

Space Charge

ACCELERATOR PHYSICS

HT6 2016

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Thanks to :Karlheinz SCHINDL - CERN/AB

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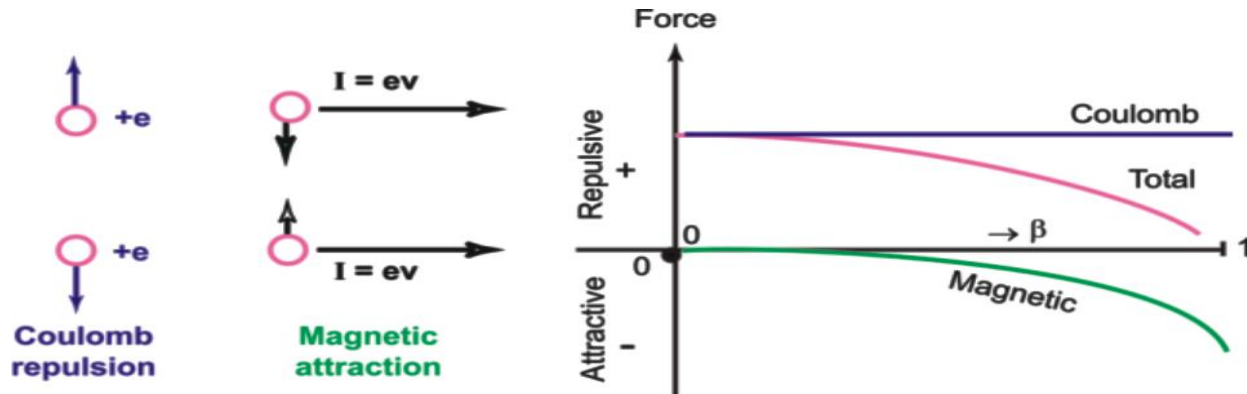
A. Hofmann, Tune shifts from self-fields and images, CAS Jyväskylä 1992, CERN 94-01, Vol. 1, p. 329

P.J. Bryant, Betatron frequency shifts due to self and image fields, CAS Aarhus 1986, CERN 87-10, p. 62

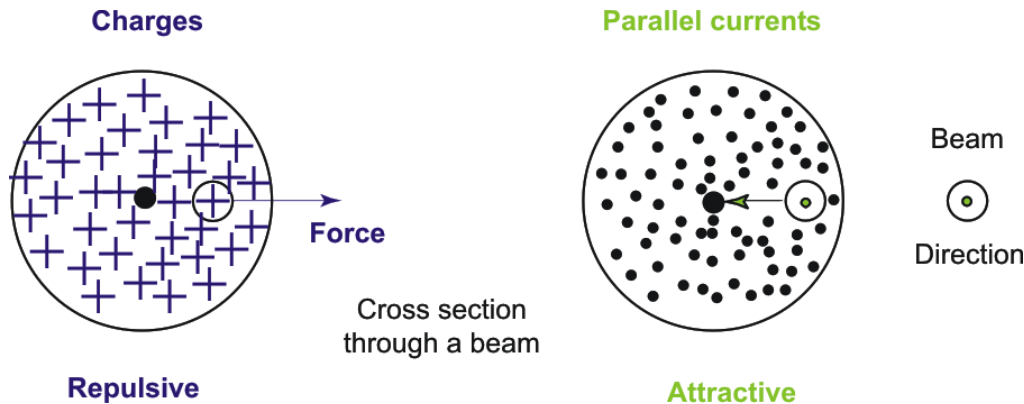
K. Schindl, Space charge, Proc. Joint US-CERN-Japan-Russia School on Part.Acc., "Beam Measurement", Montreux, May 1998, World Scientific, 1999, p. 127

Space Charge Force

Two Particles



Many Particles

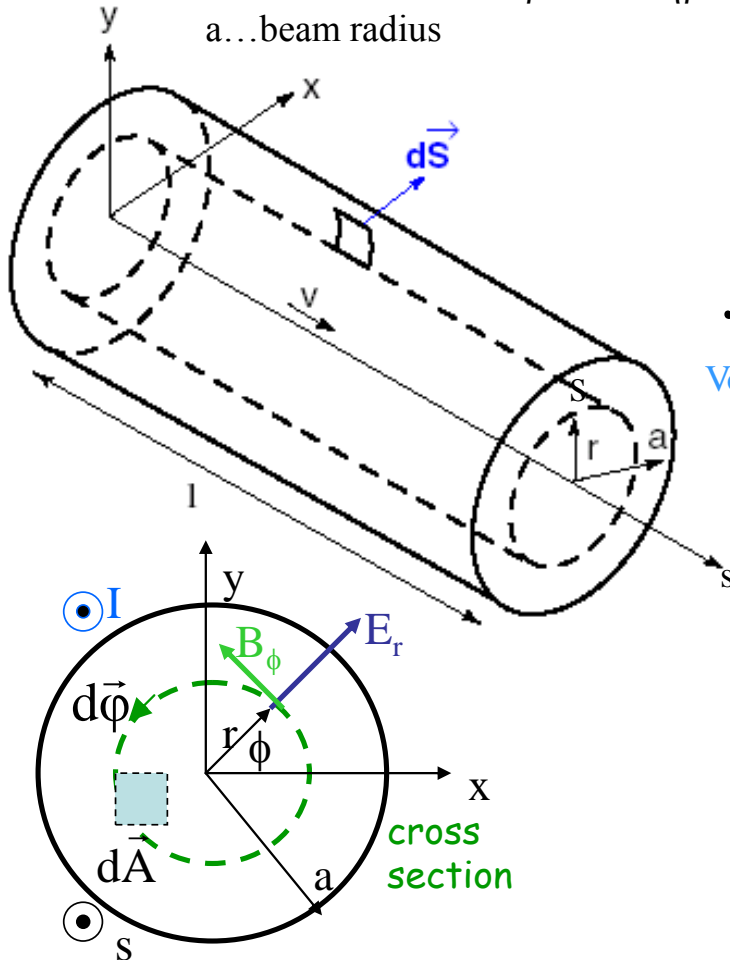


Force in **beam centre** = 0

Force **larger near beam edge**

Direct Space Charge - Fields

η ... charge density in Cb/m³
 λ ... constant line charge $\pi a^2\eta$
 I ... constant current $\lambda\beta c = \pi a^2\eta\beta c$
 a ... beam radius



