# Emittance Preservation

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#### The importance of low emittance

• Low emittance is a key figure of merit for circular and linear colliders

$$
\mathcal{L} = \frac{N_+ N_- f}{2\pi \Sigma_x \Sigma_y}
$$

$$
\Sigma_{x,y} = \sqrt{\sigma_{x,y+}^{*2} + \sigma_{x,y-}^{*2}}
$$

- The luminosity depends directly on the horizontal and vertical emittance
- In case of round and the same beams for both beams

$$
\mathcal{L} = \tfrac{N_{+}N_{-}f}{4\pi\beta^{\ast}\varepsilon}
$$

- Brightness is a key figure of merit for Synchrotron Light Sources
	- High photon brightness needs low electron beam emittance

#### Reasons for non-conserved emittances

- Liouville's theorem: area ( $\rightarrow$  emittance) in phase space stays constant under conservative forces
- Some effects to decrease emittance
	- Synchrotron radiation: charged particle undergoing acceleration will radiate electromagnetic waves
		- Radiation power depends on mass of particle like 1/m<sup>4</sup>
		- Comparison of  $p<sup>+</sup>$  and  $e<sup>-</sup>$  for the same energy

$$
\frac{P_p}{P_e} = (\frac{m_e}{m_p})^4 = 8.8 \times 10^{-14}
$$

- Stochastic or e—cooling
- Many effects to increase emittance
	- Intra-beam scattering, power supply noise, crossing resonances, instabilities,...
	- Alignment errors, dispersion for e<sup>-</sup> Linacs
	- **Mismatch at injection into synchrotrons** or linacs

#### Example: the LHC injector chain

- Proton beams through the LHC injector chain
	- βγ normalized emittances



**Significant blow up in both planes.** 

**~ 50 % in horizontal plane from PSB to PS.**

**Big contribution from injection mismatch**

## Defining Emittance

• Defining **action-angle variables**

**Cartesion coordinates** 

$$
(x,x')\ (y,y')\ (z,\delta)
$$



**Action-angle variables:**

$$
2\overline{J_x} = \gamma_x x^2 + 2\alpha_x x' x + \beta_x x'^2
$$

$$
\tan \phi_x = -\beta_x \frac{x'}{x} - \alpha_x
$$

**The advantage of action-angle variables: The action of a particle is constant under symplectic transport**

#### Preserving phase space

• Symplectic operations, i.e. matrices, preserve phase space areas



## Defining Emittance

- $J_{x}$ … amplitude of the motion of a particle
	- The Cartesian variables expressed in action-angle variables

$$
x = \sqrt{2\beta_x J_x} \cos \phi_x
$$

$$
x' = -\sqrt{\frac{2J_x}{\beta_x}} (\sin \phi_x + \alpha_x \cos \phi_x)
$$

• The emittance is the average action of all particles in the beam:

$$
\boxed{\varepsilon_x = \bra{J_x}}
$$

#### Emittance – statistical definition

- Emittance  $\equiv$  spread of distribution in phase-space
- Defined via 2<sup>nd</sup> order moments

$$
\sigma = \begin{pmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle xx' \rangle & \langle x'^2 \rangle \end{pmatrix}
$$

• **RMS emittance:**

$$
\varepsilon = \sqrt{|\sigma|} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}
$$

#### Steering (dipole) errors

- Precise delivery of the beam is important.
	- To avoid **injection oscillations** and emittance growth in rings
	- For stability on secondary particle production targets



– Injection oscillations = if beam is not injected on the closed orbit, beam oscillates around closed orbit and eventually filaments (if not damped)

#### Reminder - Normalised phase space

• Transform real transverse coordinates *x*, *x* ' by

$$
\begin{bmatrix} \overline{\mathbf{X}} \\ \overline{\mathbf{X}} \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \sqrt{\frac{1}{\beta_S}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha_S & \beta_S \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}
$$

$$
\overline{\mathbf{X}} = \sqrt{\frac{1}{\beta_S}} \cdot \alpha_S x + \sqrt{\beta_S} x'
$$

#### Reminder - Normalised phase space



• What will happen to particle distribution and hence emittance?



• What will happen to particle distribution and hence emittance?

![](_page_12_Figure_2.jpeg)

• The beam will keep oscillating. The centroid will keep oscillating.

• What will happen to particle distribution and hence emittance?

![](_page_13_Figure_2.jpeg)

• The beam will keep oscillating. The centroid will keep oscillating.

