

# Non-extensive Statistical Models for Heavy-Ion Collisions

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- 1 Preface
  - $q$  in Non-extensive Statistics
  - $q$  in Heavy-Ion Collisions
- 2  $p_T$  Spectra with Finite Heat Capacity
  - General Systems with Finite-Fluctuating Reservoirs
  - Superstatistics
- 3  $p_T$  Spectra with  $T$ -independent and  $\langle p_T^2 \rangle$ 
  - A 'Soft+Hard' Model
  - Fittings in  $p_T$  Spectra
- 4 Summary and Outlook



# Outline

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# Tsallis Statistical Mechanics

In 1988 [1] C. Tsallis suggested to use the non-extensive entropy formula,

$$S_q = \frac{\sum_{i=1}^W p_i^q - 1}{1 - q} := - \sum_{i=1}^W p_i^q \ln_q p_i \quad (1)$$

where  $q > 0$  is the non-extensive parameter. Here we introduce the deformed  $q$ -exponential function  $e_q^x \equiv [1 + (1 - q)x]^{\frac{1}{1-q}}$  and its inverse function  $\ln_q(x) \equiv \frac{x^{1-q} - 1}{1 - q}$  ( $x > 0$ ).



# Tsallis-Pareto distribution

Next we use the OLM(Optimal Lagrange Multipliers)-Tsallis technique to give the generalized  $q$ -equilibrium probability distribution, namely, the Tsallis-Pareto distribution,

$$p_i = \frac{1}{Z_q} [1 - (1 - q)\beta\omega_i]^{\frac{1}{1-q}} \quad (2)$$



# Tsallis Fits to $p_T$ Spectra for $pp$ Collisions at LHC

In 2012 C. Wong and G. Wilk [2] considered the different cross section with a transverse Tsallis distribution in the form,

$$E \frac{d^3 N_{ch}}{dp^3} = C \frac{dN_{ch}}{dy} \left(1 + \frac{E_T}{nT}\right)^{-n} \quad (3)$$

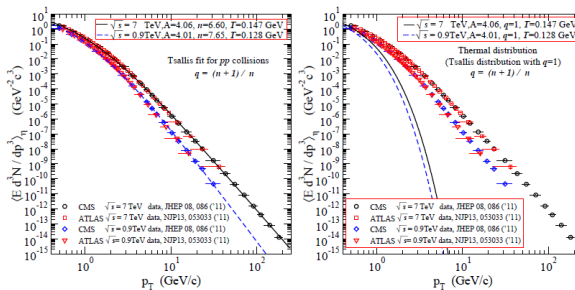


Figure : Left panel: Tsallis fits to the CMS and ATLAS Collaborations data for  $pp$  at 7 and 0.9 TeV. Right panel: the same data compared with the corresponding  $q = 1$  (or  $n \rightarrow \infty$ ) curves.



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# the Non-extensive Parameter $q$

- 1 Considering the general system with reservoir fluctuations, we have **[4]**

$$q = 1 - \frac{1}{C} + \frac{\Delta T^2}{T^2} \quad (4)$$

where  $C$  is the heat capacity.

- 2 Ideal gas: finite heat capacity,

$$C = \frac{E}{T} \quad (5)$$





# Constant Relative Variance due to $\beta$ -Fluctuations

When the relative variance due to  $\beta$ -fluctuations is a constant, namely,

$$\sigma^2 = \frac{\Delta T^2}{T^2} = \text{const.} \quad (6)$$

Easily we get

$$T = E[\sigma^2 - (q - 1)] \quad (7)$$

where both  $E$  and  $\sigma^2$  are constant.



# Constant Average Occupancy

- 1 Next consider that the average occupancy in Negative Binomial  $n$ -Distributions(NBD) is a constant, namely,

$$f = \frac{N}{K} = \text{const.} \quad (8)$$

- 2 Note that for NBD,

$$\langle n \rangle = f(k + 1) \quad (9)$$

$$\Delta n^2 = f(k + 1)(1 + f) \quad (10)$$

- 3 With the connection for  $N/\beta \propto E$  being a constant,  $\Delta T^2/T^2 = \Delta n^2/\langle n \rangle^2$ , we can have

$$T = \frac{E}{f}(q - 1) \quad (11)$$

where  $E$  and  $f$  are constant.



