

# THERMODYNAMICS IN QUANTUM OPTICAL SETTINGS: WHAT IS TRULY QUANTUM HERE?

D. Gelbwaser-Klimovsky,, M. Kolar. N. Erez, W. Niedenzu, R. Alicki & G.K.

Thermodynamic laws and bounds are not well understood for quantum-system manipulations . We challenge:

- Thermodynamic equilibrium (First law?)
- Carnot efficiency bound of quantum heat engines/refrigerators (Second law)
- Unattainability of absolute zero (Third law)



# Non-selective measurements disturb thermodynamic equilibrium

System-bath entanglement and its control

Kurizki *et. al.*

Introduction

**Thermodynamics**

Purification

Engine

BOMECE

Entanglement control

Conclusions

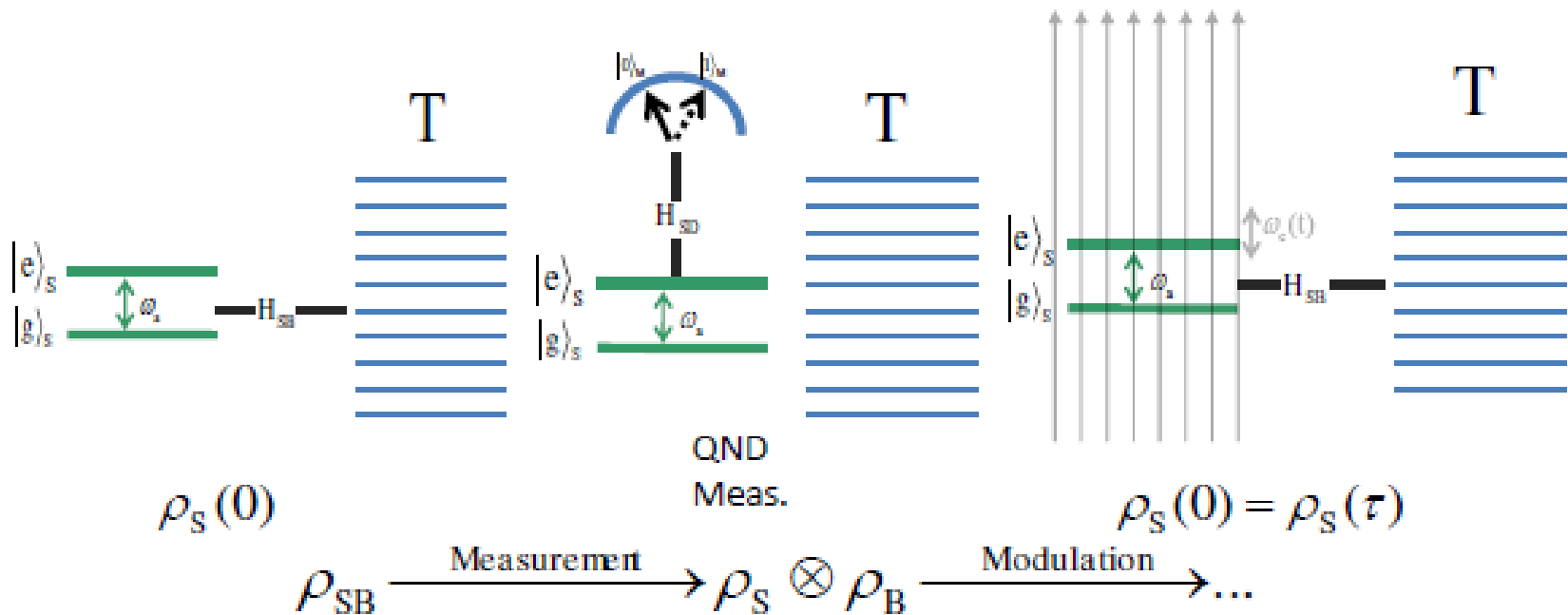


'Holy entropy! It's boiling!'

Extracting work via (non-selective) measurements:  
Demented Maxwell's demon, but bath has memory!

# Can we bypass Kelvin: extract work from a single-bath engine?

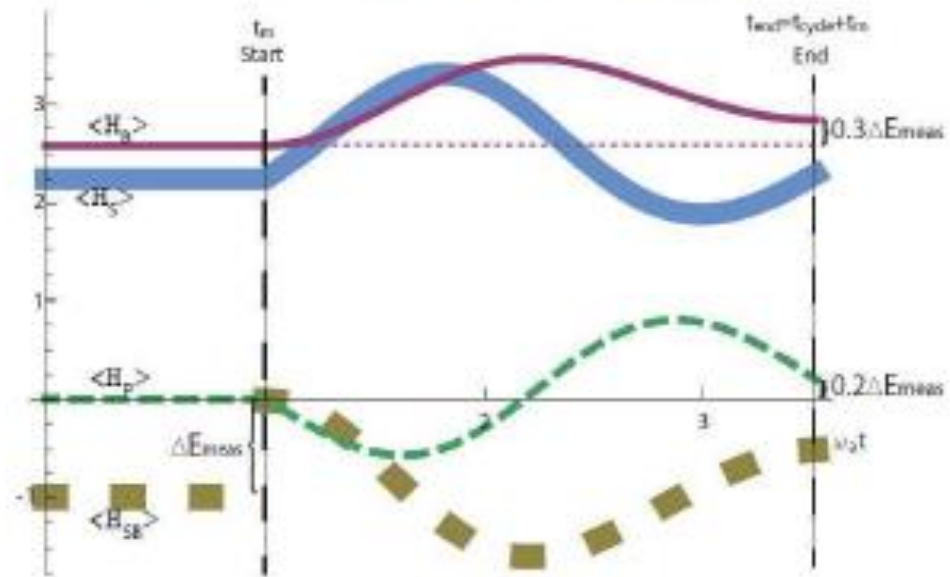
D. Gelbwaser, N. Erez, R. Alicki & G.K. Phys. Rev. A 88, 022112 (2013)



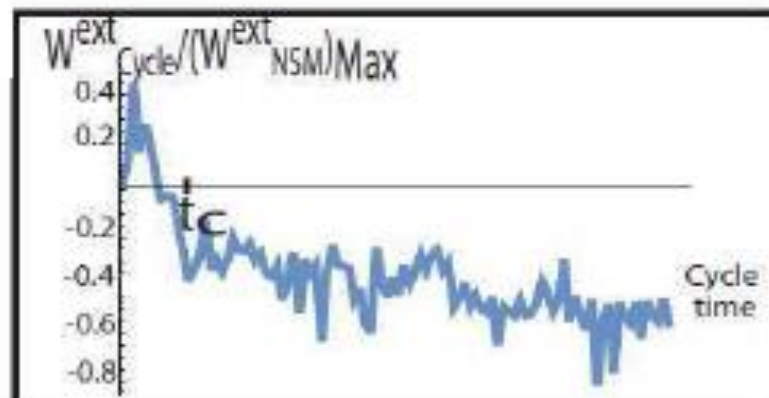
**QND** non-selective measurement of the system energy (Measure system energy and do not read the results)

The measurement does not change the state of the system or the bath, but changes the total state.

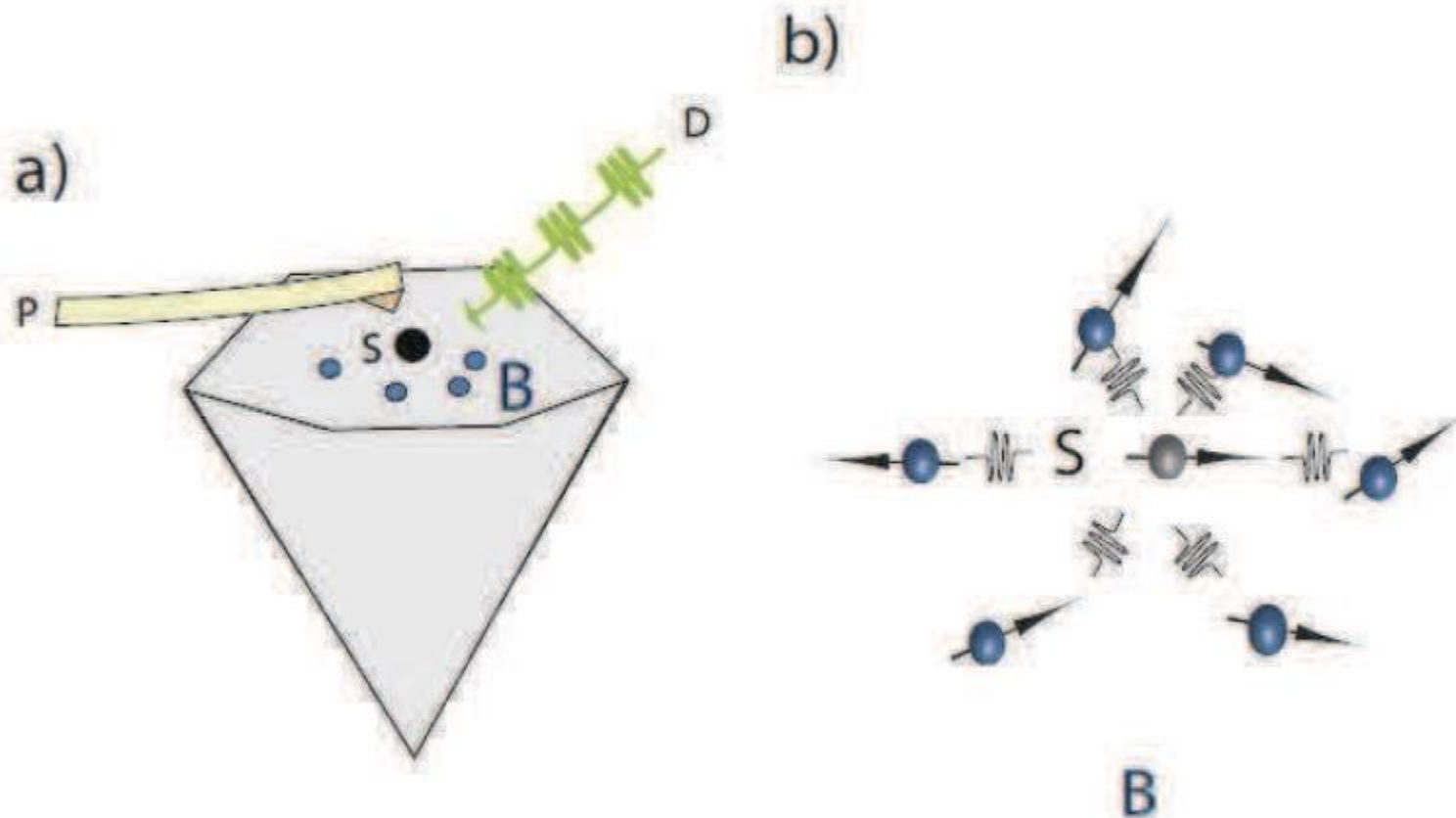
## Where does the work come from?



