

# Revealing the collision energy dependence of $\eta/s$ in RHIC-BES Au+Au collisions using Bayesian statistics

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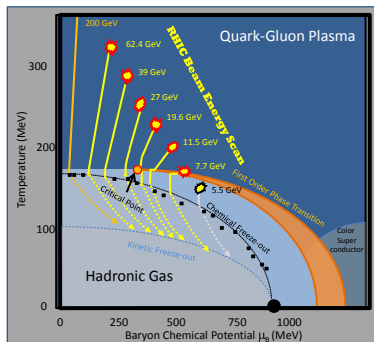
## RHIC beam energy scan

Investigate  $\sqrt{s_{NN}}$ -dependence of  $\eta/s$  using statistical analysis

- Possible indication of  $\mu_B$ -dependence in the transport coefficient
- Statistical analysis  $\Rightarrow$  Get both best-fit values and their uncertainties
- Phase A: Find best-fitting model parameters for multiple collision energies independently
- If energy dependence observed in the best-fit parameters



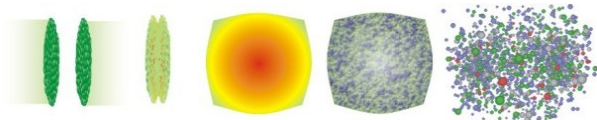
Phase B: parametrize the dependence and find the best fit over all collision energies simultaneously



Picture: G. Odyniec, Acta Phys. Polon. B 43, 627 (2012).

# Transport + hydrodynamics hybrid model

Karpenko, Huovinen, Petersen, Bleicher, Phys.Rev.C, 91, 064901 (2015)



- Initial state described by UrQMD<sup>1</sup> hadron transport
- Start the hydrodynamical evolution at time  $\tau_0$  when the two nuclei have passed through each other
- Convert energy, momentum and baryon number of each particle into 3D Gaussian distributions with width parameters  $W_{\text{trans}}$ ,  $W_{\text{long}}$
- (3+1)D **viscous** hydrodynamics with constant ratio of shear viscosity over entropy density  $\eta/s$  (bulk viscosity ignored)
- Transition from hydro back to UrQMD (“particlization”) when energy density  $\epsilon$  is smaller than the switching condition  $\epsilon_{SW}$

“Cornelius” hypersurface finder, P. Huovinen and H. Petersen, EPJ A48 171 (2012)

<sup>1</sup>S. A. Bass *et al.*, Prog. Part. Nucl. Phys. 41, 255 (1998), M. Bleicher *et al.*, J. Phys. G 25, 1859 (1999).

## Bayesian analysis

Model parameters (input):  $\vec{x} = (x_1, \dots, x_n)$

$(\tau_0, W_{\text{trans}}, W_{\text{long}}, \eta/s, \epsilon_{SW})$



Model output  $\vec{y} = (y_1, \dots, y_m) \Leftrightarrow$  Experimental values  $\vec{y}^{\text{exp}}$

$(N_{\text{ch}}, \langle p_T \rangle, v_2, \dots)$

Bayes' theorem:

Posterior probability  $\propto$  Likelihood  $\cdot$  Prior knowledge

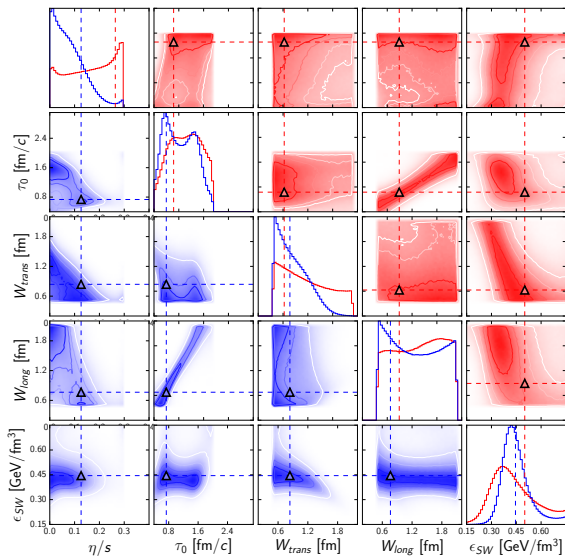
- Prior knowledge: Range of input parameter values to investigate
- Likelihood:  $\mathcal{L} \propto \exp\left(-\frac{1}{2}(\vec{y} - \vec{y}^{\text{exp}})^T \Sigma^{-1}(\vec{y} - \vec{y}^{\text{exp}})\right)$ ,  
where  $\Sigma$  is the covariance matrix (contains the uncertainties)

