

# Charm Physics at BESIII

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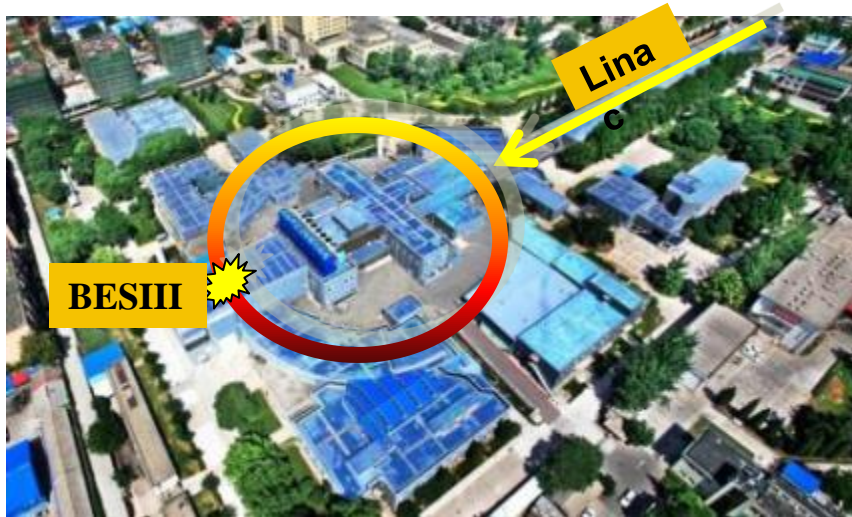
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# Outline

- **BEPCII Collider and BESIII Detector**
- **Data samples of charmed hadrons**
- **$\Lambda_c^+$  decays**
  - $\Lambda_c^+$  hadronic decays
  - $\Lambda_c^+$  semi-leptonic decays
- **$D$  decays**
  - $D$  hadronic decays
  - $D$  leptonic and semi-leptonic decays
- **Summary**

# Beijing Electron Positron Collider (BEPCII)

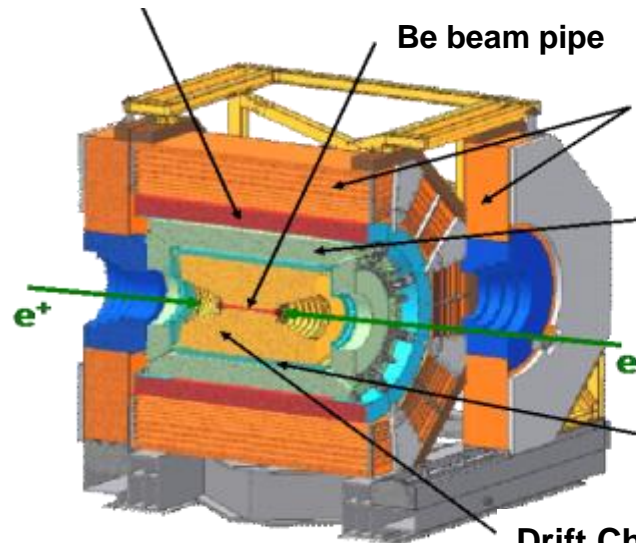


- ✓ Beam energy: 1.0 – 2.3 GeV
- ✓ Luminosity reached the design value (04/05/2016)

$$1.0 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$$

1.0 Tesla super-conducting magnet

**BESIII Detector**



Be beam pipe

**Muon Counters**

9/8 RPC layers (barrel/endcaps)

Cut-off momentum: 0.4 GeV/c

**CsI(Tl) ElectroMagnetic Calorimeter**

$\sigma_E/E$  (at 1 GeV): 2.3%

$\sigma_{z,\phi}$  (at 1 GeV) 5 ~ 7 mm

**Time of Flight (TOF)**

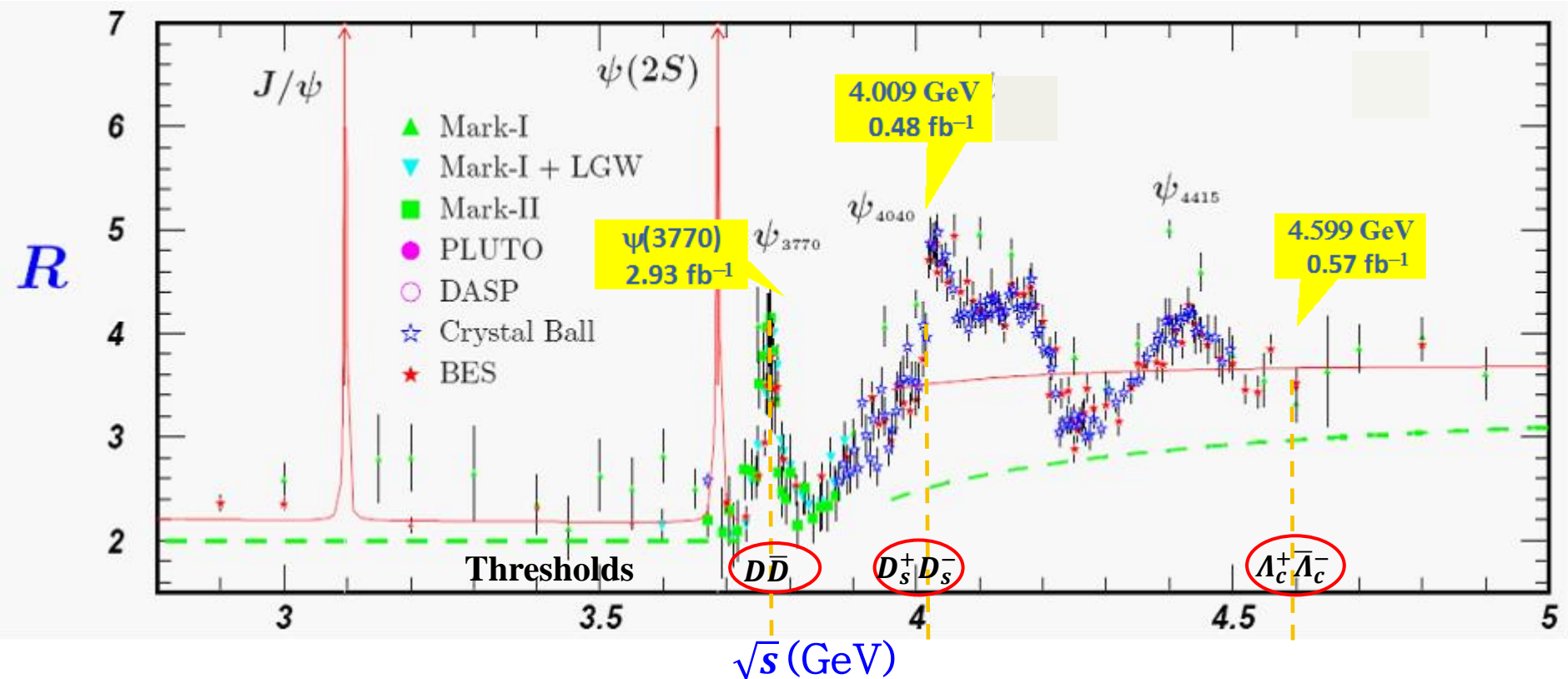
$\sigma_T$ : 68/100 ps (barrel/endcaps)

**Drift Chamber(MDC)**

$\sigma_p/p$  (at 1 GeV): 0.32%

$\sigma_{dE/dx} < 5\%$  (Bhabha)

