

# Complete Hamiltonian analysis of cosmological perturbations at all orders

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September 15, 2016

1. Complete Hamiltonian analysis of cosmological perturbations at all orders. Debottam Nandi & S. Shankaranarayanan, *JCAP* **1606** (2016), no. 06 038, [arXiv:1512.02539].
2. Complete Hamiltonian analysis of cosmological perturbations at all orders II: Non-canonical scalar field. Debottam Nandi & S. Shankaranarayanan, submitted to *JCAP*, [arXiv:1606.05747].

VARCOSMOFUN'16, Szczecin, Poland

# Introduction

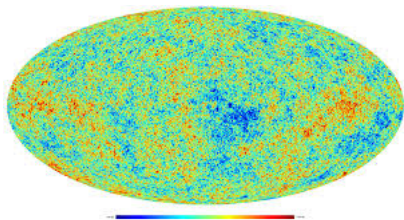


Figure: CMB from Planck's data

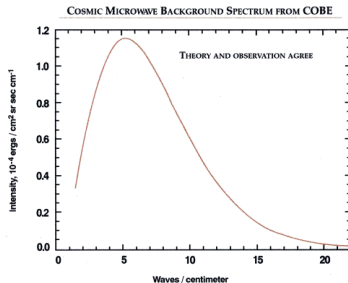


Figure: CMB blackbody radiation

- Cosmic Microwave Background (CMB) data (photon shower) with uniform temperature 2.73K.
- Early universe  $\rightarrow$  homogeneous and isotropic.
- Friedman-Robertson-Walker model (FRW):  

$$ds^2 = -dt^2 + a^2(t) d\bar{x}^2 = a^2(\eta) [-d\eta^2 + d\bar{x}^2]$$
 , where  $t$  is cosmic time,  $\eta$  is conformal time and  $a$  is the scale factor.

- Problem → Horizon problem and flatness problem.
- Matter or Radiation dominated era → can not solve the puzzle.
- Solution → **Inflation** can solve these problems →  $\ddot{a} > 0$  → accelerated universe.
- Universe is filled with inflaton → Scalar field(s).

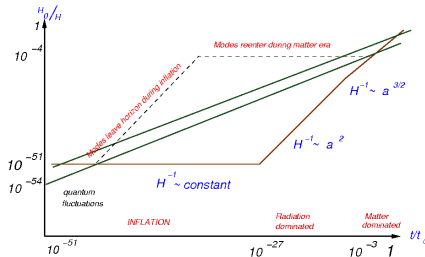


Figure: Hubble radius vs. Cosmic time

- Quantum fluctuations freeze after crossing Horizon  $\rightarrow$  Classical perturbations
- Inhomogeneity  $\rightarrow$  1 in  $10^5 \rightarrow$  Perturbation theory can be trusted.
- Linear order perturbation theory  $\rightarrow$  perturbed field equations are linear in  $(\delta g_{\mu\nu}, \delta\varphi) \rightarrow$  solution Gaussian in nature.

$$\langle \mathcal{R}\mathcal{R}' \rangle \neq 0, \quad \langle \mathcal{R}_1\mathcal{R}_2\mathcal{R}_3 \rangle = 0$$

$\uparrow$

Power-spectrum

$\uparrow$

Non-gaussianity

# Motivation

- Higher-order perturbations  $\rightarrow$  non-zero non-gaussianity  $\rightarrow$  More information to know about the universe.
- Quantization: Path-integral formalism is relatively difficult to apply for gravity models than canonical quantization  $\rightarrow$  We need Hamiltonian formulation of cosmological perturbation theory.
- All observables are related to n-point correlation functions and interaction Hamiltonian is needed to compute higher-order correlation functions.
- In general, slow-roll approximations are used to evaluate interaction Hamiltonian. In our work, we provide an approach which is independent of any approximations.

# Problems and difficulties with previous method

- Previous approach is based on [Chen et al.\[2006\]](#). Perturbed Lagrangian:

$$\begin{aligned}\mathcal{L} = & f_0\dot{\alpha}^2 + j_2 + g_0\dot{\alpha}^3 + g_1\dot{\alpha}^2 + g_2\dot{\alpha} + j_3 \\ & + h_0\dot{\alpha}^4 + h_1\dot{\alpha}^3 + h_2\dot{\alpha}^2 + h_3\dot{\alpha} + j_4\end{aligned}\tag{1}$$

- Subscripts  $0, 1, 2, 3 \dots$  are orders of perturbations.  $\alpha$  is first order perturbed quantity (curvature perturbation,  $\zeta$ ).
- **Difficulty:** It is easy to apply for reduced form, however, difficult for constrained system, e.g., [Gravity](#).

- Momentum  $\pi$  in terms of  $\dot{\alpha}$ .
- Inverse relation using order-by-order approximations.
- Hamiltonian in phase-space.

$$\pi \equiv \frac{\partial \mathcal{L}}{\partial \dot{\alpha}} \quad (2)$$

$$\dot{\alpha} = \frac{\pi}{2 f_0} + c_2 \pi^2 + c_3 \pi^3 \quad (3)$$

$$c_2 = -\frac{3g_0}{8f_0^3} - \frac{g_1}{2f_0^2\pi} - \frac{g_2}{2f_0\pi^2} \quad (4)$$

$$c_3 = -\frac{1}{2f_0} \left( \frac{3g_0c_2}{f_0} + \frac{h_0}{2f_0^3} \right) - \frac{1}{2f_0\pi} \left( 2g_1c_2 + \frac{3h_1}{4f_0^2} \right) - \frac{h_2}{2f_0^2\pi^2} - \frac{h_3}{2f_0\pi^3} \quad (5)$$

$$\mathcal{H} = \pi \dot{\alpha} - \mathcal{L}. \quad (6)$$

- Problem: momentum  $\pi$  corresponding to  $\alpha$  is written as a polynomial of  $\dot{\alpha}$  and vice-versa using order-by-order approximation  $\rightarrow$  Higher-order correlations??
- Also, both momentum and  $\alpha$  are perturbed quantities, hence the polynomial relations are not consistent.