Work extraction only for short cycles



# Experimental scenarios



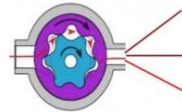
$$t_{\text{cycle}} \leq \mu\text{sec} \leq t_{\text{correl}}$$

Rapport between thermodynamics and quantum mechanics for quantum heat machines?

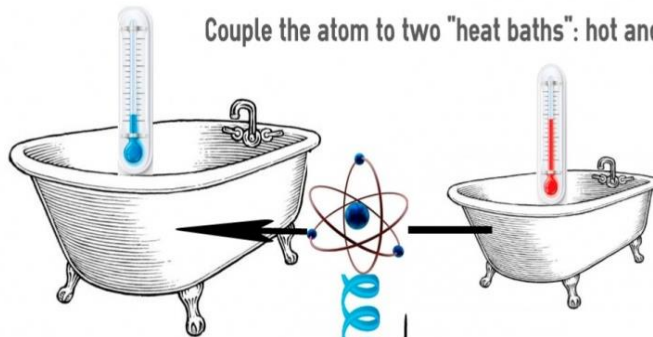
The basic model: a two-level system (TLS) whose energy is periodically modulated while the system is coupled to two distinct thermal baths.

1)

### The World's Smallest Heat Engine



Couple the atom to two "heat baths": hot and cold



Hook an oscillator up to the system

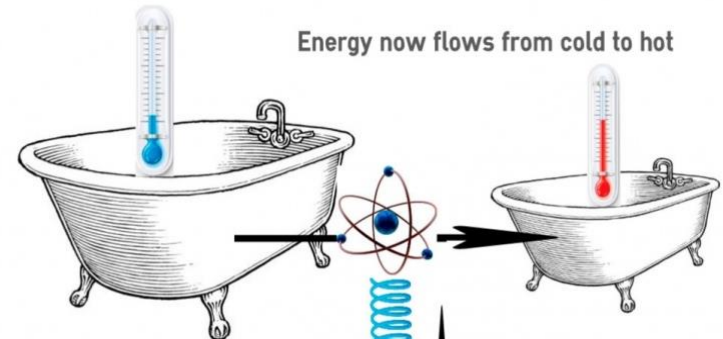
Heat will amplify the oscillations and produce work

2)

### The World's Smallest Refrigerator



Energy now flows from cold to hot



The oscillator is compressed

The oscillator will cool down the cold bath

# Quantum bath Refrigeration: Towards Absolute Zero?

M. Kolar, D. Gelbwaser-Klimovsky, R. Alicki & G.K. PRL **109**, 90601 (2012)

$$dT_C/dt = -AT_C^\gamma.$$

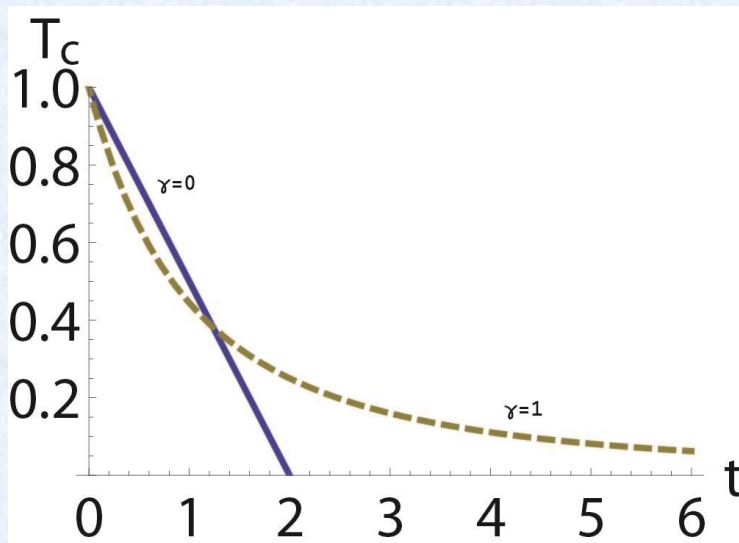
$\gamma$  : Determined by bath dispersion

$$d\omega/dk \sim \omega^{\frac{1-\gamma}{2}}(k)$$

$T_C \rightarrow 0$  :

i) *Acoustic phonons*       $\omega(\vec{k}) \sim v|\vec{k}|$ ;       $d\omega/dk \rightarrow const.$       ( $\gamma = 1$ )

ii) *Magnons (spin-wave)/dipole-coupled atom chain:*       $d\omega/dk \rightarrow 0$  (freezing)       $\omega(\vec{k}) \sim k^2$   
 ( $\gamma = 0$ )

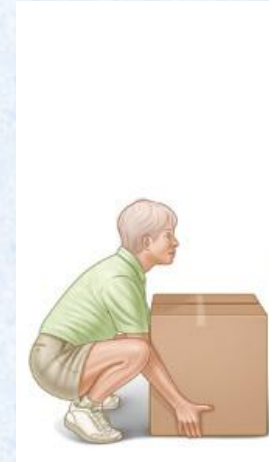
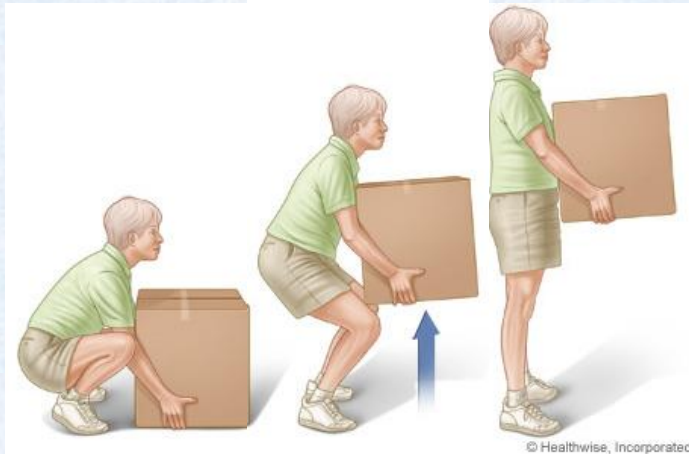


**Nernst's 3<sup>rd</sup> law unattainability principle challenged**

# ENERGY EXCHANGE



Energy exchange is divided into work and heat....



... and they are really different.