### Injection Oscillations

- The motion of the centroid of the particle distribution over time
- Measured in a beam position monitor
	- Measures mean of particle distribution

![](_page_14_Figure_4.jpeg)

**Betatron oscillations.**

**Undamped.**

**Beam will keep oscillating.**

- Turn-by-turn profile monitor: initial and after 1000 turns
	- Measures distribution in e.g. horizontal plane

![](_page_15_Figure_3.jpeg)

- Now what happens with emittance definition and  $\langle J_x \rangle$ ?
	- Mean amplitude in phase-space

- How does  $\langle J_x \rangle$  behave for steering error in linear machine?
- And what about the rms definition?

![](_page_16_Figure_3.jpeg)

• What will happen to particle distribution and hence emittance?

![](_page_17_Figure_2.jpeg)

• The beam is filamenting....

• What will happen to particle distribution and hence emittance?

![](_page_18_Figure_2.jpeg)

• The beam is filamenting....

• Phase-space after an even longer time

![](_page_19_Figure_2.jpeg)

- Generation of non-Gaussian distributions:
	- Non-Gaussian tails

![](_page_20_Figure_3.jpeg)

#### Injection oscillations

- Oscillation of centroid decays in amplitude
- **Time constant of exponential decay: filamentation time** τ

![](_page_21_Figure_3.jpeg)

#### Injection oscillations

- Oscillation of centroid decays in amplitude
- **Time constant of exponential decay: filamentation time** τ

![](_page_22_Figure_3.jpeg)

- How does  $\langle J_x \rangle$  behave for steering error in non-linear machine?
- And what about the rms emittance

![](_page_23_Figure_3.jpeg)

### Calculate blow-up from steering error

- Consider a collection of particles
- The beam can be injected with a error in angle and position.
- For an injection error  $\Delta a$  (in units of sigma =  $\sqrt{\beta \epsilon}$ ) the mis-injected beam is offset in normalised phase space by  $L = \Delta a \sqrt{\epsilon}$

![](_page_24_Figure_4.jpeg)

#### Blow-up from steering error

• The new particle coordinates in normalised phase space are

$$
\overline{x}_{new} = \overline{x}_0 + L\cos\theta
$$

$$
\overline{x}_{new}' = \overline{x}_0' + L\sin\theta
$$

• From before we know…

$$
2J_x = \overline{x}^2 + \overline{x}'^2
$$

$$
\varepsilon_x = \langle J_x \rangle
$$

![](_page_25_Figure_6.jpeg)

#### Blow-up from steering error

• So if we plug in the new coordinates….

$$
2J_{new} = \overline{x}_{new}^2 + \overline{x}_{new}'^2 = (\overline{x}_0 + L \cos \theta)^2 + (\overline{x}_0' + L \sin \theta)^2
$$
  
=  $\overline{x}_0^2 + \overline{x}_0'^2 + 2L(\overline{x}_0 \cos \theta + \overline{x}_0' \sin \theta) + L^2$ 

$$
2\langle J_{new} \rangle = \langle \overline{x}_0^2 \rangle + \langle \overline{x}_0'^2 \rangle + \langle 2L(\overline{x}_0 \cos \theta + \overline{x}_0' \sin \theta) \rangle + L^2
$$
  
=  $2\varepsilon_0 + 2L(\langle \overline{x}_0 \cos \theta \rangle + \langle \overline{x}_0' \sin \theta \rangle) + L^2$   
=  $2\varepsilon_0 + L^2$ 

• Giving for the emittance increase

$$
\varepsilon_{new} = \langle J_{new} \rangle = \varepsilon_0 + L^2/2
$$
  
=  $\varepsilon_0 (1 + \Delta a^2/2)$ 

#### Blow-up from steering error

$$
\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{1}{2} \frac{\Delta x^2 + (\beta \Delta x' + \alpha \Delta x)^2}{\beta \varepsilon_0}
$$

A numerical example….

