



# Neutrino Physics

today, tomorrow ... or later



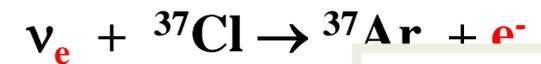
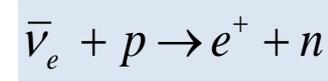
1. Neutrinos have mass!
2. What are neutrinos and how do we know
3. Light neutrinos and heavy neutrinos
4. light neutrino physics ... mass hierarchy, CP violation
5. heavy neutrino physics
  - neutrinoless double beta decay (Majorana mass term?)
  - search for heavy neutrinos : SBN, SHIP, FCC



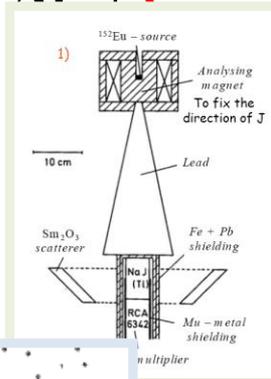
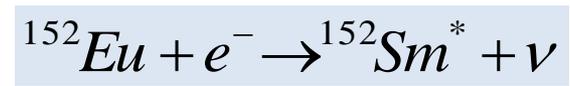
# Who are the neutrinos ?

1930 W. Pauli introduces neutrinos to solve *both* the 'missing energy' and the 'missing angular momentum' problems in nuclear beta decay

1956-59  $\bar{\nu}_e$  are discovered at reactors (Reines/Cowan)  
 $\nu_e$  are *\*not\** discovered at reactors (Davis)

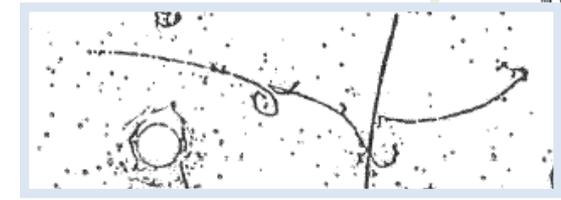


1957 neutrinos have **negative helicity**



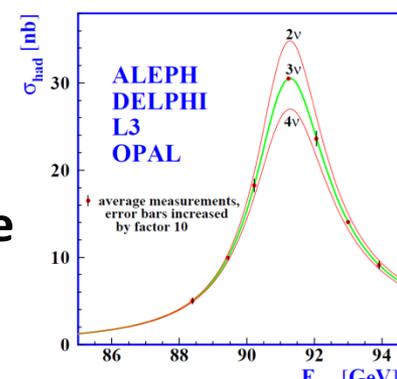
1962 neutrinos from pion decays are  $\nu_\mu$ , **not**  $\nu_e$

1974 neutrino also interact by neutral currents  
*Experimental birth of the Standard Model*



1975  $\tau$  lepton discovered,  $\nu_s$  from  $\tau \rightarrow \pi \nu$  are  $\nu_\tau$  **not**  $\nu_\mu$  **or**  $\nu_e$

1989 LEP : there are 3 types of active neutrinos only.  
**The Standard Model includes 3 massless neutrino type**



# The Standard Model:

3 families of spin ½ quark and leptons

interacting with spin 1 vector bosons ( g, W&Z, gluons)

charged  
leptons

$e$

$$mc^2 = 0.0005 \text{ GeV}$$

$\mu$

$$0.106 \text{ GeV}$$

$\tau$

$$1.77 \text{ GeV}$$

neutral  
leptons =  
neutrinos

$\nu_e$

$$mc^2 \text{ ?=? } < 0.2 \text{ eV}$$

$\nu_\mu$

$$< 0.2 \text{ eV}$$

$\nu_\tau$

$$< 0.2 \text{ eV}$$

quarks

$d$

$$mc^2 = 0.005 \text{ GeV}$$

strange

$$0.200 \text{ GeV}$$

beauty

$$5 \text{ GeV}$$

$u$

$$mc^2 = 0.003 \text{ GeV}$$

charm

$$1.5 \text{ GeV}$$

top

$$mc^2 = 175 \text{ GeV}$$

First family

Seconde family

Third family



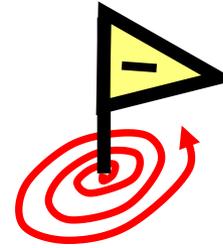
some remarkable symmetries:

each quark comes in 3 colors

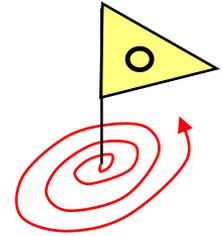
sum of charges is

$$-1 + 0 + 3 \times (2/3 - 1/3) = 0$$

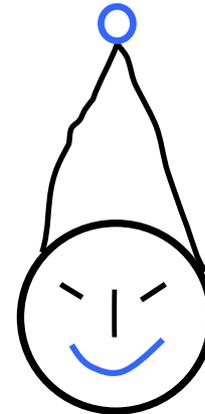
this turns out to be a necessary condition  
for the stability of univers because of  
higher order radiative corrections



Electron  
charge -1



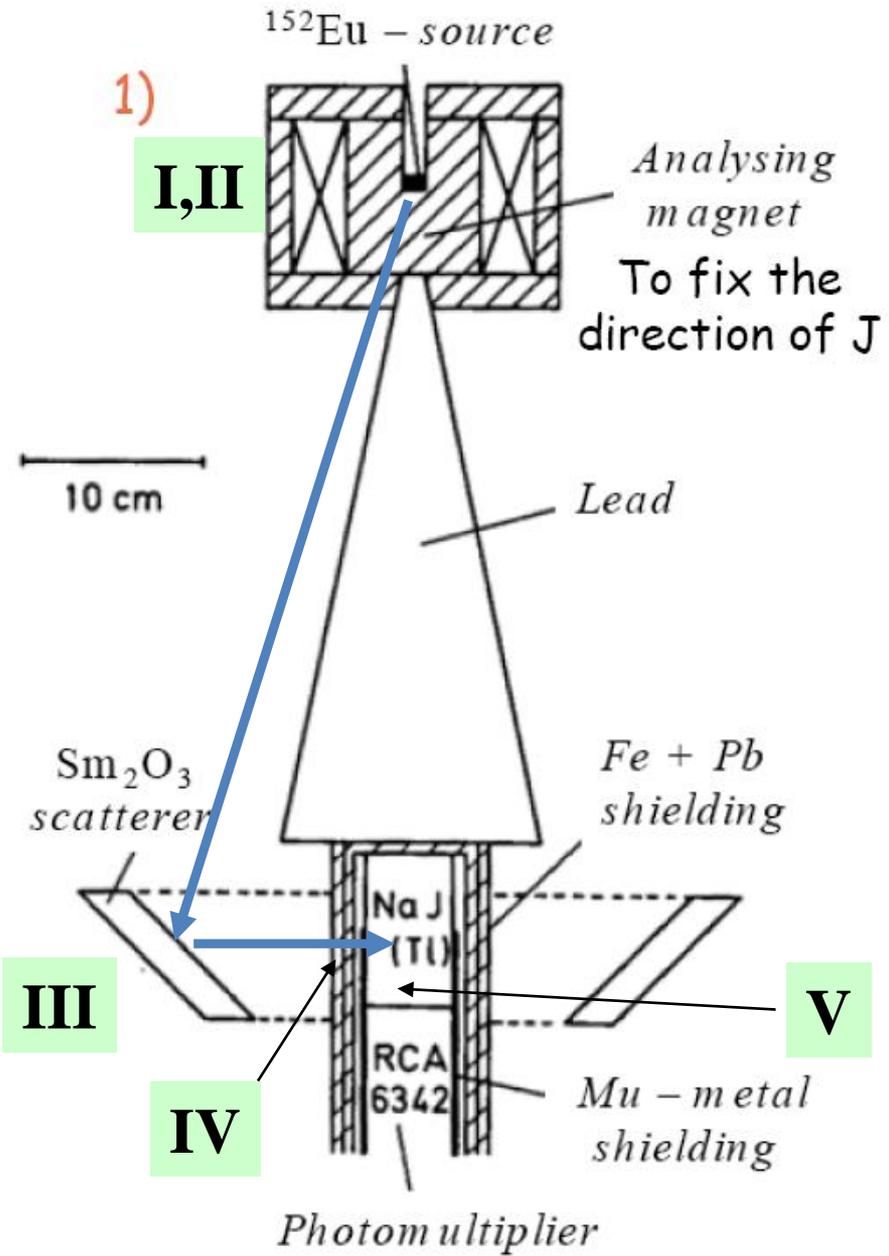
Neutrino  
charge 0



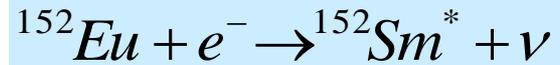
Quark up  
charge 2/3



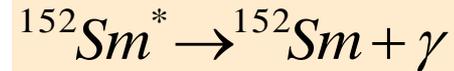
Quark down  
charge -1/3



## Step I neutrino emission

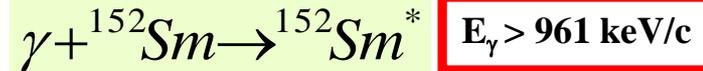


## Step II photon emission

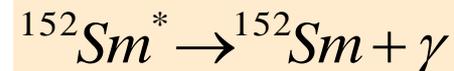


$$E_\gamma = 961 \text{ keV/c} (1 \pm v(\text{Sm}^*)/c)$$

## Step III photon absorption/emission



$$E_\gamma > 961 \text{ keV/c}$$



## Step IV photon filter through magnetic iron

## Step V photon detection in NaI crystal

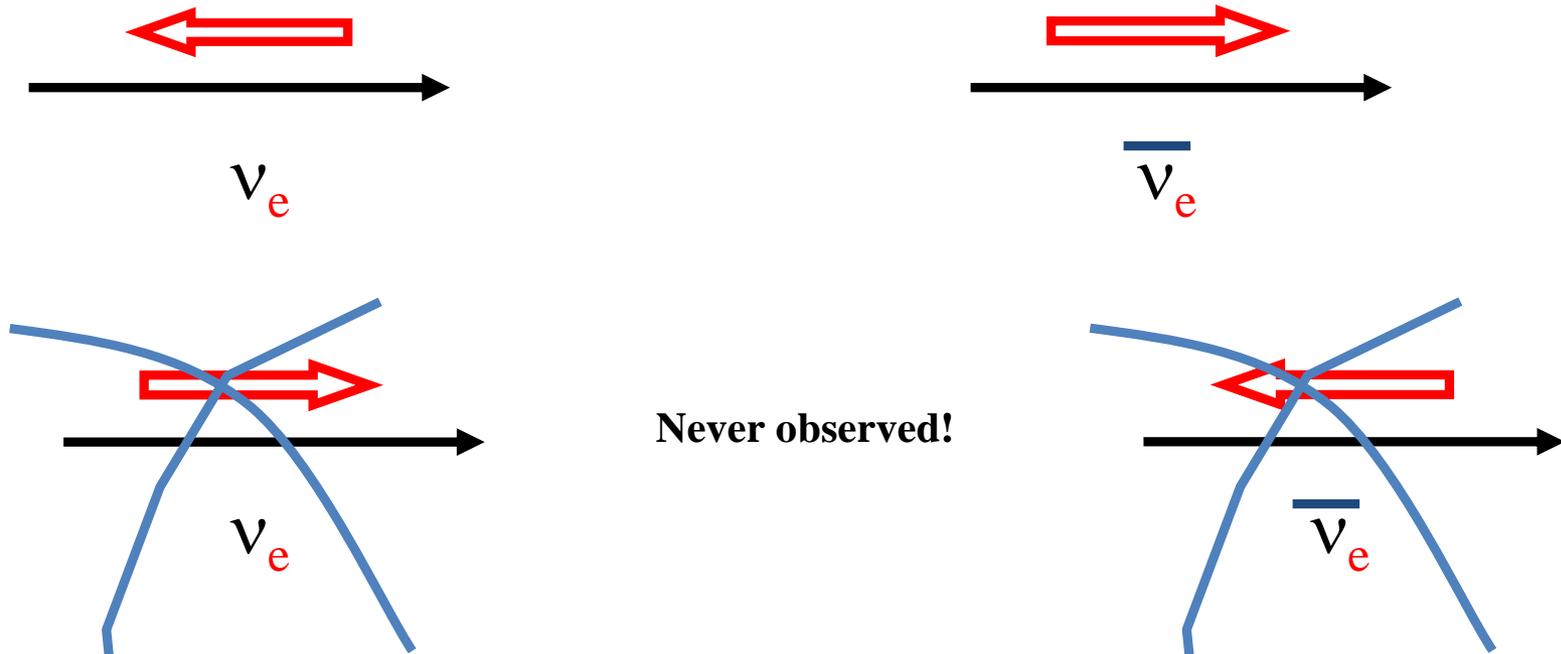
# A stunning property

1957: Neutrino helicity is measured (M. Goldhaber et al):

Neutrinos have negative helicity (spin clockwise) (left-handed)

since: Anti-neutrinos have positive helicity (spin earth-wise) (right-handed)

➔ **Parity violation**

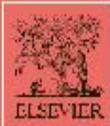


However, if neutrinos had mass it would be possible to do this:



**The Standard Model finesses the issue by assuming neutrinos have no mass.**

# FOUR YEARS AGO ALREADY

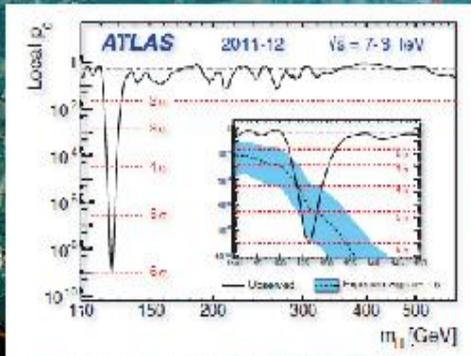
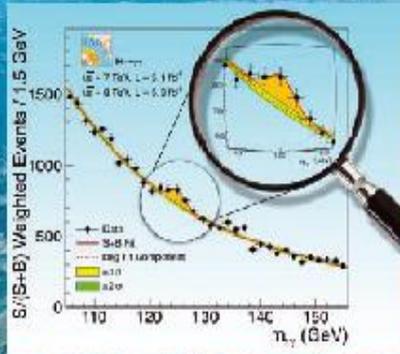


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## PHYSICS LETTERS B

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# The Economist

JULY 7TH - 13TH 2012

[Economist.com](http://Economist.com)

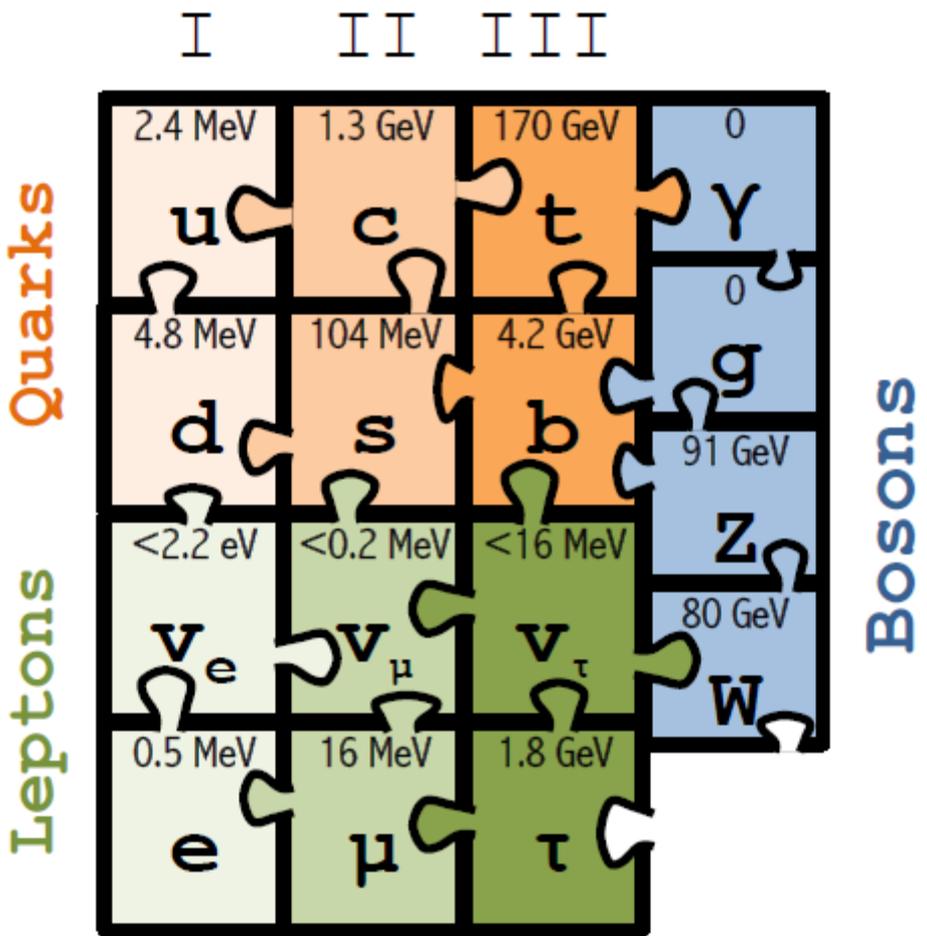
In praise of charter schools  
Britain's banking scandal spreads  
Volkswagen overtakes the rest  
A power struggle at the Vatican  
When Lonesome George met Nora

# A giant leap for science



## Finding the Higgs boson

1994-1999: top mass predicted (LEP, mostly Z mass&width)  
 top quark discovered (Tevatron)  
 t'Hooft and Veltman get Nobel Prize

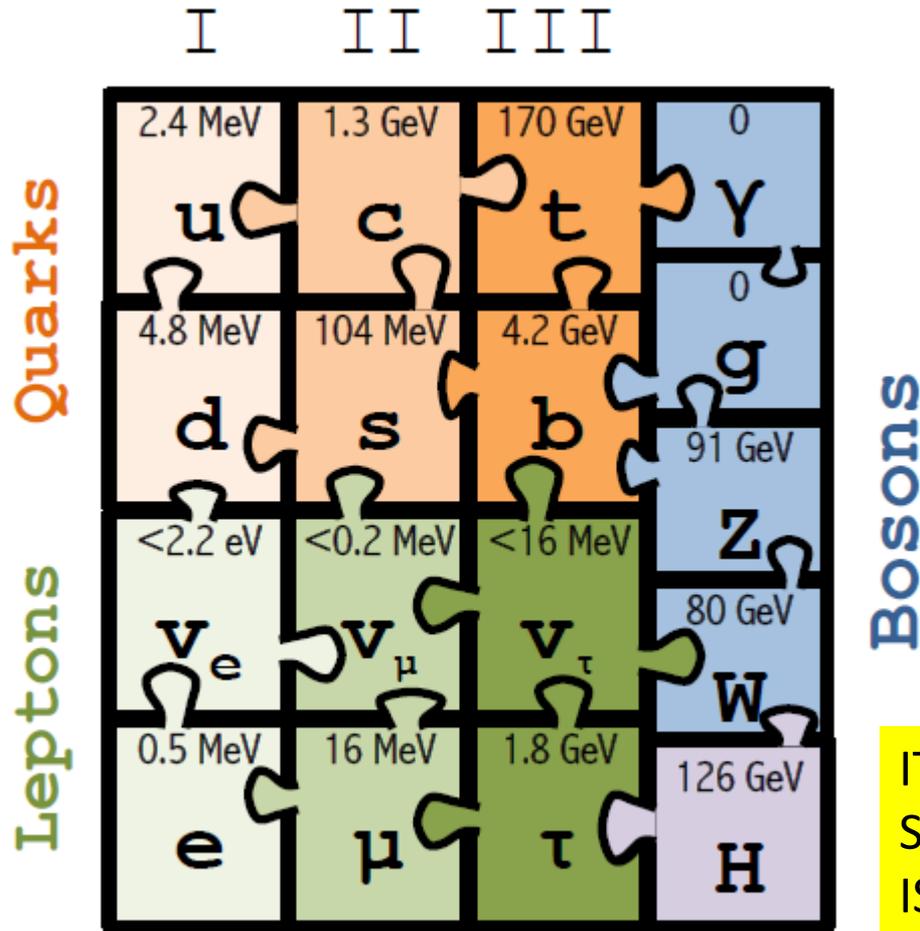


(c) Sfyrla

Alain Blondel neutrinos (Isolde Users Workshop)



1997-2013 Higgs boson mass cornered (LEP  $H$ ,  $M_Z$  etc +Tevatron  $m_t$ ,  $M_W$ )  
 Higgs Boson discovered (LHC)  
 Englert and Higgs get Nobel Prize



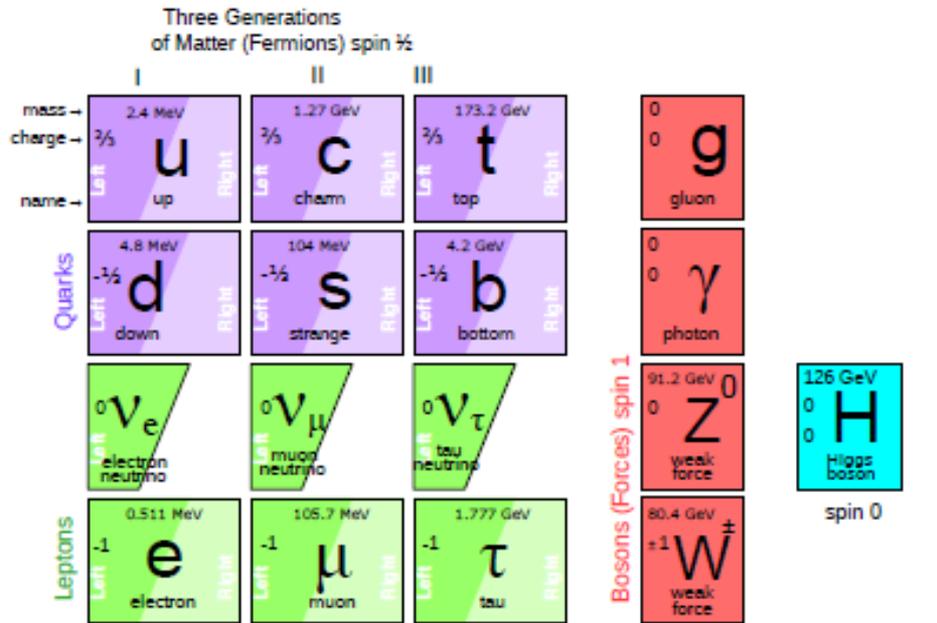
IT LOOKS LIKE THE  
 STANDARD MODEL  
 IS COMPLETE.....

(c) Sfyrla

Alain Blondel neutrinos (Isolde Users Workshop)



# at least 3 pieces are still missing



The right handed neutrinos are missing!

Since 1998 it is established that neutrinos have mass and this very probably implies new degrees of freedom





The Nobel Prize in Physics 2015  
Takaaki Kajita, Arthur B. McDonald

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# The Nobel Prize in Physics 2015



Photo © Takaaki Kajita

**Takaaki Kajita**

Prize share: 1/2



Photo: K. MacFarlane.  
Queen's University  
/SNOLAB

**Arthur B. McDonald**

Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*



# Breakthrough prize

## LAUREATES

Breakthrough Prize   [Special Breakthrough Prize](#)   [New Horizons Prize](#)   [Physics Frontiers Prize](#)

2016   [2015](#)   [2014](#)   [2013](#)   [2012](#)



[Kam-Biu Luk and the Daya Bay Collaboration](#)



[Yifang Wang and the Daya Bay Collaboration](#)



[Koichiro Nishikawa and the K2K and T2K Collaboration](#)



[Atsuto Suzuki and the KamLAND Collaboration](#)



[Arthur B. McDonald and the SNO Collaboration](#)



[Takaaki Kajita and the Super K Collaboration](#)



[Yoichiro Suzuki and the Super K Collaboration](#)

**The prize, presented by the Breakthrough Prize Foundation, was awarded “for the fundamental discovery of neutrino oscillations, revealing a new frontier beyond, and possibly far beyond, the standard model of particle physics”.**

**CP violation and the existence of heavy right-handed neutrinos are expected, and will provide an intense research program for many years to come. Neutrinos are leading candidates to explain the dominance of matter over anti-matter in the Universe, and constitute good dark matter candidates.**



# Neutrinos

## *astrophysical neutrinos*

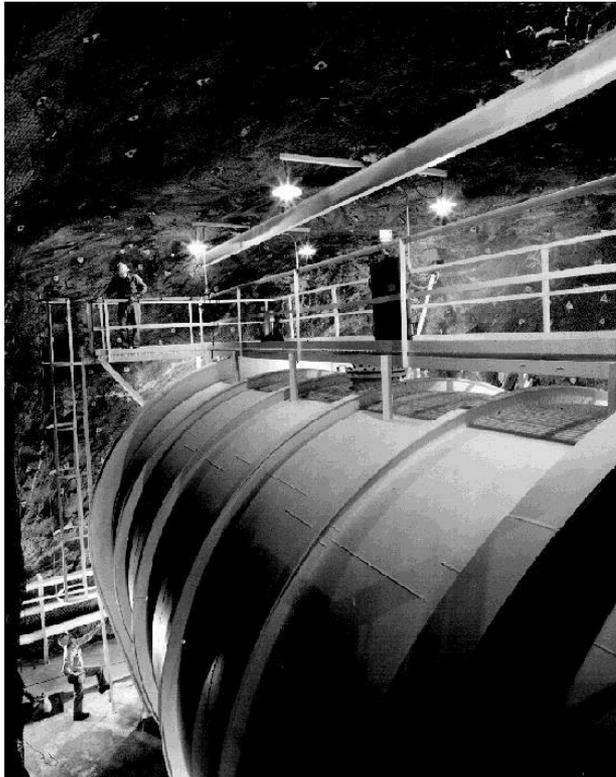
Ray Davis

since ~1968



### Solar Neutrino Detection 600 tons of chlorine.

### Homestake Detector



- Detected neutrinos  $E > 1\text{MeV}$
- fusion process in the sun

solar :  $pp \rightarrow pn e^+ \nu_e$  (then D gives He etc...)

these  $\nu_e$  do  $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$

they are **neutrinos**

- The rate of neutrinos detected is **three** times less than predicted!

