

Equation of state of dark energy in $f(R)$ gravity

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➤ Motivation

- Many modified theories of gravity have been considered
- $f(R)$ gravity ... one of the simplest generalizations of GR
 - There are some $f(R)$ models which are viable on both cosmological and local scales
- EoS of dark energy: $P_{\text{DE}} = w\rho_{\text{DE}}$
 - $w = -1$ in Λ CDM model
 - $w \neq -1$ in $f(R)$ theories

→ Important for distinguishing models.

- Observational constraint (Kowalski et al. (2008)) SN+BAO+CMB
$$|1 + w_{z < 0.5}| < 0.1$$

- “Fifth force” must be small (Brax et al. (2008)) ... local constraint
$$|(1 + w)\Omega_{\text{DE}}| < 10^{-4} \leftarrow \text{Extremely small!}$$

→ We argue that this is incorrect

➤ $f(R)$ gravity

■ Action

$$S = \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} f(R) + \underline{S_{\text{m}}(g_{\mu\nu}, \Psi)}$$

matter field

■ Einstein frame

$$g_{\mu\nu} \rightarrow \bar{g}_{\mu\nu} = f'(R)g_{\mu\nu}$$

$$f'(R) \equiv F(R) \equiv e^{-2\beta\phi/M_{\text{Pl}}}, \quad \beta \equiv \frac{1}{\sqrt{6}}$$

$$S = \int d^4x \sqrt{-\bar{g}} \left(\frac{M_{\text{Pl}}^2}{2} \bar{R} - \frac{1}{2} (\bar{\nabla}\phi)^2 - V(\phi) \right) + S_{\text{m}}(e^{2\beta\phi/M_{\text{Pl}}} \bar{g}_{\mu\nu}, \Psi)$$

↑
GR

↑
scalar field

ϕ couples to matter

→ fifth force

$$\left(= \frac{\beta}{M_{\text{Pl}}} \bar{\nabla} \phi \right)$$

$$V(\phi) = \frac{1}{16\pi G} \frac{RF - f}{F^2}$$

➤ EoM in the Einstein frame

- Einstein field equation

$$\bar{G}_{\mu\nu} = 8\pi G \left(\bar{\nabla}_\mu \phi \bar{\nabla}_\nu \phi - \bar{g}_{\mu\nu} \left[\frac{1}{2} (\bar{\nabla} \phi)^2 + V(\phi) \right] + \bar{T}_{\mu\nu}^m \right)$$

