

# String Model Building for Particle Physics and Cosmology

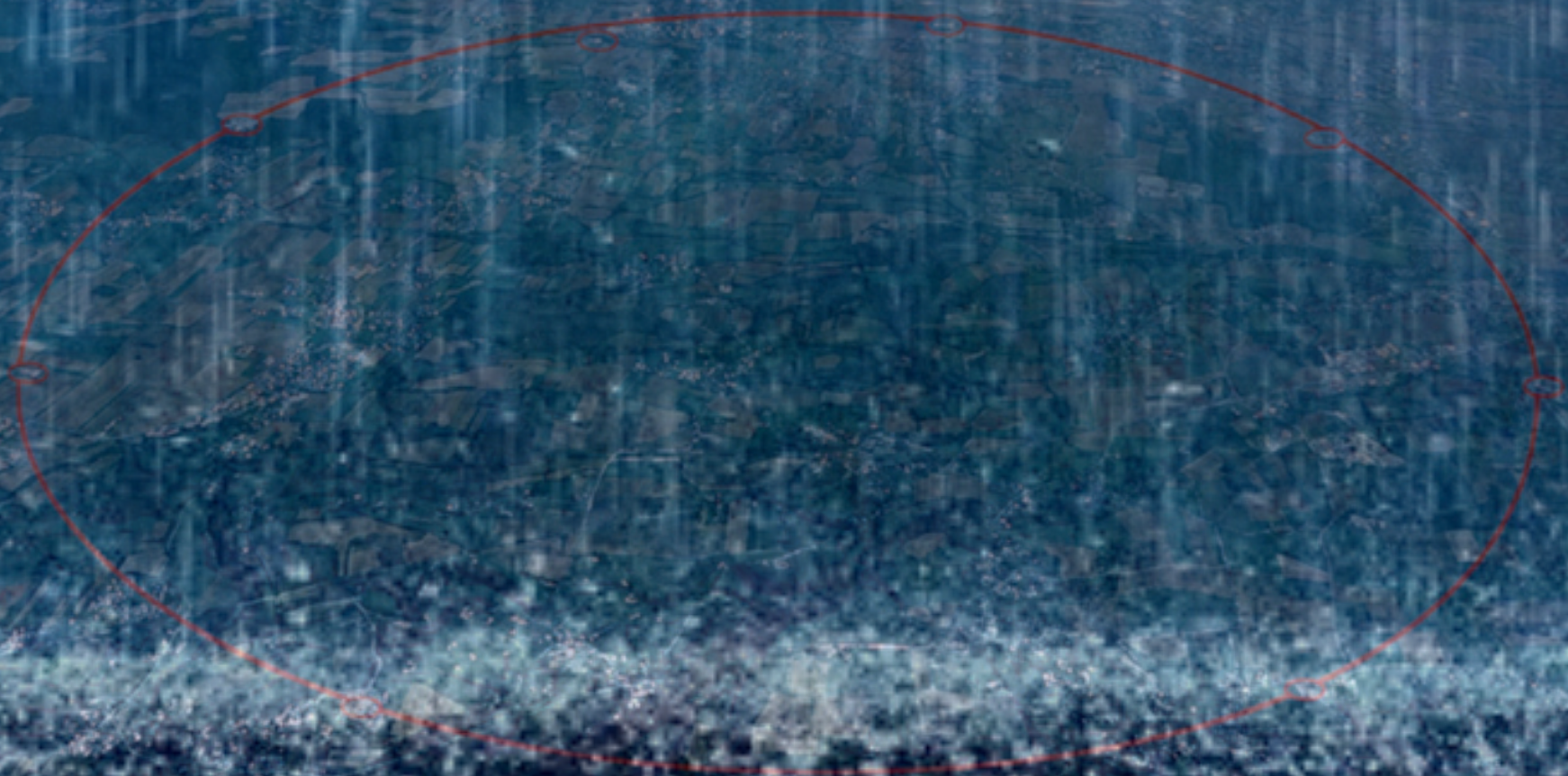
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IFT UAM-CSIC



CERN Winter School  
February 2016



# > Fluxes and applications



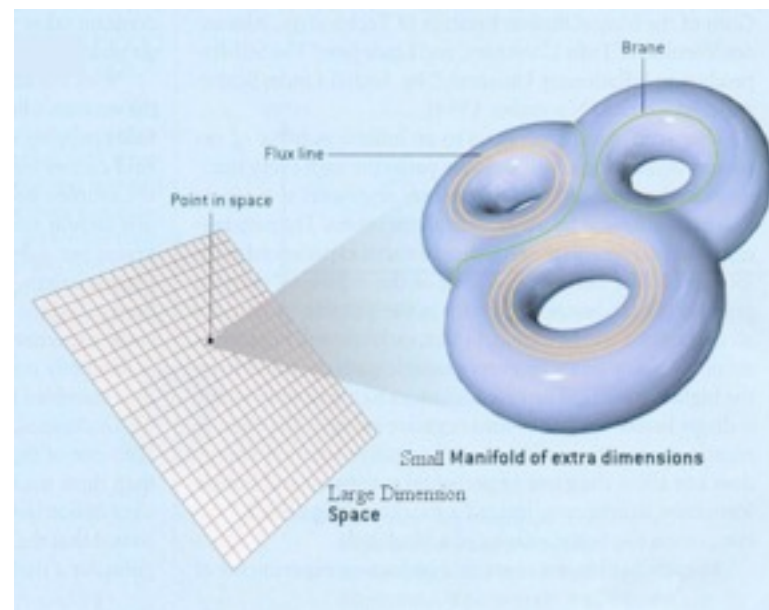
# Plan

- > Flux compactifications
- > Moduli stabilization
- > Supersymmetry breaking
- > Inflation

# Moduli stabilization

- 📌 Massless moduli mediate 5th forces. Unobserved
- 📌 Cosmological problems unless massive enough
- 📌 Need mechanisms for moduli stabilization

Focus on flux  
compactifications



# Flux compactification

📌 In addition to metric background,  
introduce backgrounds for NSNS and RR p-form fields

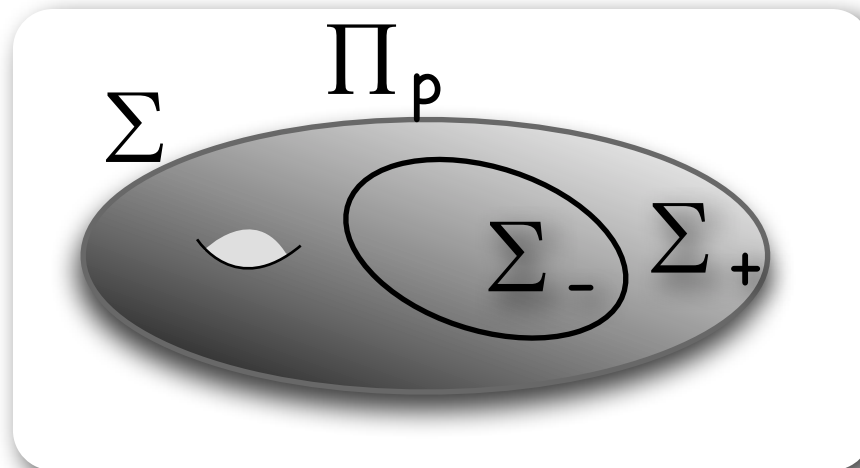
Due to gauge invariance, backgrounds for field strength

📌 Topological sector defined by cohomology class

In the absence of sources,  $dF_{p+1}=0$

Must specify fluxes over basis of cycles  $\int_{\Lambda_k} F_{p+1} = N_k$

📌 Flux quantization



# Flux compactification

- 📌 Fluxes introduce moduli dependence in potential energy

Closed string moduli are stabilized

- 📌 Dating back to Freund-Rubin

Ex:  $AdS_5 \times S^5$

$S^5$  volume not a modulus, but sits at a minimum of potential

Vacua need not be Minkowski, but also AdS (or dS??)