Electric

$$\vec{E} = E_r$$

$$\text{div } \vec{E} = \frac{\eta}{\epsilon_0}$$

Magnetic

$$\vec{B} = B_\phi$$

$$\text{curl } \vec{B} = \mu_0 \vec{J}$$

Current density ($\beta c \eta$)

$$\iiint \text{div } \vec{E} dV = \iint \vec{E} d\vec{S} \quad \oint \vec{B} \cdot d\vec{\phi} = \iint \text{curl } \vec{B} d\vec{A}$$

Volume element

Apply these integrals over

cylinder radius r
length l

cross section
radius r

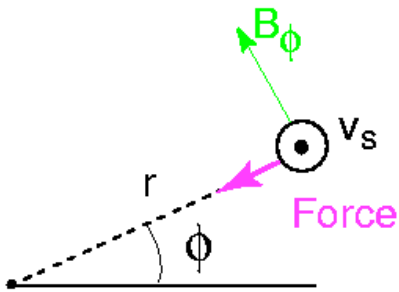
$$r^2 \pi l \frac{\eta}{\epsilon_0} = E_r 2r\pi l$$

$$B_\phi 2r\pi = \mu_0 r^2 \pi \beta c \eta$$

$$E_r = \frac{I}{2\pi\epsilon_0\beta c} \frac{r}{a^2}$$

$$B_\phi = \frac{I}{2\pi\epsilon_0 c^2} \frac{r}{a^2}$$

Force on a Test Particle Inside the Beam



$$\vec{F} = e(\vec{E} + \vec{v} \times \vec{B})$$

$$F_r = e(E_r - v_s B_\phi)$$

$$F_r = \frac{eI}{2\pi\epsilon_0\beta c} (1 - \beta^2) \frac{r}{a^2} = \frac{eI}{2\pi\epsilon_0\beta c^2} \frac{1}{\gamma^2} \frac{r}{a^2}$$

Electric

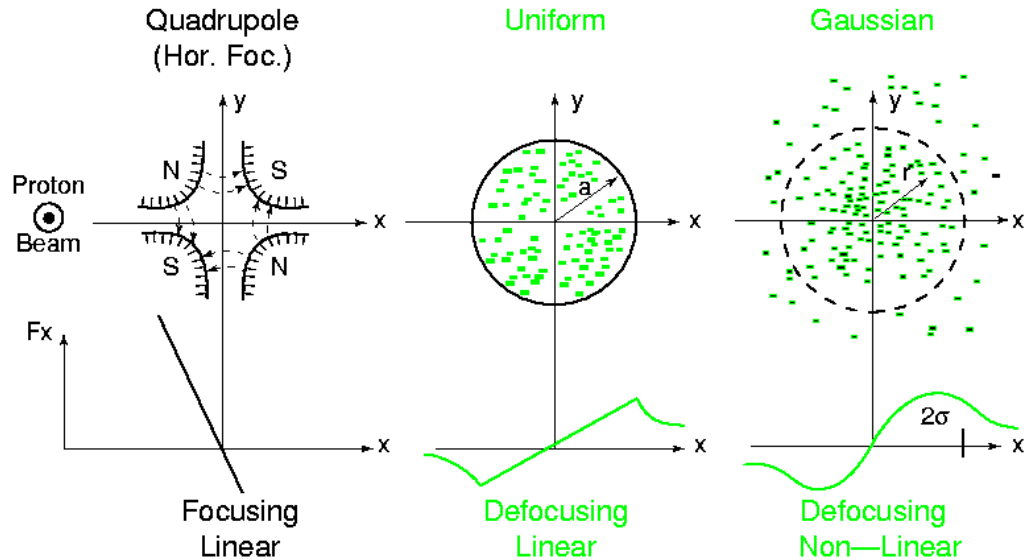
magnetic

$$F_x = \frac{eI}{2\pi\epsilon_0\beta c\gamma^2 a^2} x$$

$$F_y = \frac{eI}{2\pi\epsilon_0\beta c\gamma^2 a^2} y$$

Space charge force

- circular beam
- uniform charge density
- F_x, F_y linear in x, y
- force $\rightarrow 0$ for $\gamma \gg 1$ ($\beta \rightarrow 1$)
- defocusing lens in either plane