# Interaction Hamiltonian

- After obtaining Hamiltonian in terms of  $(\pi, \alpha)$ , we need to invert back the Hamiltonian in terms of configuration-space variable  $(\dot{\alpha}, \alpha)$ .
- Problem: This time, only linear-order relation is being used:  
 $\dot{\alpha} = \frac{\pi}{2f_0} \Rightarrow \pi = 2f_0\dot{\alpha}$ .

$$\mathcal{H}_3^{int} = -g_0\dot{\alpha}^3 - g_1\dot{\alpha}^2 - g_2\dot{\alpha} - j_3 \quad (7)$$

$$\begin{aligned} \mathcal{H}_4^{int} = & \left( \frac{9g_0^2}{4f_0} - h_0 \right) \dot{\alpha}^4 + \left( \frac{3g_0g_1}{f_0} - h_1 \right) \dot{\alpha}^3 \\ & + \left( \frac{3g_0g_2}{2f_0} + \frac{g_1^2}{f_0} - h_2 \right) \dot{\alpha}^2 + \left( \frac{g_1g_2}{f_0} - h_3 \right) \dot{\alpha} \\ & + \frac{g_2^2}{4f_0} - j_4 \end{aligned} \quad (8)$$

- Third order interaction Hamiltonian:  $\mathcal{H}_3^{int} = -\mathcal{L}_3$  which is true for any system.



# Other problems and difficulties

- Not easy to extend the formalism to higher-order perturbations.
- Not easy to extend the formalism to obtain mixed-mode cross-correlations.
- Consistent Hamiltonian formalism → Can solve all the key issues.
- Hamiltonian formulation of gauge-invariant cosmological perturbation → How to resolve Gauge-issue??

# Gauge-fixing and Gauge issue in Hamiltonian formulation

- Gauge-fixing:  $1 \times 3$  decomposition.

$$\delta g_{\mu\nu} = \begin{pmatrix} -2 a^2 \phi & a^2 B_{|i} \\ a^2 B_{|i} & -2 a^2 \psi \delta_{ij} + 2 E_{ij} \end{pmatrix},$$

$$\varphi = \bar{\varphi} + \delta\varphi$$

- five scalar variables  $\phi, B, \psi, E$  &  $\delta\varphi \rightarrow$  two gauge freedom  $\rightarrow$  fix two  $\rightarrow$  remaining becomes Gauge-invariant variables.
- Ex: flat-slicing gauge:  $\delta g_{ij} = 0 \Rightarrow \psi = E = 0 \rightarrow \delta\varphi$  coincides with gauge-invariant curvature perturbation.
- Equations of motion of three variables  $\phi, B, \delta\varphi \rightarrow$  Gauge-invariant equations.
- How to interpret gauge-invariance in Hamiltonian formalism???
- [Langlois \[1994\]](#)  $\rightarrow$  Gauge invariance by using Hamilton-Jacobi approach to canonical scalar field  $\rightarrow$  difficult to extend the approach to any other scalar field at any higher order of perturbations  $\rightarrow$  *problem remains the same.*

# Models

1. **Simple model:**  $\mathcal{S}_{Simple} = \int dt \left[ \frac{1}{2y} \left( (d_t x)^2 + (d_t y)^2 \right) - \frac{1}{4} (x^4 + y^4) \right]$  to resolve gauge-issue.

2. **Canonical scalar field:**

$$\mathcal{S}_{Canonical} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right].$$

3. **Specific Galilean scalar field:**

$$\mathcal{S}_{Galilean} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - \square \varphi - V(\varphi) \right].$$

4. **Generalized non-canonical scalar field:**

$$\mathcal{S}_{NC} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + P(X, \varphi) \right], \quad X \equiv \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi.$$

# Simple model

- Action for the model:  $\mathcal{S}_{Simple} = \int dt \left[ \frac{1}{2y} ((d_t x)^2 + (d_t y)^2) - \frac{1}{4} (x^4 + y^4) \right]$
- Equations of motion:

$$d_t \left( \frac{d_t x}{y} \right) + x^3 = 0 \quad (9)$$

$$d_t \left( \frac{d_t y}{y} \right) = -\frac{1}{2y^2} ((d_t x)^2 + (d_t y)^2) - y^3 \quad (10)$$

- Momenta:  $\pi_x = \frac{d_t x}{y}$ ,  $\pi_y = \frac{d_t y}{y}$ ,
- Hamiltonian:  $\mathcal{H} = \frac{1}{2} y (\pi_x^2 + \pi_y^2) + \frac{1}{4} (x^4 + y^4)$ .
- Perturbation theory:  $x$  unperturbed, all other quantities are perturbed.

$$x = x_0, \quad y = y_0 + \epsilon y_1$$

$$\pi_x = \pi_{x0} + \epsilon \pi_{x1}, \quad \pi_y = \pi_{y0} + \epsilon \pi_{y1}$$

- Perturbed equations of motion:

$$d_t \left( \frac{d_t x_0}{y_0} \right) + x_0^3 = 0 \quad (11)$$

$$d_t \left( \frac{d_t y_0}{y_0} \right) = -\frac{1}{2y_0^2} \left( (d_t x_0)^2 + (d_t y_0)^2 \right) - y_0^3 \quad (12)$$

$$d_t \left( \frac{d_t y_1}{y_0} - \frac{d_t y_0}{y_0^2} y_1 \right) = \frac{1}{y_0^3} \left( (d_t x_0)^2 + (d_t y_0)^2 \right) y_1 - \frac{d_t y_0}{y_0^2} d_t y_1 - 3y_0^2 y_1. \quad (13)$$

- $\pi_x = \frac{d_t x}{y} \rightarrow \pi_{x1} = -\frac{y_1}{y_0} \pi_{x0} \neq 0$

- Perturbed momenta of unperturbed variables do not vanish.

