



UNIVERSITY OF
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Rare B Decays And Lepton Flavour Universality Tests at LHCb

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on behalf of the LHCb collaboration

**KAON 2016
Birmingham**

Lepton Flavour Violation

- Minimal Flavour Violation (MFV)

The flavour changing transitions in Standard Model (SM) are governed by the CKM matrix, which applies to the quark sector (..QFV)

- Lepton Flavour Violation (LFV)

Not present in the SM, present in the nature (at least) through neutrino mixing:

PRL 81 (1998) 1562

$W \rightarrow l_\alpha \quad [\alpha = e, \mu, \tau]$
 $\nu_\alpha \equiv \sum_i U_{\alpha i} \nu_i \quad [i = 1, 2, 3]$
flavour eigenstate mass eigenstate with mass m_i

...at the level of $< \mathbf{O(10^{-40})}$.

Large LFV is expected in numerous New Physics (NP) scenarios (Supersymmetry, Extra Dimension, Little Higgs)

Lepton Flavour Violation

- A subset of LFV searches in LHCb

$B_{(s)} \rightarrow e^\pm \mu^\mp$ (1 fb ⁻¹)	BR($B_s^0 \rightarrow e^+ \mu^-$) × 10 ⁻⁸ at 90% (95%) CL	BR($B^0 \rightarrow e^+ \mu^-$) × 10 ⁻⁹ at 90% (95%) CL
Expected LHCb, 1 fb ⁻¹	1.53 (1.95)	3.97 (4.95)
Observed LHCb, 1 fb ⁻¹	1.11 (1.36)	2.8 (3.72)

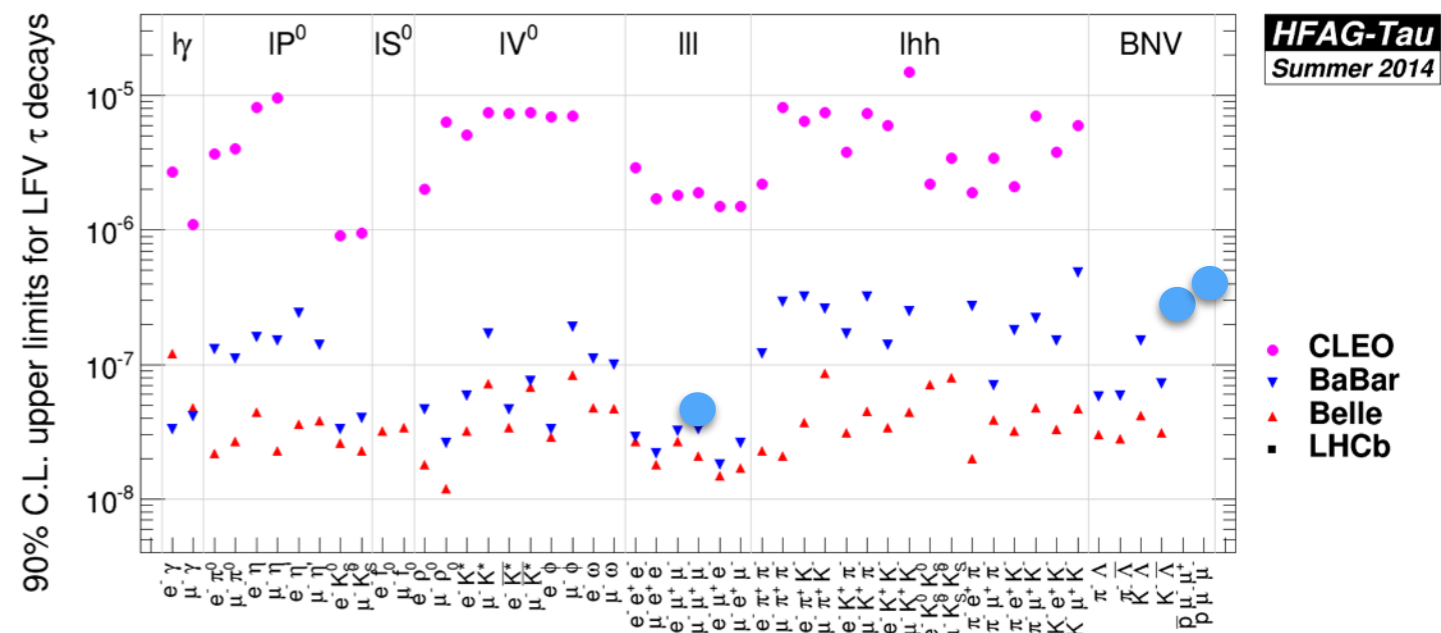
$D^0 \rightarrow e^\pm \mu^\mp$ (3 fb⁻¹) $\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.5 \times 10^{-8}$ at 90% CL

PL B 754 (2016) 167

$\tau \rightarrow \mu\mu\mu$ (3 fb⁻¹)

JHEP 02 (2015) 121

$\mathcal{B}(\tau^- \rightarrow 3\mu) < 4.6 \times 10^{-8}$ at 90% CL

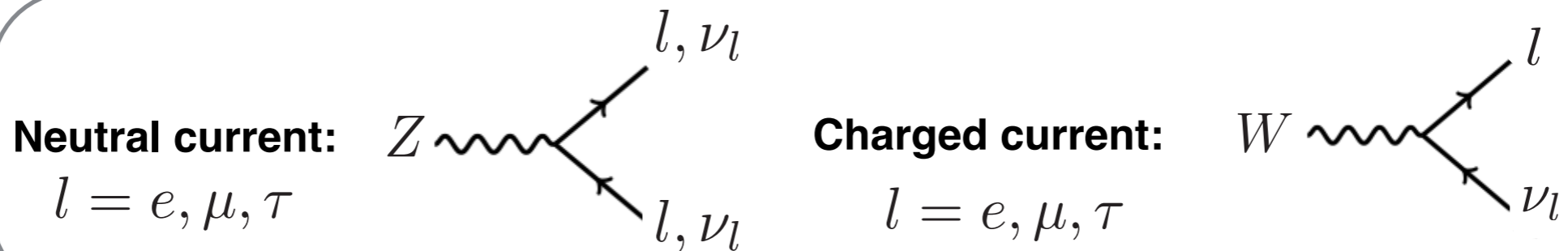


...no evidence for Lepton Flavour Violation

Lepton Flavour Universality

- Lepton Flavour Universality

- In Standard Model, **lepton couplings** to gauge bosons are **identical**:



- The leptonic **branching fraction** (BF) only **differ due to lepton masses**
 - Higgs couplings, phase space, level of helicity suppression
- Lepton Universality has been thoroughly tested over the years at LEP, SLC, and many other experiments:

$$\begin{array}{llll}
 Z \rightarrow l^+ l^- & W^\pm \rightarrow l^\pm \nu_l & \tau^\pm \rightarrow l^\pm \nu \bar{\nu} & \mu^\pm \rightarrow e^\pm \nu \bar{\nu} \\
 K^\pm \rightarrow l^\pm \nu_l & K_L \rightarrow l^+ l^- & K_S \rightarrow \pi^0 l^+ l^- & K^\pm \rightarrow \pi^\pm l^\pm l^\mp \\
 \pi^\pm \rightarrow l^\pm \nu_l & & &
 \end{array}$$

...and so far, found to hold.

Lepton Flavour Universality

- Lepton Flavour Universality Violation (LFUV)

- The most stringent limits on LFUV involve the first two generations.