**solar neutrino ‘puzzle’ since 1968-1975!**

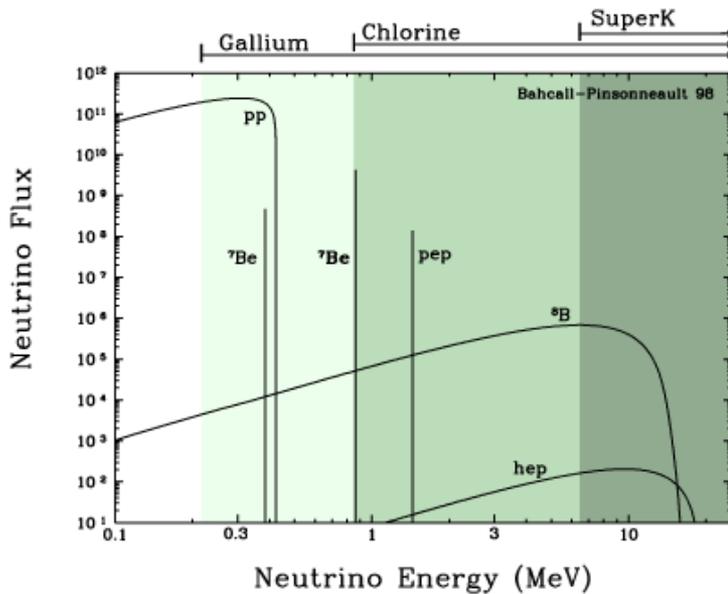
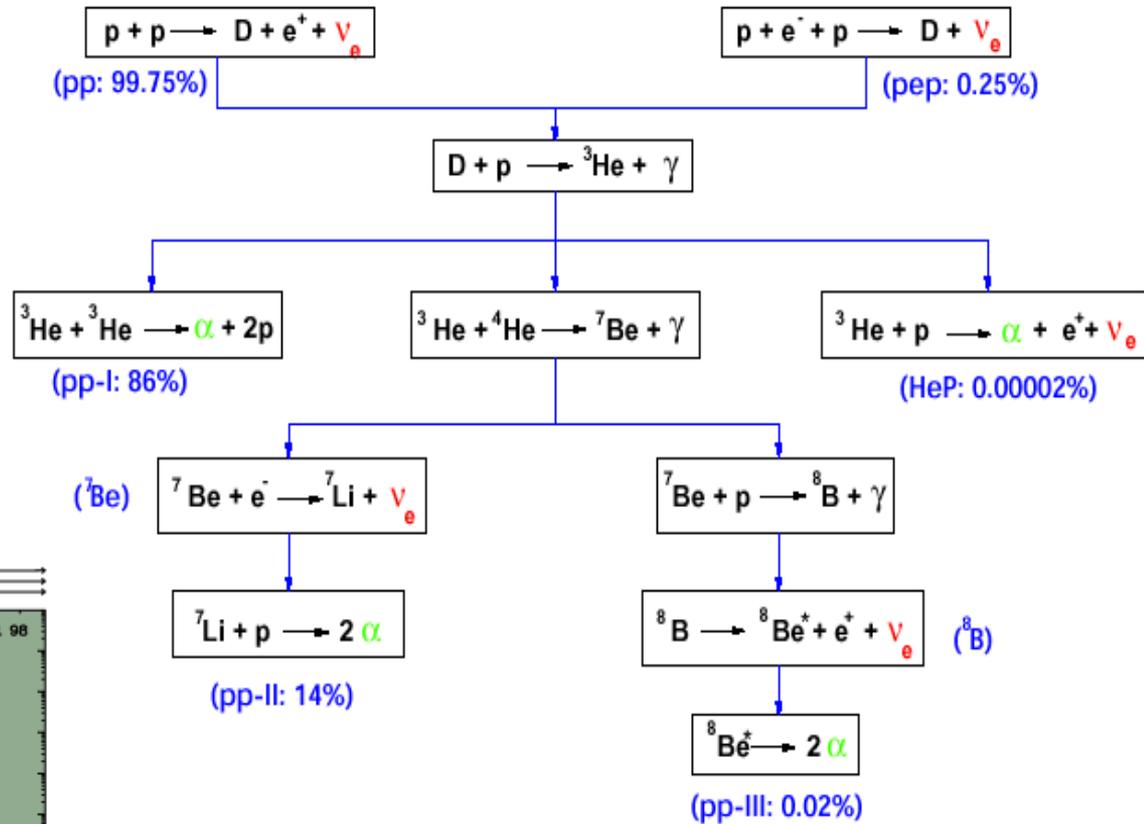
solution: 1) solar nuclear model is wrong or 2) neutrino oscillate

Alain Blondel neutrinos (Isolde Users Workshop)



# $\nu_e$ solar neutrinos

Sun = Fusion reactor  
 Only  $\nu_e$  produced  
 Different reactions  
 Spectrum in energy



Counting experiments vs  
 flux calculated by SSM

**BUT ...**



# Super-K detector

Koshihba (Nobel 2002)

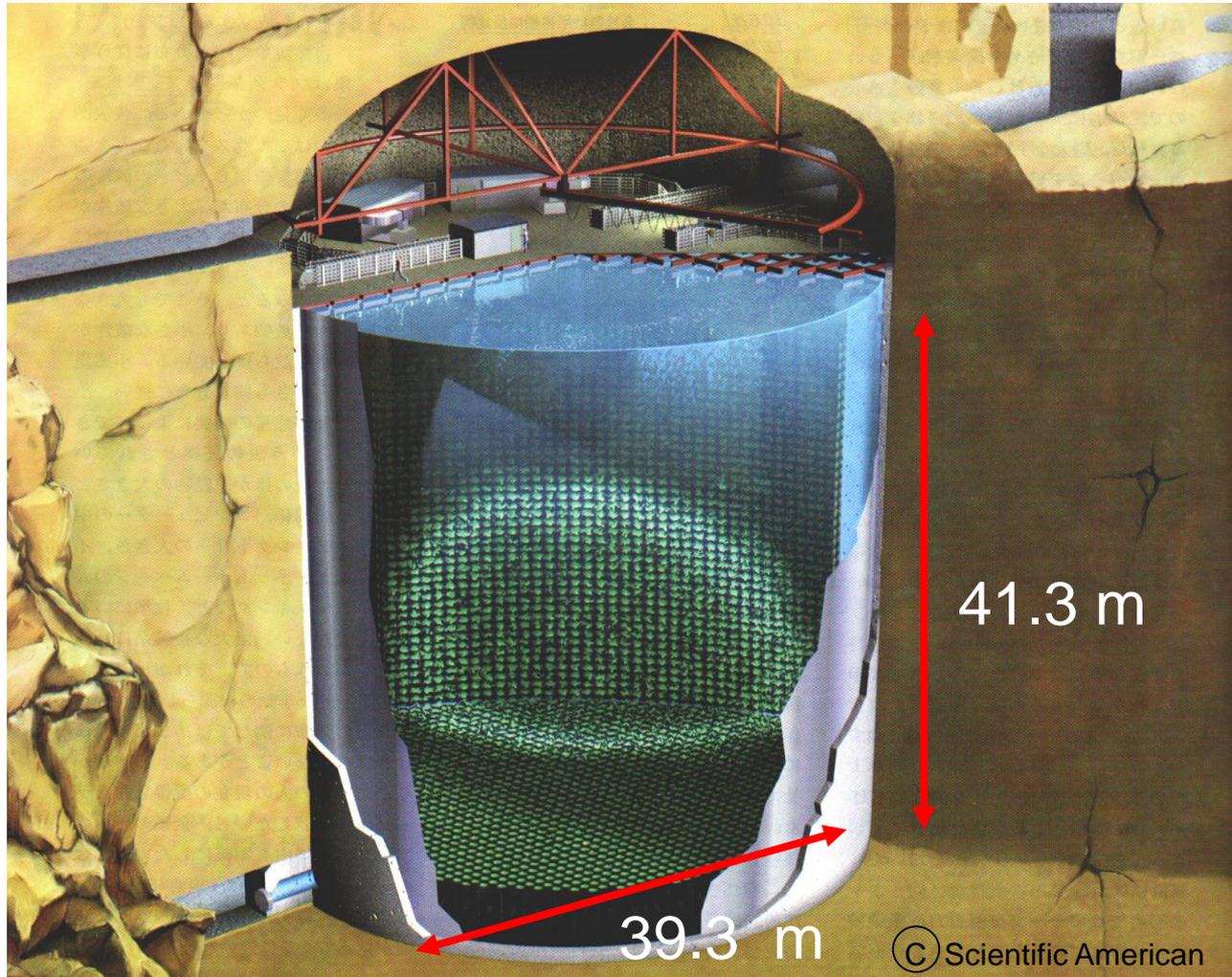


Masatoshi Koshihba

Cerenkov  
à Eau

50000 tonnes d'eau  
ultra-pure

≈10000 Photo  
Multiplicateurs de  
80 cm de diamètre à  
10k\$ pièce)

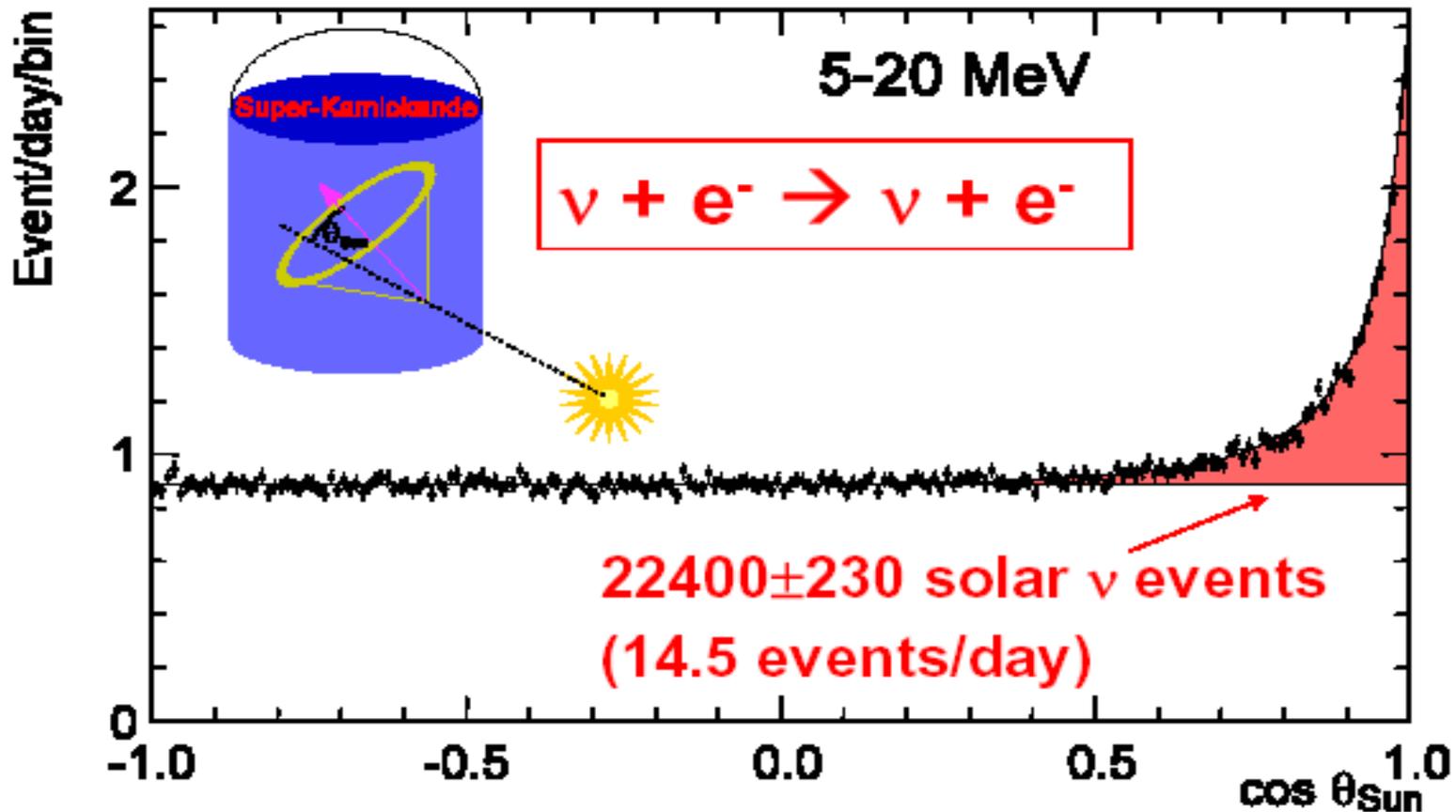


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# Super-Kamiokande-I solar neutrino data

May 31, 1996 – July 13, 2001 (1496 days)



$^8\text{B}$  flux :  $2.35 \pm 0.02 \pm 0.08$  [ $\times 10^6$  /cm<sup>2</sup>/sec]

$$\frac{\text{Data}}{\text{SSM(BP2004)}} = 0.406 \pm 0.004 \begin{matrix} +0.014 \\ -0.013 \end{matrix}$$

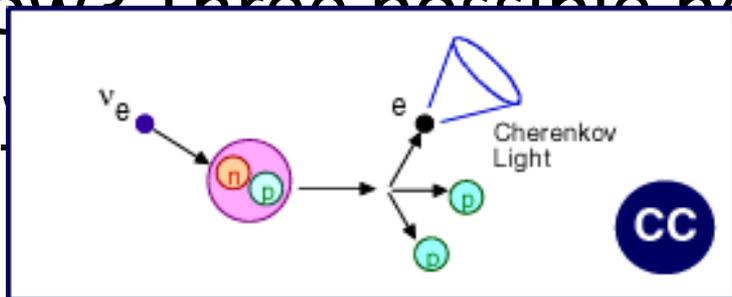
( Data/SSM(BP2000) =  $0.465 \pm 0.005 \begin{matrix} +0.016 \\ -0.015 \end{matrix}$  )



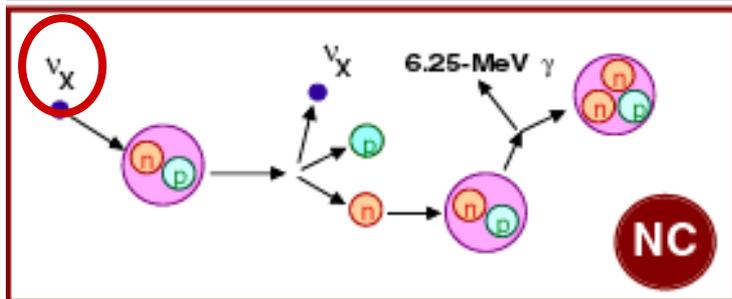
# SNO detector

are there **non- $\nu_e$**  neutrinos in **pure solar  $\nu_e$  beam**

How? Three possible neutrino reaction in heavy

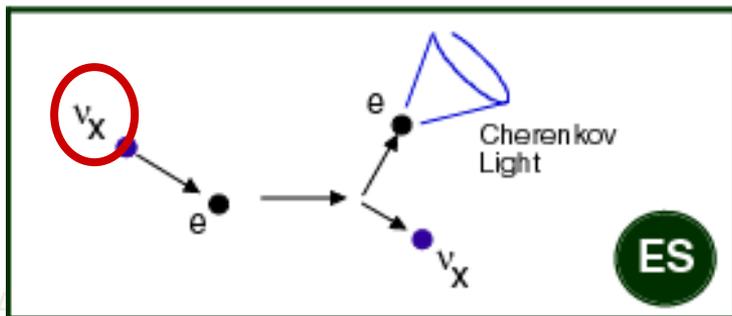


only  $\nu_e$



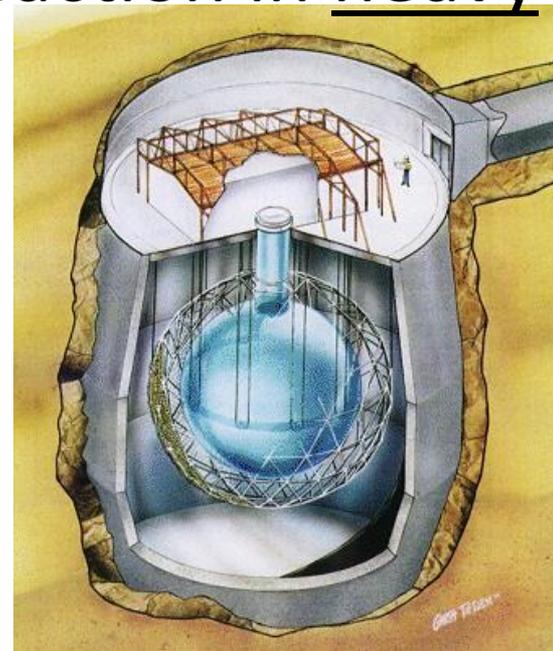
equally

$$\nu_e + \nu_\mu + \nu_\tau$$



in-equally

$$\nu_e + 0.1 (\nu_\mu + \nu_\tau)$$



1000 ton of  $D_2O$

12 m diam.

9456 PMTs

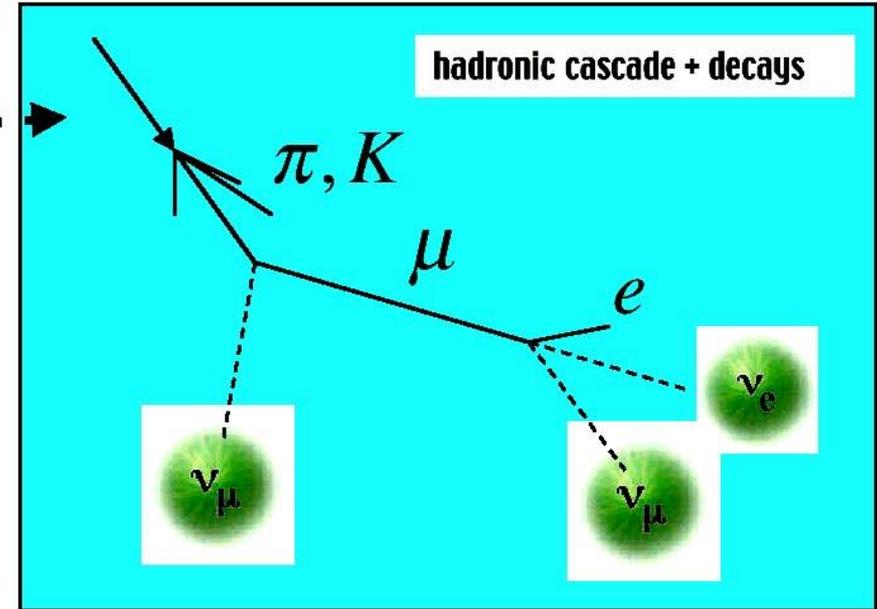
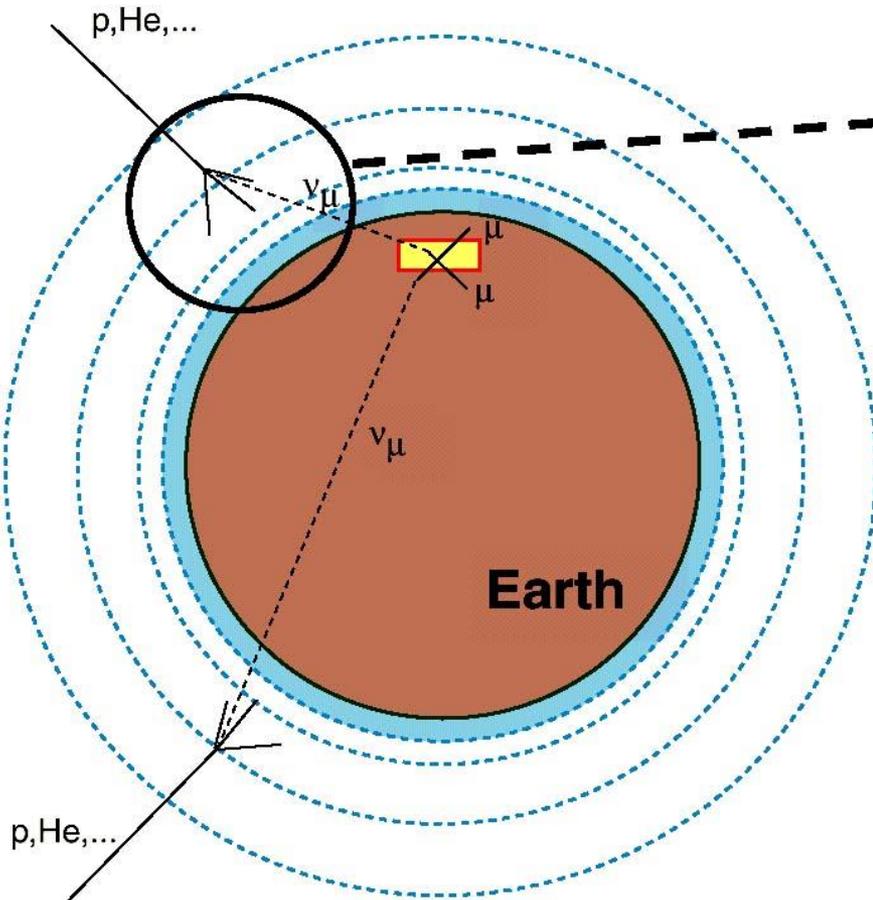


# Neutrinos Atmosphériques



Photo © Takaaki Kajita  
Takaaki Kajita

Distance entre production et détection de  
~20km à 12700 km

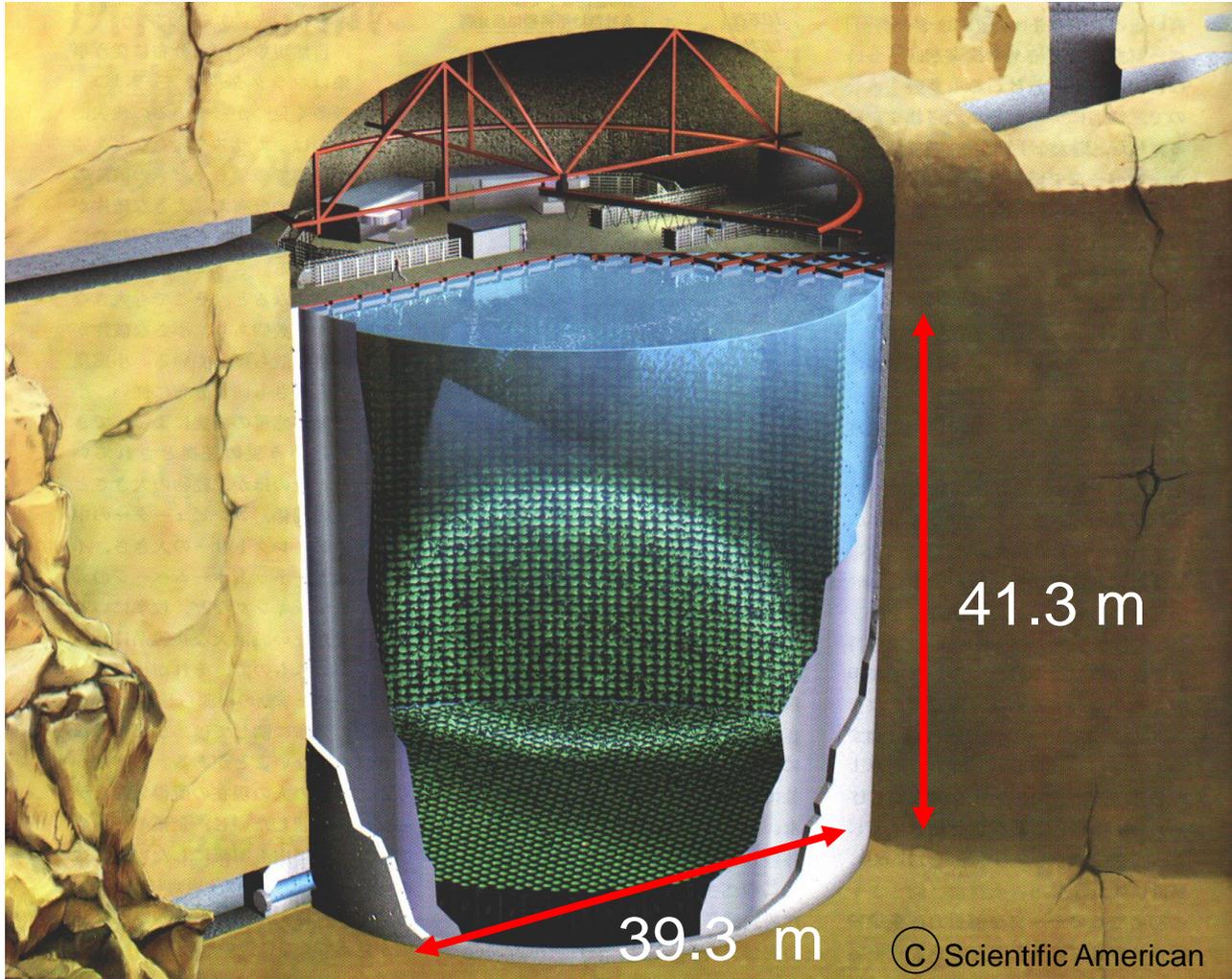


$$R = \frac{\nu_{\mu} + \bar{\nu}_{\mu}}{\nu_{e} + \bar{\nu}_{e}} \approx 2$$

Predicted ratio of muon to  
electron neutrinos

almost isotropic source  
(geomagnetic effects)