# Proposed Hamiltonian approach

- $\mathcal{H} = \mathcal{H}_0 + \epsilon \mathcal{H}_1 + \epsilon^2 \mathcal{H}_2 + \epsilon^3 \mathcal{H}_3 + \epsilon^4 \mathcal{H}_4 + \dots$
- Zeroth order Hamiltonian:  $\mathcal{H}_0 = \frac{1}{2} y_0 (\pi_{x0}^2 + \pi_{y0}^2) + \frac{1}{4} (x_0^4 + y_0^4)$
- Second order Hamiltonian:  $\mathcal{H}_2 = y_1 (\pi_{x0} \pi_{x1} + \pi_{y0} \pi_{y1}) + \frac{1}{2} y_0 (\pi_{x1}^2 + \pi_{y1}^2) + \frac{3}{2} y_0^2 y_1^2$ .
- The above Hamiltonian is not sufficient  $\rightarrow$  Need extra condition  
 $\rightarrow \frac{\delta \mathcal{H}_2}{\delta \pi_{x1}} = 0 \rightarrow y_1 \pi_{x0} + y_0 \pi_{x1} = 0 \rightarrow$  Consistent  $\rightarrow$  It is complete.
- Note that: extra condition is only needed if one/some quantities are unperturbed and rest are perturbed quantities.
- Third and fourth order interaction Hamiltonian:

$$\mathcal{H}_3 = \frac{1}{2} y_1 (\pi_{x1}^2 + \pi_{y1}^2) + y_1^3 y_0 \quad (14)$$

$$\mathcal{H}_4 = \frac{1}{4} y_1^4 \quad (15)$$

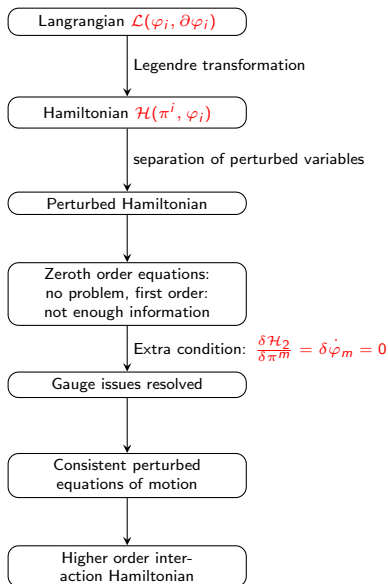
- Inverting to configuration-space using Hamilton's equations:

$$\mathcal{H}_3 = \frac{1}{2} (\partial_t x_0)^2 y_0^{(-4)} y_1^3 + \frac{1}{2} (\partial_t y_0)^2 y_0^{(-4)} y_1^3 - \partial_t y_0 \partial_t y_1 y_0^{(-3)} y_1^2 + \frac{1}{2} (\partial_t y_1)^2 y_0^{(-2)} y_1 + y_1^3 y_0$$

$$\mathcal{H}_4 = \frac{1}{4} y_1^4 \quad (16)$$

- Recovering the known result: third order interaction Hamiltonian  $\mathcal{H}_3 = -\mathcal{L}_3$ .
- Hamiltonian formulation is consistent with Lagrangian formulation.

# Flow-chart





# Canonical scalar field

- Action:  $S_{\text{Canonical}} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right]$ .
- Line-element:  $ds^2 = (-N^2 + N^i N_i) dt^2 + 2N_i dx^i dt + \gamma_{ij} dx^i dx^j$ ,  $N$  and  $N^i$  are called Lapse function and shift vector, respectively,  ${}^{(3)}R$  is the Ricci scalar for 3-metric  $\gamma_{ij}$ .
- Hamiltonian density:

$$\begin{aligned} \mathcal{H}_{\text{Can}} = & \gamma_{jk} \partial_i N^k \pi^{ij} + N^k \partial_k \gamma_{ij} \pi^{ij} + \gamma_{ik} \partial_j N^k \pi^{ij} - N \gamma_{ij} \gamma_{kl} \kappa \pi^{kl} \pi^{ij} \gamma^{-\frac{1}{2}} + \\ & 2 N \gamma_{ik} \gamma_{jl} \kappa \pi^{kl} \pi^{ij} \gamma^{-\frac{1}{2}} + \frac{1}{2} N \pi_\varphi^2 \gamma^{-\frac{1}{2}} + N^i \pi_\varphi \partial_i \varphi - \\ & \frac{1}{2} N {}^{(3)}R \gamma^{\frac{1}{2}} \kappa^{-1} + \frac{1}{2} N \gamma^{ij} \partial_i \varphi \partial_j \varphi \gamma^{\frac{1}{2}} + N V \gamma^{\frac{1}{2}} = 0. \end{aligned} \quad (17)$$

- Zeroth order Hamiltonian  $\rightarrow$  Consistent.
- In order to obtain gauge-invariant equations of motion  $\rightarrow$  Perturbation in flat-slicing gauge  $\Rightarrow \delta\gamma_{ij} = 0$  [▶ click here](#)

- Similarly like the simple model, in **Flat-slicing gauge** we need an extra condition:  $\frac{\delta \mathcal{H}_2^C}{\delta \pi_1^j} = 0 \rightarrow$  solves the gauge-issue in **flat-slicing gauge**  $\rightarrow$  all equations of motion are consistent.
- Mixed mode: In case of scalar-tensor mode at linear order  $\rightarrow$  we do not need extra condition as  $\delta \gamma_{ij} = a^2 h_{ij} \neq 0$ ,  $h_{ij}$  is tensor-perturbation.
- In exactly the same way, it can be extended to **higher-order perturbations**.

