

# Search for $K_S^0 \rightarrow \mu^+ \mu^-$ at LHCb

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on behalf of the LHCb collaboration

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KAON 2016

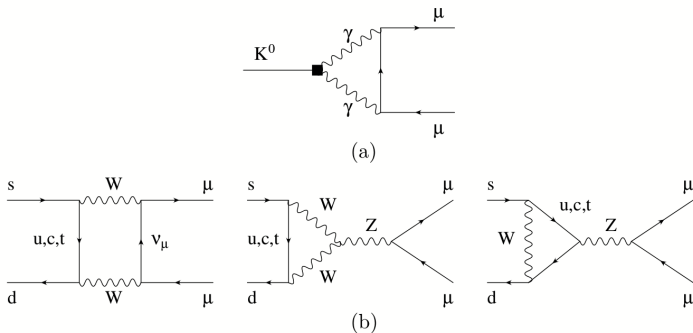
September 14, 2016



# Introduction

The  $K_S^0 \rightarrow \mu^+ \mu^-$  decay is:

- Flavour-changing neutral current (FCNC) transition.
- Dominated by long distance contributions through  $K_{S/L}^0 \rightarrow \gamma\gamma$ .
- In absence of CP violation the  $K_L^0$  ( $K_S^0$ ) mode could proceed only through S(P) wave.
- Notably new light scalars can affect  $K_S^0$  exclusively.



**Figure:** (a): Long distance contribution. (b) Short distance contributions. [JHEP 01 (2004) 009]

# Overall picture

While the branching fraction for the  $K_L^0$  decay is within the SM prediction<sup>1</sup>:  $\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \times 10^{-9}$ , for the  $K_S^0$  it can be enhanced by New Physics.

The LHCb opened the kaon physics program already in 2011 (1fb<sup>-1</sup> of data).

## Results so far (90%CL)

- $\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) < 3.1 \times 10^{-7}$  CERN PS\*
- $\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) < 9 \times 10^{-9}$  LHCb Coll.†

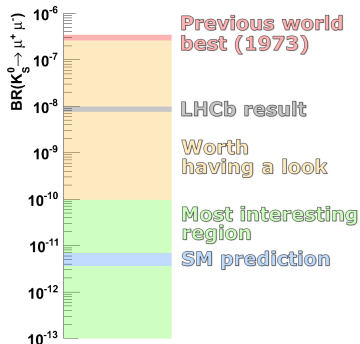
All distant from the SM prediction‡:

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) = (5.0 \pm 0.2) \times 10^{-12}$$

\* CERN PS [PLB44 (1973) 217]

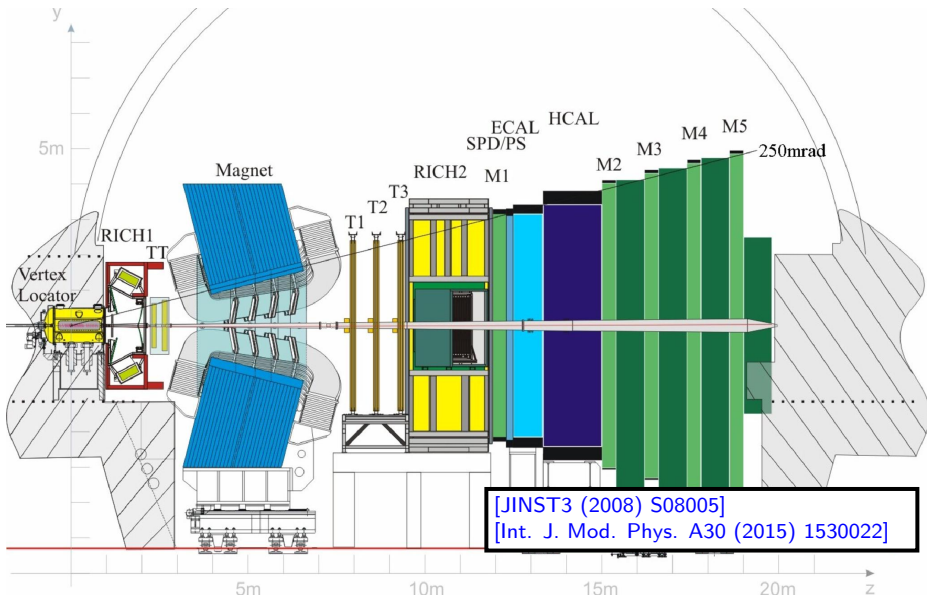
† LHCb Coll. [JHEP 01 (2013) 090]

‡ [NPB366 (1991) 189], [JHEP 01 (2004) 009]