# Data samples of charmed meson and baryon



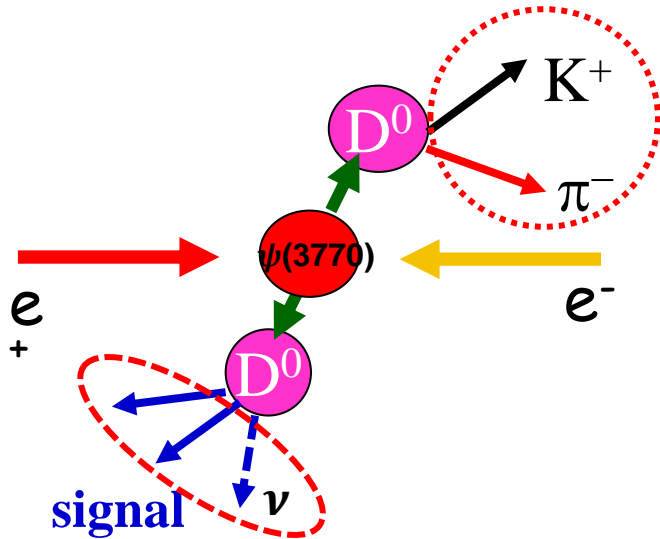
- ✓ 2.93 fb<sup>-1</sup> data@3.773 GeV for  $D^0 \bar{D}^0 / D^+ D^-$  production
- ✓ 0.48 fb<sup>-1</sup> data@4.009 GeV for  $D_s^+ D_s^-$  production
- ✓ 0.57 fb<sup>-1</sup> data@4.599 GeV for  $\Lambda_c^+ \bar{\Lambda}_c^-$  production

# Charm physics at BESIII: Motivation

- Unitarity test of CKM matrix: measuring  $|V_{cs}|$  and  $|V_{cd}|$
- Lattice QCD calibration:  $f_{D \rightarrow K/\pi}(q^2)$  and other form factors,  $f_{D(s)+}$  decay constant
- New Physics: finding evidence of CP violation, rare decays, significant deviations from CKM unitarity or from LQCD calculations,  $D - \bar{D}$  mixing
- Providing inputs for b-physics

# Measurement of Absolute Branching Fractions

Illustration:  $e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$



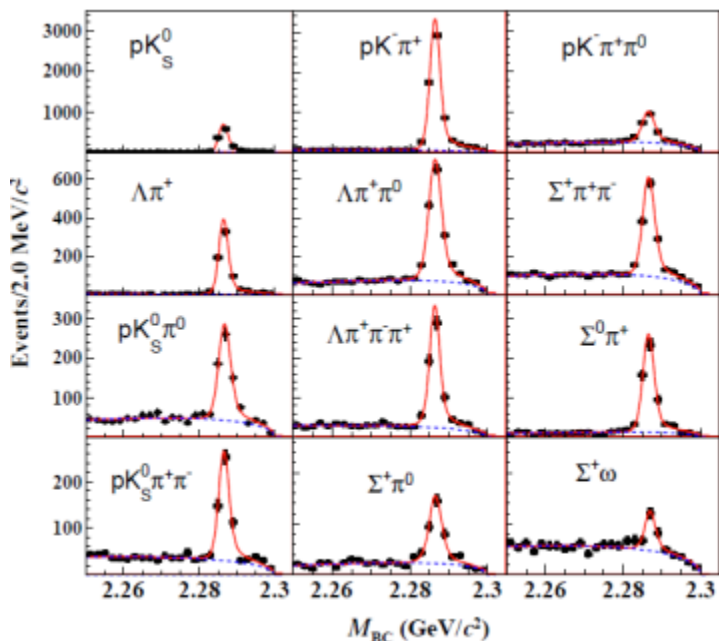
- **Single Tag (ST)**
  - ✓ Tag the charmed meson or baryon via hadronic decays with large Branching Fractions (BF)
  - ✓  $\Delta E \equiv E_{rec.} - E_{beam}$
  - ✓  $M_{BC}^2 c^4 \equiv E_{beam}^2 - p^2 c^2$
- **Double Tag (DT)**
  - ✓ Reconstruct signals in the recoil side against
  - ✓ For (semi)leptonic decays:  $U_{miss} \equiv E_{miss} - p_{miss}$
- **Absolute BF**  $BF(D \rightarrow sig) = \frac{N_{sig}}{N_{tag} \times \epsilon_{sig} / \epsilon_{tag, sig}}$   
only need the yields (N) and the efficiencies( $\epsilon$ ) of ST and DT

## The advantage of data at threshold

- ✓  $D_{(s)}\bar{D}_{(s)}/\Lambda_c^+\bar{\Lambda}_c^-$  pairs produced at threshold, no additional hadrons
- ✓ Effectively suppress the background with the DT technique
- ✓ Enable the measurement of absolute BF, without knowing the number of  $D\bar{D}$  pairs
- ✓ Most systematic uncertainty in tag side are cancelled out.

# Absolute hadronic BFs of $\Lambda_c^+$ baryon

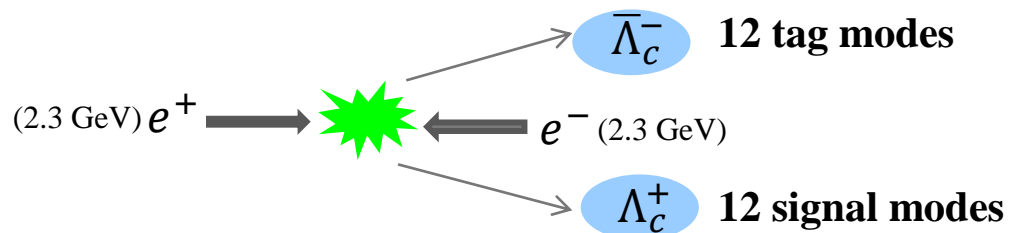
## □ ST events



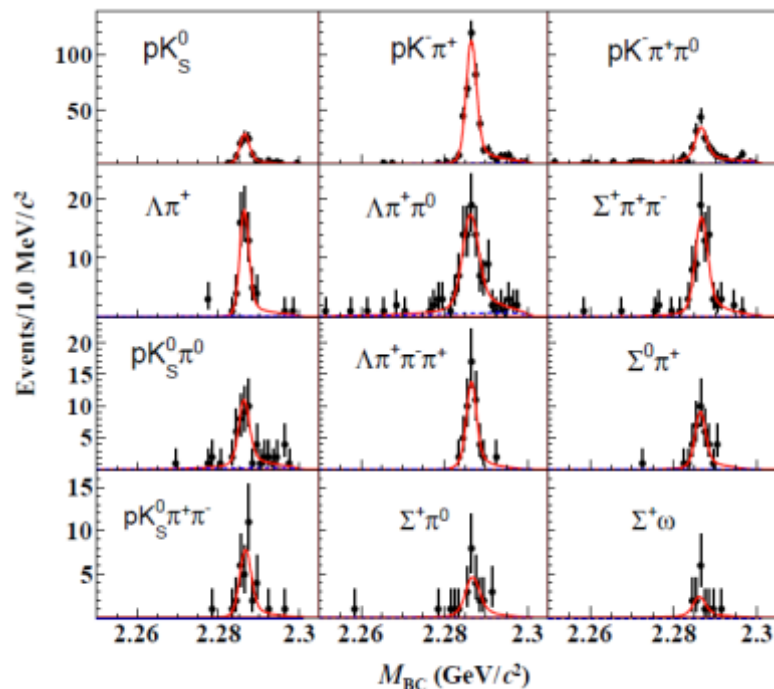
ST yield of  $\bar{\Lambda}_c^- \rightarrow \alpha$   $N_{ST}^\alpha = N_{\Lambda_c^+ \bar{\Lambda}_c^-} \cdot BF_\alpha \cdot \epsilon_{ST}^\alpha$