- Klein-Gordon equation

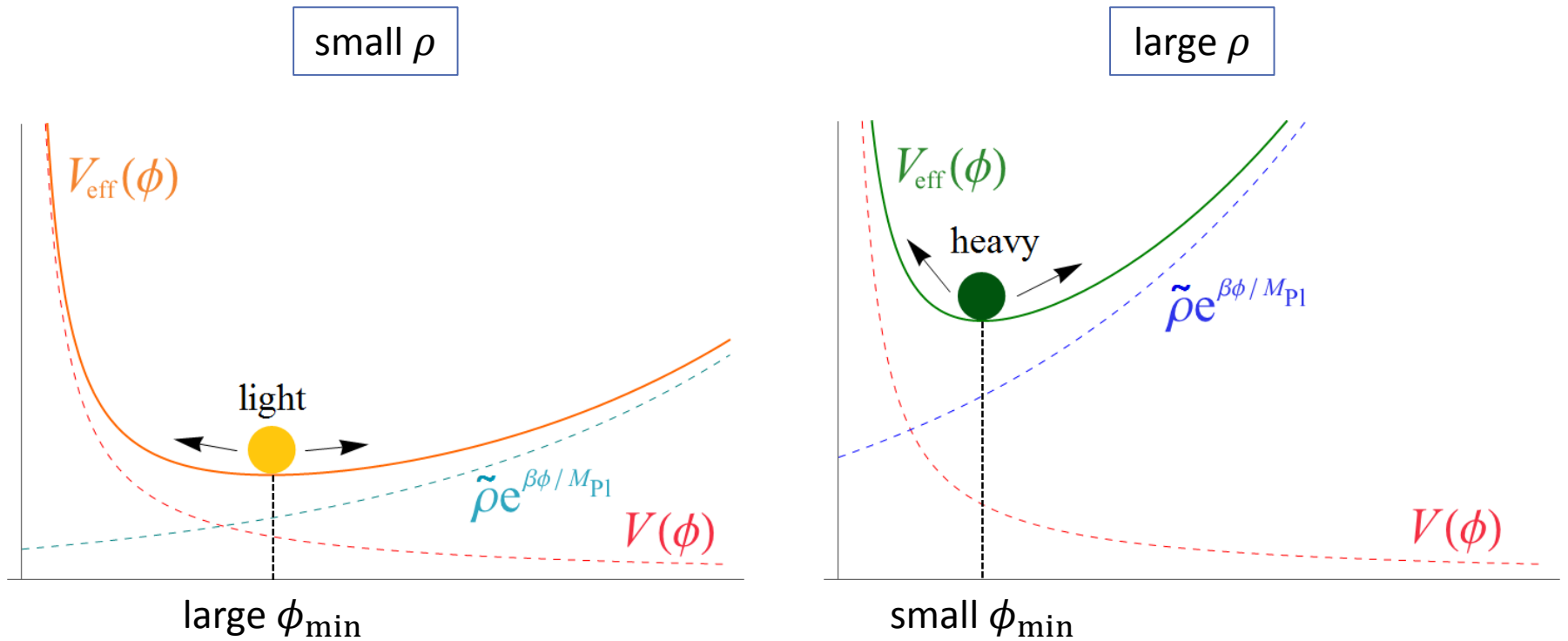
$$\bar{\square} \phi = V'(\phi) + \frac{\beta}{M_{\text{Pl}}} \tilde{\rho}_m e^{\beta\phi/M_{\text{Pl}}}$$

- The dynamics of ϕ are governed by an effective potential

$$V_{\text{eff}}(\phi) \equiv V(\phi) + \tilde{\rho}_m e^{\beta\phi/M_{\text{Pl}}}$$

→ Depends on local matter densities

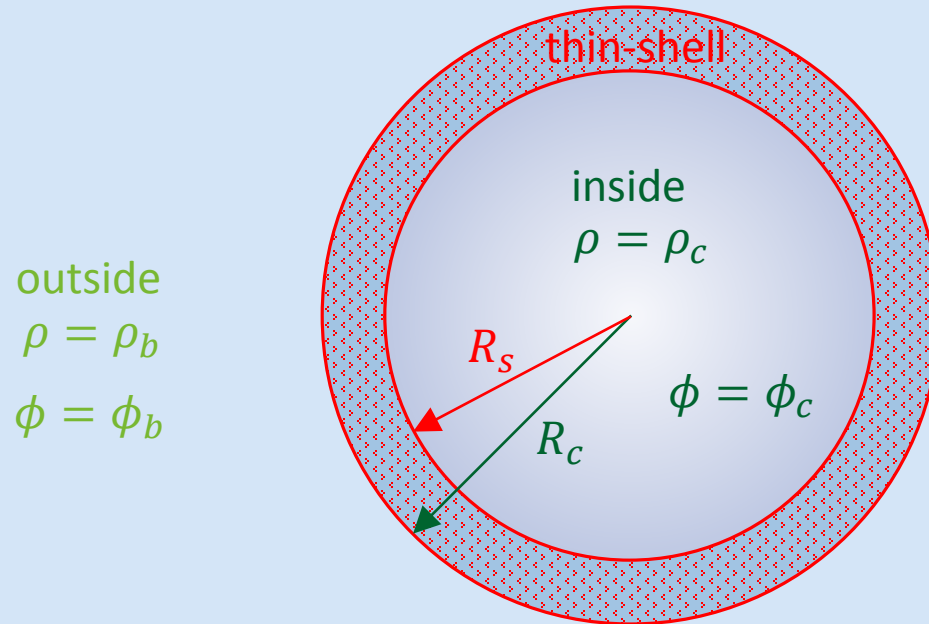
➤ Chameleon mechanism



$$\begin{aligned}
 \rho_1 &< \rho_2 \\
 \phi_1^{\text{min}} &> \phi_2^{\text{min}} \\
 m_1 &< m_2
 \end{aligned}$$