# Interaction Hamiltonian for scalar perturbations

- Third order interaction Hamiltonian in phase-space:

$$\begin{aligned}
 \mathcal{H}_3^C(\pi, \varphi) = & -\frac{1}{2} N_1 \partial_i N_1^i \partial_j N_1^j N_0^{(-2)} \kappa^{-1} a^3 + 2 \delta_{ij} \partial_k N_1^k \pi_0^{ij} N_0^{(-2)} N_1^2 a^2 - \\
 & \frac{1}{4} N_1 \partial_i N_1^j \partial_j N_1^i N_0^{(-2)} \kappa^{-1} a^3 + \frac{1}{4} N_1 \delta_{ij} \delta^{lk} \partial_k N_1^i \partial_j N_1^j N_0^{(-2)} \kappa^{-1} a^3 + \frac{1}{2} \delta_{ij} \partial_k N_1^i \pi_0^{kj} N_0^{(-2)} N_1^2 a^2 + \\
 & \frac{1}{2} \delta_{ij} \partial_k N_1^i \pi_0^{jk} N_0^{(-2)} N_1^2 a^2 - 2 \delta_{ij} \partial_k N_1^k \pi_0^{ij} N_0^{(-2)} N_1^2 a^2 + \frac{1}{2} \delta_{ij} \partial_k N_1^j \pi_0^{ki} N_0^{(-2)} N_1^2 a^2 + \\
 & \frac{1}{2} \delta_{ij} \partial_k N_1^j \pi_0^{ik} N_0^{(-2)} N_1^2 a^2 + 2 \delta_{ij} \delta_{kl} \kappa \pi_0^{ik} \pi_0^{jl} N_0^{(-2)} N_1^3 a + \frac{1}{2} N_1 \pi_{\varphi 1}^2 a^{(-3)} + N_1^i \pi_{\varphi 1} \partial_i \varphi_1 + \\
 & \delta_{ij} \delta_{kl} \kappa \pi_0^{ij} \pi_0^{lk} N_0^{(-2)} N_1^3 a + \frac{1}{2} N_1 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a + \frac{1}{2} N_1 V_{\varphi\varphi} \varphi_1^2 a^3 + \frac{1}{6} N_0 V_{\varphi\varphi\varphi} \varphi_1^3 a^3.
 \end{aligned} \tag{18}$$

- Fourth order interaction Hamiltonian in phase-space:

$$\mathcal{H}_4^C = \frac{1}{6} N_1 V_{\varphi\varphi\varphi} \varphi_1^3 a^3 + \frac{1}{24} N_0 V_{\varphi\varphi\varphi\varphi} \varphi_1^4 a^3 \tag{19}$$

# Result - Canonical scalar field

- We have successfully constructed Hamiltonian formulation for canonical scalar field.
- There is **no approximations** in our method.
- The system is not reduced as in the previous method → applicable to **constrained systems**.
- Can easily be extended to **Higher order perturbations**.
- Can easily be extended to obtain **higher-order correlation functions**.
- Can easily be extended to obtain **mixed-mode correlations**.
- Solves the **Gauge-issue**.

# Galilean scalar field

- Specific Galilean model:

$$\begin{aligned}
 S_{Galilean} &= \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right] \\
 &= \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right] + \int d^4x \lambda (S - \square \varphi) \quad (20)
 \end{aligned}$$

- To linearize the derivative, we have introduced two extra variables:  $\lambda, S$ . Hence, in phase-space 4 extra variables.
- Three primary constraint:  $\pi_i, \pi_S = 0; \pi_N + \frac{\lambda}{N} \pi_\lambda = 0$
- Hamiltonian density:

$$\begin{aligned}
 \mathcal{H}_D &= -S\lambda + NV\gamma^{\frac{1}{2}} + N^i \pi_\lambda \partial_i \lambda + N^i \pi_\varphi \partial_i \varphi - \pi_\lambda \pi_\varphi N^2 + \pi_\lambda \lambda \partial_i N^i + 2\gamma_{ij} \partial_k N^i \pi^{jk} + \gamma^{ij} \lambda \partial_i N \partial_j \varphi N^{-1} \\
 &\quad - \gamma^{ij} \partial_i \lambda \partial_j \varphi - \lambda \partial_i \gamma^{ij} \partial_j \varphi - \frac{1}{2} N^{(3)} R \gamma^{\frac{1}{2}} \kappa^{-1} - SN^3 \pi_\lambda^2 \gamma^{\frac{1}{2}} - \frac{3}{4} N \kappa \pi_\lambda^2 \gamma^{-\frac{1}{2}} \lambda^2 - N^i \pi_\lambda \lambda \partial_i N N^{-1} + \\
 &\quad N^i \partial_i \gamma_{lm} \pi^{lm} - N^i \partial_i \gamma_{im} \pi^{lm} + N^i \partial_m \gamma_{il} \pi^{lm} - \gamma^{ij} \gamma^{kl} \lambda \partial_i \gamma_{jk} \partial_l \varphi + \frac{1}{2} \gamma^{ij} \gamma^{kl} \lambda \partial_i \gamma_{kl} \partial_j \varphi + \\
 &\quad NS \gamma^{ij} \partial_i \varphi \partial_j \varphi \gamma^{\frac{1}{2}} - N \pi_\lambda \gamma_{ij} \kappa \lambda \pi^{ij} \gamma^{-\frac{1}{2}} + 2N \gamma_{ij} \gamma_{kl} \pi^{ik} \pi^{jl} \gamma^{-\frac{1}{2}} - N \gamma^{ij} \gamma^{kl} \kappa \pi^{ij} \pi^{kl} \gamma^{-\frac{1}{2}} + \\
 &\quad \xi \left( \pi_N + \frac{\lambda}{N} \pi_\lambda \right). \quad (21)
 \end{aligned}$$

# Counting degrees of freedom

Dirac constraints:

- Primary constraint:  $\Phi_p = \Phi_p(q_i, p_i) = 0$
- Conservation of primary constraint  $\rightarrow$  Secondary constraint:  
 $\Phi_s \equiv \{\Phi_p, \mathcal{H} + \xi\Phi_p\} \equiv \{\Phi_p, \mathcal{H}_D\} \approx 0.$
- Conservation of secondary constraint  $\rightarrow$  Tertiary constraint and so on.
- First-class constraint and second-class constraint.
- Degrees of freedom in phase-space: (Total phase-space variable -  $2 \times$  number of first-class constraints - number of second-class constraints)
- Degrees of freedom in configuration-space =  $\frac{1}{2} \times$  phase-space constraints.

