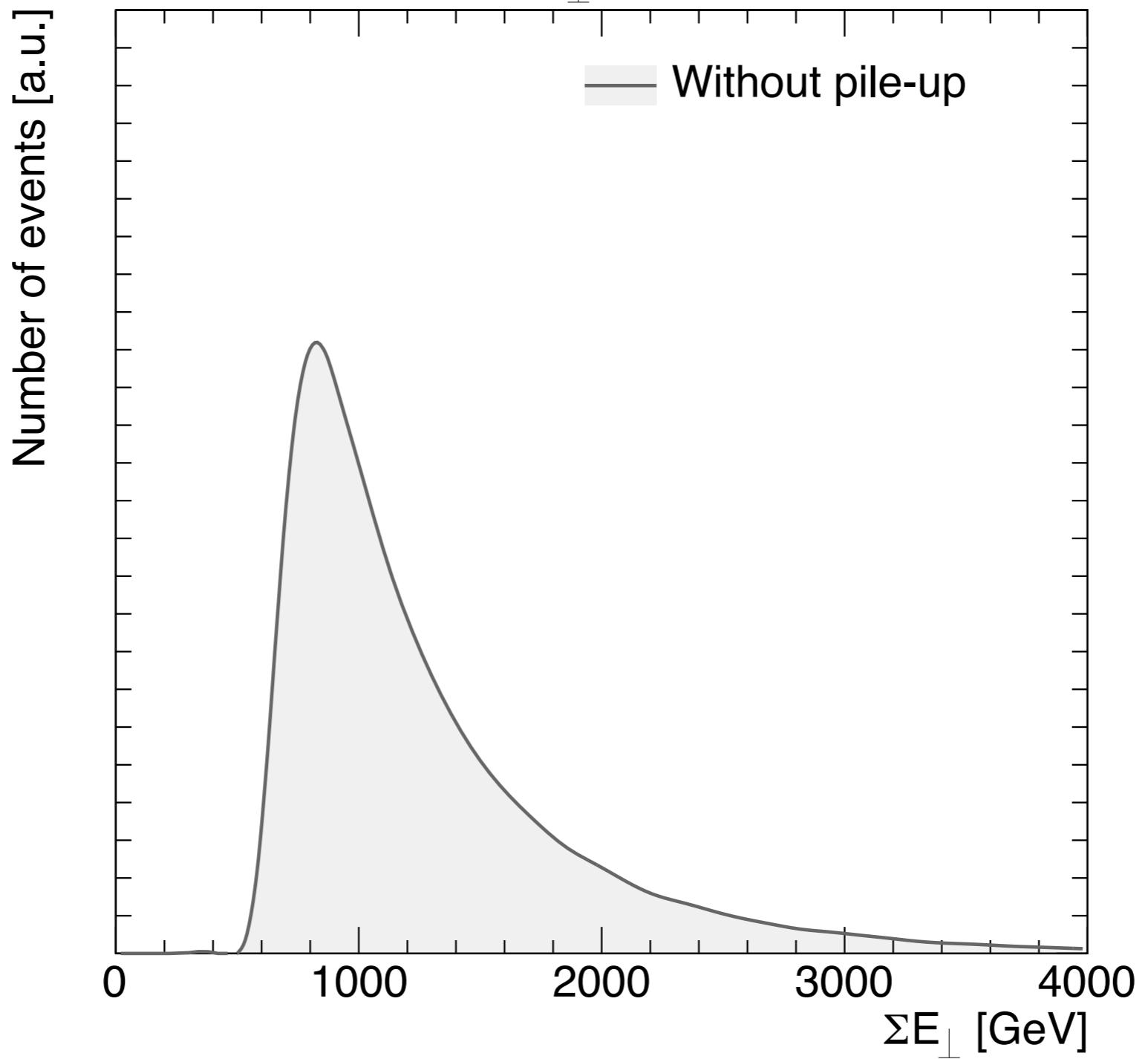
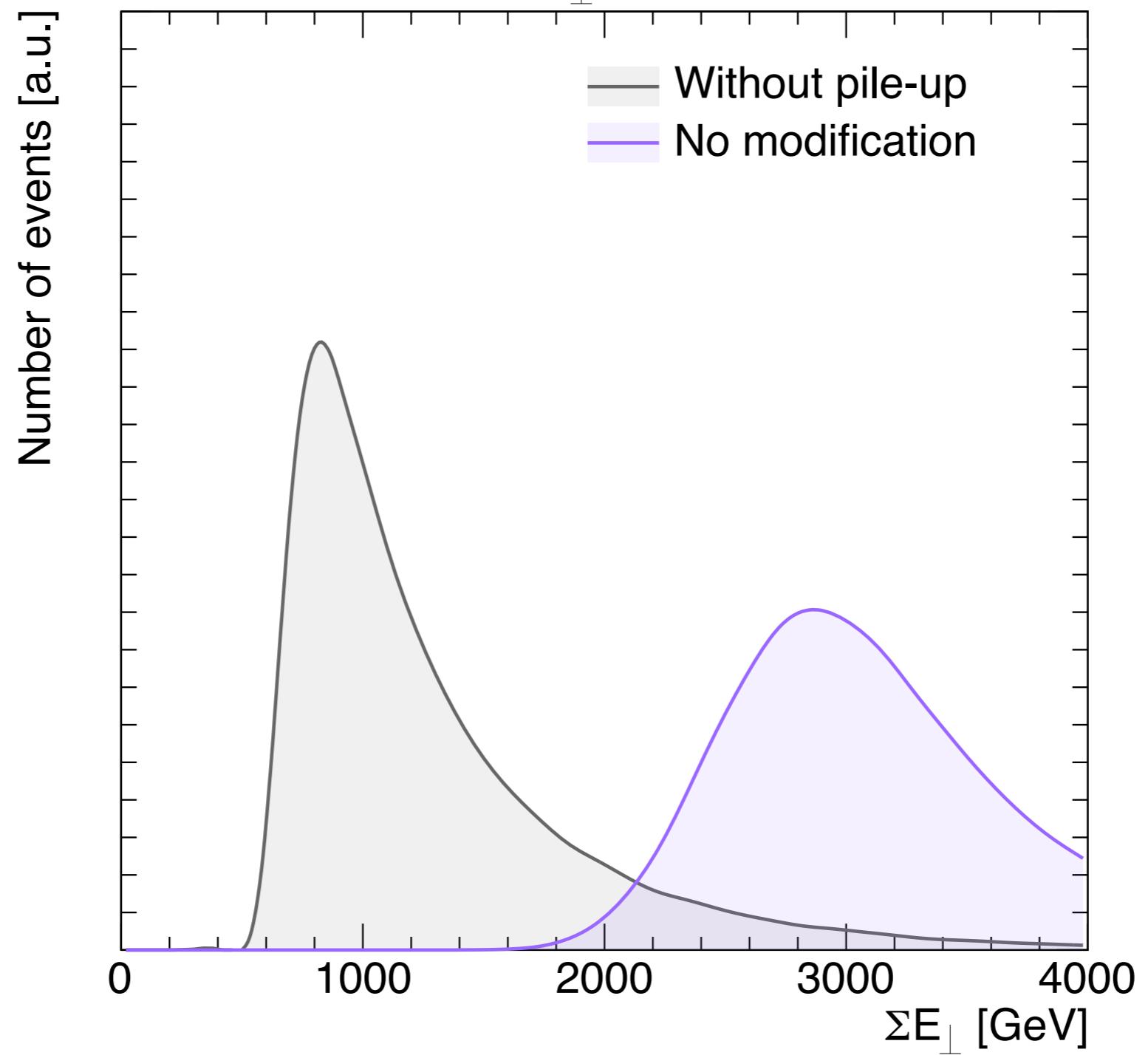




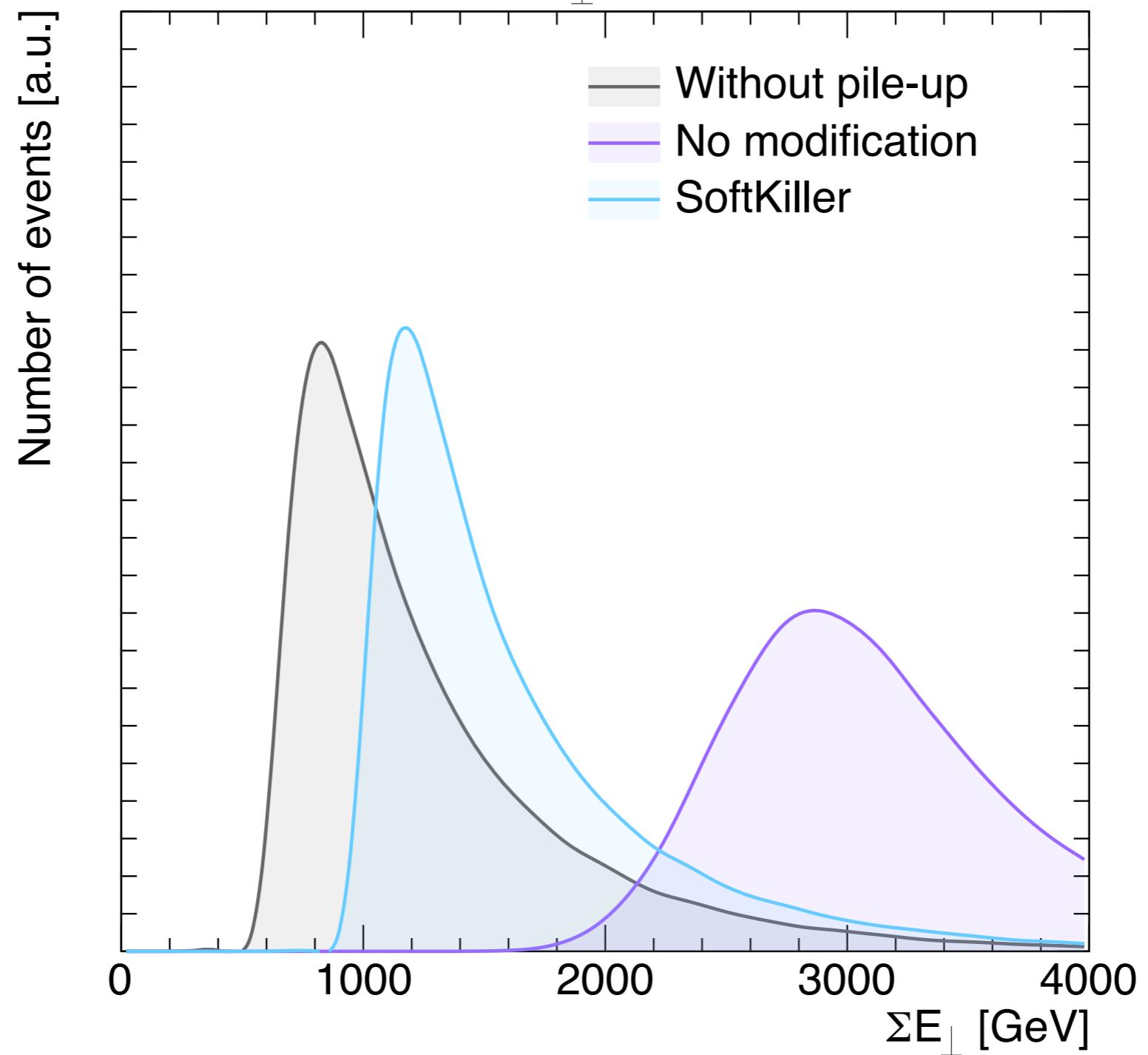
QCD  $2 \rightarrow 2$  multijets,  $\hat{p}_\perp > 280$  GeV



QCD  $2 \rightarrow 2$  multijets,  $\hat{p}_\perp > 280$  GeV |  $\langle \mu \rangle = 100$



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QCD  $2 \rightarrow 2$  multijets,  $\hat{p}_\perp > 280$  GeV |  $\langle \mu \rangle = 100$

Number of events [a.u.]

Haar wavelet  
 $128 \times 128$  grid  
 $|\eta| < 3.2$ ,  $|\eta_{\text{trk.}}| < 2.5$   
Pixel thresh. 0.75

Without pile-up  
No modification  
SoftKiller  
Wavelet onset



# Using wavelets for pile-up mitigation

James Monk · Troels Petersen · Andreas Søgaard

University of Copenhagen · University of Edinburgh

BOOST Conference · Zürich  
20 July 2016



# Outline

---

1. Wavelet fundamentals
  2. Missing- and sum  $E_T$  studies
  3. Boosted jet studies
  4. Summary and outlook
- *Bonus: Learning optimal bases*

# Wavelet fundamentals

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- Basis functions encoding both *frequency* and *position*
  - “Localised Fourier series”

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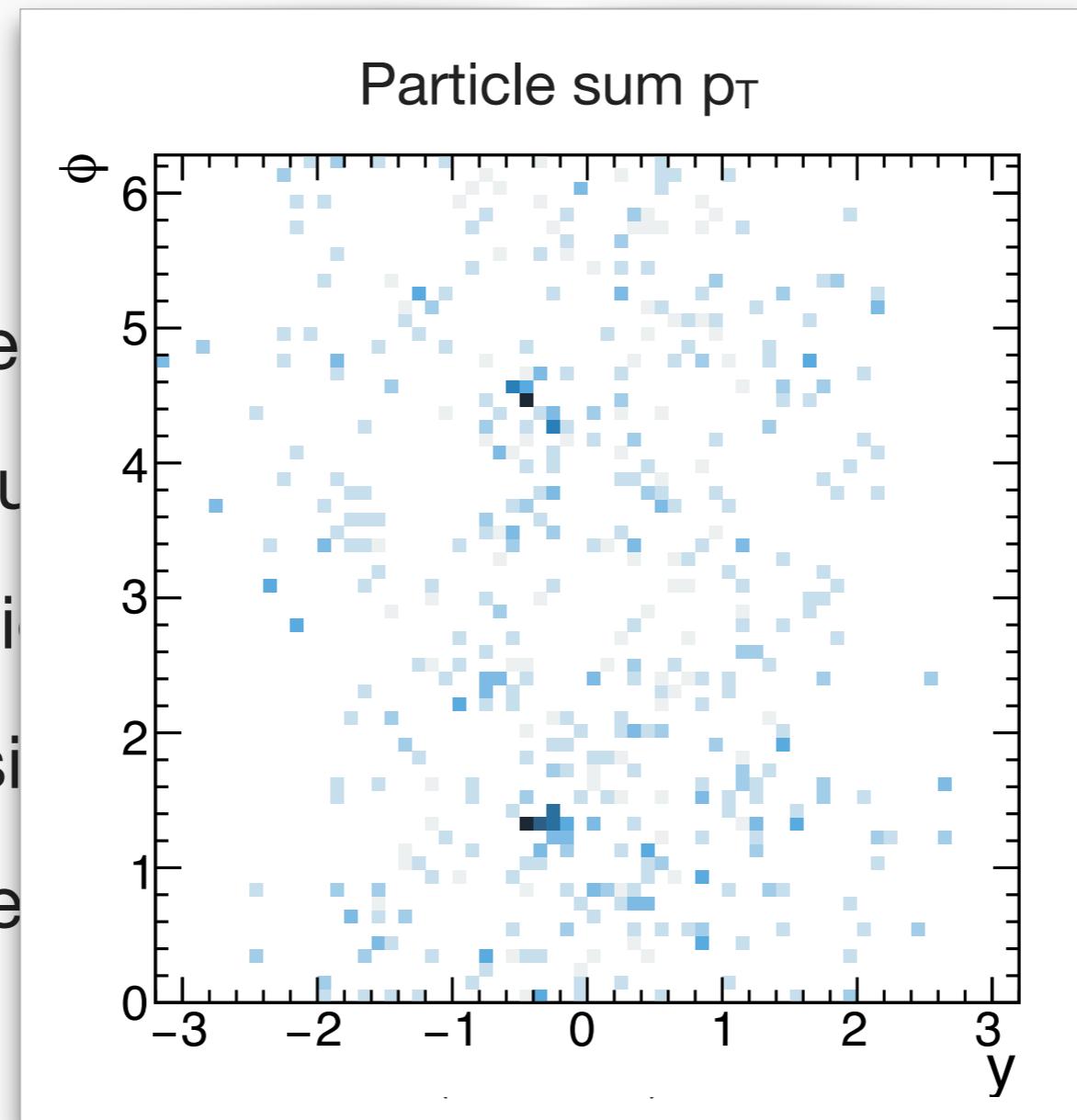
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  - “Localised Fourier series”
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- Used for de-noising in e.g. imaging and astrophysics
- For HEP purposes: Discrete 2D  $(y, \phi)$ -input

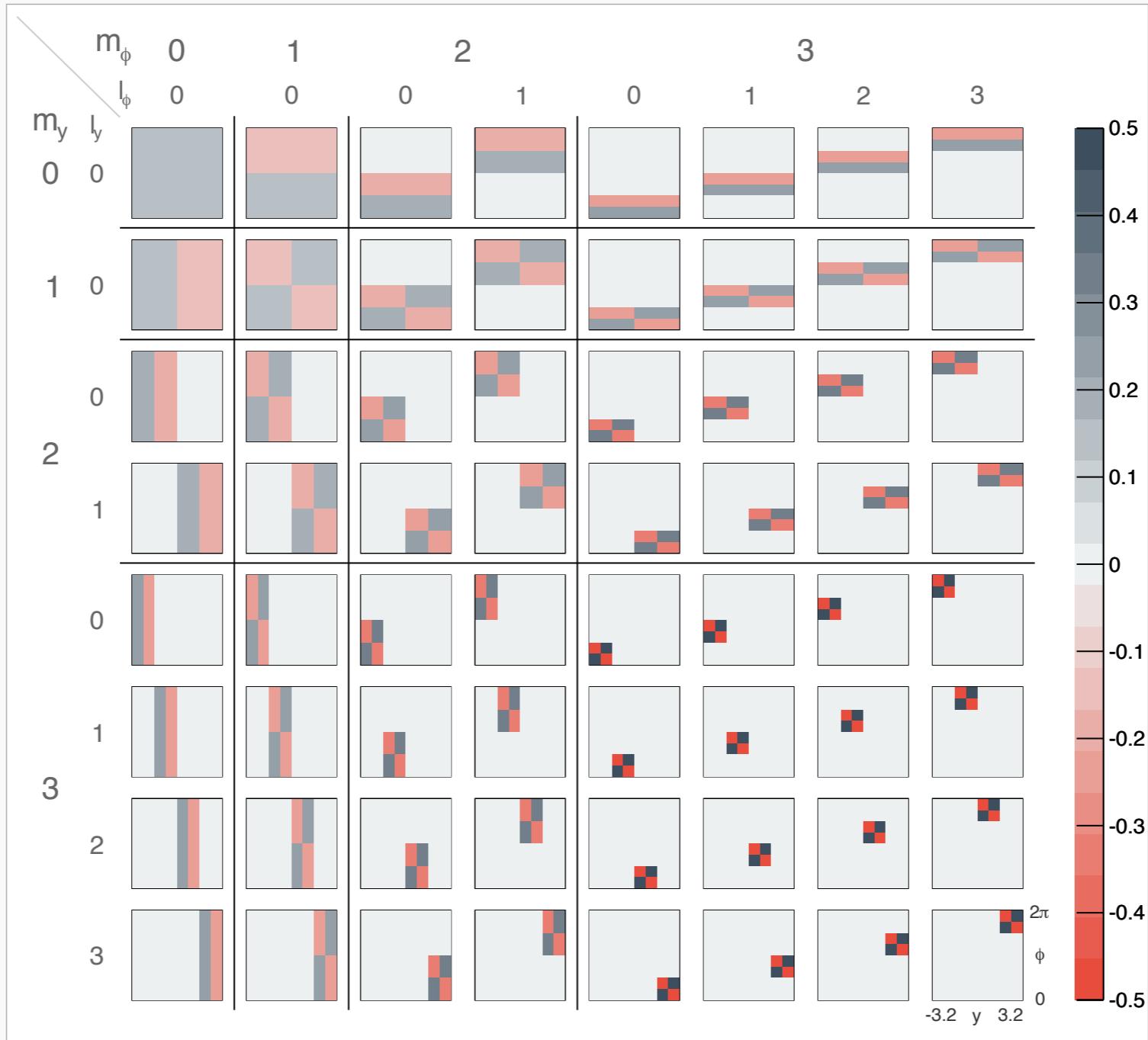
# Wavelet fundamentals

- Basis functions etc
- “Localised Fourier analysis”
- Angular information
- Used for de-noising
- For HEP purposes

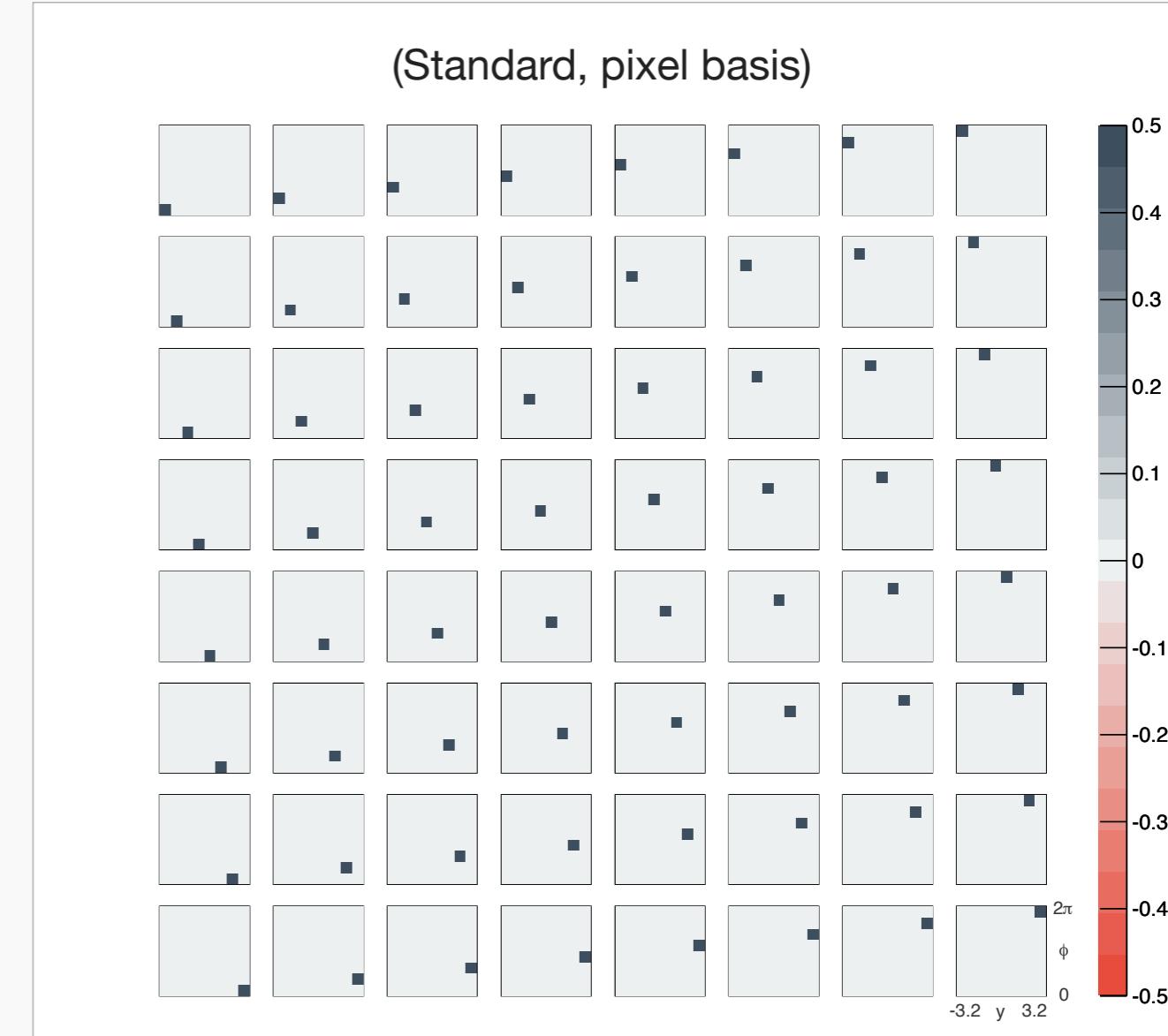
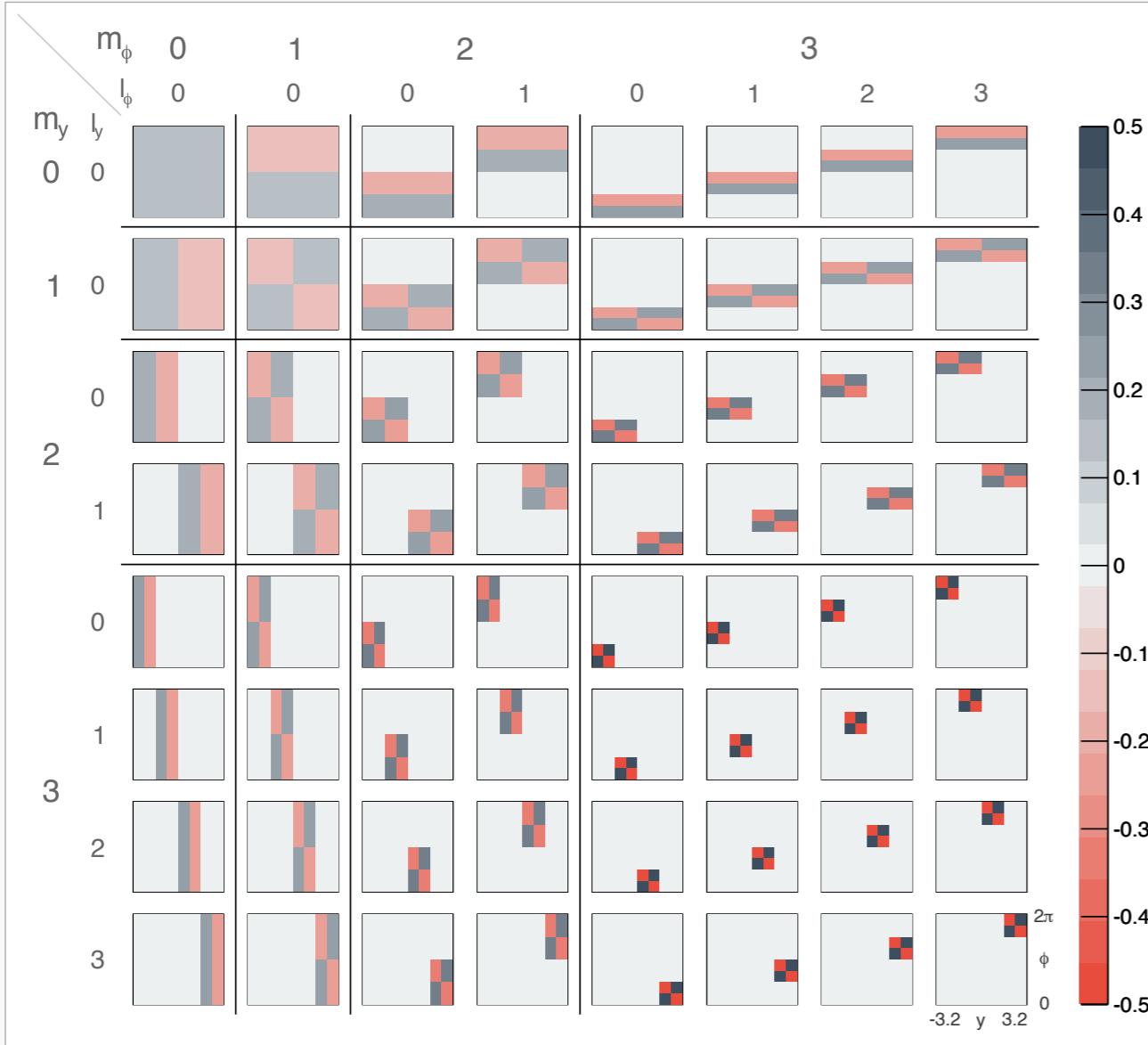


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or ‘bands’  
ics

# Basis functions • Haar



# Basis functions • Haar



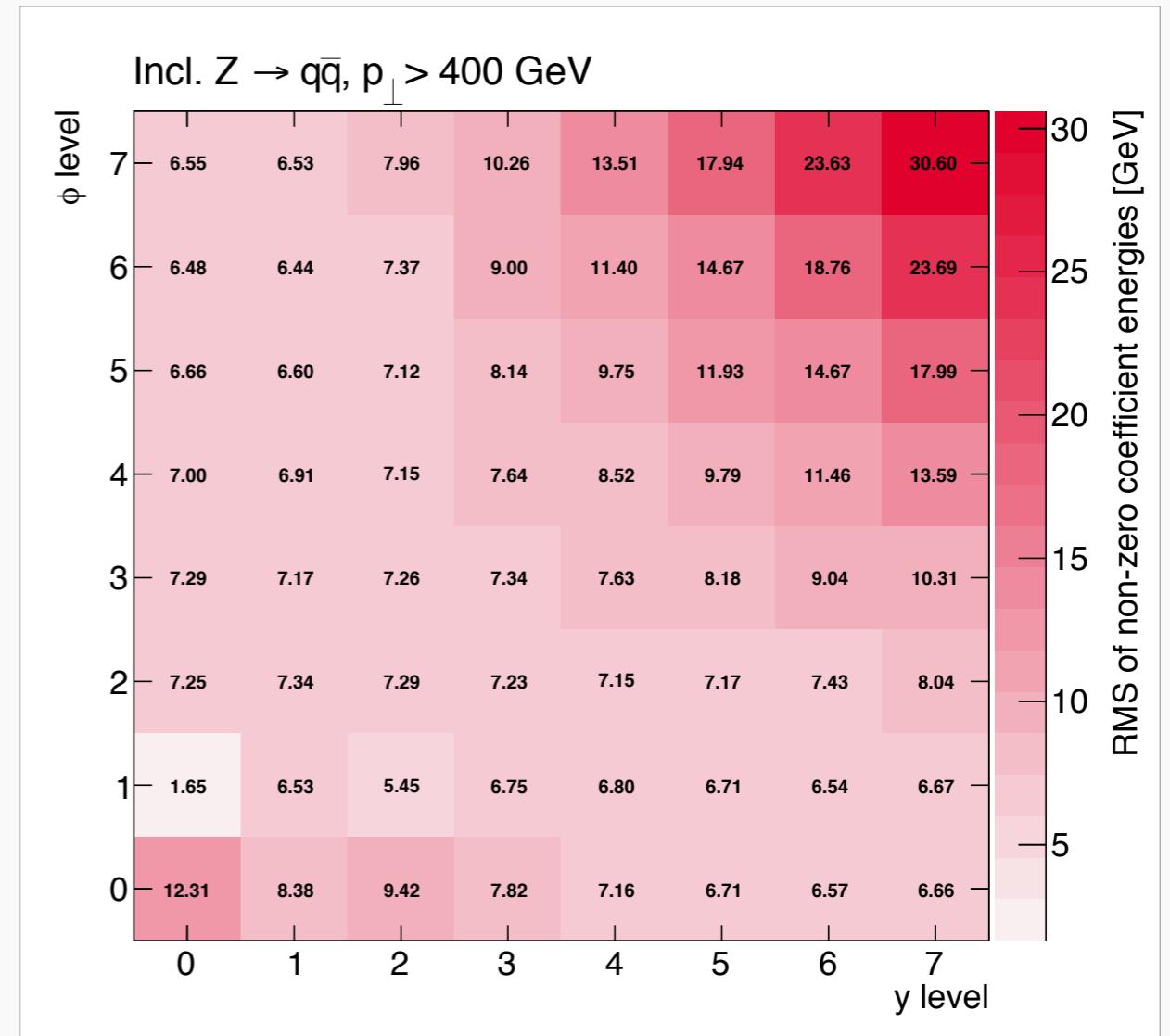
# Structure of coefficient energies

---

- Hard scatter events:
  - Jets characterised by parton showering
  - Should be dominated by small-angle activity