➤ Thin-shell solution

- Thin-shell solution ... scalar field configuration around a uniform spherical object
- It played a crucial role in the previous work



➤ Thin-shell solution

■ Functional form of the thin-shell solution

$$\delta\phi = \begin{cases} \delta\phi_c & , r < R_s \\ \frac{\beta\rho_c}{3M_{\text{Pl}}} \left(\frac{r^2}{2} + \frac{R_s^3}{r} - \frac{3}{2}R_s^2 \right) + \delta\phi_c & , R_s < r < R_c \\ -\frac{\beta\rho_c}{3M_{\text{Pl}}} \epsilon_{\text{th}} \frac{R_c^3}{r} e^{-m_b(r-R_c)} & , r > R_c \end{cases} \quad \delta\phi \equiv \phi - \phi_b$$

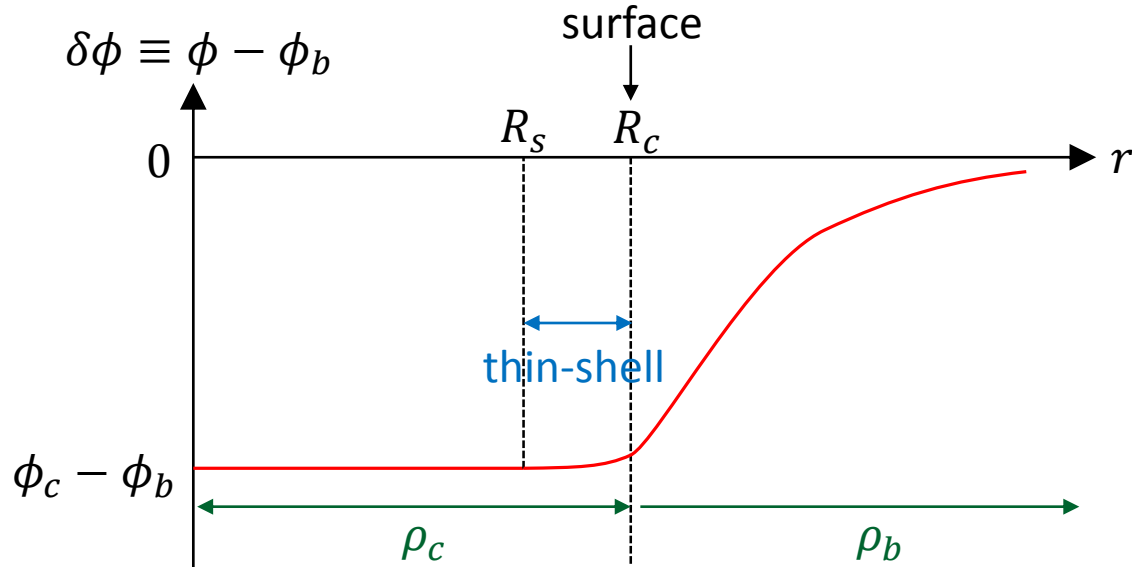
$$\epsilon_{\text{th}} \equiv \frac{M_{\text{Pl}} |\delta\phi_c|}{\beta R_c^2 \rho_c} \approx \frac{R_c - R_s}{R_c}$$

$$\vec{F}_\phi = \frac{\beta}{M_{\text{Pl}}} \vec{\nabla} \phi$$

$$= \frac{\beta |\delta\phi_c| / M_{\text{Pl}}}{GM_c / R_c} \approx \frac{\text{(fifth-forth potential)}}{\text{(Newtonian potential)}} \rightarrow \text{small fifth force}$$

Thin-shell solution

General form of the thin-shell solution



Thin-shell parameter

$$1 > \epsilon_{\text{th}} = \frac{R_c - R_s}{R_c} \approx \frac{\text{(fifth force)}}{\text{(Newtonian force)}}$$