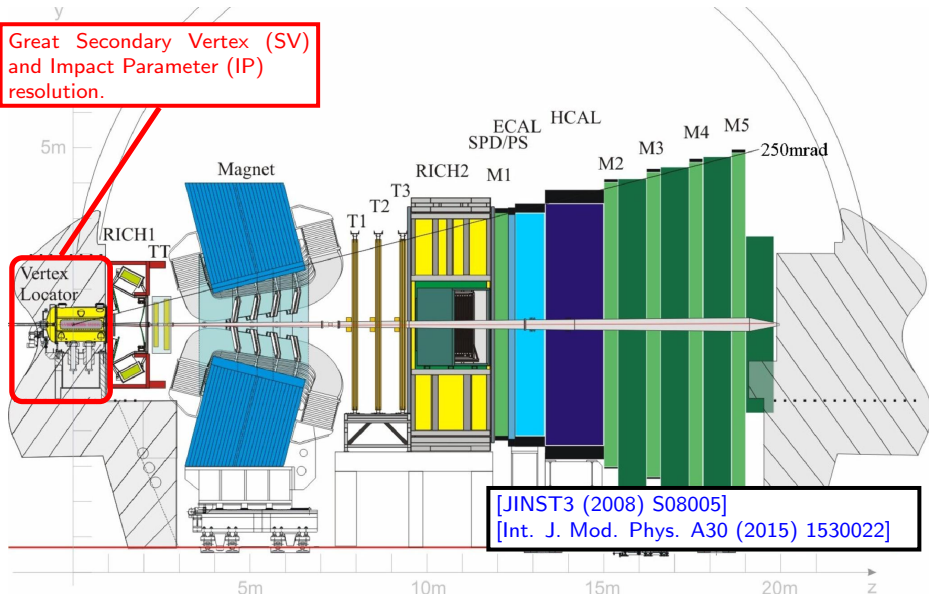
<sup>1</sup>PDG [Chin. Phys. C, 38, 090001 (2014) and 2015 update]

# The LHCb



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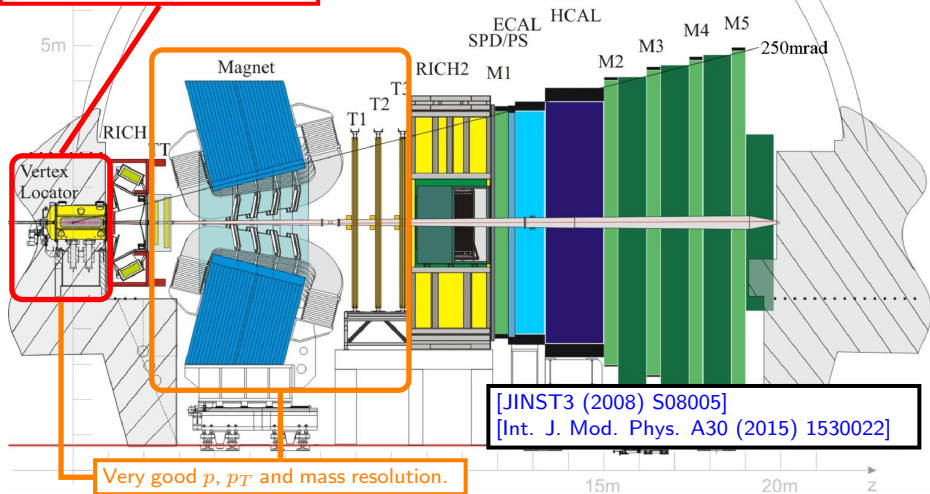
Great Secondary Vertex (SV) and Impact Parameter (IP) resolution.



[JINST3 (2008) S08005]  
[Int. J. Mod. Phys. A30 (2015) 1530022]

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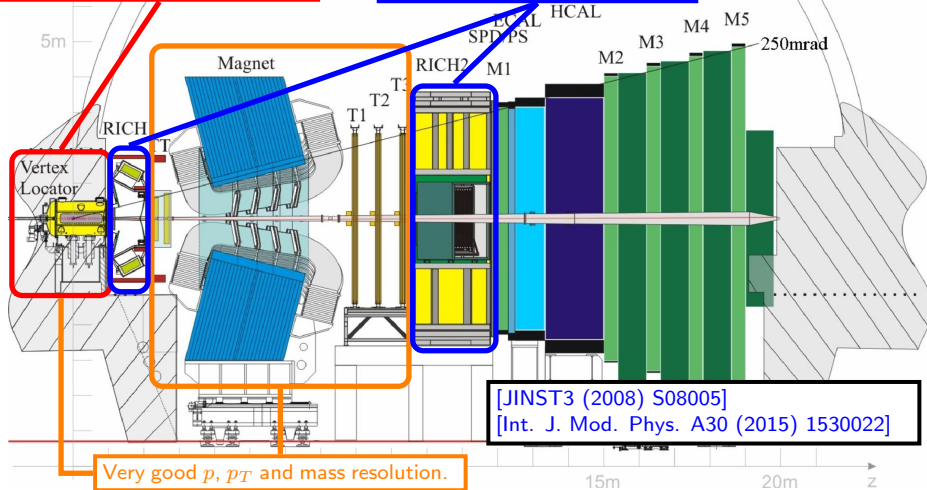
Very good  $p$ ,  $p_T$  and mass resolution.