Consider an offset Δa of 0.5 sigma for injected beam

$$
\varepsilon_{new} = \varepsilon_0 \left( 1 + \Delta a^2 / 2 \right)
$$

$$
= 1.125 \varepsilon_0
$$

For nominal LHC beam:  $\varepsilon_{\text{norm}} = 3.5 \,\mu\text{m}$ allowed growth through LHC cycle  $\sim$  10 %

Misinjected beam Matched Beam  $n_{new} = \varepsilon_0 \left( 1 + \Delta a^2 / 2 \right)$  (a.5 $\sqrt{\frac{0.5}{\epsilon}}$ √ε  $\overline{\mathsf{X}}$ X'

## How to correct injection oscillations?

![](_page_28_Figure_1.jpeg)

- Instead of looking at one BPM over many turns, look at first turn for many BPMs
	- i.e. difference of first turn and closed orbit.
	- Treat the first turn of circular machine like transfer line for correction
	- Other possibility is measure first and second turn and minimize the difference between in algorithm

#### Example: SPS to LHC transfer

![](_page_29_Figure_1.jpeg)

## Example: LHC injection of beam 1

- Injection oscillation display from the LHC control room.
- The first 3 km of the LHC treated like extension of transfer line
- Only correctors in transfer line are used for correction

![](_page_30_Figure_4.jpeg)

**Injection point in LHC IR2**

## How to correct injection oscillations?

- What if there are shot-by-shot changes or bunch-by-bunch changes of the<br>
injoction atooring arrano? injection steering errors?
	- Previous method: remove only static errors
- What if there are bunch-by-bunch differences in injected train of injection oscillations? the LHC is filled through the LH<br>The LHC is filled through the LHC is filled through the LHC is filled that the LHC is filled that the LHC is t<br> from the last pre-

![](_page_31_Figure_4.jpeg)

- **→ transverse feedback (damper)** revered foodhack (damper)  $f(x)$  is bunch in the horizontal plane for a function  $f(x)$ 
	- **Sufficient bandwidth to deal with bunch-by-bunch differences**
- **Damping time has to be faster than filamentation time**  $\overline{\phantom{a}}$  in  $\overline{\phantom{a}}$  $\frac{1}{2}$   $\frac{1}{2}$  ing time has to be factor than filamentation time ing ume has to be

#### Transverse feedback system

![](_page_32_Figure_1.jpeg)

 $T_{signal} = T_{beam} + n T_{rev}$ 

#### Steering error - damper

• Damper in simulation: injection oscillations damped faster than through filamentation

![](_page_33_Figure_2.jpeg)

#### **Same injection error**

#### Steering error - damper

• And what about the emittance?

![](_page_34_Figure_2.jpeg)

#### Steering error -damper

• Emittance growth with damper for damping time  $\tau_{d}$ 

**Damper has limited gain**

**Emittance growth is function of ratio of filamentation time to damping time.** 

$$
\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{1}{2} \frac{\Delta x^2 + (\beta \Delta x' + \alpha \Delta x)^2}{\beta \varepsilon_0} \left(\frac{1}{1 + \tau_{DC}/\tau_d}\right)^2
$$

- Optical errors occur in transfer line and ring, such that the beam can be injected with a mismatch.
- The shape of the injected beam corresponds to different  $\alpha$ ,  $\beta$  than the closed solution of the ring.

• At the moment of the injection the area in phase space might be the same

![](_page_36_Figure_4.jpeg)

**real phase-space**

• Filamentation will produce an emittance increase.

The coordinates of the ellipse: betatron oscilation

$$
x_2 = \sqrt{2\beta_2 J_x} \cos \phi \quad x_2' = -\sqrt{\frac{2J_x}{\beta_2}} (\sin \phi + \alpha_2 \cos \phi)
$$

applying the normalising transformation to the matched space

 $\overline{\phantom{a}}$ ⎦  $\begin{bmatrix} x_2 \\ x_1 \end{bmatrix}$ ⎣ ⎡  $|\cdot$ ⎦  $\begin{bmatrix} 1 & 0 \\ \alpha & \beta \end{bmatrix}$ ⎣  $\left| = \sqrt{\frac{1}{\beta}} \cdot \right|$ ⎦  $\left[\frac{X_2}{Y}\right]$ ⎣ ⎡ **2 2**  $\alpha_1$  |  $\alpha_1$  |  $\beta_1$ | |  $x'$ **1 1 0** *x x*  $\begin{array}{ccc} \mathbf{2} & \sqrt{\beta_1} & \alpha_1 & \beta_2 \end{array}$ 2 X' X

an ellipse is obtained in normalised phase space

$$
2J_x = \overline{x}_2^2 \left[\frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} (\alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2})^2\right] + \overline{x'}_2^2 \frac{\beta_2}{\beta_1} - 2\overline{x}_2 \overline{x}_2' \left[\frac{\beta_2}{\beta_1} (\alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2})\right]
$$

characterised by  $\gamma_{new}$ ,  $\beta_{new}$  and  $\alpha_{new}$ , where

$$
\alpha_{new} = \frac{-\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right), \qquad \beta_{new} = \frac{\beta_2}{\beta_1}, \quad \gamma_{new} = \frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right)^2
$$

