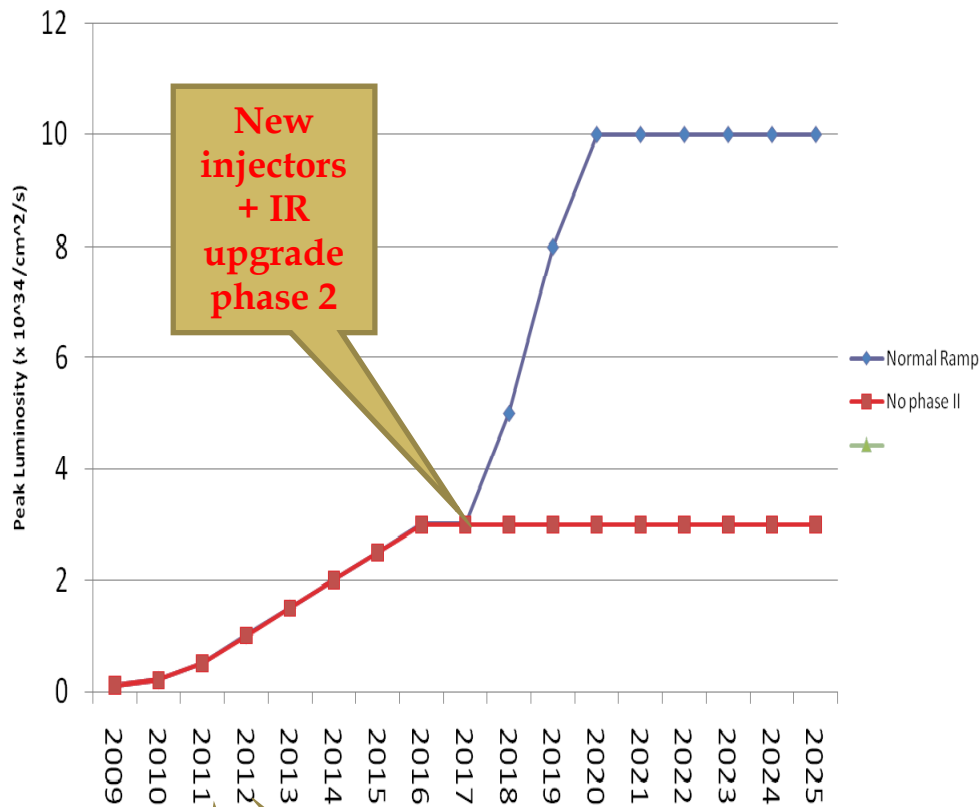
01/05



LHC IR phase 2

7/3

forecast peak & integrated luminosity evolution

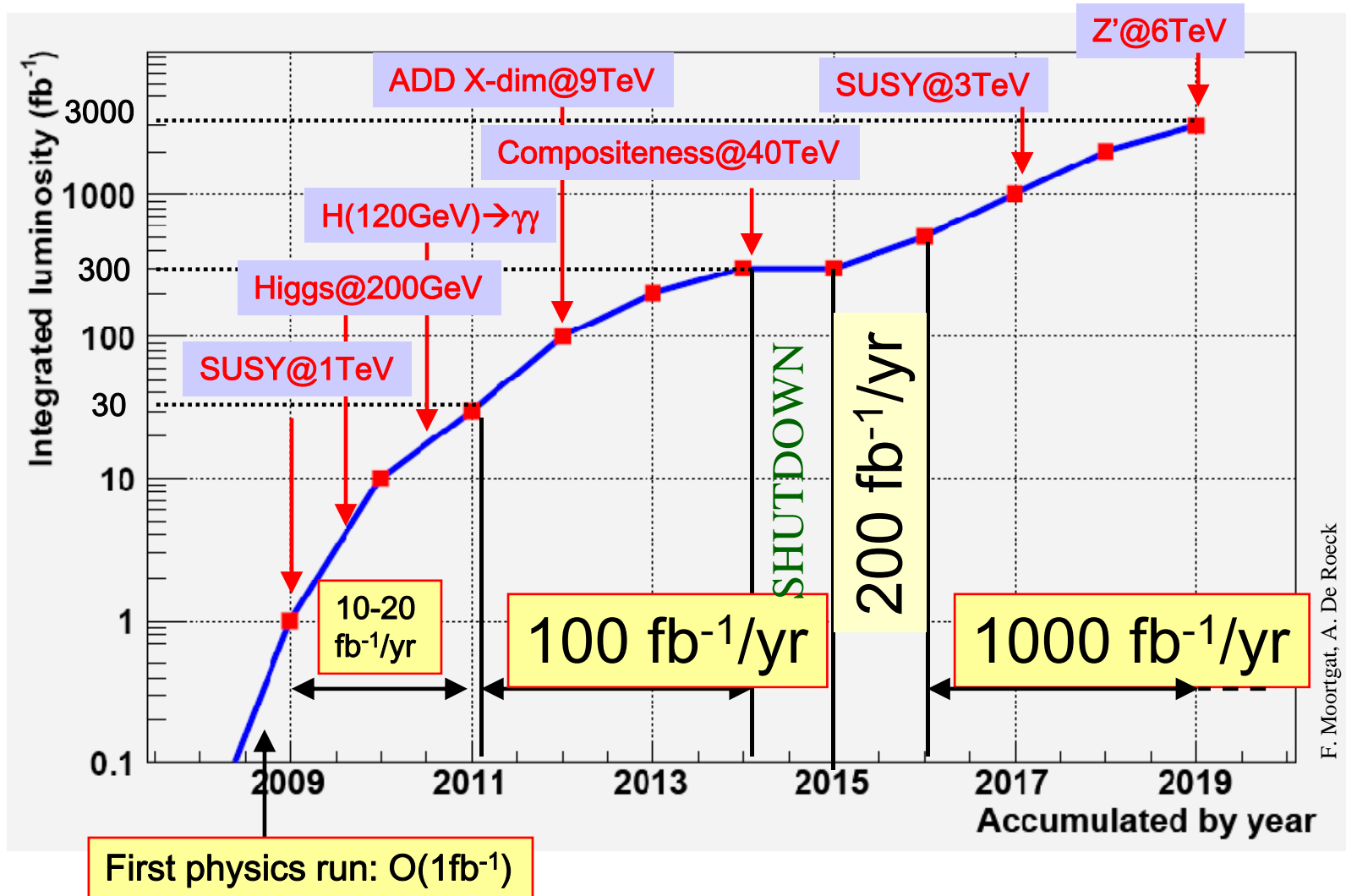


Collimation phase 2

Linac4 + IR upgrade phase 1

goal for ATLAS Upgrade:
 3000 fb⁻¹ recorded
 cope with ~400 pile-up events each BC

LHC Luminosity/Sensitivity with time



A. de Roeck, 2007

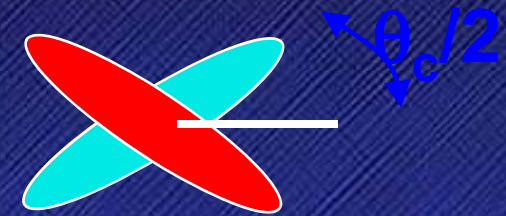
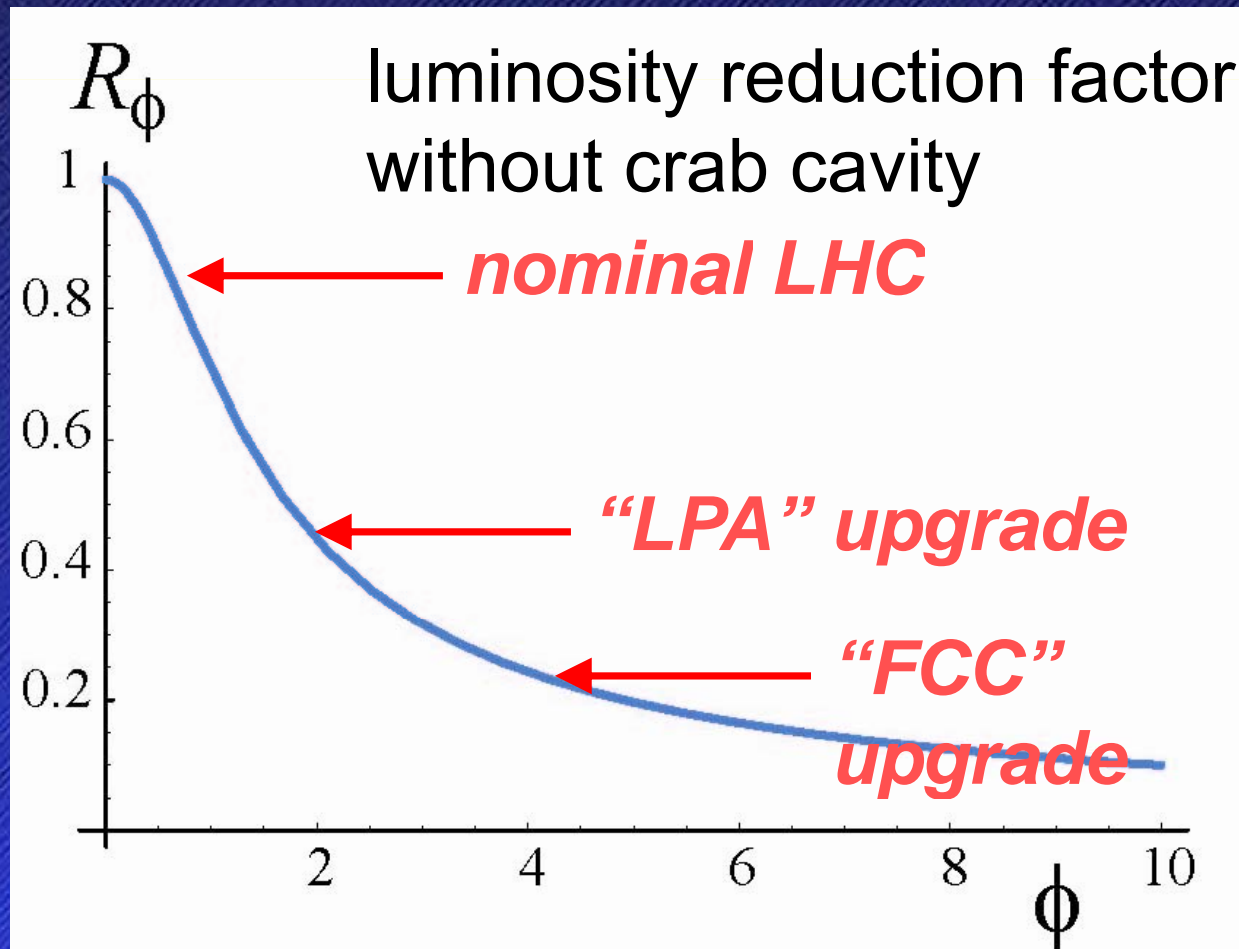
crab cavities



crab cavity motivation



$$R_\phi = \frac{1}{\sqrt{1 + \phi^2}}; \quad \phi \equiv \frac{\theta_c \sigma_z}{2\sigma_x} \text{ "Piwinski angle"}$$



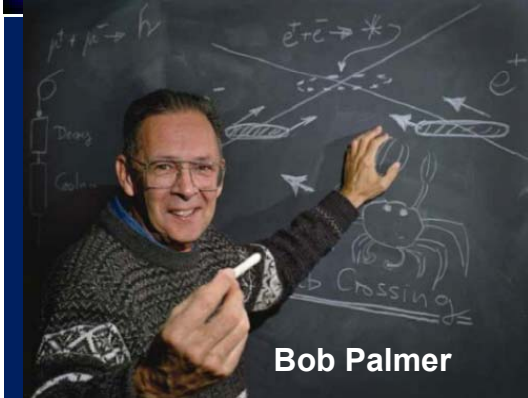
effective beam size $\sigma \rightarrow \sigma/R_\phi$