## □ Extraction of the 12 BFs

- ✓ BFs are constraint to common variable  $N_{\Lambda_c^+ \bar{\Lambda}_c^-}$
- ✓ A global least-square fitter is used to improve the measurement precision [Chinese Phys. C37(2013)106201]
  - $\chi^2 \equiv (\mathbf{c} - \tilde{\mathbf{c}})^T \mathbf{V}_c^{-1} (\mathbf{c} - \tilde{\mathbf{c}})$
  - $\mathbf{c} = \mathbf{n}/\mathbf{E}$ , efficiency-corrected yields
    - ✓  $\mathbf{n}$  is the ST and DT yields matrix (24×1)
    - ✓  $\mathbf{E}$  is the ST and DT efficiencies matrix (24×24)



## □ DT events



Total DT yield of  $\Lambda_c^+ \rightarrow s$  over 12  $\bar{\Lambda}_c^- \rightarrow \alpha$

$$N_{DT}^s = N_{\Lambda_c^+ \bar{\Lambda}_c^-} \cdot BF_s \sum_{\alpha} BF_{\alpha} \cdot \epsilon_{DT}^{\alpha}$$

# Absolute hadronic BFs of $\Lambda_c^+$ baryon

- The first model independent measurement of the  $\Lambda_c^+$  BFs at the  $\bar{\Lambda}_c^+ \bar{\Lambda}_c^-$  production threshold, since the  $\Lambda_c^+$  discovery 30 years ago

**PRL116, 052001 (2016)**

Mode	This work (%)	PDG (%)
$pK_S^0$	$1.52 \pm 0.08 \pm 0.03$	$1.15 \pm 0.30$
$pK^- \pi^+$	$5.84 \pm 0.27 \pm 0.23$	$5.0 \pm 1.3$
$pK_S^0 \pi^0$	$1.87 \pm 0.13 \pm 0.05$	$1.65 \pm 0.50$
$pK_S^0 \pi^+ \pi^-$	$1.53 \pm 0.11 \pm 0.09$	$1.30 \pm 0.35$
$pK^- \pi^+ \pi^0$	$4.53 \pm 0.23 \pm 0.30$	$3.4 \pm 1.0$
$\Lambda \pi^+$	$1.24 \pm 0.07 \pm 0.03$	$1.07 \pm 0.28$
$\Lambda \pi^+ \pi^0$	$7.01 \pm 0.37 \pm 0.19$	$3.6 \pm 1.3$
$\Lambda \pi^+ \pi^- \pi^+$	$3.81 \pm 0.24 \pm 0.18$	$2.6 \pm 0.7$
$\Sigma^0 \pi^+$	$1.27 \pm 0.08 \pm 0.03$	$1.05 \pm 0.28$
$\Sigma^+ \pi^0$	$1.18 \pm 0.10 \pm 0.03$	$1.00 \pm 0.34$
$\Sigma^+ \pi^+ \pi^-$	$4.25 \pm 0.24 \pm 0.20$	$3.6 \pm 1.0$
$\Sigma^+ \omega$	$1.56 \pm 0.20 \pm 0.07$	$2.7 \pm 1.0$

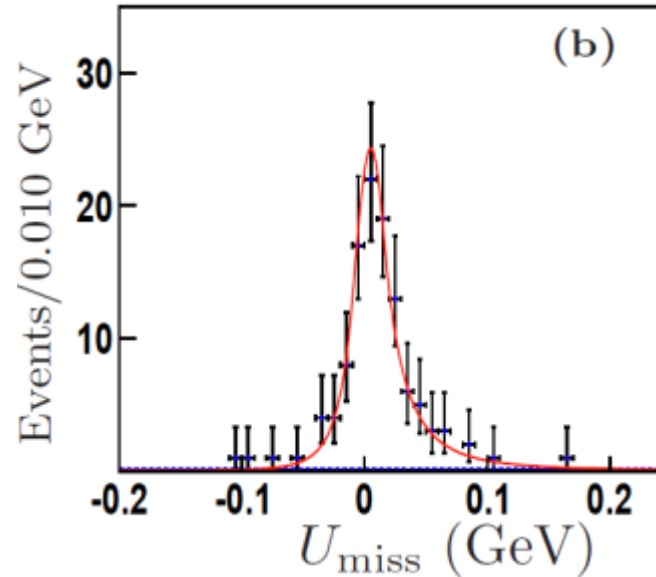
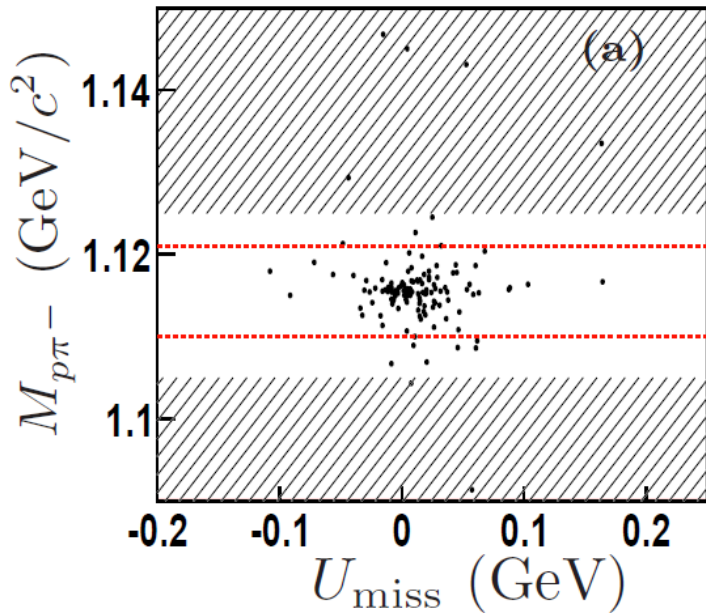
These 12 modes served the measurement of  $\Lambda_c^+$  leptonic decays, as the tag modes

