

# Effects from canonical quantum gravity for slow-roll inflationary models

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# Introduction

## ● Motivation

- Quantum gravity lacks of experimental guidance.
- Testable predictions.
- A promising scenario: inflationary phase.

## ● Outline

- Framework: geometrodynamical quantum gravity.
- Perturbations of a homogeneous universe with a scalar matter field.
- Construction of gauge invariant master variables.
- Born-Oppenheimer approximation (expansion in Planck mass  $m_p$ ).
  - Classical Hamilton-Jacobi equation.
  - Schrödinger equation.
  - Corrected Schrödinger equation.
- Slow-roll approximation → power spectra for perturbations.

# Perturbation theory in General Relativity

- Idea: Background + perturbations  $(g_{\mu\nu} + h_{\mu\nu})$ .
- The action of General Relativity with a scalar matter field

$$\mathcal{S} = \int dt \int d^3x (\Pi^{ij} g_{ij,t} + \pi_\phi \phi_{,t} - H),$$

where the Hamiltonian is a linear combination of constraints,

$$H := N \mathcal{H}(\pi_\phi, \phi, \Pi^{ij}, g_{ij}) + N^k \mathcal{H}_k(\pi_\phi, \phi, \Pi^{ij}, g_{ij}).$$

- First variation of the action  $\delta S = 0 \rightarrow$  background equations of motion. In particular,

$$\mathcal{H} = 0, \quad \mathcal{H}_k = 0.$$

# Perturbation theory in General Relativity

- Second variation of the action  $\delta^2 S \rightarrow$  action functional for the linear perturbations.

$$\frac{1}{2} \delta^2 \mathcal{S} = \int dx^4 \left\{ p^{ij} h_{ij,t} + \delta\pi_\phi \delta\phi_{,t} - \delta N \delta\mathcal{H} - \delta[N^i] \delta[\mathcal{H}_i] - \frac{N}{2} \delta^2[\mathcal{H}] - \frac{N^i}{2} \delta^2[\mathcal{H}_i] \right\}.$$

- Constraint equations for the perturbations

$$\delta\mathcal{H} = 0, \quad \delta\mathcal{H}_i = 0.$$

# Quantization

- A complete action for all degrees of freedom:

$$S_{total} := S + \frac{1}{2} \delta^2 S$$
$$H_{total} := N \left( \mathcal{H} + \frac{1}{2} \delta^2 \mathcal{H} \right) + N^i \left( \mathcal{H}_i + \frac{1}{2} \delta^2 \mathcal{H}_i \right) + \delta N \delta \mathcal{H} + \delta N^i \delta \mathcal{H}_i.$$

- The 8 constraints of the system:

$$\left( \mathcal{H} + \frac{1}{2} \delta^2 \mathcal{H} \right) = 0, \quad \left( \mathcal{H}_i + \frac{1}{2} \delta^2 \mathcal{H}_i \right) = 0,$$
$$\delta \mathcal{H} = 0, \quad \delta \mathcal{H}_i = 0.$$

- Different possibilities:

- Quantization à la Dirac. (Halliwell & Hawking, 1985)
- Fix a gauge.
- Construction of gauge-invariant master variables.

# Construction of gauge-invariant master variables

- Assume vacuum to explain the general procedure.
- Notation: the six components  $(h_{ij}, p^{ij}) \rightarrow (h_I, p^J)$ , with  $I, J = 1, \dots, 6$ , and  $\{h_I(x), p^J(y)\} = \delta_I^J \delta^3(x - y)$ .
- The four linearized constraints are the generators:  $\delta\mathcal{H}(h_I, p^J)$ , and  $\delta\mathcal{H}_k(h_I, p^J)$ .
- Canonical transformation to a new set of variables  $(\tilde{h}_I, \tilde{p}^J)$ , so that  $\delta\mathcal{H} = \tilde{p}^4$  and  $\delta\mathcal{H}_K = \tilde{p}^K$ , for  $K = 1, 2, 3$ .
  - $(\tilde{h}_1, \tilde{h}_2, \tilde{h}_3, \tilde{h}_4)$ : gauge-dependent.
  - $(\tilde{p}^1, \tilde{p}^2, \tilde{p}^3, \tilde{p}^4)$ : gauge-invariant, but constrained to vanish.
  - $(\tilde{h}_5, \tilde{p}^5)$  and  $(\tilde{h}_6, \tilde{p}^6)$ : master gauge-invariant variables.
- Examples
  - Regge-Wheeler and Zerilli functions.
  - Mukhanov-Sasaki variable.