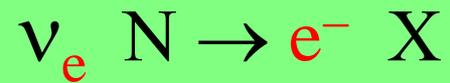
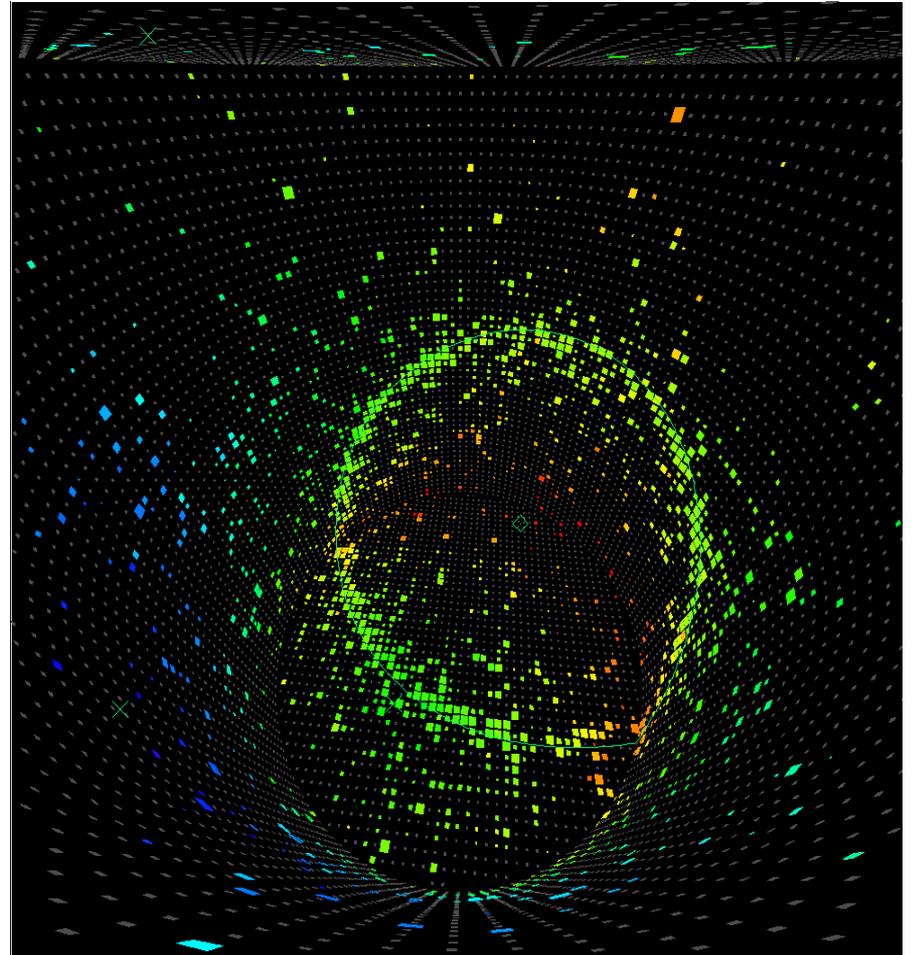
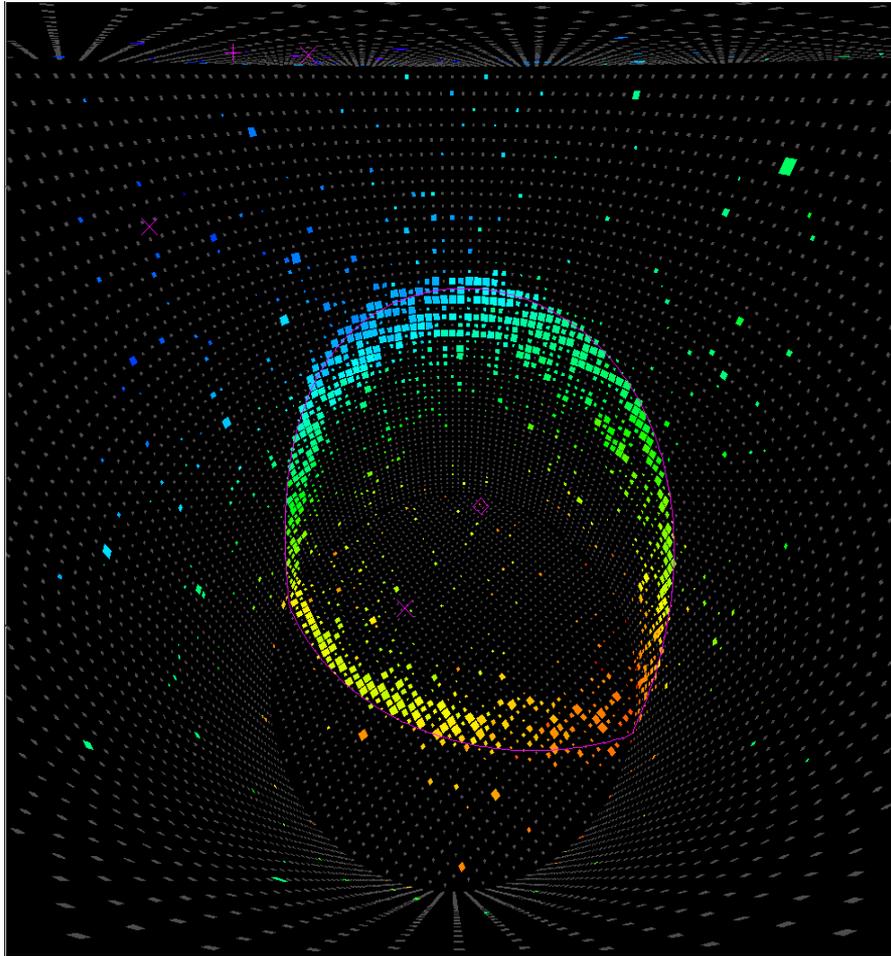
# Super-K detector



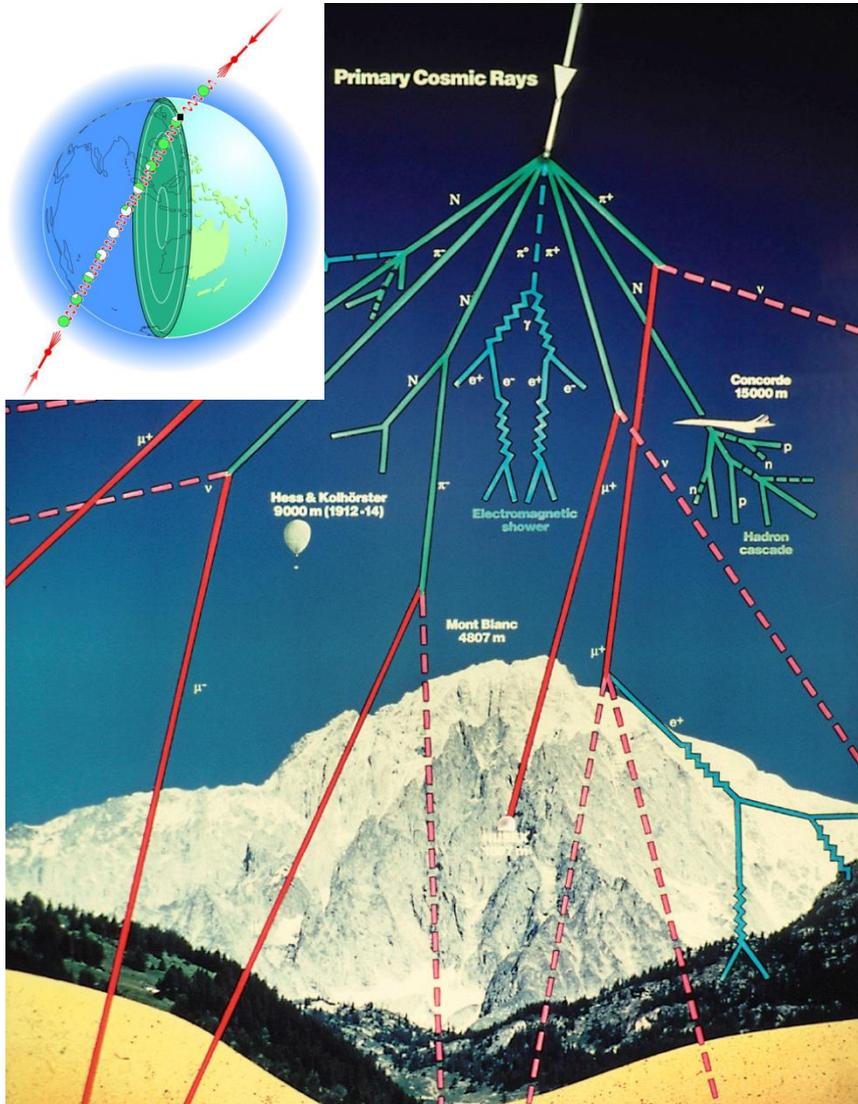
Water Cerenkov  
detector  
50000 tons of  
pure light  
water  
 $\approx 10000$  PMTs

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# Séparer $\nu_\mu$ et $\nu_e$



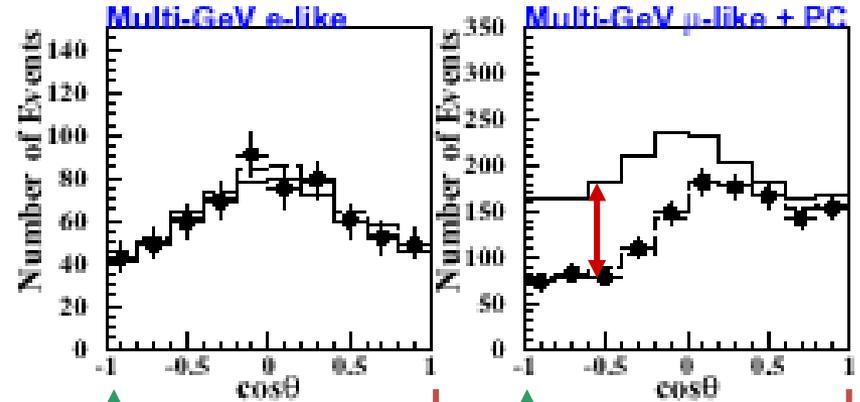
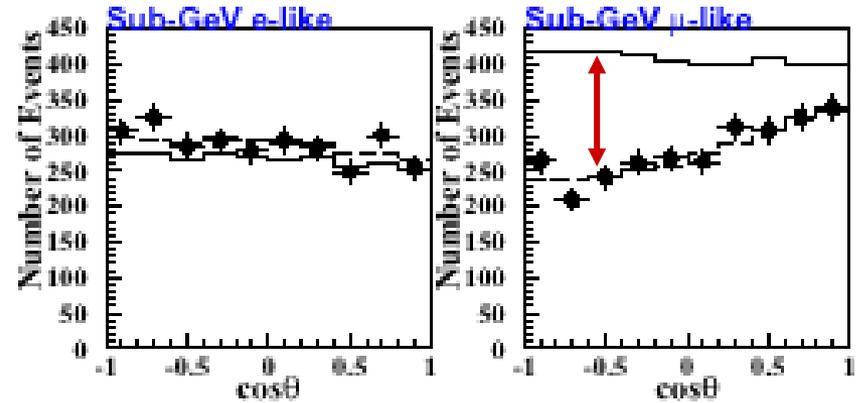
# Atmospheric $\nu$ : up-down asymmetry



## Super-K results

$\nu_e$

$\nu_\mu$



up

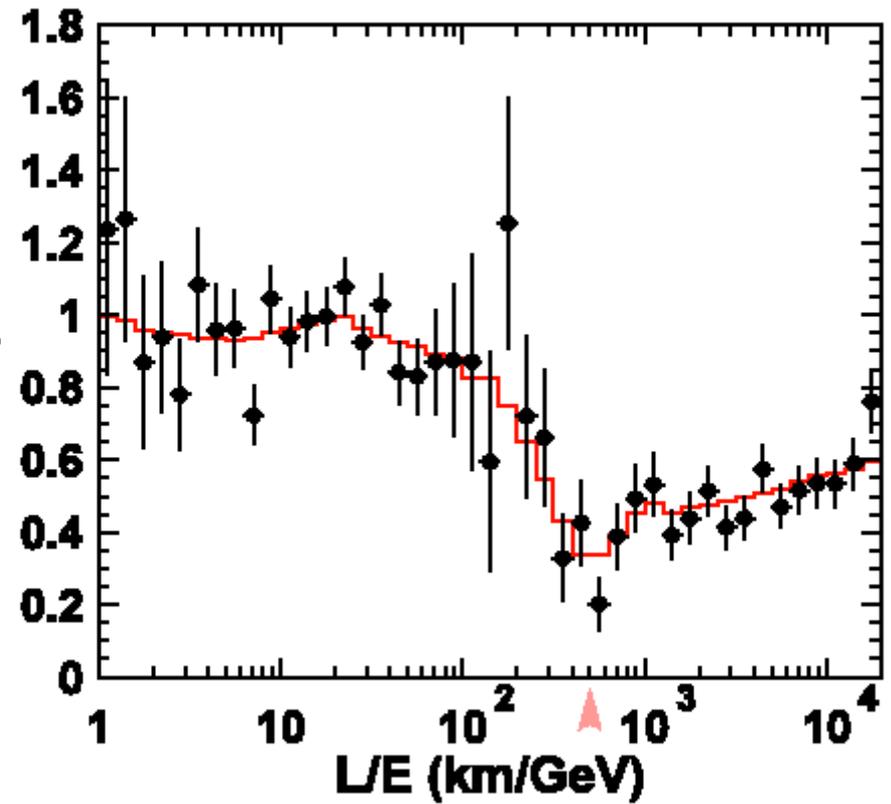
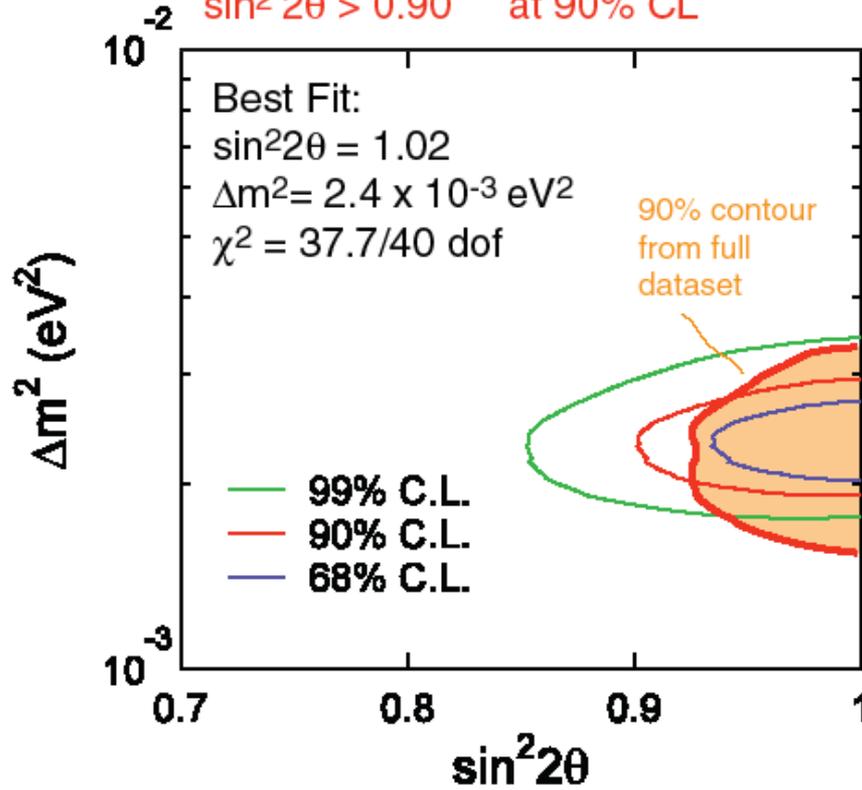
down



# Atmospheric Neutrinos

*SuperKamiokande Atmospheric Result*

$1.9 \times 10^{-3} \text{ eV}^2 < \Delta m^2 < 3.0 \times 10^{-3} \text{ eV}^2$   
 $\sin^2 2\theta > 0.90$  at 90% CL



~Oscillation

# NEUTRINO TRANSFORMATIONS

The fact that neutrinos seem to disappear in their travel through the sun and space (while they are not interacting or decaying) **is a clear sign that they have mass.**  
(a massless particle has infinite proper time  $\tau = E/m$  and cannot transform)

It is expected that they undergo **oscillations.**

Oscillation is a coherent quantum interference which happens thanks to

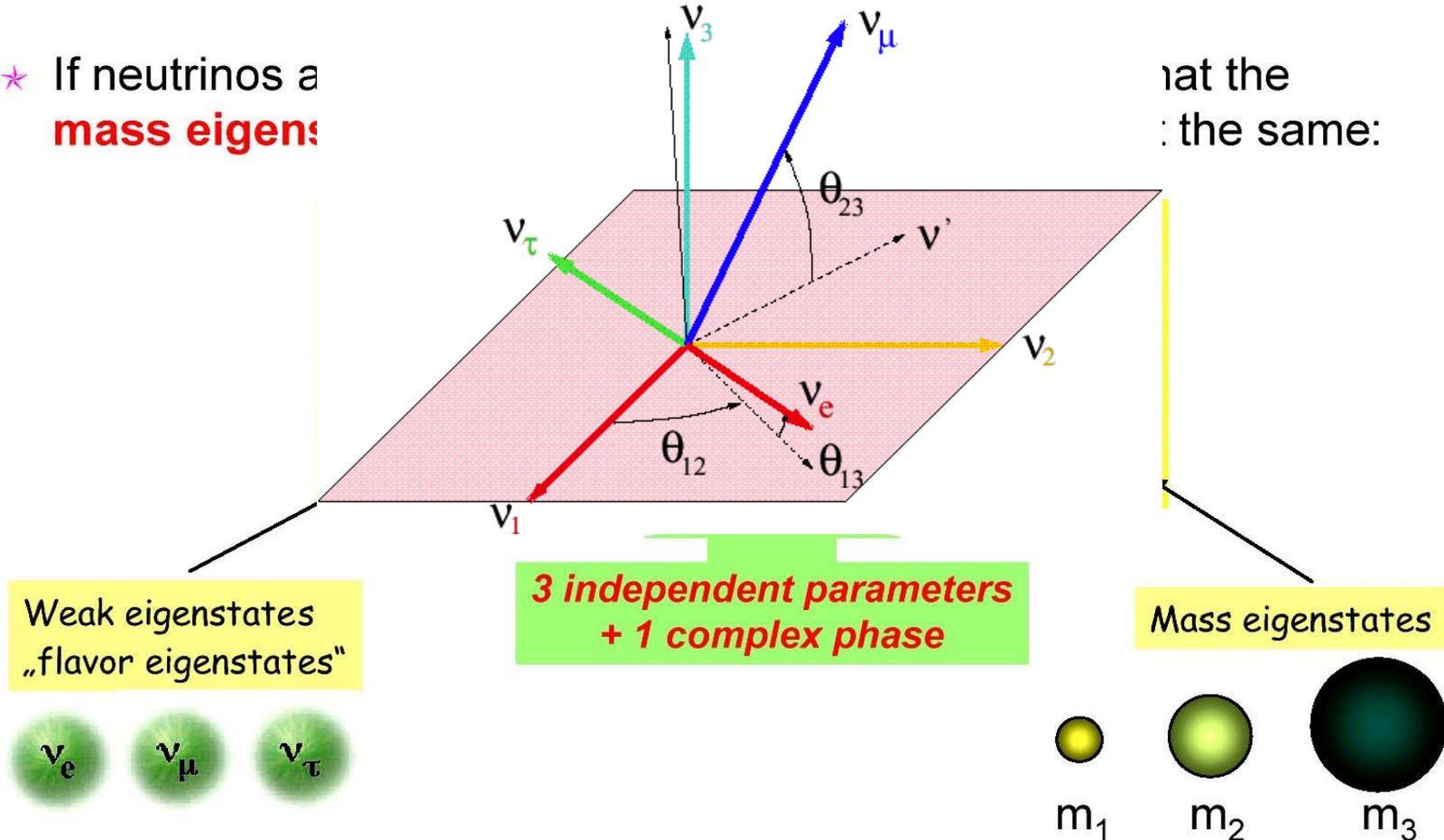
1. the extreme smallness of neutrino masses and mass differences
2. the weakness of their interactions, which preserves coherence over long distances.