[JINST3 (2008) S08005]  
[Int. J. Mod. Phys. A30 (2015) 1530022]

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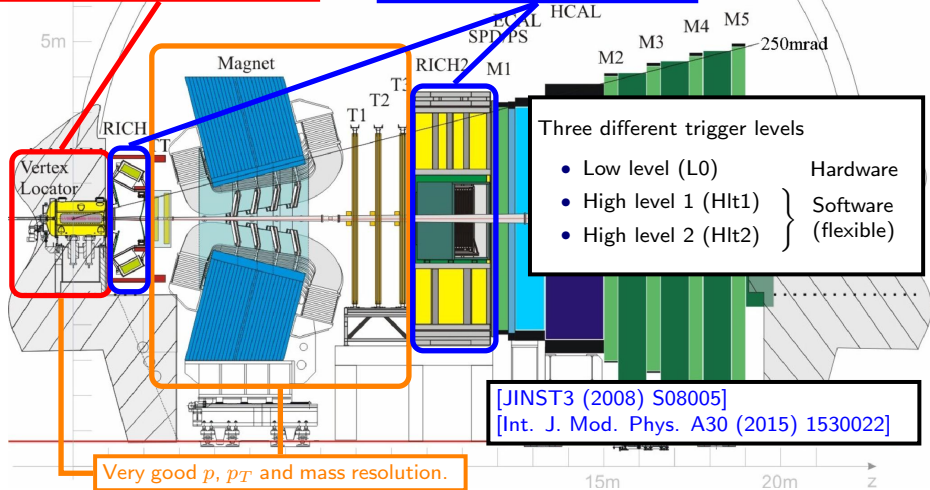
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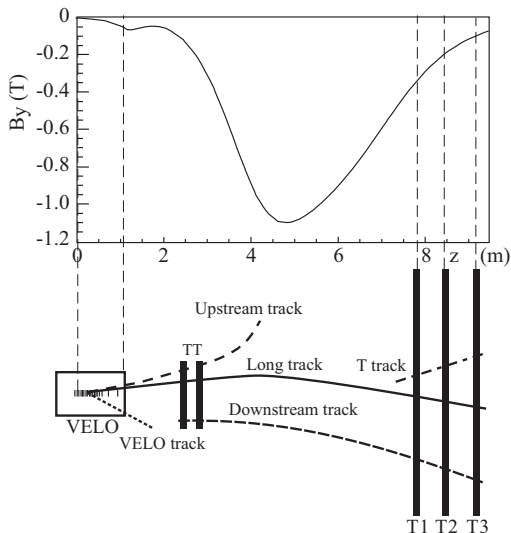
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# Kaons at LHCb

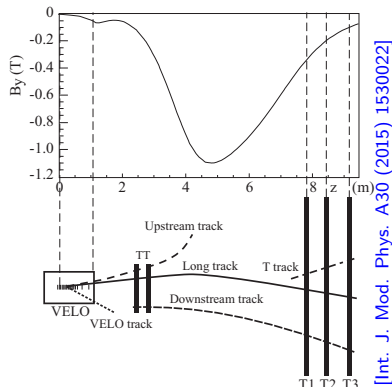
- Lifetimes are much larger than for B mesons:
  - $\tau_{B^0} \sim 1.5 \times 10^{-12} s$
  - $\tau_{K_S^0} \sim 10^{-10} s$
  - $\tau_{K_L^0} \sim 5 \times 10^{-8} s$
- $K_S^0$  study is possible using long tracks.
- $K_L^0$  study is HARDLY possible.



[Int. J. Mod. Phys. A30 (2015) 1530022]

## For kaons...

- Largest limitation comes from the detector geometry.
- Second limitation comes from the trigger:  
 $\epsilon \sim 2.5(1)\%$  for  $K_S^0 \rightarrow \mu^+\mu^-$  in 2012 (2011).
- Low  $p_T \Rightarrow$  harder to distinguish from comb. bkg.



[Int. J. Mod. Phys. A30 (2015) 1530022]

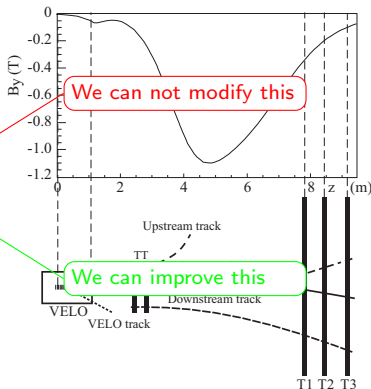
Studies on  $K_S^0$ ,  $K^\pm$  and  $\Sigma^\pm$  are currently being performed at LHCb. Results obtained until now came from not dedicated triggers.