NP could easily evade the strong bounds from the direct searches while preserving the effect on heavy flavour

- Many NP scenarios contain additional interactions and enhanced couplings to the third generation:

- **Examples: A^0 , H^\pm , new vectors coupled to SM Higgs doublet, leptoquarks**

- The NP effects can be suppressed due to the MFV in pion and kaon decays

*...assuming the NP is MFV, the **kaon limits need to be improved by $\sim O(1)$** to probe the parameters space relevant in B decays.*

A. Crivellin et al, arXiv:1601.00970v3

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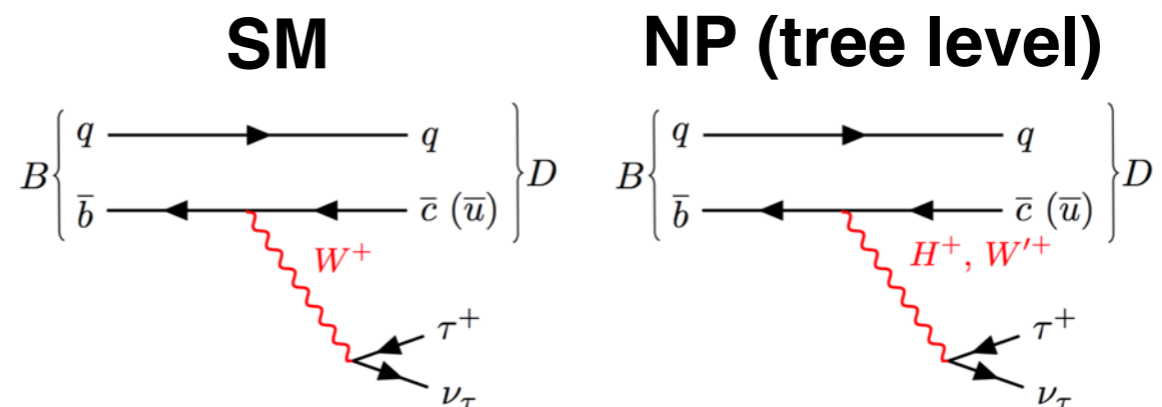
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Example of an excellent LU test mode:

$$b \rightarrow c \tau \nu$$

not rare: BF $\sim O(\%)$



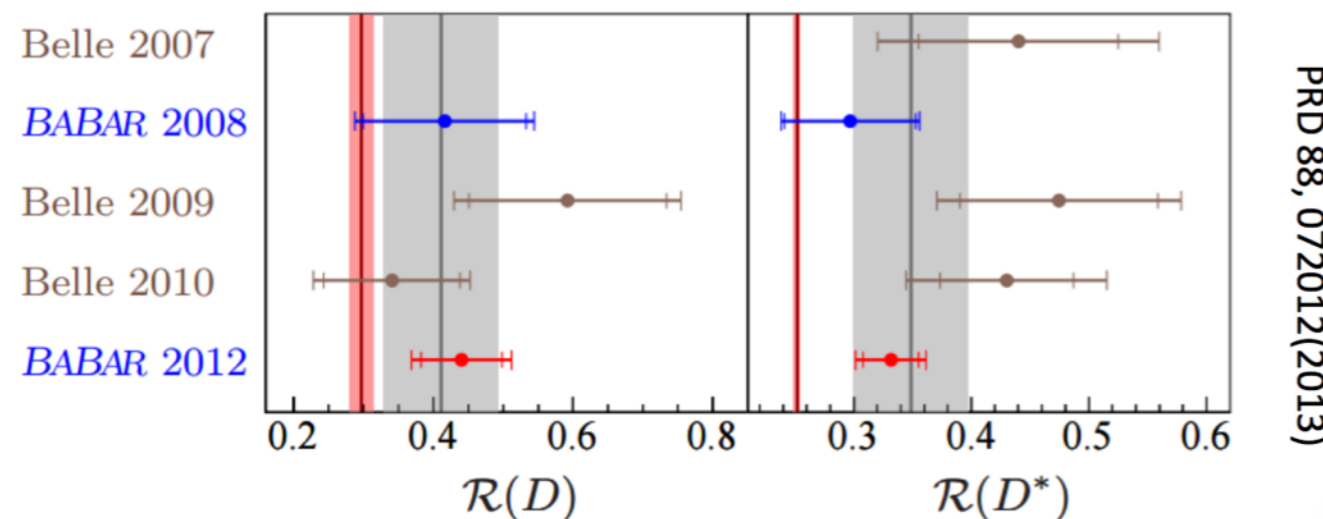
Lepton Flavour Universality Tests

$\mathcal{R}(D^*)$

$$\mathcal{R}(D^*) \equiv \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} = \mathbf{0.252(3)}$$

SM: PRD 85 094025 (2012)

- Semi-leptonic B decays are in general well understood in the SM
- Many **uncertainties can be significantly reduced in ratios**
 - theoretical (hadronic form factors, SM parametric)
 - experimental (D^* reconstruction, particle identification and tracking)
- Babar and Belle measurements (pre 2015) show **deviations from SM:**



PRD 88, 072012(2013)

- $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$ combined:
 3.7σ (w.r.t. SM)

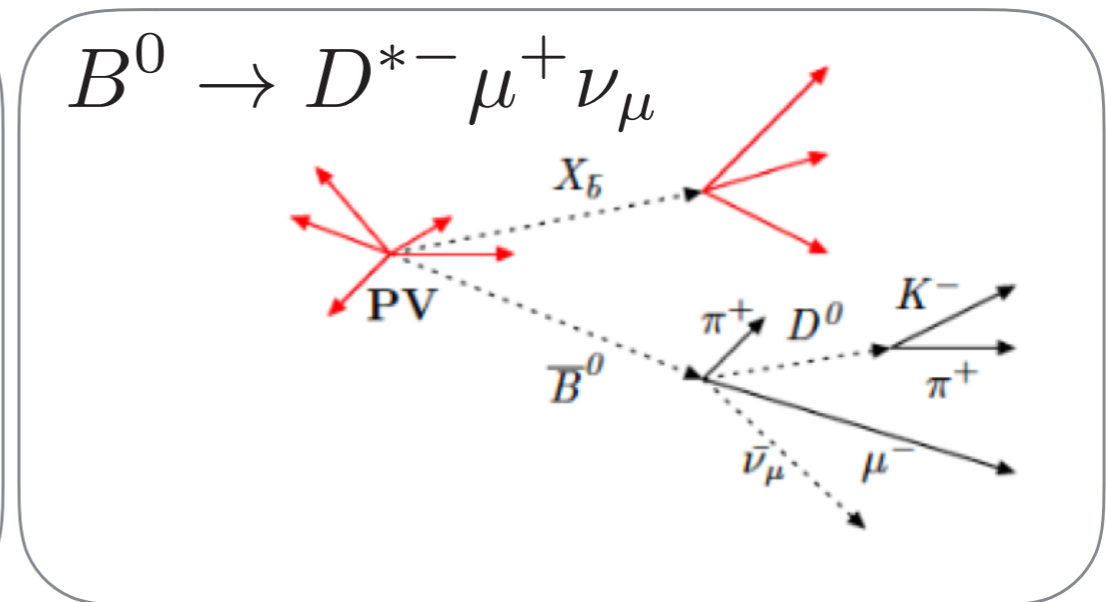
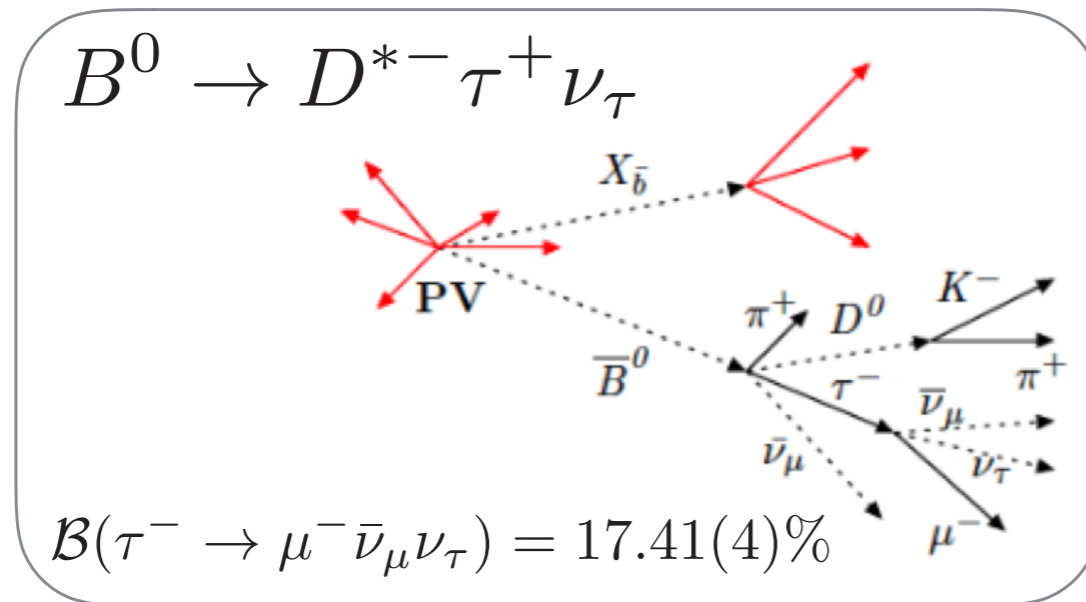
Lepton Flavour Universality Tests