The coordinates of the ellipse: betatron oscilation

$$
x_2 = \sqrt{2\beta_2 J_x} \cos \phi \quad x_2' = -\sqrt{\frac{2J_x}{\beta_2}} (\sin \phi + \alpha_2 \cos \phi)
$$

applying the normalising transformation to the matched space

$$
\begin{bmatrix} \overline{\mathbf{x}}_2 \\ \overline{\mathbf{x}}_2 \end{bmatrix} = \sqrt{\frac{1}{\beta_1}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha_1 & \beta_1 \end{bmatrix} \cdot \begin{bmatrix} x_2 \\ x_2 \end{bmatrix}
$$
\nRemember:  
\n
$$
2J_x = \gamma \cdot x^2 + 2\alpha \cdot x \cdot x' + \beta x'^2
$$

an ellipse is obtained in normalised phase space

$$
2J_x = \overline{x}_2^2 \left[\frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} (\alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2})^2\right] + \overline{x'}_2^2 \frac{\beta_2}{\beta_1} - 2\overline{x}_2 \overline{x}_2' \left[\frac{\beta_2}{\beta_1} (\alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2})\right]
$$

characterised by  $\gamma_{new}$ ,  $\beta_{new}$  and  $\alpha_{new}$ , where

$$
\alpha_{new} = \frac{-\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right), \qquad \beta_{new} = \frac{\beta_2}{\beta_1}, \quad \gamma_{new} = \frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right)^2
$$

From the general ellipse properties, see [4]

$$
a = \frac{A}{\sqrt{2}} \left( \sqrt{H+1} + \sqrt{H-1} \right) \qquad b = \frac{A}{\sqrt{2}} \left( \sqrt{H+1} - \sqrt{H-1} \right)
$$
\n
$$
A = \sqrt{2J}
$$
\nwhere\n
$$
H = \frac{1}{2} \left( \gamma_{\text{new}} + \beta_{\text{new}} \right)
$$
\n
$$
= \frac{1}{2} \left( \frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right)^2 + \frac{\beta_2}{\beta_1} \right)
$$
\ngiving\n
$$
\lambda = \frac{1}{\sqrt{2}} \left( \sqrt{H+1} + \sqrt{H-1} \right) \qquad \frac{1}{\lambda} = \frac{1}{\sqrt{2}} \left( \sqrt{H+1} - \sqrt{H-1} \right)
$$
\ngenerally\n
$$
\overline{x}_{\text{new}} = \lambda \cdot A \sin(\phi + \phi_1)
$$
\n
$$
\overline{x}_{\text{new}}' = \frac{1}{\lambda} \cdot A \cos(\phi + \phi_1)
$$
\n
$$
b = A \cdot \lambda
$$

 $\overline{\mathsf{x}}$ 

We can evaluate the square of the distance of a particle from the origin as

$$
2J_{new} = \overline{x}_{new}^2 + \overline{x}_{new}'^2 = \lambda^2 \cdot 2J_0 \sin^2(\phi + \phi_1) + \frac{1}{\lambda^2} 2J_0 \cos^2(\phi + \phi_1)
$$

The new emittance is the average over all phases

$$
\varepsilon_{new} = \langle J_{new} \rangle = \frac{1}{2} (\lambda^2 \langle 2J_0 \sin^2(\phi + \phi_1) \rangle + \frac{1}{\lambda^2} \langle 2J_0 \cos^2(\phi + \phi_1) \rangle)
$$
  
= 
$$
\langle J_0 \rangle (\lambda^2 \langle \sin^2(\phi + \phi_1) \rangle + \frac{1}{\lambda^2} \langle \cos^2(\phi + \phi_1) \rangle)
$$
  
= 
$$
\frac{1}{2} \varepsilon_0 (\lambda^2 + \frac{1}{\lambda^2})
$$
<sup>0.5</sup>

If we're feeling diligent, we can substitute back for  $\lambda$  to give

$$
\varepsilon_{new} = \frac{1}{2} \varepsilon_0 \left( \lambda^2 + \frac{1}{\lambda^2} \right) = H \varepsilon_0 = \frac{1}{2} \varepsilon_0 \left( \frac{\beta_1}{\beta_2} + \frac{\beta_2}{\beta_1} \left( \alpha_1 - \alpha_2 \frac{\beta_1}{\beta_2} \right)^2 + \frac{\beta_2}{\beta_1} \right)
$$

where subscript 1 refers to matched ellipse, 2 to mismatched ellipse.