- Zeroth order phase-space  $\rightarrow (a, N, \varphi_0, \lambda_0, S_0) \rightarrow 10$  phase-space variables.
- 2 first-class constraints:  $\Phi_p^2, \Phi_s^2$ , 4 second-class constraints, degrees of freedom in configuration-space:  $\frac{1}{2} \times (10 - 2 \times 2 - 4) = 1 \rightarrow$  No extra degree of freedom. [▶ click here](#)
- Similarly, also for first order perturbation or full theory, it can be shown that Galilean theory has no extra degrees of freedom.

# Result - Galilean scalar field

- Since we are using consistent Hamiltonian formulations, it can also deal with **higher-order derivative systems**.
- Hamiltonian of Galilean/Lagrangian with higher order derivative contains extra variables in the phase-space but **no extra degrees of freedom**.



# Non-canonical scalar field

- Action:  $S_{NC} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R + P(X, \varphi) \right]$ ,  $X \equiv \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi$ .
- Momentum corresponding to  $\varphi$ :

$$\pi_\varphi \equiv \frac{\partial \mathcal{L}_{NC}}{\partial \dot{\varphi}} = -\sqrt{\gamma} P_X \sqrt{-2X + Y}, \quad Y \equiv \gamma^{ij} \partial_i \varphi \partial_j \varphi \quad (22)$$

- $\dot{\varphi}$  cannot be written in terms of  $\pi_\varphi$  for generalized non-canonical scalar field.
- We do not know how to invert  $\pi_\varphi$  for generalized non-canonical scalar field  
 $\rightarrow$  No generalized form of Hamiltonian  $\rightarrow$  our approach cannot be extended to non-canonical scalar field. [▶ click here](#)

- Introducing new phase-space variable:

$$G(\pi_\varphi, \gamma, Y, \varphi) = \tilde{G}(X, \gamma, Y, \varphi) \equiv P - P_X (2X - Y)$$

- Ex: Canonical scalar field:  $G(\gamma, \pi_\varphi, Y, \varphi) = -\frac{1}{2} \frac{\pi_\varphi^2}{\gamma} - \frac{1}{2} Y - V(\varphi)$

$$\text{Tachyonic field: } G(\gamma, \pi_\varphi, Y, \varphi) = -\frac{1}{\sqrt{\gamma}} \sqrt{1 + Y} \sqrt{\pi_\varphi^2 + \gamma V^2}.$$

- Hamiltonian density:

$$\begin{aligned} \mathcal{H} = & 2\gamma_{ij}\partial_k N^j \pi^{ik} + N^i \partial_i \gamma_{jk} \pi^{jk} - \frac{N\kappa}{\sqrt{\gamma}} (\gamma_{ij}\gamma_{kl} - 2\gamma_{ik}\gamma_{jl}) \pi^{ij}\pi^{kl} \\ & - \frac{N\sqrt{\gamma}}{2\kappa} {}^{(3)}R - N\sqrt{\gamma} G(\pi_\varphi, \gamma, Y, \varphi) + N^i \pi_\varphi \partial_i \varphi \end{aligned} \quad (23)$$

- Since, we get the generalized form of the Hamiltonian, now we can extend our proposed approach to non-canonical scalar field.

- Second order Hamiltonian becomes

$$\begin{aligned}
 \mathcal{H}_2 = & \delta_{ij} \partial_k N_1^j (\pi_1^{ik} + \pi_1^{ki}) a^2 - N_0 \kappa a (\delta_{ij} \delta_{kl} - 2 \delta_{ik} \delta_{jl}) \pi_1^{ij} \pi_1^{kl} - 2 N_1 \kappa a (\delta_{ij} \delta_{kl} - 2 \delta_{ik} \delta_{jl}) \pi_0^{ij} \pi_1^{kl} \\
 & - G_\varphi N_1 a^3 \varphi_1 - G_{\pi\varphi} N_1 \pi_{\varphi 1} a^3 - \frac{1}{2} G_{\varphi\varphi} N_0 \varphi_1^2 a^3 - \frac{1}{2} G_{\pi\varphi\pi\varphi} N_0 \pi_{\varphi 1}^2 a^3 - G_{\varphi\pi\varphi} N_0 \pi_{\varphi 1} a^3 \varphi_1 \\
 & - G_\gamma N_0 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a + N_1^i \pi_{0\varphi} \partial_i \varphi_1
 \end{aligned} \tag{24}$$

- Speed of sound in phase-space:  $c_s^2 \equiv -\frac{\text{coeff. of } \varphi_1''}{\text{coeff. of } \nabla^2 \varphi_1} = 2 N_0^2 a^4 G_{\pi\varphi\pi\varphi} G_\gamma$ .
- It can be verified that, using a specific model like canonical or tachyonic model, Hamiltonian formulation of non-canonical scalar field is consistent.
- How to invert  $G$  and its derivatives  $P(X, \varphi)$  for generalized non-canonical scalar field?

