

# Results on kaon physics from OKA setup @ U-70 synchrotron

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On behalf of «OKA» collaboration (IHEP-INR-JINR)

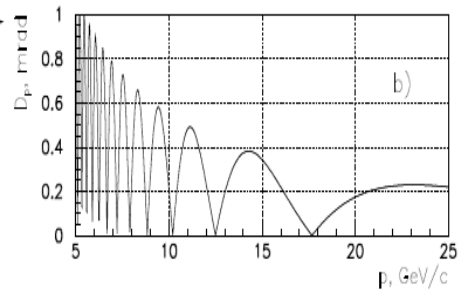
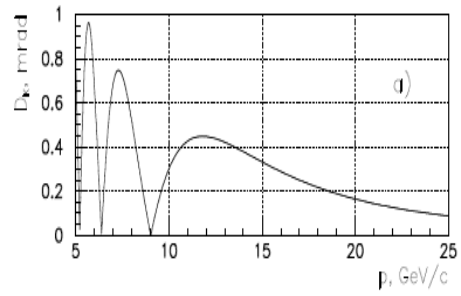
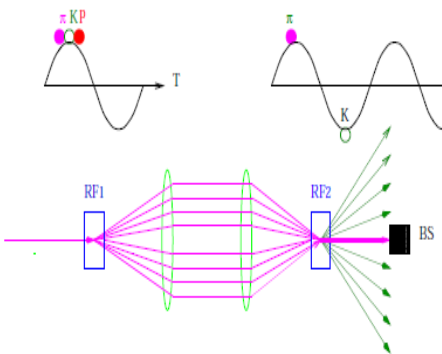
“ International Conference on Kaon Physics”, Birmingham,  
14-17 September 2016

## The talk layout

- OKA beam, detector, data
- $Ke3$  decay formfactors
- $K\mu3\gamma$  decay study (  $Ke3\gamma$  reported at QUARKS-2016)
- Search for  $\nu_H$  in  $K\mu2$  decay
- $K^+ A \rightarrow K^+ \pi^0 A$
- Conclusions

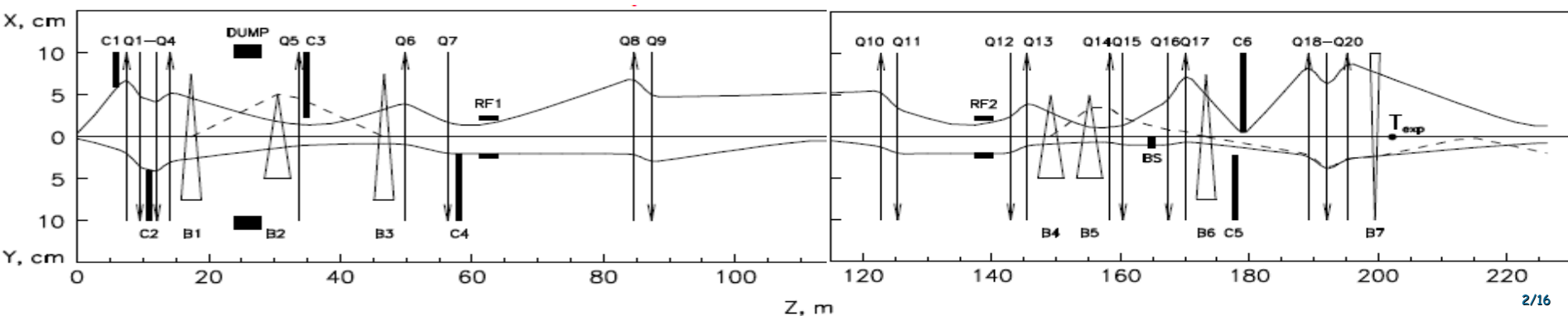
# OKA: The experiment with RF-separated high energy $K^\pm$ beam @U-70

RF separation with Panofsky scheme is realised. It uses two Karlsruhe-CERN SC RF deflectors, presented by CERN. Sophisticated cryogenic system, built at IHEP provides superfluid He for cavities cooling.

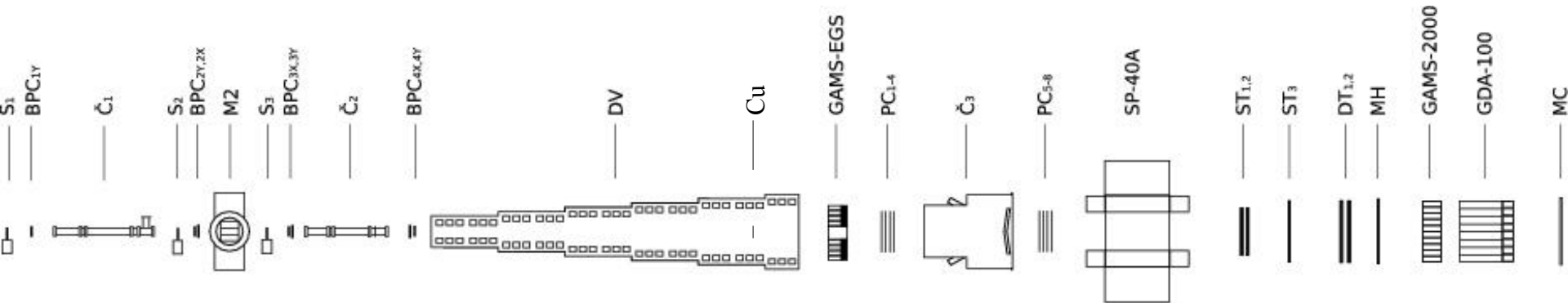


Operating frequency, (S-band)	2865 MHz
Wavelength, $\lambda$	$\sim 10.5$ cm
Effective deflector length	2.74 m
Number of cells/deflector	104
Mean deflecting field	$\sim 1$ MV/m
Working temperature	1.8 K

Main beam parameters :	
Primary proton beam energy	50-65 GeV
Primary proton beam intensity	$10^{13}$ ppp
Secondary beam momentum	12.5 or 18 GeV
Length of the beam line	$\sim 200$ m
$K^+$ intensity at the end	$\sim 10^6$
$K^+$ in the beam	up to 20%



# OKA detector



The main trigger

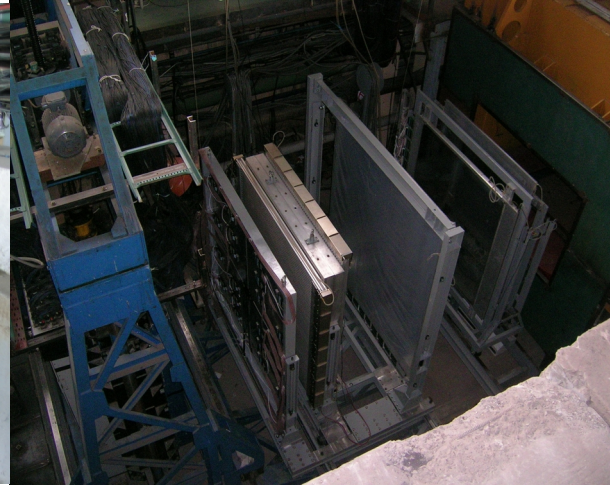
$$Trg = S_1 \cdot S_2 \cdot S_3 \cdot \bar{C}_1 \cdot \bar{C}_2 \cdot \bar{S}_{bk} \cdot (\Sigma_{GAMS} > Mip)$$