$\mathcal{R}(D^*)$ in LHCb

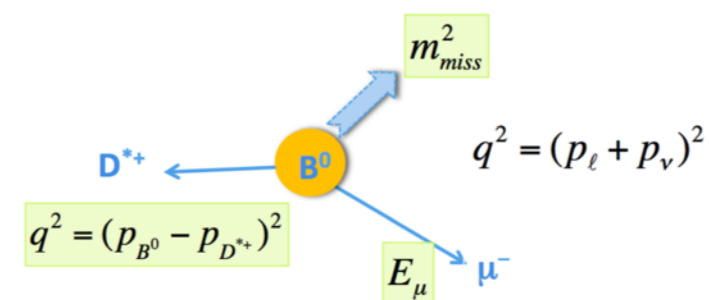
LHCB-PAPER-2015-025, arXiv:1506.08614

Extremely challenging in **hadronic environment**, requires a different strategy compared to Belle and Babar

- Unknown B momentum
 - use the boost of the visible system and PV-SV separation
- Determine the ratio between decays to the **same (visible) final state**:



- Separate the decays in B rest frame
 - missing mass, lepton pair recoil, lepton energy



Lepton Flavour Universality Tests

$\mathcal{R}(D^*)$ Results

LHCb $\mathcal{R}(D^*) = 0.336 \pm 0.027^{stat} \pm 0.030^{syst}$

BaBar $\mathcal{R}(D^*) = 0.332 \pm 0.024^{stat} \pm 0.018^{syst}$

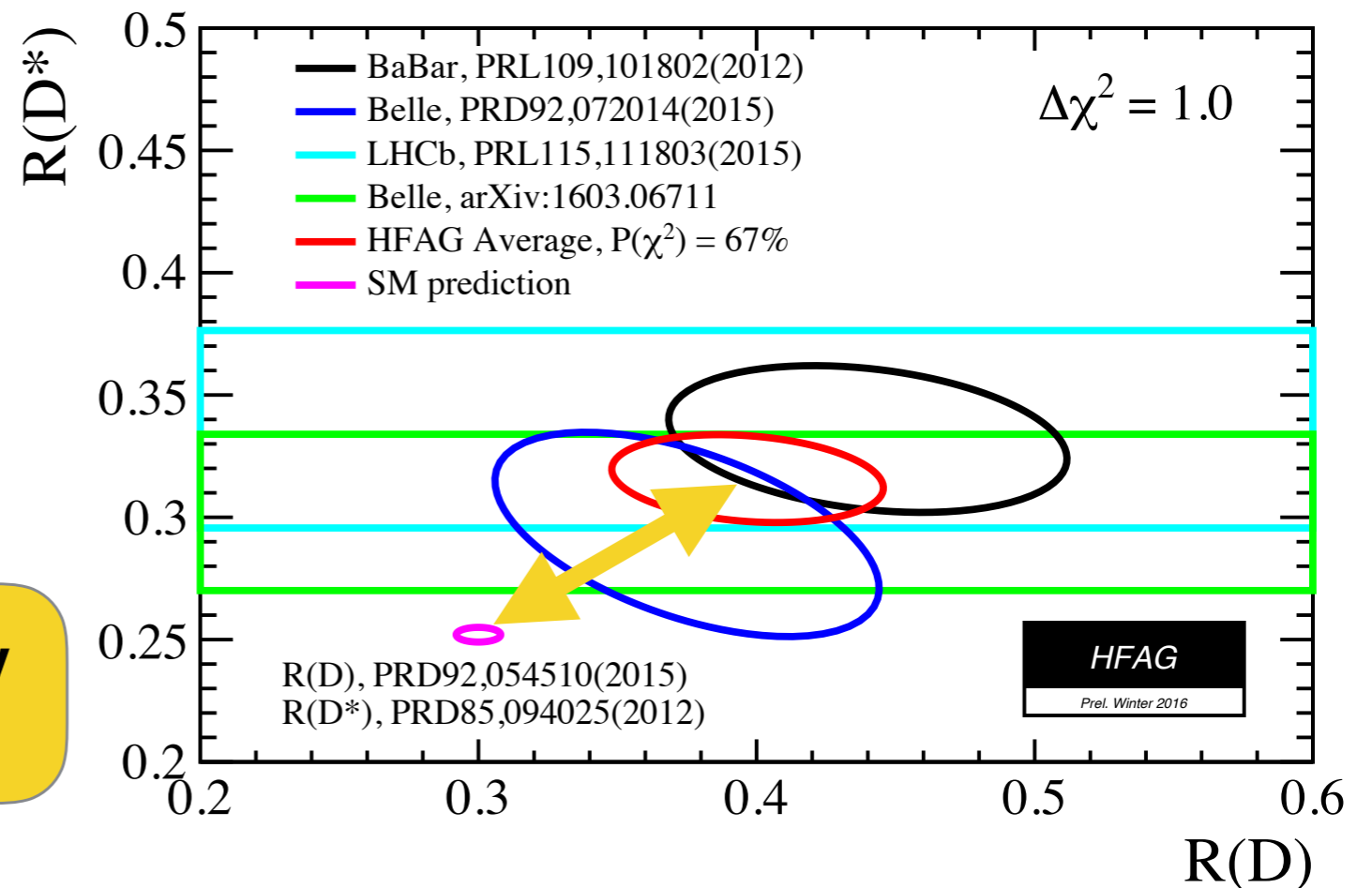
Belle $\mathcal{R}(D^*) = 0.293 \pm 0.038^{stat} \pm 0.015^{syst}$

Belle $\mathcal{R}(D^*) = 0.302 \pm 0.030^{stat} \pm 0.011^{syst}$

SM $\mathcal{R}(D^*) = 0.252 \pm 0.003$

Phys.Rev.D85(2012) 094025

**Combined compatibility
with SM : 4.0σ**



Lepton Flavour Universality Tests

$\mathcal{R}(D^*)$ Results

Excluding the newest (26/08) Belle result:

We report the measurement of $R(D^*)$ with hadronic τ decay modes $\tau^- \rightarrow \pi^- \nu_\tau$ and $\tau^- \rightarrow \rho^- \nu_\tau$ and the first measurement of P_τ in the decay $\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$, using $772 \times 10^6 B\bar{B}$ data accumulated with the Belle detector. Our preliminary results are

$$R(D^*) = 0.276 \pm 0.034(\text{stat.})_{-0.026}^{+0.029}(\text{syst.}), \quad (14)$$

