

T2K Exotics:

Sterile neutrinos and Lorentz violation searches

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On behalf of the T2K collaboration

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The T2K experiment

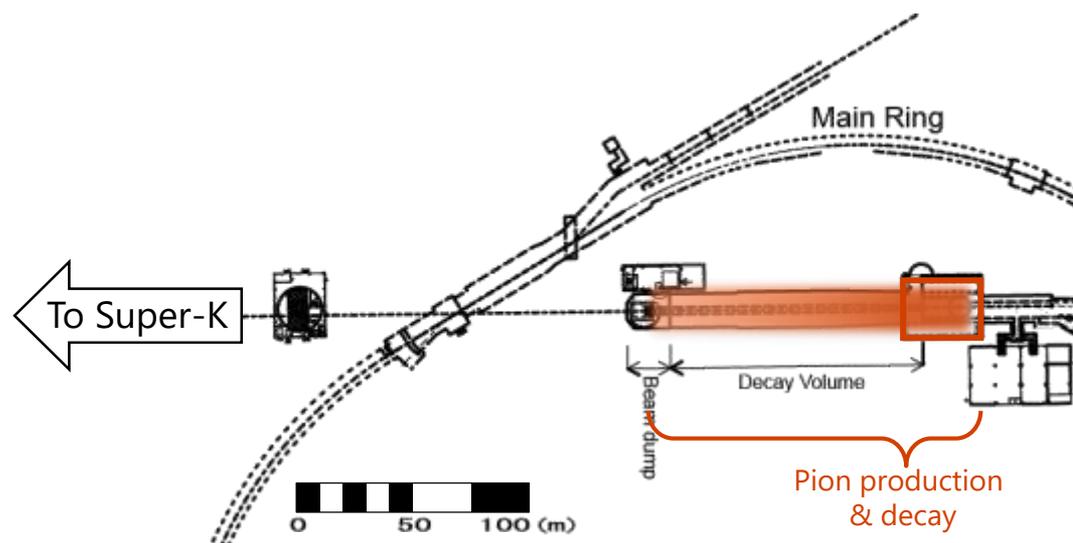
Sterile neutrinos

Lorentz violation

T2K ND280 during construction

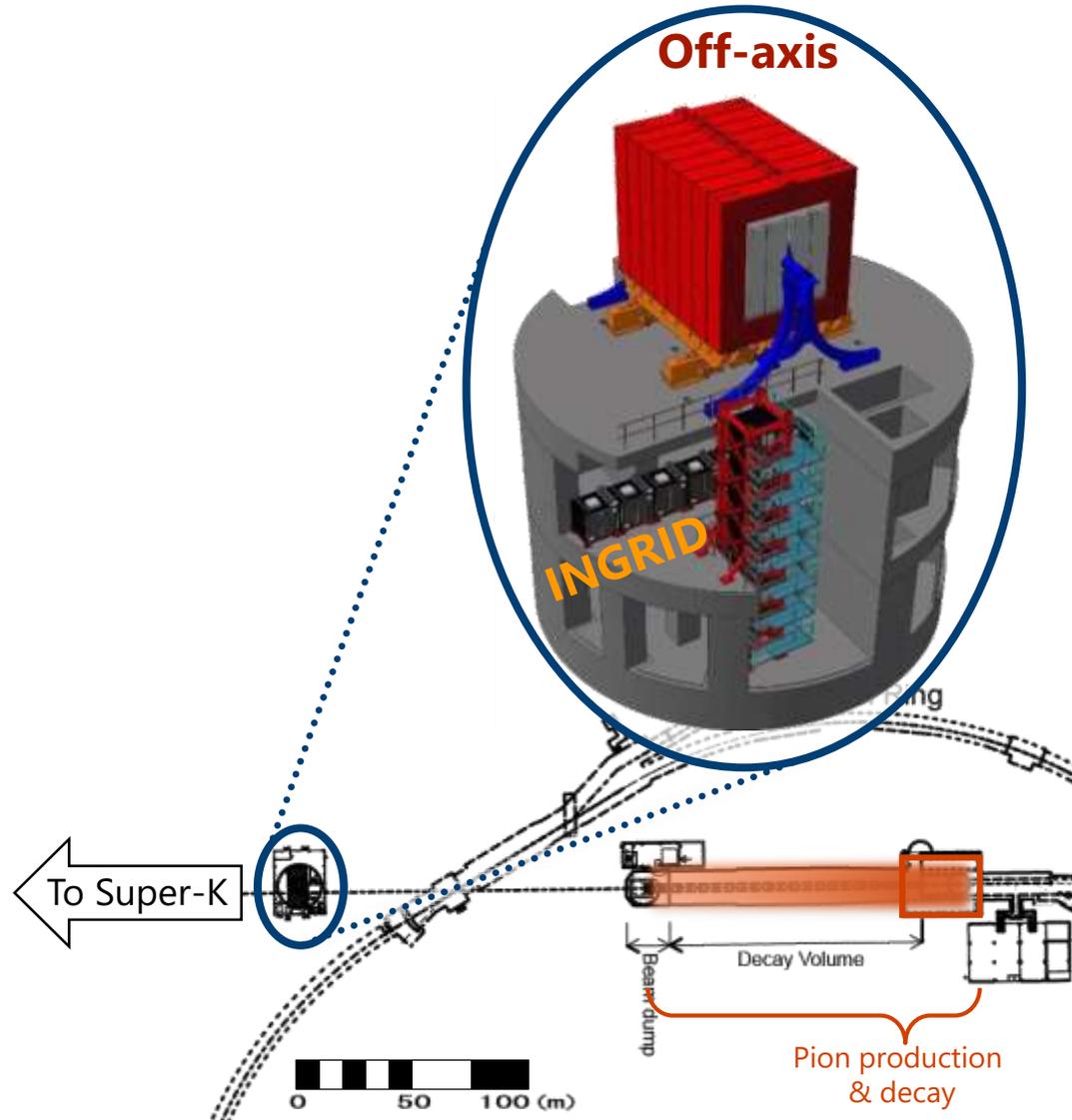


The T2K experiment