It is a solution of the Poisson equation (static assumption):

$$\nabla^2 \phi = V'_{\text{eff}}(\phi)$$

➤ EoS of dark energy

■ Einstein eqs. (background)

$$H^2 = \frac{8\pi G}{3} \left(\frac{\rho_m}{F} + FV(\phi_b) \right) + \frac{2\beta}{M_{\text{Pl}}} H\dot{\phi}_b \equiv \frac{8\pi G_{\text{eff},0}}{3} (\rho_m + \rho_{\text{DE}})$$

$$\frac{2\ddot{a}}{a} + H^2 = -8\pi GV(\phi) + \frac{2}{3M_{\text{Pl}}^2} \dot{\phi}_b^2 - \frac{2\beta}{M_{\text{Pl}}} (\ddot{\phi} + 2H\dot{\phi}_b) \equiv -8\pi G_{\text{eff},0} P_{\text{DE}}$$

$$G_{\text{eff},0} \equiv \frac{G}{F_0}$$

■ (Effective) EoS of Dark Energy

$$\frac{P_{\text{DE}}}{\rho_{\text{DE}}} = w$$

$$(1 + w)\Omega_{\text{DE}} = \frac{\rho_{\text{DE}} + P_{\text{DE}}}{\rho_{\text{cr}}} = \frac{2\beta}{3M_{\text{Pl}}} \left(\frac{\dot{\phi}_b}{H} - \frac{\ddot{\phi}_b}{H^2} \right) + \frac{2}{9M_{\text{Pl}}^2} \frac{\dot{\phi}_b^2}{H^2} + \left(\frac{F_0}{F} - 1 \right) \Omega_m$$

■ Since $\dot{\phi}_b \sim H\Delta\phi$, we get

$\Delta\phi$: variation of ϕ_b in the last Hubble time

$$|(1 + w)\Omega_{\text{DE}}| \sim O\left(\frac{\beta}{M_{\text{Pl}}} \Delta\phi\right)$$

➤ EoS of dark energy

$$|(1 + w_{\text{DE}})\Omega_{\text{DE}}| \sim O\left(\frac{\beta}{M_{\text{Pl}}}\Delta\phi\right)$$

■ $\Delta\phi$: variation of ϕ_b from time t to t_0

t : past time at which $z \gtrsim 1$

■ Relation between the density and the minimum of the effective potential

$$\rho_c > \rho_b(t) > \rho_b(t_0) \Rightarrow \phi_c < \phi_b(t) < \phi_b(t_0)$$

some celestial object
e.g. galaxy cluster

$$\frac{\beta}{M_{\text{Pl}}}\Delta\phi < \frac{\beta}{M_{\text{Pl}}}|\delta\phi_c(t_0)|$$

$$\delta\phi_c(t_0) \equiv \phi_c - \phi_b(t_0)$$

■ Consider an object with thin shell

$$\frac{\beta}{M_{\text{Pl}}}|\delta\phi_c(t_0)| < \Phi_N$$

$$\Leftrightarrow \epsilon_{\text{th}} < 1$$

$$|(1 + w_{\text{DE}})\Omega_{\text{DE}}| < \Phi_N$$

➔ Models with large $|1 + w|$ cannot have a thin shell??

➤ What is wrong?

■ The original thin-shell solution is a solution of $\nabla^2 \delta\phi = m_b^2 \delta\phi$.

■ In expanding universe, r should be replaced by ar :

a : scale factor

$$\delta\phi = \begin{cases} \delta\phi_c & , ar < R_s \\ \frac{\beta\rho_c}{3M_{\text{Pl}}} \left(\frac{(ar)^2}{2} + \frac{R_s^3}{ar} - \frac{3}{2}R_s^2 \right) + \delta\phi_c & , R_s < ar < R_c \\ -\frac{\beta\rho_c}{3M_{\text{Pl}}} \epsilon_{\text{th}} \frac{R_c^3}{ar} e^{-m_b(ar-R_c)} & , ar > R_c \end{cases}$$