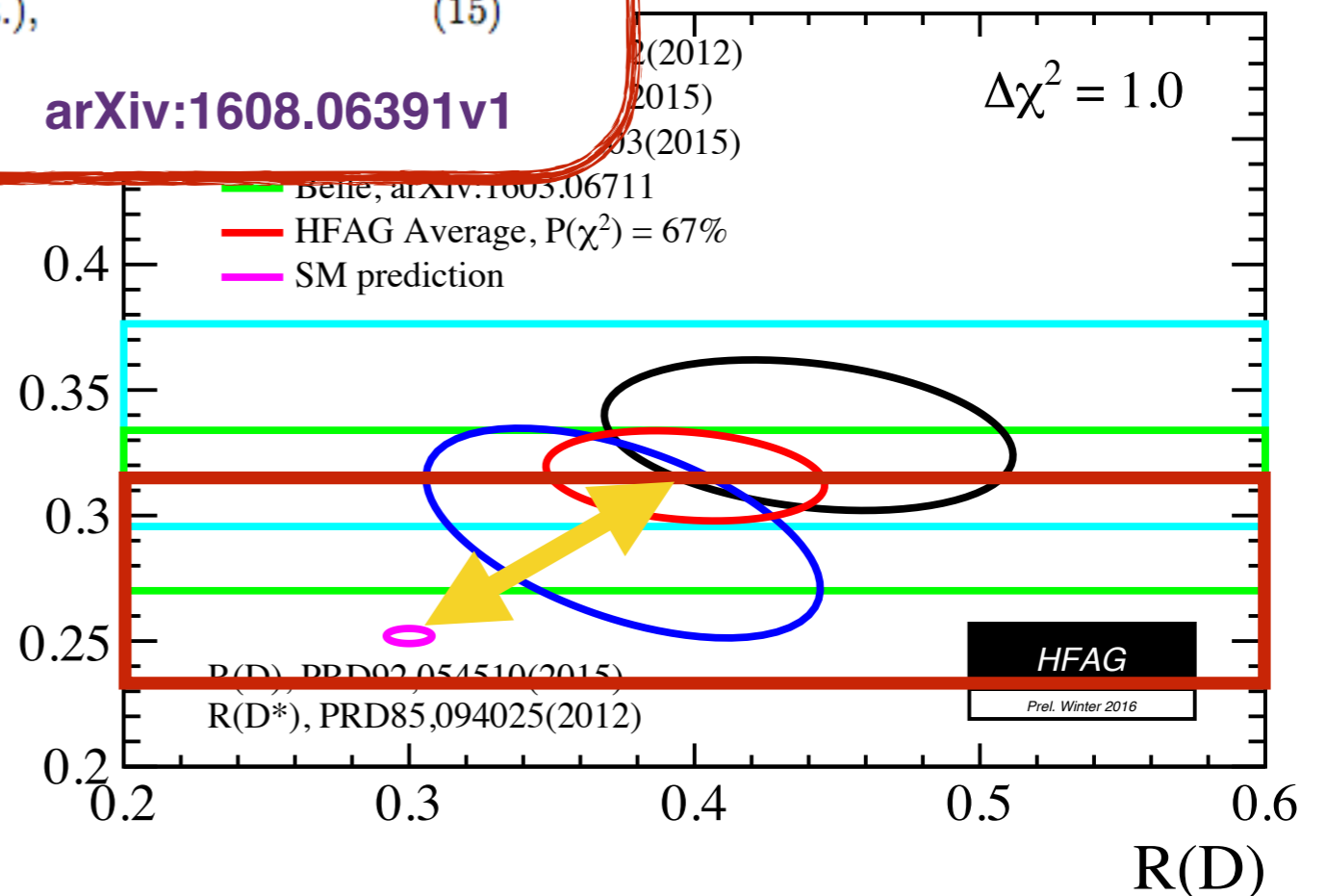
$$P_\tau = -0.44 \pm 0.47(\text{stat.})_{-0.17}^{+0.20}(\text{syst.}), \quad (15)$$

which is consistent with the SM prediction within 0.6σ .

arXiv:1608.06391v1

$R(D^*) = 0.252 \pm 0.003$
Rev.D85(2012) 094025

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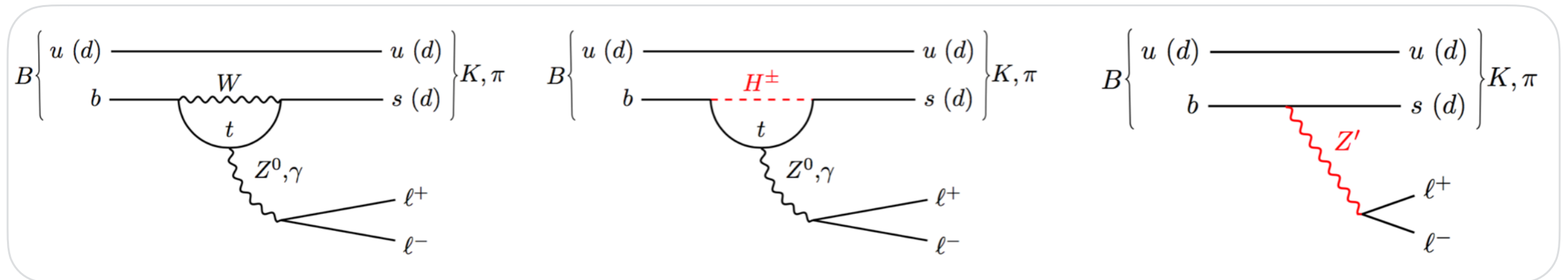
Lepton Flavour Universality Tests

$\mathcal{R}(D^*)$ is not the only place where we measure unexpected results...

- Another group of excellent Lepton Flavour Universality Tests involves a **flavour changing neutral current** transition:

$$b \rightarrow s l^+ l^-$$

- The BF's of FCNC decays **highly sensitive to various NP contributions:**



SM

(GIM suppressed at tree level
penguin and box diagrams)

NP

(**loop** level)

NP

(**tree** level)

Lepton Flavour Universality Tests

$\mathcal{R}(K)$

- In the SM, the **ratio** between the leptonic B decays to kaons and leptons is precisely predicted in the SM:

$$\mathcal{R}(K)^{SM} \equiv \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} = 1 \pm \mathcal{O}(10^{-3})$$

(..due to phase space)

- $\mathcal{R}(K)$ is sensitive to new scalar and pseudo-scalar interactions or Z' bosons, and **has been determined by the B-factories with a precision of 20-50%**:

Experiment	q^2 (GeV ²)	R_K
BaBar*	0.1 – 16.0	$1.00^{+0.31}_{-0.25} \pm 0.07$
	0.1 – 8.12	$0.74^{+0.40}_{-0.31} \pm 0.06$
	> 10.11	$1.43^{+0.65}_{-0.44} \pm 0.12$
Belle**	0.00 – 16.0	$1.03 \pm 0.19 \pm 0.06$