# Lepton Sector Mixing

★ If neutrinos are massive, they are not mass eigenstates

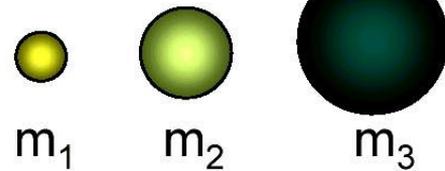
but the mass eigenstates are the same:



Weak eigenstates  
„flavor eigenstates“

3 independent parameters  
+ 1 complex phase

Mass eigenstates



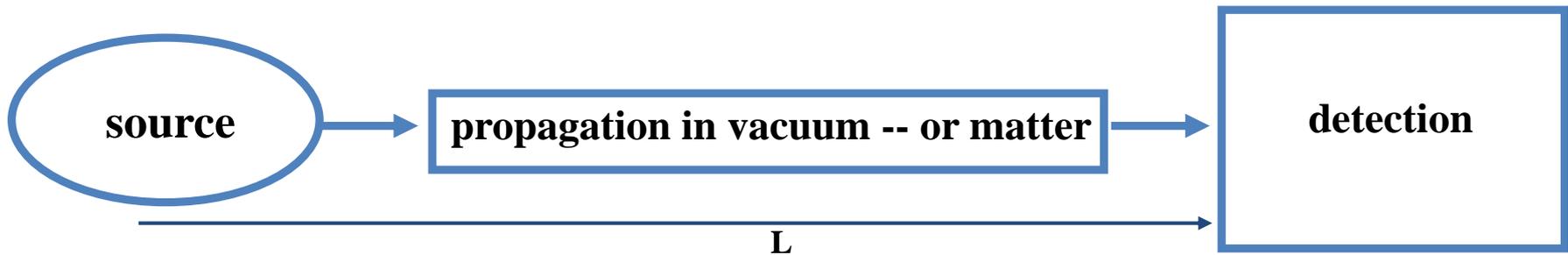
similar to CKM flavour mixing matrix

Pontecorvo 1957

Alain Blondel neutrinos (Isolde Users Workshop)



# Neutrino Oscillations (Quantum Mechanics lesson 5)



weak interaction  
produces  
'flavour' neutrinos

e.g. pion decay  $\pi \rightarrow \mu\nu$

$$|\nu_\mu\rangle = \alpha |\nu_1\rangle + \beta |\nu_2\rangle + \gamma |\nu_3\rangle$$

Energy (i.e. mass) eigenstates  
propagate

$$|\nu(t)\rangle = \alpha |\nu_1\rangle \exp(i E_1 t) + \beta |\nu_2\rangle \exp(i E_2 t) + \gamma |\nu_3\rangle \exp(i E_3 t)$$

$$t \propto L/E$$

$\alpha$  is noted  $U_{1\mu}$

$\beta$  is noted  $U_{2\mu}$

$\gamma$  is noted  $U_{3\mu}$  etc....

weak interaction: (CC)

$$\nu_\mu N \rightarrow \mu^- X$$

or  $\nu_e N \rightarrow e^- X$

or  $\nu_\tau N \rightarrow \tau^- X$

$$P(\mu \rightarrow e) = |\langle \nu_e | \nu(t) \rangle|^2$$



# Oscillation Probability

★ The case with two neutrinos:

→ A mixing angle:  $\theta$

→ A mass difference:

$$\Delta m^2 = m_2^2 - m_1^2$$

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

★ The oscillation probability is:

$\Delta m^2$  en  $\text{eV}^2$

$L$  en km

$E$  en GeV

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 \frac{L}{E} \right)$$

where  $L$  = distance between source and detector

$E$  = neutrino energy

*Hamiltonian =  $E = \sqrt{p^2 + m^2} = p + m^2 / 2p$*

*for a given momentum, eigenstate of propagation in free space are the mass eigenstates!*



## neutrino definitions

the **electron** neutrino is present in association with an **electron** (e.g. beta decay)

the **muon** neutrino is present in association with a **muon** (pion decay)

the **tau** neutrino is present in association with a **tau** ( $W \rightarrow \tau \nu$  decay)

these **flavor-neutrinos** are not (as we know now) quantum states of well defined **mass** (neutrino mixing)

the **mass-neutrino** with the highest **electron** neutrino content is called  $\nu_1$

the **mass-neutrino** with the next-to-highest **electron** neutrino content is  $\nu_2$

the **mass-neutrino** with the smallest **electron** neutrino content is called  $\nu_3$



Oscillation maximum  $1.27 \Delta m^2 L / E = \pi/2$

Atmospheric  $\Delta m^2 = 2.5 \cdot 10^{-3} \text{ eV}^2$

$L = 500 \text{ km @ } 1 \text{ GeV}$

Solar  $\Delta m^2 = 7 \cdot 10^{-5} \text{ eV}^2$

$L = 18000 \text{ km @ } 1 \text{ GeV}$

Consequences of 3-family oscillations:

Oscillations of 250 MeV neutrinos;

**I There will be  $\nu_\mu \leftrightarrow \nu_e$  oscillation at  $L_{\text{atm}}$**   
(discovered by T2K)

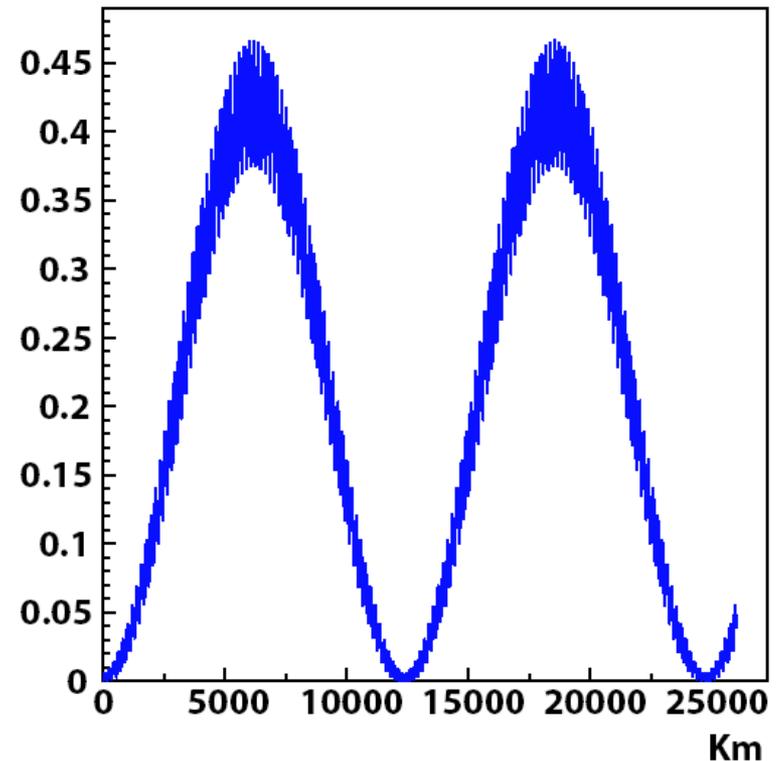
$$P(\nu_\mu \leftrightarrow \nu_e)_{\text{max}} \approx \frac{1}{2} \sin^2 2\theta_{13} + \dots \text{ (small)}$$

**II There will be CP violation**

$$\text{CP: } P(\bar{\nu}_\mu \leftrightarrow \bar{\nu}_e) \neq P(\nu_\mu \leftrightarrow \nu_e)$$

III we do not know if the neutrino  $\nu_1$  which contains more  $\nu_e$  is the lightest one (natural?) or not (inverted)

$P(\nu_\mu \leftrightarrow \nu_e)$



$$P(\nu_e \rightarrow \nu_\mu) = |A|^2 + |S|^2 + 2 A S \sin \delta$$

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) = |A|^2 + |S|^2 - 2 A S \sin \delta$$

$$\frac{P(\nu_e \rightarrow \nu_\mu) - P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)}{P(\nu_e \rightarrow \nu_\mu) + P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)} = A_{CP} \alpha \frac{\sin \delta \sin(\Delta m_{12}^2 L/4E) \sin \theta_{12} \sin \theta_{13}}{\sin^2 2\theta_{13} + \text{solar term...}}$$

... need large values of  $\sin \theta_{12}$ ,  $\Delta m_{12}^2$  (LMA) but \*not\* large  $\sin^2 \theta_{13}$

... need APPEARANCE ...  $P(\nu_e \rightarrow \nu_e)$  is time reversal symmetric (reactors or sun are out)

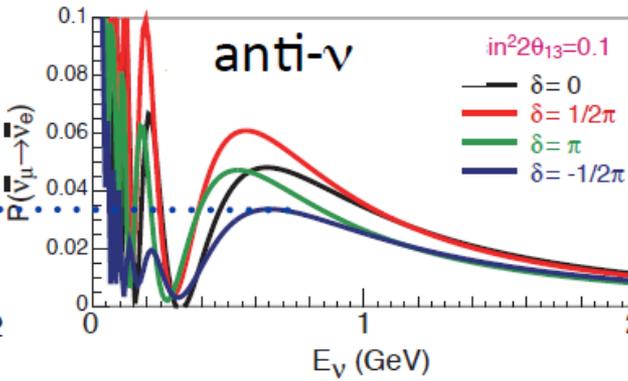
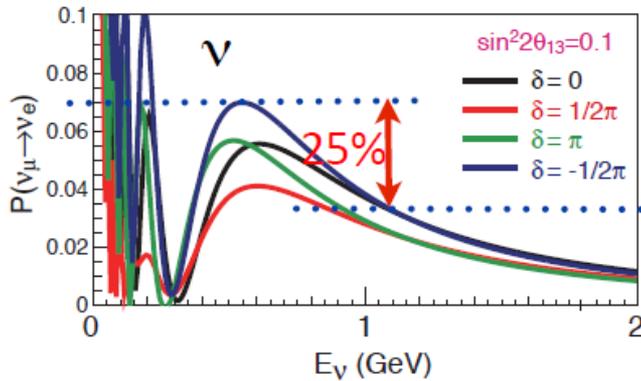
... can be **large** (30%) for suppressed channel (one small angle vs two large)

at wavelength at which 'solar' = 'atmospheric' and for  $\nu_e \rightarrow \nu_\mu$ ,  $\nu_\tau$

... asymmetry is opposite for  $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  and  $\bar{\nu}_e \rightarrow \bar{\nu}_\tau$  (Isolde Users Workshop)



# $\nu_e$ appearance



for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

- $\delta \rightarrow -\delta$
- $a \rightarrow -a$

$$P(\nu_\mu \rightarrow \nu_e) =$$

$$4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \frac{\Delta m_{13}^2 L}{4E} \left( 1 + \frac{2a}{\Delta m_{13}^2} (1 - 2s_{13}^2) \right) \quad \theta_{13} \text{ (Leading term)}$$

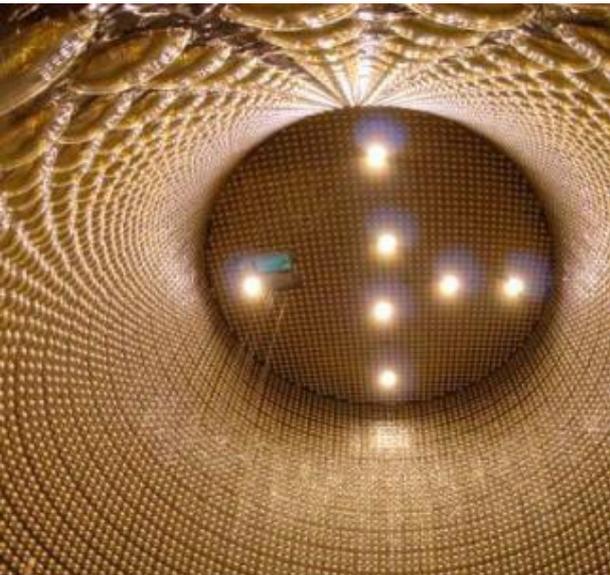
$$+ 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \quad \text{CPC}$$

$$- 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \quad \text{CPV}$$

$$+ 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin \frac{\Delta m_{21}^2 L}{4E} \quad \text{Solar}$$

$$- 8c_{13}^2 s_{13}^2 s_{23}^2 \cos \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \frac{a}{4E} (1 - 2s_{13}^2) \quad \text{Matter effect}$$

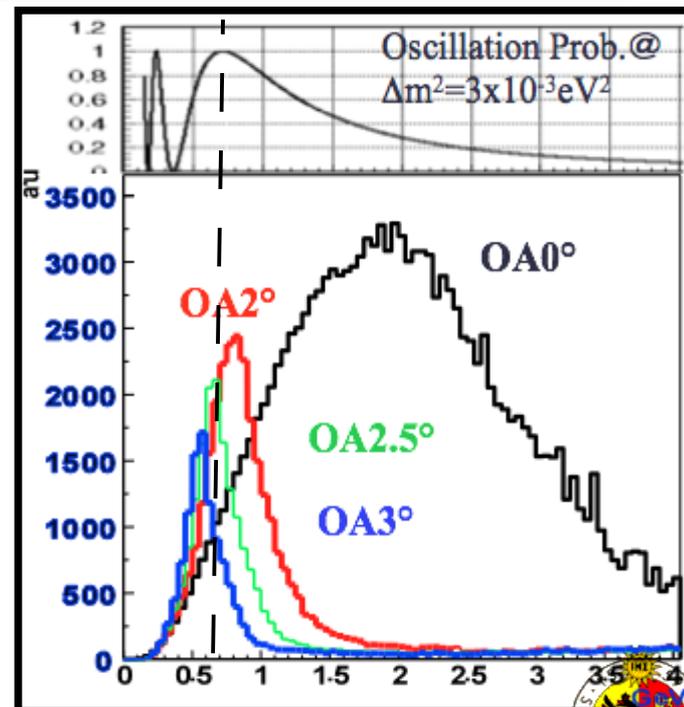
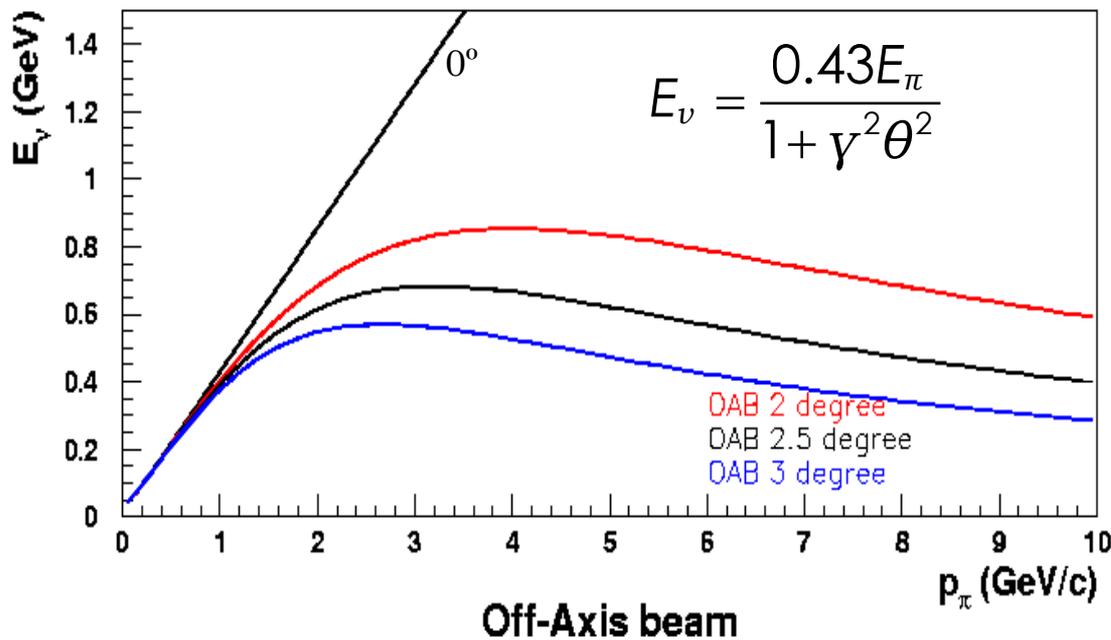
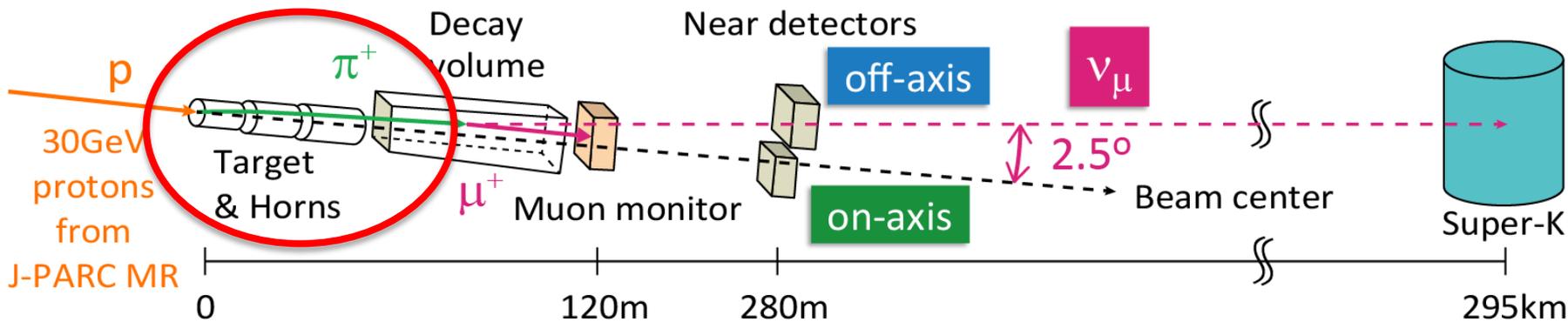




Idea of T2K was born 1999-2001 hep-ex/0106019 combining:

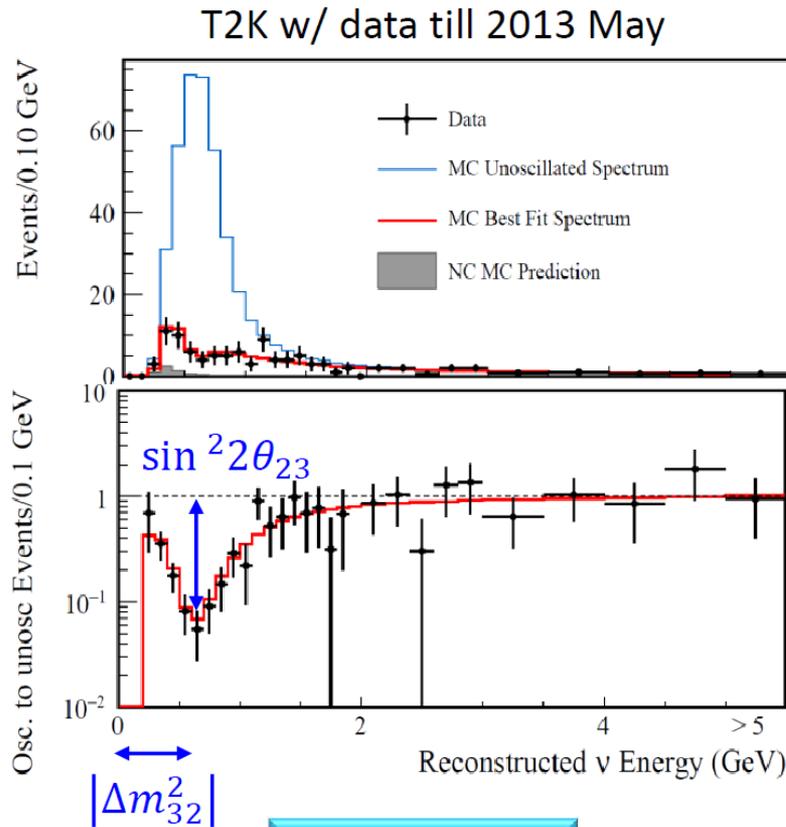
- existing SuperKamiokande detector (50kton W.Č., 22.5 kton fiducial)
- JAERI-KEK Japanese Proton Accelerator Research Complex (JPARC) at TOKAI including a high power, 0.75MW/30GeV Proton Synchrotron neutrino beam from pion decay  $\pi^+ \rightarrow \mu^+ \nu_\mu$
- baseline 295 km  $\rightarrow$  neutrino energy for first maximum is  $\sim 650$  MeV  
**achievable by pion-decay beam at 2.5 degrees off-axis**

# Design Principle <sup>33</sup>

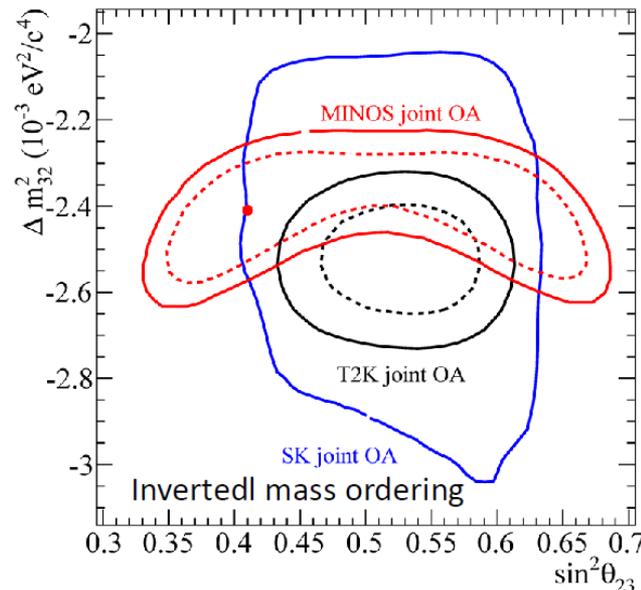
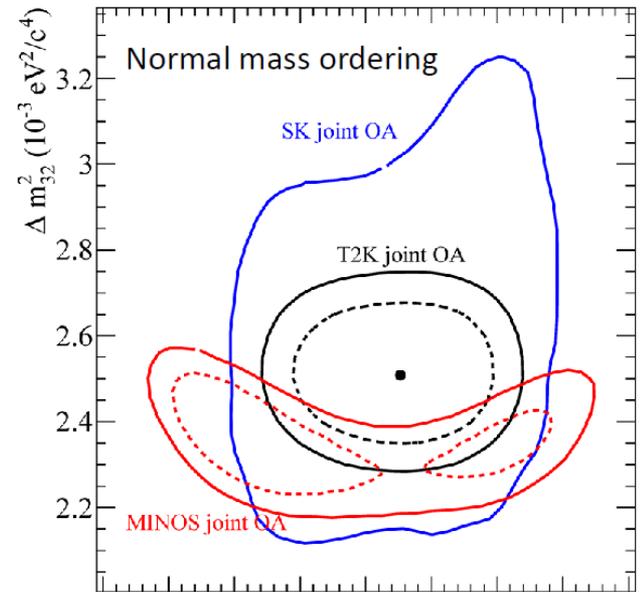


The neutrino energy spectrum is mainly defined by the off-axis angle

# $\nu_\mu$ DISAPPEARANCE



$46^\circ \pm 3^\circ$  T2K



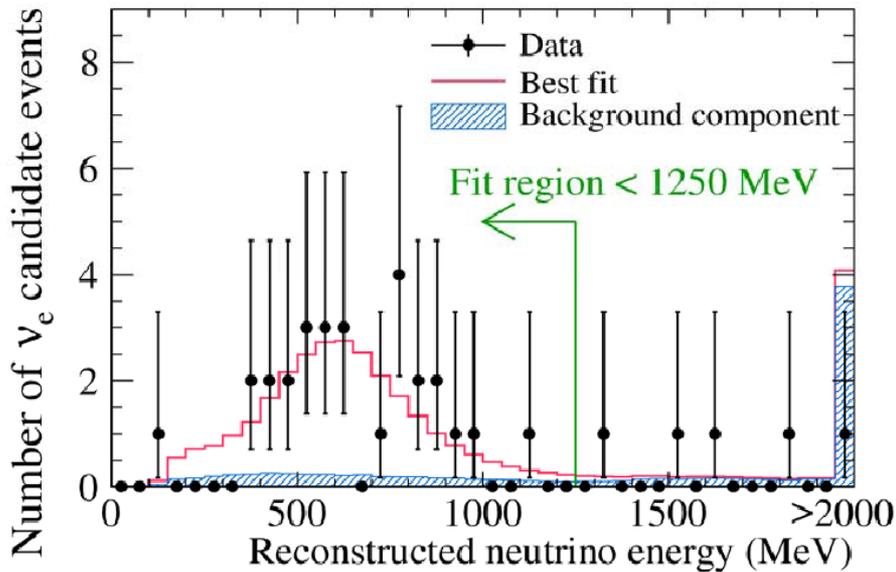
Phys. Rev. D 91, 072010 (2015)



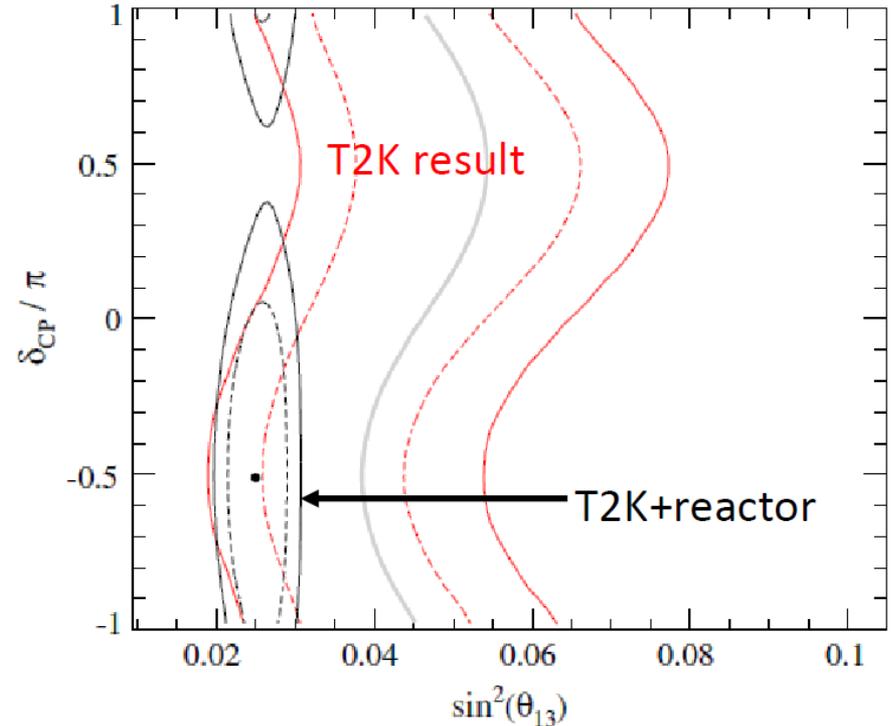
# discovery of $\nu_e$ appearance

released in August 2013 w/ data till May 2013

Phys. Rev D.91, 072010(2015)



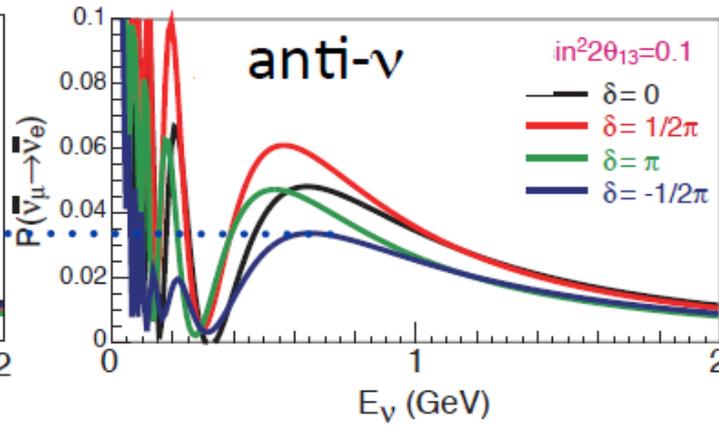
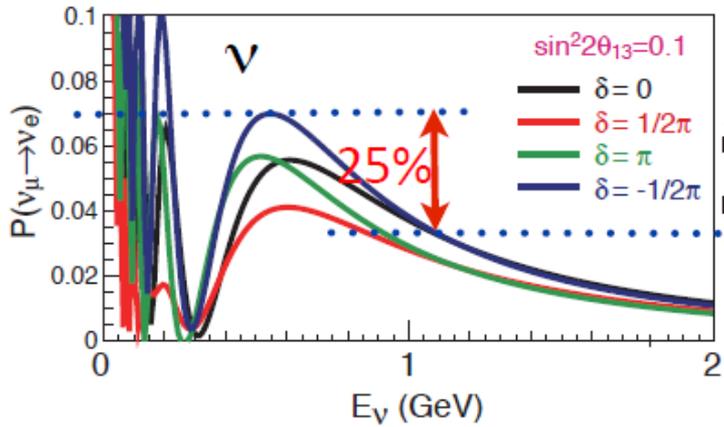
PhysRevLett.112.061802 (2014)



- T2K+Reactor 68% Credible Region
- T2K Only 68% Credible Region
- T2K+Reactor 90% Credible Region
- T2K Only 90% Credible Region
- T2K+Reactor Best Fit Point
- T2K Only Best Fit Line

28 events observed over  $4.92 \pm 0.55$  bkg  $\rightarrow 7.3\sigma$  excess  
 First Confirmation of 'Appearance phenomenon' w/  $> 5\sigma$  significance.