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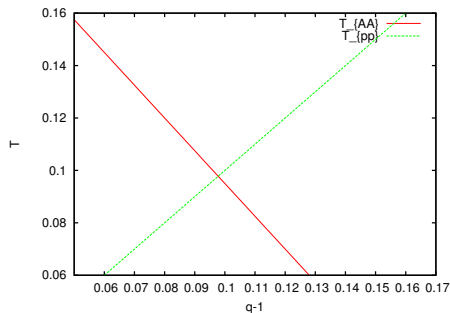
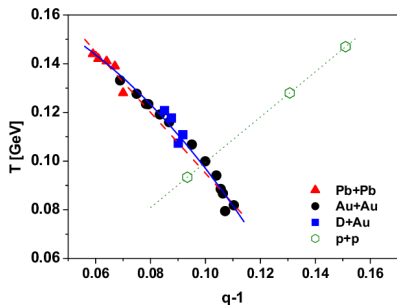
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where  $E$  and  $f$  are constant.



# Compared with Data



**Figure** : Left panel: G. Wilk's collection, Eric School on complexity, 2015. Right panel: Our fitting plot with  $T_{AA} = 0.22 - 1.25(q - 1)$  for the constant relative variance  $\sigma^2$  case ( $E = 1.25$  and  $\sigma^2 = 0.176$ ) and  $T_{pp} = q - 1$  for the constant average occupancy  $f$  case ( $E/f = 1$ ).



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## A 'Soft+Hard' Model

In ultra-relative heavy-ion collisions,  $p_T$  spectra are effected by hadrons yields stemming not only from the QGP(Quark-Gluon-Plasma) ("soft"), but also from jets ("hard"). For a first approximation, therefore, we make out the distributions as two part, **[5]**

$$p^0 \frac{dN}{d^3p} = (p^0 \frac{dN}{d^3p})_{soft} + (p^0 \frac{dN}{d^3p})_{hard} \quad (12)$$

That is to say, the transverse momentum spectrum of charged hadrons will be

$$\frac{dN}{2\pi p_T dp_T dy} \Big|_{y=0} = \sum_i A_i \left\{ 1 + \frac{q_i - 1}{T_i} [\gamma_i(m_T - v_i p_T) - m] \right\}^{-\frac{1}{q_i - 1}} \quad (13)$$

where  $i = soft, hard$  and  $E_i \equiv \gamma_i(m_T - v_i p_T) - m$  is the co-moving energy.



# the Constant $\langle p_T^2 \rangle$ with $q$ -exponential

- 1 With the distributions of  $p_T$ , we have

$$\begin{aligned} \langle p_T^2 \rangle &= \frac{\int p_T dp_T \frac{dN}{2\pi p_T dp_T dy} \Big|_{y=0} p_T^2}{\int p_T dp_T \frac{dN}{2\pi p_T dp_T dy} \Big|_{y=0}} \approx \frac{\int p_T^3 dp_T (1 + \frac{q-1}{T} p_T)^{-1/(q-1)}}{\int p_T dp_T (1 + \frac{q-1}{T} p_T)^{-1/(q-1)}} \\ &= T^2 \frac{6}{(1 - 3(q - 1))(1 - 4(q - 1))} \end{aligned} \quad (14)$$

where  $q = q_{soft}$  and  $T := T_{soft} \sqrt{\frac{1+v_{soft}}{1-v_{soft}}}$  is the Doppler-shifted parameter.

- 2 Consider the  $p_T$  spectra for centrality-dependent collisions, and the same  $\langle p_T^2 \rangle$ , we get

$$T = \sqrt{\frac{(1 - 3(q - 1))(1 - 4(q - 1))}{6} \langle p_T^2 \rangle} \quad (15)$$

where  $\langle p_T^2 \rangle$  is constant.