Large  $K_S^0$  production cross-section inside the LHCb acceptance  $10^{13}/\text{fb}^{-1}$ . Efforts are being focused on adding new trigger lines and developing new low  $p_T$  particle identification algorithms.

The use of downstream tracks for the analyses could increase the statistics. However, the resolution is much worse (VELO information not available).

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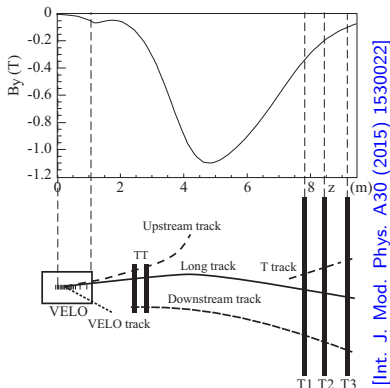
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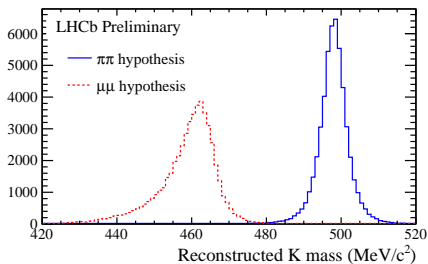
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# $K_S^0 \rightarrow \mu^+ \mu^-$ at LHCb

## Main features

- Very good mass resolution:  $\sim 4\text{MeV}/c^2$ .
- Great performance identifying muons.
- Luminosity recorded leads to  $\mathcal{B}_{exp.}^{2012}(K_S^0 \rightarrow \mu^+ \mu^-) \sim 10^{-9}$ .
- Very clean decay  $\Rightarrow$  few types of background contribute.



[LHCb-CONF-2016-012]

The main contamination sources are:

- $K_L^0 \rightarrow \mu^+ \mu^-$  negligible:  $\mathcal{B}_{eff.} \sim 10^{-11}$ .
- $K_{S/L}^0 \rightarrow \pi^+ \mu^- \bar{\nu}$  negligible.
- $\Lambda^0 \rightarrow p\pi^-$  removed by a cut in the Armenteros-Podolanski plot.
- $K^{*0} \rightarrow K^+ \pi^-$  suppressed using the information from the RICH detectors.
- $\omega \rightarrow \pi^0 \mu^+ \mu^-$ ,  $\eta \rightarrow \mu^+ \mu^- \gamma$  are not expected to generate peaking structures.
- Combinatorial background is almost flat in the region  $[400, 600] \text{ MeV}/c^2$ .
- Main source of background is  $K_S^0 \rightarrow \pi^+ \pi^-$  double misID.

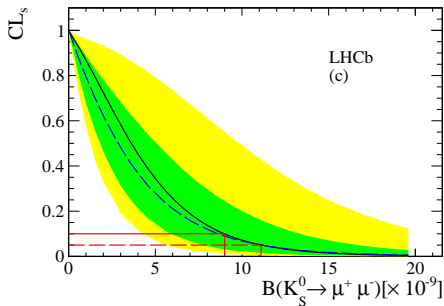
# Analysis strategy

## Main features

- Using 2012 data with  $2\text{fb}^{-1}$ .
- Since it is a “search for” analysis, a blind strategy is done, avoiding the use of events in  $m_{\mu\mu} \in [492, 504] \text{ MeV}/c^2$ .
- Three different trigger selections have been used to exploit all the trigger capability.
- The  $K_S^0 \rightarrow \pi^+\pi^-$  mode is used as a normalization channel.

## Differences with respect to 2011 analysis

- Twice the luminosity.
- Trigger lines improved.
- New muon identification algorithm.
- Included function to describe the signal shape.
- Limit calculated directly from the  $-\log \mathcal{L}$  distribution.
- Taking into account the previous limit.



LHCb [JHEP 01 (2013) 090]

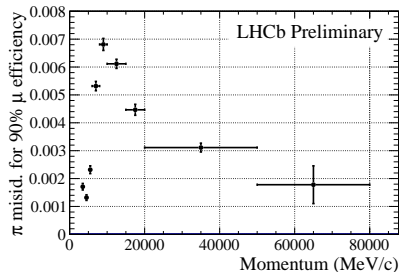
# The new triggers and muonID algorithms in Run-I

## Triggers

- Removed cuts on the di-muon mass.
- The  $p_T$  cuts have been reduced.
- Trigger efficiency improved by a factor 2.5.

## Muon identification

- Dedicated algorithm for low  $p_T$  processes.
- $B^+ \rightarrow J/\psi K^+$  used for the muon calibration sample.
- Reduced dependence with the number of tracks.
- $\pi$  misID = 0.49% at 95% of signal efficiency.



