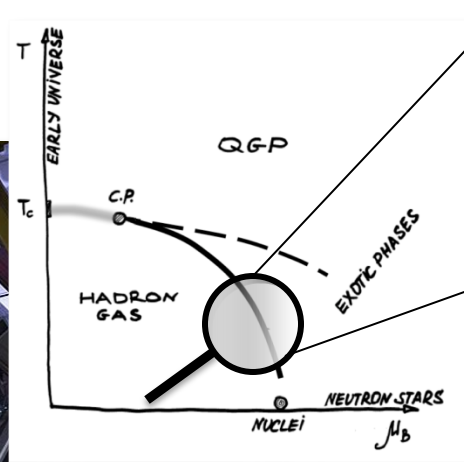
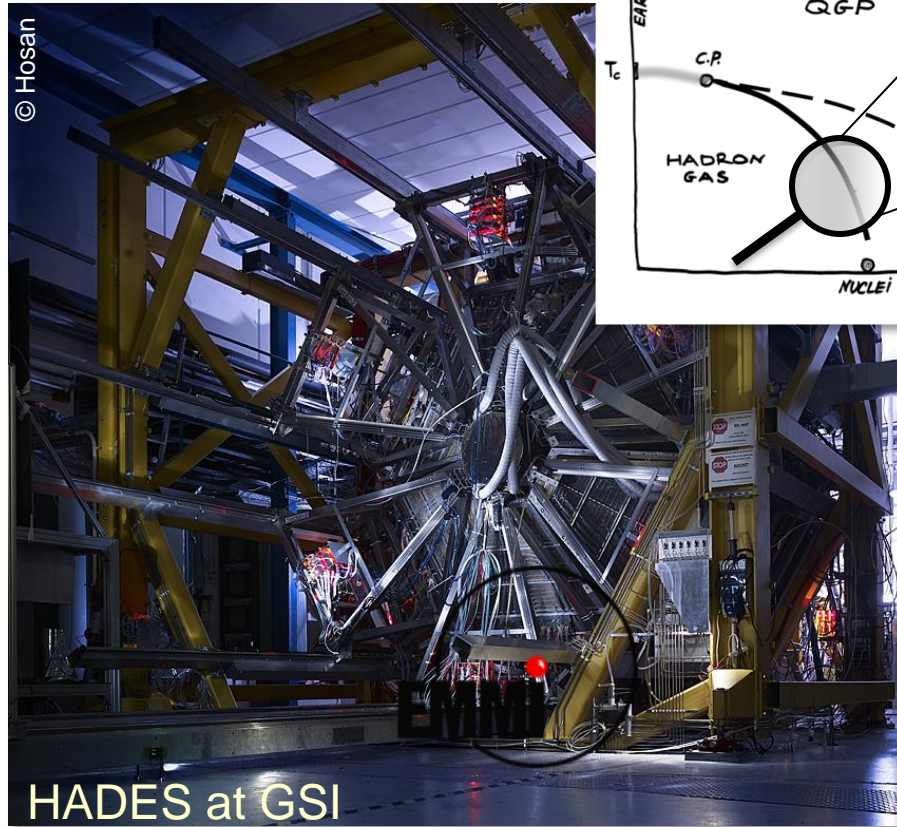


Higher Moments of evt-by-evt Proton-Multiplicity Fluctuations in Au+Au Collisions at 1.23 GeV/u

Romain Holzmann,
GSI Helmholtzzentrum Darmstadt,
for the HADES collaboration



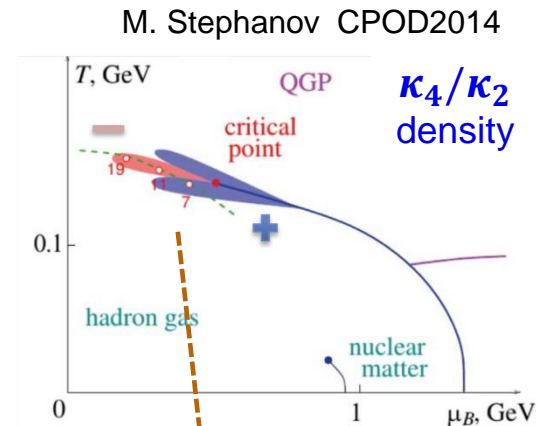
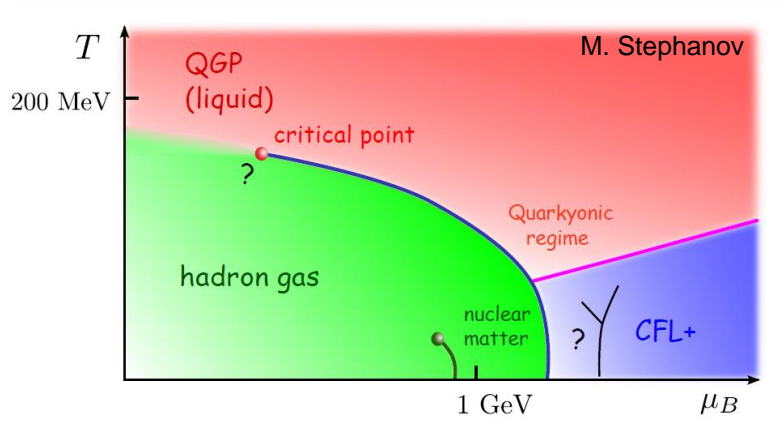
SIS 18 energy regime:

- beam energies 1-2 GeV/u
- moderate T , high μ_B
- baryon dominated

Outline:

- HADES: Au+Au at 1.23 GeV/u
- Net proton nb. fluctuations
 - efficiency corrections
 - volume fluct. effects
 - fragments
- Summary & Outlook:

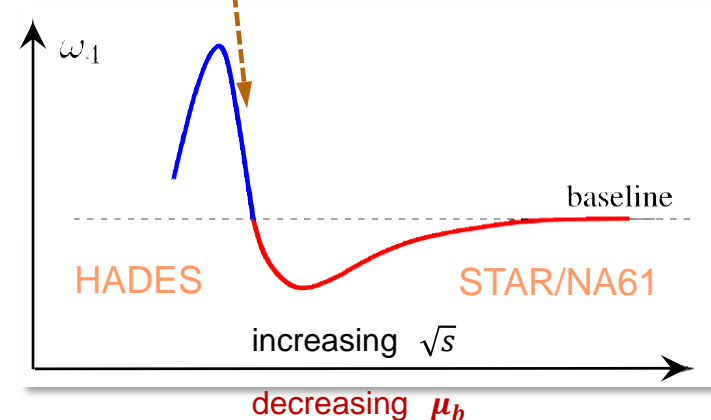
Fluctuations probe features of QCD phase diagram



Crossing features of the QCD phase-diagram (phase boundaries, CEP) is expected to result in:

- diverging susceptibilities & correlation length
- „extra“ fluctuations of conserved quantities (e.g. baryon nb, charge, strangeness)
- observable discontinuities of the higher moments of particle number distributions, visible e.g. in a beam energy scan!

see e.g. B. Friman et al, EPJC 71 (2011) 1694



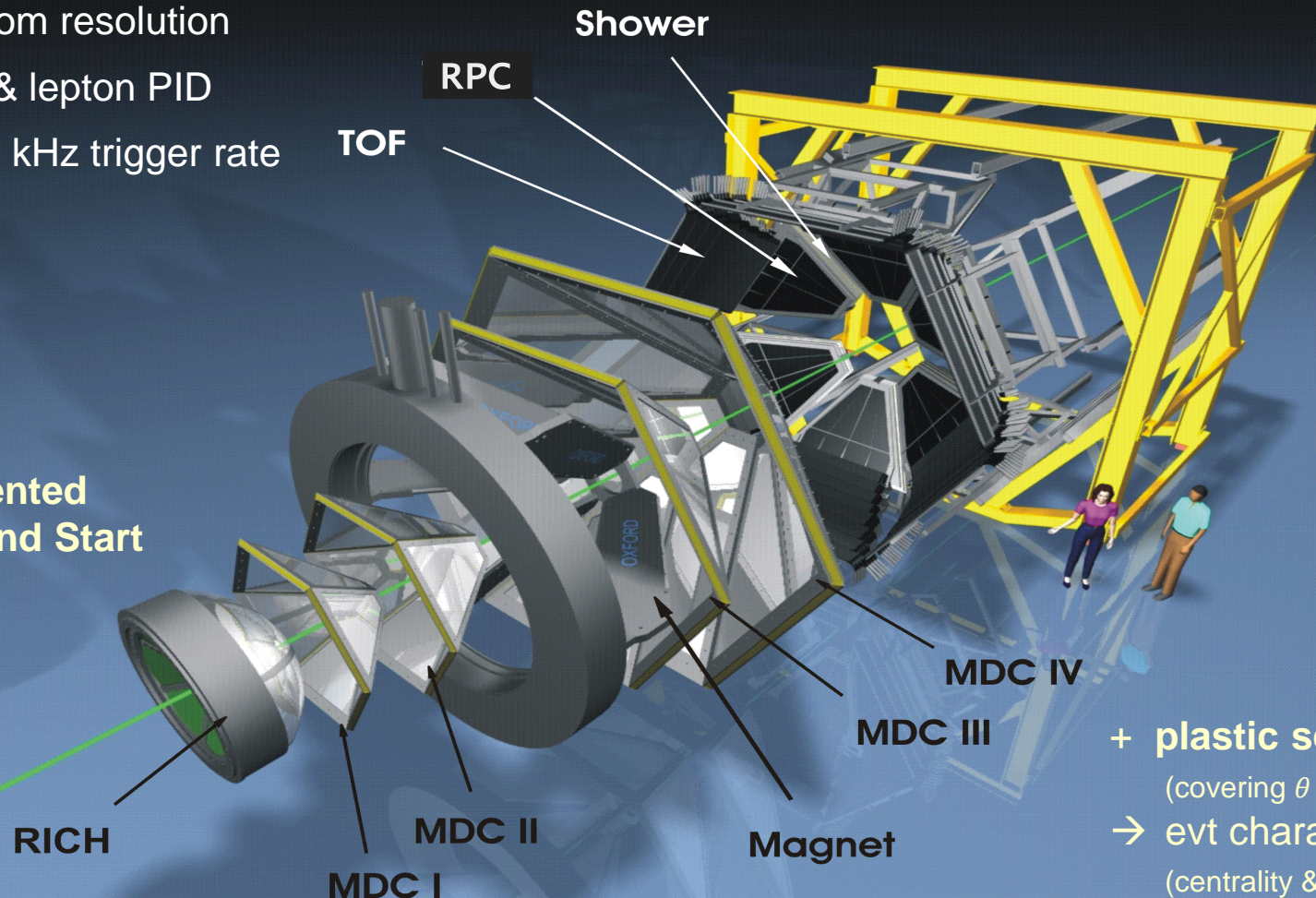
→ Needs high-statistics data!