Space Charge in a Transport Line

$$x'' + K(s)x = 0$$

Transport line with quadrupoles

$$x'' + (K(s) + \underline{K_{SC}(s)})x = 0$$

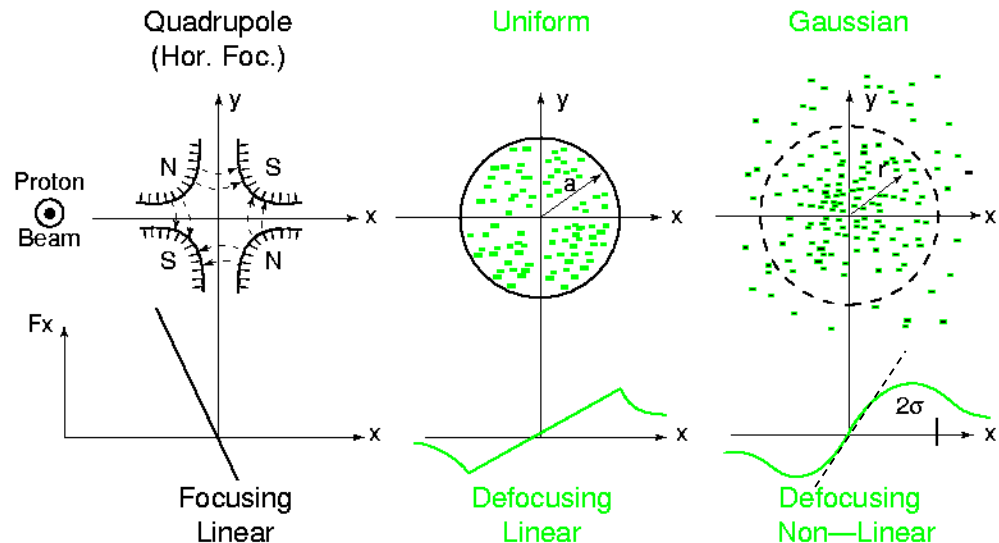
Transport line with quadrupoles and **space charge**

$$x'' = \frac{d^2x}{ds^2} = \frac{1}{\beta^2 c^2} \frac{d^2x}{dt^2} = \frac{1}{\beta^2 c^2} \frac{F_x}{m_0 \gamma} = \frac{2r_0 I}{ea^2 \beta^3 \gamma^3 c} x \quad \text{where} \quad r_0 = \frac{e^2}{4\pi\epsilon_0 m_0 c^2}$$

$$x'' + \left(K(s) - \frac{2r_0 I}{ea^2 \beta^3 \gamma^3 c} \right) x = 0$$

K_{SC}

In a **transport line**, the focusing by quadrupoles is counteracted by **space charge**, making **focusing weaker**



Incoherent Tune Shift in a Synchrotron

- ❑ **Beam not bunched** (so no acceleration)
- ❑ **Uniform density** in the circular x-y cross section (not very realistic)

$$x'' + (\mathbf{K}(s) + \mathbf{K}_{SC}(s))x = 0 \quad \rightarrow Q_{x0} \text{ (external)} + \Delta Q_x \text{ (space charge)}$$

For small "gradient errors" k_x

$$\Delta Q_x = \frac{1}{4\pi} \int_0^{2R\pi} k_x(s) \beta_x(s) ds = \frac{1}{4\pi} \int_0^{2R\pi} \mathbf{K}_{SC}(s) \beta_x(s) ds$$

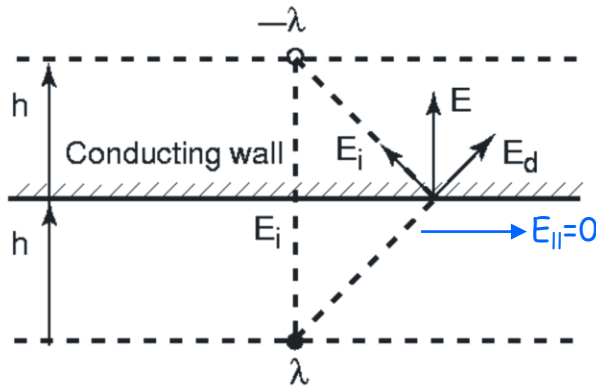
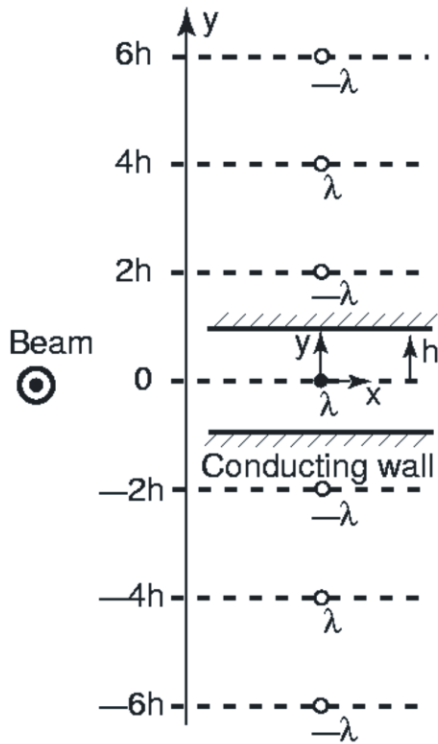
$$\Delta Q_x = -\frac{1}{4\pi} \int_0^{2R\pi} \frac{2r_0 I}{e\beta^3 \gamma^3 c} \frac{\beta_x(s)}{a^2} ds = -\frac{r_0 R I}{e\beta^3 \gamma^3 c} \left\langle \frac{\beta_x(s)}{a^2(s)} \right\rangle = -\frac{r_0 R I}{e\beta^3 \gamma^3 c E_x}$$

$$\Delta Q_{x,y} = -\frac{r_0 N}{2\pi E_{x,y} \beta^2 \gamma^3}$$

using $I = (Ne\beta c)/(2R\pi)$ with
 N ...number of particles in ring
 $E_{x,y}$...emittance containing 100% of particles

- ❑ **"Direct" space charge, unbunched beam in a synchrotron**
- ❑ Vanishes for $\gamma \gg 1$
- ❑ Important for low-energy machines
- ❑ **Independent of machine size** $2\pi R$ for a **given N**

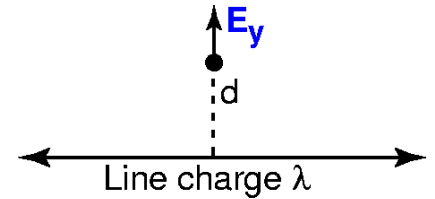
Incoherent Tune Shift: Image Effects



"Image charge" $-\lambda$ to render $E_{\parallel} = 0$ on conductive wall

Image (line) charges created by two parallel conducting plates, distance $2h$

Electric field around a line charge



$$E_y = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{d}$$

$$E_{i1y} = \frac{\lambda}{2\pi\epsilon_0} \left(\frac{1}{2h-y} - \frac{1}{2h+y} \right), \quad E_{i2y} = \frac{\lambda}{2\pi\epsilon_0} \left(\frac{1}{4h+y} - \frac{1}{4h-y} \right)$$

$$E_{iny} = \frac{(-1)^{n+1}\lambda}{2\pi\epsilon_0} \left(\frac{1}{2nh-y} - \frac{1}{2nh+y} \right) = (-1)^{n+1} \frac{\lambda}{4\pi\epsilon_0} \frac{y}{n^2 h^2}$$