■ In a cosmological situation, the exterior solution of the Poisson equation does not satisfy the original Klein-Gordon equation

$$-\delta\ddot{\phi} - 3H\dot{\delta\phi} + \frac{\nabla^2}{a^2} \delta\phi - m^2 \delta\phi = 0$$

m_b : scalar mass outside of the object

since

$$\begin{aligned} \frac{\nabla^2}{a^2} \delta\phi &\sim O(m_b^2 \delta\phi) \\ \delta\ddot{\phi}, H\dot{\delta\phi} &\sim O(H^2 \delta\phi) \end{aligned}$$

same order for models with $m_b \sim O(H)$

➤ Concrete example

- For example, Starobinsky's model (Starobinsky (2007))

$$f(R) = R + \lambda R_s \left[\left(1 + \left(\frac{R}{R_s} \right)^2 \right)^{-n} - 1 \right]$$

has $m_b \gtrsim H$ for small n, λ .

- For $n = 2$ and $\lambda = 1$, $m_b/H \approx 3.1$ and $w_0 \approx -0.94$
- For larger n and λ , the model behaves as GR

➡ In models with $m_b \sim \mathcal{O}(H)$, w deviates appreciably from -1

- The above arguments of the previous work apply in cases where $m_b \gg H$. However, the resultant constraint on w does not make sense because the deviation of w from -1 is vanishingly small from the beginning in such models.
- We construct a solution for the scalar field in cases where w deviates appreciably from -1 .


➤ Our work

- Find a solution for the scalar field in cases where w deviates appreciably from -1 .
- Assume the background spacetime evolves as in w CDM model (CDM + dark energy with constant w) with $w \neq -1$, and solve the scalar field equation around a spherical object.
- 2 steps:
 - Construct the solution in $w = -1$ (de Sitter) case.
 - Construct the solution in $w \neq -1$ case perturbatively, up to first order in $\epsilon \equiv 1 + w$.
- Conformal time η is used as time variable in order that $\epsilon \rightarrow 0$ limit is well-defined.

$$a(t) \propto \begin{cases} t^{\frac{2}{3\epsilon}} & , \epsilon > 0 \\ (t_{\text{rip}} - t)^{\frac{2}{3\epsilon}} & , \epsilon < 0 \end{cases} \xrightarrow{t \rightarrow \eta} a(\eta) \propto (-\eta)^{-1 - \frac{3}{2}\epsilon}$$

$$a_{\text{dS}}(t) \propto e^{Ht} \xrightarrow{t \rightarrow \eta} a_{\text{dS}}(\eta) \propto (-\eta)^{-1}$$

$$\eta \equiv \int^t \frac{dt'}{a(t')}$$

 $\epsilon \rightarrow 0$

➤ $w = -1$ case

- Klein-Gordon equation outside the object

$$-\delta\phi''_{\text{out}} - 2\mathcal{H}\delta\phi'_{\text{out}} + (\nabla^2 - m_b^2 a^2)\delta\phi_{\text{out}} = 0$$

$$' \equiv \frac{\partial}{\partial \eta}$$

- Find a solution which is smoothly connected to the interior solution

$$\delta\phi_{\text{in}} = \begin{cases} \delta\phi_c & , ar < R_s \\ \frac{\beta\rho_c}{3M_{\text{Pl}}} \left(\frac{(ar)^2}{2} + \frac{R_s^3}{ar} - \frac{3}{2}R_s^2 \right) + \delta\phi_c & , R_s < ar < R_c \end{cases}$$

$$\mathcal{H} \equiv \frac{a'}{a} = aH$$

- If we make an ansatz

$$\delta\phi(\eta, r) = \varphi(Har),$$

using some one variable function $\varphi(u)$, the KG equation becomes an ODE:

$$\frac{d^2\varphi_{\text{out}}(u)}{du^2} + \frac{4u^2 - 2}{u(u^2 - 1)} \frac{d\varphi_{\text{out}}(u)}{du} + \left(\frac{m_b}{H}\right)^2 \frac{\varphi_{\text{out}}(u)}{u^2 - 1} = 0$$

$$u \equiv Har$$

with the following boundary conditions:

- $\varphi_{\text{out}} = \varphi_{\text{in}}$ and $\partial_u \varphi_{\text{out}} = \partial_u \varphi_{\text{in}}$ at $u = HR_c$
- $\varphi_{\text{out}} \rightarrow 0$ as $u \rightarrow \infty$