The HADES detector at GSI

High Acceptance DiElectron Spectrometer

- large acceptance
- 2-3% mom resolution
- hadron & lepton PID
- up to 50 kHz trigger rate

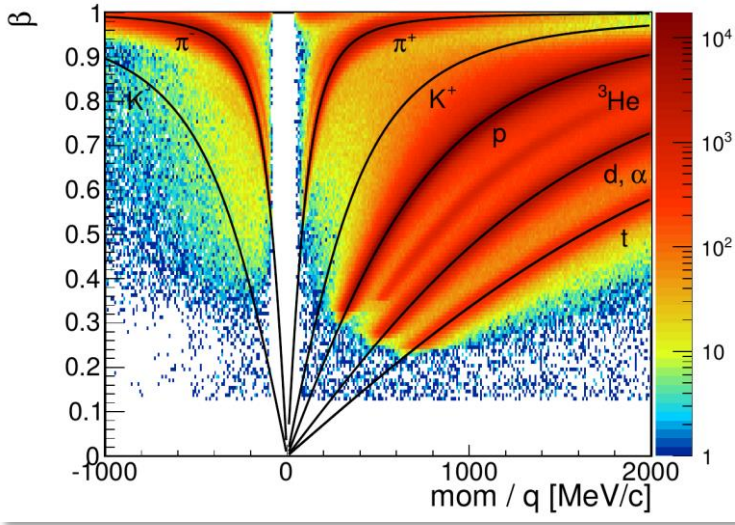
+ segmented diamond Start



+ plastic scint. FW
(covering $\theta = 0.5^\circ - 7.5^\circ$)
→ evt characterization
(centrality & event plane)

Particle ID in HADES

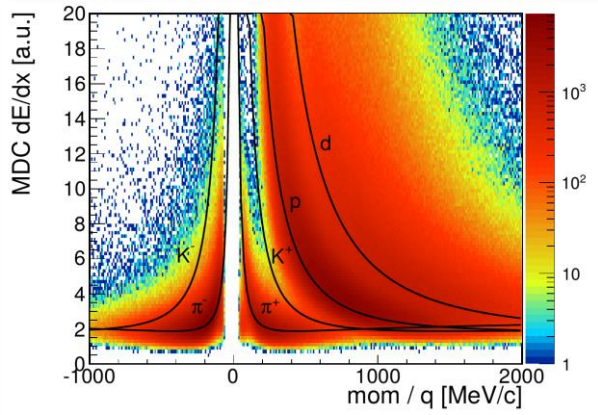
Velocity vs. p



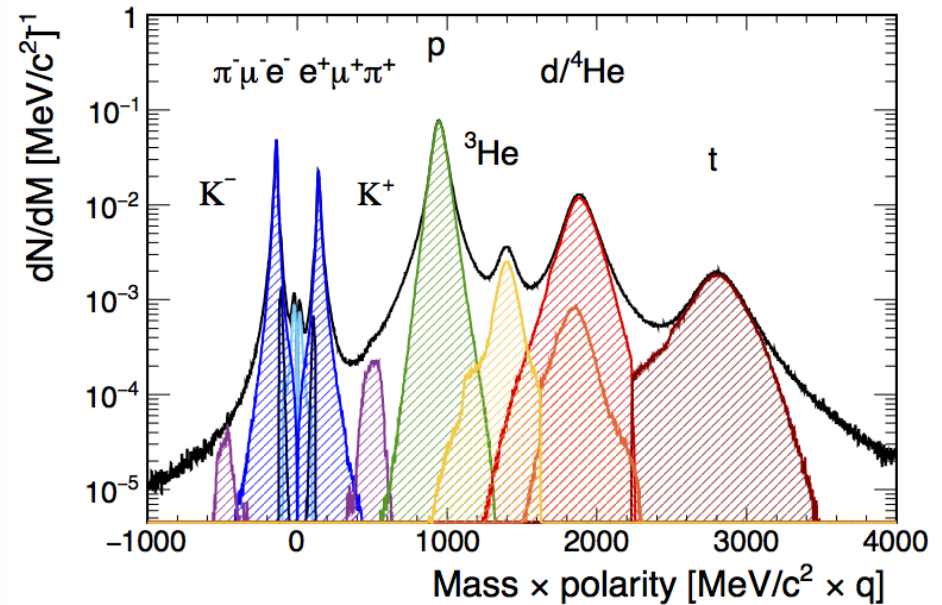
Hadron ID based on

- ToF
- Momentum
- dE/dx

MDC & TOF dE/dx vs. p

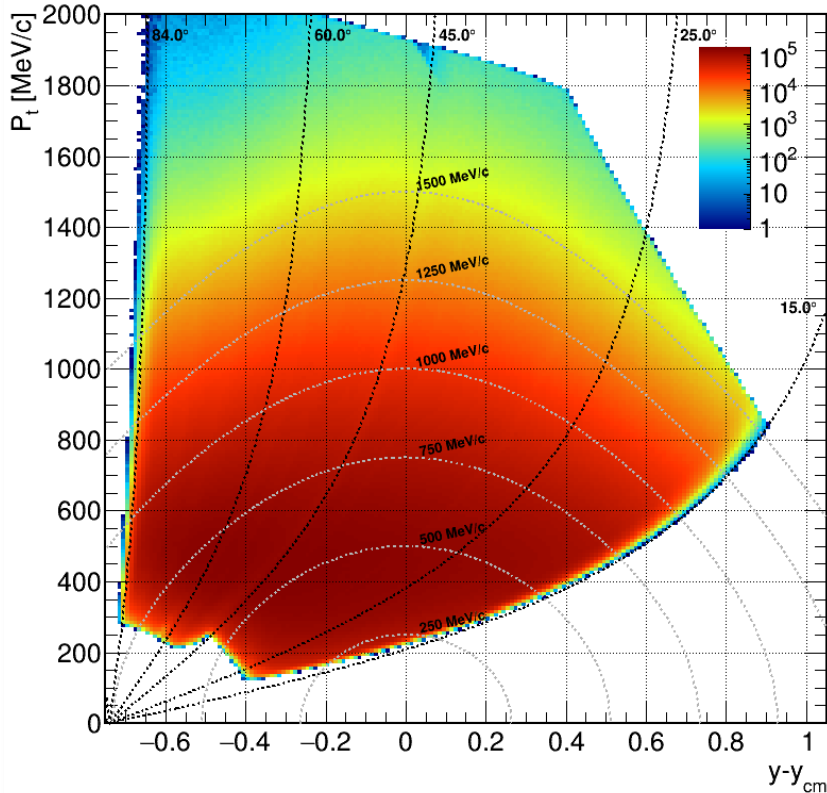


Hadron mass spectrum



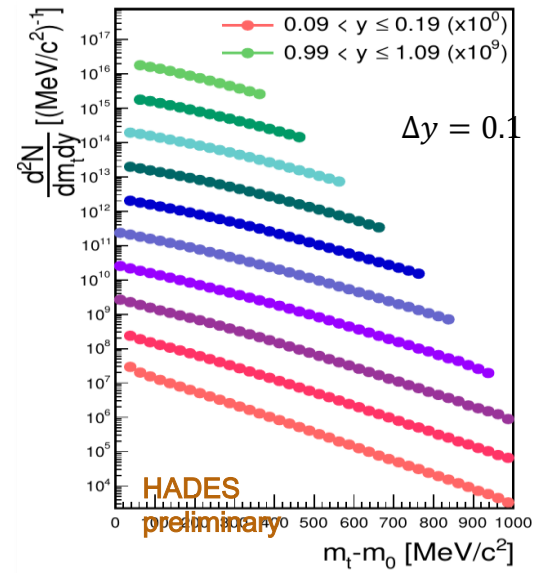
Proton distributions in Au+Au at $\sqrt{s} = 2.41 \text{ GeV}$

HADES $y - p_t$ coverage for protons

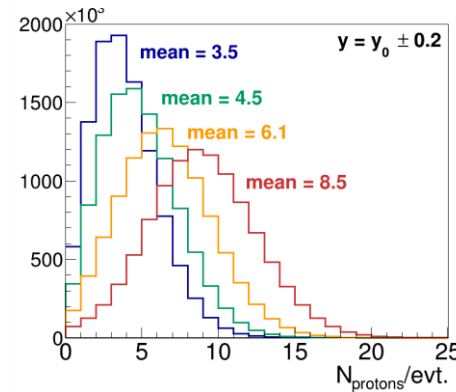


$$y_{\text{cm}} = 0.74$$

Proton mt spectra



Proton multiplicity distributions



Analysis based on $40 \cdot 10^6$ Au+Au evts divided into 4 centrality classes

Data need efficiency corrections

Note that efficiency = **acc** x **det. eff** x **rec. eff** !

1. Correct the cumulants

A. Bzdak & V. Koch, PRC 86 (2012); X. Luo, PRC 91 (2015);
M. Kitasawa, PRC 93 (2016)

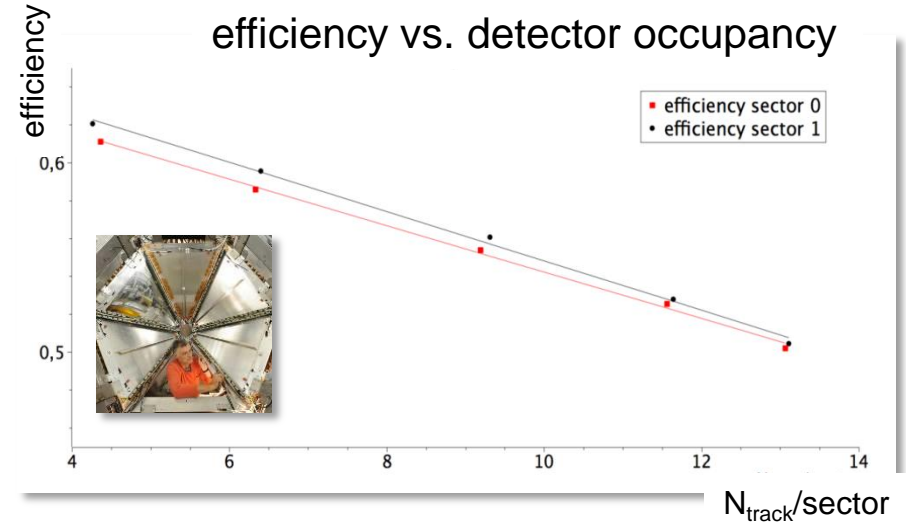
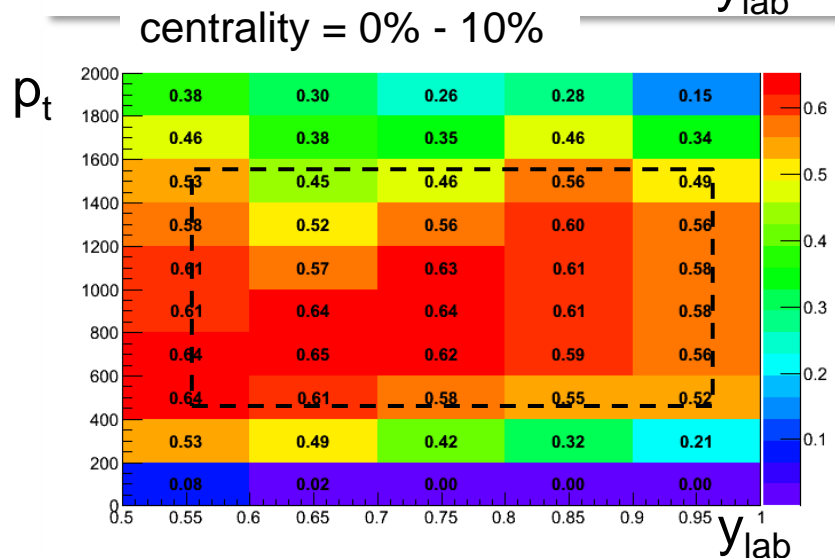
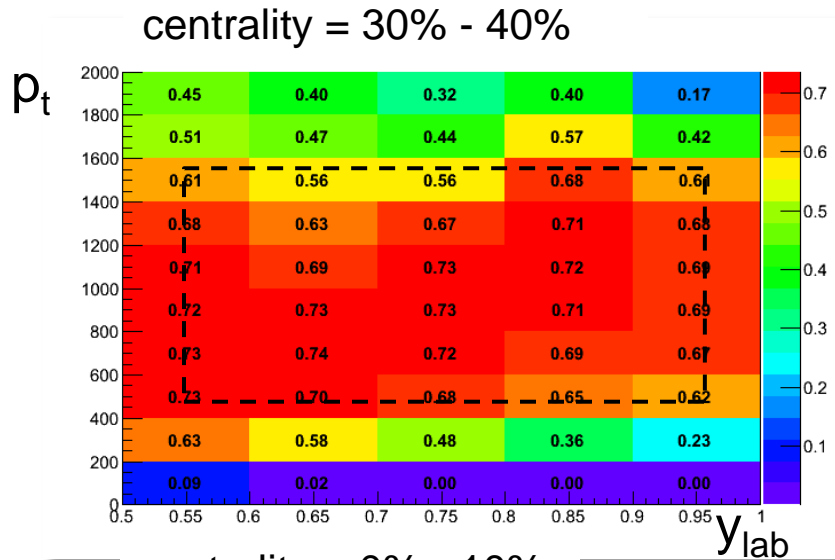
2. Correct measured distributions (bayesian unfolding)

Garg et al., J. Phys. G: Nucl. Part. Phys. 40 (2013)

→ we have investigated both methods

1. in simulations based on UrQMD evts filtered with full HADES response
2. in real Au+Au data

Hades efficiencies vs. p_t , y , centrality & $N_{\text{track}}/\text{sector}$



- Efficiency drops by up to 15% with occupancy, need to do a dynamic efficiency correction!
- Model $\epsilon = \epsilon(N_{\text{track}}, \text{sector})$ to correct evt-by-evt!