Focus on  $M_4$ , or in (A)dS<sub>4</sub> with hierarchycal length scales

# Type IIB with 3-form fluxes

 Prototypical example: type IIB with NSNS and RR 3-form flux

There are no 1- or 5-cycles on CY threefolds

 10d action

$$S_{\text{IIB}} = \frac{1}{2\kappa_{10}^2} \int d^{10}x \sqrt{-g} \left( R - \frac{\partial_M \bar{\tau} \partial^M \tau}{2(\text{Im } \tau)^2} - \frac{1}{2} |F_1|^2 - \frac{|G_3|^2}{2 \text{Im } \tau} - \frac{1}{4} |\tilde{F}_5|^2 \right) \\ + \frac{1}{2\kappa_{10}^2} \int C_4 \wedge \frac{G_3 \wedge \bar{G}_3}{4i \text{Im } \tau} + S_{\text{local}} .$$

**Useful combination**  $G_3 = F_3 - \tau H_3$  ;  $\tau = C_0 + ie^{-\phi}$

**Specify integrals, and local sources, satisfying RR tadpole condition**

$$Q_{\text{flux}} + Q_{\text{D3}} + Q_{\text{O3}} = 0$$



# Type IIB with 3-form fluxes

📌 Flux “tension” and charge

$$\mathcal{L}_G = -\frac{1}{24\kappa_{10}^2} \int_{\mathbf{X}_6} d^6y g^{\frac{1}{2}} \frac{(G_3)_{mnp} (\bar{G}_3)^{mnp}}{\text{Im } \tau} = -\frac{1}{8\kappa_{10}^2} \int_{\mathbf{X}_6} d^6y \frac{G_3 \wedge *_{6} \bar{G}_3}{\text{Im } \tau}$$

$$\mathcal{L}_{C_4} = \frac{1}{2\kappa_{10}^2} \int C_4 \wedge \frac{G_3 \wedge \bar{G}_3}{4i \text{Im } \tau}$$

📌 “BPS-like” for ISD flux

$$*_{6d} G_3 = i G_3$$

📌 Allows solving for compactifications with 4d Minkowski

📌 Stabilizes complex structure moduli (and dilaton)

# Type IIB with 3-form fluxes

$$F_3 = H_3$$



$T^3$



$T^3$

 “BPS-like” for ISD flux

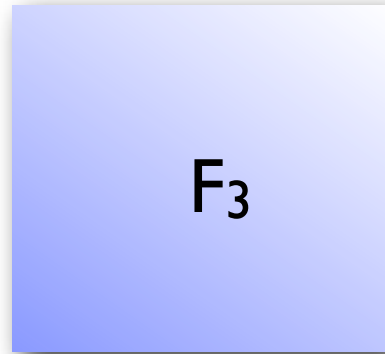
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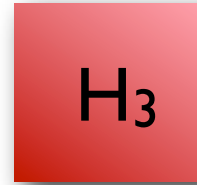
 Stabilizes complex structure moduli (and dilaton)

# Type IIB with 3-form fluxes

$F_3 \neq H_3$



$T^3$



$T^3$

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 Allows solving for compactifications with 4d Minkowski

 Stabilizes complex structure moduli (and dilaton)

# Warped geometries

 Fluxes gravitate. Backreaction.

 For ISD flux the 10d solution is warped  $M_4 \times CY$  with warp and 5-form sourced by flux and local sources

$$ds^2 = Z(x^m)^{1/2} ds_{4d}^2 + Z(x^m)^{1/2} g_{mn}^{CY} dx^m dx^n$$

$$F_5 = (1 + *_{10d}) dZ^{-1} dx^0 dx^1 dx^2 dx^3$$

$$\nabla^2 Z(x^m) = g_s |G_3|^2 + g_s \sum \delta(D3/O3)$$

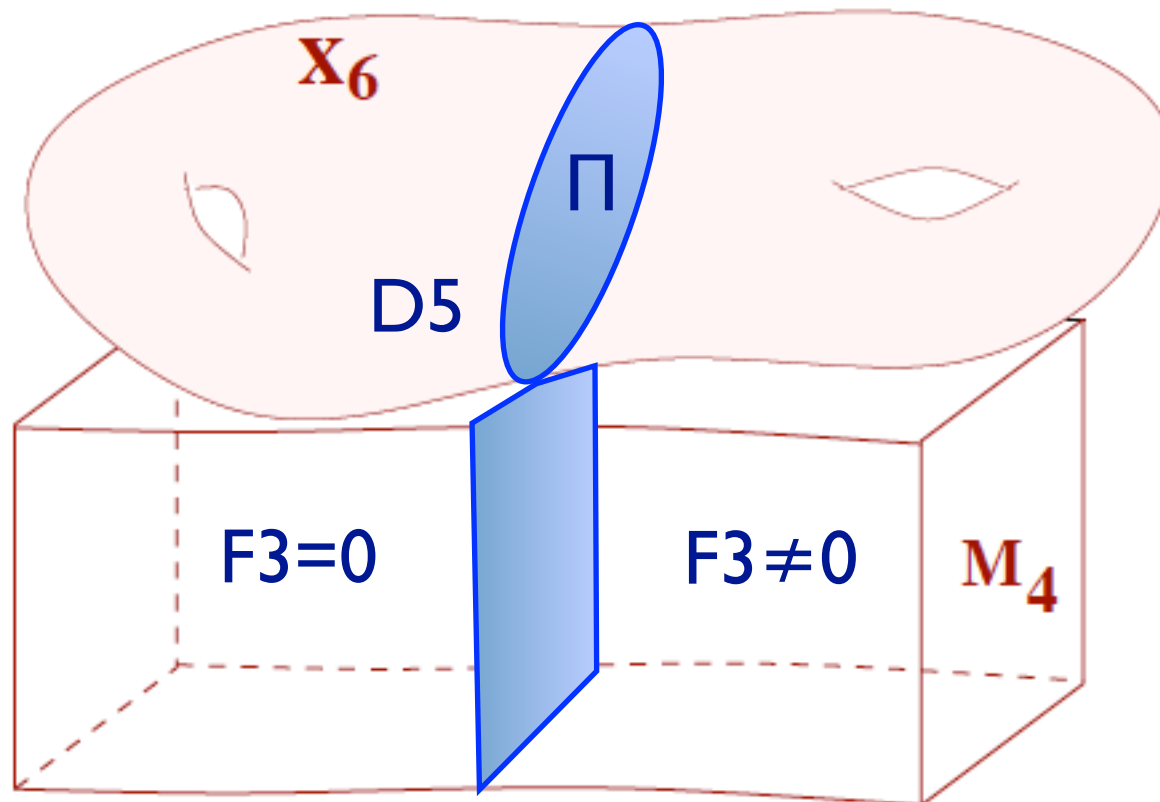
Analogous to 3-brane solution:

Intuition: ISD flux works like “effective” D3-brane

 Underlying CY makes life easy  $\Rightarrow$  Many explicit models

# Flux superpotential

- Effect of flux on closed string moduli can be described in low energy effective field theory by  $W_{\text{flux}}$
- Compute  $W_{\text{flux}}$  from tension of domain wall introducing flux

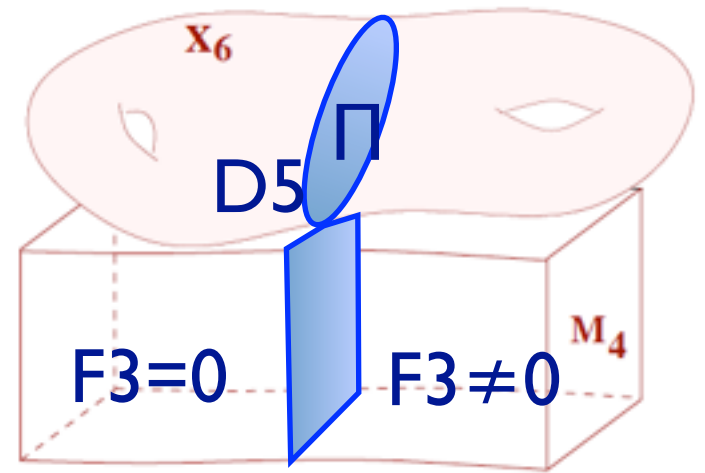


# Flux superpotential

- Compute  $W_{\text{flux}}$  from tension of domain wall introducing flux

D5 is magnetic source for C2

$$dF_3 = \delta_3(\Pi) \wedge \delta_0(x^3) dx^3,$$



Integrate over dual 3-cycle  $\Pi'$  x interval in  $x^3$

$$\Delta \int_{\Pi'} F_3 = 1$$

From DW tension

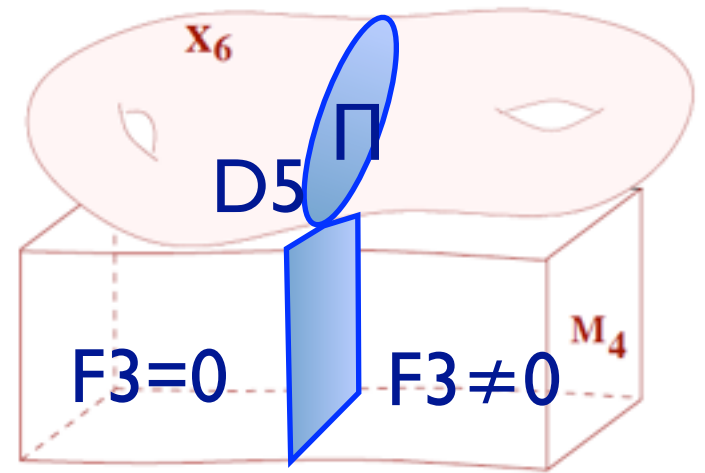
$$W_{F_3} = \int_{\Pi} \Omega_3 = \int_{\mathbf{X}_6} F_3 \wedge \Omega_3$$

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From DW tension

$$W_{\text{GVW}} = \int_{\mathbf{X}_6} G_3 \wedge \Omega_3$$

For general F3, H3 fluxes

# Supersymmetry

📌 Can be studied from warped supergravity background

📌 Also from 4d effective theory

$$K = -\log(\int_X \Omega \wedge \bar{\Omega}) - \log(\tau - \bar{\tau}) - 3\log(\rho + \bar{\rho})$$

$$W_{\text{flux}} = \int_X G_3 \wedge \Omega$$

Scalar potential  $V = e^K (g^{a\bar{b}} D_a W D_{\bar{b}} \bar{W} - 3|W|^2)$

For complx. structure + dilaton  $V = e^K (g^{i\bar{j}} D_i W D_{\bar{j}} \bar{W})$

**Vacuum:**  $D_i W_{\text{flux}} = 0$   $D_\tau W \sim \int_X \bar{G}_3 \wedge \Omega$   $G_3|_{(3,0)} = 0$

**G3 is ISD**  $D_{z_i} W \sim \int_X G_3 \wedge \chi_{(2,1),i}$   $G_3|_{(1,2)} = 0$



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For **“No-scale structure”** dilaton  $V = e^K (g^{i\bar{j}} D_i W D_{\bar{j}} \bar{W})$

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**G3 is ISD**  $D_{z_i} W \sim \int_X G_3 \wedge \chi_{(2,1),i}$   $G_3|_{(1,2)} = 0$

**SUSY: G3 is (2,1)**  $D_\rho W \sim \int_X G_3 \wedge \Omega$   $G_3|_{(0,3)} = 0$

# F-theory description

- 📌 M-theory on elliptic CY4 in limit of vanishing fiber size
- 📌 Both NSNS and RR 3-form flux become M-theory 4-form flux

$$G_{(4)} = -\frac{G_{(3)}d\bar{w}}{\tau - \bar{\tau}} + \text{h.c.}$$

Stabilizes 4-fold complex str. moduli: some are 7-brane moduli!

Flux superpotential:  $W = \int_{\mathbf{X}_8} G_4 \wedge \Omega_4$

Vacuum:  $G_4$  is SD

Susy:  $G_4$  is (2,2)

# Towards the SM

## 📌 MSSM from magnetized D7s

$N_\alpha$	$(n_\alpha^1, m_\alpha^1)$	$(n_\alpha^2, m_\alpha^2)$	$(n_\alpha^3, m_\alpha^3)$	
$N_a = 3$	(0,1)	(3,1)	(-3,1)	D7 <sub>1</sub>
$N_b = 1$	(1,0)	(0,1)	(-1,0)	D7 <sub>2</sub>
$N_c = 1$	(1,0)	(-1,0)	(0,1)	D7 <sub>3</sub>
$N_d = 1$	(0,1)	(3,1)	(-3,1)	D7 <sub>1</sub>



(need few extra branes, adding few extra matter)

## 📌 Can add Susy or Susy breaking 3-form flux to stabilize moduli

**Susy** 
$$G_3 = \frac{8}{\sqrt{3}} e^{-\frac{\pi i}{6}} (d\bar{z}_1 dz_2 dz_3 + dz_1 d\bar{z}_2 dz_3 + dz_1 dz_2 d\bar{z}_3)$$

Stabilizes at  $\tau_1 = \tau_2 = \tau_3 = \tau = e^{2\pi i/3}$

**Non-susy** 
$$G_3 = 2 (d\bar{z}_1 dz_2 dz_3 + dz_1 d\bar{z}_2 dz_3 + dz_1 dz_2 d\bar{z}_3 + d\bar{z}_1 d\bar{z}_2 d\bar{z}_3)$$

Stabilizes e.g. at  $\tau_1 = \tau_2 = \tau_3 = \tau = i$ .

# Full moduli stabilization & deSitter



## Corrections

- Earlier no-scale structure disappears upon including corrections
- Perturbative and non-perturbative, in  $\alpha'$  and  $g_s$
- Corrections may be small in large volume, small  $g_s$  regime, but not compared to zero!