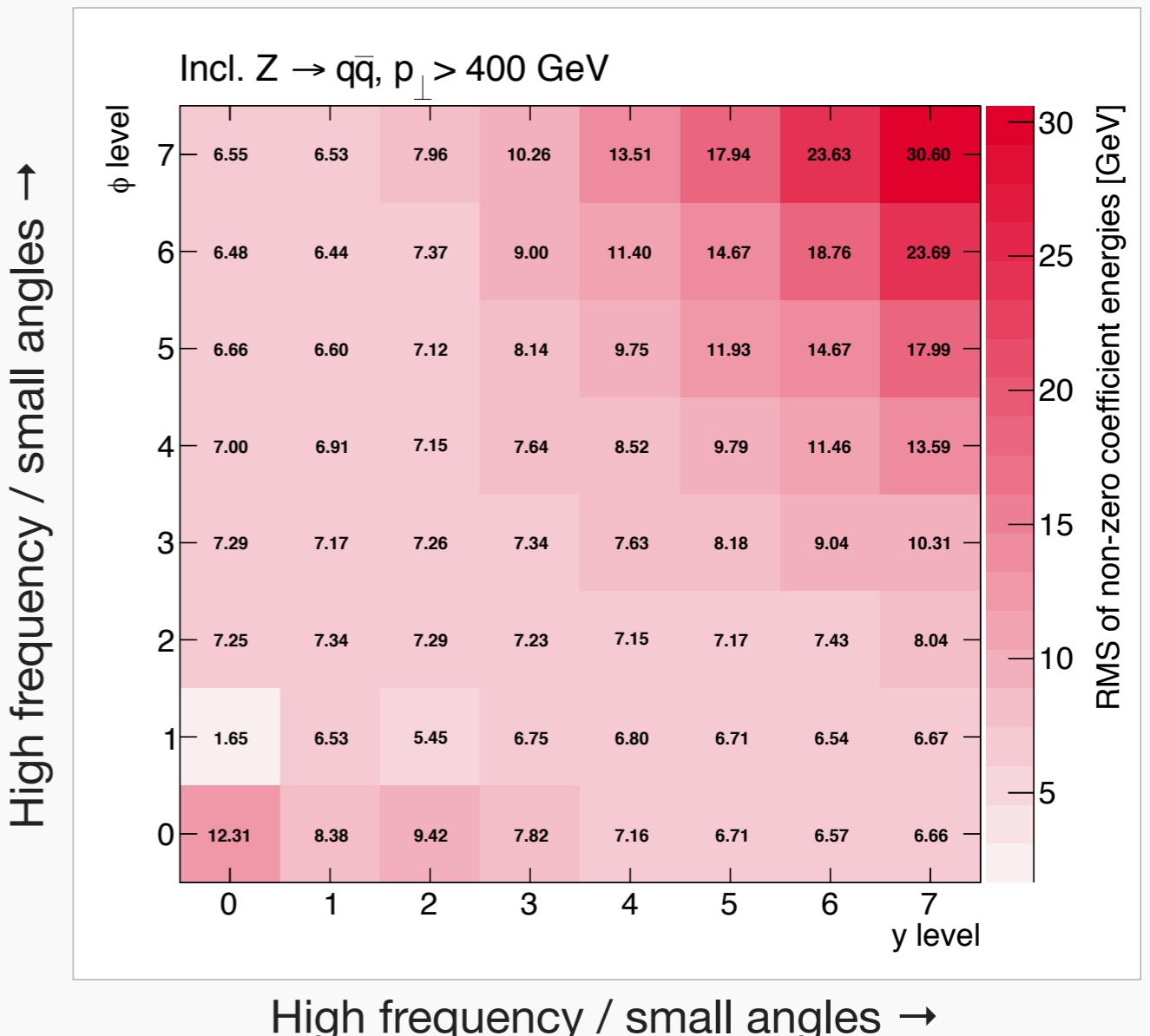
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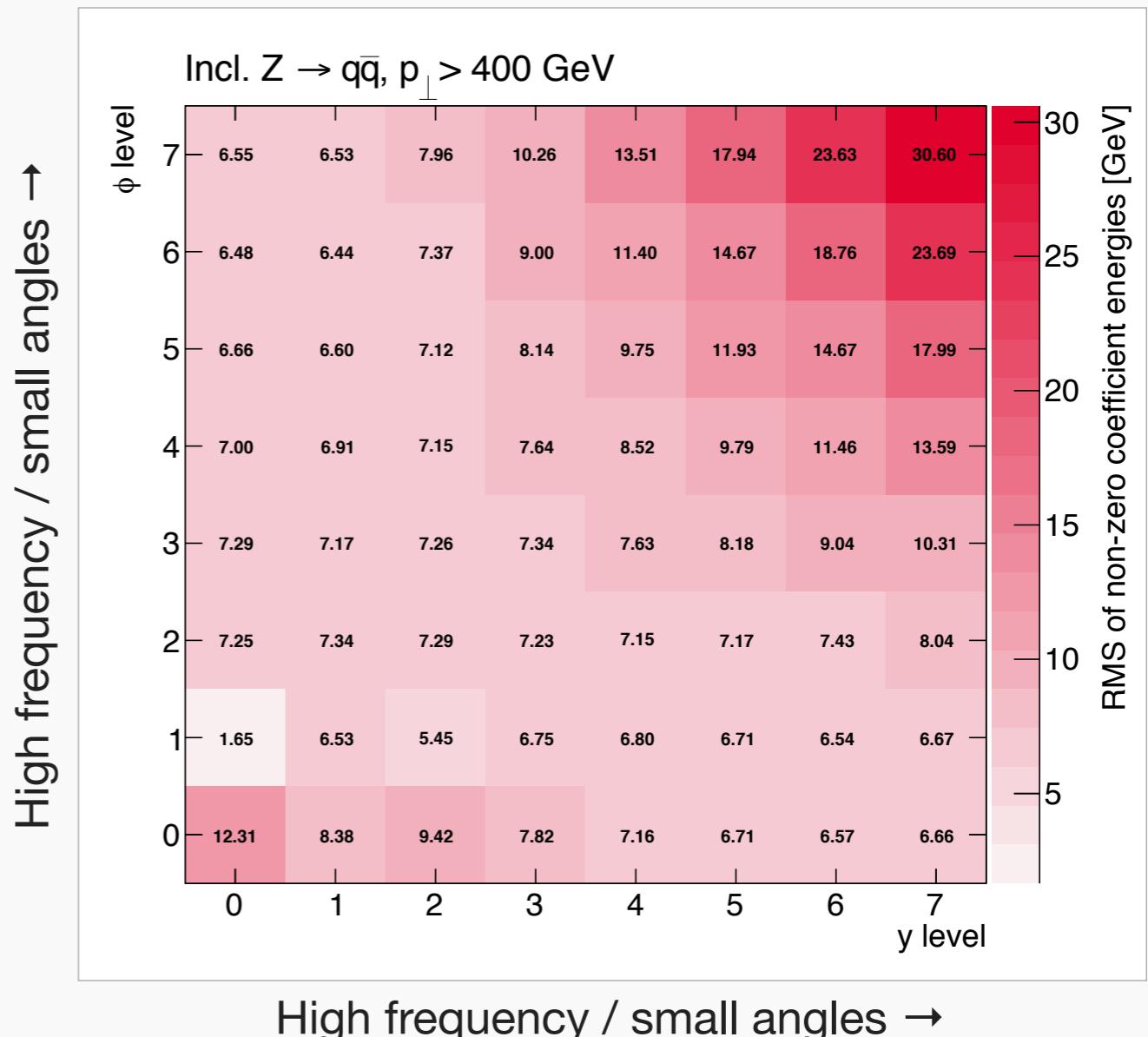
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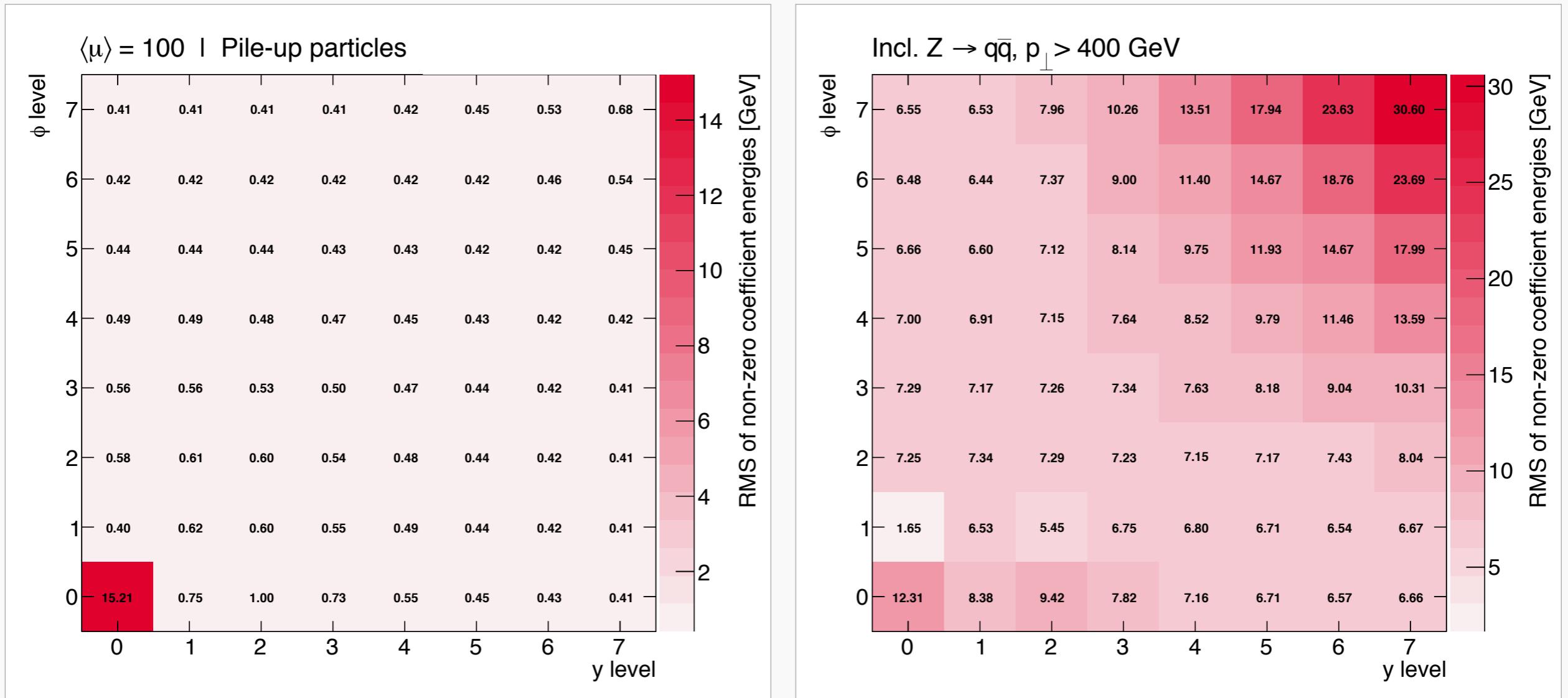


# Structure of coefficient energies

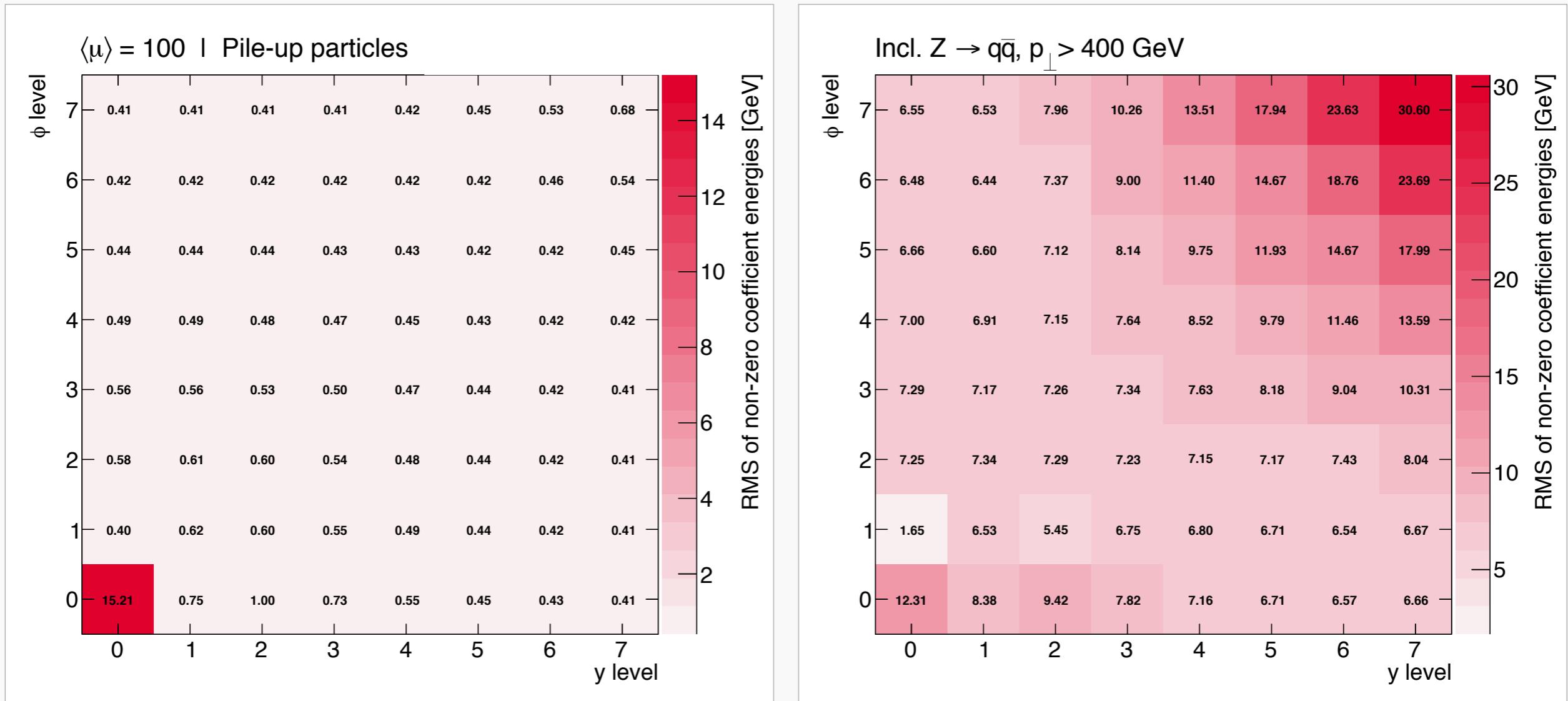
- Hard scatter events:
  - Jets characterised by parton showering
  - Should be dominated by small-angle activity
- Pile-up:
  - “White noise”
  - No angular structure: constant activity across frequency bands



# Structure of coefficient energies



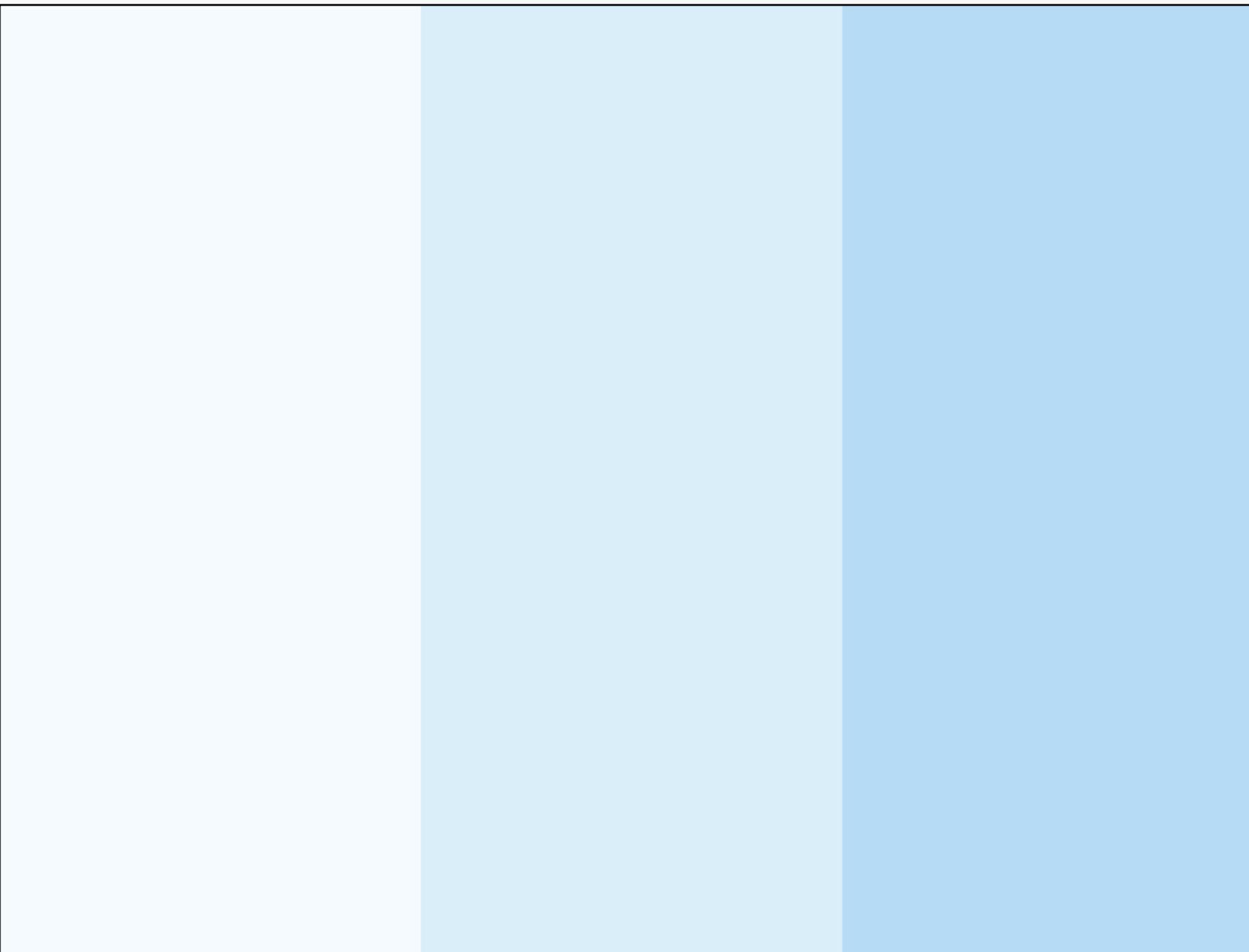
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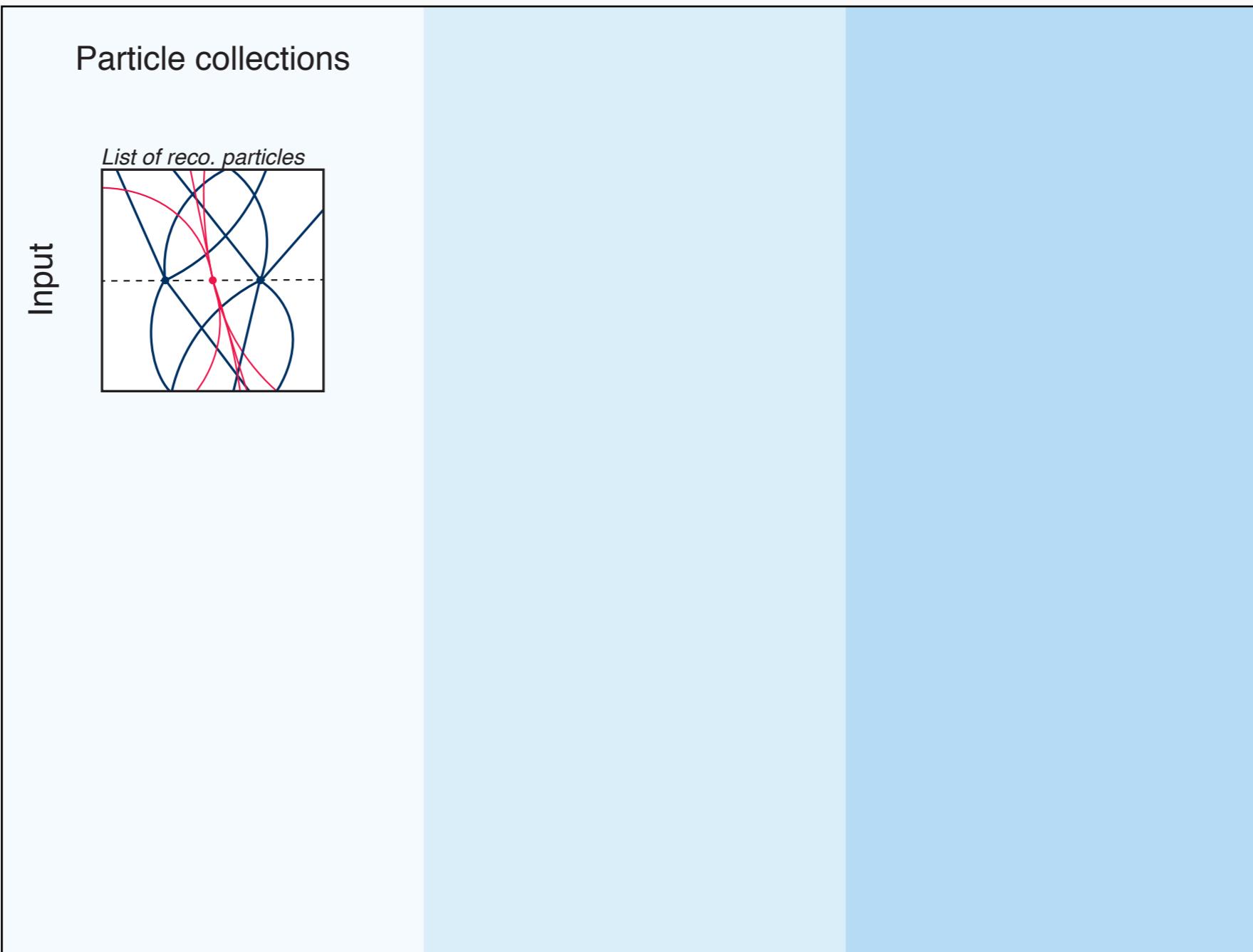
This difference may allow for good separation