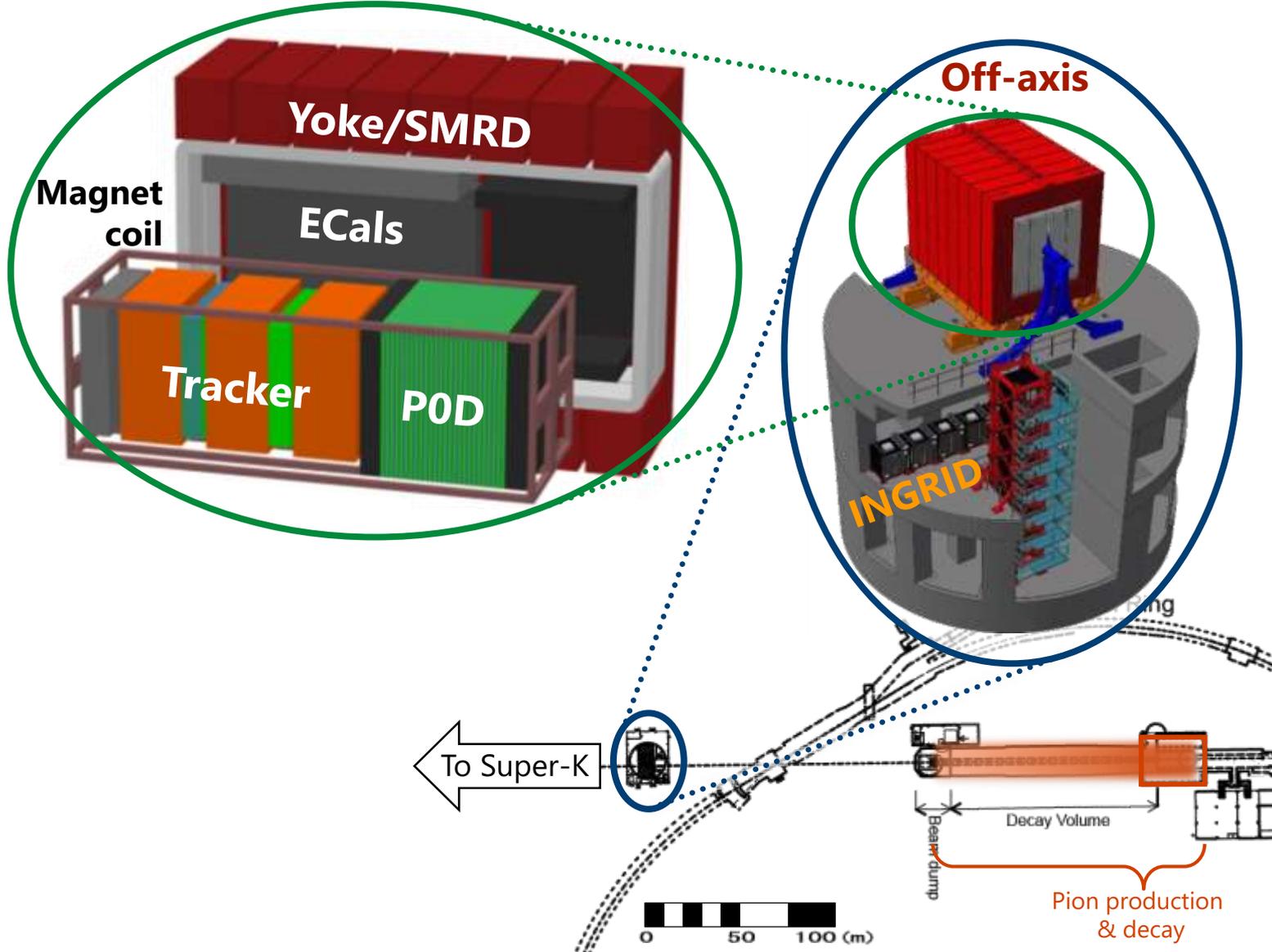


The T2K experiment ND280



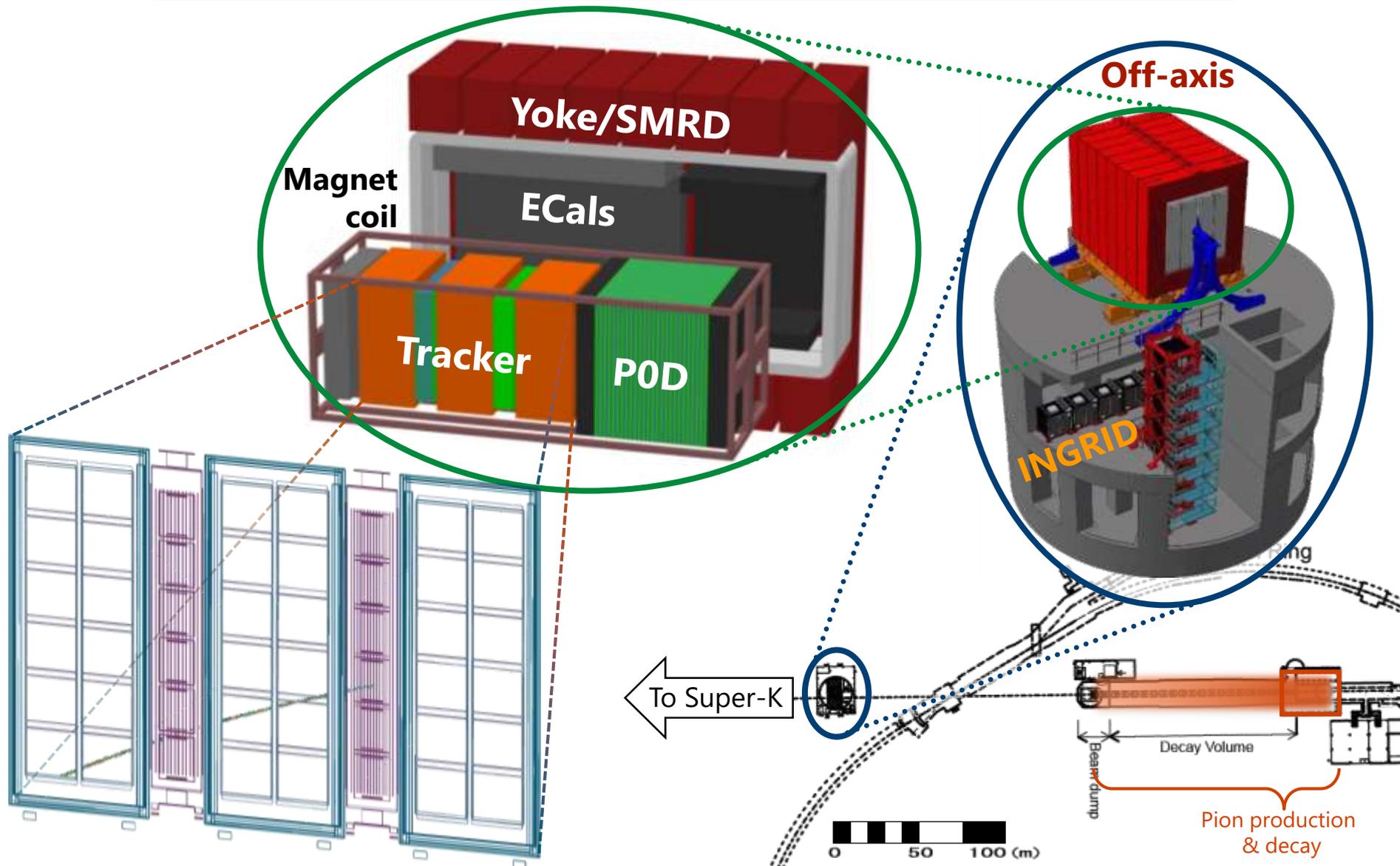


The T2K experiment ND280





The T2K experiment ND280

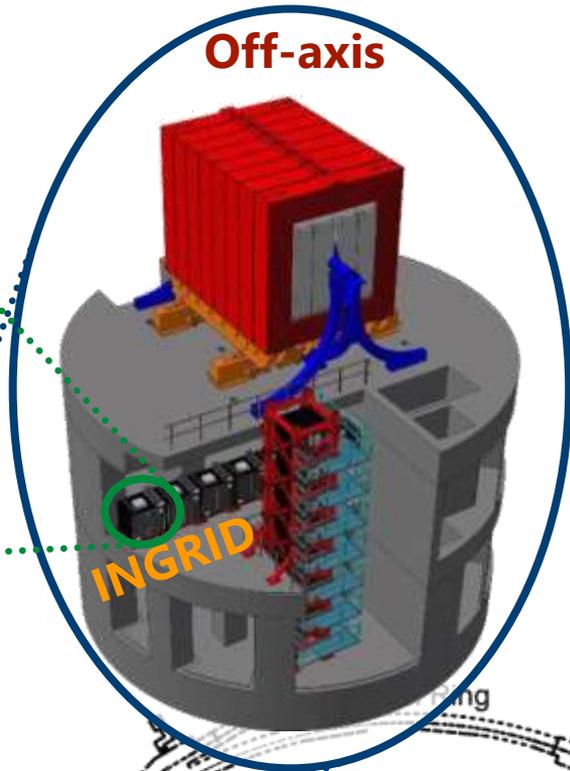




The T2K experiment ND280



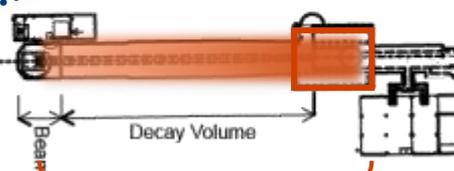
7(H)+7(V)+2



Off-axis

INGRID

To Super-K



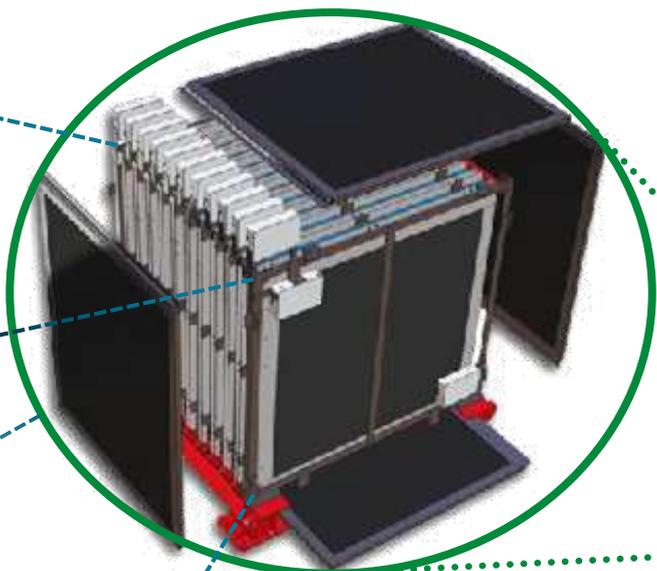
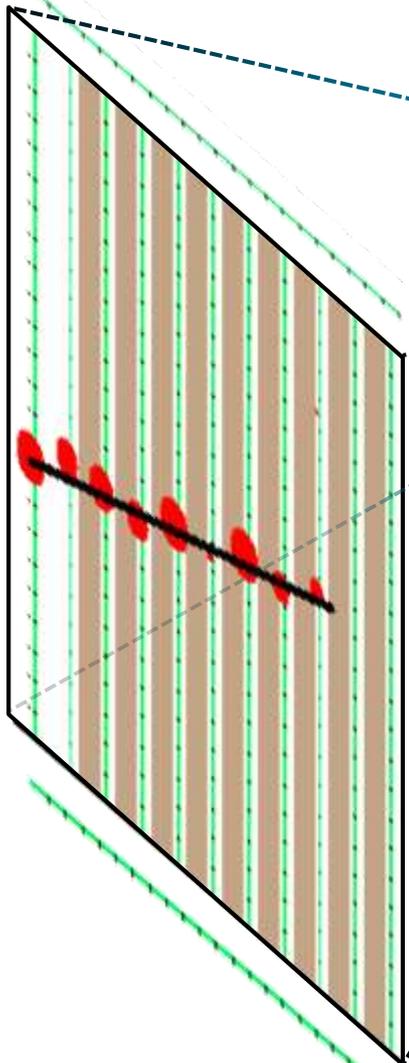
