

# Higher derivative couplings in supergravity

Gabriele Tartaglino-Mazzucchelli

ITF KU Leuven, Leuven

IUAP meeting

Antwerp, 18 December 2015

# Introduction: AIM

Classify **supergravity-matter couplings in  $D \leq 6$**  space-time dimensions to  
construct general **higher-derivatives supergravity couplings**

# Introduction: Ingredients

- Supersymmetry (SUSY)
- Supergravity (SUGRA)
- higher-derivatives couplings

focus on motivations and some recent 5D results in this talk

# Why SUSY/SUGRA?

- Supersymmetry is the **only symmetry** consistent with special relativity **combining fermions and bosons**, unifying particles of matter with the mediators of forces!
- If you lift **SUSY to a local**, gauge, spacetime symmetry  $\Rightarrow$  **Supergravity**: naturally unify matter with gravity under a simple symmetry principle.

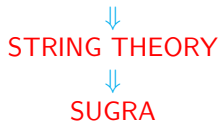
# Why SUSY/SUGRA?

Phenomenologically, **SUSY**  $\implies$  some of the best proposals for solving many open problems of fundamental physics:

- At the quantum level, renormalisable supersymmetric models possess **improved ultraviolet behaviour** (cancellation of fermionic and bosonic loops)
- **SUSY** extensions of the **standard model**: no quadratic corrections to the Higgs mass; unification of running coupling constants
- low energy SUSY help solving the SM hierarchy problem. (why Electro-Weak scale so low compared to Plank scale)
- **SUSY helps solving the instability of the SM vacuum**
- Naturally contain dark matter candidates

# Why SUSY/SUGRA? String Theory

We ultimately want to find a consistent theory of **QUANTUM GRAVITY!**



- **Best framework to quantise gravity: STRING THEORY (ST)**  
dynamics of fundamental strings instead of point particles
- Consistency of String Theory **imply Supersymmetry**
- **Supergravity is low-energy effective theory of string theory.**

# SUSY, solvability and mathematical physics

SUSY theories are **often easier to solve** than non supersymmetric ones.

⇒ large **mathematical physics** framework for analytic results and guidance in understanding more realistic theories. Examples:

- insight into the **strong coupling dynamics** and the mechanism of **confinement** in gauge theories (4D  $\mathcal{N} = 2$  SUSY and Seiberg-Witten theory): algebraic geometry related to confinement and instantons.

Also Direct connection of **SUSY sigma-models and complex geometry**:

- 4 supercharges ⇒ Kähler geometry;
- 8 supercharges ⇒ hyper-Kähler geometry;
- 2D sigma-models ⇒ Generalized-Complex-Geometry.

important for pure mathematics and string compactifications

# SUSY, solvability: Localization

Last few years: **SUSY theories on curved backgrounds**

Reason: **localize** the infinite dimensional path integral of various observables in QFT (Wilson loops, Partition Functions...) to finite dimensional *treatable* integrals once it is possible to:

- 1) **map computation to a curved background (typical for CFT);**
- 2) **There is preserved off-shell SUSY on such backgrounds.**

off-shell: symmetry that closes without using E.O.M.



computational techniques to find plethora of  
**new non-perturbative results in SUSY QFT!**

- renewed interest in constructing off-shell SUSY theories on **curved backgrounds in  $2 \leq D \leq 6$ .**
- Classification problem for SUSY backgrounds of **off-shell sugra.**



# SUSY/SUGRA and AdS/CFT

Of great importance in last two decades  
maximally supersymmetric 4D  $\mathcal{N} = 4$  Yang-Mills theory:

- first example of a **finite** 4D conformal field theory (**CFT**)
- predominant role in **string theory** once the **AdS/CFT** conjecture formulated in 1997
- AdS/CFT relate dynamics of **gravity**, or string theory, on a maximally symmetric **anti de Sitter** background in **D+1** dimensions to the dynamics of a **D-dimensional CFT** on the boundary.
- Original conjecture: **4D  $\mathcal{N} = 4$  SYM  $\leftrightarrow$  Type IIB SUGRA/strings in  $AdS_5 \times S^5$  background**

AdS/CFT became laboratory used to shed new light on:

- the quantum behavior of black holes;
- the confinement of gauge theories;
- the dynamics of the quark-gluon plasma;
- strongly-coupled condensed matter.