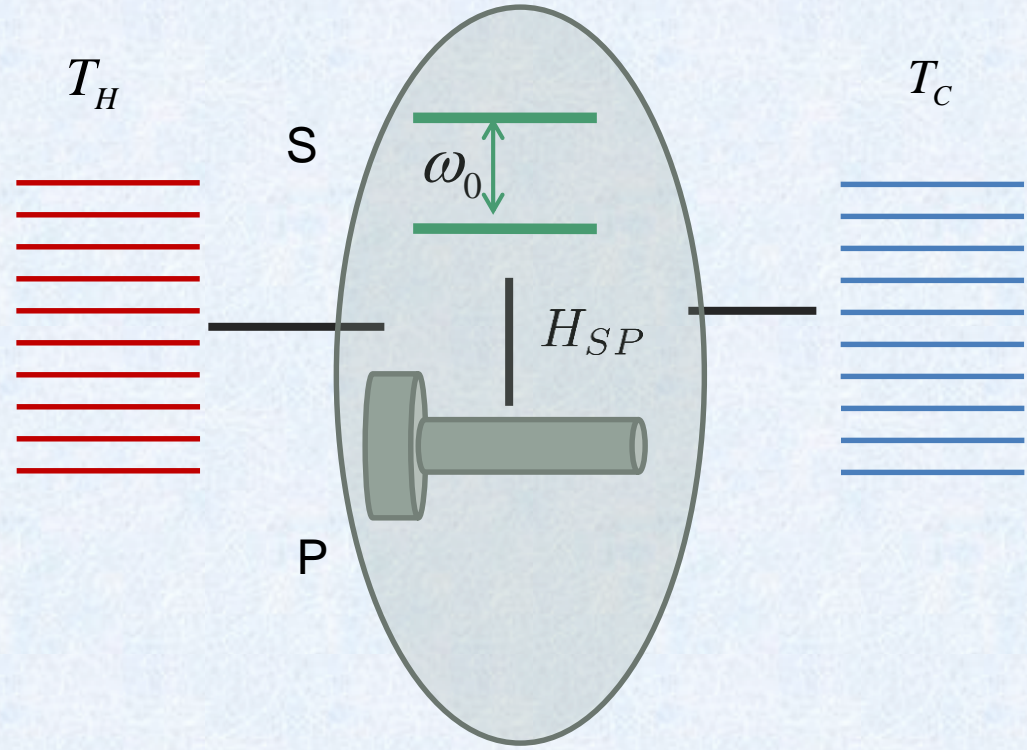


Work-power?  $\longrightarrow$

$$P = J_C + J_H = \langle \dot{H}_P \rangle$$

No! 2<sup>nd</sup> law violated

1<sup>st</sup> law: heat-input = energy-output



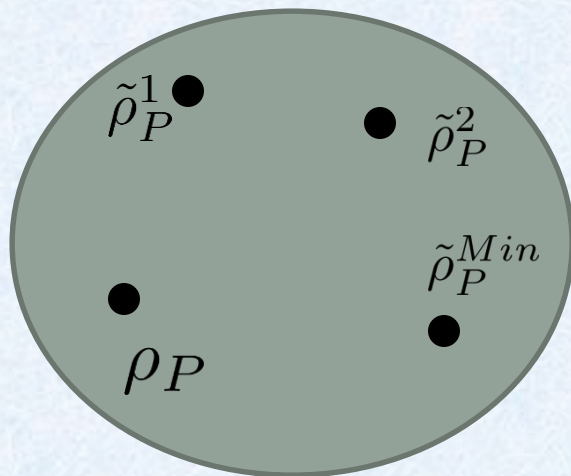
# CORRECT WORK DEFINITION

D. Gelbwaser-Klimovsky et al. EPL (2013); PRE (2014)

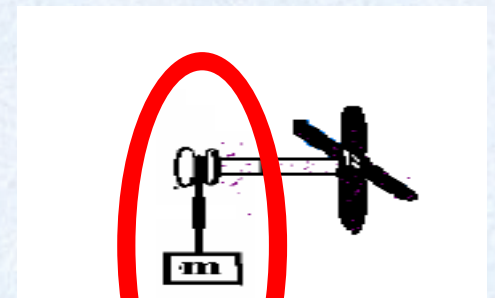
In a thermally adiabatic process  $\Delta E = W$  and  $\dot{S} = 0$  ( $P = \dot{W}$ )

Take a state of the piston  $\rho_P$ . If  $\tilde{\rho}_P = U\rho_P U^\dagger$ , ( $S(\rho_P) = S(\tilde{\rho}_P)$ ) then

$$W = \langle H_P \rangle_{\rho_P} - \langle H_P \rangle_{\tilde{\rho}_P}$$



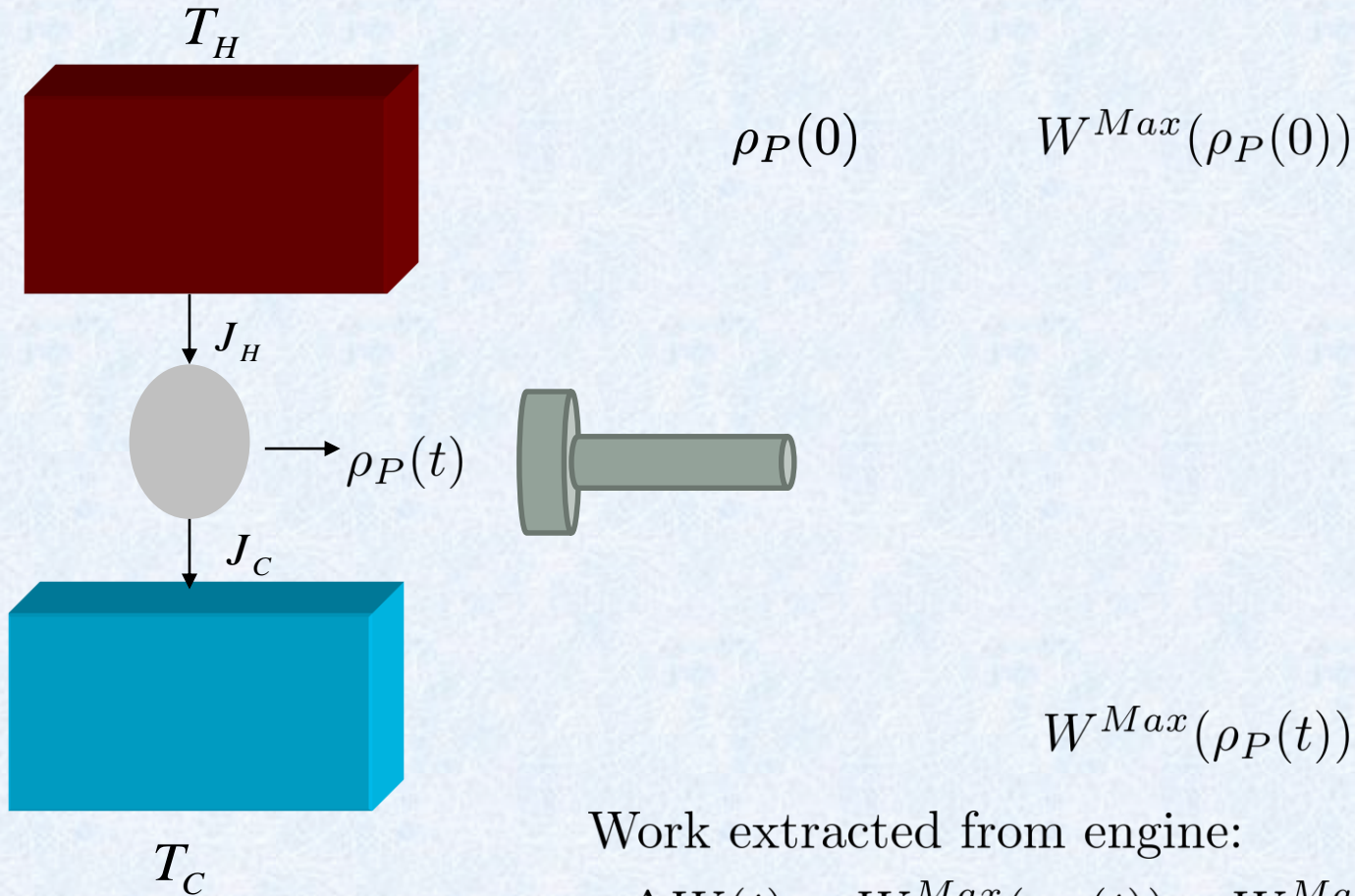
$W^{Max}(\rho_P) = \langle H_P \rangle_{\rho_P} - \langle H_P \rangle_{\tilde{\rho}_P^{Min}}$   
potentially extractable work (“stored” in  $\rho_P$ )



Lenard, J. Stat. Phys. **19**, 575 (1978).

W. Pusz, S.L. Woronowicz, Comm. Math. Phys. **58**, 273 (1978).

# WORK CAPACITY EVOLUTION (PISTON CHARGING)



Work extracted from engine:

$$\Delta W(t) \equiv W^{Max}(\rho_P(t)) - W^{Max}(\rho_P(0))$$

The engine is a charger, the piston is a battery



# Work capacity is non-passivity

D. Gelbwaser-Klimovsky et al. EPL (2013); PRE (2014)

Min. energy (same entropy)

$$\text{bound: } (W_P)_{Max} \leq \langle H_P(\rho_P) \rangle - \langle H_P(\rho'_P) \rangle_{Gibbs};$$
$$(\rho'_P)_{Gibbs} = Z^{-1} e^{-\frac{H_P}{T_P}} \leftarrow \text{Effective temperature}$$

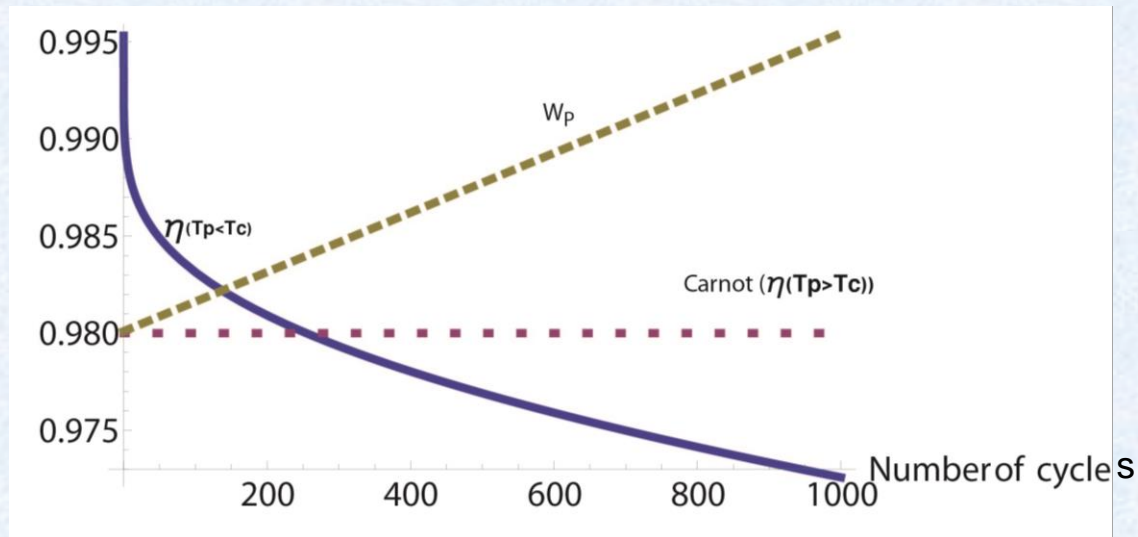
Real work-power:

$$\frac{d(W_P)_{Max}}{dt} = \underbrace{\frac{d\langle H_P \rangle}{dt}}_{\text{standard}} - \underbrace{T_P(t) \dot{S}_P}_{\text{Enforced by QM}}$$

# Under second law constraint :

$$\dot{S}_{tot} \approx \dot{S}_p > \frac{J_C}{T_C} + \frac{J_H}{T_H}$$

$$\eta(T_P \leq T_C) = \left( \frac{d((W_P)_{Max})}{dt} \right) / J_H < 1 - \frac{T_P}{T_H}$$



$$T_C/T_H = 1/50, \alpha^2(0) = 0.98$$

$\eta(W_P)$  bound can transgress 2-bath Carnot!