## Generalized fluxes

- Above set of fluxes is not really the most general
- Geometric, non-geometric, U-dual fluxes
- Superpotentials depending on all moduli

# Full moduli stabilization & deSitter

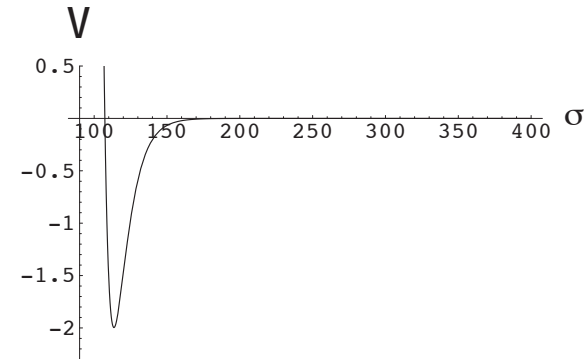
- 📌 Use non-perturbative effects from D3-brane instantons

One-modulus toy model

$$W = W_{0,\text{flux}} + A(z_0)e^{-T}$$

Susy AdS vacua with stabilized moduli

[Kachru, Kallosh, Linde, Trivedi]



- 📌 Must tune  $W_0 \ll 1$  to achieve controllable regime

Can be relaxed in other scenarios (Large Volume Stabilization)

- 📌 Generalization: D3-instantons for each independent Kahler modulus?

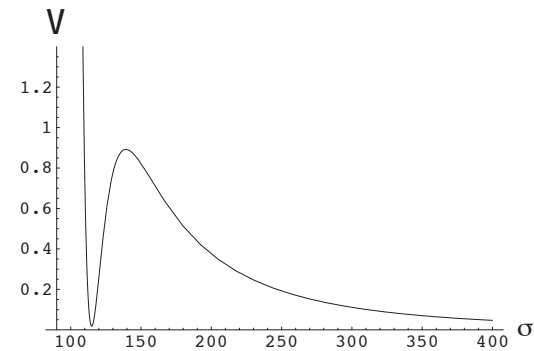
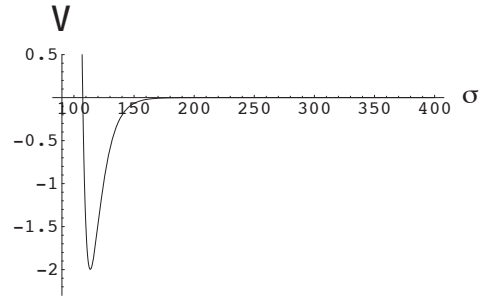
- 📌 Clash with SM arising from D7's

Intersections of instanton with D7's lead to susy involving SM fields

$$W = e^{-T} \rightarrow W = e^{-T} \Phi_1 \dots \Phi_n$$

# Full moduli stabilization & deSitter

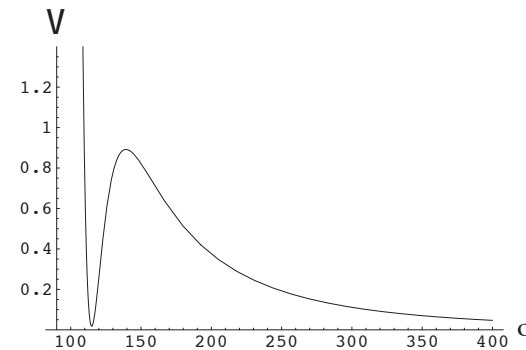
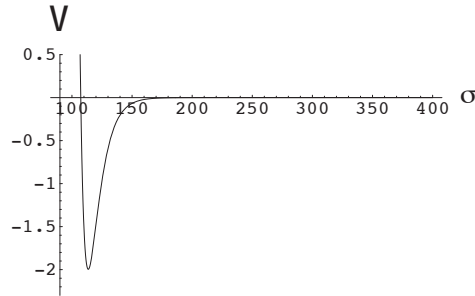
 Proposal to add sources of extra tension for uplifting to deSitter



- Anti D3-branes

# Full moduli stabilization & deSitter

 Proposal to add sources of extra tension for uplifting to deSitter



- Anti D3-branes
- D-terms: anti-instantons on D7s
- F-terms for flux supo itself
- DSB sectors
- D-terms: anti-instantons on D7s

 Hard to make anything explicit enough (new: nilpotent goldstino)



# Generalized fluxes

- 📌 Flux compactifications in type IIA involve even and odd forms  
⇒ Talk both to Kahler and complex structure moduli!

What about mirror symmetry?

- 📌 Using T-duality in local  $T^3$  fibration,  $H_3$  turns e.g. into geometric twist  
⇒ Geometric fluxes

- 📌 Compactification on non-CY geometries, possibly 4d  $N=1$

$SU(3)$  holonomy →  $SU(3)$  structure

⇒ Generalized complex geometry, mirror symmetry, ...

- 📌 Painful lack of explicit compact examples

# Generalized fluxes

 Generalized geometric and non-geometric fluxes from T-duality

Regard  $T^3$  as  $T^2$  (trivially) fibered over  $S^1$

$H_3$  is monodromy  $b \rightarrow b+1$  for  $b = \int_{T^2} B$

Particular  $SL(2, \mathbb{Z})$  monodromy on  $T = A_{T^2} + ib$

One T-duality along  $T^2$  gives  $\tau \rightarrow \tau + 1$  in  $SL(2, \mathbb{Z})$  of  $\tau$

$\Rightarrow$  Geometric twisting, geometric flux

One  $S^1$  non-trivially fibered over two directions  $\omega^a{}_{bc}$

Two T-dualities give non-geometric  $SL(2, \mathbb{Z})$  monodromy on  $T$

$\Rightarrow$  Non-geometric twisting, non-geometric flux  $Q^{ab}{}_c$

Full T-duality covariance suggests

$\Rightarrow$  locally non-geometric  $R^{abc}$

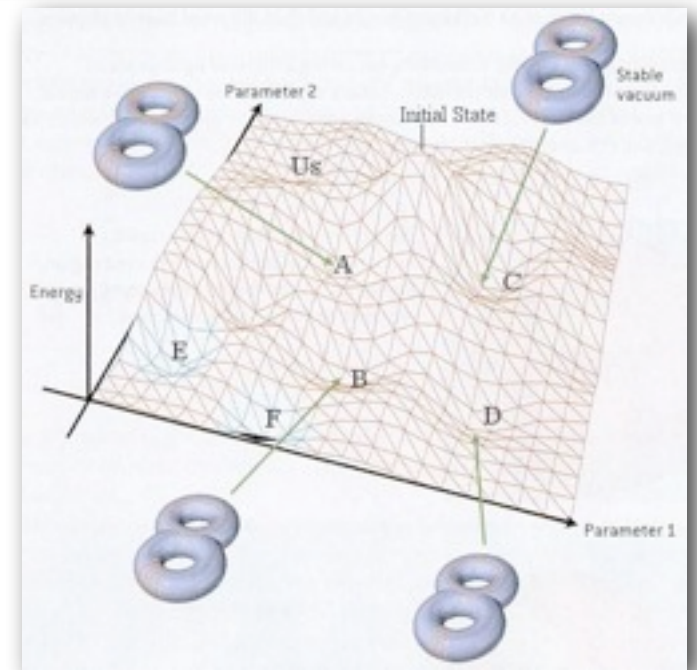
 Generalized geometry. Double (&exceptional) field theory, ...