Image Field E_{iny} generated by the n -th pair of line charges

Image Effect of Parallel Conducting Plates ctd.

$$E_{iy} = \sum_{n=1}^{\infty} E_{iny} = \frac{\lambda}{4\pi\epsilon_0 h^2} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n^2} y = \frac{\lambda}{4\pi\epsilon_0 h^2} \frac{\pi^2}{12} y$$

Vertical image field E_{iy} :

- vanishes at $y=0$
- linear in y
- vertical defocusing
- large if vacuum chamber small (small h)

$$\text{div} \vec{E}_i = 0 = \frac{\partial E_{ix}}{\partial x} + \frac{\partial E_{iy}}{\partial y} \Rightarrow E_{ix} = -\frac{\lambda}{4\pi\epsilon_0 h^2} \frac{\pi^2}{12} x$$

because between the conducting plates no **image** charges

$$F_{ix} = -\frac{e\lambda}{\pi\epsilon_0 h^2} \frac{\pi^2}{48} x$$

$$F_{iy} = \frac{e\lambda}{\pi\epsilon_0 h^2} \frac{\pi^2}{48} y$$

From these image forces F_{ix} and F_{iy}
 $\Rightarrow K_{sc} \Rightarrow \Delta Q_{x,y}$

$$\Delta Q_x = -\frac{2r_0 \text{IR} \langle \beta_x \rangle}{ec\beta^3 \gamma} \left(\frac{1}{2\langle a^2 \rangle \gamma^2} - \frac{\pi^2}{48h^2} \right)$$

tune shift

direct

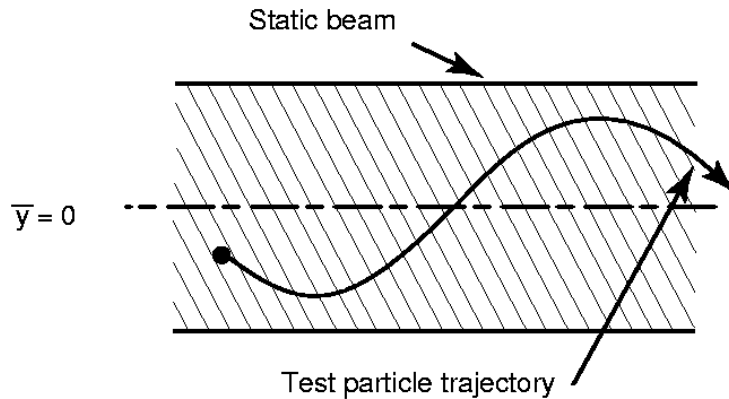
image

$$\Delta Q_y = -\frac{2r_0 \text{IR} \langle \beta_y \rangle}{ec\beta^3 \gamma} \left(\frac{1}{2\langle b^2 \rangle \gamma^2} + \frac{\pi^2}{48h^2} \right)$$

- Image effects do not vanish for large γ , thus **not negligible for electron machines**
- Electrical** image effects normally focusing in horizontal, defocusing in vertical plane
- Image effects also due to ferromagnetic boundary (e.g. synchrotron magnets)

Incoherent and Coherent Motion

Incoherent motion

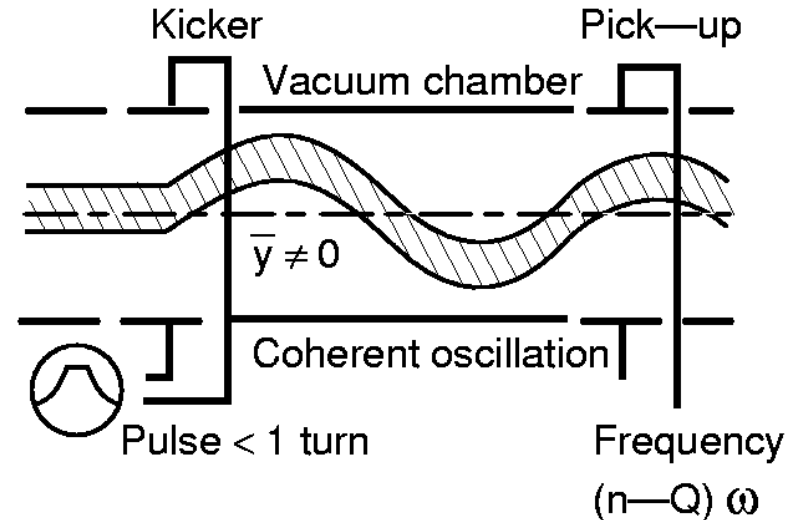


Test particle in a beam whose centre of mass does not move

The beam environment does not "see" any motion

Each particle features its individual amplitude and phase

Coherent motion

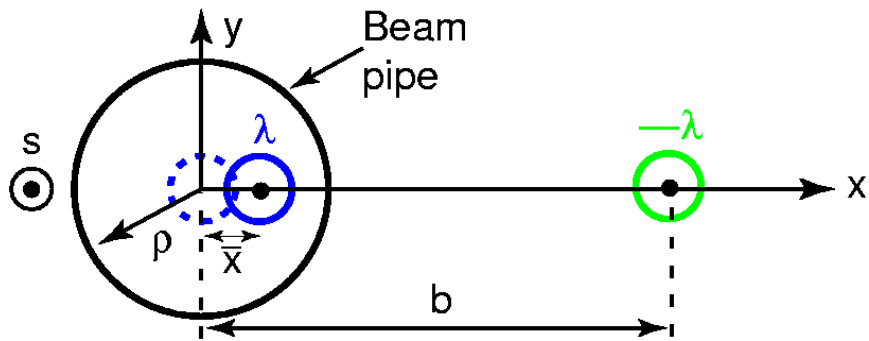


The centre of mass moves doing betatron oscillation as a whole

The beam environment (e.g. a position monitor "sees" the "coherent motion")