# $\nu_e$ appearance



for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

- $\delta \rightarrow -\delta$
- $a \rightarrow -a$

$$P(\nu_\mu \rightarrow \nu_e) =$$

$$4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \frac{\Delta m_{13}^2 L}{4E} \left( 1 + \frac{2a}{\Delta m_{13}^2} (1 - 2s_{13}^2) \right)$$

$\theta_{13}$  (Leading term)

$$+ 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E}$$

CPC

$$- 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E}$$

CPV

$$+ 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin \frac{\Delta m_{21}^2 L}{4E}$$

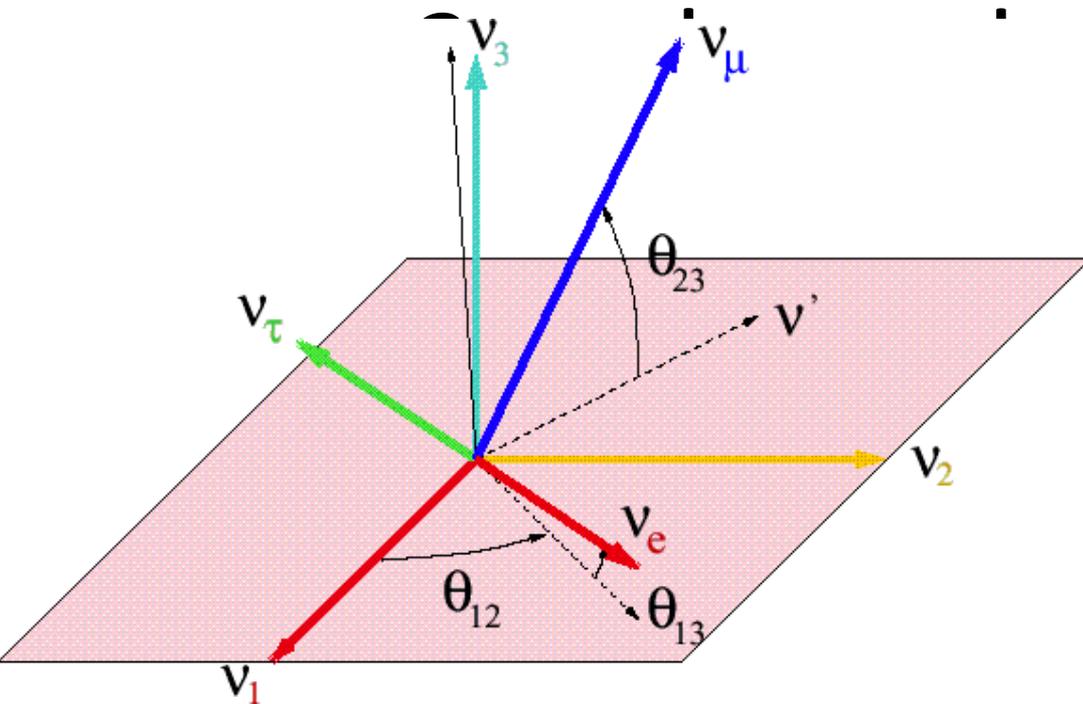
Solar

$$- 8c_{13}^2 s_{13}^2 s_{23}^2 \cos \frac{\Delta m_{23}^2 L}{4E} \sin \frac{\Delta m_{13}^2 L}{4E} \frac{a}{4E} (1 - 2s_{13}^2)$$

Matter effect

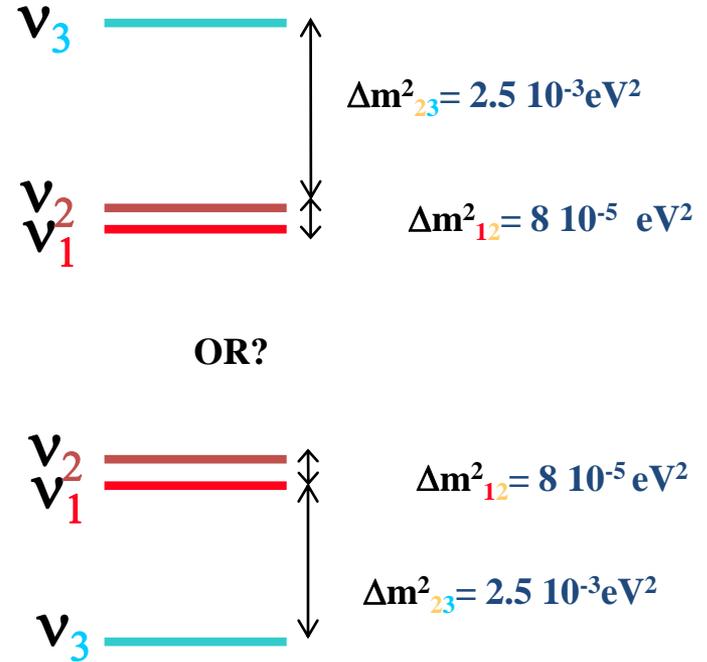


# The neutrino mixing matrix:



$\theta_{23}$  (atmospheric) =  $45^\circ$ ,  
 $\theta_{12}$  (solar) =  $32^\circ$ ,  
 $\theta_{13}$  (reactor/T2K) =  $8.5^\circ$

phase  $\delta$



$$U_{MNS} : \begin{pmatrix} \sim \frac{\sqrt{2}}{2} & \sim -\frac{\sqrt{2}}{2} & \sin \theta_{13} e^{i\delta} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim -\frac{\sqrt{2}}{2} \\ \sim \frac{1}{2} & \sim \frac{1}{2} & \sim \frac{\sqrt{2}}{2} \end{pmatrix}$$

**Unknown or poorly known in 2015:**  
**CP phase  $\delta$ , sign of  $\Delta m_{13}^2$**



## Towards CP Violation

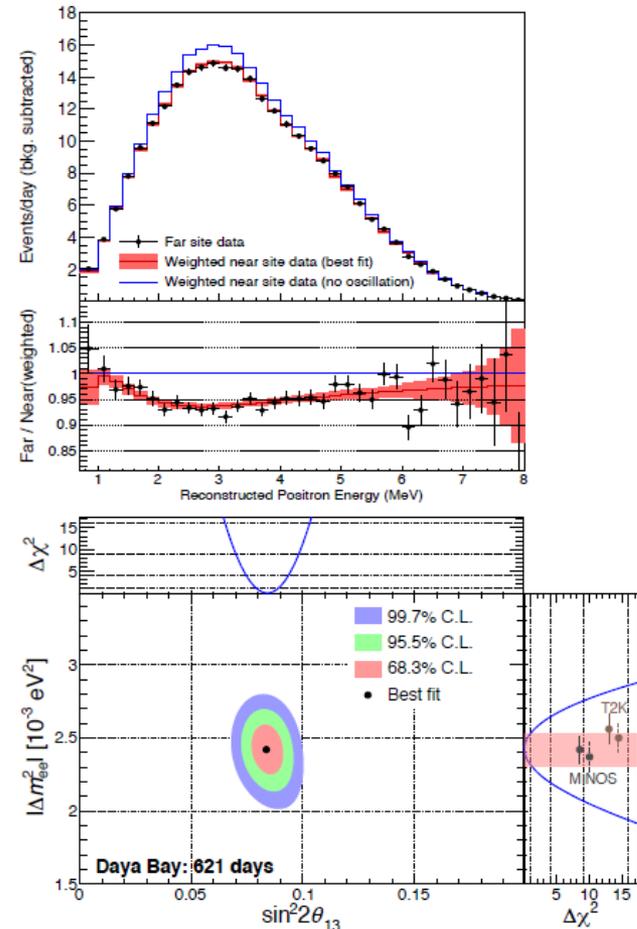
Double-Chooz (France), Daya-Bay (China) & Reno (Korea) have observed precisely the  $\bar{\nu}_e$  appearance.

T2K experiment has observed  $\nu_\mu \rightarrow \nu_e$  appearance. (28 events)

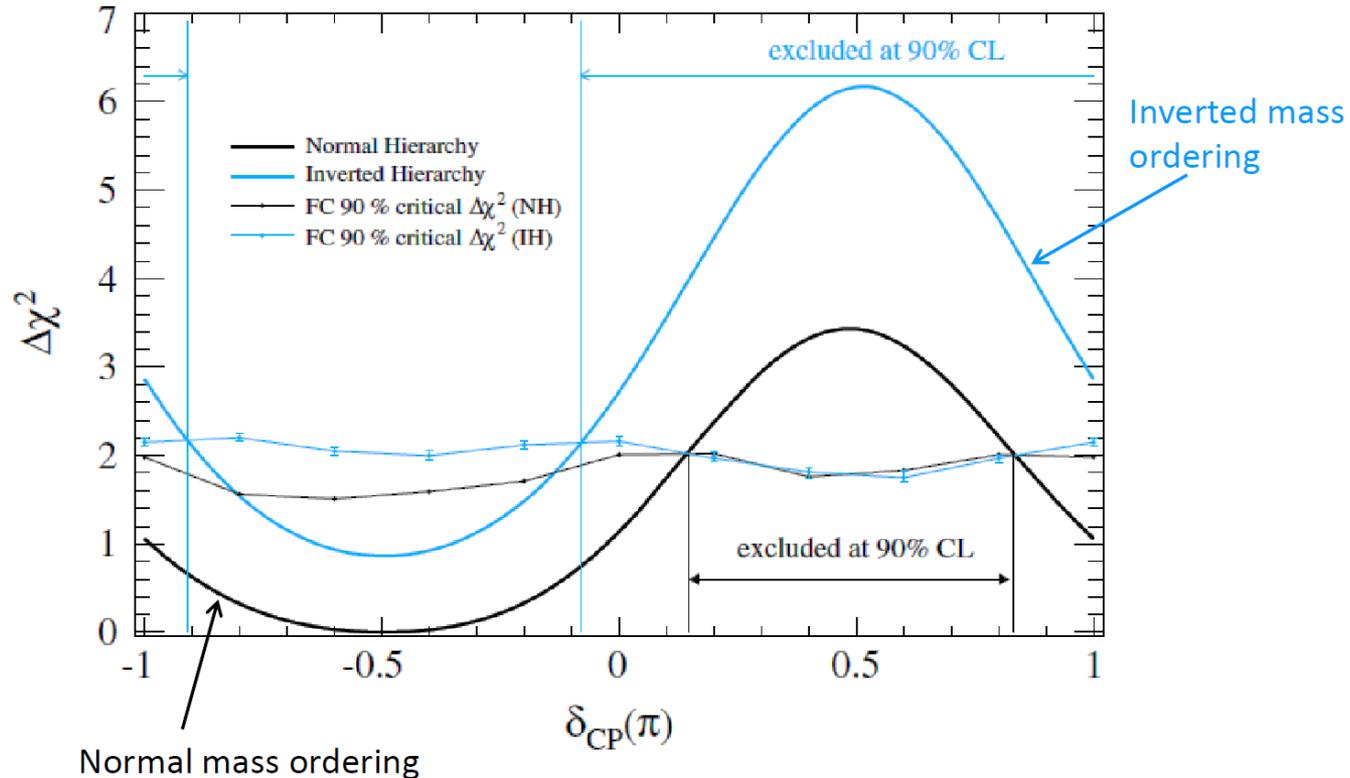
The comparison of the two points to hint of CP violation



$$\begin{cases} \sin^2 2\theta_{13} = 0.084 \pm 0.005 \\ |\Delta m_{ee}^2| = (2.42 \pm 0.11) \times 10^{-3} eV^2 \end{cases}$$



# First constraint on $\delta_{CP}$ by T2K



Phys. Rev D.91, 072010(2015)

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comparison of T2K appearance with reactor disappearance gives constraint in  $\{\theta_{13}, \delta_{CP}, \text{mass hierarchy } (\pm)\}$  parameter space.

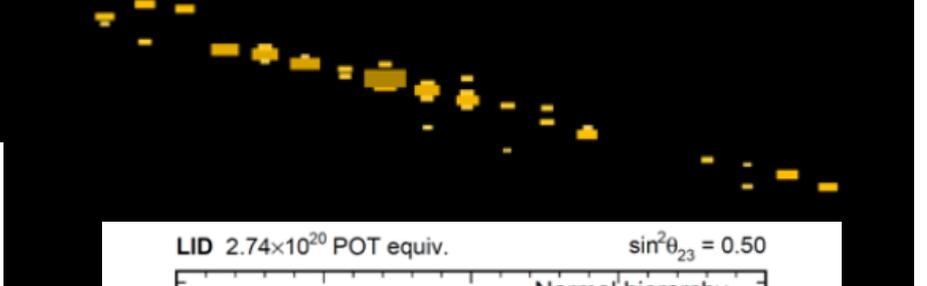
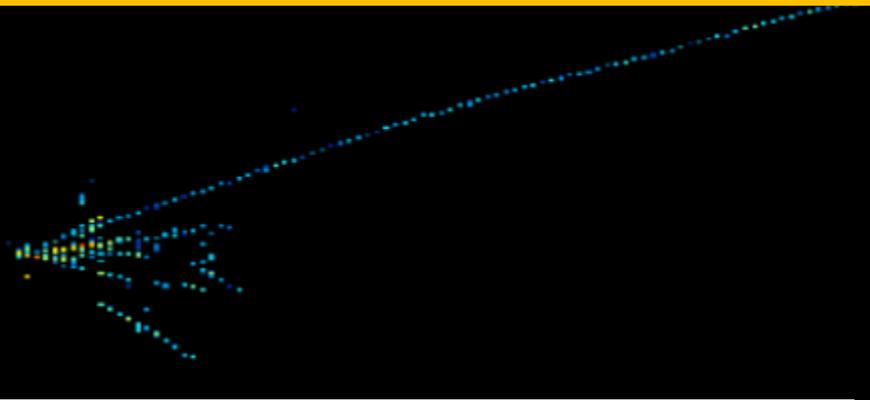
With NOvA, PINGU and JUNO, can solve the problem!

ΑΙΘΡΑ ΒΙΟΜΗΧΑΝΕΙΑΣ (SOLAR USES WORKSHOP)



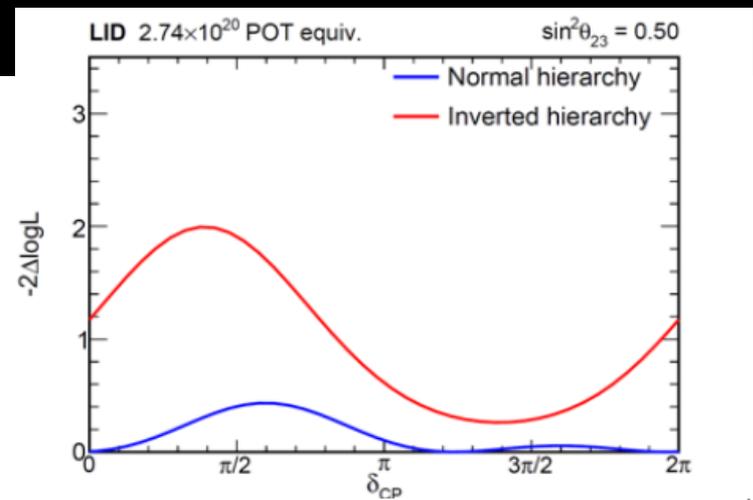
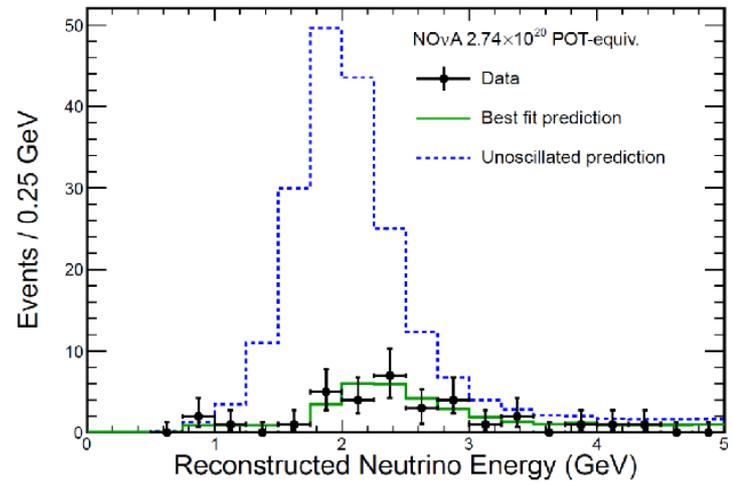
# NOvA experiment

preliminary results shown summer15  
Same preference as T2K for  $\delta_{CP} = -\pi/2$   
Longer baseline should allow  
mass hierarchy/CPV with T2K by 2025



## disappearance

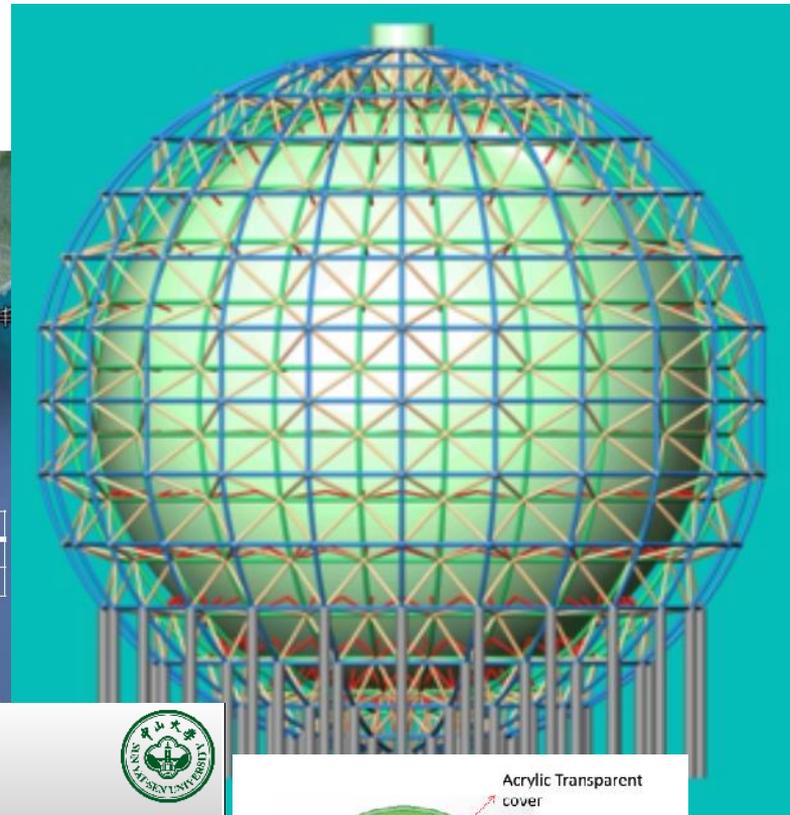
### NOvA Preliminary



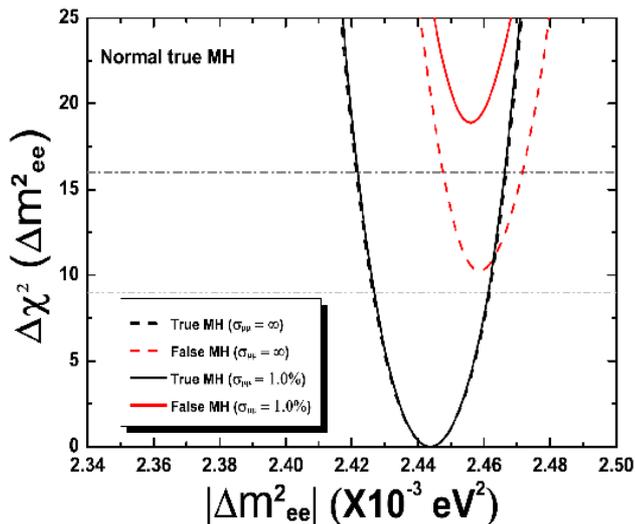
neutrinos (Isolde Users Workshop)



# JUNO 20kton Liquid Scintillator



## Expected Significance to Mass Hierarchy



- ~3-sigma if only a relative spectral measurement without external atmospheric mass-squared splitting
- ~4-sigma with an external  $\Delta m^2$  measured to ~1% level in  $\nu_\mu$  beam oscillation experiments
  - ~1% in  $\Delta m^2$  is reachable based on the combined T2K+NOvA analysis by S.K. Agarwalla, S. Prakash, WW, arXiv: 1312.1477
- (Side remark: What is the global picture considering the inputs from PINGU and ORCA? NuFACT'16?)



- Plan A: 20" MCP-PMT
- Plan B: 10" Photonis China
- Plan C: 20" SBA Hamamatsu



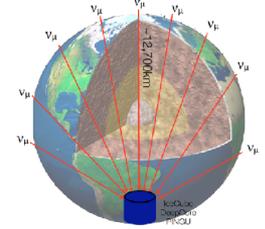
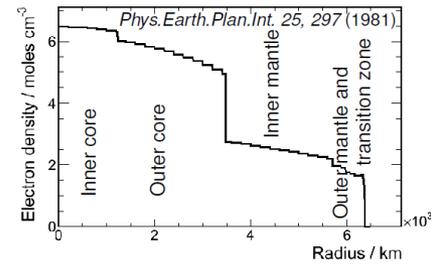
# PINGU, ORCA

Atmospheric neutrino oscillations can be seen in large Water Cherenkovs at  $\sim$ GeV energy.

