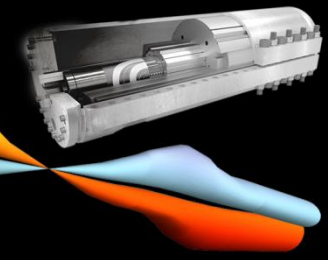




**A-M Valente-Feliciano**



*SOTTO L'ALTO PATRONATO DEL PRESIDENTE DELLA REPUBBLICA  
UNDER THE HIGH PATRONAGE OF THE PRESIDENT OF THE ITALIAN REPUBLIC*

# Beyond bulk Nb

Thomas Jefferson National Accelerator Facility is managed by Jefferson Science Associates, LLC, for the U.S. Department of Energy's Office of Science



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T. Junginger

Prof. A. Lukaszew & Group



# Beyond bulk Nb

## Bulk-like Nb film

high  $\kappa$  film  
on Cu, Al  
substrate

- ❑ Minimize  $R_{res}$ , maximize  $Q$   
**critical for CW RF**
- ❑ Potential major system simplifications
- ❑ Highest level of quality assurance and reliable performance.
- ❑ Use of substrates with higher thermal conductivity (Cu, Al)

## Higher- $T_c$ Materials

Thick film  
 $Nb_3Sn$

...  
Nb, Cu  
substrate

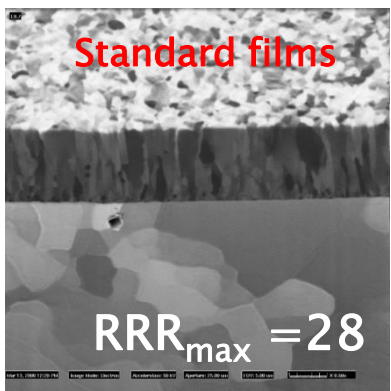
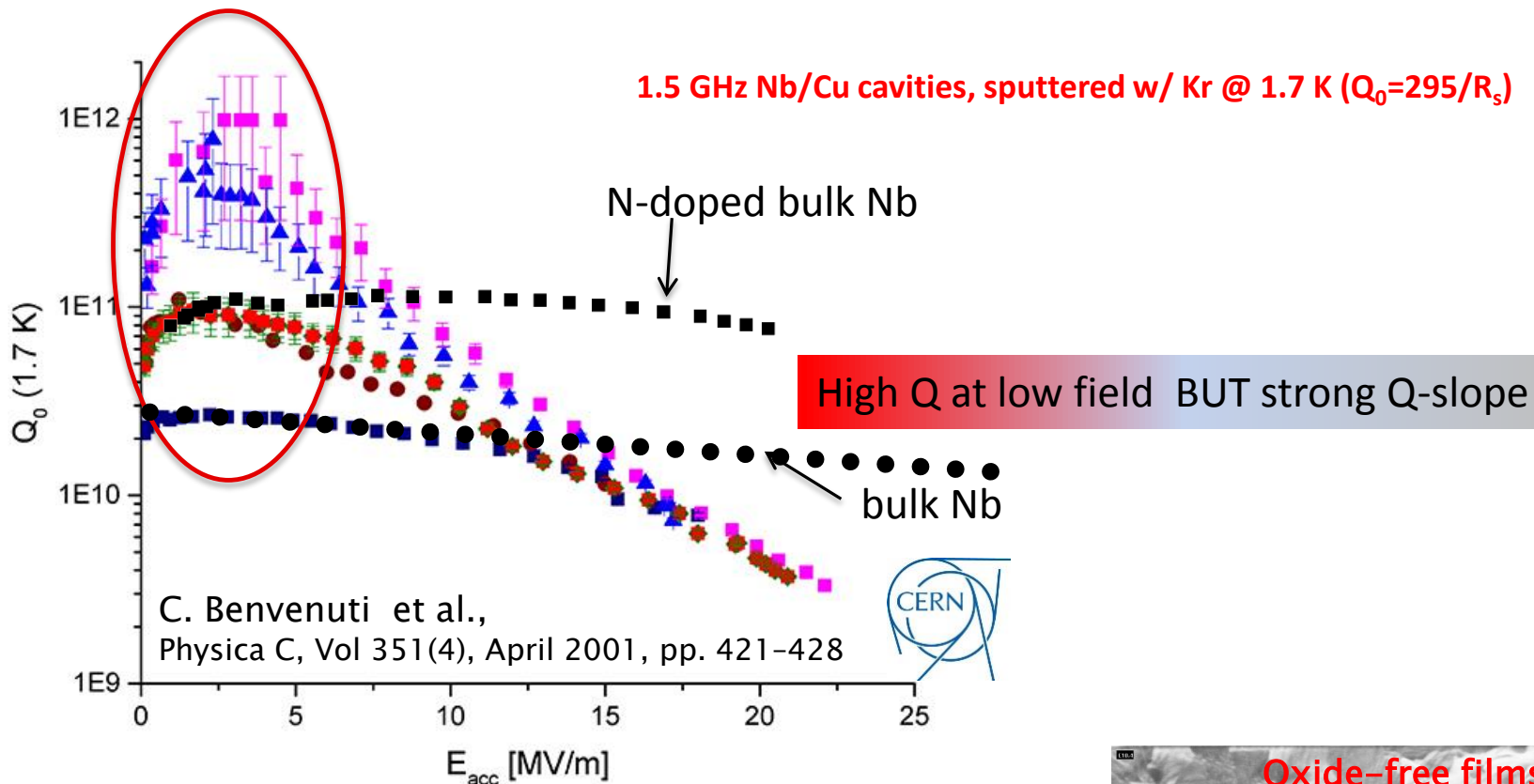
SIS structures

on Nb  
(bulk, thick film)  
substrate

- ❑ function at **higher temperatures** or **higher fields**
- ❑ Delay vortex entry in multilayer structures

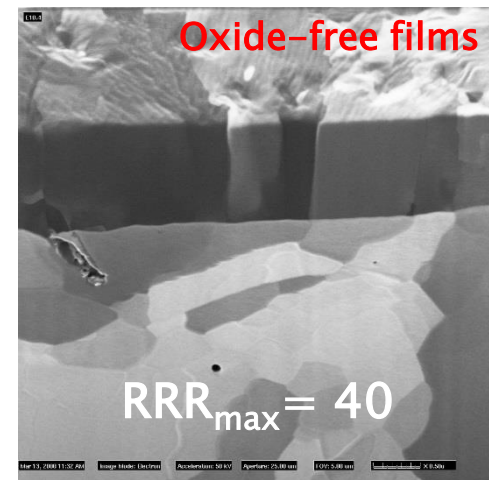
**Accessible almost only via film route: deposition, synthetization, diffusion**

# Nb Thin Films for SRF - State of the Art



Columnar grains,  
size ~ 100 nm  
In plane diffraction  
pattern: powder  
diagram  
(110) fiber texture  $\perp$   
substrate plane

Equi-axed grains,  
size ~ 1-5  $\mu$ m  
In plane diffraction  
pattern: zone axis  
[110]  
Heteroepitaxy  
Nb (110) // Cu(010)  
, Nb (110)  
// Cu(111), Nb (100)  
// Cu(110)



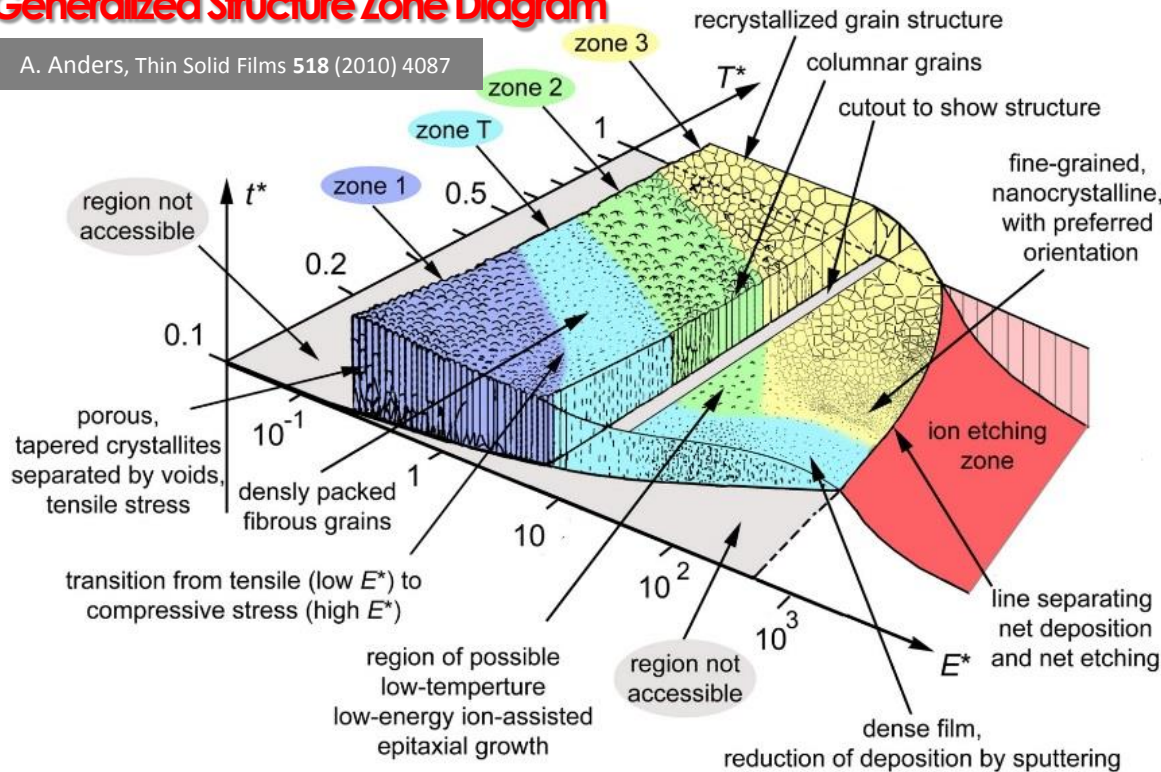
Courtesy: P. Jacob - EMPA

# Energetic Condensation

Condensing (film-forming) species : hyper-thermal & low energies (> 10 eV).

