

New results in LU/LFV tests with LHCb

Jessica Prisciandaro
on behalf of the LHCb collaboration

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Outline

- Lepton flavour and universality violation
- The LHCb experiment
- LFV searches at LHCb:
 - Search for $B^0_{(s)} \rightarrow e^\pm \mu^\mp$
 - Search for $D^0 \rightarrow e^\pm \mu^\mp$
 - Search for $\tau^\pm \rightarrow \mu^+ \mu^- \mu^\pm$
- Tests of lepton flavour universality at LHCb:
 - Measurement of $R(D^*)$
 - Measurement of R_K
- Conclusions

In the Standard Model:

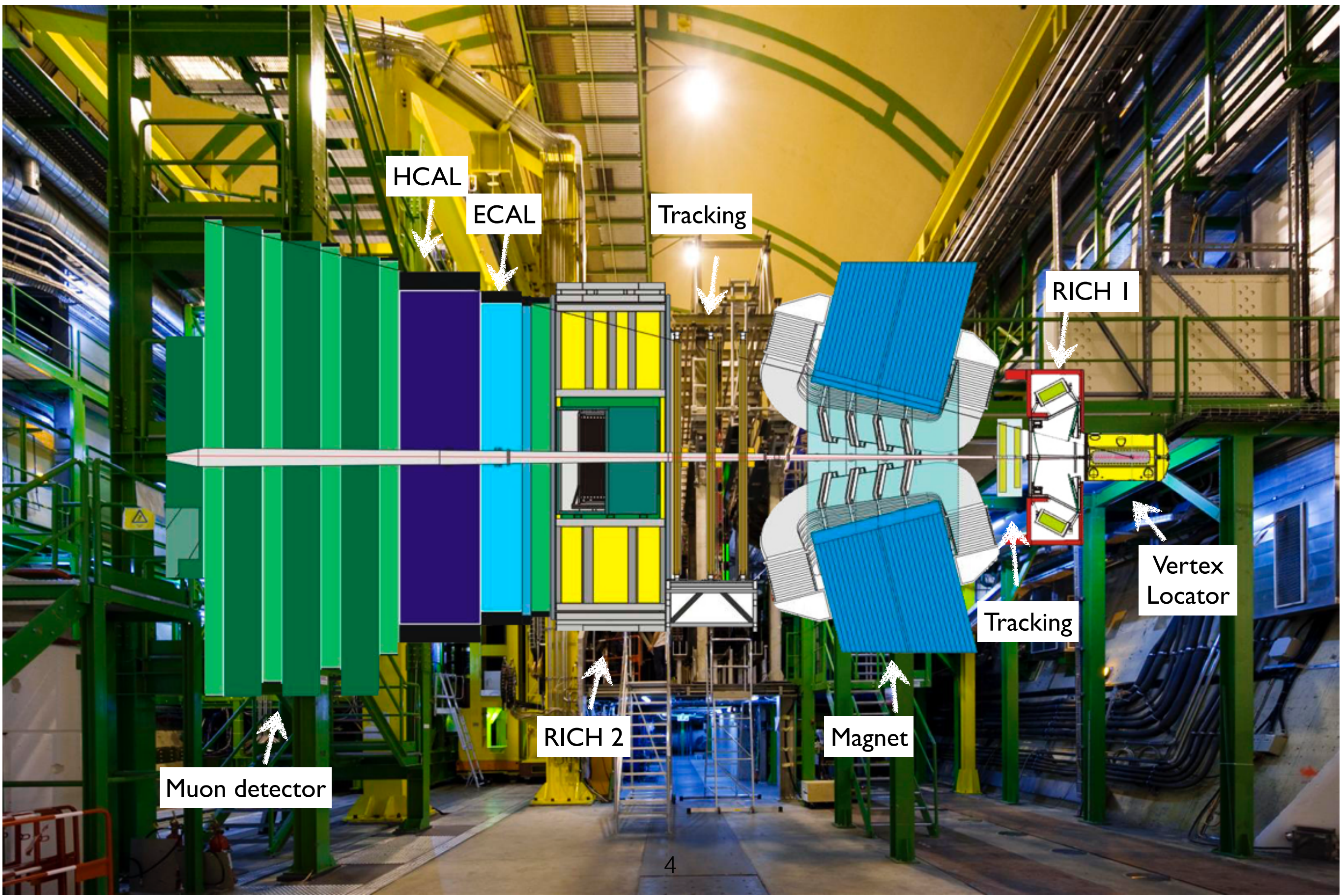
- Couplings of the gauge bosons to leptons are independent of the lepton flavour  BF differences of semileptonic decays in e , μ and τ are only due to phase space and helicity-suppressed contribution
- Lepton flavour violation is allowed in the SM only with massive neutrinos  $BF \sim O(10^{-40})$ or smaller

Observation of LFV processes and LFU violations would be a clear sign of new physics



LHCb can play a crucial role in such searches and in putting new limits on BSM theories

The LHCb detector

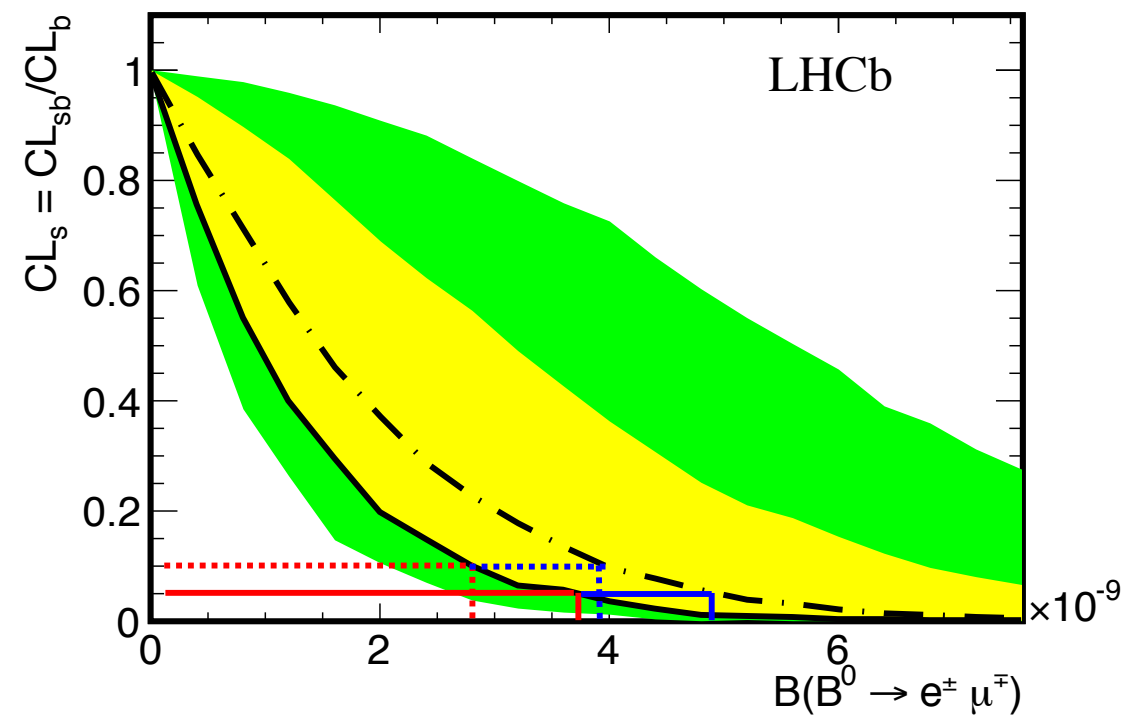
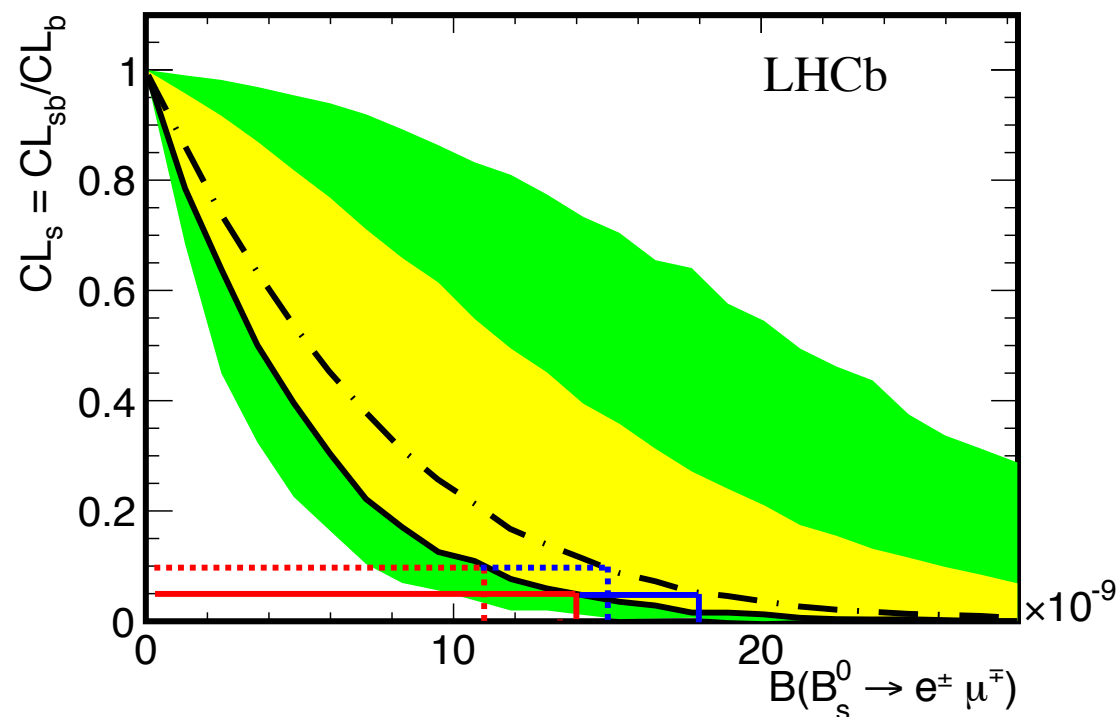
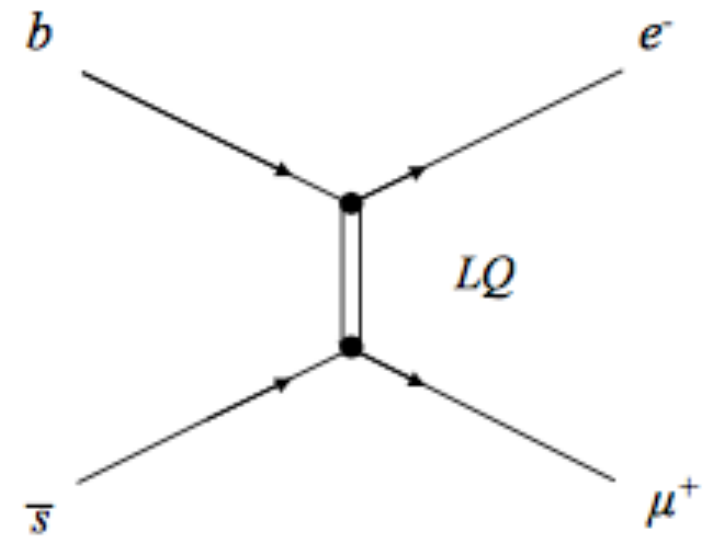


$$B_{(s)}^0 \rightarrow e^{\pm} \mu^{\mp}$$

Predicted in BSM theories: heavy singlet Dirac neutrinos, SUSY, Pati-Salam model^[1]

Analysis strategy:

- BDT selection
- Simultaneous fit to invariant mass in BDT bins
- normalisation channel $B^0 \rightarrow K^+ \pi^-$
- CLs method to extract the limit



[1] J. C. Pati and A. Salam, Phys. Rev. D 10, 275 (1974)

One order of magnitude better than previous best limit from CDF^[1]