# Inversion

- Any function,  $F \equiv F(\pi_\varphi, \gamma, Y, \varphi)$

$$F = F(\pi_\varphi, \gamma, Y, \varphi) \Rightarrow dF = F_{\pi_\varphi} d\pi_\varphi + F_\gamma d\gamma + F_Y dY + F_\varphi d\varphi$$

$$\pi_\varphi = \pi_\varphi(X, \gamma, Y, \varphi) \Rightarrow d\pi_\varphi = \frac{\partial \pi_\varphi}{\partial X} dX + \frac{\partial \pi_\varphi}{\partial \gamma} d\gamma + \frac{\partial \pi_\varphi}{\partial Y} dY + \frac{\partial \pi_\varphi}{\partial \varphi} d\varphi$$

- Inversion formulae:

$$F_{\pi_\varphi} = \frac{\tilde{F}_X}{\frac{\partial \pi_\varphi}{\partial X}}, \quad F_\gamma = \tilde{F}_\gamma - F_{\pi_\varphi} \frac{\partial \pi_\varphi}{\partial \gamma} \quad (25)$$

$$F_Y = \tilde{F}_Y - F_{\pi_\varphi} \frac{\partial \pi_\varphi}{\partial Y}, \quad F_\varphi = \tilde{F}_\varphi - F_{\pi_\varphi} \frac{\partial \pi_\varphi}{\partial \varphi} \quad (26)$$

- In our case, for arbitrary non-canonical scalar field, we do not know the exact form of  $G(\pi_\varphi, \gamma, Y, \varphi)$  but we know  $\tilde{G}(X, \gamma, Y, \varphi) \equiv P - P_X(2X - Y)$

$$G_{\pi_\varphi} = -\frac{\sqrt{-2X}}{a^3}, \quad G_{\pi_\varphi \pi_\varphi} = \frac{1}{a^6 (P_X + 2XP_{XX})}, \quad G_{\pi_\varphi \pi_\varphi \pi_\varphi} = -\frac{\sqrt{-2X} (3P_{XX} + 2XP_{XXX})}{a^9 (P_X + 2XP_{XX})^3}$$

$$G_\varphi = P_\varphi, \quad G_{\varphi \pi_\varphi} = \frac{\sqrt{-2X} P_{X\varphi}}{a^3 (P_X + 2XP_{XX})}, \quad G_{\varphi \varphi} = P_{\varphi\varphi} - \frac{2X P_{X\varphi}^2}{(P_X + 2XP_{XX})} \quad (27)$$

- Speed of sound in conformal time:

$$c_s^2 = \frac{P_X}{P_X + 2XP_{XX}}$$

# Interaction Hamiltonian

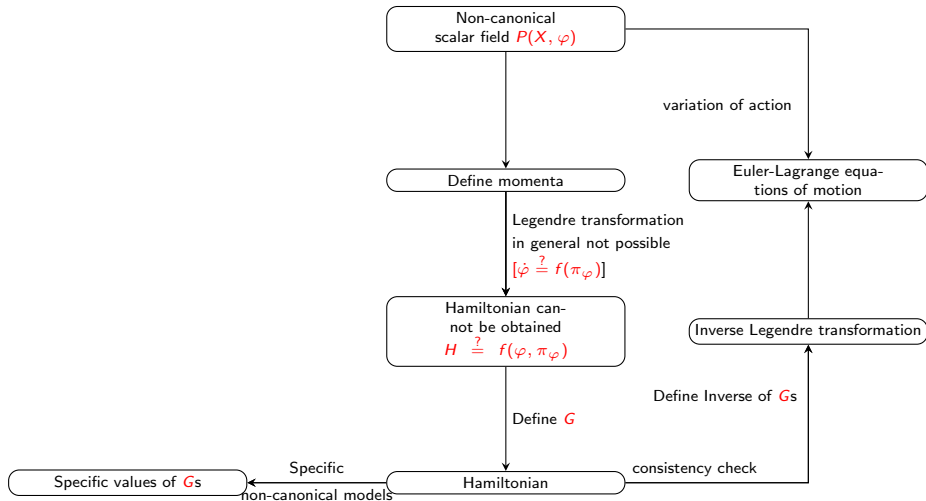
- Third order interaction Hamiltonian in phase-space:

$$\begin{aligned}
 \mathcal{H}_3 = & -N_1 \delta_{ij} \delta_{kl} \kappa \pi_1^{ij} \pi_1^{kl} a + 2 N_1 \delta_{ij} \delta_{kl} \kappa \pi_1^{ik} \pi_1^{jl} a - \frac{1}{2} G_{\varphi\varphi} N_1 \varphi_1^2 a^3 - \frac{1}{2} G_{\pi\varphi\pi\varphi} N_1 \pi_{\varphi_1}^2 a^3 - \\
 & G_{\varphi\pi\varphi} N_1 \pi_{\varphi_1} a^3 \varphi_1 - G_{\gamma} N_1 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a - G_{\gamma\pi\varphi} N_0 \pi_{\varphi_1} \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a - \\
 & G_{\varphi\gamma} N_0 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 \varphi_1 a - \frac{1}{6} G_{\pi\varphi\pi\varphi\pi\varphi} N_0 \pi_{\varphi_1}^3 a^3 - \frac{1}{6} G_{\varphi\varphi\varphi} N_0 \varphi_1^3 a^3 - \\
 & \frac{1}{2} G_{\varphi\pi\varphi\pi\varphi} N_0 \pi_{\varphi_1}^2 a^3 \varphi_1 - \frac{1}{2} G_{\varphi\varphi\pi\varphi} N_0 \pi_{\varphi_1} \varphi_1^2 a^3 + N_1^i \pi_{\varphi_1} \partial_i \varphi_1
 \end{aligned} \tag{28}$$

