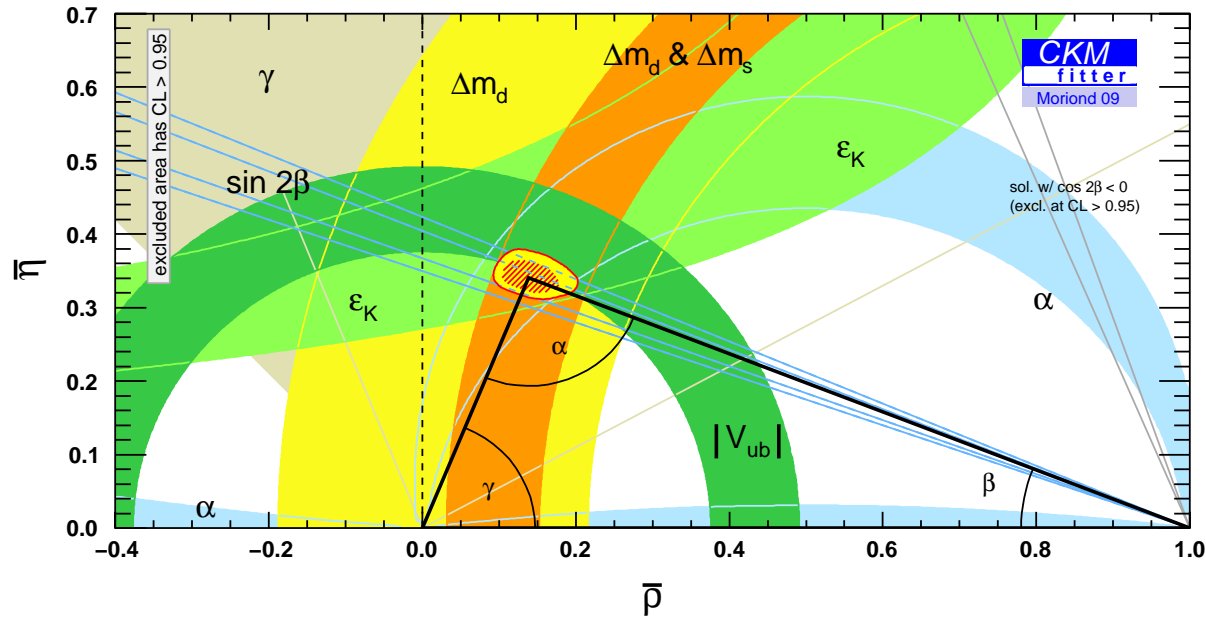


# BaBar Symposium 2009: theory overview

Einan Gardi (Edinburgh)



- Experimental confirmation of the SM picture of flavour physics
- Beginning of a new era: precision flavour physics

# BaBar Symposium 2009: theory overview

## Outline:

- The Standard Model picture of flavour:  
flavour  $\longleftrightarrow$  CP violation: confirmed!
- Flavour as a window into physics beyond the Standard Model  
Flavour is complementary to searches at colliders, having
  - sensitivity to symmetries
  - a far reach in energy, slow decoupling
- Specific measurements and the status of the Unitarity Triangle:
  - Time-dependent CP asymmetries: measuring the phases
  - Semileptonic decays:  $|V_{ub}/V_{cb}|$  and the role of QCD

richness: a major asset of flavour physics.
- Conclusions

# The Standard Model

gauge interaction (bosons):

$$\underbrace{SU(3)_{\text{color}}}_{\text{QCD}} \times \underbrace{SU(2)_L \times U(1)_Y}_{\text{electroweak}}$$

gluons  $W^\pm, Z^0, \gamma$

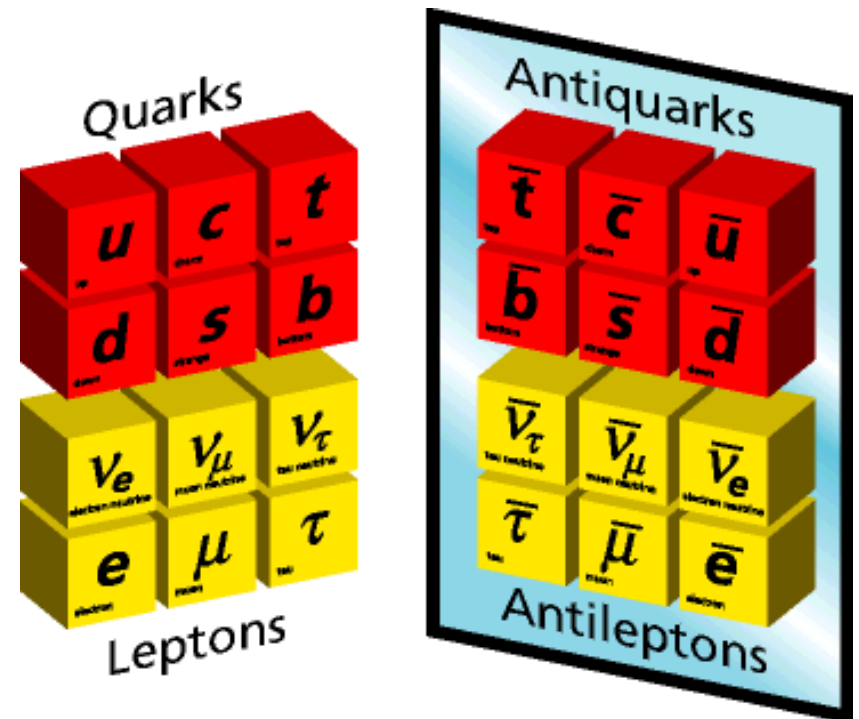
CPT invariance

(Charge–Parity–Time reversal)

Broken symmetries:

- **electroweak symmetry:** Higgs
  - **Massive** vector bosons:  $M_W = 80 \text{ GeV}$ ,  $M_Z = 91 \text{ GeV}$
- **flavour symmetry:** Yukawa interaction
  - quarks have **different masses** and couplings to Higgs
  - flavour–changing currents  $\implies$  **CP violation**

3 generations of matter:



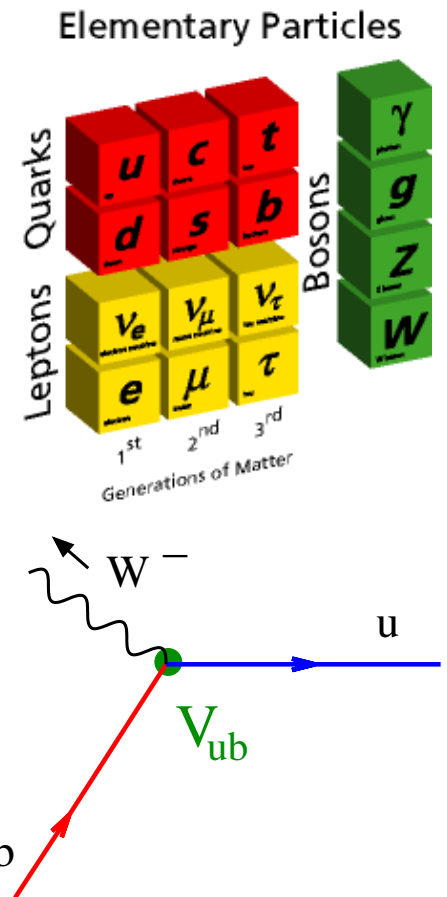
# The Cabibbo-Kobayashi-Maskawa (CKM) matrix

- SM flavour symmetry: 3 identical copies of the first generation; within each:  $u \rightarrow W^+ d$ .
- The symmetry is broken by masses  $\implies u \rightarrow W^+ d'$

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \underbrace{\begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}}_{V_{\text{CKM}}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Weak eigenstates

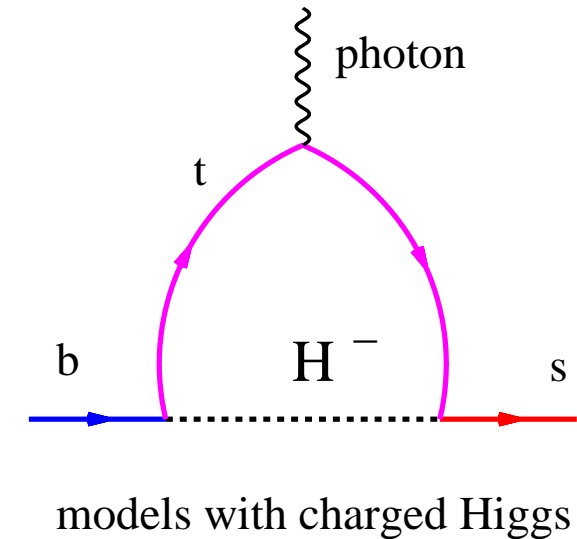
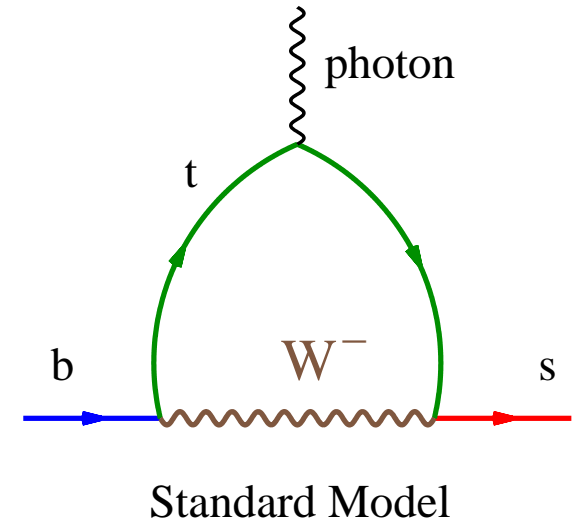
Mass eigenstates



- Unitarity:  $V_{\text{CKM}} V_{\text{CKM}}^\dagger = I$
- CP violation!  $\iff V_{\text{CKM}}$  complex, at least 3 generations (KM 1973)
- Higgs and neutral electroweak currents do not modify flavour:  
no Flavour Changing Neutral Currents (FCNC).

