

Fluctuations of Charges at the Phase Boundary

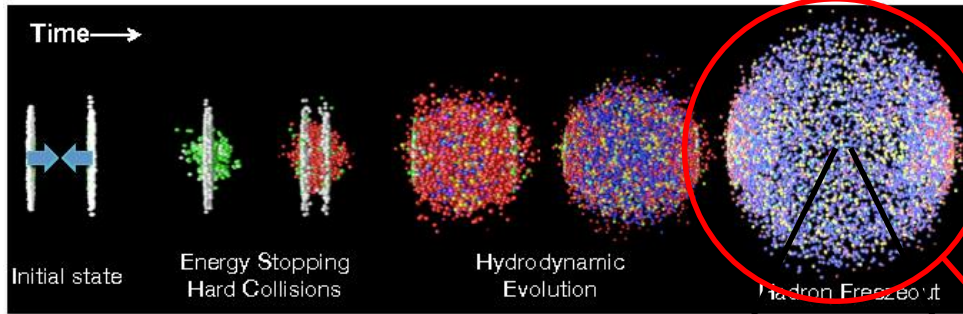
Kenji Morita

(Yukawa Institute for Theoretical Physics, Kyoto University)

- 1. Fluctuations of Conserved Charges in Thermal Equilibrium**
- 2. Critical Behavior : Baryon number fluctuations**
Consequence from $O(4)$ and smearing by finite volume and quark mass
- 3. Electric Charge Fluctuations in π gas and Hadron Resonance Gas**
Importance of "reference" distribution and role of quantum statistics

“Thermodynamics” in Heavy Ion Collisions

$$\sim 10\text{fm}/c, V = \pi(5\text{fm})^2 \times (10-100\text{fm})$$



Conserved Charges in QCD
 Baryon B (\leftarrow Stopping)
 Electric Charge Q (\leftarrow Baryon)
 Strangeness S ($=0$, pair creation)

Dynamical system
 in local equilibrium
 (Hydrodynamics)

$$T(x), \mu(x), u^\mu(x)$$

Cooper-Frye

$$N = \int \frac{d^3k}{E_k} \int k_\mu d\sigma^\mu f(u_\nu k^\nu, T(x), \{\mu_i(x)\}) \approx Vn(T, \{\mu_i\}) \text{ Small } \Delta y, \text{ Low } p_t$$



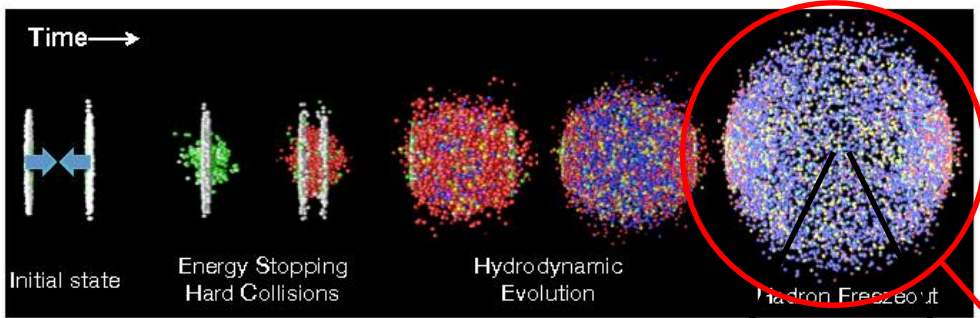
Subsystem in Grand-Canonical Ensemble $\mathcal{Z}(T, V, \mu_B, \mu_Q, \mu_S)$

Globally conserved:
 Au+Au Collisions@RHIC
 $B = 197 \times 2$
 $Q = 79 \times 2$
 $S = 0$

Exp. acceptance
 $\Delta y, \Delta p_t, \Delta \varphi$

Fluctuation Studies in Heavy Ion Collisions

$$\sim 10\text{fm}/c, V = \pi(5\text{fm})^2 \times (10-100\text{fm})$$

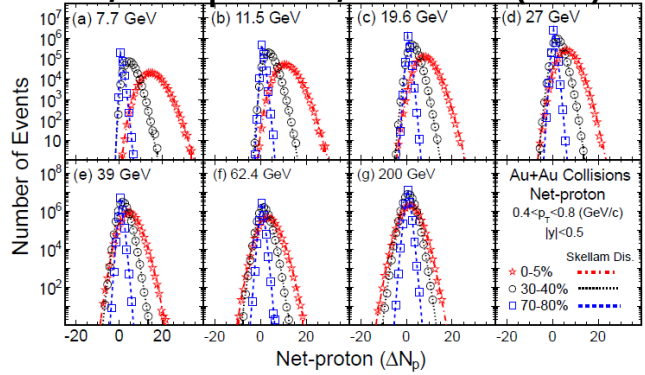


Conserved Charges in QCD
 Baryon B (\leftarrow Stopping)
 Electric Charge Q (\leftarrow Baryon)
 Strangeness S ($=0$, pair creation)

Counting # of particles on e-by-e basis

Globally conserved:
 Au+Au Collisions@RHIC
 $B = 197 \times 2$
 $Q = 79 \times 2$
 $S = 0$

STAR, net-proton, PRL112 ('14)



Exp. acceptance $\Delta y, \Delta p_t, \Delta \phi$ Small Δy , Low p_t

Fluctuations of B, Q, S in GC Subsystem $\mathcal{Z}(T, V, \mu_B, \mu_Q, \mu_S)$

$$P(N) = Z_C(T, V, N) e^{\mu/T} / Z$$

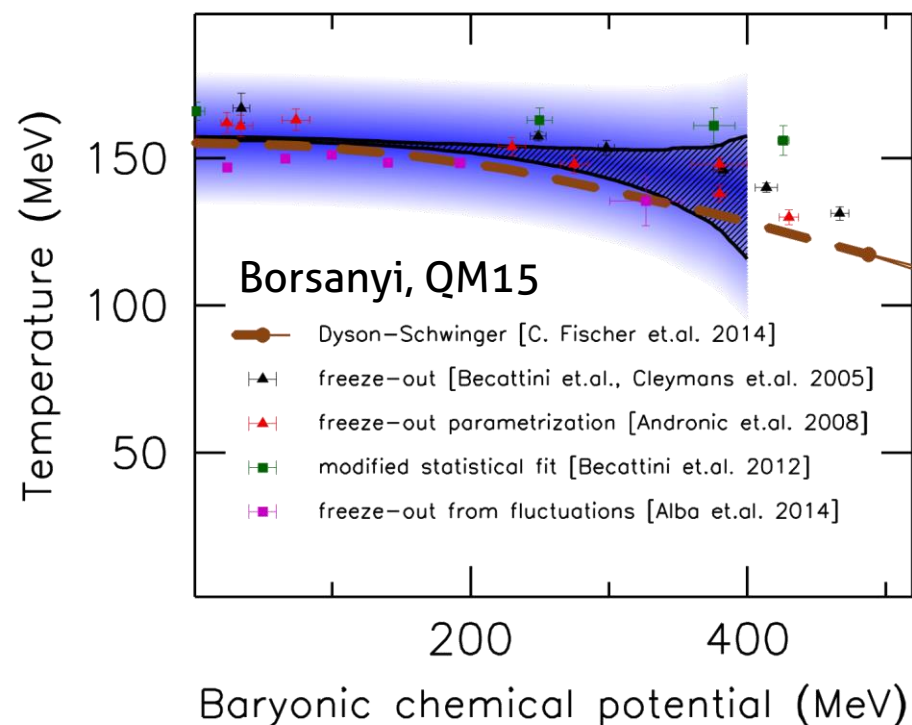
From Fluctuations to QCD Critical Property

Hadronic observables - information at freeze-out : **Determination of T and μ of a subsystem of the hot matter from particle yields / fluctuations**

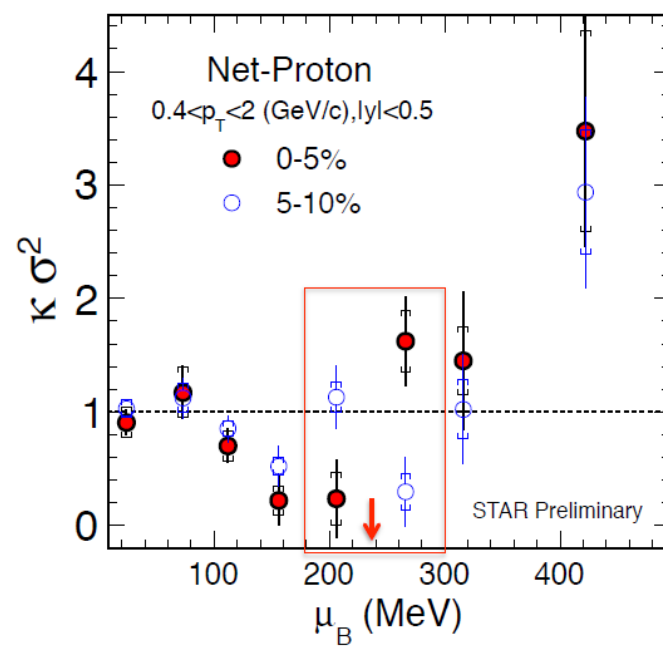
Extracted (T, μ) coincides with the **Crossover transition region in QCD**
Up to $\mu_B \sim 400 \text{ MeV}$



