

# An elementary introduction to BRST symmetry

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# Landau's gauge quantization of electrodynamics

- Landau's gauge quantization of QED was suggested by Landau and refined by Nakanishi (Nakanishi N, 1974).
- It produces a quantum structure whose extension to non-abelian gauge theories gives a natural framework for the BRST construction.

- The starting point is the Lagrangian density:

$$L = -\frac{1}{4}F^{\mu\nu} F_{\mu\nu} + b\partial_{\mu} A^{\mu} + A_{\mu} j_{\mu}$$

in which  $j_{\mu}(x)$  is the electromagnetic current density which is conserved due to the equations of motion of the charged matter fields.

- The corresponding Euler-Lagrange equations are

$$\partial_\mu A_\mu(x) = 0$$

$$\partial^2 A_\mu(x) = j_\mu(x) + \partial_\mu b(x)$$

$$\partial^2 b(x) = 0$$

- The Lorentz's gauge fixing condition is strongly implemented by the Euler-Lagrange equation of the  $b$  field ([first equation](#)).
- This field gives an additive contribution to the current in the Euler-Lagrange equation of the vector potential ([second equation](#)) and satisfies the d'Alembert's wave equation ([third equation](#)) due to current conservation.

# Normal modes

- The standard field quantization procedure suited for Feynman's perturbation theory is based on the normal mode decomposition of the solutions of the free field equations, which are obtained by taking  $j_\mu(x) = 0$ .
- In relativistic quantum field theory free quantum fields play the physical role of **asymptotic fields**. Asymptotic fields are built with creation and annihilation operators of the Fock space of scattering states. Since there are two kinds of scattering states, the in-going and the out-going ones, there also are two different kinds of asymptotic free fields which are known as the in and out fields.

# Normal modes in the Landau gauge

- $A_\mu(x) = A_\mu^{(ph)}(x) + A_\mu^{(u)}(x)$
- $A_\mu^{(ph)}(x) = \int \frac{d^4k}{(2\pi)^{3/2}} e^{-ikx} \theta(k_0) \left[ \delta(k^2) \sum_{h=\pm 1} \epsilon_\mu(\vec{k}, h) \mathbf{a}(\vec{k}, h) \right] + \text{c.-c.}$
- $A_\mu^{(u)}(x) = i \int \frac{d^4k}{(2\pi)^{3/2}} e^{-ikx} \theta(k_0) \left[ \delta(k^2) (k_\mu \alpha(\vec{k}) + \bar{k}_\mu \frac{\beta(\vec{k})}{kk}) - k_\mu \delta'(k^2) \beta(\vec{k}) \right] + \text{c.-c.}$
- $b(x) = \int \frac{d^4k}{(2\pi)^{3/2}} e^{-ikx} \theta(k_0) \delta(k^2) \beta(\vec{k}) + \text{c.-c.}$

# Normal modes in the Landau gauge

where:

- $\epsilon^\mu(\vec{k}, h)$  for  $h = \pm 1$  are space-like circular polarization vectors such that:  $\epsilon \cdot k = \epsilon \cdot \bar{k} = 0$ ,  $\epsilon_\mu^*(\vec{k}, h) = \epsilon_\mu(-\vec{k}, h)$ ;
- $\bar{k}$  is the parity reflected image of  $k$ .
- The polarization vectors define the unpolarized photon density matrix

$$\sum_{h=\pm 1} \epsilon_\mu(\vec{k}, h) \epsilon_\nu^*(\vec{k}, h) = -g_{\mu\nu} + \frac{k_\mu \bar{k}_\nu + \bar{k}_\mu k_\nu}{k \cdot \bar{k}}$$

## Normal modes in the Landau gauge

- The Fourier analysis of the general solution  $A_\mu(x)$  involves therefore **four** complex coefficients.
- Two of them,  $a(\vec{k}, h)$  with  $h = \pm 1$ , are associated with the physical states with helicity  $h$ ;
- $\alpha(\vec{k})$  corresponds to the longitudinally polarized states;
- $\beta(\vec{k})$  is the Fourier transform of  $b(x)$ .