# Flavour changing neutral current: $b \rightarrow s\gamma$

- Standard Model (SM): at tree level *no flavour-changing neutral currents*.  
 $b \rightarrow s$  transition is *suppressed*, occurring through loops.
- Beyond the SM the width is modified:  
 $b \rightarrow s\gamma$  provides a strong constraint.
- *Currently*: good agreement with SM.  
*Uncertainties*:  $\sim 10\%$ .



# The small non-diagonal elements of CKM

CKM is *nearly diagonal* — Wolfenstein parametrization:

$$V_{\text{CKM}} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \simeq \begin{bmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

key point: off-diagonal elements are **small**,

$$\lambda = 0.2258 \pm 0.0014 \quad A \simeq 0.82,$$

$$|V_{ub}| \simeq 4 \cdot 10^{-3} \quad |V_{td}| \simeq 8.5 \cdot 10^{-3}$$

so in the SM flavour and CP-violating transitions are **suppressed**.

Most extensions of the SM (e.g. SUSY) introduce additional d.o.f.

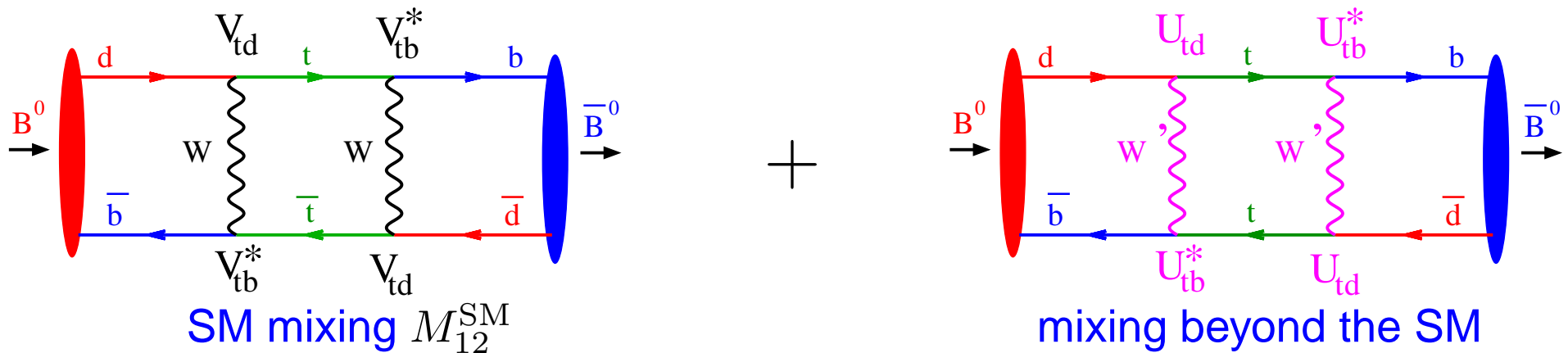
that would propagate in loops and change the amplitudes!

⇒ flavour-changing processes are **sensitive** to new physics!

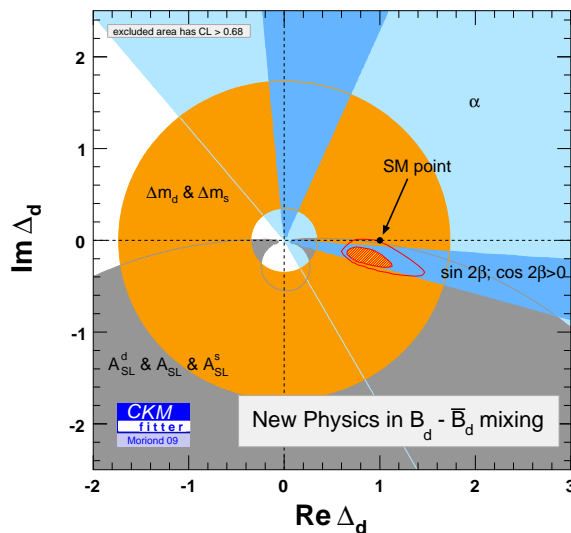
# $B^0 \leftrightarrow \bar{B}^0$ mixing and the New Physics “flavour problem”

Beyond the SM: new particles that induce flavour changing interactions.

Example: new  $W'$  interaction with mixing parameters  $U_{ud}$ :



$$M_{12}^{data} / M_{12}^{SM} = \Delta_d$$



- Potentially large effect: the SM off-diagonal terms are small

$$|V_{td}| \simeq 8.5 \cdot 10^{-3}$$

- $W'$  with generic flavour structure  $U_{tb}^* U_{td} \sim \mathcal{O}(1)$  is already excluded at LHC energies.

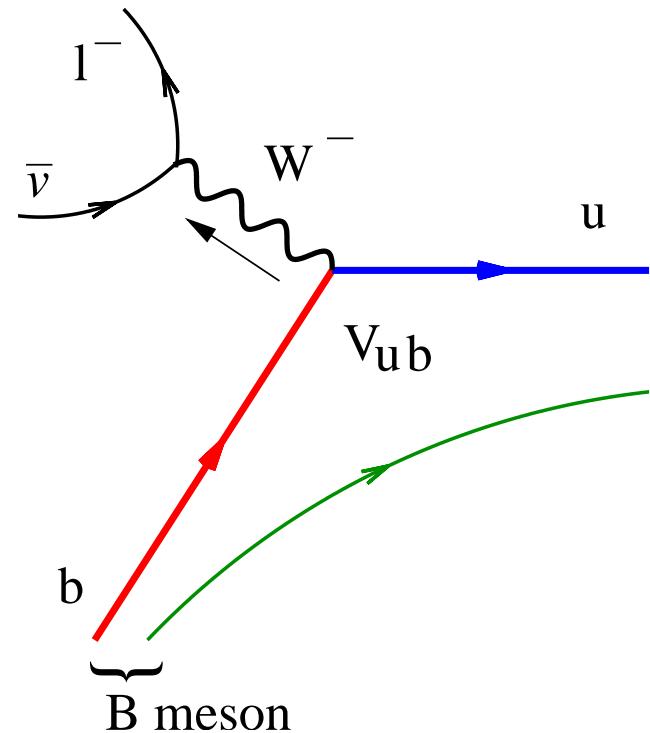
$$M_{W'} \gtrsim \frac{U_{tb}^* U_{td}}{V_{tb}^* V_{td}} M_W \simeq 10 \text{ TeV}$$

# Measuring the CKM parameters

$$\begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \simeq \begin{bmatrix} \text{nuclear } \beta \text{ decay} & K \rightarrow \pi l \nu & b \rightarrow ul \nu \\ c \rightarrow dl \nu & c \rightarrow sl \nu & b \rightarrow cl \nu \\ \text{loops } b \rightarrow d & \text{loops } b \rightarrow s & t \rightarrow bl \nu \end{bmatrix}$$

Many measurements from **b decays**:

- $V_{cb}$  and  $V_{ub}$ : SM tree-level decays



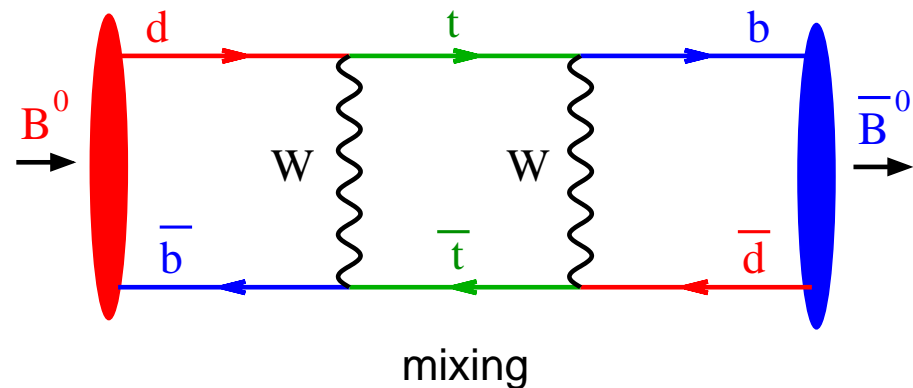
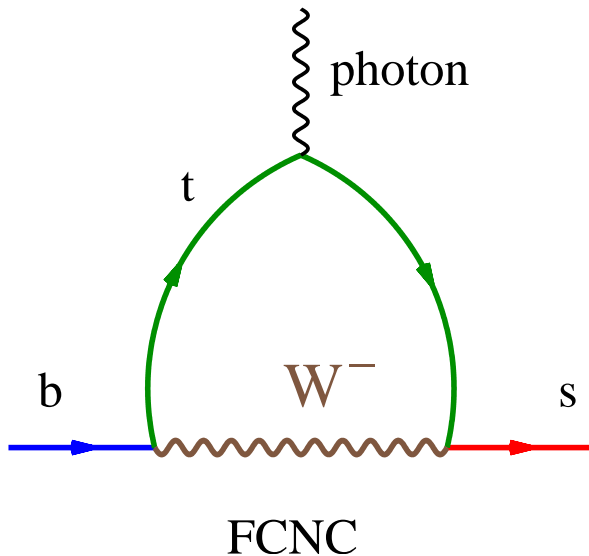
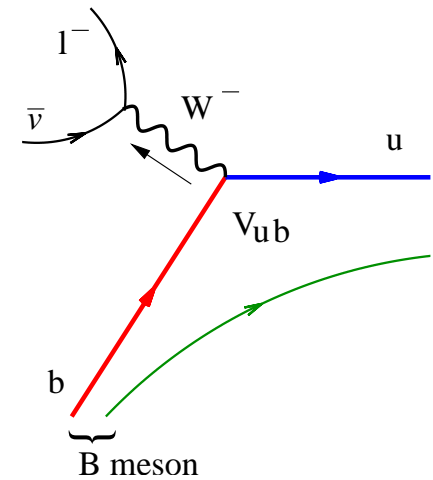