# the Constant $\langle p_T^2 \rangle$ with $q$ -exponential

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where  $\langle p_T^2 \rangle$  is constant.



## the Constant $\langle p_T^2 \rangle$ with $\kappa$ -exponential

In comparison, we study the 'soft+hard' model with non-extensive  $\kappa$ -exponential distributions by just replacing the  $q$ -exponential in Eq.(13) with  $\kappa$ -exponential[6],  $[\sqrt{1 + (\kappa E_i/T_i)^2} + \kappa E_i/T_i]^{-1/\kappa}$ .

Then we recalculate the  $\langle p_T^2 \rangle$  as,

$$\langle p_T^2 \rangle = T^2 \frac{6}{1 - 16\kappa^2} \quad (16)$$

namely,

$$T = \sqrt{\frac{1 - 16(q - 1)^2}{6} \langle p_T^2 \rangle} \quad (17)$$

with  $\kappa \equiv q - 1$ .



# Constant $\langle p_T^2 \rangle$

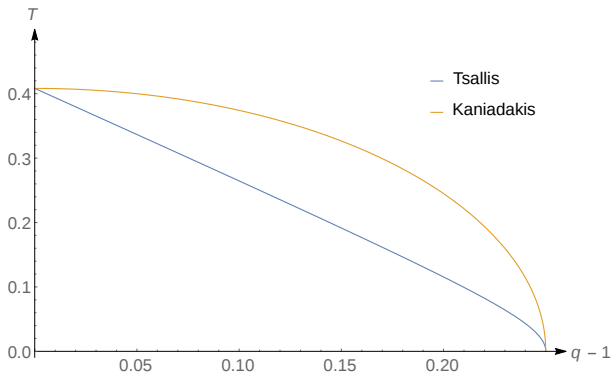


Figure :  $T = \sqrt{\frac{(1-3(q-1))(1-4(q-1))}{6} \langle p_T^2 \rangle}$  for Tsallis  $q$ -exponential and  
 $T = \sqrt{\frac{1-16(q-1)^2}{6} \langle p_T^2 \rangle}$  for Kaniadakis  $\kappa$ -exponential.



# Tsallis' $q$ -exponential Fittings

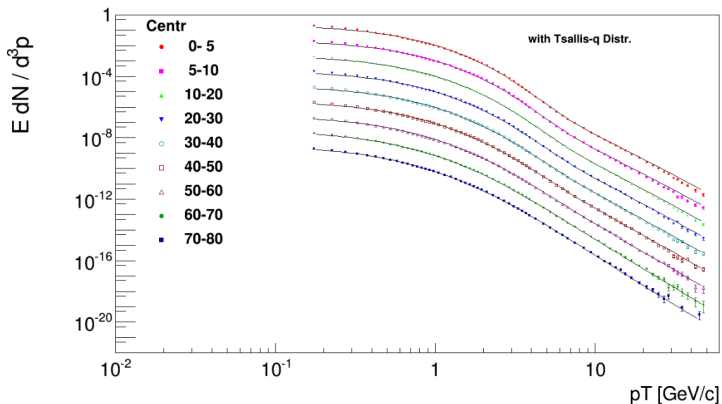


Figure :  $p_T$  spectra of charged hadrons stemming from various centrality  $PbPb$  collisions at  $\sqrt{s} = 2.76$  ATeV for 'soft+hard' model with Tsallis  $q$ -exponential distributions.