Classical analog: **3 baths**  $T_P < T_C < T_H$

QM forces piston to be an effective bath!

# Conclusions

- ❖ Are there quantum advantages in machines based on quantum resources? Yes
- ❖ Do they have classical analogs in different settings? Probably

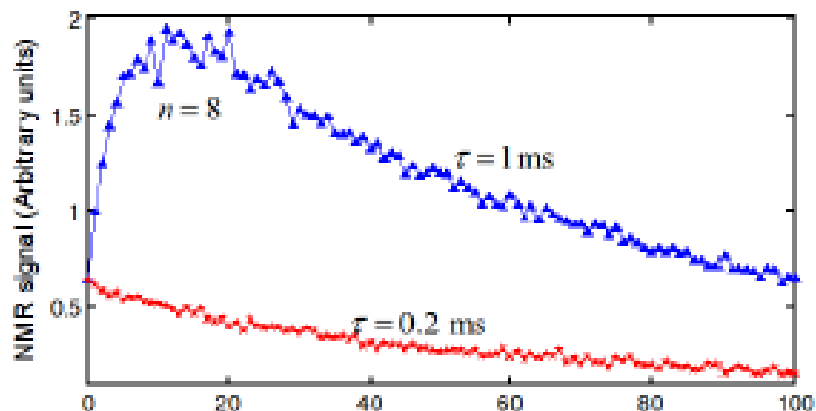
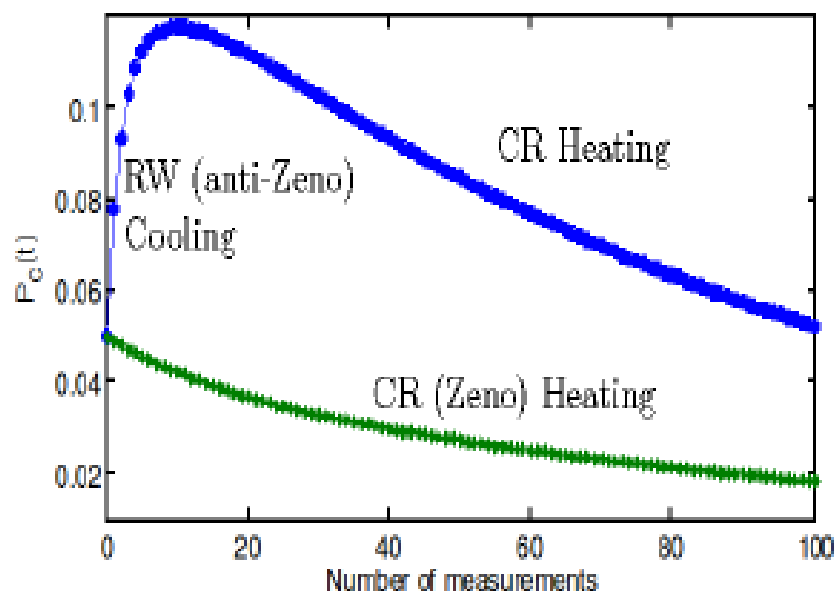
Quantum mechanics endows us with resources that may boost thermodynamic performance:

heat engine power, refrigeration/cooling speed or work.

**Non-classical effects adhere to thermodynamic laws.**

# Measurement-driven control of quantum bits in a spin-bath

G. Alvarez, D. Dasari, L. Frydman and G. K. *PRL* 105, 160401 (2010)

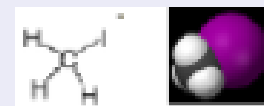


## Interaction

$$H_{SB} = J_{CH} \sum_k \hat{S}_k^x \hat{I}_k^x \quad (\text{CR+RW})$$

$$\left. \begin{array}{l} P_C(0) = 0.05 \\ P_H(0) = 0.2 \end{array} \right\} \text{non-equil}$$

## Experimental parameters



### 13C-methyl iodide (iodomethane)

$$J_{CH} = 150\text{Hz}; \quad \frac{\gamma_H \omega_H}{\gamma_C \omega_C} = 2 \quad (\text{off-resonant})$$

**Induced Dephasings amplify the polarization transfer**  
**No Born: bath changes till**  
 $[\rho_{eq}, H_{tot}^{RW}] \approx 0$

# I. Purification: Heating or cooling?

System-bath entanglement and its control

Kurizki et. al.

Introduction

Thermodynamics

Purification

Engine

BOMECE

Entanglement control

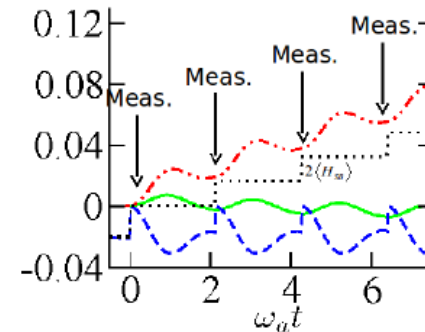
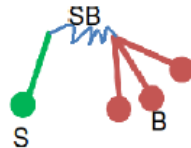
Conclusions

## Total energy

$$\langle H_S \rangle + \langle H_B \rangle + \langle H_{SB} \rangle = \langle H_{\text{tot}} \rangle$$

$$\delta \langle H_S \rangle = -\delta \langle H_B \rangle - \delta \langle H_{SB} \rangle$$

$B(S)$  heats &  $S(B)$  cools at  $H_{SB}$ 's expense

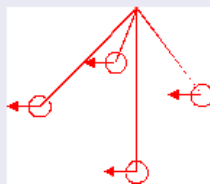


Non-selective (unread) measurement = induced dephasing:  
 $\langle H_{SB} \rangle \rightarrow 0 \rightarrow_t \langle H_{SB} \rangle < 0$

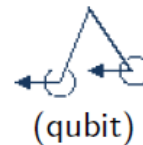
## Unfamiliar non-Markov dynamics: Key to purity / decoherence control

Qubit and bath exchange as 2 coupled quantum oscillators, if qubit monitored frequently enough!

### Ultrashort times

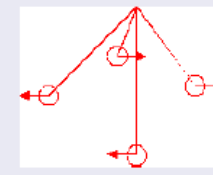


(bath oscillators)



(qubit)

### Long times



(bath oscillators)



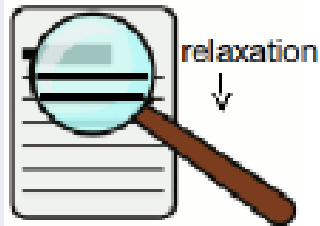
# Qubit Evolution



$$H_{SB} = \sum_k \kappa_k \left( \underbrace{(b_k \sigma_+ + b_k^\dagger \sigma_-)}_{RW(\text{Freq. difference})} + \underbrace{(b_k \sigma_- + b_k^\dagger \sigma_+)}_{CR(\text{Freq. sum})} \right)$$

## AZE

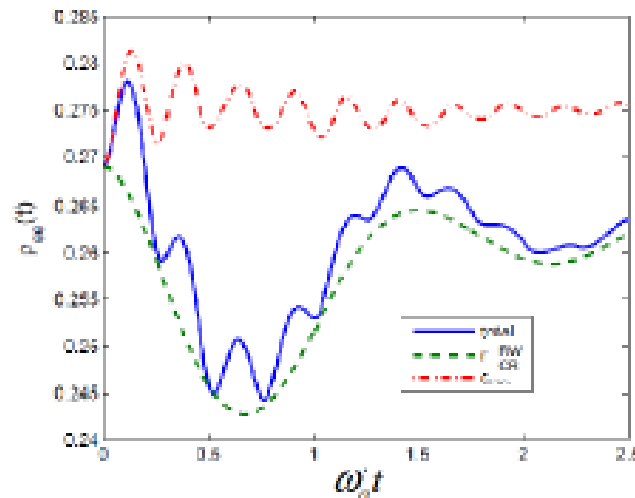
Long times  
Resolved energy levels



Nature 405 546

$$\dot{\rho}_{ee} \stackrel{(2000)}{=} R_e(t) \rho_{ee} + R_g(t) \rho_{gg} < 0$$

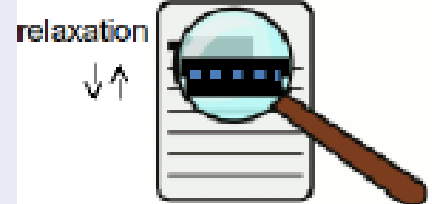
May yield cooling



Nature 452, 724 (2008)  
New J. Phys. 11 123025 (2009)