CARE-HHH

LHC Crab Cavity Validation ~~HHH~~ →

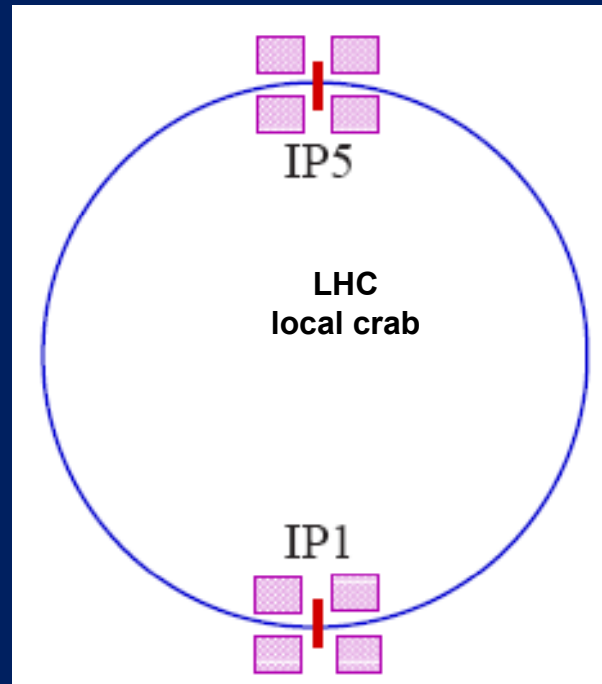
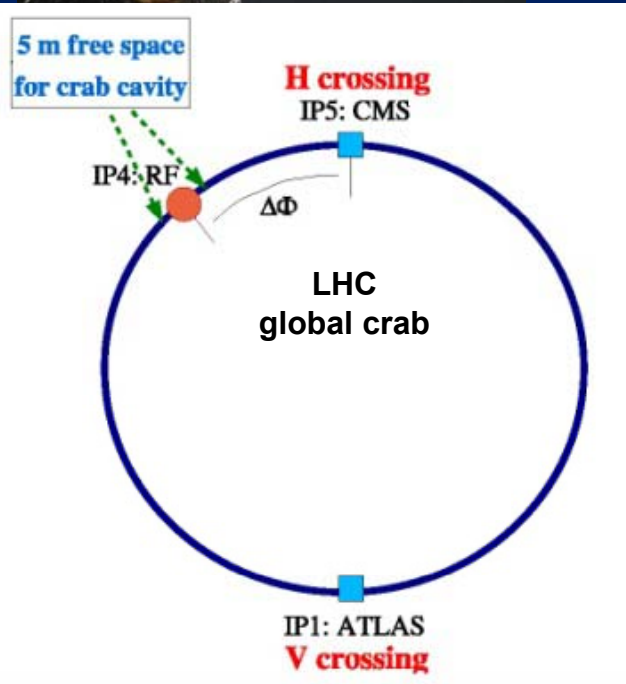
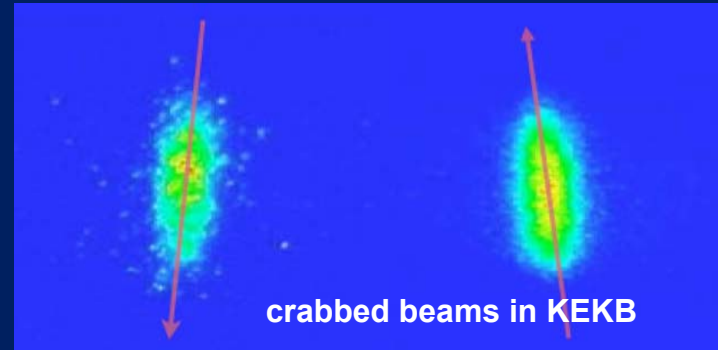
Mini-Workshop, 21 August 2008



Bob Palmer

invention
1988

first use in operating
ring collider 2007

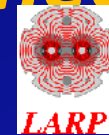


- KEKB experience
- R&D plan
- phased approach:
 - (1) prototype
 - (2) "global" crab cavity test in IR4,
 - (3) "local" crab cavities in IR1 & 5
- EuCARD, US-LARP & international collaboration

global & local schemes considered for LHC

global crab-cavity simulation & theory effort

1. Beam-beam studies:



- a. K. Ohmi (KEK) - white noise - SS & WS simulations , BBSS code, **scaling law** with respect to noise correlation time, effect of **RF curvature, luminosity** estimates
- b. Y.-P. Sun, U. Dorda, R. Tomas (CERN); R. Calaga (BNL) – single particle dynamics , Sixtrack/MADX/BBTRACK codes, WS simulations, **footprints**, luminosity, **noise spectrum**,...
- c. J. Qiang (LBNL), SS simulations, BeamBeam3D code

2. Collimation & impedance:

- a. Y.-P. Sun, R. Tomas, J. Barranco, F. Zimmermann (CERN); R. Calaga (BNL) – Sixtrack/Colltrack tracking, **cleaning efficiency**
- b. F. Zimmermann, E. Metral (CERN); R. Calaga (BNL),...: **impedance estimates** and **HOM damping requirements**

3. Operational scenarios:

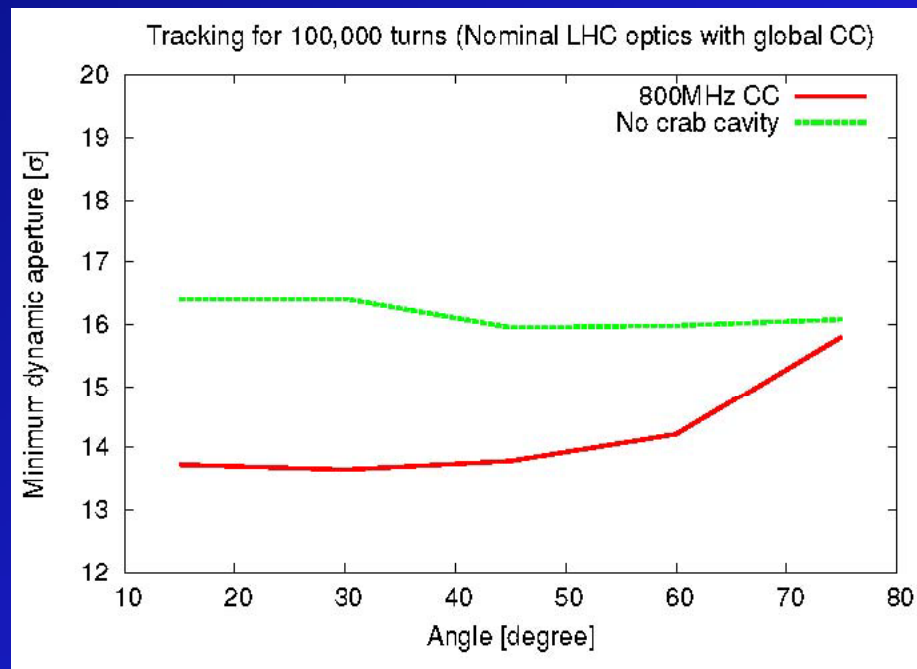
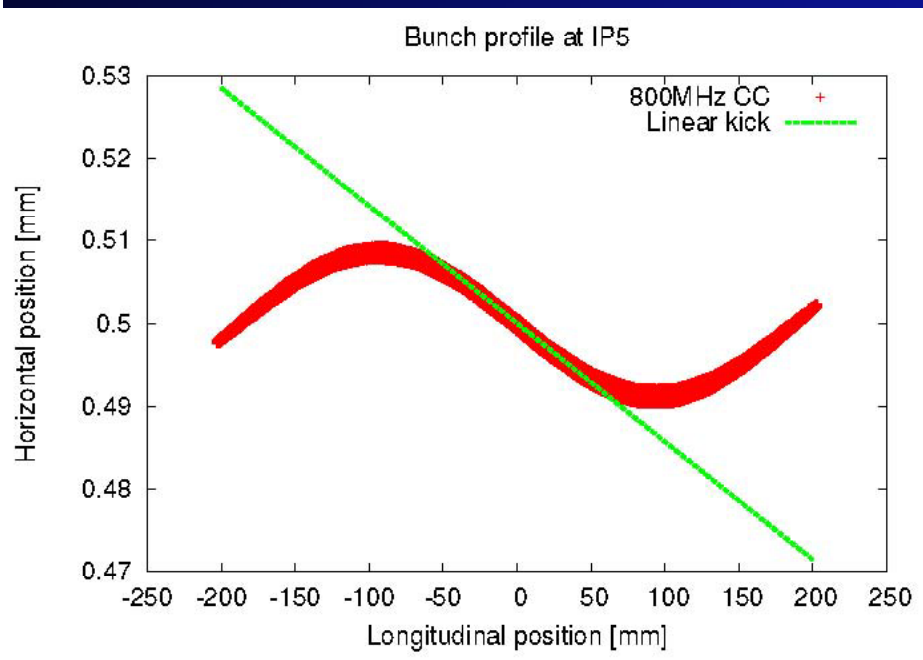
- a. A. Morita (KEK); R. Calaga (BNL); R. Tomas, F. Zimmermann (CERN): **crab ramping/detuning studies**
- b. K. Oide et al (KEK): joined **KEKB machine experiments & studies**

example:

tracking for global 800-MHz crab cavity

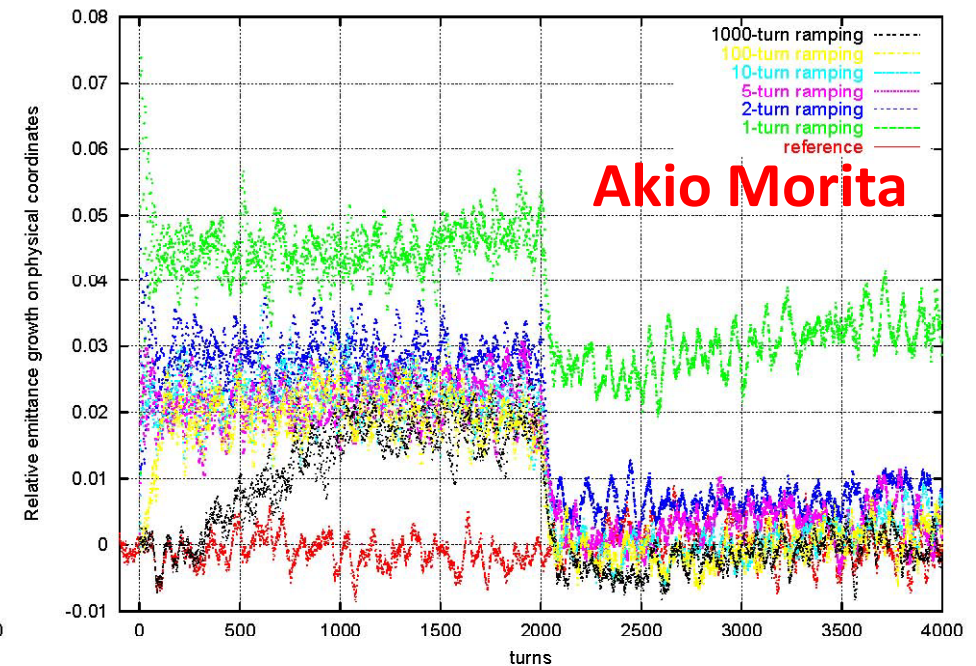
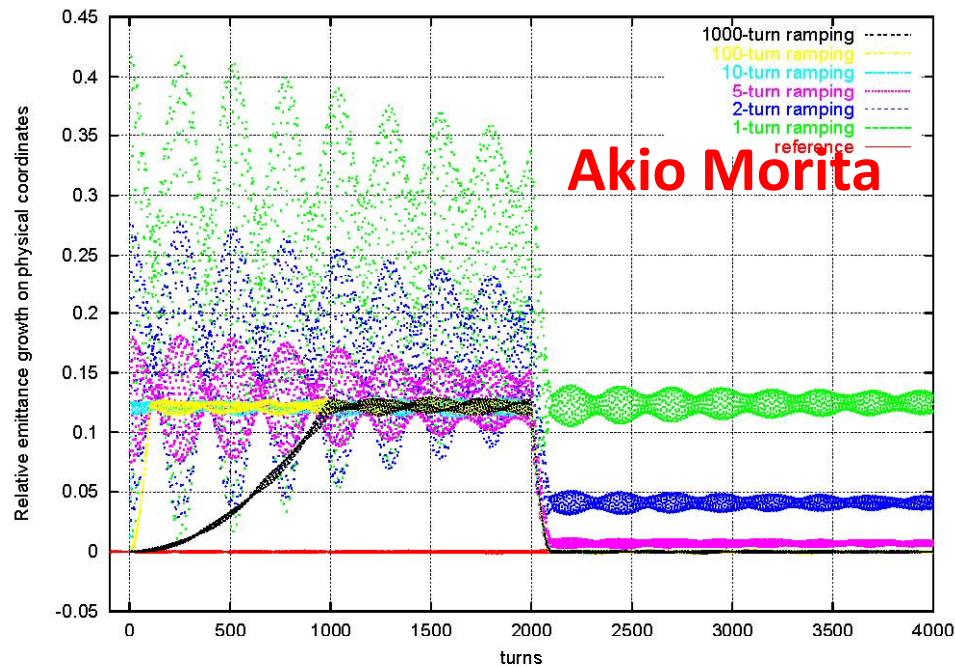
IP bunch shape