On top of the coherent motion, each particle has still its individual one

Coherent Tune Shift, Round Beam Pipe



\bar{x} ..hor. beam position (centre of mass)

a ...beam radius

ρ ...beam pipe radius ($\rho \gg a$)

$b\bar{x} = \rho^2$ (mirror charge on a circle)

$$E_{ix}(\bar{x}) = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{b - \bar{x}} \approx \frac{\lambda}{2\pi\epsilon_0} \frac{1}{b} = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{\rho^2} \bar{x}$$

$$F_{ix}(\bar{x}) = \frac{e\lambda}{2\pi\epsilon_0} \frac{1}{\rho^2} \bar{x}$$

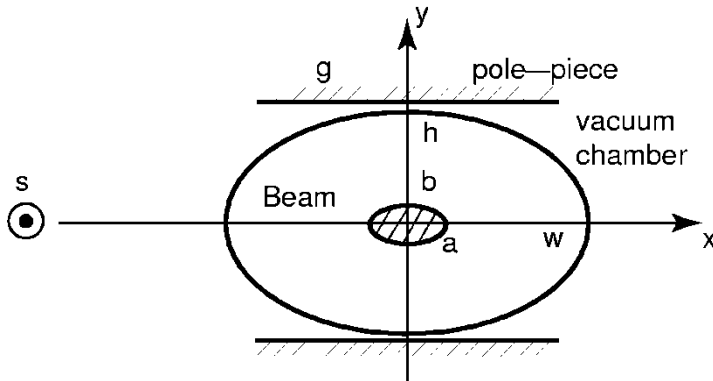
- same in vertical plane (y) due to symmetry
- force linear in \bar{x}
- force positive hence defocusing in both planes

$$\Delta Q_{x,y \text{ coh}} = -\frac{r_0 R \langle \beta_{x,y} \rangle I}{ec\beta^3 \gamma \rho^2} = -\frac{r_0 \langle \beta_{x,y} \rangle N}{2\pi\beta^2 \gamma \rho^2}$$

Coherent tune shift, round pipe

- negative (defocusing) both planes
- only weak dependence on γ
- ΔQ_{coh} always negative

The "Laslett"* Coefficients



$$\Delta Q_{y,inc} = -\frac{Nr_0 \langle \beta_y \rangle}{\beta^2 \gamma \pi} \left(\frac{\epsilon_0^y}{b^2 \gamma^2} + \frac{\epsilon_1^y}{h^2} + \beta^2 \frac{\epsilon_2^y}{g^2} \right)$$

direct electr. magnet.
image image

$$\Delta Q_{y,coh} = -\frac{Nr_0 \langle \beta_y \rangle}{\beta^2 \gamma \pi} \left(\frac{\xi_1^y}{h^2} + \beta^2 \frac{\xi_2^y}{g^2} \right)$$

Uniform, elliptical beam
in an elliptical beam pipe.
Similar formulae for ΔQ_x
In general, $\Delta Q_y > \Delta Q_x$