# Flux landscape

📌 The general picture is compelling enough

Internal data determine 4d physics  
symmetries, spectrum, couplings

Many choices: **“Landscape”**



Flux landscape, part of full string landscape

Various estimates by flux counting

Revision of “naturalness”: cosmological constant, hierarchy,...

Yeah, but...



**What about some real Physics?**



## **Keep rocking**

After all, we are dealing with a theory which is much more clever than any of us

# Fluxes and D-branes

- 📌 Must consider the interplay of different ingredients
- 📌 Focus on inter-relations between (field strength) fluxes and D-branes
- 📌 Effects at several levels
  - Topological: Freed-Witten consistency conditions
  - (Susy) Open string moduli stabilization
  - Susy breaking

# Freed-Witten consistency conditions

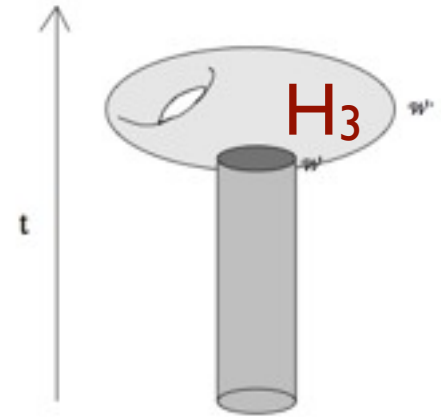
- 📌 Certain D-branes are inconsistent in presence of NSNS 3-form flux
- 📌 Certain D-branes can decay in the presence of NSNS 3-form flux

Take D6-brane on 3-cycle with non-trivial  $H_3$

$$\int_{D6} H_3 \wedge \tilde{A}_4$$

Flux is magnetic source for D6 U(1)

Must be cancelled by boundaries of outgoing D4's



- 📌 Invariance of flux superpotential under D-brane gauge
- 📌 Ensures D-brane instantons respect gaugings in fluxes
- 📌 Analogous statements for RR fluxes

# Freed-Witten and discrete symmetries

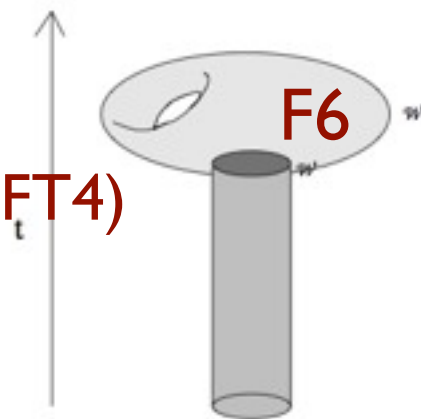
📌 D-branes  $\mathbb{Z}$ -valued in homology but  $\mathbb{Z}_n$ -valued in “K-theory”

📌 Torsion classes signal discrete gauge symmetries

Discrete gauge symmetries from flux catalysis

Ex: Freund-Rubin (analogous to  $\mathbb{Z}_k$  center in AdS5/CFT4)

$$\int_{4d \times X_6} \overline{F}_6 \wedge B_2 \wedge F_2 \rightarrow k \int_{4d} B_2 \wedge F_2$$



Instanton: NS5 on  $X_6$ . Emits  $k$  D0-branes ( $\mathbb{Z}_k$  particles)

Junction: D6 on  $X_6$ . Emits  $k$  F1's ( $\mathbb{Z}_k$  strings)

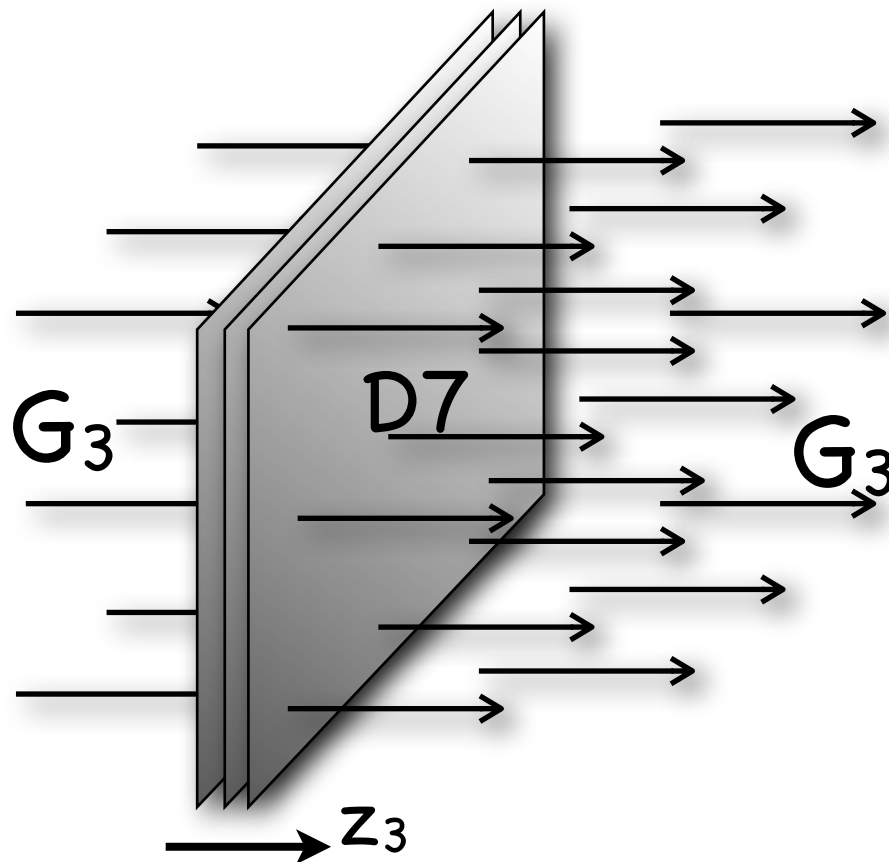
📌 Can also do  $\mathbb{Z}_k$  domain walls. See later



# Fluxes, susy breaking and soft terms

- An appealing scenario: Susy MSSM D-brane sector and non-susy flux
- Soft terms arise from effect of non-susy flux on susy D-branes

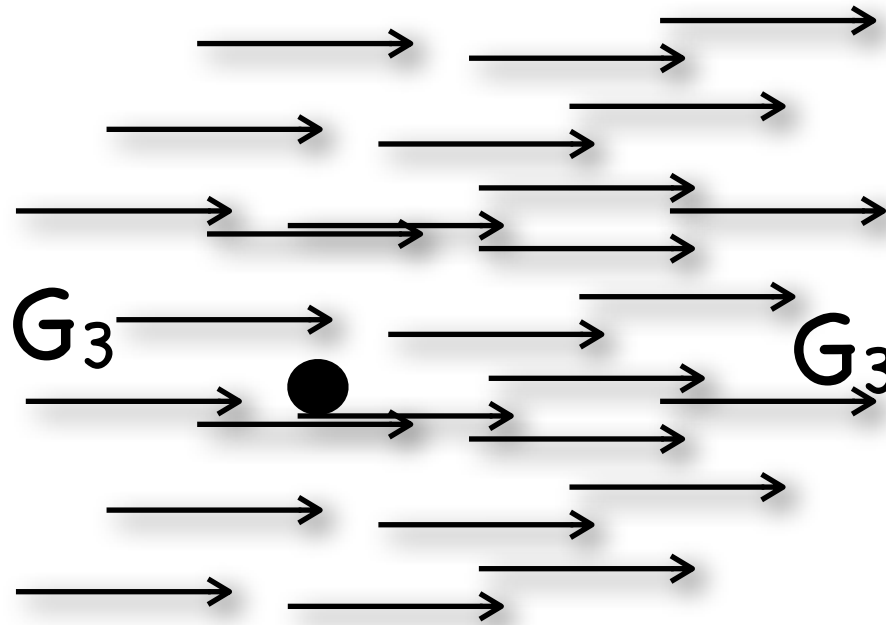
Explicitly computable using D-brane world-volume action in general supergravity background, or using 4d effective theory approach