dynamic aperture



Yi-Peng Sun

another example: emittance growth due to crab up/down ramping



w/o tune spread

with tune spread

- no emittance dilution for ramp time > 10 turns
- voltage ramping not a problem for $Q_{\text{loaded}} > 10^6$

Akio Morita



tentative schedule for crab-cavity ~~HHH~~ → prototype & first beam tests

Schedule **T. Linnear, HHH Crab-Cavity Validation Workshop August 2008**

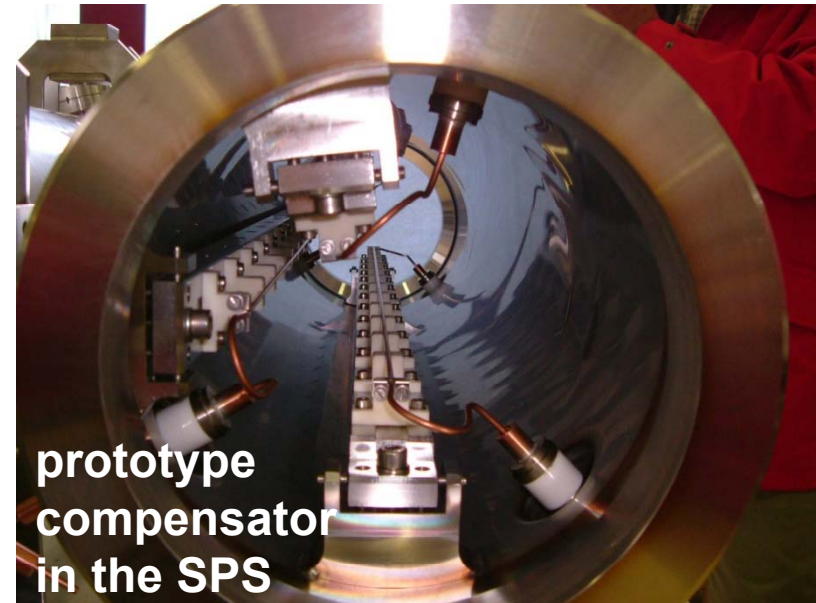
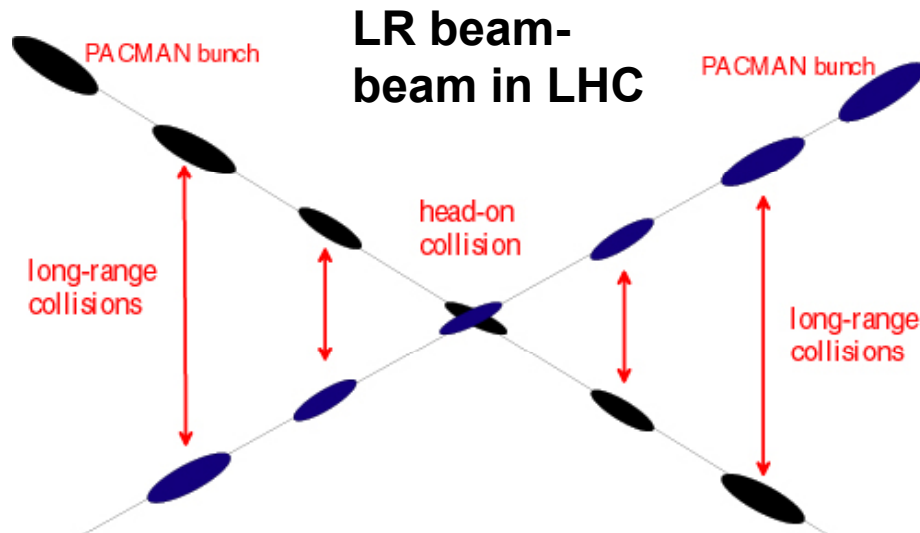
		2008	2009	2010	2011	2012
R & D and test stand work	Cavity					
	Vertical test					
	HOM couplers					
	LOM coupler					
	Main coupler					
	Tuner					
	Cryostat					
Confirmation main parameters						
Full Prototype Design for installation	Cryostat plus cavity					
	Personnel / Hardware safety					
	Tunnel layout, cryogenics interface					
	Survey / Alignment					
	Radiation Issues					
	Cavity servo-control control					
	Synchronisation control					
	Slow controls					
	RF power source					
Paperwork for review						
Design validation review						
Construction & Installation	Construction cryomodules					
	Full bunker tests					
	Construction power source					
	Construction electronics					
	System tests					
	Tunnel mods.					
	Installation					
	Beam tests					

local crab cavities together with IR phase-2 ~2017 ?

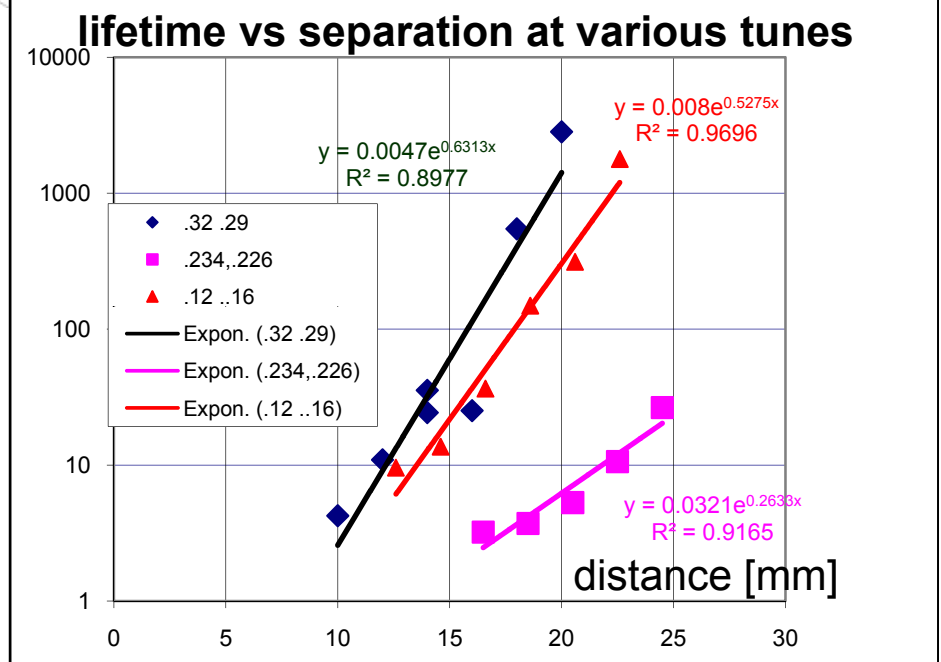
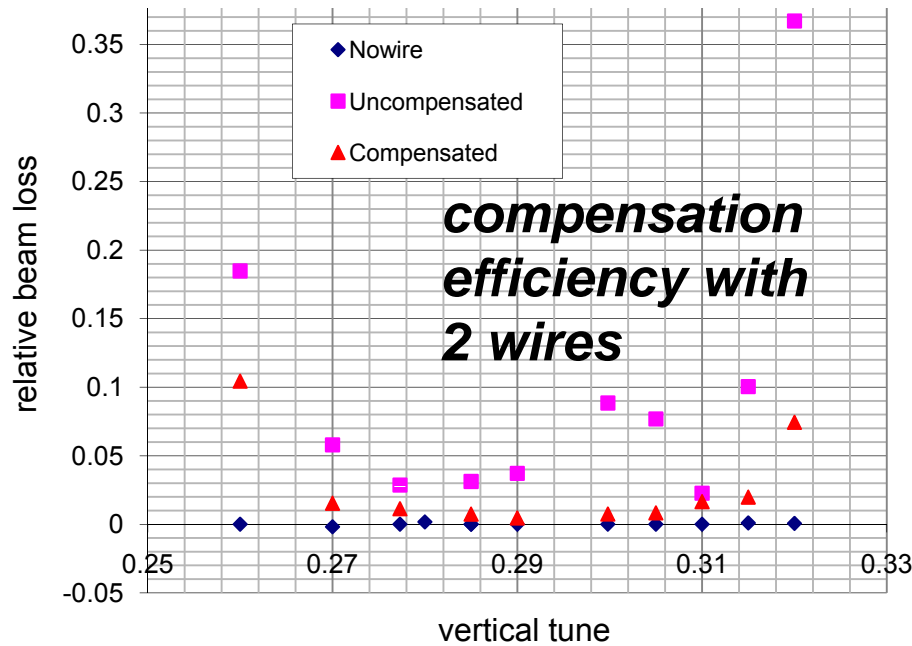
complementary advanced schemes:

- ❖ beam-beam compensation
- ❖ crab waist collision
- ❖ electron-cloud mitigation
- ❖ crystal collimation
- ❖ cooling

long-range beam-beam compensation



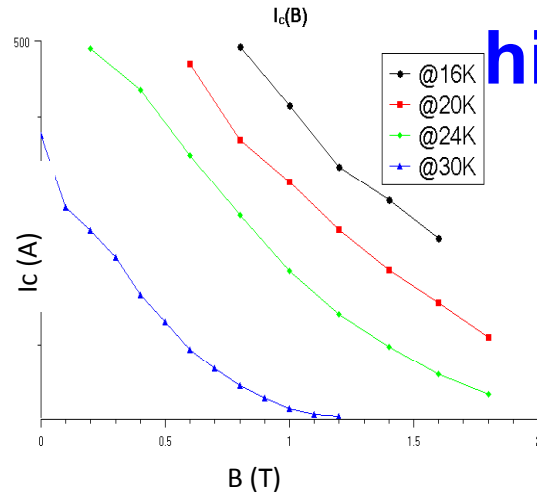
G. Sterbini, J.-P. Koutchouk U. Dorda, et al, SPS MD August 2008



high-Tc s.c. LRBB compensator

A. Ballarino, CARE-HHH

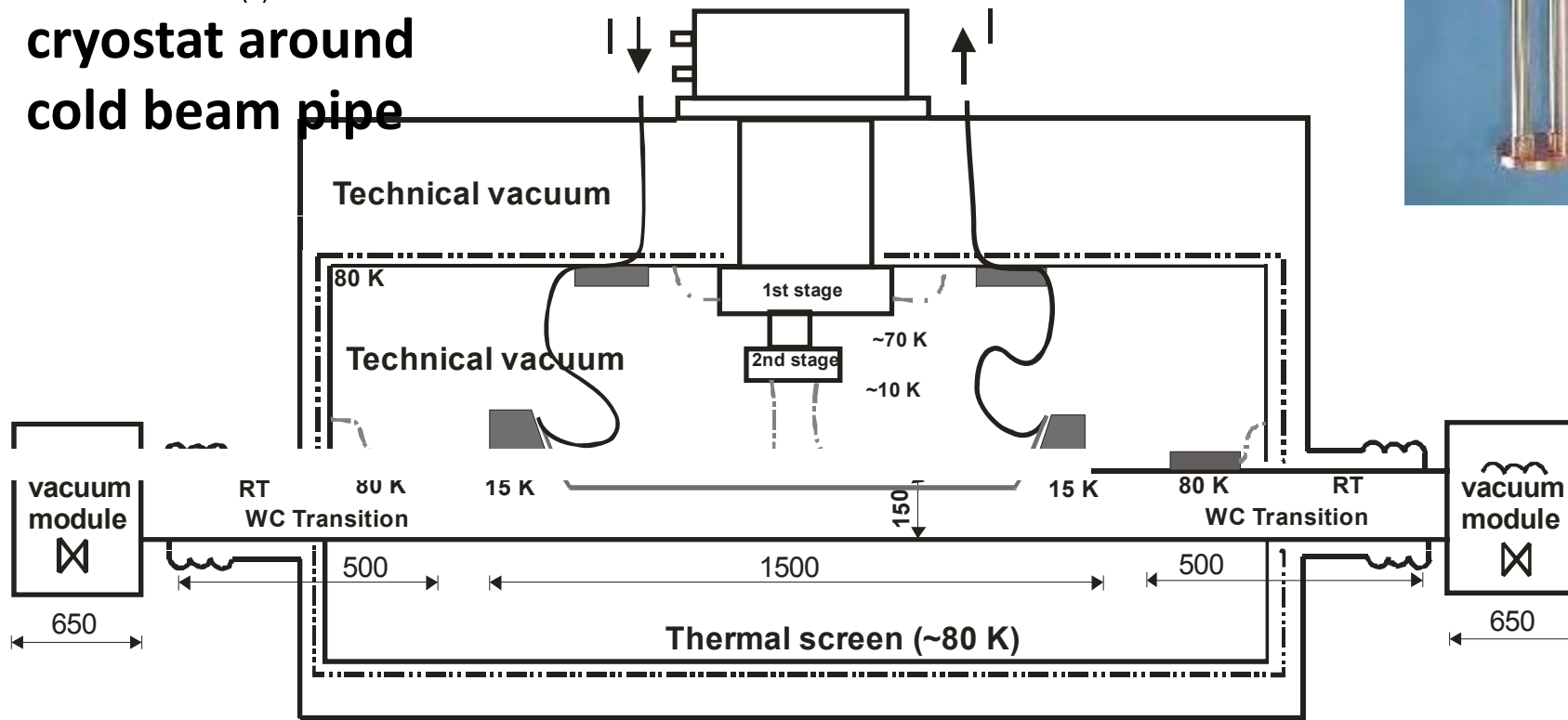
mini-workshop, 28 August 2008



MgB₂ conductor
 Ex-situ, 1.1 mm Φ
 MgB₂ wire (Columbus)

two-stage pulse tube cryocooler

cryostat around cold beam pipe



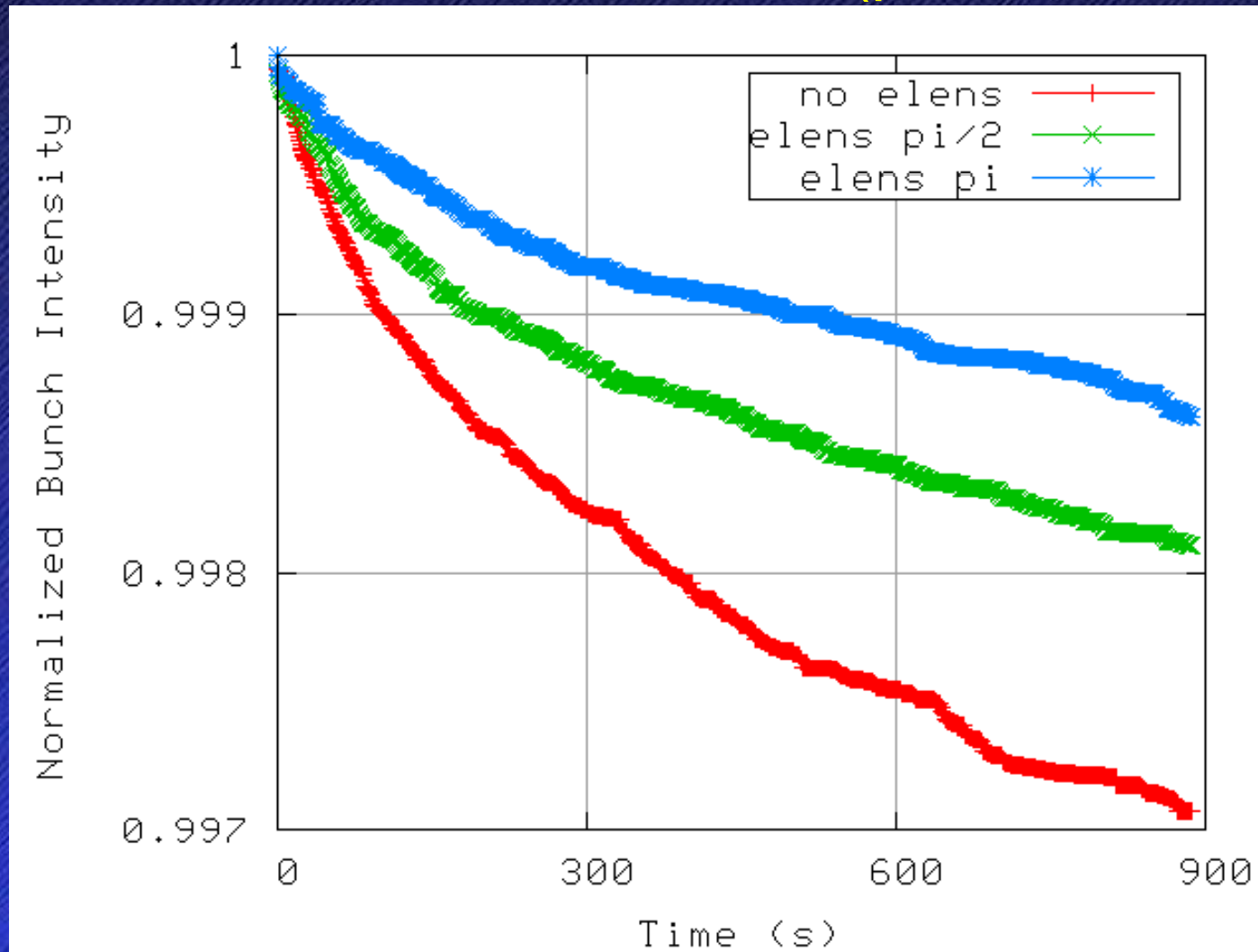
LTOT ~4 m (including vacuum modules)
 Lcryostat ~2.5 m
 HTOT ~1.2 m



electron lens



use of e-lens as tune-spread compressor improves simulated LHC beam lifetime (phase to IP important)



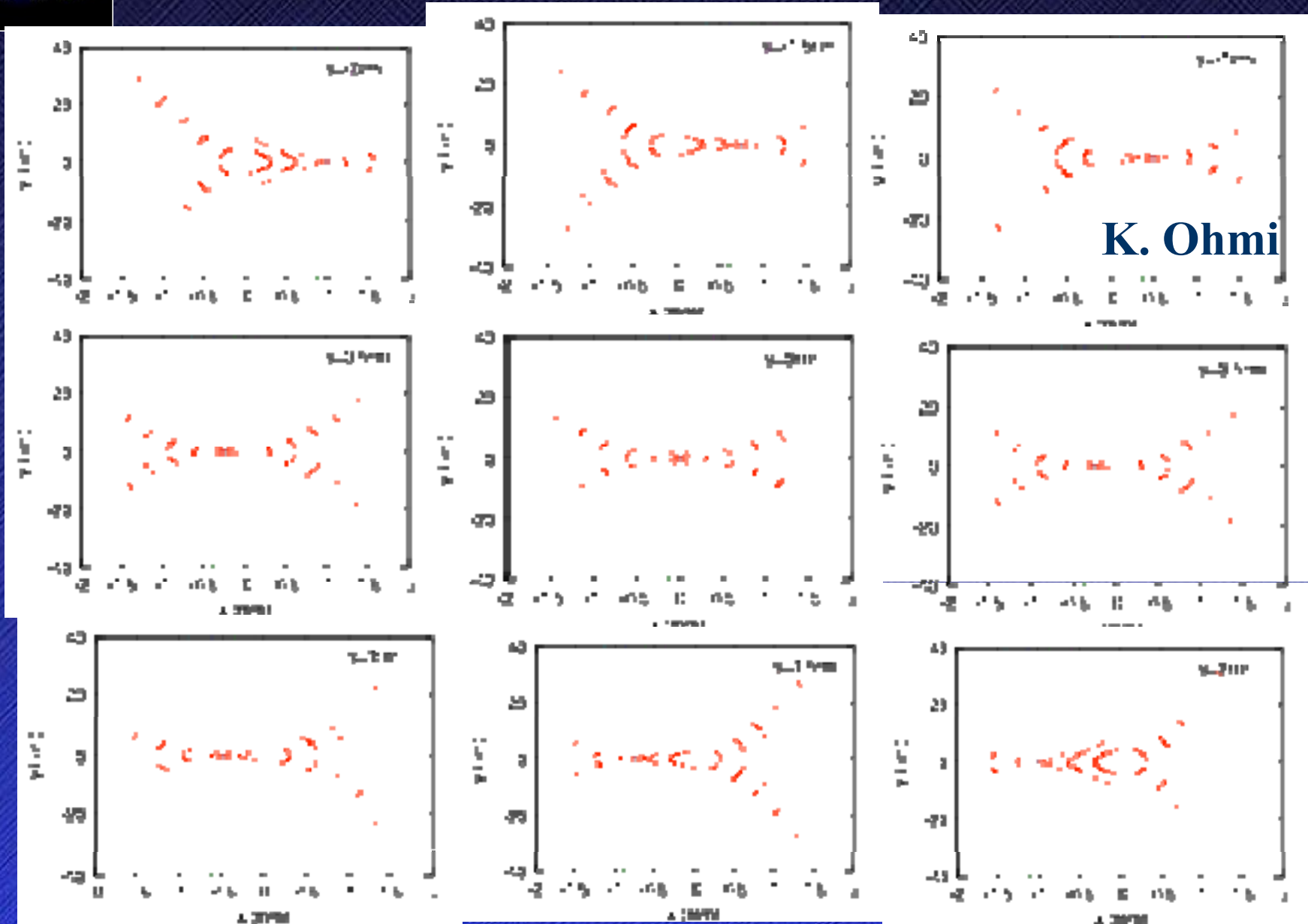
A.Valishev,
CARE-HHH
mini-workshop
on beam-beam
Compensation,
28 August 2008

complementary advanced schemes:

- ❖ beam-beam compensation
- ❖ crab waist collision
- ❖ electron-cloud mitigation
- ❖ crystal collimation
- ❖ cooling



crab-waist collisions



K. Ohmi

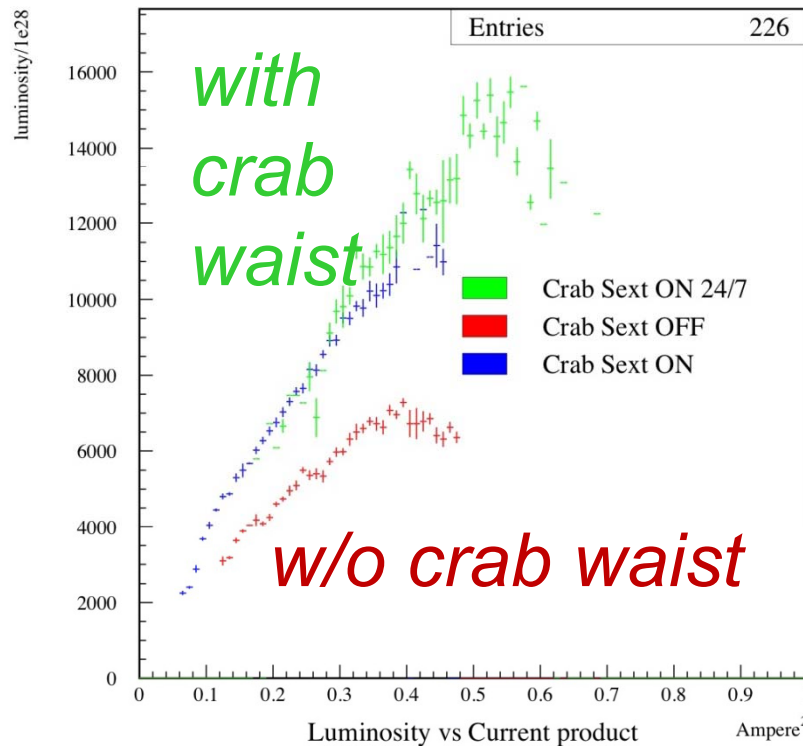
vertical focus moves through the beam horizontally



“crab-waist” collisions at DAFNE (note: no crab cavities but sextupoles!)

luminosity

C. Milardi



current product

can we make
use of
crab waists
at the LHC?



crab waist in LHC?



one example:

K. Ohmi
CARE-HHH
mini-workshop
28 August '08

$\phi=3.5$ in LPA option

β_y squeezed to $\sigma_x/\phi=2.1\text{cm}$ (*extreme!*)

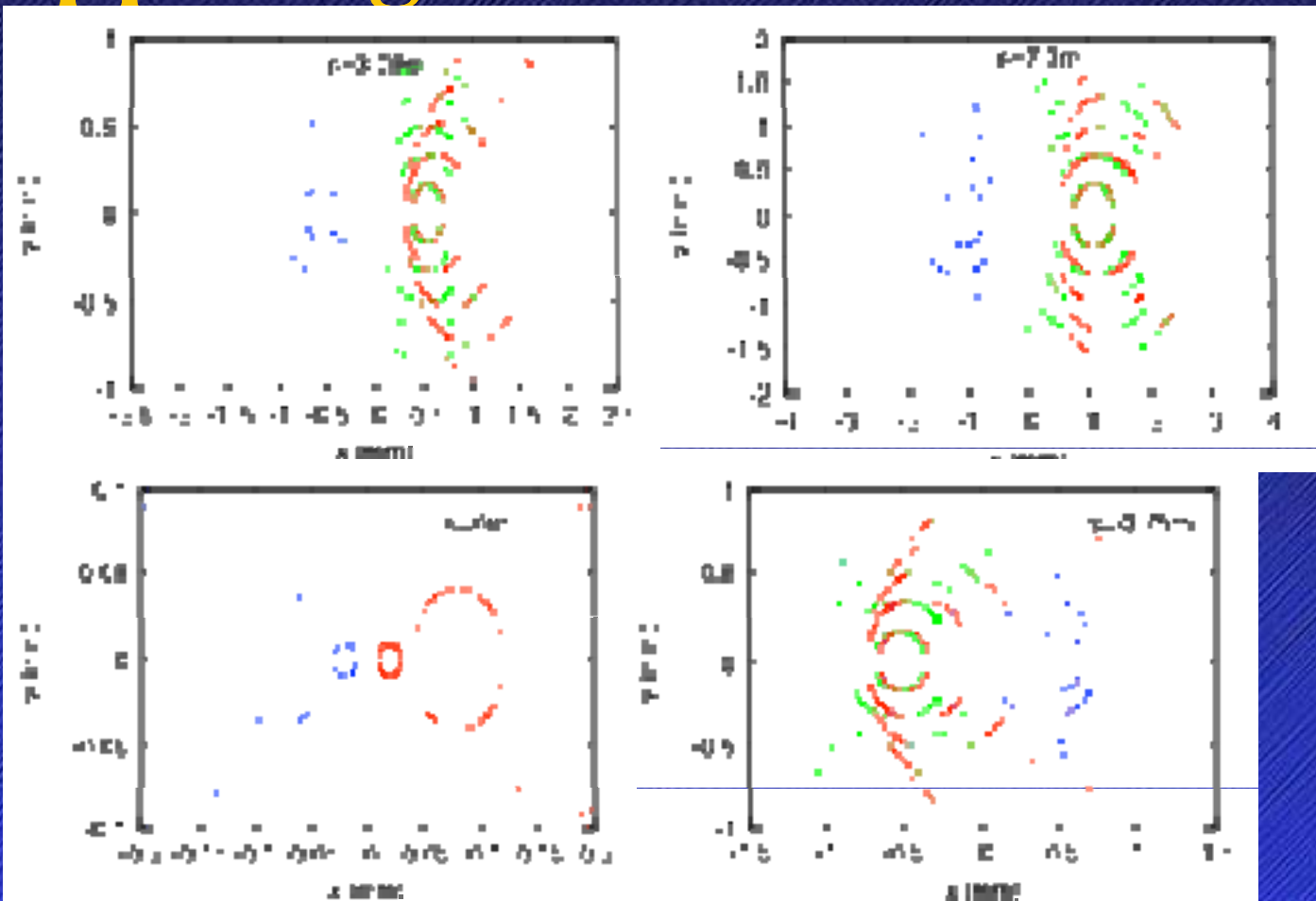
→ L increases $(14/2.1)^{1/2}=2.6$ times

→ ξ_y decreases and ξ_x is small for LPA

→ “crab waist has a chance to work!”



another use of “crab-waist”: push beam halo away from opposing beam at LR collisions