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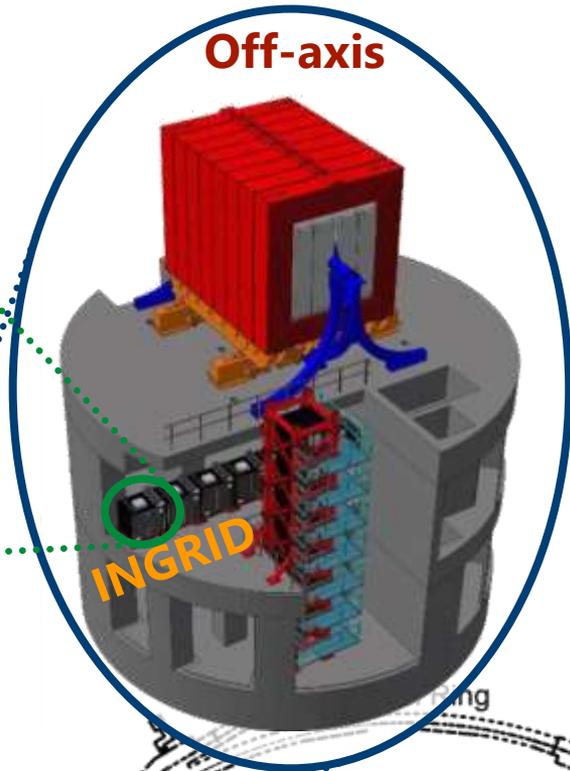
Pion production & decay



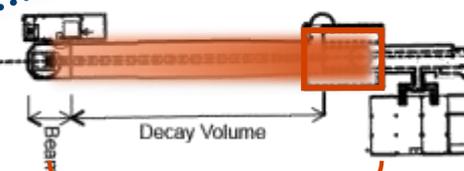
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7(H)+7(V)+2



To Super-K



Pion production & decay



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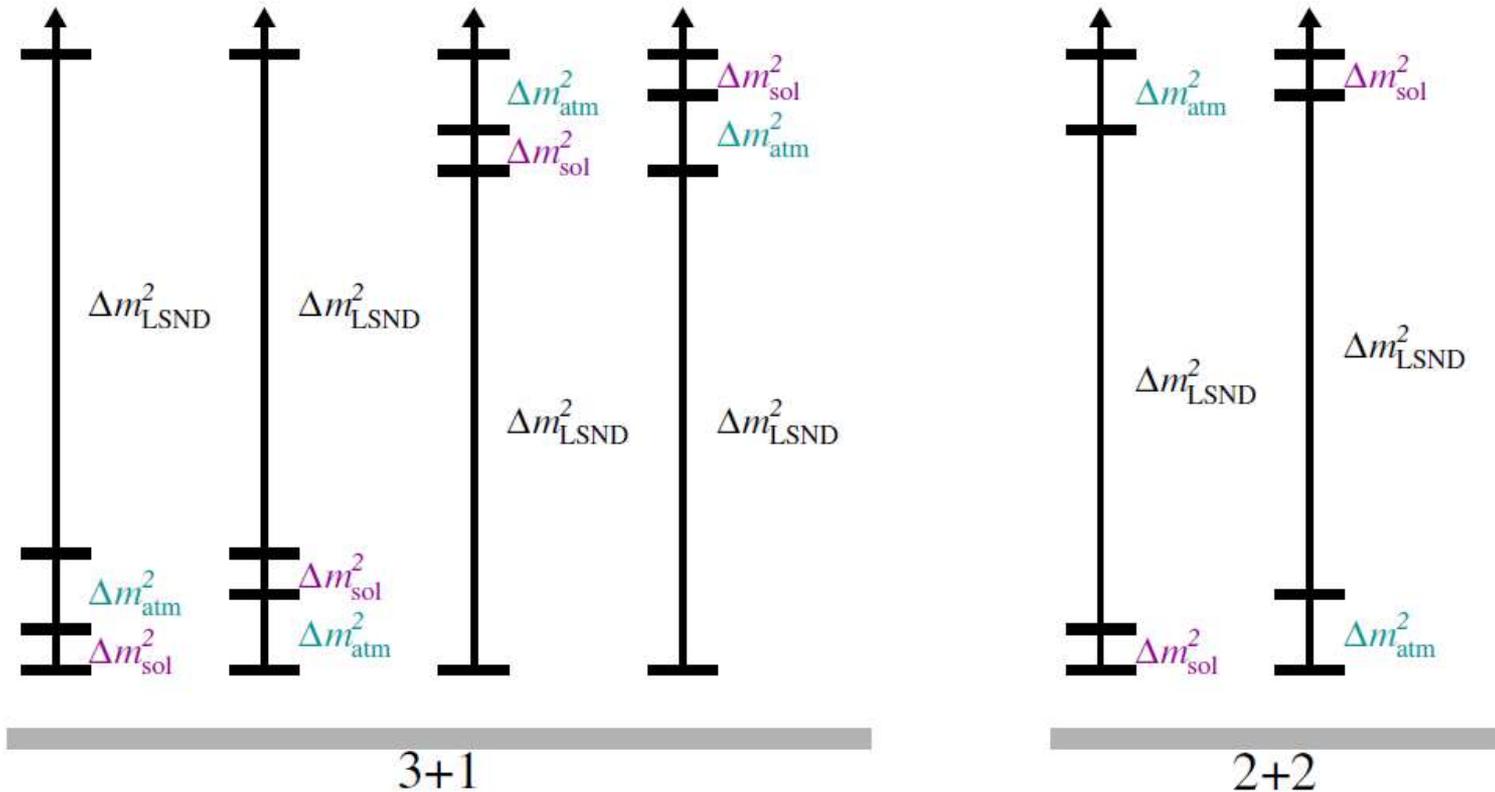


Sterile neutrinos

Could explain LSND/MB signal(s) plus other anomalies.