# Wavelet analysis

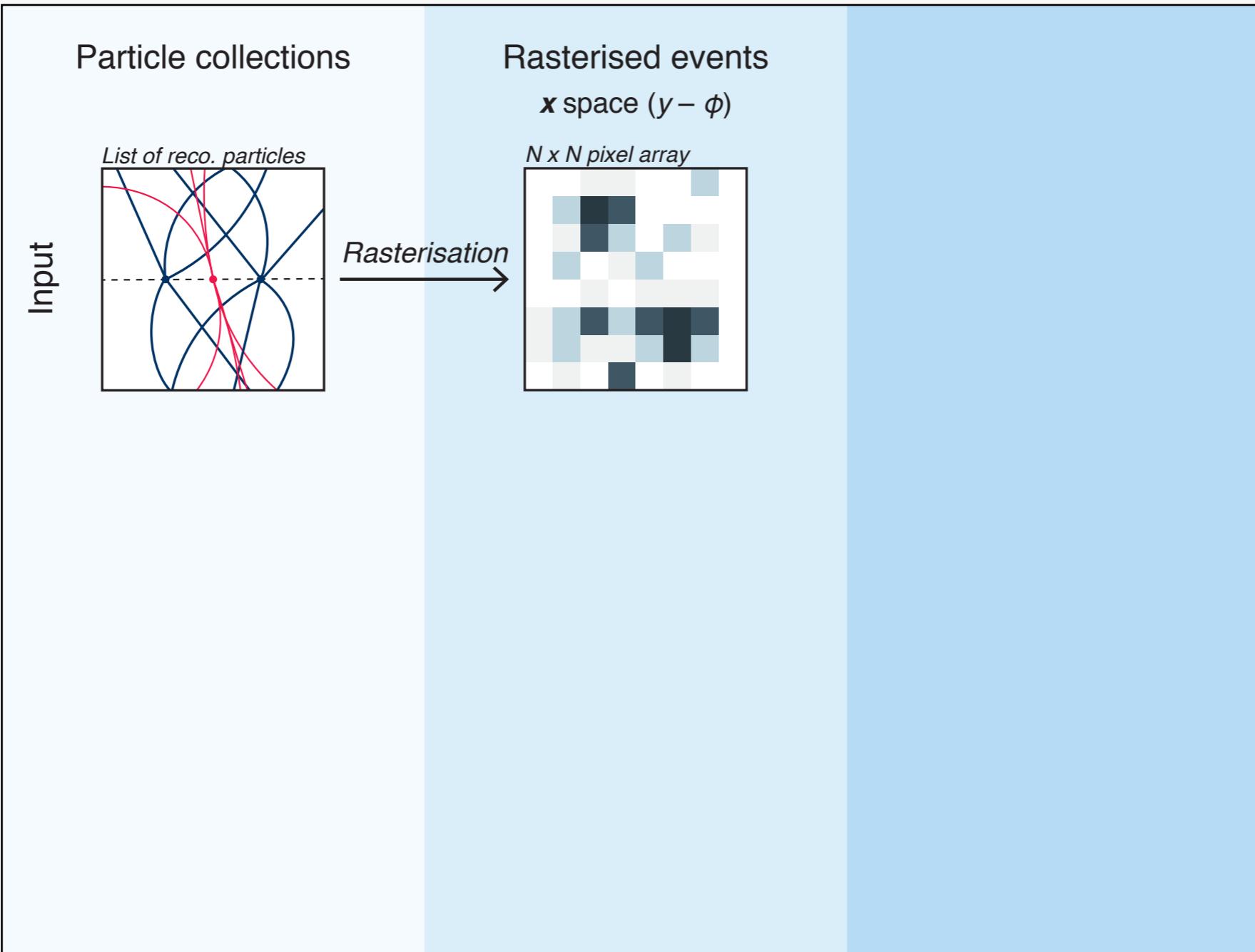
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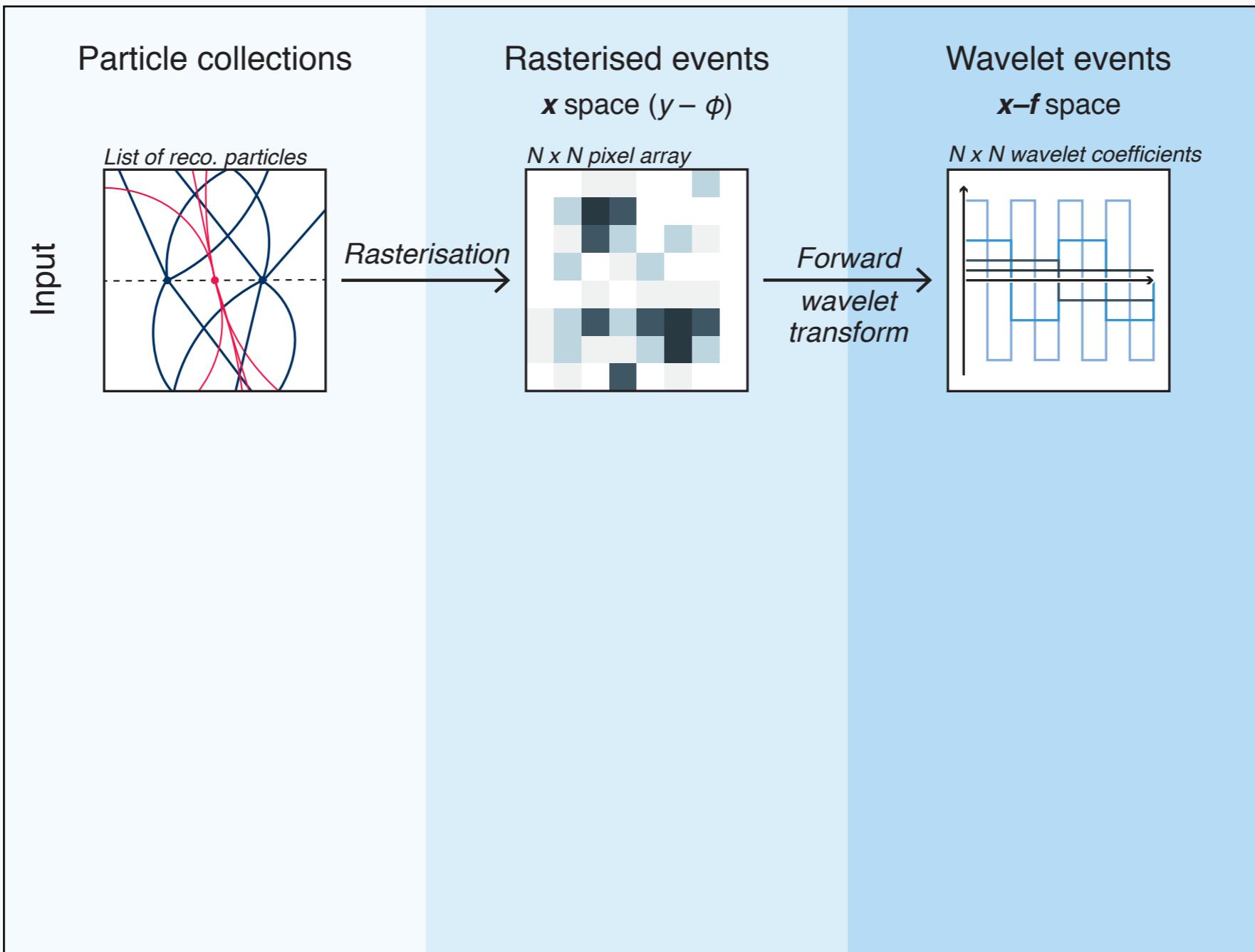
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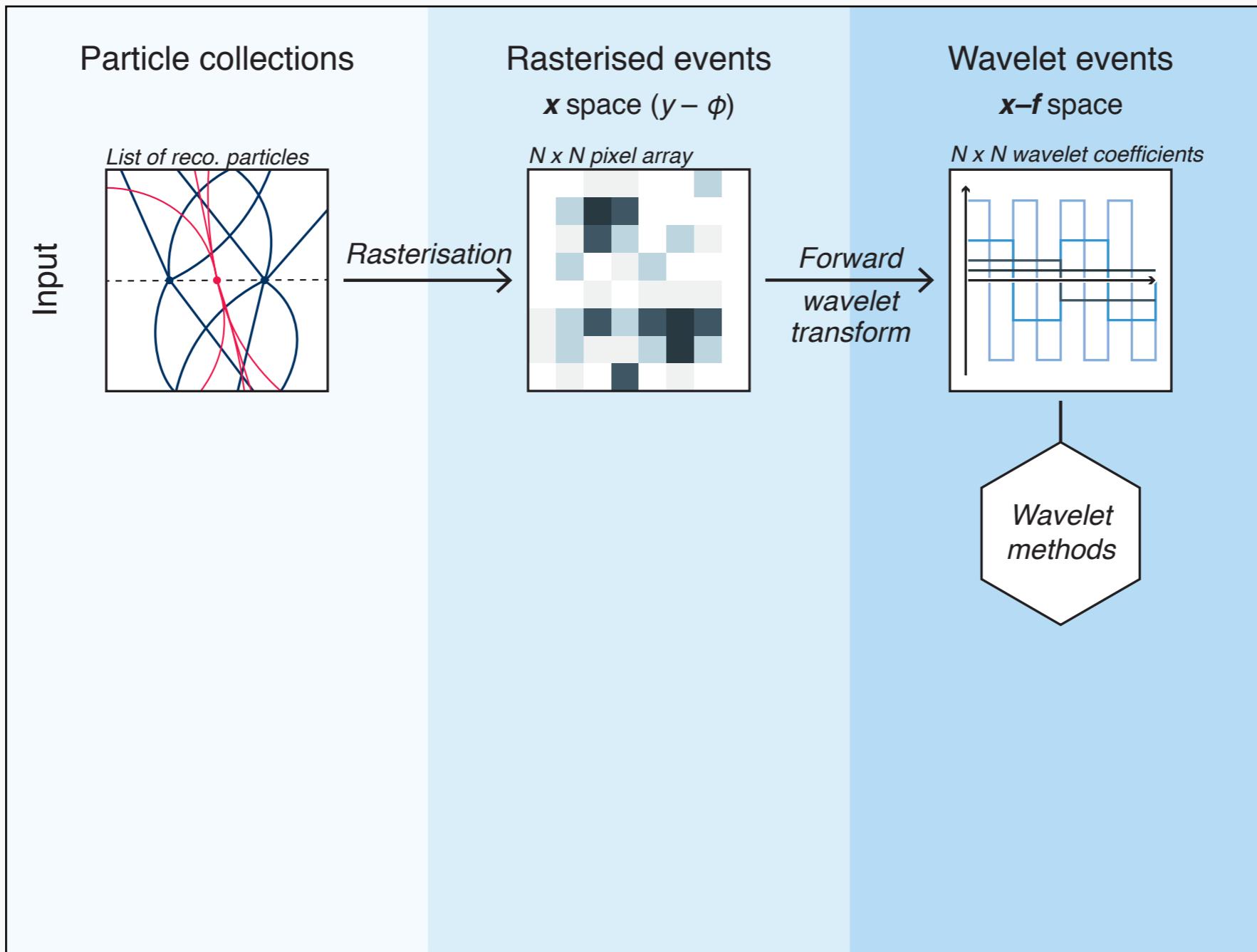
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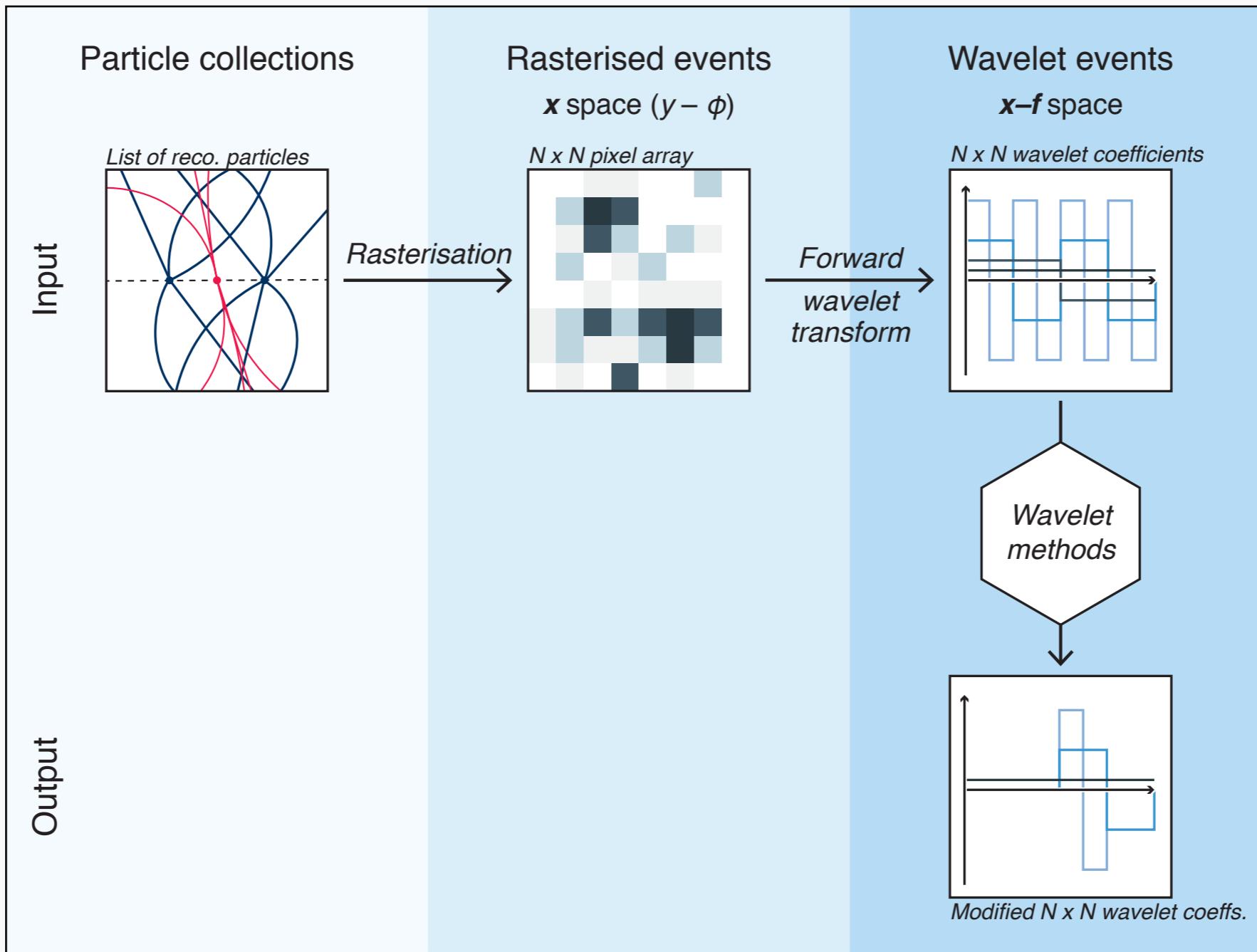
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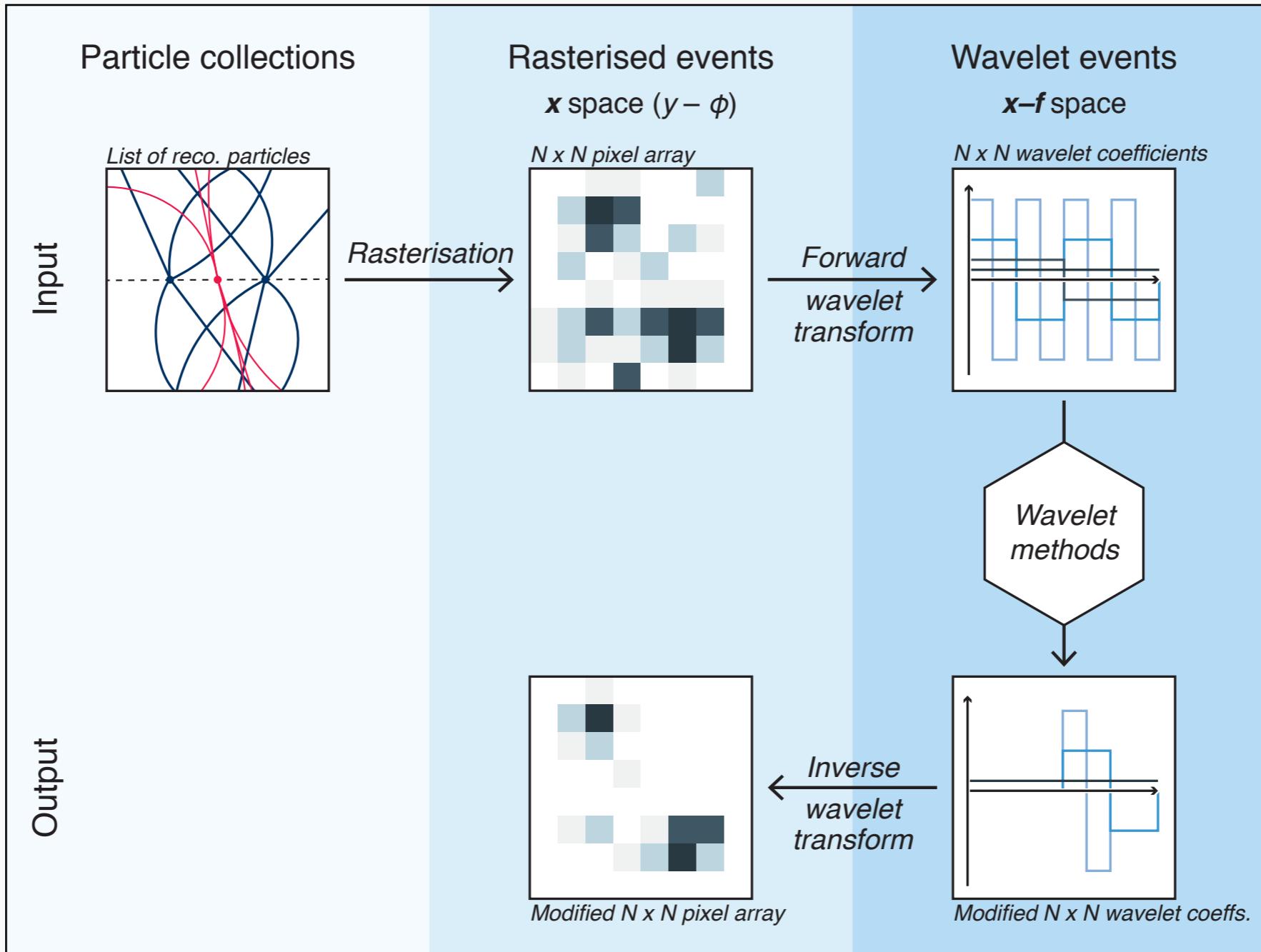
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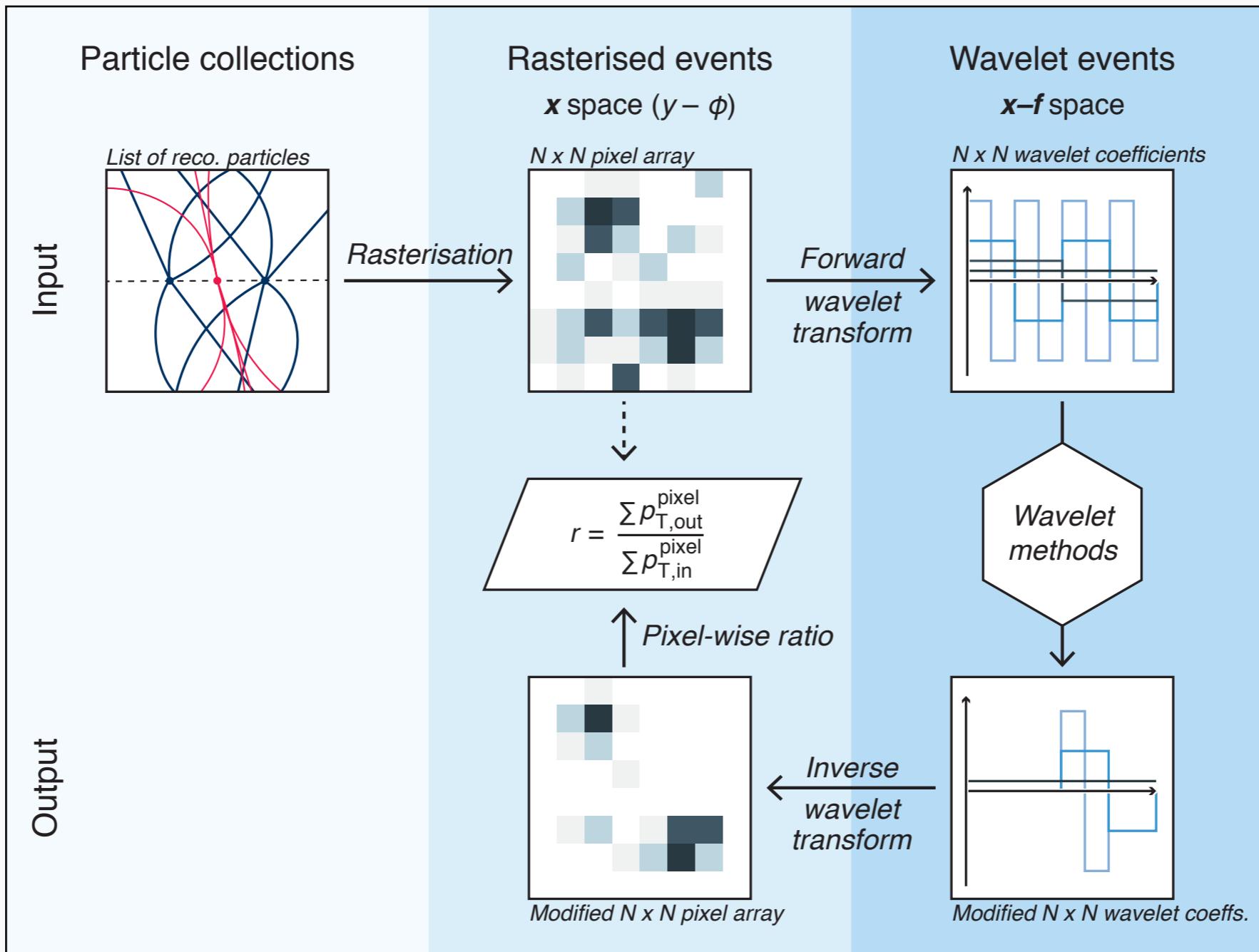
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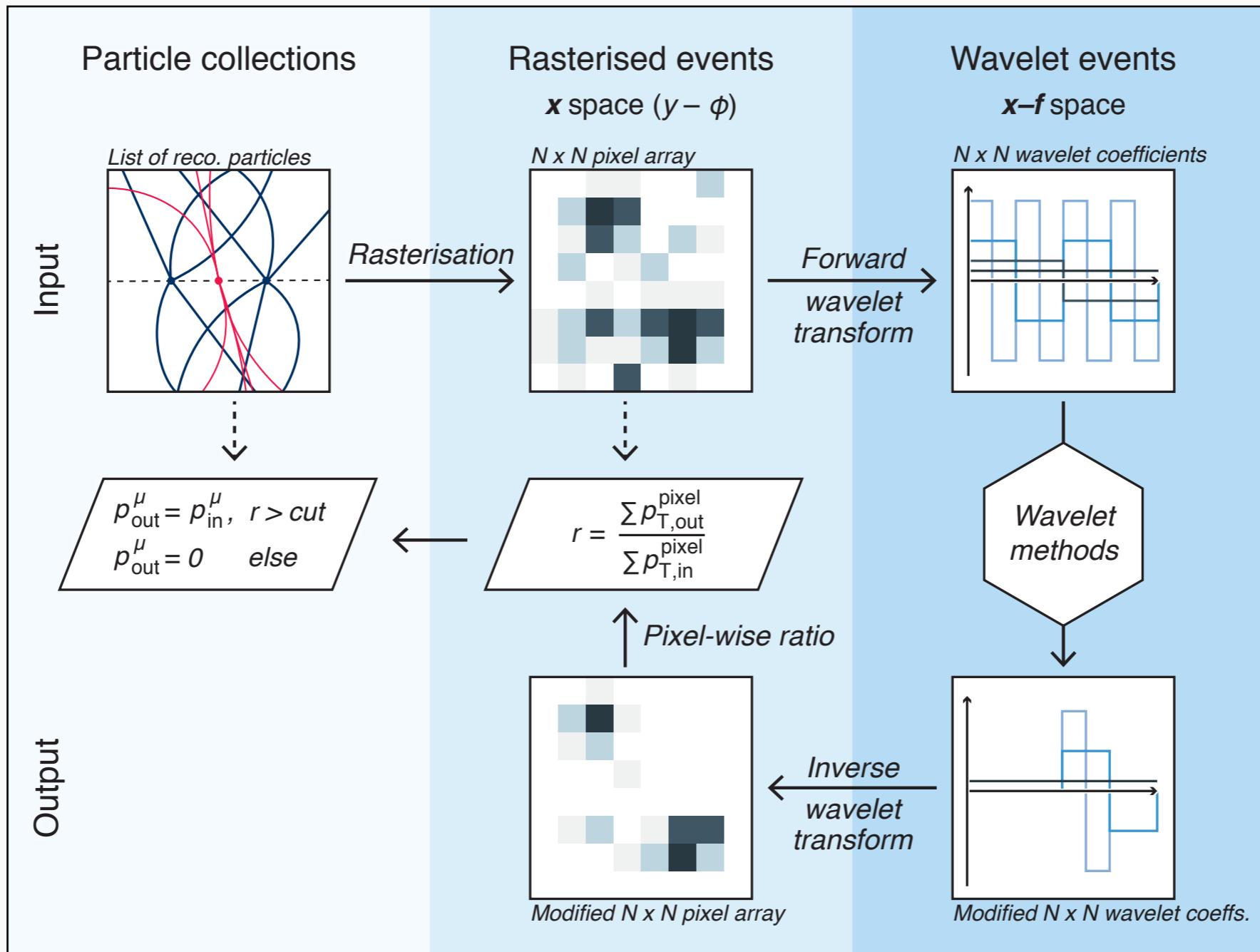
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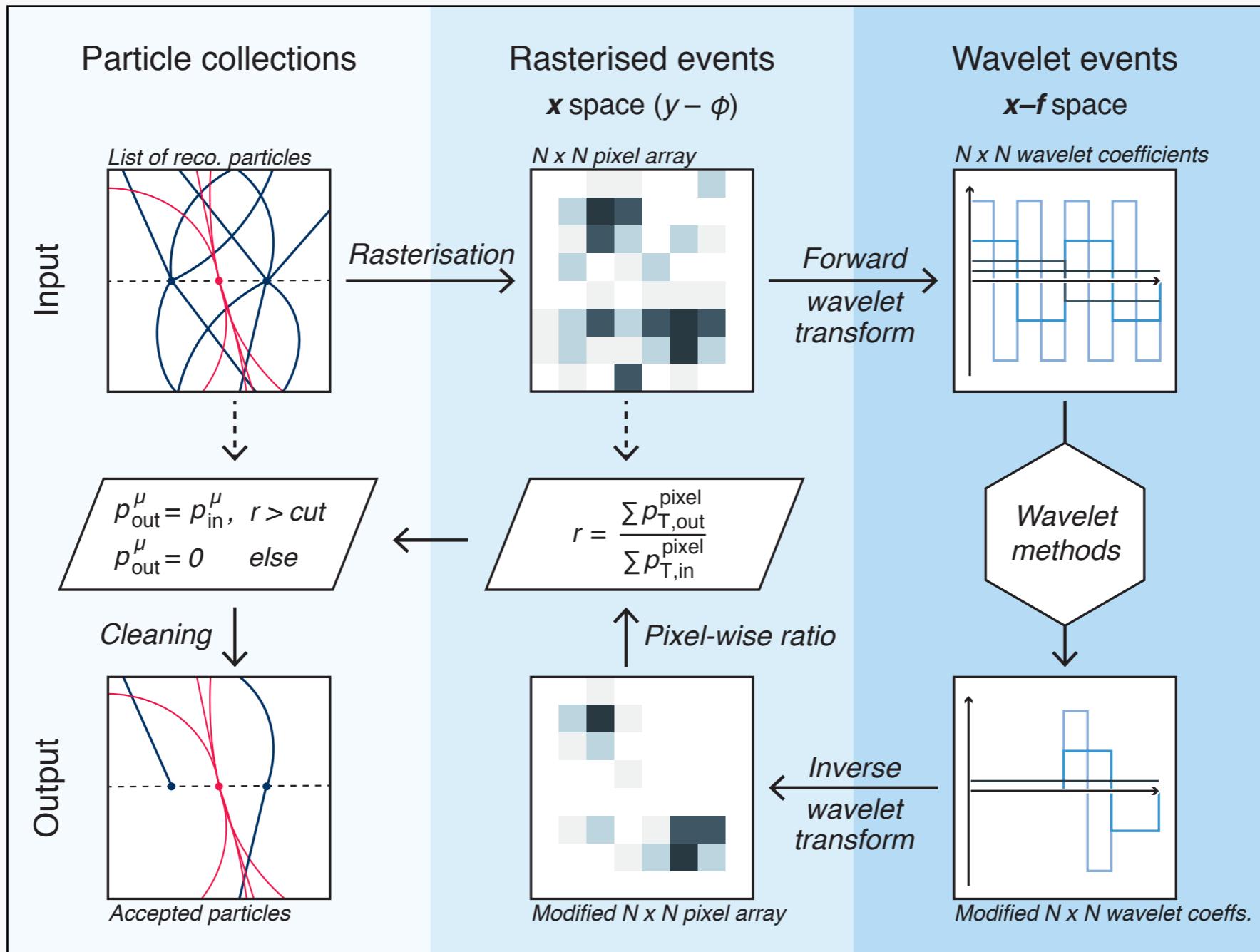
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# Wavelet analysis



# Methods for pile-up mitigation

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**Simplest approach:**

# Methods for pile-up mitigation

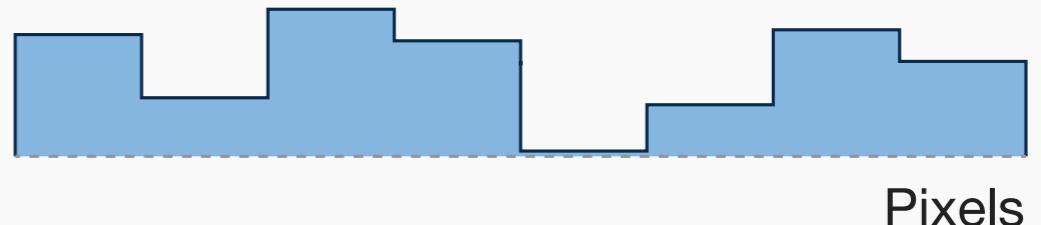
---

**Simplest approach:**

- Scale (0,0) coefficient  
(average energy)

# Methods for pile-up mitigation

Minimum bias event



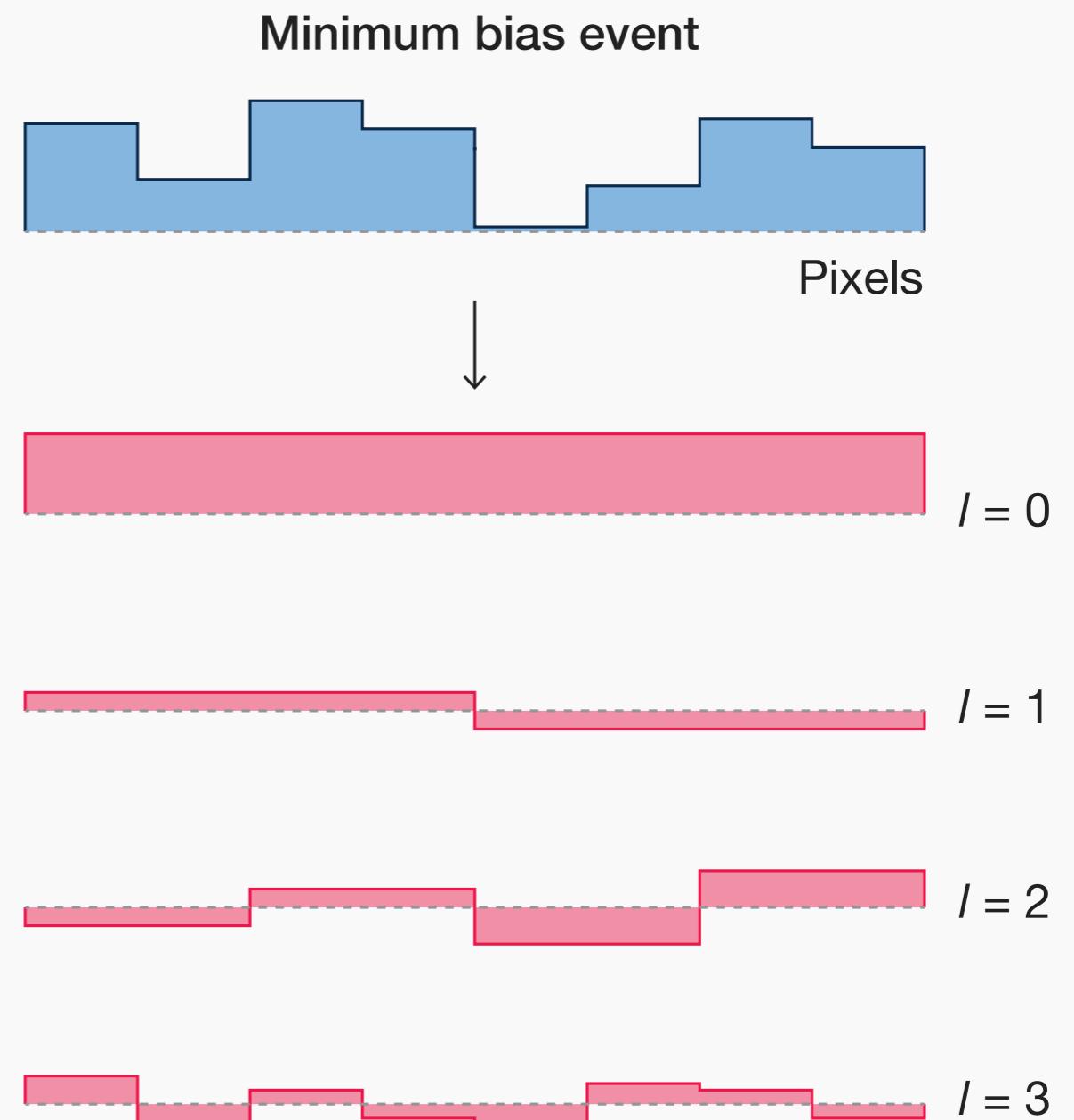
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- Cut on other coeffs.

# Methods for pile-up mitigation

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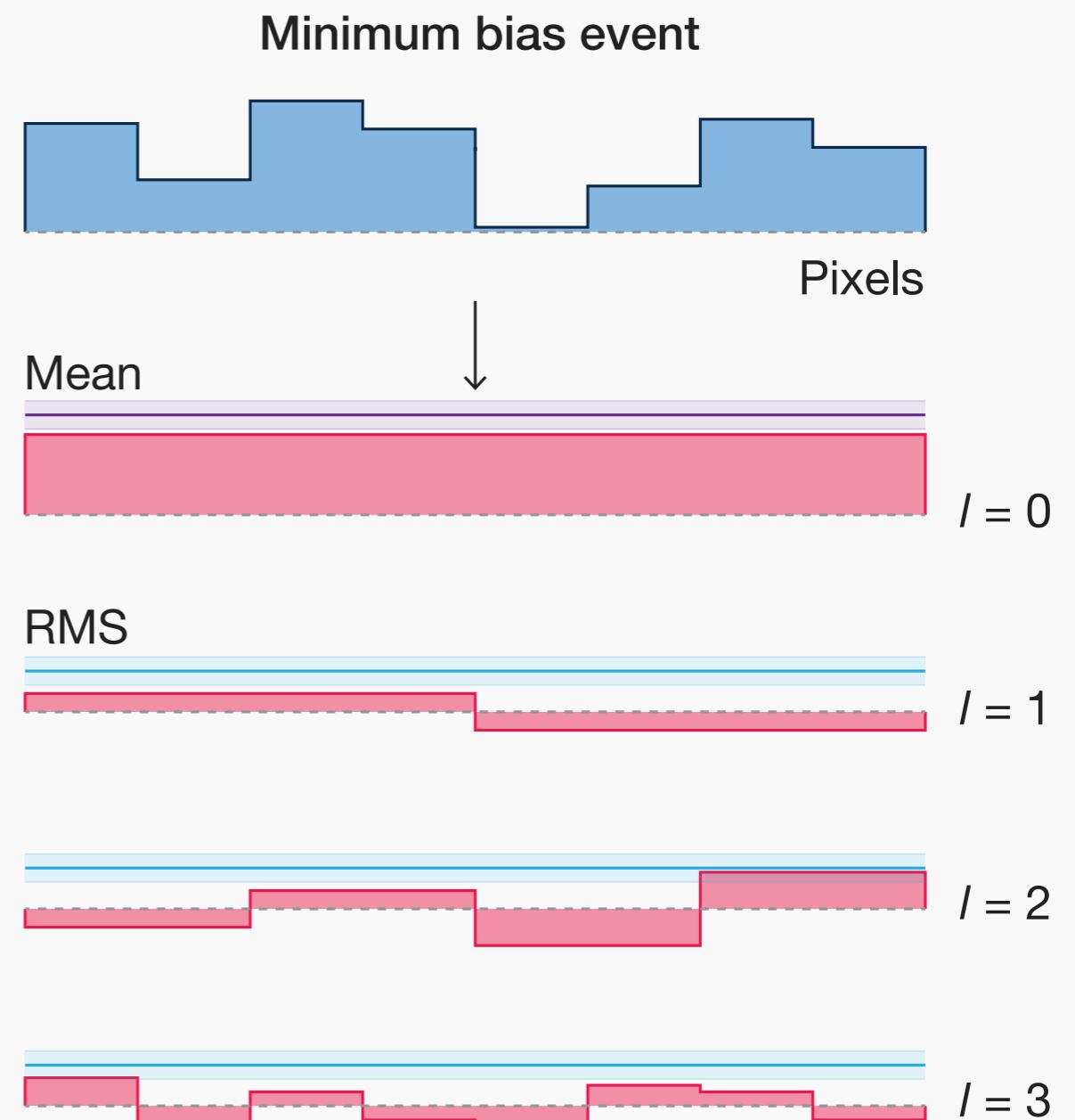
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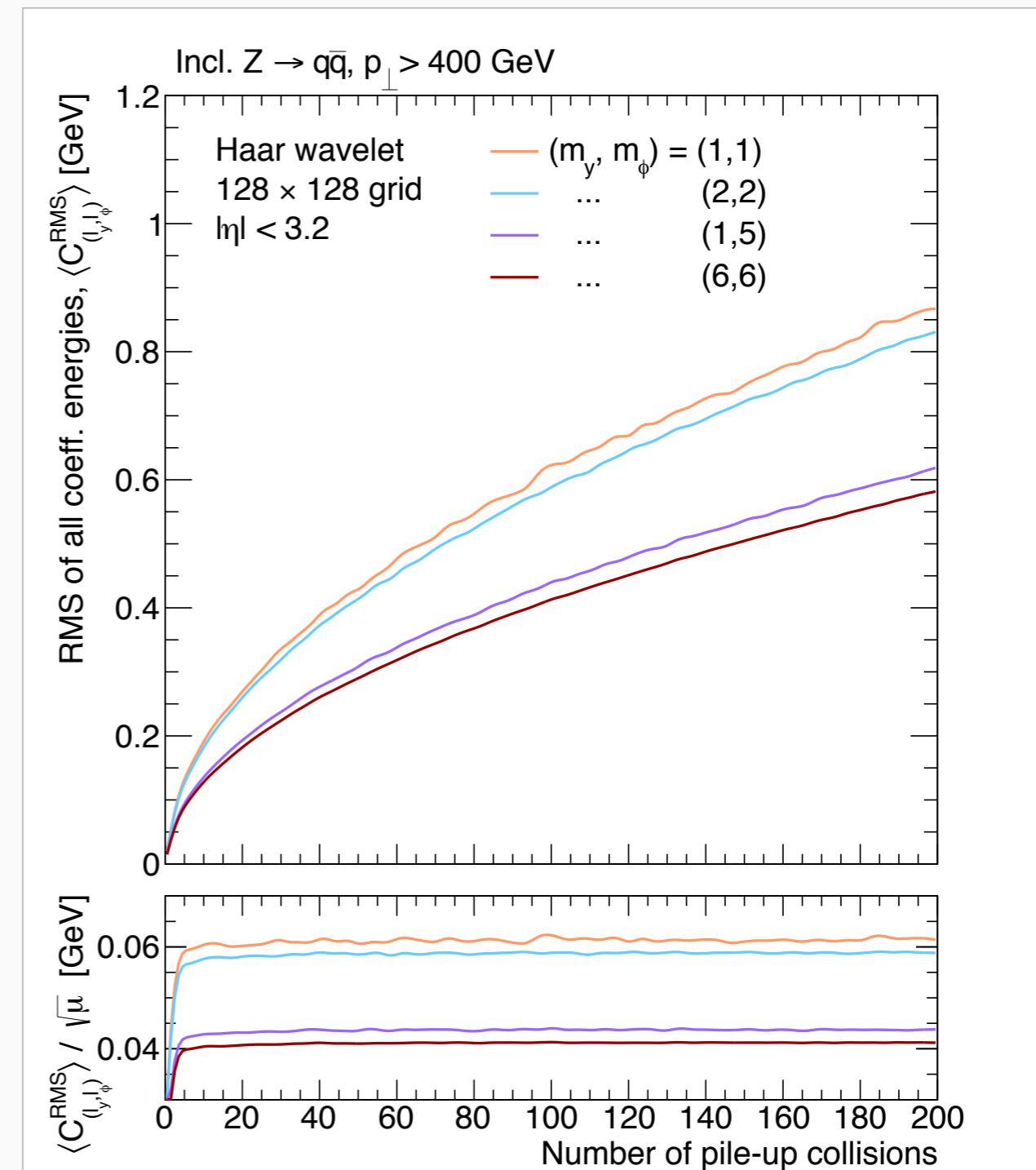
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- E.g.  $n \times \text{RMS}^{\text{pileup}}, m > 0$