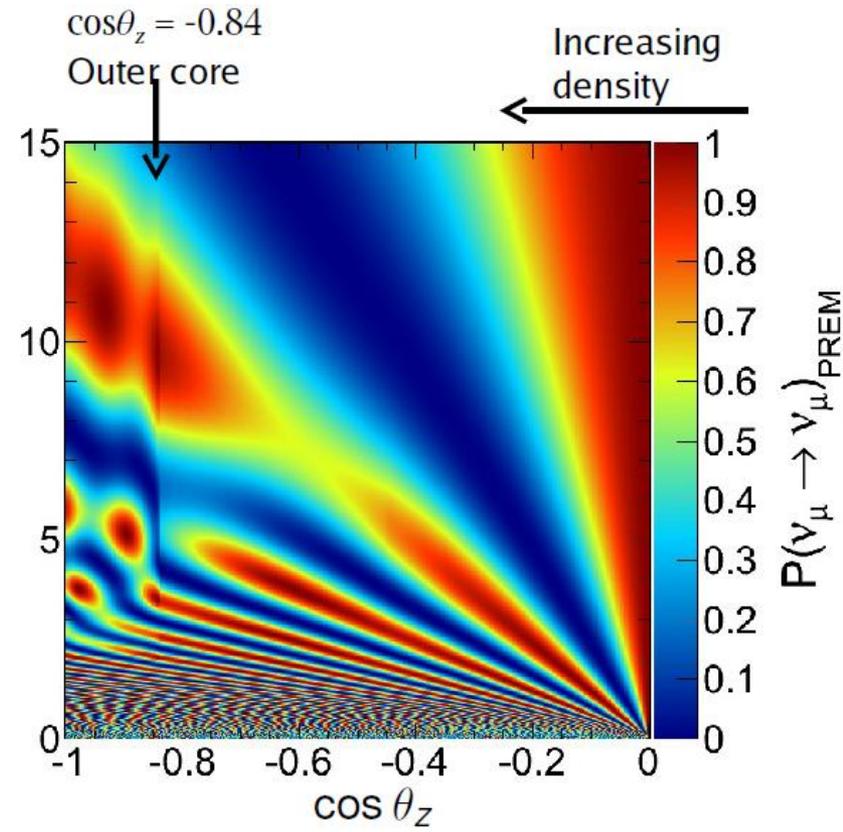
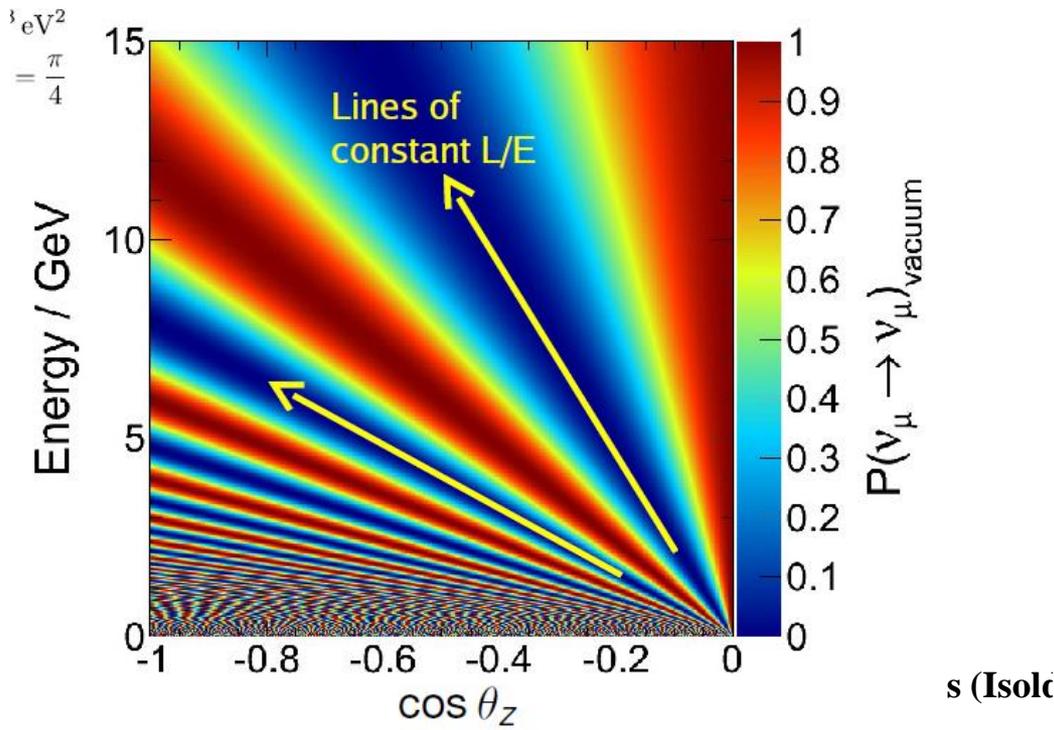
Energy vs distance analysis could lead to detection of matter effect in PINGU (fine grain @south pole) and ORCA (fine gran in mediteranean) and thus determination of mass hierarchy

## Matter Effects

Preliminary Reference Earth Model (PREM)

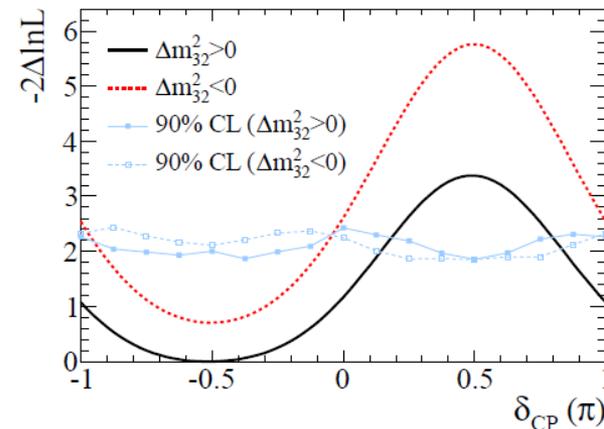
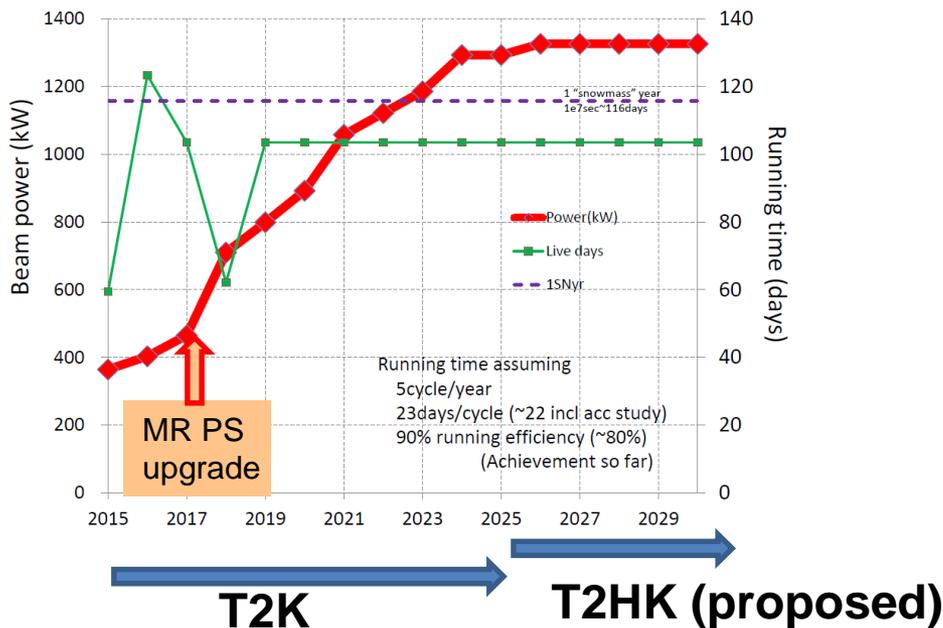


- MSW effect alter oscillation probabilities of  $\nu$  (NH) or  $\bar{\nu}$  (IH)
  - ▶ Sharp changes in density between zones produce visible effects in oscillation probabilities
- Different paths "see" different mass patterns  $\Rightarrow$  can be probed by measuring the zenith of the neutrino



# Workshop for Neutrino Programs with facilities in Japan (4-8 Aug-2015)

<https://kds.kek.jp/indico/event/19079/>

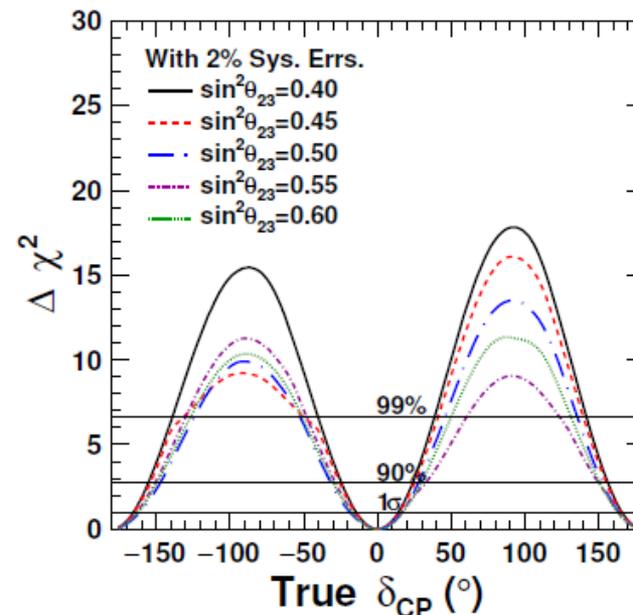


**T2K, PRL 112 (2014) 061802**

**T2K is proposing to run until 2025** with beam power progressively increasing up to 1.3 MW

→ 3-4 s.d. evidence for CP violation is possible if the presently preferred point is correct.

**This requires systematic errors at 3% level.**





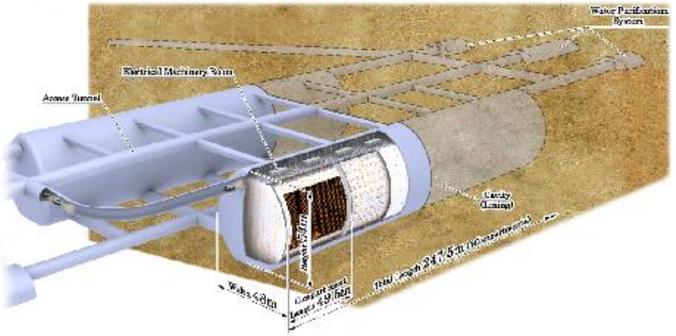
# HYPERK -- from 22kton to 200-500 kton

Rock in Kamioka is of excellent cavity, gneiss and migmatite large span vertical cylinder caverns offer better cost/volume ratio

## Proposed to study 4 cases

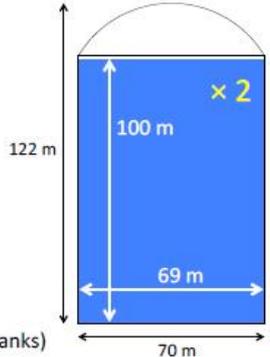


### baseline design



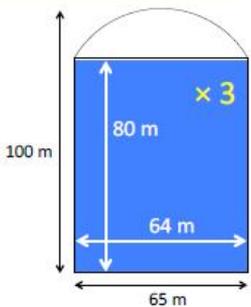
### Case 1

- Water tank size
  - Depth : 100 m, Diameter : 69 m
- Water volume (2 tanks)
  - Total : 747.9 kt
  - Fiducial : 568.7 kt
- Excavation volume (2 caverns)
  - 868000 m<sup>3</sup> 72% of current one
- ID surface area (2 tanks)
  - 52800 m<sup>2</sup> 53% of current one
- Number of ID photodetectors (2 tanks)



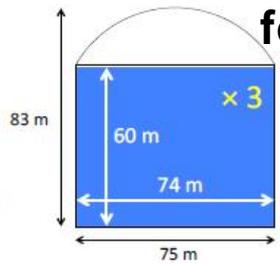
### Case 2

- Water tank size
  - Depth : 80 m, Diameter : 64 m
- Water volume (3 tanks)
  - Total : 772.1 kt
  - Fiducial : 565.2 kt
- Excavation volume (3 caverns)
  - 915000 m<sup>3</sup> 76% of current one
- ID surface area (3 tanks)
  - 60300 m<sup>2</sup> 61% of current one
- Number of ID photodetectors (3 tanks)
  - 38.0 k 38% of current one



### Case 3

- Water tank size
  - Depth : 60 m, Diameter : 74 m
- Water volume (3 tanks)
  - Total : 774.2 kt
  - Fiducial : 561.0 kt
- Excavation volume (3 caverns)
  - 968000 m<sup>3</sup> 81% of current one
- ID surface area (3 tanks)
  - 60200 m<sup>2</sup> 61% of current one
- Number of ID photodetectors (3 tanks)
  - 38.0 k 38% of current one



**NB :**  
this is the cost driver for the project!



## 2. Producing 40'000 high Q.E. 20' PMTs

- New 50 cm  $\Phi$  photodetectors developed for HK.

By Hamamatsu Photonics K.K.



### Hamamatsu new plant for mass production



New detectors offer higher Q.E. by typically 50-100%. Presently investigating noise and time resolution of various options and calculating consequences for physics.

**Box and line** offers x2 photons and 1 ns time resolution/photon  
**→ baseline**

TF Optimizing PMT coverage for physics; possibly staging.

Alai

- To realize mass production for HK, Hamamatsu built a new large plant and PMT division is moving in it.
  - Automated transportation, test facility, earthquake-resistant, ...
- We have to determine PD for HK 2 years before mass production.
  - Design for 0.5 year, equipment for 0.5-1 year, startup for 0.5-1 year
- Around 6 years for mass production.

# Some physics

HYPERK,  $\delta_{CP}=0$ , and NH

	Signal ( $\nu_{\mu} \rightarrow \nu_e$ CC)	Wrong sign appearance	$\nu_{\mu}/\bar{\nu}_{\mu}$ CC	beam $\nu_e/\bar{\nu}_e$ contamination	NC
$\nu$	3,016	28	11	523	172
$\bar{\nu}$	2,110	396	9	618	265

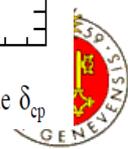
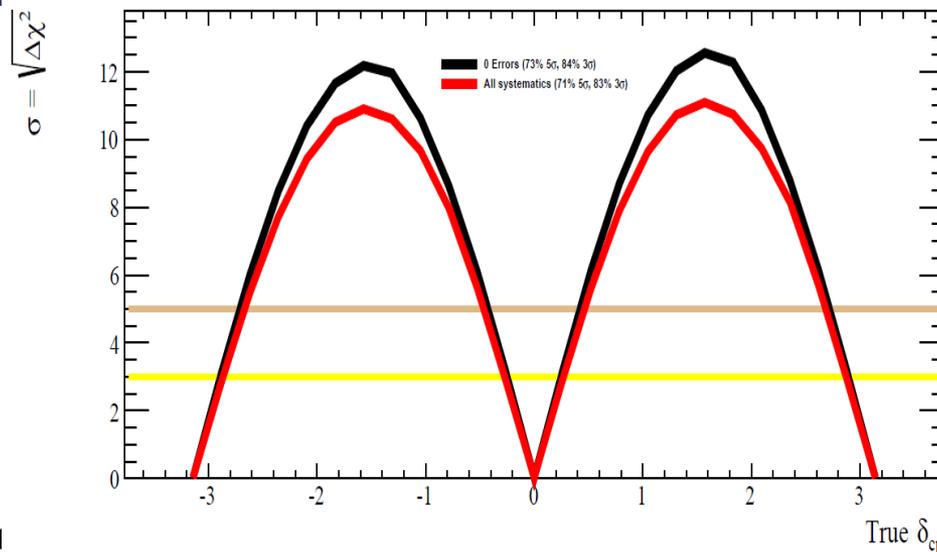
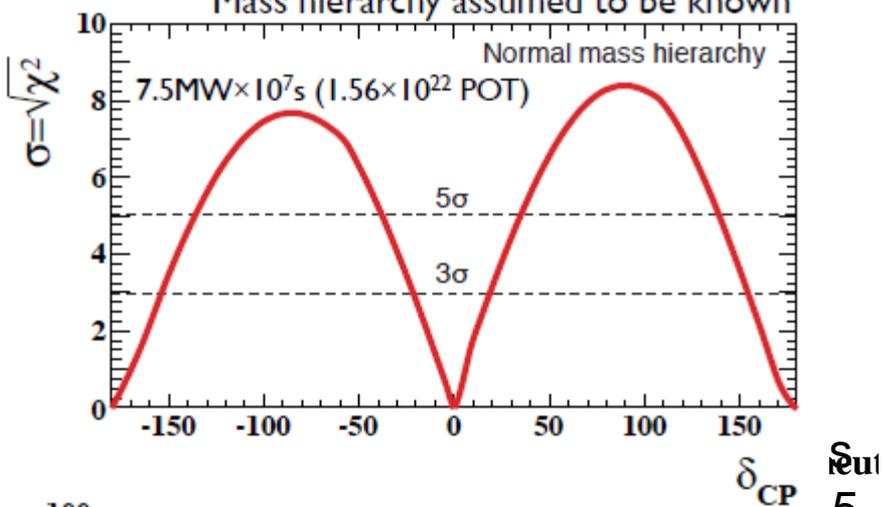
750 kW x 10yrs  
x 500kton

ELBNF 40KT

Run Mode	Signal Events			Background Events			
	$\delta_{CP}$			$\nu_{\mu}$ NC	$\nu_{\mu}$ CC	$\nu_e$ Beam	$\nu_{\tau}$ CC
$-\pi/2$	0	$\pi/2$					
Neutrino	1068	864	649	72	83	182	55
Antineutrino	166	213	231	41	42	107	33

Dominant error:  
(anti)  $\nu_e/\nu_{\mu}$  x-sections  
as measurable:  
**56%  $5\sigma$ , 76%  $3\sigma$**   
If use th. calculations  
**(71%  $5\sigma$ , 83%  $3\sigma$ )**

Mass hierarchy assumed to be known



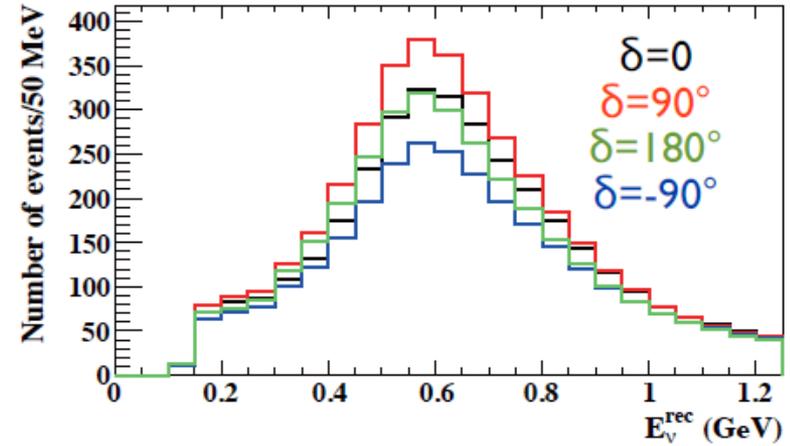
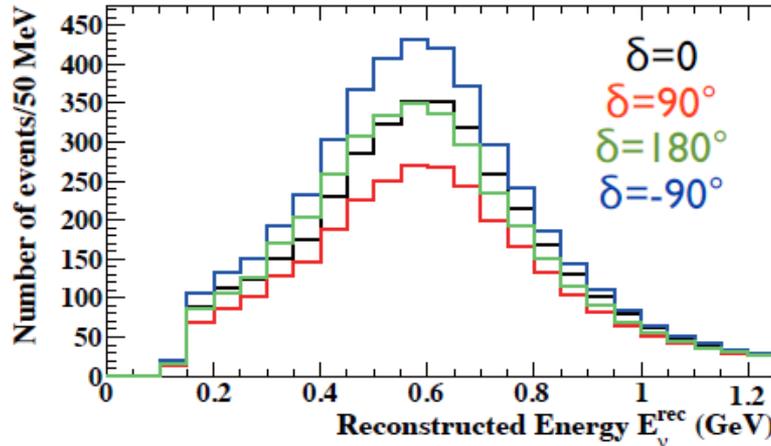
# $\delta_{CP}$ dependence of observables

7.5MW $\times 10^7$ s ( $1.56\times 10^{22}$  POT)

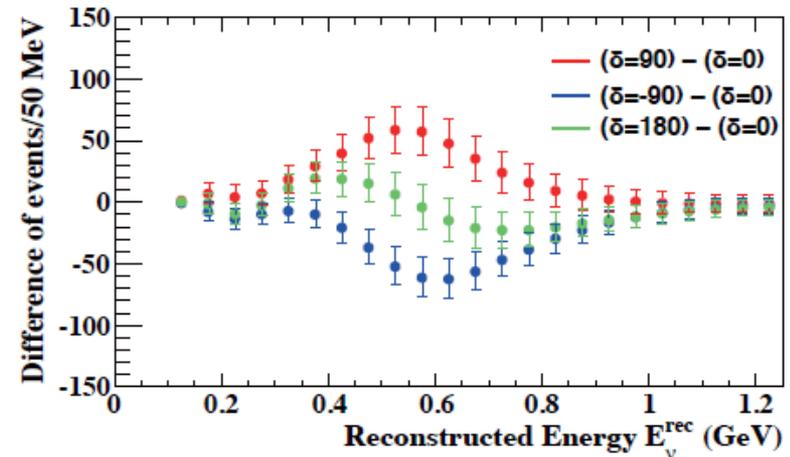
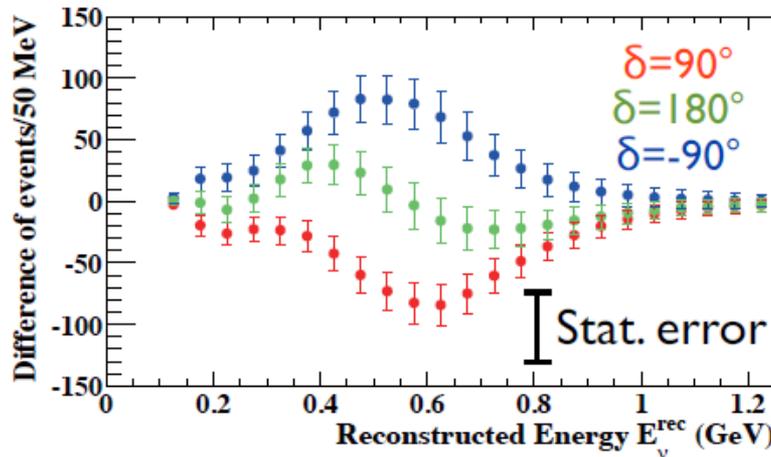
Neutrino mode: Appearance

Antineutrino mode: Appearance

$\nu_e$  candidates



Difference from  $\delta=0$



Sensitive to all values of  $\delta$  with numbers + shape

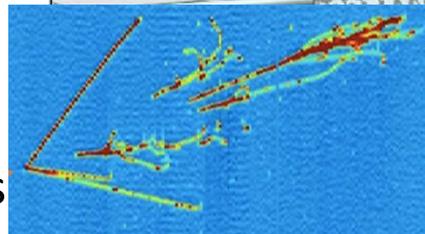
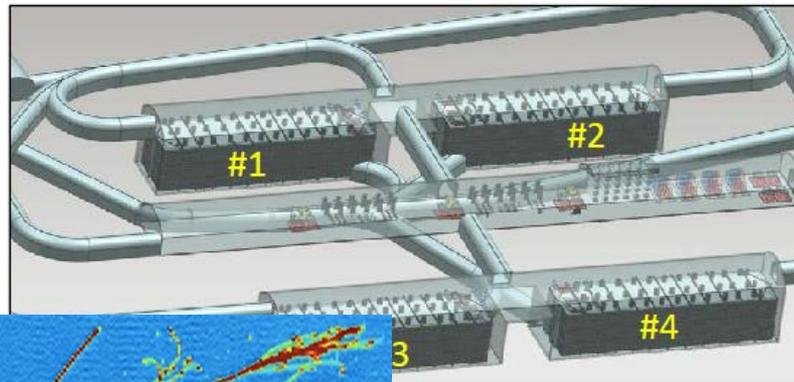
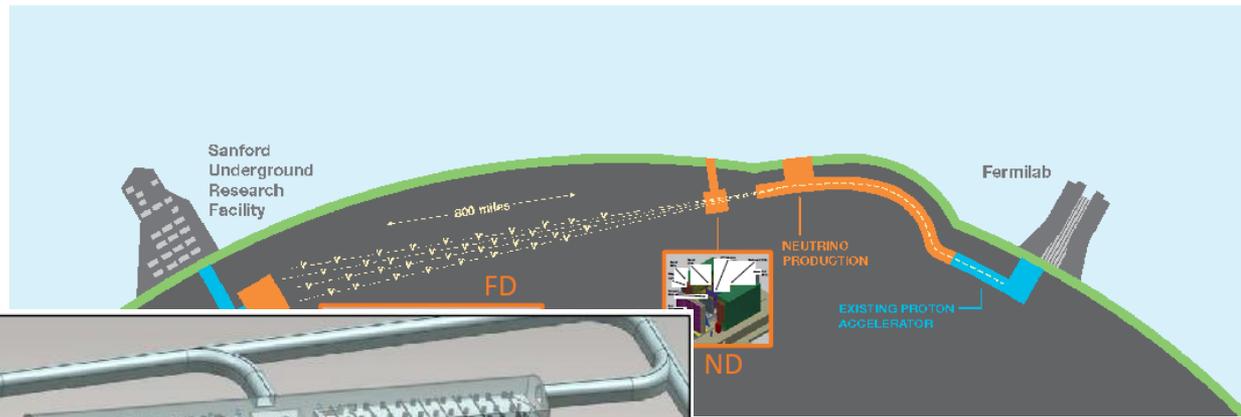
# LBNF/DUNE

new beam line  
 allowing to reach 2MW POT  
 raised target station  
 baseline 1300km  
 to S. Dakota

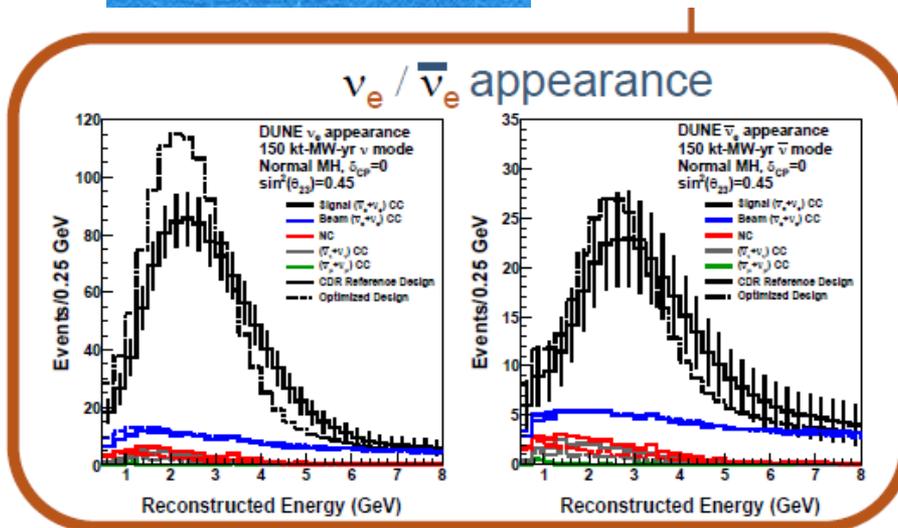
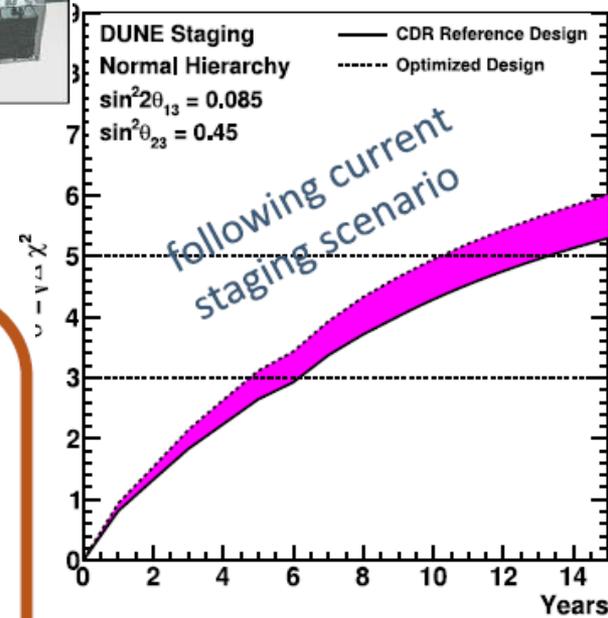
WB beam; use energy  
 resolution of detector

detector 4 x 10kton  
 Liquid argon detectors  
 Beautiful →

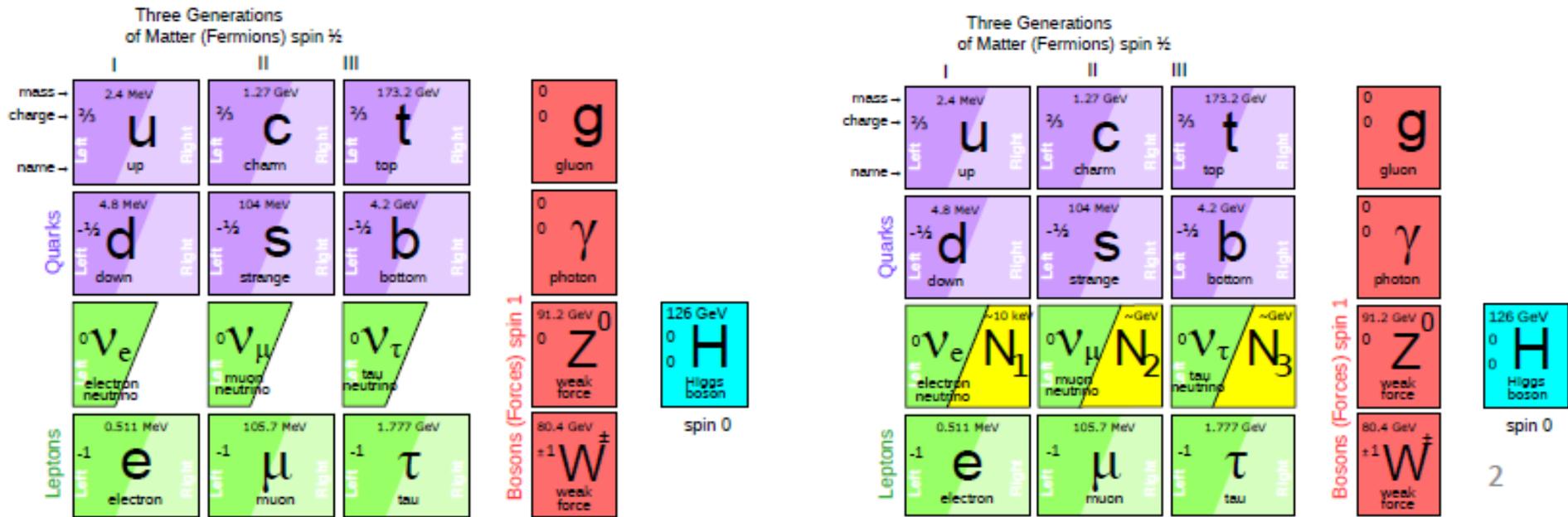
energy spectrum  
 of appearance:



50 % CP Violation Sensitivity



# But at least 3 pieces are still missing



neutrinos have mass...

and this very probably implies new degrees of freedom

➔ Right-Handed, Almost «Sterile» (very small couplings) Neutrinos completely unknown masses (meV to ZeV), nearly impossible to find.