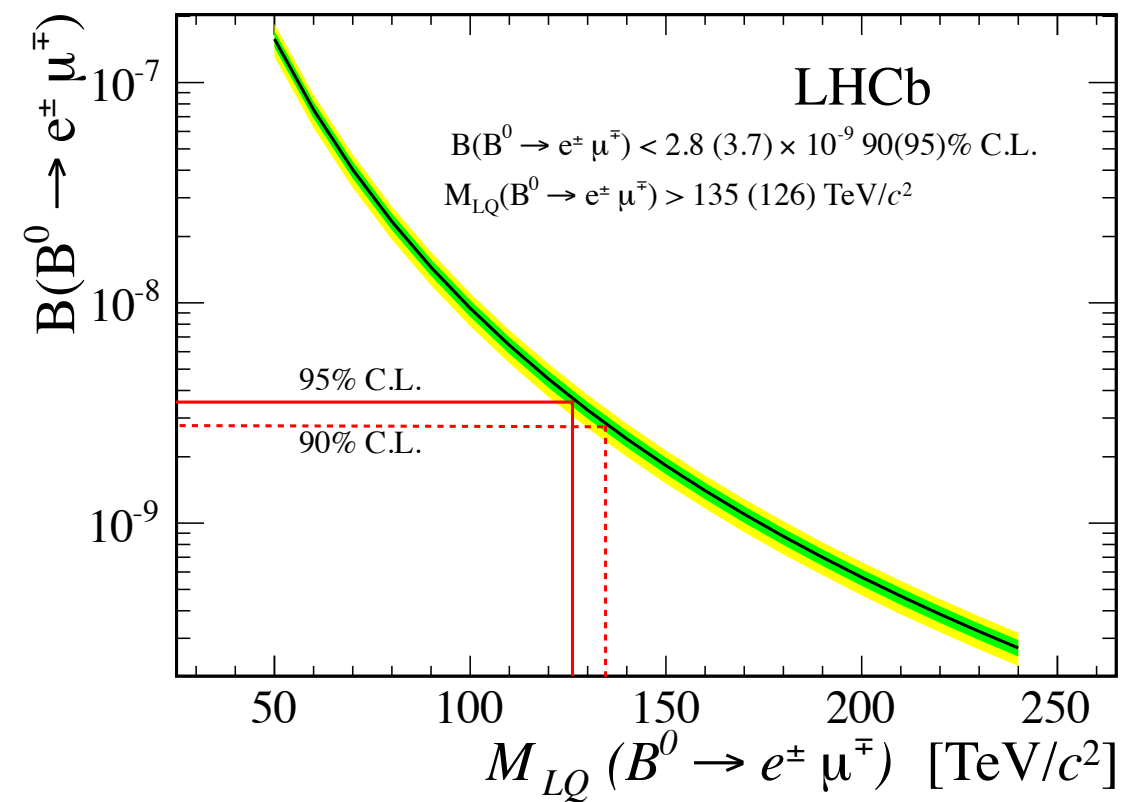
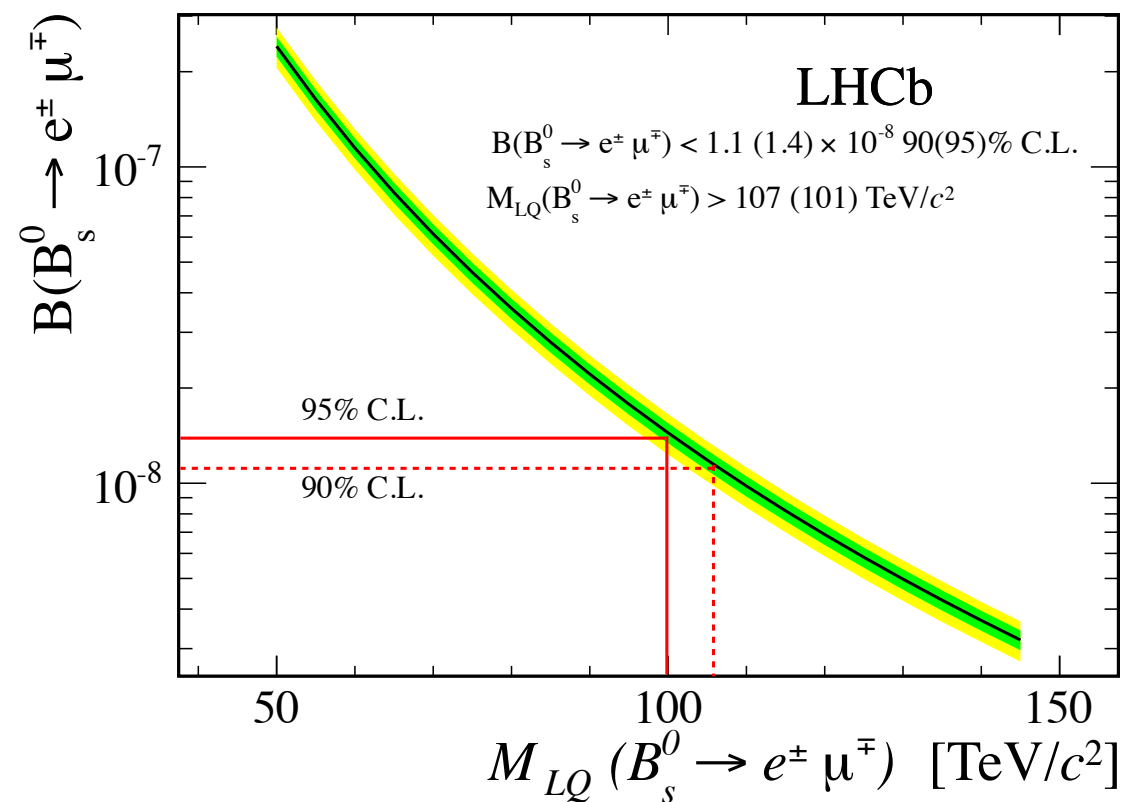
$$\mathcal{B}(B_s^0 \rightarrow e^\pm \mu^\mp) < 1.1 \times 10^{-8} \text{ at 90\% CL}$$

$$\mathcal{B}(B^0 \rightarrow e^\pm \mu^\mp) < 2.8 \times 10^{-9} \text{ at 90\% CL}$$

Lower bounds on the leptoquark masses in Pati-Salam model

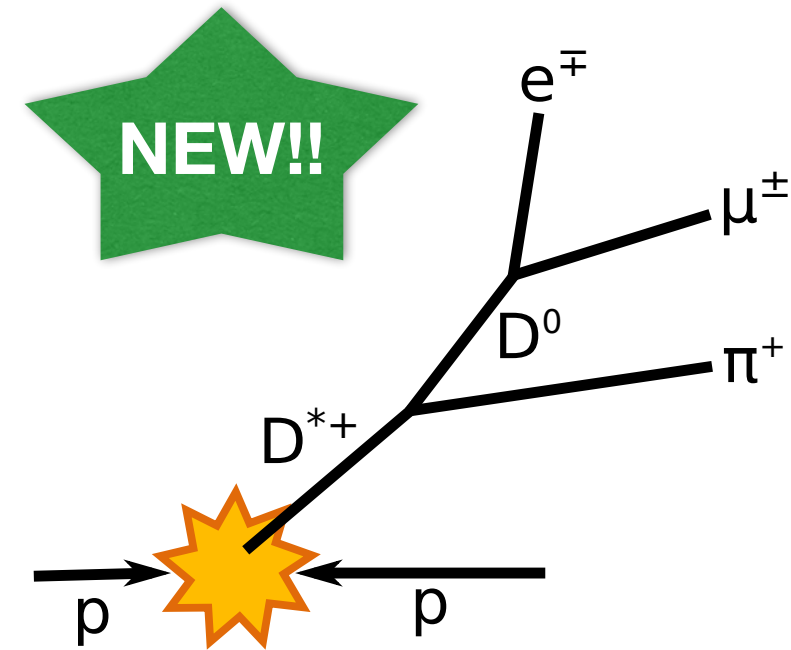
$$\mathcal{M}_{LQ}(B_s^0 \rightarrow e^\pm \mu^\mp) > 107 \text{ TeV}/c^2 \text{ at 90\% CL}$$

$$\mathcal{M}_{LQ}(B^0 \rightarrow e^\pm \mu^\mp) > 135 \text{ TeV}/c^2 \text{ at 90\% CL}$$



[1]T. Aaltonen et al. (CDF Collaboration), Phys. Rev. Lett. 102, 201801 (2009).

$$D^0 \rightarrow e^\pm \mu^\mp$$



NEW!!

- Forbidden in the SM
- Predicted in various BSM theories[1] (SUSY with RPV, multiple Higgs doublets theory, SM with extra fermions):
 - $\text{BF}(D^0 \rightarrow e^\pm \mu^\mp)$ up to $O(10^{-6})$

Best limit previous LHCb:

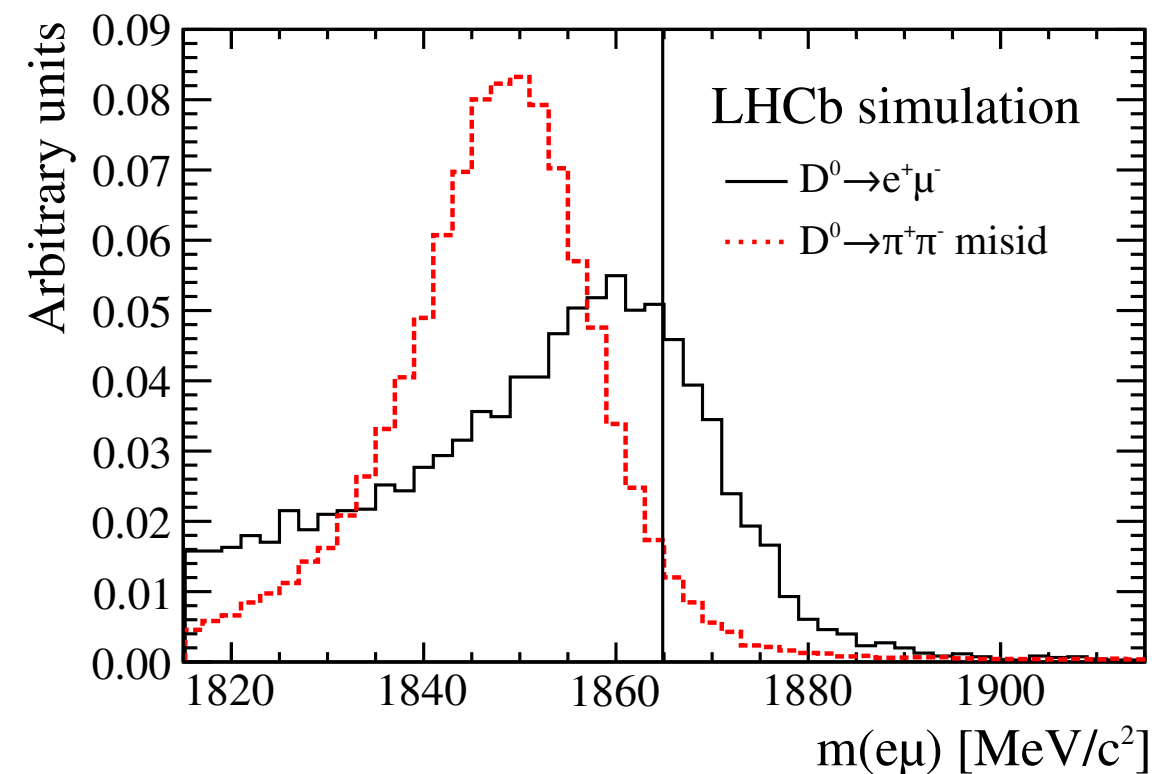
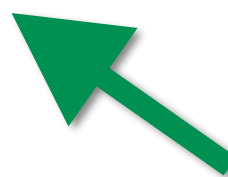
$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 2.6 \times 10^{-7} \text{ at } 90\% \text{ CL (Belle}^{[2]})$$

Strategy

- Select $D^{*+} \rightarrow D^0(\rightarrow e^\pm \mu^\mp)\pi^+$ decays
- Normalised to $D^{*+} \rightarrow D^0(\rightarrow K^- \pi^+)\pi^+$

Full Run I statistics used (3 fb^{-1})