# SUGRA, Strings and higher-derivatives

Supergravity is an **extension of Einstein GR through Rarita-Schwinger (for spin-3/2 gravitini)**, described by the Lagrangian

$$L_{SG} = L_{EH} + L_{gravitini} + \dots, \quad L_{EH} \propto \mathcal{R}, \quad L_{gravitini} \propto \bar{\Psi}_\mu \gamma^{\mu\nu\rho} \mathcal{D}_\nu \Psi_\rho$$

**String theory effective action: Einstein GR modified** by an **infinite** series of **higher derivative** terms in the Riemann tensor (which might strongly affect low energy physics, see cosmology as example)

$$L_{string}^{low} = L_{SG} + \sum [\mathcal{D}^p \mathcal{R}^q]$$

- SUSY extension of higher derivatives terms is poorly understood but
- crucial for low energy string theory
- needed for **precision tests of AdS/CFT**: crucial for computing higher-order corrections to black-hole entropy within AdS/CFT
- even the simple  $\mathcal{R}^2$  case is not fully understood in general

# higher-derivatives?

Even before String Theory became predominant, higher derivatives gravity attracted attention for over 50 years:

- **renormalization of QFT in curved spacetime** requires counterterms containing  $\mathcal{R}^2$  [Utiyama & DeWitt (62)]
- **Renormalizable** (not unitary)  $\alpha(\mathcal{W}_{abcd})^2 + \beta(\mathcal{R}_{ab})^2 + \gamma\mathcal{R}^2$ , [Stelle (77)]
- **$\mathcal{R} + \mathcal{R}^2$  Starobinsky** model of inflation [Starobinsky (80)]
- Counterterms for UV divergencies in SUGRA  
(see open debate on finiteness in  $\mathcal{N} = 8$  sugra)

Interestingly, SUGRA models, like no-scale SUGRA and SUSY extensions of the Starobinsky model, are solid inflationary candidates for CMB data.

$\mathcal{R} + \mathcal{R}^2$  SUGRA related to remarkable “**Pure de Sitter supergravity**”, constructed for the first time this year: unifies **susy breaking and positive cosmological constant** [Bergshoeff, Freedman, Kallosh, Van Proeyen (2015)]

# higher-derivatives? 3D Massive (super)gravity

In **3D** space-time dimensions it is even possible to construct a **unitary higher-derivative theory of (massive) gravity**, **New-Massive-Gravity (NMG)**, [\[Bergshoeff-Hohm-Townsend \('09\)\]](#) based on

$$\simeq \Lambda + \mathcal{R} + \varepsilon^{abc} \omega_a \mathcal{R}_{ab} + \mathcal{R}^2 + (\mathcal{R}_{ab})^2$$

$\Lambda$  cosmological constant and  $\varepsilon^{abc} \omega_a \mathcal{R}_{ab}$  action for conformal gravity



**toy-model for quantum gravity** with finite higher-derivatives series.

Relevant in studying AdS/CFT, black holes microstates...

# how higher-derivatives SUGRA?

Once convinced about the interest towards higher derivative supergravity the question is: **how to classify higher-derivatives SUGRA?**

Best approach would be to possess a formalism that guarantees manifest supersymmetry in a model independent way similar to how tensor calculus in special relativity and electromagnetism or Einstein General Relativity, is fully covariant for global and local Poincaré.



An **off-shell** approach to **SUGRA**, when available, can be used to **generate general couplings of supergravity and matter**.

