

Probing new physics with rare kaon decays at CERN SPS

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on behalf of the NA48/2 and NA62* collaborations

****Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati,
Glasgow, Liverpool, Louvain, Mainz, Merced, Moscow, Naples, Perugia, Pisa, Prague,
Protvino, Rome I, Rome II, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin, Vancouver***

The CERN kaon factory

2014

NA62: $K^\pm \rightarrow \pi^\pm \nu \nu$

LHC

2008

NA62 RK

2007

NA48/2 setup

Kaon @ SPS

SPS

2004

NA48/2 CP violation in K^\pm

2003

low energy QCD, semileptonic

2002

NA48/1 K_s rare and hyperon

CERN North Area

2001

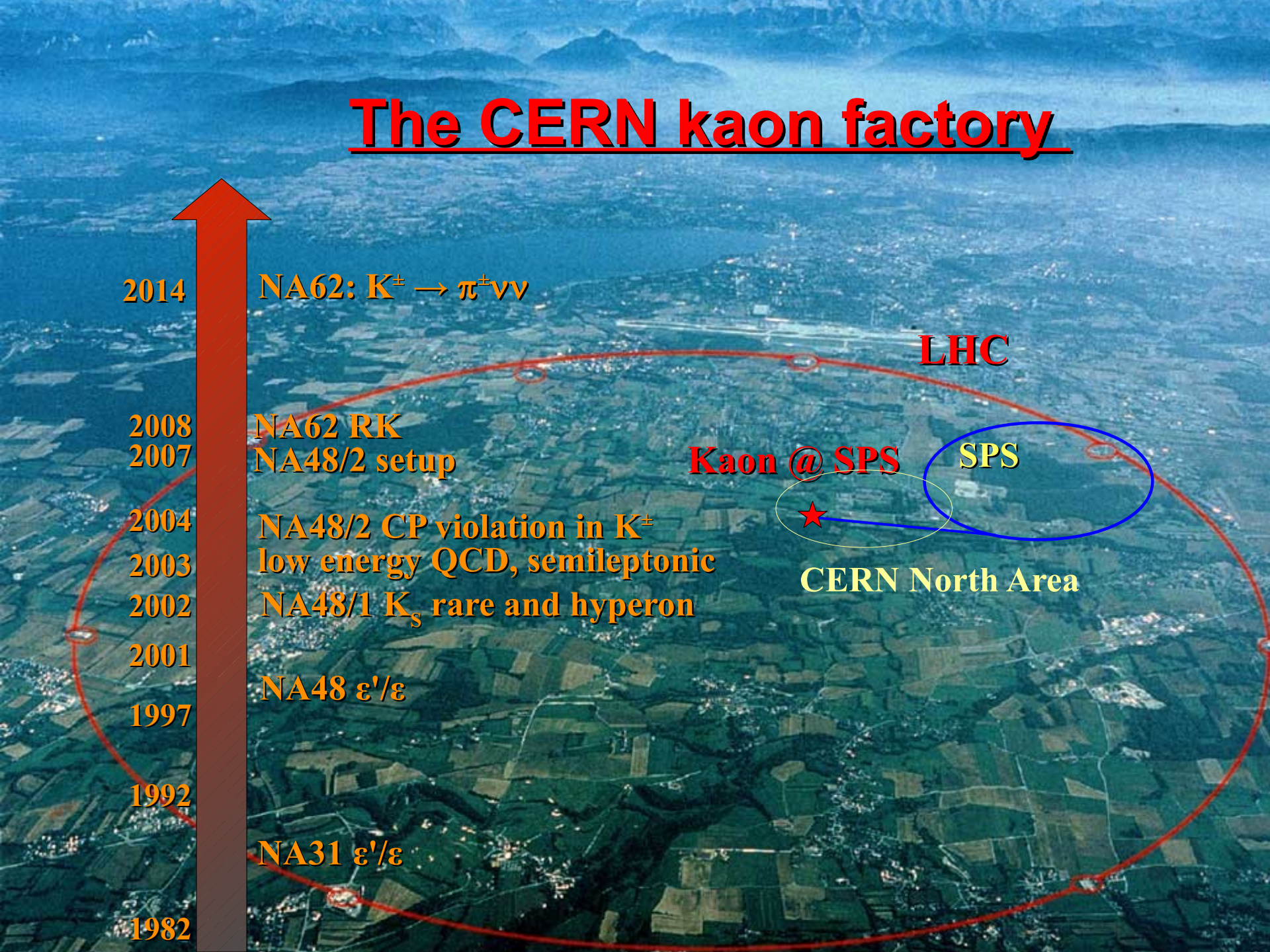
NA48 ϵ'/ϵ

1997

1992

NA31 ϵ'/ϵ

1982



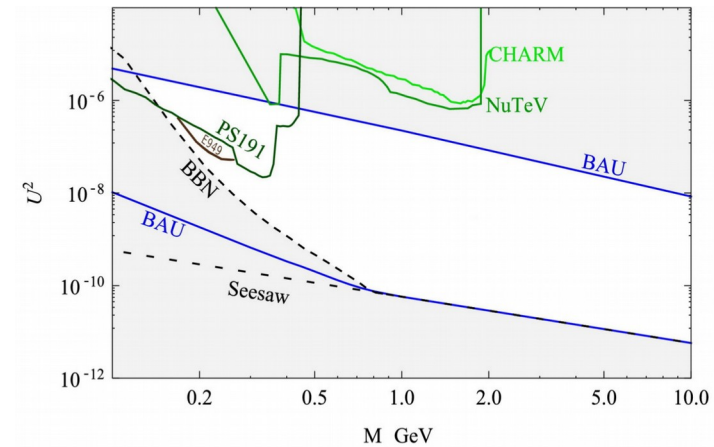
Overview

- Probing new physics with $K^\pm \rightarrow \pi^{\pm/\mp} \mu^\pm \mu^{\mp/\pm}$
 - LNV
 - Search for resonances in $M_{\mu\mu}$, $M_{\pi\mu}$ distributions
- Towards measuring $K^+ \rightarrow \pi^+ \nu \nu$
 - Motivation
 - Experimental setup
 - Current status
- Conclusions