# Quantization

- In the quantized system the vector potential components correspond to operators which satisfy the equal-time canonical commutation relations

$$[A_i(\vec{r}), \partial_0 A_j(\vec{r}') - \partial_j A_0(\vec{r}')] = i \delta_{ij} \delta(\vec{r} - \vec{r}')$$

$$[A_0(\vec{r}), b(\vec{r}')] = i \delta(\vec{r} - \vec{r}')$$

# Hilbert spaces with indefinite metric

- Expressed in terms of the Fourier coefficients of the general solution,

$$[a(\vec{k}, h), a^+(\vec{q}, h')] = 2 |\vec{k}| \delta_{h,h'} \delta(\vec{k} - \vec{q})$$

$$[\alpha(\vec{k}), \beta^+(\vec{q})] = [\beta(\vec{k}), \alpha^+(\vec{q})] = 2 |\vec{k}| \delta(\vec{k} - \vec{q})$$

- $a^+(\vec{k}, h)$  and  $(\alpha^+(\vec{k}) + \beta^+(\vec{k}))/\sqrt{2}$  satisfy standard commutation rules and can be identified with creation operators.
- $(\alpha(\vec{k}) - \beta(\vec{k}))/\sqrt{2}$  obeys a commutator with the wrong sign.
- This means that  $(\alpha^+(\vec{k}) - \beta^+(\vec{k}))/\sqrt{2}$  creates **negative norm states**: the space underlying the quantization is not a Fock-Hilbert space.

# Gupta-Bleuler's solution

- The appearance of negative norm states contrasts with the usual probabilistic interpretation of the inner product in Quantum Mechanics.
- The Gupta-Bleuler way out of this paradox consists in identifying the **physical state vector space** with the subset of the Fock space annihilated by  $\beta(\vec{k})$ .
- This choice is possible since  $b(x)$  is a **free** field and hence the prescription selects a subspace of the Fock space which is physically **invariant**, i.e. invariant under the action of the observables.

# Gupta-Bleuler's solution

- The physical invariant subspace is spanned by states generated by polynomials of  $a^+(\vec{k}, h)$  which are positive norm states and mixed polynomials of  $a^+(\vec{k}, h)$  and  $\beta^+(\vec{k})$  which are orthogonal to the rest of the physical space and have zero norm.
- These states can be freely added to the positive norm physical states without changing their inner products and correspond to generic gauge variations of the physical states.

# The Yang-Mills model

- The situation is completely different for non-abelian models such as YM theories: In this case the field analogous to  $b(x)$  is **not** a free field.
- Disregarding for simplicity the possible couplings to matter, one starts from the Lagrangian density

$$L = -\frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c) \times \\ \times (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c) + b^a \partial^\mu A_\mu^a$$

# The Yang-Mills field equations

- The field equations are

$$\begin{aligned}\partial^2 A_\mu^a + g f^{abc} A_\nu^b (\partial_\nu A_\mu^c - \partial_\mu A_\nu^c) - g^2 f^{abc} f^{cde} A_\mu^d A_\nu^e A_\nu^c &= \\ &= \partial_\mu b^a \\ \partial^\mu A_\mu^a &= 0\end{aligned}$$

- These two equations do not imply anymore that  $\partial^2 b^a(x) = 0$  except when  $g = 0$ .

## The problem with GB

- For  $g = 0$  the above Lagrangian reduces to the sum of  $N$  pure QED Lagrangians.
- As mentioned, in perturbation theory one starts from the free theory describing the quantum mechanics of the asymptotic scattering states. Thus the space of asymptotic in-going states of YM theory consists of the tensor product of  $N$  indefinite-metric Fock spaces analogous to that of QED.

### The non-abelian difficulty

The difficulty arises when one tries to identify a physical subspace with semi-definite norm. The extension of the Gupta-Bleuler choice valid for quantum electrodynamics does not identify a physically invariant subspace of the non-abelian theory since  $b^a(x)$  is not a free field.