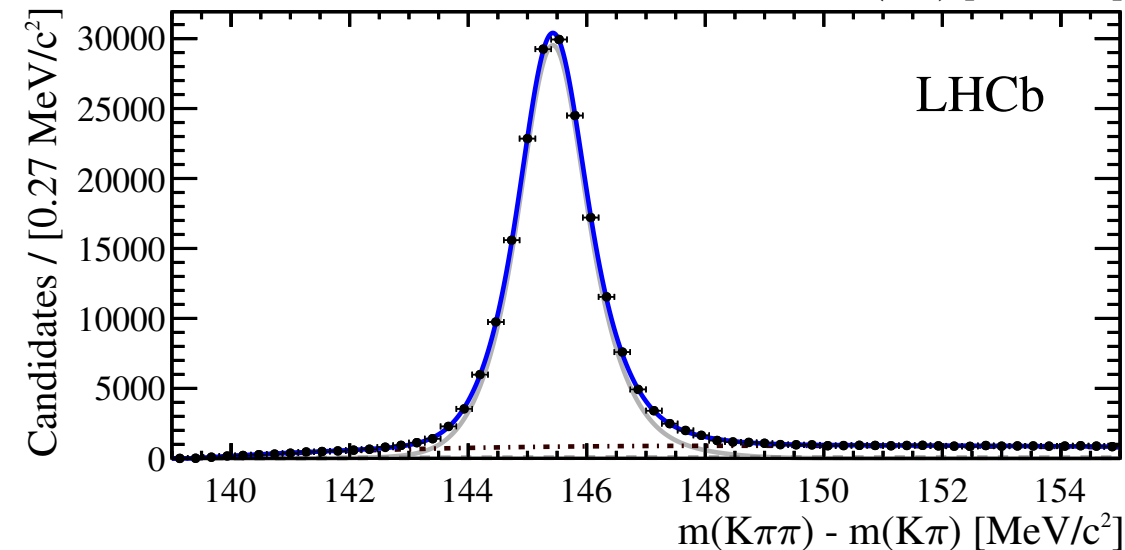
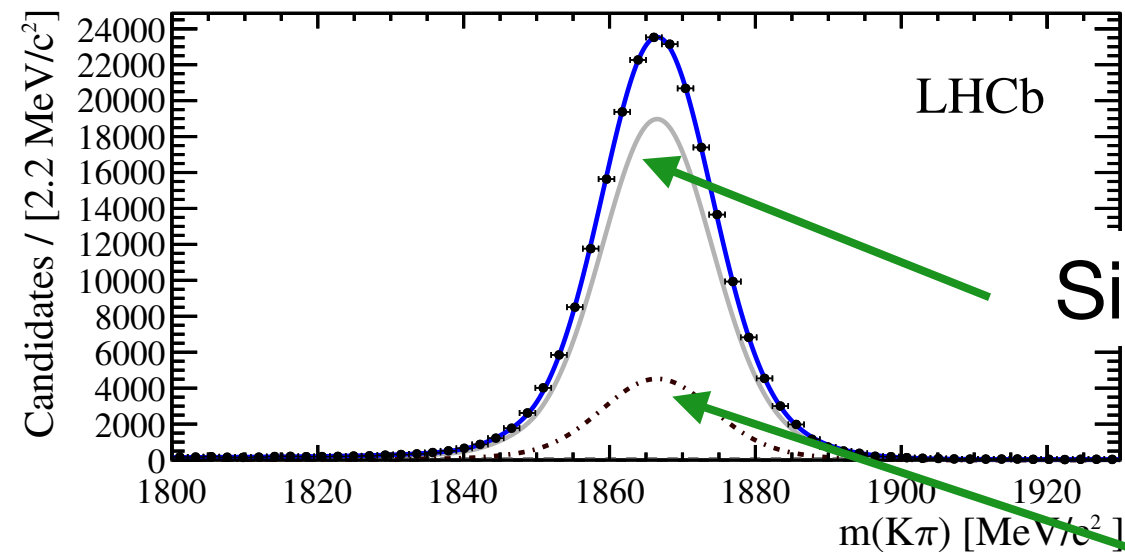
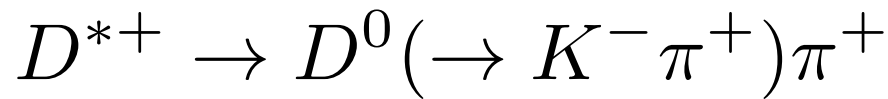
misID $D^0 \rightarrow \pi^+ \pi^-$: main background



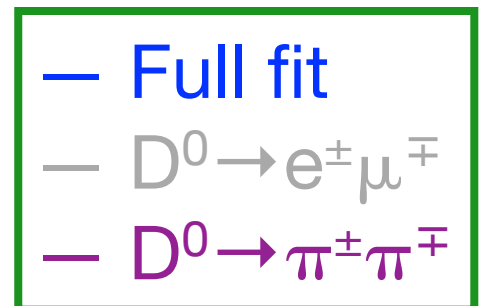
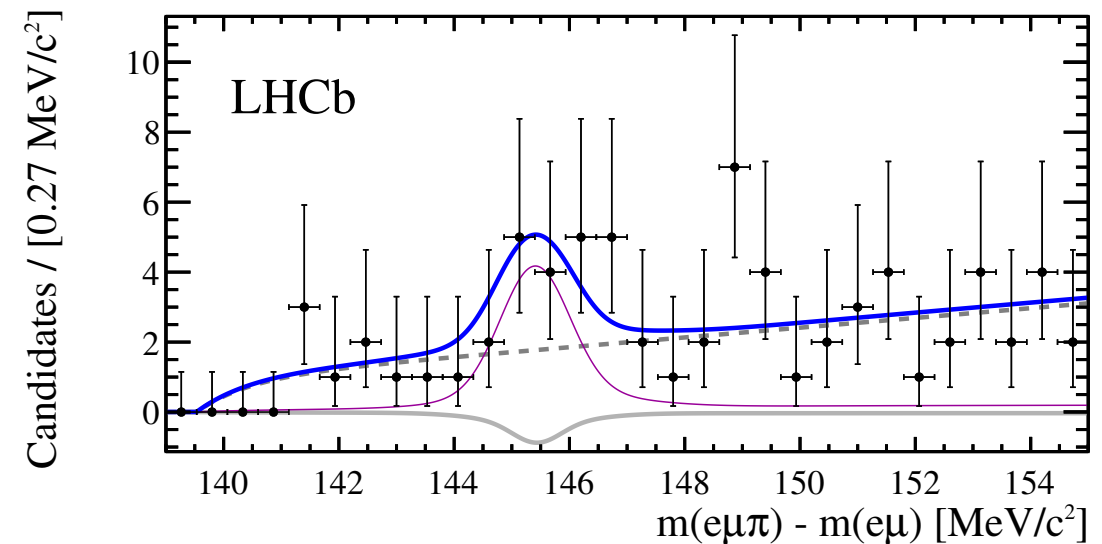
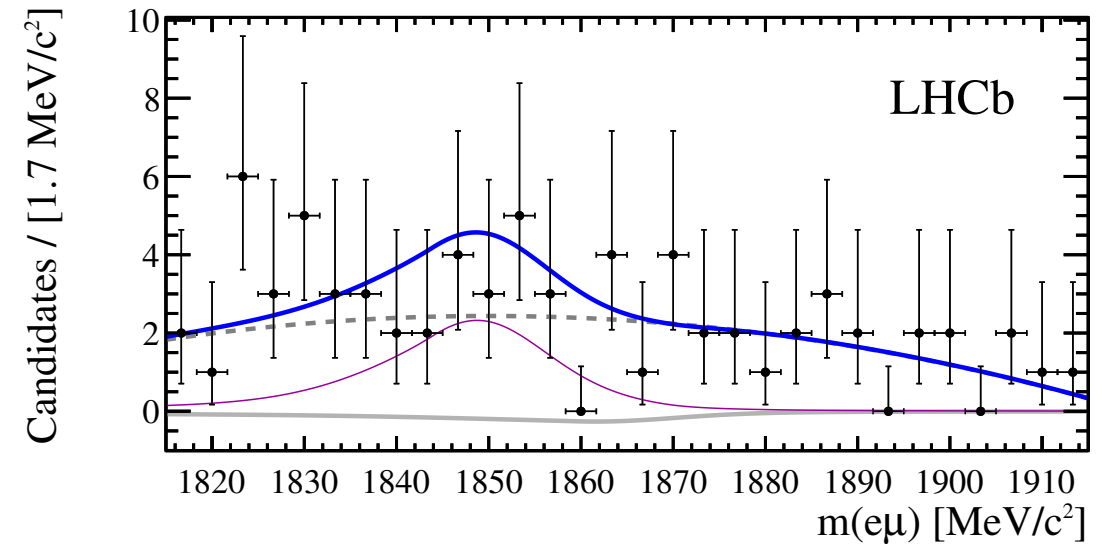
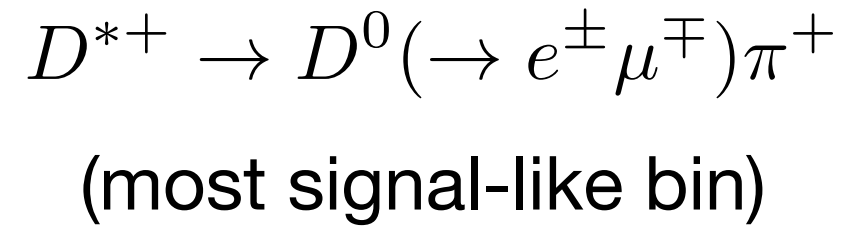
[1] G. Burdman, E. Golowich, J. L. Hewett, and S. Pakvasa, Phys. Rev. D66 (2002) 014009

[2] Belle collaboration, Phys. Rev. D81 (2010) 091102

- BDT to separate real D^* decays from combinatorial background
- BDT output split in three bins simultaneously fitted
- 2D fit of $m(D^0)$ and $\Delta m = m(D^{*+}) - m(D^0)$



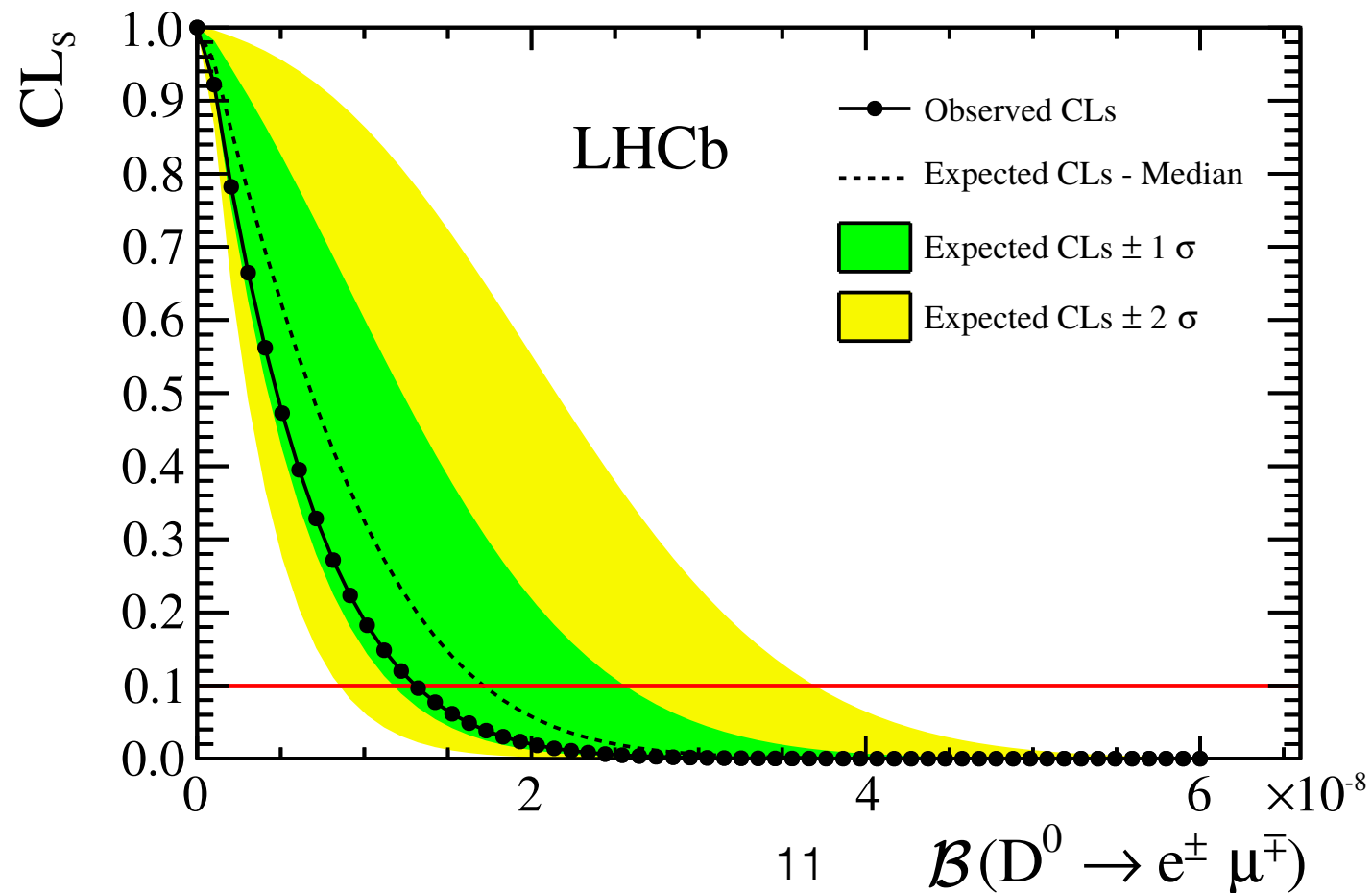
D^0 events combined with random pion



- No signal observed
- Upper limit with CL_s method, via:

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\pm) = \frac{N_{e\mu} \epsilon_{e\mu}}{N_{K\pi} \epsilon_{K\pi}} \times \mathcal{B}(D^0 \rightarrow K^- \pi^+)$$

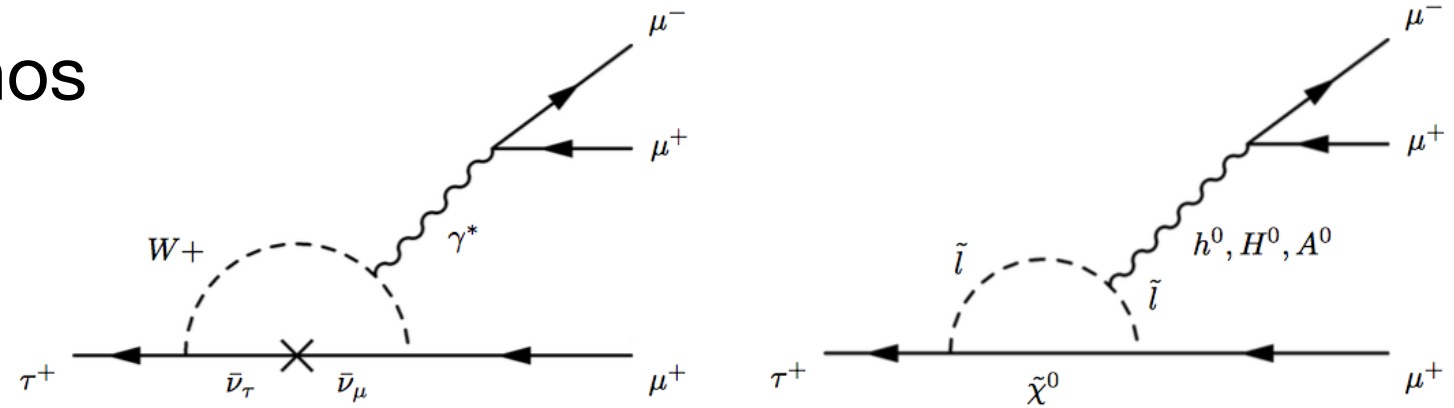
$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.3 \times 10^{-8}$ at 90% CL (word's best upper limit)





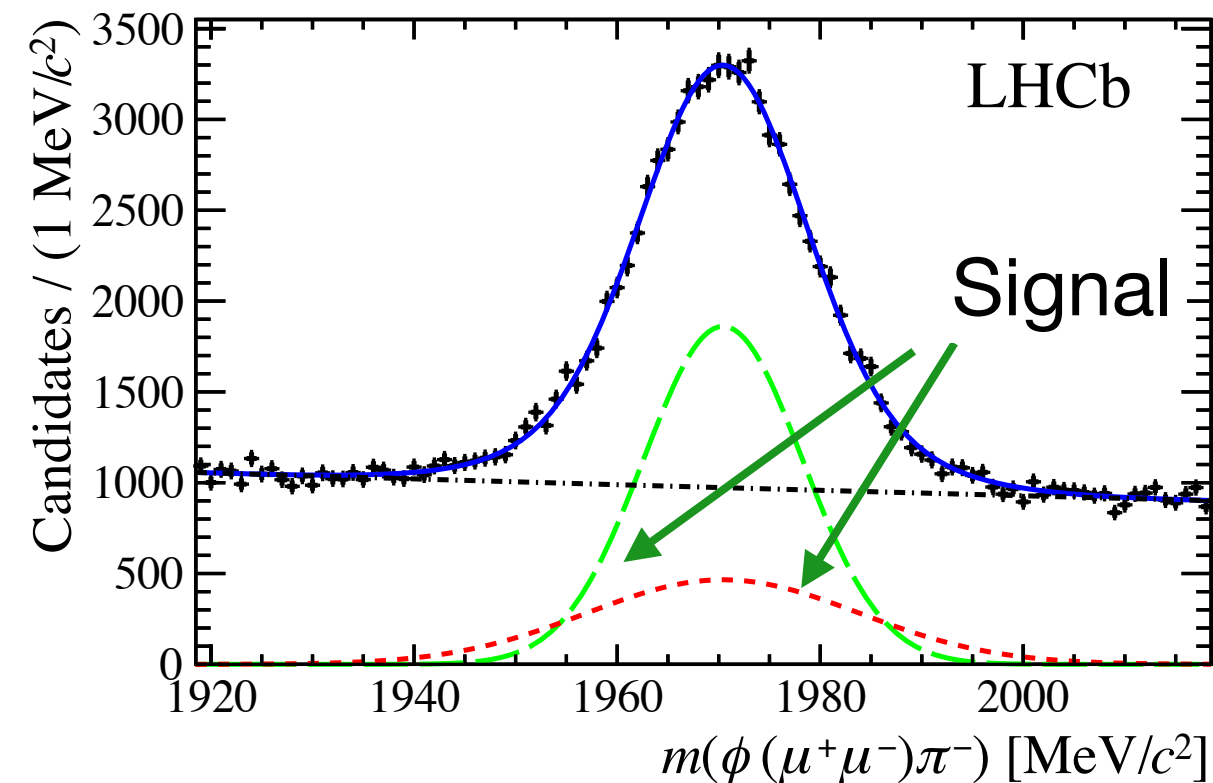
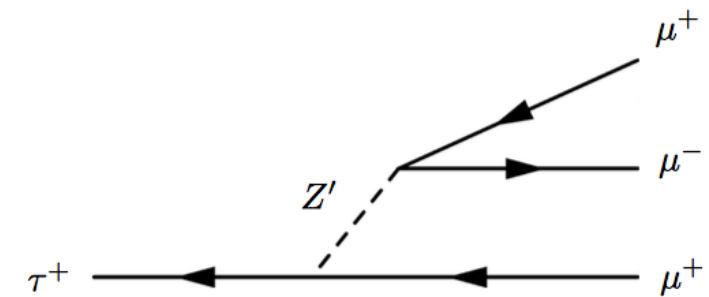
Within the Standard Model:

- Allowed with massive neutrinos
- BF extremely low $\sim O(10^{-40})$



Beyond the Standard Model:

- NP particles in loops increase decay rates
- tree level decays allowed



Large τ production cross section at LHCb ($\sigma \sim 80\mu\text{b}$)

Normalisation channel:

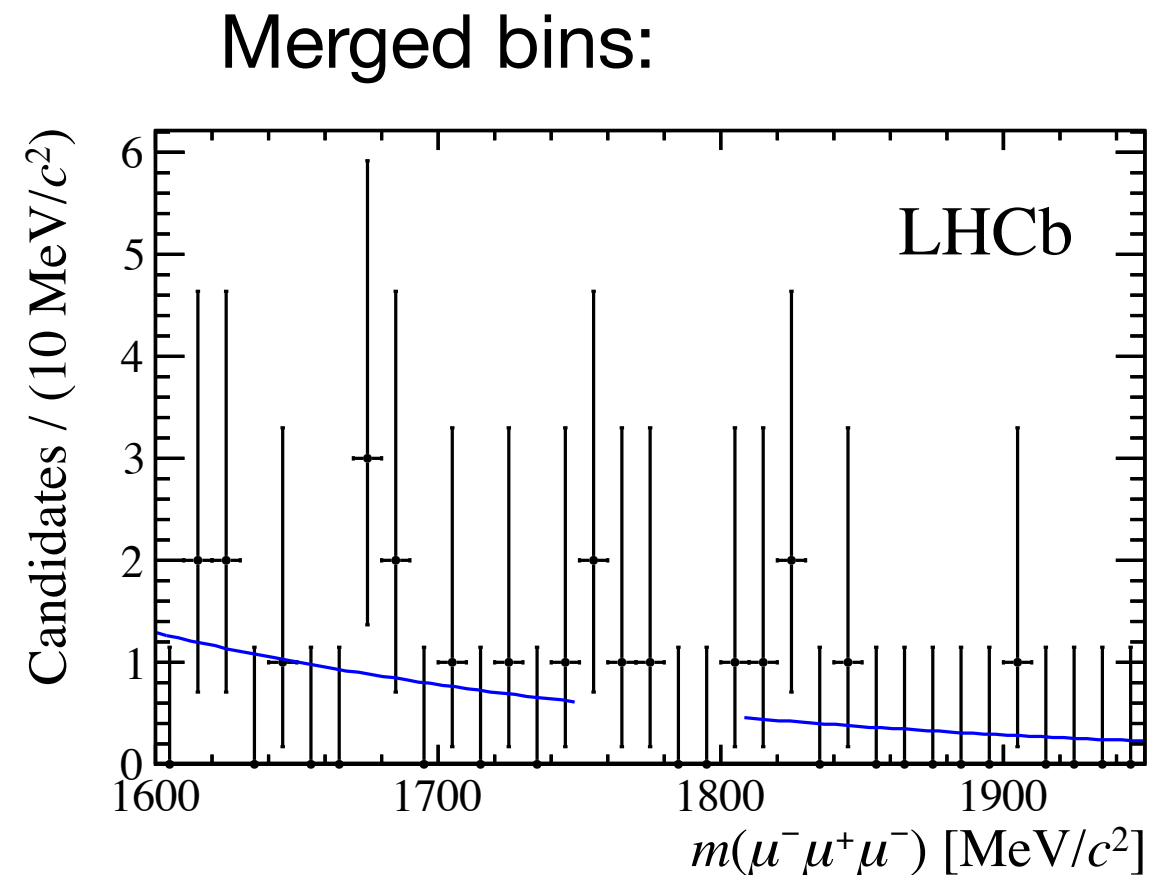
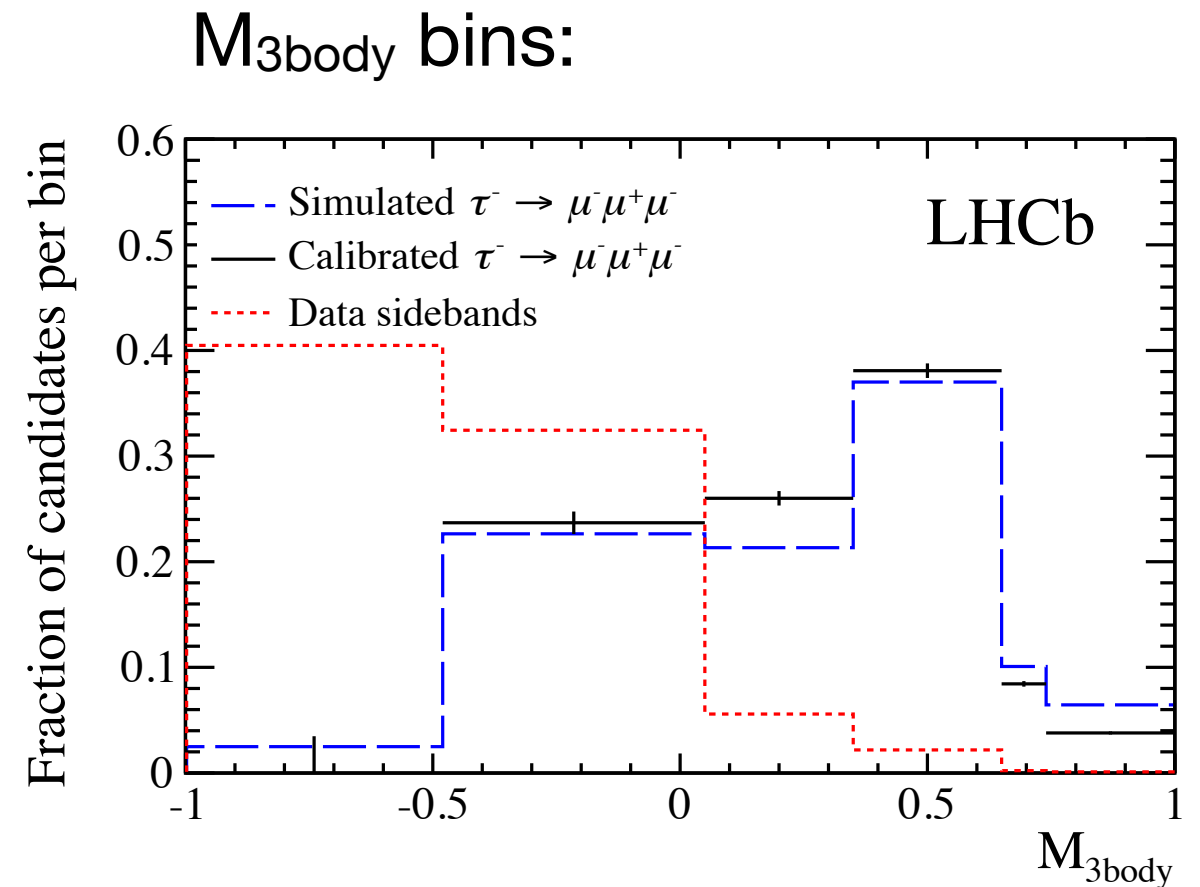
$$D_s^- \rightarrow \phi(\rightarrow \mu\mu)\pi^-$$