- Fourth order interaction Hamiltonian in phase-space:

$$\begin{aligned}
 \mathcal{H}_4 = & -\frac{1}{2} G_{\gamma\gamma} N_0 \delta^{ij} \delta^{kl} \partial_i \varphi_1 \partial_j \varphi_1 \partial_k \varphi_1 \partial_l \varphi_1 a^{-1} - G_{\pi\varphi\gamma} N_1 \pi_{\varphi_1} \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a - \\
 & G_{\varphi\gamma} N_1 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 \varphi_1 a - \frac{1}{6} G_{\pi\varphi\pi\varphi\pi\varphi} N_1 \pi_{\varphi_1}^3 a^3 - \frac{1}{6} G_{\varphi\varphi\varphi} N_1 \varphi_1^3 a^3 - \\
 & \frac{1}{2} G_{\varphi\pi\varphi\pi\varphi} N_1 \pi_{\varphi_1}^2 a^3 \varphi_1 - \frac{1}{2} G_{\pi\varphi\pi\varphi\gamma} N_0 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 \pi_{\varphi_1}^2 a - \frac{1}{2} G_{\varphi\varphi\pi\varphi} N_1 \pi_{\varphi_1} \varphi_1^2 a^3 - \\
 & \frac{1}{2} G_{\varphi\varphi\gamma} N_0 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 \varphi_1^2 a - G_{\varphi\pi\varphi\gamma} N_0 \pi_{\varphi_1} \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 \varphi_1 a - \\
 & \frac{1}{24} G_{\pi\varphi\pi\varphi\pi\varphi\pi\varphi} N_0 \pi_{\varphi_1}^4 a^3 - \frac{1}{24} G_{\varphi\varphi\varphi\varphi} N_0 \varphi_1^4 a^3 - \frac{1}{6} G_{\varphi\pi\varphi\pi\varphi\pi\varphi} N_0 \pi_{\varphi_1}^3 a^3 \varphi_1 - \\
 & \frac{1}{6} G_{\varphi\varphi\varphi\pi\varphi} N_0 \pi_{\varphi_1} \varphi_1^3 a^3 - \frac{1}{4} G_{\varphi\varphi\pi\varphi\pi\varphi} N_0 \pi_{\varphi_1}^2 \varphi_1^2 a^3
 \end{aligned} \tag{29}$$

# Flow-chart



# Result - Non-canonical scalar field

- In case of non-canonical scalar field, by **introducing a new phase-space variable**, we obtained generalized Hamiltonian of non-canonical system.
- we have obtained a **new expression of sound speed in terms of phase-space variables** and similarly we can obtain other background quantities like slow-roll parameters, Hubble's factor and others in terms of phase-space variables.
- In case of non-canonical scalar field, we have obtained **a simple mechanism to invert general non-canonical terms from phase-space to configuration space and vice-versa**.
- In the same way, we can extend our approach to **generalized scalar-tensor theories** like Hordenski's model also.



# Summary and Extension

- This approach is **model independent**.
- This can not only solves the difficulties/problems with the previous method but also gives us more information like the counting degrees of freedom etc.
- We are currently working on the effect of higher-order perturbations in the interaction Hamiltonian  $\rightarrow$  significant corrections in Bi-spectrum and Tri-spectrum.

Thank you!

# Canonical scalar field

- Action:  $S_{\text{Canonical}} = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi - V(\varphi) \right]$ .
- Line-element:  $ds^2 = (-N^2 + N^i N_i) dt^2 + 2N_i dx^i dt + \gamma_{ij} dx^i dx^j$ ,  $N$  and  $N^i$  are called Lapse function and shift vector, respectively,  ${}^{(3)}R$  is the Ricci scalar for 3-metric  $\gamma_{ij}$ .
- Hamiltonian density:

$$\mathcal{H} = \gamma_{jk} \partial_i N^k \pi^{ij} + N^k \partial_k \gamma_{ij} \pi^{ij} + \gamma_{ik} \partial_j N^k \pi^{ij} - N \gamma_{ij} \gamma_{kl} \kappa^{kl} \pi^{ij} \gamma^{-\frac{1}{2}} + 2 N \gamma_{ik} \gamma_{jl} \kappa^{kl} \pi^{ij} \gamma^{-\frac{1}{2}} + \frac{1}{2} N \pi_\varphi^2 \gamma^{-\frac{1}{2}} + N^i \pi_\varphi \partial_i \varphi - \frac{1}{2} N^{(3)} R \gamma^{\frac{1}{2}} \kappa^{-1} + \frac{1}{2} N \gamma^{ij} \partial_i \varphi \partial_j \varphi \gamma^{\frac{1}{2}} + N V \gamma^{\frac{1}{2}} = 0. \quad (30)$$

- Perturbation in Flat-slicing gauge:

$$N = N_0 + \epsilon N_1, N^i = \epsilon N_1^i, \varphi = \varphi_0 + \epsilon \varphi_1, \pi^{ij} = \pi_0^{ij} + \epsilon \pi_1^{ij}, \pi_\varphi = \pi_{\varphi_0} + \epsilon \pi_{\varphi_1}, \gamma_{ij} = \gamma_{0ij} = a^2 \delta_{ij}.$$