$K^\pm \rightarrow \mu^\pm \pi \mu$ new physics case

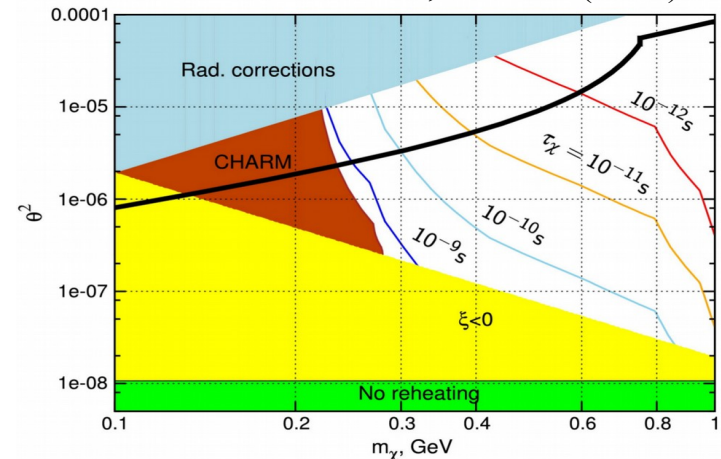
- Lepton number violation – $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$
- Majorana neutrinos
 - Asaka and Shaposhnikov, PLB 620 (2005) 17
 - Dark Matter + Baryon Asymmetry of the Universe (BAU) + low mass of SM ν
 - can be explained by adding three sterile Majorana neutrinos N_i to the SM
 - lightest $O(\text{keV}) \rightarrow$ Dark Matter candidate
 - Others: $O(100 \text{ MeV} - \text{GeV})$, seesaw for ν_s

Gorbunov and Timiryasov, PLB 745 (2015) 29



- Inflavons
 - Shaposhnikov and Tkachev, PLB 639 (2006) 414
 - νMSM + a real scalar field (inflaton χ) with scale-invariant couplings
 - Explains Universe homogeneity and isotropy on large scales + structures on smaller scales
 - χ -Higgs mixing with mixing angle θ
 - χ -Higgs coupling \rightarrow Universe reheating
 - $\tau(\chi) \sim (10^{-8} - 10^{-12}) \text{ s}$

Bezrukov and Gorbunov, PLB736 (2014) 494



NA48/2 detector setup

Magnetic spectrometer (DCH)