Prescaled triggers

$$S_1 \cdot S_2 \cdot S_3 \cdot C_1 \cdot \bar{C}_2 \cdot \bar{S}_{bk} \cdot MC / 4$$

$$S_1 \cdot S_2 \cdot S_3 \cdot C_1 \cdot \bar{C}_2 \cdot \bar{S}_{bk} / 10$$

1. **Beam spectrometer:** 1mm pitch PC, ~1500 channels; Sc and  $\check{C}$  counters
2. **Decay volume with Veto system:**  
L=11m; Veto: 670 Lead-Scintillator sandwiches 20\* (5mm Sc+1.5 mmPb), WLS readout
3. **PC's and DT's for magnetic spectrometer:**  
~5000 ch. PC (2 mm pitch) + 1300 DT (1 and 3 cm)
4. **Pad(Matrix) Hodoscope** ~300 ch. WLS+SiPM readout
5. **Magnet:** aperture 200\*140 cm<sup>2</sup>
6. **Gamma detectors:** GAMS2000, EHS-backward EM cal. ~ 4000 LG.
7. **Muon identification:** GDA-100 HCAL+ 4 muon counters behind
8. **For some runs Cu target inside decay volume was used:**  $\varnothing=8$  cm, t=2mm



General view of the OKA setup

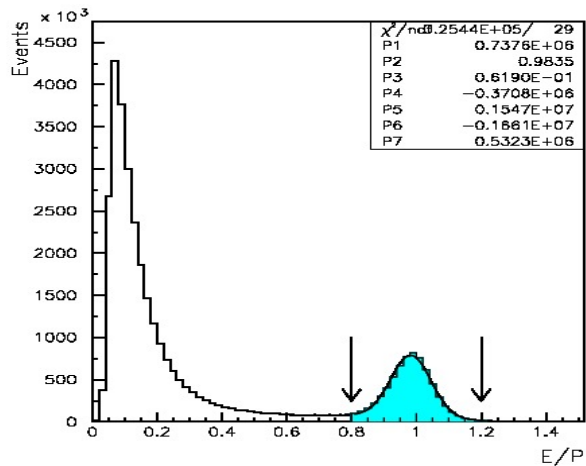
ST, DT chambers, Matrix Hodoscope, ECAL

Decay volume Veto System

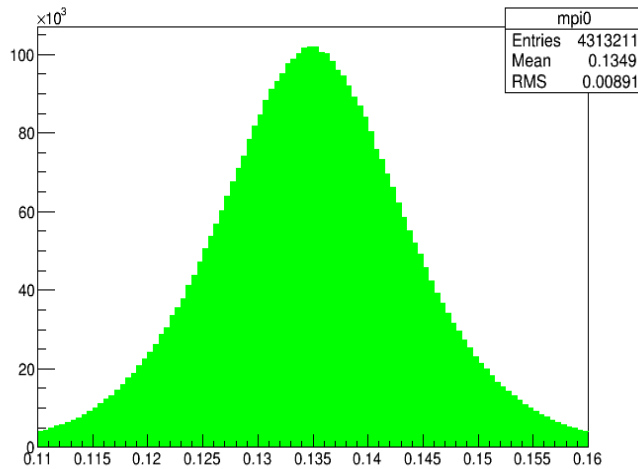
## Statistics

	Nov2010	Nov2011	Nov2012	Apr2013	Total
Beam Energy	12.5 ;17.7	17.7	12.5 ; 17.7	17.7	
Live Kaons, $10^9$	6.2	5.1	17.4	12.2	40.9
K2pi, $10^6$	15.2	15.5	61	42	134
Ke3, $10^6$	2.5	2.0	8.1	~5	~17

# $K^+ \rightarrow \pi^0 e^+ \nu$ (Ke3) decay selection

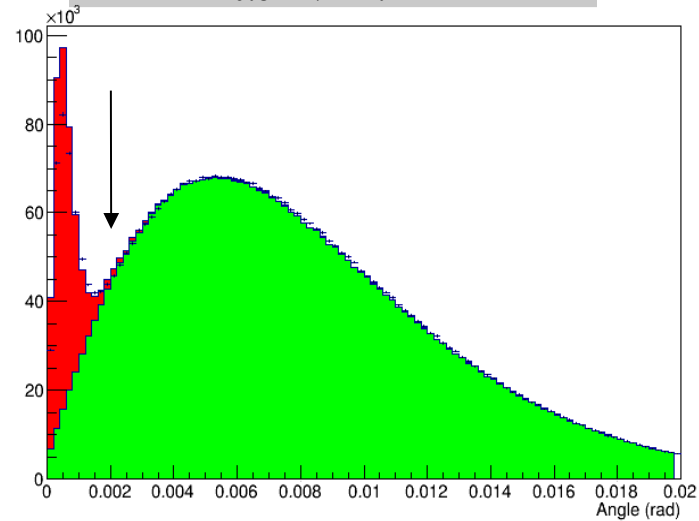


$0.8 < E/P < 1.2$

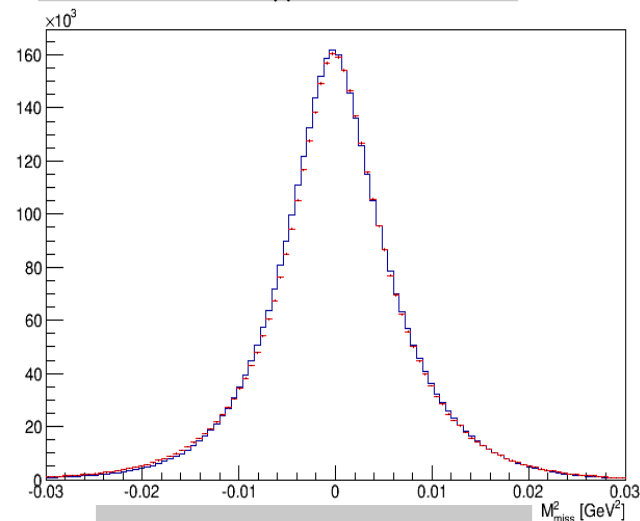


$0.11 < M_{\gamma\gamma} < 0.16 \text{ GeV}$

- 1 ch. track  $\theta > 2 \text{ mrad}$  point to em-shower in ECAL: ( $r < 3 \text{ cm}$ )  
 $0.8 < E/P < 1.2$
- 2 extra showers ( $n_{cl} > 3$ );  
 $0.11 < M_{\gamma\gamma} < 0.16 \text{ GeV}$
- angle between  $\vec{p}_K$  and  $\vec{p}_e + \vec{p}_\pi$   $\alpha > 2 \text{ mrad}$
- 2C -constrained fit  $\chi^2 < 20$



$\alpha$  - angle between  $\vec{p}_K$  and  $\vec{p}_e + \vec{p}_\pi$   $\alpha > 2 \text{ mrad}$



$$M^2_{\text{miss}} = (p_K - p_{e\pi})^2$$

$\sim 3.15 \text{ M Ke3}$  events selected for the further analysis, background  $\sim 0.5\%$

## Ke3 decay phenomenology

$$M = \frac{G_F V_{us}}{2} \bar{u}(p_\nu)(1+\gamma^5)[2m_K f_S + \frac{2if_T}{m_K} \sigma_{\alpha\beta} p_K^\alpha p_\pi^\beta - [f_+(p_K+p_\pi)_\alpha + f_-(p_K-p_\pi)_\alpha] \gamma^\alpha] v(p_l)$$

here  $\frac{1}{\sqrt{2}} [f_+ \cdot (p_K + p_\pi)_\alpha + f_- \cdot (p_K - p_\pi)_\alpha] \equiv \langle \pi^0 | \bar{s} \gamma_\alpha (1 - \gamma_5) u | K^+ \rangle$