## Generalized Structure Zone Diagram

A. Anders, Thin Solid Films 518 (2010) 4087



*Additional energy provided by fast particles arriving at a surface*  
 ⇒ number of surface & sub-surface processes  
 ⇒ changes in the film growth process:

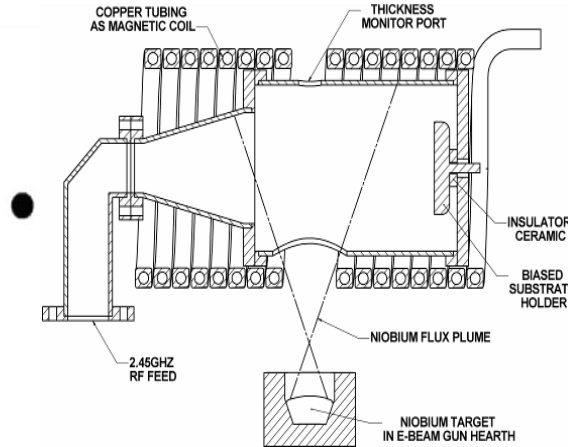
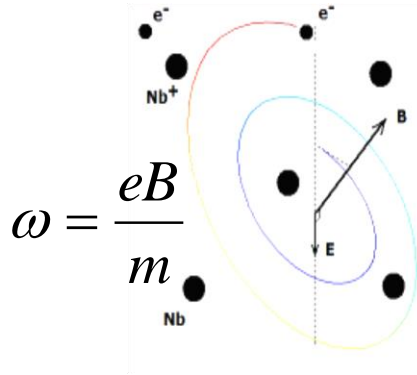
- residual gases desorbed from the substrate surface
- chemical bonds may be broken and defects created thus affecting nucleation processes & film adhesion
- enhanced mobility of surface atoms
- stopping of arriving ions under the surface

derived from Thornton's diagram for sputtering (1974)

*Possibility of controlling the film properties*

- Morphology & microstructure
- Stress
- Density of the film
- Film composition
- Crystal orientation may be controlled to give the possibility of low-temperature epitaxy

# Energetic condensation with ECR

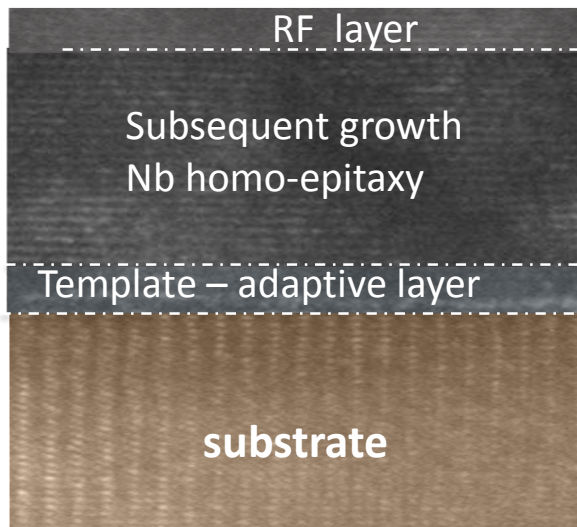


- No working gas
- Ions produced in vacuum**
- Singly charged ions **64eV**
- Controllable deposition energy**  
with **Bias voltage**
- Excellent bonding
- No macro particles
- Good conformality

**Generation of plasma 3 essential components:**

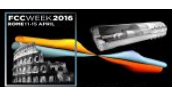
Neutral Nb vapor, RF power (@ 2.45GHz), Static  $B \perp E_{RF}$  with ECR condition

## Engineering for optimum RF performance



**3 sequential phases for film growth**

- ❑ Film nucleation on the substrate (Nb,  $Al_2O_3$ , Cu; single crystal, polycrystalline, amorphous)
- ❑ Growth of an appropriate template for subsequent deposition
- ❑ Deposition of the final surface optimized for minimum defect density.

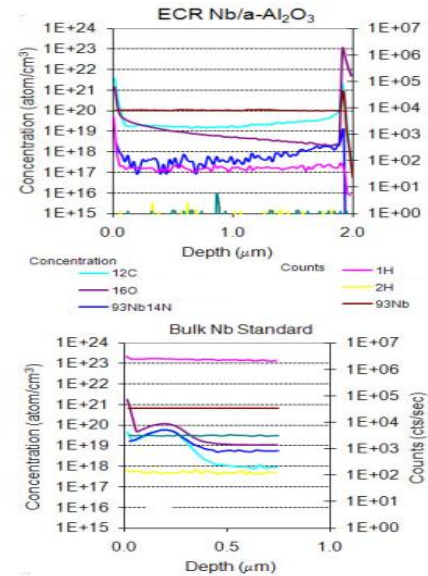
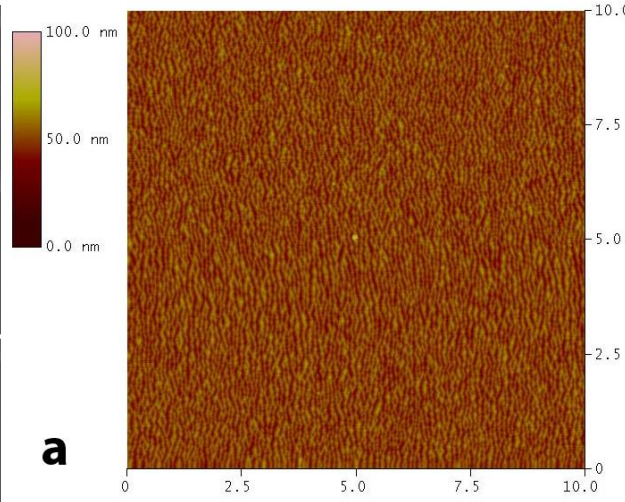
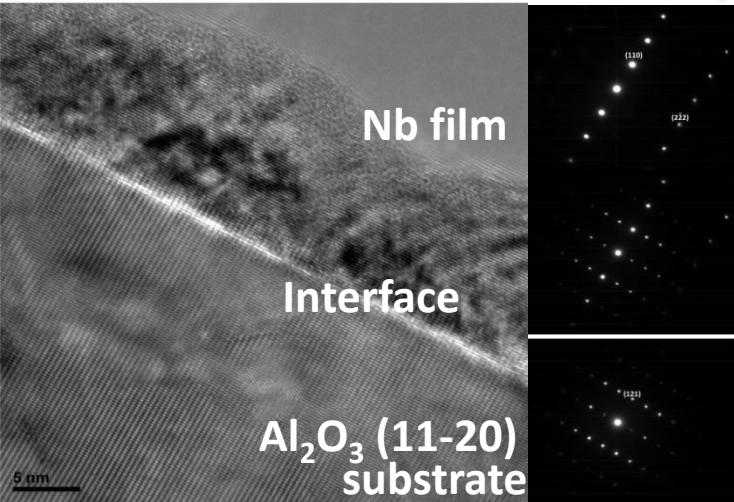


# ECR Nb films on ideal substrates

Crystalline Al<sub>2</sub>O<sub>3</sub> (11-20) substrate

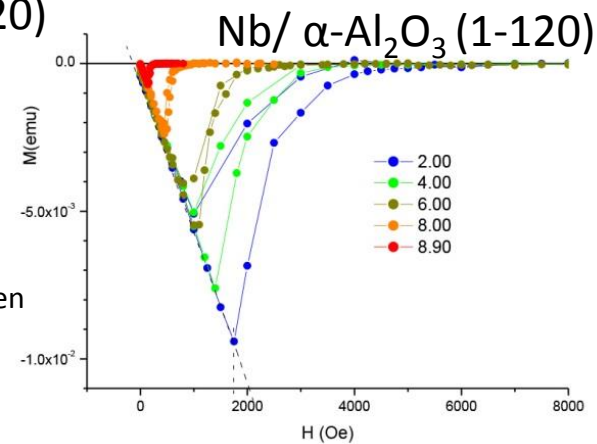
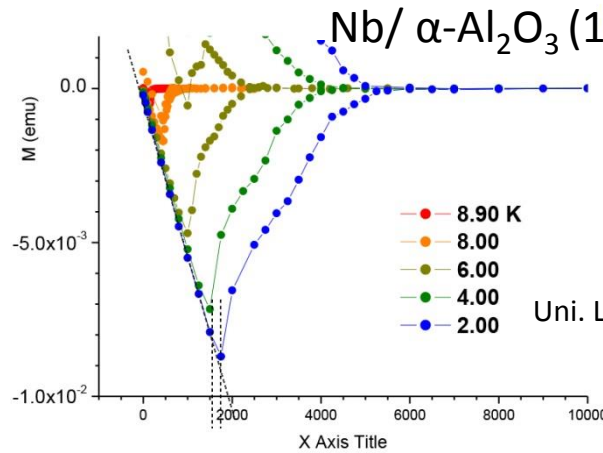
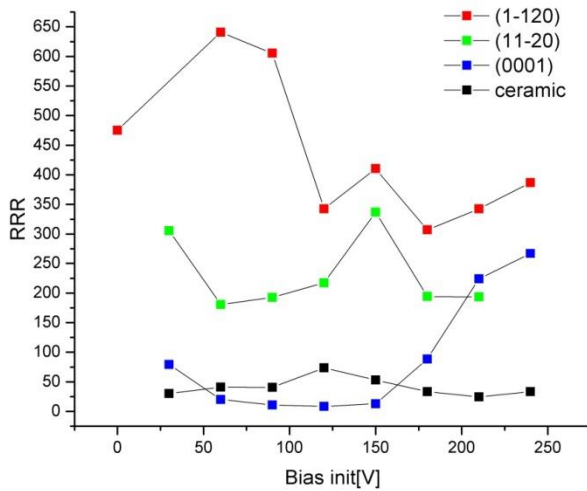


