

# Evolutionary Algorithm for Particle Trajectory Reconstruction

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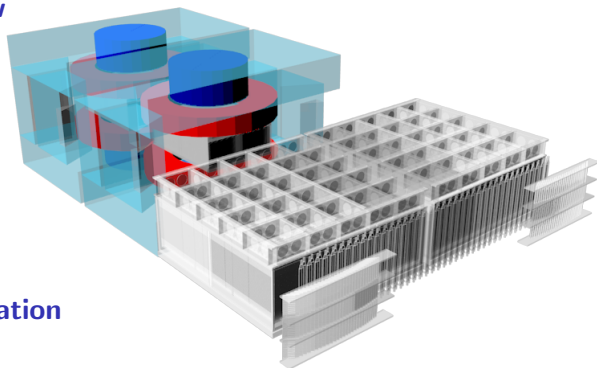
## 2 Models

- Track model
- Background model

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# Algorithm Overview

# Model - what is it?

Natural fern ?

or

Barnsley fern ?

$$f_1(x, y) = \begin{bmatrix} 0.00 & 0.00 \\ 0.00 & 0.16 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix},$$

$$f_2(x, y) = \begin{bmatrix} 0.85 & 0.04 \\ -0.04 & 0.85 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} 0.00 \\ 1.60 \end{bmatrix},$$

$$f_3(x, y) = \begin{bmatrix} 0.20 & -0.26 \\ 0.23 & 0.22 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} 0.00 \\ 1.60 \end{bmatrix},$$

$$f_4(x, y) = \begin{bmatrix} -0.15 & 0.28 \\ 0.26 & 0.24 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} 0.00 \\ 0.44 \end{bmatrix},$$

$$P(f_1) = 1\%, P(f_2) = 85\%, P(f_3) = 7\%, P(f_4) = 7\%$$

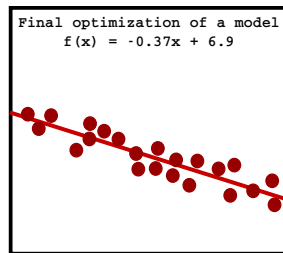
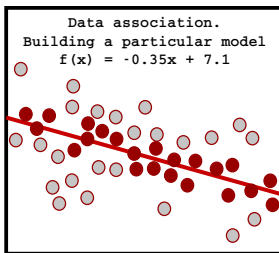
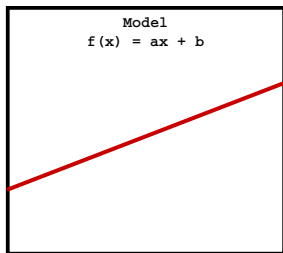


# Pattern Recognition

Pattern Recognition

=

Model + Data Association + Optimization



# The concept

The general idea of the evolutionary trajectory reconstruction:

- Generative model of trajectory
- Discriminative model of background
- Combinatorial search (Part of data association)
- Continuous optimization using evolutionary strategy

# Models

# Generative model (Trajectory)

$$\overbrace{P(\hat{\Theta} | \mathcal{S}(\mathbf{c}))}^{\text{Posterior probability}} \propto \underbrace{P(\hat{\Theta})}_{\text{Prior probability}} \overbrace{P(\mathcal{S}(\mathbf{c}) | \hat{\Theta})}^{\text{Likelihood}} \quad (1)$$

where:

$\mathbf{c} = (x, y, z, s_1, \dots, s_n)$  stands for a cluster with center of gravity located at  $(x, y, z)$  along with charge deposition ADC signals  $(s_1, \dots, s_n)$  in the cluster. The symbol  $\hat{\Theta}$  denotes an estimation for a track parameter vector  $\Theta = p \oplus o$ , where  $p = (p_x, p_y, p_z)$  denotes the particle momentum vector at a starting point  $o = (x, y, z)$  and  $\oplus$  denotes concatenation operator ( $\dim(\Theta) = \dim(p) + \dim(o)$ ). The operator  $\mathcal{S} : T \cup C \rightarrow \mathbb{R}^3$  produces a three dimensional Euclidean space vector

$$\mathcal{S}(\xi) = (\xi_x, \xi_y, \xi_z) \quad (2)$$

where  $C$  and  $T$  are a cluster and a track parameter vector space respectively.