4 drift chambers

$$p_{\perp}^{\text{kick}} = 121 \text{ MeV}/c$$

$$\Delta p/p = 1\% \oplus 0.044 * p [\text{GeV}/c]$$

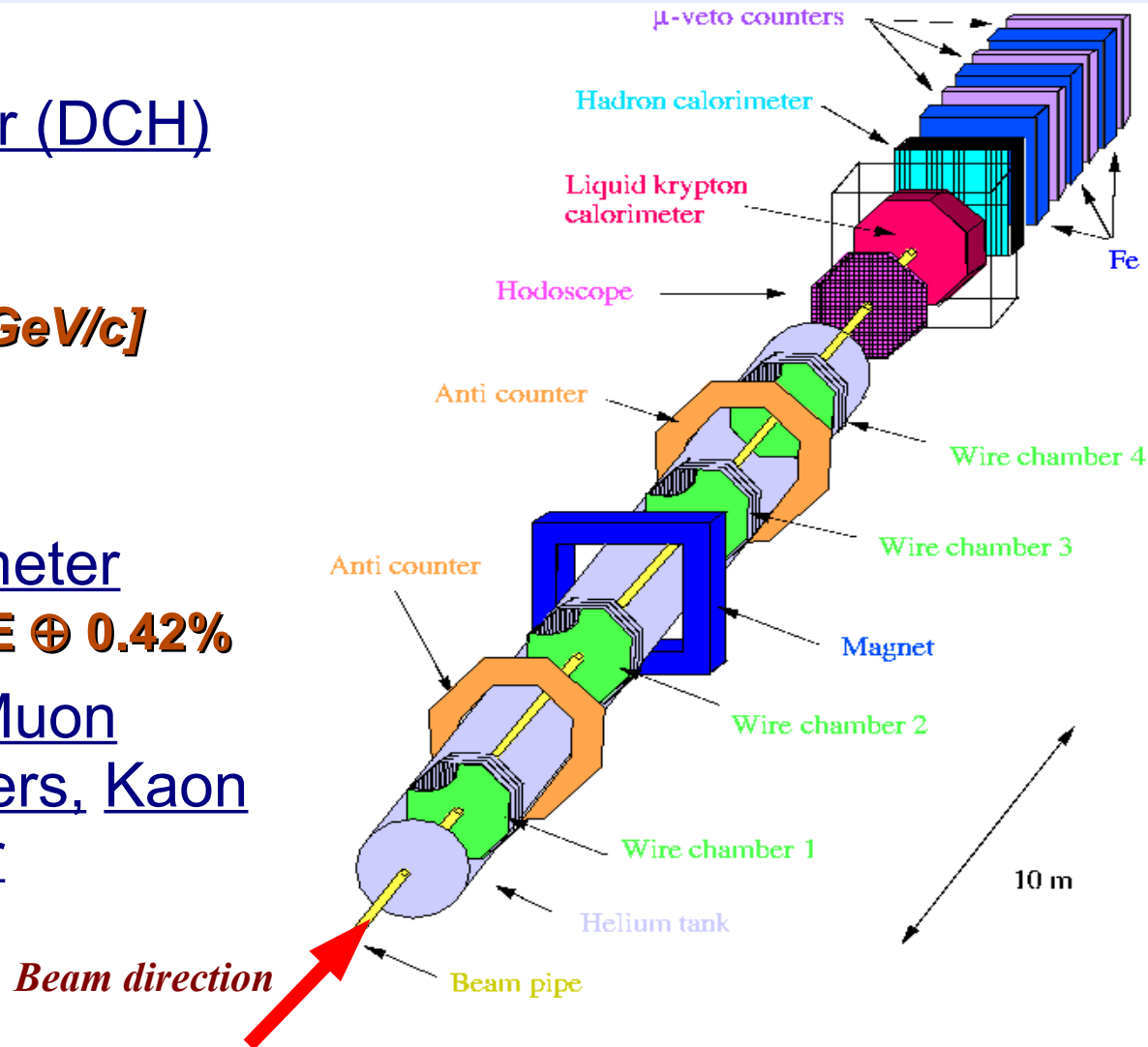
Hodoscope

$$\sigma(t) = 150 \text{ ps}$$

Liquid Krypton Calorimeter

$$\Delta E/E \approx 3.2\%/\sqrt{E} \oplus 9\%/E \oplus 0.42\%$$

Hadron Calorimeter, Muon counters, Anticounters, Kaon Beam Spectrometer



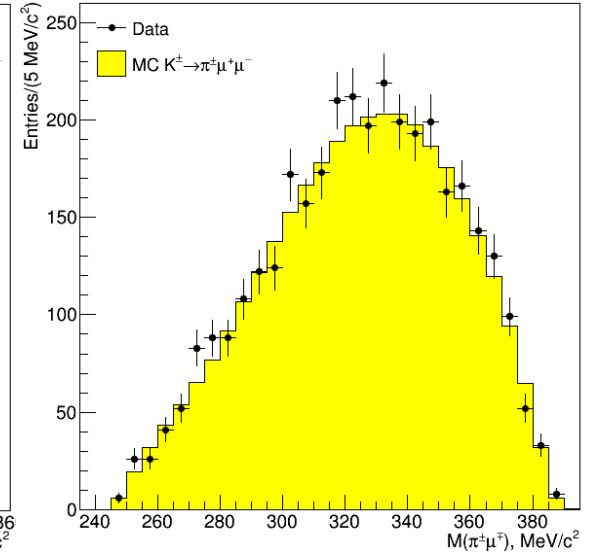
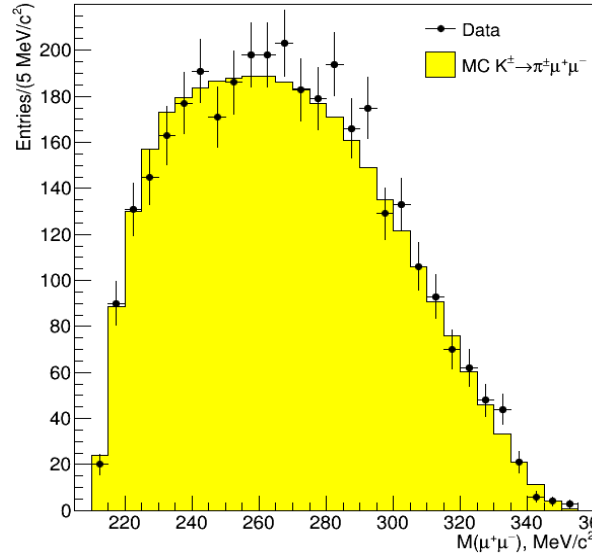
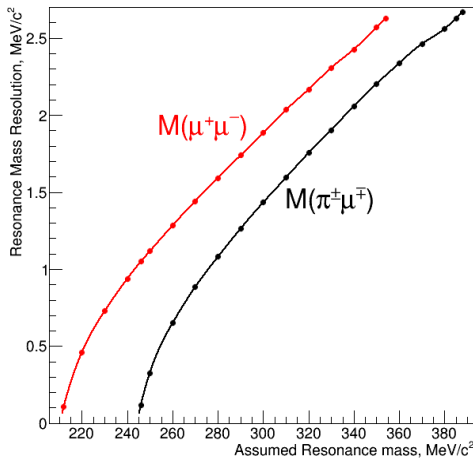
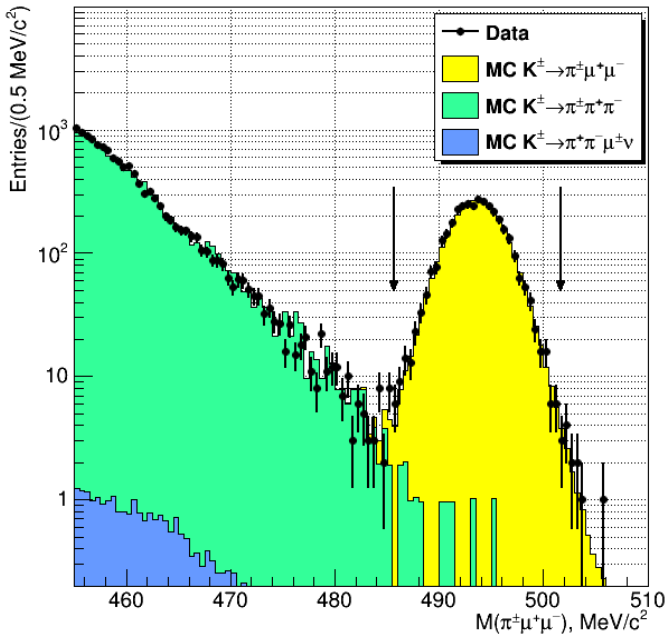
$\sim 2 \times 10^{11} \text{ K}^{\pm}$ decays in the fiducial volume