Heteroepitaxy with continuously crystalline interface



**dual energy and thick films (interrupted growth)**

Bake @ 500 °C, coating @ 360 °C



Nb (100)/(1-120) Al<sub>2</sub>O<sub>3</sub> always higher RRR and bulk-like T<sub>c</sub> than Nb (110) & (111)

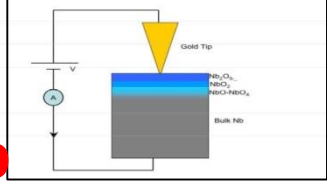
RRR = 489  
H<sub>fp</sub> = 155 mT

RRR = 725  
H<sub>fp</sub> = 175 mT (~ H<sub>c1</sub><sup>Nb</sup>)

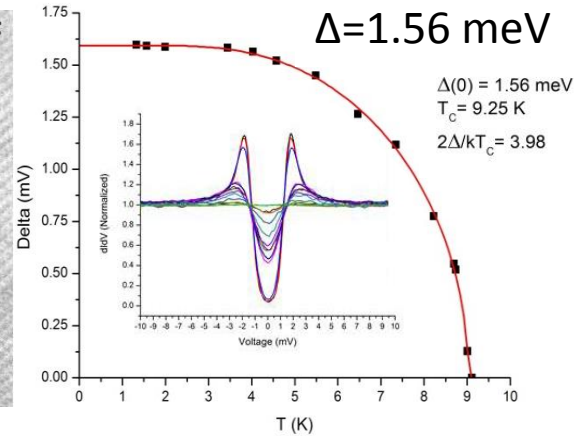
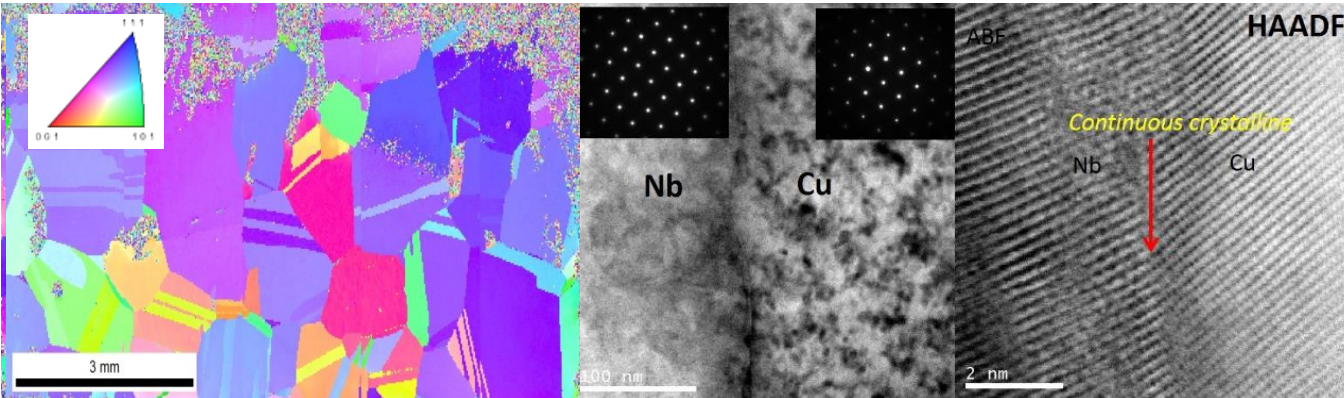


# ECR Nb films on Cu substrate

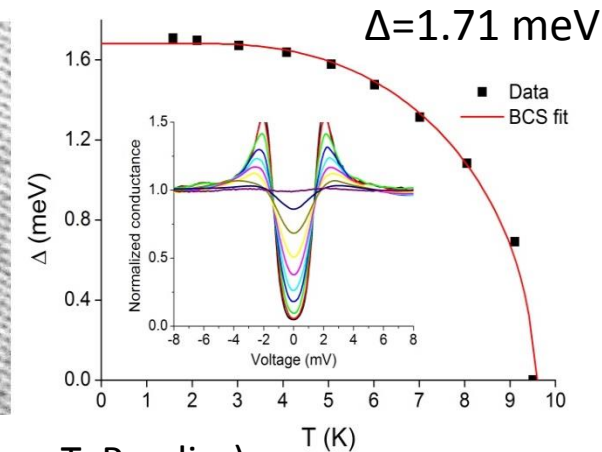
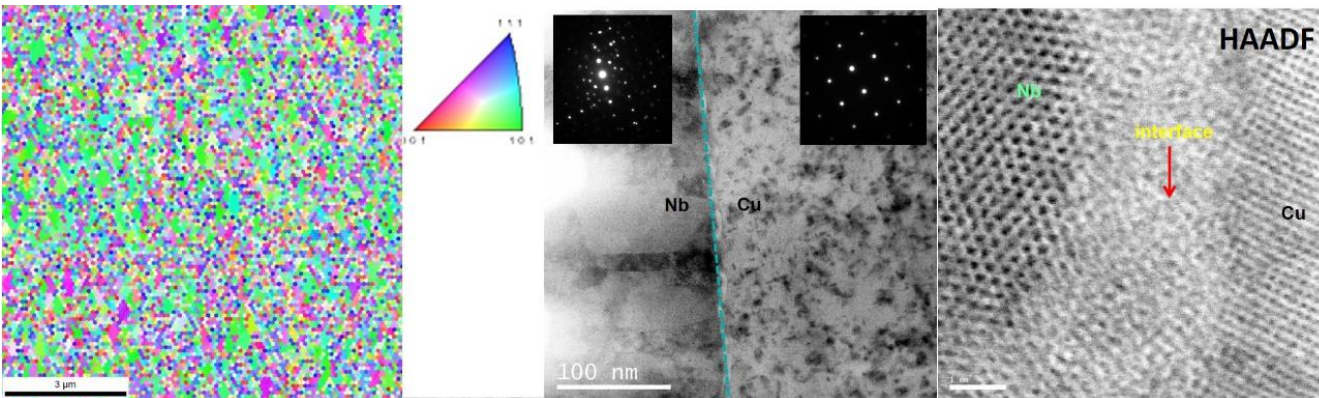
## Structure, interface and superconducting gap



Nb on crystalline Cu substrate (360 °C) Continuous crystalline interface

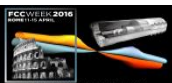


Nb on Cu oxide (200 °C) Amorphous interface



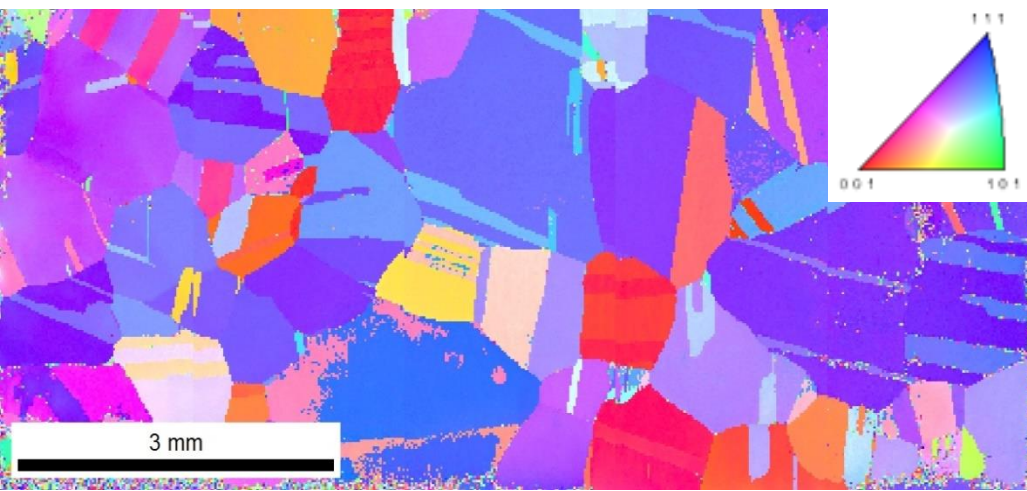
Gap measurements performed by PCT (point contact tunneling spectroscopy- T. Proslier)

Superconducting gap (1.56-1.62meV) similar to bulk Nb ( $\Delta_{\text{Nb bulk}} = 1.55\text{meV}$  measured on the same setup) for hetero-epitaxial ECR Nb films on polycrystalline Cu.