- ✓ The precisions are improved by factors of 3~6



# Absolute BF of $\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e$

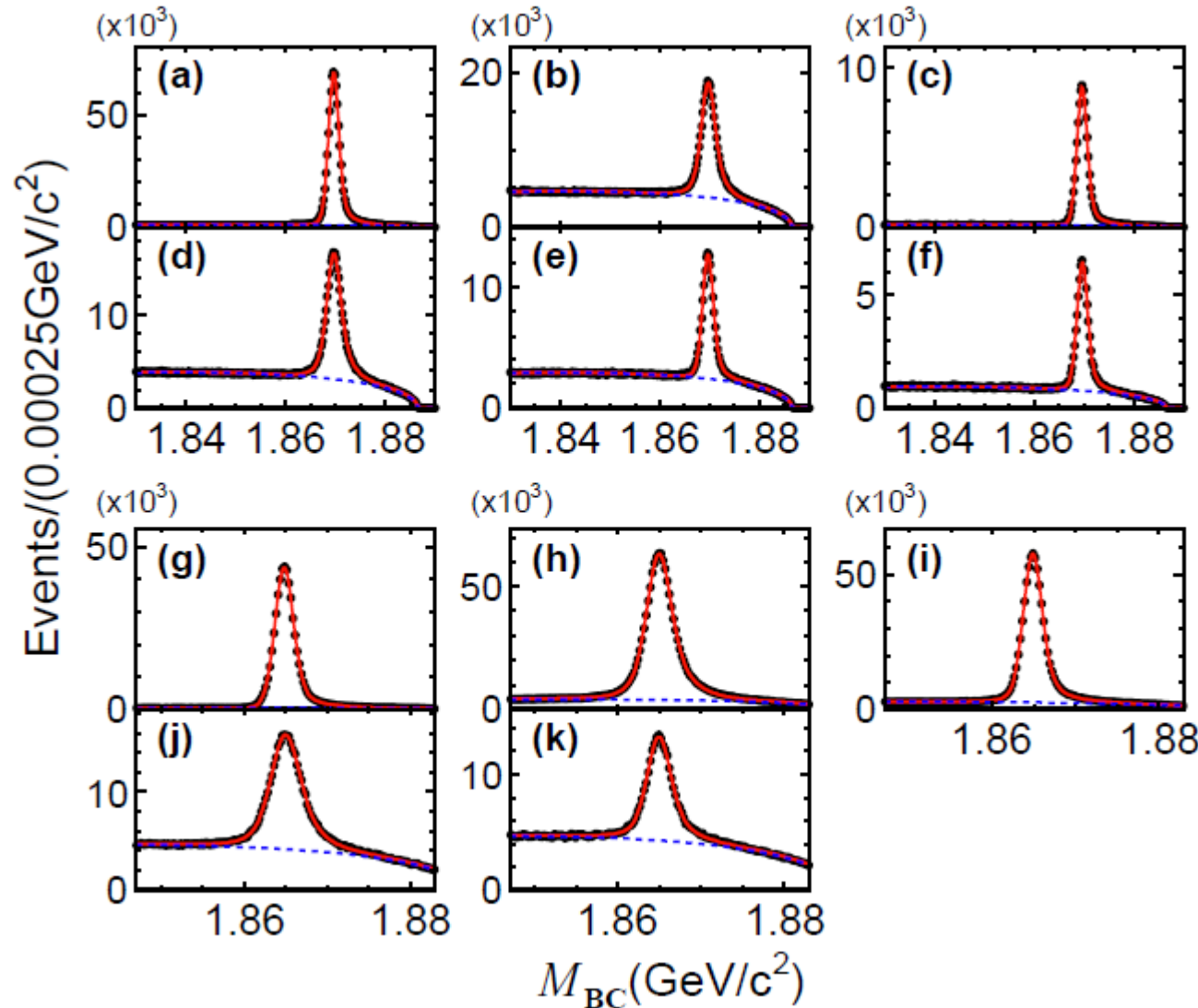
PRL115, 221805 (2015)



$$\text{BF}(\Lambda_c^+ \rightarrow \Lambda e^+ \nu_e) = (3.63 \pm 0.38 \pm 0.20)\%$$

- ◆ The first absolute measurement
- ◆ Improvement of the precision of the PDG value ( $2.9 \pm 0.5\%$ )
- ◆ Important for the testing and calibration of the LQCD calculations

# Observation of $D^+ \rightarrow \omega\pi^+$ and Evidence for $D^0 \rightarrow \omega\pi^0$



Charged  $D$  tag modes:

- (a)  $K^+\pi^-\pi^-$
- (b)  $K^+\pi^-\pi^-\pi^0$
- (c)  $K_S^0\pi^-$
- (d)  $K_S^0\pi^-\pi^0$
- (e)  $K_S^0\pi^+\pi^-\pi^-$
- (f)  $K^+K^-\pi^-$

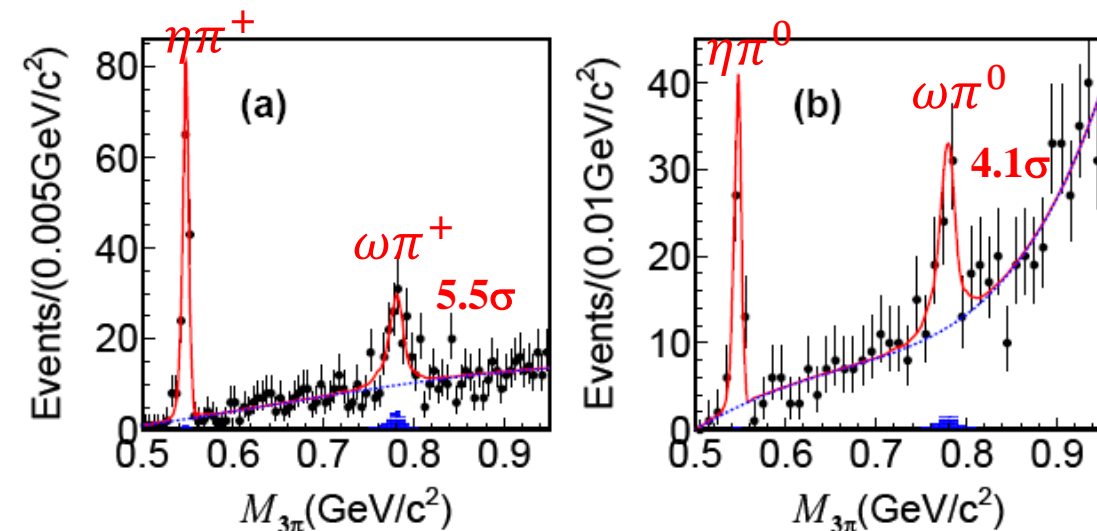
Neutral  $D$  tag modes:

- (g)  $K^+\pi^-$
- (h)  $K^+\pi^-\pi^0$
- (i)  $K^+\pi^-\pi^+\pi^-$
- (j)  $K^+\pi^-\pi^0\pi^0$
- (k)  $K^+\pi^-\pi^+\pi^-\pi^0$

# Observation of $D^+ \rightarrow \omega\pi^+$ and Evidence for $D^0 \rightarrow \omega\pi^0$

## Suppress background via DT method

PRL116, 082001 (2016)



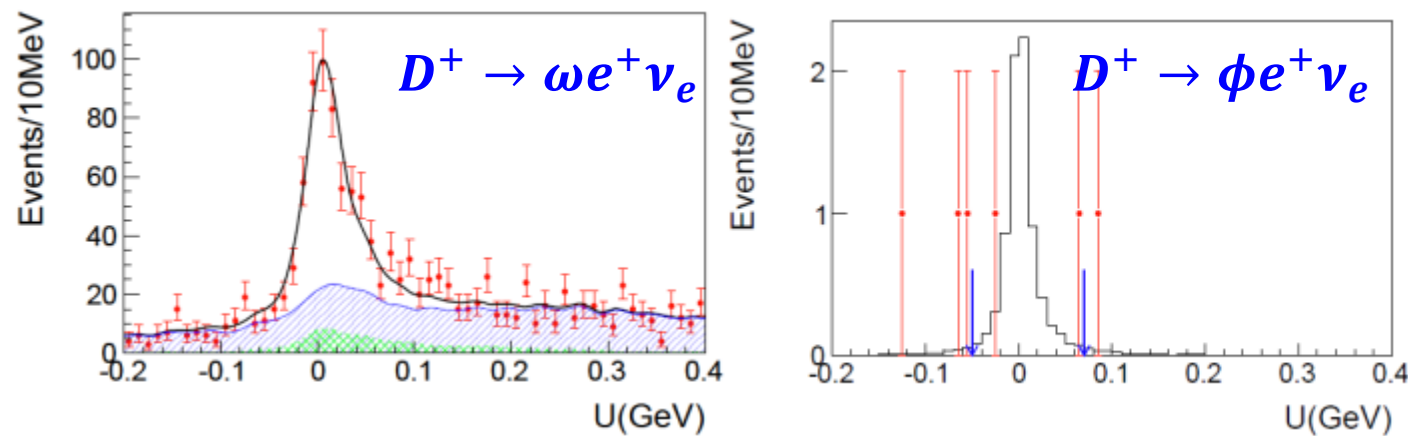
- Predictions of  $\text{BF}(D \rightarrow \omega\pi) \sim 1.0 \times 10^{-4}$
- Studied by CLEO-c with ST method  $\rightarrow$  upper limit