We verified this correction scheme in full detector simulations using **54** separate acc. bins ($\Delta y \times \Delta p_t \times \text{sector}$).

Method 1: Evt-by-evt efficiency correction of κ_n

Efficiency depends on particle, centrality, pt & y...

➔ correct by phase-space bin and evt-wise !

Bzdak & Koch, PRC 91 (2015)
Tang & Wang, PRC 88 (2013)
Xiaofeng Luo, PRC 91 (2015)
Masakiyo Kitasawa, PRC 93 (2016)

$$(1) \quad F_{i,k}(N_p, N_{\bar{p}}) = \left\langle \frac{N_p!}{(N_p - i)!} \frac{N_{\bar{p}}!}{(N_{\bar{p}} - k)!} \right\rangle = \sum_{N_p=i}^{\infty} \sum_{N_{\bar{p}}=k}^{\infty} P(N_p, N_{\bar{p}}) \frac{N_p!}{(N_p - i)!} \frac{N_{\bar{p}}!}{(N_{\bar{p}} - k)!}$$

$$f_{i,k}(n_p, n_{\bar{p}}) = \left\langle \frac{n_p!}{(n_p - i)!} \frac{n_{\bar{p}}!}{(n_{\bar{p}} - k)!} \right\rangle = \sum_{n_p=i}^{\infty} \sum_{n_{\bar{p}}=k}^{\infty} p(n_p, n_{\bar{p}}) \frac{n_p!}{(n_p - i)!} \frac{n_{\bar{p}}!}{(n_{\bar{p}} - k)!}$$

$$F_{i,k}(N_p, N_{\bar{p}}) = \frac{f_{i,k}(n_p, n_{\bar{p}})}{(\varepsilon_p)^i (\varepsilon_{\bar{p}})^k}$$

$$(2) \quad A_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k) = \langle N(x_1)[N(x_2) - \delta_{x_1, x_2}] \dots [N(x_i) - \delta_{x_1, x_i} - \dots - \delta_{x_{i-1}, x_i}]$$

$$\bar{N}(\bar{x}_1)[\bar{N}(\bar{x}_2) - \delta_{\bar{x}_1, \bar{x}_2}] \dots [\bar{N}(\bar{x}_k) - \delta_{\bar{x}_1, \bar{x}_k} - \dots - \delta_{\bar{x}_{k-1}, \bar{x}_k}] \rangle$$

„local factorial moments“

$$a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k) = \langle n(x_1)[n(x_2) - \delta_{x_1, x_2}] \dots [n(x_i) - \delta_{x_1, x_i} - \dots - \delta_{x_{i-1}, x_i}]$$

$$\bar{n}(\bar{x}_1)[\bar{n}(\bar{x}_2) - \delta_{\bar{x}_1, \bar{x}_2}] \dots [\bar{n}(\bar{x}_k) - \delta_{\bar{x}_1, \bar{x}_k} - \dots - \delta_{\bar{x}_{k-1}, \bar{x}_k}] \rangle.$$

$$(3) \quad F_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} A_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)$$

$$f_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)$$

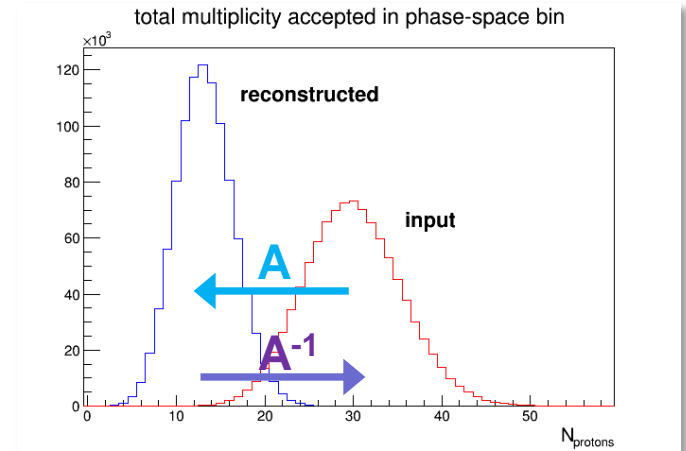
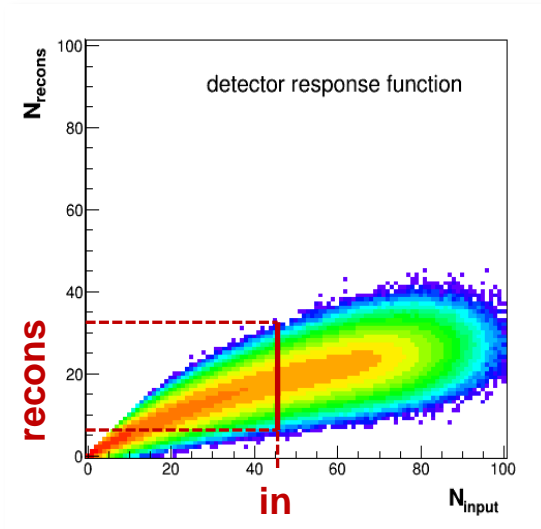
$$F_{i,k} = \sum_{x_1, \dots, x_i} \sum_{\bar{x}_1, \dots, \bar{x}_k} \frac{a_{i,k}(x_1, \dots, x_i; \bar{x}_1, \dots, \bar{x}_k)}{\varepsilon(x_1) \dots \varepsilon(x_i) \bar{\varepsilon}(\bar{x}_1) \dots \bar{\varepsilon}(\bar{x}_k)}$$

➔ correct evt-by-evt
with dynamic $\varepsilon = \varepsilon(N)$

Method 2: Unfold the multiplicity distribution

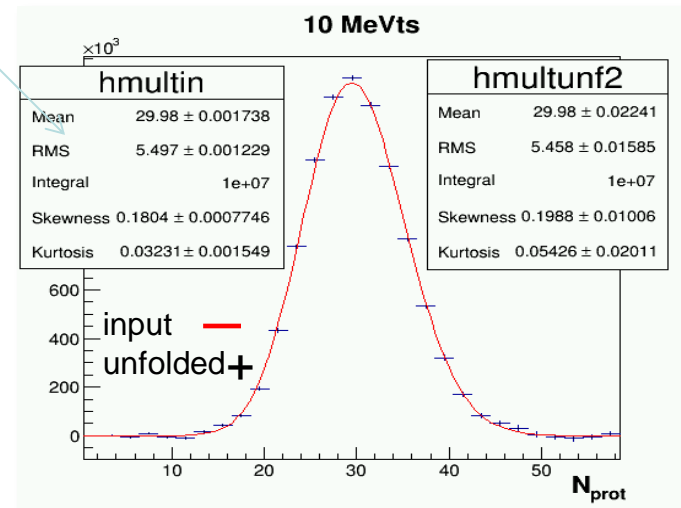
Response matrix
of the system:
(obtained from simul)

$$\mathbf{N}_{\text{recons}} = \mathbf{A} \cdot \mathbf{N}_{\text{input}}$$



Tested on simulated proton spectra
accepted in HADES.

All moments reproduced within
statistical error bars!



Unfolding in a nutshell: regularize A

Literature: G. D'Agostino, Nucl. Instr. Meth. A 362 (1995) 487.
S. Schmitt, J. Instr. 7 (2012) T10003.
P. Garg et al., J. Phys. G 40 (2013) 055103.

Problem:

$\mathbf{y} = \mathbf{A} \cdot \mathbf{x}$ \mathbf{x} = true signal, \mathbf{A} = response matrix, \mathbf{y} = measured signal

Knowing \mathbf{y} and \mathbf{A} , find \mathbf{x} .

Unfortunately, \mathbf{A} is often quasi-singular and can not be inverted (ill-conditioned problem!).

Solution:

Minimize via least-squares procedure the „Lagrangian“ $\mathcal{L}(\mathbf{x}, \lambda)$:

$$\mathcal{L}(x, \lambda) = \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3$$

$$\mathcal{L}_1 = (\mathbf{y} - \mathbf{A}\mathbf{x})^T \mathbf{V}_{\mathbf{y}\mathbf{y}}^{-1} (\mathbf{y} - \mathbf{A}\mathbf{x}),$$

$$\mathcal{L}_2 = \tau^2 (\mathbf{x} - f_b \mathbf{x}_o)^T (\mathbf{L}^T \mathbf{L}) (\mathbf{x} - f_b \mathbf{x}_o),$$

$$\mathcal{L}_3 = \lambda (Y - \mathbf{e}^T \mathbf{x})$$

minimization

Tikhonov
regularization

area constraint

ROOT implementation:

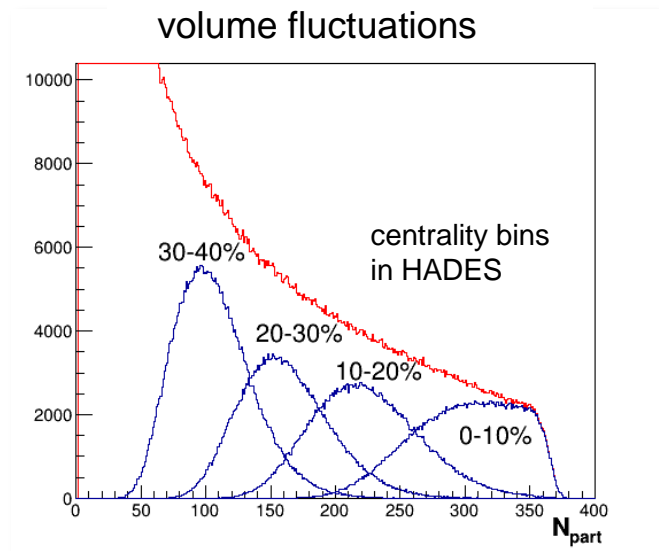
TUnfold, TUnfoldSys, TUnfoldDensity

Next concern: Volume fluctuations effects

→ Effect of volume fluctuations due to centrality selection on (reduced) cumulants of the net baryon number discussed by Skokov, Friman & Redlich in PRC 88 (2013):

$$\begin{aligned}c_1 &= \kappa_1, \\c_2 &= \kappa_2 + \kappa_1^2 v_2, \\c_3 &= \kappa_3 + 3\kappa_2\kappa_1 v_2 + \kappa_1^3 v_3, \\c_4 &= \kappa_4 + (4\kappa_3\kappa_1 + 3\kappa_2^2) v_2 + 6\kappa_2\kappa_1^2 v_3 + \kappa_1^4 v_4,\end{aligned}$$

- κ_n baryon number cumulants
- c_n volume affected cumulants
- v_n volume fluctuations cumulants



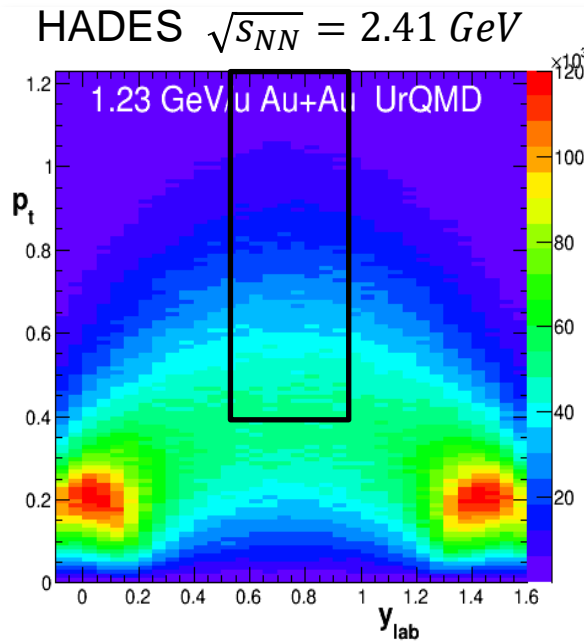
→ Take volume fluctuations v_n from model, e.g. Glauber or transport, adjusted to observable used to define centrality in a given experiment, and correct data.