# Measuring the CKM parameters

$$\begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \simeq \begin{bmatrix} \text{nuclear } \beta \text{ decay} & K \rightarrow \pi l \nu & b \rightarrow ul \nu \\ c \rightarrow dl \nu & c \rightarrow sl \nu & b \rightarrow cl \nu \\ \text{loops } b \rightarrow d & \text{loops } b \rightarrow s & t \rightarrow bl \nu \end{bmatrix}$$

Many measurements from **b decays**:

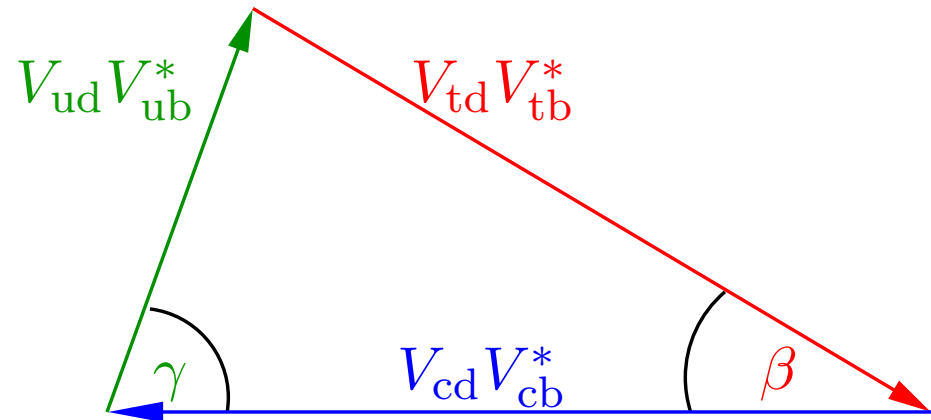
- $V_{cb}$  and  $V_{ub}$ : SM tree-level decays
- $V_{td}$  and  $V_{ts}$  involve **loops**  
 $\implies$  **sensitive** to new physics!



# CKM and the Unitarity Triangle

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = I \implies V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

$$V_{\text{CKM}} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

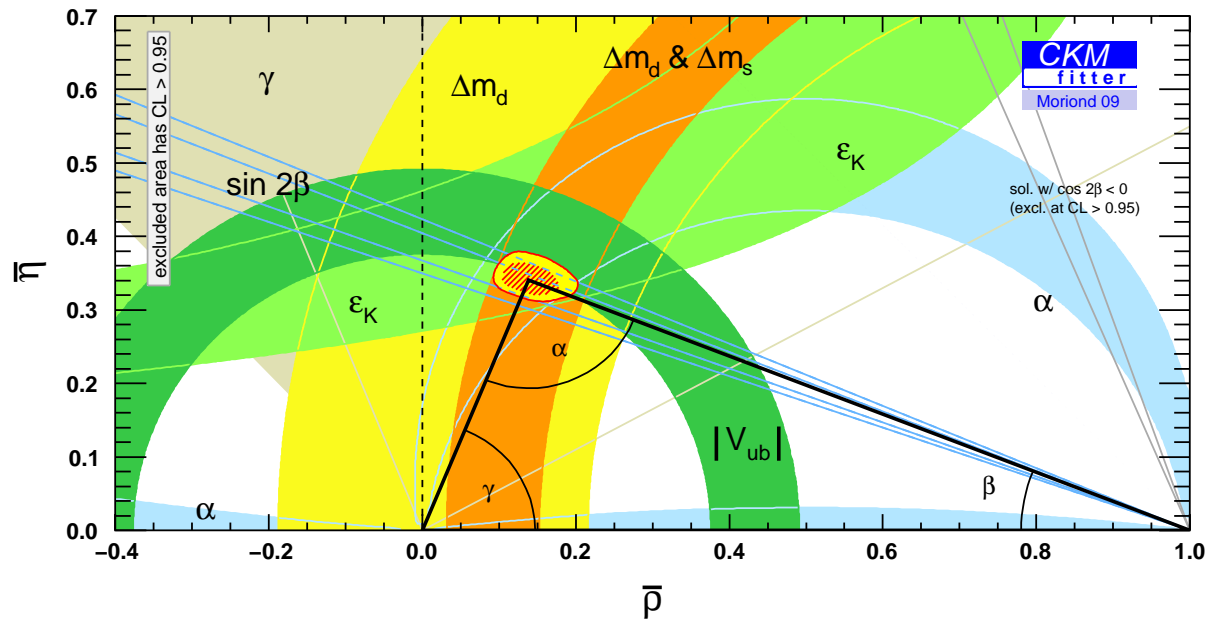
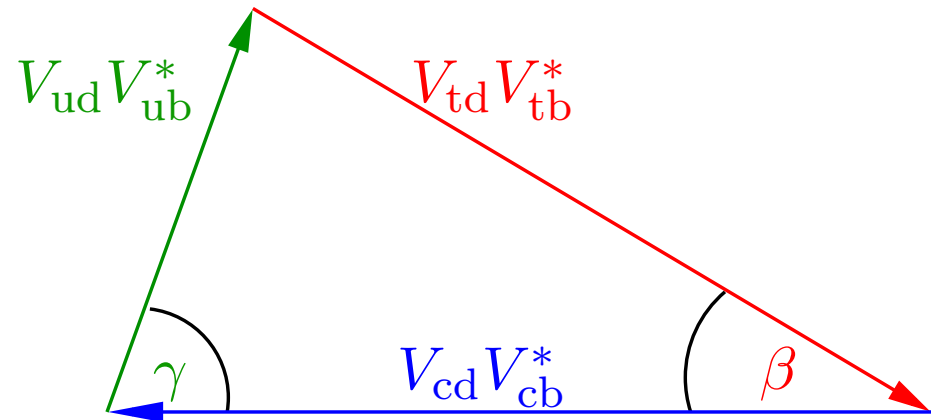


The goal: over-constraining the triangle

# CKM and the Unitarity Triangle

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = I \implies V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

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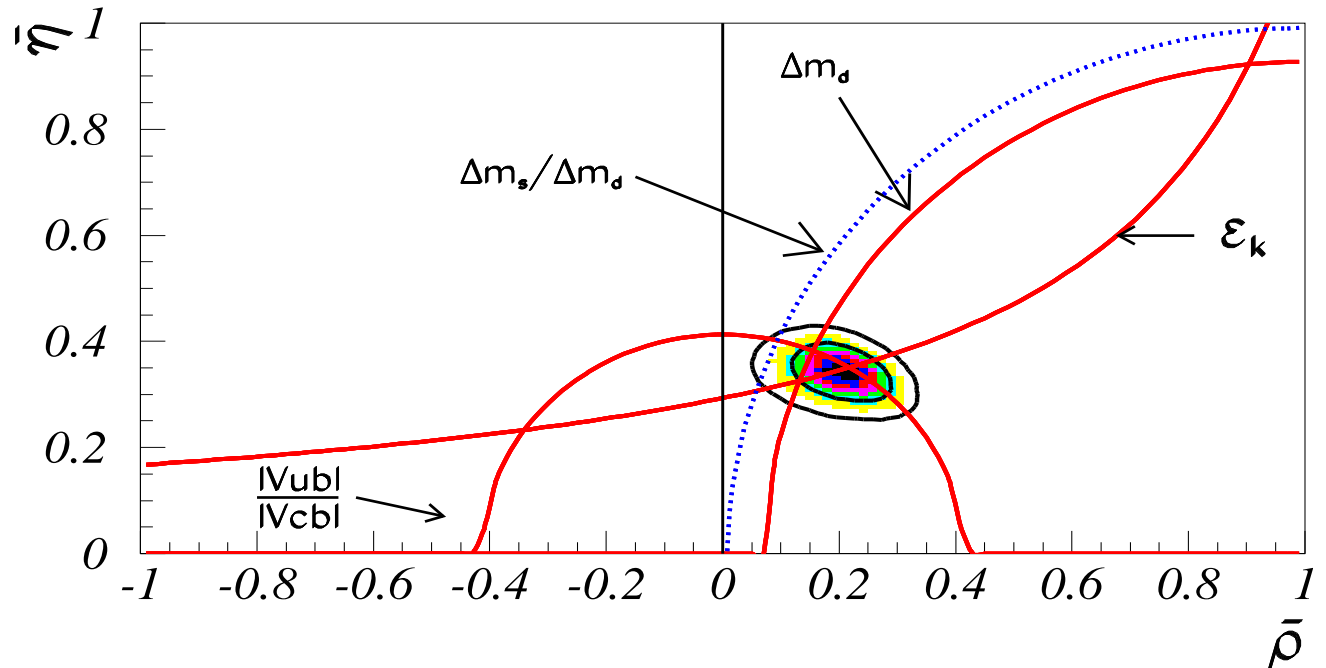


# Constraining the unitarity triangle

10 years ago:

sides:  $\sim \pm 20\%$

No angles!