# Methods for pile-up mitigation

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- Scale (0,0) coefficient (average energy)
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- Per event: Scale with  $\sqrt{\mu}$



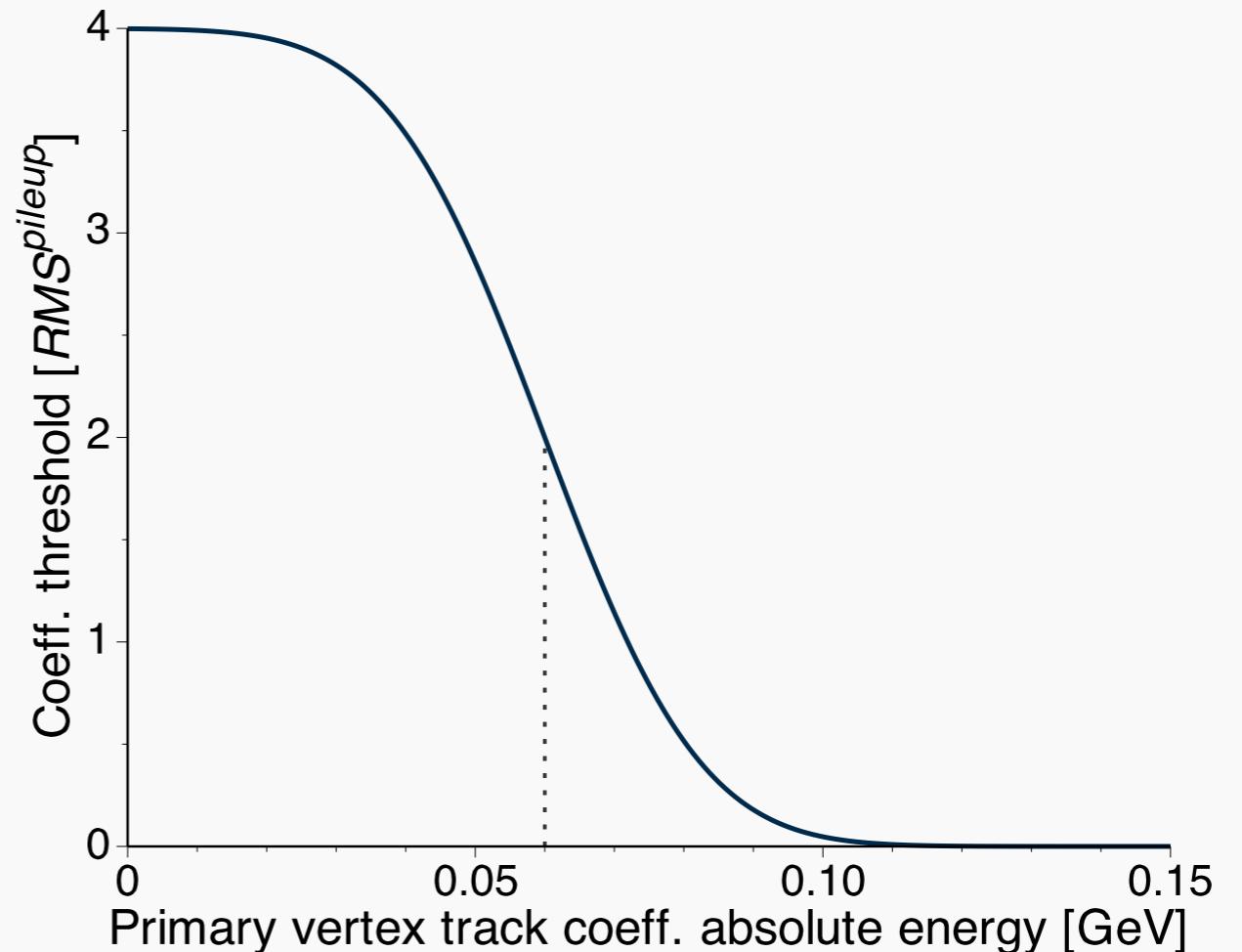
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## Improvement:

- Use track information



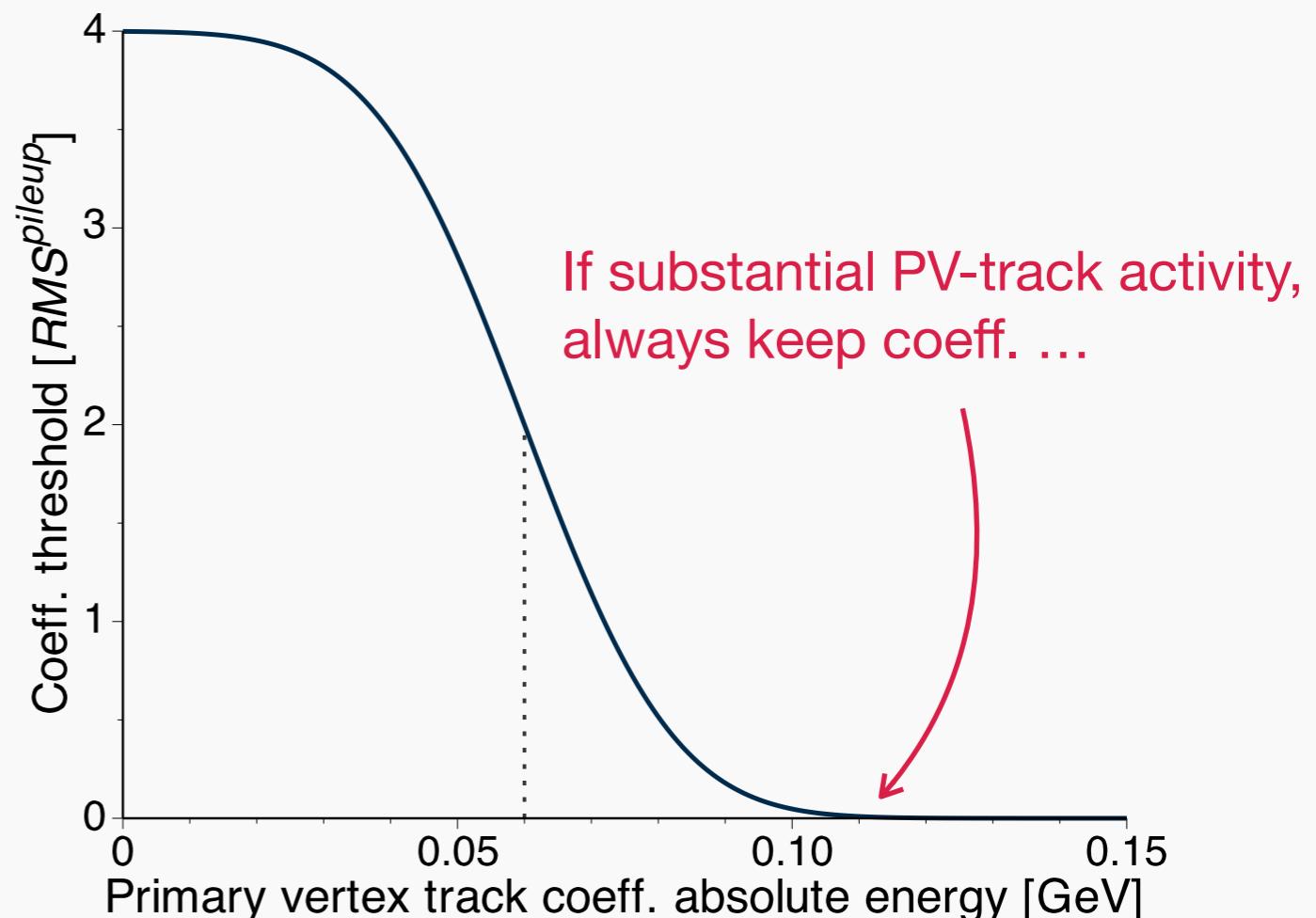
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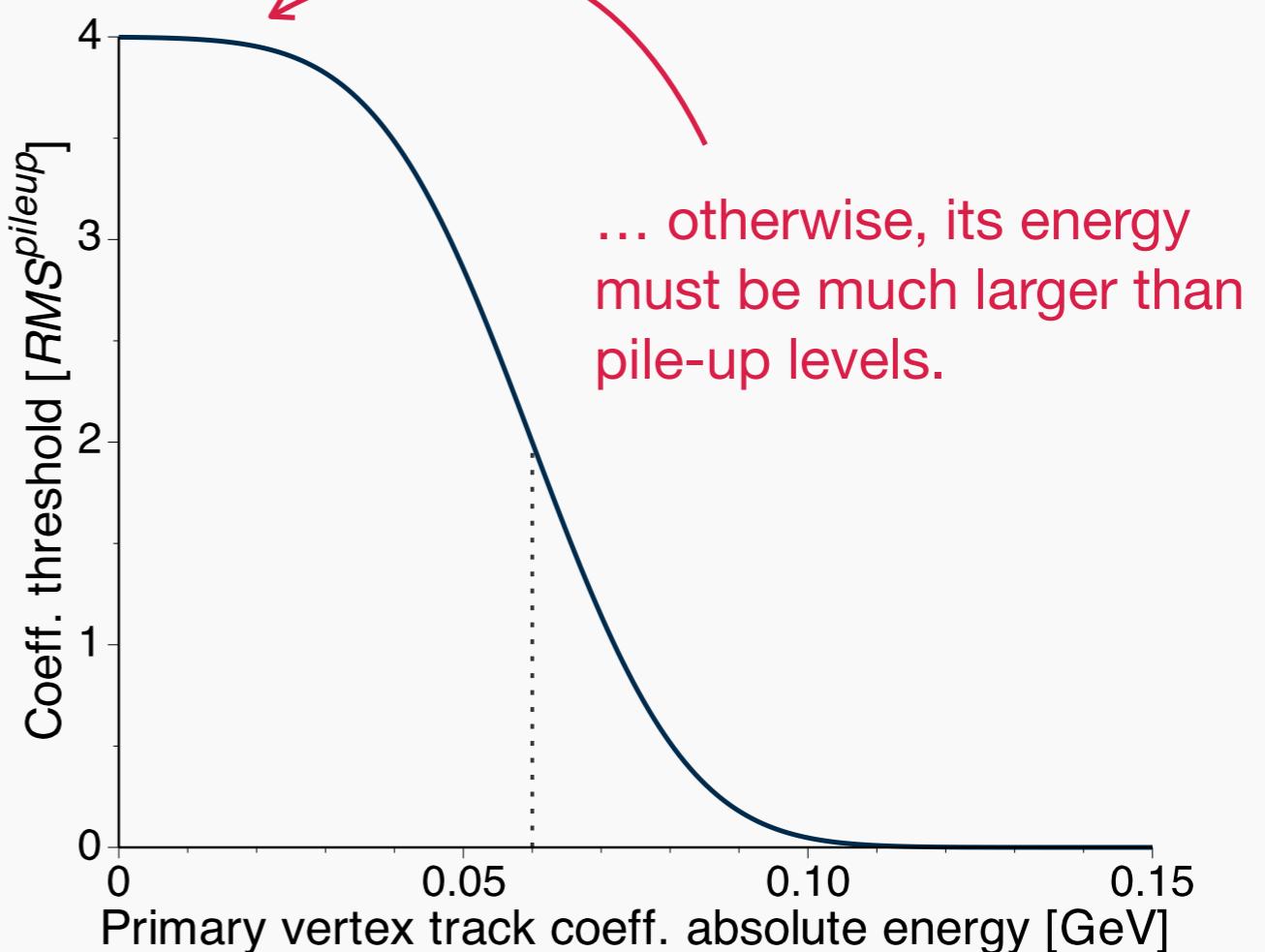
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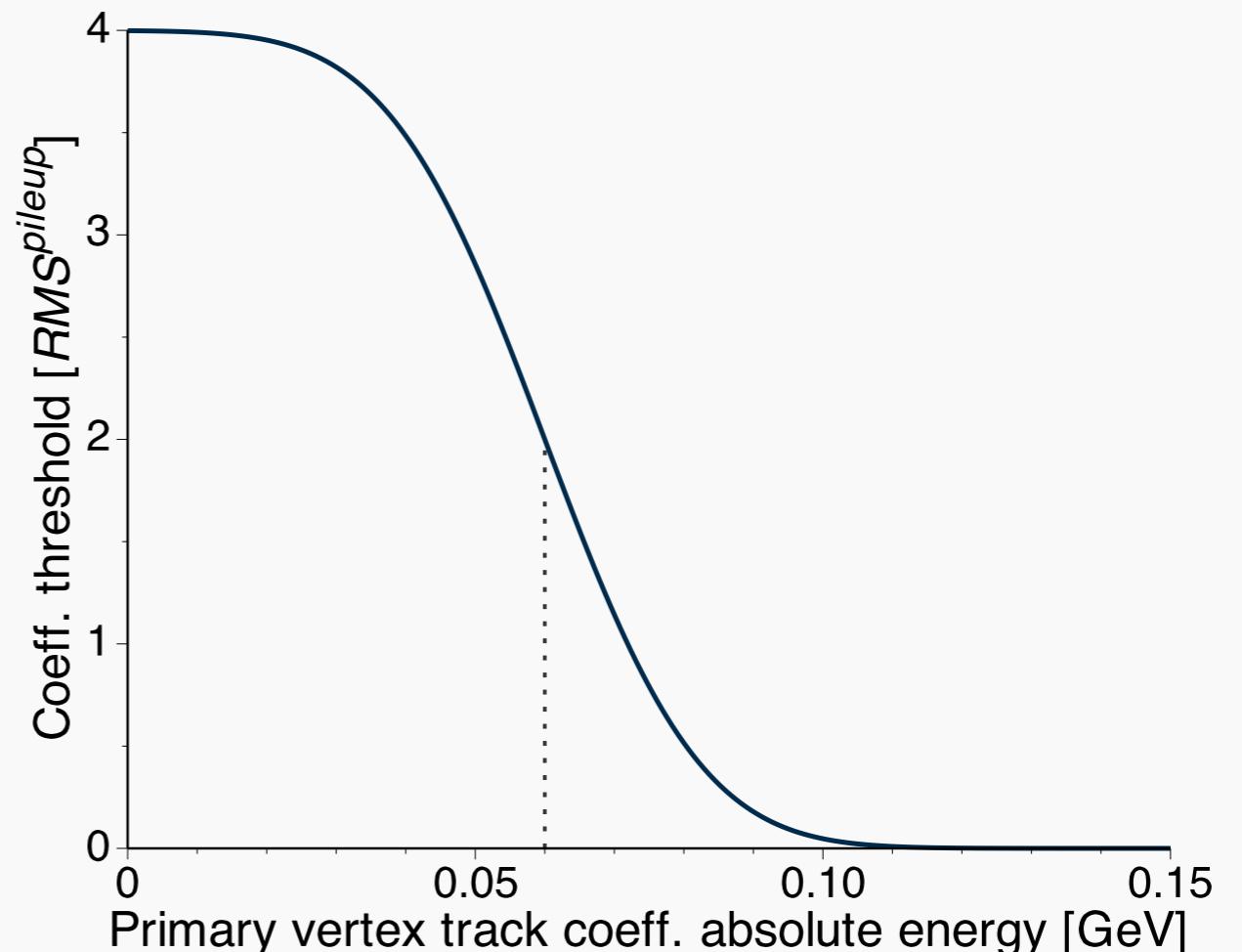
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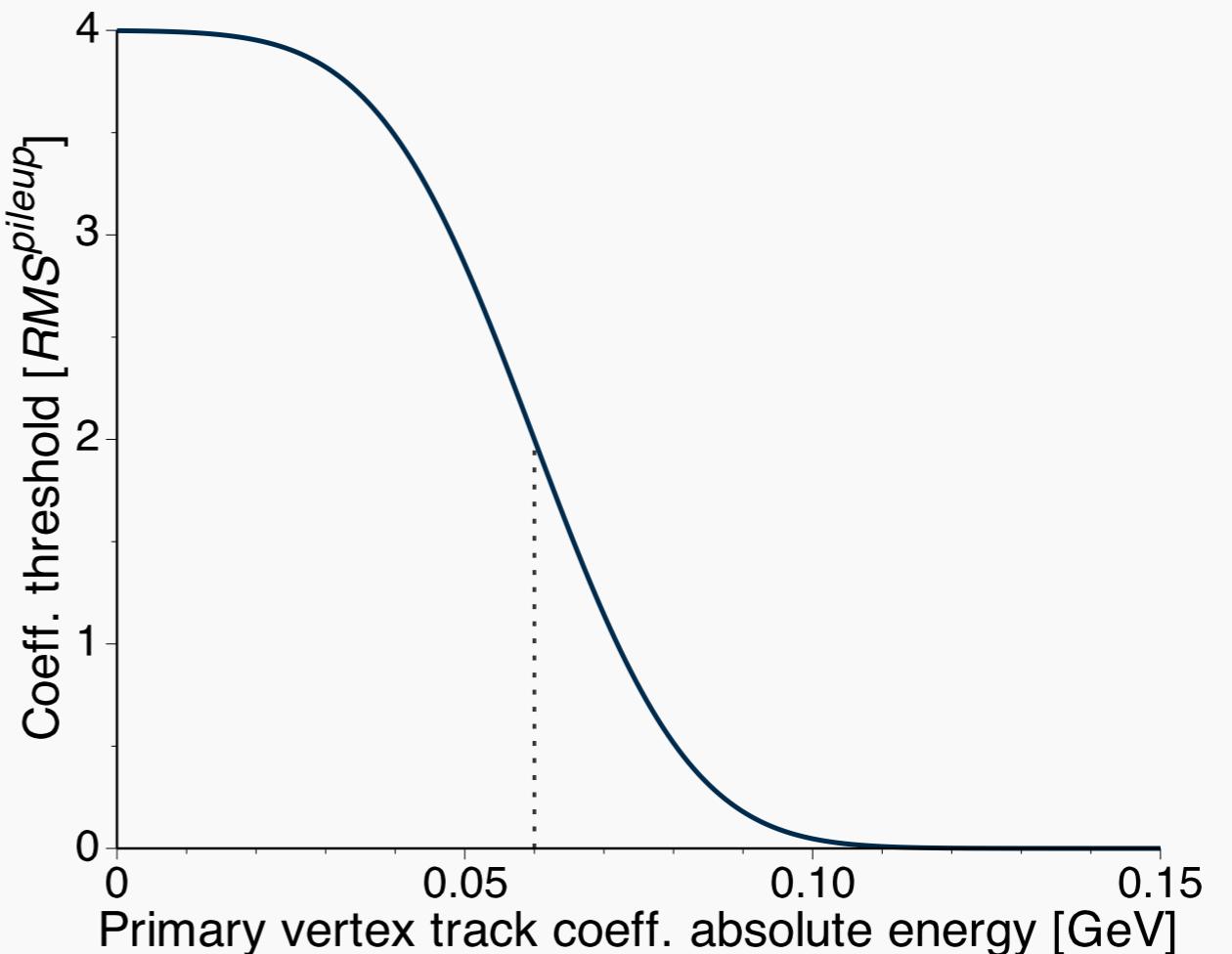
## Improvement:

- Use track information
- ‘Wavelet onset’



# Wavelet setup

- 128 x 128 pixel grid
- $|y| < 3.2$  (square)
- Haar wavelet (simplest)
- ‘Wavelet onset’ method with max. of 4 x pileup RMS
- Keep pixels with final-to-initial ratio  $> 0.75$

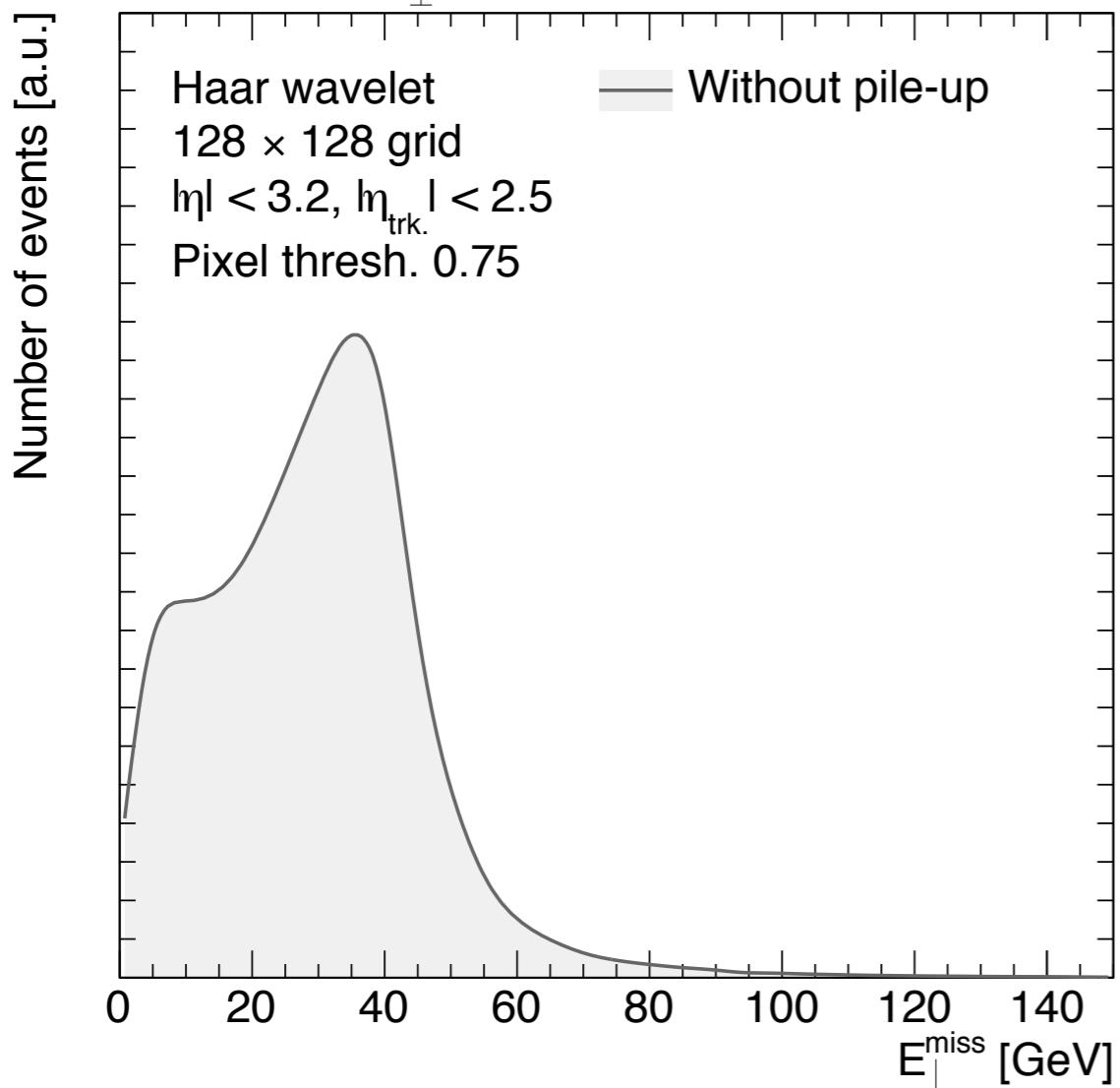
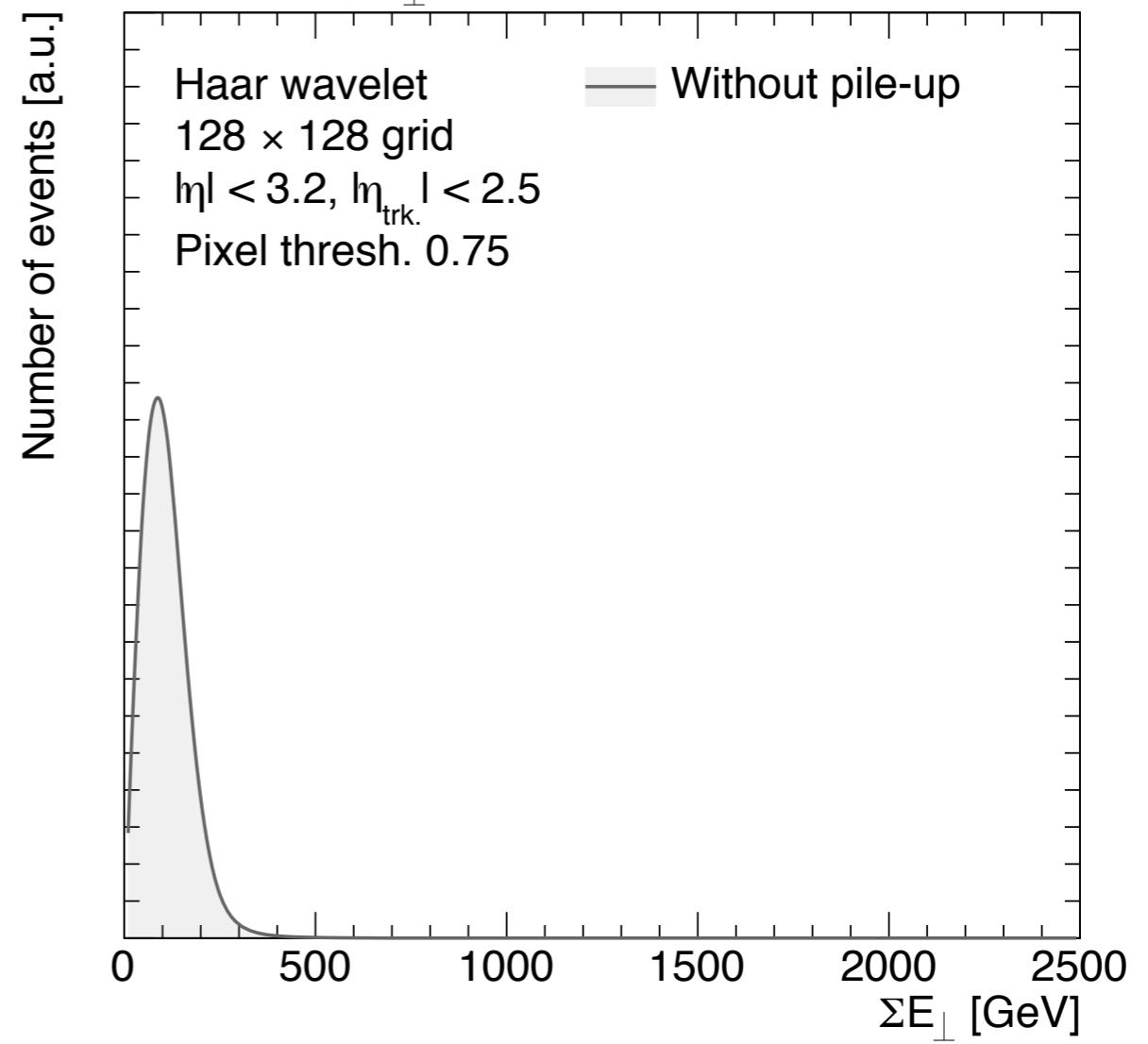