→ Effect of centrality selection investigated with UrQMD simul by G. Westfall in PRC 92 (2015)

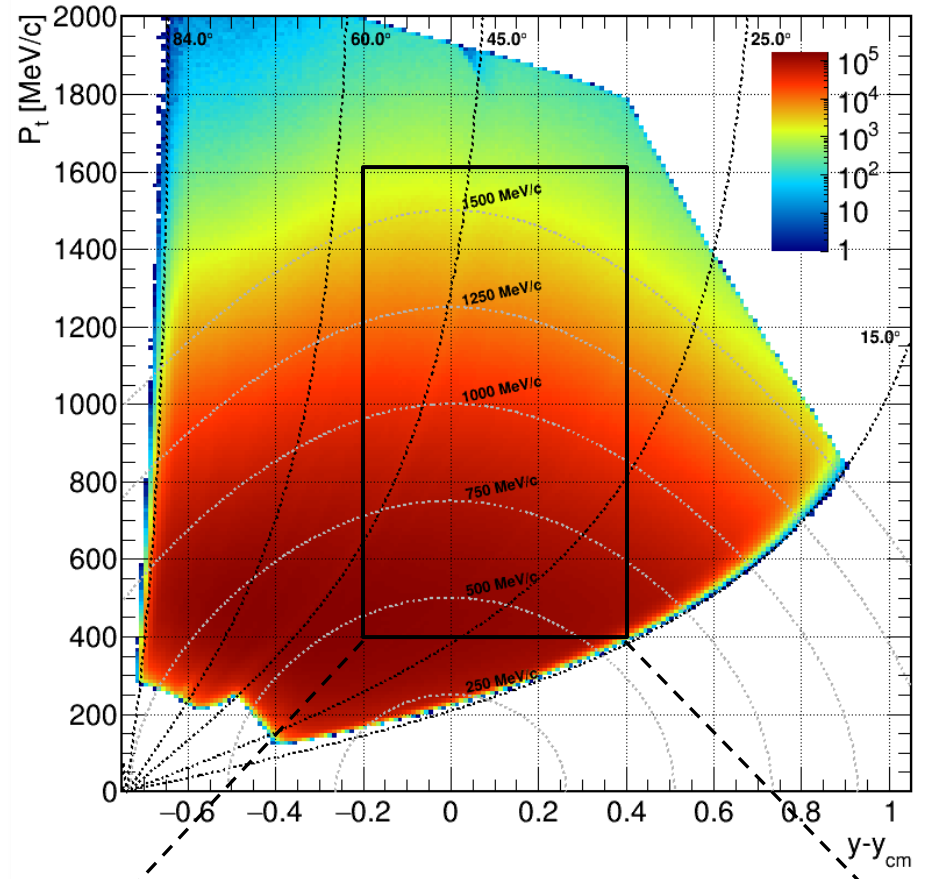
→ Discussed in more detail by PBM, Rustomov & Stachel in arXiv:1612.00702

Choice of phase-space bite for fluctuation analysis

HADES $y - p_t$ coverage for protons



rapidity gap = 1.5 units!



phase-space bite used in fluctuation analysis:

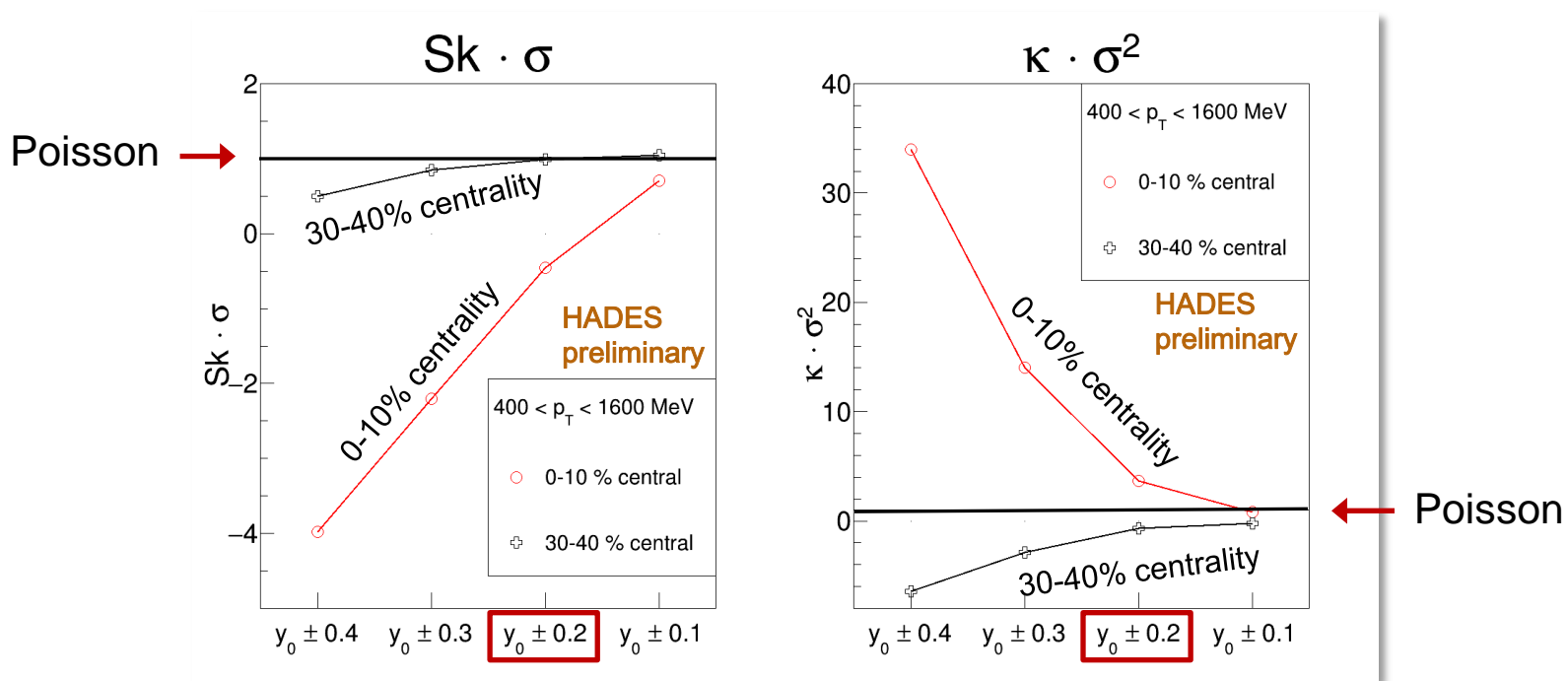
$$y = y_0 \pm 0.2 \text{ and } p_t = 0.4 - 1.6 \text{ GeV}/c$$

→ Need to select a phase-space bite which avoids spectators and stays within the HADES acceptance, but far enough from Poisson limit!

Checking the Poisson limit: κ_n vs. Δy

→ Expect to approach **Poisson limit** for narrow enough phase-space bin!

→ Shown here for our Au+Au proton data with **unfolding & volume correction**:



phase-space bin: $y_{acc} = y_0 \pm \Delta y$
 $p_t = 0.4 - 1.6$ GeV/c

$S \cdot \sigma \rightarrow 1$ and $\kappa \cdot \sigma^2 \rightarrow 1$ for $\Delta y \rightarrow 0$

→ ok!

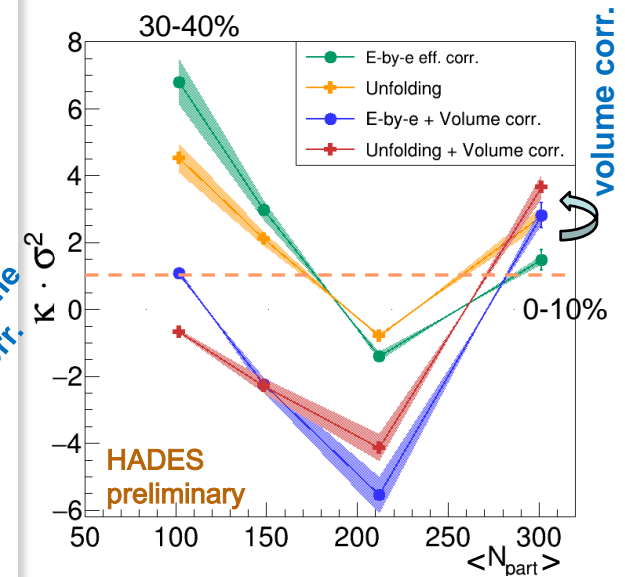
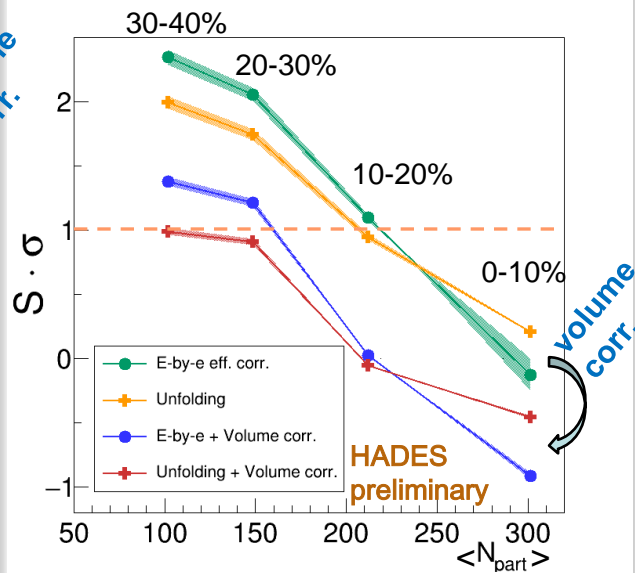
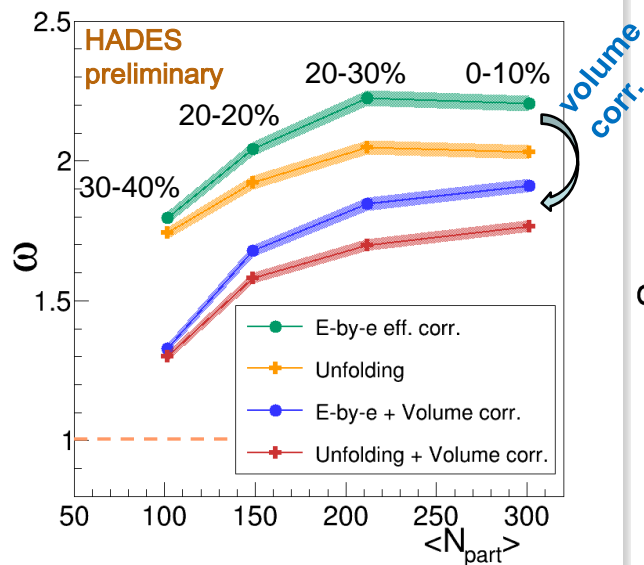
Fully corrected scaled moments vs. centrality