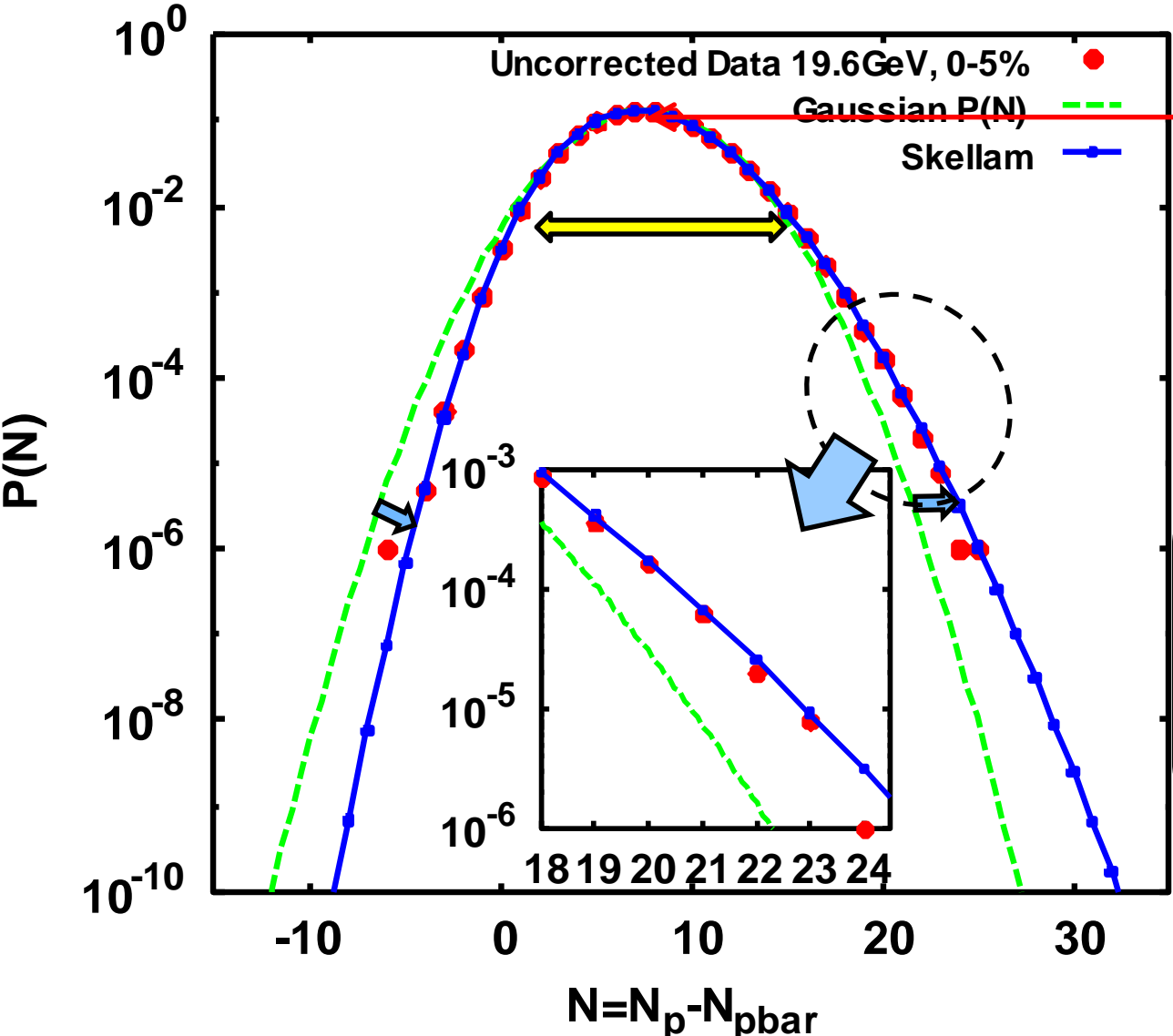
(Remnant) **critical property of QCD** from fluctuation of conserved charges



$$\chi_n \equiv T^{n-4} \frac{\partial^n p(T, \mu)}{\partial \mu^n} \propto \frac{\langle (\delta N)^n \rangle}{VT^3}$$



Characterizing Fluctuations



Cumulants

$$M = \langle N \rangle \quad (=7.61)$$

$$\sigma^2 = \langle (\delta N)^2 \rangle \quad (=9.16)$$

$$S \sigma^3 = \langle (\delta N)^3 \rangle \quad (=7.23)$$

$$\kappa \sigma^4 = \langle (\delta N)^4 \rangle - 3 \langle (\delta N)^2 \rangle^2 \quad (=7.36)$$

$\kappa \sigma^2 = 1$ Skellam

$= 0.8$ (Data)

$= 0$ Gauss

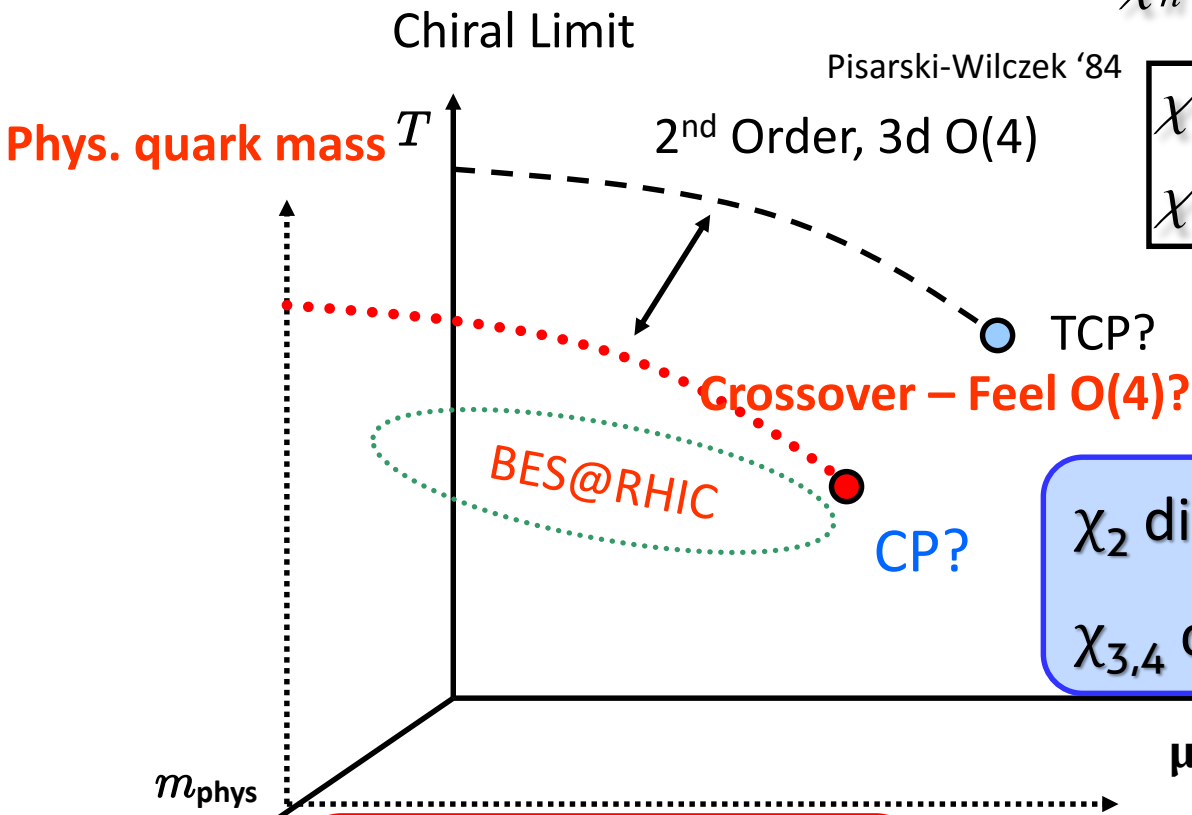
Ratios
characterize the
shape of P(N)

QCD Phase Transition / N_B Fluctuations

$$\chi_n \equiv T^{n-4} \frac{\partial^n p(T, \mu)}{\partial \mu^n} \propto \frac{\langle (\delta N)^n \rangle}{VT^3}$$

$$\chi_6(T \rightarrow T_c^\mp, \mu = 0) \rightarrow \pm\infty$$

$$\chi_3(T \rightarrow T_c^\mp, \mu \neq 0) \rightarrow \pm\infty$$



$$\chi_2 \rightarrow \begin{cases} \infty, & (T \rightarrow T_{TCP}^-) \\ \text{Const.} & (T \rightarrow T_{TCP}^+) \end{cases}$$

χ_2 diverges
 $\chi_{3,4}$ change the sign

Hatta-Ikeda '03, Asakawa et al., '09,
 Skokov et al., '11, Stephanov, '11

$\chi_6 (\mu=0), \chi_{3,4} (\mu \gg 0)$
 changes the sign
 across crossover

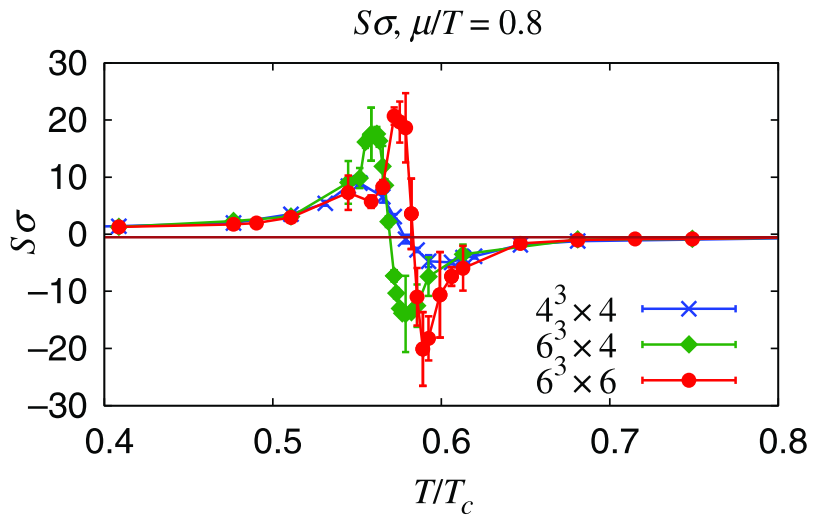
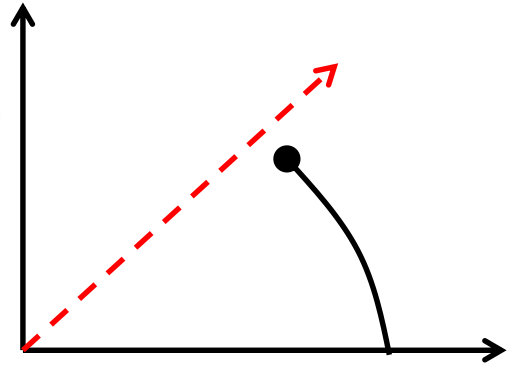
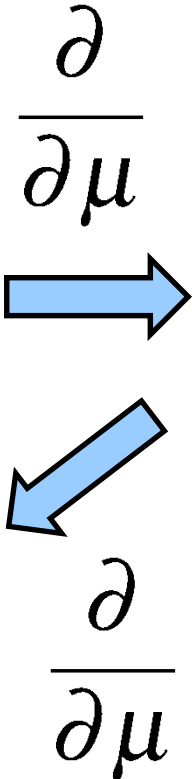
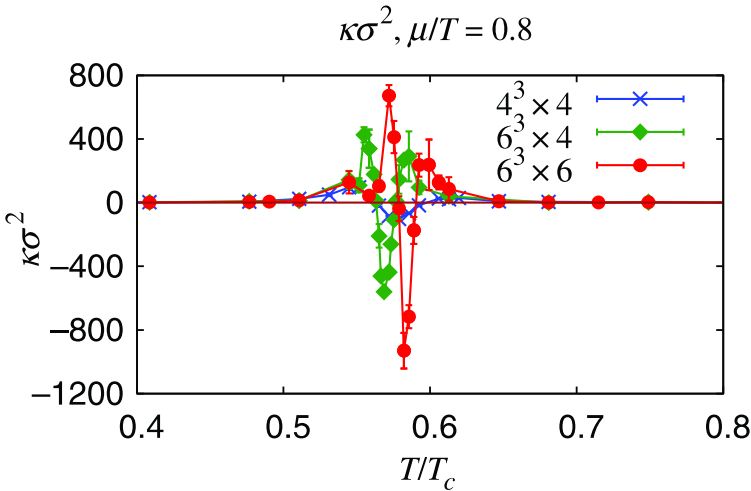
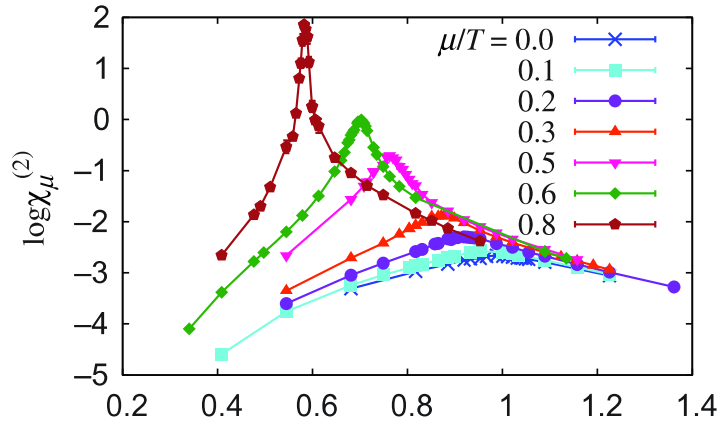
General property from O(4) scaling function
 (Engels and Karsch '11, Friman et al., '11)