## QZE

Ultrashort times  
Unresolved energy levels



$$\dot{\rho}_{ee} \xrightarrow{t \rightarrow 0} R(t) (\rho_{gg} - \rho_{ee}) > 0$$

Always yields heating

# Universal cooling bound

*New J. Phys.* **11** 123025 (2009)

*New J. Phys.* **12** 053033 (2010)

Master Eq.  $\dot{\rho}_{ee} = R_G(t)\rho_{gg} - R_e(t)\rho_{ee}$

Solution for  $n$  measurements (QND disturbances)

$$\rho_{ee}(n\tau) = e^{-nJ(\tau)}\rho_{ee}(0) + (1 - e^{-nJ(\tau)})\chi(\tau)$$

fixed point

$$\chi(\tau) = \frac{\int_0^\tau dt e^{J(t)} R_g(t)}{\int_0^\tau dt e^{J(t)} (R_g(t) + R_e(t))}$$

Relax. integral

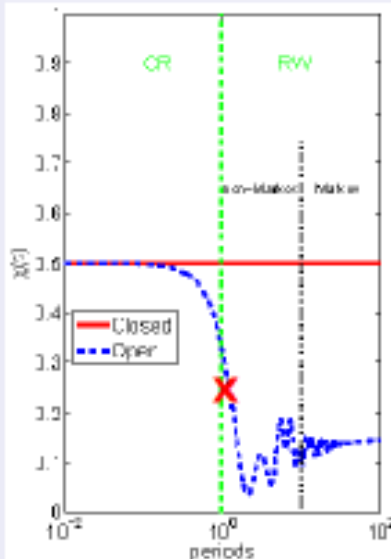
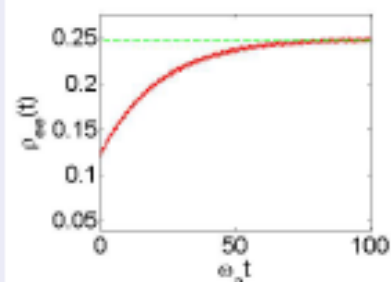
$$J(t) = \int_0^t dt' (R_g(t') + R_e(t'))$$

After  $n > t_c/\tau^2\kappa$ ,

$\tau \ll \omega_a^{-1} \Rightarrow \rho_{ee} \approx \chi \approx 1/2$  — fully mixed

Zeno = "heating"

## Heating



# Universal cooling bound

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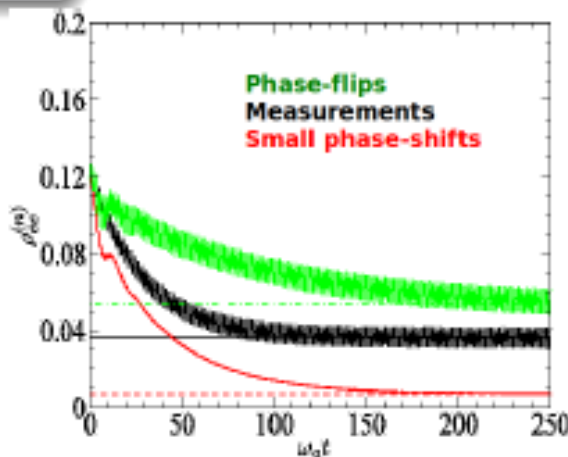
Relax. integral

$$J(t) = \int_0^t dt' (R_g(t') + R_e(t'))$$

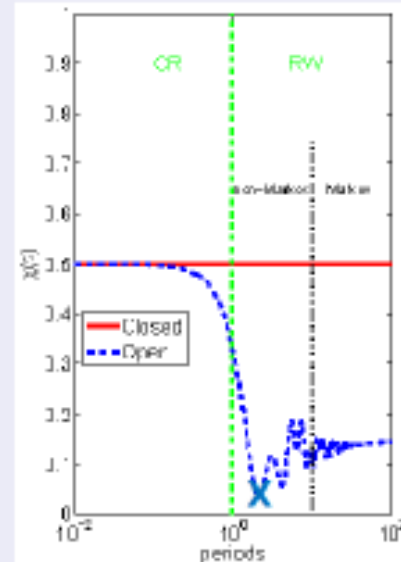
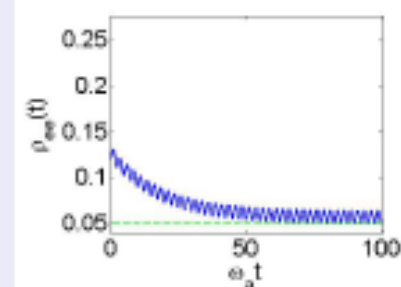
$$\tau \gtrsim \omega_a^{-1} \Rightarrow$$

$$\rho_{ee} \approx \chi \ll \rho_{ee}(0) :$$

AZE cooling



## Cooling



# Work-Information relation

Szilard-Landauer bound:  
(Maxwell's demon)

$$(W_{\text{Sel}})_{\text{Max}} = T \mathcal{H}(\rho_S)$$



Shannon  
Entropy

- No correlations between system and bath  $\rho_S \otimes \rho_B$
- Zero work at zero temperature

By contrast, our bound

$$(W_{\text{Sel}})_{\text{Max}} = T \mathcal{H}(\rho_S) + (W_{\text{non-Sel}})_{\text{Max}}$$

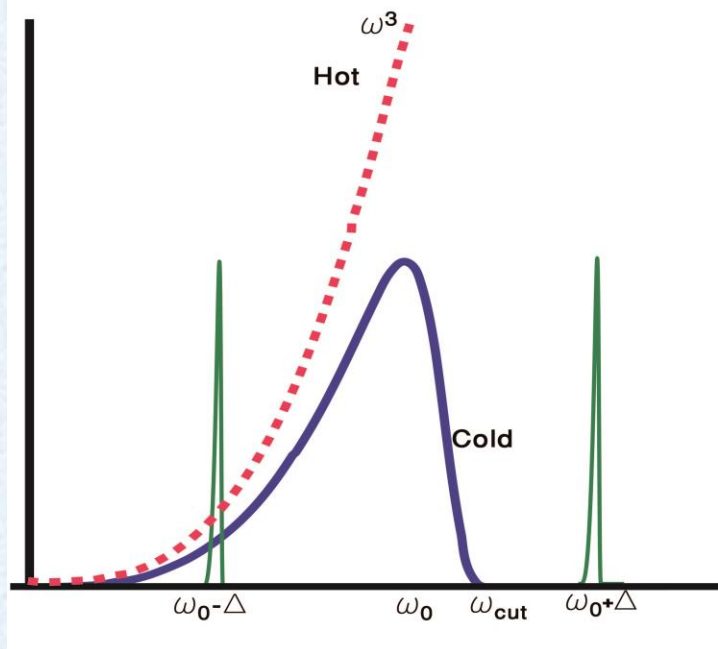
- Correlations between the system and the bath
- More work is obtained but higher price is paid for performing the measurement
- Work can be extracted even at zero temperature

$$\rho_{\text{tot}}^{\text{eq}} \neq \rho_S \otimes \rho_B$$

$$W_{\text{non-sel}} \neq 0 \Rightarrow W_{\text{sel}} \neq 0$$

# Spectral separation of C&H baths

(M. Kolar, D. Gelbwaser-Klimovsky, R. Alicki & GK PRL **109** 090601 (2012))



qubit-phase  $\pi$ -flips at  $\tau = \frac{2\pi}{\Delta}$  :harmonics  $m = \pm 1$

cause shifts of  $G^{C(H)}(\omega_0) : \omega_0 \rightarrow \omega_0 \pm \Delta$ .

Example:

Hot bath: black body (broad) spectrum

Cold bath: Lorentzian spectrum

(e.g., cavity mode)

$$J_H = (\omega_0 + \Delta) \mathcal{N} \left( e^{-\left(\frac{\omega_0 + \Delta}{T_H}\right)} - e^{-\left(\frac{\omega_0 - \Delta}{T_C}\right)} \right),$$

$$J_C = -(\omega_0 - \Delta) \mathcal{N} \left( e^{-\left(\frac{\omega_0 + \Delta}{T_H}\right)} - e^{-\left(\frac{\omega_0 - \Delta}{T_C}\right)} \right),$$

$$\mathcal{P} = -2\Delta \mathcal{N} \left( e^{-\left(\frac{\omega_0 + \Delta}{T_H}\right)} - e^{-\left(\frac{\omega_0 - \Delta}{T_C}\right)} \right),$$