# Likelihood (Trajectory)

The likelihood that a point  $\mathbf{x}$  belongs to the track with parameters  $\Theta$  is defined as follows:

$$p(\mathcal{S}(\mathbf{c})|\Theta) = p(\mathcal{S}(\mathbf{c})|\bar{\Theta}, \Sigma) \sim \mathcal{N}(\bar{\Theta}, \Sigma)$$

where:

- "  $\sim$  " denotes equality in distribution
- $\bar{\Theta}$  represents  $\Theta$  track parameters extrapolated to  $\mathbf{c}_z$  position
- $\Sigma$  is a covariance matrix (typically diagonal)

# Discriminative Model (Background)

$$p(Bg|\mathbf{x}) = H_{Background}(\max F_{ADC}(\mathbf{x}))$$

Background clusters

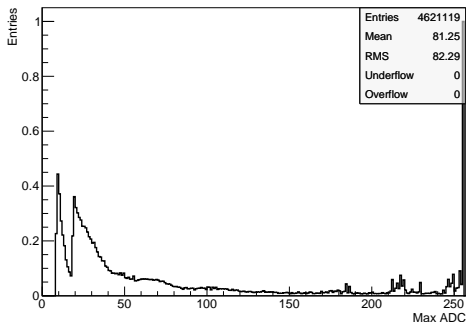
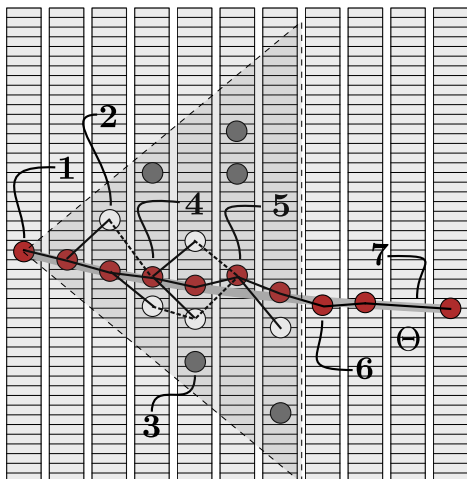


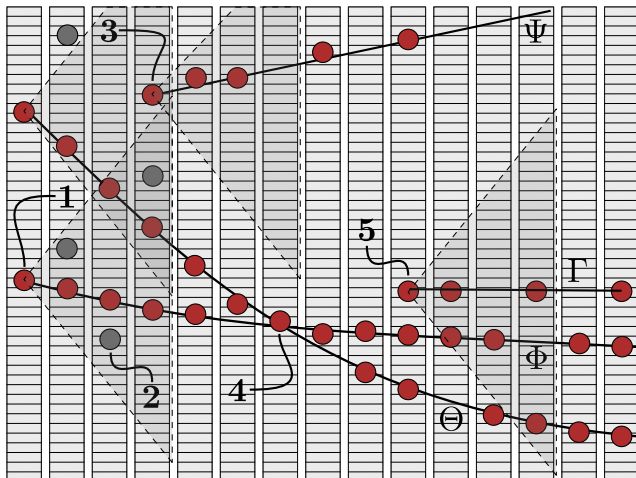
Figure: Histogram  $H_{Background} = H_{All} - H_{Tracks}$

# Data Association

Probability is calculated for the path from leaf to the tree root.