# Kaniadakis' $\kappa$ -exponential Fittings with $\kappa = q - 1$

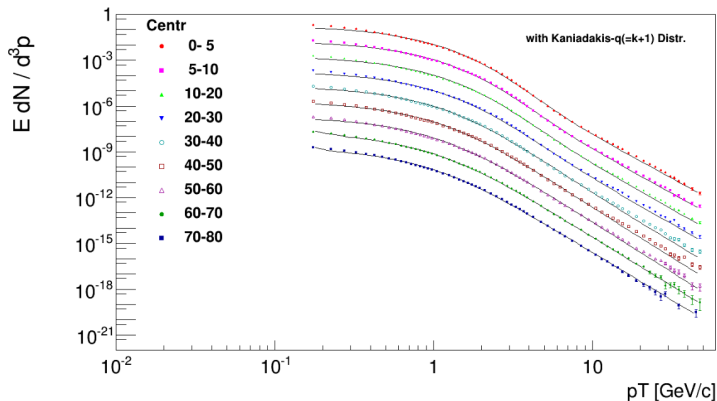
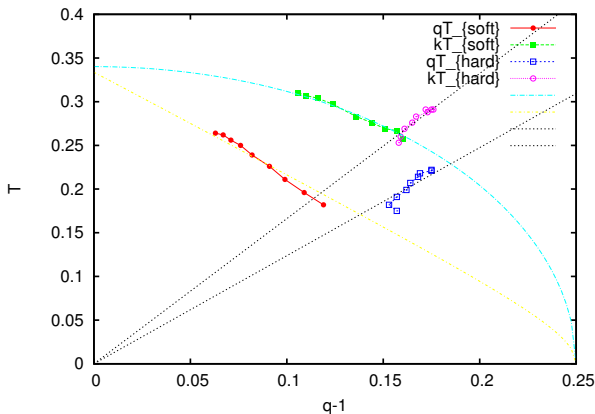


Figure :  $p_T$  spectra of charged hadrons stemming from various centrality  $PbPb$  collisions at  $\sqrt{s} = 2.76$  ATeV for 'soft+hard' model with Kaniadakis  $\kappa$ -exponential distributions.



# Fitting Parameters with Constant $\langle p_T^2 \rangle$



**Figure :** Fitting parameters  $T$  vs.  $\kappa = q - 1$  ( $T := T_s \sqrt{\frac{1+v_s}{1-v_s}}$ ) in  $p_T$  spectra of charged hadrons stemming from various centrality  $PbPb$  collisions at  $\sqrt{s} = 2.76$  ATeV for 'soft+hard' model with Tsallis  $q$ - and Kaniadakis  $\kappa$ -exponential distributions, respectively.



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# Summary and Outlook

- 1 The Tsallis-Pareto distribution is introduced as well as some of its application in heavy-ion collisions.
- 2 For the non-extensive parameter  $q$ , two different models are studied to show its connection with  $T$  in fitting the data.
- 3 A "Soft+Hard" model is re-considered with two different non-extensive distributions. Moreover, with constant  $\langle p_T^2 \rangle$  the fitting parameters are studied.
- 4 Next the connections and comparisons of these models will be studied further.










*Thank You!!!*

# *Backup Slides*



# Cited Papers

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-  C. Wong and G. Wilk, A. Phys. Pol. B. 43 2047 (2012)
-  Zebo Tang, *et. al.*, Phys. Rev. C 79 051901 (R) (2009)
-  T. S. Biro, G. G. Barnafoldi and P. Van, Phys. A 417 (2015) 215
-  K. Urmosy, T. S. Biro, G. G. Barnafoldi and Z. Xu, arXiv: 1405.3963v2
-  G. Kaniadakis, Statistical mechanics in the context of special relativity II, Phys. Rev. E 72, 036108 (2005)
-  J. Adams et al. (STAR Collaboration), Phys. Rev. Lett. 92, 112301 (2004).



## Useful Derivations

In non-extensive statistics, for an arbitrary physical quantity  $A$ , the following normalized  $q$ -expectation value is introduced,

$$\langle A \rangle_q \equiv \frac{\sum_{i=1}^W p_i^q A_i}{\sum_{j=1}^W p_j^q} \equiv \sum_{i=1}^W P_i A_i \quad (18)$$

where  $\{A_i\}$  are the corresponding eigenvalues in the system and  $P_i \equiv \frac{p_i^q}{\sum_{j=1}^W p_j^q}$  are the escort probabilities, which are normalized naturally. Consider the canonical ensemble. To obtain the thermal equilibrium distribution associated with a conservative physical system in contact with the thermostat we shall extremize  $S_q$  under the constraints,

$$\sum_{i=1}^W p_i = 1, \quad \sum_{i=1}^W P_i \epsilon_i = U_q \quad (19)$$



## Useful Derivations

Next we use the OLM(Optimal Lagrange Multipliers)-Tsallis technique to give the generalized  $q$ -equilibrium probability distribution.