# Samples and setup

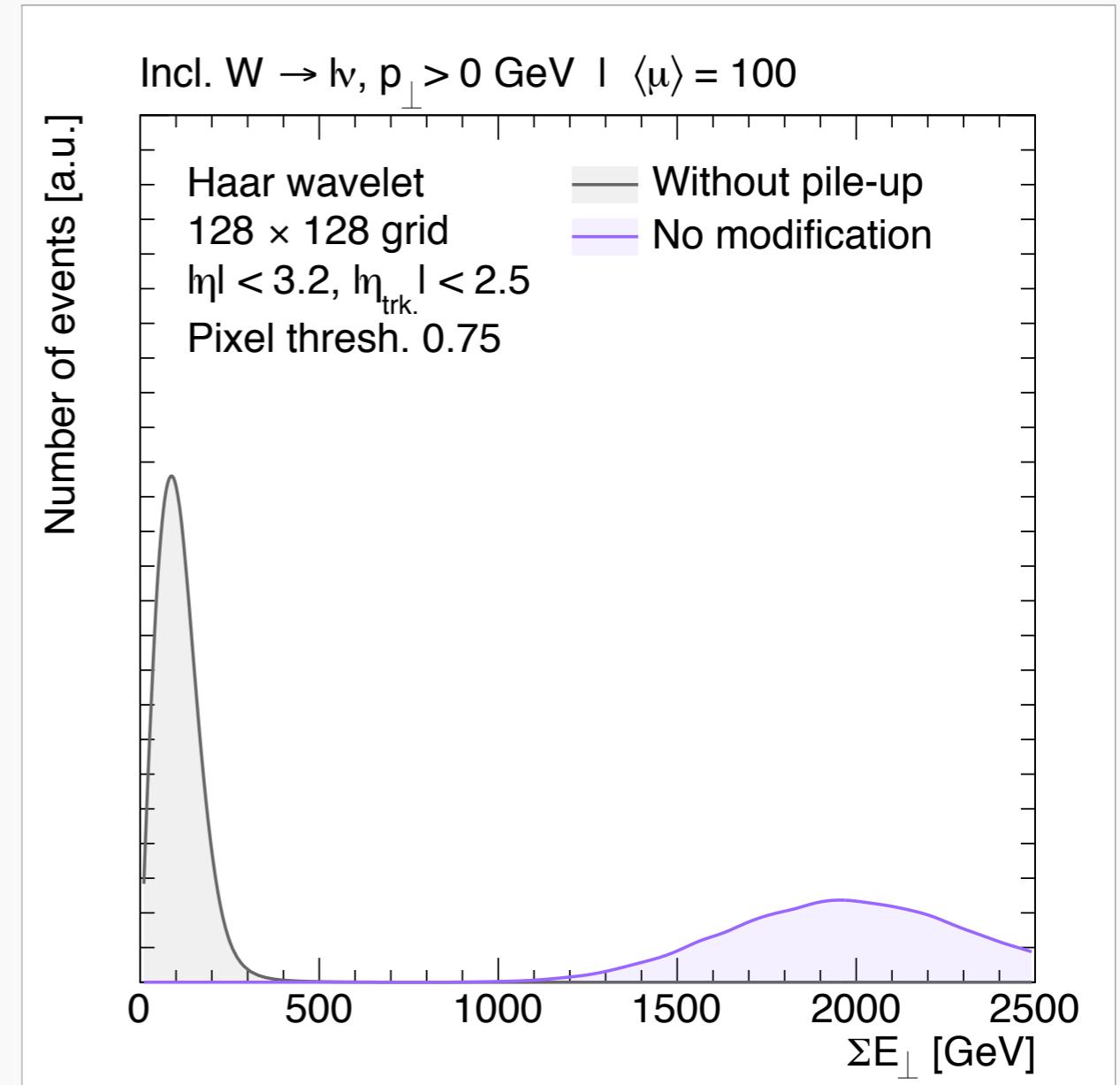
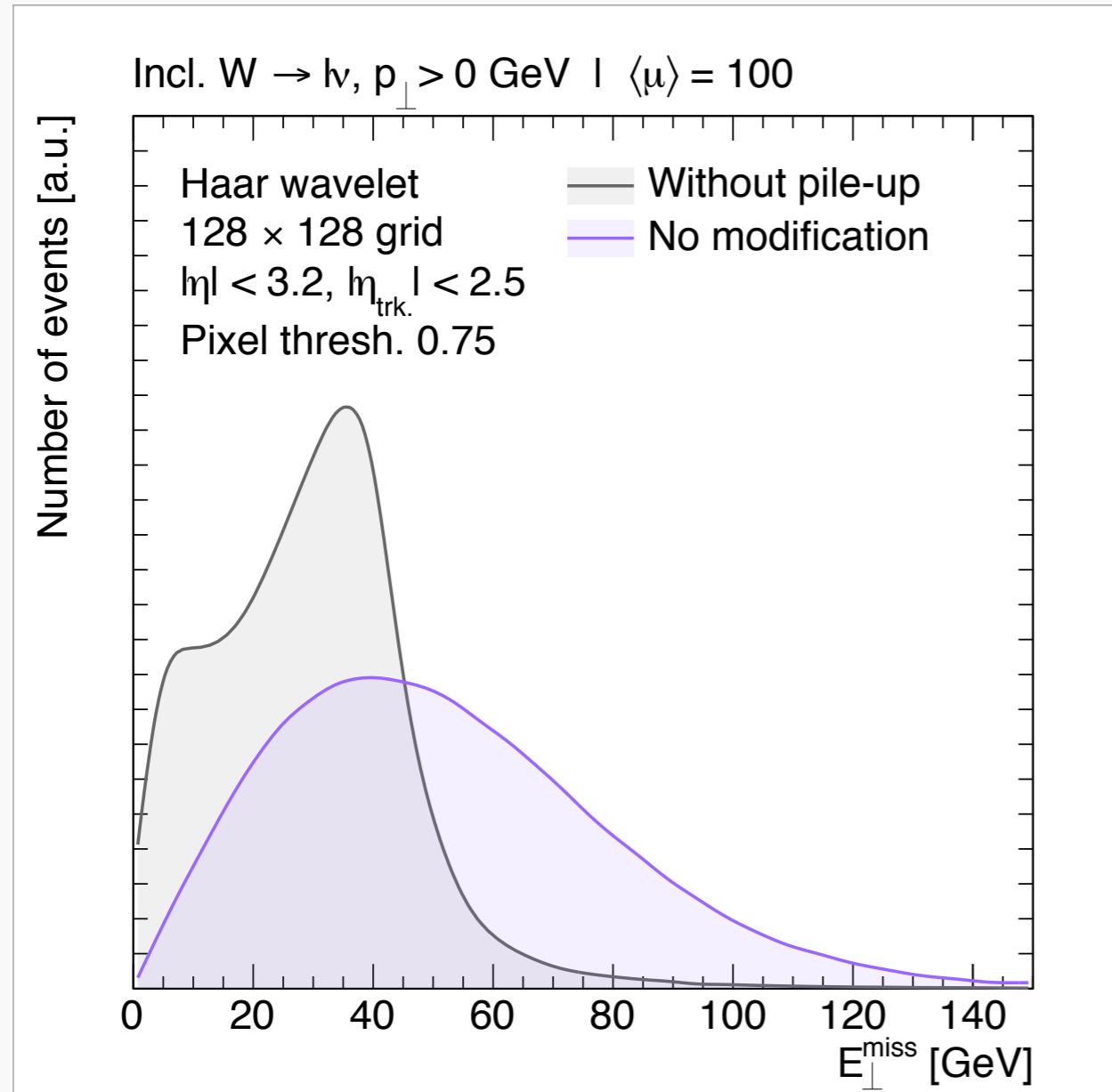
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- Selection:
  - Stable, visible final state particles
  - All particles  $|\eta| < 3.2$ , tracks  $|\eta| < 2.5$
  - $p_T > 500$  MeV
  - 100% tracking and vertex matching efficiency
- Signal samples (13 TeV, PYTHIA 8.205, A14-NNPDF23LO tune):
  - $W \rightarrow l\nu$
  - Incl.  $Z \rightarrow q\bar{q}$
  - Incl. QCD  $2 \rightarrow 2$  multijets
  - no gen. (reco.) level  $\hat{p}_T (p_T)$  cut
  - $\hat{p}_T (p_T) > 280$  (400) GeV
  - $\hat{p}_T (p_T) > 280$  (400) GeV
- Minimum bias samples: PYTHIA 8.205, A2-MSTW (MB) tune:
  - Overlaid using PILEMC.

# Missing and sum $E_T$

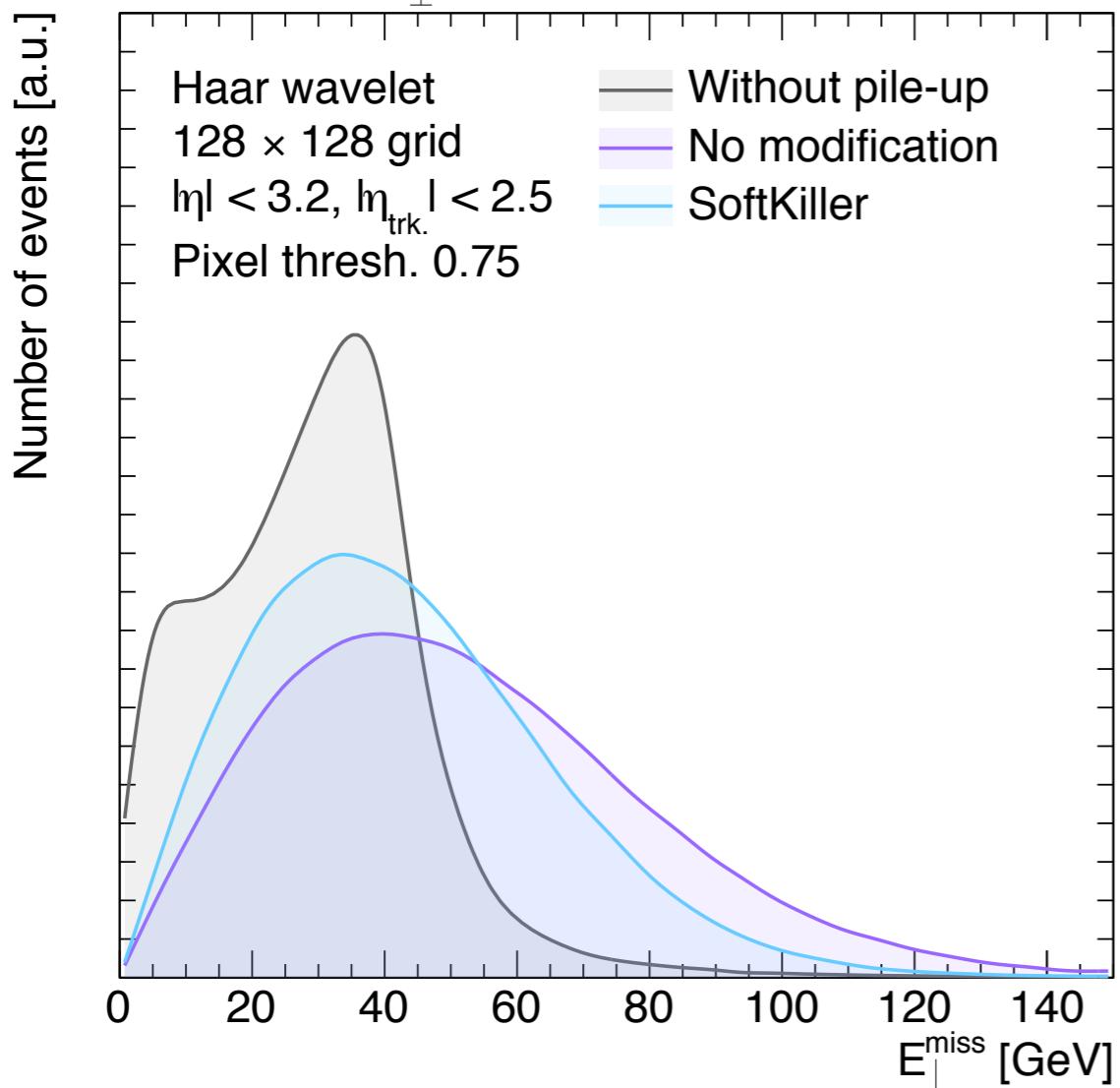
Incl.  $W \rightarrow l\nu$ ,  $p_T > 0$  GeV |  $\langle \mu \rangle = 100$ Incl.  $W \rightarrow l\nu$ ,  $p_T > 0$  GeV |  $\langle \mu \rangle = 100$ 

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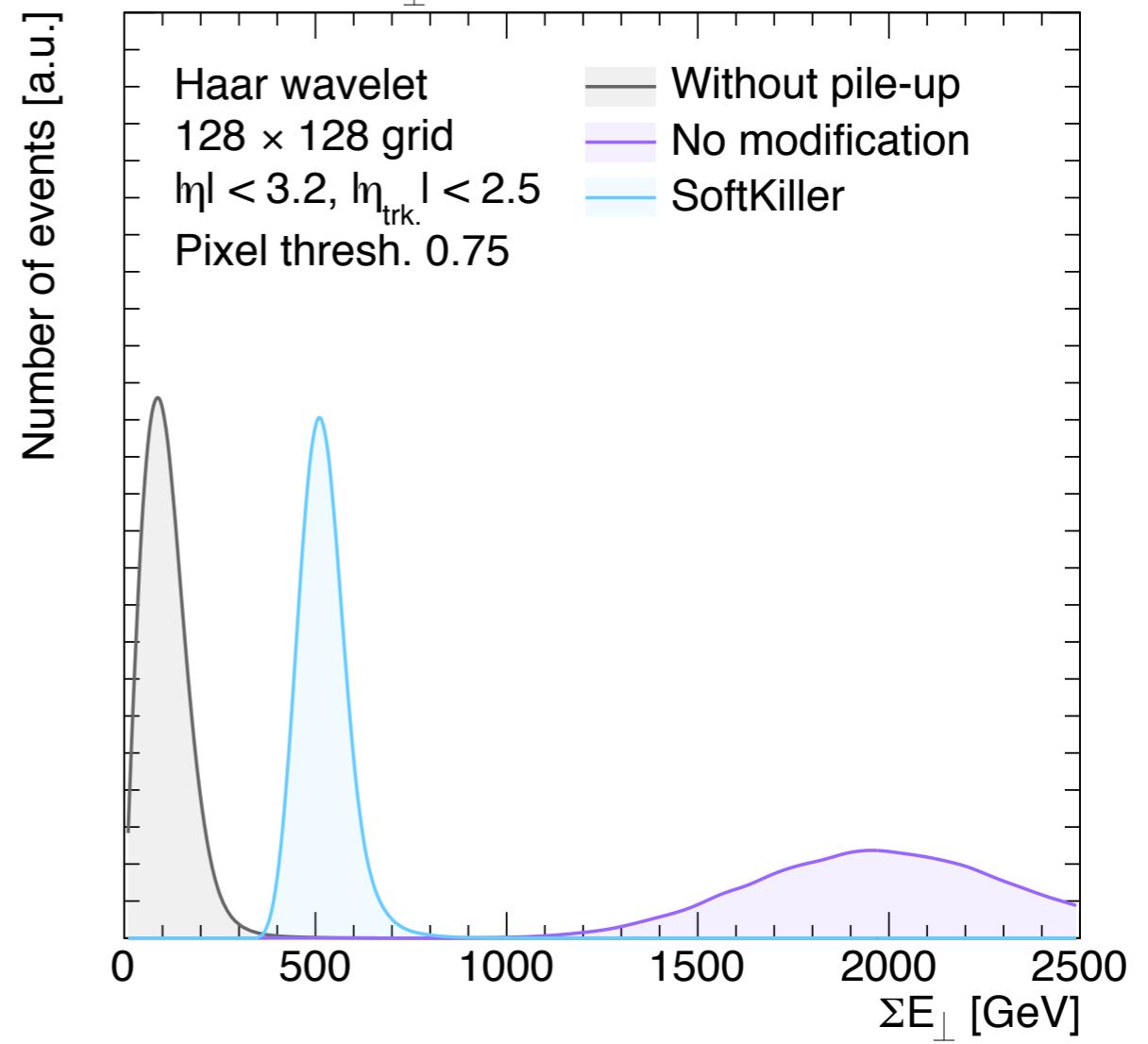


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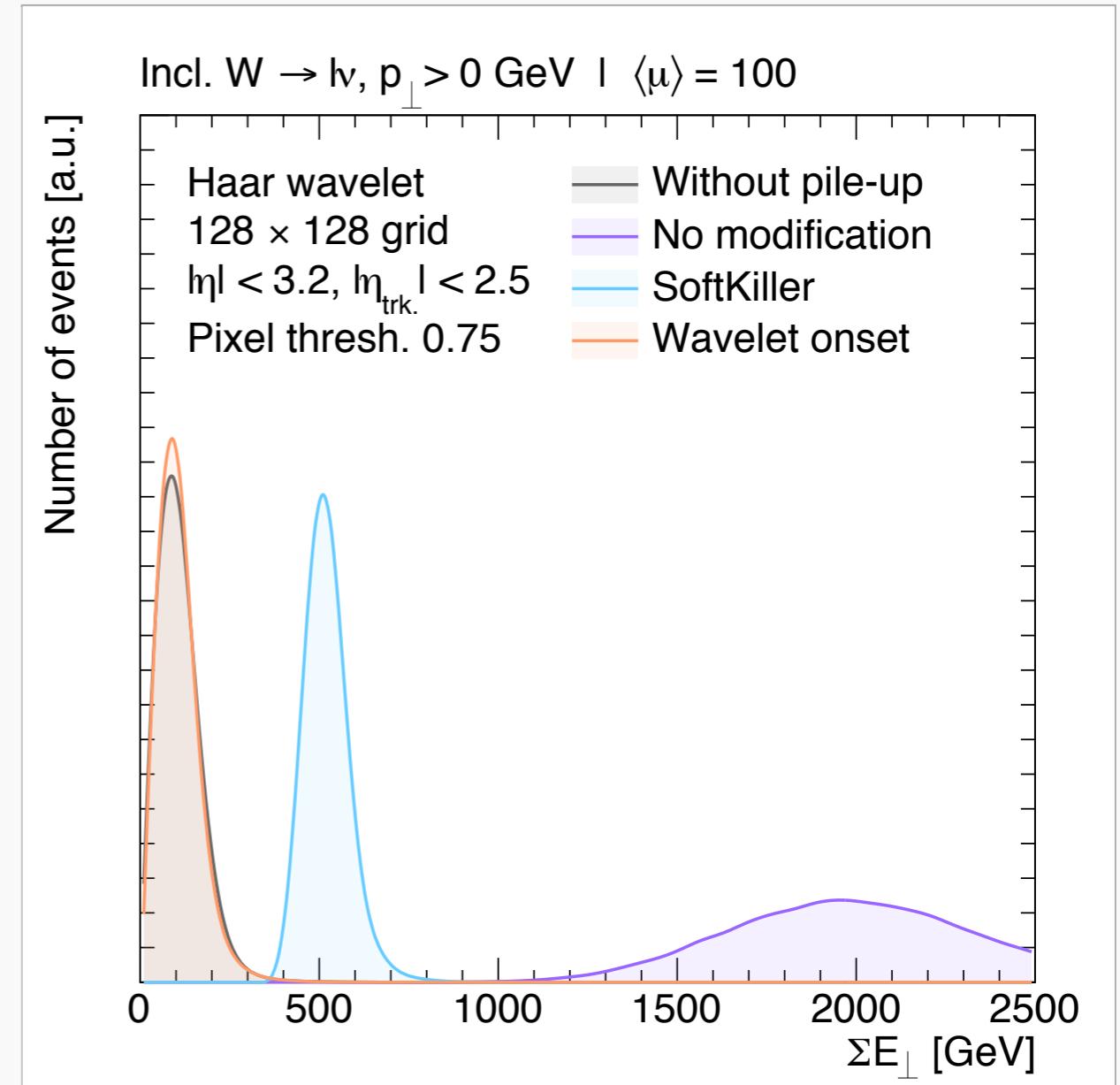
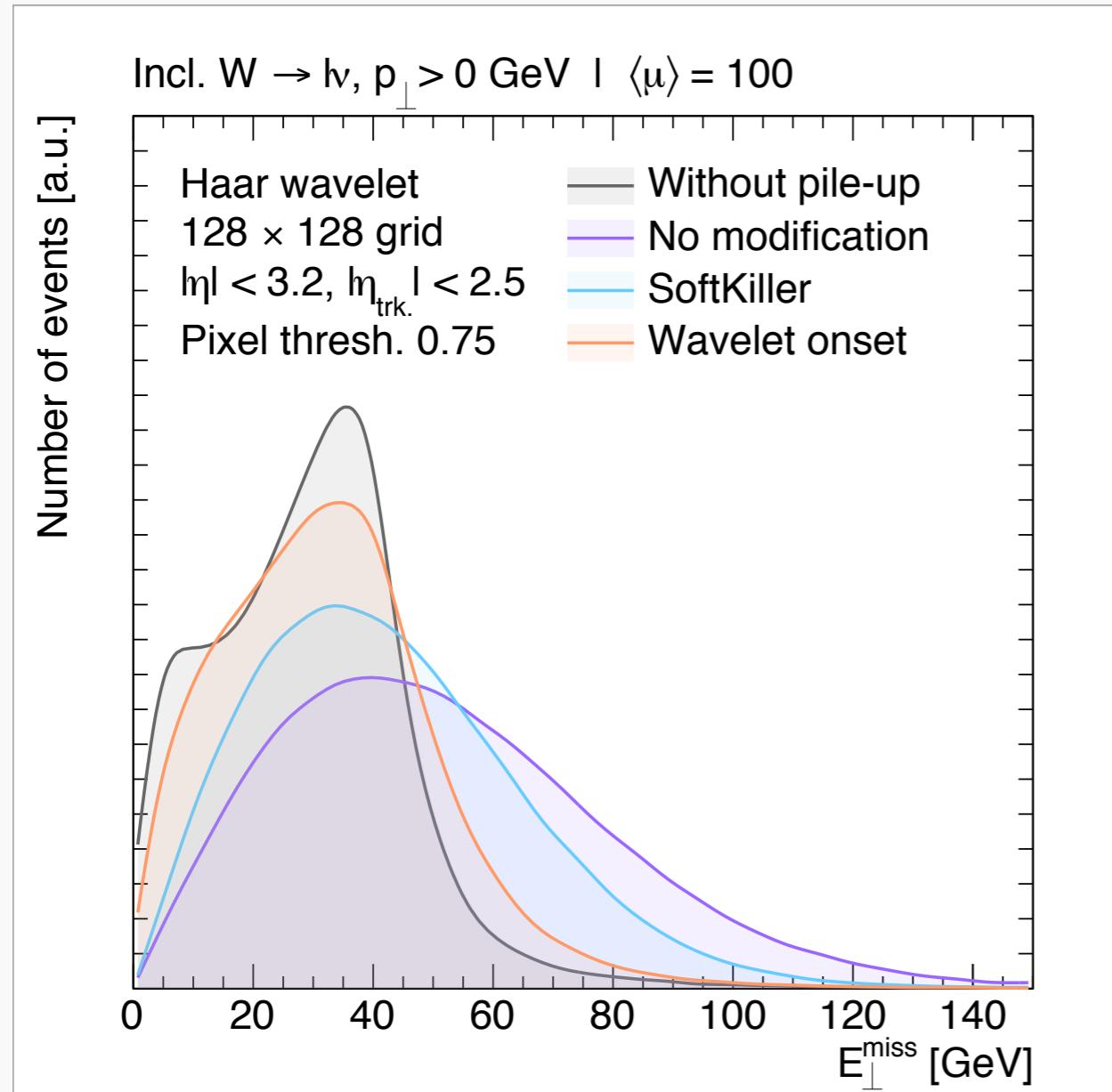
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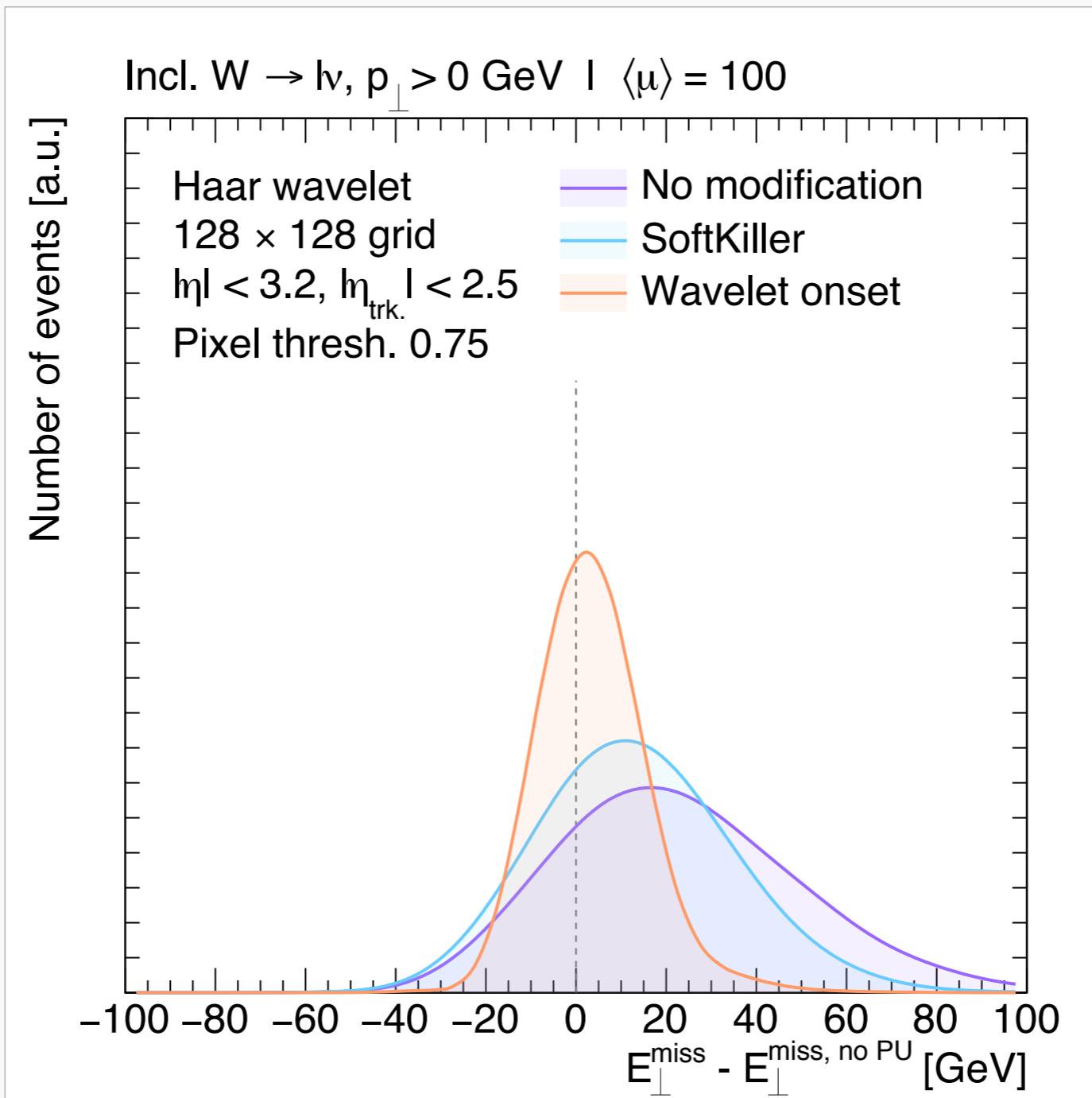
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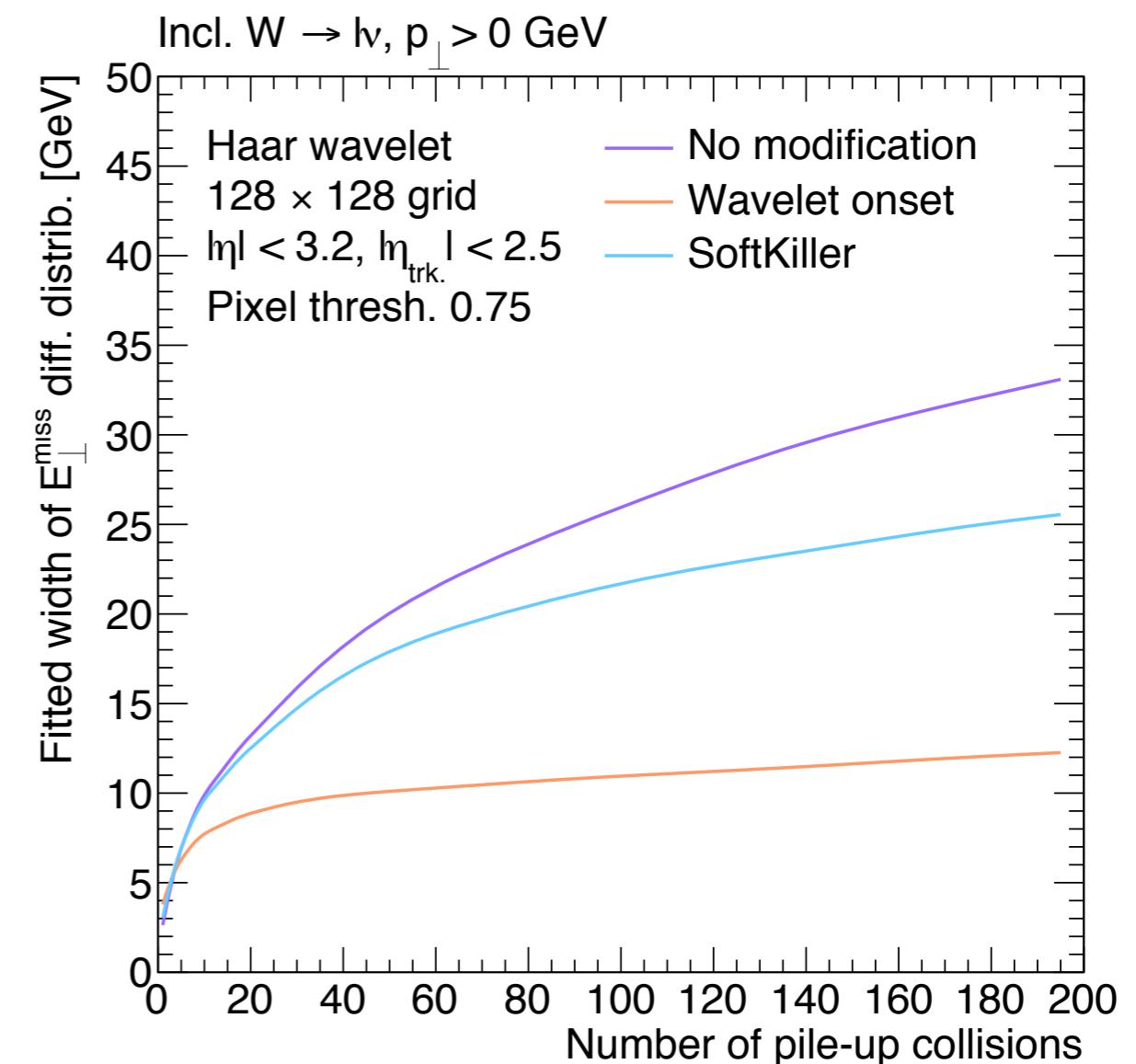
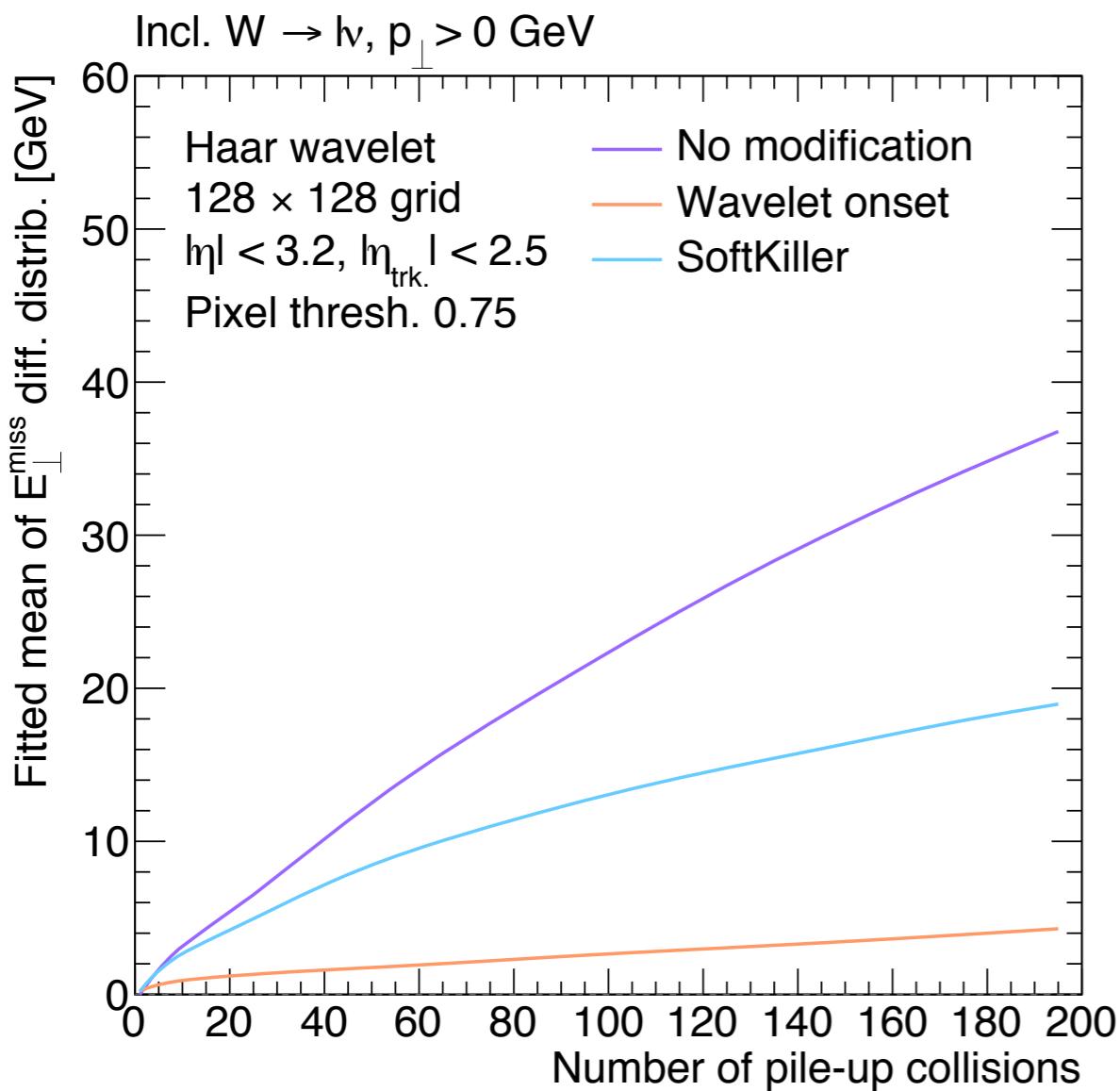
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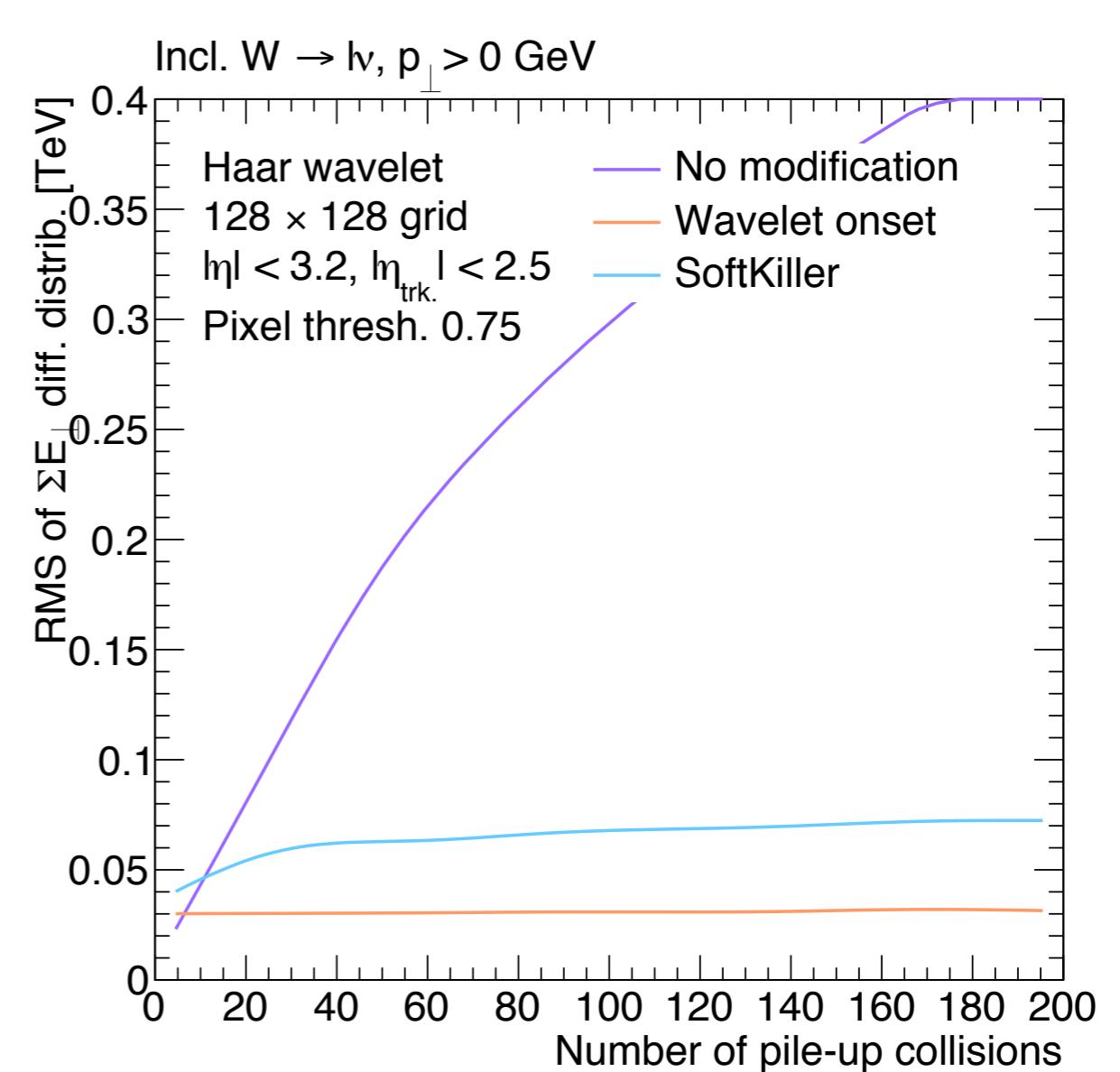
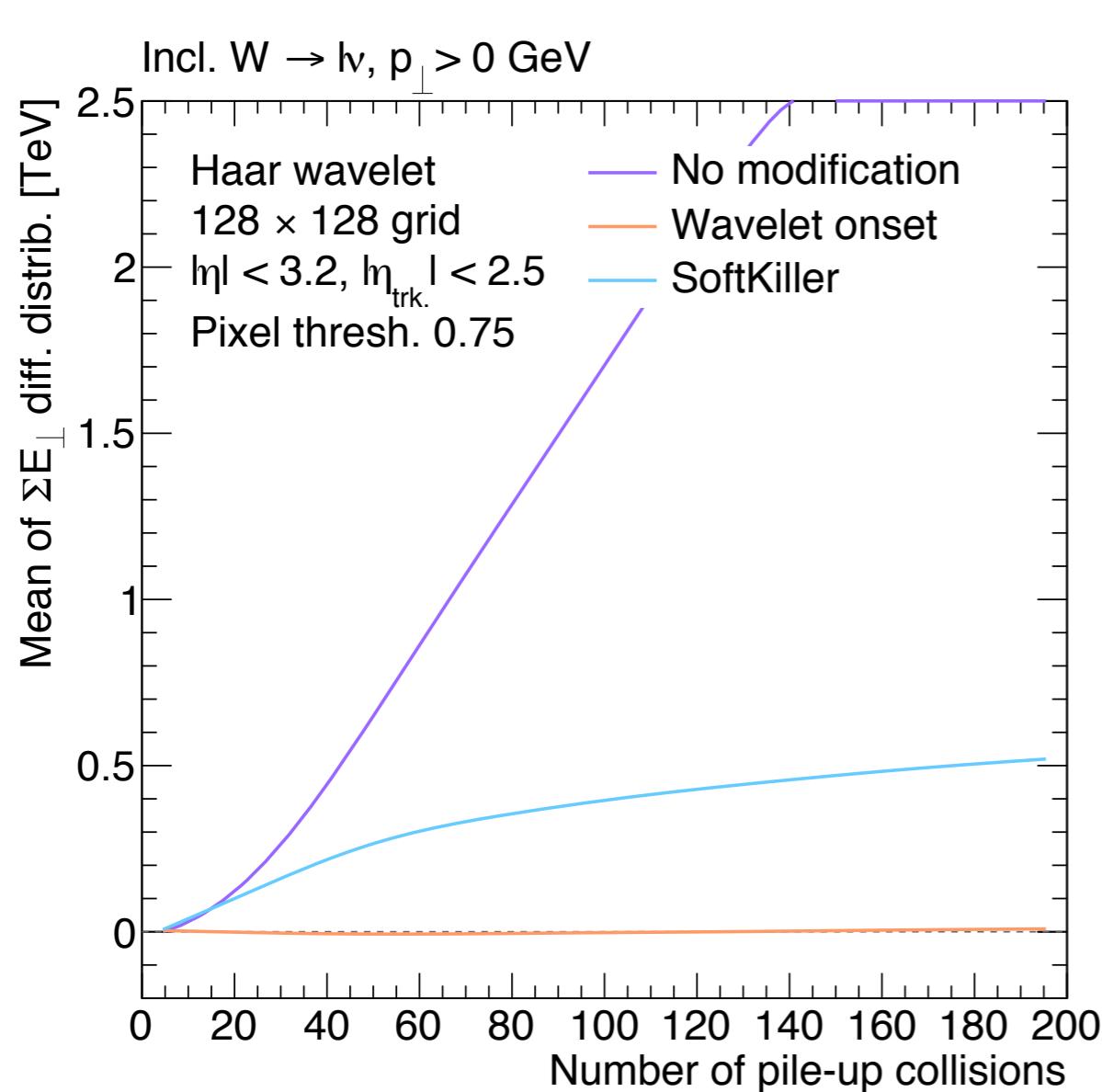
# Missing $E_T$ -resolution