Mode	This work	Previous measurements
$D^+ \rightarrow \omega\pi^+$	$(2.79 \pm 0.57 \pm 0.16) \times 10^{-4}$	$< 3.4 \times 10^{-4}$ at 90% C.L.
$D^0 \rightarrow \omega\pi^0$	$(1.17 \pm 0.34 \pm 0.07) \times 10^{-4}$	$< 2.6 \times 10^{-4}$ at 90% C.L.
$D^+ \rightarrow \eta\pi^+$	$(3.07 \pm 0.22 \pm 0.13) \times 10^{-3}$	$(3.53 \pm 0.21) \times 10^{-3}$
$D^0 \rightarrow \eta\pi^0$	$(0.65 \pm 0.09 \pm 0.04) \times 10^{-3}$	$(0.68 \pm 0.07) \times 10^{-3}$

- ✓ Improved understanding of U-spin and SU(3)-flavor symmetry breaking effects in  $D$  decays

# Study of $D^+ \rightarrow \omega e^+ \nu_e$ and Search for $D^+ \rightarrow \phi e^+ \nu_e$

PRD92, 071101R(2015)



Dots: data

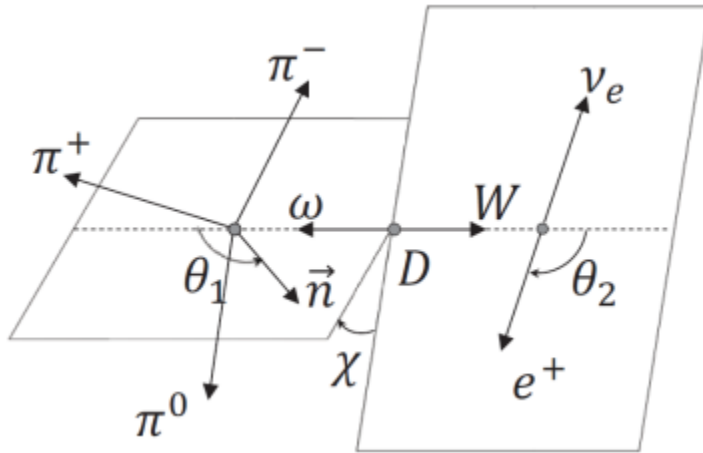
Arrows: signal region

Mode	This work	Previous
$\omega e^+ \nu_e$	$(1.63 \pm 0.11 \pm 0.08) \times 10^{-3}$	$(1.82 \pm 0.18 \pm 0.07) \times 10^{-3}$
$\phi e^+ \nu_e$	$< 1.3 \times 10^{-5}$ (90%C.L.)	$< 9.0 \times 10^{-5}$ (90%C.L.)

- No significant excess of  $D^+ \rightarrow \phi e^+ \nu_e$  is observed
- More precise BFs

# Form factors measurement of $D^+ \rightarrow \omega e^+ \nu_e$

PRD92, 071101R(2015)



$$\frac{d\Gamma}{dq^2 d\cos\theta_1 d\cos\theta_2 d\chi dm_{\pi\pi\pi}} = \mathcal{F}(V(q^2), A_{1,2}(q^2) \dots)$$

$$V(q^2) = \frac{V(0)}{1 - q^2/m_V^2}$$

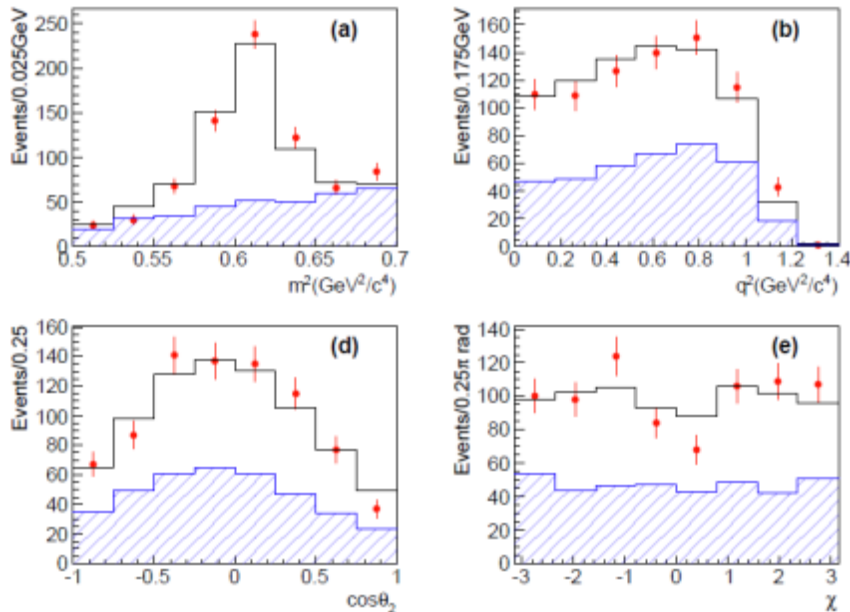
$$A_{1,2}(q^2) = \frac{A_{1,2}(0)}{1 - q^2/m_A^2}$$

A five-dimensional maximum likelihood fit is performed in the space of  $m_{\pi\pi\pi}^2$ ,  $q_{e\nu_e}^2$ ,  $\cos\theta_1$ ,  $\cos\theta_2$  and  $\chi$

$\theta_1(\omega)$ : the angle between the  $\omega$  decay plane normal in the  $\pi\pi\pi$  rest frame and the flight direction of the  $\omega$  in the  $D$  rest frame

$\theta_2(e^+)$ : the angle between the  $e^+$  direction in the  $e\nu_e$  rest frame and the flight direction of the  $(e\nu_e)$  in the  $D$  rest frame

■ Amplitude analysis of  $D^+ \rightarrow \omega e^+ \nu_e$  is performed for the first time



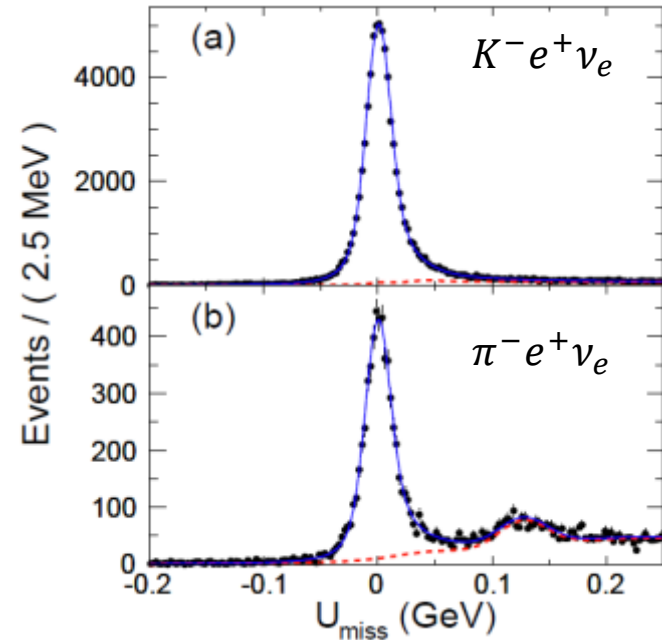
The ratios of the vector and axial form factors