# Faddeev-Popov's solution

- The solution to this difficulty was found by Faddeev and Popov, using the Feynman functional quantization method.
- The point they made is that the correct identification of the physical subspace requires an extension of the indefinite-metric space of states.
- This is obtained in a perfectly local approach by introducing ghost fields which **compensate** the unphysical degrees of freedom.

# A quantum mechanical model for the Kugo-Ojima quartet mechanism

- The idea of compensating fields, the **Kugo-Ojima quartet mechanism**, is based on the extension to field theory of the following example with a finite number of degrees of freedom.

# The Bosonic 2-oscillator

- Consider a two-dimensional **bosonic** harmonic oscillator quantized through canonical commutation relations:

$$H_B = \bar{P} P + \omega^2 \bar{z} z = \omega (A^\dagger A + \bar{A}^\dagger \bar{A} + 1)$$

$$z = (x + iy)/\sqrt{2} \quad P = (p_x - i p_y)/\sqrt{2}$$

$$E_{n,m} = \omega (n + m + 1), n, m = 0, 1, 2, \dots$$

- The ground state  $|0\rangle$  is identified with the vacuum state of a Fock space.

# The Fermionic oscillator

- Consider a system with the same Hamiltonian quantized by replacing canonical commutators with **anti-commutators**:

$$H_F = \bar{\pi} \pi + \omega^2 \bar{\zeta} \zeta = \omega [\bar{a}^\dagger \bar{a} + a^\dagger a - 1]$$
$$E_{n,m} = \omega (n + m - 1) \quad n, m = 0, 1$$

- The canonical coordinates, being nilpotent, cannot correspond to Hermitian operators.

# The super-oscillator

- The bosonic and the fermionic oscillators can be combined into a **supersymmetric** oscillator:

$$H_{B-F} = H_B + H_F = \omega (A^\dagger A + \bar{A}^\dagger \bar{A} + \bar{a}^\dagger \bar{a} + a^\dagger a)$$

- The resulting theory is invariant under transformations interchanging bosonic and fermionic degrees of freedom generated by the **BRST operator**

$$Q = i(\bar{A}^\dagger \bar{a} - a^\dagger A)$$

- This charge is nilpotent

$$Q^2 = 0$$

# The supersymmetry algebra

- $Q$  is not Hermitian in the Fock-Hilbert space:

$$Q^\dagger = i(A^\dagger a - \bar{a}^\dagger \bar{A})$$

- $Q^\dagger$  is also nilpotent and conserved.
- $Q$  and  $Q^\dagger$  generate an extended supersymmetry algebra characterized by the anti-commutation relation

$$\{Q, Q^\dagger\} = \frac{H}{\omega}$$

# Hodge decomposition

- Since  $Q$  is nilpotent,  $\ker Q$  contains  $\text{im } Q$ :

$$\ker Q = \ker H \oplus \text{im } Q$$

- The supersymmetry algebra implies that the full Hilbert space of states admits the orthogonal decomposition

$$\ker H \oplus \text{im } Q \oplus \text{im } Q^\dagger$$

which is the Hodge decomposition associated with  $Q$ .

# Eliminating excited states

- The Fock vacuum  $|0\rangle$  is the only vector of the Fock space of the B-F model invariant under the action of both  $Q$  and  $Q^\dagger$ .
- $\ker H$  for this model is therefore one-dimensional and it is generated by  $|0\rangle$ .
- An obvious way of disregarding the unwanted excited states of the B-F oscillator is to select supersymmetric invariant vectors, that is, vector states annihilated by **both**  $Q$  and  $Q^\dagger$ . However, in the field theory context, this choice — which turns out to be equivalent to the Dirac-Bergmann construction — is an exceedingly rigid constraint which contrasts with explicit Lorentz covariance.

## Selecting physical states

- If, on the contrary, we weaken our condition, by selecting the set of the vector states annihilated by only one of the two charges, say  $Q$ , **too many** unphysical vector states — the elements of  $\text{im } Q$  — survive the selection.
- The way to overcome this difficulty is **to void**  $\text{im } Q$  of physical content.