$$1 \quad \Phi[p_i] = S_q - \alpha \sum_i^W p_i - \beta \sum_i^W P_i \epsilon_i$$

$$2 \quad \partial \Phi[p_i] / \partial p_i = 0$$

3

$$\begin{aligned} p_i &= \frac{1}{\bar{Z}_q} \left[ 1 - (1-q) \frac{\beta^*}{\sum_{j=1}^W p_j^q} (\epsilon_i - U_q) \right]^{\frac{1}{1-q}} \\ &= \frac{1}{Z_q} \left[ 1 - (1-q) \frac{\beta}{\sum_{j=1}^W p_j^q} \epsilon_i \right]^{\frac{1}{1-q}} \equiv \frac{1}{Z_q} e_q^{-\beta' \epsilon_i} \end{aligned} \quad (20)$$

where  $\beta' = \frac{\beta}{\sum p_j^q} = \frac{\beta^*}{\sum p_j^q + (1-q)\beta^* U_q}$ ,  $Z_q$  and  $\bar{Z}_q$  denotes the corresponding normalized constant.



## Useful Derivations

For the general system with reservoir fluctuations, we consider the canonical approach: expansion for small  $\omega \ll E$ ,

$$\begin{aligned}
 \left\langle \frac{\Omega_n(E - \omega)}{\Omega_n(E)} \right\rangle &= \left\langle e^{S(E-\omega) - S(E)} \right\rangle \\
 &= \left\langle e^{-\omega S'(E) + \omega^2 S''(E)/2 - \dots} \right\rangle \\
 &= 1 - \omega \langle S'(E) \rangle + \frac{\omega^2}{2} \langle S'(E)^2 + S''(E) \rangle - \dots
 \end{aligned} \tag{21}$$

Compare it with the expansion of Tsallis-Pareto distribution

$$\left[ 1 + (q-1) \frac{\omega}{T} \right]^{-\frac{1}{q-1}} = 1 - \frac{\omega}{T} + q \frac{\omega^2}{2T^2} - \dots \tag{22}$$

with respect to the relations,  $C = dE/dT$ , easy to get

$$\frac{1}{T} = \langle \beta \rangle = \langle S'(E) \rangle, \quad q = 1 - \frac{1}{C} + \frac{\Delta\beta^2}{\langle \beta \rangle^2} \tag{23}$$



# Useful Derivations

Consider the function,  $G(t) := \ln \sum p_n e^{nt}$ , easily we have

$$G(0) = 0 \quad (24)$$

Expand it at  $t = 0$ ,

$$G(0) + tG'(0) + \frac{t^2}{2} + \dots = t \langle n \rangle + \frac{t^2}{2} \Delta n^2 + \dots \quad (25)$$

where  $\Delta n^2 \equiv \langle n^2 \rangle - \langle n \rangle^2$ . So we have

$$G'(0) = \langle n \rangle, \quad G''(0) = \Delta n^2 \quad (26)$$



## Useful Derivations

Consider NBD,  $p_n = \binom{n+k}{n} f^n (1+f)^{-n-k-1}$ , so

$$\begin{aligned}
 G(t) &= \ln \sum \binom{n+k}{n} f^n (1+f)^{-n-k-1} e^{nt} \\
 &= \ln \sum \binom{n+k}{n} (fe^t)^n (1+f)^{-n-k-1} \\
 &= \ln(1+f - fe^t)^{-k-1} \\
 &= -(1+k) \ln(1+f - fe^t)
 \end{aligned} \tag{27}$$