* PRD 86 (2012) 032012

** PRL 103 (2009) 171801

Lepton Flavour Universality Tests

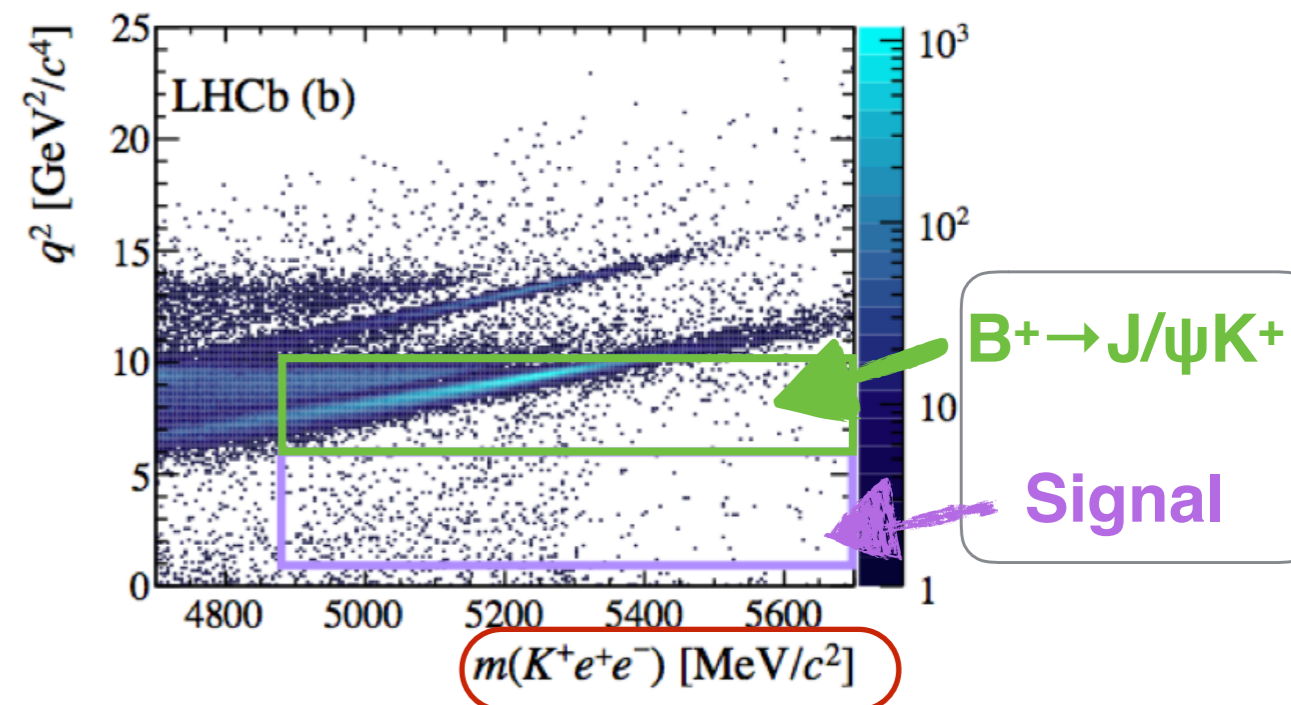
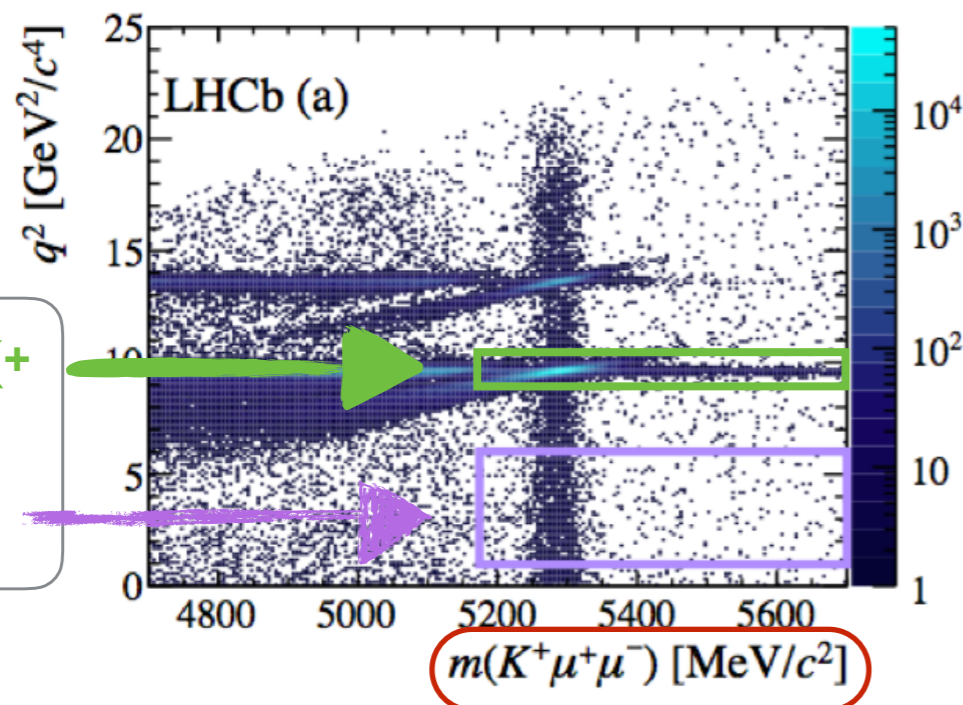
$\mathcal{R}(K)$ in LHCb

$R(K)$ is determined in the **dilepton mass squared (q^2)** range: $1 < q^2 < 6 \text{ GeV}^2/c^4$

- excludes the resonant J/ψ region and higher $\psi(2S)$ resonances
- makes precise theoretical estimates possible

..and **as a double ratio** w.r.t the respective resonant $B^+ \rightarrow J/\psi K^+$ modes to cancel potential sources of systematics:

$$R_K = \frac{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\Gamma(B^+ \rightarrow K^+ \mu\mu)}{dq^2} dq^2}{\int_{q_{\min}^2}^{q_{\max}^2} \frac{d\Gamma(B^+ \rightarrow K^+ ee)}{dq^2} dq^2} = \left(\frac{N_{K\mu\mu}}{N_{Kee}} \right) \left(\frac{N_{KJ/\psi(ee)}}{N_{KJ/\psi(\mu\mu)}} \right) \left(\frac{\epsilon_{Kee}}{\epsilon_{K\mu\mu}} \right) \left(\frac{\epsilon_{KJ/\psi(ee)}}{\epsilon_{KJ/\psi(\mu\mu)}} \right)$$



Lepton Flavour Universality Tests

$\mathcal{R}(K)$ Results (LHCb Run 1)

- **Electrons are tricky:**

- **efficiency** to detect an electron is 50% smaller
- **mass distribution** of electron modes depends strongly on the associated photons
- bremsstrahlung causes **migration** in the di-lepton mass squared range

$$\mathcal{R}(K) = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{syst})$$

- most precise measurements up to date
- $\mathcal{R}(K)$ **compatible with the SM at 2.6σ**