Dalitz-plot density:

$$\rho(E_\pi, E_l) \sim A \cdot |V|^2 + B \cdot \text{Re}(V^* S) + C \cdot |S|^2$$

$$V = f_+ + (m_l/m_K) f_T \quad ; \quad S = f_S + (m_l/2m_K) f_- + \left( 1 + \frac{m_l^2}{2m_K^2} - \frac{2E_l}{m_K} - \frac{E_\pi}{m_K} \right) f_T$$

$$A = m_K (2E_l E_\nu - m_K \Delta E_\pi) - m_l^2 (E_\nu - \frac{1}{4} \Delta E_\pi) \quad ; \quad B = m_l m_K (2E_\nu - \Delta E_\pi) \quad ; \quad C = m_K^2 \Delta E_\pi$$

$$(\Delta E_\pi = E_\pi^{max} - E_\pi \quad ; \quad E_\pi^{max} = \frac{m_K^2 - m_l^2 + m_\pi^2}{2m_K})$$

Parametrization of t-dependence of the formfactors(  $f_0(t) = f_+(t) + \frac{t}{m_K^2 - m_\pi^2} f_-(t)$ ):

Taylor series:

$$f_+(t) = f_+(0) (1 + \lambda_+ t/m_\pi^2 + \frac{1}{2} \lambda_+' t^2/m_\pi^4) \quad ; \quad f_0(t) = f_+(0) (1 + \lambda_0 t/m_\pi^2 + \frac{1}{2} \lambda_0' t^2/m_\pi^4)$$

$$\text{pole parametrization: } f_+(t) = \frac{m_V^2}{m_V^2 - t} \quad ; \quad f_0(t) = \frac{m_S^2}{m_S^2 - t}$$

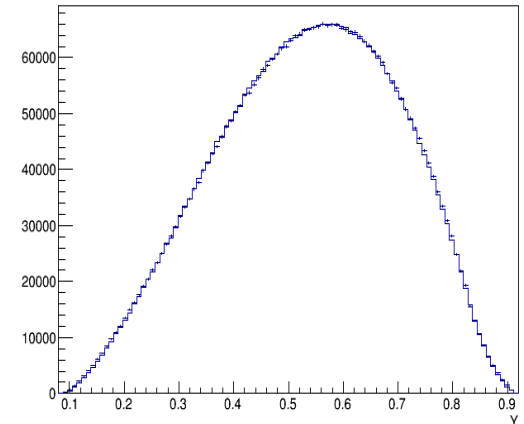
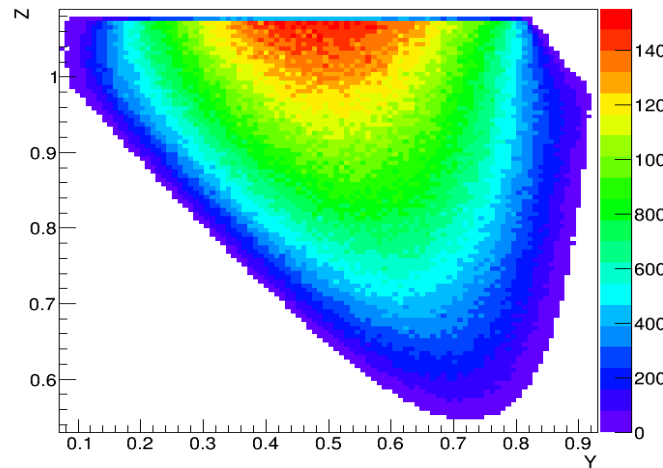
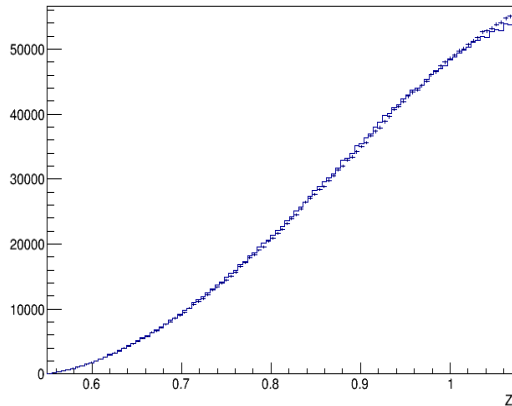
Dispersive parametrization (PLB638(2006) 480, PRD 80(2009)034034)

$$f_+(t) = \exp(\frac{t}{m_\pi^2} (\Lambda_+ + H(t))) \quad ; \quad f_0(t) = \exp(\frac{t}{\Delta_{K\pi}} (\ln C + G(t)))$$



# Ke3 decay, analysis method

OKA experiment 3.15M Ke3 events



Dalitz-plot is subdivided into  $100 \times 100$  bins ;  $\rho(y, z)$  obeys a property of quasi-factorization, i.e

$$\rho(y, z) = \sum_{\alpha=1,18} F_{\alpha}(\lambda_+, \lambda'_+, \lambda_0, \lambda'_0, f_S, f_T) \cdot K_{\alpha}(y, z), \Rightarrow$$

$$r(i, j) = \sum_{\alpha=1,18} F_{\alpha}(\lambda_+, \lambda'_+, \lambda_0, \lambda'_0, f_S, f_T) \cdot W_{\alpha}(i, j) + Bkg(i, j)$$

The rad. cor. [V.Cirigliano et al., Eur. Phys. J. C23\(2002\)121](#) are taken into account for Ke3

$$\chi^2 = 2 \sum_j n_j \ln \left[ \frac{n_j}{r_j} \left( 1 - \frac{1}{m_j+1} \right) \right] + 2 \sum_j (n_j + m_j + 1) \ln \left( \frac{1 + \frac{r_j}{m_j}}{1 + \frac{n_j}{m_j+1}} \right),$$

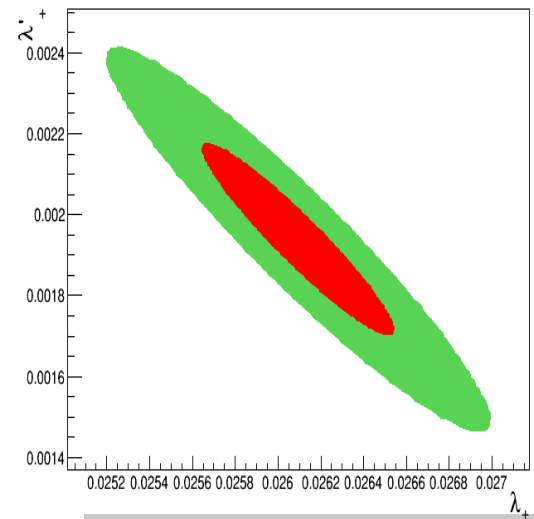
$n_j, r_j$  and  $m_j$  are data, expected and MC events. For large  $m_j$  it reduces to