Use Markov chain Monte Carlo to sample the posterior probability

- Random walk in input parameter space, constrained by prior
- Use Gaussian process (GP) emulator to estimate model output (based on training data) for likelihood computations in MCMC

$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Red: No additional weights on data

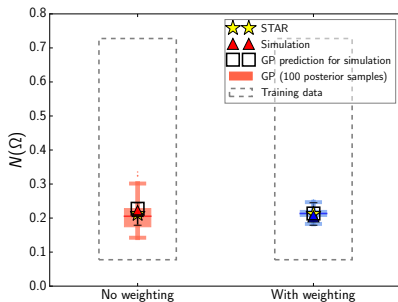
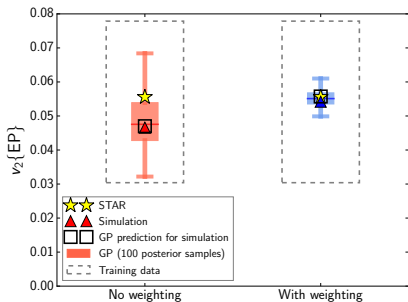


Blue: Weight of  $v_2$  and  $N(\Omega)$  increased by a factor of 5



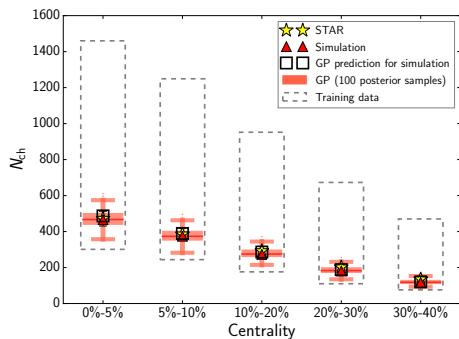
$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Increase importance of  $v_2$  and  $\Omega$  yield by increasing their weight by a factor of 5

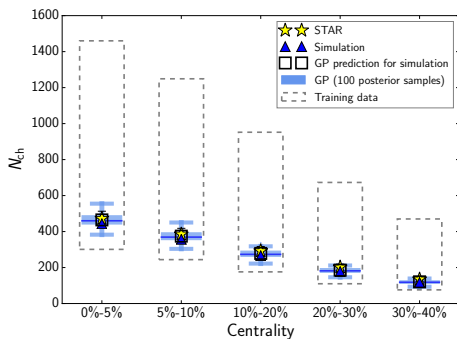


$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Reweighting has little effect on charged particle yield estimate



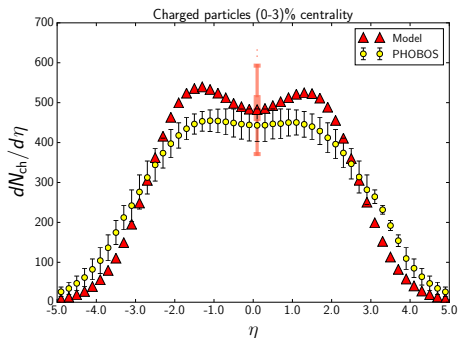
No weighting



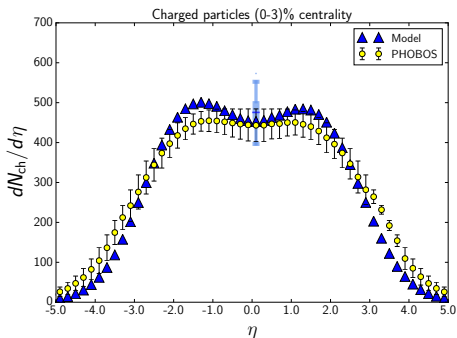
With weighting

$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Pseudorapidity distribution at midrapidity is described better in the reweighted analysis