Data set:

- LHCb Run1 data set (3fb^{-1})

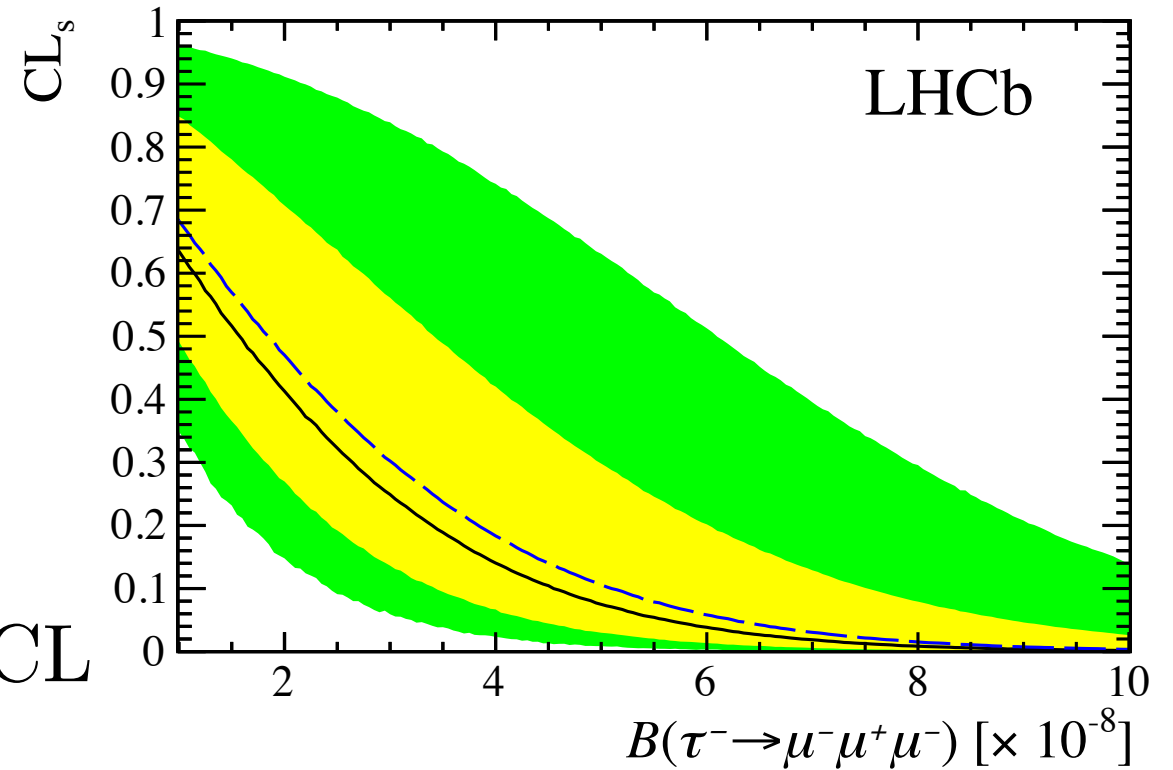
Analysis strategy:

- Cut based preselection
- Background discrimination:
 - MVA for 3-body topological decays
 - MVA for muon identification
 - τ invariant mass
- Simultaneous fit to invariant mass sidebands in bins of $M_{3\text{body}}$ and M_{PID}

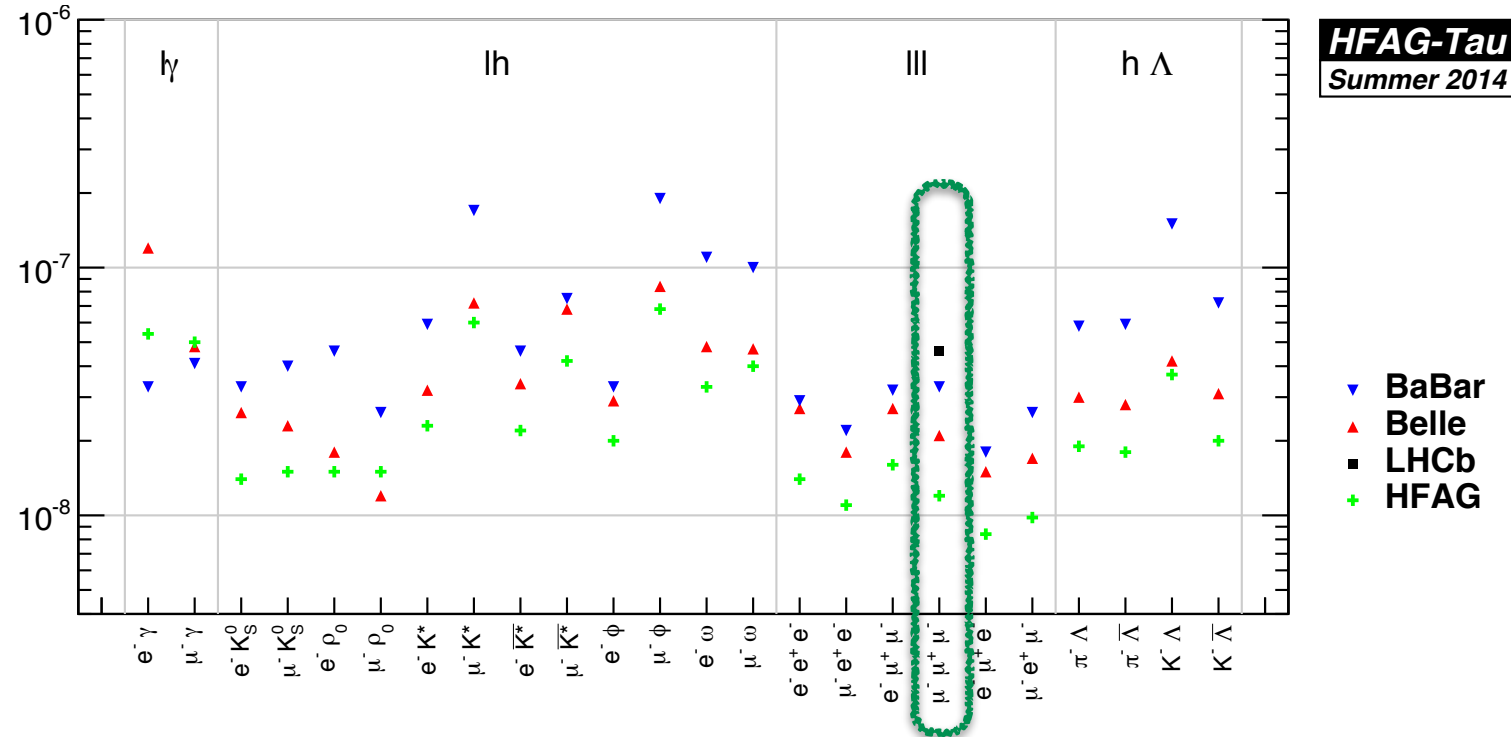


- No significant excess over the expected background observed
- CL_s method to extract the limit

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 4.6 \times 10^{-8} \text{ at } 90\% \text{ CL}$$



90% C.L. upper limits for LFV τ decays



HFAG-Tau
Summer 2014

Babar^[1]:

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 3.3 \times 10^{-8} \text{ at } 90\% \text{ CL}$$

Belle^[2]:

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 2.1 \times 10^{-8} \text{ at } 90\% \text{ CL}$$

Combined limit improves!

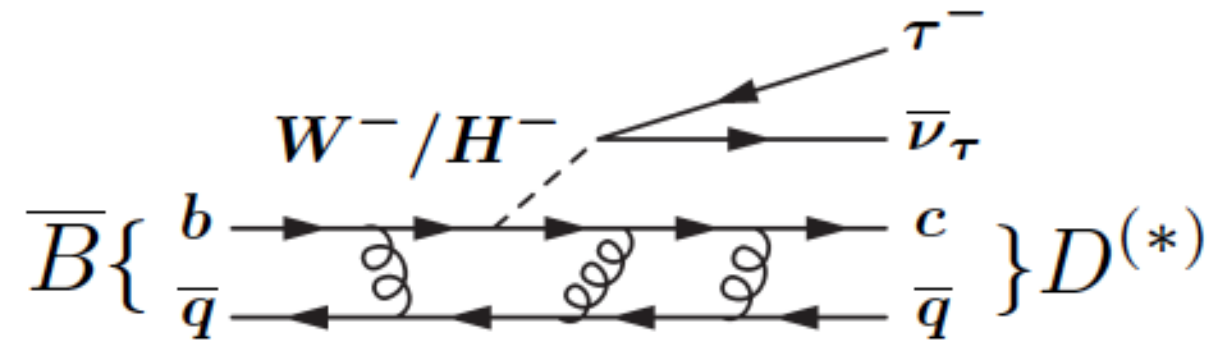
[1] BaBar collaboration, Phys. Rev. D 81 (2010) 111101

[2] K. Hayasaka et al., Phys. Lett. B 687 (2010) 139

$R(D^*)$

Objective: measurement of

$$R(D^*) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)}$$



- SM prediction very clean: 0.252 ± 0.003
- Many NP models foreseen enhanced coupling to the third generation (ex. additional charged Higgs)
- BaBar reported deviations from SM of 2.7σ and 2.0σ for $R(D^*)$ and $R(D)$

Challenges:

- 3 neutrinos, no narrow peaks to fit ($\bar{B}^0 \rightarrow D^{*+} \tau^- (\rightarrow \mu^- \nu_\tau \bar{\nu}_\mu) \bar{\nu}_\tau$)
- Main backgrounds: $B \rightarrow D^{**} \mu \nu$, $B \rightarrow D^* H_c X$ with $H_c \rightarrow \mu \nu_\mu X$

(analysis with $\tau^- \rightarrow 3 \pi$ in progress)

PRL 115,111883 (2015)