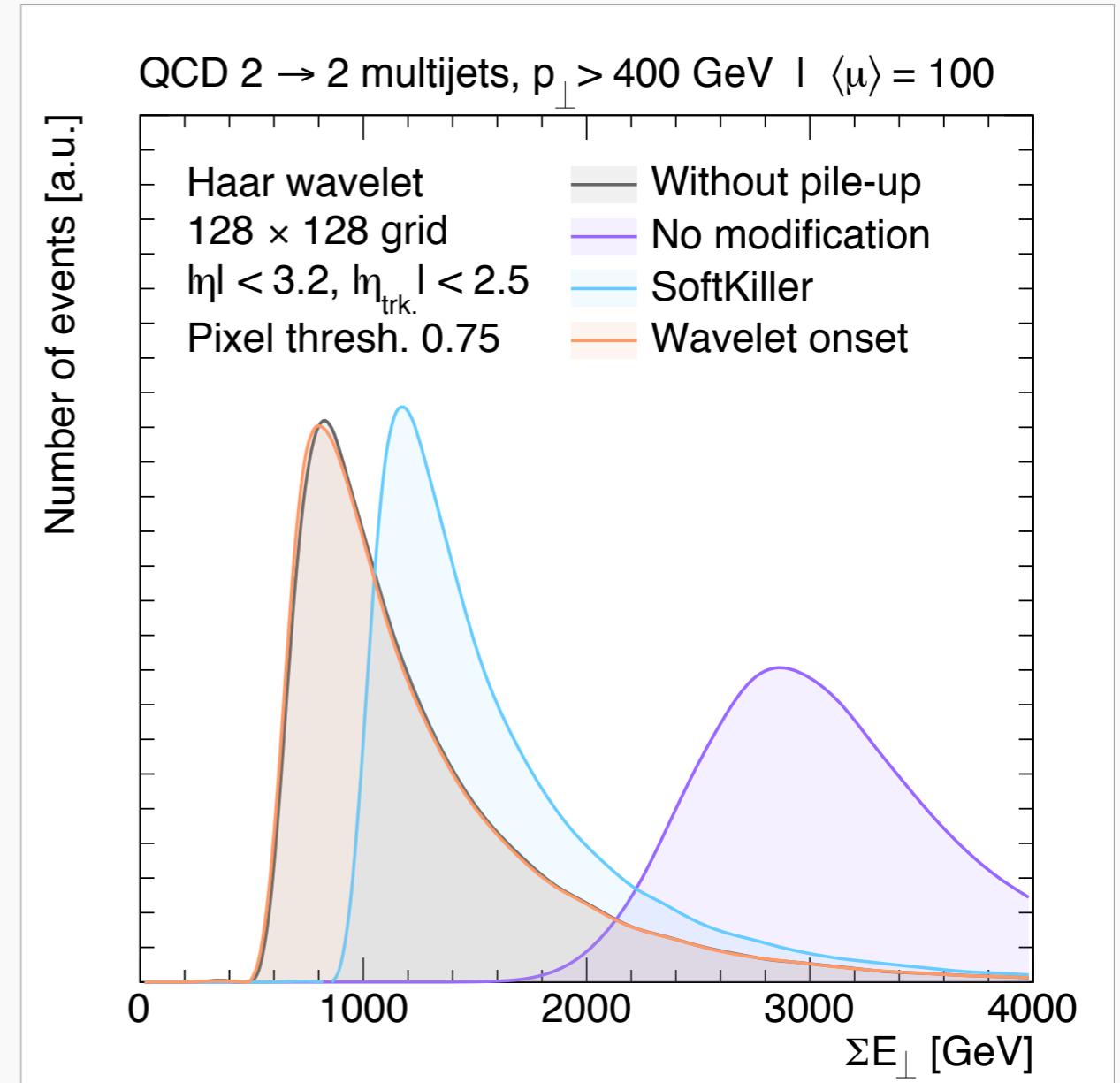
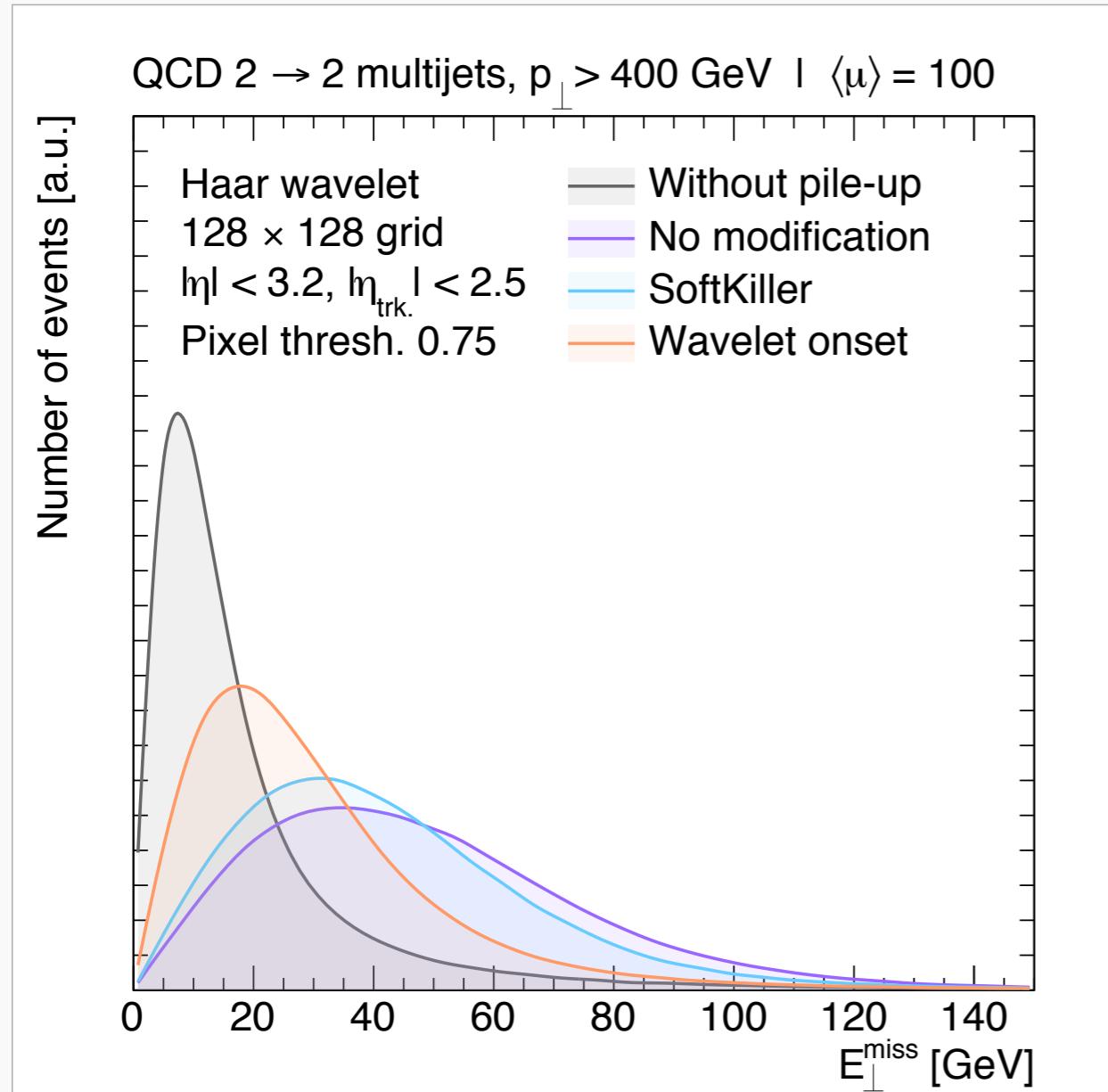
# $\mu$ -dependence • Missing $E_T$ -resolution



# $\mu$ -dependence • Sum $E_T$ -resolution



# Missing and sum $E_T$ -resolution

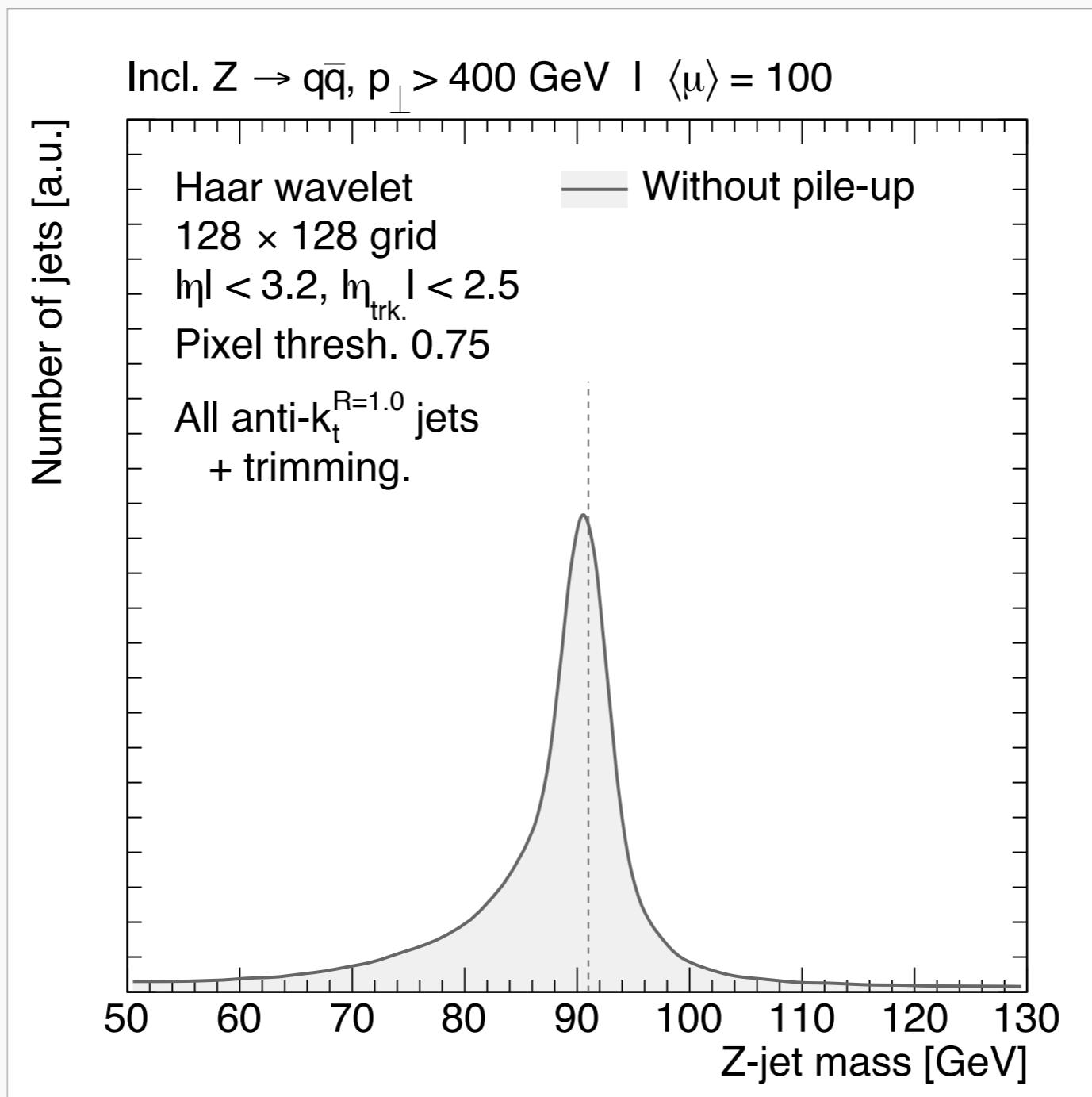


# Fat jet studies

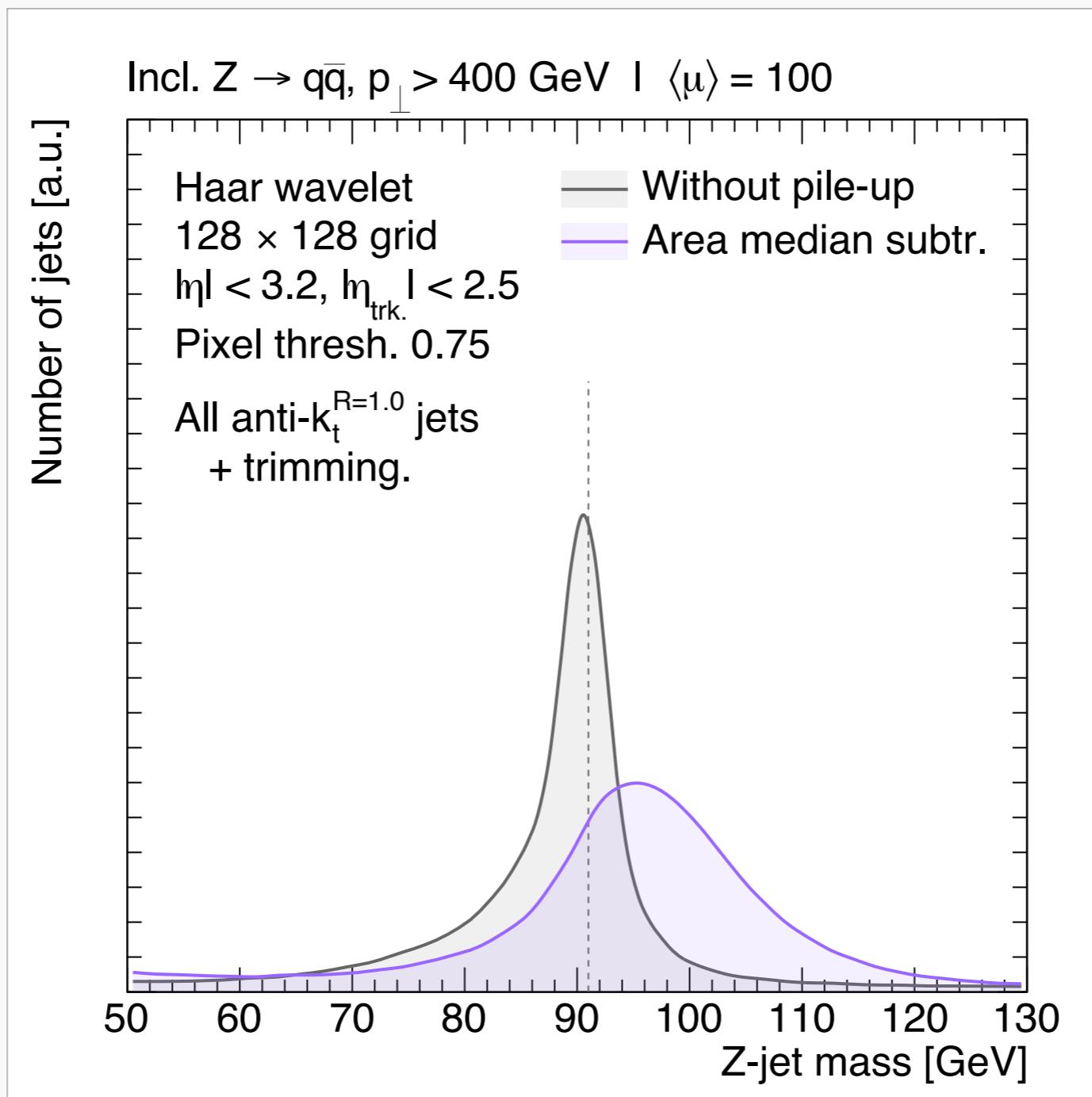
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- Samples:
  - Incl.  $Z \rightarrow q\bar{q}$  and QCD  $2 \rightarrow 2$  multijet,  $p_T > 400$  GeV
- Jets:
  - Anti- $k_T^{R=1.0}$  jets clustered with FASTJET
  - Trimming, using  $k_T^{R=0.2}$  jets and  $p_T$ -fraction 0.05
  - ‘Z-jet’: Highest- $p_T$  jet within  $dR = 0.6$  of truth-level Z boson
  - ‘Leading jet’: Highest- $p_T$  jet
- Comparison:
  - Area median subtraction and SoftKiller