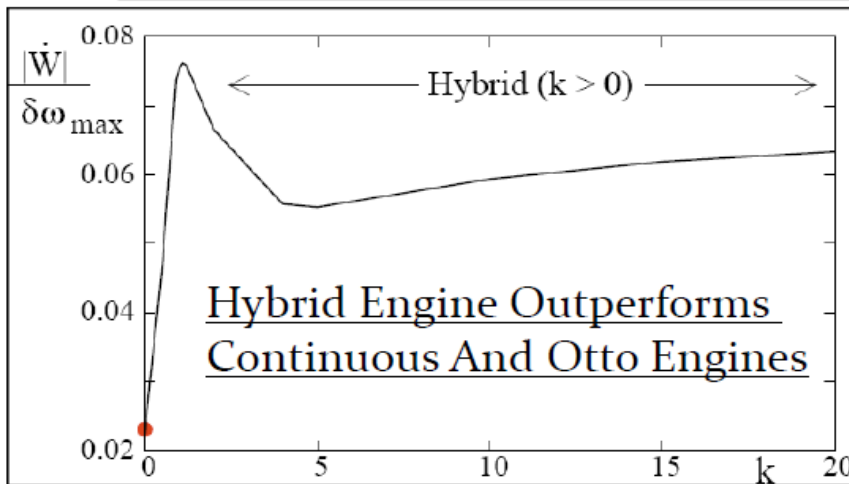
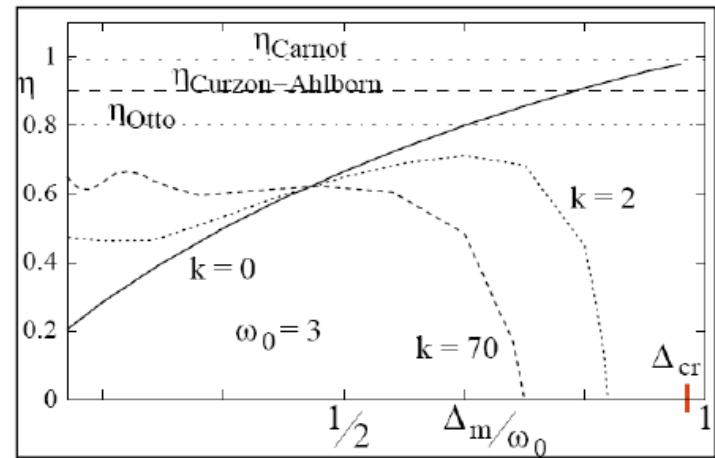
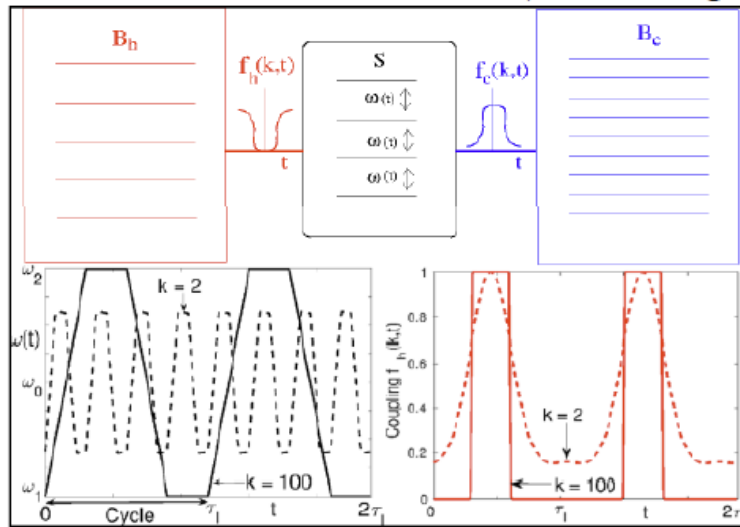
Heat pump (QR) condition:  $J_c > 0 : n^C(\omega_0 - \Delta) > n^H(\omega_0 + \Delta)$

QHE:  $J_c < 0 : n^C(\omega_0 - \Delta) < n^H(\omega_0 + \Delta)$

# How fast can QHE operate? What is the best design?

Victor Mukherjee, Wolfgang Niedenzu and GK

Heat Engine And  
Modulations

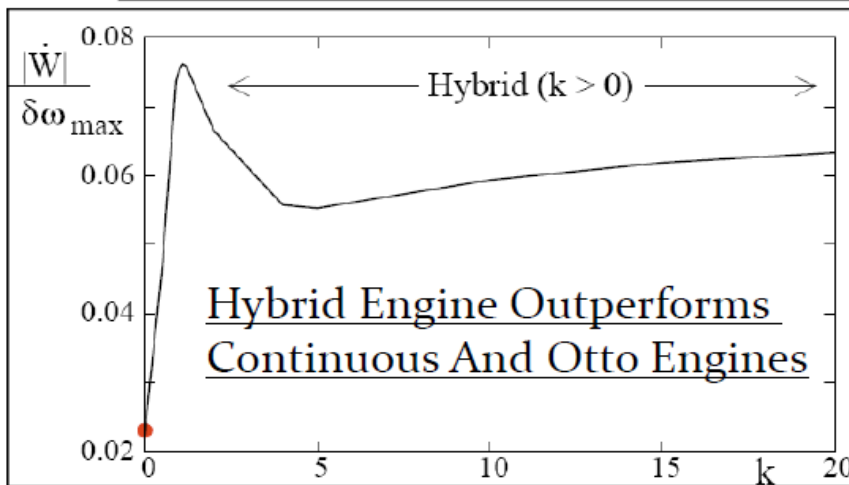
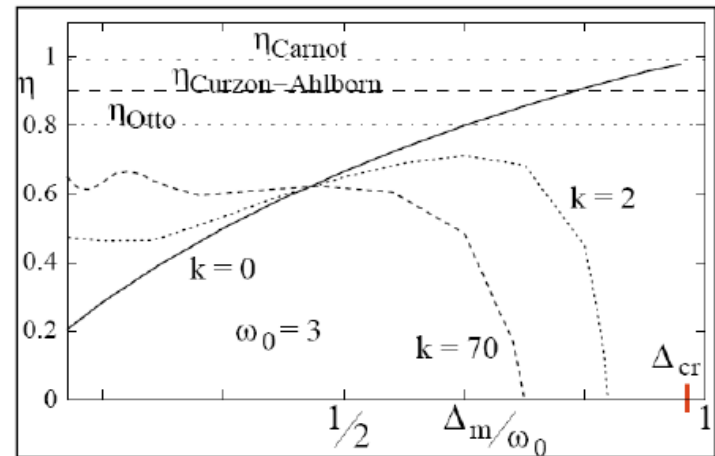
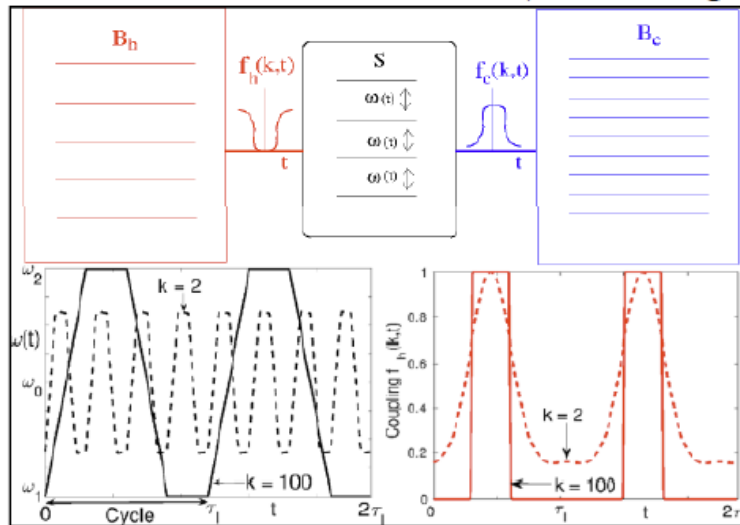


Power

# How fast can QHE operate? What is the best design?

Victor Mukherjee, Wolfgang Niedenzu and GK

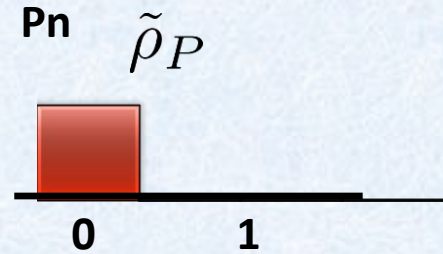
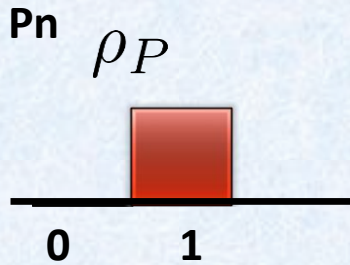
Heat Engine And  
Modulations



Power

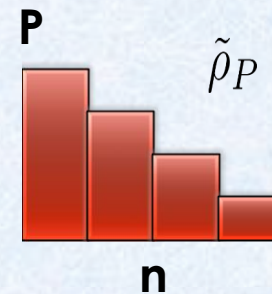
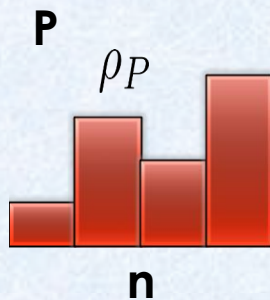
Hybrid Engine Outperforms  
Continuous And Otto Engines

$$W^{Max}(\rho_P) = \langle H_P \rangle_{\rho_P} - \langle H_P \rangle_{\tilde{\rho}_P^{Min}}$$



If  $W^{Max}(\rho_P) = 0$ : Passive state.  
(lowest energy = Gibbs state)