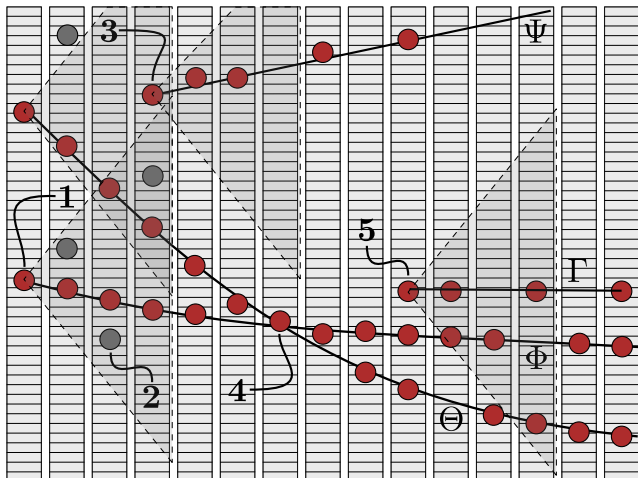


- 1 Tree root
- 2  $P(Bg|c) < P(\theta|c)$   
but isn't the most probable one.  
It will become a new seed.
- 3  $P(Bg|c) > P(\theta|c)$   
classified as the background.  
It will become a new seed.
- 4 Good track cluster. It has three potential children.
- 5 Looks for the most probable parent.
- 6 The track is formed. Cluster is attached to the track. White parent clusters are ignored.
- 7 The track is growing.



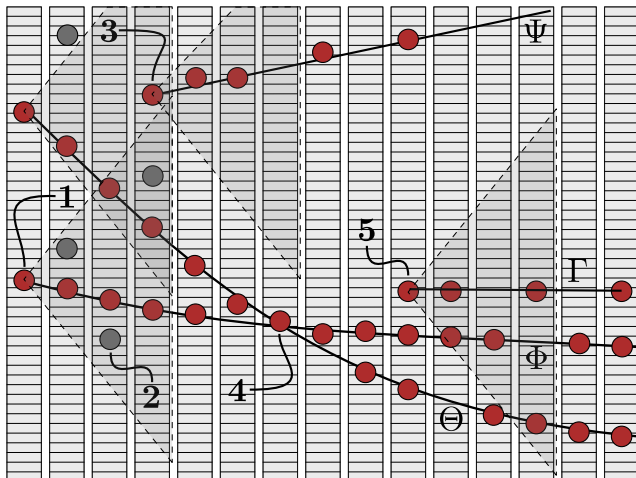
### Situation 1:

No candidates, create a new seed. The seed becomes the track  $\Phi$ , because it meets suitable clusters.



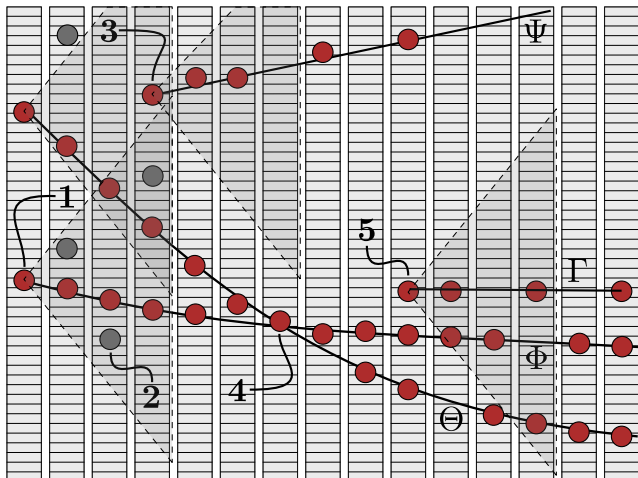
## Situation 2:

$P(Bg|\mathbf{c}) > P(\Phi|\mathbf{c})$   
 so the new seed is created. However it isn't converted to the track candidate. The seed becomes a noise.



### Situation 3:

$P(Bg|c) > P(\theta|c)$   
 No suitable candidate,  
 create a new seed.  
 The seed becomes the  
 track  $\Psi$ .