- **Individual BF's (LHCb):**

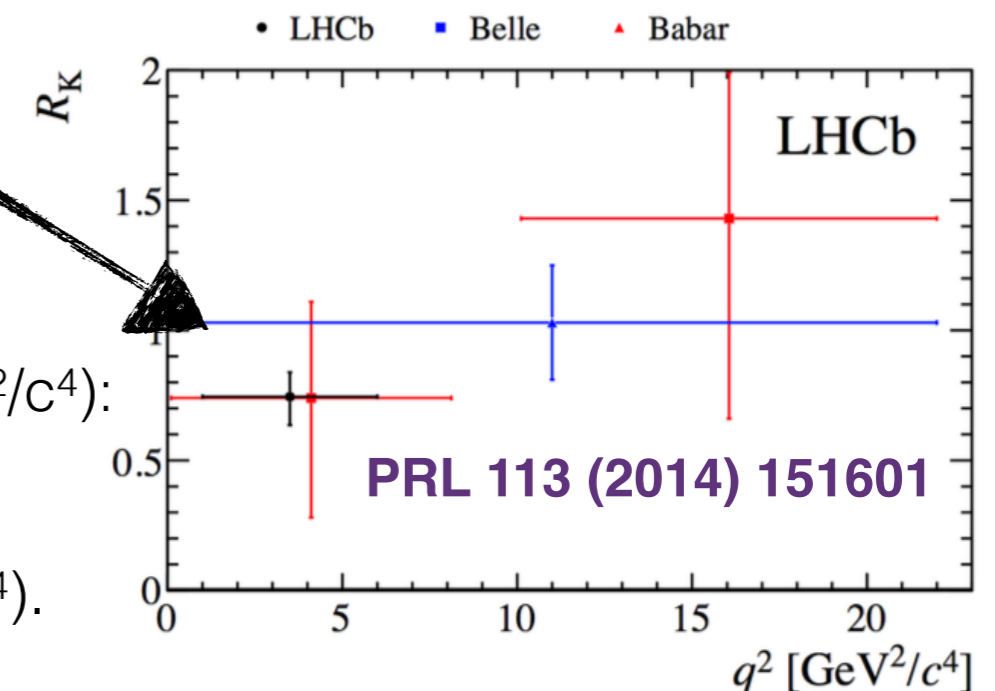
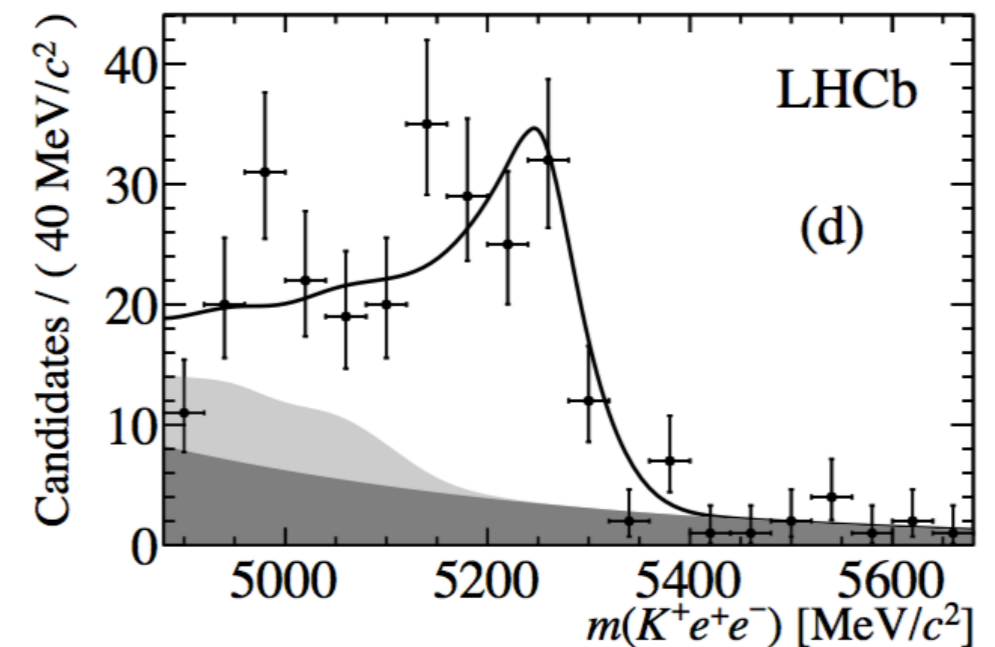
- **Electron mode BF agrees** with the SM ($0 < q^2 < 6 \text{ GeV}^2/c^4$):

$$\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-) = [1.56_{-0.15}^{+0.19}(\text{stat})_{-0.04}^{+0.06}(\text{syst})] \times 10^{-7}$$

- **Muon mode BF lower** than the SM ($0 < q^2 < 15 \text{ GeV}^2/c^4$).

JHEP 06 (2014) 133

electron trigger, 172_{-19}^{+20} events



Individual B decays to leptons

$$B^0 \rightarrow K^* \mu^+ \mu^-$$

- Measured **BF lower** than predicted by SM (though predictions have large uncertainties)

LHCb: arXiv:1606.04731, **CMS:** PLB 753 (2016) 424
SM: JHEP 01 (2012) 107, PRL111 (2013) 162002, EPJC (2015) 75 382

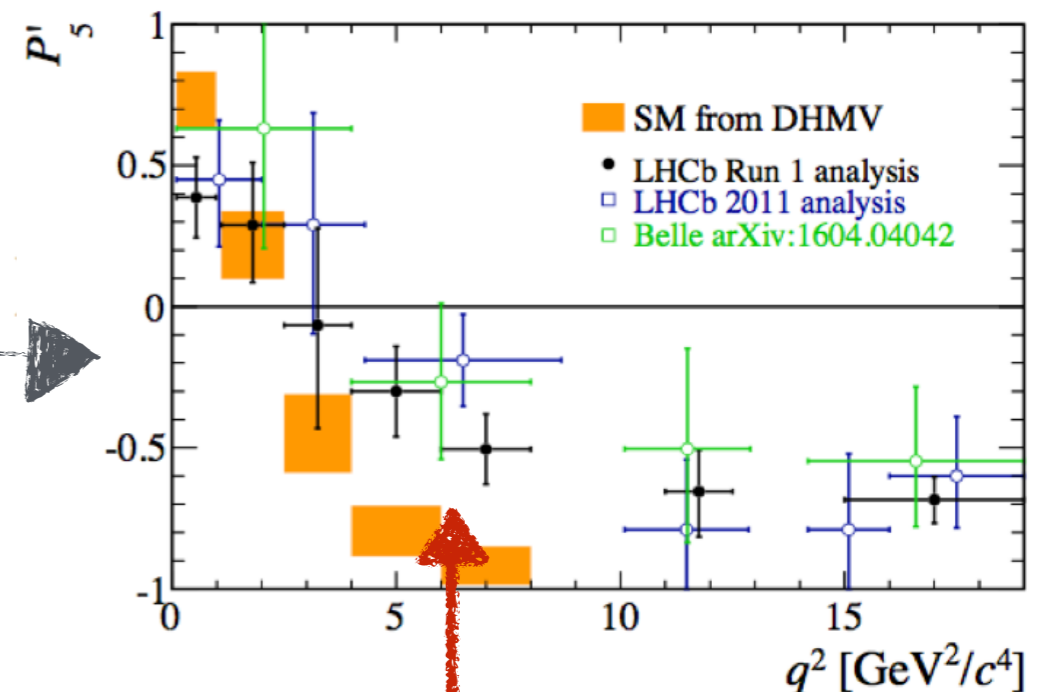
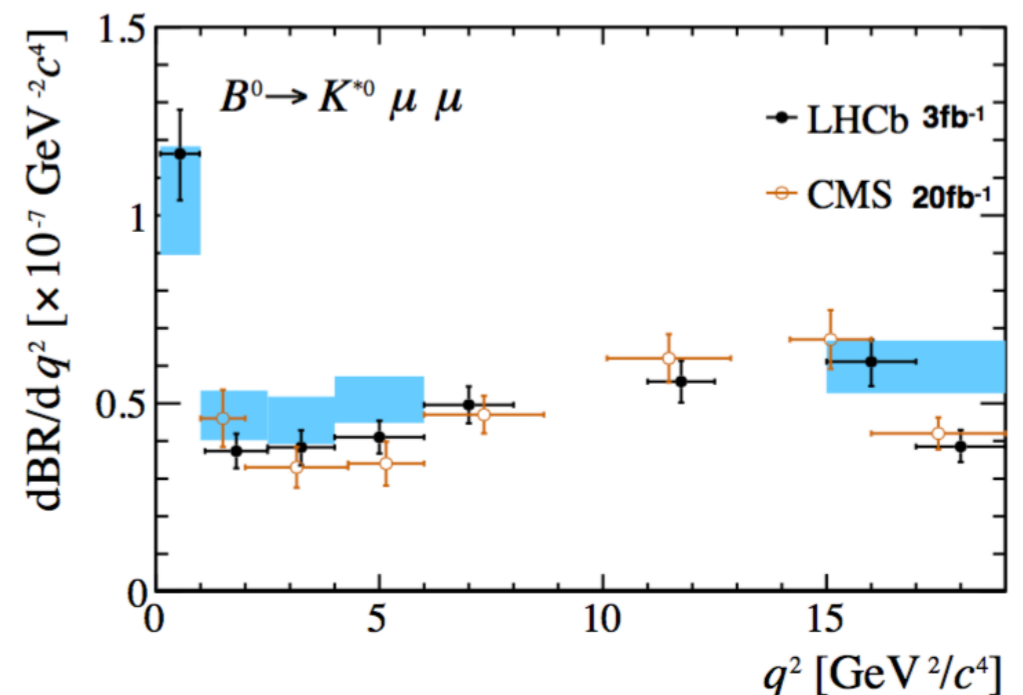
- Angular distributions** sensitive to NP effects
- 3 angles and di-lepton mass squared mapped to **optimised variables** to reduced form factor dependencies
- Significant local **tension in** one of the variables