Two possibility on the market:

- **superconformal tensor calculus** [de Wit, Van Holten, Van Proeyen, ...]  
See “Supergravity” book by [Freedman & Van Proeyen]
- **superspace approaches**  
See [Gates, Grisar, Roček, Siegel (83)], [Buchbinder, Kuzenko (98)]

# how off-shell SUGRA?

Let us here focus on **superspace approaches** which powerfully work to:

- Provides general and manifestly **supersymmetric action principles** and even cohomological “superform” techniques to construct and classify SUSY invariants.
- Describe general **off-shell supermultiplets with finitely or infinitely many component fields**.
- A formalism to generate hyper-Kähler and quaternionic Kähler metrics (see relation with complex geometry)
- Systematic approach to **higher derivative actions**.

As examples let's review some results for 5D SUGRA

(has various features relevant for various dimensions).

GTM collaboration: D. Butter, S. Kuzenko, U. Lindström, J. Novak, ...

interlude on:

# Supersymmetry and Superspace

# Supersymmetry (SUSY)

- is a continuous symmetry between particles generated by **fermionic spinorial** charges  $Q$  that anticommute on the momentum  $P$

$$\{Q, Q\} \simeq P_a$$

- As supersymmetry extend the Poincaré group to a **supergroup** with the fermionic charges  $Q$
- Superspace extend the Minkowski space-time to a supermanifold with **extra fermionic** Grassmannian coordinates  $\theta$

$$\{\theta, \theta\} = [x, \theta] = 0$$

- – Minkowski space-time:  $\{x\} = \text{Poincaré}/\text{SO}(1, D-1)$
- – Flat superspace:  $z = \{x, \theta\} = \text{Super-Poincaré}/\text{SO}(1, D-1)$
- supersymmetry transformations are generated linearly in superspace as translations of  $\theta$  coordinates: ( $\gamma$ =gamma-matrices in  $D$ -dimensions)

$$\theta' = \theta + \epsilon, \quad x' = x - i(\epsilon \gamma \theta)$$



# Superfields

In formulating supersymmetric field theory and supergravity you then **lift fields to Superfields**, functions on superspace:

$$\Psi(x, \theta) = C(x) + \theta \xi(x) + \cdots + (\theta)^{\mathcal{N} \times n} B(x) ,$$

$\mathcal{N}$  = “number” of supersymmetries,  $n$  = dimension spinor representation

- most compact way to organize in simple objects all the **component fields** of supermultiplets
- keep together physical and auxiliary fields of supermultiplets. **auxiliaries** (nondynamical) typically **needed to have off-shell SUSY**
- useful to classify multiplets as differential constraints on superfields.

# How $\mathcal{N} = 1$ SUSY in 5D?

- In 5D we have 8 real supercharges

In the case of SUSY with 8 real supercharges a powerful off-shell formalism in superspace used to engineer general matter multiplets:

- Projective superspace (PS) [Karlhede, Lindström, Roček ('84)], useful in studying sigma models and explicit construction of hyper-Kähler and quaternionic-Kähler metrics.

PS extends superspace with an extra internal bosonic variable in  $\mathbb{C}P^1$

use of extended superspace become almost mandatory if one wants to deal with most general off-shell multiplets with  $\infty$  auxiliary fields

# How $\mathcal{N} = 1$ SUGRA in 5D?

SUGRA in projective superspace was actually unknown till 2007 when

- Study simplest curved case in 5D:  $\text{AdS}^{5|8}$  [Kuzenko, GTM, ('07)]



- Then 5D  $\mathcal{N} = 1$  SUGRA [Kuzenko, GTM, ('07), ('08)]
- Generalize to 4D  $\mathcal{N} = 2$  SUGRA  
[Kuzenko, Lindström, Roček, GTM, ('08), ('09)]
- 2D  $\mathcal{N} = (4, 4)$  SUGRA [GTM, ('09)]
- 3D  $\mathcal{N} = 3, 4$  SUGRA [Kuzenko, Lindström, GTM, ('11)]
- 6D  $\mathcal{N} = 1$  SUGRA [Linch, GTM, ('12)]

# How covariant off-shell sugra-matter systems?

- **Step One:**

First find a covariant geometrical description of the off-shell conformal SUGRA “Weyl-multiplet” embedded in superspace.