.... but could perhaps explain all: DM, BAU,  $\nu$ -masses



# some REFERENCES

PHYSICAL REVIEW D

VOLUME 29, NUMBER 11

1 JUNE 1984

## Extending limits on neutral heavy leptons

Michael Gronau\*

Department of Physics, Syracuse University, Syracuse, New York 132

FLAVOUR(267104)-ERC-23 TUM-HEP 850/12 SISSA 25/2012/EP CFTP/12-013

arxiv:1208.3654

## Higgs Decays in the Low Scale Type I See Saw Model

C. Garcia Cely<sup>a)</sup>, A. Ibañez

theories of the electroweak  
and mixings with

## The Role of Sterile Neutrinos in Cosmology and Astrophysics

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## The $\nu$ MSM, Dark Matter and Neutrino Masses

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Alain Blondel neutrino



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## First look at the physics case of TLEP



arxiv:1308.6176

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CERN-PPE/96-195

18 December 1996

## Neutral Heavy Leptons Produced in Z Decays

DELPHI Collaboration

FCC design study and FCC-ee <http://cern.ch/fcc-ee>  
and presentations at *FCC-ee physics workshops*  
<http://indico.cern.ch/category/5684/>

Preprint typeset in JHEP style - HYPER VERSION

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## The Search for Heavy Majorana Neutrinos

Anupama Atre<sup>1,2</sup>, Tao Han<sup>2,3,4</sup>, Silvia Pascoli<sup>5</sup>, Bin Zhang<sup>4\*</sup>



## Electroweak eigenstates

$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L$	$\begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L$	$\begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$	$(e)_R$	$(\mu)_R$	$(\tau)_R$	Q= -1
			$(\nu_e)_R$	$(\nu_\mu)_R$	$(\nu_\tau)_R$	Q= 0
$I = 1/2$			$I = 0$			

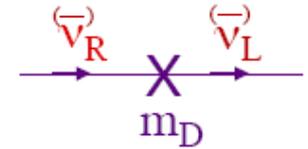
Right handed neutrinos  
are singlets  
no weak interaction  
no EM interaction  
no strong interaction

can't produce them  
can't detect them  
-- so why bother? --



**Adding masses to the Standard model neutrino 'simply' by adding a Dirac mass term (Yukawa coupling)**

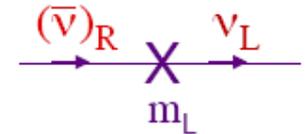
$$m_D \bar{\nu}_L \nu_R \quad m_D \bar{\nu}_L \nu_R$$



**implies adding a right-handed neutrino (new particle)**

No SM symmetry prevents adding then a term like

$$m_M \bar{\nu}_R^c \nu_R$$



**and this simply means that a neutrino turns into a antineutrino (the charge conjugate of a right handed antineutrino is a left handed neutrino!)**

**It is perfectly conceivable ('natural'?) that both terms are present → 'see-saw'**

B. Kayser, the physics of massive neutrinos (1989)



# Mass eigenstates

See-saw in a general way :

$$\mathcal{L} = \frac{1}{2} (\bar{\nu}_L, \bar{N}_R^c) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix}$$

$M_R \neq 0$

$m_D \neq 0$

Dirac + Majorana mass terms

$$\tan 2\theta = \frac{2 m_D}{M_R - 0} \ll 1$$

$$m_\nu = \frac{1}{2} \left[ (0 + M_R) - \sqrt{(0 - M_R)^2 + 4 m_D^2} \right]$$

$$M = \frac{1}{2} \left[ (0 + M_R) + \sqrt{(0 - M_R)^2 + 4 m_D^2} \right]$$

$$\simeq -m_D^2/M_R$$

$$\simeq M_R$$

general formula

if  $m_D \ll M_R$

$M_R = 0$

$m_D \neq 0$

Dirac only, (like e- vs e+):

	$\nu_L$	$\nu_R$	$\bar{\nu}_R$	$\bar{\nu}_L$
$\mathbf{I}_{\text{weak}} =$	1/2	0	1/2	0

4 states of equal masses

Some have  $I=1/2$  (active)

Some have  $I=0$  (sterile)

$M_R \neq 0$

$m_D = 0$

Majorana only

	$\nu_L$	$\bar{\nu}_R$
$\mathbf{I}_{\text{weak}} =$	1/2	1/2

2 states of equal masses

All have  $I=1/2$  (active)

$M_R > m_D \neq 0$

see-saw

Dirac + Majorana

	$\nu_L$	$N_R$	$\bar{\nu}_R$	$\bar{N}_L$
$\mathbf{I}_{\text{weak}} =$	1/2	0	1/2	0

dominantly:

4 states, 2 mass levels

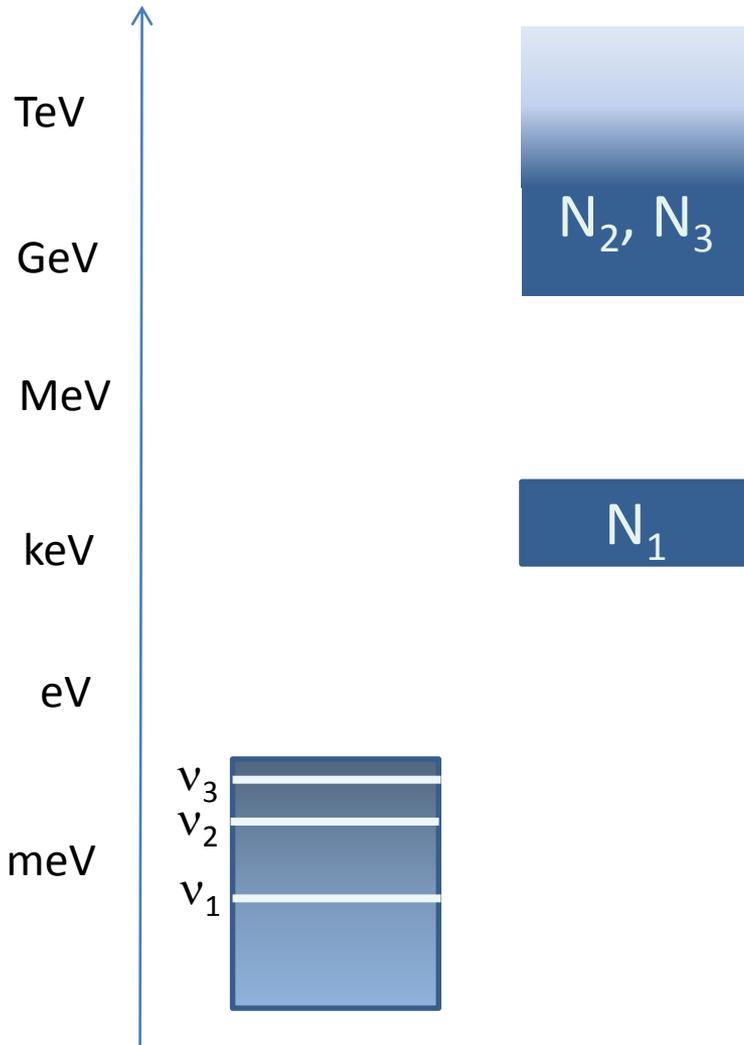
$m_1$  have  $\sim I=1/2$  (~active)

$m_2$  have  $\sim I=0$  (~sterile)



There even exists a scenario that claims to explain everything: the  $\nu$ MSM

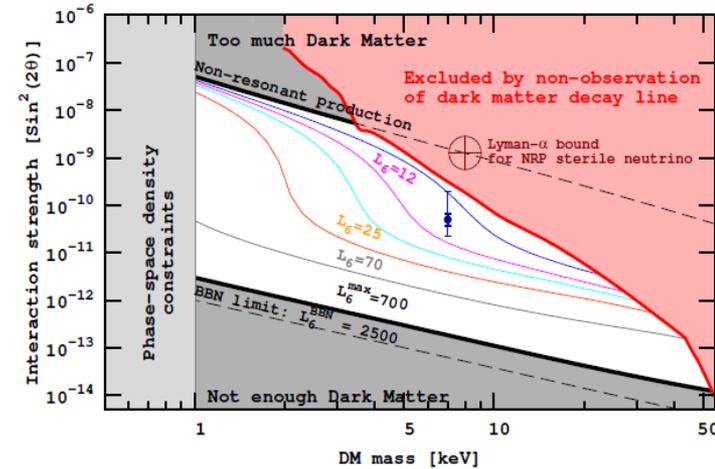
Shaposhnikov et al



can generate Baryon Asymmetry of Universe  
if  $m_{N_2, N_3} > 140$  MeV

constrained:  
mass: 1-50 keV  
mixing :  
 $10^{-7}$  to  $10^{-13}$   
decay time:  
 $\tau_{N_1} > \tau_{\text{Universe}}$

$$N_1 \rightarrow \nu \gamma$$



soon excluded?



# Manifestations of right handed neutrinos

one family see-saw :

$$\theta \approx (m_D/M)$$

$$m_\nu \approx \frac{m_D^2}{M}$$

$$m_N \approx M$$

$$|U|^2 \propto \theta^2 \approx m_\nu / m_N$$

$$\nu = \nu_L \cos\theta - N_R^c \sin\theta$$

$$N = N_R \cos\theta + \nu_L^c \sin\theta$$

what is produced in W, Z decays is:

$$\nu_L = \nu \cos\theta + N \sin\theta$$

$\nu$  = light mass eigenstate  
 $N$  = heavy mass eigenstate  
 $\neq \nu_L$ , active neutrino  
 which couples to weak inter.  
 and  $\neq N_R$ , which does'nt.

- mixing with active neutrinos leads to various observable consequences
  - if very light (eV), possible effect on neutrino oscillations (short baseline)
  - if in keV region (dark matter), monochromatic photons from galaxies with  $E=m_N/2$
- possibly measurable effects at High Energy
  - If  $N$  is heavy it will decay in the detector (not invisible)
    - PMNS matrix unitarity violation and deficit in Z «invisible» width
    - Higgs, W, Z exotic decays  $H \rightarrow \nu_i \bar{N}_i$  and  $Z \rightarrow \nu_i \bar{N}_i$ ,  $W \rightarrow l_i \bar{N}_i$
    - also in charm and b decays via  $W^* \rightarrow l_i \bar{N}_i$
    - violation of unitarity and lepton universality in Z, W or  $\tau$  decays
  - etc... etc...
- Couplings are small ( $m_\nu / m_N$ ) (but who knows?) and generally out of reach of hadron colliders (but this deserves to be revisited for detached vertices @LHC, HL-LHC, FCC-hh)



# Sterile neutrino searches

Some 'signal' observed in LSND experiment at Los Alamos since 1993 (neutrinos from pion decays at rest) -- 3.8sigma.

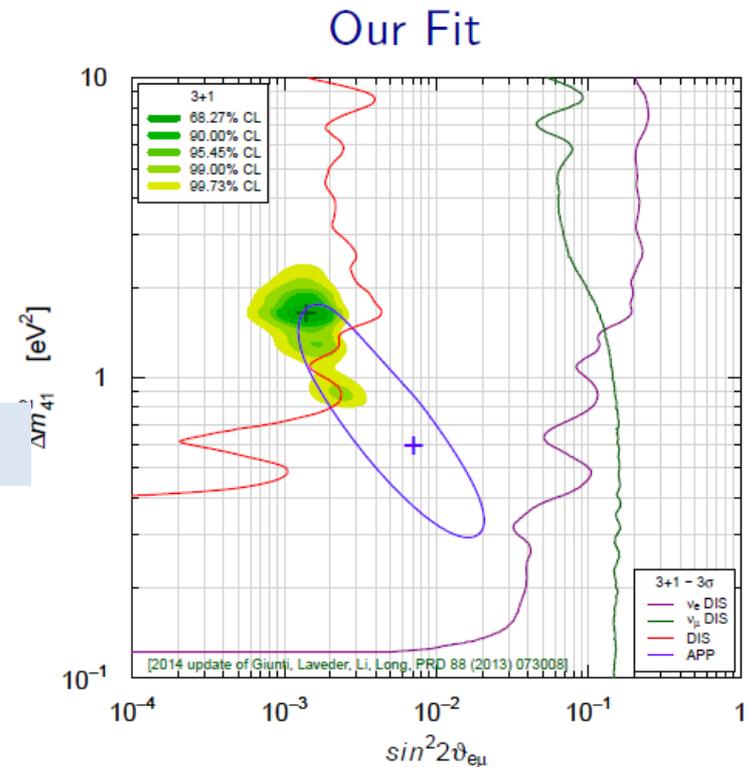
Since then, «interesting effects» (1-2 sigma)

Observed in reactor neutrinos, miniBoone,

Ga source calibration ....

None very significant or compelling.

Important to clean situation with definitive expt.



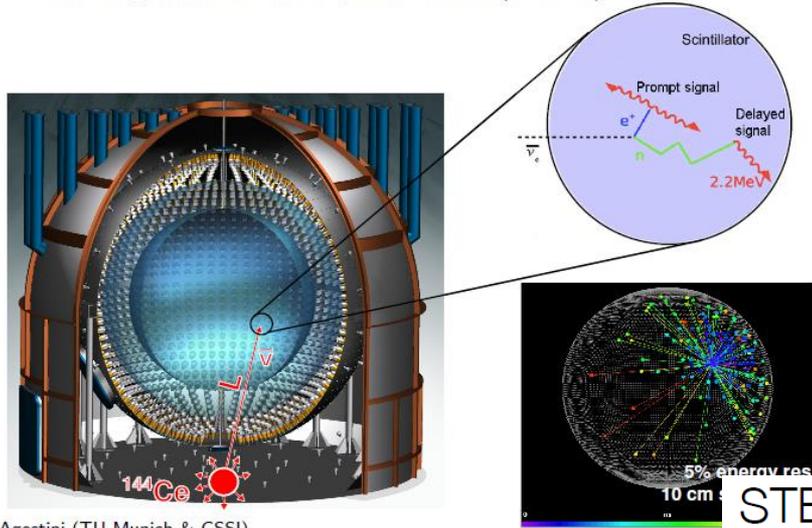
GoF = 5%

PGoF = 0.1%

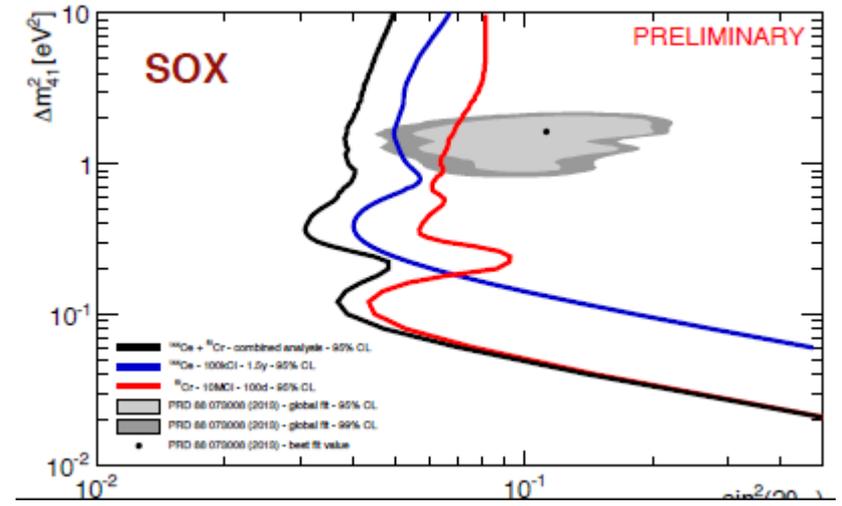
# SOX

## Detection concept

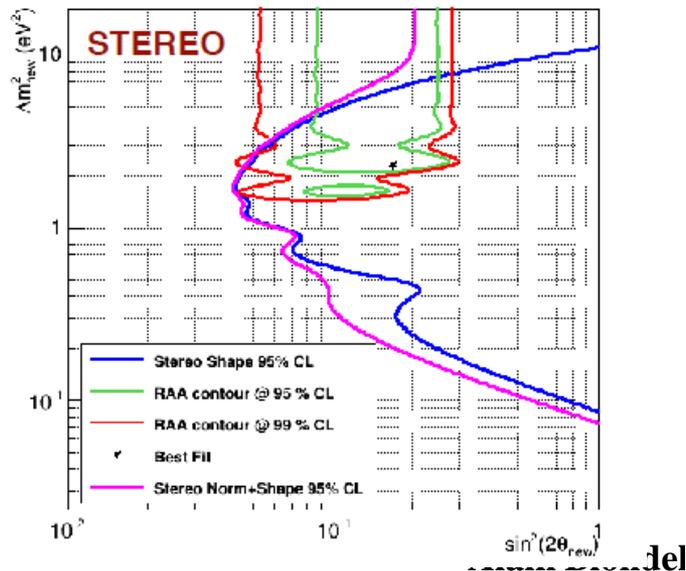
- $\bar{\nu}_e$  interact via inverse beta decay:  
prompt  $e^+/e^-$  annihilation + delayed neutron absorption (2.2 MeV)
- scintillation photons detected by PMTs (energy and time-of-flight)  
5% energy resolution – 10 cm spatial resolution (at 1 MeV)



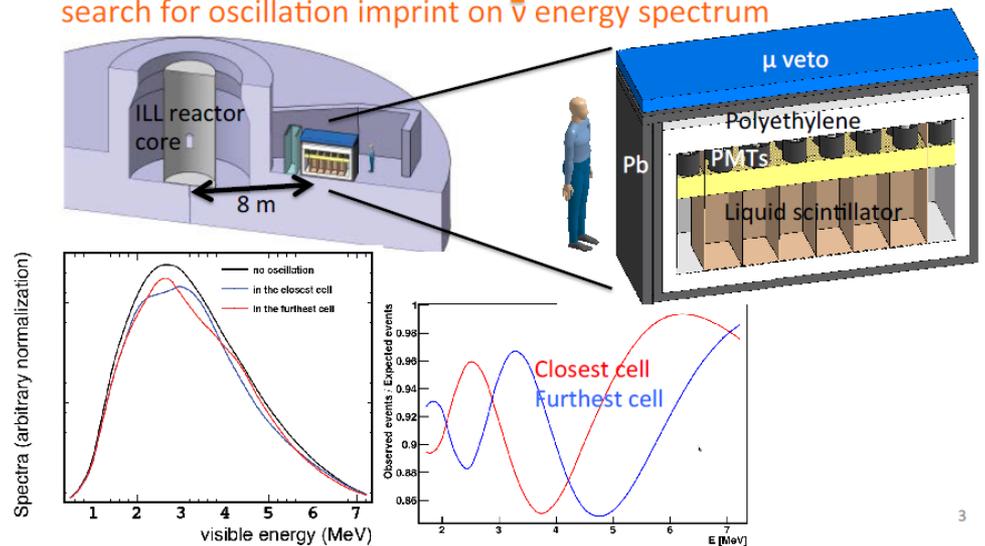
Matteo Agostini (TU Munich & GSSI)