### Situation 4:

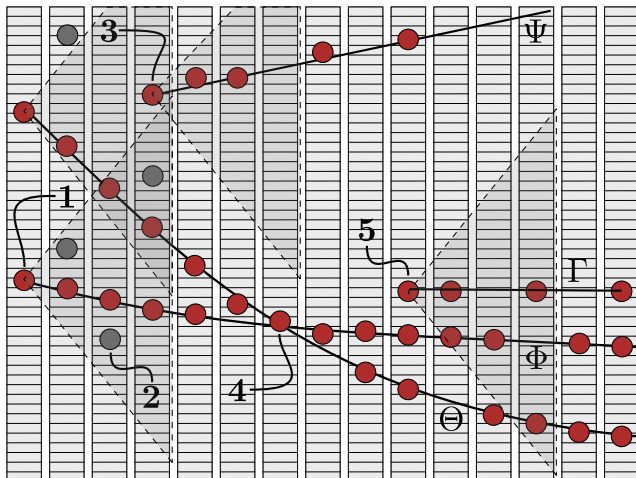
$$P(\Phi|\mathbf{c}) > P(Bg|\mathbf{c})$$

and

$$P(\Theta|\mathbf{c}) > P(\Phi|\mathbf{c})$$

so the cluster is attached to the track  $\Theta$ . The parameter  $\Theta$  is optimized using the new cluster.





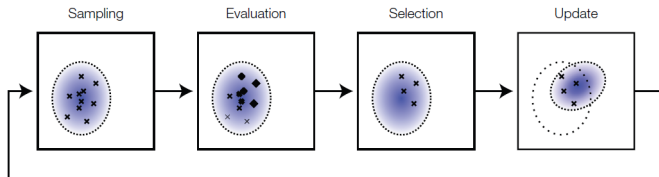
### Situation 5:

$P(Bg|\mathbf{c}) > P(\Phi|\mathbf{c})$   
 no suitable candidate,  
 create a new seed.  
 The seed becomes the  
 track  $\Gamma$ .

# Parameter Optimization

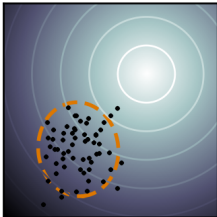
# Covariance Matrix Adaptation Evolution Strategy

The CMA-ES (Covariance Matrix Adaptation Evolution Strategy) is an evolutionary algorithm for difficult non-linear non-convex black-box optimisation problems in continuous domain.

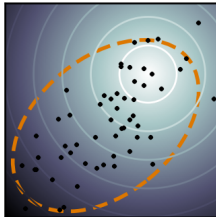


Optimization of two parameters, e.g. linear function.

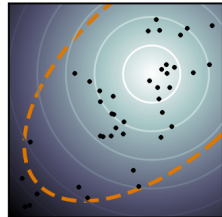
Generation 1



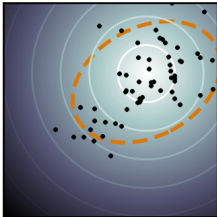
Generation 2



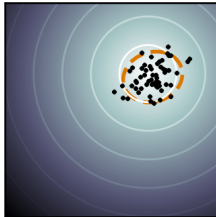
Generation 3



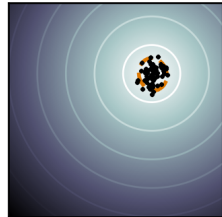
Generation 4



Generation 5



Generation 6



Error/Fitness/Cost function is shown as a gradient.

## Further reading

N. Hansen and A. Ostermeier.

*Adapting arbitrary normal mutation distributions in evolution strategies: The covariance matrix adaptation.*

In Proceedings of the 1996 IEEE International Conference on Evolutionary Computation, pages 312317. IEEE, 1996.

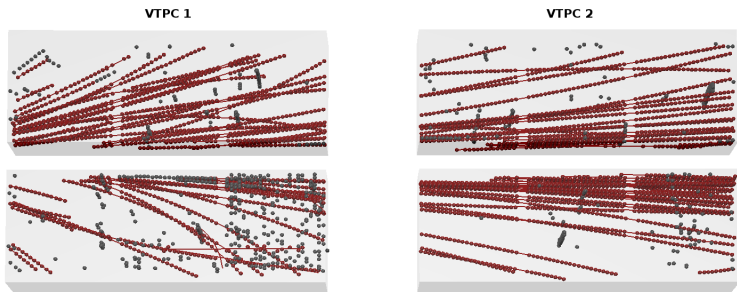
N. Hansen and A. Ostermeier.