P'_5

$$B^0 \rightarrow K^* e^+ e^-$$

- Very challenging (statistics, resolution, trigger)
- Simplified angular analysis performed (in agreement with SM)

LHCb: Phys. Rev. D 93, 014028 (2016),
SM: PRD 93 (2016) 014028



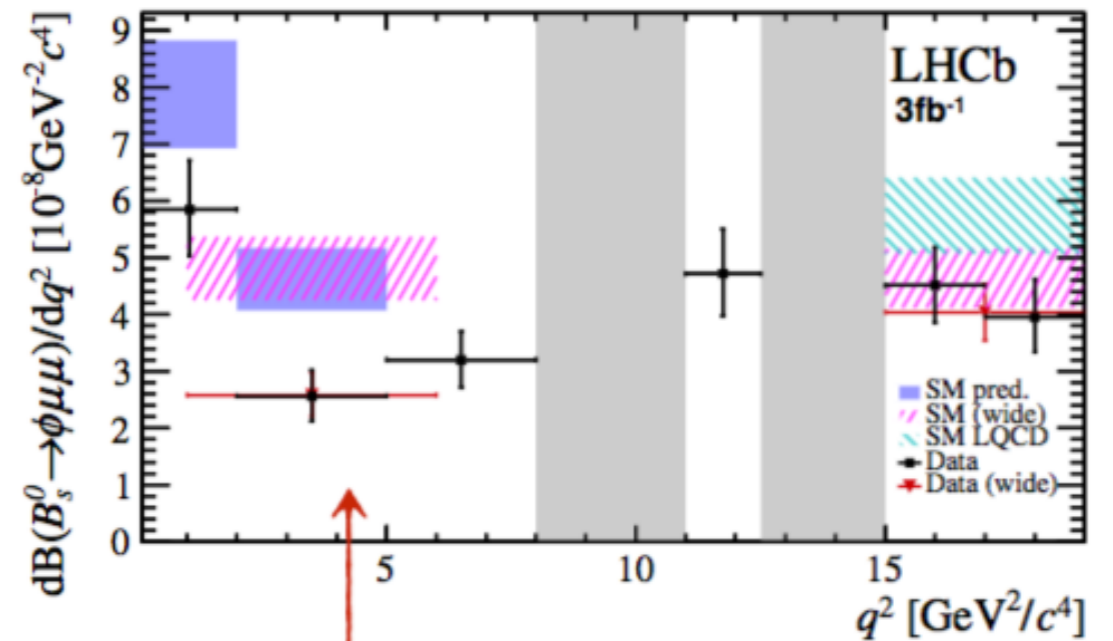
Global fit at **3.4σ** from SM predictions

Individual B decays to leptons

$$B_s \rightarrow \phi \mu^+ \mu^-$$

- **Narrow ϕ resonance simplifies selection**
- **Lower BF** than predicted in the SM
- Only CP averaged **angular observables** accessible (e.g. no P'_5), latter **in agreement**

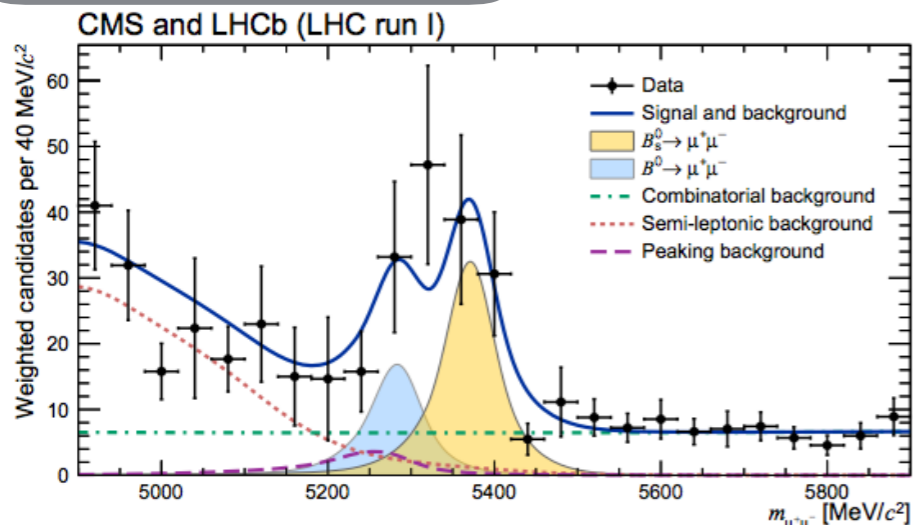
LHCb: JHEP 09 (2015) 179,
SM: EPJC (2015) 75 382, arXiv:1503.05534,
PRD 89 (2014) 094501



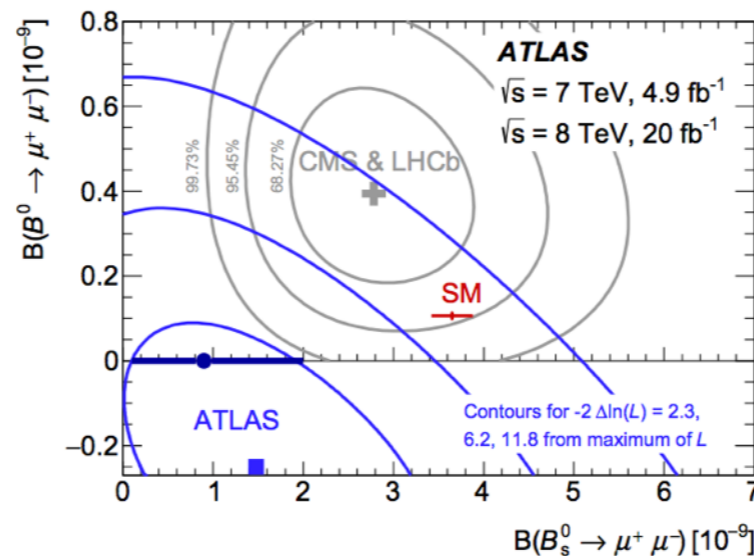
In a wide bin from $1 < q^2 < 6 \text{ GeV}^2/c^4$,
the data is $>3\sigma$ from the SM prediction

$$B_s \rightarrow \mu^+ \mu^-$$

➤ **Similar (lower BF) trend** seen in other $\mathbf{b \rightarrow s \mu^+ \mu^-}$ processes
 Compatibility with the SM 1.2σ for B_s (and 2.2σ for B^0)