Sign Change of Cumulants

■ Near TCP, Chiral Limit, Finite V

(Lattice QCD in Strong Coupling Limit, Ichihara, KM, Ohnishi, '15)

Baryon number susceptibility, $6^3 \times 6$

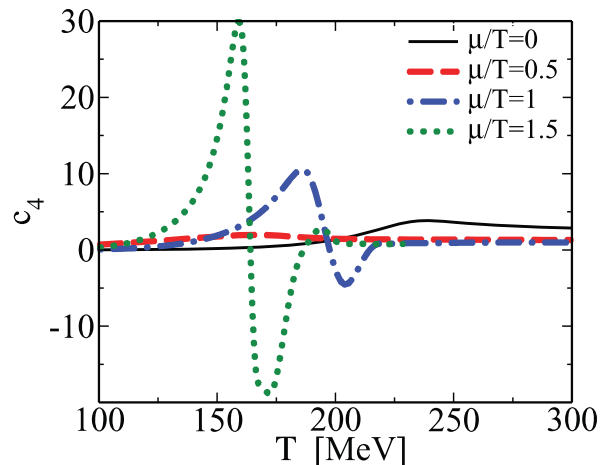
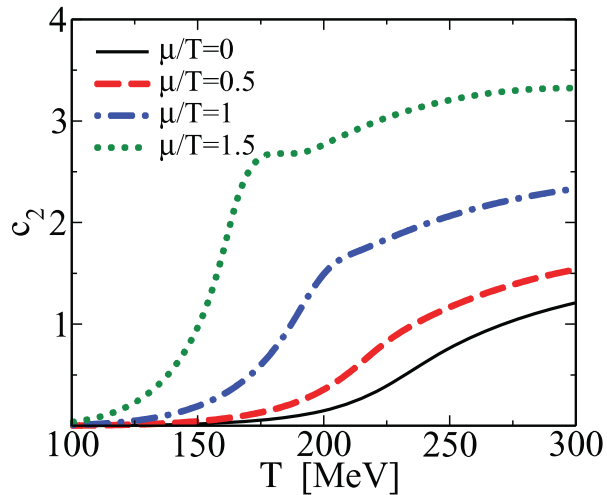


Divergence replaced by sign changes due to finite volume

Sign Change of Cumulants

Crossover near $O(4)$ (PQM+FRG)

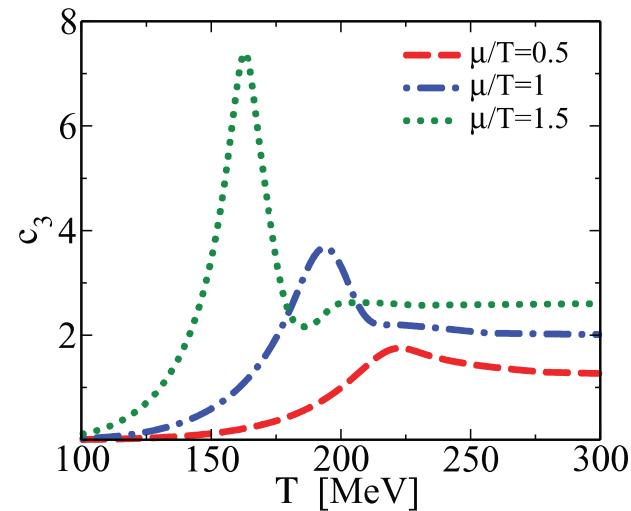
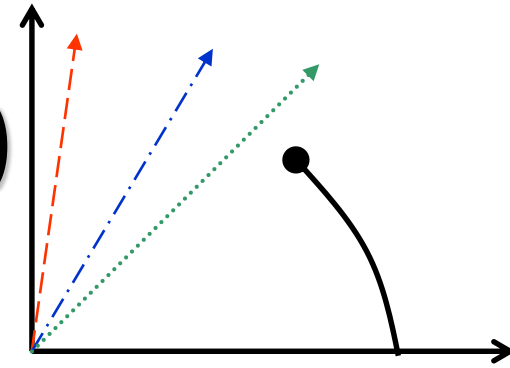
Skokov, Friman, Redlich, PRC'11



$$\frac{\partial}{\partial \mu}$$

→

$$\frac{\partial}{\partial \mu}$$



Weaker "Critical behavior"
 No peak in χ_2 , no negative χ_3

Smeared by finite m_q and
 fluctuations via FRG

Importance of Reference Distribution

$$\chi_n = \chi_n^{\text{regular}} + \chi_n^{\text{singular}}$$

Hadron Gas

Divergent : in χ limit or CP

Finite : cause sign changes in crossover

Suppression factor $(\mu_q/T)^n$

⇒ **Obscured when** $|\chi_n^{\text{regular}}| \gg |\chi_n^{\text{singular}}|$

Remnant of the divergence may only appear as deviation from the regular part contribution

- Net-Baryon : $N, \Delta, \text{Hyperon}, \text{etc...}$
 - $m \gg T, \mu$ – Boltzmann Gas – Skellam Distribution
- **Net-electric charge : π, K, ρ, p, \dots**
 - **π and Δ^{++} cause substantial deviation from Skellam**
- Net-strangeness : $K, K^*, \Lambda, \Sigma, \Xi, \dots$
 - Heavy enough, but multi-charged (up to 3!)

Baryon Gas : Skellam Distribution

$$P(N) = \binom{b}{\bar{b}}^{N/2} I_N(2\sqrt{b\bar{b}}) e^{-(b+\bar{b})}$$

of baryons (Poisson)

of antibaryon (Poisson)

➤ Statistical mechanics : Boltzmann distribution

$$b = d \int \frac{d^3 p}{(2\pi)^3} e^{-(E_p - \mu)/T}, \quad \bar{b} = d \int \frac{d^3 p}{(2\pi)^3} e^{-(E_p + \mu)/T}$$

➤ 2 parameters

$$\chi_{2n+1} = b - \bar{b}$$

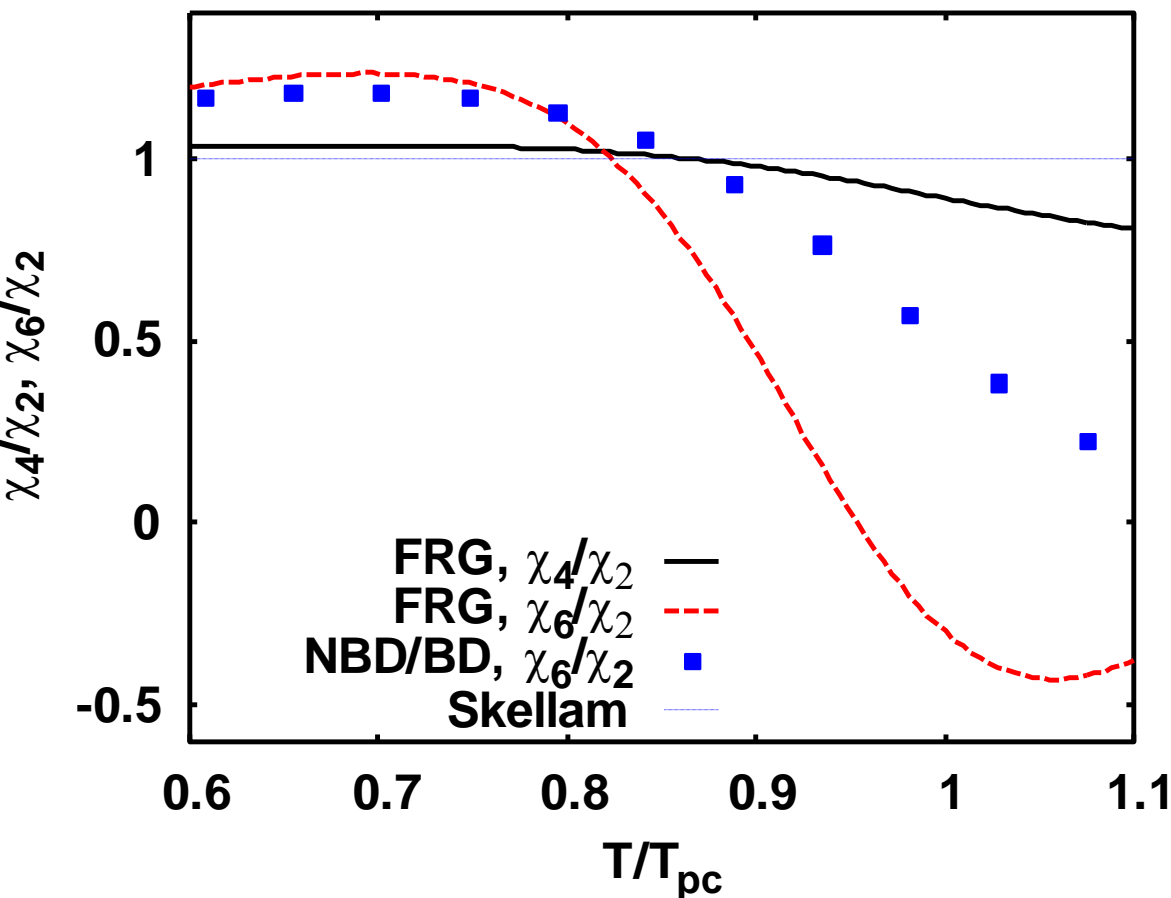
$$\chi_{2n} = b + \bar{b}$$

Independent of momentum integration range

Expectation (confirmed by lattice): χ_1 and χ_2 are well described by HRG

Deviation from Skellam in higher order χ_n can reflect the phase transition

(Negative) Binomial Distribution?