HADES 1.23 GeV/u Au+Au proton moments:

$$\omega = \frac{\kappa_2}{\kappa_1}$$

$$Sk \times \sigma = \frac{\kappa_3}{\kappa_2}$$

$$\kappa \times \sigma^2 = \frac{\kappa_4}{\kappa_2}$$



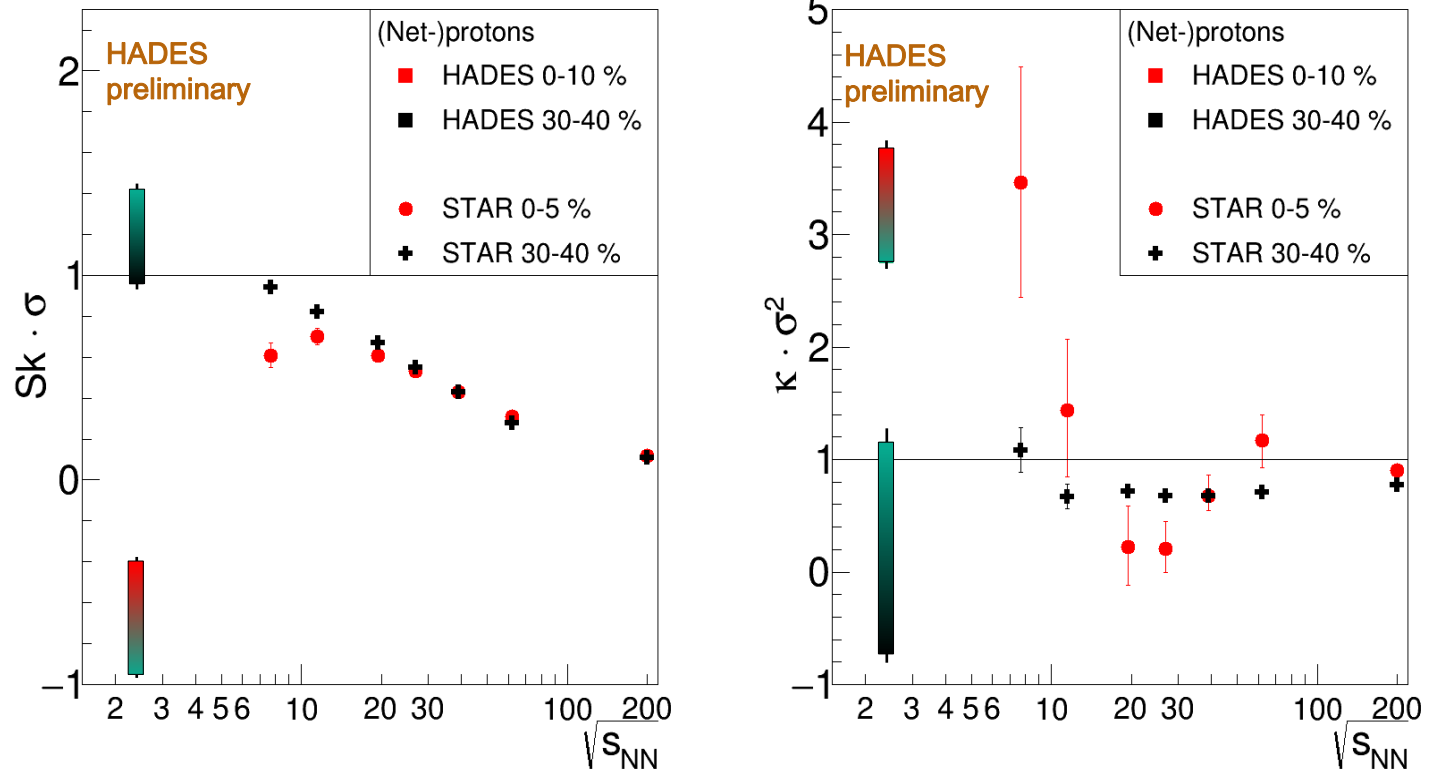
Error bands correspond to 5% systematic error on proton efficiencies.

- Scaled cumulants deviate from Poisson with ↑ centrality
- Volume corrections on κ_4/κ_2 smallest for most central

Comparison with STAR BES-I

STAR analysis: Xiaofeng Luo et al., PoS (CPOD2014) 019

arXiv:1503.02558v2

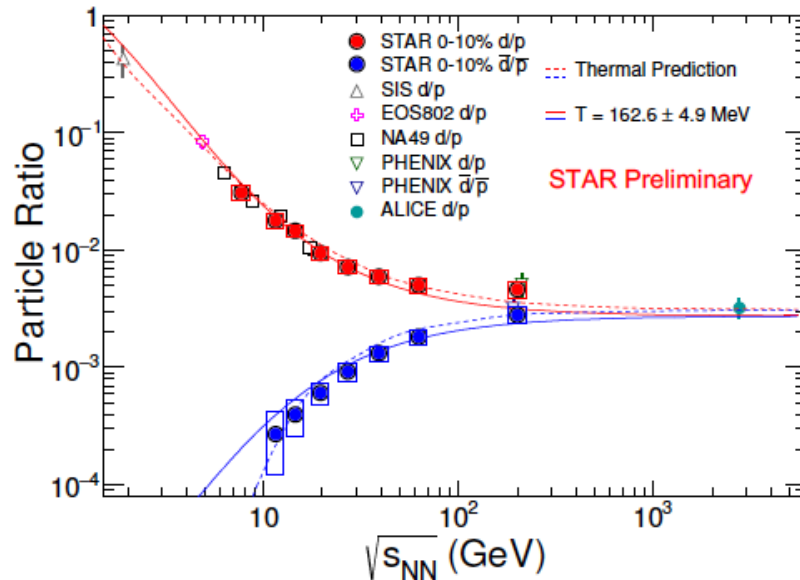


■ red/black = unfolding (preferred method) + vol. flucs. corr.

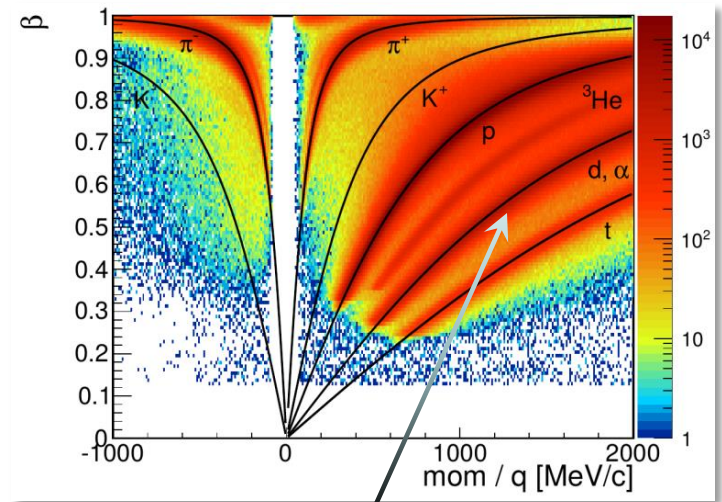
■ green = evt-by-evt eff correction of factorial moments + vol. flucs. corr.

What about bound protons?

Systematics of d/p from STAR collaboration (QM2017)



HADES 1.23 GeV/u Au+Au data



d/p \approx 0.3 - 0.4 (analysis in progress)

→ Sizeable fraction of protons are bound in fragments: d, t, He, etc.

- How do they contribute to baryon-number fluctuations?
- Should they be taken into account in a beam-energy scan?

→ First look at deuteron fluctuations in Au+Au

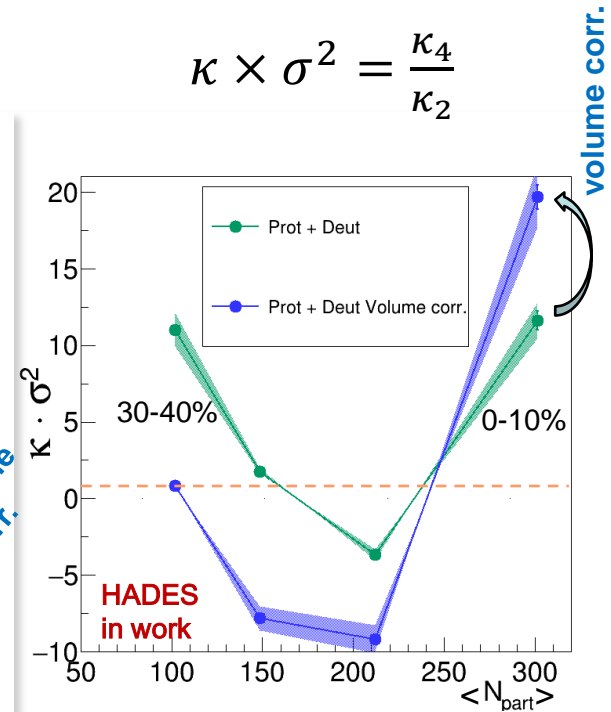
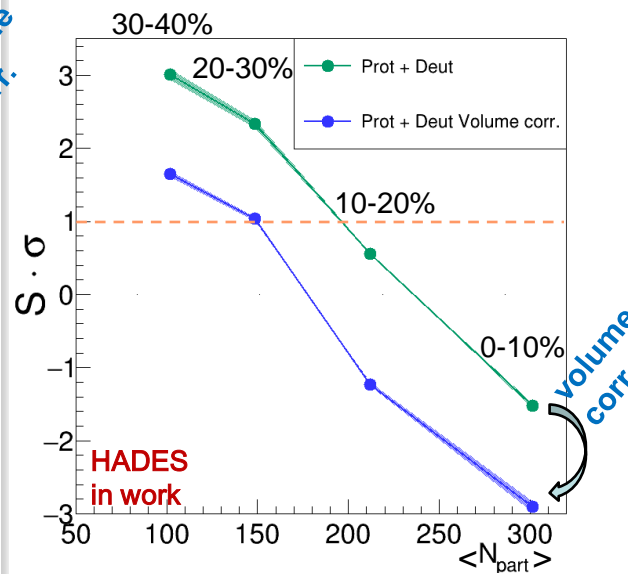
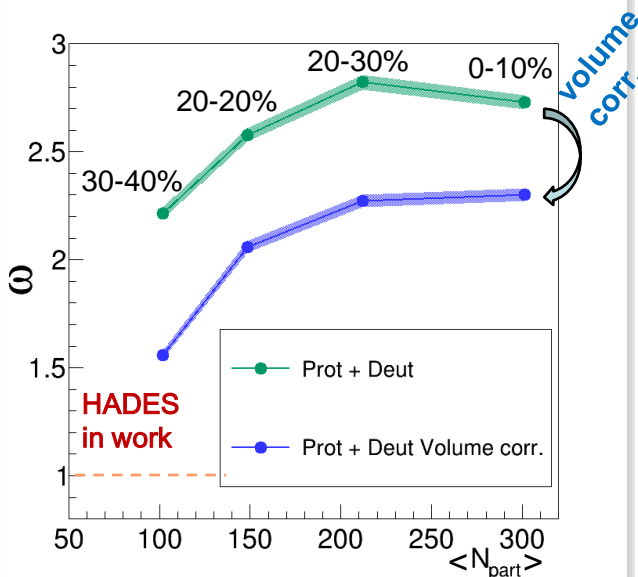
Fully corrected scaled moments of $N_p + N_d$ (ongoing analysis...)