No weighting



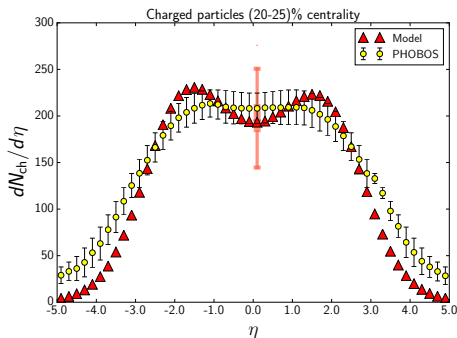
With weighting



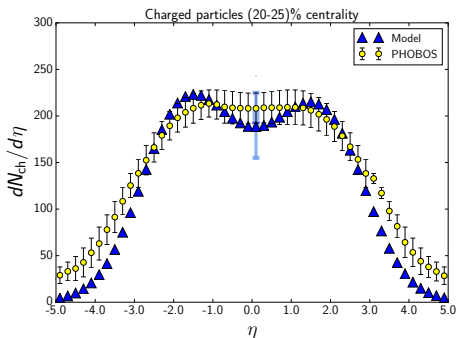
$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Little difference in pseudorapidity distribution at higher centrality

Systematic underestimation of charged particle yield at large rapidities



No weighting

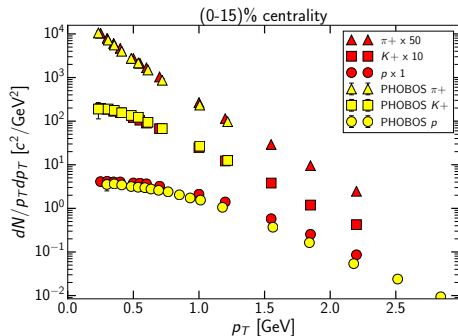


With weighting

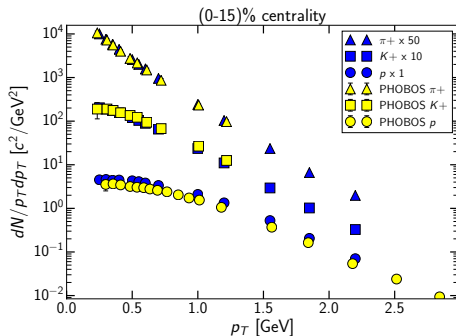


$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

Reweighting has no notable effect on pion or kaon  $p_T$  spectra;  
proton yield slightly overestimated



No weighting

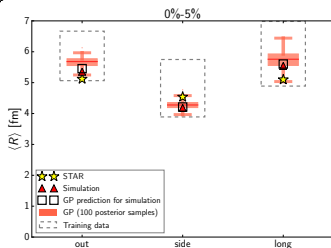


With weighting

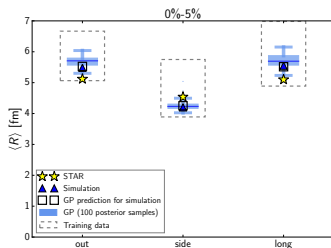


$$\sqrt{s_{NN}} = 62.4 \text{ GeV}$$

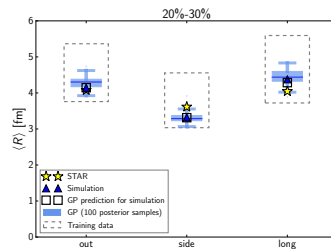
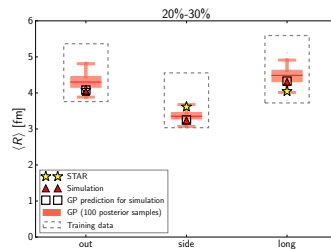
Good description of HBT radii (averaged over 4  $m_T$  bins) regardless of weighting