# Fluxes, susy breaking and soft terms

## D3s in ISD 3-form flux background

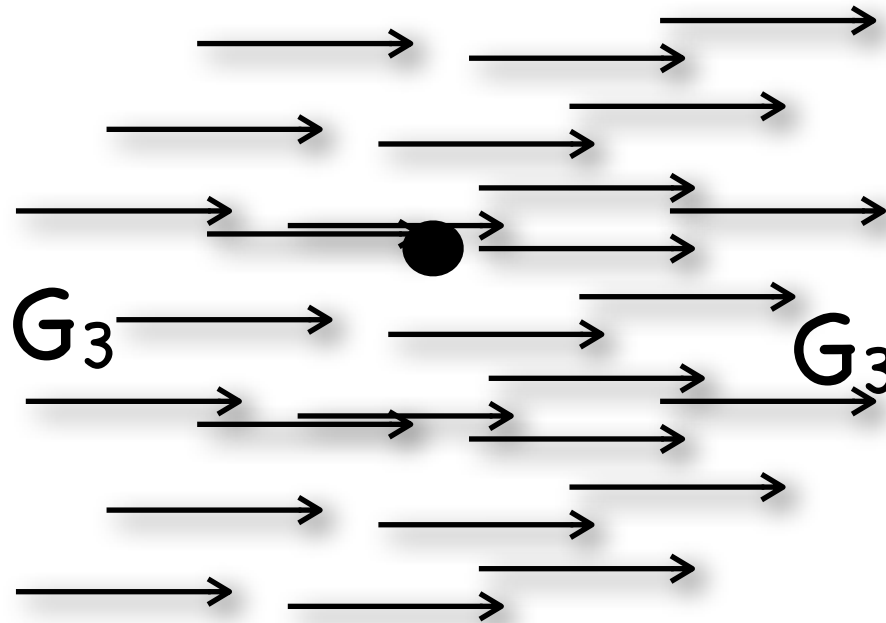
ISD flux background: same tension and 4-form charge as a D3-brane  
Gravitational attraction cancels against Coulomb repulsion:  
No terms induced, even for non-susy flux



# Fluxes, susy breaking and soft terms

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## Anti-D3s in ISD 3-form flux background

Effects add up instead of cancelling

Scalars stabilize at max of flux density

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ISD flux background: same tension and 4-form charge as a D3-brane  
Gravitational attraction cancels against Coulomb repulsion:  
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$$m^2 \sim |G_{(0,3)}|^2$$

$$M \sim G_{(0,3)}$$

$$A \sim G_{(0,3)}$$

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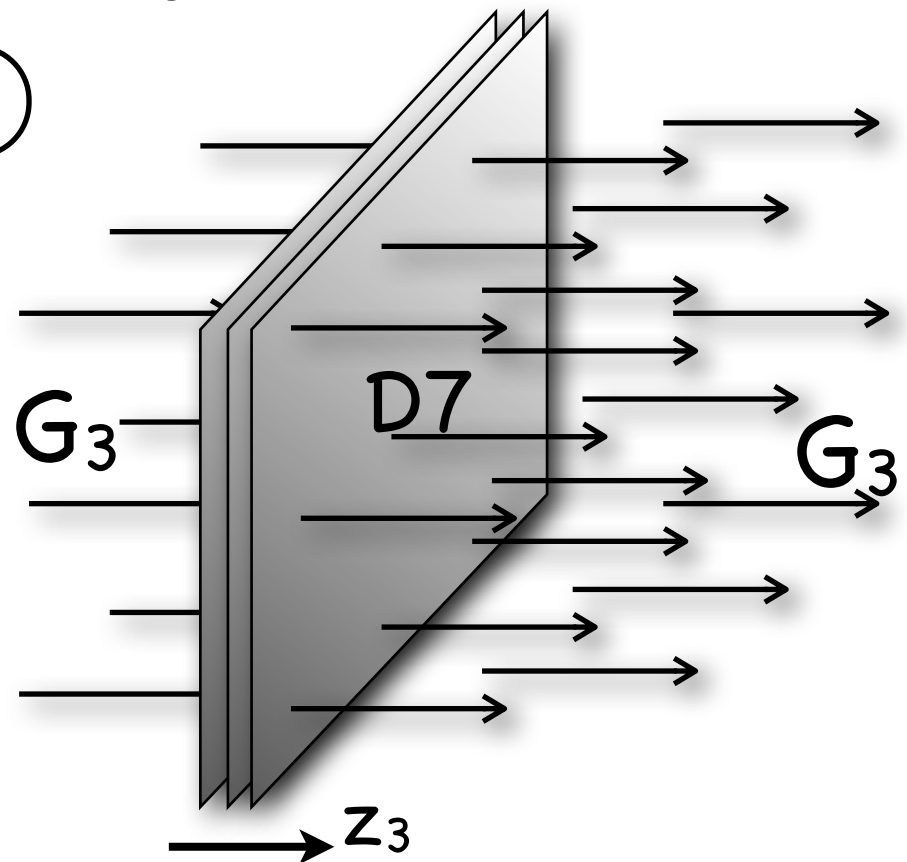
# Fluxes, susy breaking and soft terms

## D7s in ISD 3-form flux background

Same tension and 4-form charge as a D3-brane: naively cancellation  
But flux itself, induces D3 or antiD3 on D7 volume

$$G_3 = \underbrace{\omega_2}_{\text{ISD}} \wedge dz_3 + \underbrace{\omega'_2}_{\text{ASD}} \wedge d\bar{z}_3$$

 ISD  ASD  SD






# Fluxes, susy breaking and soft terms

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Same tension and 4-form charge as a D3-brane: naively cancellation  
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## Non-trivial terms, even for ISD flux

Supersymmetric masses (mu-term): 3-form flux fix D7 moduli

Soft terms: Similar to those of antiD3s

## Can recover both D3 and D7 results from effective theory

Flux components are vevs for moduli auxiliary fields: spurions

$$D_\tau W \sim \int_X \bar{G}_3 \wedge \Omega \quad D_{z_i} W \sim \int_X G_3 \wedge \chi_{(2,1),i} \quad D_\rho W \sim \int_X G_3 \wedge \Omega$$