HADES 1.23 GeV/u Au+Au proton+deuteron moments:

$$\omega = \frac{\kappa_2}{\kappa_1}$$

$$Sk \times \sigma = \frac{\kappa_3}{\kappa_2}$$

$$\kappa \times \sigma^2 = \frac{\kappa_4}{\kappa_2}$$



- efficiency corr. via unfolding
- volume flucs. corr.
- error bands = 5% uncertainty on particle eff.

Summary and Outlook

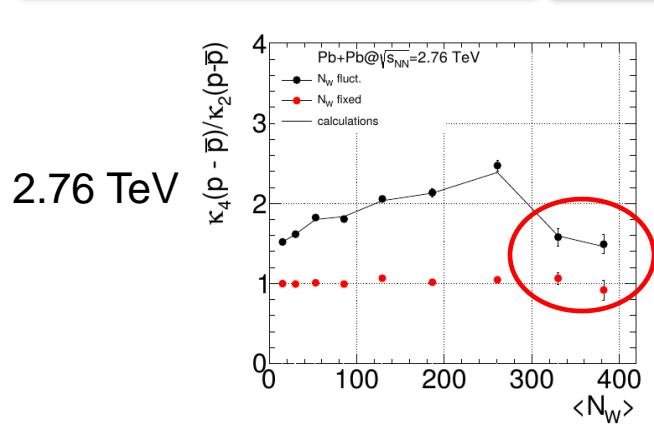
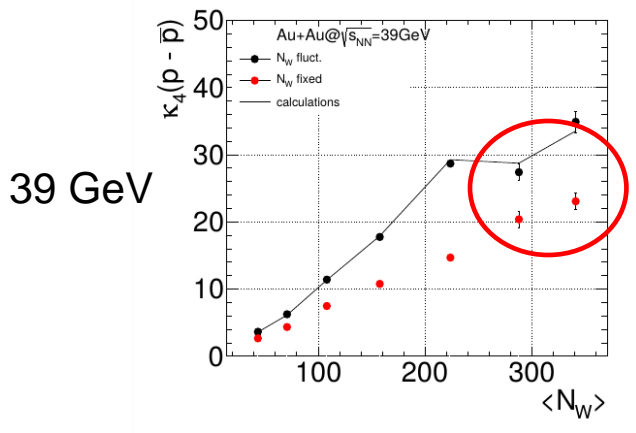
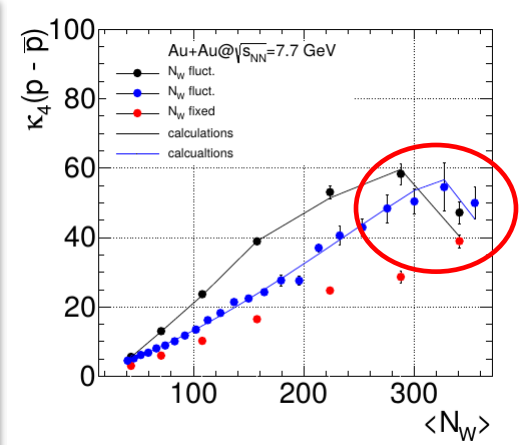
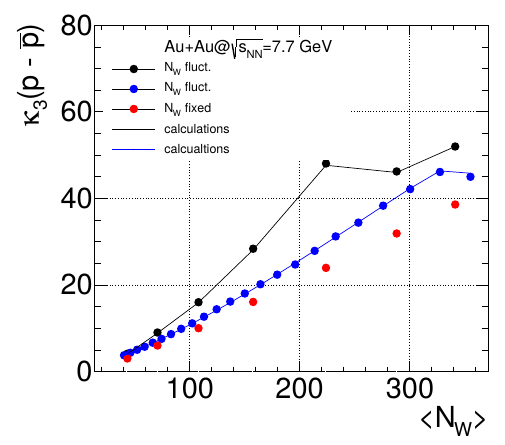
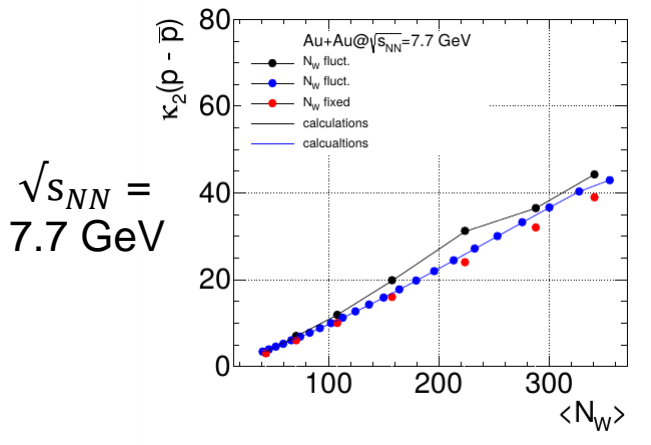
- Analyzed proton nb fluctuations in hi-stat Au+Au evt sample at $\sqrt{s_{NN}} = 2.41 \text{ GeV}$
- For the 1st time this kind of analysis done at SIS18 energies
- Systematic study of experimental & instrumental effects:
 - use of fine grained y-pt bins
 - evt-by-evt changes of efficiency
 - volume fluctuations due to centrality selection
- Started to investigate contribution of bound protons
- ➔ HADES data allow to extend RHIC results towards low $\sqrt{s_{NN}}$, but interpretation needs input from theory.
- ➔➔ To be continued in future runs at FAIR (phase 0 and beyond)

Leftover Slides

Systematic investigation of volume fluctuation effects on cumulants

Glauber simulation of N_{wounded} + Negative Binomial model of particle production

Braun-Munzinger, Rustamov & Stachel, arXiv: 1612.00702v1



Skokov formulas:

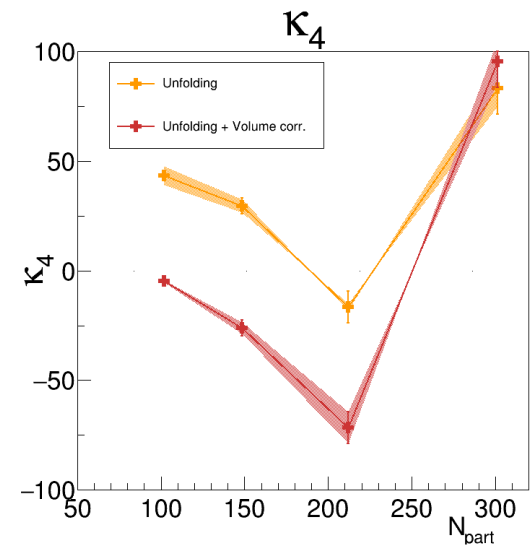
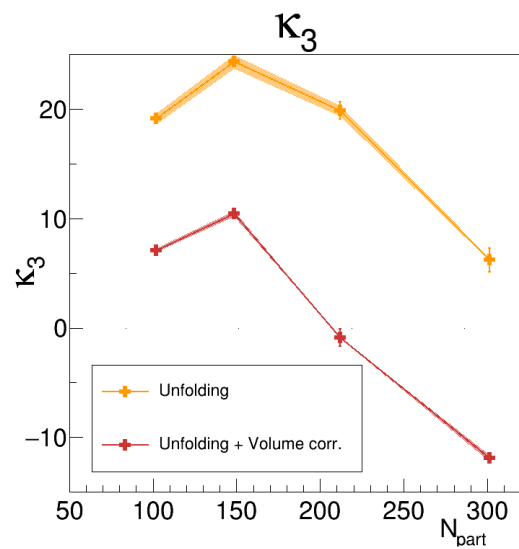
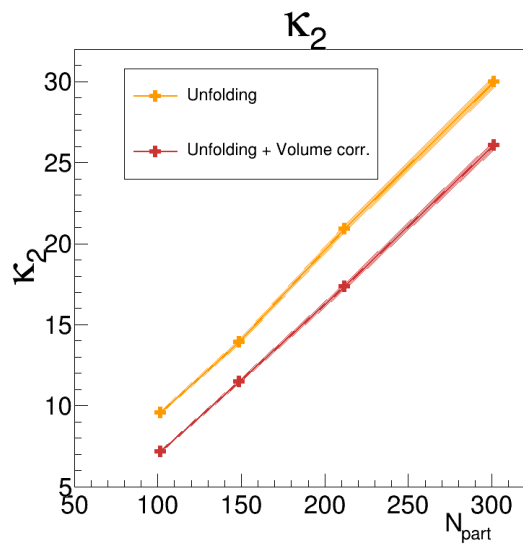
$$\begin{aligned} \kappa_1 &= c_1 \\ \kappa_2 &= c_2 - \kappa_1^2 v_2 \\ \kappa_3 &= c_3 - 3 \kappa_2 \kappa_1 v_2 - \kappa_1^3 v_3 \\ \kappa_4 &= c_4 - (4 \kappa_3 \kappa_1 + 3 \kappa_2^2) v_2 \\ &\quad - 6 \kappa_2 \kappa_1^2 v_3 - \kappa_1^4 v_4 \end{aligned}$$

At large \sqrt{s} , odd terms cancel!

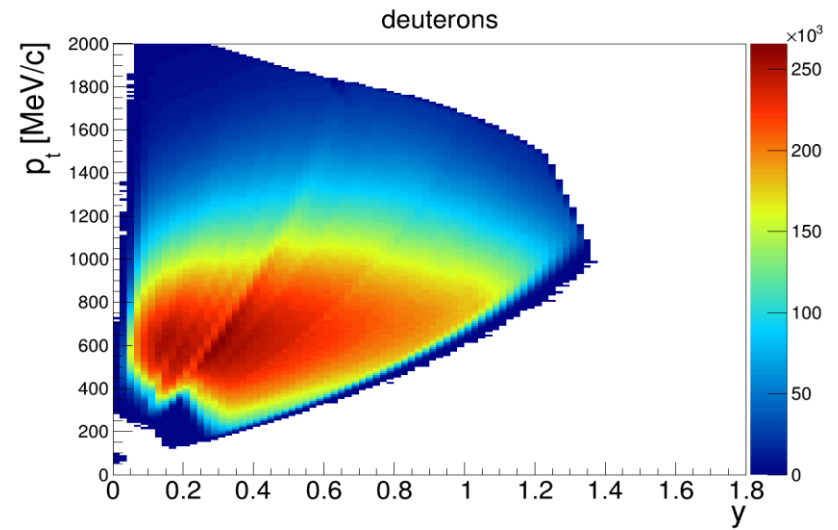
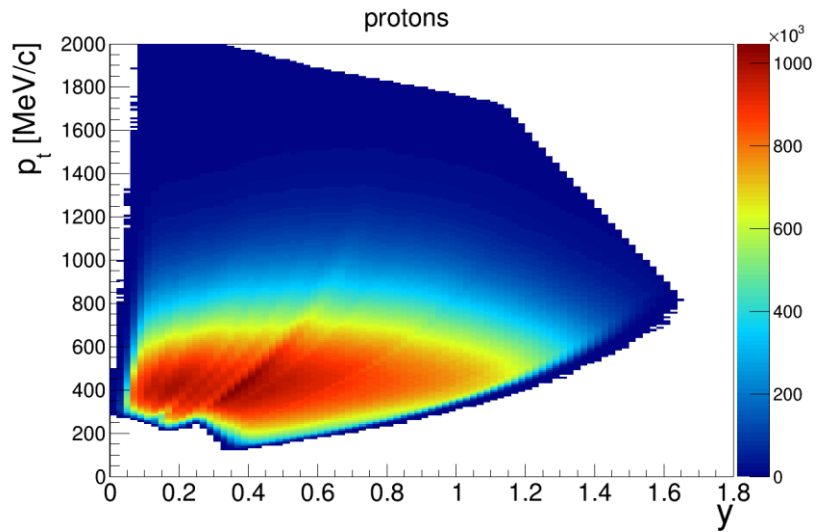
→ partial cancellation of volume terms at large N_w ?