- $|\Delta m_{\text{LSND}}^2| \gg |\Delta m_{31}^2| (\gg \Delta m_{21}^2)$, therefore impossible with 3ν

Historically, large active/sterile mixing considered (e.g. 2+2)





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Historically, large active/sterile mixing considered (e.g. 2+2)

But now 'know' that $\sum_i |\langle \nu_\alpha | \nu_i \rangle|^2 \simeq 1$ for $\alpha = \mu, e; i = 1, 2, 3$

- Extra ν must be largely decoupled*
- Usually only consider $|\Delta m_{4i}^2| \gg |\Delta m_{31}^2|$
- Usually search for ν_4 (large Δm^2) not ν_s (NC depletion)

Normally analyse 3+N models with small active/sterile coupling → can use 2ν formalism for setting limits.

[*In theory; not always the case when setting limits]



Sterile formalism

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \hline \nu_s \\ \vdots \end{pmatrix} = \begin{pmatrix} & & & & \\ & & & & \\ & & \mathbf{U}_3 & & \\ \hline U_{s1} & U_{s2} & U_{s3} & U_{s4} & \\ \vdots & & & & \ddots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \hline \nu_4 \\ \vdots \end{pmatrix}$$

Possible

Pretty much impossible

- Upper matrix \mathbf{U}_3 similar to 3ν PMNS matrix (but be careful!)
- New active components $U_{\alpha 4}$ (etc) are relatively small
- Sterile-sterile mixing unobservable: lower rows from unitarity
 - In practice ν_τ row is also very difficult

Overall: Lots of parameters and not many constraints



Sterile '2ν' approximation

$$\bar{U}_{\alpha 4} = \sqrt{1 - |U_{\alpha 4}|^2}$$
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \\ \vdots \end{pmatrix} = \begin{pmatrix} \bar{U}_{e4} & U_{e4} \\ \bar{U}_{\mu 4} & U_{\mu 4} \\ \bar{U}_{\tau 4} & U_{\tau 4} \\ \bar{U}_{s4} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_4 \end{pmatrix}$$

The diagram shows a matrix equation. The left side is a column vector of neutrino flavors: $\nu_e, \nu_\mu, \nu_\tau, \nu_s, \dots$. This is equal to a matrix multiplied by a column vector of mass eigenstates: ν_1, ν_4 . The matrix has two columns. The first column contains $\bar{U}_{e4}, \bar{U}_{\mu 4}, \bar{U}_{\tau 4}, \bar{U}_{s4}$. The second column contains $U_{e4}, U_{\mu 4}, U_{\tau 4}, U_{s4}$. A dashed vertical line separates the two columns. A dashed horizontal line separates the top three rows from the bottom row. The top three rows of the second column are highlighted in green, and the bottom row of the second column is highlighted in red. An arrow points from the equation $\bar{U}_{\alpha 4} = \sqrt{1 - |U_{\alpha 4}|^2}$ to the \bar{U}_{e4} element in the matrix.

- Take $|\Delta m_{31}^2| \rightarrow 0$;
- Assume ν_5 (etc) are decoupled. } Leaves only one mass scale in the oscillation
- Then $P(\nu_\alpha \rightarrow \nu_\alpha) = \underbrace{4(1 - |U_{\alpha 4}|^2)}_{\sin^2 2\theta_{\alpha\alpha}} |U_{\alpha 4}|^2 \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$
- Also note: $P(\nu_\alpha \rightarrow \nu_\beta) = 4 \sin^2 \theta_{\alpha\alpha} \sin^2 \theta_{\beta\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$



T2K sterile neutrino searches

ν_4 searches performed at off-axis near detector (ND280)

- “SBL” L/E suitable for $1 \lesssim |\Delta m^2|/\text{eV}^2 \lesssim 100$
 - Smoothing of energy dependence from decay volume length
 - Larger Δm^2 possible (rapid oscillation) but less sensitive.
 - Can in principle do $\nu_\mu \rightarrow \nu_e$ and ν_μ, ν_e disappearance channels, but only two are truly independent.

Searches for ν_s (i.e. NC depletion at SK) are generally much harder, not being pursued so actively.

Will show:

- Latest results from SBL $\nu_e \leftrightarrow \nu_e$ search [arXiv: 1410.8811]
 - Work towards a SBL $\nu_\mu \leftrightarrow \nu_\mu$ search
-



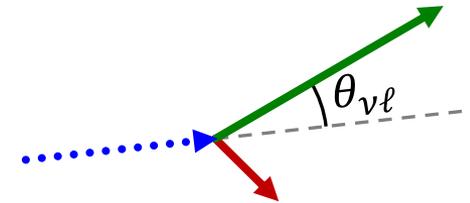
Generic features of SBL disappearance searches

Analyses use “Tracker” subsystem of ND280: Fine-grained scintillator target + TPCs for momentum and PID.

- Excellent discrimination at the track level.

Neutrino energy reconstructed assuming quasi-elastic kinematics*:

$$E_\nu \approx \frac{m_p E_\ell - \frac{1}{2} m_\ell}{\left(m_p - E_\ell + p_\ell \cos \theta_{\nu\ell} \right)}$$



Main difficulty is lack of an oscillation-free ‘Near’ detector to provide expected event rates (= flux × cross-section)

- For T2K, flux is relatively well understood thanks to beam monitoring and auxiliary measurements (NA61/Shine)
- Control of uncertainties from cross-sections achieved using multiple samples.

[* Approximate formula; see backups]

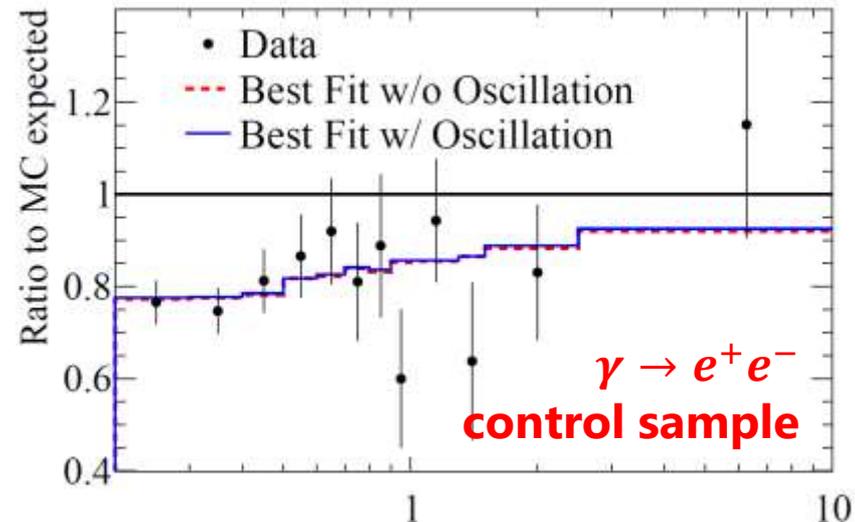
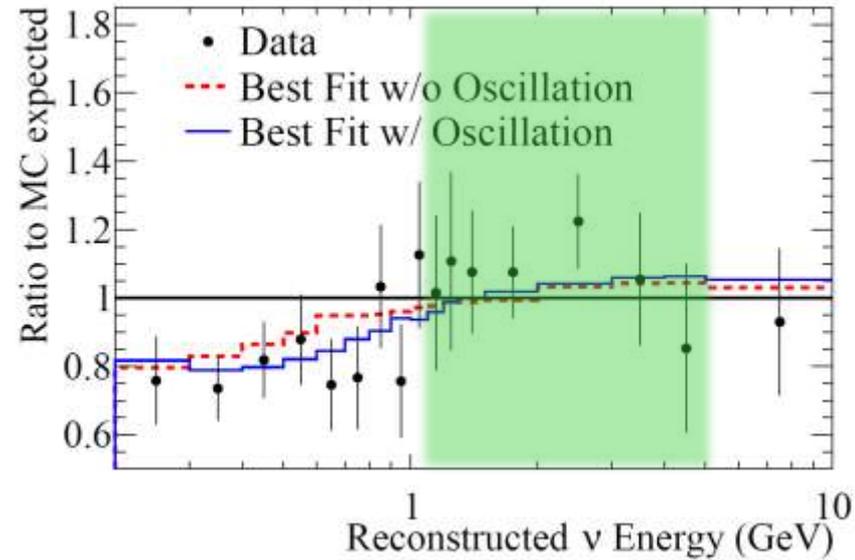
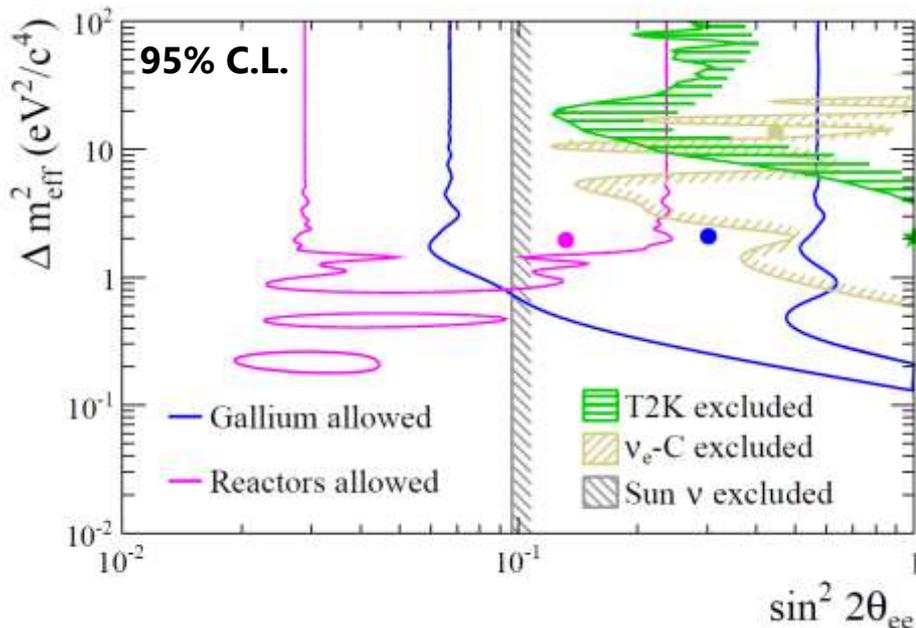