No weighting

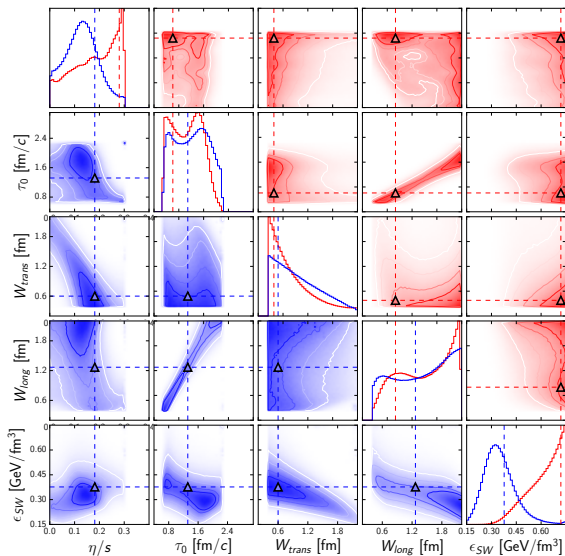


With weighting



$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

Red: No additional weights on data

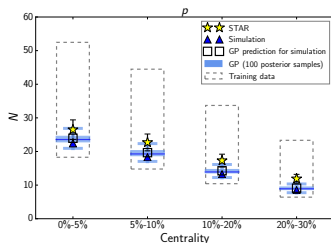
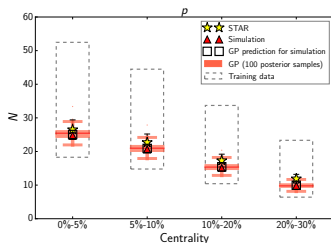


Blue: Weight of  $v_2$  increased by a factor of 5,  $N(\Omega)$  by a factor of 4;  $N(p)$  and  $N(\bar{p})$  decreased by 0.5



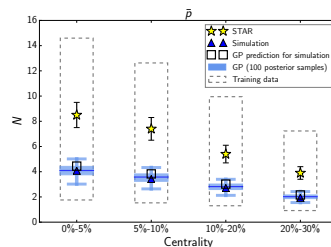
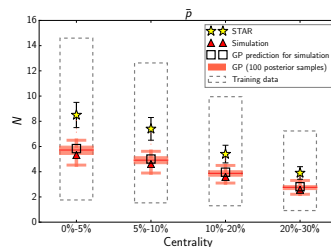
$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

Notably too few antiprotons (need to estimate the feed-down correction)  
Weights of both  $p$  and  $\bar{p}$  reduced by a factor of 2 in reweighted analysis