NB ($\kappa\sigma^2 > 1$), BD ($\kappa\sigma^2 < 1$)
can fit the critical χ_2 and
 χ_4 , but cannot reproduce
 χ_6

Higher ($n > 4$) cumulants
necessary to identify the
critical fluctuations

KM, Friman, Redlich, PLB '15

Charge Fluctuations in π gas

■ Pion $m_\pi \sim T$: Bose statistics

P. Braun-Munzinger et al., NPA'12

$$\chi_n^Q = \begin{cases} \frac{m^2}{\pi^2 T^2} \sum_{k=1}^{\infty} k^{n-2} \hat{K}_2(km/T) \cosh(k\mu_Q/T), & n = \text{even} \\ \frac{m^2}{\pi^2 T^2} \sum_{k=1}^{\infty} k^{n-2} \hat{K}_2(km/T) \sinh(k\mu_Q/T), & n = \text{odd} \end{cases}$$

$$\begin{aligned} \hat{K}_2(km/T) &= \frac{k}{2m^2 T} \int_{\eta_{\min}}^{\eta_{\max}} d\eta \int_{p_{t\min}}^{p_{t\max}} dp_t p_t |p| e^{-kE_p/T} \\ &= K_2(km/T) \quad (\eta_{\min} = -\infty, \eta_{\max} = \infty, p_{t\min} = 0, \text{ and } p_{t\max} = \infty) \end{aligned}$$

= Multicomponent Boltzmann gas with mass km , charge k , and degeneracy k^{n-4}

➤ Leading order : Skellam

➤ all $k > 1$ terms : positive

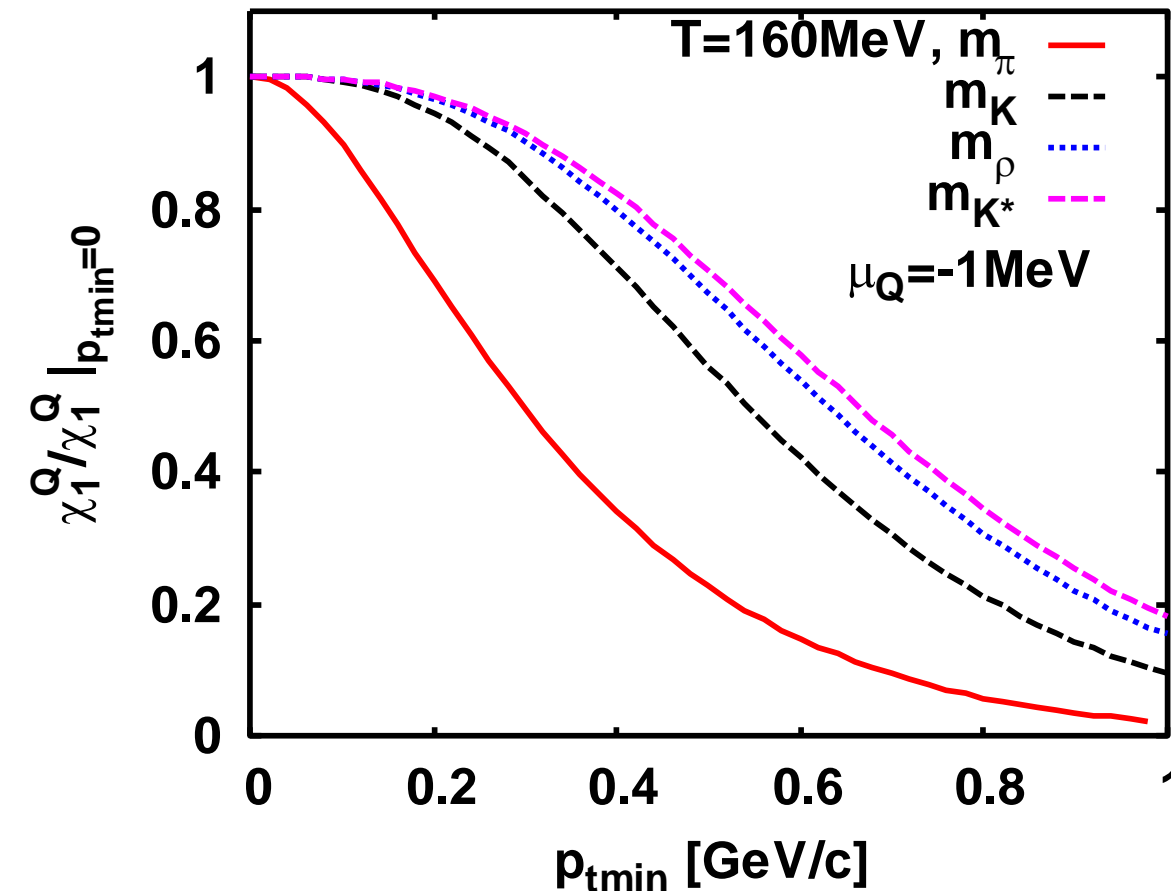
➤ **p -integration range can change χ_n ratio**

$$\frac{\chi_{n+2}^Q}{\chi_n^Q} > 1$$

Effect of Low p_t Cut

Karsch, KM, Redlich, PRC '16

Electric Charge Cumulants of a free gas



χ_1 – Density vs Mass:

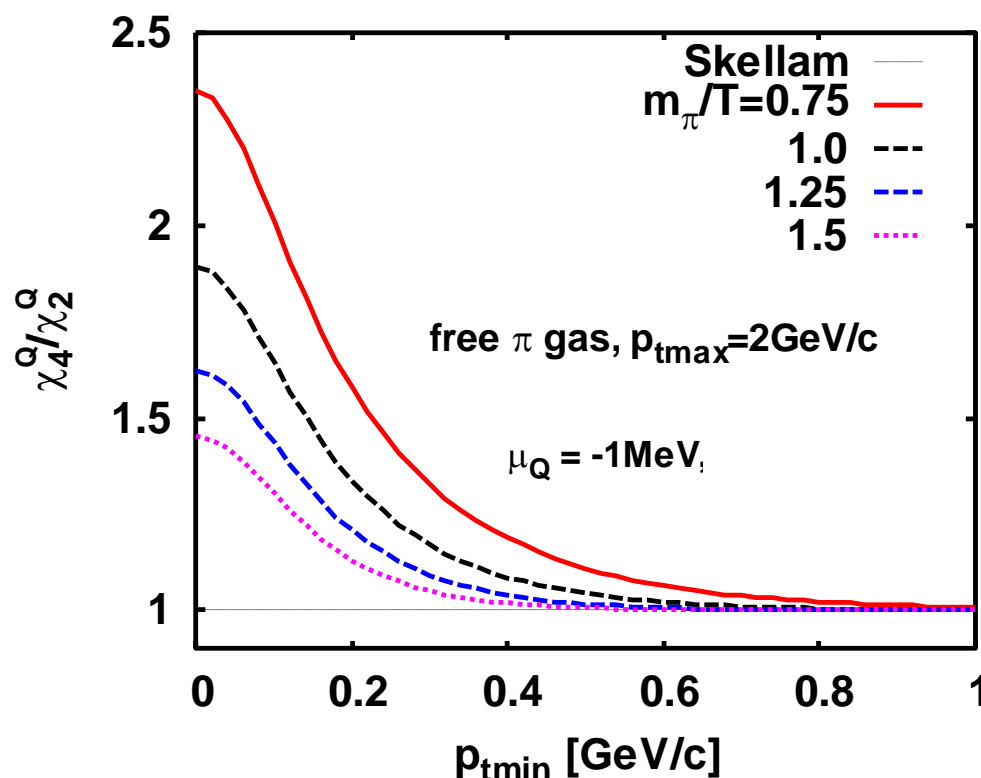
Heavier particles
less affected by
 p_t cut

π contribution
suppressed by
 p_t cut

Effect of Low p_t Cut

Karsch, KM, Redlich, PRC '16

Electric Charge Cumulants of a free gas



Low p_t cut: closer χ_4/χ_2 to 1

$$m_{\text{eff}}^2 = p_{t\text{min}}^2 + m_\pi^2$$

For small μ_Q/T ,

$$\frac{\chi_3^Q}{\chi_1^Q} \simeq \frac{\chi_4^Q}{\chi_2^Q}, \dots, \frac{\chi_{2n+1}^Q}{\chi_{2n-1}^Q} \simeq \frac{\chi_{2n+2}^Q}{\chi_{2n}^Q}$$

$$\frac{\chi_{2n-1}^Q}{\chi_{2n}^Q} = \frac{\sum_k k^{2n-3} \hat{K}_2(km/T) k \mu_Q/T}{\sum_k k^{2n-2} \hat{K}_2(km/T)} = \frac{\mu_Q}{T}$$

$$\hat{K}_2(km/T) = \frac{1}{2} \left(\frac{T}{km} \right)^2 \int_{kp_{t\text{min}}/T}^{kp_{t\text{max}}/T} d\eta \int dx^2 \cosh \eta e^{-\sqrt{x^2 \cosh^2 \eta + (km/T)^2}}$$

Substantial decrease from $p_{t\text{min}} = 0$ to $p_{t\text{min}} = 0.2$ (STAR) or 0.3 (PHENIX) GeV

M/σ² in HRG

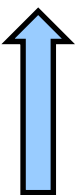
M = (π, ρ, etc) + (strange mesons) + (baryons) + (hyperons)

< 0 (μ_Q < 0)

> 0 (μ_S > 0)

> 0 (μ_B > 0)

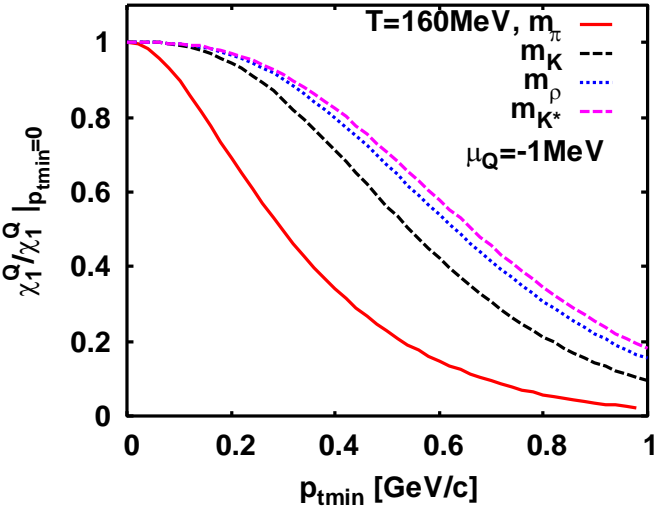
> 0 (μ_B - (1~3)μ_S > 0)



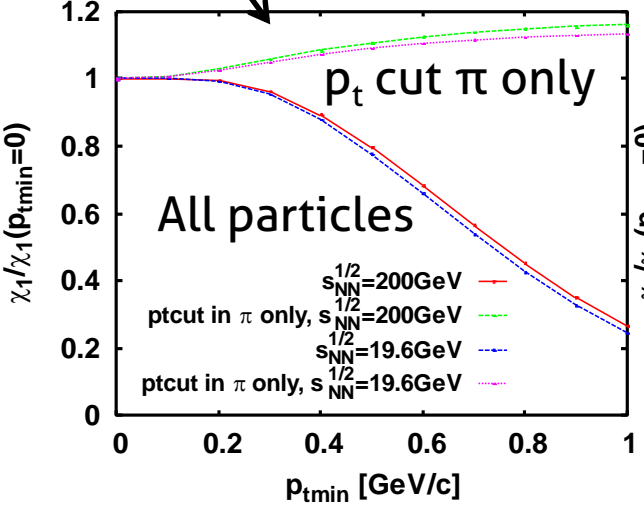
Negative meson contribution is reduced by pt cut