$K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$

- Event selection
 - One 3-track vertex
 - PID: $\mu^+ \mu^-$, 1 π
 - Total P_T consistent with zero
- Signal Region: $|M(\pi^\pm \mu^+ \mu^-) - M(K)| < 8 \text{ MeV}/c^2$

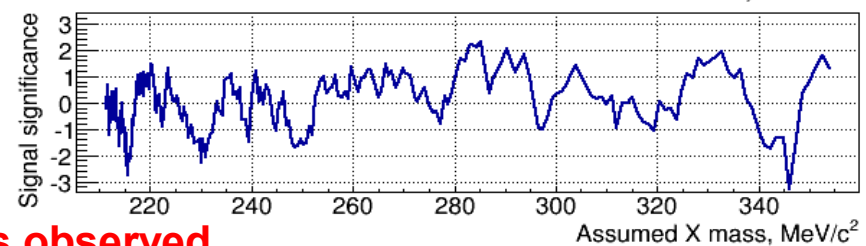
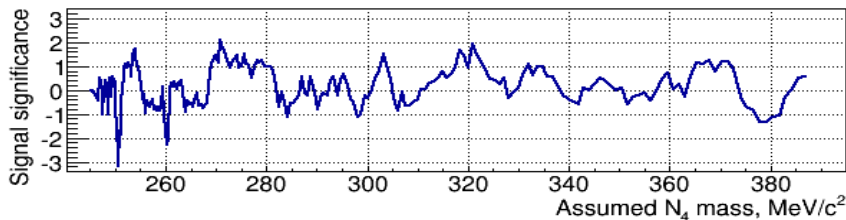
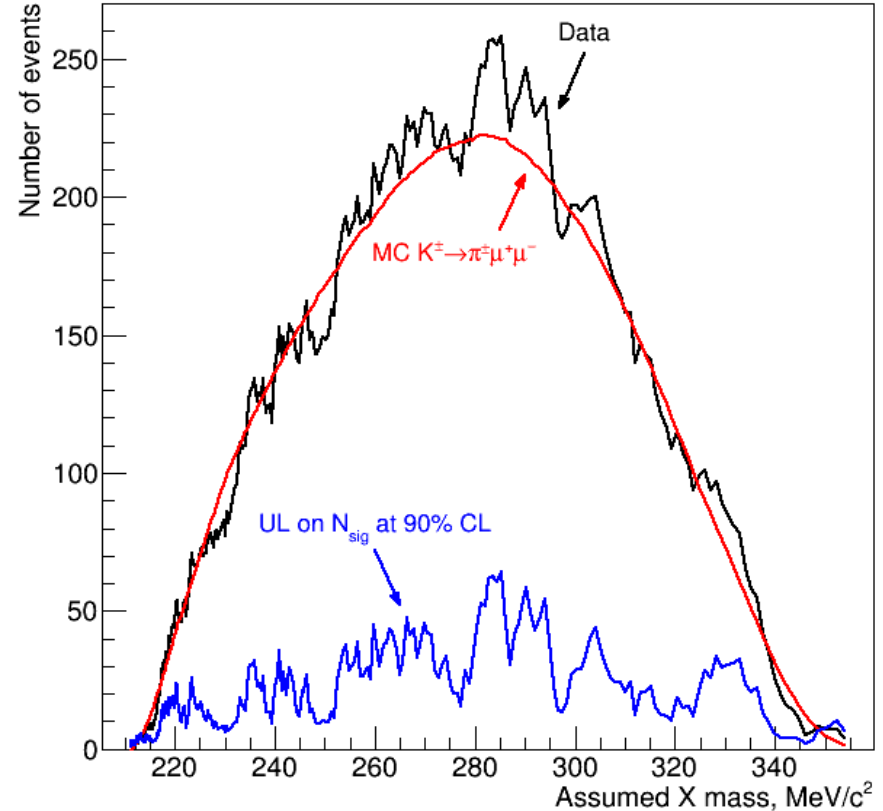
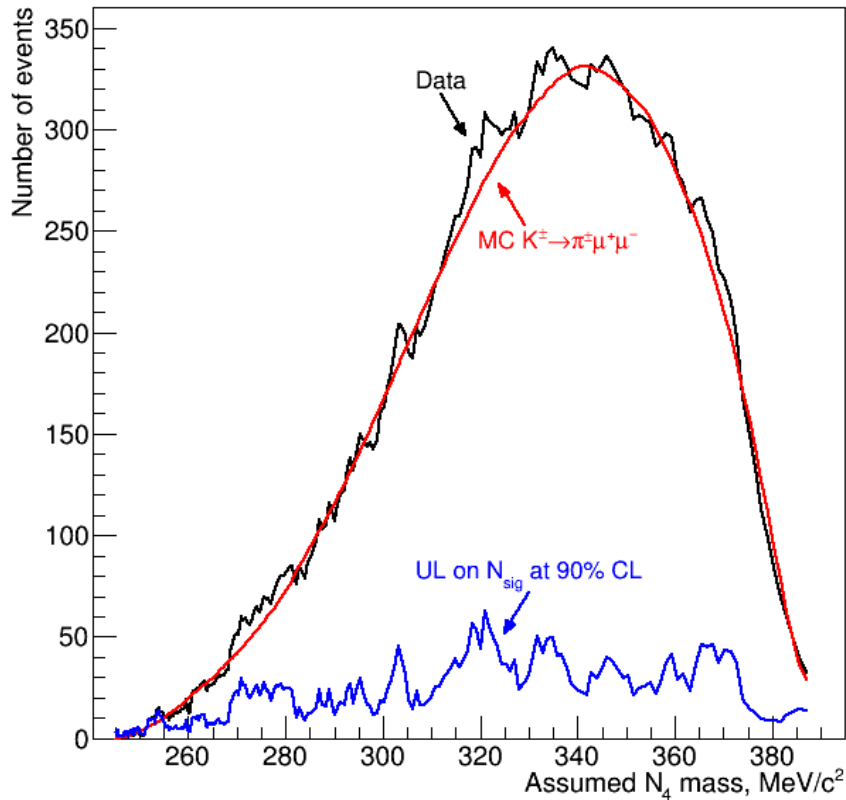
3489 $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$ candidates