Proton cumulants

Proton cumulants from unfolding + volume corrections



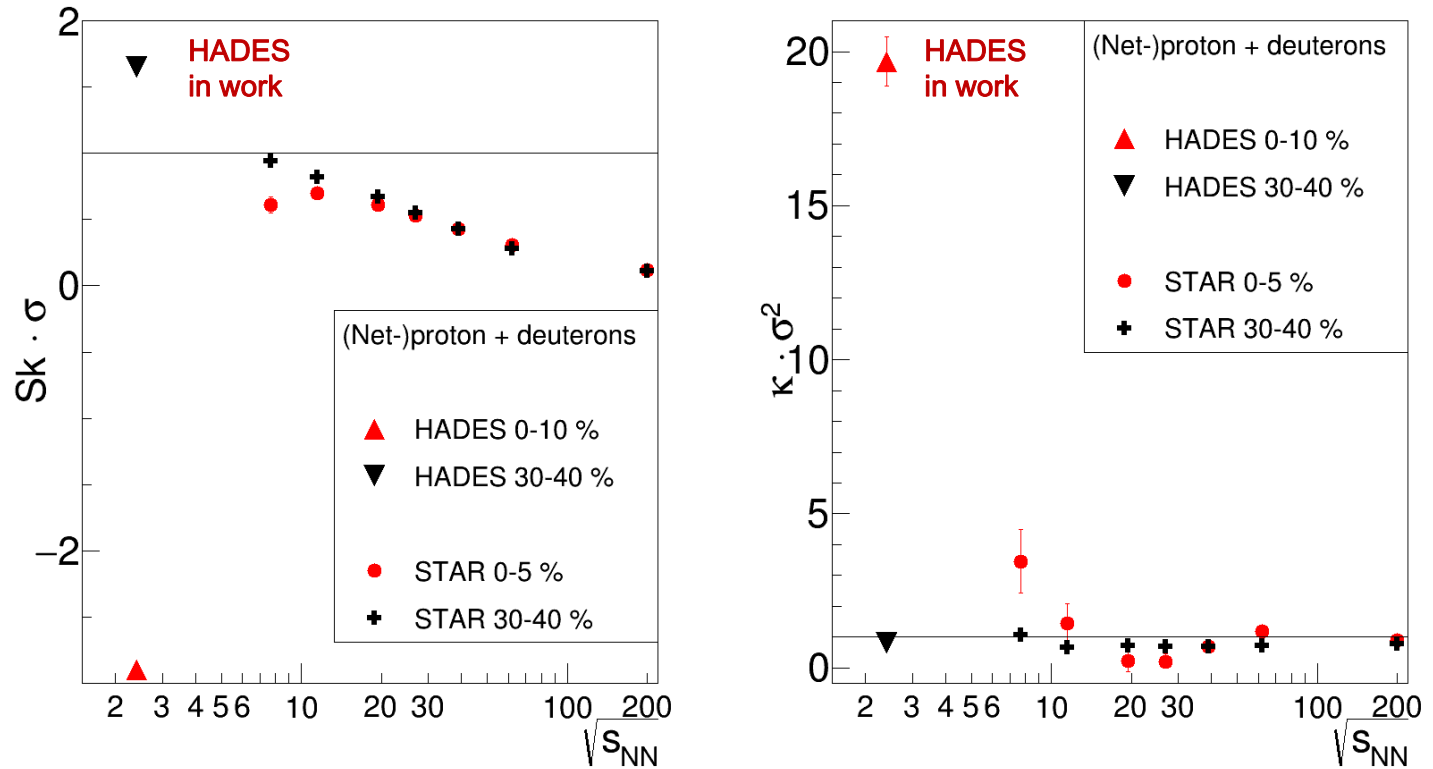
Proton & deuteron coverage



HADES p+d compared with STAR net p (ongoing analysis...)

STAR analysis: Xiaofeng Luo et al., PoS (CPOD2014) 019

arXiv:1503.02558v2



HADES: - efficiency corr. via unfolding
 - volume flucs. corr.
 - no realistic errors yet!

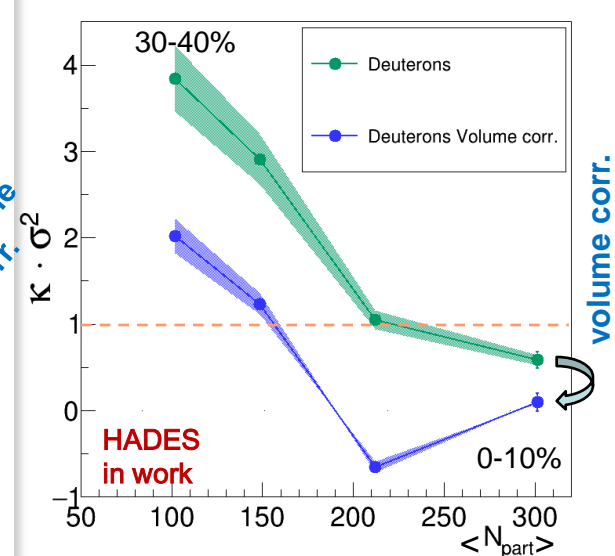
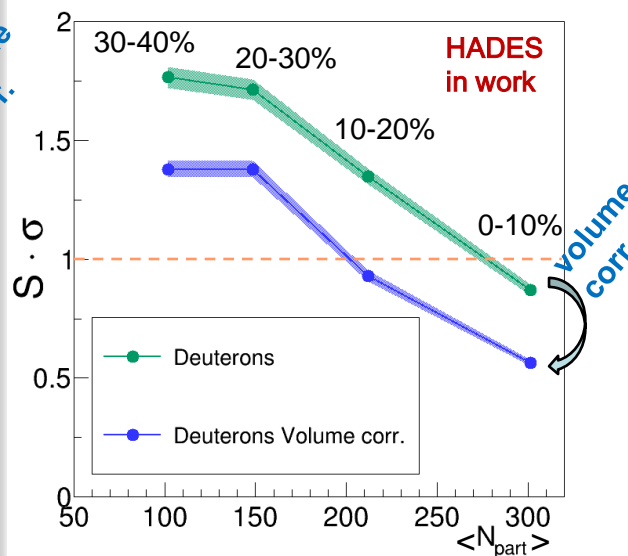
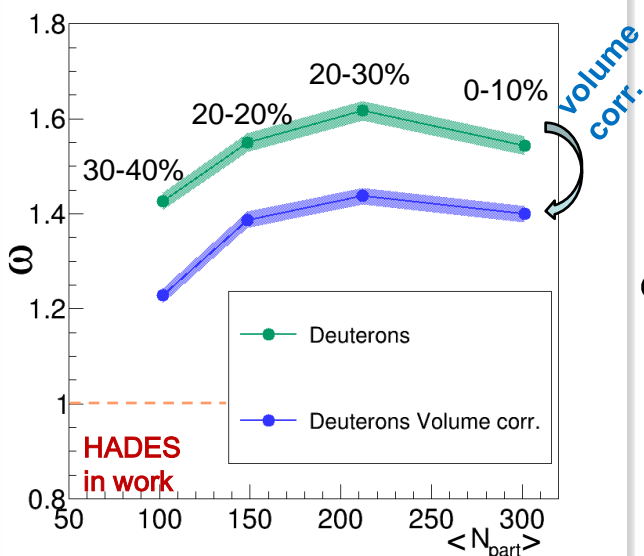
Fully corrected scaled moments of deuterons (ongoing analysis...)

HADES 1.23 GeV/u Au+Au deuteron moments:

$$\omega = \frac{\kappa_2}{\kappa_1}$$

$$Sk \times \sigma = \frac{\kappa_3}{\kappa_2}$$

$$\kappa \times \sigma^2 = \frac{\kappa_4}{\kappa_2}$$

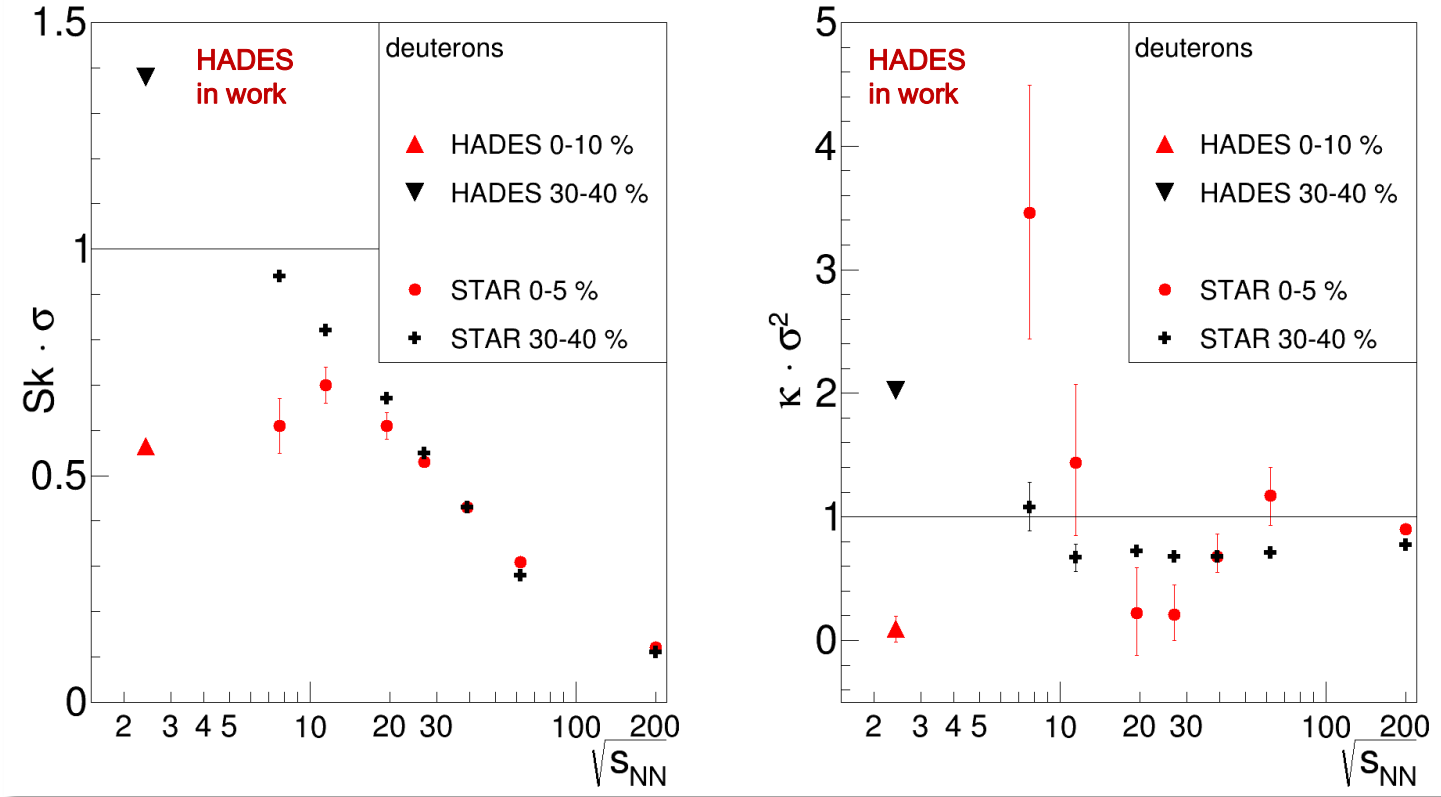


- efficiency corr. via unfolding
- volume flucs. corr.
- error bands = 5% uncertainty on deuteron eff.