#### How to measure oscillating width of distribution?

![](_page_41_Figure_1.jpeg)

**Profiles at matching monitor after injection with steering error.**

Requires radiation hard fast cameras

Another limitation: only low intensity

#### Example of betatron mismatch measurement

• Measurement at injection into the SPS with matching monitor

![](_page_42_Figure_2.jpeg)

**Uncorrected measured horizontal beam size versus number of turns in the SPS. The oscillation indicates mismatch, the positive slope blow-up is due to the foil** 

G. Arduini et al., Mismatch Measurement and Correction Tools for the PS-SPS Transfer of the 26 GeV/c LHC Beam, 1999

#### Blow-up from thin scatterer

- Scattering elements are sometimes required in the beam
	- Thin beam screens ( $Al_2O_3$ , Ti) used to generate profiles.
	- Metal windows also used to separate vacuum of transfer lines from vacuum in circular machines.
	- Foils are used to strip electrons to change charge state
- The emittance of the beam increases when it passes through, due to multiple Coulomb scattering.

![](_page_43_Figure_6.jpeg)

β<sup>c</sup> = v/c, *p* = momentum, *Zinc* = particle charge /*e*, *L* = target length, *Lrad* = radiation length

Each particles gets a random angle change  $\theta_s$  but there is no effect on the positions at the scatterer

$$
\overline{x}_{new} = \overline{x}_0
$$

$$
\overline{x}_{new}' = \overline{x}_0' + \sqrt{\beta} \Theta_s
$$

After filamentation the particles have different amplitudes and the beam has a larger emittance

$$
\varepsilon = \langle J_{new} \rangle
$$

![](_page_44_Figure_5.jpeg)

#### Blow-up from thin scatterer

![](_page_45_Figure_1.jpeg)

Need to keep β small to minimise blow-up (small β means large spread in angles in beam distribution, so additional angle has small effect on distn.)

#### Blow-up from charge stripping foil

- For LHC heavy ions,  $Pb^{54+}$  is stripped to  $Pb^{82+}$  at 4.25GeV/u using a 0.8mm thick Al foil, in the PS to SPS line
- $\Delta \varepsilon$  is minimised with low- $\beta$  insertion ( $\beta_{xy}$  ~5 m) in the transfer line
- Emittance increase expected is about 8%

![](_page_46_Figure_4.jpeg)

#### Summary of different effects

• Steering error

$$
\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{1}{2} \frac{\Delta x^2 + (\beta \Delta x' + \alpha \Delta x)^2}{\beta \varepsilon_0} = 1 + \frac{1}{2} \Delta a^2
$$

• Steering error + damper

$$
\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{1}{2} \Delta a^2 \left( \frac{1}{1 + \tau_{DC}/\tau_d} \right)^2
$$

• Betatron mismatch

$$
\frac{\varepsilon}{\varepsilon_0} = \frac{1}{2}(\beta_1 \gamma_2 + \beta_2 \gamma_1 - 2\alpha_1 \alpha_2)
$$

• Blow-up from thin scatter with scattering angle  $\Theta_s$ 

$$
\tfrac{\varepsilon}{\varepsilon_0}=1+\tfrac{1}{2}\tfrac{\beta}{\varepsilon}\langle\Theta_s^2\rangle
$$

#### Summary of different effects

• Dispersion mismatch

$$
\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{1}{2} \frac{\Delta D^2 + (\beta \Delta D' + \alpha \Delta D)^2}{\beta \varepsilon_0} \left(\frac{\Delta p}{p}\right)^2
$$

• Energy error

$$
\tfrac{\varepsilon}{\varepsilon_0} = 1 + \tfrac{1}{2} \tfrac{D^2}{\beta \varepsilon_0} (\tfrac{\Delta p}{p})^2
$$

• Geometrical mismatch: tilt angle Θ between beam reference systems at injection point: e.g. horizontal plane

$$
\frac{\varepsilon_x}{\varepsilon_{x0}} = 1 + \frac{1}{2}(\beta_x \gamma_y + \beta_y \gamma_x - 2\alpha_x \alpha_y - 2)\sin^2 \Theta
$$

#### References

- [1] *Beam Dynamics in High Energy Particle Accelerators*, A. Wolsky
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