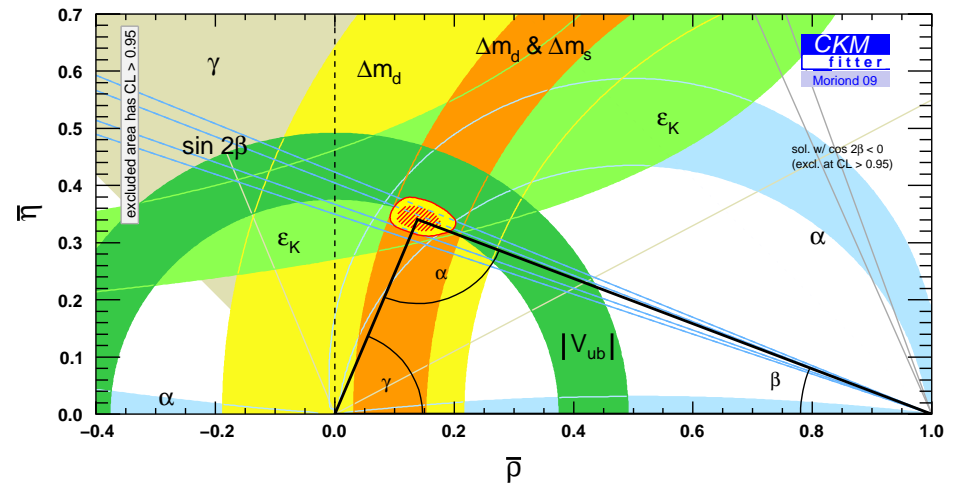


Now:

many precise constraints

Especially angles!

KM mechanism confirmed.



## $B^0 \leftrightarrow \bar{B}^0$ mixing

Meson mass matrix is non-diagonal in the flavour basis due to

$$O^{(6)} = (\bar{b}\gamma^\mu(1 - \gamma_5)d)^2.$$

Mass eigenstates:  $|B_{L,H}\rangle = p |B^0\rangle \mp q |\bar{B}^0\rangle$ ;  $q/p \simeq e^{-2i\beta}$ .

$\Rightarrow B^0$  and  $\bar{B}^0$  oscillate into each other with a frequency

$$\Delta m \equiv m_H - m_L = 2|\mathcal{M}_{12}|.$$

$$\mathcal{M}_{12} \sim \frac{g^4}{(8\pi M_W)^2} \underbrace{(V_{tb}^* V_{td})^2}_{\text{phase } e^{-2i\beta}} \underbrace{\langle B^0 | O^{(6)} | \bar{B}^0 \rangle}_{\text{confinement}} \rightarrow$$

- The oscillation frequency:

$$\Delta m_{B_d} = 0.507 \pm 0.005 \text{ ps}^{-1},$$

$$\Delta m_{B_s} = 17.77 \pm 0.12 \text{ ps}^{-1},$$

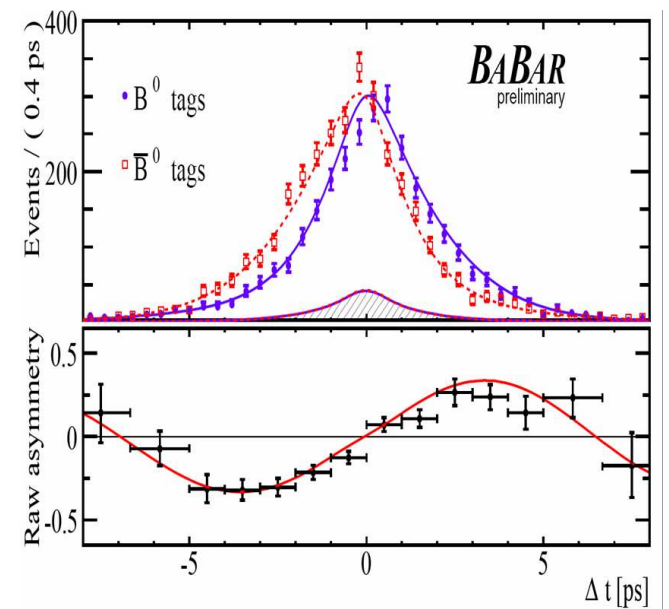
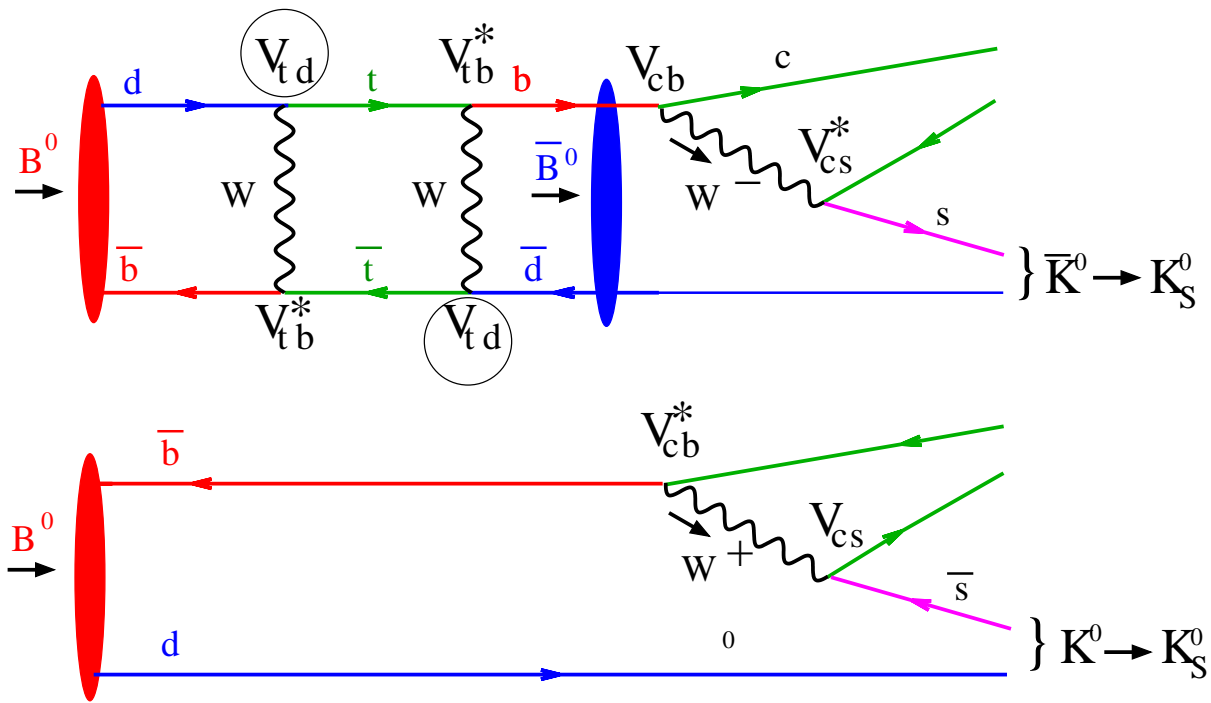
**Lattice QCD** needed to extract  $|V_{td}|$ ! — talk by Christine Davies

- $P(B^0 \rightarrow \bar{B}^0)$  depends on  $|q/p|^2$  — how can we measure  $\beta$ ?  
time-dependent CP asymmetry in interference between mixing and decay **Bigi & Sanda (1981)**

# CP violation: interference between mixing and decay

Consider a final state  $f_{CP}$  that can be reached from both  $B^0$  and  $\bar{B}^0$ :

$$\Gamma(B^0 \rightarrow f_{CP}) = \left| A(B^0(0) \rightarrow B^0(t)) A(B^0 \rightarrow f_{CP}) + \underbrace{A(B^0(0) \rightarrow \bar{B}^0(t)) A(\bar{B}^0 \rightarrow f_{CP})}_{\text{phase: } e^{-2i\beta}} \right|^2$$

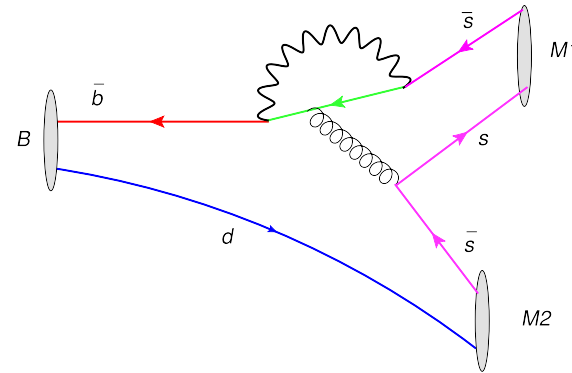


Time-dependent CP asymmetry in  $B_d^0 \rightarrow c\bar{c}K_S^0$ :

$$a_{c\bar{c}K_S}(t) \equiv \frac{\Gamma(\bar{B}^0(t) \rightarrow c\bar{c}K_S) - \Gamma(B^0(t) \rightarrow c\bar{c}K_S)}{\Gamma(\bar{B}^0(t) \rightarrow c\bar{c}K_S) + \Gamma(B^0(t) \rightarrow c\bar{c}K_S)} = \sin(2\beta) \sin(\Delta mt)$$