# The indefinite inner product

- This is accomplished by introducing an **indefinite inner product** in the Fock space, relative to which  $Q$  is required to be pseudo-Hermitian:

$$\bar{A}^+ = A^\dagger \quad A^+ = \bar{A}^\dagger \quad \bar{a}^+ = a^\dagger \quad a^+ = \bar{a}^\dagger$$

- One verifies immediately that both  $H_{B-F}$  and  $Q$  are pseudo-Hermitian operators.

# BRST cohomology

- The Fock vacuum  $|0\rangle$  has positive pseudo-norm,  $\langle 0|0\rangle > 0$ . Furthermore  $Q|0\rangle = 0$ .
- The states of  $\text{im } Q$  are pseudo-orthogonal to those of  $\text{ker } Q$  since  $Q$  is pseudo-Hermitian.
- Since  $\text{im } Q$  is pseudo-orthogonal to  $\text{ker } Q$ ,  $\text{ker } Q$  is not a Hilbert space.
- However the quotient space

$$H_{phys} = \text{ker } Q / \text{im } Q$$

known as the **BRST cohomology space**, is the space which can be naturally identified with the space of **physical states**.

# BRST cohomology

- The Hodge decomposition establishes an isomorphism between  $H_{phys}$  and  $\ker H$ .
- Adding arbitrary states in  $\text{im } Q$  to states in  $\ker Q$  does not change their pseudo-inner products. Therefore, from the point of view of the physical interpretation based on the probabilistic interpretation of the pseudo-inner product, two states in  $\ker Q$  whose difference belongs to  $\text{im } Q$  must be considered equivalent.
- If the inner product induced on  $\ker Q / \text{im } Q$  by the pseudo-inner product on the original space is definite positive,  $H_{phys}$  is a Hilbert space. This has to be investigated on a case by case basis.

# Interactions

- In the case of the B-F model,  $\ker Q$  coincides with the equivalence class of  $|0\rangle$  and  $H_{phys}$  is a one-dimensional Hilbert space: complete compensation of degrees of freedom occurs in this particular model.
- A less trivial system can be obtained by coupling the B-F oscillator to some further mechanical system, e.g. to a bosonic one-dimensional, physical, harmonic oscillator

$$h = \frac{1}{2} (p_{phy}^2 + \Omega^2 x_{phy}^2) = \Omega (A_{phy}^\dagger A_{phy} + \frac{1}{2})$$

# Interactions

- The space of states of this model is the tensor product of the B-F Fock space with that of the physical oscillator. This is an indefinite-metric vector space.
- The physical space,  $\ker Q / \text{im } Q$ , coincides with the equivalence classes of the vector space spanned by  $(A_{phy}^\dagger)^n |0\rangle$  for any  $n \geq 0$ .
- Thus  $H_{phys}$  is equivalent to the Hilbert-Fock space of the physical oscillator.

# Interactions

- One can couple the physical oscillator to the B-F oscillator, e.g. through the interaction

$$V = i\lambda\{Q, x\bar{z}\zeta\} = \lambda x(z\bar{z} + \bar{\zeta}\zeta)$$

which is pseudo-Hermitian and, being an anticommutator  $\{Q, X\}$ , commutes with  $Q$ .

- The dynamics induced by the complete Hamiltonian

$$H_C = H_{B-F} + h + V$$

on the full Fock space is affected by  $V$ .

# Gauge-independence

- However the dynamics in  $H_{phys}$  does not depend on the coupling  $V$  since all the matrix elements  $\langle p|V|p'\rangle$  with  $p, p' \in H_{phys}$  vanish.
- Therefore the dynamics of the coupled physical oscillator in  $H_{phys}$  is perfectly equivalent to that of the uncoupled physical oscillator and one concludes that bosonic and fermionic degrees of freedom of the F-B oscillator compensate each other.