Background: $(0.36 \pm 0.10)\%$, $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$



- Looking for excess of events in a region around M_Y
 $|M(\pi\mu) - M(Y)| < 2 \sigma_{M(\pi\mu)}$ or $|M(\mu\mu) - M(Y)| < 2 \sigma_{M(\mu\mu)}$

$K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$ mass scan

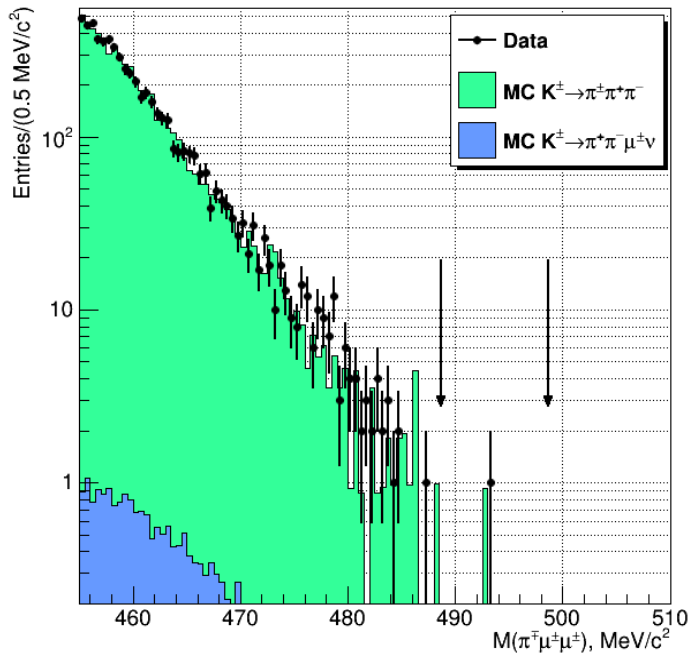


No excess observed

Statistical significance:
$$z = \frac{N_{obs} - N_{exp}}{\sigma(N_{obs}) \oplus \sigma(N_{exp})}$$

- Rolke-Lopez statistical treatment for UL on N_{sig} (M_Y)

$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$



- Blind analysis – selection definition
 - $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ MC simulation
 - $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ MC simulation
 - Control region $M(\pi\mu\mu) < 480$ MeV
- Background: additional $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ MC sample
- Event selection – similar with $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$
 - 2 same sign muons, 1 pion
 - Signal region: $|M(\pi^\mp \mu^\pm \mu^\pm) - M(K)| < 8$ MeV/c²

$$N_{obs} = 1$$

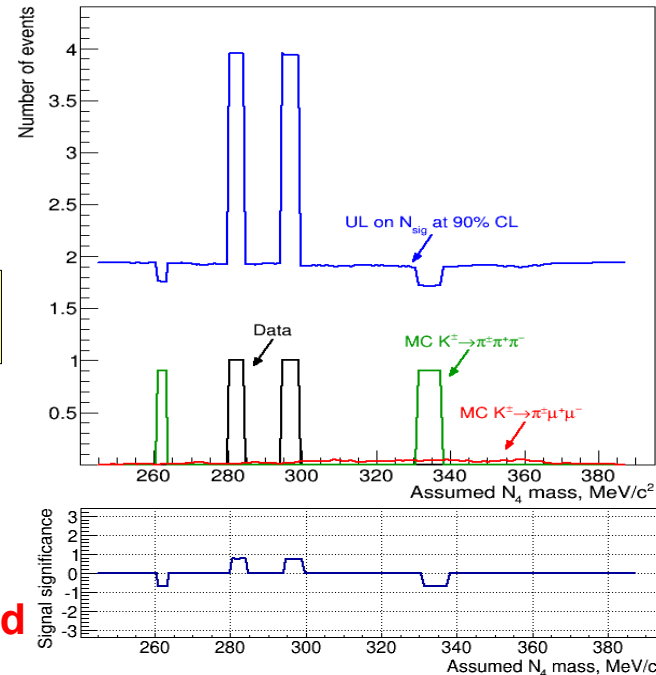
$$N_{exp} = 1.163 \pm 0.875 \text{ (MC)}$$

- Rolke-Lopez statistical treatment for UL on N_{sig}

$$BR(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 8.6 \times 10^{-11} \text{ @ 90 \% CL}$$

- Rolke-Lopez statistical treatment for UL on N_{sig} for each test mass
 - 2 possibilities to construct $M(\pi\mu)$, select the closest to the tested mass