➤ $w = -1$ case

■ The exterior solution is obtained as

$$\delta\phi_{\text{out}} = -\frac{\beta\rho_c R_c^2}{M_{\text{Pl}}} \epsilon_{\text{th}} H R_c g_\alpha(Har)$$

$$\epsilon_{\text{th}} \equiv \frac{M_{\text{Pl}} |\delta\phi_c|}{\beta R_c^2 \rho_c} \approx \frac{R_c - R_s}{R_c}$$

where

$$g_\alpha(u) \equiv \varphi_\alpha^{(2)}(u) - 2 \frac{\Gamma\left(\frac{3+2i\alpha}{4}\right) \Gamma\left(\frac{3-2i\alpha}{4}\right)}{\Gamma\left(\frac{1+2i\alpha}{4}\right) \Gamma\left(\frac{1-2i\alpha}{4}\right)} \varphi_\alpha^{(1)}(u)$$

$$\begin{cases} \varphi_\alpha^{(1)}(u) = {}_2F_1\left(\frac{3+2i\alpha}{4}, \frac{3-2i\alpha}{4}; \frac{3}{2}; u^2\right), \\ \varphi_\alpha^{(2)}(u) = \frac{1}{u} {}_2F_1\left(\frac{1+2i\alpha}{4}, \frac{1-2i\alpha}{4}; \frac{1}{2}; u^2\right) \end{cases}$$

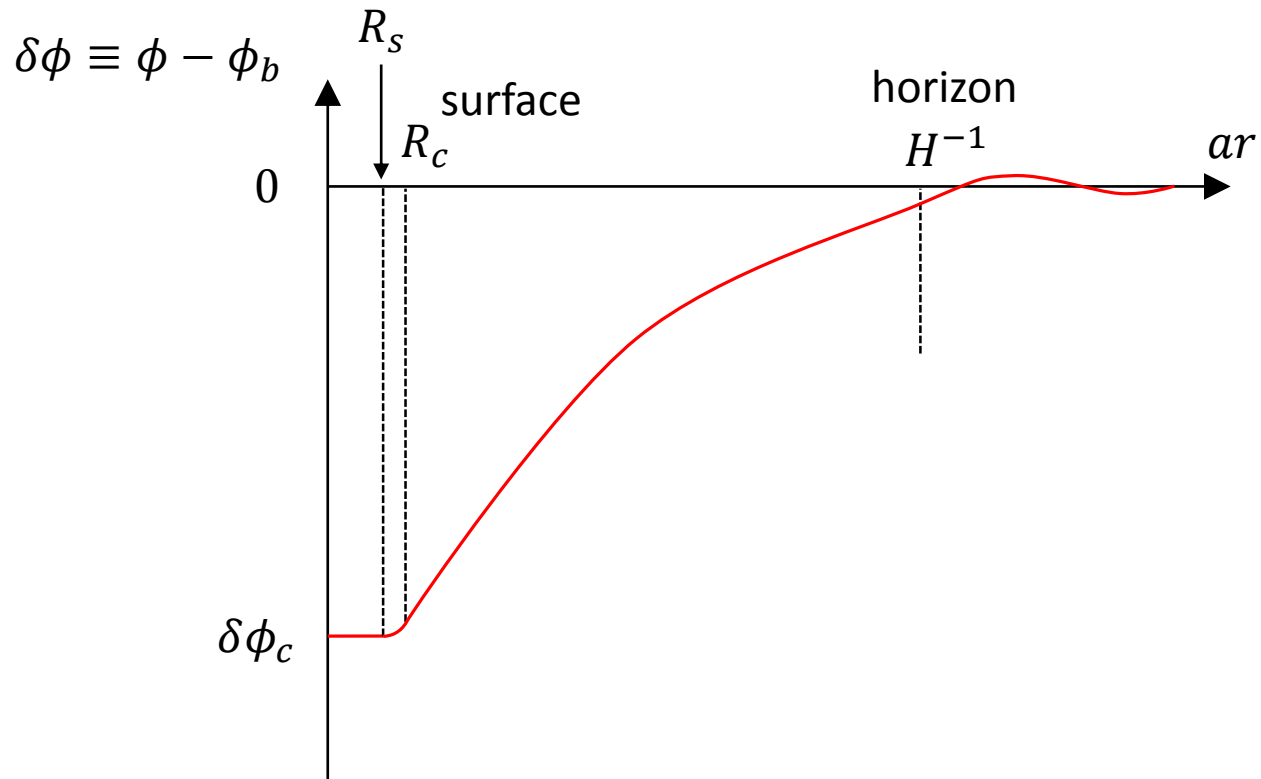
$$\alpha \equiv \sqrt{\left(\frac{m_b}{H}\right)^2 - \frac{9}{4}}$$