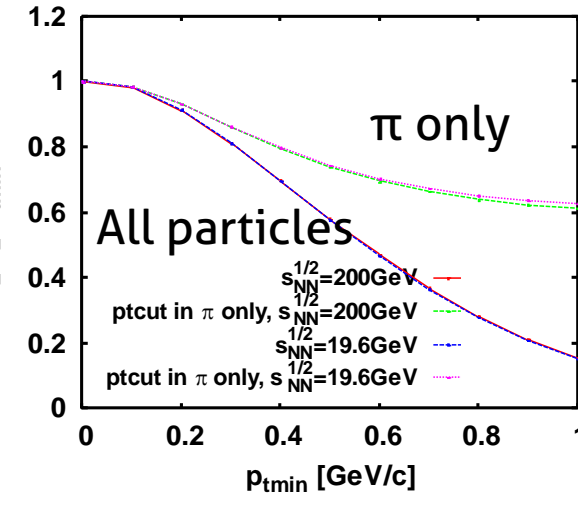
Total M can be non-monotonic in p_{tmin} σ² simply decreases



Single component

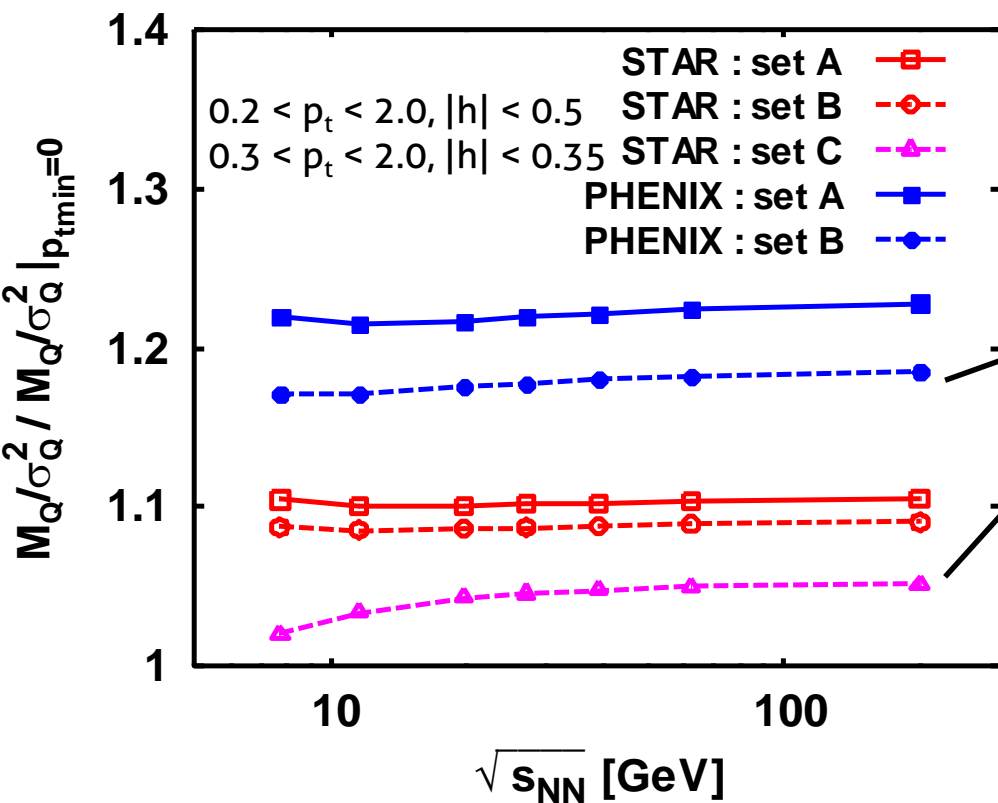


M in HRG



σ² in HRG

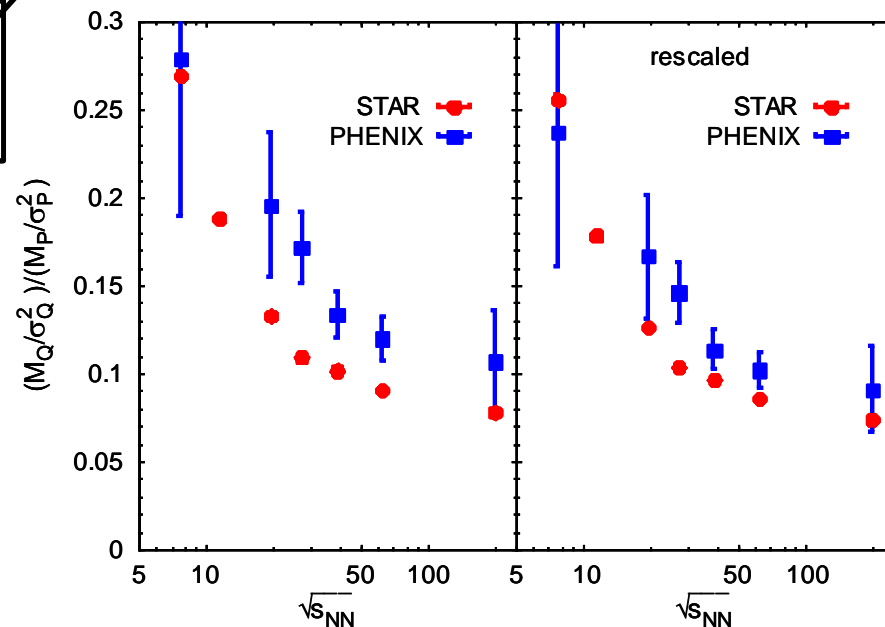
M/σ² in HRG : STAR vs PHENIX



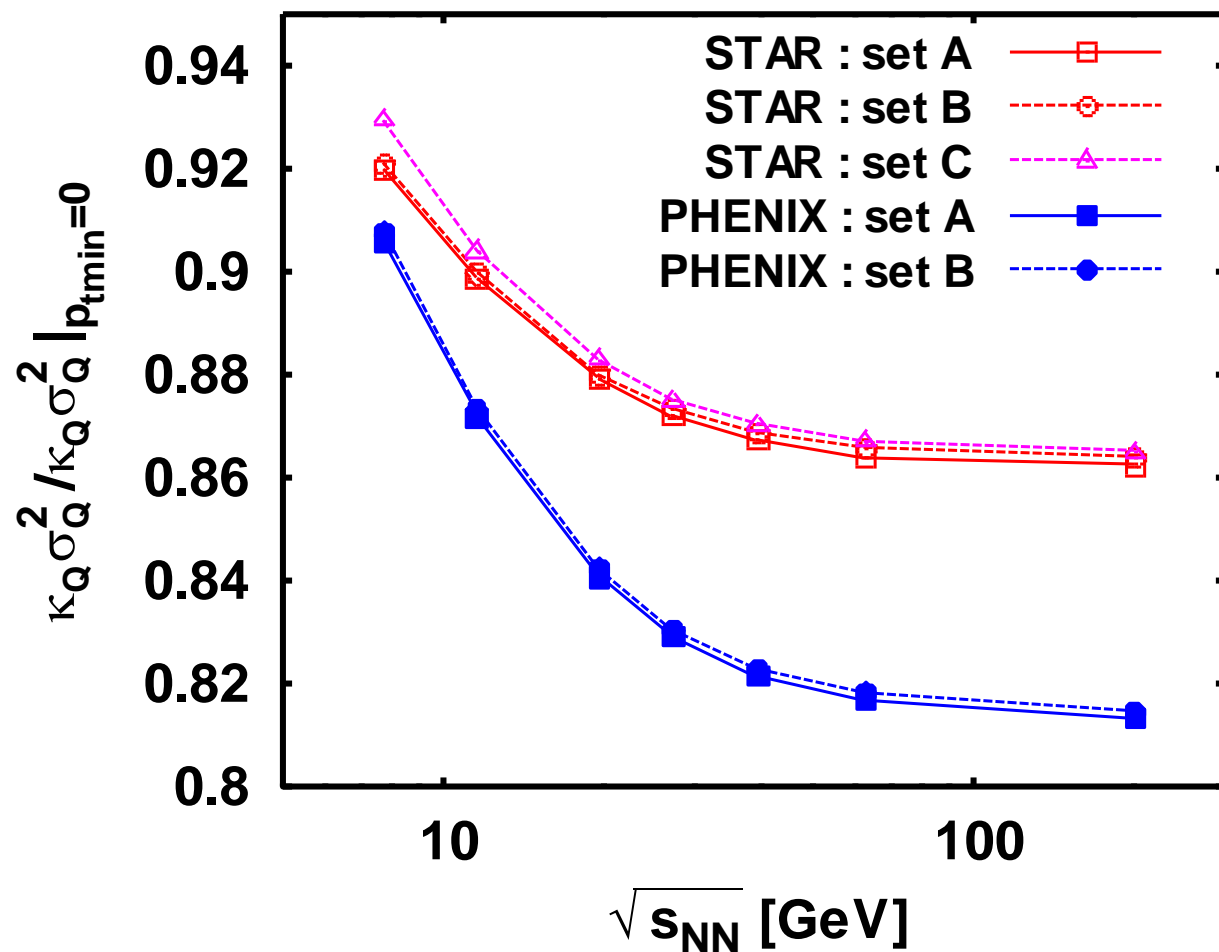
A : p_t cut for π only
 B : Same p_t cut for all hadrons
 C : p_{tmin} = 0.4 GeV for protons (STAR)

10% larger M/σ² in PHENIX acceptance

Data : PHENIX/STAR ~ 1.35
 After rescaling, data become closer



$\kappa\sigma^2$ in HRG (prediction)



$$\frac{\chi_4^Q}{\chi_2^Q} = \frac{\chi_4^\pi + \chi_4^K + \chi_4^\rho + \dots}{\chi_2^\pi + \chi_2^K + \chi_2^\rho + \dots}$$