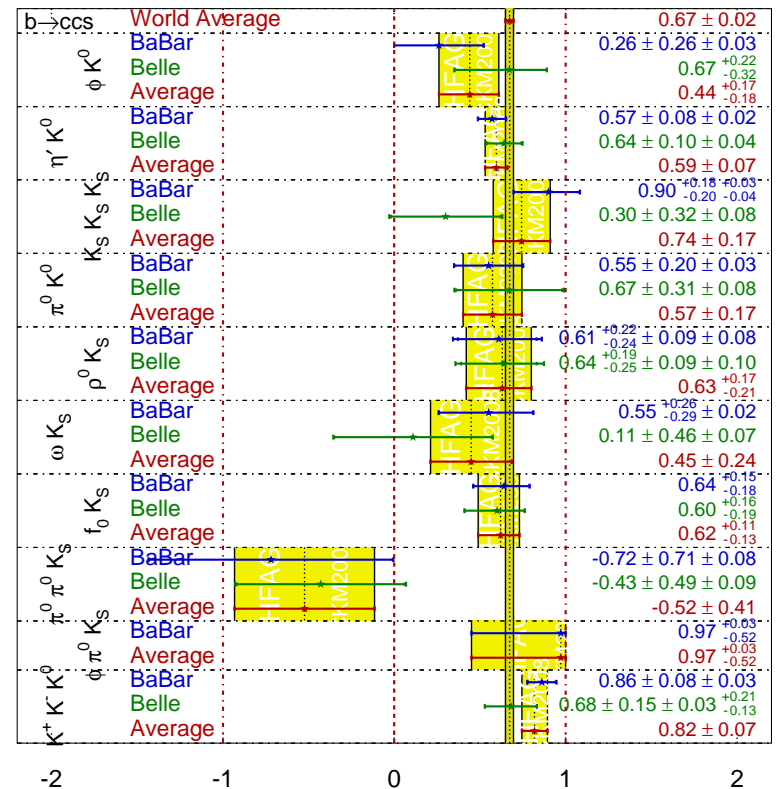
# $\beta$ from Penguin decays

- $B^0 \rightarrow J/\Psi K_S$  is a tree decay — new physics in the mixing only.
- Penguin modes  $b \rightarrow ss\bar{s}$ , e.g.  $B^0 \rightarrow \phi K^0$  are sensitive to new physics also through the decay.
- $B^0 \rightarrow J/\Psi K_S$  and  $B^0 \rightarrow \phi K^0$  are theoretically clean: single decay diagram. QCD effects drop out in the asymmetry.
- In many cases different contributions from tree and penguin involving weak phases are weighted by different factors.  $\implies$  QCD is necessary.



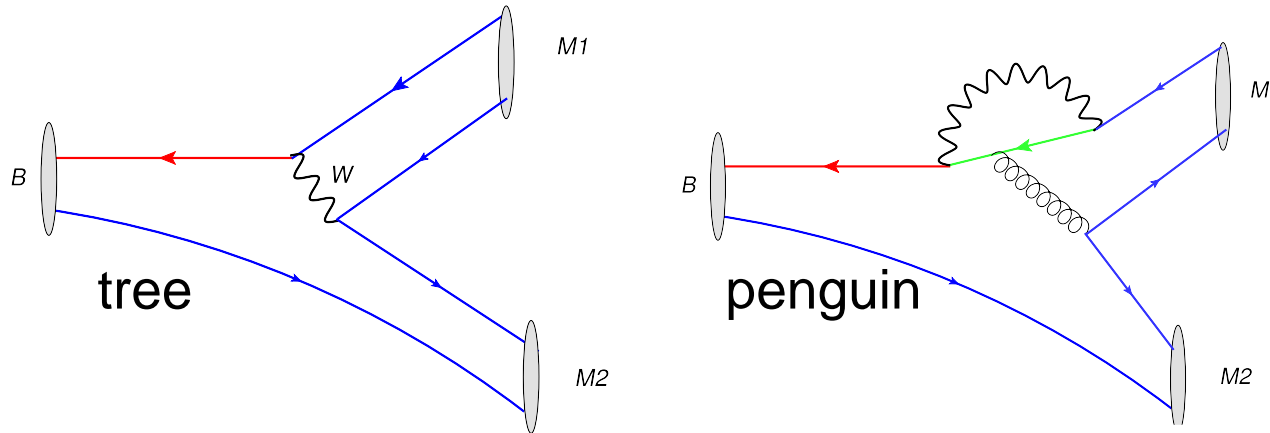
$$\sin(2\beta^{\text{eff}}) \equiv \sin(2\phi_1^{\text{eff}})$$

**HFAG**  
CKM2008  
PRELIMINARY



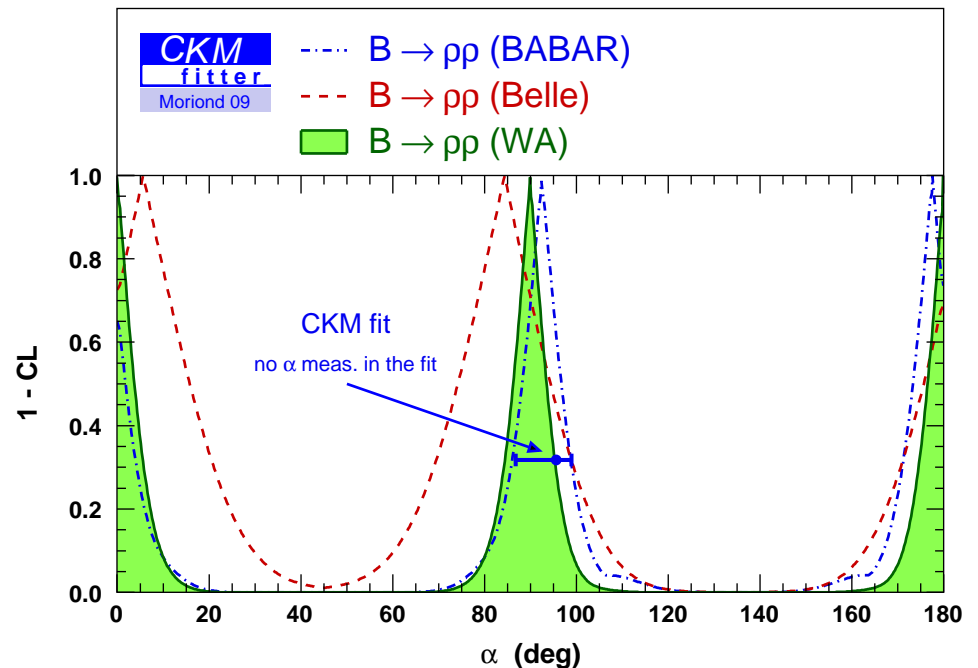
# Measuring the weak angle $\alpha: B \rightarrow \pi\pi, \rho\rho$

Measuring  $\alpha = \arg\left(-\frac{V_{tb}^* V_{td}}{V_{ud} V_{ub}^*}\right)$ : both Tree and Penguin decays



new results!

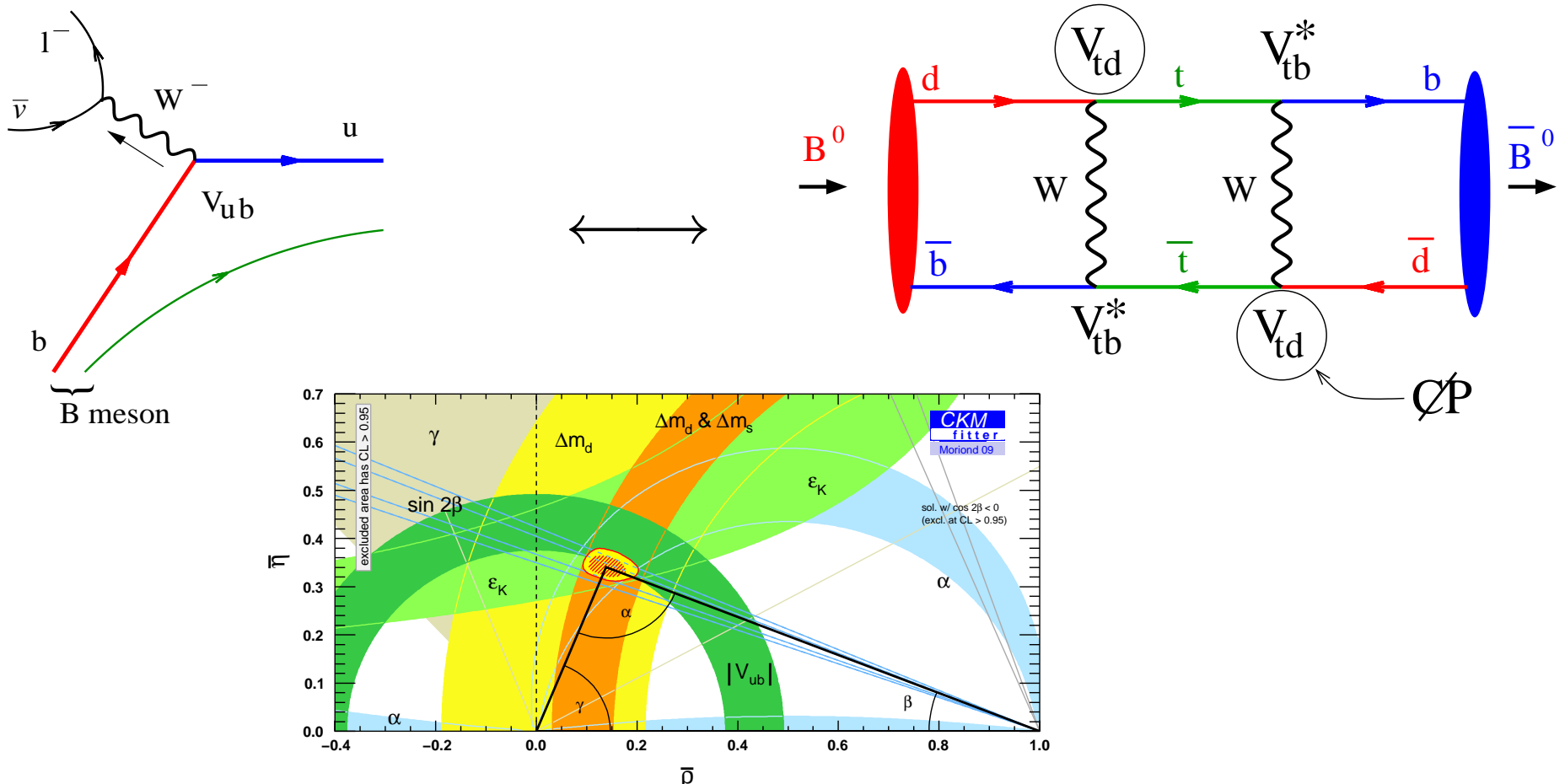
- using several modes and isospin symmetry
- precision:  $\pm 5\%$  !