# Quantum field theory

- This compensation mechanism is naturally extended to quantum field theory.
- As an example, consider a neutral scalar field  $\Phi$  interacting with a couple of unphysical complex, scalar fields  $\phi$  and  $\psi$  quantized with opposite statistics.

$$L = L(\Phi) + \partial_\mu \bar{\phi} \partial_\mu \phi - m^2 \bar{\phi} \phi + \\ + \partial_\mu \bar{\psi} \partial_\mu \psi - m^2 \bar{\psi} \psi + g \Phi (\bar{\phi} \phi + \bar{\psi} \psi)$$

# The quartet mechanism in quantum field theory

- In view of a perturbation expansion in powers of  $g$ , one begins quantizing the free ( $g = 0$ ) theory.
- For each value  $\vec{k}$  of the spatial momentum, one finds four oscillators corresponding to the fields  $\phi, \bar{\phi}, \psi$  and  $\bar{\psi}$  plus one further oscillator associated with the field  $\Phi$ .
- For any  $\vec{k}$  the system composed of the first four oscillators is equivalent to the B-F oscillator described above, while the oscillator associated with  $\Phi$  plays the role of the physical oscillator.

# The BRST operator in quantum field theory

- The nilpotent BRST operator is

$$Q = i \int_{k_0 = \sqrt{|\vec{k}|^2 + m^2}} \frac{d\vec{k}}{2k_0} (\bar{A}^\dagger(\vec{k}) \bar{a}(\vec{k}) - A(\vec{k}) a^\dagger(\vec{k}))$$

where  $A, \bar{A}$  are the annihilation operators of  $\phi, \bar{\phi}$  while  $a, \bar{a}$  are those of  $\psi, \bar{\psi}$ .

- The BRST formalism requires the conservation of  $Q$ .

# The BRST invariant action

- The operator  $Q$  is the generator of following field transformations

$$\delta \bar{\phi}(x) = \epsilon Q \bar{\phi}(x) = \epsilon \bar{\psi}(x)$$

$$\delta \psi(x) = \epsilon Q \psi(x) = \epsilon \phi(x)$$

the other fields remaining unchanged. The parameter  $\epsilon$  should be considered anticommuting.

- The invariance of the the classical action follows from the equation

$$L = L(\Phi) + iQ[\partial_\mu \bar{\phi} \partial_\mu \psi - m^2 \bar{\phi} \psi + g \Phi \bar{\phi} \psi]$$

and from the nilpotent character of  $Q$ .

# The BRST cohomology in QFT

- This shows that  $Q$ , defined as an operator on the asymptotic Fock space, is Pseudo-Hermitian, conserved and nilpotent.
- Therefore the physical content of this theory can be analysed in much the same way as done above for the physical oscillator coupled to the B-F oscillator.

# Physical states in QFT

- $\ker Q$  is physically invariant since  $Q$  is conserved.
- The physical state space is identified with the linear set of equivalence classes  $\ker Q / \text{im } Q$ .
- It is a Hilbert space since it coincides with the set of equivalence classes of the states of the asymptotic Fock space associated to the field  $\phi$ .

# Perturbation theory

- Notice that in the perturbation theory based on Feynman diagrams, the  $\Phi$  self-interactions induced by the unphysical fields correspond to one loop diagrams built with either  $\phi$  or  $\psi$  internal lines.
- In particular the  $\Phi^n$  coupling is given by the sum of two  $n$ -vertex polygonal diagrams with sides corresponding either to  $\phi$ , or to  $\psi$ , lines.
- This sum vanishes for any  $n$  since the contributions from the fermionic fields  $\psi$  exactly cancel those from the bosonic ones  $\phi$ . In this sense  $\phi$  and  $\psi$  compensate each other.

# Renormalization

- Considering this point of view with more care one sees that the Feynman diagram expression for  $\phi^n$  couplings with  $n \leq 2$  are ill-defined since the corresponding one-loop diagrams are **divergent**. In renormalization theory they are defined up to additive terms depending on three free coefficients.
- Therefore the exact cancellation of bosonic and fermionic loop contributions requires a renormalization prescription for which the above mentioned free coefficients compensate each other. This is a clear, however simple, example of the role of renormalization in the BRST formalism.