6% difference btw. STAR and PHENIX expected

p_t cut reduces $\kappa\sigma^2 < 10\%$ at low energies, due to more proton contribution

p_t cut reduces $\kappa\sigma^2$ by 10-20% at high energies

Concluding Remarks

Higher-order fluctuations of net-B, Q around phase boundary

Critical behavior : Smearred by finite V , nonzero m_q

- Lattice QCD in Strong Coupling Limit
- Chiral model calculations with FRG

Deviation from the reference

net-B : Skellam distribution – momentum independent

net-Q : Bose statistics – affected by low pt cut

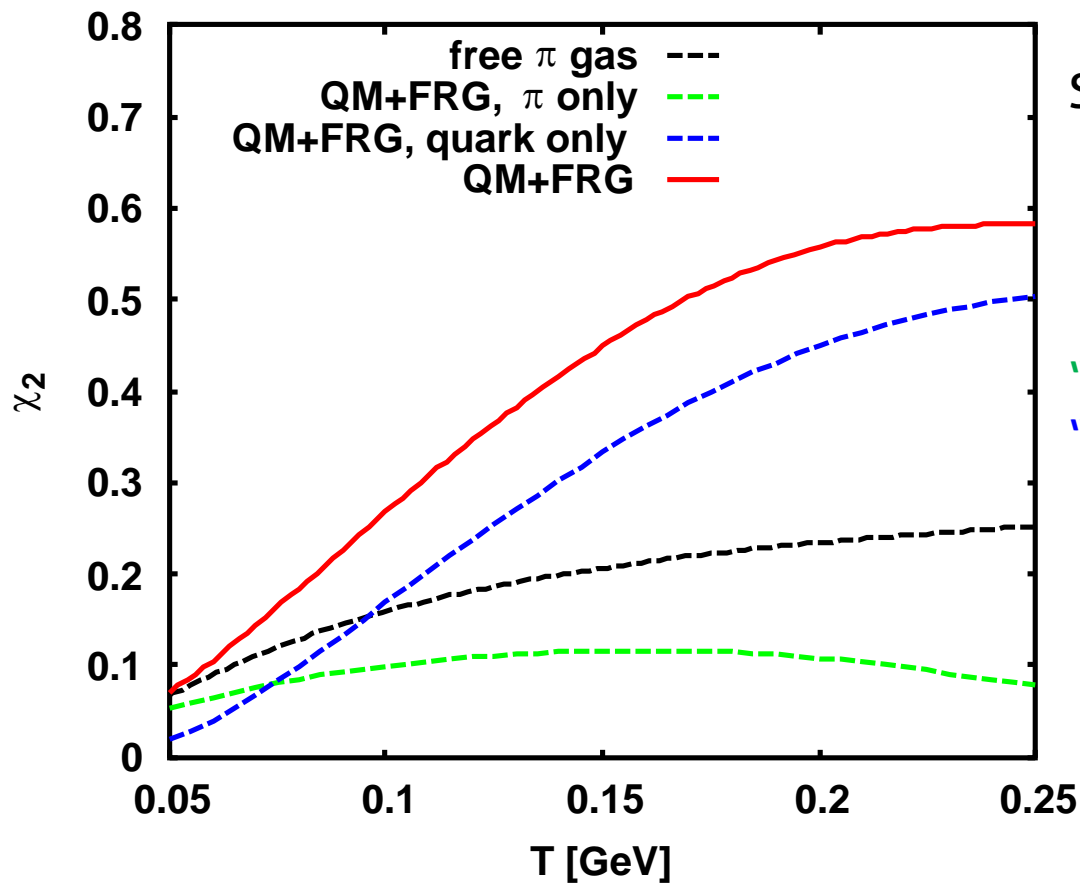
- Explaining part of difference btw. STAR and PHENIX

Backup

Effects of Interaction

Charge fluctuations in QM model ($N_f=2$)

$$\mu_B = \mu_Q = 0$$



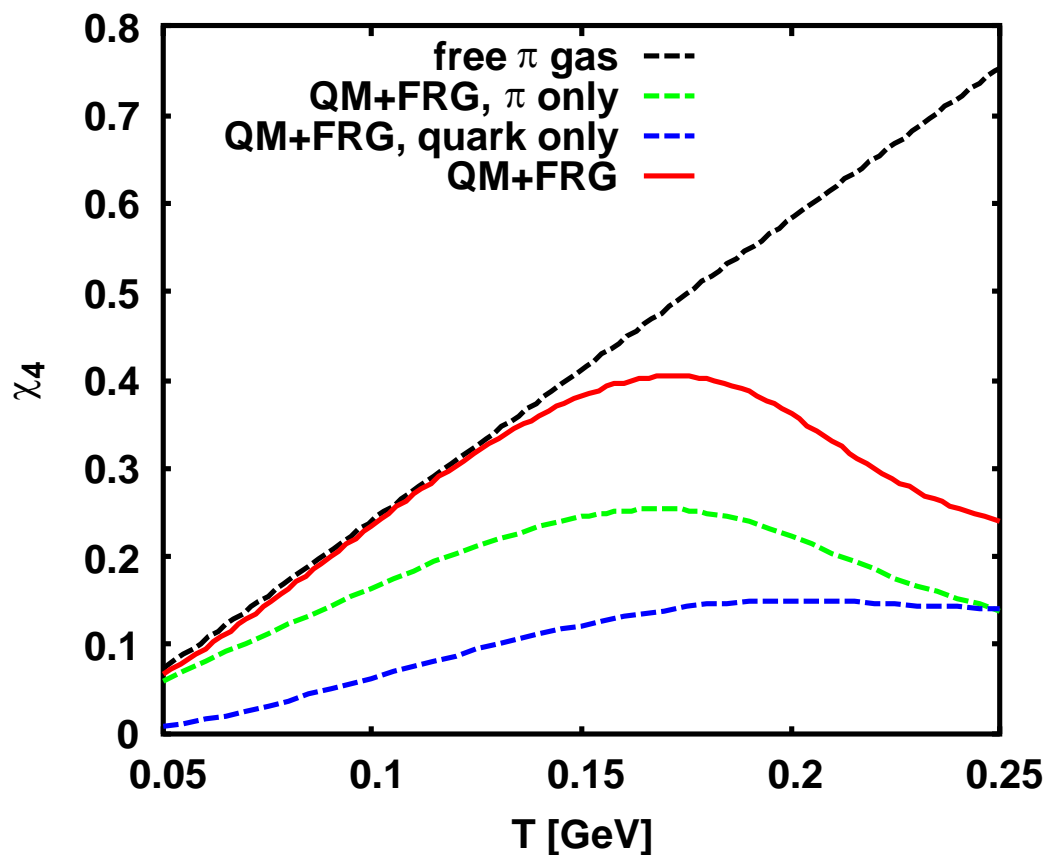
Susceptibility:
quark dominant
(Caveat: deconfined –
quarks have $Q=2/3, -1/3$)

“ π only” : $Q_{u,d}=0$
“quark only” : $Q_{\pi}=0$

Effects of Interaction

Charge fluctuations in QM model ($N_f=2$)

$$\mu_B = \mu_Q = 0$$



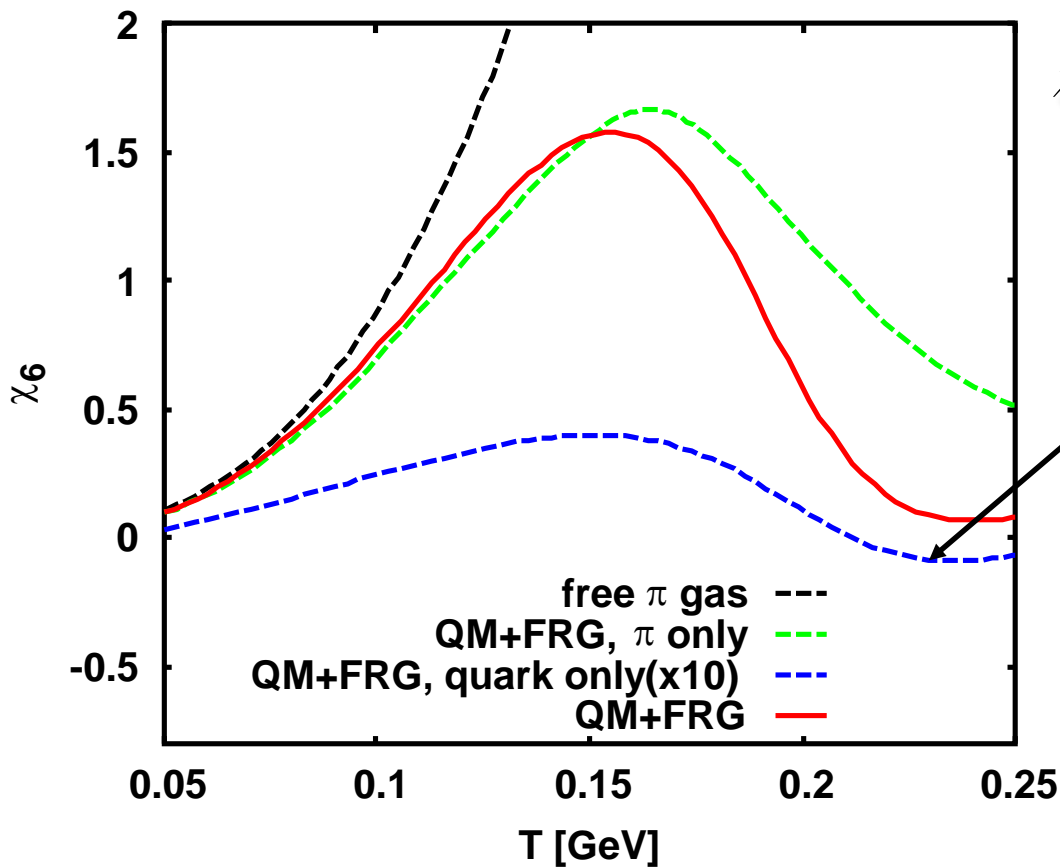
4th cumulant:
 π dominant
 (Caveat: deconfined –
 quarks have $Q=2/3, -1/3$)
 Quark contribution
 suppressed by Fermi
 statistics

“ π only” : $Q_{u,d}=0$
 “quark only” : $Q_{\pi}=0$

Effects of Interaction

Charge fluctuations in QM model ($N_f=2$)

$$\mu_B = \mu_Q = 0$$



$$\chi_n^Q = \frac{1}{2^n} \left[\chi_n^B + \chi_n^I + \sum_{i=1}^{n-1} {}^n C_i \frac{\partial^n (p\beta^4)}{\partial(\beta\mu_I)^i \partial(\beta\mu_B)^{n-1}} \right]$$

6th cumulant:

Negative χ_6 from chiral crossover

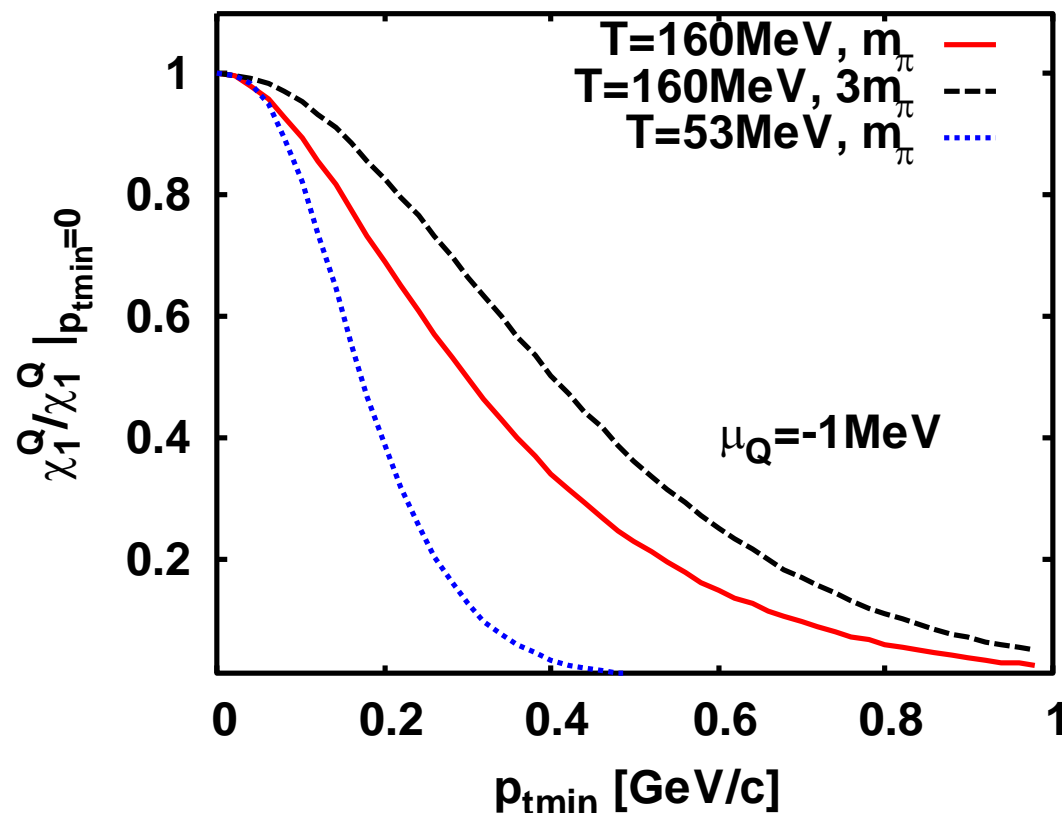
Obscured by π contribution

" π only" : $Q_{u,d}=0$

"quark only" : $Q_{\pi}=0$

Effect of Low p_t Cut

Electric Charge Cumulants of a free gas



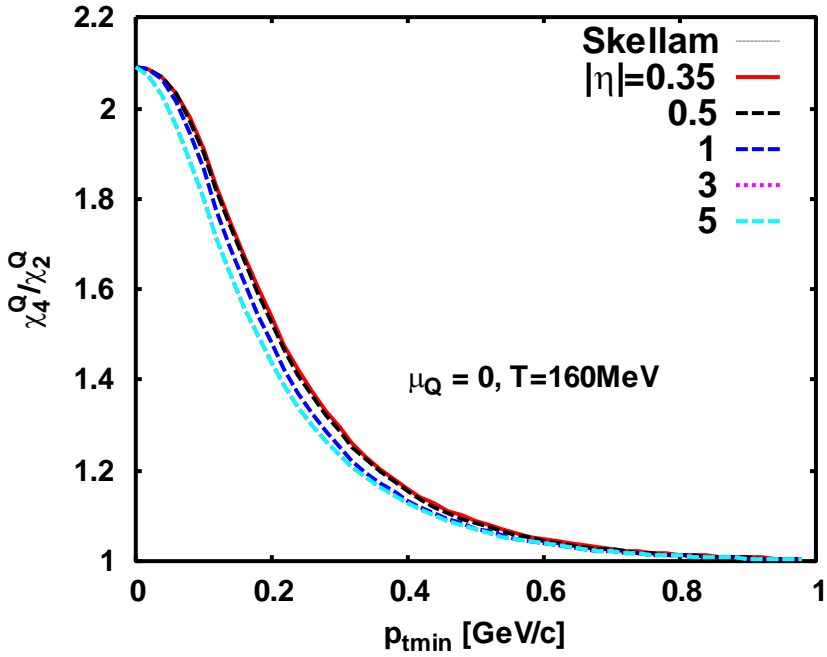
χ_1 – Density vs Mass:

Heavier particles
less affected by
 p_t cut

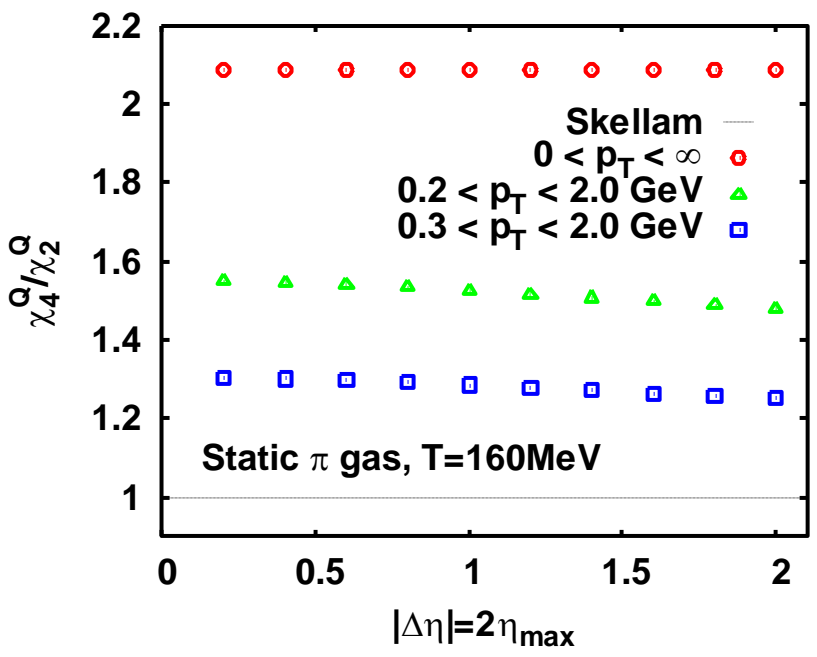
π contribution
suppressed by
 p_t cut

At $p_{tmin}=0$, χ_n scale with m/T
Different p_t cut effect for same m/T !

Pseudorapidity Cut



No significant dependence on η cut

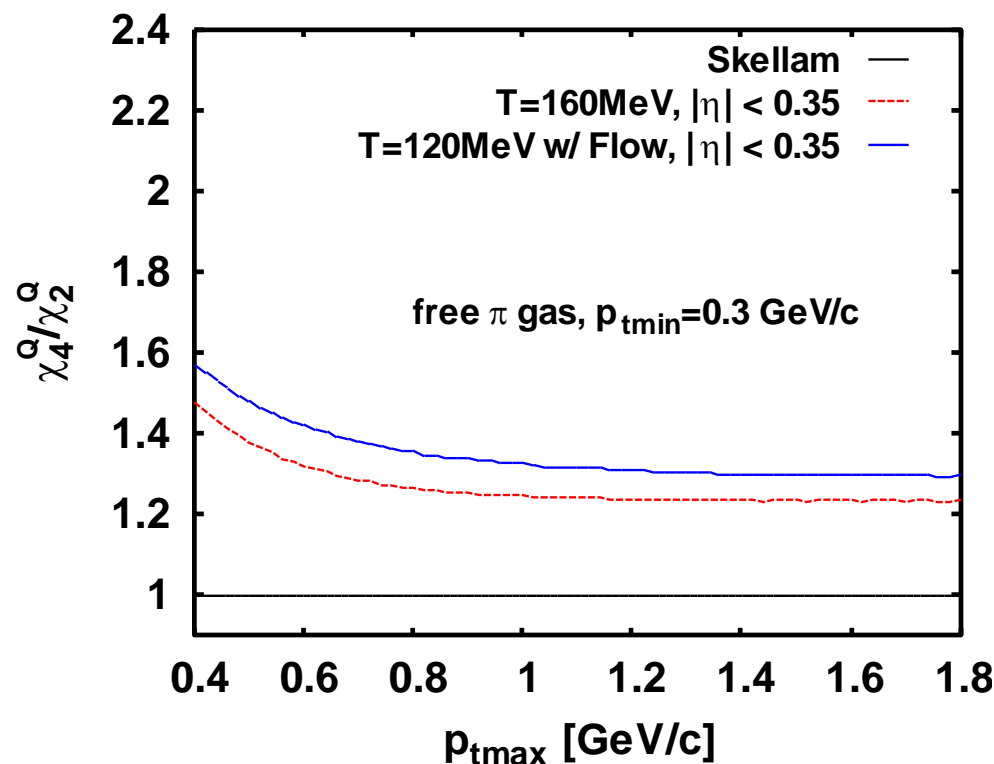


Difference coming from lower p_t cut

$$E_{\min} = \sqrt{p_{t\min}^2 \cosh^2 \eta(=0) + m^2}$$

Lower cut induces effective mass heavier than m , thus approaching Skellam distribution by increasing $p_{t\min}$.
 Cuts in η_{\max} only affect high momentum particle contribution.

High p Cut Effects on Cumulant Ratios

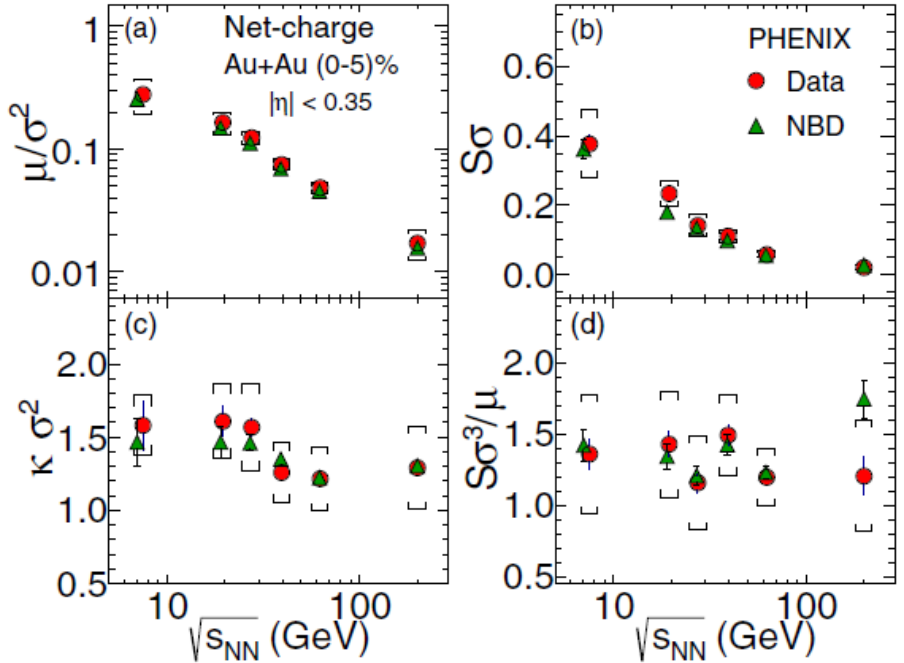
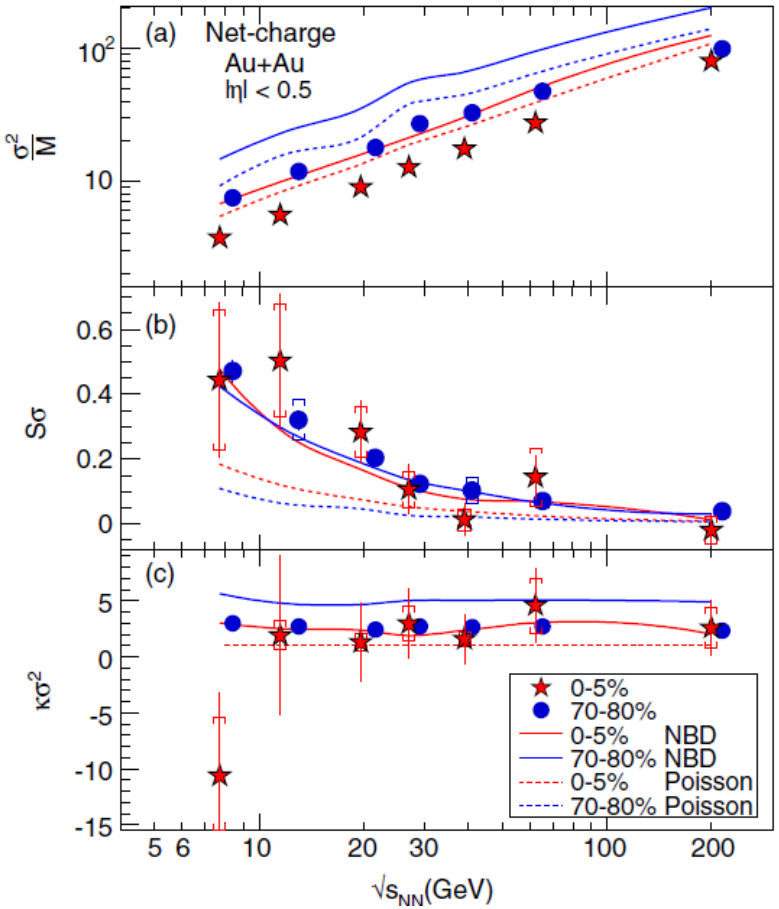