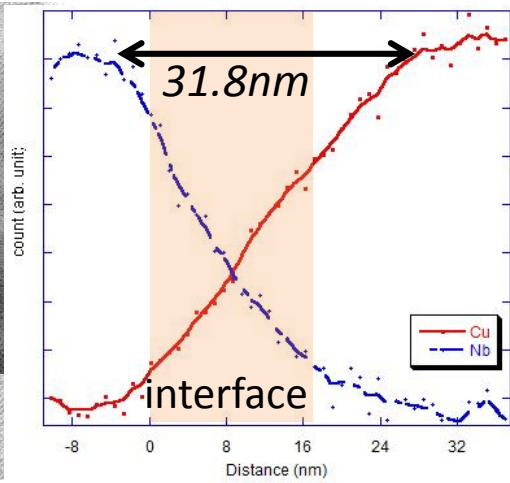
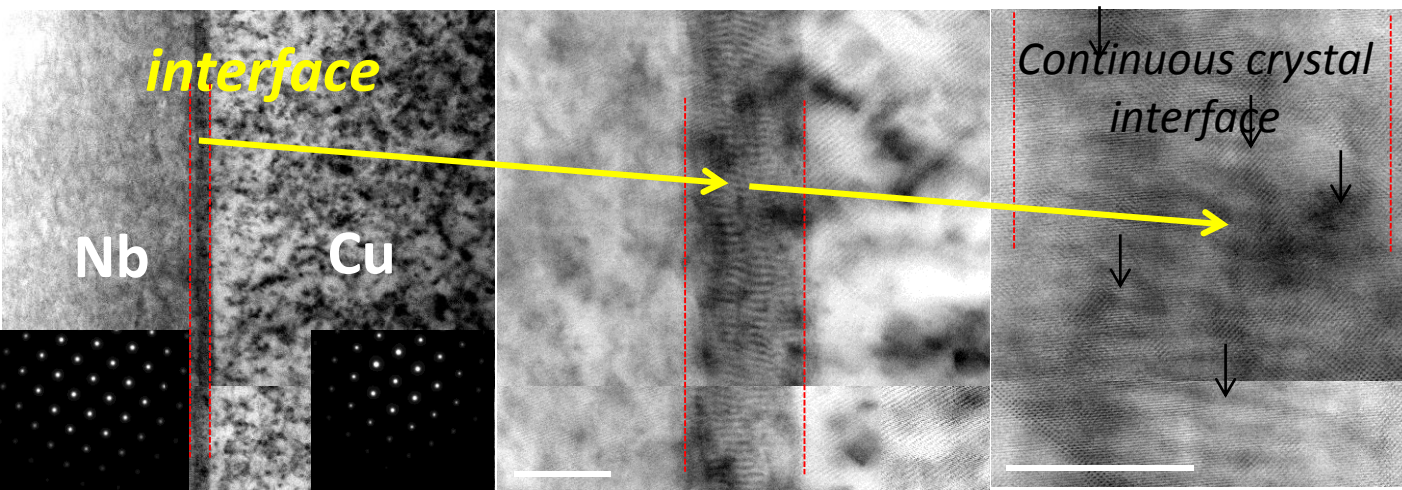
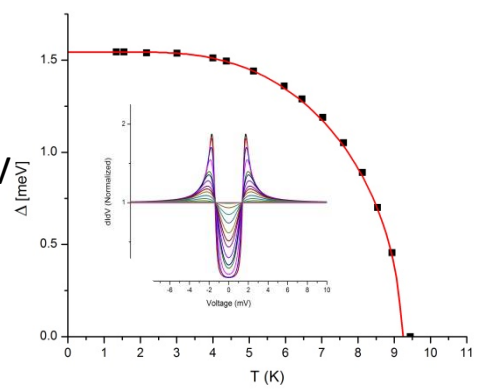




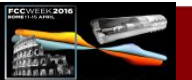
# Hetero-epitaxy, High $T_{\text{coating}}$



$T_{\text{bake}} = 500 \text{ }^\circ\text{C}$   
 $T_{\text{coating}} = 360 \text{ }^\circ\text{C}$   
 $E_{\text{Nb ions}} = 184 \text{ eV}/64 \text{ eV}$   
 Thickness =  $4.5 \text{ } \mu\text{m}$   
 $\text{RRR} = 305$   
 $T_c = 9.37 \pm 0.12 \text{ K}$   
 $\Delta = 1.53 \text{ meV}$



**EELS plot for Cu/Nb signal across interface**  
 Interface thickness ( $e^{-1}$  of highest density)  
 Nb:  $12.5 \text{ nm}$   
 Cu:  $20.1 \text{ nm}$

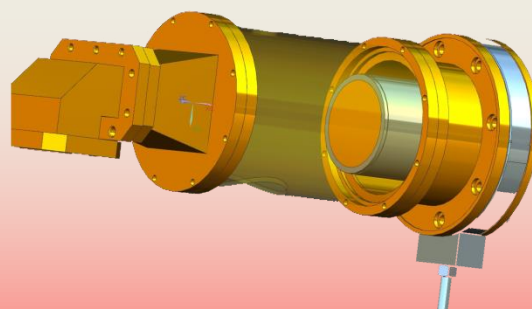
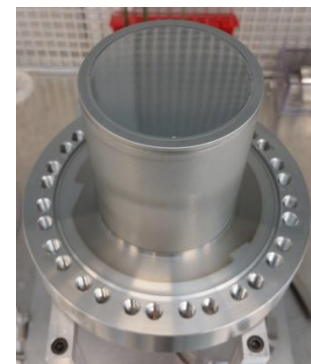
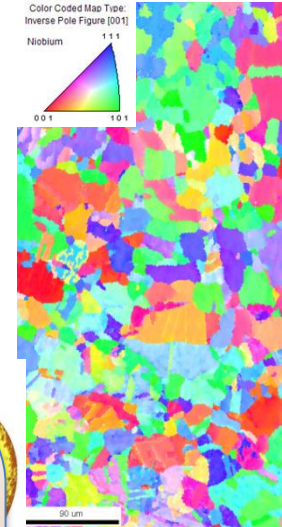


# ECR Nb/Cu– Surface resistance

Hetero-epitaxial film Nb on OFHC Cu, 360 °C bake & coating  
 184 eV for nucleation/early growth + 64 eV for subsequent growth  
 $T_c = 9.36 \pm 0.12$  K

$RRR = 179$  (Nb/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (11-20), witness sample)

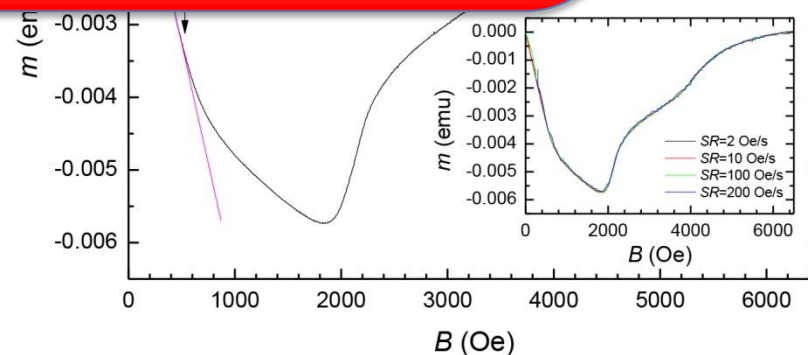
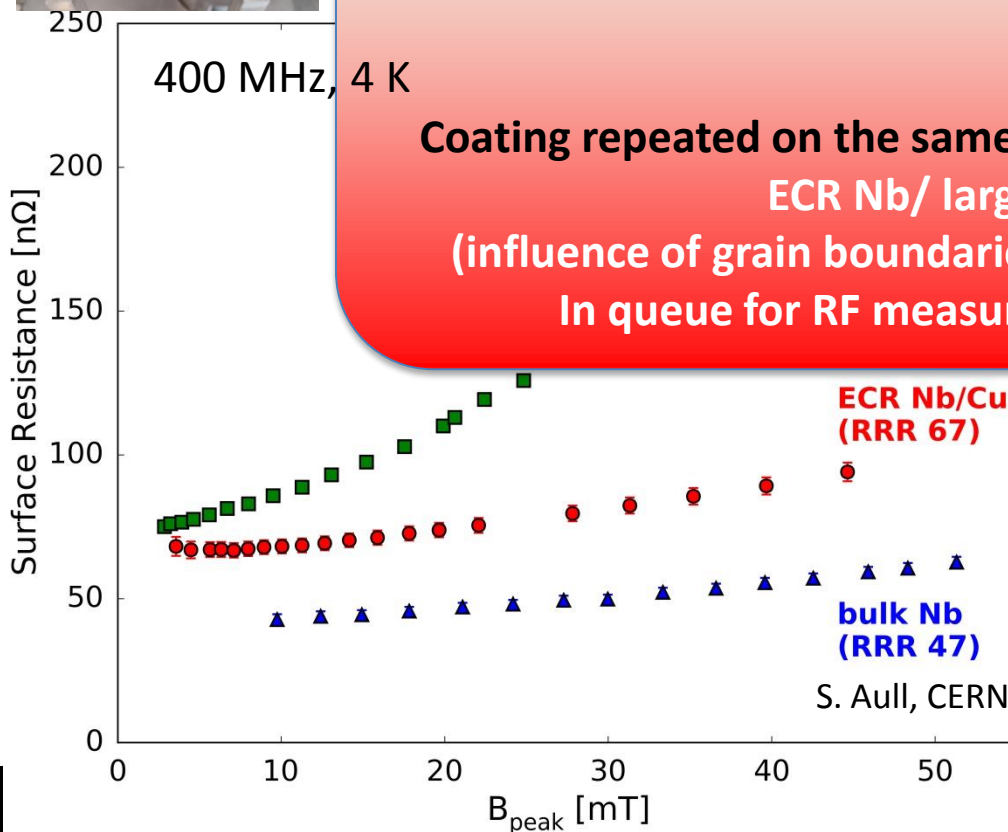
EBSD IPF map and XRD pole figure show very good crystallinity and grain sizes in the range of the typical Cu substrate