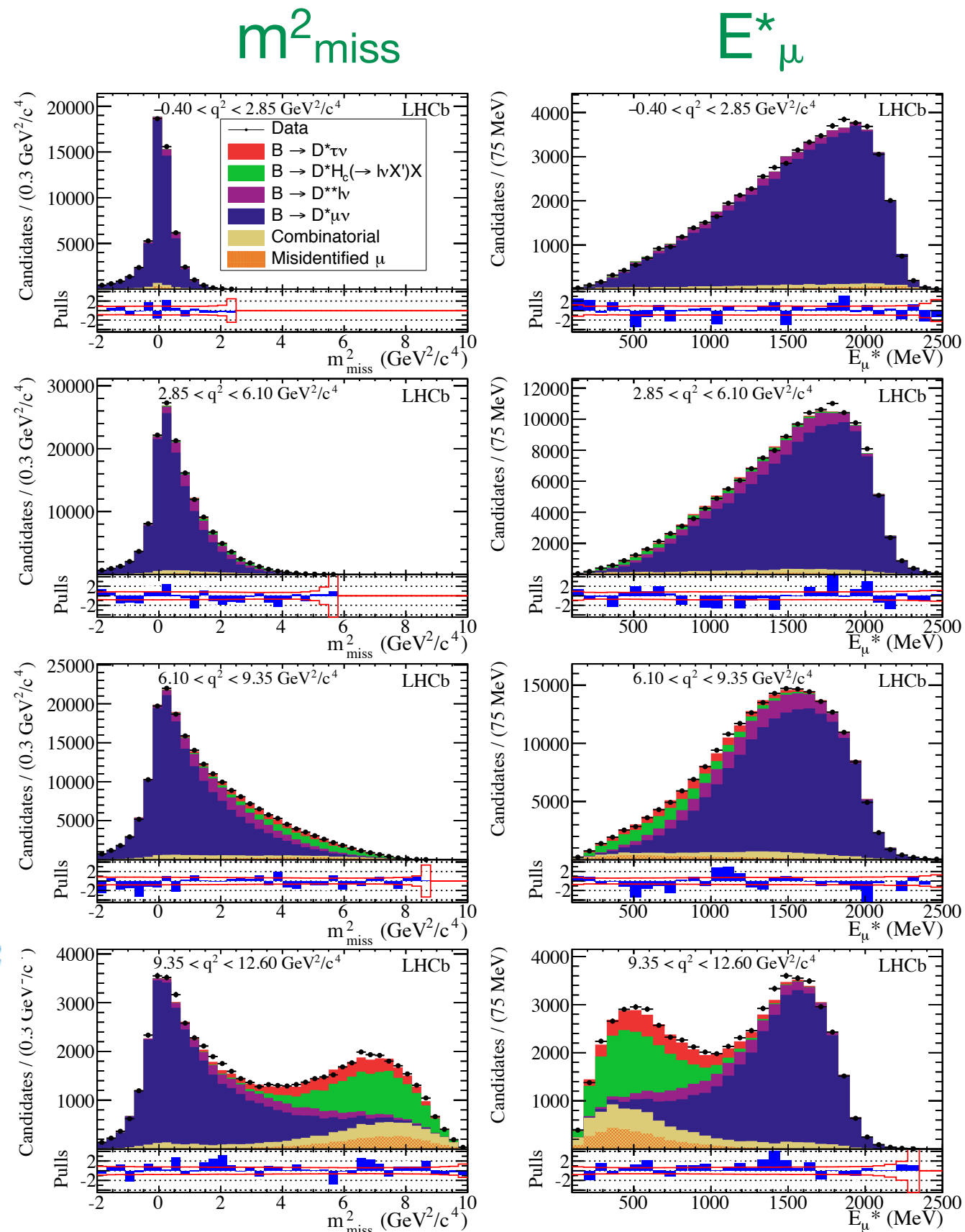
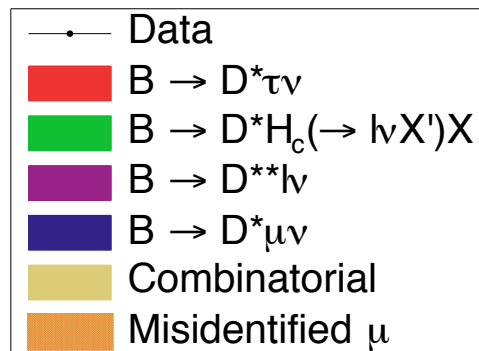
- Same selection procedure for the two channels: $D^{*+} \rightarrow D^0(\rightarrow K^-\pi^+)\pi^+$ + muon

- 3D simultaneous template fit to:

- $q^2 = |\mathbf{p}_B - \mathbf{p}_D|^2$
- E_μ^*
- $m_{\text{miss}}^2 = |\mathbf{p}_B - \mathbf{p}_D - \mathbf{p}_\mu|^2$

- All uncertainties on the template shapes incorporated in the fit

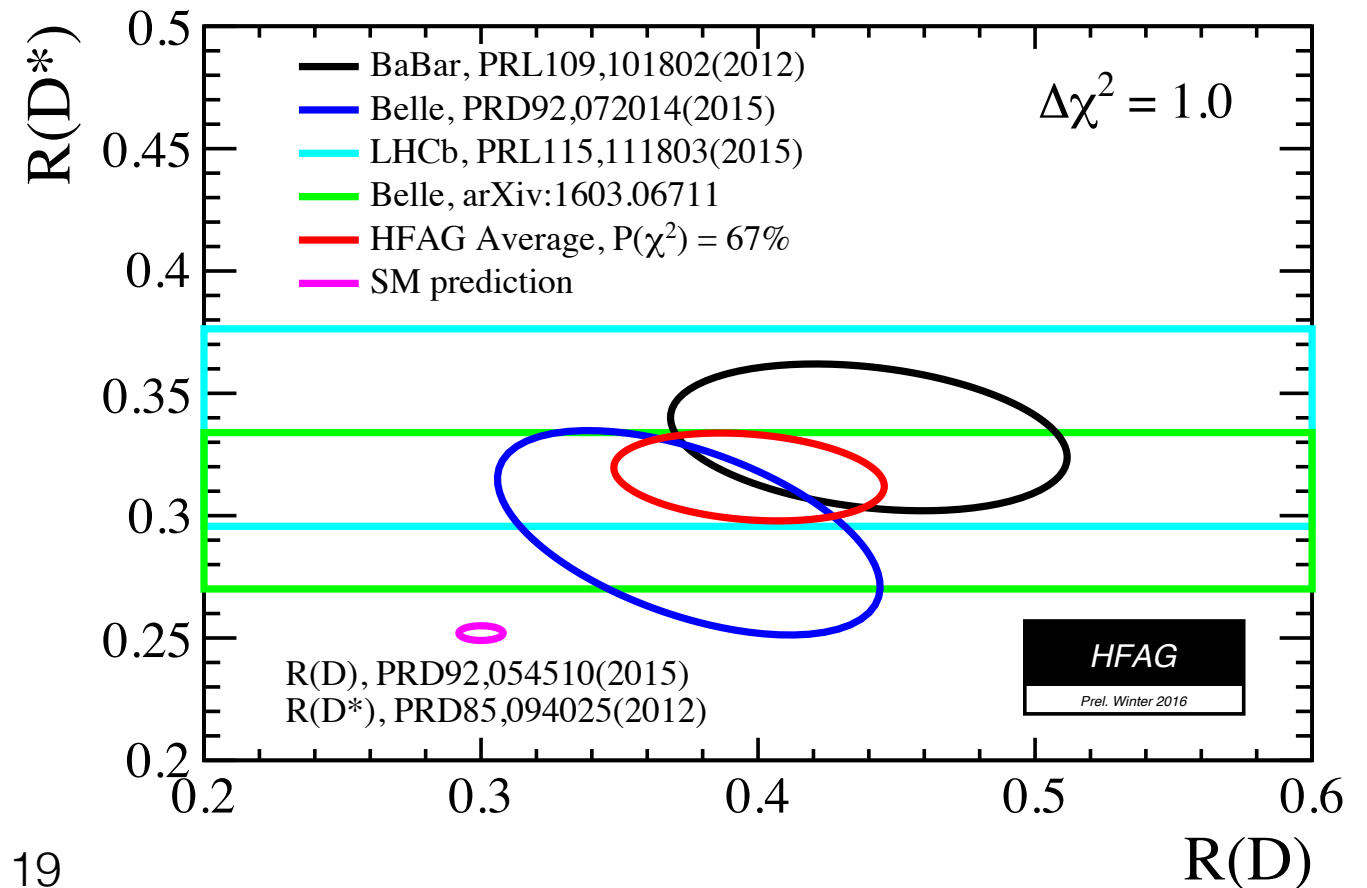
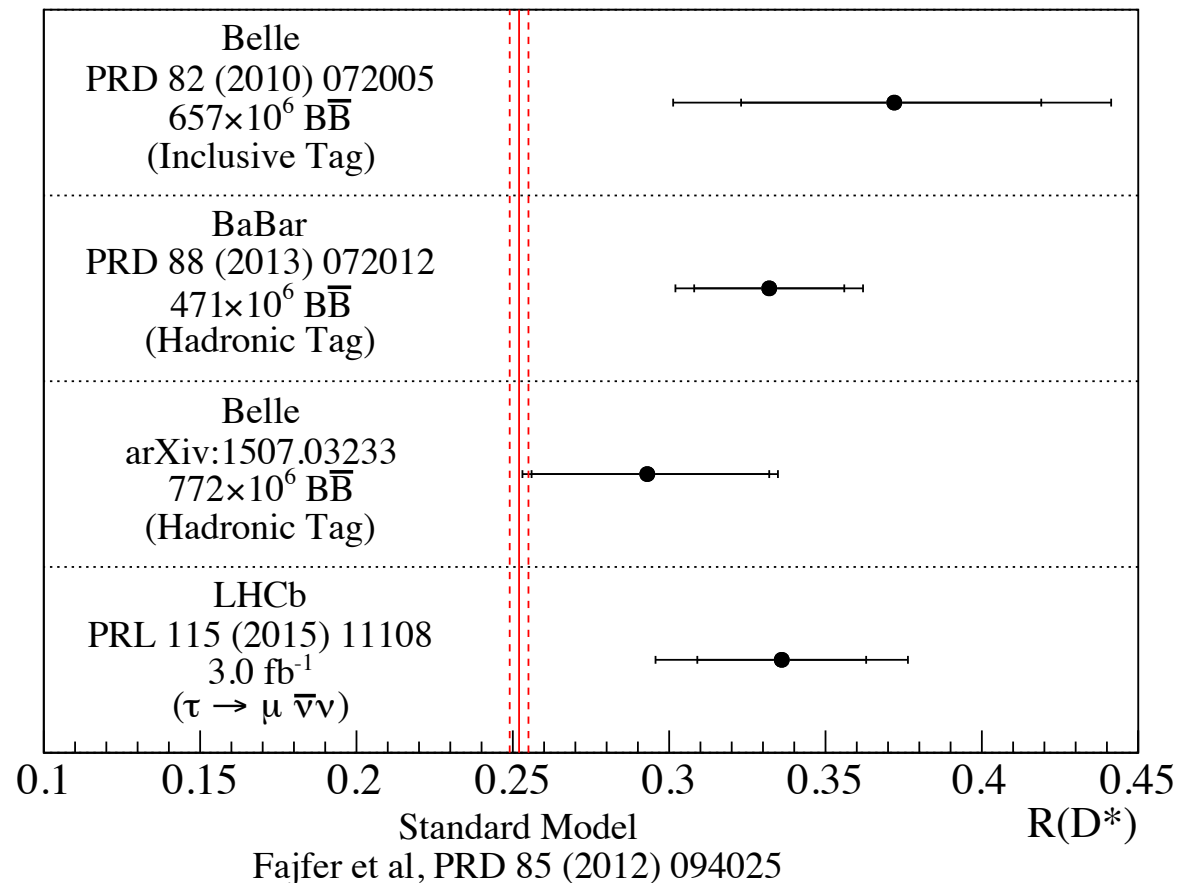
$$\frac{N(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{N(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)} = (4.54 \pm 0.46) \times 10^{-2}$$



$$R(D^*) = 0.336 \pm 0.027(\text{stat}) \pm 0.030(\text{syst})$$

- First measurement at an hadron collider
- 2.1σ larger than the SM expectation
- Good agreement with BaBar and Belle