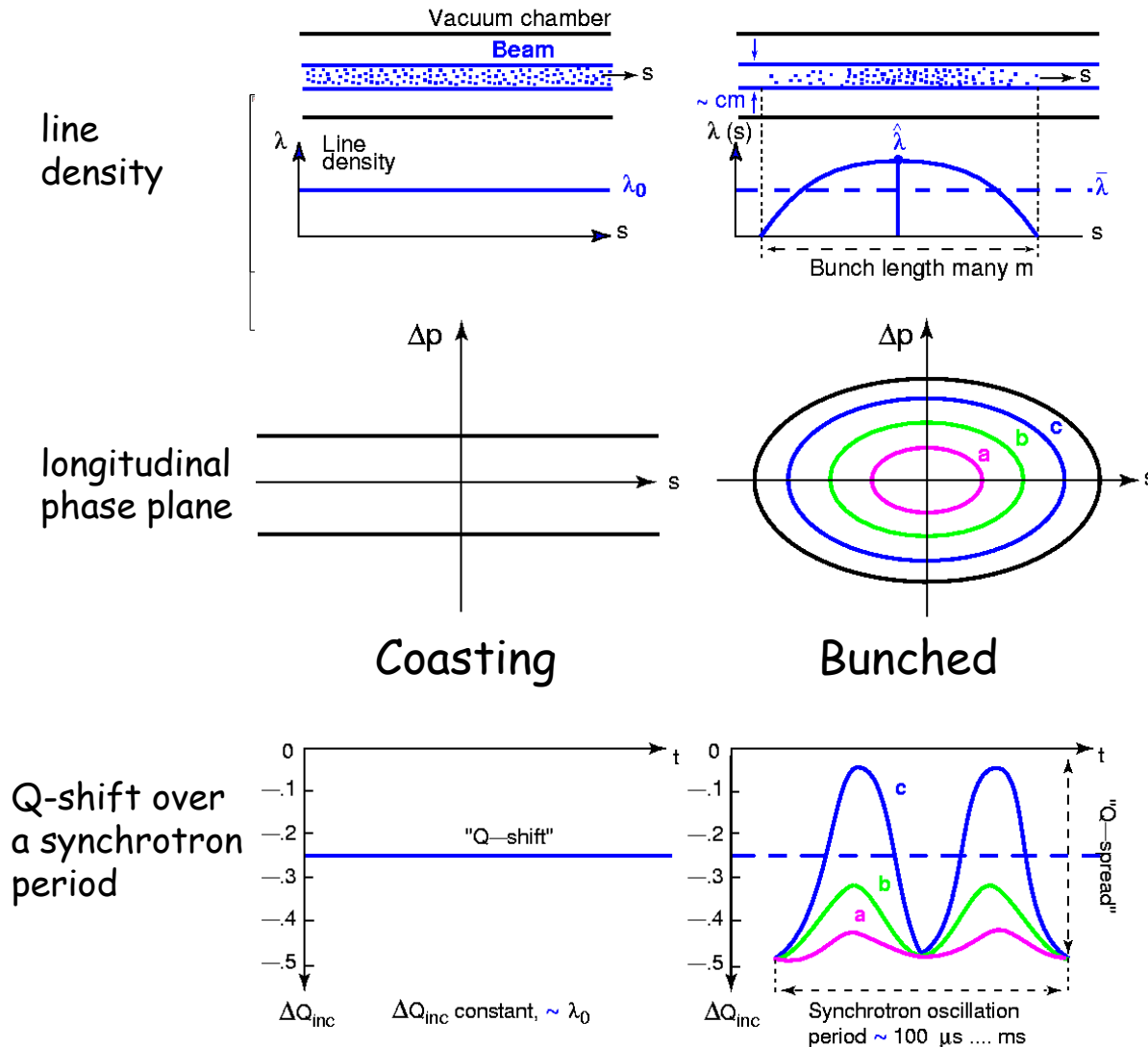
*L.J. Laslett, 1963

Laslett coefficients	Circular ($a = b, w = h$)	Elliptical (e.g. $w = 2h$)	Parallel plates ($h/w = 0$)
ϵ_0^x	1/2	$\frac{b^2}{a(a+b)}$	
ϵ_0^y	1/2	$\frac{b}{a+b}$	
ϵ_1^x	0	-0.172	-0.206
ϵ_1^y	0	0.172	0.206
ξ_1^x	1/2	0.083	0
ξ_1^y	1/2	0.55	$0.617(\pi^2/16)$
ϵ_2^x	$-0.411(-\pi^2/24)$	-0.411	-0.411
ϵ_2^y	$0.411(\pi^2/24)$	0.411	0.411
ξ_2^x	0	0	0
ξ_2^y	$0.617(\pi^2/16)$	0.617	0.617

$\pi^2/4$

8

Bunched Beam in a Synchrotron



What's different with bunched beams?

- ❑ Q-shift **much larger in bunch centre** than in tails
- ❑ Q-shift **changes** periodically with ω_s
- ❑ **peak Q-shift much larger** than for unbunched beam with same N (number of particles in the ring)
- ❑ Q-shift \Rightarrow **Q-spread** over the bunch

Incoherent ΔQ : A Practical Formula

$$\Delta Q_y = -\frac{r_0}{\pi} \left(\frac{q^2}{A} \right) \frac{N}{\beta^2 \gamma^3} \frac{F_y G_y}{B_f} \left\langle \frac{\beta_y}{b(a+b)} \right\rangle$$

$$\left\langle \frac{\beta_y}{b(a+b)} \right\rangle = \left\langle \frac{\beta_y}{b^2 \left(1 + \frac{a}{b} \right)} \right\rangle \approx \frac{1}{E_y \left(1 + \sqrt{\frac{E_x Q_y}{E_y Q_x}} \right)}$$

$\langle \beta \rangle = \frac{R}{Q}$

$$\Delta Q_{x,y} = -\frac{r_0}{\pi} \left(\frac{q^2}{A} \right) \frac{N}{\beta^2 \gamma^3} \frac{F_{x,y} G_{x,y}}{B_f} \frac{1}{E_{x,y} \left(1 + \sqrt{\frac{E_{y,x} Q_{x,y}}{E_{x,y} Q_{y,x}}} \right)}$$

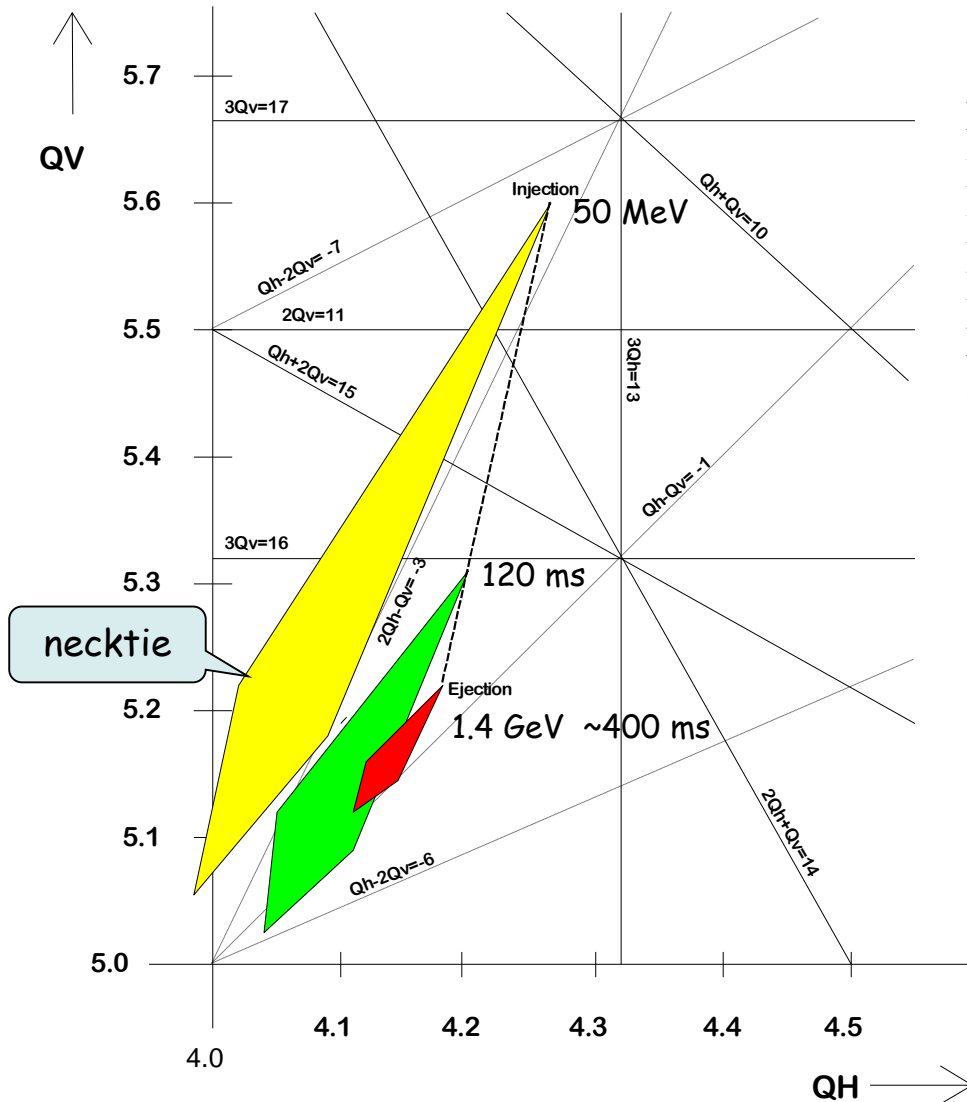
q/A charge/mass number of ions (1 for protons, e.g. 6/16 for ${}_{16}\text{O}^{6+}$)