${}_2F_1$: hypergeometric function

The constant before $\varphi_\alpha^{(1)}(u)$ is chosen so that $g_\alpha(u)$ does not diverge at $u = 1$.

➤ $w = -1$ case

■ General form of the solution



➤ $w \neq -1$ case

- We choose the solution in $w = -1$ case

$$\delta\phi_{\text{out}} = -\frac{\beta\rho_c R_c^2}{M_{\text{Pl}}} \epsilon_{\text{th}} H R_c g_\alpha(\mathcal{H}r)$$

as a zeroth-order solution.

- Perturbative expansion with respect to $\epsilon = 1 + w$

$$\delta\phi_{\text{out}} = -\frac{\beta\rho_c R_c^2}{M_{\text{Pl}}} \epsilon_{\text{th}} H R_c \left[\underbrace{g_\alpha(\mathcal{H}r)}_{\geq O(1)} + \underbrace{\epsilon A(\eta, r)}_{\text{should be } \lesssim O(1)} \right]$$

... checked later

- KG equation for the perturbative part

$$\begin{aligned} & \epsilon [A'' + 2\mathcal{H}A' - (\nabla^2 - m_b^2 a^2)A] \\ &= \frac{\epsilon}{\eta^2} [-(2C_\phi - 3)\mathcal{H}r g'_\alpha(\mathcal{H}r) - 2C_\phi g_\alpha(\mathcal{H}r)] \end{aligned}$$

$$\frac{\phi'_b}{\phi_b} \equiv \frac{C_\phi}{-\eta} \epsilon$$

where C_ϕ is determined once a model is fixed.

➤ $w \neq -1$ case

- Again, assume a solution in the form of

$$A(\eta, r) \equiv B(u)$$

where

$$u \equiv \mathcal{H}r = Har$$

The perturbed KG equation is rewritten as an ODE

$$\frac{d^2 B(u)}{du^2} + \frac{4u^2 - 2}{u(u^2 - 1)} \frac{dB(u)}{du} + \left(\frac{m_b}{H}\right)^2 \frac{B(u)}{u^2 - 1} = j(u)$$
$$j(u) \equiv -\frac{(2C_\phi - 3)u g'_\alpha(u) + 2C_\phi g_\alpha(u)}{u^2 - 1}$$

- The homogeneous solutions are already known ... the solutions in $w = -1$ case
→ The inhomogeneous solutions can be obtained by the method of variation of parameters!

➤ $w \neq -1$ case

■ Basis of the homogeneous solutions

$$\begin{cases} B_1(u) \equiv \varphi_\alpha^{(1)}(u) \\ B_2(u) \equiv g_\alpha(u) \end{cases}$$

$$W \equiv B_1 \frac{dB_2}{du} - B_2 \frac{dB_1}{du}$$

■ Inhomogeneous solution

$$B(u) = C_1 B_1(u) + C_2 B_2(u) - B_1(u) \int_0^u du' \frac{B_2}{W} j + B_2(u) \int_0^u du' \frac{B_1}{W} j$$

■ We require that

- B does not diverge at $u = 1$ ($ar = H^{-1}$)
- $B = 0$ at $u = HR_c$ ($ar = R_c$)

$$\rightarrow B(p) = -B_1(u) \int_1^u du' \frac{B_2}{W} j + B_2(u) \left[\int_{HR_c}^u du' \frac{B_1}{W} j - \frac{B_1(HR_c)}{B_2(HR_c)} \int_{HR_c}^1 du' \frac{B_2}{W} j \right]$$