# Homogeneous and isotropic background

- Consider Friedmann-Robertson-Walker metric with conformal time  $\eta$ :

$$ds^2 = a^2(\eta)(-d\eta^2 + d\mathbf{x}^2)$$

- In this homogeneous case  $N^i = 0 \Rightarrow$  the only constraint left will be  $(\mathcal{H} + \frac{1}{2} \delta^2 \mathcal{H})$ .
- The perturbation tensor  $h_{\mu\nu}$  is decomposed in scalar, vector, and tensor components.
- 3 master gauge-invariant variables:
  - Scalar sector: Mukhanov-Sasaki  $v$ .
  - Tensorial sector: The two polarizations  $v^{(\lambda)} = ah^{(\lambda)}$ , with  $\lambda = +, \times$ .
- The constraint:  $(\mathcal{H} + \frac{1}{2} \delta^2 \mathcal{H}) = \mathcal{H}_0 + \mathcal{H}_S + \mathcal{H}_T$ ,  
 $\mathcal{H}_0$  the Hamiltonian of the background minisuperspace model;  
and  $\mathcal{H}_S$  and  $\mathcal{H}_T$  Hamiltonians of parametric harmonic oscillators.

# Homogeneous and isotropic background

- The background Hamiltonian

$${}^0\mathcal{H} = -\frac{2}{m_p^2} \pi_a^2 + \frac{1}{2a^2} \pi_\phi^2 + m_p^2 V(\tilde{\phi}),$$

with a Planck mass  $m_P := 3\pi/(2G)$ , and  $\tilde{\phi} := \phi/m_P$ .

- Quantization via the variable  $\alpha := \log(a/a_0)$ . Background Wheeler-DeWitt equation:

$$\frac{1}{2} \left[ \frac{e^{-2\alpha}}{m_p^2} \frac{\partial^2}{\partial \alpha^2} - e^{-2\alpha} \frac{\partial^2}{\partial \tilde{\phi}^2} + m_p^2 V(\tilde{\phi}) \right] \Psi_0(\alpha, \tilde{\phi}) = 0.$$



# Homogeneous and isotropic background

- The scalar sector

$$\int d^3x \mathcal{H}_S = \frac{1}{2} \int d^3x \left( \pi^2 + \delta^{ij} \partial_i v \partial_j v - \frac{z''}{z} \right),$$

where  $\pi$  is the conjugate momentum of  $v$  and  $z := a^2 \phi' / a'$ .

- Performing a Fourier transformation:

$$\int d^3x \mathcal{H}_S = \frac{1}{2} \int d^3\mathbf{k} \left( \pi_{\mathbf{k}} \bar{\pi}_{\mathbf{k}} + \omega_S^2(\eta) v_{\mathbf{k}} \bar{v}_{\mathbf{k}} \right),$$

with  $\omega_S^2(\eta) = k^2 - \frac{z''}{z}$ .

- Tensor modes same Hamiltonian with  $\omega_T^2(\eta) = k^2 - \frac{a''}{a}$
- Standard quantization procedure:  $[\hat{v}_{\mathbf{k}}, \hat{\pi}_{\mathbf{p}}] = i \delta(\mathbf{k} - \mathbf{p})$ .

$$\hat{v}_{\mathbf{k}} \Psi = v_{\mathbf{k}} \Psi, \quad \hat{\pi}_{\mathbf{k}} \Psi = -i \frac{\partial \Psi}{\partial v_{\mathbf{k}}}.$$

# Master Wheeler-DeWitt equation

- Product ansatz:  $\Psi = \Psi_0(\alpha, \phi) \prod_{\mathbf{k}} \Psi_{\mathbf{k}}^S(\alpha, \phi, v_{\mathbf{k}}) \Psi_{\mathbf{k}}^T(\alpha, \phi, v_{\mathbf{k}})$
- Equation for each  $\mathbf{k}$ ,  $S$  and  $T$