No excess observed



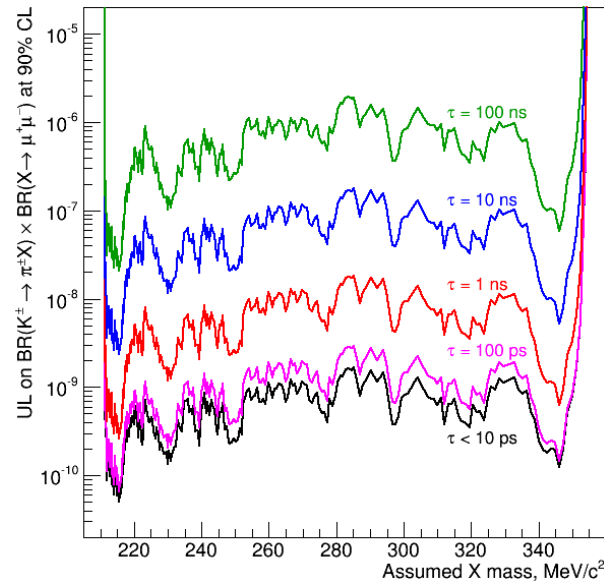
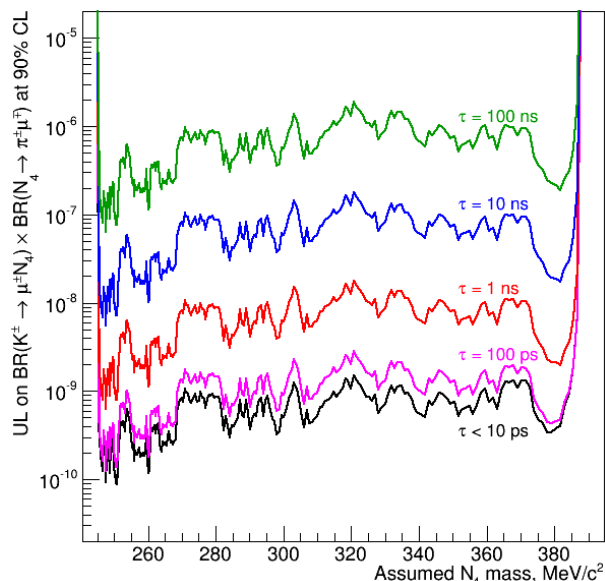
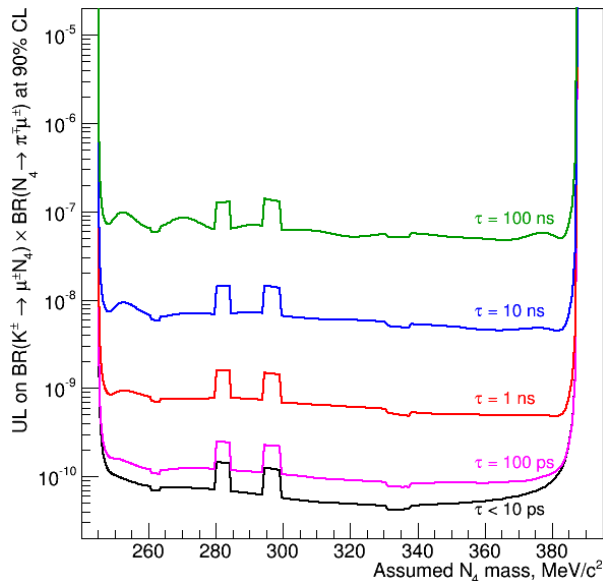
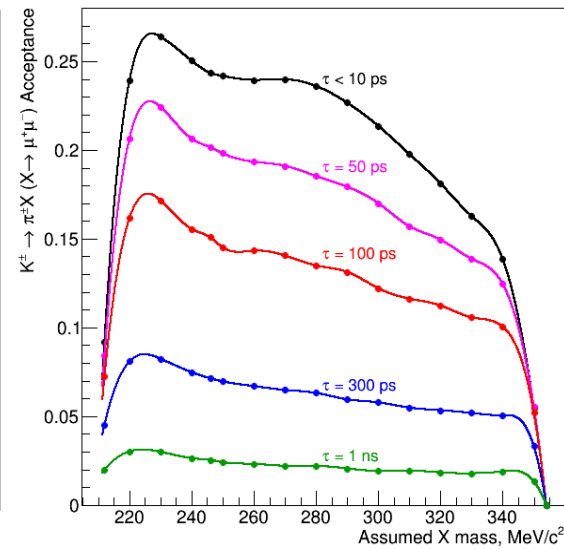
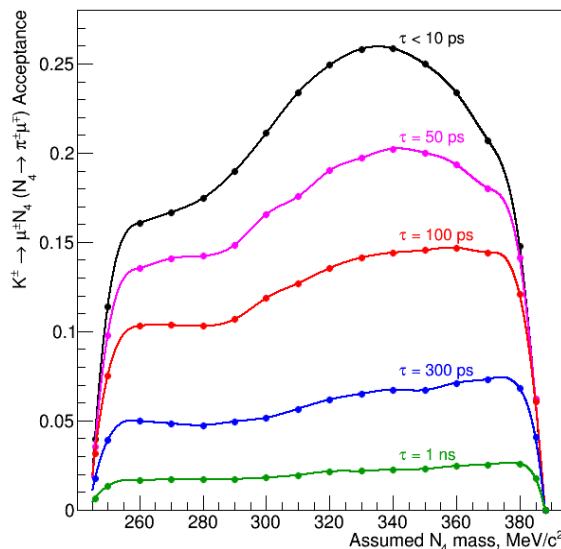
Results interpretations