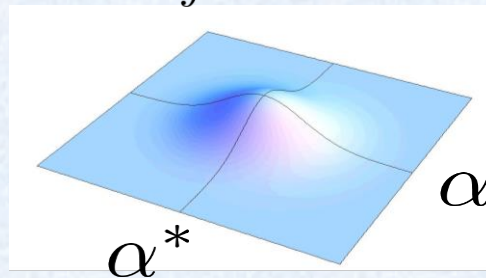
Unitary reshuffle of eigenvalues



Monotonic  
= passive

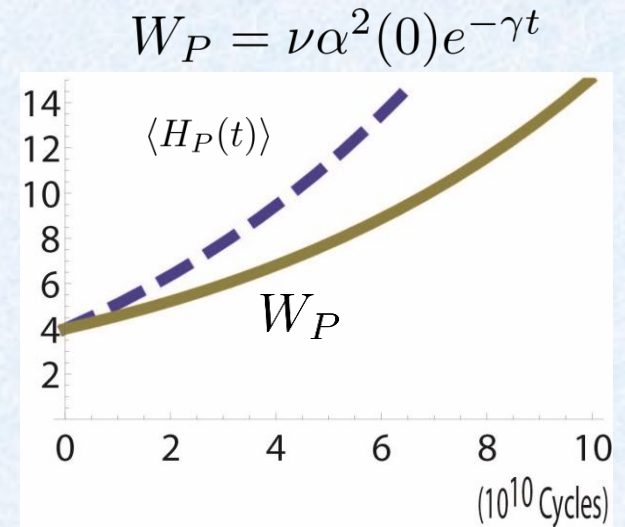
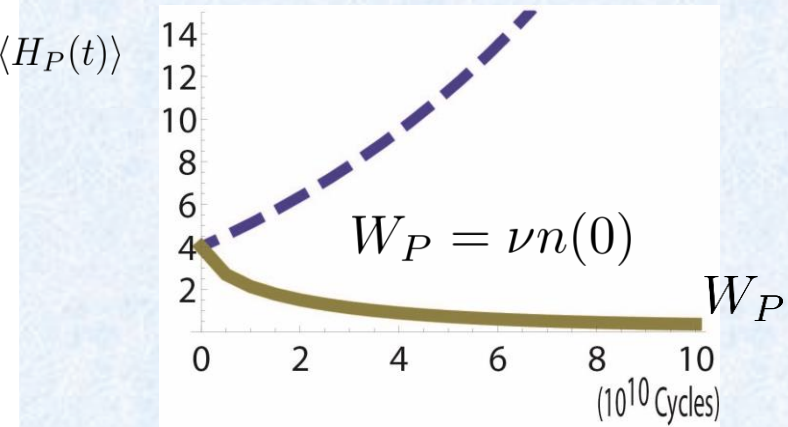
Passive

$$\rho_P = \frac{1}{2\pi} \int d^2\alpha \mathbf{P}(\alpha) |\alpha\rangle\langle\alpha|$$

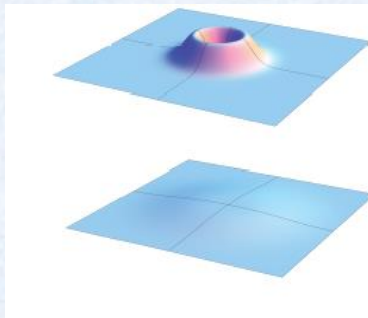




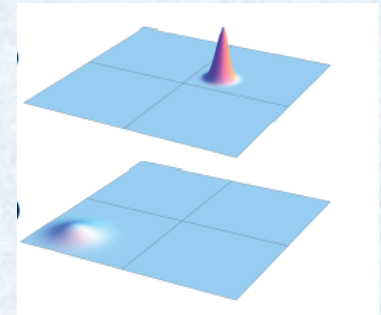
Self-contained QHE: Only nonpassive P yields work. EPL **103** 60005 (2013)



Fock



Coherent



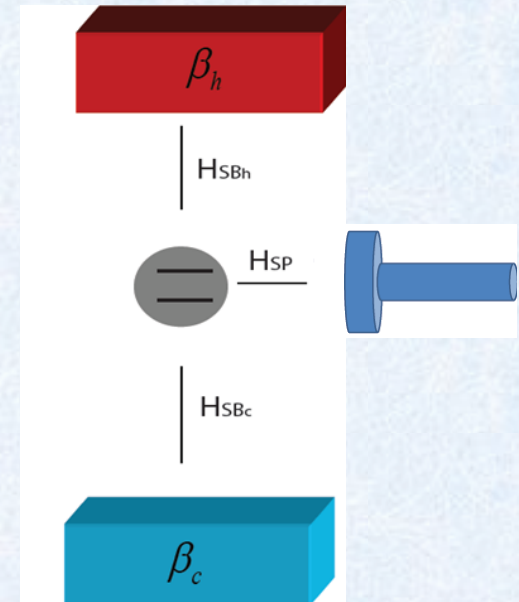
Nonpassive state is low-entropy resource.

# Super-Carnot efficiency

Ghosh et al, (in prep)

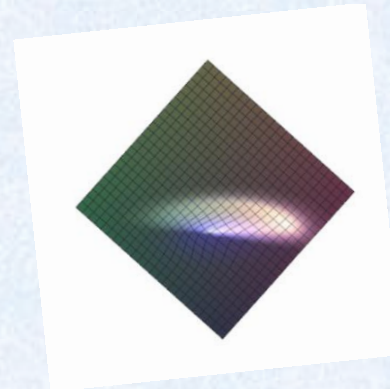
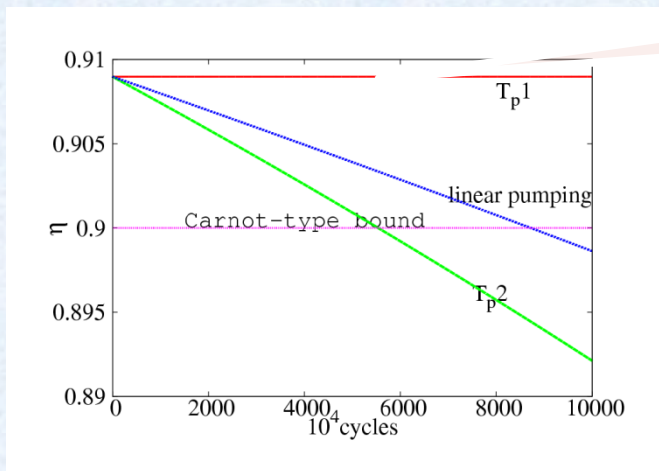
Efficiency:

$$\eta \leq \begin{cases} 1 - \frac{T_C}{T_H}; & T_P > T_C \\ 1 - \frac{T_P}{T_H}; & T_C > T_P \end{cases}$$



➤ Nonlinear driven

Stationary super-Carnot efficiency

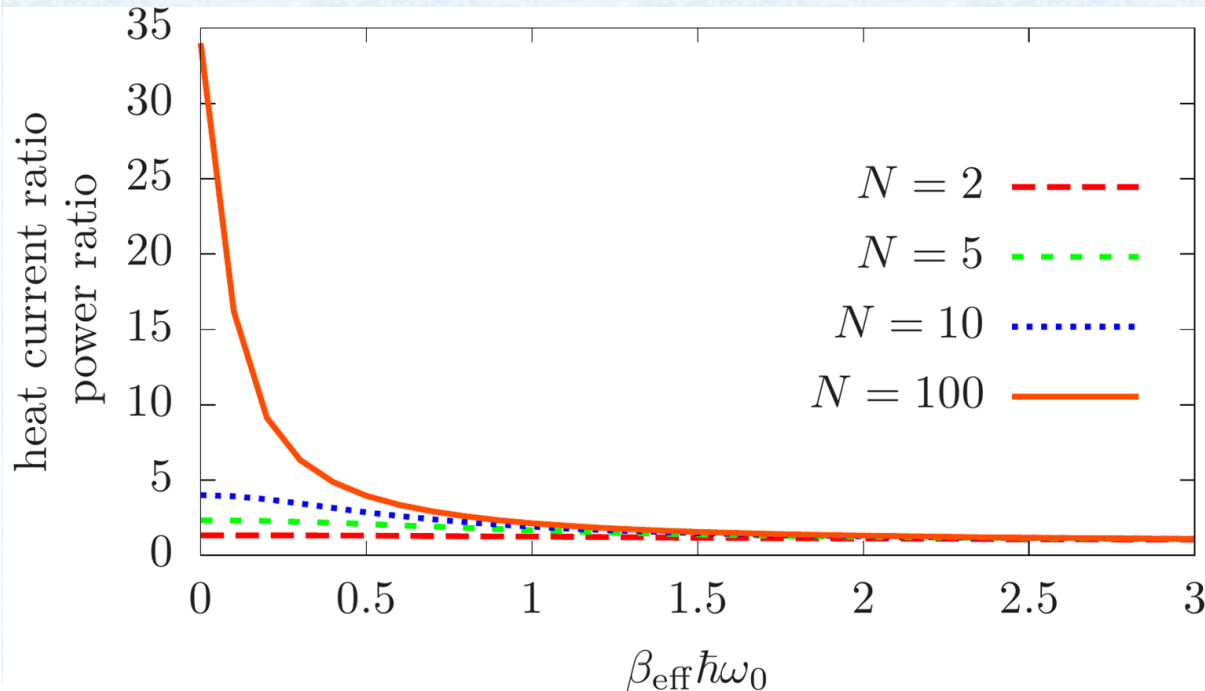
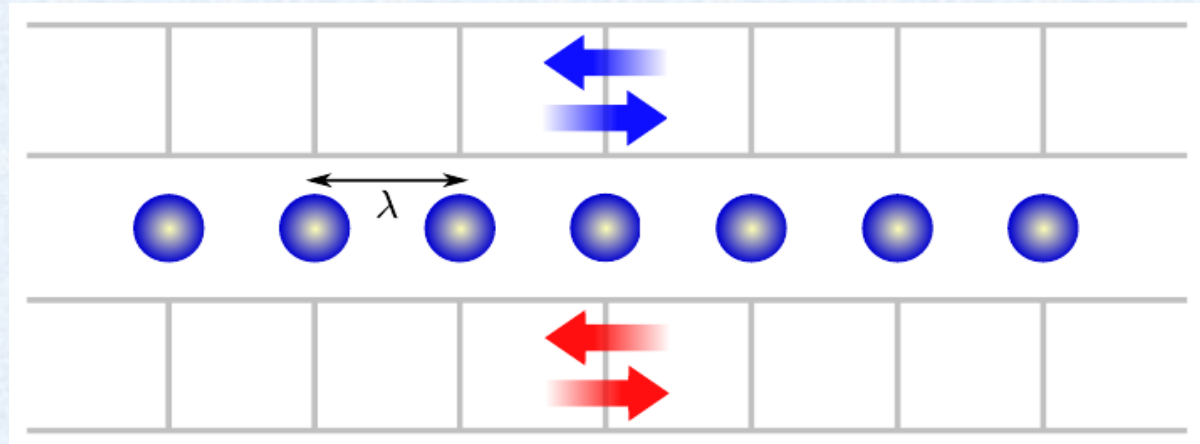