- Zeroth order Hamiltonian:  $\mathcal{H}_0^C = N_0 \left( -\frac{1}{12} \kappa \pi_a^2 a^{-1} + \frac{1}{2} \pi_{\varphi_0}^2 a^{(-3)} + V a^3 \right) = 0, \pi_0^{ij} = \frac{1}{6a} \pi_a \delta^{ij}$ .
- Second order Hamiltonian:

$$\begin{aligned} \mathcal{H}_2^C = & \delta_{ij} \partial_k N_1^j \pi_1^{ik} a^2 + \delta_{ij} \partial_k N_1^j \pi_1^{ik} a^2 - N_0 \delta_{ij} \delta_{kl} \kappa \pi_1^{ij} \pi_1^{kl} a - 2 N_1 \delta_{ij} \delta_{kl} \kappa \pi_0^{ij} \pi_1^{kl} a + \\ & 2 N_0 \delta_{ij} \delta_{kl} \kappa \pi_1^{ik} \pi_1^{jl} a + 4 N_1 \delta_{ij} \delta_{kl} \kappa \pi_0^{ik} \pi_1^{jl} a + \frac{1}{2} N_0 \pi_{\varphi_1}^2 a^{(-3)} + N_1 \pi_{\varphi_0} \pi_{\varphi_1} a^{(-3)} + N_1^i \pi_{\varphi_0} \partial_i \varphi_1 + \\ & \frac{1}{2} N_0 \delta^{ij} \partial_i \varphi_1 \partial_j \varphi_1 a + N_1 V_\varphi a^3 \varphi_1 + \frac{1}{2} N_0 V_{\varphi\varphi} \varphi_1^2 a^3. \end{aligned} \quad (31)$$

- background phase space contains 10 variable ( $a$ ,  $N$ ,  $\varphi_0$ ,  $\lambda_0$ ,  $S_0$  and corresponding momenta).
- There are two primary constrained equations:

$$\Phi_p^1 \equiv \pi_{S_0} = 0, \quad \Phi_p^2 \equiv \pi_{N_0} + \lambda_0 \pi_{\lambda_0} N_0^{-1} = 0 \quad (32)$$

- Conservation of primary constraints gives rise to secondary constraints:

$$\Phi_s^1 \equiv \{\Phi_p^1, \mathcal{H}_{D0}\} \approx 0 \quad \Rightarrow \quad \lambda_0 + N_0^3 \pi_{\lambda_0}^2 a^3 \approx 0 \quad (33)$$

$$\begin{aligned} \Phi_s^2 \equiv \{\Phi_p^2, \mathcal{H}_{D0}\} \approx 0 \quad \Rightarrow \quad & -V_0 a^3 + \pi_{\lambda_0} \pi_{\varphi_0} N_0 + \frac{3}{4a^3} \kappa \pi_{\lambda_0}^2 \lambda_0^2 + \frac{1}{12a} \kappa \pi_a^2 \\ & + \frac{\kappa}{2a^2} N_0 \pi_a \pi_{\lambda_0} \lambda_0 = 0 \end{aligned} \quad (34)$$

- Further, conservation of secondary constraint (33) leads to tertiary constraint and we also get quaternary constraint:

$$\Phi_t \equiv \{\Phi_s^1, \mathcal{H}_{D0}\} \approx 0 \quad (35)$$

$$\Rightarrow \pi_{\varphi_0} - \kappa N_0^2 \pi_{\lambda_0}^2 a - 3\kappa N_0^2 \pi_{\lambda_0}^3 \lambda_0 = 0 \quad (36)$$

$$\begin{aligned} \Phi_q \equiv \{\Phi_t, \mathcal{H}_{D0}\} \approx 0 \Rightarrow S_0 \left( -2\kappa N_0^2 \pi_{\lambda_0} a + 15\kappa N_0^5 \pi_{\lambda_0}^5 \pi_a a \right) - N_0 V_\varphi - \frac{5}{2} \kappa^2 N_0^3 \pi_{\lambda_0}^3 \lambda_0 a^{-2} \\ + \frac{5}{6} \kappa^2 N_0^3 \pi_{\lambda_0}^2 \pi_a a^{-1} + 18\kappa^2 N_0^6 \pi_{\lambda_0}^6 \lambda_0 + 6\kappa^2 N_0^6 \pi_{\lambda_0}^6 \pi_a a = 0 \end{aligned} \quad (37)$$

# Non-canonical scalar field

- Momentum corresponding to  $\gamma_{ij}$ :

$$\pi^{ij} \equiv \frac{\partial \mathcal{L}_{NC}}{\partial \dot{\gamma}'_{ij}} = \frac{\sqrt{\gamma}}{2\kappa} (\gamma^{ij} \gamma^{kl} - \gamma^{ik} \gamma^{jl}) K_{kl} \quad (38)$$

$$K_{ij} = \frac{\kappa}{\sqrt{\gamma}} (\gamma_{ij} \gamma_{mn} - 2\gamma_{im} \gamma_{jn}) \pi^{mn} \quad (39)$$

- Hamiltonian density:

$$\begin{aligned} \mathcal{H}_{NC} = & 2\gamma_{ij} \partial_k N^j \pi^{ik} + N^i \partial_i \gamma_{jk} \pi^{jk} - \frac{N\kappa}{\sqrt{\gamma}} (\gamma_{ij} \gamma_{kl} - 2\gamma_{ik} \gamma_{jl}) \pi^{ij} \pi^{kl} - \frac{N\sqrt{\gamma}}{2\kappa} {}^{(3)}R - \\ & N\sqrt{\gamma} (P - P_X (2X - Y)) + N^i \pi_\varphi \partial_i \varphi = 0 \end{aligned} \quad (40)$$

- Hamiltonian constraint

$$\mathcal{H}_N \equiv \frac{\delta \mathcal{H}_{NC}}{\delta N} = -\frac{\kappa}{\sqrt{\gamma}} (\gamma_{ij} \gamma_{kl} - 2\gamma_{ik} \gamma_{jl}) \pi^{ij} \pi^{kl} - \frac{\sqrt{\gamma}}{2\kappa} {}^{(3)}R - \sqrt{\gamma} G(\pi_\varphi, \gamma, Y, \varphi) \quad (41)$$

- Momentum constraint

$$\mathcal{H}_i \equiv \frac{\delta \mathcal{H}_{NC}}{\delta N^i} = -2\partial (\gamma_{im} \pi^{mn}) + \pi^{kl} \partial_i \gamma_{kl} + \pi_\varphi \partial_i \varphi \quad (42)$$