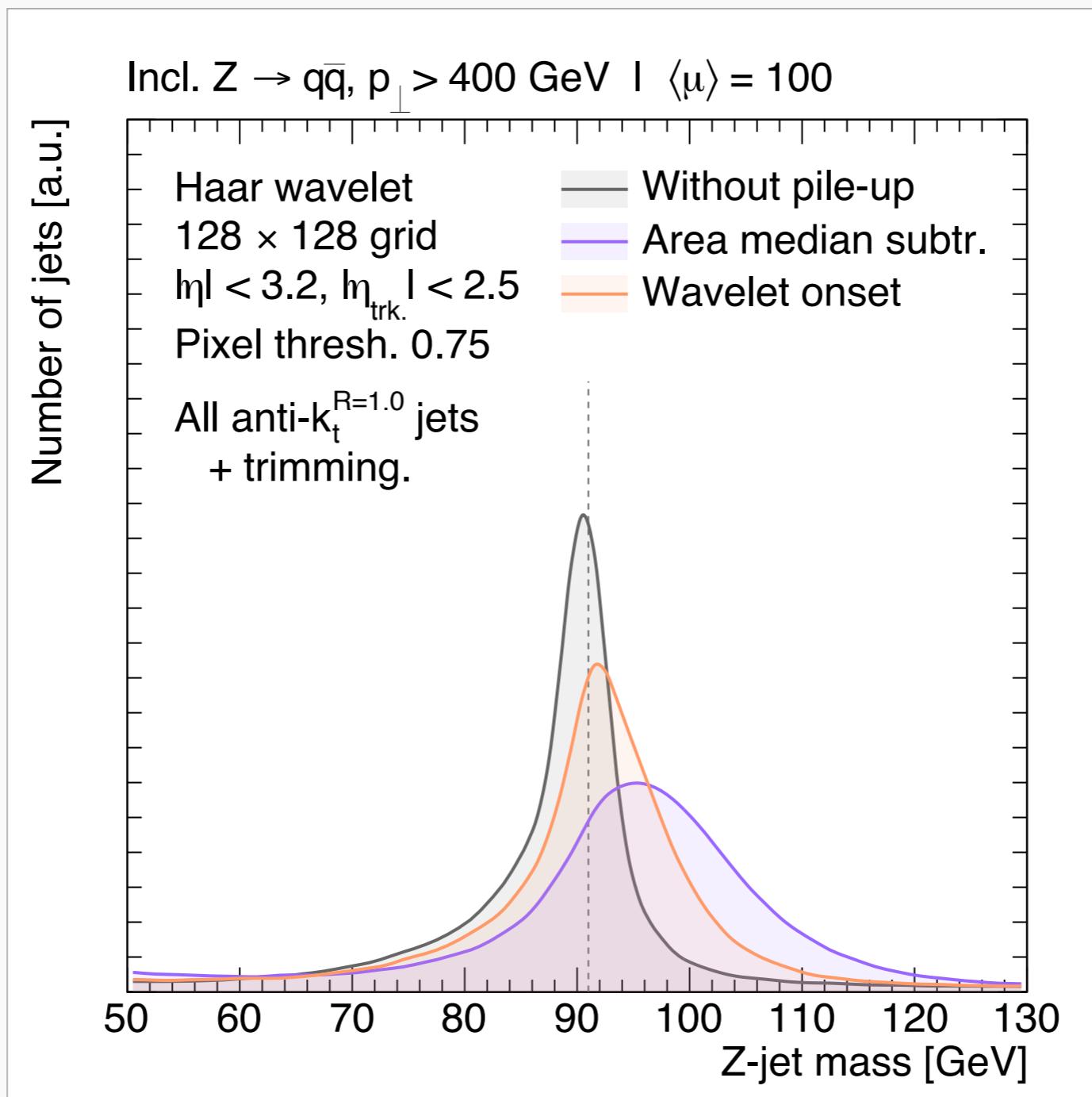
# Z-jet mass



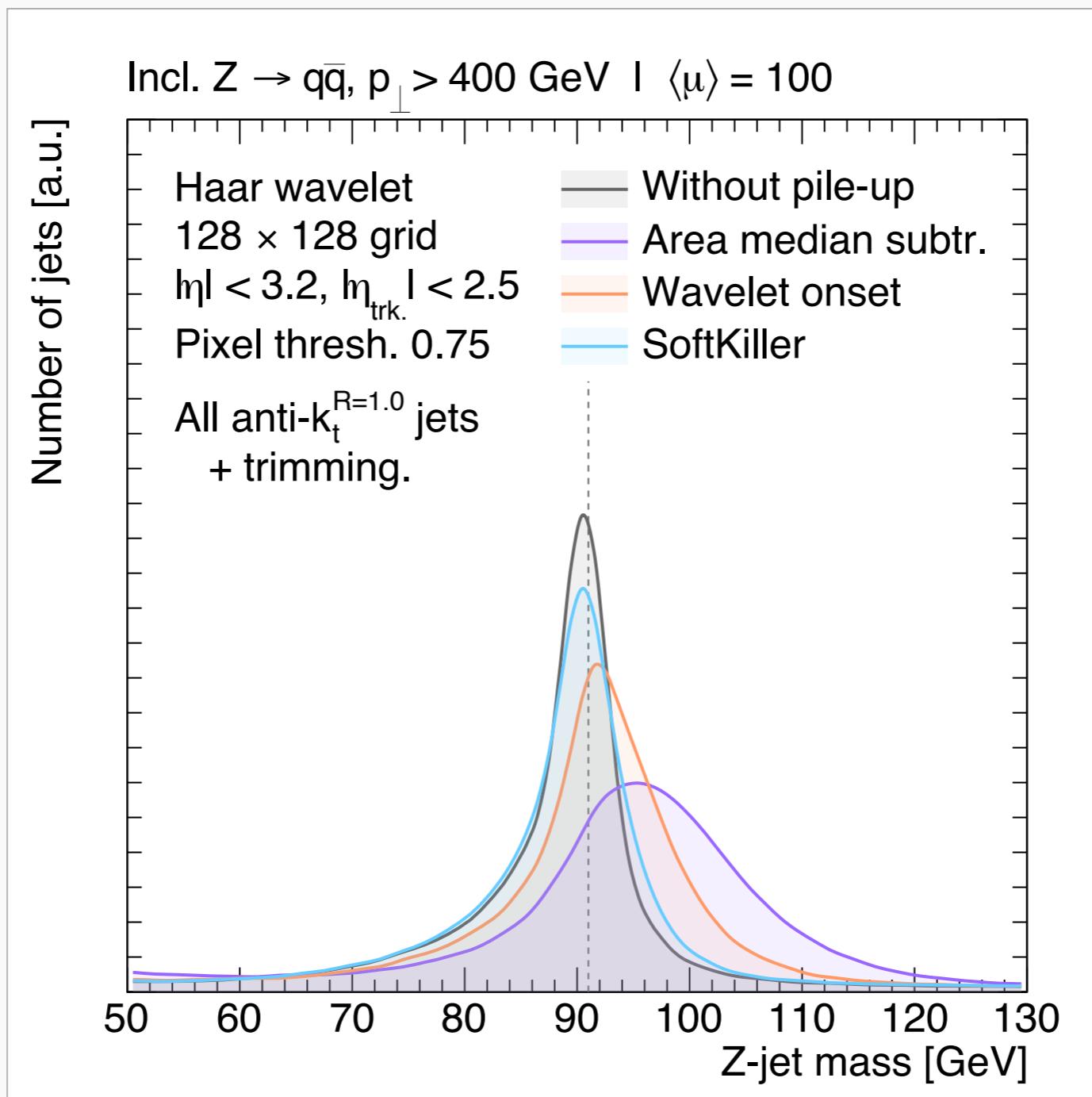
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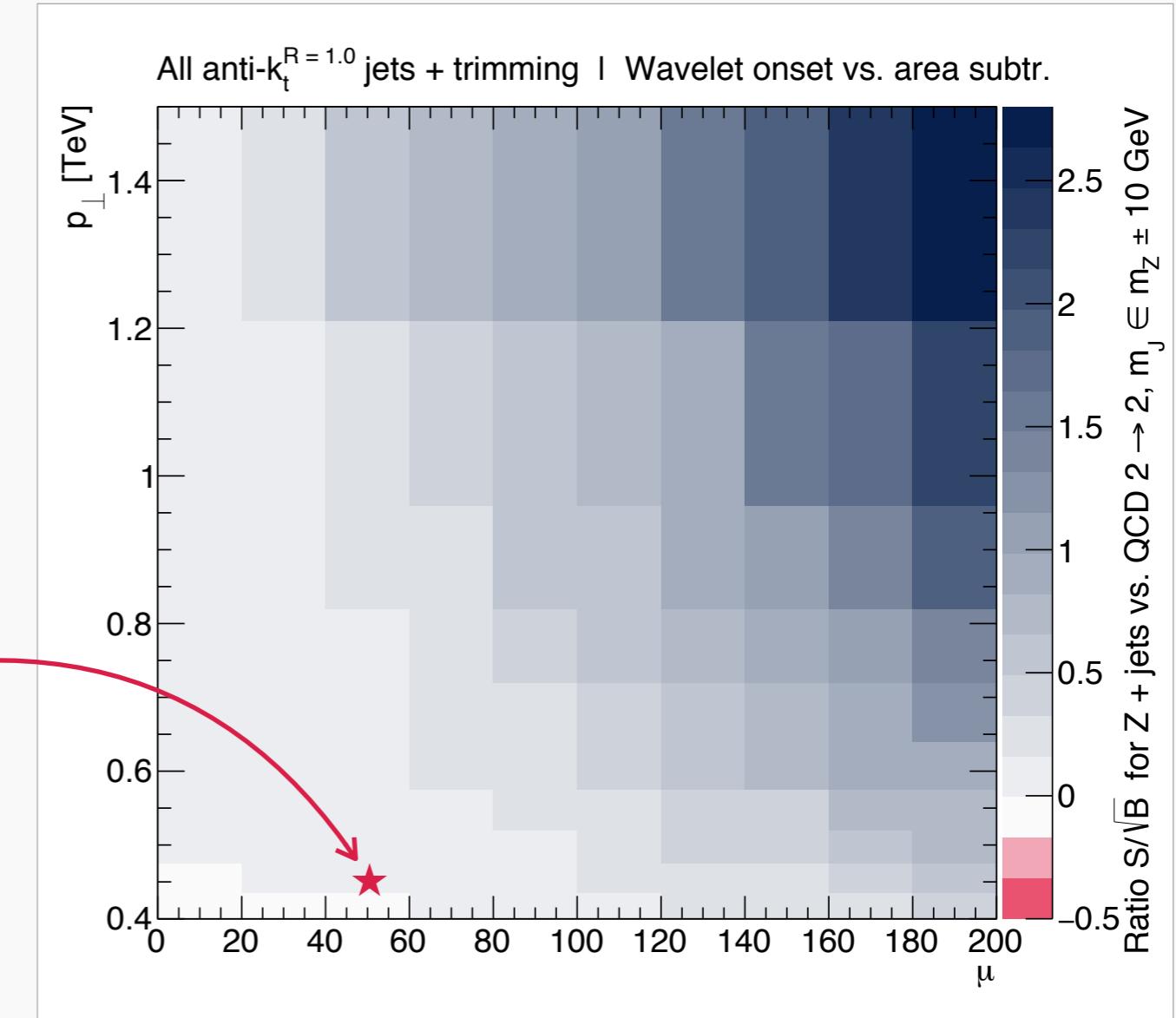
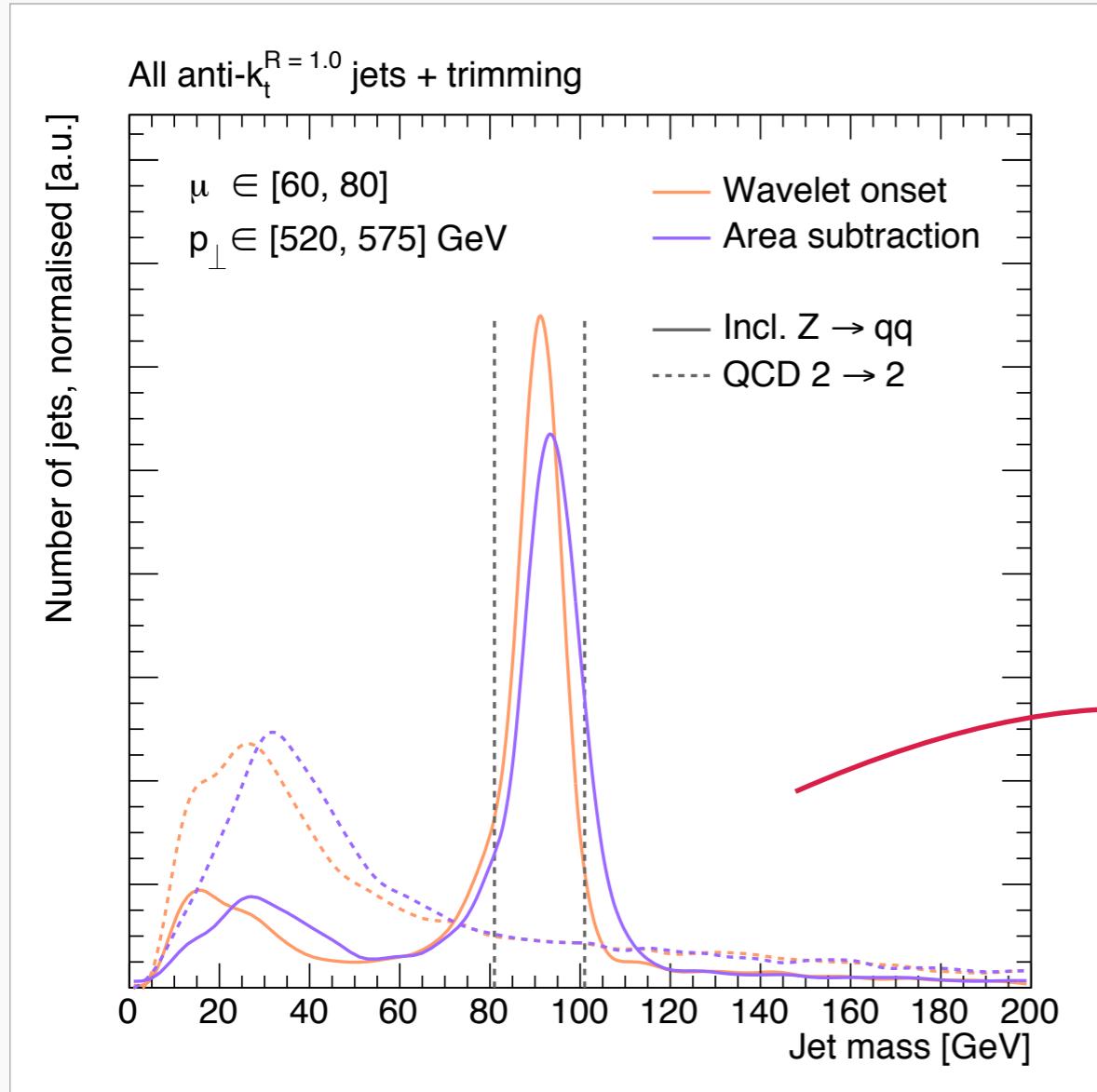
# Z-jet mass



# Z-jet mass



# Boson jet sensitivity improvement



# Summary and outlook

---

- Motivated use of wavelets in HEP
- Showed the ability to naturally separate “white noise” pile-up from hard scatter events with small-angle structure
- Substantial potential is seen in improving measurement of both global and local inclusive observables
- Hope to implement similar methods in LHC analyses, ideally using a combination of the observables discussed today

# Thank you.



# Questions

---

- How does it compare to PuPPI?
  - *Dunno.*
- Have you tried PuPPI?
  - *Nope.*
- Any thoughts on systematics?
  - *Nope.*

# Backup

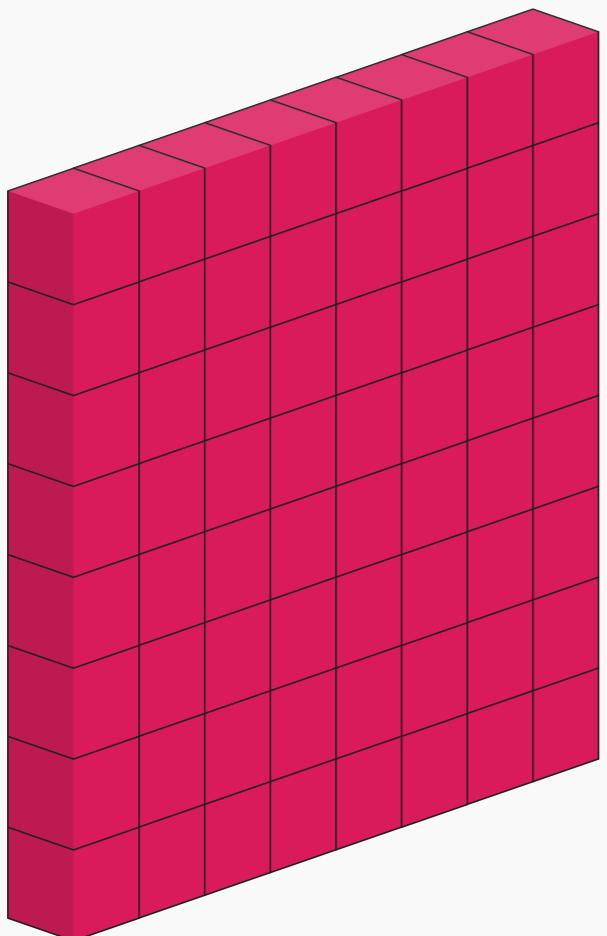
# Learning optimal bases

---

- Wavelet decomposition can be formulated as a deep neural network with a non-trivial architecture.
- Such a NN with  $64 \times 64$  input in principle has  $4.4 \times 10^7$  weight coefficients.
- As a wavelet analysis realisation, the NN weight matrices are highly constrained.
- This means that the actual number of coefficients is  $N = 2, 4, 6, \dots$  i.e. the *filter coefficients* of the corresponding wavelet basis.

# Wavelet/NN architecture

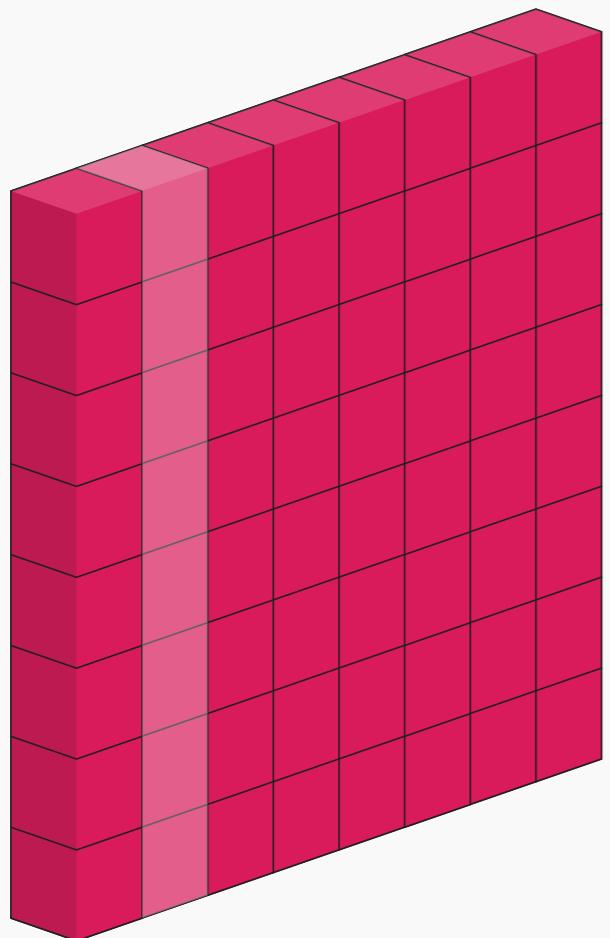
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(NN input)

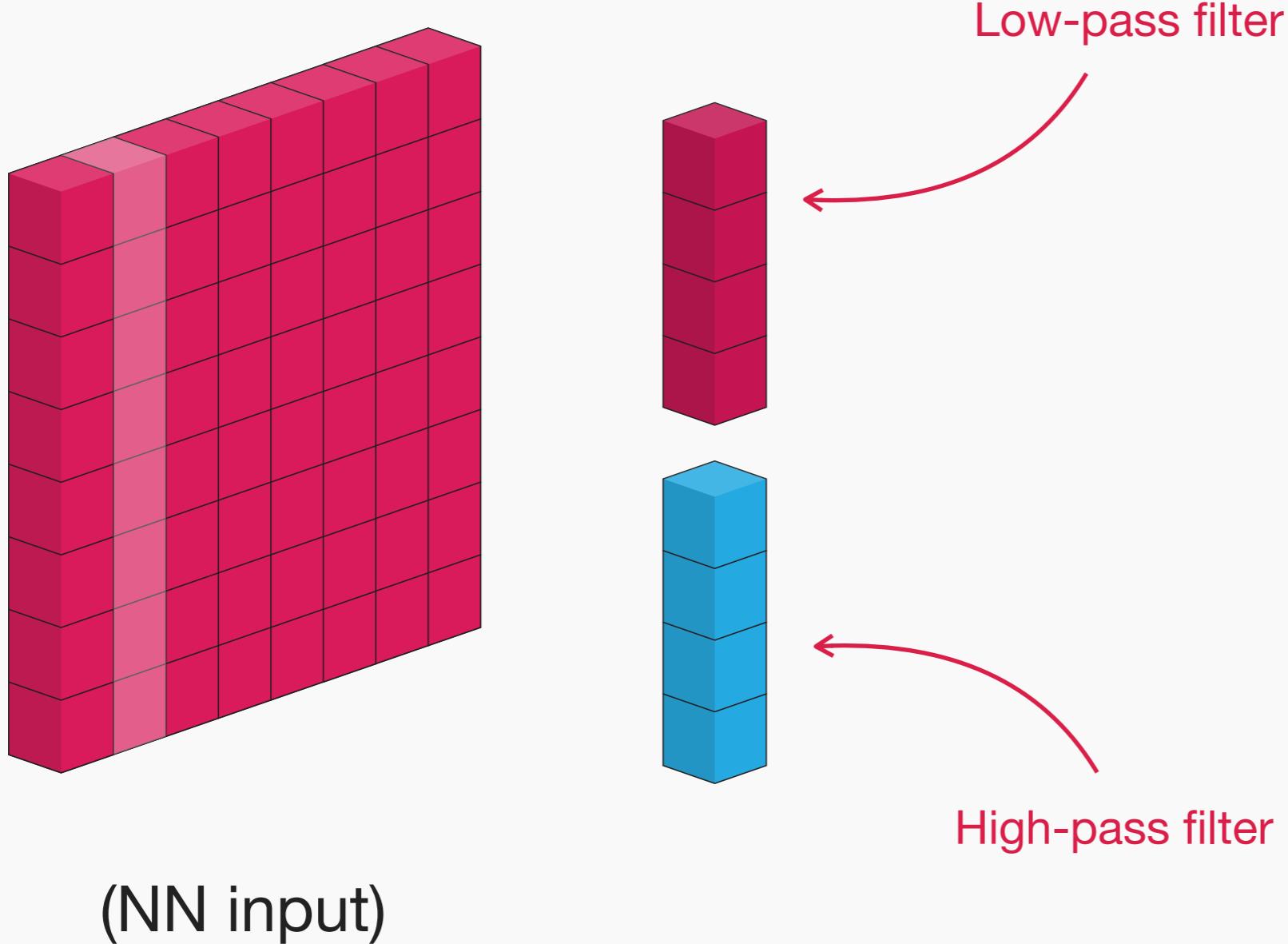
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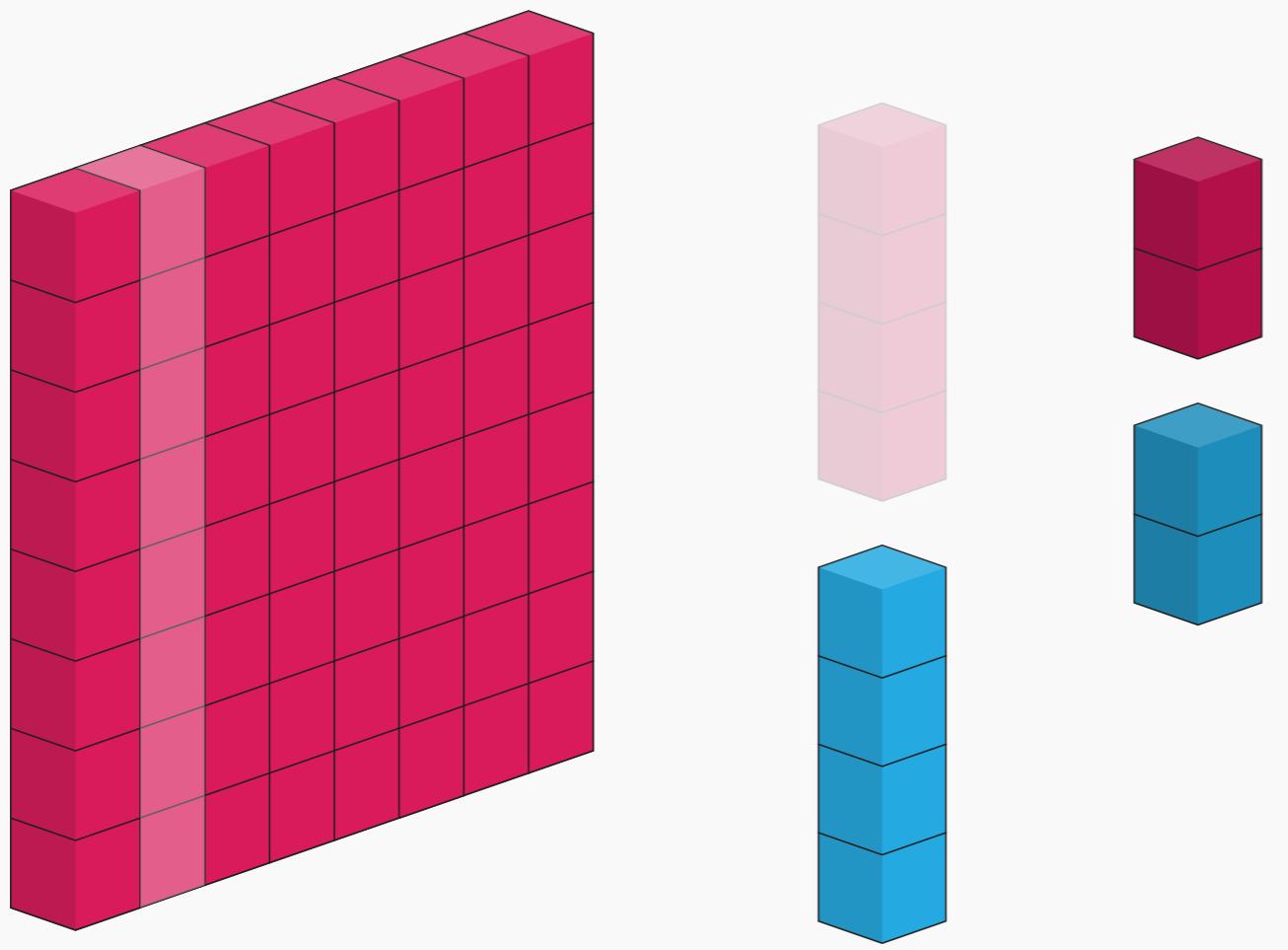


(NN input)

# Wavelet/NN architecture

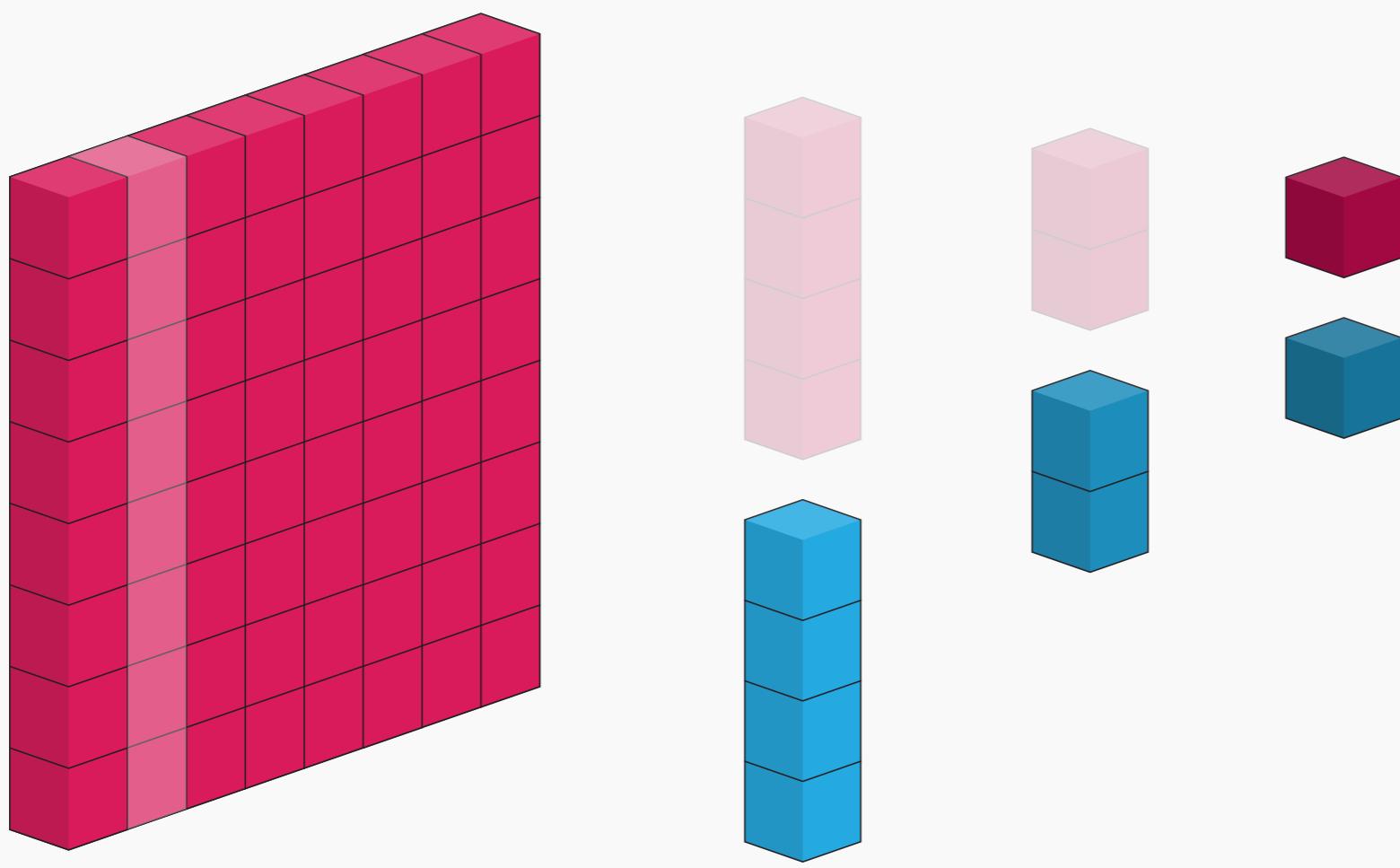


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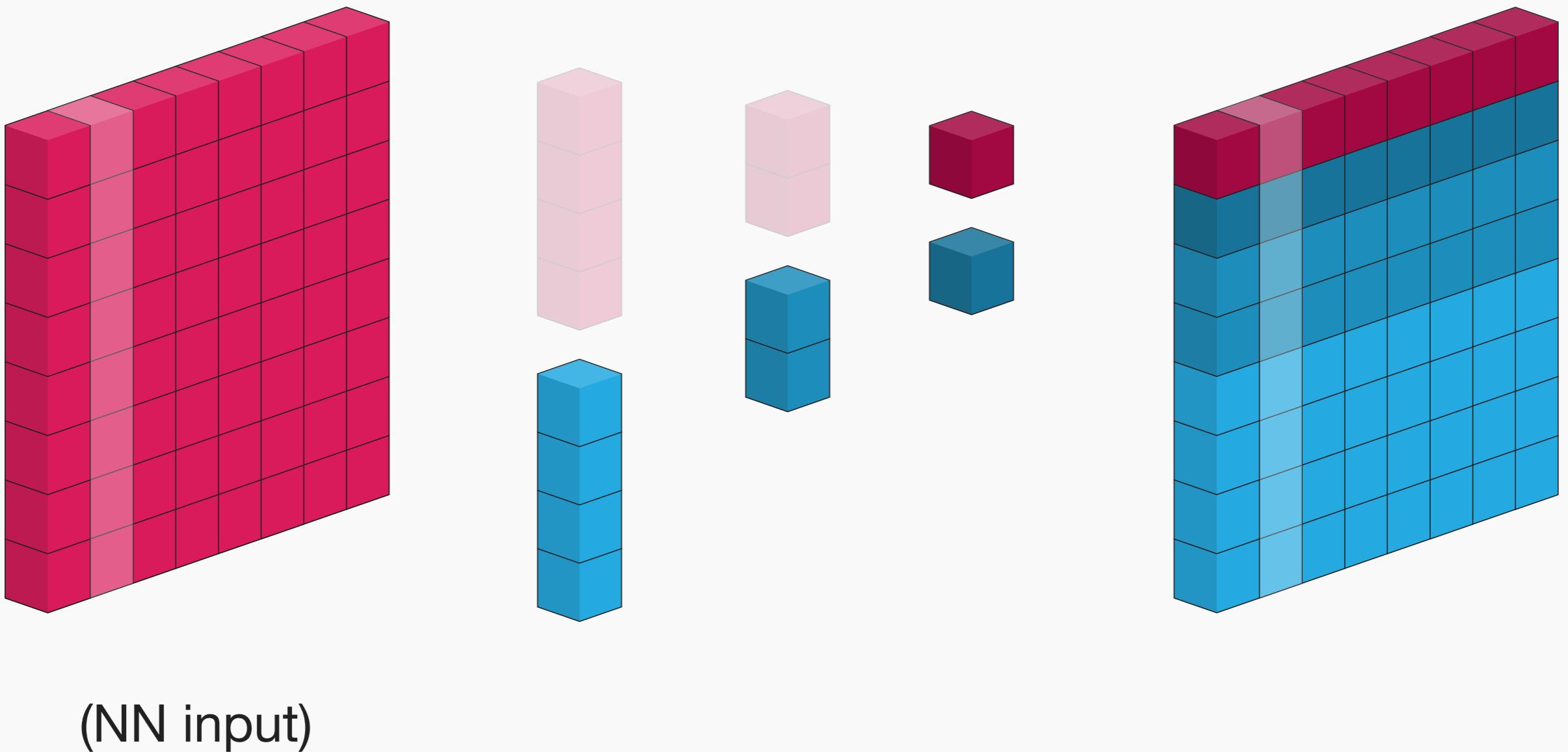


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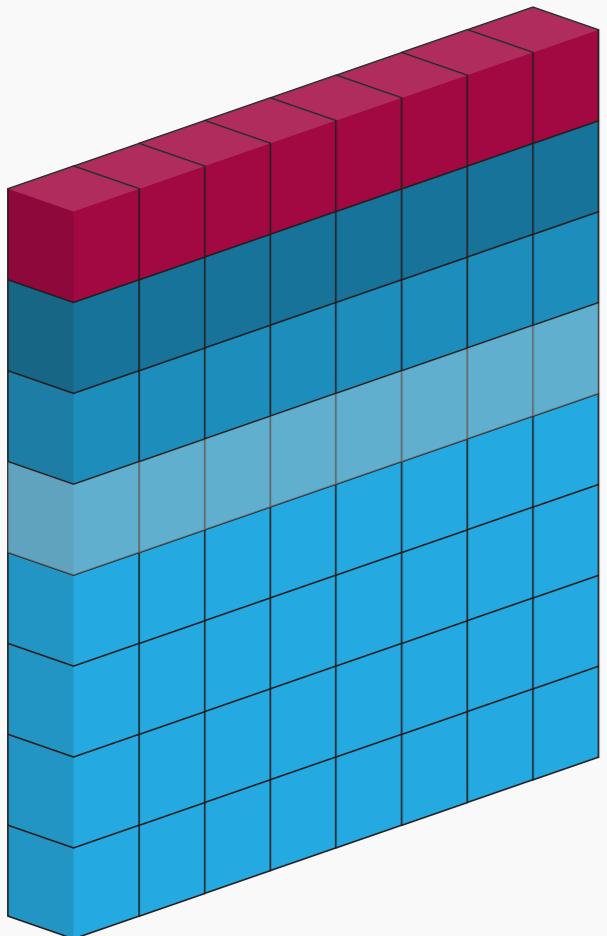
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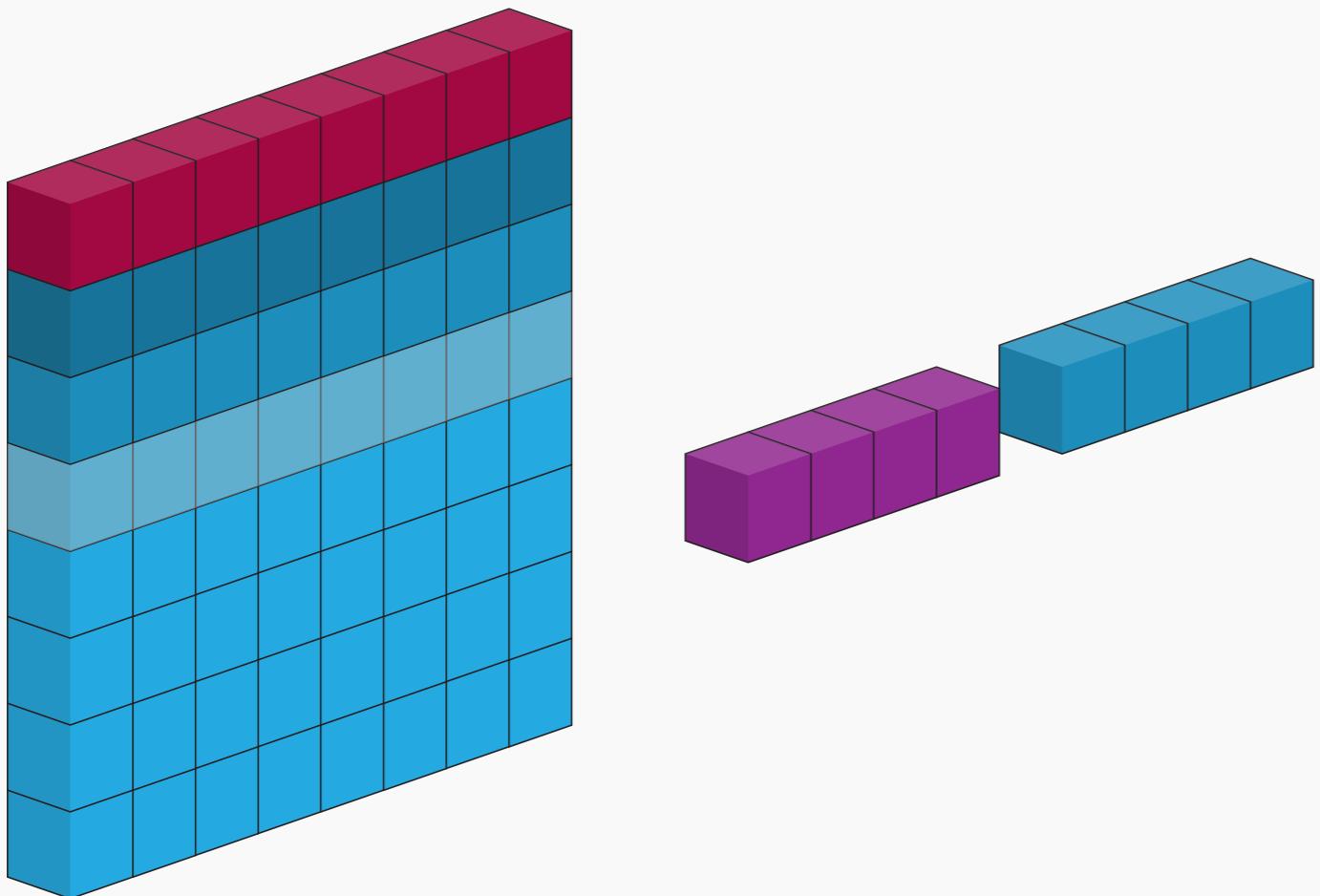
# Wavelet/NN architecture