# Fluxes, susy breaking and soft terms

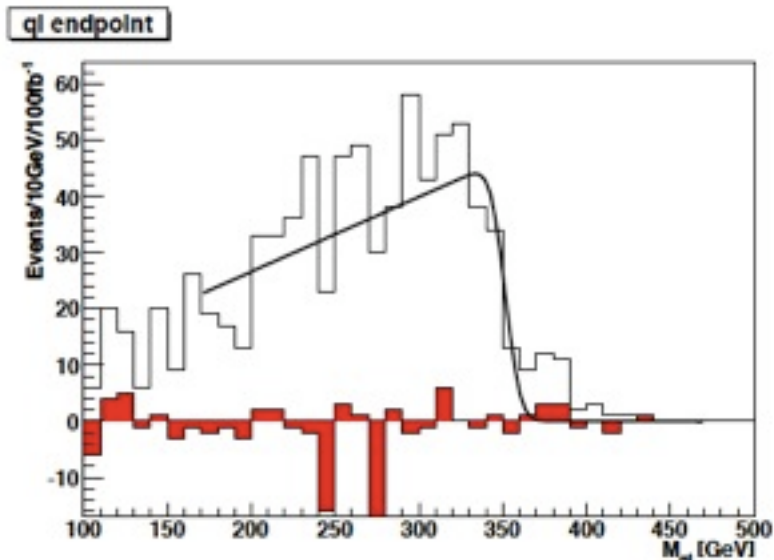
🔧 Gravity mediation (in general, not universal, no mSUGRA)

🔧 Scales  $M_{SUSY} \sim f \frac{\alpha'}{R^3} \sim f \frac{M_c^2}{M_p}$  f: possible local suppression

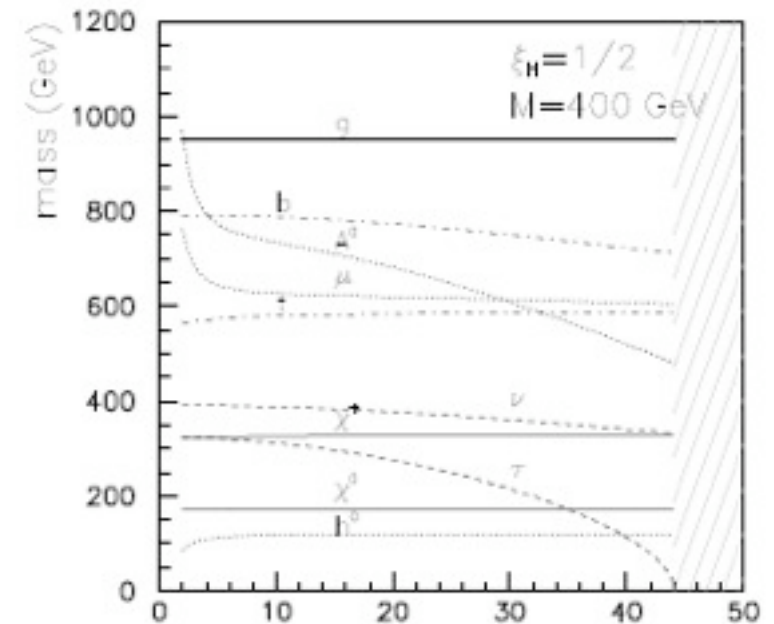
LowE Susy: TeV soft terms from  $M_c \sim 10^{11} \text{ GeV}$

~~LowE Susy:~~ Choose  $M_c \sim 10^{14} \text{ GeV}$  then  $M_{SUSY} \sim 10^{10} \text{ GeV}$

🔧 Can get to make plots



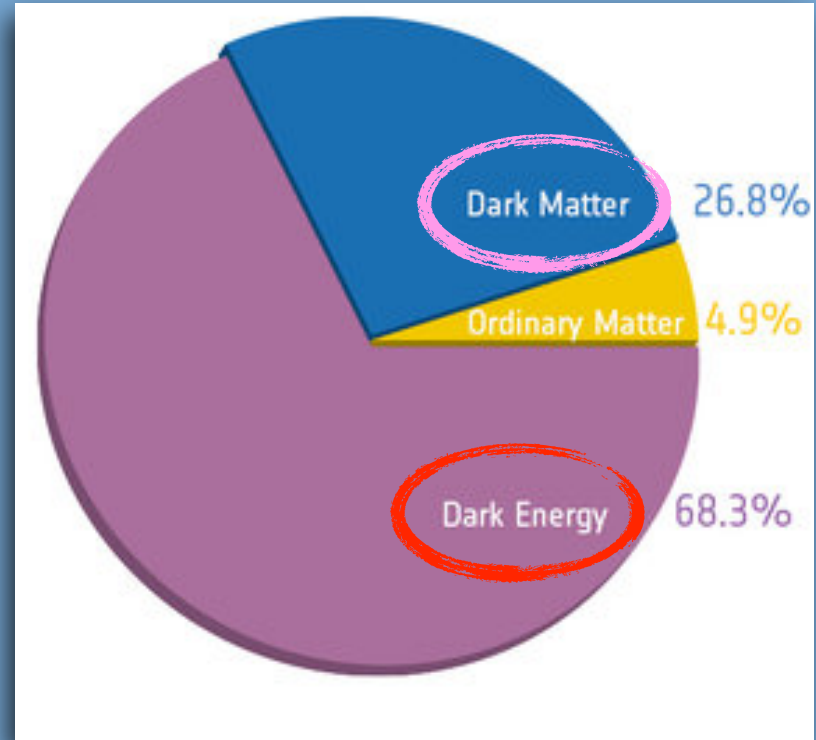
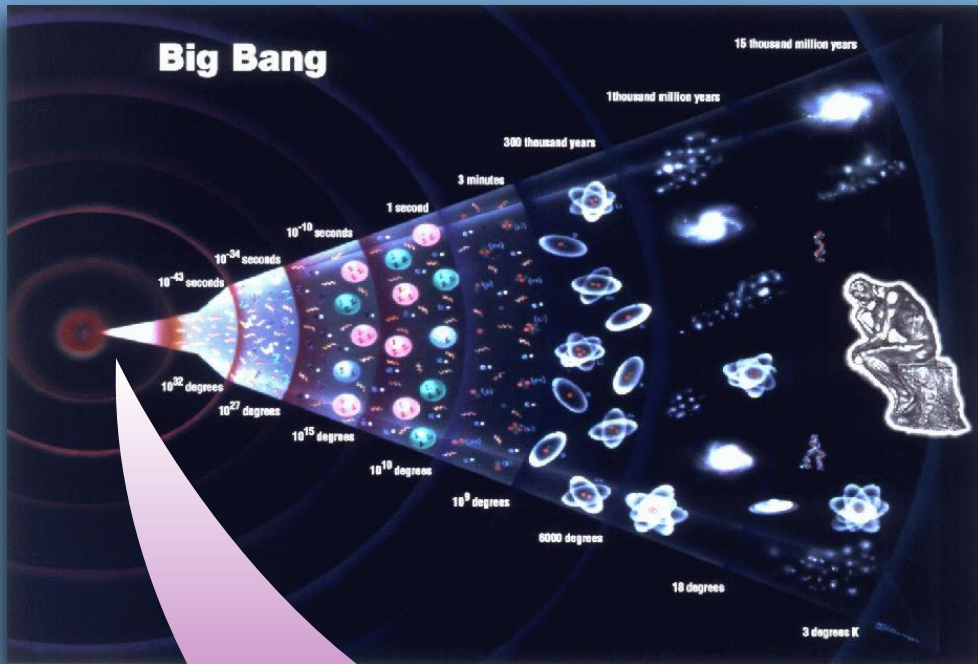
Ex: spectrum reconstruction from edges  
Conlon, Kom, Suruliz, Allanach, Quevedo '07