$$\chi^2 = \sum_j [2(r_j - n_j) + 2n_j \ln n_j/r_j]$$

MC statistics is at present 3 x data

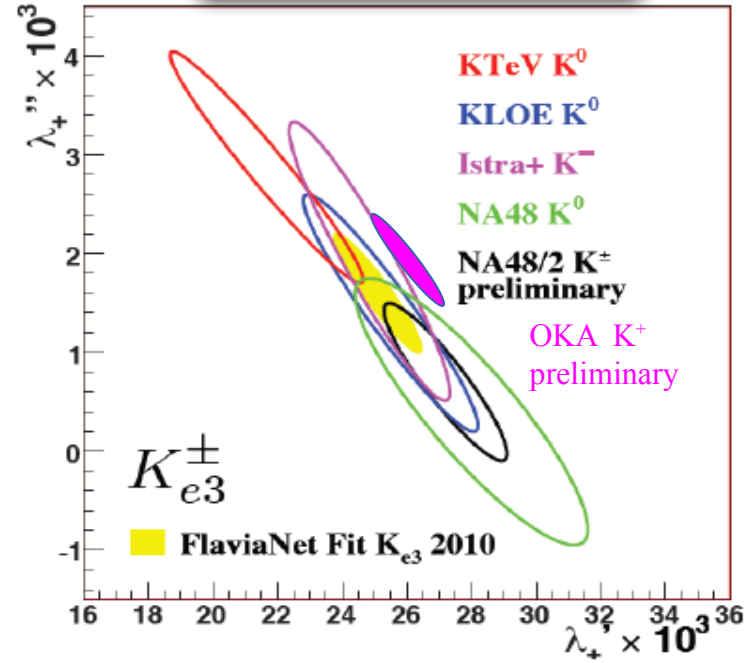
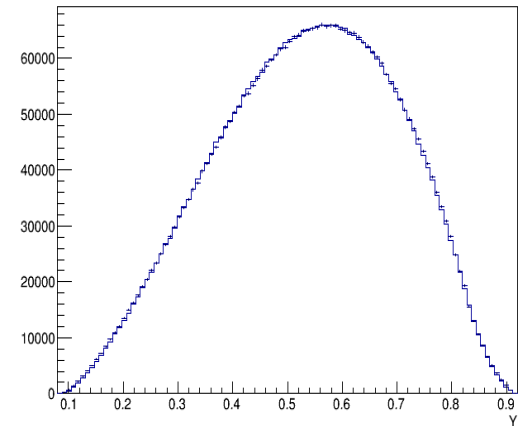
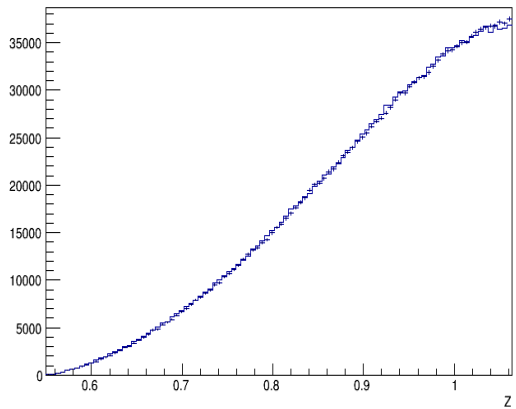
# Ke3 decay, fits

$\lambda'_+(\lambda_+)\times 10^3$	$\lambda''_+\times 10^3$	$F_S/f_+(0)\times 10^2$	$F_T/f_+(0)\times 10^2$
$29.56\pm 0.28$			
$26.1\pm 0.45$	$1.94\pm 0.24$		
$26.1\pm 0.45$	$1.93\pm 0.24$	$-0.44\pm 0.7$	$0.16\pm 2$
$26.1\pm 0.45$	$1.93\pm 0.24$	$-0.41\pm 0.3$	



68% confidence level contours

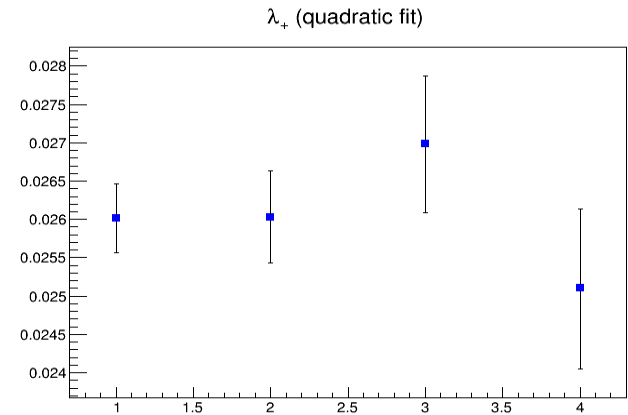
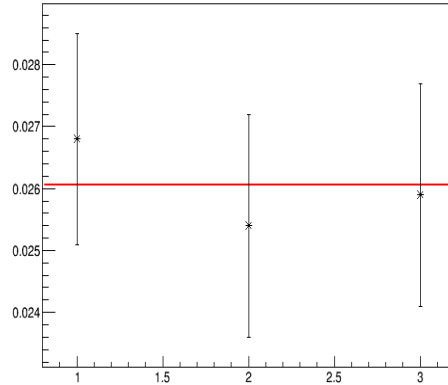
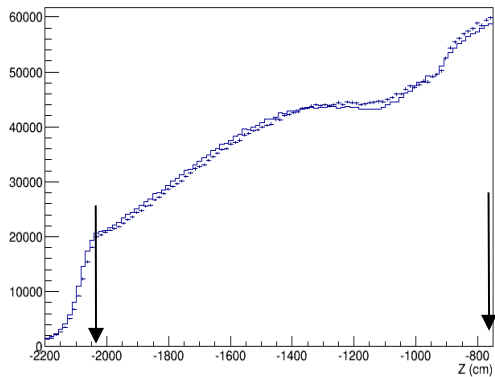
<p><b>Pole fit</b></p> <p><math>M_V = 890 \pm 3.7 \text{ MeV}</math></p>	<p><b>Dispersive fit</b></p> <p><math>\Lambda_+ = (24.72 \pm 0.23) \times 10^{-3}</math></p>
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# Ke3 decay, systematics, final results

The main contribution to systematics is coming from the variation of the cut on  $-z$ - coordinate of the vertex and The cut on the angle  $\alpha$  between  $\vec{p}_K$  and  $\vec{p}_e + \vec{p}_\pi$ . To study  $-z$ - systematics in details, the statistics was subdivided into three parts in  $-z$ - Another test was done using 3 parts of the statistics with different setup configuration.



**Systematics in  $\lambda_+$  :**

- z-cut  $0.3 \times 10^{-3}$
- $\alpha$ -cut  $0.2 \times 10^{-3}$
- Other  $0.12 \times 10^{-3}$
- Total  $0.38 \times 10^{-3}$

$\lambda'_+(\lambda_+) \times 10^3$	$\lambda''_+ \times 10^3$	$F_S/f_+(0) \times 10^2$	$F_T/f_+(0) \times 10^2$
$26.1 \pm 0.45 \pm 0.38$	$1.94 \pm 0.23 \pm 0.12$		
$26.1 \pm 0.45 \pm 0.38$	$1.93 \pm 0.24 \pm 0.12$	$-0.44 \pm 0.7 \pm 0.24$	$0.16 \pm 2 \pm 1.3$

# Comparison with theory

● The  $\lambda_+$  - average slope parameter of the vector formfactor  $f_+(t)$  is measured to be  $(29.56 \pm 0.28) \times 10^{-3}$

$$\lambda_+^{\text{th}}(\chi\text{PTO}(p^4)) = (31.0 \pm 0.6) \times 10^{-3}$$

A significant nonlinearity in  $f_+(t)$  is measured

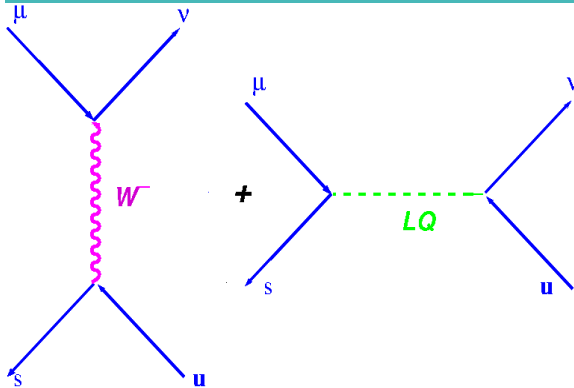
$$\lambda_+'' = (1.94 \pm 0.23 \pm 0.12) \times 10^{-3}$$