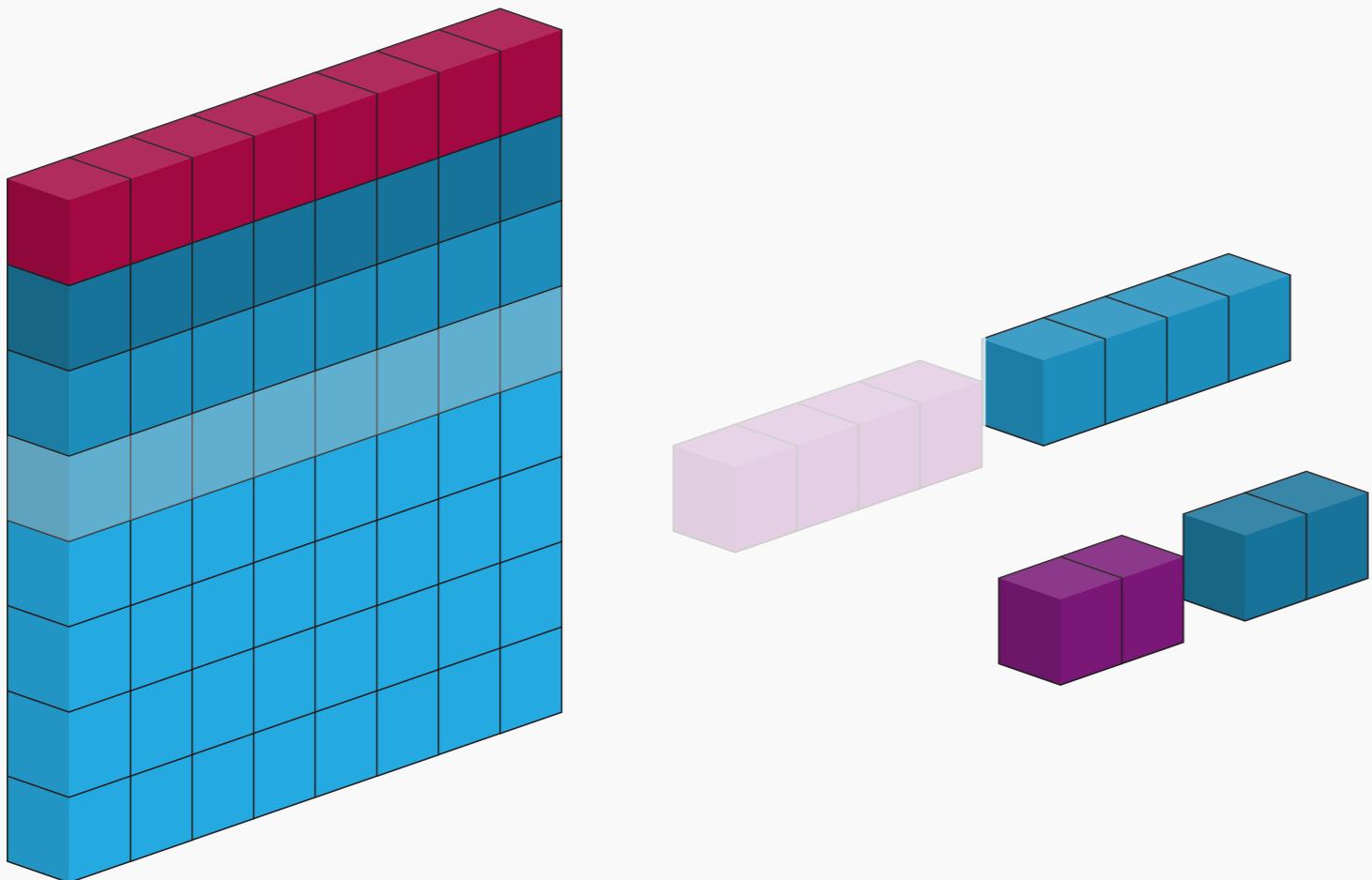
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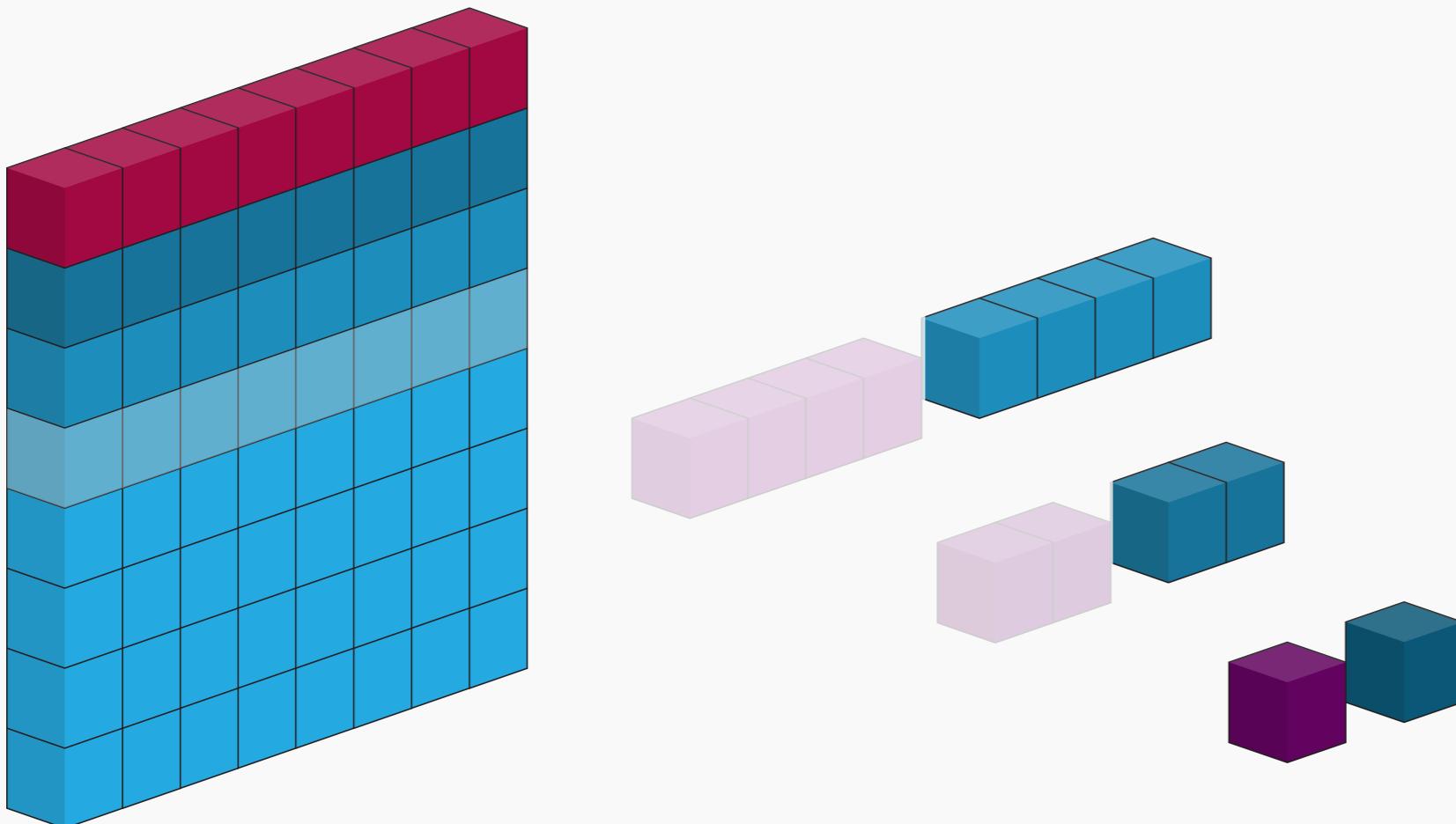
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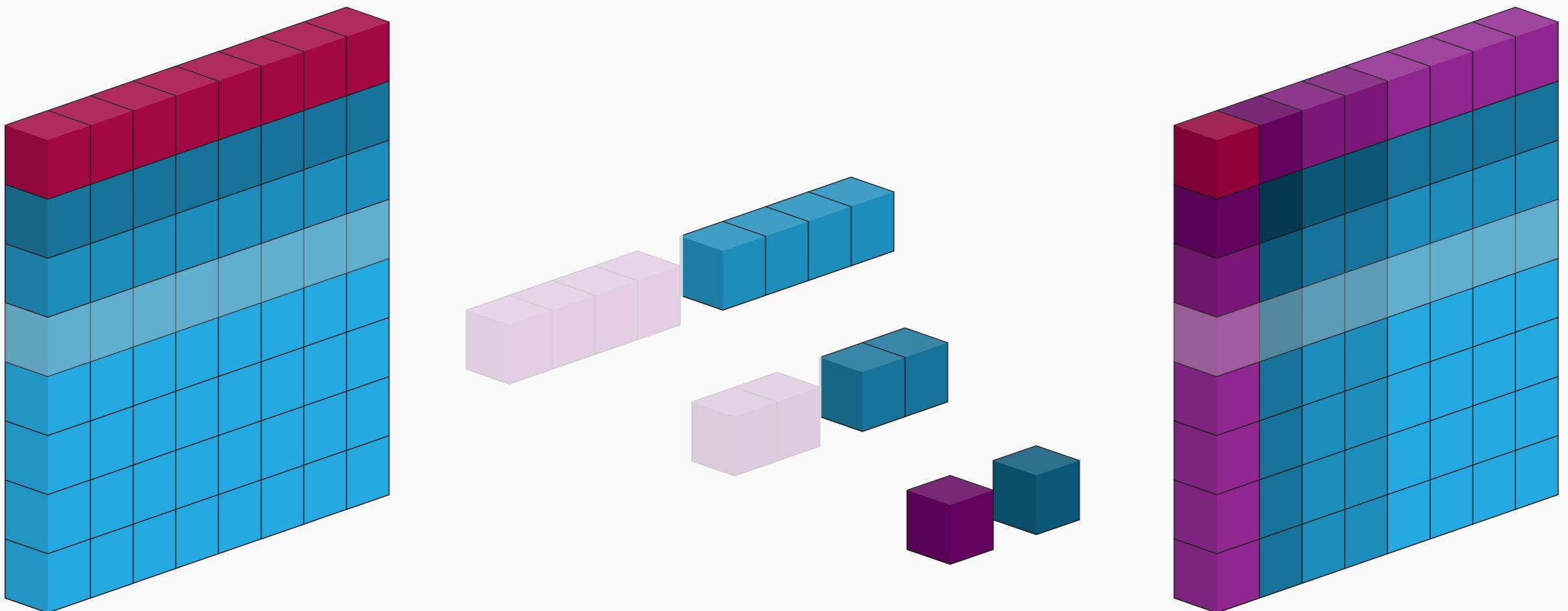
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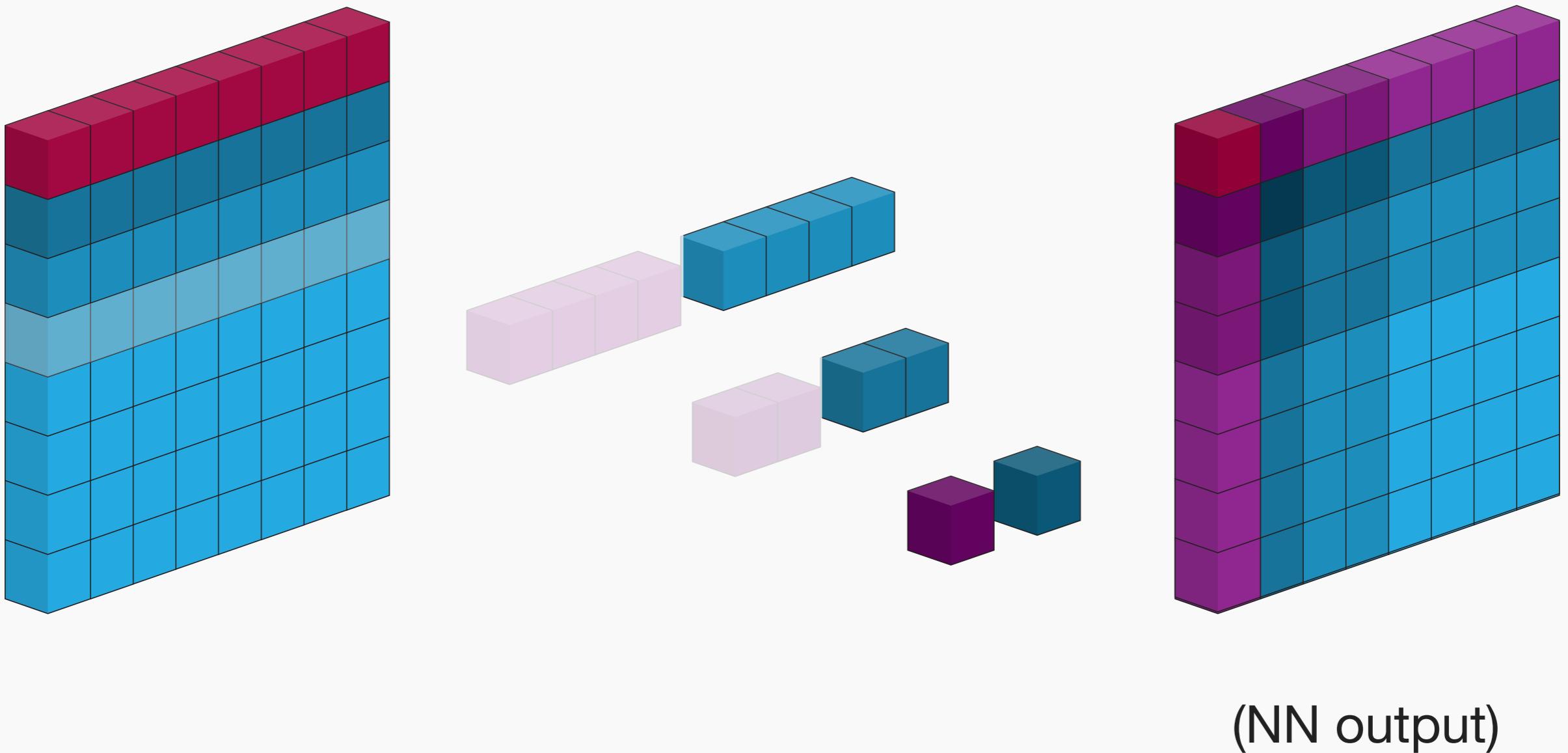
# Wavelet/NN architecture



# Wavelet/NN architecture



# Wavelet/NN architecture



# How to measure ‘optimality’

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- One can then use gradient descend with back-propagation to learn filter coefficients which are optimal wrt. some criterion.
- One such measure is *sparsity*, i.e. the ability of a basis to efficiently encode information contained in a certain type of input, e.g. jet events.
- Sparsity can be quantified by the *Gini coefficient*
- In addition, we need to impose five constraints on the filter coefficients, to ensure that they result in an actual wavelet basis.
- These are realised as quadratic *regularisation* terms in the combined *cost function*.

# Cost function

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- Regularisation:

$$R_i = (f_i[\{a_k\}] - d_i)^2 \implies R = \sum_{i=1}^5 R_i$$

where  $a_k$  are the filter coefficients and  $d_i$  is some number.

- Sparsity (Gini coefficient):

$$G[\{c_i\}] = 1 - 2 \sum_{i=1}^{N_c} \frac{c_i}{\|c_i\|_1} \frac{N_c - i + \frac{1}{2}}{N_c}$$

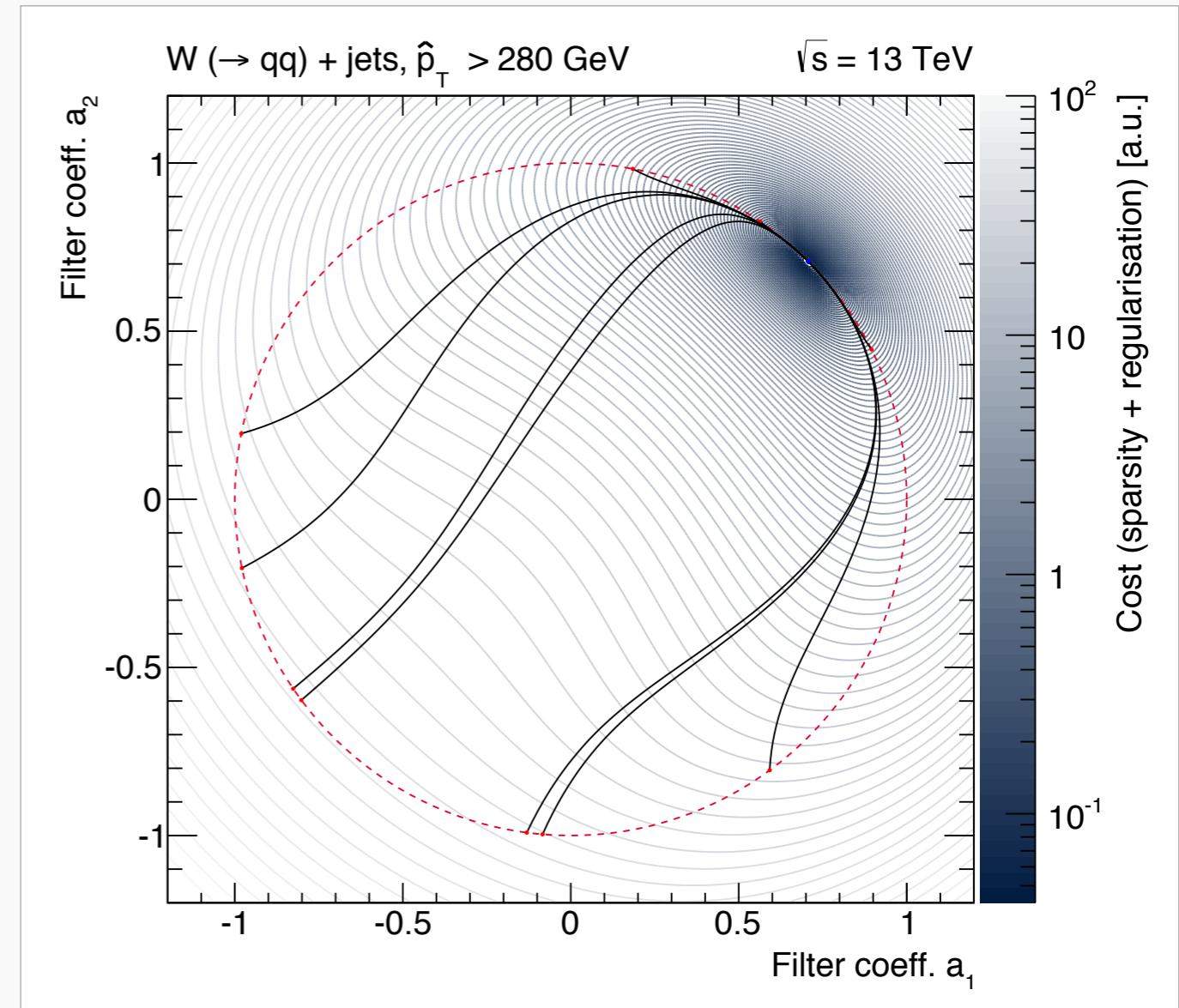
where  $c_i$  are the wavelet (not filter) coefficients for a single input, sorted such that  $c_i \leq c_{i+1}$  and  $N_c$  are the number of such coefficients.

- Combined cost:

$$C = (G[\{c_i\}])^2 + \lambda R, \quad \lambda \in [0, \infty)$$

# Cost map and gradient descend paths

- Loop over e.g. incl.  $W \rightarrow qq$  events, we can optimise the combined sparsity + regularisation cost function.
- Plot shows 10 random initialisations in unit circle (red dots; one of the five constraints) and the learning paths (black lines) going towards a single, global minimum of  $(1,1)/\sqrt{2}$ : Haar
- More than one possible minimum for  $N > 2$  filter coeffs.



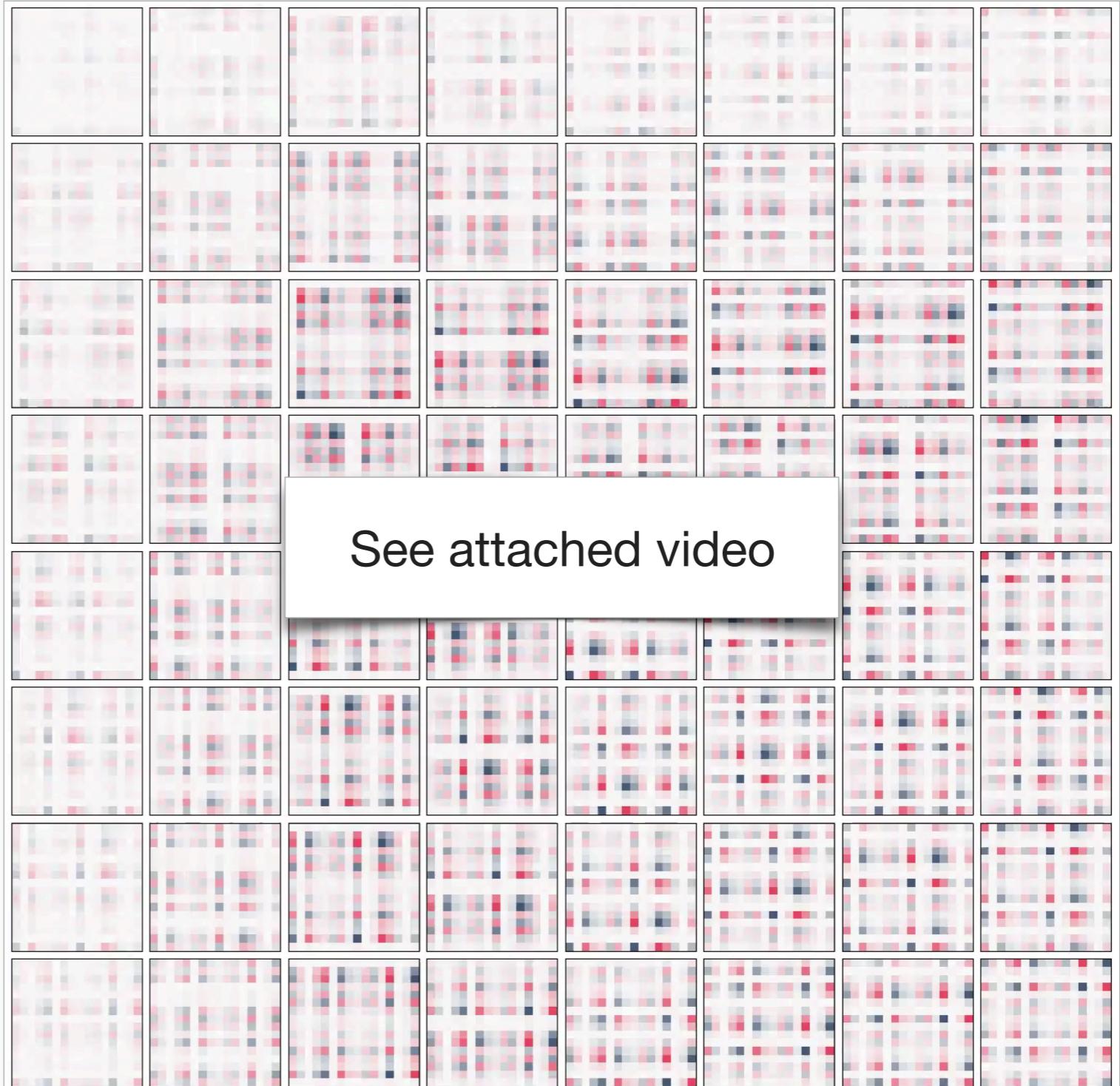
# Visualising learning

- **16 filter coeffs.** = 16-dim. optimisation problem
- Incl.  $W \rightarrow qq, \hat{p}_T > 280 \text{ GeV}$ , no pile-up
- 25'000 events.
- Optimisation from random initialisation

## 1. part: Regularisation

- Normalisation
- Orthogonality
- Self-similarity

## 2. part: Sparsity



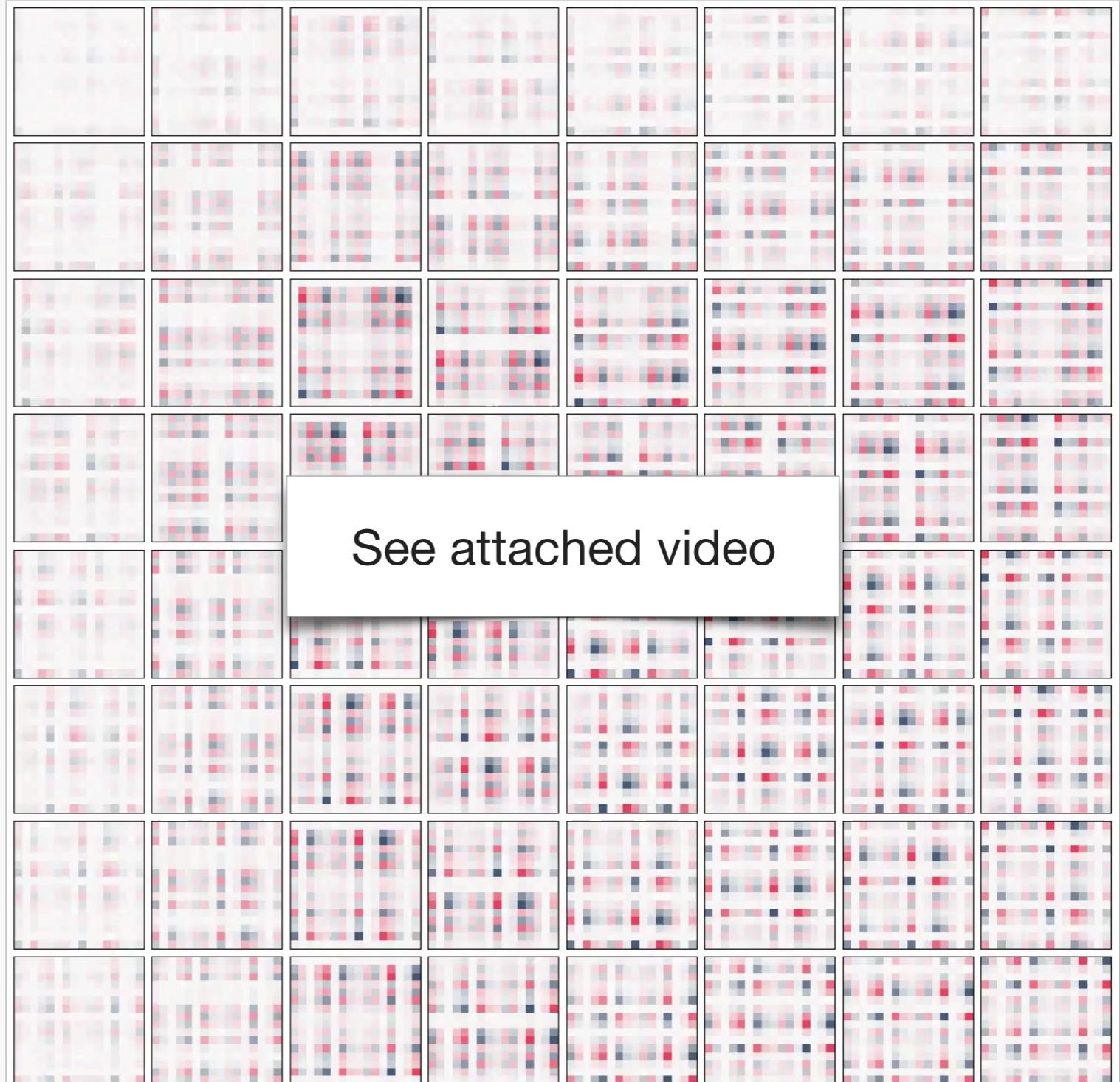
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# Use

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1. *Learn* the best bases for various specialised tasks, like pile-up mitigation,
2. *Learn* observables for discriminating e.g. vector boson and 'QCD' jets, based on differences in their angular structure
3. Probe the splitting functions directly,
4. Possibly use these results to *learn* parton showering (and hadronisation) from data, with minimal theory input
5. Perhaps even develop a jet clustering algorithm based on this type of learning — however, this is perhaps best done using recurrent neural networks like LSTM

# References

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  - [[https://indico.cern.ch/event/302395/contributions/692393/attachments/571643/787362/JMonk\\_Boost2014.pdf](https://indico.cern.ch/event/302395/contributions/692393/attachments/571643/787362/JMonk_Boost2014.pdf)]
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  - [[arxiv.org/pdf/1405.5008.pdf](https://arxiv.org/pdf/1405.5008.pdf)]
- NewWave, C++ library for performing wavelet analyses
  - [[newwave.hepforge.org](https://newwave.hepforge.org)]
- A. Søgaard, *Boosted Bosons and Wavelets* (2015), MSc thesis
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