$$\left\{ e^{-2\alpha} \left[ \frac{1}{m_p^2} \frac{\partial^2}{\partial \alpha^2} - \frac{\partial^2}{\partial \phi^2} \right] + m_p^2 V(\phi) + \left[ -\frac{\partial^2}{\partial v_{\mathbf{k}}^2} + \omega_{S,T}^2(\eta) v_{\mathbf{k}}^2 \right] \right\} \Psi_{\mathbf{k}}^{S,T}(\alpha, \phi, v_{\mathbf{k}}) = 0,$$

with

$$\omega_S^2(\eta) = k^2 - \frac{z''}{z}, \quad z := a^2 \phi' / a',$$

$$\omega_T^2(\eta) = k^2 - \frac{a''}{a}.$$

# Semiclassical approximation

- Ansatz:  $\Psi_{\mathbf{k}}(\alpha, \phi, v_{\mathbf{k}}) = e^{i S(\alpha, \phi, v_{\mathbf{k}})}$
- Power expansion:  $S = m_p^2 S_0 + S_1 + m_p^{-2} S_2 + \dots$
- $\mathcal{O}(m_p^4)$ : Independence of the perturbations:  $\frac{\partial}{\partial v_{\mathbf{k}}} S_0(\alpha, \phi, v_{\mathbf{k}}) = 0$ .
- $\mathcal{O}(m_p^2)$ : Hamilton-Jacobi eq.  $\longleftrightarrow$  classical dynamics.
- $\mathcal{O}(m_p^0)$ : Schrödinger eq.  $\longleftrightarrow$  QFT on curved spacetimes

$$i \frac{\partial}{\partial \eta} \psi_{\mathbf{k}}^{(0)} = \mathcal{H}_{\mathbf{k}} \psi_{\mathbf{k}}^{(0)},$$

$$\text{with } \mathcal{H}_{\mathbf{k}} := -\frac{1}{2} \frac{\partial^2}{\partial v^2} + \frac{1}{2} \omega_{\mathbf{k}}^2 v_{\mathbf{k}}^2$$

# Semiclassical approximation

- $\mathcal{O}(m_p^{-2})$ : Corrected Schrödinger eq.  $\longleftrightarrow$  quantum-gravitational corrections.

$$i \frac{\partial}{\partial \eta} \psi_{\mathbf{k}}^{(1)} = \mathcal{H}_{\mathbf{k}} \psi_{\mathbf{k}}^{(1)} - \frac{\psi_{\mathbf{k}}^{(1)}}{2 m_{\text{P}}^2 \psi_{\mathbf{k}}^{(0)}} \left[ \frac{(\mathcal{H}_{\mathbf{k}})^2}{V} \psi_{\mathbf{k}}^{(0)} + i \frac{\partial}{\partial \eta} \left( \frac{\mathcal{H}_{\mathbf{k}}}{V} \right) \psi_{\mathbf{k}}^{(0)} \right].$$

- Issue with imaginary terms.
  - Non-unitarity. Ambiguous framework.
  - Inconsistencies in the equations.
  - Exponentially amplified oscillations (towards the past).
  - Not allow for a Bunch-Davies-like initial data.

# Gaussian ansatz

- Gaussian ansatz for both  $\psi_{\mathbf{k}}^{(0)}$  and  $\psi_{\mathbf{k}}^{(1)}$ :

$$\psi_{\mathbf{k}}(\eta, v_{\mathbf{k}}) = N(\eta) e^{-\frac{1}{2} \Omega(\eta) v^2}.$$

- Power spectra

$$\mathcal{P}^{(0)}(k) \propto \frac{1}{\Re(\Omega_{(0)})}.$$

- The corrected power spectra:

$$\mathcal{P}^{(1)}(k) = \mathcal{P}^{(0)}(k) \left\{ 1 + \Delta \right\},$$

with

$$\Delta := \lim_{\eta \rightarrow 0} \frac{\Re \Omega_{(0)} - \Re \Omega_{(1)}}{\Re \Omega_{(0)}}.$$

# Gaussian ansatz

- Equation for  $\Omega_{(i)}$ , with  $i = 0, 1$ :

$$i \Omega'_{(i)} = \Omega_{(i)}^2 - \omega_{(i)}^2,$$

where

$$\omega_{(0)}^2 := \omega^2,$$

$$\omega_{(1)}^2 := \omega^2 - \frac{1}{2m_{\text{P}}^2 V} \Re \left\{ [3\Omega_{(0)} - i (\ln V)'] [\omega^2 - \Omega_{(0)}^2] + 2i\omega\omega' \right\}.$$

- Transformation

$$\Omega_{(i)} = -i \frac{y'_{(i)}(\eta)}{y_{(i)}(\eta)} \implies y''_{(i)} + \omega_{(i)}^2 y_{(i)} = 0.$$

- For  $\Omega_{(1)}$  consider the linearization:  $\tilde{\Omega}_{(1)} := \Omega_{(1)} - \Omega_{(0)}$ .