● Results of the pole fit  $f_+(t) = f_+(0)/(1-t/M_V^2)$

$$M_V = (890 \pm 3.7) \text{ MeV}$$

$$\text{PDG} \quad M_{K^*} = (890.4 \pm 0.26) \text{ MeV}$$

## Possible interpretation of limits on $F_S, F_T$ : scalar LQ



Apply Fiertz transformation to LQ diagram  $\rightarrow$

$$(\bar{s}\mu)(\bar{\nu}u) = -\frac{1}{2}(\bar{s}u)(\bar{\nu}\mu) - \frac{1}{8}(\bar{s}\sigma_{\alpha\beta}u)(\bar{\nu}\sigma^{\alpha\beta}\mu)$$

First term is scalar, second- tensor. It can be shown (V.V. Kiselev et al., hep-ph/0204066)

$$\frac{f_S^{LQ}}{f_+(0)} = \frac{\sqrt{2}}{16G_F|V_{us}|} \frac{m_K^2 - m_\pi^2}{(m_s - m_u)m_K} \frac{1}{\Lambda_{LQ}^2}; \quad \frac{f_T^{LQ}}{f_+(0)} = \frac{\sqrt{2}}{32G_F|V_{us}|} \frac{m_K}{m_{K^*}} \frac{1}{\Lambda_{LQ}^2}$$

Then from our estimates for  $F_S, F_T \rightarrow \Lambda_{LQ} > 3.5 \text{ TeV}$

# Study of the $K^+ \rightarrow \pi^0 \mu^+ \nu \gamma$ ( $K\mu 3\gamma$ ) decay

$K^+ \mu 3\gamma$  was first seen by ISTRA+ and KEK K470 in 2006 and later by BNL E787 in 2010.

For  $K^0$  was discovered by NA48 in 1998 and later improved by KTeV in 2005

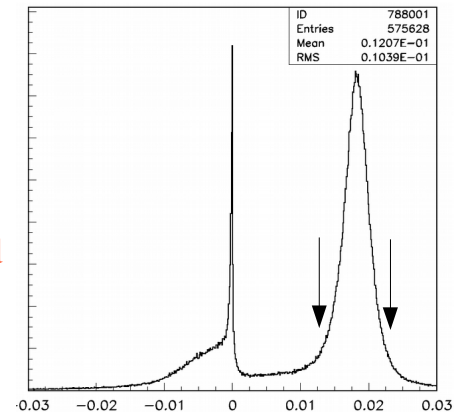
There are calculations in  $\chi$ PT  $O(p^4)$ , in particular for the T-odd asymmetry. V. Braguta et al., PR D68(2003)

$$\xi = \vec{p}_\gamma \cdot (\vec{p}_l \times \vec{p}_\pi) / m_K^3 \quad A_\xi = \frac{N(\xi > 0) - N(\xi < 0)}{N(\xi > 0) + N(\xi < 0)} \quad A_\xi \sim 3 \times 10^{-4} \text{ for SM extensions}$$

Signal selection Statistics of Nov2012 and Apr2013 is used

- 1 ch. Track identified as muon in GAMS, HCAL and  $\mu$ -counters
- 3 extra showers ( $n_{cl} > 3$ );  $0.11 < M_{\gamma\gamma} < 0.16$  GeV
- Missing momentum points to active area of GAMS
- Total energy in  $E_{VETO} < 50$  MeV in  $E_{BGD} < 100$  MeV
- $30 < E^*_{\gamma} < 60$  MeV;  $M^2_{mis} < 0.013$  or  $M^2_{mis} > 0.0225$  GeV<sup>2</sup>

$K \rightarrow \pi^+ \pi^0 \pi^0$   
background



$$M^2_{mis} = (p_K - p_{\pi^+} - p_{\pi^0})^2$$

~580 signal events observed (previous experiments ~150)

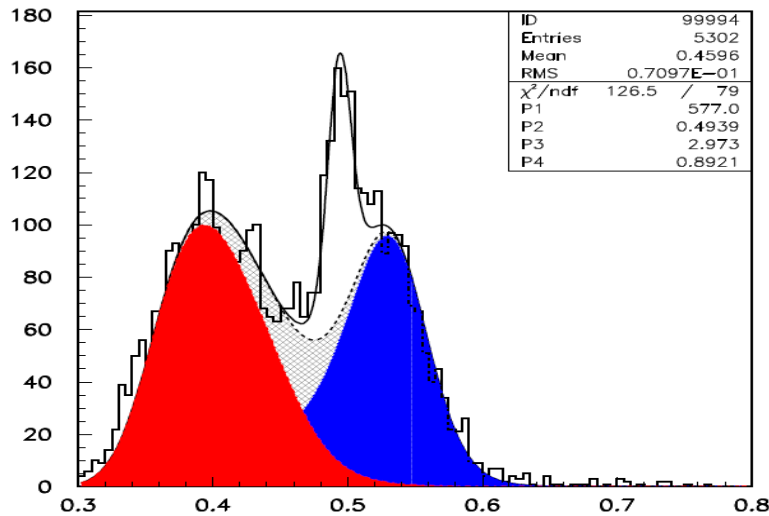
In the interval  $30 < E^*_{\gamma} < 60$  MeV

Normalisation is done on the events of the  $K\mu 3$  decay

$$R = \text{Br}(K\mu 3\gamma, 30 < E_{\gamma} < 60 \text{ MeV}) / \text{Br}(K\mu 3) = (4.85 \pm 0.2(\text{stat}) \pm 0.5(\text{syst})) \times 10^{-4} \quad R(O(p^4)) = 4.7 \times 10^{-4}$$

$$\text{T-odd asymmetry } A_\xi = (-0.19 \pm 0.051 \pm 0.09) \sim 3 \times 10^{-4}$$

$$\text{Space asymm. } A(\cos\theta^*_{\mu\gamma}) = (0.61 \pm 0.05 \pm 0.1) \sim 0.05 ?$$