- **Step Two:**

Similarly to superconformal tensor calculus, couple conformal supergravity to compensator multiplets and generate Poincaré supergravity + general sugra-matter couplings .

5D Projective multiplets become crucial for full off-shell

Step two was pushed forward in pioneering works by [Butter ('09),('11)]

for 4D  $\mathcal{N} = 1, 2$  conformal supergravity

gauging the entire superconformal algebra in superspace

and 3D  $\mathcal{N}$ -extended [Butter, Kuzenko, Novak & GTM ('13)]

and 5D  $\mathcal{N} = 1$  [Butter, Kuzenko, Novak & GTM ('14)]

# Conformal gravity-matter approach to gravity

SUGRA as conformal gravity+matter: reminiscent of standard gravity

- Conformal gravity invariance: general coordinate + Weyl-rescaling.  
Equivalently: theory of local conformal group  $\{P_a, M_{ab}, \mathbb{D}, K_a\}$ .
- Einstein GR  $\iff$  conformal gravity + compensating field  $\varphi$
- Start with the conformal gravity-matter action

$$S = +\frac{1}{2} \int d^D x \sqrt{-g} \left( \partial_m \varphi \partial^m \varphi - \frac{D-2}{4(D-1)} \varphi^2 \mathcal{R} \right)$$

invariant under

$$\delta g_{mn} = -2\sigma g_{mn} \ , \quad \delta \varphi = \frac{D-2}{2} \sigma \varphi$$

- Upon gauge-fixing  $\varphi = \frac{2}{\kappa} \sqrt{\frac{D-1}{D-2}} = \text{const}$

one gets the Einstein-Hilbert action

$$S = -\frac{1}{2\kappa^2} \int d^D x \sqrt{-g} \mathcal{R}$$

# 5D conformal supergravity in conformal superspace

## Step 1:

define a new 5D  $\mathcal{N} = 1$  conformal superspace

- Supergeometry based on a manifest gauging of the entire 5D superconformal group  $F^2(4)$
- Superspace realization of the so called 5D superconformal tensor calculus  
(gauging of superconformal group on standard space-time and component fields)

## Step 2:

### Projective superspace and supergravity-matter couplings

Take a weight-2 projective superfield  $\mathcal{L}^{(2)}(z, c\nu) = \nu^2 \mathcal{L}^{(2)}(z, \nu)$   
Associated with  $\mathcal{L}^{(2)}(\nu)$  is the action

$$S[\mathcal{L}^{(2)}] = \frac{1}{2\pi} \oint_{\gamma} (\nu, d\nu) \int d^5x d^8\theta E C^{(-4)} \mathcal{L}^{(2)}$$

- $S[\mathcal{L}^{(2)}]$  inv. under local superconformal transformations
- Although in general non-trivial, can be reduced to a standard integral over standard fields  
but loose manifest SUSY invariance and become expressions that might fill pages depending on the model...

# Models

Now play and construct actions of covariant projective multiplets conformally coupled to sugra. **Compensators for dilatation and SU(2) Poincaré gauged supergravity:**

$$\mathcal{L}_{\text{SG}}^{(2)} = \frac{1}{4} V H_{\text{VM}}^{(2)} + G^{(2)} \ln G^{(2)} + \kappa V G^{(2)} \equiv \mathcal{L}_V^{(2)} + \mathcal{L}_L^{(2)} + \mathcal{L}_{\text{VL}}^{(2)}$$

with composite for “Vector Multiplet” ( $V \leftrightarrow A_m$ ,  $W \leftrightarrow F_{mn}$ )

$$H_{\text{VM}}^{ij} = i(\nabla^{\hat{\alpha}(i} W) \nabla_{\hat{\alpha}}^{j)} W + \frac{i}{2} W \nabla^{\hat{\alpha}(i} \nabla_{\hat{\alpha}}^{j)} W, \quad \nabla_{\hat{\alpha}}^{(i} H_{\text{VM}}^{jk)} = 0$$

once fixed dilatation with  $W = 1$ , leads to the component lagrangian

$$\mathcal{L}_{\text{SG}} = -\frac{1}{2} \mathcal{R} + \frac{8}{3} \kappa^2 + \dots$$