# The ghost number

- One last basic ingredient of the BRST construction is the **ghost number** operator. For the B-F oscillator this is defined as follows

$$N_g = \frac{1}{2} (a^\dagger a - \bar{a}^\dagger \bar{a})$$

- $N_g$  commutes with  $H_C$  and satisfies  $[N_g, Q] = Q$ .
- Thus it is conserved and it leaves the subspace  $\ker Q$  invariant.

# The ghost number grading

- This implies that  $N_g$  induces a grading on  $\ker Q$  which decomposes into the direct sum of eigenspaces of  $N_g$ .
- For this model only the ghost number zero subspace is of physical interest, the rest of  $\ker Q$  belonging to  $\text{im } Q$ .

# Yang-Mills theory in BRST formalism

- One starts from the asymptotic free  $g = 0$  theory which corresponds to the sum of the free Landau Lagrangian densities depending on the fields  $A_\mu^a$  and  $b^a$  for  $a = 1, \dots, N$ .
- Following the compensating field strategy one introduces two more sets of **anticommuting scalar** fields: the ghost field  $c^a(x)$  and the anti-ghost field  $\bar{c}^b(x)$ .
- Proceeding in strict analogy with the B-F oscillator one adds to the Lagrangian density the compensating term

$$-\bar{c}^a \partial^2 c^a$$

# Normal modes of YM

- The normal modes are

$$c^a(x) = \frac{1}{(2\pi)^{\frac{3}{2}}} \int_{k^0=|\vec{k}|} \frac{d\vec{k}}{2k^0} (\gamma^a(\vec{k}) e^{-ikx} + (\gamma^a)^+(\vec{k}) e^{ikx})$$

$$\bar{c}^a(x) = \frac{1}{(2\pi)^{\frac{3}{2}}} \int_{k^0=|\vec{k}|} \frac{d\vec{k}}{2k^0} (\bar{\gamma}^a(\vec{k}) e^{-ikx} - (\bar{\gamma}^a)^+(\vec{k}) e^{ikx})$$

- The (anti)commutation rules

$$\{\gamma^a(\vec{k}), (\bar{\gamma}^b)^+(\vec{q})\} = \{\bar{\gamma}^a(\vec{k}), (\gamma^b)^+(\vec{q})\} = 2|\vec{k}| \delta^{ab} \delta(\vec{k} - \vec{q})$$
$$[\alpha^a(\vec{k}), (\beta^b)^+(\vec{q})] = [\beta^a(\vec{k}), (\alpha^b)^+(\vec{q})] = 2|\vec{k}| \delta(\vec{k} - \vec{q})$$

and those for  $a^a(\vec{k}, h)$  complete the canonical quantization prescriptions of the **asymptotic** theory.

# The asymptotic BRST operator

- The BRST operator  $Q$

$$\begin{aligned} Q &= -i \int_{k^0=|\vec{k}|} \frac{d\vec{k}}{2k^0} \left[ (\beta^a)^+(\vec{k}) \gamma^a(\vec{k}) - (\gamma^a)^+(\vec{k}) \beta^a(\vec{k}) \right] = \\ &= -i \int d^4x \left[ \partial_\mu c^a(x) \frac{\delta}{\delta A_\mu^a(x)} - b^a(x) \frac{\delta}{\delta \bar{c}^a(x)} \right] \end{aligned}$$

annihilates the free Lagrangian density

$$L_0 = -\frac{1}{2} \partial_\mu A_\nu^a (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) - i Q (\bar{c}^a \partial_\mu A_\mu^a)$$

- Indeed the first term in  $iQ$  is the generator of abelian gauge transformation  $A_\mu^a \rightarrow A_\mu^a + \partial_\mu c^a$  which leaves invariant the first term in  $L_0$ , while the second term in  $L_0$  is annihilated by the nilpotent  $Q$ .