HADES deuterons compared with STAR net p (ongoing analysis...)

STAR analysis: Xiaofeng Luo et al., PoS (CPOD2014) 019

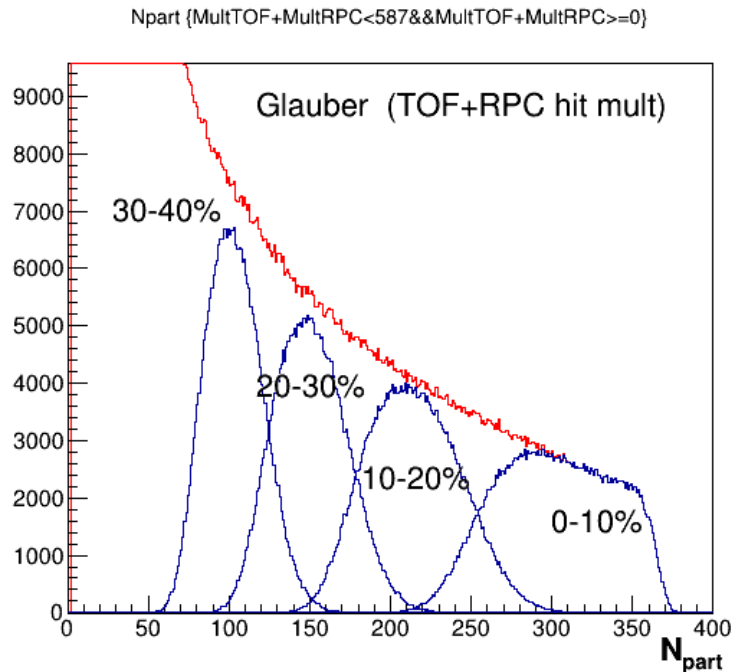
arXiv:1503.02558v2



- HADES: - efficiency corr. via unfolding
 - volume flucs. corr.
 - no realistic errors yet!

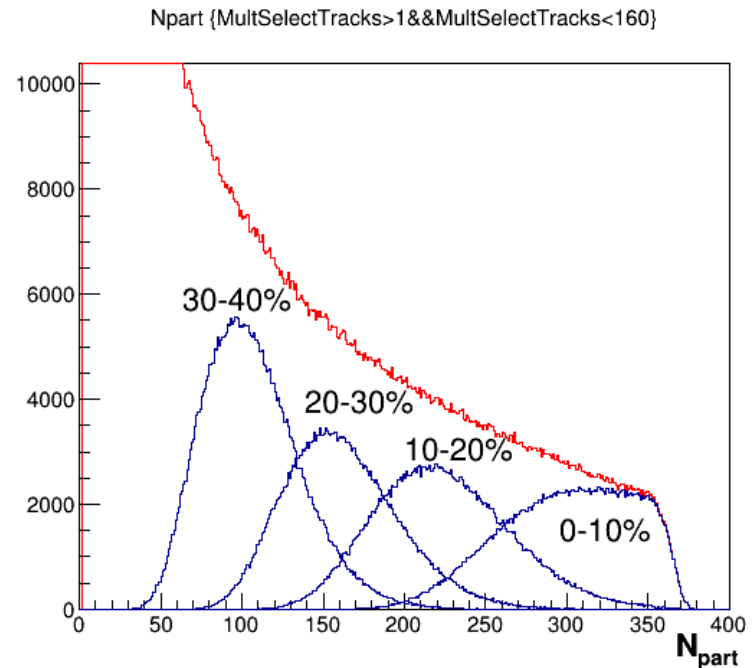
N_{part} from Glauber fits to hit/track observables

adjusted to hit distribution in TOF & RPC:



4 centrality bins used within
HADES LVL1 trigger

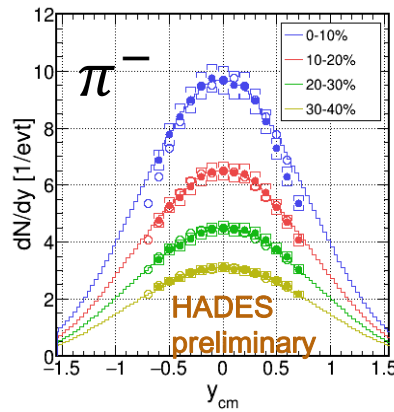
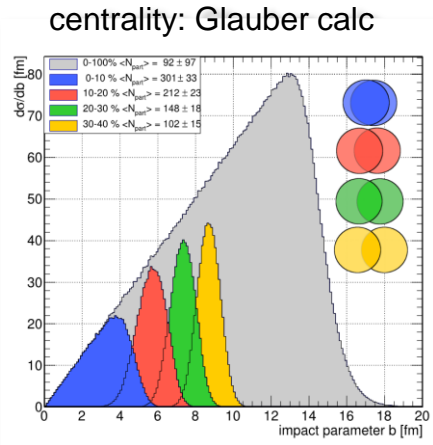
adjusted to track distribution in MDC:



→ used as estimate for FW selection

N_{part} fluctuations, also called volume fluctuations,
must be corrected for in the data!

Pion production in 1.23 GeV/u Au+Au

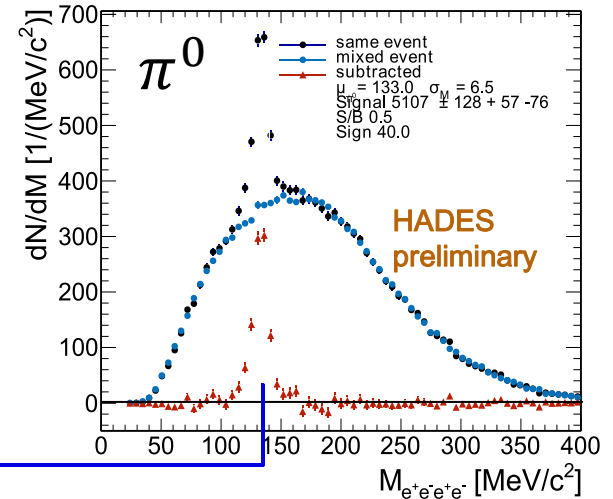
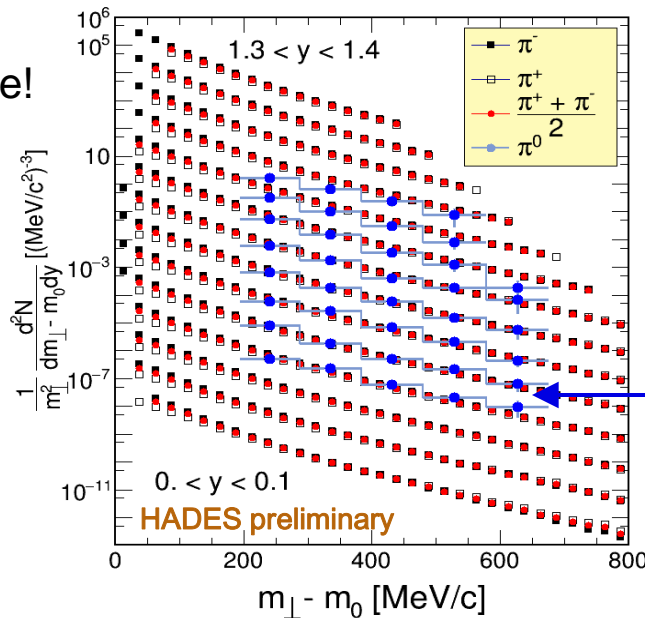


Pseudo-scalar mesons measured in HADES via photon conversion:

$$\pi^0, \eta \rightarrow 2\gamma \rightarrow e^+e^-e^+e^-$$

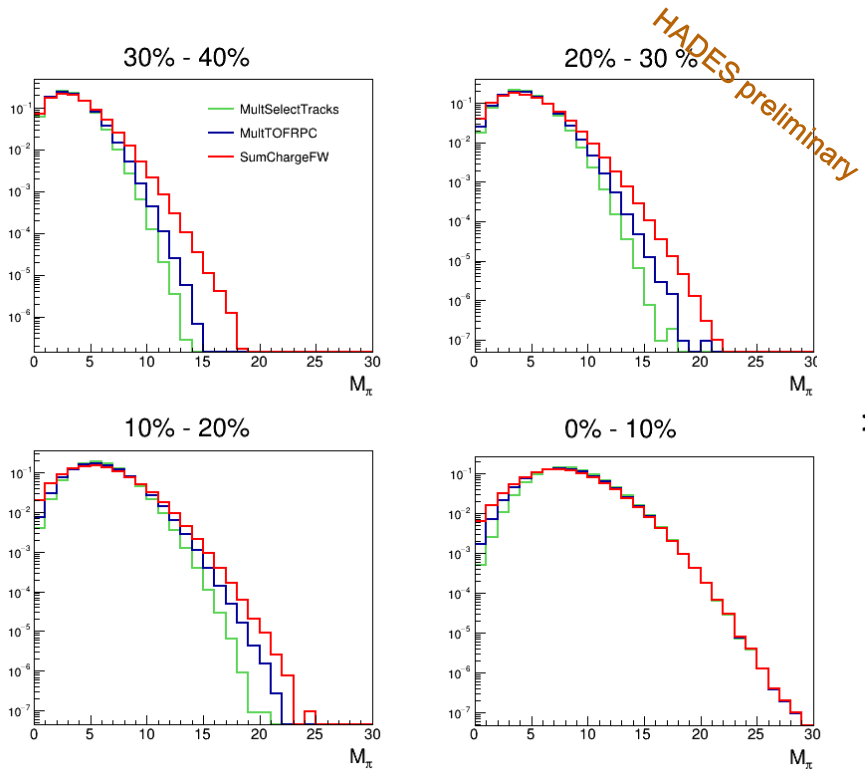
→ very hi-stat π sample!

→ π^0 consistent with $\frac{1}{2}(\pi^+ + \pi^-)$

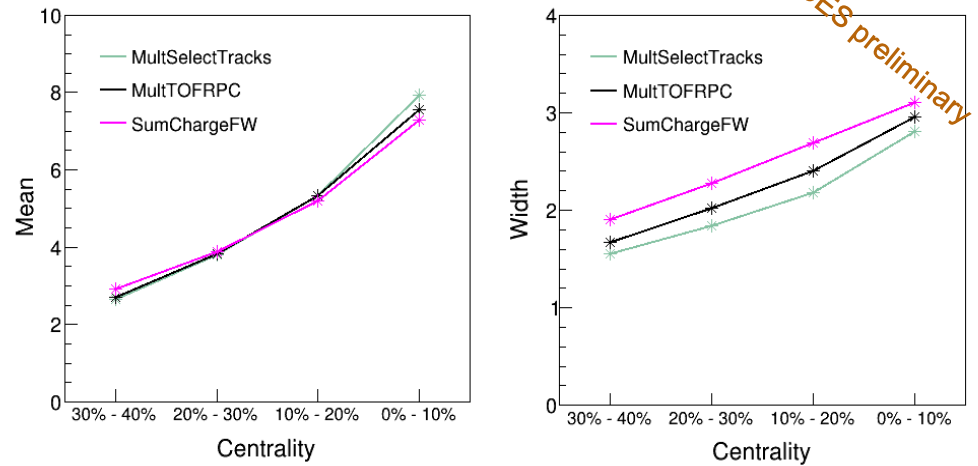


Pion production vs. centrality selection method

charged pion distributions:



pion moments:



skewness (within 15%), kurtosis (within 30%)

→ expect very similar N_{part} distributions for 3 selection methods