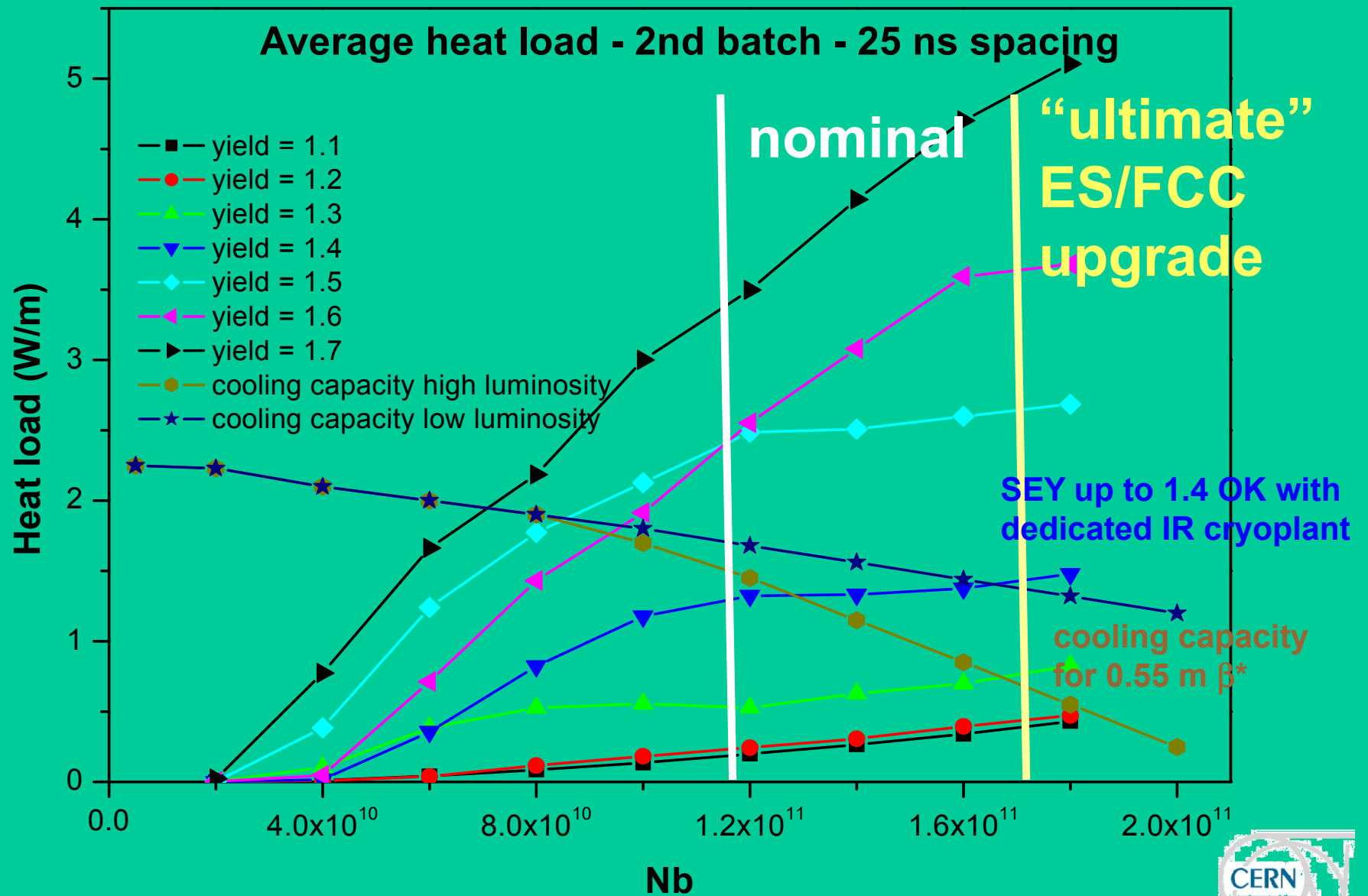


K. Ohmi

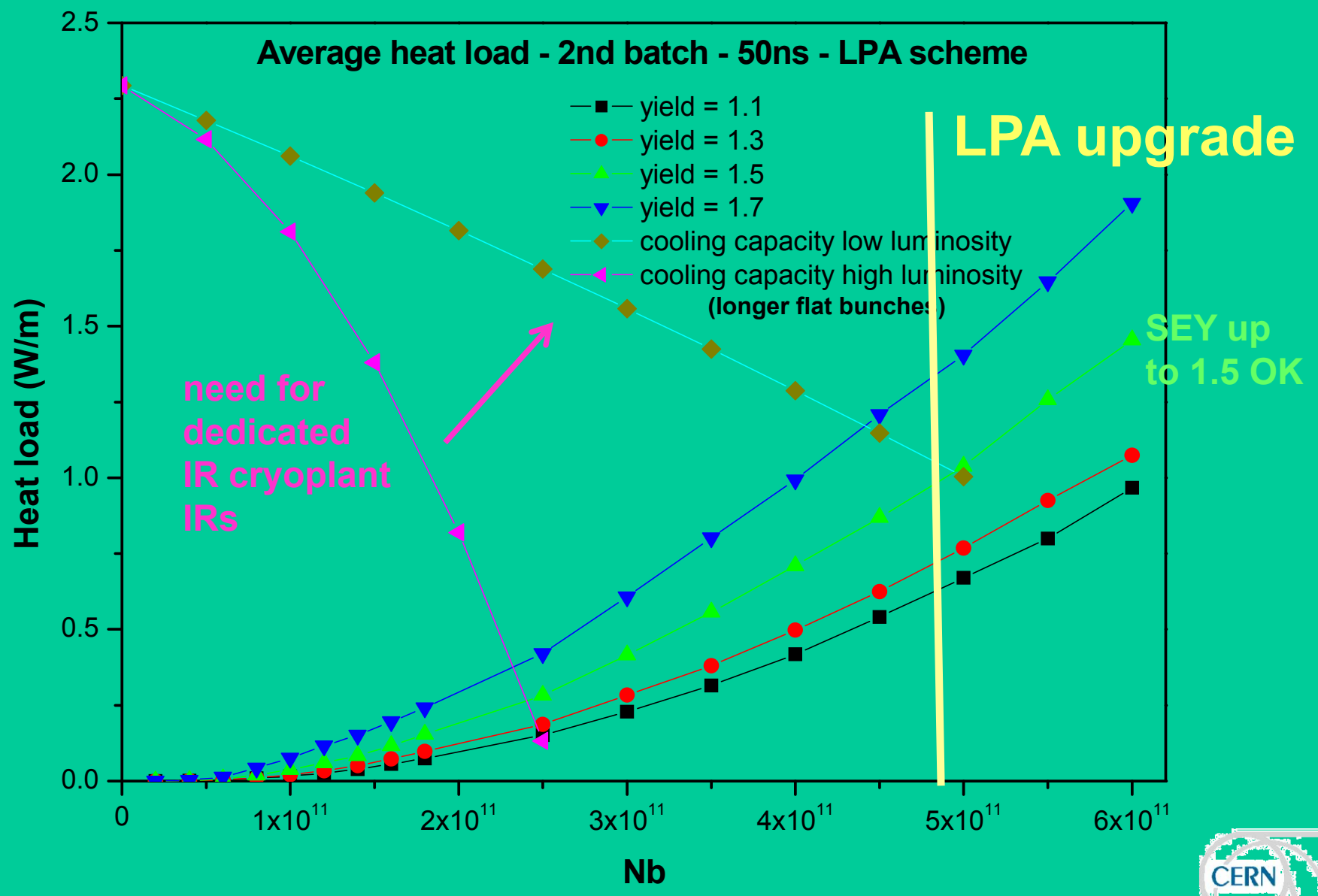
complementary advanced schemes:

- ❖ beam-beam compensation
- ❖ crab waist collision
- ❖ electron-cloud mitigation
- ❖ crystal collimation
- ❖ cooling

e- heat load for 25 ns spacing



e- heat load for 50 ns spacing

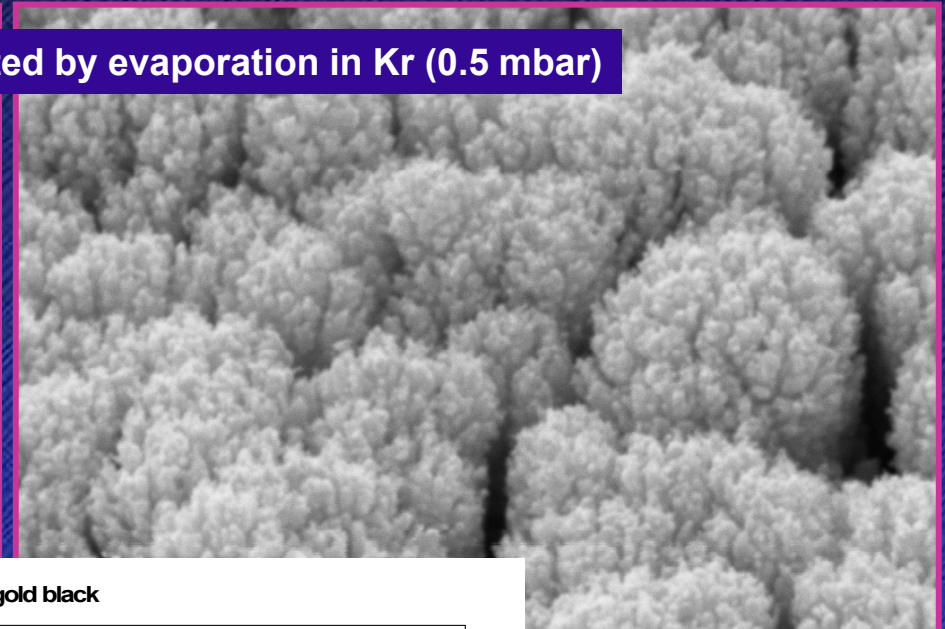
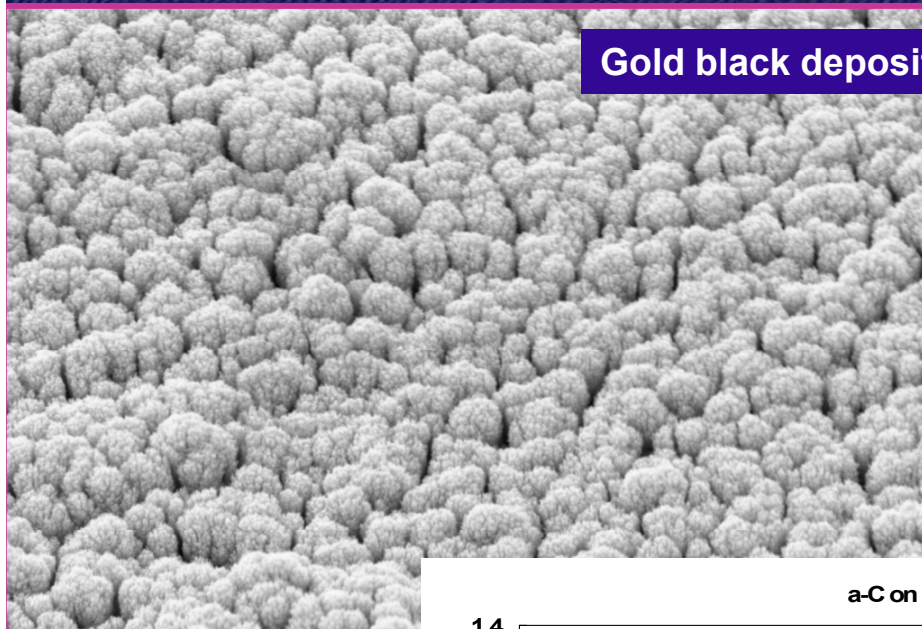




e-cloud mitigation



Evaporation of metals in relatively high pressure of a rare gas produces very rough and porous films. Already mentioned in the literature, “gold black” has been produced and characterized.

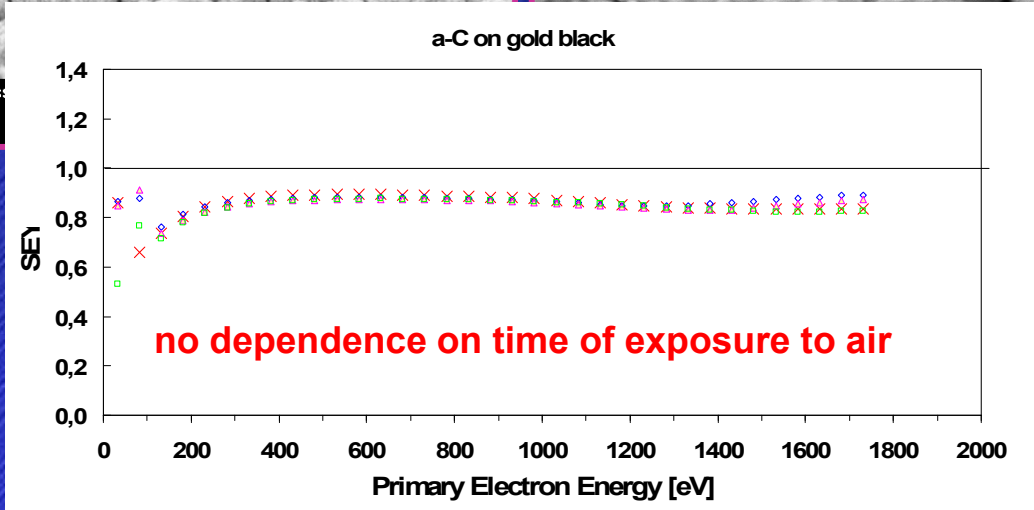


Gold black deposited by evaporation in Kr (0.5 mbar)

Mag = 10.00 K X
EHT = 20.00 kV
Detector = SE1
Au on Cu, High Press
Tilted 60°
1µm

gs #2, 5.5.2008
S. HEIKKINEN TS/MME/MM
Date :8 May 2008
File Name = Au-Cu-HP08.tif

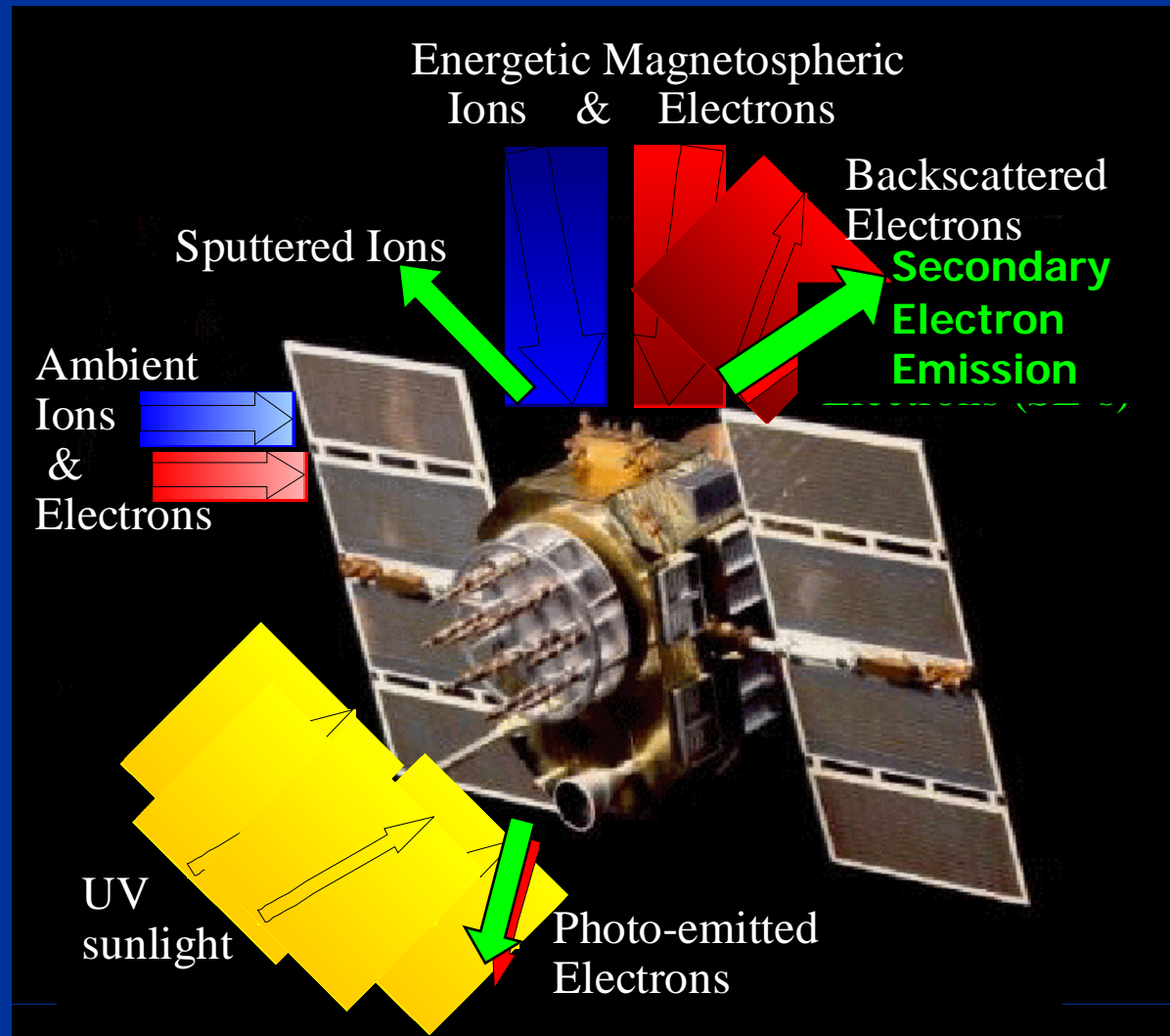
for PS2 & SPS upgrade;
effort triggered by HHH ECL'2 workshop