No weighting

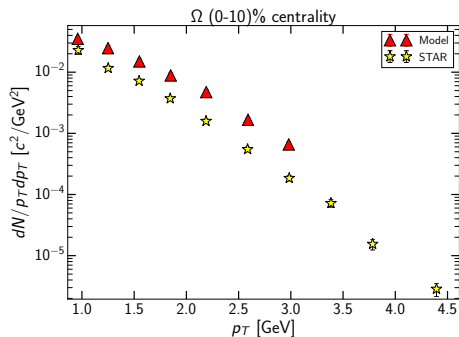
With weighting



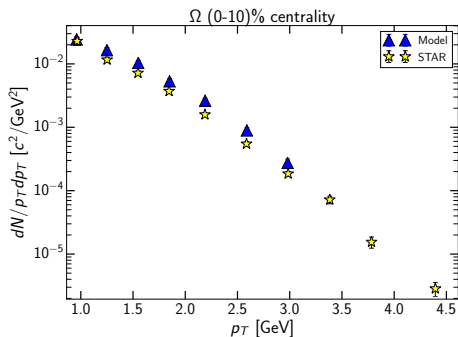


$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

$\Omega$   $p_T$  distribution better described in reweighted analysis



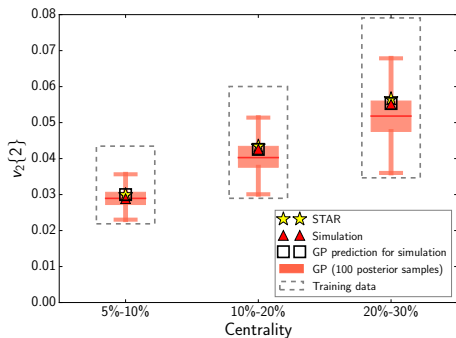
No weighting



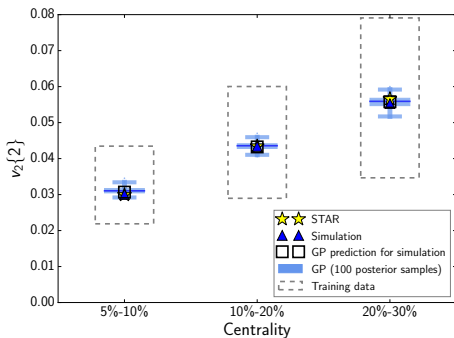
With weighting

$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

Peak values give very good description of  $v_2$  in both cases



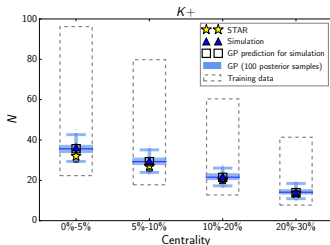
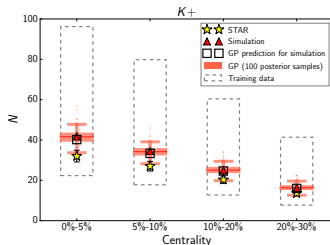
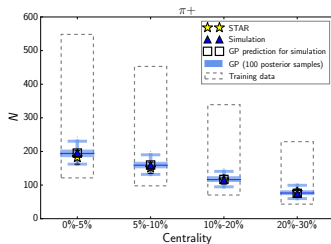
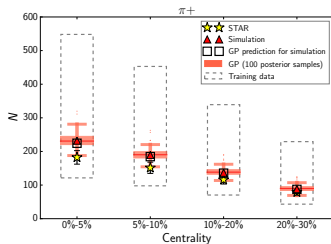
No weighting



With weighting

$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

Meson multiplicities better described in reweighted analysis

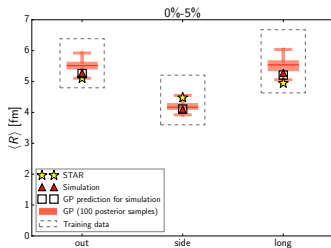




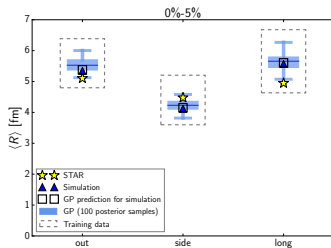


$$\sqrt{s_{NN}} = 39 \text{ GeV}$$

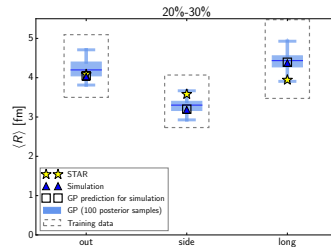
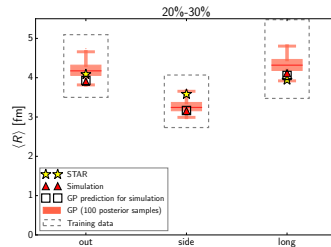
Slightly worse estimate for  $R_{\text{long}}$  from weighted analysis