$K \rightarrow \pi^+ \pi^0 \pi^0$

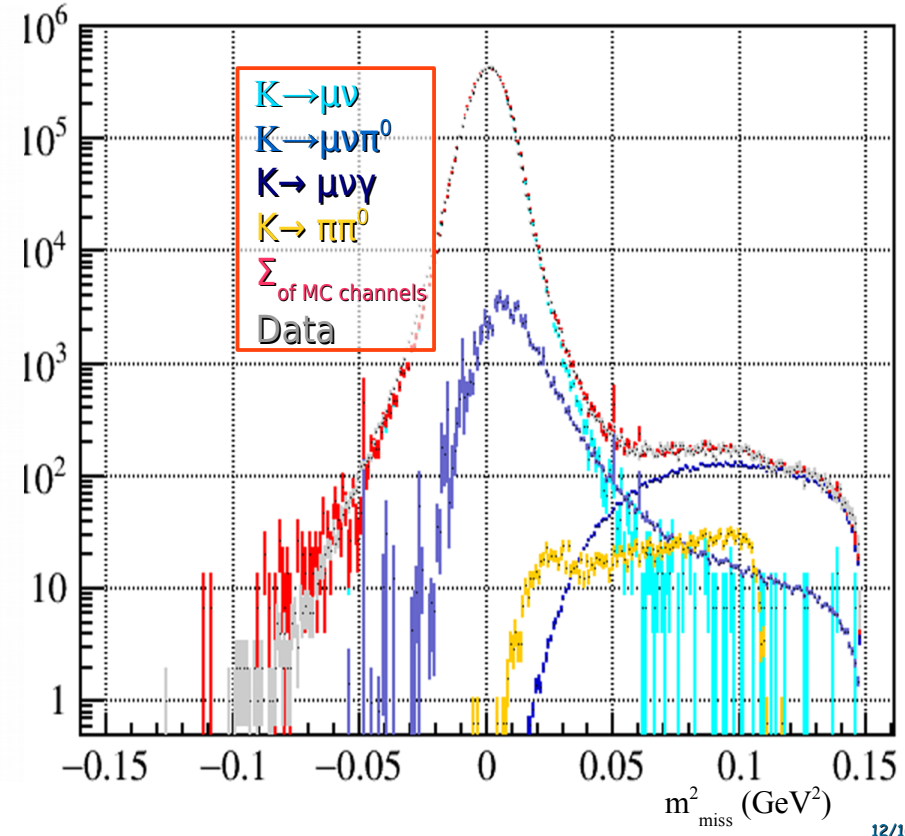
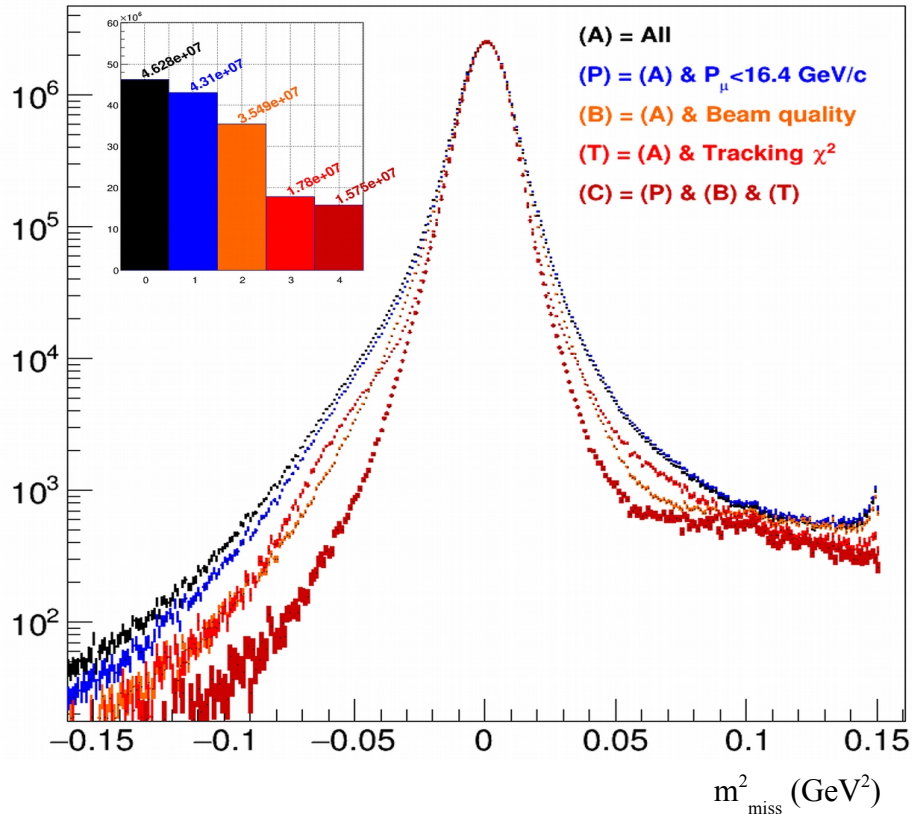
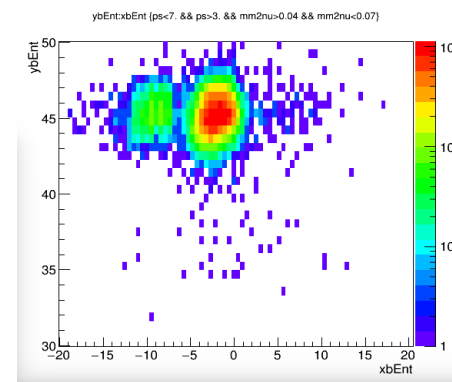
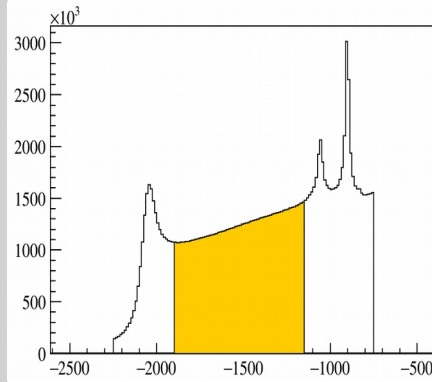
$K\mu 3$

$M\pi\nu\gamma$  (GeV),  $m_\nu=0$

Signal selection (Statistics of Nov2012 is used)

Triggers:  $S_1 \times S_2 \times S_3 \times C_1 \times \bar{C}_2 \times \bar{S}_{bk} \times S_\mu / 4$ ;  $S_1 \times S_2 \times S_3 \times C_1 \times \bar{C}_2 \times \bar{S}_{bk} / 10$