P. Chiggiato et al

promising:
graphite coating on black gold substrate

Space Plasma Environment and Spacecraft Charging



incident and emitted currents that result in spacecraft charging

ESA funded R&D

synergies with satellite R&D



MULCOPIM
(24th September 2008)
Invited paper

“Multipactor breakdown: Present status and where are we heading”

David Raboso (ESA)



Multipactor: internal R&D

ESA resources for R&D

In 2008 ESA (ESTEC) enhanced the internal resources (both human and equipment) dedicated to R&D in the field of Multipactor effect (Also Corona and PIM).

- Facilities dedicated to R&D
- Trainees 100% dedicated to R&D
- Support from Universities and industry



D. Raboso (ESA/ESTEC), MULCOPIM'08

Multipactor: SEY

Secondary emission: Lines of Investigation

- Create low loss surface coatings with stable SEY over time (POROUS COATINGS)
- Always measure the SEY of the component with a separate sample
 - Same batch metal and same coating bath as component
- Run prediction software only with the related measured SEY data (DATA BASE)
- Concentrate more in the surface profile rather than the material properties



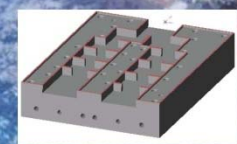
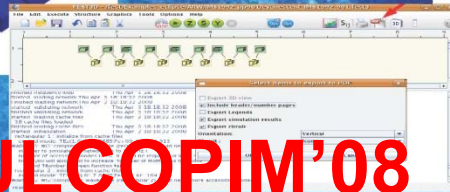
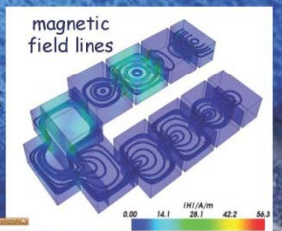
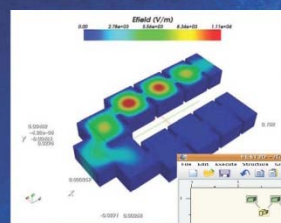
Simulation and prediction

Simulation and prediction software: FEST3D

ESA funded several simulation/prediction software packages along many years. However, main efforts were concentrated in the development of FEST3D.

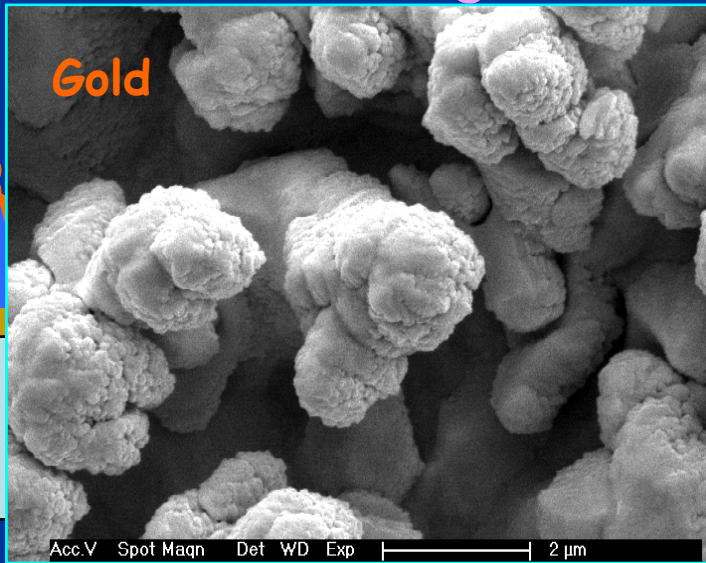


Distributed by ASAT and ItLink

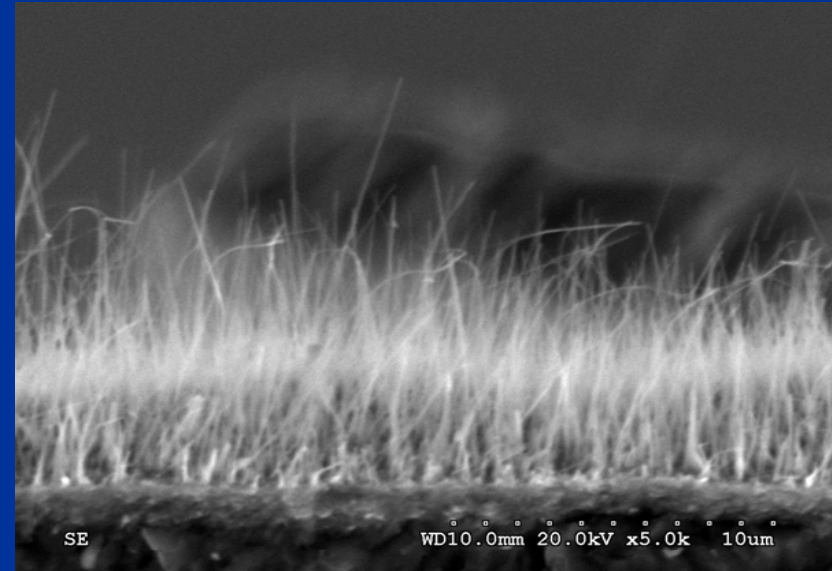


ESA funded SEY research (UAM & CSIC)

Chemical Etching and Sputtering Micro-structured Gold Coating



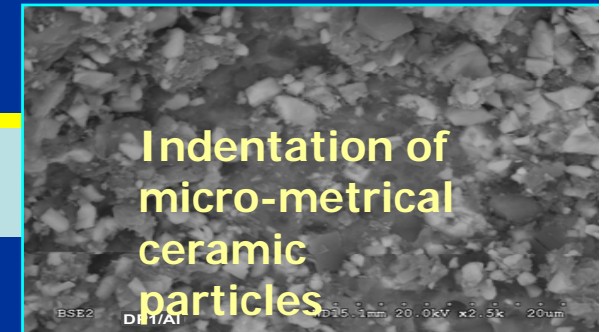
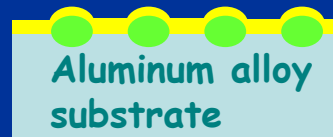
CuO Nanowires Growth



Gold-Coated Aluminum Particles

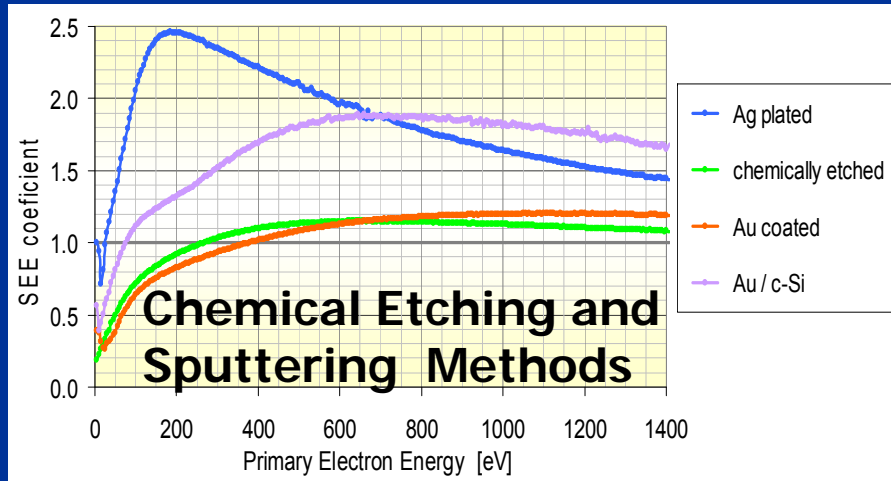


Gold-Coated Micrometrical Ceramic Particles

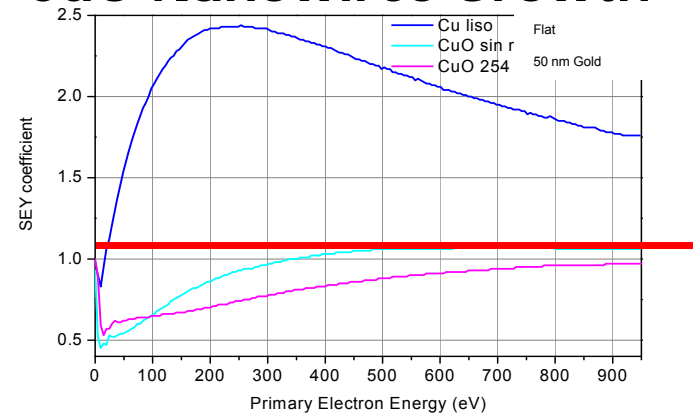


ESA funded SEY research (UAM & CSIC)