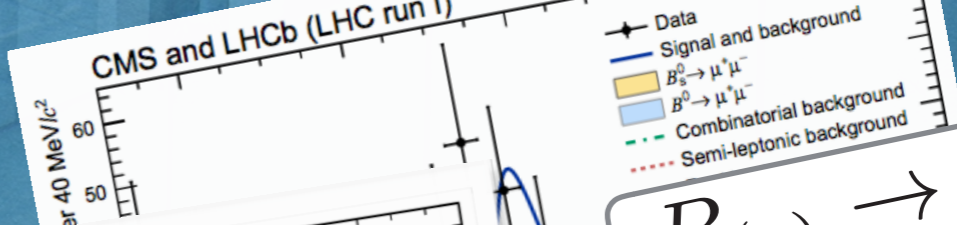
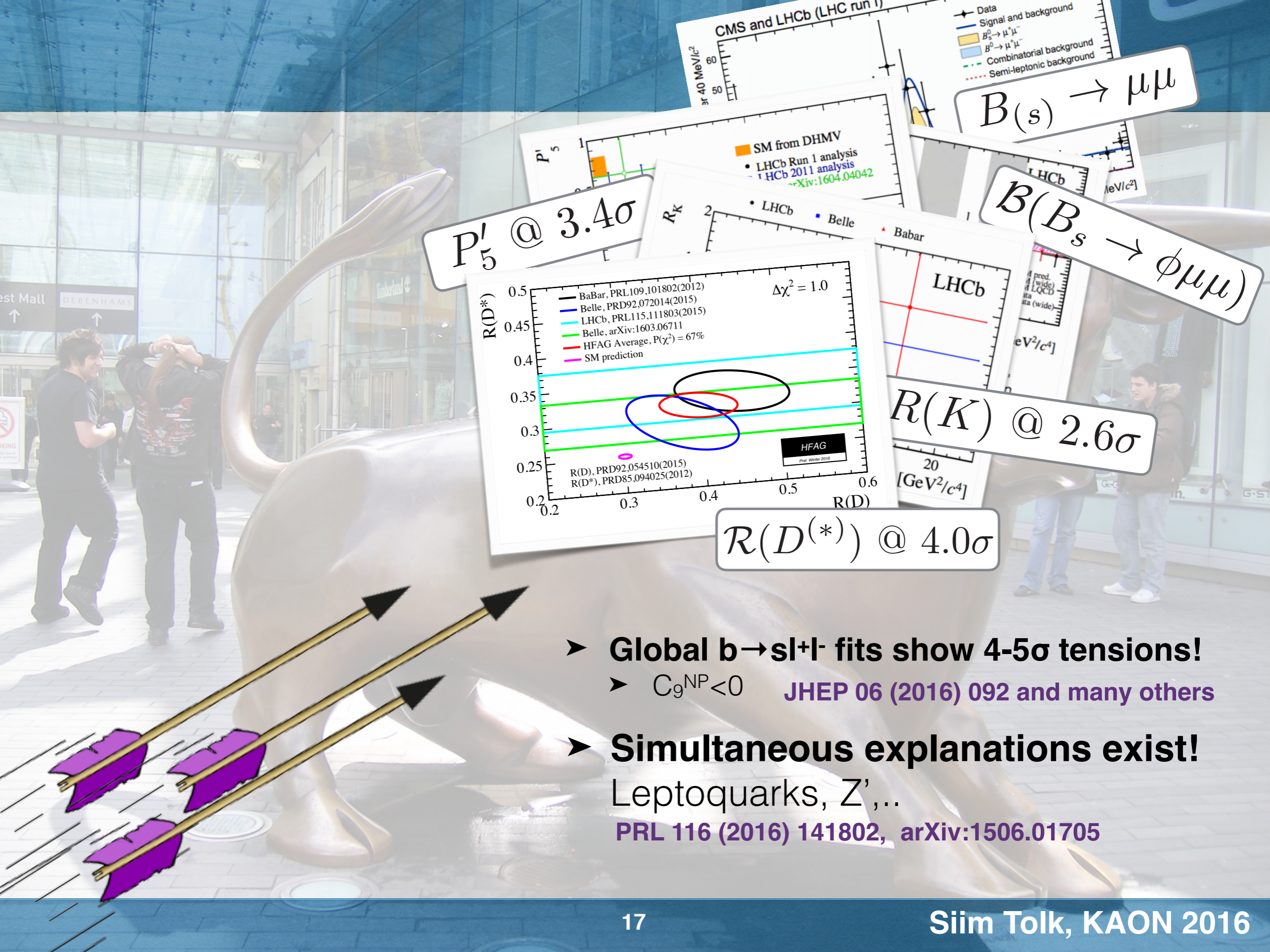


Nature 522, 68-72 (04 June 2015)



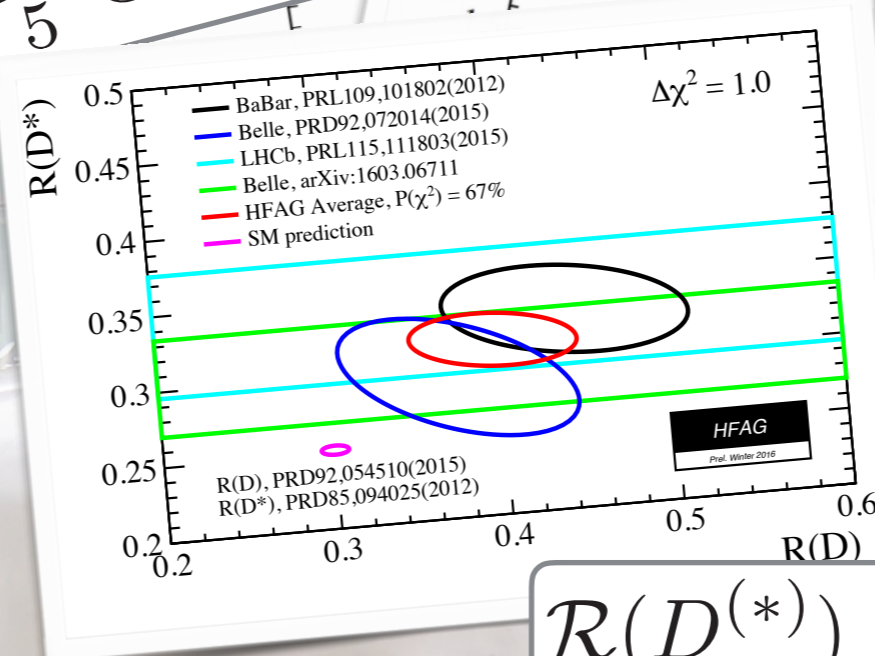
arXiv:1604.04263

$B_{(s)} \rightarrow \tau\tau$
...already next week at TAU!



$B(s) \rightarrow \mu\mu$

$P'_5 @ 3.4\sigma$



$R(D^{(*)}) @ 4.0\sigma$

$B(B_s \rightarrow \phi\mu\mu)$

$R(K) @ 2.6\sigma$

- **Global $b \rightarrow sl+l^-$ fits show 4-5 σ tensions!**
 - $C_9^{NP} < 0$ **JHEP 06 (2016) 092 and many others**
- **Simultaneous explanations exist!**
 Leptoquarks, Z' , ...
PRL 116 (2016) 141802, arXiv:1506.01705

LHCb's schedule is busy...

- LHCb expected to collect **additional 5fb^{-1} in Run 2**
- Presented analysis will be **updated**.
- Many **new analysis** ongoing/foreseen at LHCb:
 - $R(D^*)$ using hadronic τ -decays
 - $R(D)$ measurement
 - Extend R to other mesons/baryons:
 $R(D_s)$ $R(\Lambda_c)$, $R(\Lambda_c^*)$
 - $R(K^*)$ with $B^0 \rightarrow K^* l^+ l^-$ and larger q^2 range
 - Electron-muon asymmetry in P'_5

Very interesting years ahead!

- **Global $b \rightarrow sl^+ l^-$ fits show 4-5 σ tensions!**
 - $C_9^{\text{NP}} < 0$ [JHEP 06 \(2016\) 092](#) and many others
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[PRL 116 \(2016\) 141802](#), [arXiv:1506.01705](#)