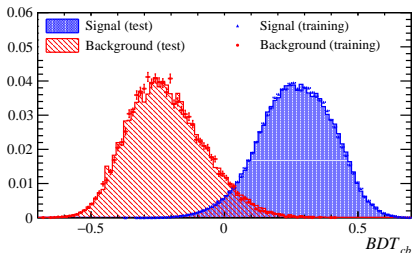
[LHCb-CONF-2016-012]

# Combinatorial background removal

To remove the combinatorial background events a MVA selection has been done:

- Different MVA algorithms have been studied: BDT, Neural Networks, ...
- Optimization has been done using the ROC curves.
- The proxies correspond to real data  $K_S^0 \rightarrow \pi^+\pi^-$  events (signal) and  $K_S^0 \rightarrow \mu^+\mu^-$  events from the far right sideband (background).

- One different MVA for each trigger category.
- Optimization lead to the same MVA algorithm (**BDT**) and the same set of variables.
- Analysis performed in bins of the BDT distribution.
- Bins selected after a loose cut and so the signal efficiency is the same in each of them.



[LHCb-CONF-2016-012]



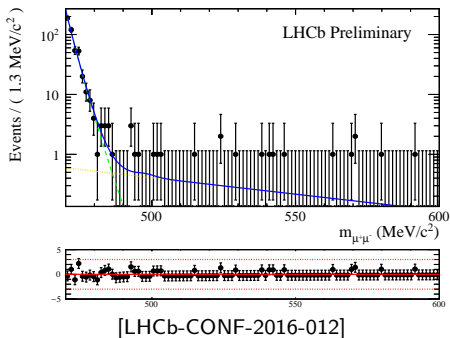
# Fit procedure

- A simultaneous Maximum Likelihood Fit to all the BDT bins and categories was performed.
- Fit performed in the region  $m_{\mu^+\mu^-} \in [470, 600] \text{ MeV}/c^2$ .
- The previous result has been taken into account introducing a constraint on the branching fraction.

## Contributions

- Right side of the  $K_S^0 \rightarrow \pi^+\pi^-$  double misID  $\Rightarrow$  Power law
- Combinatorial background  $\Rightarrow$  Exponential
- Signal peak  $\Rightarrow$  Hypatia function\*

\* [NIM A, 764, 150 (2014)]



# The results

The normalization is computed as:

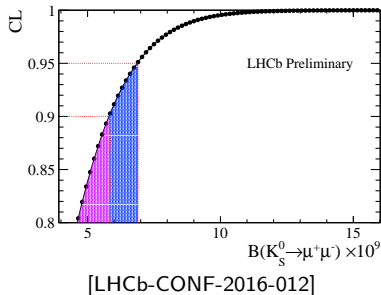
$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) = \mathcal{B}(K_S^0 \rightarrow \pi^+ \pi^-) \cdot \frac{\epsilon^{\pi\pi}}{\epsilon^{\mu\mu}} \cdot \frac{N^{\mu\mu}}{N^{\pi\pi}} \equiv \alpha N^{\mu\mu}$$

$$\frac{\epsilon^{\pi\pi}}{\epsilon^{\mu\mu}} = \frac{\epsilon_{sel}^{\pi\pi}}{\epsilon_{sel}^{\mu\mu}} \times \frac{\epsilon_{trig}^{\pi\pi}}{\epsilon_{trig}^{\mu\mu}} \times \frac{1}{\epsilon_{BDT}^{\mu\mu}} \times \frac{1}{\epsilon_{\mu ID}}$$

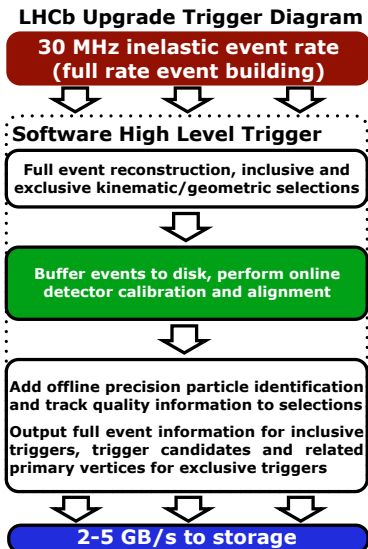
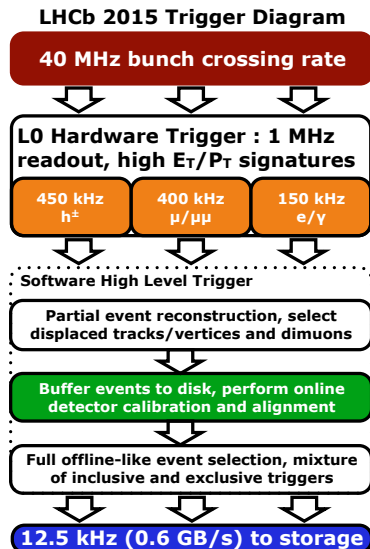
Limit extracted integrating the posterior probability of the branching fraction.