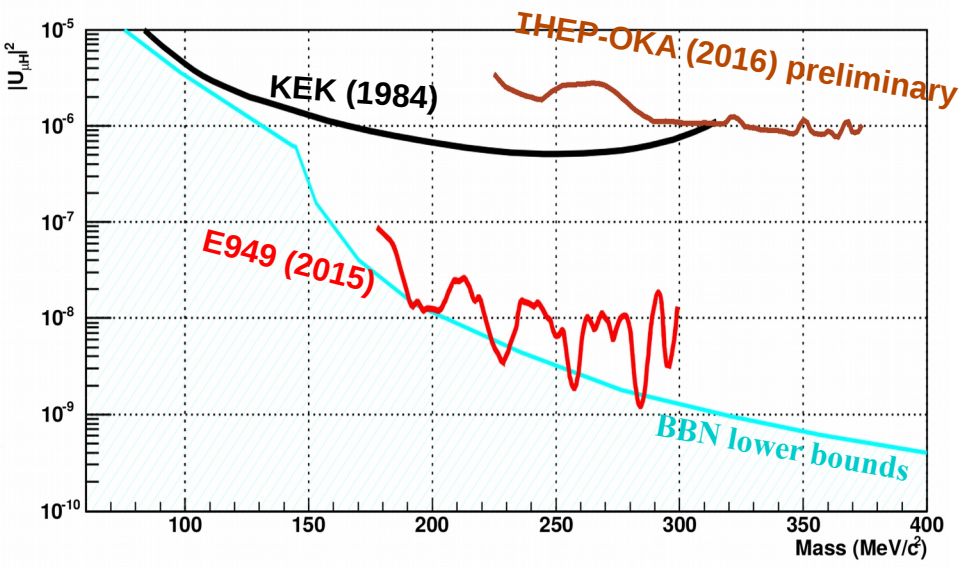
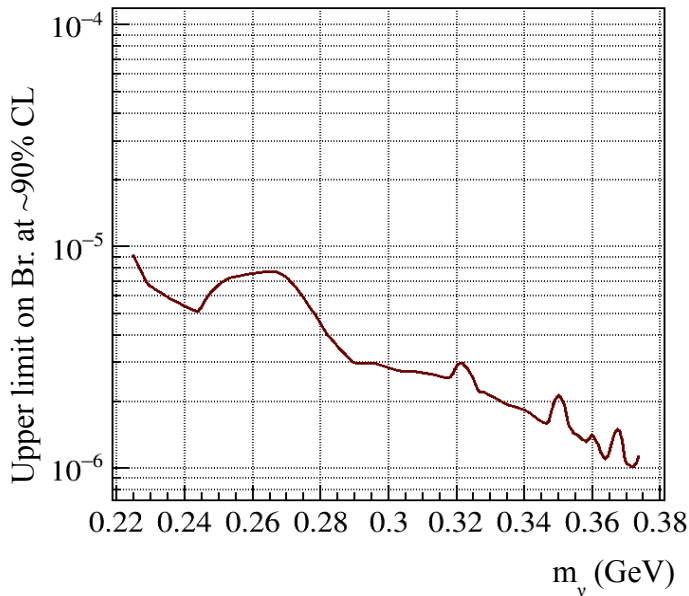
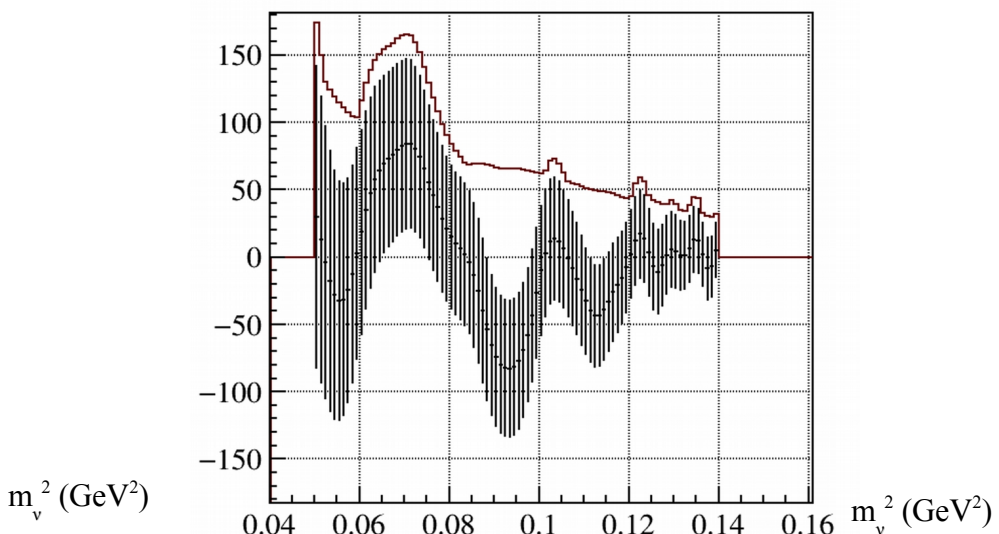
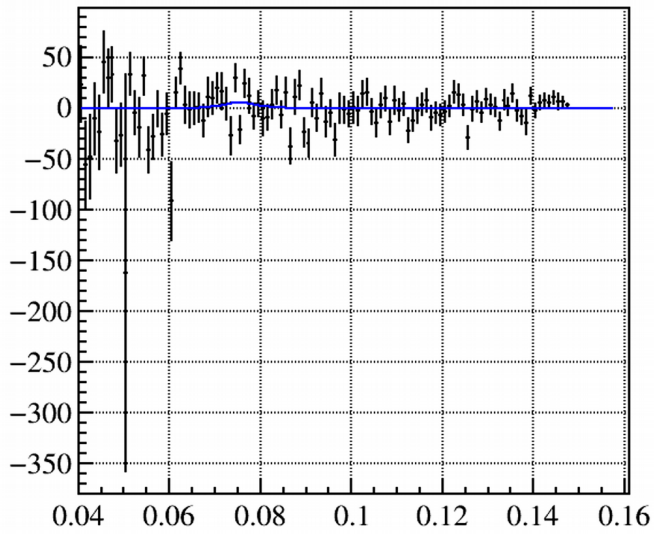
- 1 ch. Track identified as muon in GAMS, HCAL and  $\mu$ -count.
- Total energy in  $E_{VETO} < 50$  MeV in  $E_{BGD} < 100$  MeV
- Decay vertex well inside decay volume  $\sim 46M$  events
- Beam quality cuts
- Track quality cuts:  $N_p > 6$  for beam,  $N_p > 16$  for the secondary,  $\chi^2 \sim 16M$  events



# Search for heavy neutrino in $K \rightarrow \mu \nu_H$ decay

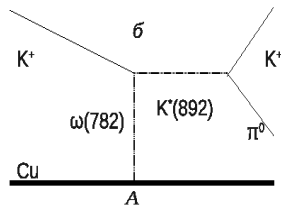
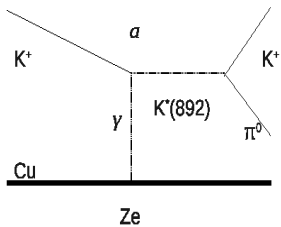
Residual of the fit by  $K\mu 2 + K\mu 2g + K\mu 3 + K\pi 2$

Result of the fit by Gauss with MC width and 90% C.L.



# Study of the coherent production of $K^+ \pi^0$ $K^+ A \rightarrow K^+ \pi^0 A$ on Cu target @ $p=17.7$ GeV

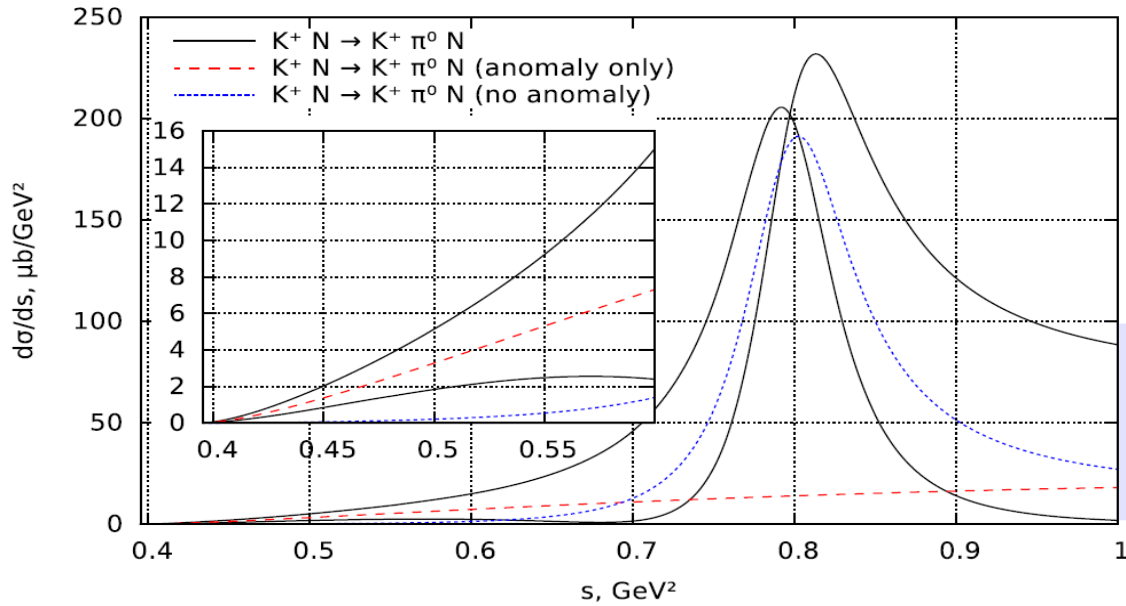
Motivation: Measurement of the  $\Gamma(K^* \rightarrow K\gamma)$ , study of the EM-Strong Interaction interference; search for WZW-anomaly



$$\frac{d\sigma(K^+\gamma \rightarrow K^+\pi^0)}{dt} = \frac{1}{27\pi} \left( t + \frac{(st - m_{K^+}^2 m_{\pi^0}^2)(t - m_{\pi^0}^2)}{(s - m_{K^+}^2)^2} \right) \times \left| \frac{e}{4\pi^2 F_\pi^3} \pm \frac{2f_{K^+K^+\gamma} f_{K^+K^+\pi^0}}{m_{K^{*+}}^2 - s - i\sqrt{s}\Gamma_{K^{*+}}(s)} \cdot \frac{s}{m_{K^{*+}}^2} \right|^2$$