# The interacting theory

- Therefore, for what concerns the asymptotic theory,  $\ker Q / \text{im } Q$  is a Hilbert physical state corresponding to the Fock space of gluons with helicity  $\pm 1$ .
- One is left with the problem of extending this result to the fully interacting theory. The Lagrangian density

$$L = -\frac{1}{4}(F^a)^{\mu\nu} F_{\mu\nu}^a - i Q (\bar{c}^a \partial_\mu A_\mu^a)$$

is not annihilated by  $Q$  since  $-\frac{1}{4}(F^a)^{\mu\nu} F_{\mu\nu}^a$  is not invariant under the abelian gauge transformations.

# The non-abelian gauge symmetry

- The solution to this problem consists in replacing, at the interacting level, the abelian generator with the non-abelian one:

$$X(c) = \int d^4x D_\mu c^c(x) \frac{\delta}{\delta A_\mu^c(x)}$$

and deforming the BRST operator is accordingly

$$Q \rightarrow -i \left( X(c) - \int d^4x b^a(x) \frac{\delta}{\delta \bar{c}^a(x)} \right)$$

# The interacting BRST operator

- $-\frac{1}{4}(F^a)^{\mu\nu} F_{\mu\nu}^a$  is now annihilated by  $X(c)$  since it is gauge-invariant.
- One is not finished yet, since  $X(c)$ , and thus  $Q$  too, is not nilpotent, due to the non-abelian character of the gauge transformations:

$$\begin{aligned}[X^a(x), X^b(y)] &= \delta(x - y) g f^{abc} X^c(y) \\ X^2(c) &= X(c \wedge c/2)\end{aligned}$$

where  $(c \wedge c)^a(x) = g f^{abc} c^b(x) c^c(x)$ .

# The interacting BRST operator

- This requires adding an extra term to  $Q$

$$D(c) = X(c) - \frac{g}{2} \int d^4x f^{abc} c^a(x) c^b(x) \frac{\delta}{\delta c^c(x)}$$

$$Q = -i \left( D(c) - \int d^4y b^a(y) \frac{\delta}{\delta \bar{c}^a(y)} \right)$$

- $D^2(c) = Q^2 = 0$ .
- $D(c)$  annihilates  $-\frac{1}{4}(F^a)^{\mu\nu} F_{\mu\nu}^a$  since  $X(c)$  does it.
- $D(c)$  is the **coboundary** operator of Chevalley gauge Lie algebra cohomology.

# The Faddeev-Popov Lagrangian density

- One arrives at

$$L = -\frac{1}{4}(F^a)^{\mu\nu} F_{\mu\nu}^a - i Q (\bar{c}^a \partial_\mu A_\mu^a)$$

- The first term is the original gauge invariant Yang-Mills term; the second  $Q$ -trivial term is the gauge-fixing term implementing the compensation mechanism which relies on the nilpotency of  $Q$ .

# Gauge independence

- Replacing the  $Q$ -trivial term in the Lagrangian density with a different term with the same structure and ghost number, e.g.  $-i Q(\bar{c}^a(\partial_\mu A_\mu^a + \xi b^a))$ , corresponds to a change in the unphysical part of the asymptotic Fock space: it does not change the physical results. For example, the parameter  $\xi$  does not affect the physics.
- This construction extends to the full quantum theory through renormalization. This is a non-trivial result which will not be reviewed here.

# Generalizations

- The BRST formalism applies directly to arbitrary gauge theories and to Lagrangian systems whose constraints are first class and form a Lie algebra.
- Further generalizations are possible, e.g. to cases in which the algebra of constraints is not a Lie algebra since its structure constants are field dependent.

## The relation between the BRST construction and gauge-invariance

- Covariant quantization of gauge fields requires the compensation of unphysical degrees of freedom.
- This is ensured by the Kugo-Ojima quartet compensation mechanism.
- This is not related to any gauge symmetry, but is based on the existence of a physically invariant subspace identified with the kernel of the BRST operator  $Q$ .
- In turn, the existence of such a BRST operator in the interacting theory relies on the gauge invariance of the underlying classical theory.