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) < 6.9(5.8) \times 10^{-9} \text{ at } 95(90)\% \text{ CL}$$

This result improves the previous LHCb limit by a factor 1.6, becoming the new world best result.

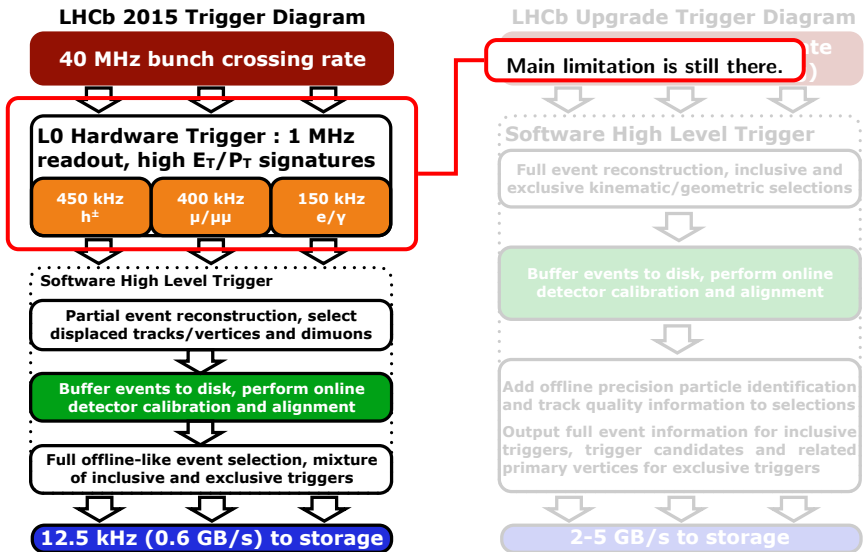


# Prospects for the Run-II (2015-2019) and the upgrade (2021-2023)



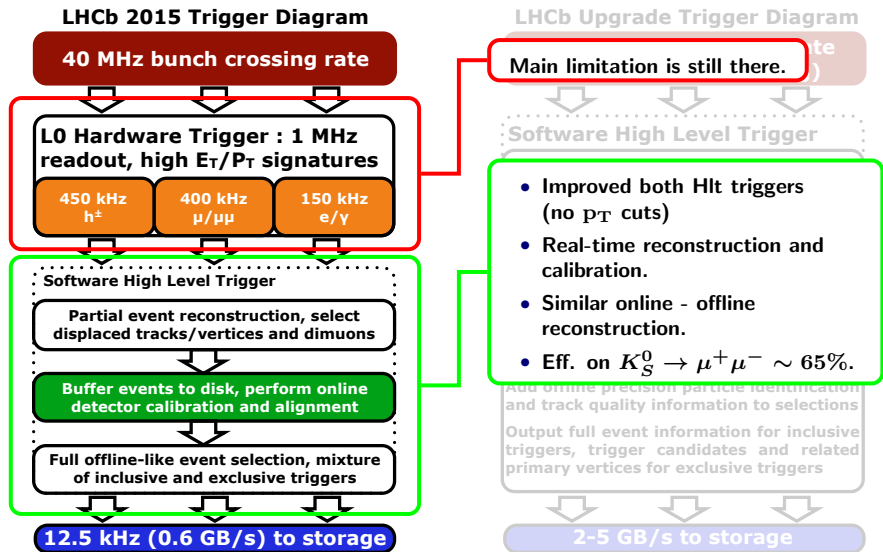
LHCb Upgrade TDR [CERN-LHCC-2014-016]