# Multiatom quantum heat engine (QHE)

D. Gelbwaser-Klimovsky, W. Niedenzu & G.K., AAMOP **64** (2015)

Collective spin operator:

$$J_- := \sum_{i=1}^N \sigma_-^i$$



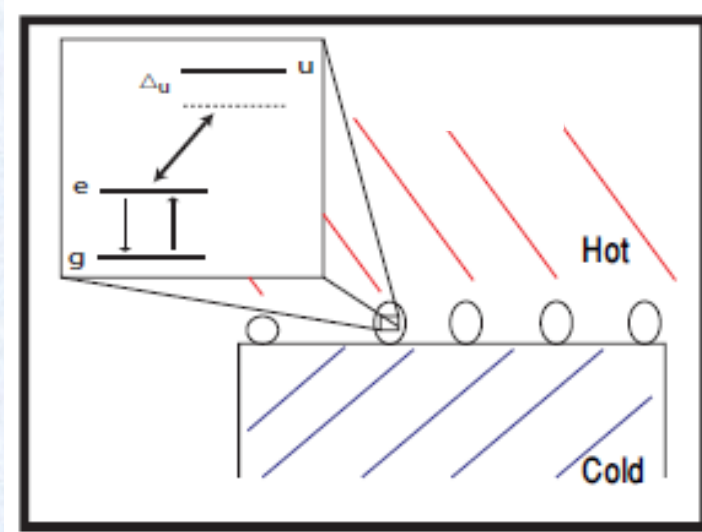
Efficiency unchanged

$$\lim_{\beta_{\text{eff}} \rightarrow \infty} \frac{\dot{W}}{N \dot{W}^{\text{TLS}}} = 1$$

$$\lim_{\beta_{\text{eff}} \rightarrow 0} \frac{\dot{W}}{N \dot{W}^{\text{TLS}}} = \frac{N+2}{3}$$

# Simplest (Minimal) Model of Quantum Heat Machine

(M. Kolar, D. Gelbwaser-Klimovsky, R. Alicki & GK PRL **109**, 090601 (2012))



Transition rates for qubit (TLS) coupled to 2 baths

Quasi steady-state

Weak coupling

Coarse graining  $J_{C(H)} = \dot{Q}_{C(H)} = \sum_m (\omega_0 + m\Delta) G^{C(H)}(\omega_0 + m\Delta)$

Multi-harmonic Lindblad  $\mathcal{L} = \sum \mathcal{L}_m^j$ ,  $J=H,C$ , and  $m$  is the Floquet harmonic

$$\mathcal{L}_m^j \rho = \frac{P_m}{2} (G^j(\omega_0 + m\Delta) ([\sigma^- \rho, \sigma^+] + [\sigma^-, \rho \sigma^+]) + G^j(-\omega_0 - m\Delta) ([\sigma^+ \rho, \sigma^-] + [\sigma^+, \rho \sigma^-])).$$

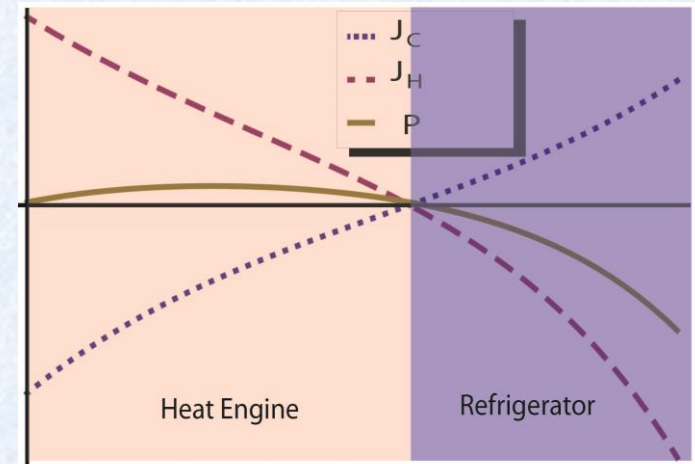
# Minimal (Simplest) Quantum Heat Machine (Under Spectral Separation of Baths)

D. Gelbwaser-Klimovsky, R. Alicki & G.K., PRE **87**, 012140 (2013)

**Quantum heat engine (QHE) ( $\mathcal{P} > 0$ ):**

$$\Delta < \Delta_{cr} = \omega_0 \frac{T_H - T_C}{T_H + T_C}$$

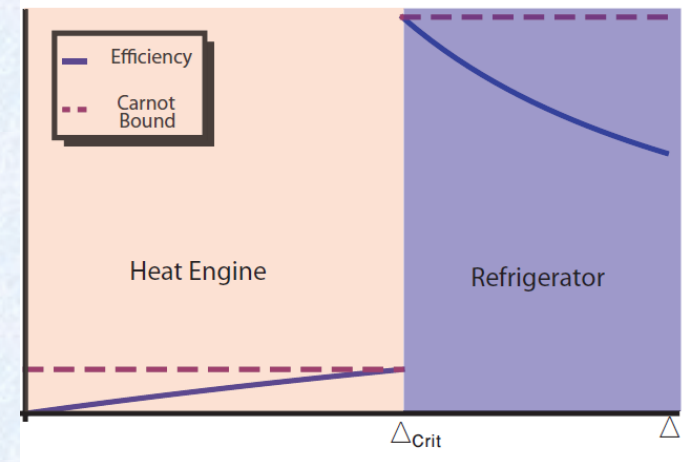
$$\eta = \frac{\mathcal{P}}{J_H} = 1 - \frac{T_C}{T_H} \text{ Carnot bound}$$



**Quantum refrigerator (QR ( $J_C > 0$ ):**

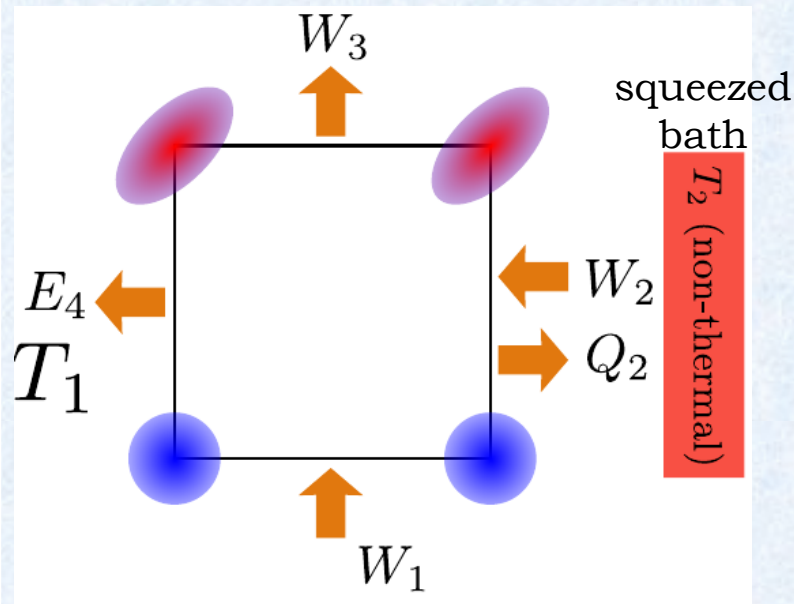
$$\Delta > \Delta_{cr} = \omega_0 \frac{T_H - T_C}{T_H + T_C}$$

$$COP : \frac{J_C}{\mathcal{P}} = \frac{\omega_0 - \Delta}{2\Delta} \xrightarrow{\Delta_{cr}} \text{Carnot bound}$$



# QHE powered by non-thermal baths

Quantum Otto cycle



Non-thermal engine

$$\eta = 1 - \frac{\omega_1}{\omega_2} \leq 1 - \frac{T_1}{\Theta_{\text{fic}}(\Delta\bar{n})}$$

$$T_1 = T_2 = 0 \quad W_2 > 0$$

No heat

J. Roßnagel et al., PRL **112**, 030602 (2014)  
O. Abah and E. Lutz, EPL **106**, 20001 (2014)

Non-thermal bath only provides heat?  
No, WF becomes **non-passive**

Work from bath:

$$W_2 = E_{\text{hot}} - E_{\text{hot}}^{\text{therm}} = \hbar\omega_2\Delta\bar{n} > 0$$