*Completely derandomized self-adaptation in evolution strategies.* Evolutionary Computation, 9(2):159195, 2001.

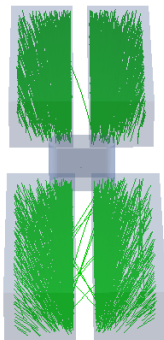
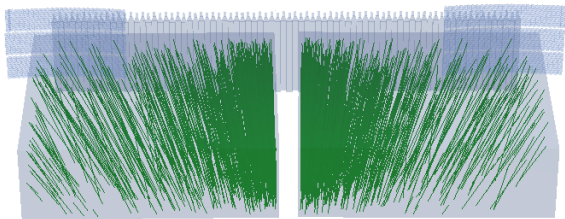
# Results

## Thanks to Courtesy of the NA61/SHINE collaboration

The efficiency and fake track rate were calculated using simulated events with superimpose real detector noise.



Medium multiplicity:  $\frac{dn}{dy} = 17.2$

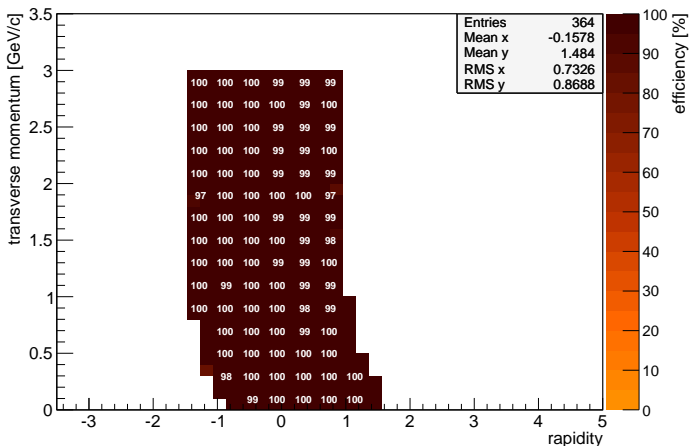


Medium multiplicity:  $\frac{dn}{dy} = 423.1$



## Results

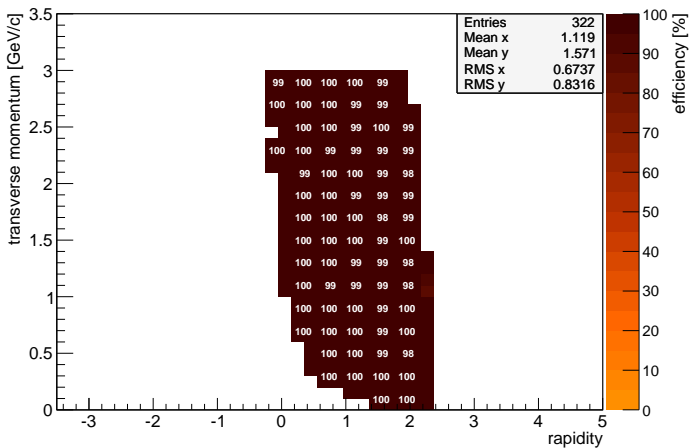
## VTPC1 efficiency (High multiplicity)



High multiplicity:  $\frac{dn}{dy} = 423.1$

## Results

## VTPC2 efficiency (High multiplicity)



High multiplicity:  $\frac{dn}{dy} = 423.1$

# More details

More details about the method can be found in:

O. Wyszynski.

*Evolutionary Algorithm for Particle Trajectory  
Reconstruction within Inhomogeneous Magnetic Field  
in the NA61/SHINE Experiment at CERN SPS.*

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