WZW-anomaly, no anomaly in  $K^0\pi^+$

Vysotsky, Zhemchugov PR D93, 2016  
 Burtovoy Phys.Atom.Nucl. V76 2013  
 Rogalyev Phys.Atom.Nucl. V64 2001



$\sigma(0.4 < s < 0.6) = 0.64 \mu\text{b}$  (with anomaly)  
 $\sigma(0.7 < s < 0.9) = 19.5 \mu\text{b}$   
 $A = (L-R)/(L+R) \sim 12\%$  or  $-60\%$  with anomaly  
 $\sim -20\%$  without



# Study of the coherent reaction $K^+ A \rightarrow K^+ \pi^0 A$

Selection procedure (main background is  $K^+ \rightarrow \pi^+ \pi^0$ )

$$\bar{C}_3, P_{K^+} > 7 \text{ GeV}$$

$$P_{cm} < 160 \text{ or } P_{cm} > 240 \text{ (MeV/c);}$$

$$P_{cm}(K^+ \Rightarrow \pi^+ \pi^0) = 205 \text{ MeV/c}$$

$$\cos \theta_{GJ} > -0.7$$

$$|dE| < 1 \text{ GeV; } dE = E_{K^+} + E_{\pi^0} - E_b$$

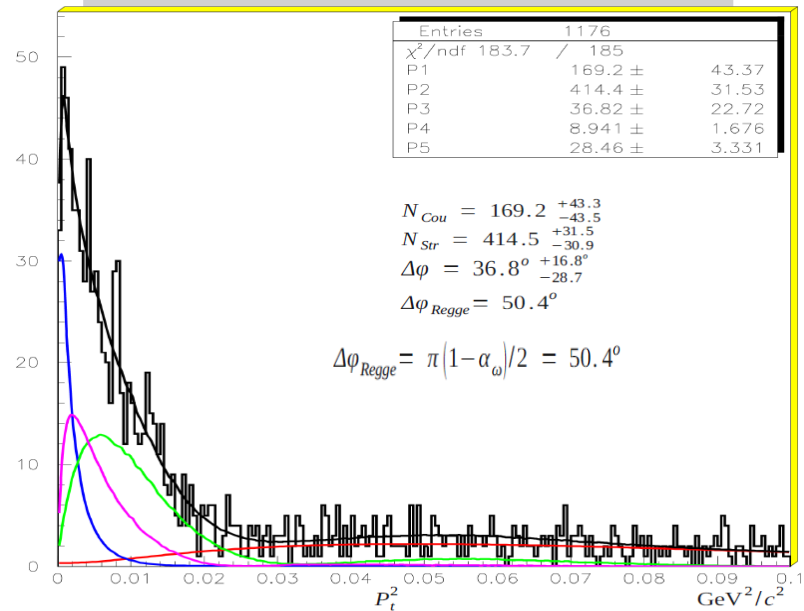
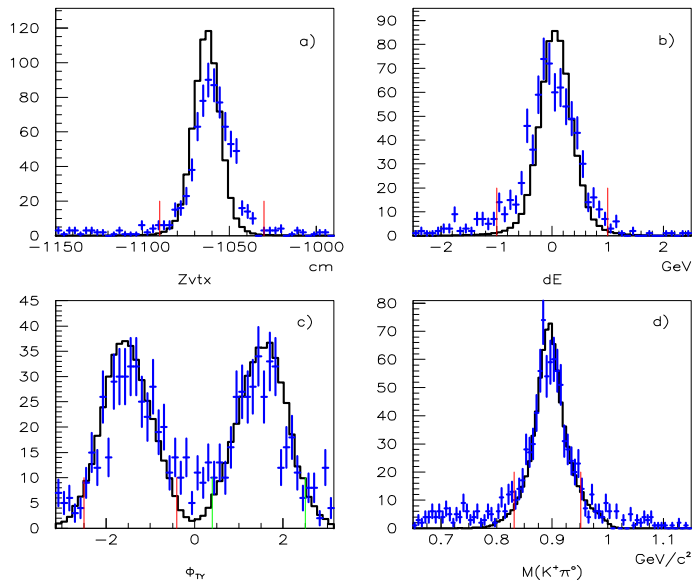
$$E_{GS} < 40 \text{ MeV}$$

$$E_{BGD} < 100 \text{ MeV}$$

$$-1030 < Zvtx < -1090 \text{ (cm)}$$

$$0.4 < |\Phi_{TY}| < 2.5$$

Fit of  $P_T^2$  by Coulomb+Strong+Interference



Using number of events from the fit :  $N_c = 169.2 \pm 43$  ;  $N_s = 414.5 \pm 31$  and  $K^+$  flux  $= 4.88 \times 10^9$  from number of  $K^+ \rightarrow \pi^+ \pi^0$   
 $\sigma_c = 34.9 \pm 9 \mu\text{b}$  ;  $\sigma_s = 84.9 \pm 6.5 \mu\text{b}$  ;  $\Delta\varphi = (36.8^{+17}_{-29})^\circ$ . From  $\sigma_c$  value  $\rightarrow \Gamma_{K\gamma} = 126 \pm 32 \text{ KeV}$  (PDG  $50 \pm 5 \text{ KeV}$ )  
 Asymmetry  $A = 1 \pm 10 \%$

## Summary

“OKA” collaboration, operating at IHEP Protvino U-70 PS in RF-separated beam has accumulated large statistics of  $K^+$  decays.

- First results on  $Ke3$  decay on statistics of 3.15M events are presented:  $f_+(t)$  Taylor series coefficients  $\lambda_+$ ,  $\lambda'_+$ ,  $\lambda''_+$  are measured, as well as parameters of the Pole and Dispersive fit.
- Limits on NP are presented:  $Ke3$   $F_S$  and  $F_T$  formfactors are constrained, from that a limit on  $\Lambda_{LQ}$  - leptoquark mass scale is derived.
- The decay  $K^+\mu 3\gamma$  is studied on statistics of 580 ev. For the  $30 \text{ MeV} < E < 60 \text{ MeV}$  region. Br is measured As well as space and T-odd asymmetry .
- Search for heavy neutrino in the decay  $K \rightarrow \mu \nu_H$  is performed, new limits on  $|U_{\mu H}|^2$  are provided.
- Coherent process  $K^+ Cu \rightarrow K^+ \pi^0 Cu$  is studied at  $P_b=17.7 \text{ GeV}$ , xsections for the Coulomb, Strong and their Interference are determined,  $\Gamma_{K+\gamma}$  is measured, some constraints on WZW anomaly are obtained