➤ $w \neq -1$ case

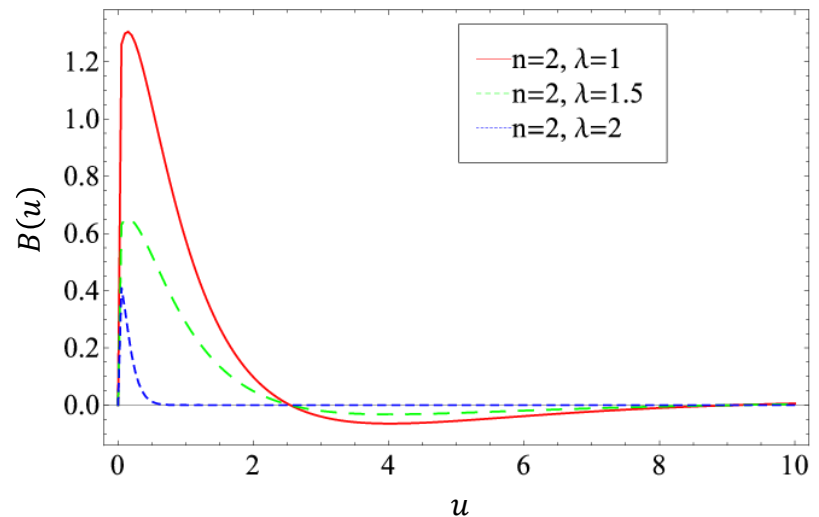
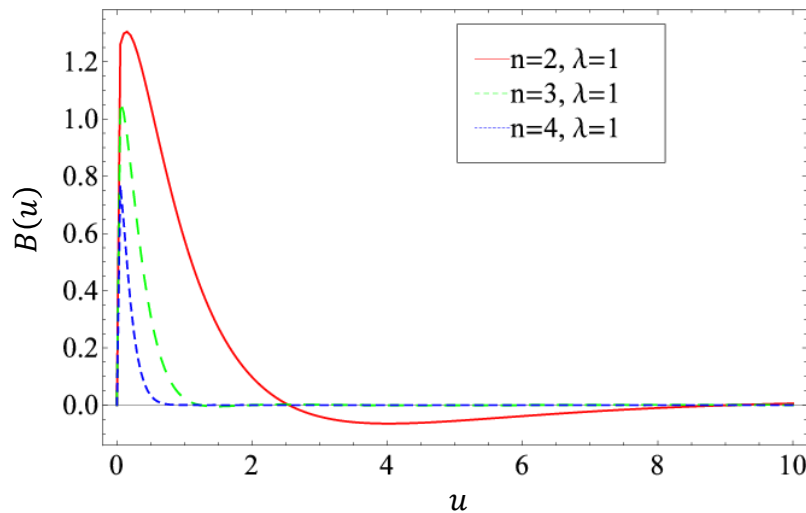
- The form of the solution (written again)

$$\delta\phi_{\text{out}} = -\frac{\beta\rho_c R_c^2}{M_{\text{Pl}}} \epsilon_{\text{th}} H R_c [g_\alpha(\mathcal{H}r) + \epsilon A(\eta, r)]$$

$$A(\eta, r) \equiv B(u), \quad u \equiv \mathcal{H}r = Har$$

$$\epsilon \equiv 1 + w$$

- Plots of $B(u)$ for various parameters of Starobinsky's model



$\lesssim O(1)$ for all parameters

➤ Summary

- The effective EoS parameter of dark energy w deviates from -1 in $f(R)$ gravity.
- In the previous work, the thin-shell solution was naively used to a cosmological situation and it was concluded that w must be extremely close to -1 .
- For models with $m_b \sim \mathcal{O}(H)$, the constraint given in the previous work does not apply.
- We took time derivatives into consideration and showed that there exists a scalar field solution even if w deviates appreciably from -1 .
→ Models with $|1 + w| \sim \mathcal{O}(0.1)$ cannot be excluded by the fifth-force constraint.