- Translate into upper limits for particular models

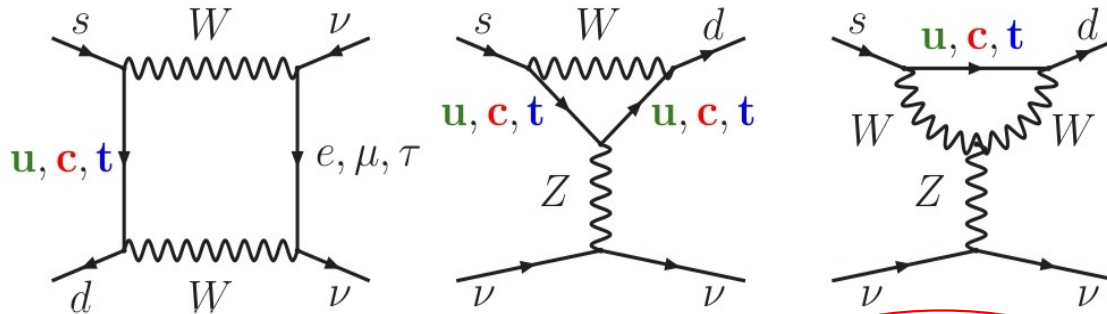
$$UL(BR(K \rightarrow \mu/\pi + Y) \times BR(Y \rightarrow \mu + \pi/\mu))$$

$$\parallel \frac{UL(N_{sig})}{N_K * Acceptance}$$

$$Y = \begin{cases} N_4, N_4 \rightarrow \pi\mu \\ X, X \rightarrow \mu\mu \end{cases}$$



FCNC decays: $K \rightarrow \pi \nu \nu$



$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = \kappa_+ (1 + \Delta_{\text{EM}}) \left[\left(\frac{\text{Im} \lambda_t}{\lambda^5} X_t \right)^2 + \left(\frac{\text{Re} \lambda_c}{\lambda} (P_c + \delta P_{c,u}) + \frac{\text{Re} \lambda_t}{\lambda^5} X_t \right)^2 \right]$$

$$\lambda = V_{us}$$

$$\lambda_c = V_{cs}^* V_{cd}$$

$$\lambda_t = V_{ts}^* V_{td}$$

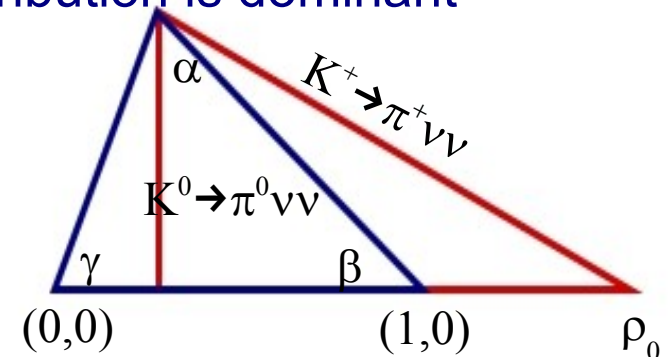
κ_+ - hadronic matrix element
measured from semileptonic decays

charm quark contribution

- Very clean theoretically – short distance contribution is dominant
- Charm loop under control
- Standard Model prediction:

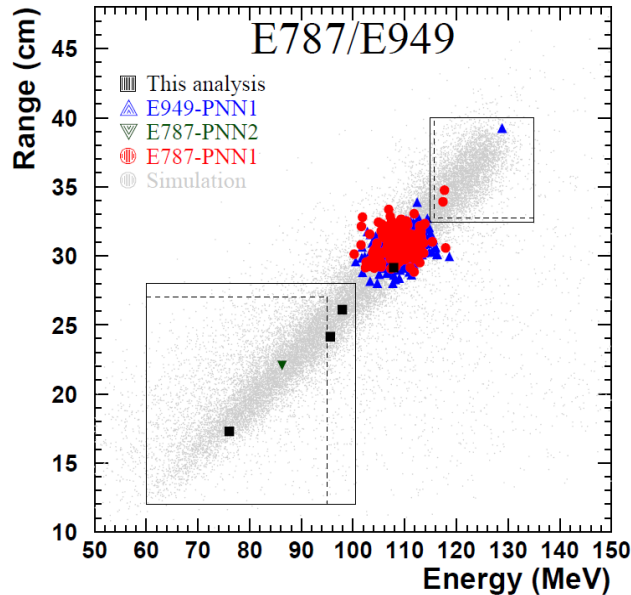
$$\text{Br}(K^+ \rightarrow \pi^+ \nu \nu) = (8.4 \pm 1.0) \cdot 10^{-11}$$

Brod et al, Phys. Rev. D, 034030 (2011)
Buras et al., JHEP 1511 (2015) 166



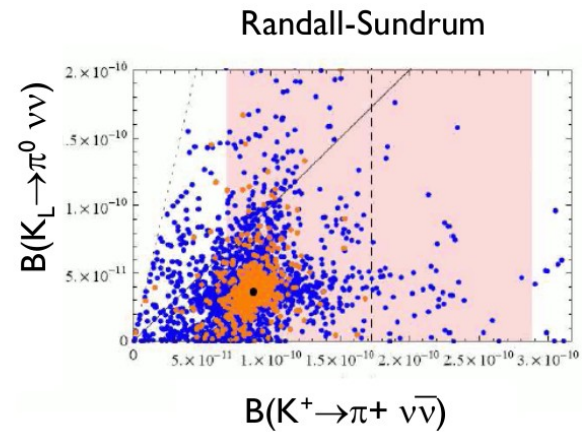
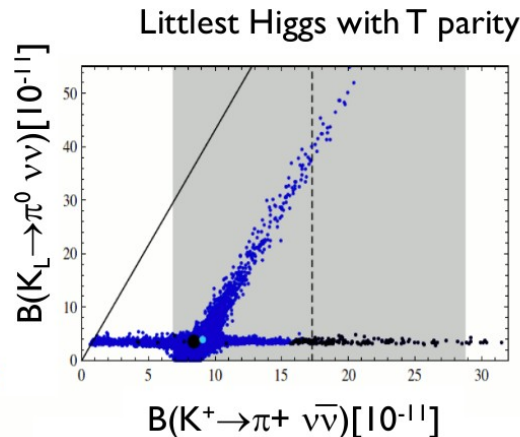
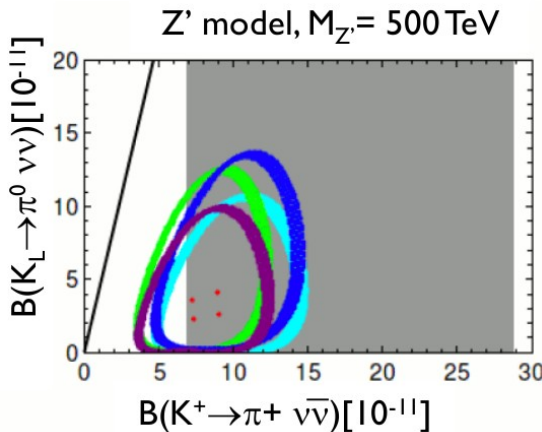
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ status