# Initial data ( $\eta \rightarrow -\infty$ )

- Bunch-Davies vacuum: each mode oscillating with a constant frequency  $k$

$$y_{(0)} = e^{ik\eta} \implies \Re(\Omega_{(0)}) = k, \quad \Im(\Omega_{(0)}) = 0.$$

- Natural initial data for the corrected case:

$$y_{(1)} = e^{i\beta\eta} \implies \Re(\Omega_{(1)})^2 = \Re(\omega_{(1)}^2), \quad \Im(\Omega_{(1)}) = 0.$$

# Slow-roll approximation

- The slow-roll parameters:

$$\epsilon := -\frac{\dot{H}}{H^2},$$
$$\delta := \epsilon - \frac{\dot{\epsilon}}{2H\epsilon},$$

and their combination  $\gamma := 2\epsilon - \delta$ .

- The frequencies:

$$\omega_S^2 = k^2 - \frac{2 + 3\gamma}{\eta^2} + \mathcal{O}(2),$$
$$\omega_T^2 = k^2 - \frac{2 + 3\epsilon}{\eta^2} + \mathcal{O}(2).$$

- $\gamma = \epsilon$  converts the scalar equation into the tensorial one.



# Slow-roll approximation: uncorrected power spectra

- The equation for  $\Omega_{(0)}$  is analytically solved with Bunch-Davies initial data

$$\Omega_{(0)} = k(\Omega_{dS}^{(0)}(\xi) + \gamma\Omega_{\gamma}^{(0)}(\xi)),$$

with  $\xi := -k\eta$ .

- The usual (uncorrected) power spectra are obtained:

$$\mathcal{P}_S^{(0)}(k) = \frac{G H_k^2}{\pi \epsilon} [1 - 2\epsilon + \gamma(4 - 2\gamma_E - 2 \ln(2))].$$

# Slow-roll approximation: corrected power spectra

- The corrected scalar frequencies:

$$\omega_{(1)}^2 = k^2 - \frac{2 + 3\gamma}{\eta^2} + \left( \frac{H_k^2}{m_p^2 k} \right) \left( \omega_{dS}^2 + \epsilon \omega_\epsilon^2 + \gamma \omega_\gamma^2 \right) + \mathcal{O}(2).$$

- $\omega_{dS}$ ,  $\omega_\epsilon$ , and  $\omega_\gamma$  only depend on time  $\xi := -k\eta$ .
- We construct a similar decomposition for  $\tilde{\Omega}_{(1)}$ :

$$\tilde{\Omega}_{(1)} := \left( \frac{H_k}{m_p k} \right)^2 \left( \Omega_{dS}^{(1)} + \epsilon \Omega_\epsilon^{(1)} + \gamma \Omega_\gamma^{(1)} \right),$$

- Evolution equations for  $\Omega_{dS}^{(1)}$ ,  $\Omega_\epsilon^{(1)}$ , and  $\Omega_\gamma^{(1)}$  only depend on  $\xi$ .
- Initial data ( $\xi \rightarrow \infty$ ):  $\Omega_{dS}^{(1)} = \frac{1}{4}$ ,  $\Omega_\gamma^{(1)} = \frac{3}{8}$  and  $\Omega_\epsilon^{(1)} = -\frac{23}{12} + \frac{1}{2} \ln(\xi)$ .