$F_{x,y}$ "Form factor" derived from Laslett's image coefficients $\epsilon_1^x, \epsilon_1^y, \epsilon_2^x, \epsilon_2^y$ ($F \approx 1$ if dominated by direct space charge)

$G_{x,y}$ Form factor depending on particle distribution in x,y. In general, $1 < G \leq 2$
 Uniform $G=1$ ($E_{x,y}$ 100% emittance)
 Gaussian $G=2$ ($E_{x,y}$ 95% emittance)

B_f "Bunching Factor": average/peak line density $B_f = \frac{\bar{\lambda}}{\hat{\lambda}} = \frac{\bar{I}}{\hat{I}}$

A Space-Charge Limited Accelerator



CERN PS Booster Synchrotron

$N = 10^{13}$ protons

$E_x^* = 80 \mu\text{rad m}$ [$4 \beta\gamma \sigma_x^2 / \beta_x$] hor. emittance

$E_y^* = 27 \mu\text{rad m}$ vertical emittance

$B_f = 0.58$

$F_{x,y} = 1$

$G_x/G_y = 1.3/1.5$

- Direct space charge tune spread
~0.55 at injection, covering 2nd
and 3rd order stop-bands
- "necktie"-shaped tune spread
shrinks rapidly due to the $1/\beta^2\gamma^3$
dependence
- Enables the working point to be
moved rapidly to an area
clear of strong stop-bands

How to Remove the Space-Charge Limit?

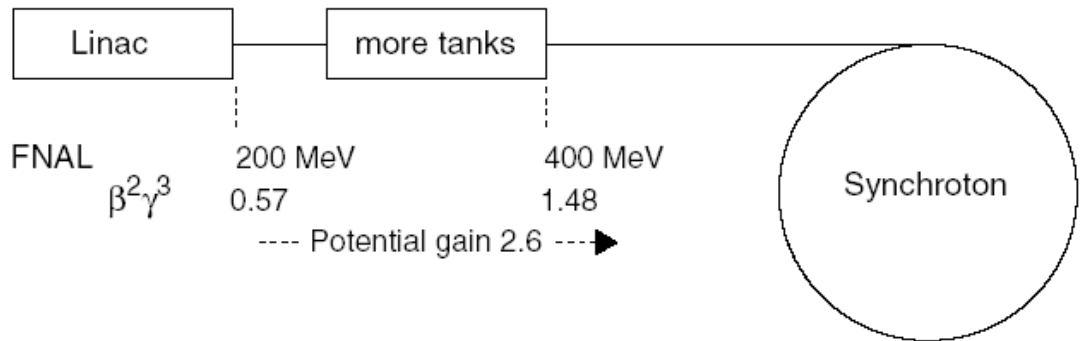
Direct space charge

$$\Delta Q_y \approx \frac{N}{E_y \beta^2 \gamma^3} \frac{\hat{I}}{\bar{I}}$$

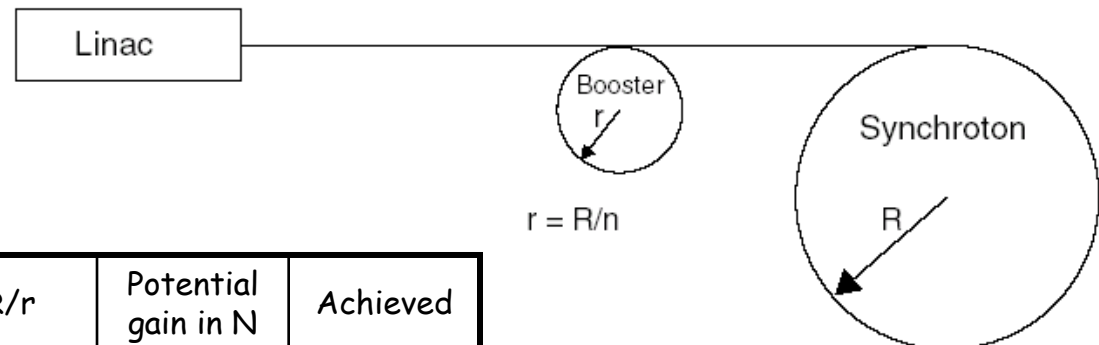
Problem: A **large proton synchrotron is limited in N** because ΔQ_y reaches 0.3 ... 0.5 when filling the (vertical) acceptance.