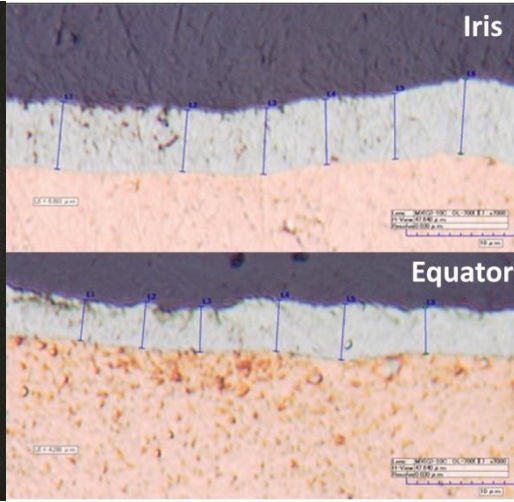
RRR

$67 \pm 7$

Coating repeated on the same substrate, same conditions  
 ECR Nb/ large grain Cu  
 (influence of grain boundaries and Cu substrate strain)  
 In queue for RF measurement in QPR cavity



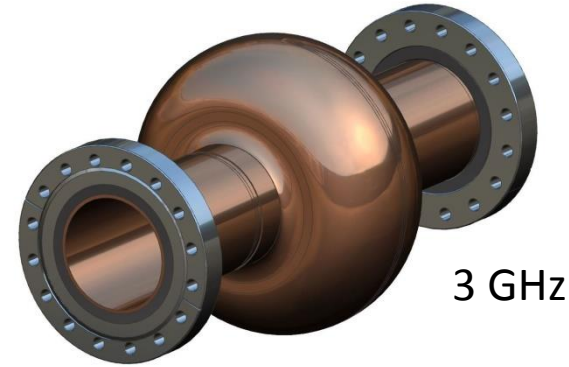
# Deposition on cavities



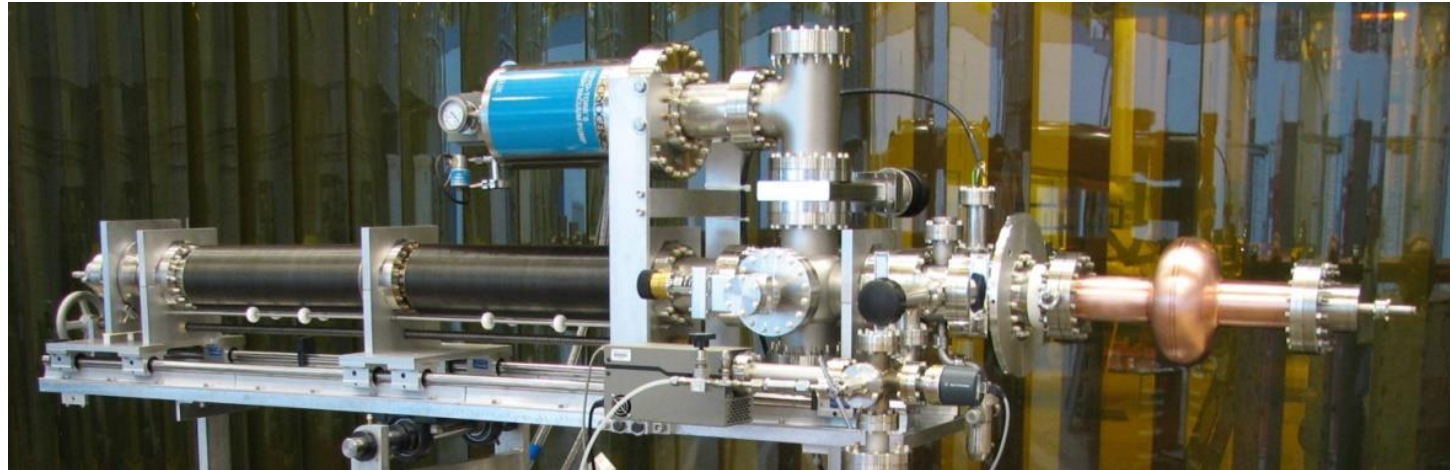
## Conformality of the ECR process:

Film thickness along a 3GHz half-cell profile varies from 4 $\mu$ m (equator) to 6 $\mu$ m (iris)

Note: very rough substrate, only grossly mechanically polished



## HiPIMS cylindrical coating system for single cell commissioned



# Beyond Nb: SIS Multilayers

Taking advantage of the high  $-T_c$  superconductors with much higher  $H_c$  without being penalized by their lower  $H_{c1}$ ...

Alex Gurevich, *Appl. Phys. Lett.* 88, 012511 (2006)

Alex Gurevich, *AIP ADVANCES* 5, 017112 (2015)

T. Kubo, *Applied Physics Letters* 104, 032603 (2014)

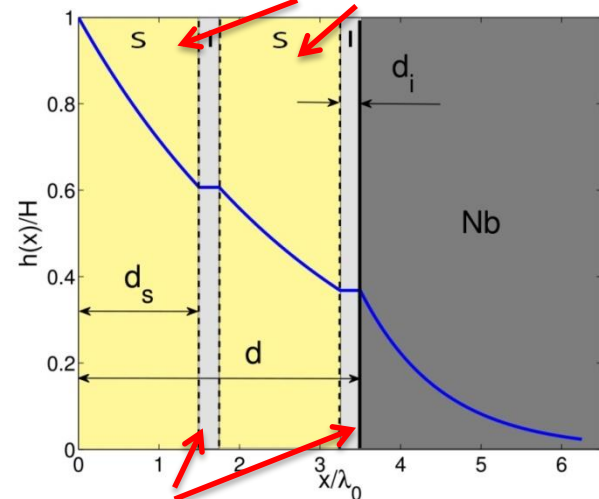
**Multilayer coating of SC cavities:  
alternating SC and insulating layers with  $d < \lambda$**

**Higher  $T_c$  thin layers provide magnetic screening of the Nb SC cavity (bulk or thick film) without vortex penetration**

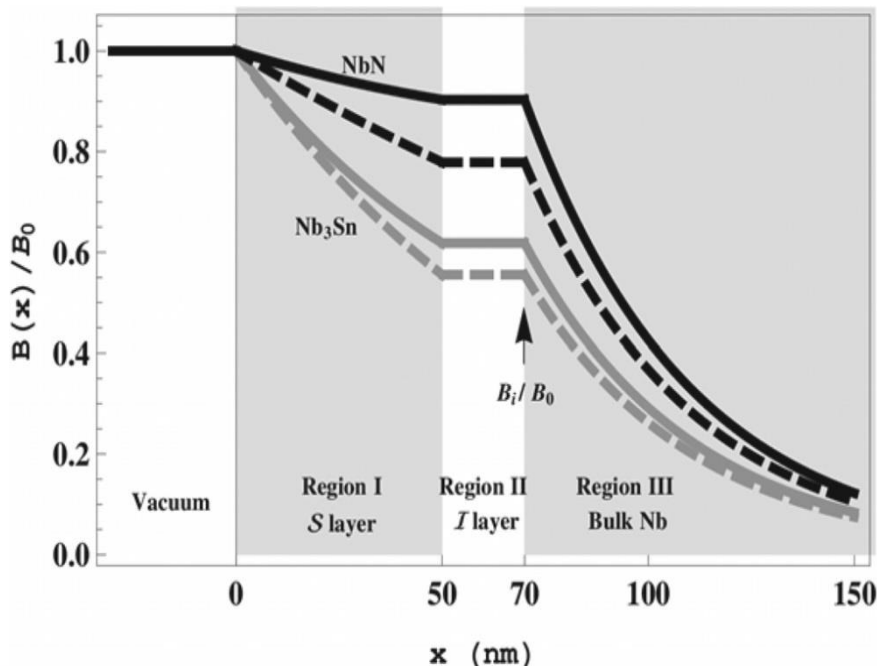
- Strong increase of  $H_{fp}$  in films allows using RF fields  $> H_c$  of Nb, but lower than those at which flux penetration in grain boundaries may become a problem => no transition, no vortex in the layer
- High  $H_{fp}$ , applied field is damped by each layer
- Insulating layer prevents Josephson coupling between layers
- Applied field, i.e. accelerating field can be increased without high field dissipation
- SC layers with higher  $T_c$ ,  $\Delta$  (Nb<sub>3</sub>Sn, NbN, etc.) => Strong reduction of  $R_{BCS}$  (ie high  $Q_0$ )

**Possibility to move operation from 2K to 4.2K**

Higher- $T_c$  SC: NbN, Nb<sub>3</sub>Sn, etc



Insulating layers

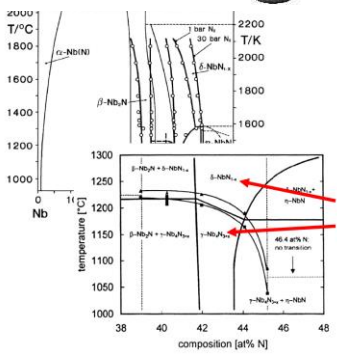
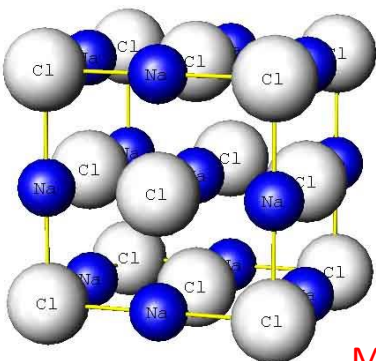