$$r_V = V(0)/A_1(0) = 1.24 \pm 0.09 \pm 0.06$$

$$r_2 = A_2(0)/A_1(0) = 1.06 \pm 0.15 \pm 0.05$$

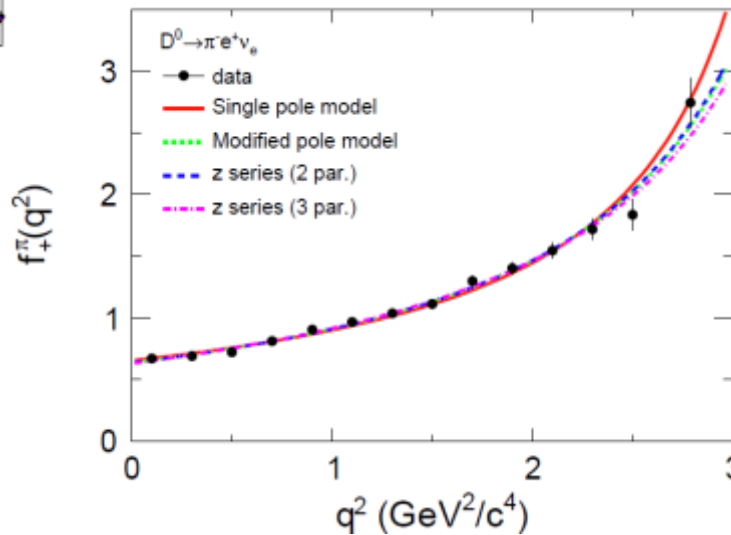
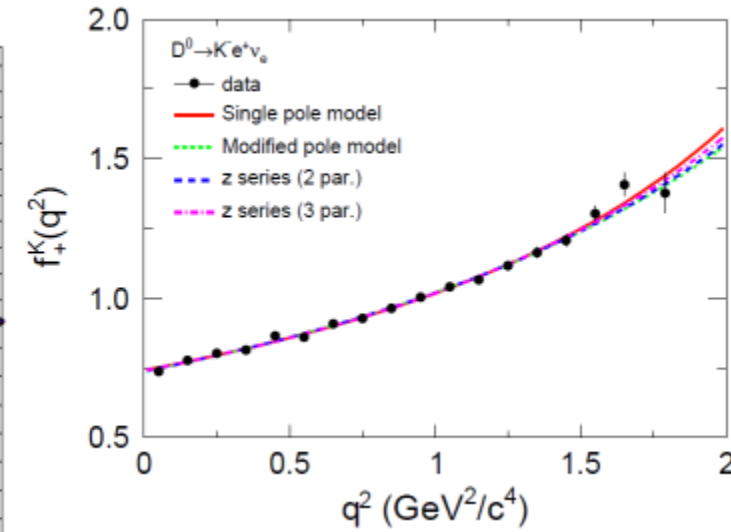
# Study of dynamics of $D^0 \rightarrow (K^-/\pi^-)e^+\nu_e$

PRD92,072012(2015)



$$\text{BF}(D^0 \rightarrow K^- e^+ \nu_e) = (3.505 \pm 0.014 \pm 0.033)\%$$

$$\text{BF}(D^0 \rightarrow \pi^- e^+ \nu_e) = (0.295 \pm 0.004 \pm 0.003)\%$$



$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cs(d)}|^2 |\vec{p}_{K^-}(\pi^-)|^3 |f_+^{K(\pi)}(q^2)|^2$$

$$f_+(q^2)$$

Simple pole model:

$$= \frac{f_+(0)}{1 - \frac{q^2}{M_{\text{pole}}^2}}$$

Modified pole model:

$$= \frac{f_+(0)}{\left(1 - \frac{q^2}{M_{\text{pole}}^2}\right) \left(1 - \alpha \frac{q^2}{M_{\text{pole}}^2}\right)}$$

Series expansion:

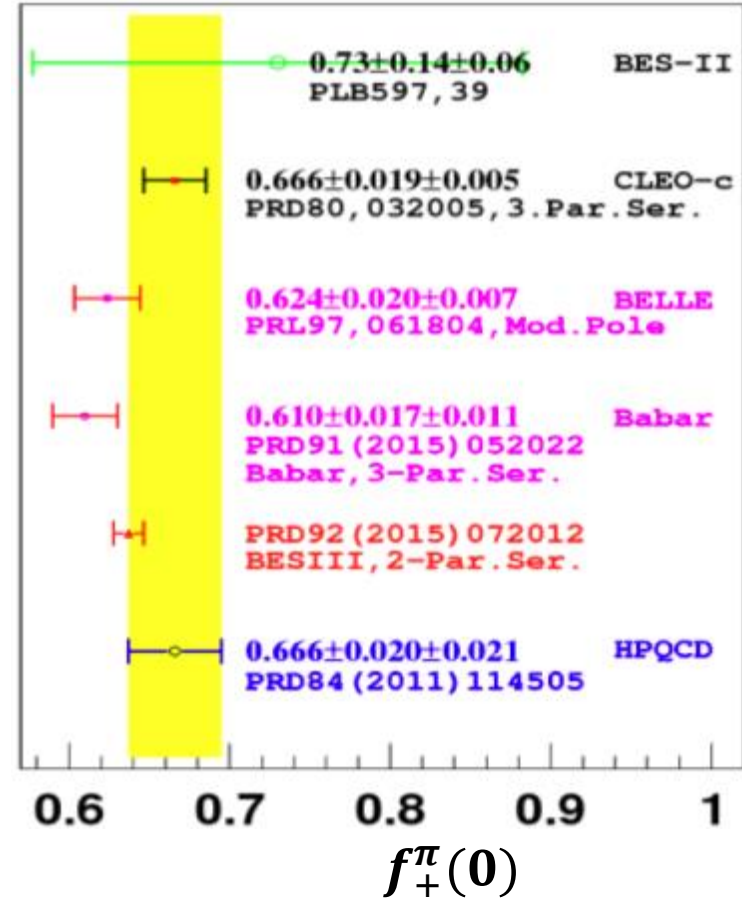
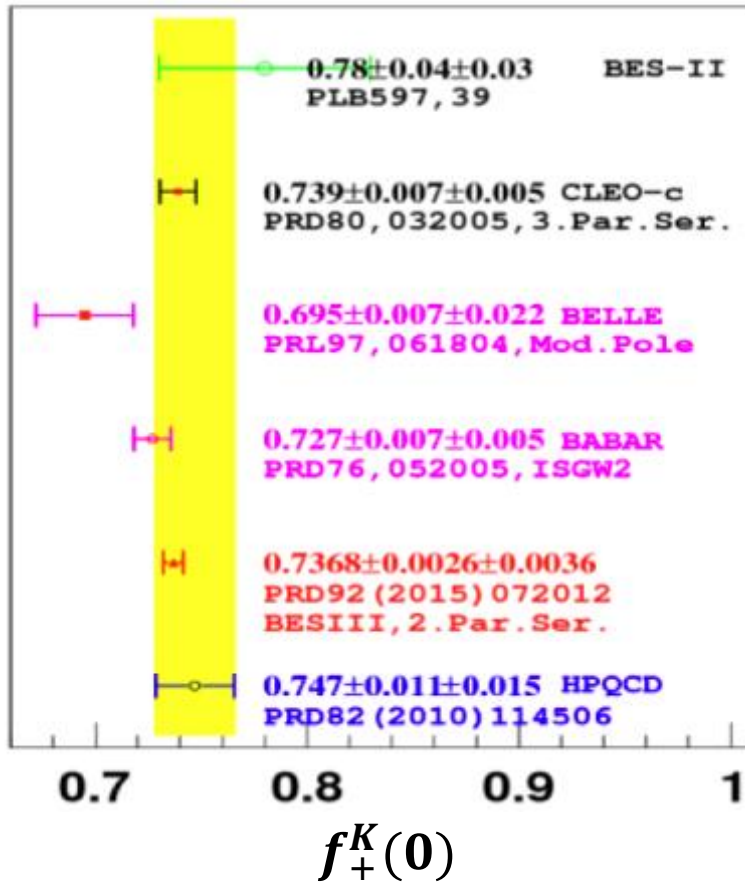
$$= \frac{1}{P(t)\Phi(t, t_0)} a_0(t_0) \times (1 + r_1(t_0)[z(t, t_0)])$$