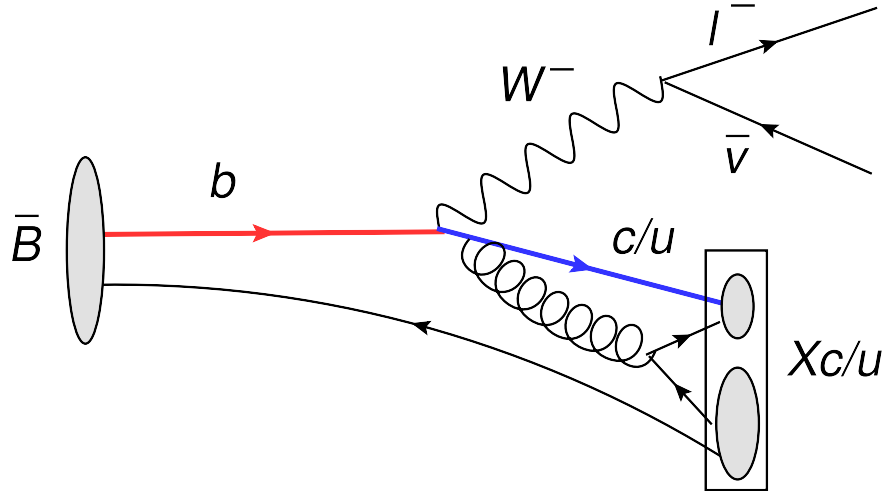
# The crucial role of semileptonic B decays

- $|V_{ub}/V_{cb}|$  (Unitarity Triangle side) is determined by tree-level Weak decays,  $b \rightarrow ul\bar{\nu}$  and  $b \rightarrow cl\bar{\nu}$ , free of physics BSM
- Confronted with constraints **involving loops** — sensitive to physics beyond the SM, e.g.  $\sin(2\beta)$  from  $B^0 \leftrightarrow \bar{B}^0$ .



# Inclusive vs. Exclusive semileptonic B decays

Inclusive final state



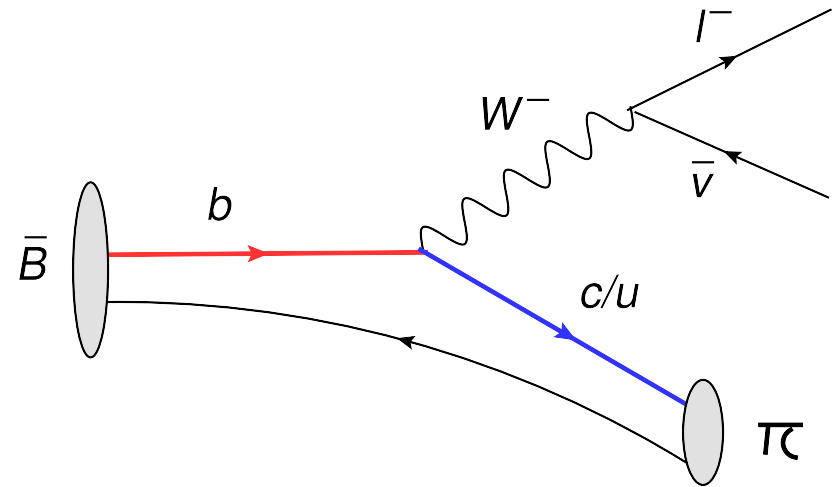
$$\Gamma = \frac{G_F^2 |V_{qb}|^2}{192\pi^3} m_b^5 (1 + \dots)$$

Total width easy to compute:

confinement is  $\mathcal{O}(\Lambda^2/m_b^2)$

but — in  $b \rightarrow u$  kinematic cuts are essential to reduce  $b \rightarrow c$  background

Exclusive final state



$$d\Gamma/dq^2 = \frac{G_F^2 |V_{qb}|^2}{192\pi^3} |f_+(q^2)|^2$$

Experimentally: Good S/B

but — proportional to form factor:

confinement is  $\mathcal{O}(1)$  — need

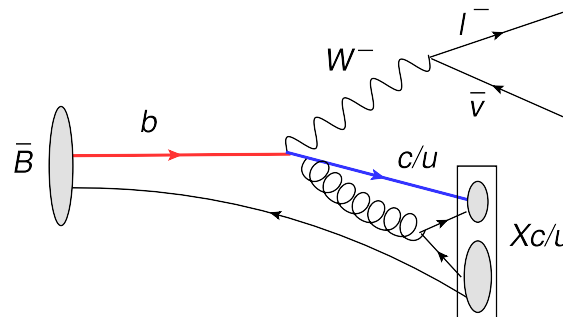
Lattice — talk by Christine Davies

Inclusive and Exclusive have different strengths — complementarity!

# Inclusive semileptonic $b \rightarrow u$ decays

- Inclusive  $b \rightarrow u$  has an overwhelming **charm background**:

$$\frac{\Gamma(b \rightarrow ul^{-}\bar{\nu})}{\Gamma(b \rightarrow cl^{-}\bar{\nu})} = \frac{|V_{ub}|^2}{|V_{cb}|^2} \simeq \frac{1}{50}$$



- $b \rightarrow c$  events always have  $M_X > 1.7 \text{ GeV}$  — cuts distinguish them!

- Many experimental analyses; measured branching fraction varies: 20%– 70% of the total.

⇒ To extract  $|V_{ub}|$  we need to compute the spectrum.

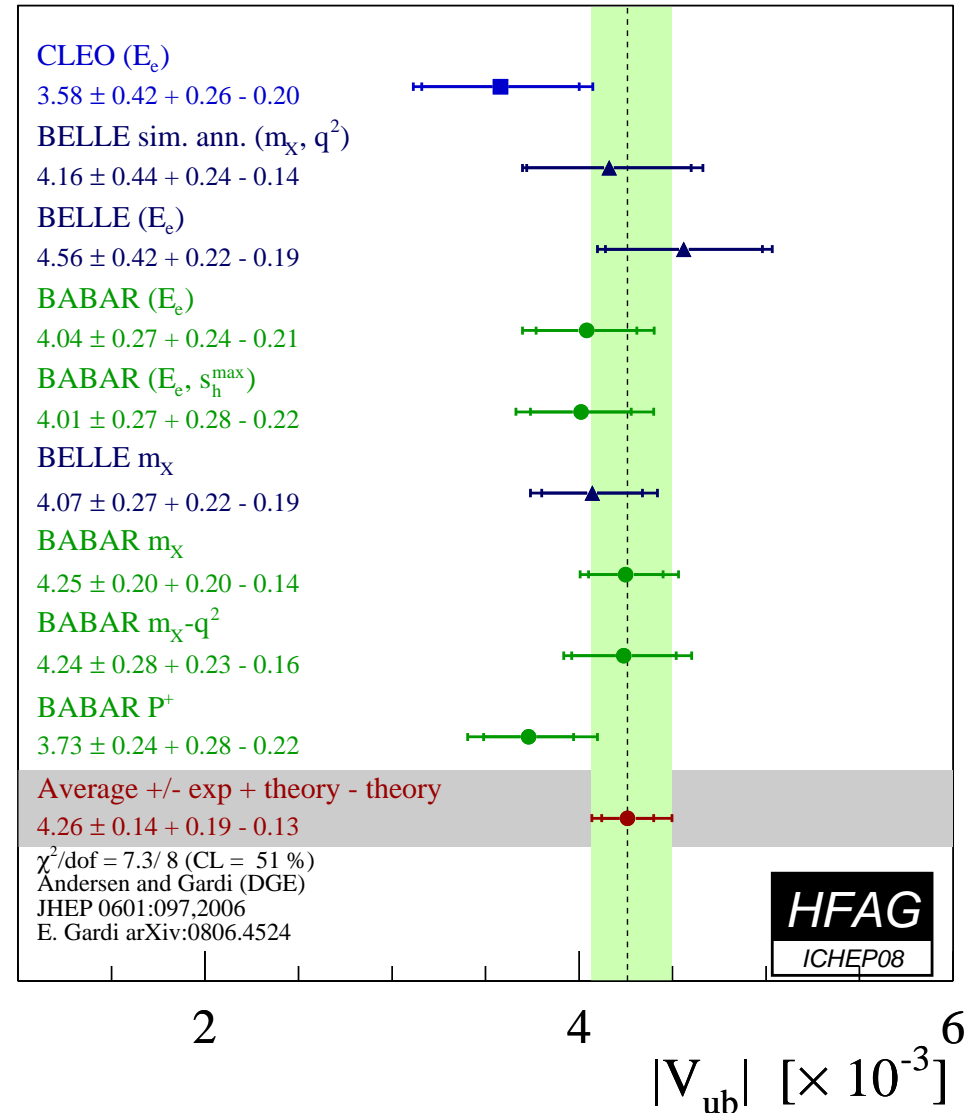
- OPE does not apply in a restricted kinematic region. For small  $M_X$  there are large corrections...