# APPROACH

## Superconductor

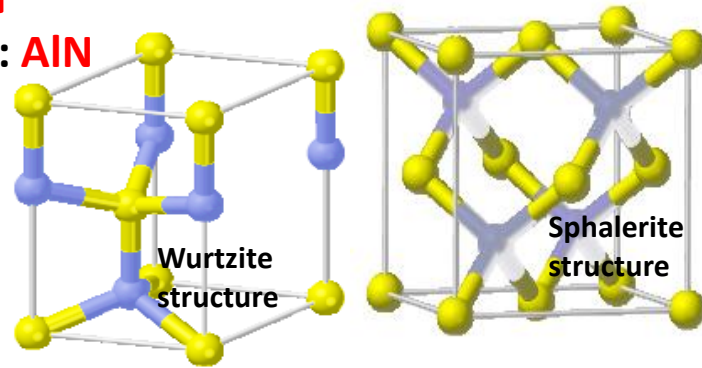
Ternary Nitride  $(\text{Nb}_{1-x}\text{Ti}_x)\text{N}$   
 $T_c = 17.3 \text{ K}$ ,  $a = 4.341 \text{ \AA}$  for  $\delta$ -phase  
 Presence of Ti found to reduce significantly the resistivity

More metallic nature and better surface properties than NbN should result in better RF performance



$\delta$ -NbN  $\rightarrow T_c \sim 15 - 17.3 \text{ K}$   
 $\gamma$ -NbN  $\rightarrow T_c \sim 12 - 15 \text{ K}$

## Insulator: AlN



- Grown with a wurtzite (hcp,  $a=3.11\text{\AA}$ ,  $c=4.98\text{\AA}$ ) or sphalerite (B1 cubic,  $a=4.08 \text{ \AA}$ ) structure.
- Found to enhance the properties ( $T_c$ ) for very thin NbN and NbTiN films .
- Large thermal conductivity (3.19W/cm.K at 300K, comparable with Cu, 4.01W/cm.K)

## CHALLENGES

- Develop good quality and uniform thin layers
- Sharp interfaces
- Growth of equally performing S/I and I/S layers

Base pressure range:  $10^{-10}$  Torr

- dc-Magnetron Sputtering (reactive mode)
- HiPIMS (Huettinger 2000 V, 3000 A)

**Good quality standalone NbTiN deposited by reactive DC magnetron sputtering.**

Bulk ( $2\mu\text{m}$ ) NbTiN films with a  $T_c = 17.3 \text{ K}$  and  $H_{c1} = 30 \text{ mT}$ .

Cubic  $\delta$ -phase and  $T_c > 16 \text{ K}$  for thicknesses  $> 30\text{-}50 \text{ nm}$  and coating temperatures of  $450 \text{ }^\circ\text{C}$  or higher.

**AlN dielectric films with good dielectric properties - n in the range of 1.98- 2.15.**

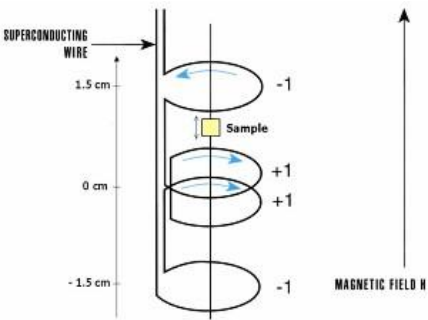
## Substrates:

MgO (ideal)  
 AlN ceramic (worst case)  
 Bulk Nb  
 ECR Nb films (real)

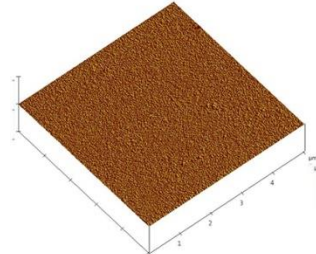
# NbTiN/AlN Films (SI) – Flux penetration

## SQUID Magnetometry

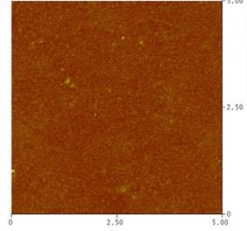
(Prof. A. Lukaszew group, College William & Mary)



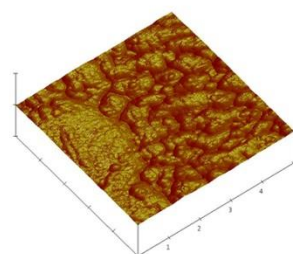
NbTiN/AlN/MgO (100)



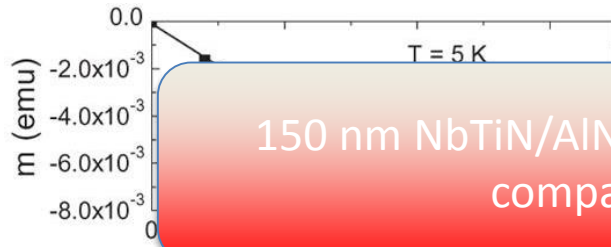
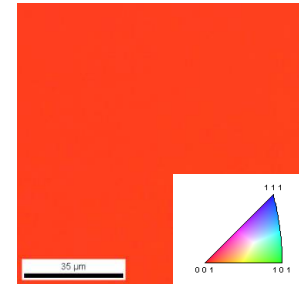
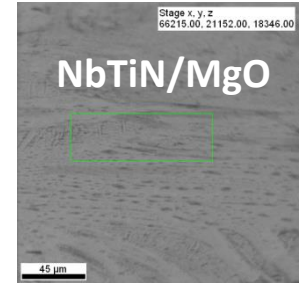
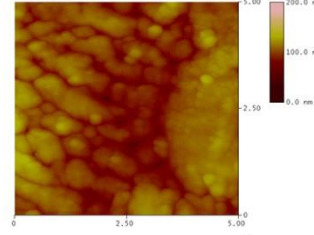
Rms=0.396 nm  
a = 4.3455 Å



NbTiN/AlN/AlN ceramic

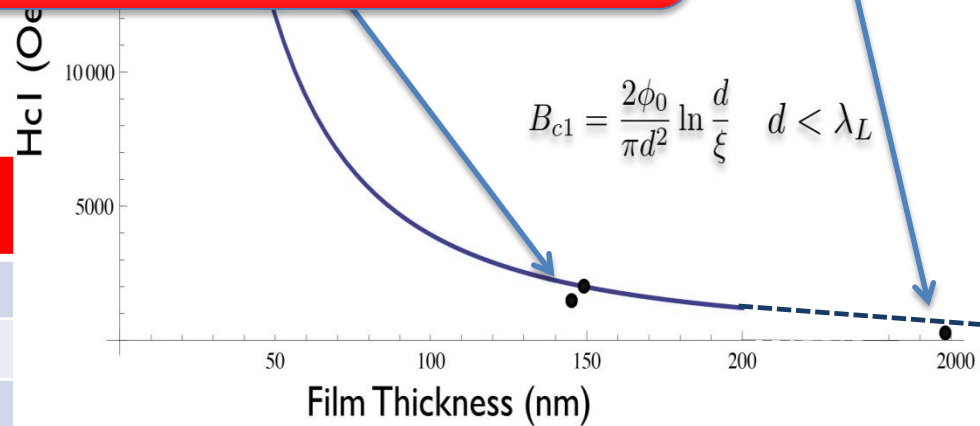


Rms=13.434 nm  
a = 4.3584 Å



150 nm NbTiN/AlN SI structures exhibit **H<sub>fp</sub> enhancement** compared to bulk-like NbTiN film

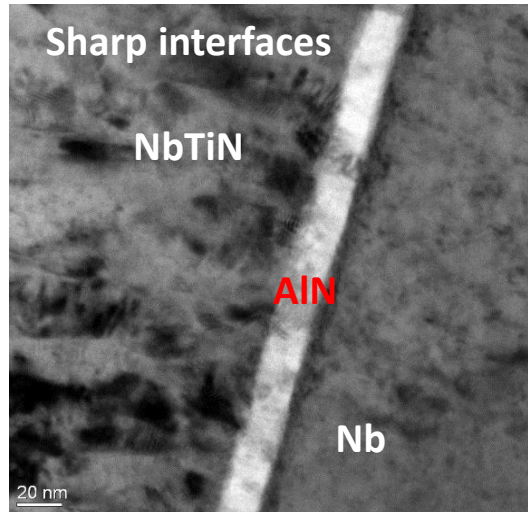
	Thickness [nm]	H <sub>c1</sub> [mT]	T <sub>c</sub> [K]
NbTiN/MgO	2000	30	17.3
NbTiN/AlN/AlN ceramic	145	135	14.8
NbTiN/AlN/MgO	148	<b>200</b>	16.7