Results of ν_e disappearance

arXiv: 1410.8811 5.9×10^{20} POT

- ν_e expectation constrained by ν_μ rates
 - Therefore won't be independent from ν_μ measurements

No-oscillations: p -value = 0.085



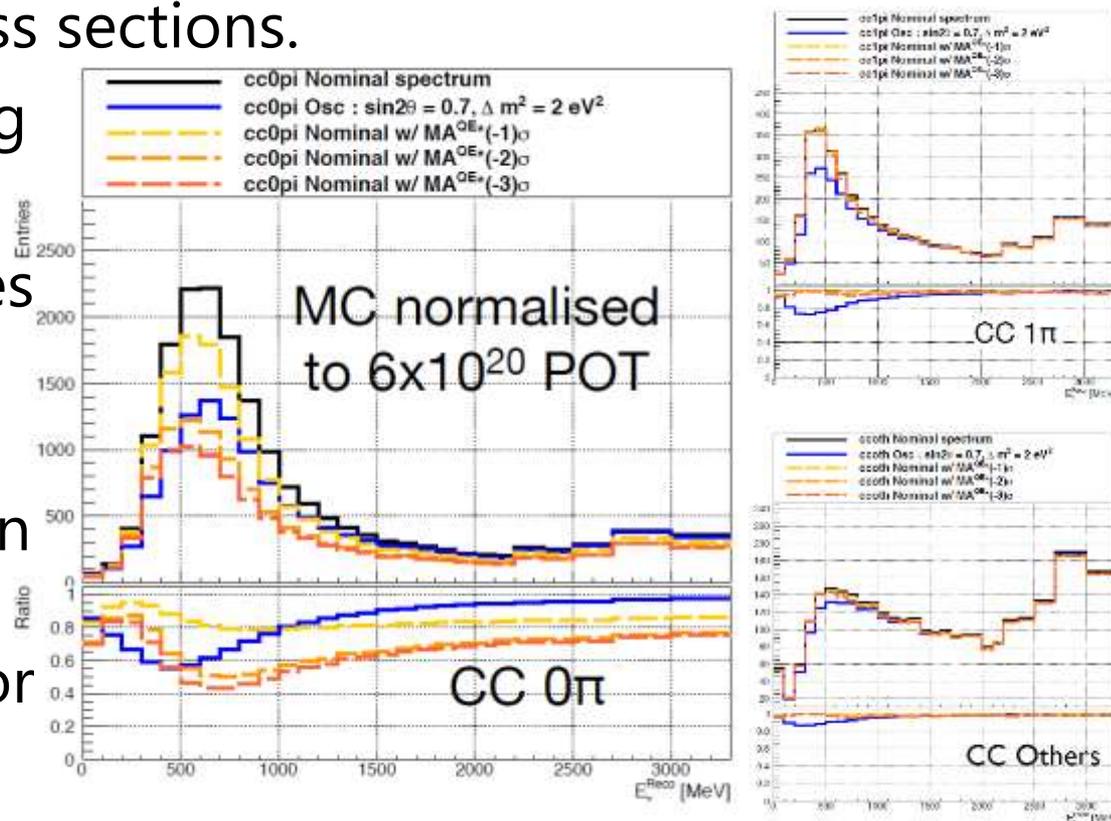


ν_μ disappearance search

In contrast to e^- , can be confident that observed muons are from ν_μ vertices.

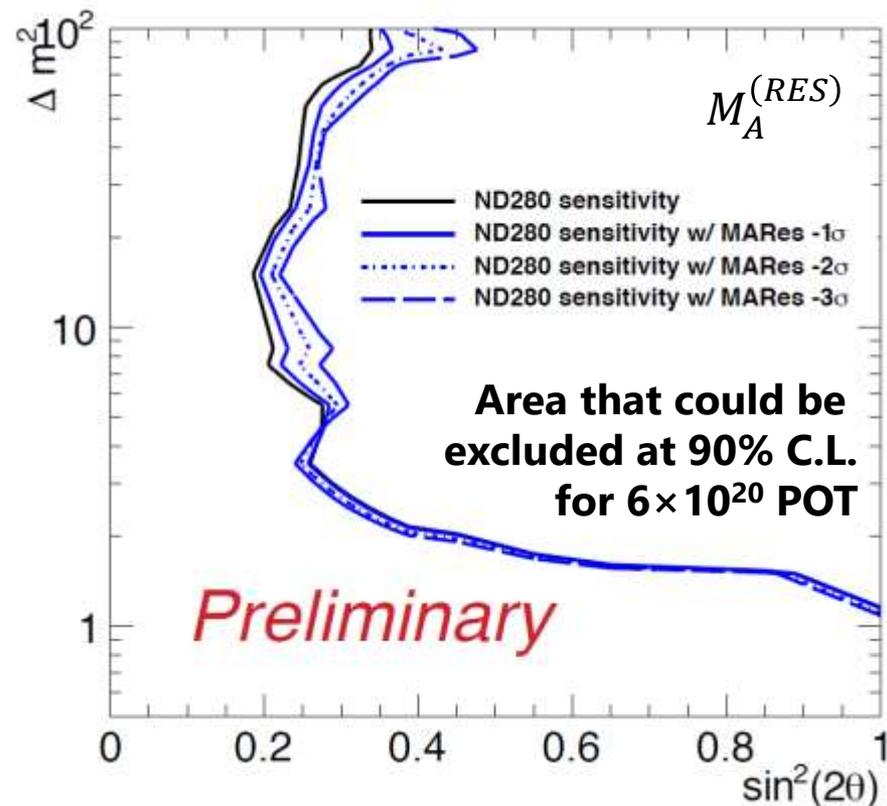
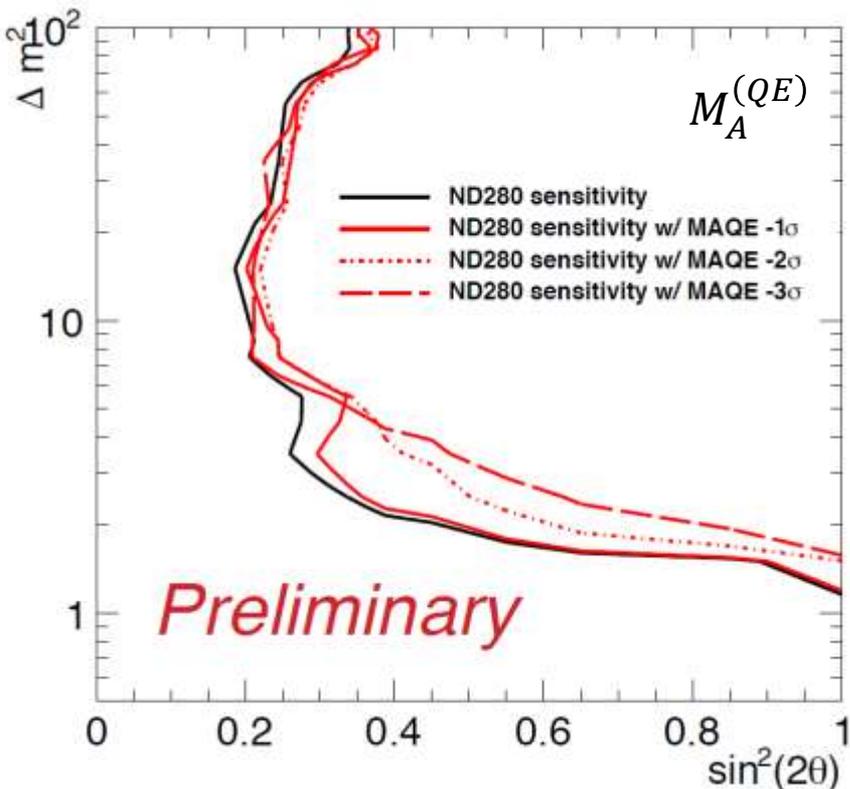
However, in this case there is **no independent sample** to constrain flux and cross sections.