**Einstein-Hilbert plus cosmological constant  $\Lambda = -\frac{8}{3} \kappa^2 < 0$**   
(plus U(1) graviphotons, gravitini etc...)



# SUSY Curvature Squared Lagrangians?

curvature squared Lagrangian:

$$\mathcal{L}_{R^2} \propto a C^{\hat{a}\hat{b}\hat{c}\hat{d}} C_{\hat{a}\hat{b}\hat{c}\hat{d}} + b \mathcal{R}^{\hat{a}\hat{b}} \mathcal{R}_{\hat{a}\hat{b}} + c \mathcal{R}^2$$

- Weyl tensor:  $C_{\hat{a}\hat{b}}^{\hat{c}\hat{d}} = \mathcal{R}_{\hat{a}\hat{b}}^{\hat{c}\hat{d}} - \frac{4}{3} \delta_{[\hat{a}}^{[\hat{c}} \mathcal{R}_{\hat{b}]}^{\hat{d}]} + \frac{1}{6} \delta_{[\hat{a}}^{[\hat{c}} \delta_{\hat{b}]}^{\hat{d}]} \mathcal{R}$   
with  $\mathcal{R}_{\hat{a}\hat{b}}^{\hat{c}\hat{d}}$  component Riemann tensor
- Ricci tensor:  $\mathcal{R}_{\hat{a}}^{\hat{b}} := \mathcal{R}_{\hat{a}\hat{d}}^{\hat{b}\hat{d}}$
- Ricci scalar:  $\mathcal{R} := \mathcal{R}_{\hat{a}}^{\hat{a}}$

# Weyl<sup>2</sup>

Analogue of a “vector” compensator  $V$ ,  $W \neq 0$

$$\mathcal{L}_{\text{Weyl}}^{(2)} = V H_{\text{Weyl}}^{(2)} = V H_{\text{Weyl}}^{ij} v_i v_j$$

with composite built only out of the gravitational **Weyl multiplet**

$$H_{\text{Weyl}}^{ij} := -\frac{i}{2} W^{\hat{\alpha}\hat{\beta}\hat{\gamma}}{}^i W_{\hat{\alpha}\hat{\beta}\hat{\gamma}}{}^j + \frac{3i}{2} W^{\hat{\alpha}\hat{\beta}} X_{\hat{\alpha}\hat{\beta}}{}^{ij} - \frac{3i}{4} X^{\hat{\alpha}i} X_{\hat{\alpha}}^j, \quad \nabla_{\hat{\alpha}}^{(i} H_{\text{Weyl}}^{jk)} = 0$$

where

$$W_{\hat{\alpha}\hat{\beta}\hat{\gamma}}{}^k := \nabla_{(\hat{\alpha}}^k W_{\hat{\beta}\hat{\gamma})}, \quad X_{\hat{\alpha}}^i := \frac{2}{5} \nabla^{\hat{\beta}i} W_{\hat{\beta}\hat{\alpha}}, \quad X_{\hat{\alpha}\hat{\beta}}{}^{ij} := -\frac{1}{4} \nabla^{\hat{\gamma}(i} \nabla_{\hat{\gamma}}^{j)} W_{\hat{\alpha}\hat{\beta}}$$

Reduce to components and  $W = 1$  gauge leads to

$$\mathcal{L}_{\text{Weyl}} \propto C^{\hat{a}\hat{b}\hat{c}\hat{d}} C_{\hat{a}\hat{b}\hat{c}\hat{d}} + \dots$$