# Study of dynamics of $D^0 \rightarrow (K^-/\pi^-)e^+\nu_e$

PRD92,072012(2015)

$$|V_{cs(d)}| f_+^{D^0 \rightarrow (K^-/\pi^-)e^+\nu_e}(0) \rightarrow f_+^{D^0 \rightarrow (K^-/\pi^-)e^+\nu_e}(0)$$

Input  $V_{cs(d)}$  of CKM Fitter



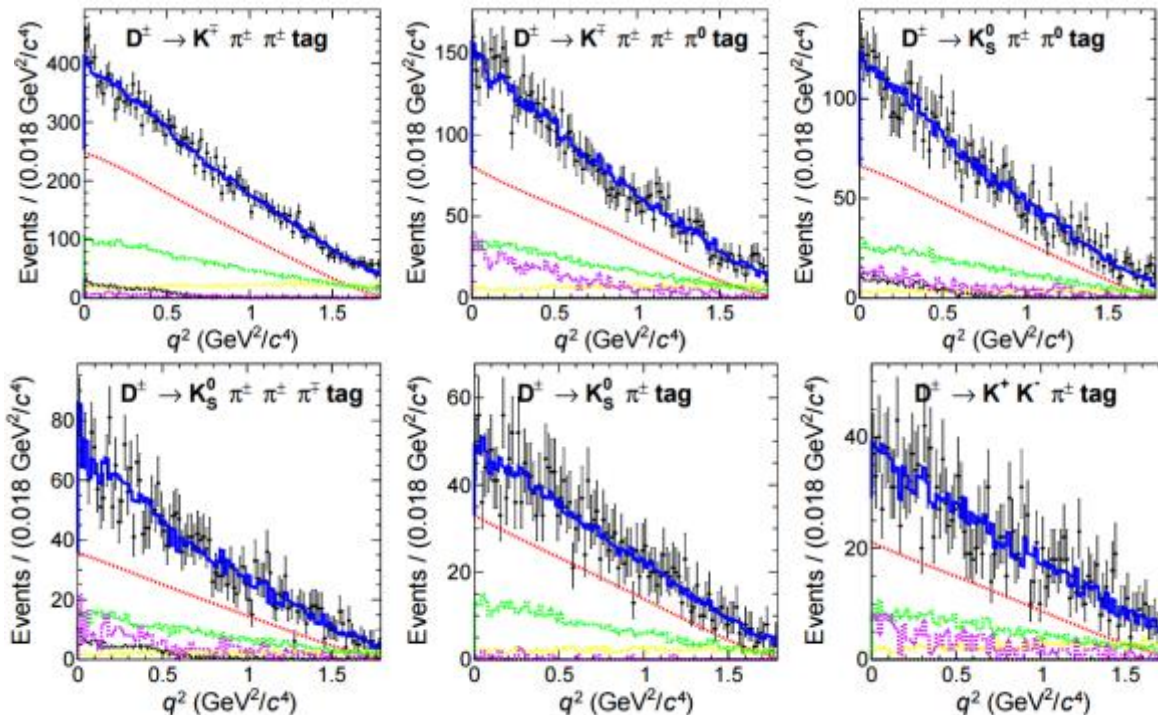
The most precise form factor measurement to calibrate the LQCD

# Decay dynamics and CP asymmetry in $D^+ \rightarrow K_L^0 e^+ \nu_e$

- Regardless of long flight distance,  $K_L^0$  interact with EMC and deposit part of energy, thus giving position information
- After reconstructing all other particles,  $K_L^0$  can be inferred with position information and constraint  $U_{\text{miss}} \rightarrow 0$

PRD92, 112008(2015)

## ■ Simultaneous fit to the yields of DT candidates



$$\text{BF}(D^+ \rightarrow K_L e^+ \nu_e) = (4.454 \pm 0.038 \pm 0.102)\%$$

$$\text{BF}(D^- \rightarrow K_L e^- \bar{\nu}_e) = (4.507 \pm 0.038 \pm 0.104)\%$$

$$|V_{cs}| = 0.975 \pm 0.008 \pm 0.015 \pm 0.025 \text{ (with LQCD input } f_+^K(0)\text{), consistent with } 0.986 \pm 0.016 \text{ in PDG}$$

✓ The first measurement of  $\text{BF}(D^+ \rightarrow K_L e^+ \nu_e)$

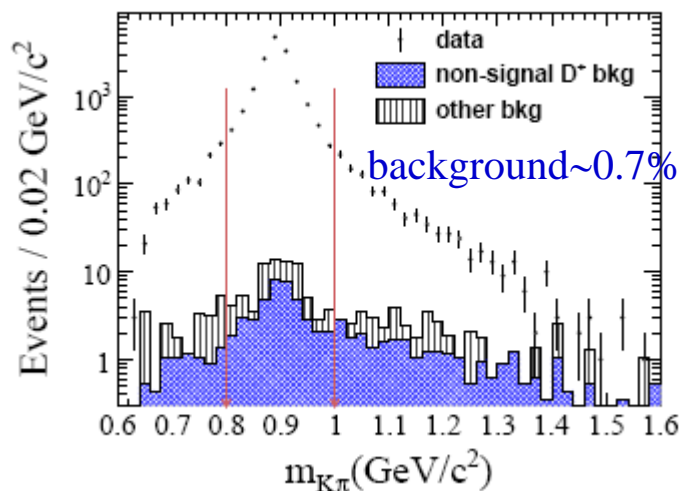
$$A_{CP} \equiv \frac{\text{BF}(D^+ \rightarrow K_L e^+ \nu_e) - \text{BF}(D^- \rightarrow K_L e^- \bar{\nu}_e)}{\text{BF}(D^+ \rightarrow K_L e^+ \nu_e) + \text{BF}(D^- \rightarrow K_L e^- \bar{\nu}_e)} = (-0.59 \pm 0.60 \pm 1.48)\%$$



# Study of $D^+ \rightarrow K^- \pi^+ e^+ \nu_e$

arXiv:1512.08627

## □ $M_{K\pi}$



$$BF(D^+ \rightarrow K^- \pi^+ e^+ \nu_e) = (3.71 \pm 0.03 \pm 0.08)\%$$

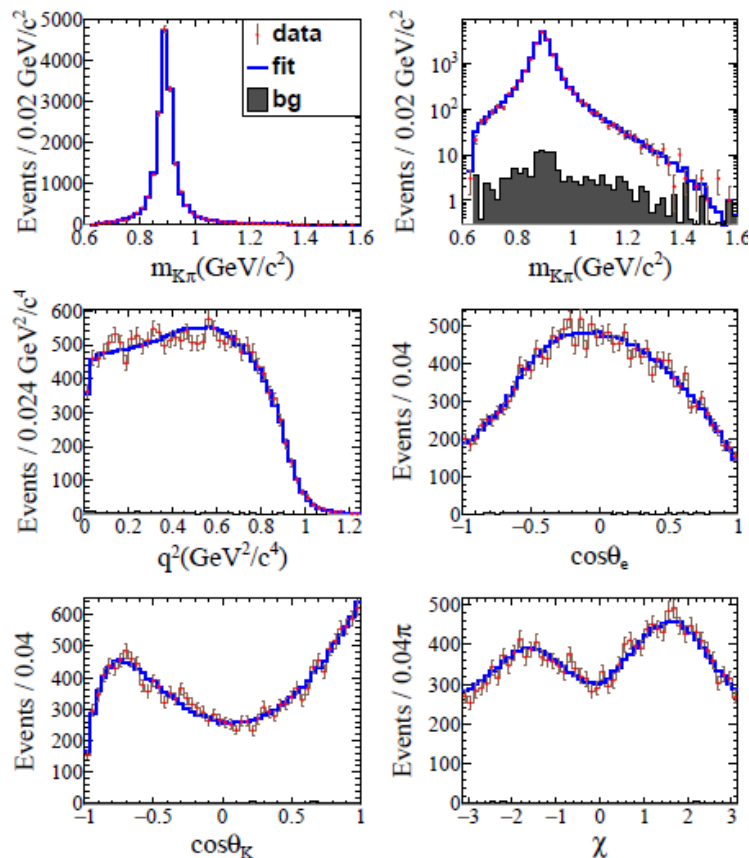
$$BF(D^+ \rightarrow K^- \pi^+ e^+ \nu_e)_{[0.8, 1]} = (3.33 \pm 0.03 \pm 0.07)\%$$