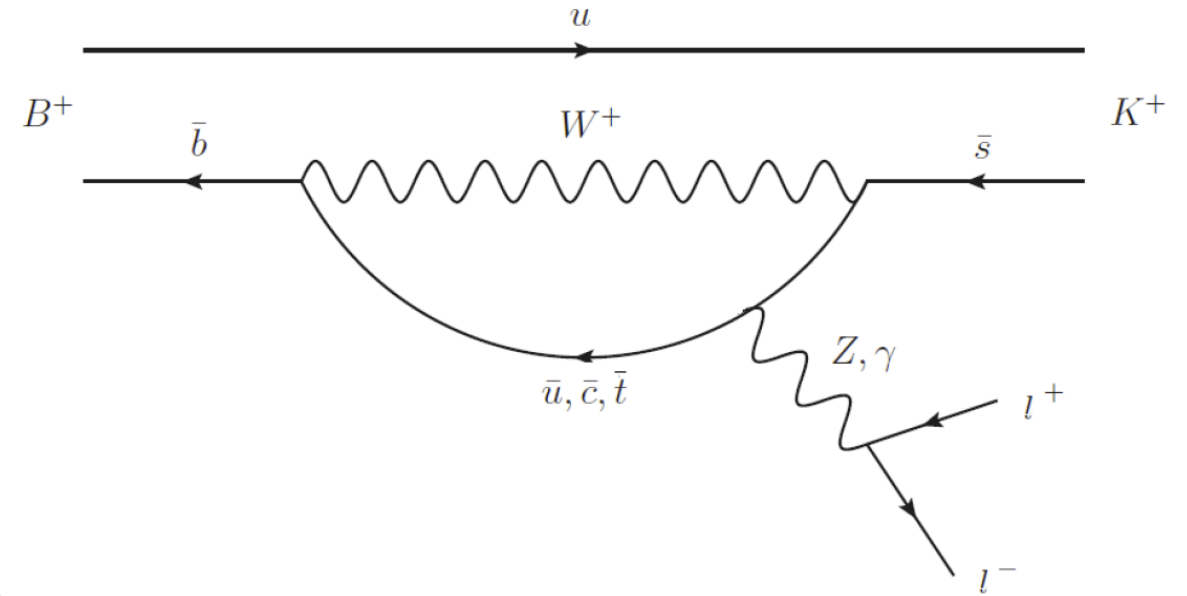
Current World Average
(including the last result by Belle) : 4.0σ discrepancy from SM



RK

Objective: measurement of

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}$$



- $b \rightarrow s$ flavour-changing neutral currents
- Expected to be 1 in the SM (theoretical uncertainty $O(10^{-3})$)
- Highly sensitive to NP (e.g neutral and heavy Z' boson)
- Run I dataset, $q^2[1-6] \text{ GeV}^2/c^4$

Measurement relative to $B \rightarrow J/\psi(l^+l^-)K$ to reduce systematic uncertainties

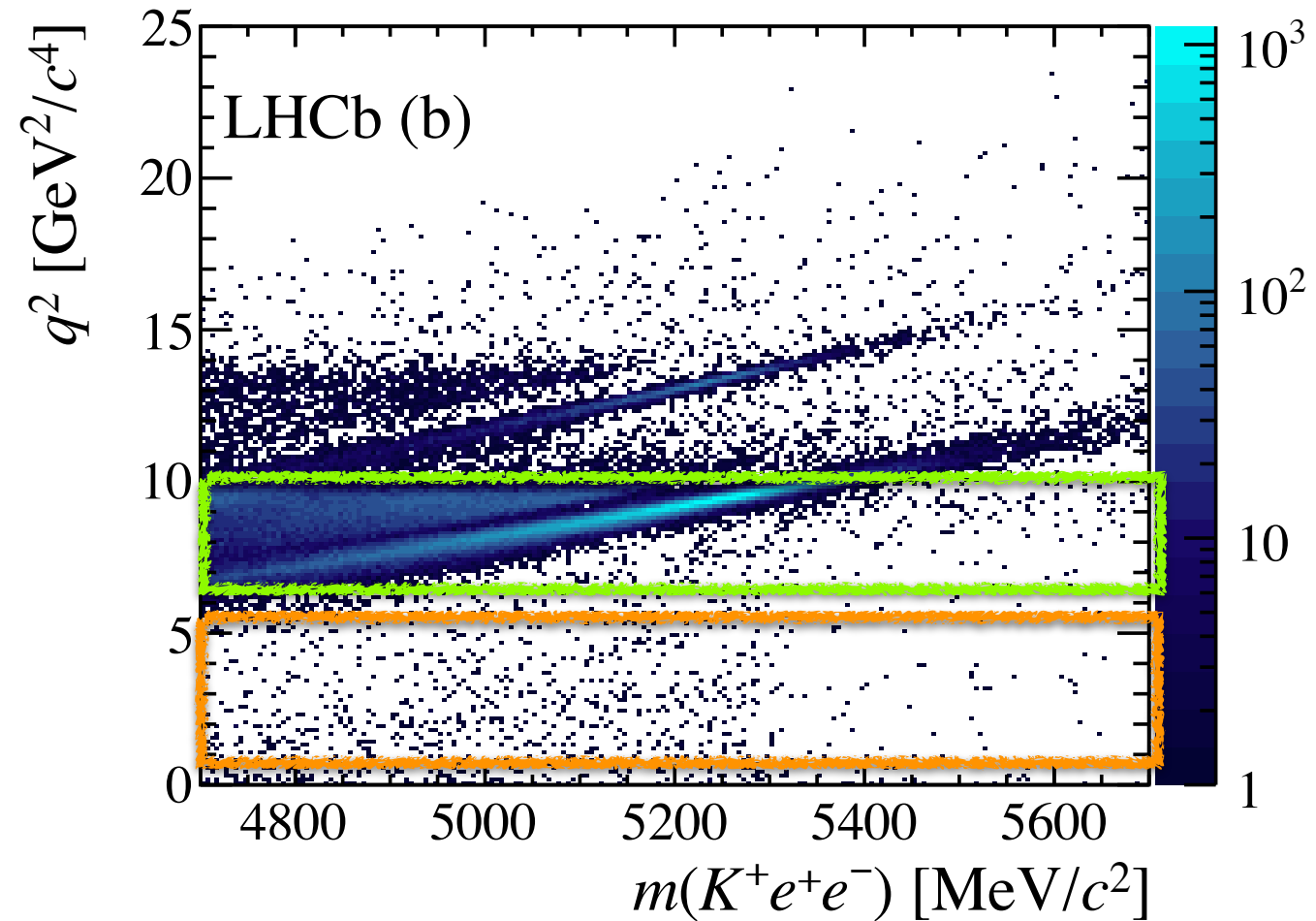
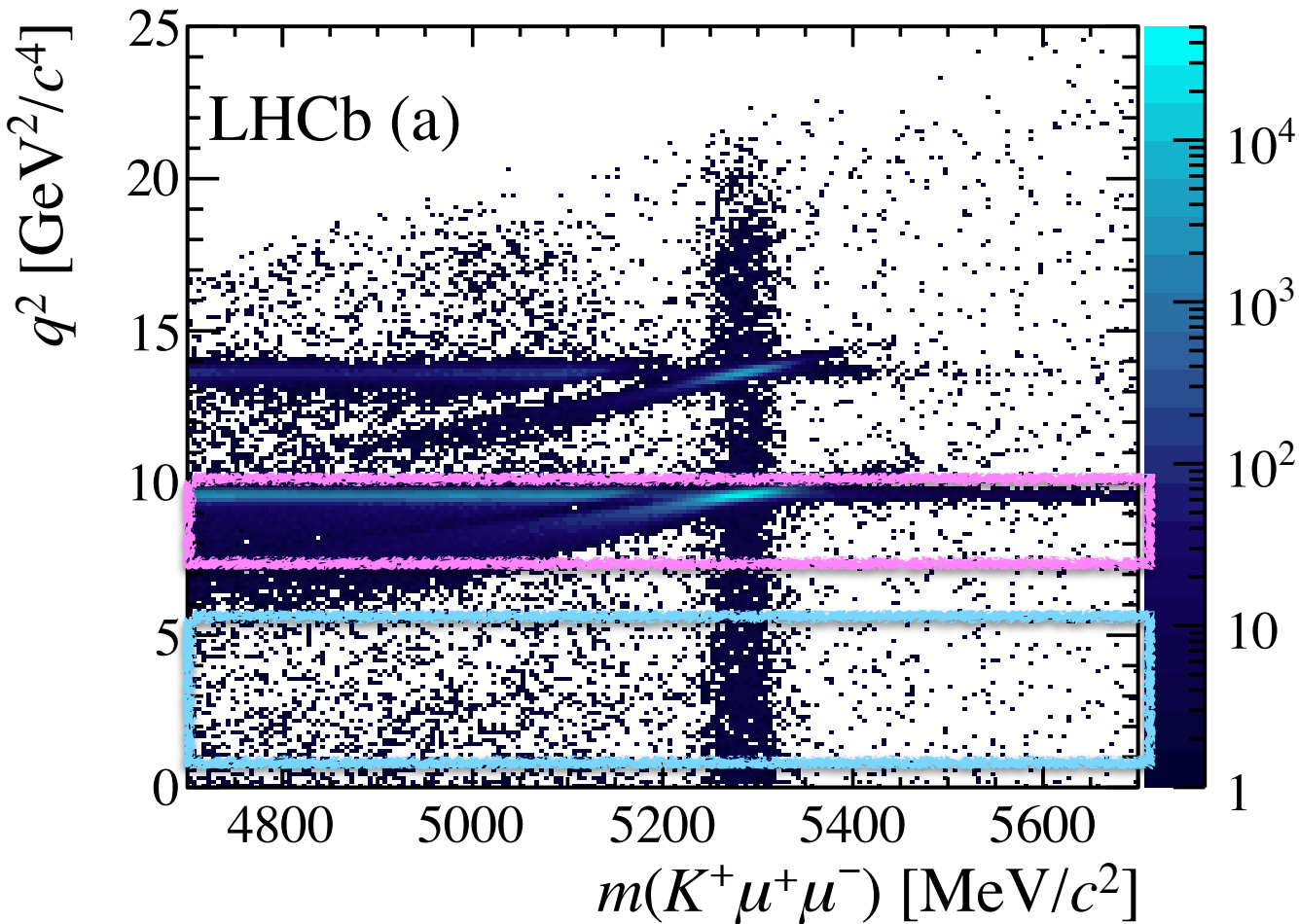
$$R_K = \left(\frac{N_{K\mu\mu}}{N_{Ke e}} \right) \left(\frac{N_{J/\psi(ee)K}}{N_{J/\psi(\mu\mu)K}} \right) \left(\frac{\epsilon_{Ke e}}{\epsilon_{K\mu\mu}} \right) \left(\frac{\epsilon_{J/\psi(ee)K}}{\epsilon_{J/\psi(\mu\mu)K}} \right)$$