$p_{tmax} < 1$ GeV : stronger influences from Bose statistics

Electric Charge Fluctuations

Recent measurements @RHIC

STAR('14), PHENIX('15)

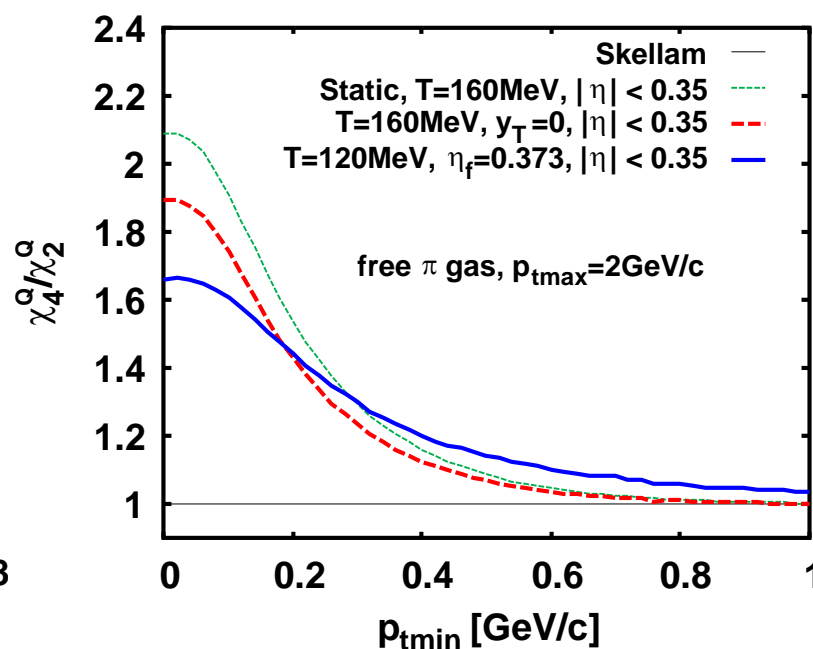
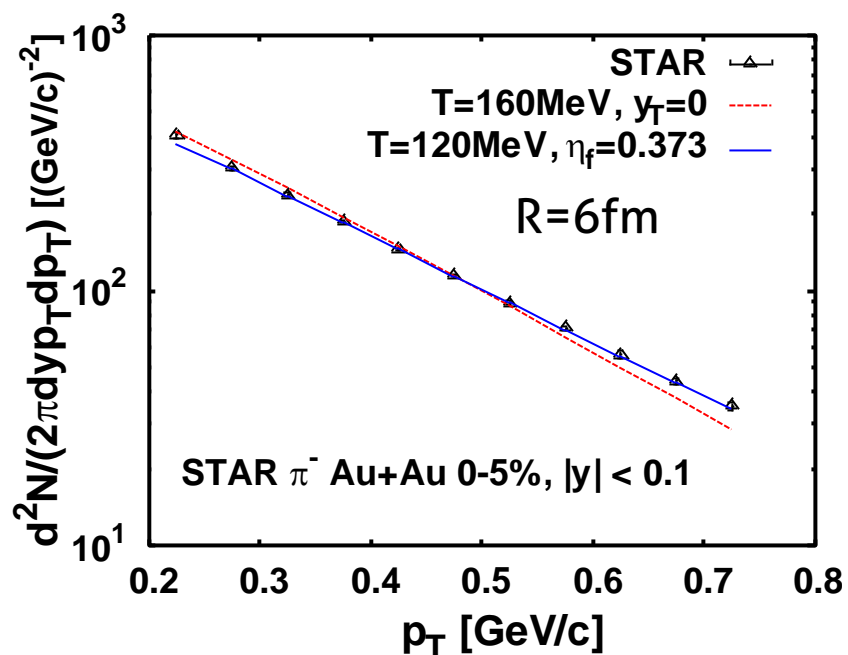


Statistics problem (particularly STAR)
PHENIX: above Skellam ?

Effects of expansion

$$\chi_n^Q \propto \frac{\partial^{n-1} N}{\partial^{n-1} \mu_Q} \quad N = \int \frac{d^3 p}{(2\pi)^3} \int d^4 x \frac{m_T \cosh(y - \eta_s)}{E_p} n_B(\mathbf{u} \cdot \mathbf{p}, T, \mu_Q) \exp\left(-\frac{r^2}{2R^2}\right) \delta(\tau - \tau_0)$$

Boost-invariant + Transverse Gaussian + Linear flow $v_T = \tanh^{-1} \eta_f \frac{r}{R}$

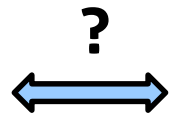


Similar χ_4/χ_2 in T=120 MeV w/ Flow and T=160 MeV w/o flow for $p_{tmin} \sim 0.2 \text{ GeV}$

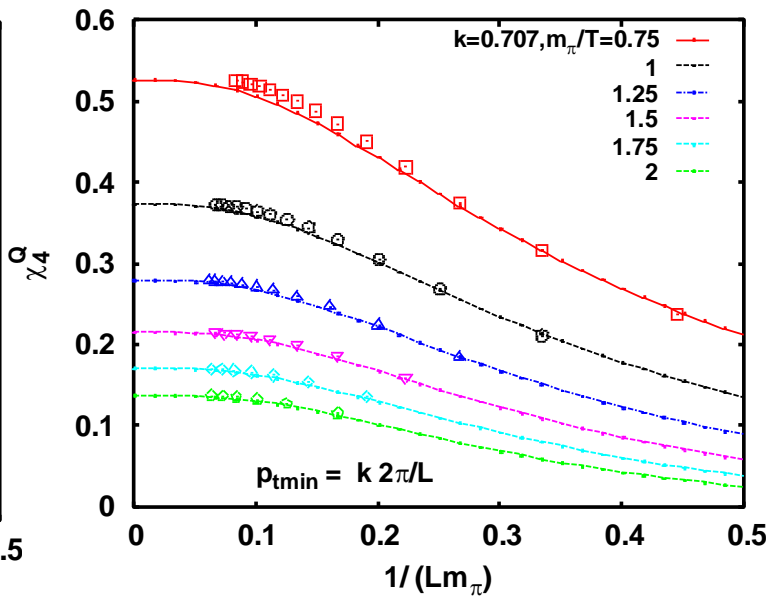
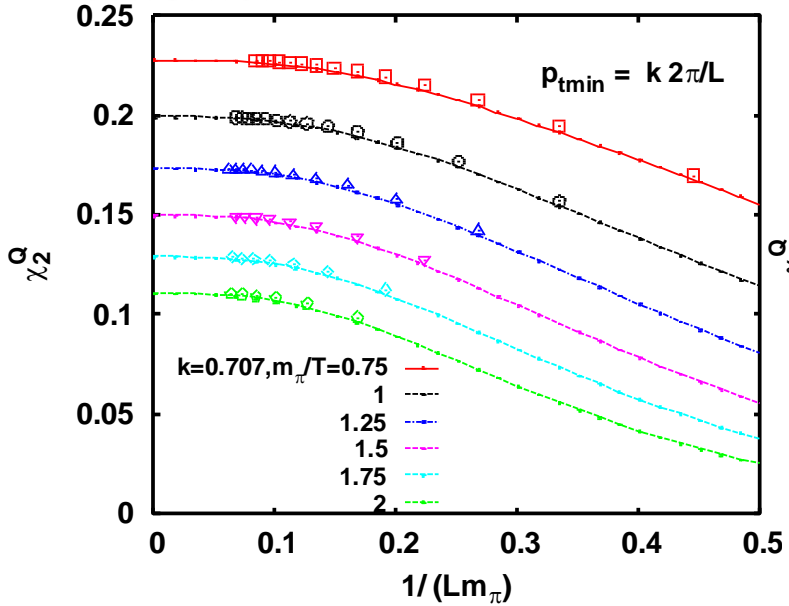
HRG results found in P. Garg et al., '13

Finite Size and Low pt cut

Pion gas in a finite (L^3) box
Periodic boundary
condition



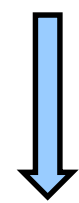
$$p_{tmin} = k \frac{2\pi}{L}$$



$|\eta| < 0.35$

$k = 0.707$

$[|\eta| < 5 : k=0.5]$



$$p_{tmin} = 0.2 - 0.3, \quad T = 0.16 \text{ GeV}$$

LQCD $LT \simeq 4$

$LT \simeq 2.4 - 3.6$

Electric Charge Fluctuations

Complementary to net-baryon

$$\chi_n^Q = \frac{1}{2^n} \left[\chi_n^B + \chi_n^I + \sum_{i=1}^{n-1} {}_n C_i \frac{\partial^n (p\beta^4)}{\partial(\beta\mu_I)^i \partial(\beta\mu_B)^{n-1}} \right]$$

Leading singularity from chiral transition

No “proton≠baryon” problem

Larger multiplicity (as π dominates) than net-baryon

✦ Less efficiency, however.