No weighting

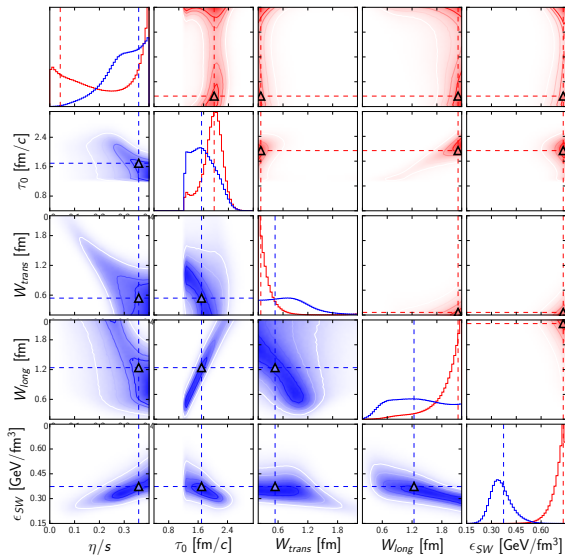


With weighting



$$\sqrt{s_{NN}} = 19.6 \text{ GeV}$$

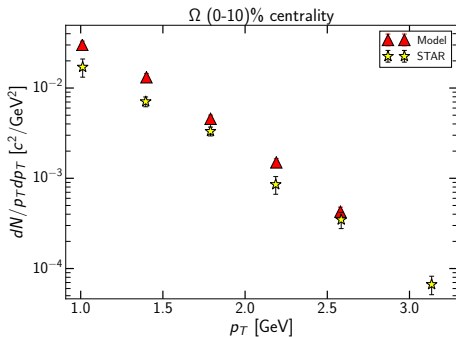
Red: No additional weights on data



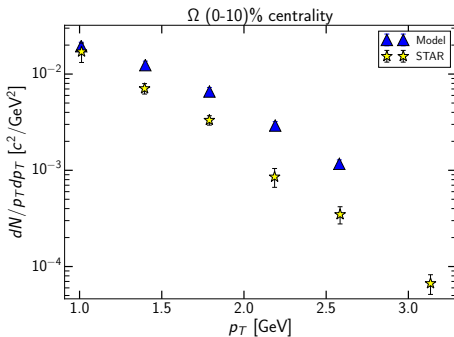
Blue: Weight of  $v_2$  increased by a factor of 5,  $N(\Omega)$  by a factor of 4;  $N(p)$  and  $N(\bar{p})$  decreased by 0.5

$$\sqrt{s_{NN}} = 19.6 \text{ GeV}$$

Weighted analysis gives better fit on  $N(\Omega)$  at low  $p_T$   
while peak values from unweighted posterior fit better at high  $p_T$



No weighting

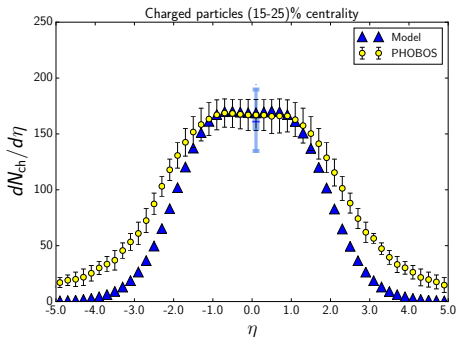
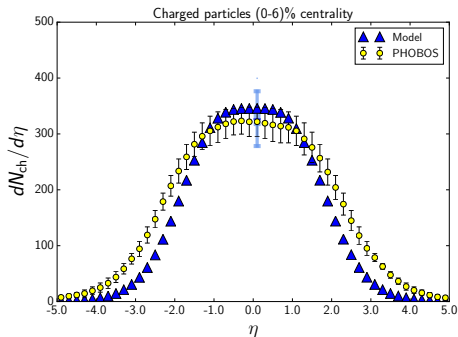