- Divide events according to hadronic activity
- Systematic uncertainties affect each sample differently
- Can untangle oscillation effect, but requires higher statistics than for ν_e analysis.





Analysis status



- Systematic uncertainties currently under study
- Hope to unblind later this year
- Sensitivity down to $\sin^2 2\theta \sim 0.2$

[M_A describes iso-axial charge (\sim pion field) distribution of nucleon]

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Lorentz violation



Lorentz violation Hamiltonian

$$H = k + \underbrace{\frac{1}{2E} \begin{pmatrix} \Delta m_{ab}^2 & \\ & \Delta m_{\bar{a}\bar{b}}^2 \end{pmatrix}}_{\text{Standard neutrino oscillations}} + \begin{pmatrix} \delta h_{ab} & \delta h_{a\bar{b}} \\ \delta h_{\bar{a}b} & \delta h_{\bar{a}\bar{b}} \end{pmatrix}$$

Where $\Delta m^2 = U_{\text{PMNS}}^\dagger \text{diag}(m_i - m_1) U_{\text{PMNS}}$

- Diagonal blocks induce mixing between (anti-)neutrinos:

$$\delta h_{ab} = \frac{1}{E} [a_L^\varepsilon p_\varepsilon - c_L^{\varepsilon\delta} p_\varepsilon p_\delta]_{ab}$$

- Off-diagonal blocks induce neutrino-to-antineutrino transitions at higher orders (ϵ_ε^+ is a 'helicity vector'):

$$\delta h_{a\bar{b}} = -i\sqrt{2}\epsilon_\varepsilon^+ [\tilde{g}^{\varepsilon\delta} p_\delta - \tilde{H}^\varepsilon]_{a\bar{b}}$$



Possible signatures

Effect of the Lorentz violating term can be evaluated with perturbation theory. Using $S_{ab} = e^{-iH_{ab}t}$:

$$\begin{aligned} P(\nu_a \rightarrow \nu_b) &\sim |S_{ab}^{(0)} + S_{ab}^{(1)} + S_{ab}^{(2)} + \dots|^2 \\ &\sim |S_{ab}^{(0)}|^2 + 2\Re \left[(S_{ab}^{(0)})^* S_{ab}^{(1)} \right] + 2\Re \left[(S_{ab}^{(0)})^* S_{ab}^{(2)} \right] + |S_{ab}^{(1)}|^2 + \dots \end{aligned}$$

- 0th order → regular PMNS oscillations
- 1st order → LV-enhanced PMNS oscillations
- 2nd order → LV-PMNS + **pure LV mixing**

1st order terms modify existing oscillations → search at SK

But pure LV term does not require PMNS evolution

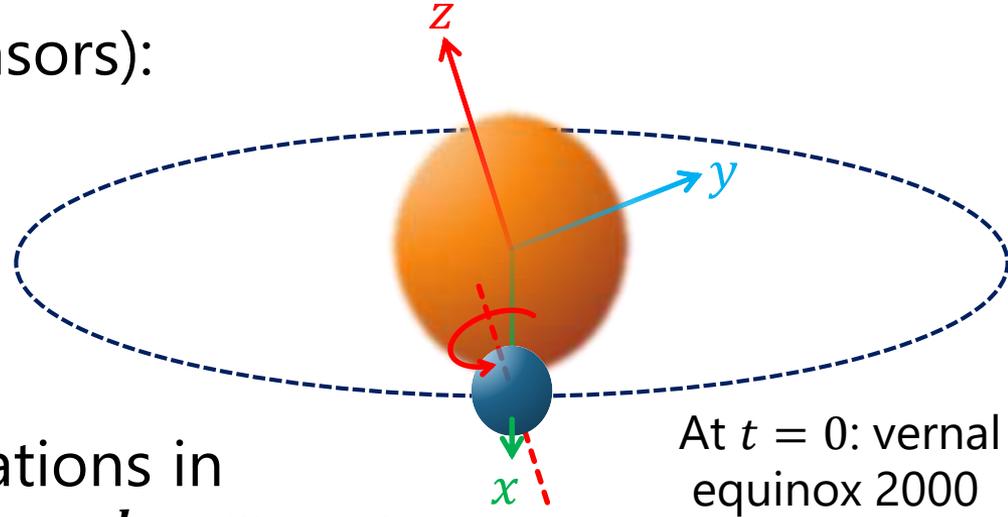
∴ Can search for effects at Near Detector → INGRID



Co-ordinates for LV

LV terms are 4-vectors (or tensors):

- Propagation influenced by alignment of beam to LV preferred direction(s)
- Therefore *sidereal rotation* of earth will produce oscillations in time with base period $T_{\oplus} = 23^h 56^m 4.1^s$
- Co-ordinate system: z axis parallel to earth rotation $\rightarrow x, y$ components of neutrino beam direction vary in this period. Thus, for a single neutrino beamline:
 - Coefficients in z, t only give rise to *non-varying* mixing terms
 - *Single* x, y coeff. (e.g. a_L^y, c_L^{xt}) produce period T_{\oplus} terms
 - Double x, y tensor coefficients produce period $T_{\oplus}/2$ terms





Empirical LV (short propagation)

Thus quite generically the SME coefficients introduce a *sidereal* oscillation to the survival probability:

$$P^{\text{SBL}}(v_a \rightarrow v_b) = \left| \begin{aligned} &C_{ab} + \mathcal{A}_{ab} \sin \omega_{\oplus} t + \mathcal{A}'_{ab} \cos \omega_{\oplus} t \\ &+ \mathcal{B}_{ab} \sin 2\omega_{\oplus} t + \mathcal{B}'_{ab} \cos 2\omega_{\oplus} t \end{aligned} \right|^2$$

These coefficients are experiment-specific

- SME coefficients are contracted with $p_{\varepsilon} = E(1, -\hat{n})$ so in general have both constant and linear E dependence:

$a_L^{\varepsilon} p_{\varepsilon}$:

$$a_L^t, a_L^z \rightarrow \mathcal{C}$$

$$a_L^x, a_L^y \rightarrow \mathcal{A}, \mathcal{A}'$$

$c_L^{\varepsilon\delta} p_{\varepsilon} p_{\delta}$:

$$E[c_L^{tt}, c_L^{tz}, c_L^{zz}] \rightarrow \mathcal{C}$$

$$E[c_L^{tx}, c_L^{ty}, c_L^{zx}, c_L^{zy}] \rightarrow \mathcal{A}, \mathcal{A}'$$

$$E[c_L^{xx}, c_L^{xy}, c_L^{yy}] \rightarrow \mathcal{B}, \mathcal{B}'$$



INGRID selection

Must be sure to remove ν_e events in this analysis:

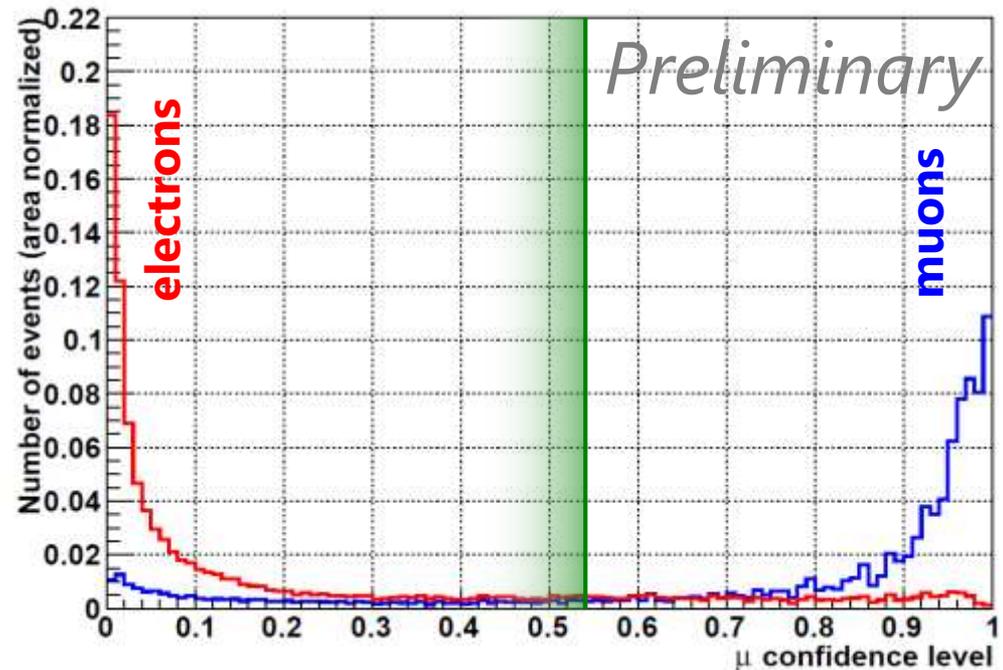
- LV signal is expected to be small
- No prediction of what flavour the ν_μ become

Events selected with a likelihood ratio:

- Track length
- Track width
- Activity (# hits) near vertex
- RMS of hit charge

$$L_\mu = \frac{\prod_i P_i(x_i|\mu)}{\prod_i P_i(x_i|\mu) + \prod_i P_i(x_i|e)}$$

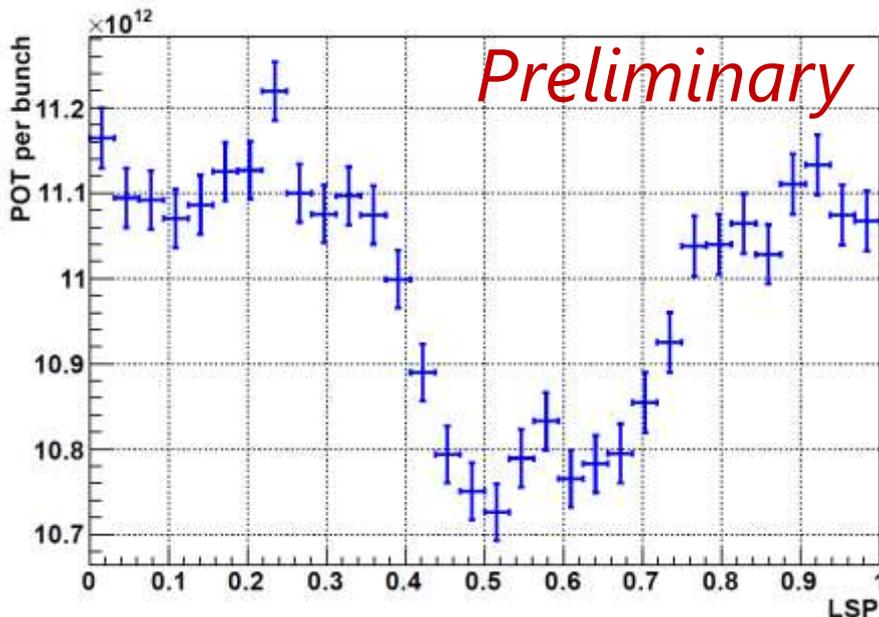
Average energy of selected events: $\langle E \rangle = 2.7$ GeV





Event rates and Local Sidereal Phase (LSP)

LV effect is periodic over T_{\oplus} ,
so present data with respect to
the sidereal day. Specifically,
LSP is the fractional part of
 $(t - t_0)/T_{\oplus}$



It is expected that many
features are not uniform as a
function of LSP:

- LSP advances slowly w.r.t.
local time over a year
- Interventions typically
happen during working hours
- The experiment does not run
uniformly throughout the
year (electricity costs)

◀ e.g. Beam intensity (protons
per bunch) vs LSP

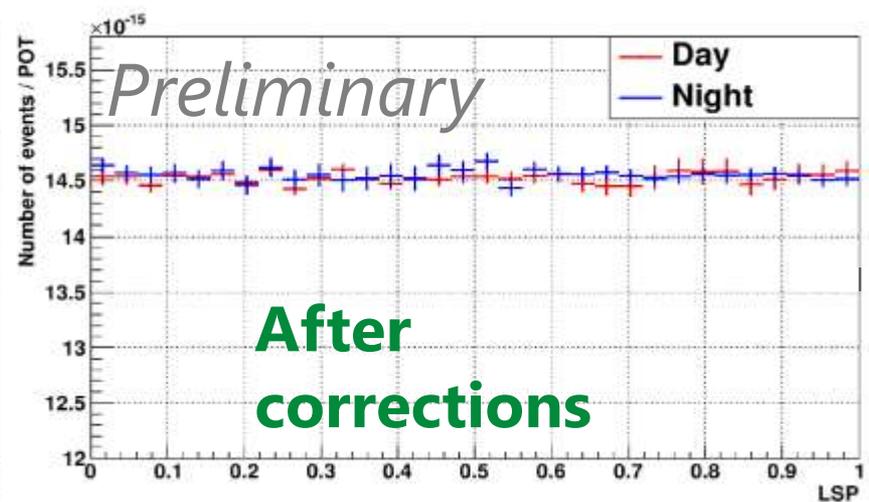
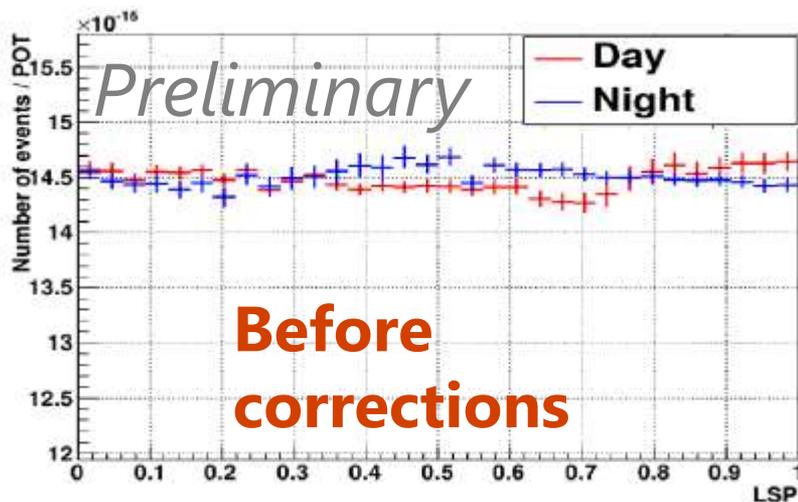


Systematic effects

The effects of various systematics are estimated.