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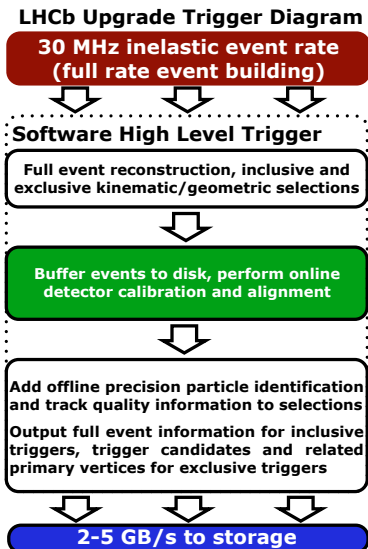
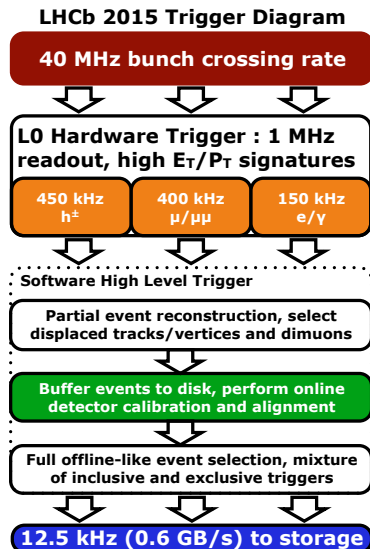
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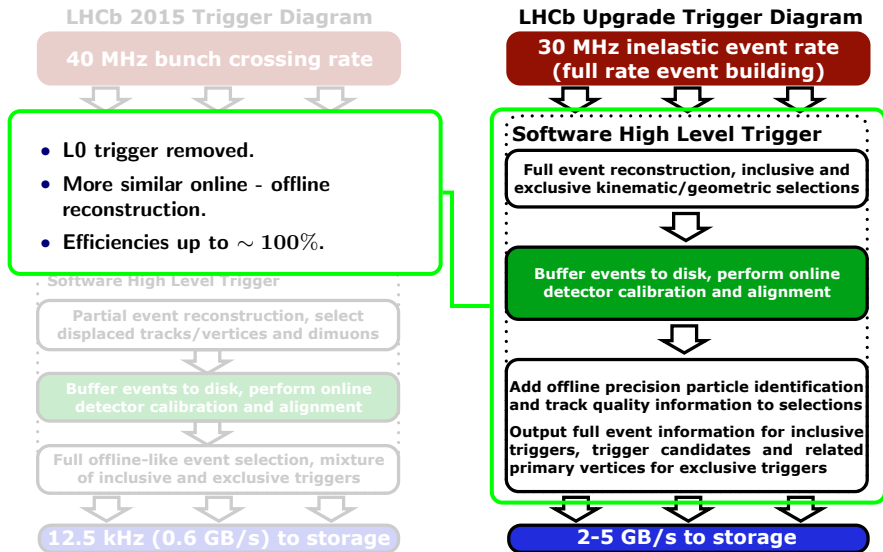
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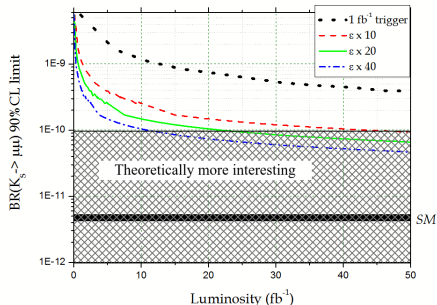
# Conclusions

- A preliminary result for  $K_S^0 \rightarrow \mu^+ \mu^-$  analysis using the whole Run-I data has been shown.

- The limit has been measured to be

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-) < 6.9(5.8) \times 10^{-9} \text{ at } 95(90)\% \text{ CL}$$

- Many efforts are being made to optimize the LHCb detector to study strange physics.
- Most interesting results are expected to appear in Run-II data and after the upgrade.





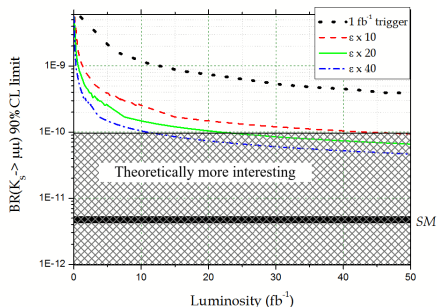
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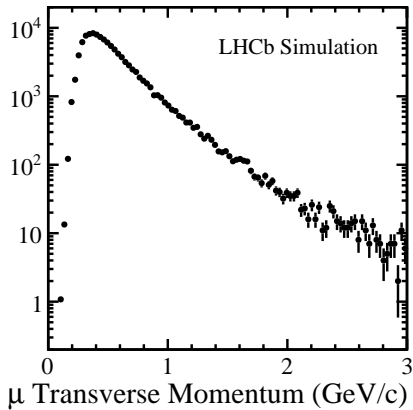
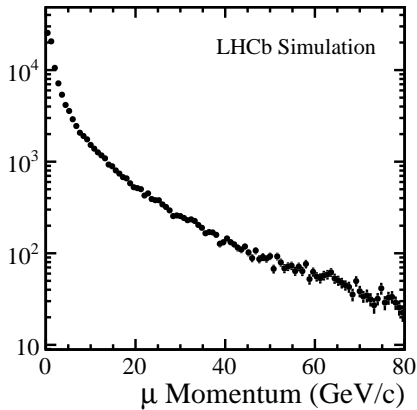
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Stay tuned for the Run-II results!