# RF characterization of NbTiN/AlN/Nb structures

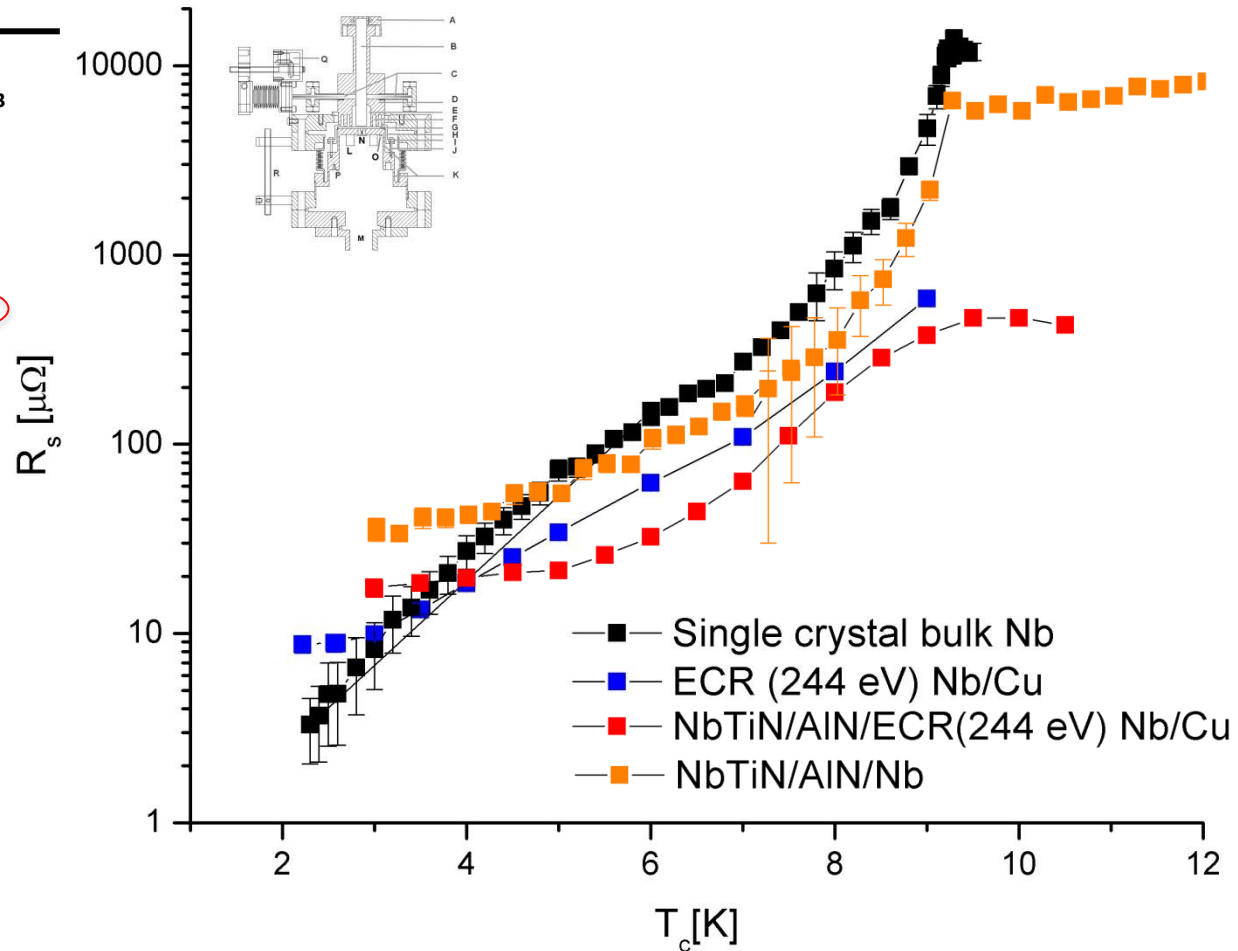
SIS structures coated on ECR Nb/Cu film: 24h-bake, coating and annealing for 4 h at 450°C.

	AlN	NbTiN
$N_2/Ar$	0.33	0.23
Total pressure [Torr]	$2 \times 10^{-3}$	$2 \times 10^{-3}$
Sputtering Power [W]	100	300
Deposition rate [nm/min]	~ 2.5	~ 18
Thickness [nm]	20	150
$T_c$ [K]	N/A	<b>16.9</b>



TEM cross-section (FIB cut) of NbTiN/AlN/Nb/Cu structure

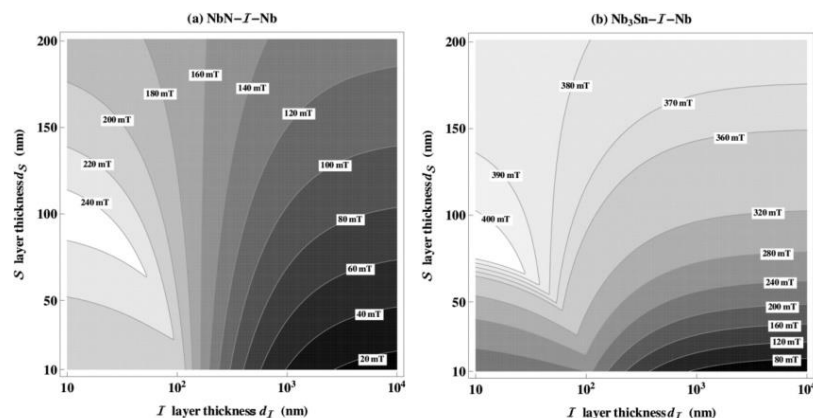
RF Measurement in 7.5 GHz sapphire-loaded  $TE_{011}$  cavity



**Lower BCS resistance beyond 4 K for SIS coated surfaces compared to standalone ECR film & bulk SC Nb.**

# NbTiN based SIS Optimization

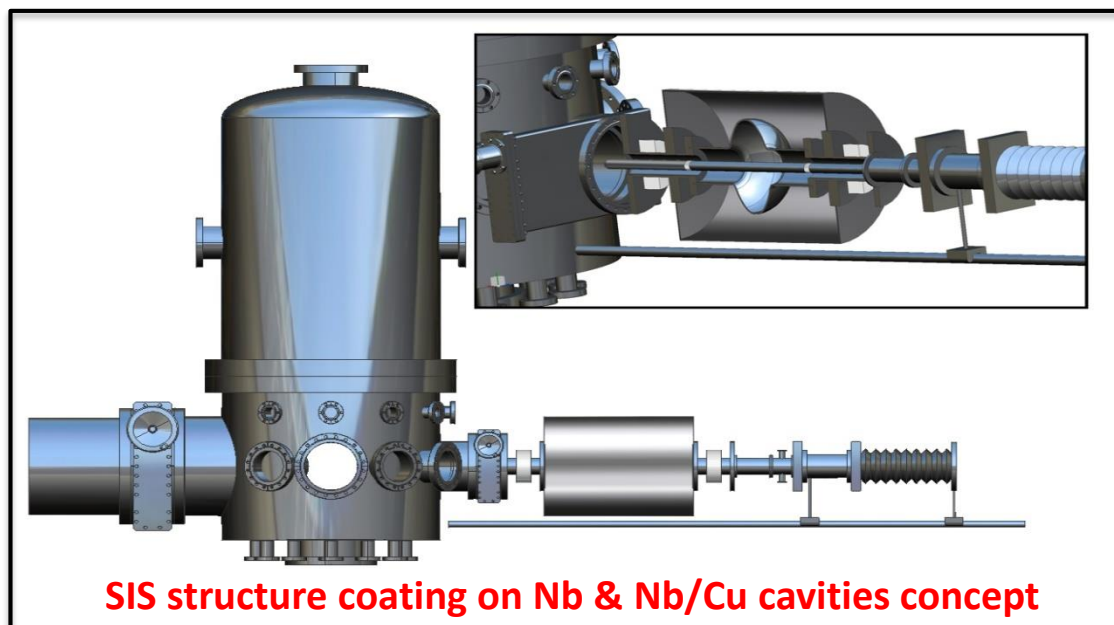
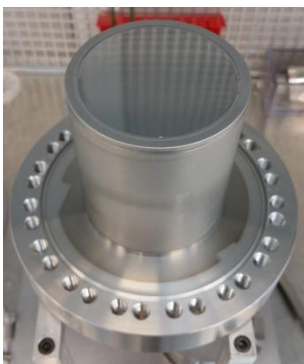
- ❑ Thickness series to **determine/verify optimum layer thicknesses** with  $H_{fp}$  measurements
- ❑ **Implementing energetic condensation via HiPIMS (High power impulse magnetron sputtering)** to lower the coating temperature while maintaining a good quality  $\delta$ -phase for NbTiN.



*HiPIMS NbTiN films with reasonable results ( $T_c \sim 16.5$ - $16.9$  K).*

T. Kubo, SRF 2015

- ❑ **RF measurement** for SIS NbTiN/AlN structures on previously characterized bulk Nb QPR samples.

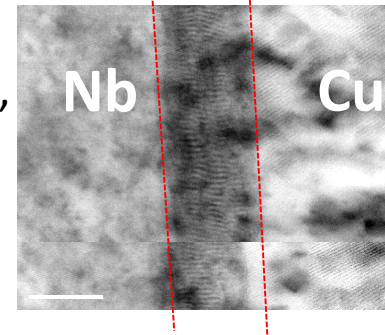




# Conclusions

## □ Nb films deposited by energetic condensation (ECR)

- ✓ Know how to coat high quality Nb films and tune properties: crystallinity, impurity content, RRR, superconducting gap
- ✓ RF characterization (QPR) of Nb/Cu surfaces deposited with various energetic condensation techniques – under way
- ✓ Tailor the interface to optimize structure & manage thermal impedance @ interface for maximum SRF performance (ion stitching, interlayer...)
- ✓ 3<sup>rd</sup> phase coating to study effect of top SRF surface doping/alloying
- ✓ Coating on 3 GHz and 1.5 GHz cavities



## □ NbTiN based SIS structures

- ✓ Good quality standalone NbTiN & AlN layers
- ✓ SIS NbTiN/AlN layers with a  $T_{c, NbTiN}$  between 16.6 and 16.9 K.
  - Growth conditions for SIS structures need to be a compromise between optimum conditions for standalone films and minimizing interaction between layers .
- ✓  $H_{fp}$  enhancement (SQUID magnetometry) observed for 150 nm NbTiN films. Further studies under way to determine /verify optimum layer thickness.
- ✓ RF characterization of NbTiN/AlN structures coated on Nb surfaces reveal a promise of delaying flux penetration and lower RF losses for SIS coated Nb surfaces, both bulk and thick film.