Then we can have

$$\begin{aligned}
 G'(0) &= f(k+1) = \langle n \rangle, \\
 G''(0) &= f(k+1)(f+1) = \Delta n^2
 \end{aligned} \tag{28}$$





# Note

- 1 For the derivation of Eq.(14), during the integrals the hard part is neglected as well as the mass of particles, with respect to the integrating range and  $p_T$  spectra.
- 2 For the Fig in p17, it is plotted with  $\langle p_T^2 \rangle = 1$ .
- 3 For the Fig in p20, the four fitting functions are  $0.34015\sqrt{1 - 16\kappa^2}$ ,  $0.333699\sqrt{1 - 7(q - 1) + 12(q - 1)^2}$ ,  $1.23754(q - 1)$  and  $1.66185\kappa$  respectively.



# Note

- ① In C. Wong and G. Wilk's fig,  $E_T = m_T - m = \sqrt{m^2 + p_T^2} - m$  and the integral of it is used to fit the experimental data,

$$\langle E \frac{d^3 N_{ch}}{dp^3} \rangle_\eta = \frac{C}{2\eta_0} \frac{dN_{ch}}{dy} \int_{-\eta_0}^{\eta_0} d\eta \frac{dy}{d\eta} \left(1 + \frac{E_T}{nT}\right)^{-n} \quad (29)$$

with assuming now a rapidity plateau structure with a constant  $A = CdN_{ch}/dy$  and  $m = m_\pi = 140 \text{ MeV}$ . So the fitting parameters are  $A = 4.06$ ,  $n = 6.60$  and  $T = 147 \text{ MeV}$  for  $\sqrt{s} = 7 \text{ TeV}$  and  $A = 4.01$ ,  $n = 7.65$  and  $T = 128 \text{ MeV}$  for  $\sqrt{s} = 0.9 \text{ TeV}$ . Moreover, the ATLAS measurement has a slightly larger  $\eta$  window,  $|\eta| \leq 2.5$ , instead of CMS's  $|\eta| \leq 2.4$  but same spectra or data. And the  $p_T$  values extends from 0.5 to 36 for 7 and from 0.5 to 31 for 0.9 (GeV).



# Power-Law Functions in $Au + Au$ Collisions

Z. Tang, *et al.*

[3] also rewrite the usual Boltzmann distribution in an  $m_T$  exponential form as a power-law distribution,

$$\frac{d^2N}{2\pi m_T dm_T dy} \propto \left(1 + \frac{q-1}{T} m_T\right)^{-1/(q-1)} \quad (30)$$

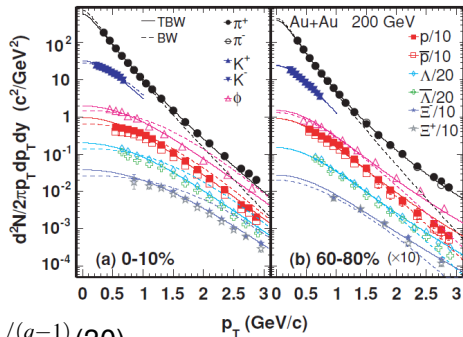


Figure : Identified particle  $p_T$  spectra in  $Au + Au$  at 200 GeV in 0 ~ 10% central collisions (a) and in peripheral 60 ~ 80% collisions (b).



# Note

- 1 In Z. Tang's fig, the solid curves represent the TBW(Tsallis-Blast-Wave model) fit and the dashed lines are BGBW (Boltzmann-Gibbs-Blast-Wave model) calculations with flow velocity  $\beta$  and temperature  $T$  values from [7]. Only fits to particles are shown because both models have the same spectral shapes for particles and anti-particles. Fitting parameters are shown as,  $\beta = 0.047 \pm 0.009$ ,  $T = 0.122 \pm 0.002$  and  $q - 1 = 0.018 \pm 0.005$  for  $0 \sim 10\%$  and  $\beta = 0 \pm 0.05$ ,  $T = 0.114 \pm 0.003$  and  $q - 1 = 0.086 \pm 0.002$  for  $60 \sim 80\%$ . More are seen in [3].