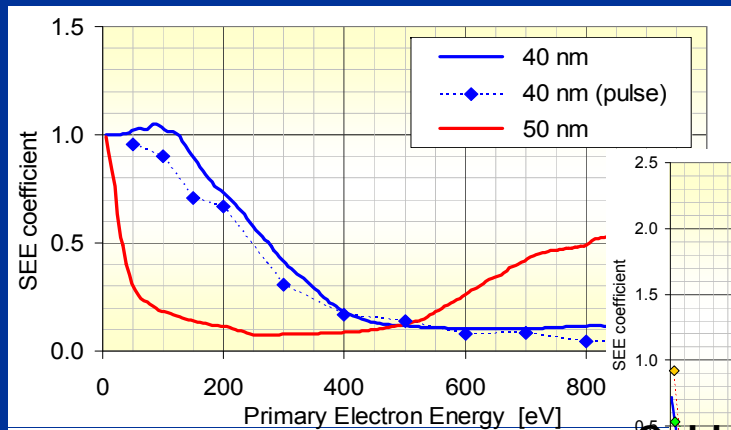
Micro-structured Gold Coating



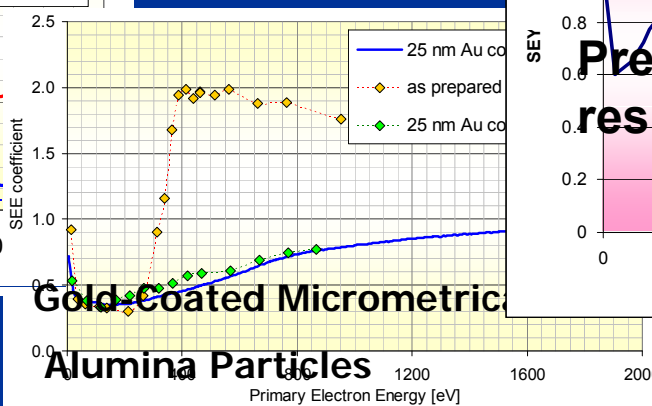
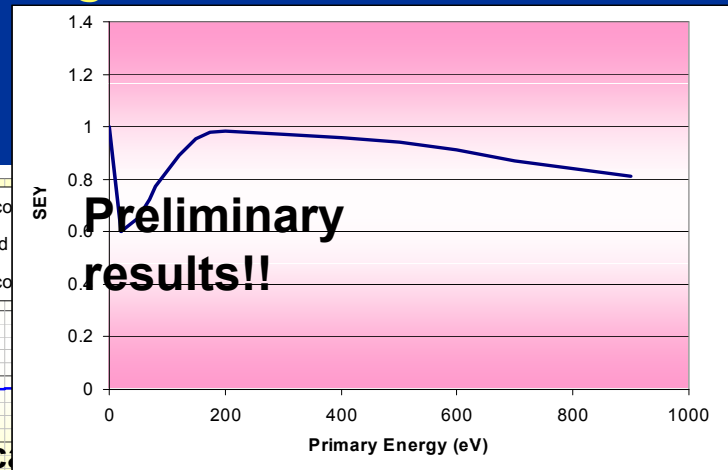
CuO Nanowires Growth



Gold-Coated Dispersed Nanometrical Alumina Particles



Dispersed Micrometrical Magnetic Particles

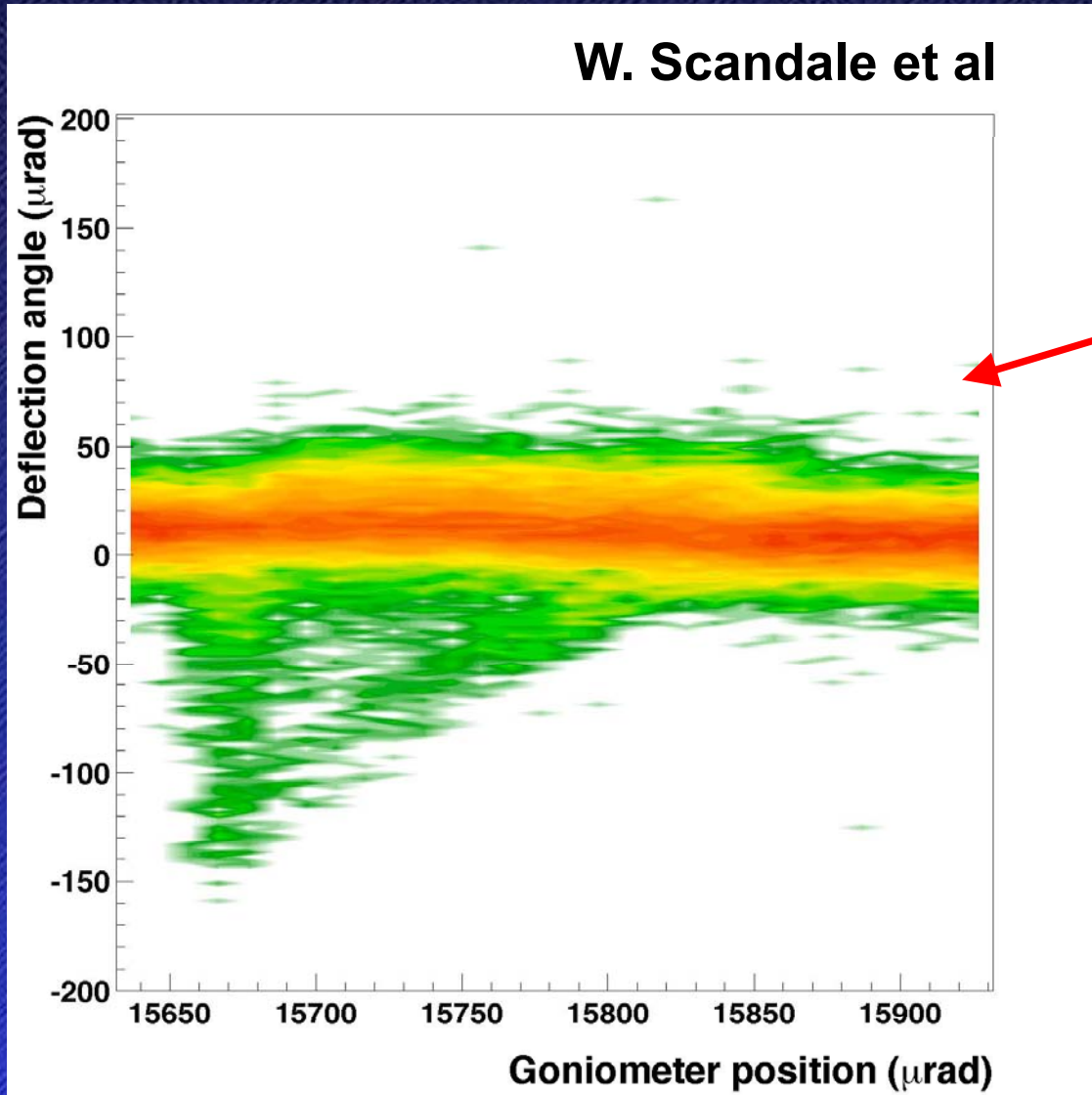


complementary advanced schemes:

- ❖ beam-beam compensation
- ❖ crab waist collision
- ❖ electron-cloud mitigation
- ❖ crystal collimation
- ❖ cooling



crystal collimation



experiments
in SPS North
area since 2005

2008 result:
crystal deflection
of negative pions
and muons

parallel simulation
effort

approved experiment
in SPS ring proper

complementary advanced schemes:

- ❖ beam-beam compensation
- ❖ crab waist collision
- ❖ electron-cloud mitigation
- ❖ crystal collimation
- ❖ cooling



cooling



lower beam emittance

- can compensate for luminosity loss due to large crossing angle [R. Garoby]
- may be provided by **new injectors**
- and/or by **“coherent e- cooling”** [V. Litvinenko]

damping times in hours:

Collider	Species	Energy, GeV/n	Synchrotron radiation	Electron cooling	Coherent electron cooling
RHIC	Au ions	100	$\sim 2 \cdot 10^4$	~ 1	0.015
RHIC	proton	2,750	$\sim 4 \cdot 10^4$	> 30	0.3
LHC	Pb ions	450	10	$> 4 \cdot 10^4$	0.15
LHC	protons	7,000	13	∞	~ 1

promise of
1-hr damping
time at 7 TeV!

CeC proof-of-
Principle
experiment at
RHIC in 2012

strategy for “phase-2”

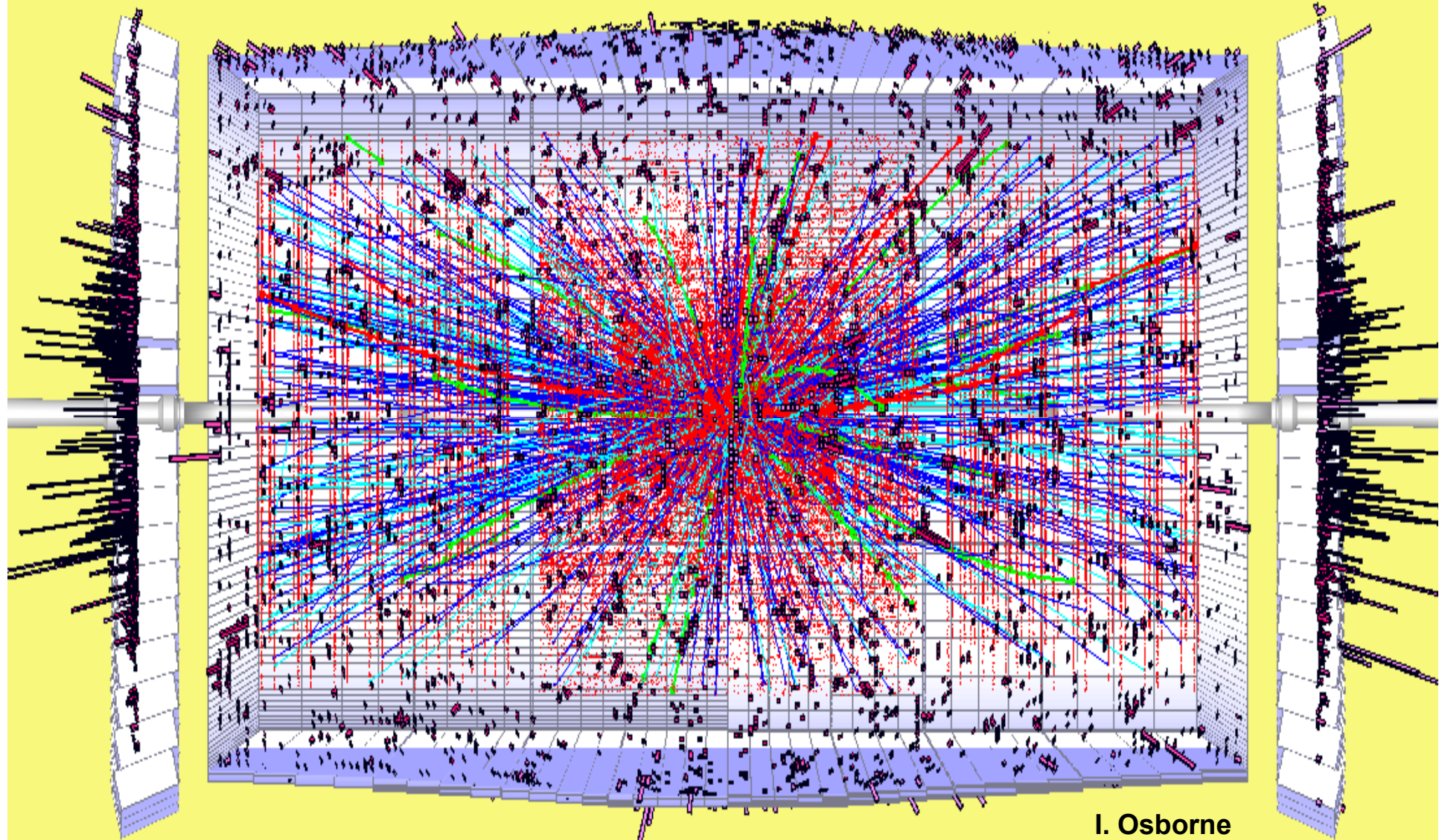


strategy for “phase 2”



- ✓ **R&D program for larger-aperture higher-field magnets**
- ✓ in parallel **crab-cavity development and testing**
- ✓ design, production & installation of **wire compensator** already in “phase 0”
- ✓ monitor **complementary schemes**, like crab waist, coherent e-cooling, e-lenses, crystal collimation; integrate them into upgrade plan when they become available
- ✓ validation **generation method for long flat bunches**
- ✓ identify **main limitations of the real LHC**
- ✓ **LHC & injector machine studies** to explore upgrade scenarios, e.g. LPA and LE
- ✓ close coordination with **detector upgrades**

thank you for your attention!



I. Osborne

$10^{35} \text{cm}^{-2} \text{s}^{-1}$

generated tracks per crossing,
 $p_t > 1 \text{ GeV}/c$ cut, i.e. all soft tracks removed!