- First-order effects (e.g. for pile-up) are corrected for
- Residual errors are estimated to be smaller than statistical variation.

Source	Effect size (% on bin)
Pile-up	0.01
Photo-sensor variation	0.06
Beam position	0.03
Long term variation	0.05
Total systematic	0.08
Statistical	0.3



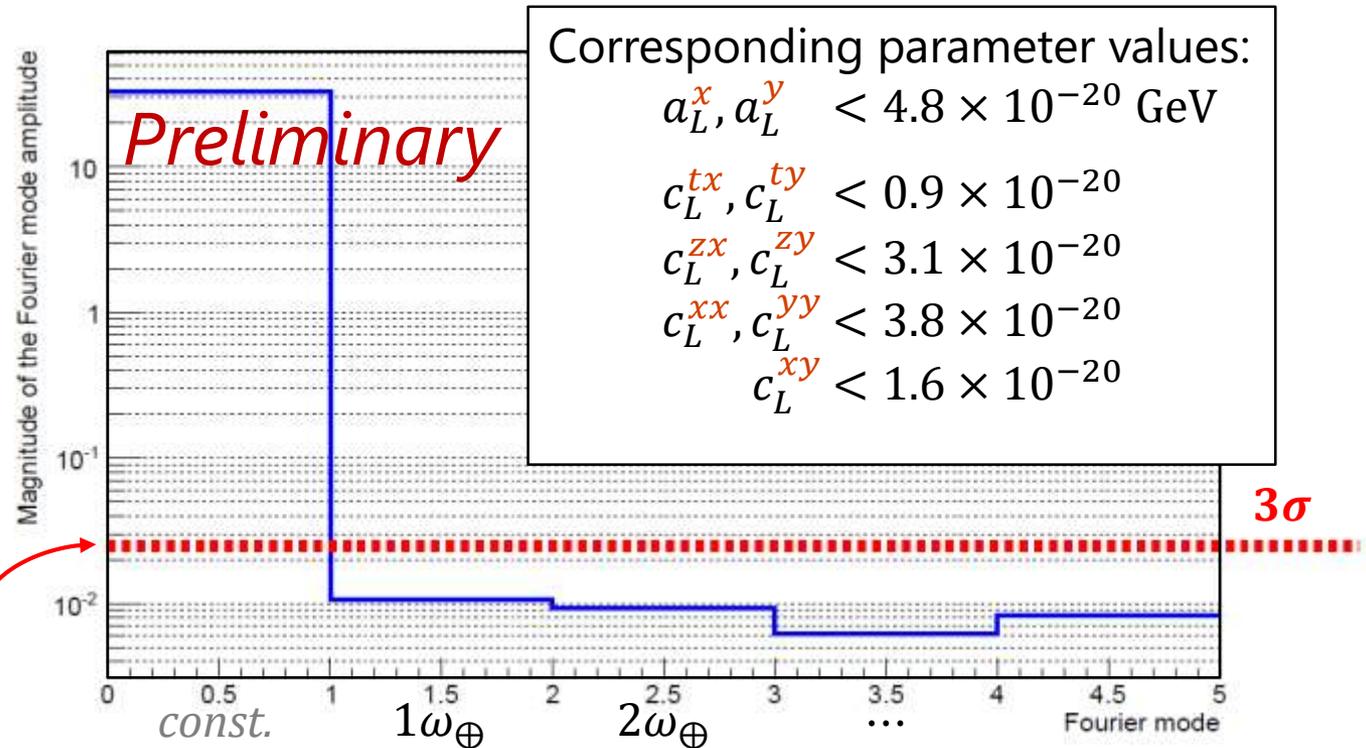


Parameter extraction

Parameters can be extracted using FFT

$$P^{\text{SBL}}(v_a \rightarrow v_b) = \left| \begin{aligned} &C_{ab} + \mathcal{A}_{ab} \sin \omega_{\oplus} t + \mathcal{A}'_{ab} \cos \omega_{\oplus} t \\ &+ B_{ab} \sin 2\omega_{\oplus} t + B'_{ab} \cos 2\omega_{\oplus} t \end{aligned} \right|^2$$

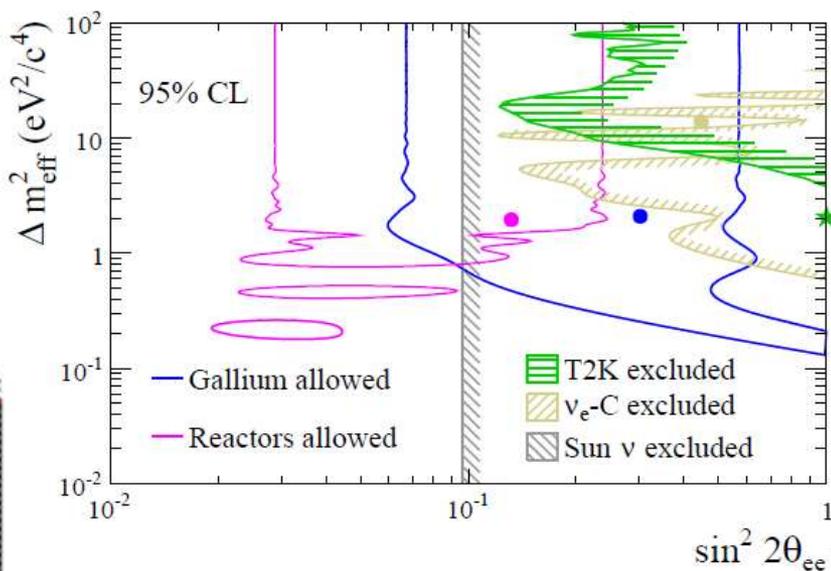
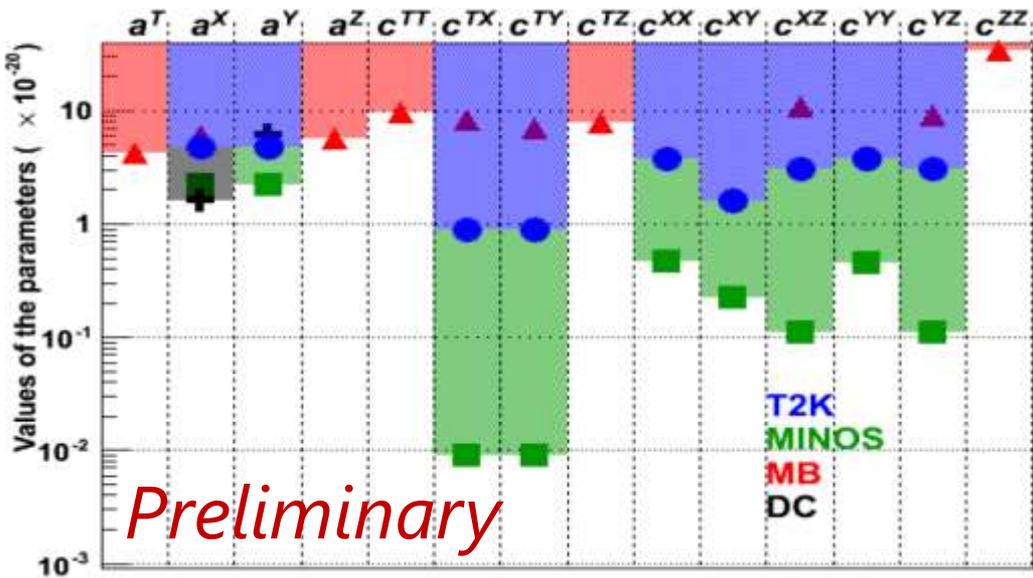
\therefore **Fourier modes at $[1, 2, 3, 4] \times \omega_{\oplus}$**





Summary

- ▶ SBL ν_μ, ν_e searches: eliminate some regions favoured by anomalous results
 - Future: More data & ν_μ analysis



- ◀ LV searches: Competitive limits set for neutrino sector

Others: Heavy Neutral Leptons; Dark matter

Exotics WG—always interested in new ideas