With weighting



$$\sqrt{s_{NN}} = 19.6 \text{ GeV}$$

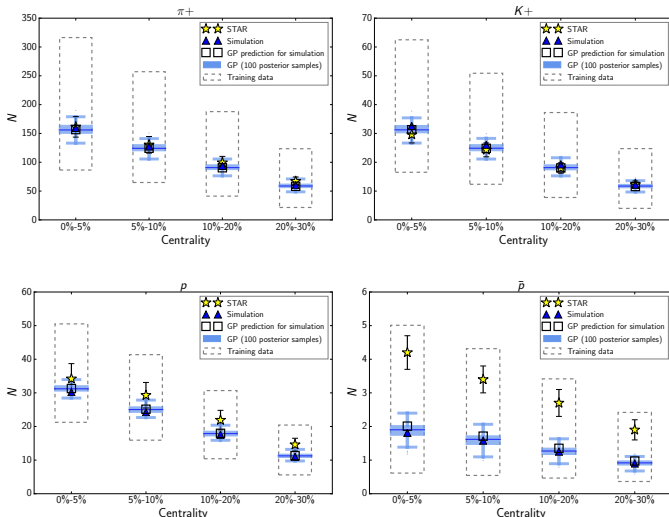
## Results in the weighted scenario





$$\sqrt{s_{NN}} = 19.6 \text{ GeV}$$

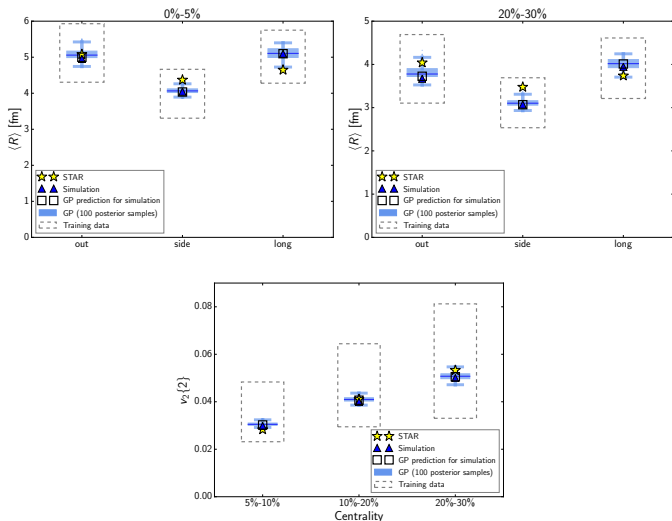
## Results in the weighted scenario





$$\sqrt{s_{NN}} = 19.6 \text{ GeV}$$

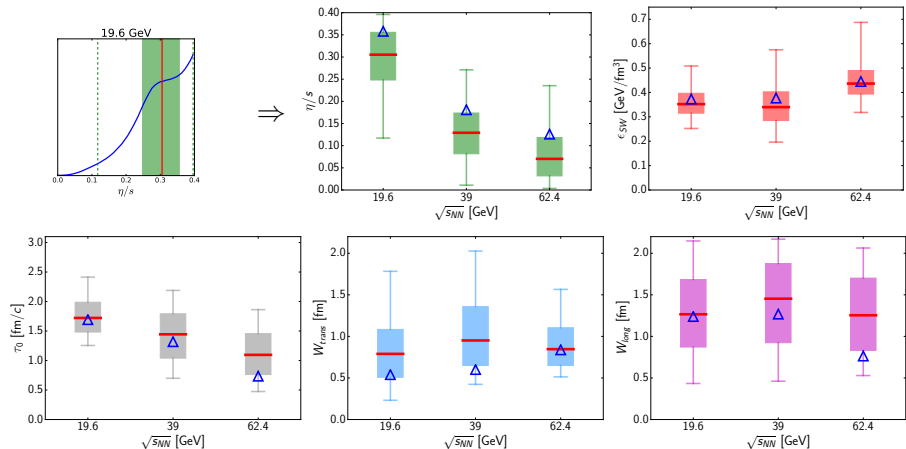
## Results in the weighted scenario





## Parameter dependence on collision energy

$\eta/s$  and  $\tau_0$  show clear increasing trend towards lower energies  
(however, minimum of  $\tau_0$  increases by construction)