- Major progress on the theory side. Different approaches:

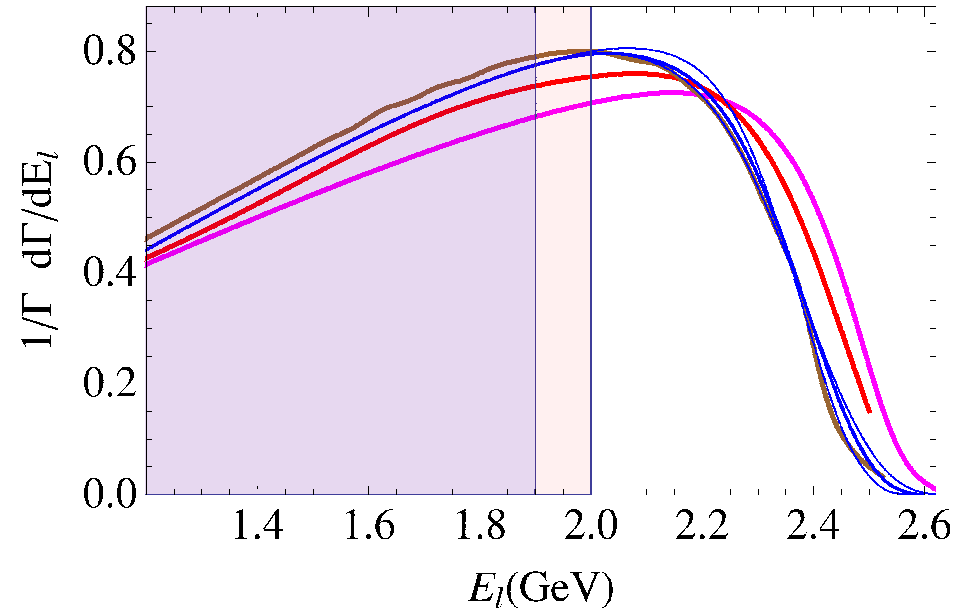
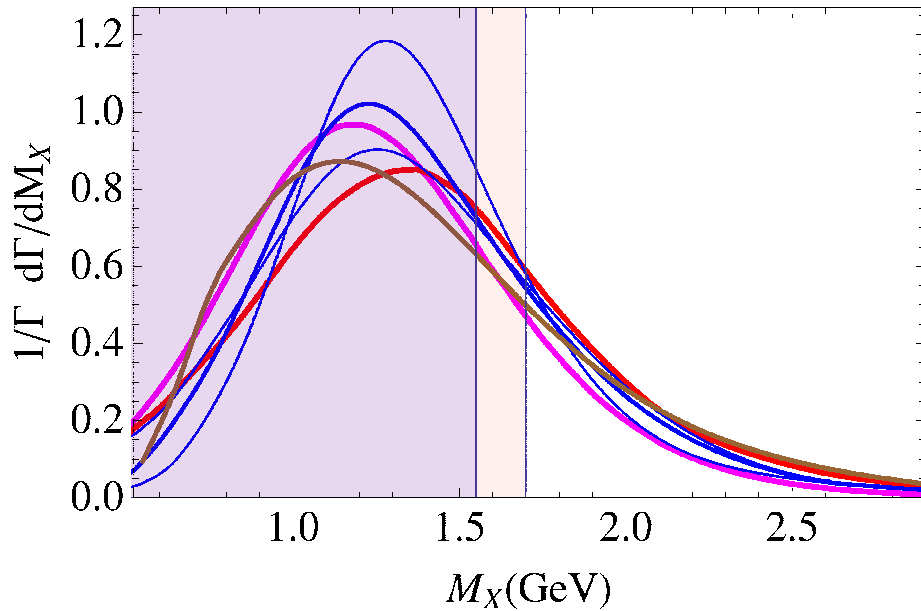
- Expansion in shape functions, matched with OPE (BLNP)
- Resummed perturbation theory + power corrections (DGE)
- OPE-based structure-function parametrization (GGOU)

# World Average $|V_{ub}|$ from inclusive decays (using DGE)

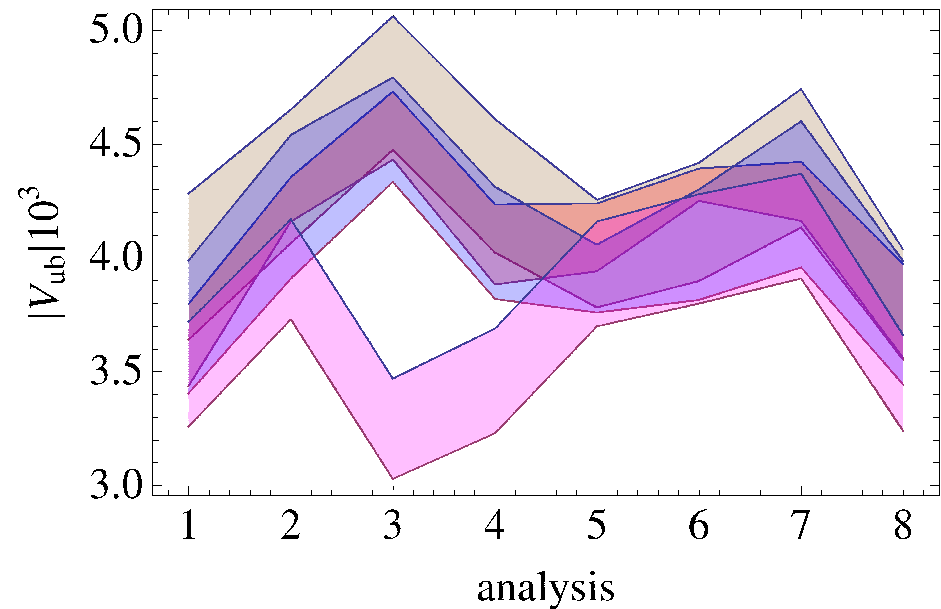
- CLEO, Belle & BaBar performed several inclusive measurements of the partial  $b \rightarrow u$  width with different kinematic cuts on  $E_l$ ,  $q^2$ ,  $M_X$ , etc.
- Each measurement is translated by HFAG into a value for  $|V_{ub}|$
- The results are all consistent.



# Comparing the different theoretical approaches



- DGE-BLNP-GGOU: consistent spectra
- Consistent  $|V_{ub}|$  from each analysis within non-parametric theory uncertainty



# Semileptonic decays: up-to-date results, tensions

## Tension I: $|V_{cb}|$ Inclusive vs. Exclusive

$$|V_{cb}|_{\text{incl.}} = (41.5 \pm 0.5 \pm 0.6) \cdot 10^{-3} \quad \text{Gambino et al.}$$

$$|V_{cb}|_{\text{excl.}} = (38.2 \pm 0.5 \pm 1.1) \cdot 10^{-3} \quad \text{Laiho et al.}$$

## Tension II: $|V_{ub}|$ Inclusive vs. Exclusive

$$|V_{ub}|_{\text{incl.}} = (4.2 \pm 0.2 \pm 0.2) \cdot 10^{-3} \quad \text{DGE, BLNP, GGOU}$$

theory uncertainty dominated by b-quark mass  $m_b = 4.24 \pm 0.04 \text{ GeV}$

$$|V_{ub}|_{\text{excl.}} = (3.4 \pm 0.1 \pm 0.4) \cdot 10^{-3} \quad \text{HPQCD, Fermilab/MILC, LCSR}$$

**Inclusive vs. Exclusive is perplexing: new physics at tree level?**

**Right-handed currents are not excluded... [Chen& Nam 2008]**

## Tension III: Inclusive semileptonic $|V_{ub}|$ vs. $\sin(2\beta)$ :

$$|V_{ub}|_{\sin(2\beta)} = 3.5 \pm 0.2 \quad \text{Global fits (UTfit, CKMfitter)}$$