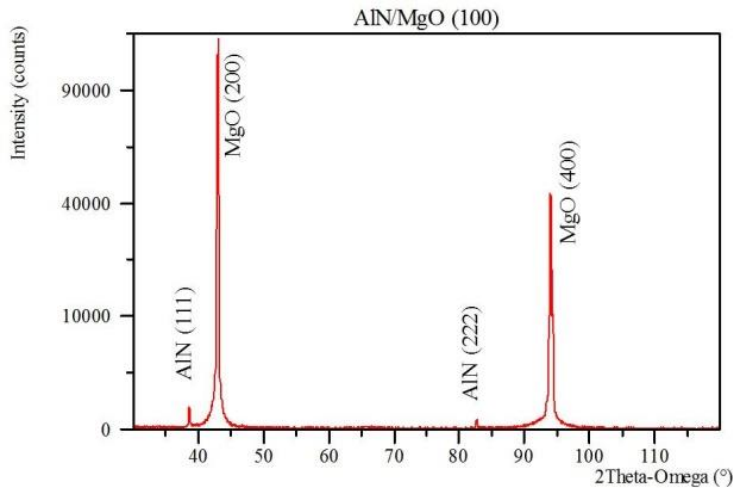
# Tailored Nb films via energetic condensation

- ❑ Tune thin film structure and quality with ion energy and substrate temperature on a variety of substrates (amorphous, polycrystalline and single crystal)
- ❑ Achieve film structures and properties only achievable at higher temperature with classic coating methods
- ❑ Tune RRR values from single digits to bulk Nb values → No intrinsic limitations
- ❑ Lower impurity (H) content than bulk Nb
- ❑ Good adhesion to the substrate (delamination threshold determined as function of ion energy and temperature)
- ❑ Grain boundaries not necessarily detrimental (if dense) to  $R_s$
- ❑ Tailoring interface with high energy and subsequent growth at energy minimizing defect creation can contribute to lower  $R_s$

		Substrate	RRR <sub>max</sub>
Insulating	Single crystal	a-Al <sub>2</sub> O <sub>3</sub>	488
		r-Al <sub>2</sub> O <sub>3</sub>	725
		c-Al <sub>2</sub> O <sub>3</sub>	247
	Polycrystalline amorphous	MgO (100)	188
		MgO (110)	424
		MgO (111)	270
		Al <sub>2</sub> O <sub>3</sub> ceramic	135
	AlN ceramic	110	
	Fused Silica	84	
Metallic	Single crystal	Cu (100)	181
		Cu (110)	275
		Cu (111)	245
	Polycrystalline	Cu fine grains	193
		Cu large grains	305

# AlN Films

## Structure

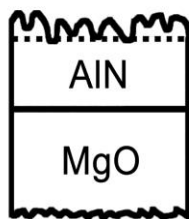


AlN films were coated by reactive sputtering with different parameters. They were found to become fully transparent for  $N_2/Ar$  ratios of  $\sim 33\%$ .

**Good quality AlN are readily produced at 600 and 450°C by dc-reactive magnetron sputtering.**

The films exhibit the cubic structure (single crystal) at 600 °C and the hexagonal structure (polycrystalline) at 450 °C .

## Dielectric Behavior



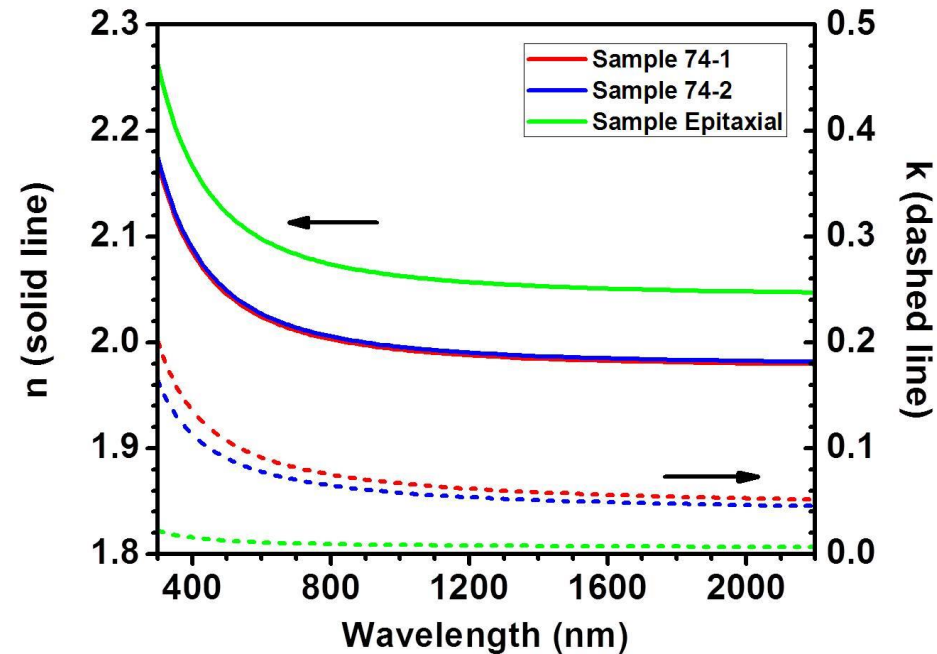
Roughness - EMA with 50% Void  
(XRR thickness used)

Film - Cauchy w/ Urbach Absorption  
(XRR thickness used)

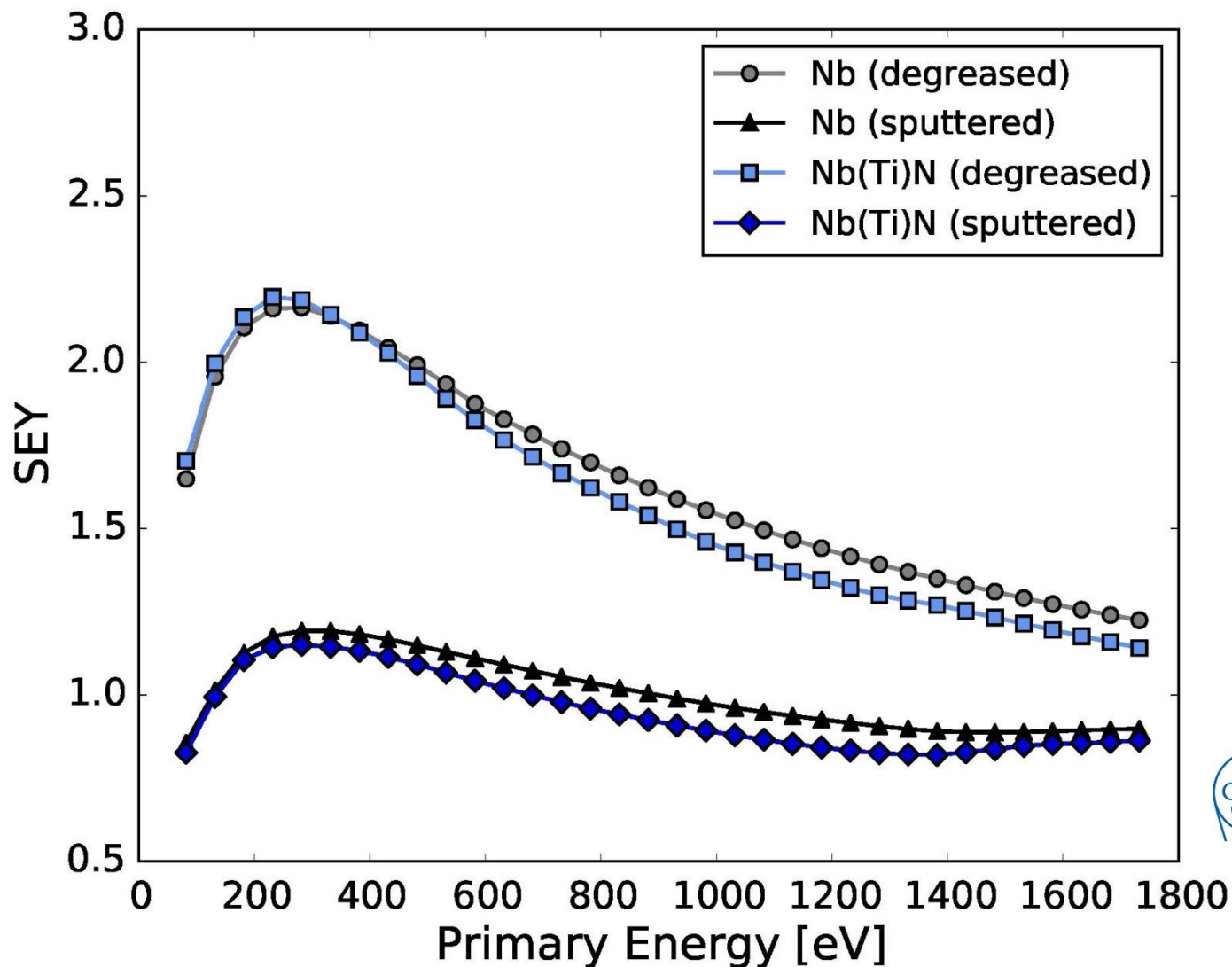
Substrate - Palik bulk optical  
constants; 0.5 mm

At 450 °C, 30 nm AlN films exhibit dielectric properties of polycrystalline AlN films

**n in the range of 1.98- 2.15**



# Secondary Electron Yield of NbTiN Films



Measurements at room temperature

Max. SEY =  $2.2 \pm 0.1$   
comparable to EP Nb

After sputtering away  
~ 3 nm,  
SEY down to 1.15