Boxes: 50% confidence range

Whiskers: 95% confidence range

## Summary

- Bayesian analysis provides a rigorous method for simultaneous estimation of both the best-fit values and the associated uncertainties
- Reweighting found necessary for emphasizing relevant physics and compensating for fewer data points
  - increased weight on  $v_2$  gives better constraints on  $\eta/s$
  - increased weight on  $\Omega$  gives better constraints on switching energy density  $\epsilon_{SW}$
  - proton and antiproton yields given smaller weights due to the lack of feed-down correction
- $\eta/s$  shows a clear preference of larger values at lower  $\sqrt{s_{NN}}$ , strongly suggesting  $\mu_B$  dependence
- 95% confidence ranges still large; in particular, initial state smearing parameters  $W_{\text{trans}}$ ,  $W_{\text{long}}$  poorly constrained



# Extra slides

## Likelihood function

The likelihood function used in MCMC:

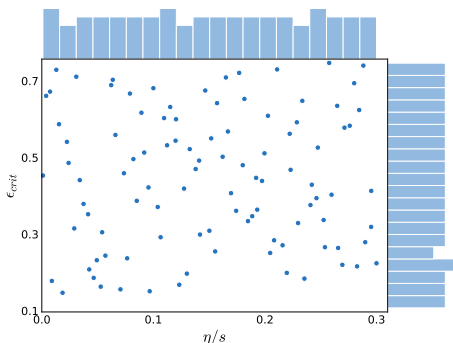
$$\exp\left(-\frac{1}{2}\sum_{i=1}^q\lambda_i\frac{(z_i^* - z_i^{\text{exp}})^2}{(\sigma_{\text{stat}}^{\text{exp}})^2 + \Sigma_i^*}\right)$$

- $\lambda_i$  is the variance explained by  $i$ th principal component
- $z_i^*$  is the emulator prediction for  $i$ th principal component at the input parameter point  $\vec{x}^*$
- $\vec{z}^{\text{exp}}$  is the experimental data transformed to principal component space
- $\Sigma_i^*$  is the predictive variance (emulator uncertainty)
- $\sigma_{\text{stat}}^{\text{exp}}$  is the experimental statistical error (systematic errors ignored)

## Investigated parameter ranges

Sample emulator training points evenly over whole parameter space using Latin hypercube method

- Shear viscosity over entropy density  $\eta/s$ : 0.001 - 0.4
- Transport-to-hydro transition time  $\tau_0$ : 0.4 - 3.1 fm
- Transverse Gaussian smearing of particles  $W_{\text{trans}}$ : 0.2 - 2.2 fm
- Longitudinal Gaussian smearing of particles  $W_{\text{long}}$ : 0.2 - 2.2 fm
- Hydro-to-transport transition energy density  $\epsilon_{SW}$ : 0.15 - 0.75 GeV/fm<sup>3</sup>



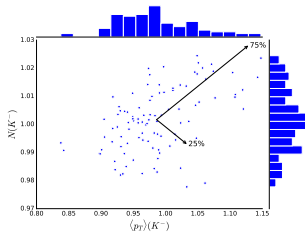
## Principal component analysis

$m$  observables  $\Rightarrow m$  Gaussian processes needed for model emulation

However,  $m$  can be up to  $\mathcal{O}(100)$  at top RHIC energies and at the LHC!  
Number of emulators can be reduced with **principal component analysis**

First principal component represents the direction of largest variance in output space, second PC the direction of second largest variance, etc.

- Fraction of variance explained by principal component  $p_q$ : 
$$\text{Var}(p_q) = \frac{\lambda_q}{\sum_{i=1}^m \lambda_i}$$
- Select the number of principal components which together explain desired fraction of total variance; often only a few PCs are needed to explain 99% of the variance



## Analysis procedure

Produce training data

- run simulations with  $\mathcal{O}(100)$  different parameter combinations

Preparation for principal component analysis:

1. Scale with experimental values  $\Rightarrow$  Unitless quantities
2. Check that the values are roughly normally distributed; apply a transformation if necessary
3. Center the data; apply possible data weighting

Determine required number of Gaussian processes with PCA



Condition the emulators on training data



Calibrate on experimental data by running MCMC