# Slow-roll approximation: corrected power spectra

- The dependence on different parameters of the correction to the power spectra is analytically obtained:

$$\Delta_S = \frac{H_k^2}{k^3 m_p^2} \left[ \alpha_{dS} + \epsilon \alpha_\epsilon + \gamma \alpha_\gamma \right].$$

- The definition of different numerical factors:

$$\alpha_{dS} = - \lim_{\xi \rightarrow 0} \left( \frac{\Re \Omega_{dS}^{(1)}}{\Re \Omega_{dS}^{(0)}} \right),$$

$$\alpha_\epsilon = - \lim_{\xi \rightarrow 0} \left( \frac{\Re \Omega_\epsilon^{(1)}}{\Re \Omega_{dS}^{(0)}} \right),$$

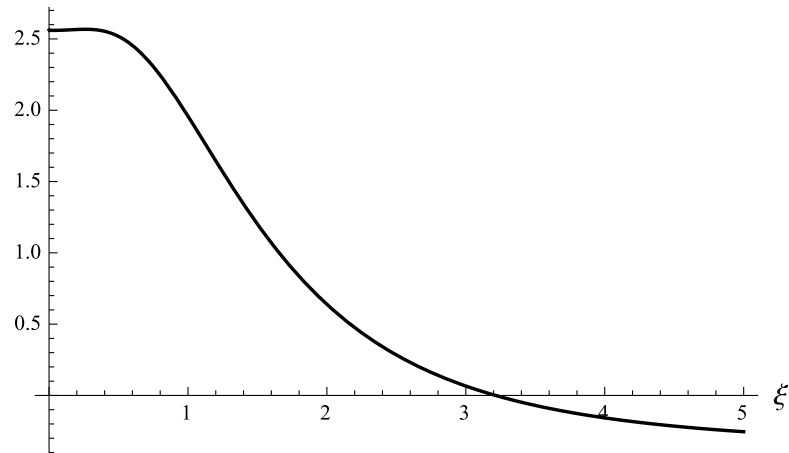
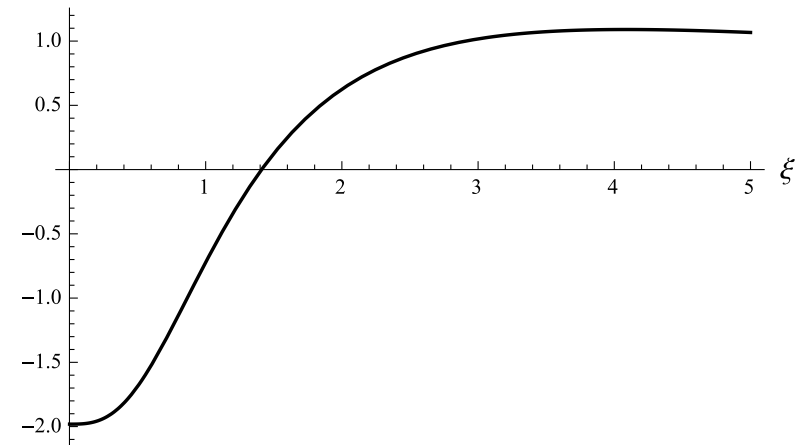
$$\alpha_\gamma = \lim_{\xi \rightarrow 0} \left( \frac{\Re \Omega_\gamma^{(0)} \Re \Omega_{dS}^{(1)} - \Re \Omega_{dS}^{(0)} \Re \Omega_\gamma^{(1)}}{\left( \Re \Omega_{dS}^{(0)} \right)^2} \right).$$

# Slow-roll approximation: corrected power spectra

- $\Omega_{dS}^{(1)}$  can be analytically obtained

$$\alpha_{dS} = \frac{1}{4e^2} [3e^4 \text{Ei}(-2) + 9\text{Ei}(2) - e^2] \approx 0.988.$$

- The evolution of the expressions that define  $\alpha_\epsilon$  (left) and  $\alpha_\gamma$  (right) as a function of  $\xi$ :



- Numerical values:  $\alpha_\epsilon \approx -1.98$ , and  $\alpha_\gamma \approx 2.56$ .

# Conclusions

- Perturbation theory on a FRW background with an inflaton field.
- Construction of master gauge invariant variables.
- Quantum geometrodynamical framework → Wheeler-DeWitt eq.
- Born-Oppenheimer type of approximation with  $m_p$  as expansion parameter.
- Corrected Schrödinger equation.
- Corrections to the power spectra for both tensor and scalar modes in the slow-roll regime.
- Enhancement of the power spectra (more relevant for large scales).

# Evolution of $\Re\Omega_\epsilon^{(1)}$ and $\Re\Omega_\gamma^{(1)}$

The evolution of  $\Re\Omega_\epsilon^{(1)}$  and  $\Re\Omega_\gamma^{(1)}$  (continuous black lines) with their asymptotic values (red dashed lines):