**BACKUP**

# Muon spectra



[LHCb-CONF-2016-012]

$$K_L^0 \rightarrow \mu^+ \mu^-$$

From the PDG<sup>2</sup>:

$$\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \times 10^{-9}$$

The effective branching fraction is computed as:

$$\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-)^{\text{eff.}} = \frac{\epsilon_{K_L^0}}{\epsilon_{K_S^0}} \mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-) \equiv s_{K_L^0 \rightarrow \mu^+ \mu^-} \mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-)$$

The suppression  $s_{K_L^0 \rightarrow \mu^+ \mu^-}$  factor is obtained considering the time acceptance:

$$A(t; a, n, t_0, \delta t) = \frac{[a(t - t_0)]^n}{1 + [a(t - t_0)]^n} e^{-\delta t}$$

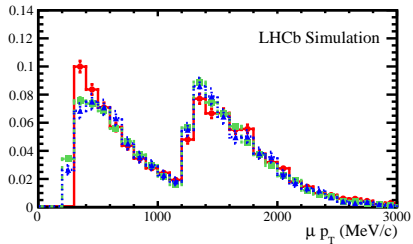
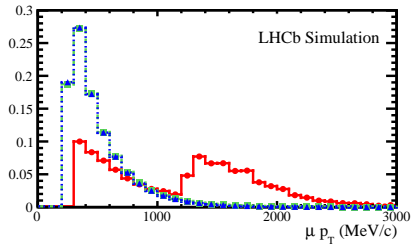
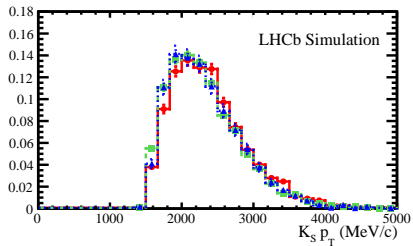
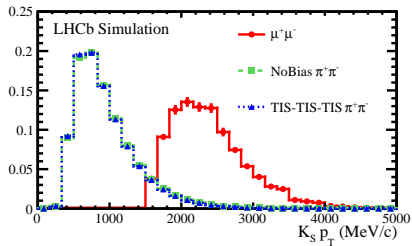
$\delta t$  is calculated from a fit to  $K_S^0 \rightarrow \mu^+ \mu^-$  events. The suppression factor is the ratio between acceptance-corrected and theoretical proper time distributions, thus:

$$\mathcal{B}_{\text{eff.}} \in [1.2, 1.7] \times 10^{-11}$$

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<sup>2</sup>PDG [Chin. Phys. C, 38, 090001 (2014) and 2015 update]

# MVA proxies



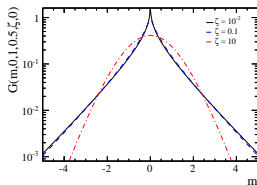
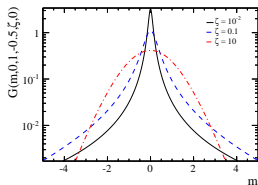
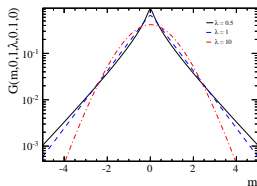
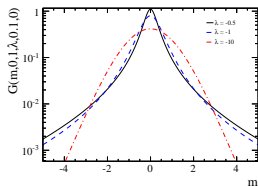
[LHCb-CONF-2016-012]

# Signal shape

The Hypatia function is defined as follows<sup>3</sup>:

$$I(m, \mu, \sigma, \lambda, \zeta, \beta, a, n) \propto$$

$$\begin{cases} \left( (m - \mu)^2 + A_\lambda^2(\zeta)\sigma^2 \right)^{\frac{1}{2}\lambda - \frac{1}{4}} e^{\beta(m - \mu)} K_{\lambda - \frac{1}{2}} \left( \zeta \sqrt{1 + \left( \frac{m - \mu}{A_\lambda(\zeta)\sigma} \right)^2} \right) & , \text{ if } \frac{m - \mu}{\sigma} > -a \\ \frac{G(\mu - a\sigma, \mu, \sigma, \lambda, \zeta, \beta)}{\left( 1 - m/n \left( \frac{G(\mu - a\sigma, \mu, \sigma, \lambda, \zeta, \beta)}{G(\mu - a\sigma, \mu, \sigma, \lambda, \zeta, \beta)} - a\sigma \right) \right)^n} & , \text{ otherwise} \end{cases}$$



<sup>3</sup>Diego Martínez Santos, Frederic Dupertuis [NIM A, 764, 150 (2014)]

# Systematics

Source	$TOS_{\mu}$ - $TOS_{\mu}$ - $TOS_{\mu\mu}$	$TOS_{\mu}$ - $TOS_{\mu\mu}$ - $TOS_{\mu\mu}$	TIS-TIS- $TOS_{\mu\mu}$
	Uncertainties on normalisation factor		
Tracking	0.4%	0.4%	0.4%
Selection	3.3%	3.9%	1.1%
Trigger	8%	11%	-
K spectrum	3.3%	3.3%	3.3%
Muon ID	0.2%	0.3%	0.8%
	Uncertainties on signal yield		
Signal mass shape	0.8%	0.8%	0.8%
	Uncertainties on branching fraction		
Background shape	$4 \times 10^{-11}$		

## Constraint using the prior result

Amoroso distribution to put a constraint on the  $\mathcal{B}(K_S^0 \rightarrow \mu^+\mu^-)$  using the result from 2011.