**New physics in  $\sin(2\beta)$ ? — not conclusive**

# Leptonic decays: more tension

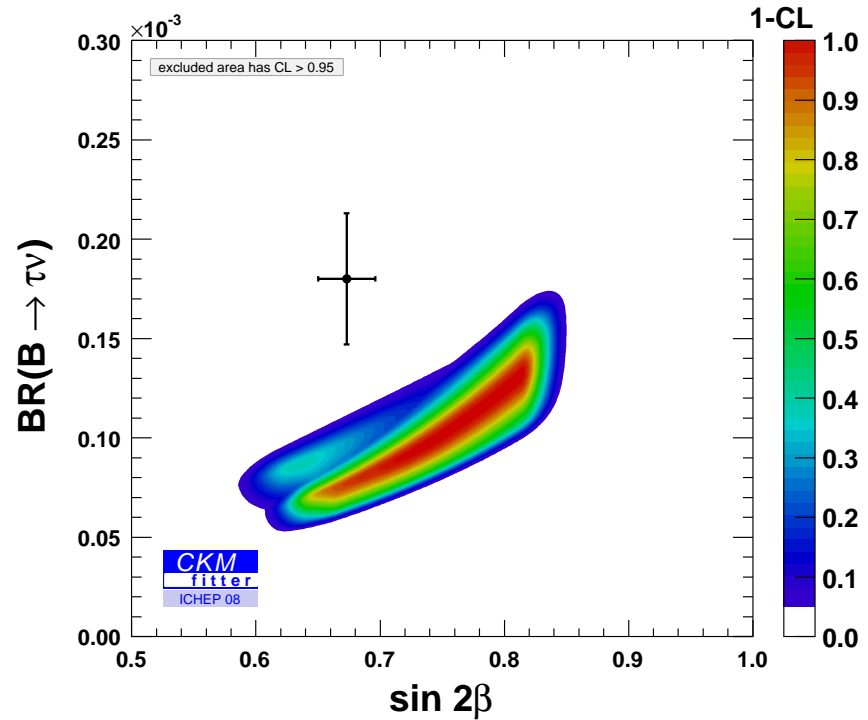
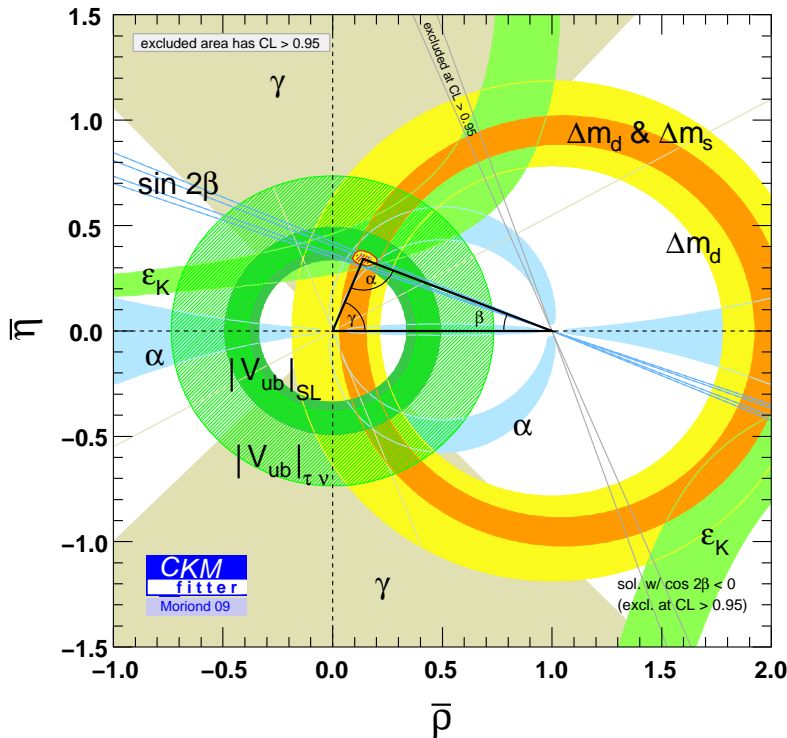
Tension IV:  $|V_{ub}|$  from leptonic  $B \rightarrow \tau\nu$  vs.  $\sin(2\beta)$ :

Standard Model: 
$$\mathcal{B}(B \rightarrow \tau\nu_\tau) = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left(1 - \frac{m_\tau^2}{m_B^2}\right) f_B^2 |V_{ub}|^2 \tau_B$$

decay constant:  $f_B = 0.216 \pm 0.022$  GeV [HPQCD 2005]

$$\mathcal{B}(B \rightarrow \tau\nu_\tau) = (1.73 \pm 0.35) \cdot 10^{-4}$$

CKMfitter based on BaBar 08, Belle 09



- Right-handed current can enhance  $\mathcal{B}(B \rightarrow \tau\nu_\tau)$ , Chen & Nam 08
- New physics in  $\sin(2\beta)$ ? — still not conclusive.

## Conclusions

- The B factories (and Tevatron) confirmed the CKM picture!
- Severe constraints on new physics: extra particles in the TeV range generate very little  $\Delta F = 1$  and  $\Delta F = 2$  transitions.  
⇒ Either not there, or have a special flavour structure
- Complementarity: **high-energy frontier** and precision measurements in the **flavour sector** (energy reach, properties)
- We do not yet have a theory of flavour. If we are lucky hints may come from investigating the EW symmetry breaking.
- Good control of **QCD** is essential for precision flavour physics. A lot of progress. The experiments gave the proper boost.
- Experimental effort in B physics continues: LHCb, super B factories, promising a lot of interesting physics.



# LHC and (super) B factories: B physics program

Highly complementary program in (super) B factories and LHCb:

## B factories

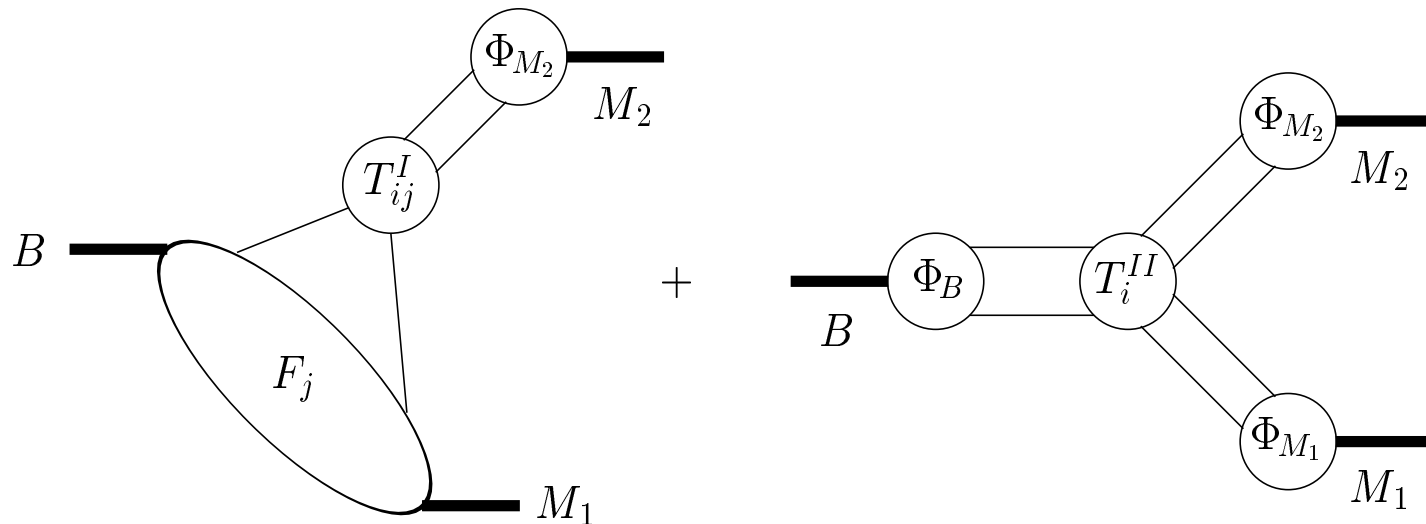
- semileptonic decays:  $|V_{ub}|$
- mixing in  $B_d^0$ , tree & penguin modes
- rare FCNC decays, e.g.  $B \rightarrow X_{s/d}\gamma$ ,  $B \rightarrow \tau\nu$

## LHC

- $B \rightarrow DK$ : measure  $\gamma$
- mixing in  $B_s^0$ :  $B_s \rightarrow \psi\phi$  (tree) & penguin modes
- rare FCNC decays, e.g.  $B \rightarrow K^*\mu^+\mu^-$ ,  $B_s \rightarrow \mu^+\mu^-$

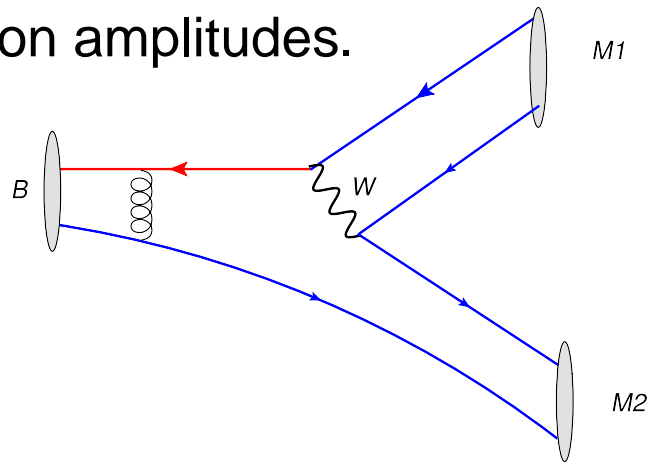
## Measuring the weak angle $\alpha$ : theory

QCD Factorization: separating computable short-distance effects from universal long-distance effects to leading order in  $\Lambda/m_b$ , all orders in  $\alpha_s$ :  
[BBNS (2000)]



Form factor is not sufficient — there are HARD spectator interactions:

⇒ Need to quantify distribution amplitudes.



# Ways beyond the Standard Model

Two complementary elements:

- high–energy frontier: search for new heavy particles
- flavour physics: precision measurements at low energy that are sensitive to symmetry properties.

SM flavour- and CP-violating interactions are *highly constrained*

⇒ a variety of rare transitions (with well–predicted SM rates)

⇒ sensitivity to new physics!

- lepton sector ( $\nu$  oscillations, LFV  $\mu \rightarrow e\gamma$ ,  $e$  EDM, ...)
- quark sector (B physics, K physics, ...)

## Theoretical tool box

- Effective weak Hamiltonian: integrating out  $W$  and  $t$ .
- $m_b \gg \Lambda_{QCD}$ :
  - factorization: form factors, distribution amplitudes,...
  - heavy quark expansion in powers of  $\Lambda_{QCD}/m_b$
  - perturbation theory: expansion in  $\alpha_s(m_b)$
- $\Lambda_{QCD} \gg m_s, m_d, m_u$ :  $SU(2)$  or  $SU(3)$  global symmetries
- QCD sum rules on the lightcone — suitable for decay into light energetic partons. Unfortunately, not precise.
- Lattice gauge theory — systematic regularization of QCD!  
Difficult to deal with light energetic particles.