Solution: **Increase N by raising the injection energy and thus $\beta^2 \gamma^3$** while keeping to the same ΔQ . Ways to do this:

Make a **longer** (higher-energy) **Linac** (by adding tanks as has been done in Fermilab)



Add a **small "Booster" synchrotron** of radius $r = R/n$ with n the number of batches (BNL) or rings (CERN)



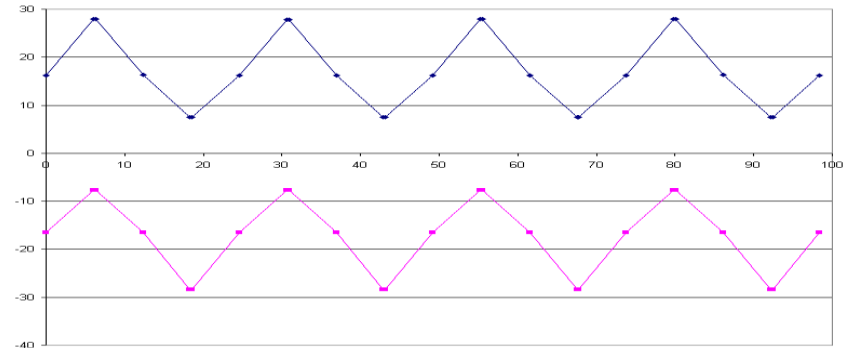
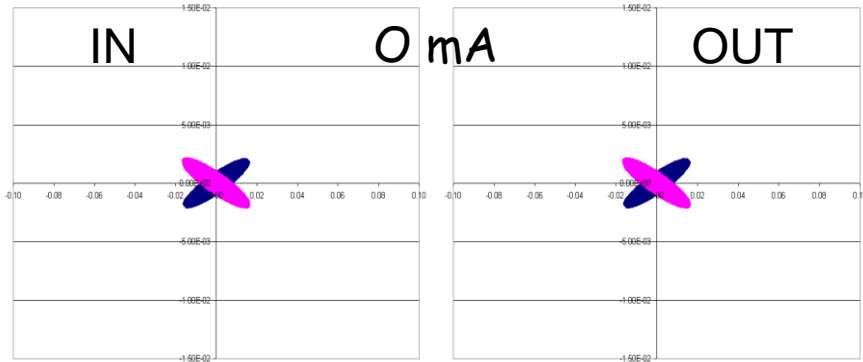
	Linac (MeV)	Booster (GeV)	$n=R/r$	Potential gain in N	Achieved
CERN PS	50	1	4(rings)	59	~15
BNL AGS	200	1.5	4(batches)	26	~8

High Intensity Proton Beam in a FODO Line

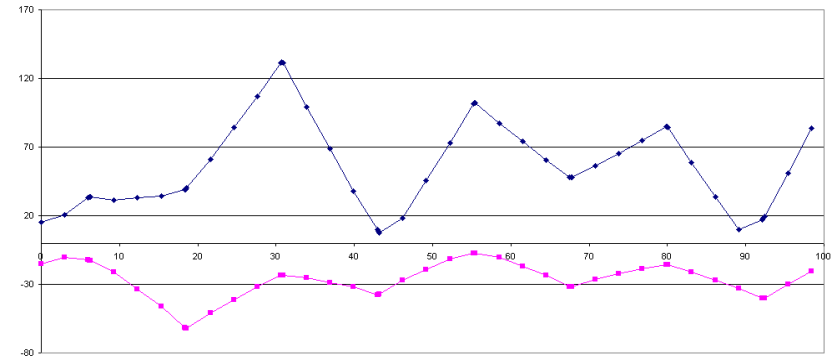
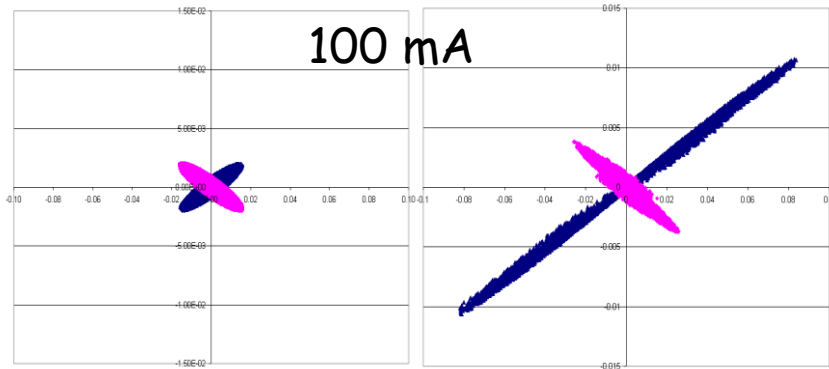
Transverse phase planes
rad vs. m

horizontal
vertical

Transverse envelopes
mm vs. m



50 MeV



Courtesy of Alessandra Lombardi/ CERN, 8/04

Summary

“Direct” space charge generated by the self-field of the beam

- acts on incoherent motion but has no effect on coherent (dipolar) motion
- proportional to beam intensity
- defocusing in both transverse planes
- scales with $1/\gamma^3 \Rightarrow$ barely noticeable in high-energy hadron and low-energy lepton machines

Image effects due to mirror charges induced in the vacuum envelope

- proportional to beam intensity
- scales with $1/\gamma \Rightarrow$ not negligible for high- γ beams and machines
- give rise to a further change in the incoherent motion, but focusing in one plane, defocusing in the other plane
- modify the transverse coherent motion (coherent Q-change)

Bunched beams: Space-charge defocusing depends on the particle's position in the bunch leading to a Q-spread (rather than a shift)

- Direct space charge is a hard limit on intensity/emittance ratio
- can be overcome by a higher-energy injector