$J/\psi(\mu^+\mu^-)K^+$

$\mu^+\mu^-K^+$

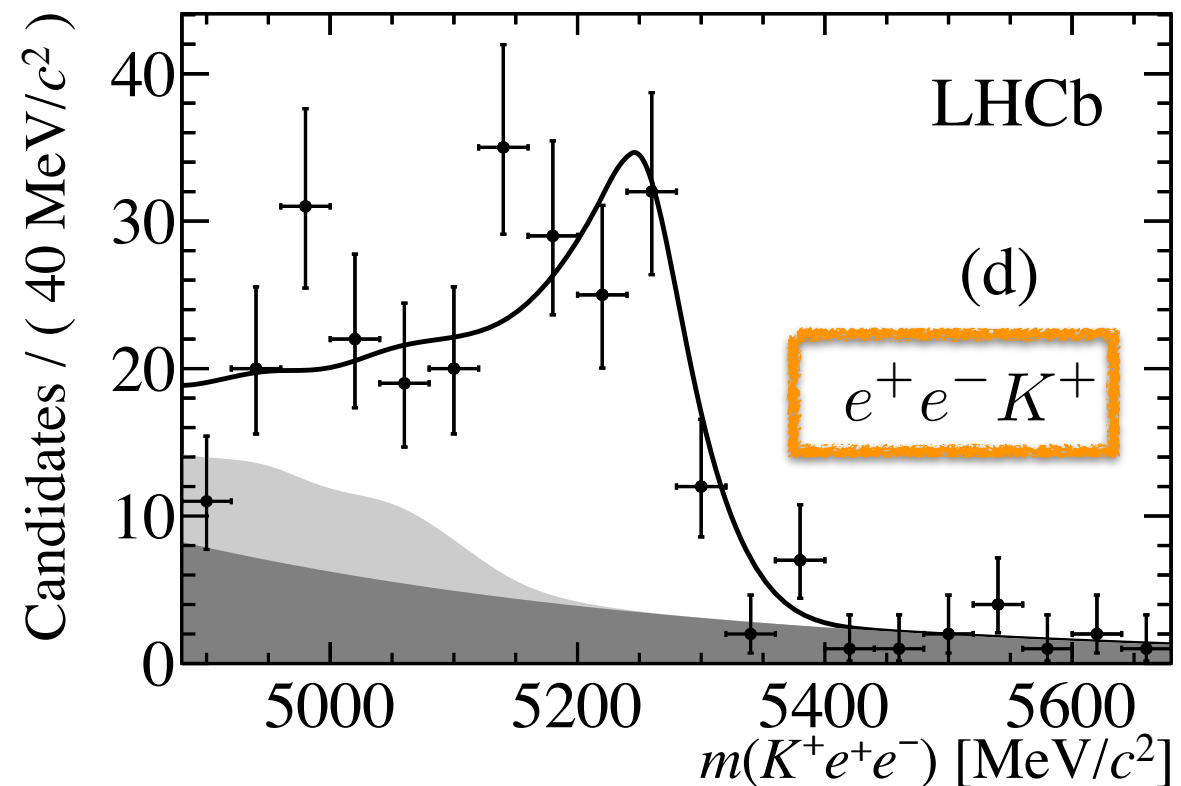
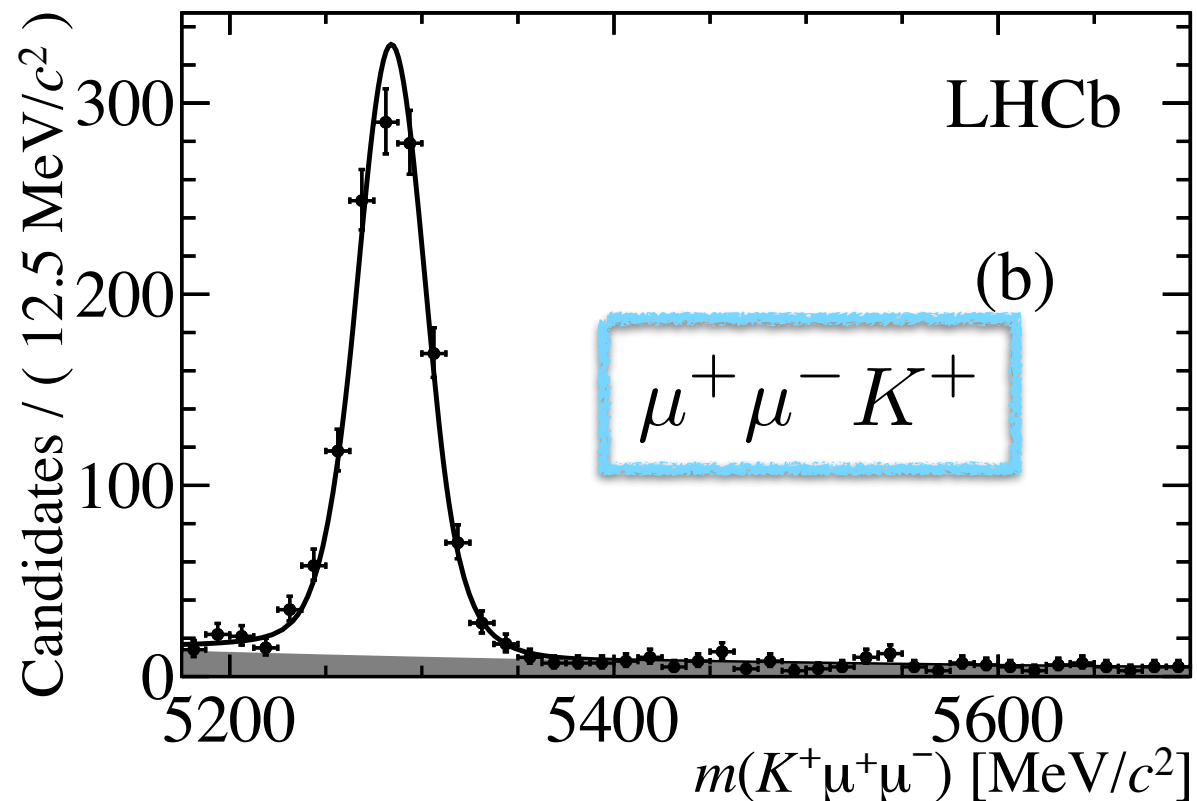
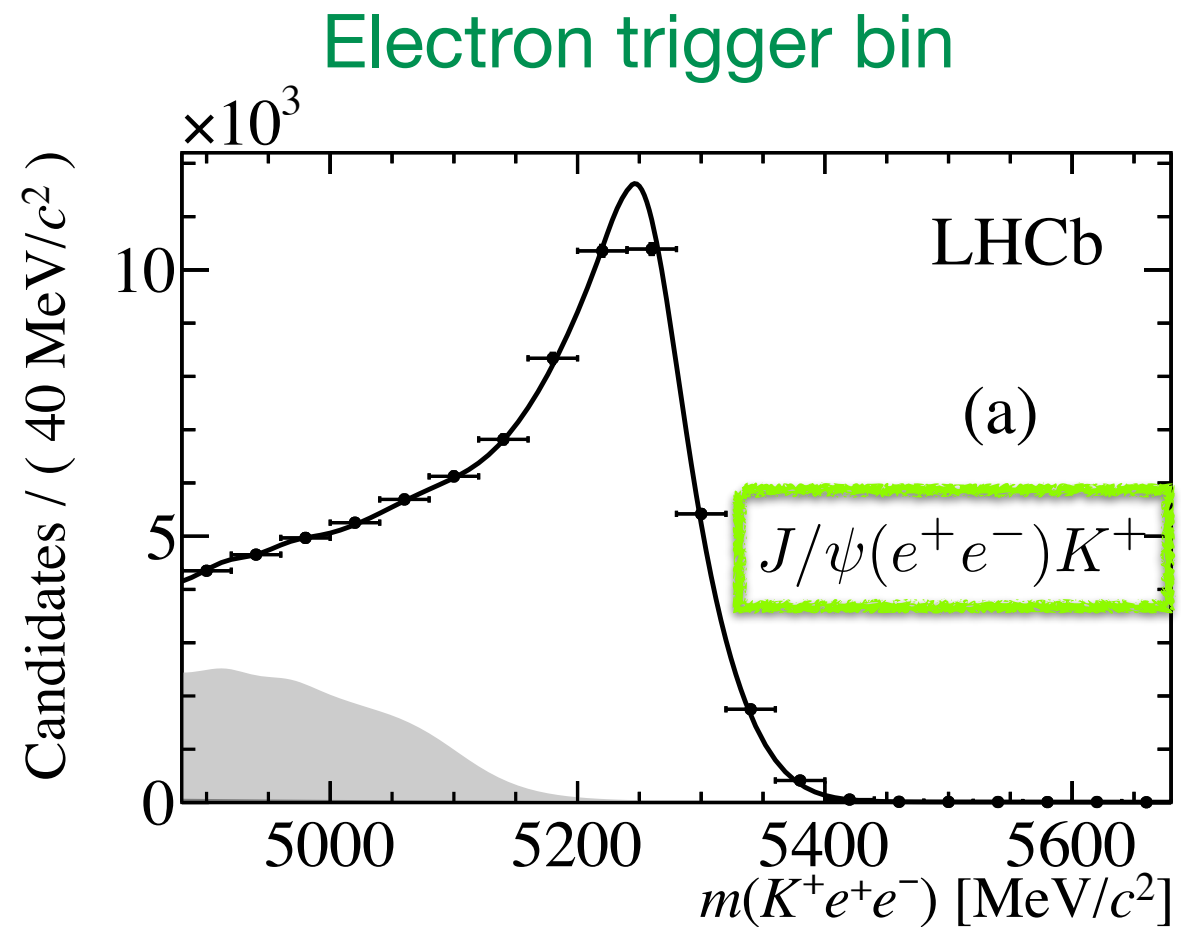
$J/\psi(e^+e^-)K^+$

$e^+e^-K^+$



- Diagonal bands due radiative tails
- J/ψ and $\psi(2s)$ peaks

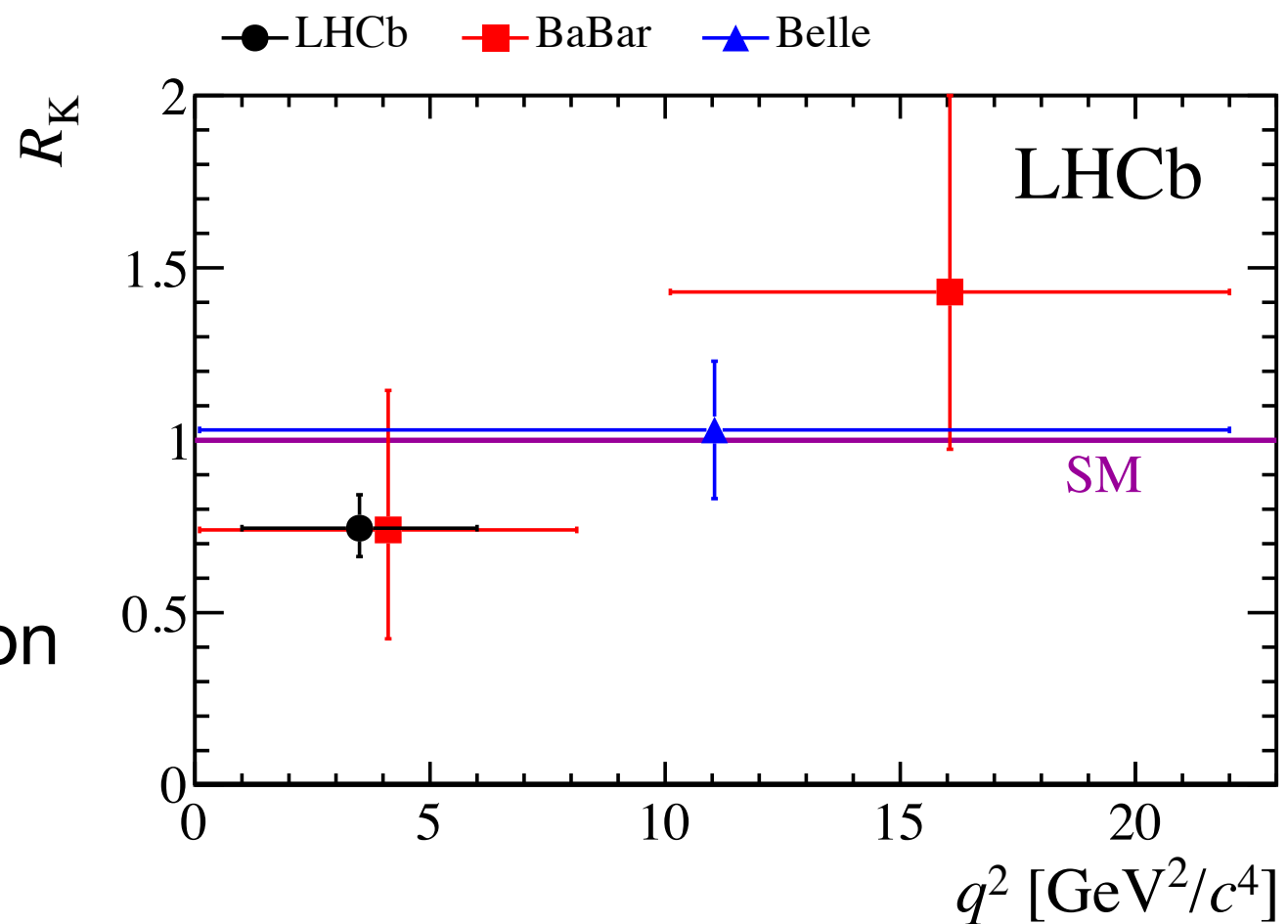
- $B \rightarrow (e^+e^-)K$ events split in three trigger categories
- Bremsstrahlung effects accounted for in the invariant mass shape definition
- Only one category for $B \rightarrow (\mu^+\mu^-)K$ events
- Dominant sources of uncertainties:
 - parametrisation of the $J/\psi (e^+e^-)K$ mass
 - trigger efficiencies estimation



From the combination of the different trigger categories:

$$R(K) = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{syst})$$

- most precise measurement
- compatible at 2.6σ with SM prediction



Best measurement of the $B \rightarrow e^+e^-K$ branching fraction (compatible with SM predictions):

$$\mathcal{B}(B^+ \rightarrow e^+e^-K^+) = 1.56_{-0.15}^{+0.19}(\text{stat})_{-0.05}^{+0.06}(\text{syst}) \times 10^{-7}$$

Looking forward to RUN II data analysis!

Conclusion

- Test of LU and LFV are crucial for New Physics searches
- LHCb demonstrate to play a crucial role for such studies
 - Best UL for $B^0_{(s)} \rightarrow e^\pm \mu^\mp$ set
 - Best UL for $D^0 \rightarrow e^\pm \mu^\mp$ set
 - Most precise measurement of $R(D^*)$ and R_K
- Many other analysis currently ongoing in LHCb

Looking forward to new results and to analysis
with LHC RUN II data!!