- In components first construction in [\[Hanaki, Ohashi, Tachikawa \('06\)\]](#)

# scalar<sup>2</sup>

$$\mathcal{L}_{\text{scal}}^{(2)} = V H_{\text{VM}}^{(2)}[\mathbb{W}]$$

where composite linear multiplet

$$H_{\text{VM}}^{ij}[\mathbb{W}] = i(\nabla^{\hat{\alpha}(i}\mathbb{W})\nabla_{\hat{\alpha}}^{j)}\mathbb{W} + \frac{i}{2}\mathbb{W}\nabla^{\hat{\alpha}(i}\nabla_{\hat{\alpha}}^{j)}\mathbb{W}$$

with **composite vector multiplet field strength**

$$\mathbb{W} = \frac{i}{16}G\nabla^{\hat{\alpha}i}\nabla_{\hat{\alpha}}^j\left(\frac{G_{ij}}{G^2}\right), \quad \nabla_{\hat{\alpha}}^{(i}\nabla_{\hat{\beta}}^{j)}\mathbb{W} = \frac{1}{4}\varepsilon_{\hat{\alpha}\hat{\beta}}\nabla^{\hat{\gamma}(i}\nabla_{\hat{\gamma}}^{j)}\mathbb{W}$$

**Reduce to components and  $W = 1$  gauge leads to**

$$\mathcal{L}_{\text{scal}} \propto \mathcal{R}^2 + \dots$$

- In components [Ozkan, Pang ('13)]

Ricci<sup>2</sup>

$$\mathcal{L}_{\text{Ric}}^{(2)} = -VG^{(2)}[\log W]$$

where the **linear multiplet** is function of the vector multiplet field strength

$$G^{(2)}[\log W] = -\frac{i}{8}\Delta^{(4)}\nabla^{(-2)}\log W$$

Reduce to components and  $W = 1$  gauge leads to

$$\mathcal{L}_{\text{Ricci}} \propto \mathcal{R}^{\hat{a}\hat{b}}\mathcal{R}_{\hat{a}\hat{b}} + \dots$$

- **First time** constructed for the **standard Weyl Multiplet** in  
[Butter, Kuzenko, Novak & GTM ('14)]

Was believed **not to exist**, and constructed only in “dilaton-Weyl multiplet”  
[Bergshoeff, Rosseel, Sezgin ('11)], [Ozkan, Pang ('13)]

# Conclusion

In [Butter, Kuzenko, Novak & GTM (14)]

- developed a new off-shell formulation for five-dimensional (5D) conformal supergravity obtained by gauging the 5D superconformal algebra in superspace.
- Using the conformal superspace approach, we showed how to reproduce practically all off-shell constructions derived so far in literature, including the supersymmetric extensions of  $R^2$  terms, thus demonstrating the power of our formulation.
- Furthermore, we construct for the first time a supersymmetric completion of the Ricci tensor squared term using the standard Weyl multiplet coupled to an off-shell vector multiplet.

# Higher derivatives in 3D and other

- The  $R^2$  case proves that we have a powerful “top-down” approach to construct higher derivative invariants.
- the new approach open classification and construction of new higher derivative invariants:  $R^n$  ( $n > 2$ );  $\mathcal{D}^m R^p \dots$
- In [Kuzenko, Novak & GTM (15)] we classified all the  $R^2$  terms for  $\mathcal{N} = 1, 2, 3$  supergravity in 3D,  $\mathcal{N} = 3$  was new.  
Continuation of previous results [GTM, ... (11)–(15)] where for the first time the 3D  $\mathcal{N} = 3, 4, 5, 6$  conformal supergravity actions were constructed. Hence construction of general  $\mathcal{N} = 1, 2, 3$  massive 3D supergravity
- How about  $R^3$  in 6D? This is of importance in studying renormalization group flows and dilaton effective action of 6D QFT, CFT and AdS/CFT, String Theory, M-Theory, ...