## □ Fractions of the component

$$f(D^+ \rightarrow (K^- \pi^+)_{K^{*0}(892)} e^+ \nu_e) = (93.93 \pm 0.22 \pm 0.18)\%$$

$$f(D^+ \rightarrow (K^- \pi^+)_{S\text{-wave}} e^+ \nu_e) = (6.05 \pm 0.22 \pm 0.18)\%$$

## □ Uniquely described by 5 kinematic variables



## □ Parameters of $K^{*0}(892)$

$$m_{K^{*0}(892)} = (894.60 \pm 0.25 \pm 0.08) \text{ MeV}/c^2$$

$$\Gamma_{K^{*0}(892)} = (46.42 \pm 0.56 \pm 0.15) \text{ MeV}/c^2$$

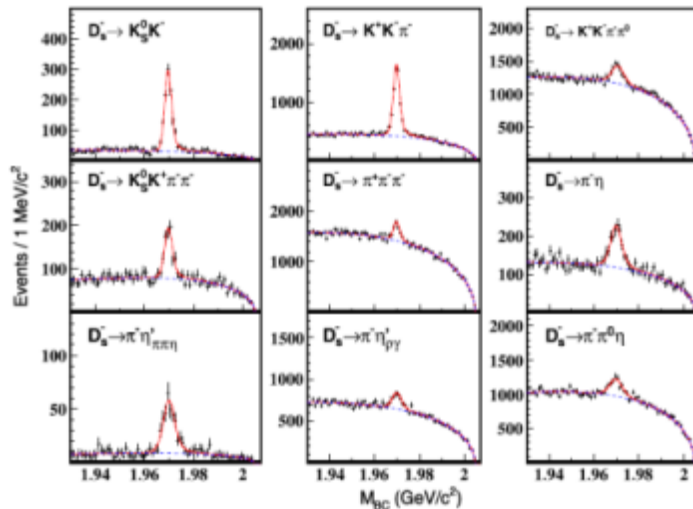
# Study of $D_s^+ \rightarrow \eta' X$ and $\eta' \rho^+$

PLB750 466(2015)

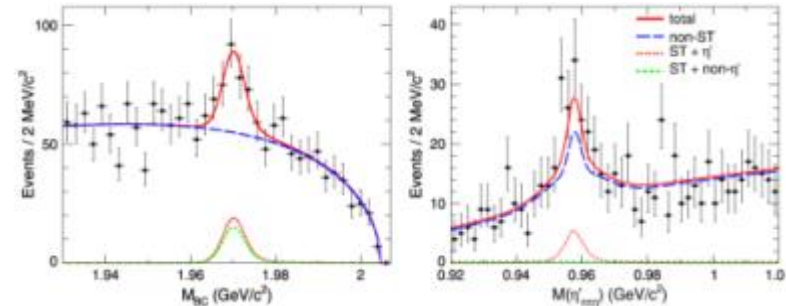
## ■ BFs “puzzle” in PDG 2012

- All exclusive rates involving  $\eta'$  :  $\text{BF}(D_s^+ \rightarrow \eta' K^+, \eta' \pi^+, \eta' \rho^+, \eta' l^+ \nu_l) = (18.6 \pm 2.3)\%$  (PDG2012) while the inclusive measurement:  $\text{BF}(D_s^+ \rightarrow \eta' X) = (11.7 \pm 1.8)\%$
- In the exclusive measurements,  $\text{BF}(D_s^+ \rightarrow \eta' \rho^+) = (12.5 \pm 2.2)\%$  (CLEO @  $Y(4S)$ , 1998)  
Theoretical prediction is  $(3.0 \pm 0.5)\%$

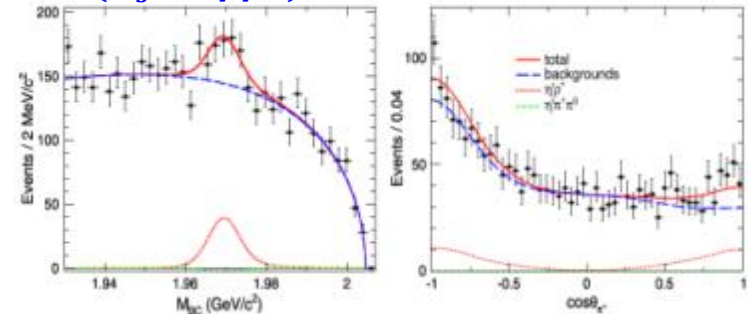
About 15.6k ST  $D_s^-$  events by using 9 ST modes



$N(D_s^+ \rightarrow \eta' X) = 68 \pm 14$



$N(D_s^+ \rightarrow \eta' \rho^+) = 210 \pm 50$



- $\text{BF}(D_s^+ \rightarrow \eta' X) = (8.8 \pm 1.8 \pm 0.5)\%$ , consistent with PDG  $= (11.7 \pm 1.8)\%$  within  $\sim 1\sigma$
- $\text{BF}(D_s^+ \rightarrow \eta' \rho^+) = (5.8 \pm 1.4 \pm 0.4)\%$ , consistent with CLEO-c updated result  $(5.6 \pm 0.8)\%$

- ◆  $\text{BF}(D_s^+ \rightarrow \eta' K^+, \eta' \pi^+, \eta' \rho^+, \eta' l^+ \nu_l) = (11.9 \pm 1.6)\%$   
compatible with  $\text{BF}(D_s^+ \rightarrow \eta' X) = (10.3 \pm 1.3)\%$  (combined result of this measurement and PDG value)

# Other results

$D^+ \rightarrow \mu^+ \nu_\mu$  (Phys. Rev. D **89**, 051104(R) (2014))

$D^+ \rightarrow K_S^0 \pi^+ \pi^0$  (Phys. Rev. D **89**, 052001 (2014))

$D^0 \rightarrow \gamma\gamma$  and  $D^0 \rightarrow \pi^0 \pi^0$  (Phys. Rev. D **91**, 112015 (2015))

Strong phase difference in  $D^0 \rightarrow K^- \pi^+$  (Phys. Lett. B **734**, 227(2014))

$y_{\text{cp}}$  in  $D^0 - \bar{D}^0$  oscillation (Phys. Lett. B **744**, 339 (2015))

BF of  $D^{*0}$  decay (Phys. Rev. D **91**, 031101(R) (2015))

Observation of  $\Lambda_c^+ \rightarrow n K_S^0 \pi^+$  BESIII preliminary

$D^+ \rightarrow \bar{K}^0 e^+ \nu_e$  and  $\pi^0 e^+ \nu_e$  BESIII preliminary

# Summary

- **With  $D\bar{D}/D_s^+D_s^-/\Lambda_c^+\bar{\Lambda}_c^-$  produced at mass threshold, BESIII released many new results**
  - Form factors measurement in (semi)leptonic decays of charmed hadrons provide important test to **LQCD calculations** and **CKM matrix unitarity**
  - Hadronic charmed hadrons decays improve the understanding of **non-perturbative QCD**
  - The first absolute BFs measurement of the  $\Lambda_c^+$  hadronic decays
- **BESIII is taking data at 4.18GeV to study physics related to  $D_s$**
- **Many charm analyses are ongoing!**

**Thanks!**