## STEREO and SOLID



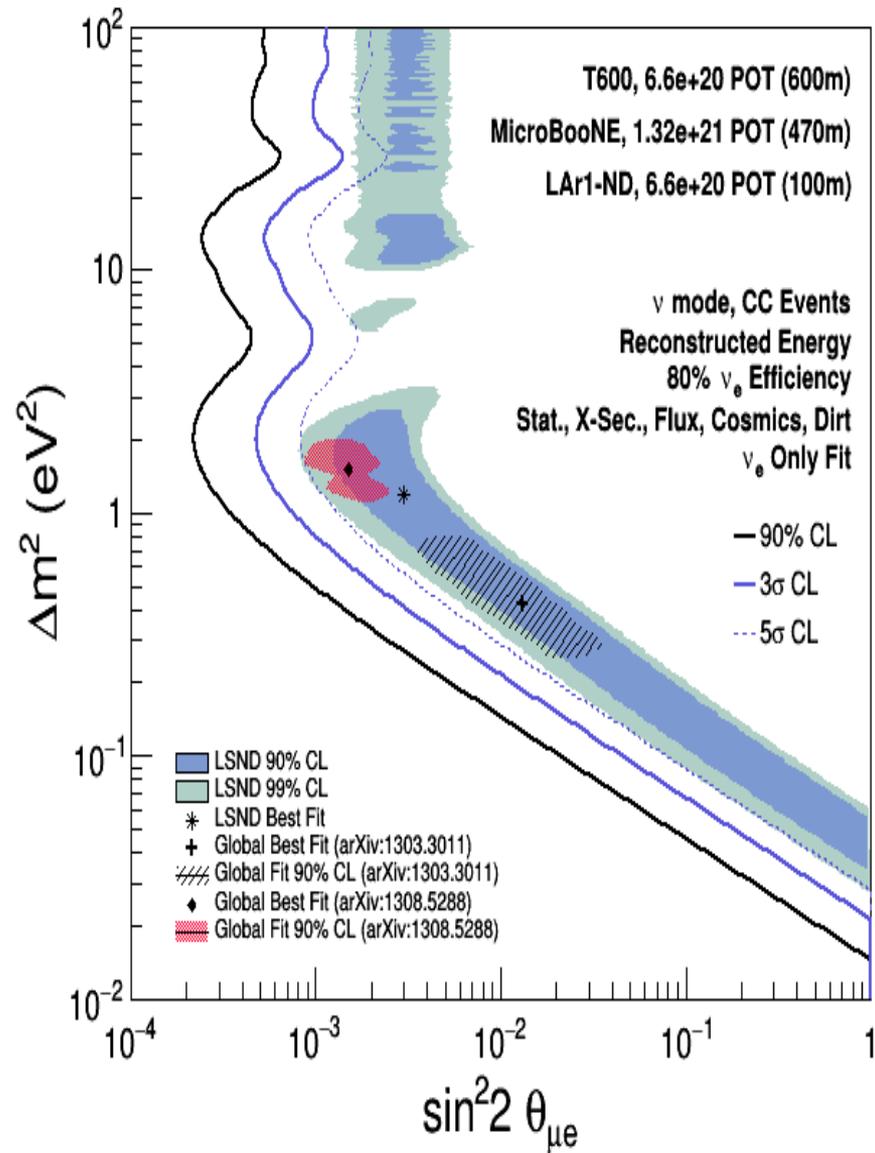
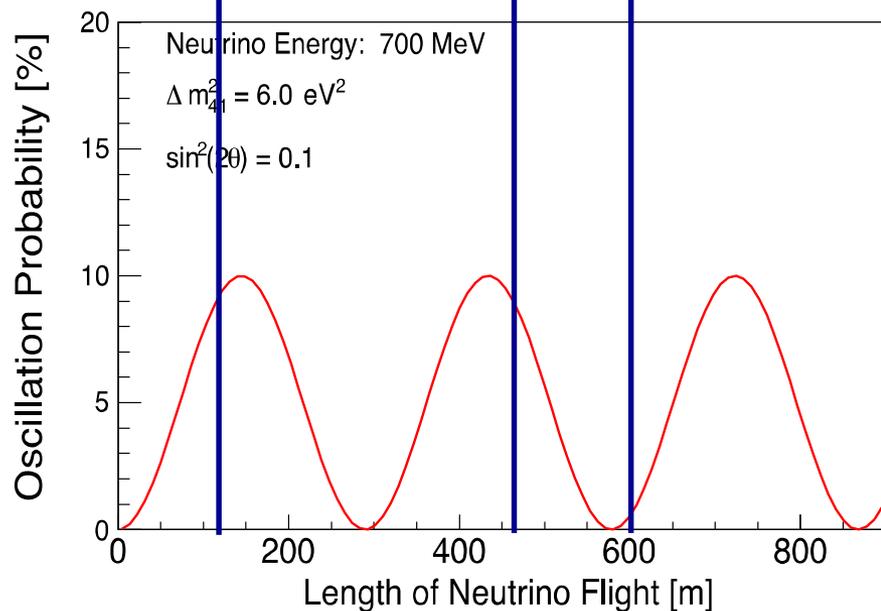
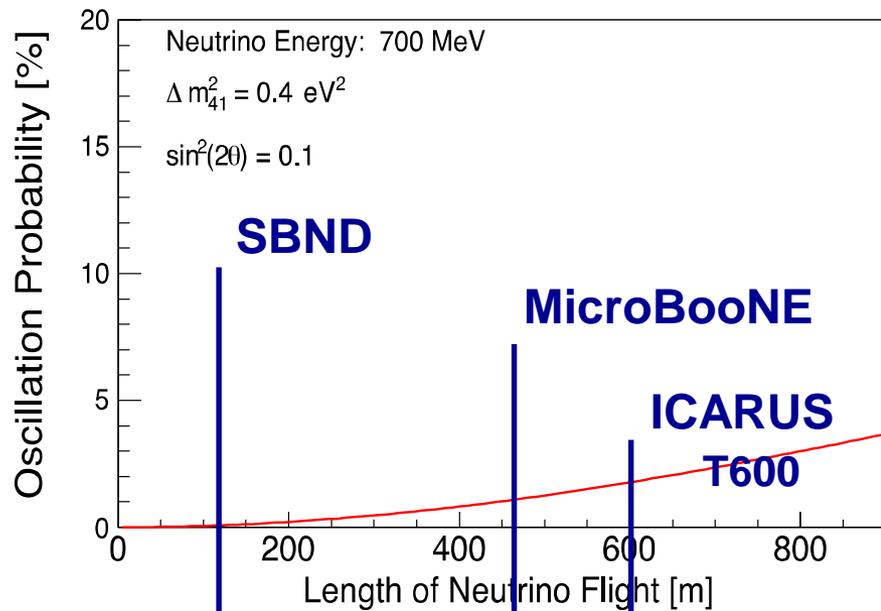
- Not just another flux measurement: in very short baselines, search for oscillation imprint on  $\bar{\nu}_e$  energy spectrum



# Short Baseline Neutrino programme



- **Definitive search for  $\Delta m^2 \sim 1\text{eV}^2$  sterile neutrinos:**
  - **Exploit  $L$ ,  $E$  and  $L/E$  modulation; detectors at three baselines**
    - **Appearance,  $\nu_\mu \rightarrow \nu_e$ , and, disappearance,  $\nu_e \rightarrow \nu_x$**
    - **Exploit 3 LAr detectors; minimise inter-detector systematics**
- **Robustly address backgrounds and uncertainties:**
  - **$\nu_e$  contamination in FNAL Booster Neutrino Beam**
  - **Photons produced by NC and CC  $\nu N$  and cosmic rays**
  - **External  $\nu$  interactions in earth or experimental hall**



by 2020

## Indirect effects

-- neutrino Majorana mass term can lead to lepton number violating processes by virtual neutrino exchange and to flavour violation

-- neutrinoless double beta decay (the most powerful one)

-- FCNC ( $\mu \rightarrow e\gamma$ ) etc...

-- at a Z factory :  $Z \rightarrow \tau\mu$   $Z \rightarrow \tau e$   $Z \rightarrow \tau\tau$ ,  $\tau \rightarrow \mu\gamma$   $\tau \rightarrow e\gamma$  etc...



# Future Circular Collider Study - SCOPE

## CDR and cost review for the next ESU (2018)

Forming an international collaboration to study:

- ***pp*-collider (*FCC-hh*)**  
→ defining infrastructure requirements

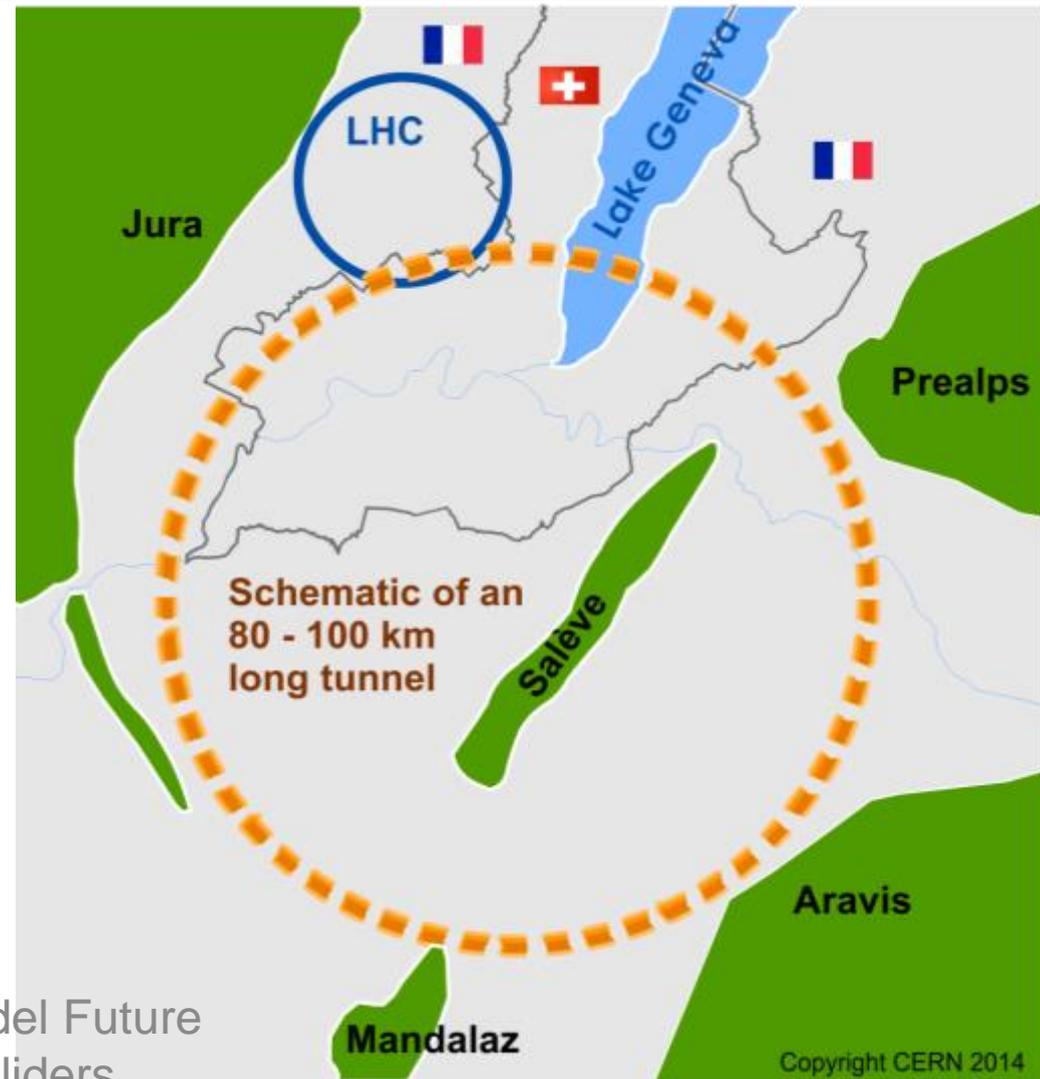
~16 T  $\Rightarrow$  100 TeV *pp* in 100 km

~20 T  $\Rightarrow$  100 TeV *pp* in 80 km

- ***e<sup>+</sup>e<sup>-</sup>* collider (*FCC-ee*)** as potential intermediate step  
ECM=90-400 GeV

- ***p-e* (*FCC-he*) option**

- **80-100 km infrastructure** in Geneva area



# RHASnu's production in Z decays

Production:

$$BR(Z^0 \rightarrow \nu_m \bar{\nu}) = BR(Z^0 \rightarrow \nu \bar{\nu}) |U|^2 \left(1 - \frac{m_{\nu_m}^2}{m_{Z^0}^2}\right)^2 \left(1 + \frac{1}{2} \frac{m_{\nu_m}^2}{m_{Z^0}^2}\right)$$

multiply by 2 for anti neutrino and add contributions of 3 neutrino species (with different

Decay

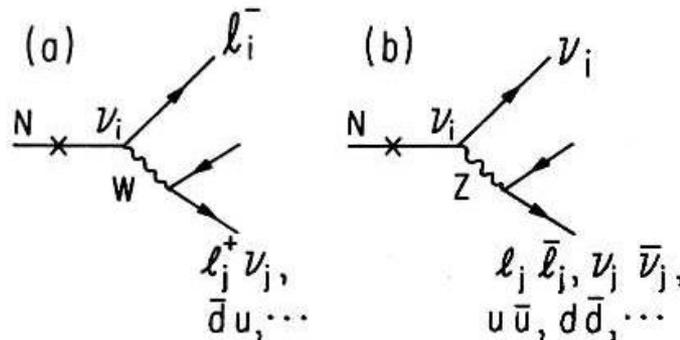


FIG. 2. Typical decays of a neutral heavy lepton via (a) charged current and (b) neutral current. Here the lepton  $l_i$  denotes  $e, \mu, \text{ or } \tau$ .

Decay length:

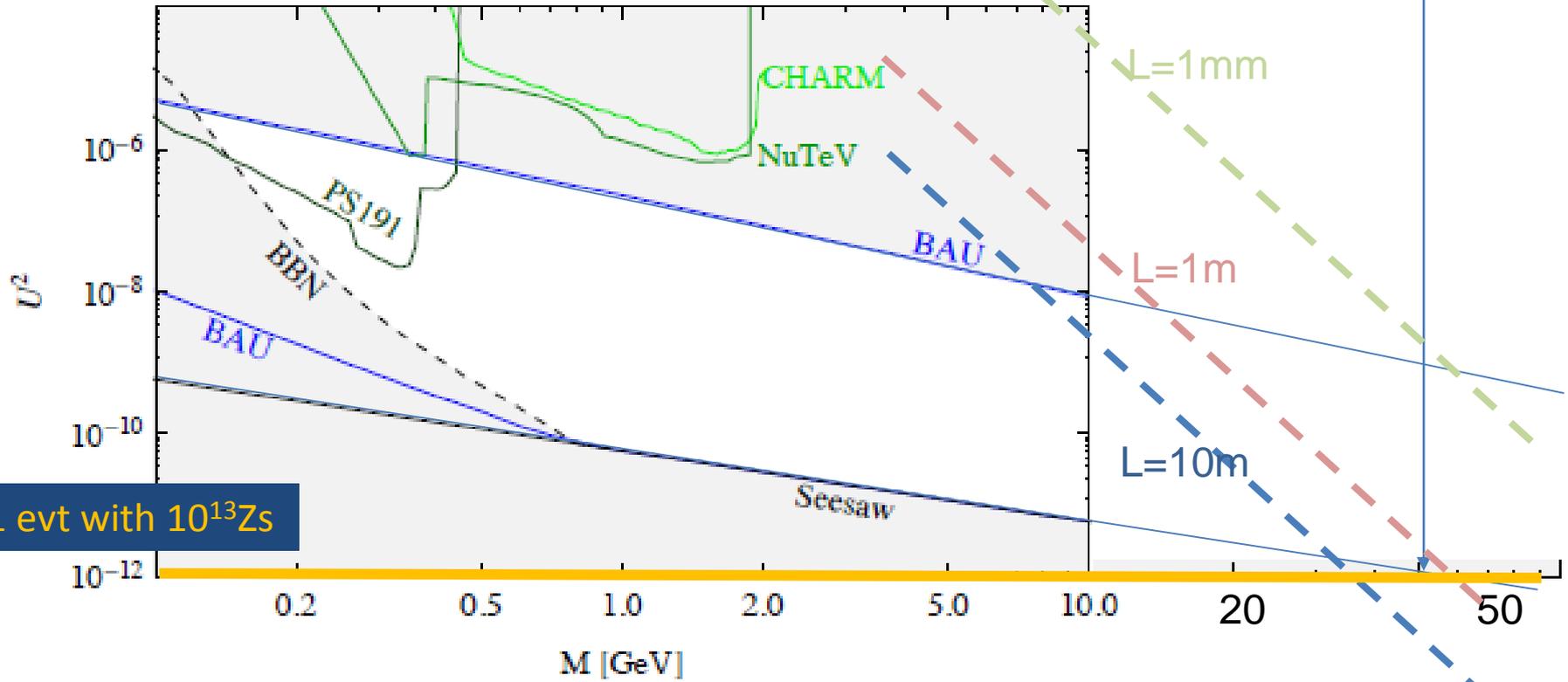
$$L \approx \frac{3 \text{ cm}}{|U|^2 (m_{\nu_m} (\text{GeV}/c^2))^6}$$

NB CC decay always leads to  $\geq 2$  charged tracks

Backgrounds : four fermion:  $e+e^- \rightarrow W^{*+} W^{*-}$   $e+e^- \rightarrow Z^*(\nu\nu) + (Z/\gamma)^*$

# Decay length

Interesting region  
 $|U|^2 \sim 10^{-9}$  to  $10^{-12}$  @ 50 GeV



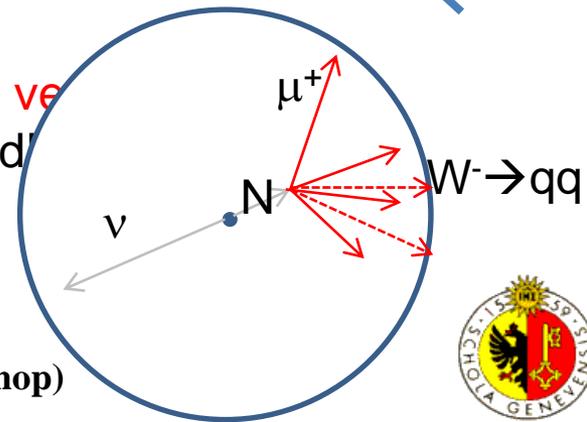
$\sim 1$  evt with  $10^{13}$ Zs

heavy neutrino mass  $\sim M$

a large part of the interesting region will lead to detached vertices

...  $\rightarrow$  very strong reduction of background

Exact reach domain will depend on detector size and details of displaced vertex efficiency & background

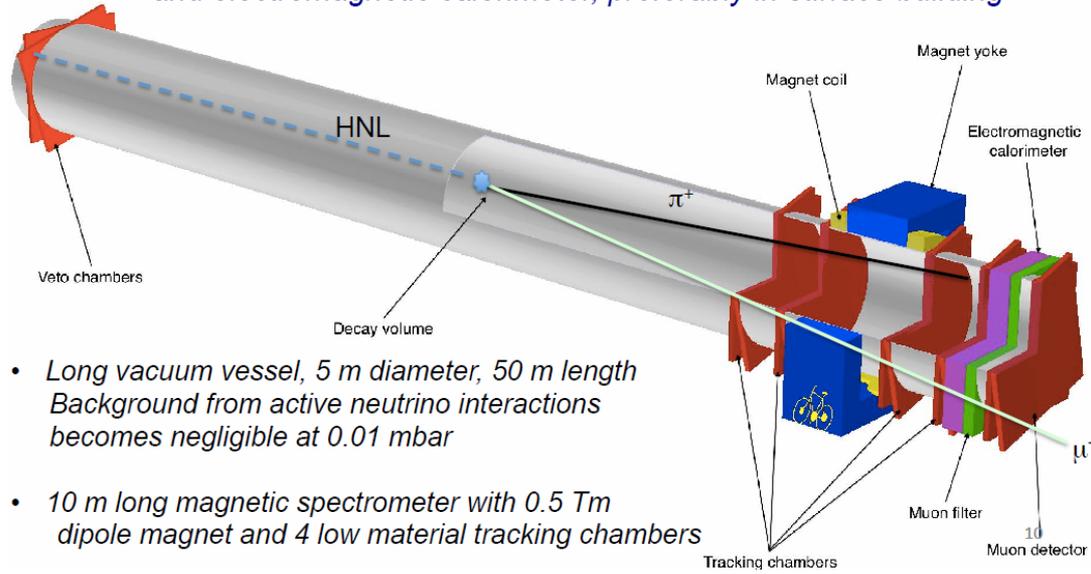


# Detector concept

(based on existing technologies)

- Reconstruction of the HNL decays in the final states:  $\mu^- \pi^+$ ,  $\mu^- \rho^+$  &  $e^- \pi^+$

Requires long decay volume, magnetic spectrometer, muon detector and electromagnetic calorimeter, preferably in surface building



- Long vacuum vessel, 5 m diameter, 50 m length  
Background from active neutrino interactions becomes negligible at 0.01 mbar
- 10 m long magnetic spectrometer with 0.5 Tm dipole magnet and 4 low material tracking chambers

## Proposal to search for Heavy Neutral Leptons at the SPS

(CERN-SPSC-2013-024 / SPSC-EOI-010)

**Disclaimer: It is not a classical neutrino physics experiment**

On behalf of:

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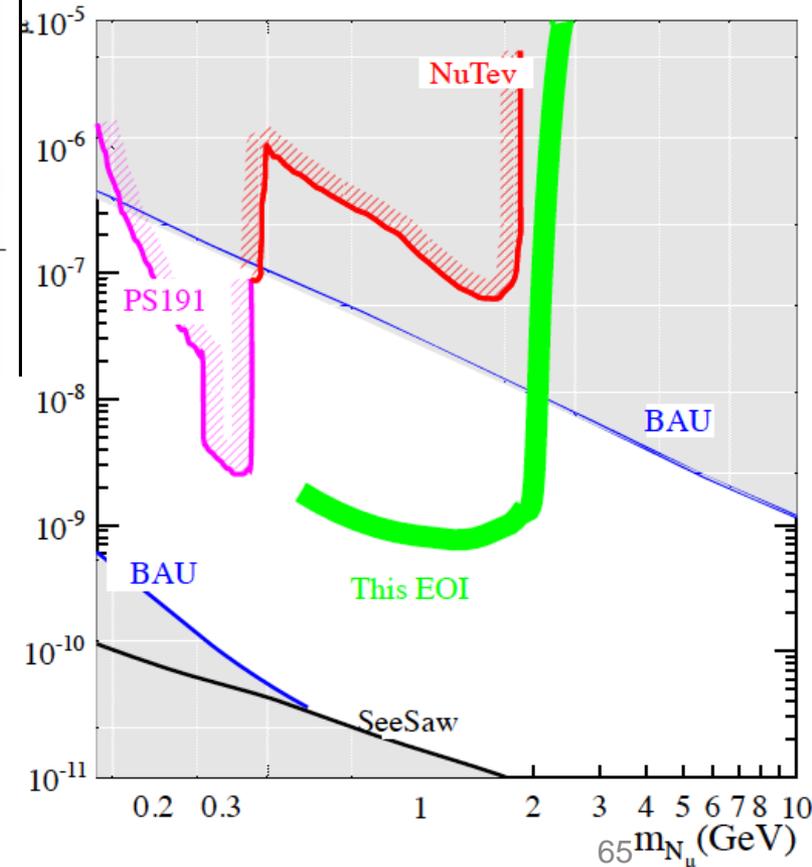
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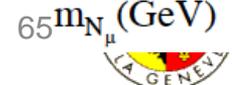
<sup>6</sup> Ecole Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland

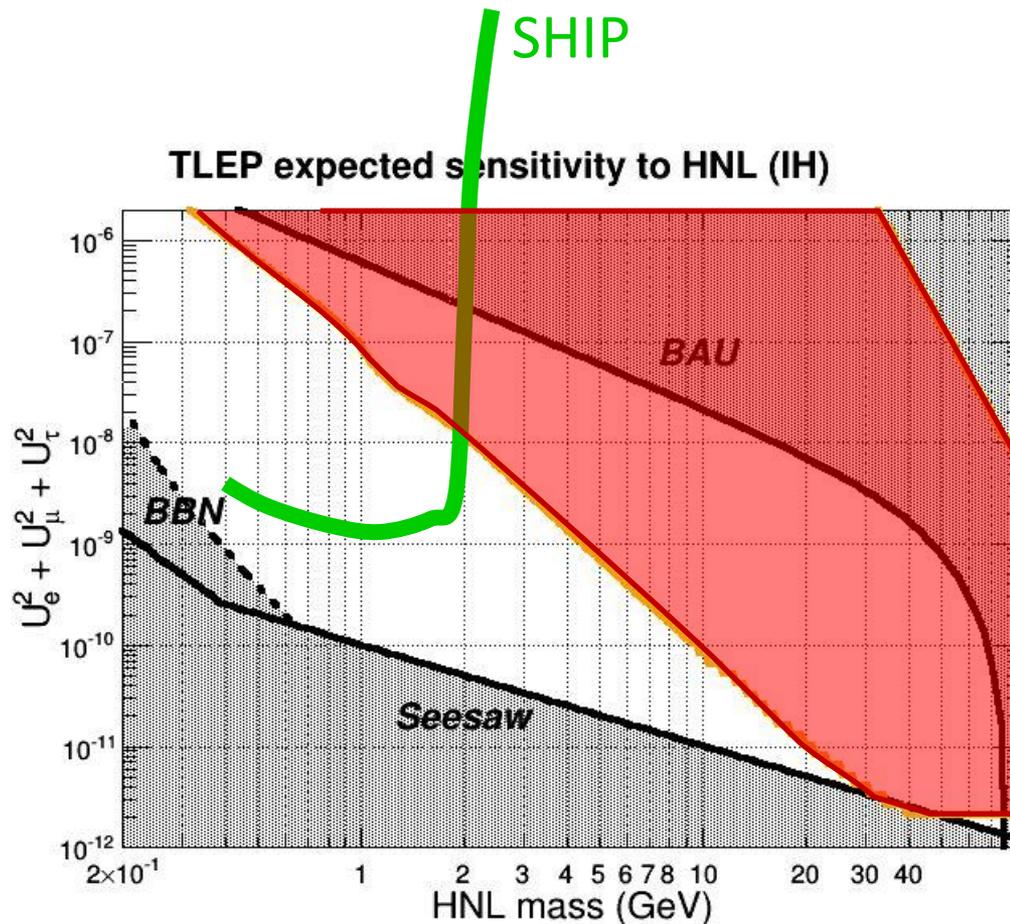
<sup>7</sup> Physik-Institut, Universität Zürich, Zürich, Switzerland

(†) retired



londe neutrinos (Isolda USCIS WORKSHOP)





$$N_Z = 10^{13} \quad 100\mu\text{m} < L < 5\text{m}$$

- region of interest
- FCC-ee sensitivity

NB very large detector caverns for FCC-hh may allow very large FCC-ee detector (R=15m?) leading to improved reach at lower masses.



## CONCLUSIONS

### **Neutrinos have mass!**

This is the only known particle physics fact beyond the Standard Model

Opens possibility of

- CP violation in leptons
- heavy right handed neutrinos
- fermion-antifermion transitions

all leading the possible explanations for the Baryon dominance in the Universe and perhaps even dark matter.

**An important and growing experimental program is happening and planned in the many aspects of interest:**

**nuclear physics, neutrino beams natural, from reactors, from accelerators all the way to high energy colliders.**

**We will work on neutrinos for many years to come!**