Ex: MSSM parameters Aparicio, Cerdeno, Ibanez '08

# Cosmological Standard Model

( $\Lambda$ CDM, "concordance model")



inflation

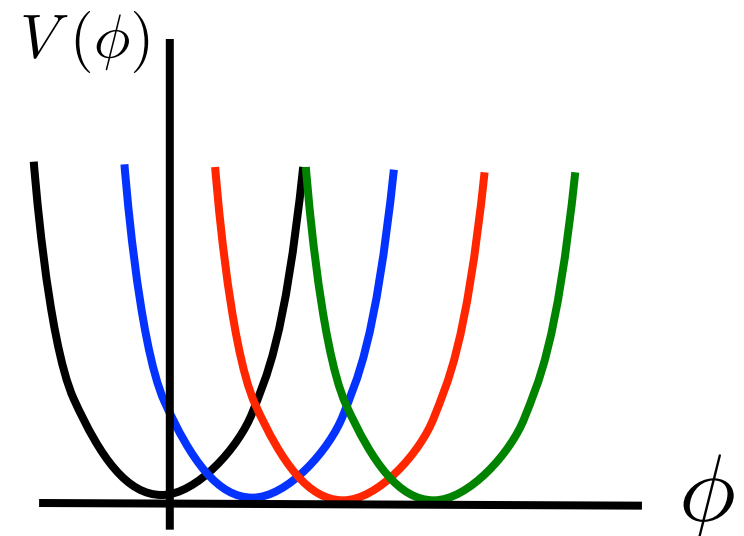


# Fluxes, 3-forms and axion monodromy

- Recent interest in large field inflation
- Scalars with shift symmetry (axions) are well protected  
continuous symmetry broken by non-pert effects to a discrete periodicity
- String theory axions have sub-Planckian decay constant

**Axion monodromy:**  
**Potential is periodic but multivalued**

Field theory analogue:  
theta dependent vacuum energy  
in large N pure gluodynamics **Witten**



# Discrete $Z_n$ gauge symmetries

Flash  
back

📌 Discrete gauge symmetries

$Z_n$  particles,  $Z_n$  strings, ...

📌 There are  $Z_n$  gauge symmetries associated to 4d domain walls

$Z_n$  symmetry of a 3-form that eats up a 2-form

$$\frac{1}{2} |F_4|^2 + |db_2 - n c_3|^2$$

Gauge invariance

$$c_3 \rightarrow c_3 + d\Lambda_2 \quad ; \quad b_2 \rightarrow b_2 + n\Lambda_2$$

# Discrete $Z_n$ gauge symmetries

Flash  
back

📌 Discrete gauge symmetries

$Z_n$  particles,  $Z_n$  strings, ...

📌 There are  $Z_n$  gauge symmetries associated to 4d domain walls

$Z_n$  symmetry of a 3-form that eats up a 2-form

$$\frac{1}{2} |F_4|^2 + |db_2 - n c_3|^2$$

Gauge invariance

$$c_3 \rightarrow c_3 + d\Lambda_2 \quad ; \quad b_2 \rightarrow b_2 + n\Lambda_2$$

📌 Dualizing  $b_2$  to an axion, get Kaloper-Sorbo description of axion monodromy models.

# Discrete $Z_n$ gauge symmetries

Flash  
back

- Can consider other  $Z_n$  charged objects in 4d  
Lagrangian for 3-form eating up a 2-form

$$|F_4|^2 + |dC_2 - nC_3|^2$$

Gauge transformation

$$C_3 \rightarrow C_3 + d\Lambda_2 \quad ; \quad C_2 \rightarrow C_2 + n\Lambda_2$$

- Can be dualized to  $\Phi$  F4 theory

$$|F_4|^2 + n\phi F_4 + |d\phi|^2$$

- Dualizing also 3-form (to “(-1)form”), we get

$$|d\phi|^2 + \phi^2$$

Massive axion

Can arise in D-brane & flux models

# Fluxes, 3-forms and axion monodromy

 Structure is automatic in flux compactifications

After all, fluxes produce the stabilization of axions in moduli!!

10d Chern-Simons  $\Rightarrow$  modified field strengths

$$\int_{10d} B_2 \wedge F_p \wedge F_{p+2} \quad \Rightarrow \quad \tilde{F}_{p+2} = dC_{p+1} + B_2 \wedge F_p$$

Integrating over fluxed CY with  $\phi = \int_{\Sigma_2} B_2$ ,  $M = \int_{\Pi_p} F_p$

Change in axion induces extra flux

$$\Delta\phi \rightarrow \Delta \int_{\Sigma_2 \times \Pi_p} \tilde{F}_{p+2} = \phi M$$

# Fluxes, 3-forms and axion monodromy

## A nice class: axions in flux compactifications

Change in axion induces extra flux

$$\Delta\phi \rightarrow \Delta \int_{\Sigma_2 \times \Pi_p} \tilde{F}_{p+2} = \phi M$$

Kinetic term for (p+2)-form leads to axion potential

In 4d terms, Kaloper-Sorbo lagrangian

$$\int_{10d} B_2 F_p F_{p+2} \rightarrow \int_{4d} M \phi F_4$$

## Monodromy

Multiple branches connected by domain walls changing (p+2)-form flux. They are D(6-p) on (4-p)-cycle

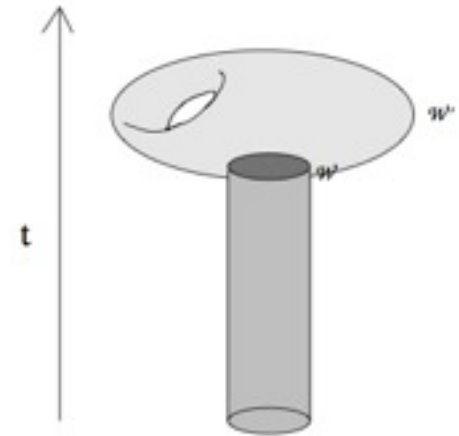
# Fluxes, 3-forms and axion monodromy

 Many other realizations

Ex: IIB with NSNS flux on A-cycle

$$F_4 = \int_B F_7 \quad ; \quad \int_A H_3 = n$$

$$\int_{4d \times X_6} \overline{H}_3 C_0 F_7 \rightarrow \int_{4d} n C_0 F_4$$



10d IIB axion has a monodromy. Origin of energetic cost?

GVW sup<sub>o</sub>  $W = \int_{X_6} (F_3 - \tau \overline{H}_3) \wedge \Omega$

Period of  $C_0$  changes  $n$  units of  $F_3$  flux on  $A$

4d Domain Wall is  $D5$  on  $B$

4d instanton is  $D(-1)$  (cosine modulation)

# Conclusions

 It is amazing that something close to the SM can be realized in string theory

 Branes provide a tractable setup for SM model building in string theory

Not more fundamental, but more manifest geometric intuition

 Program is not closed: Continuous progress

- Moduli stabilization
- Neutrino masses, non-perturbative operators
- Discrete symmetries. Fluxed inflation models.

 Expect continuous progress and new results and useful input from **LHC & cosmo**