Phys. Rev. D, 79 (2009), p. 092004

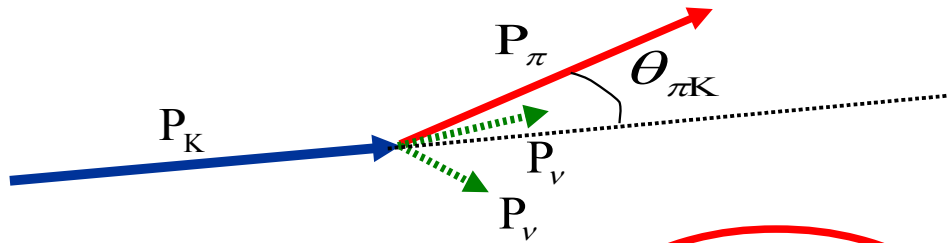


- E949 result based on 7 events:

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73^{+1.15}_{-1.05}) * 10^{-10}$$
- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ sensitive to NP contribution
 - Simplified Z, Z' models
Buras et al., JHEP 1511 (2015) 166
 - Littlest Higgs with T-parity
Blanke et al., EPJ C76 (2016) no.4, 182
 - Custodial Randall-Sundrum
Blanke et al., JHEP 0903 (2009) 108
 - MSSM non-MFV
Tanimoto, Yamamoto, PTEP 2015 no.5, 053B07
Isidori et al., JHEP 0608 (2006) 064



Experimental technique



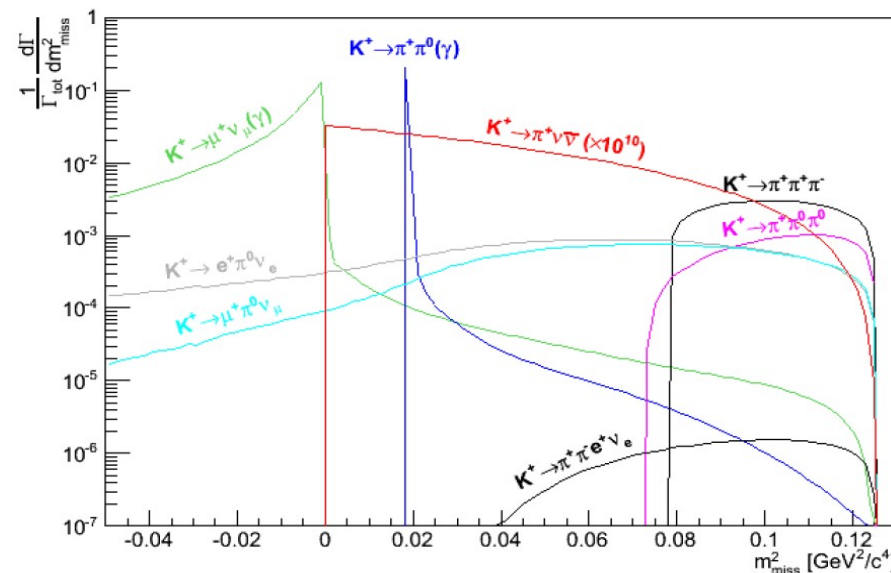
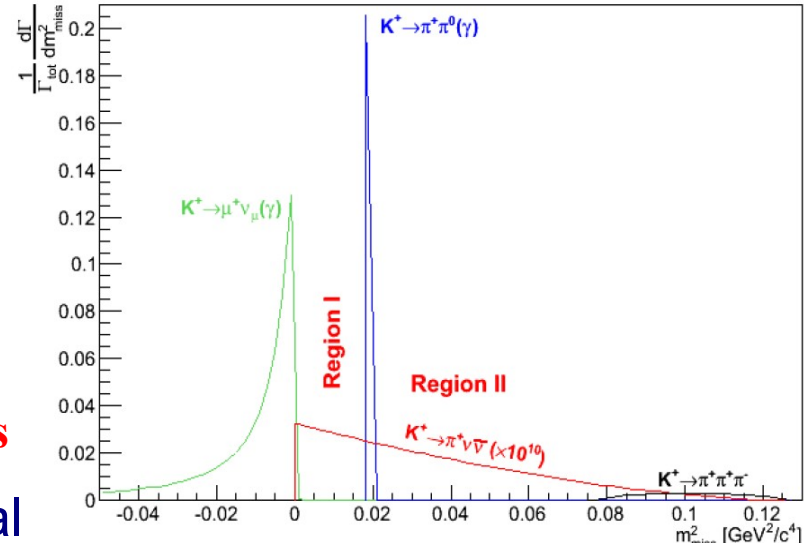
$$m_{miss}^2 = m_K^2 + m_\pi^2 - 2(E_K E_\pi - \underbrace{p_K p_\pi \cos \theta_{K\pi}}_{\text{measured quantities}})$$

measured quantities

- Single observable decay product in the final state
- 92% of the background has closed kinematics: **define 2 signal regions**

Event selection

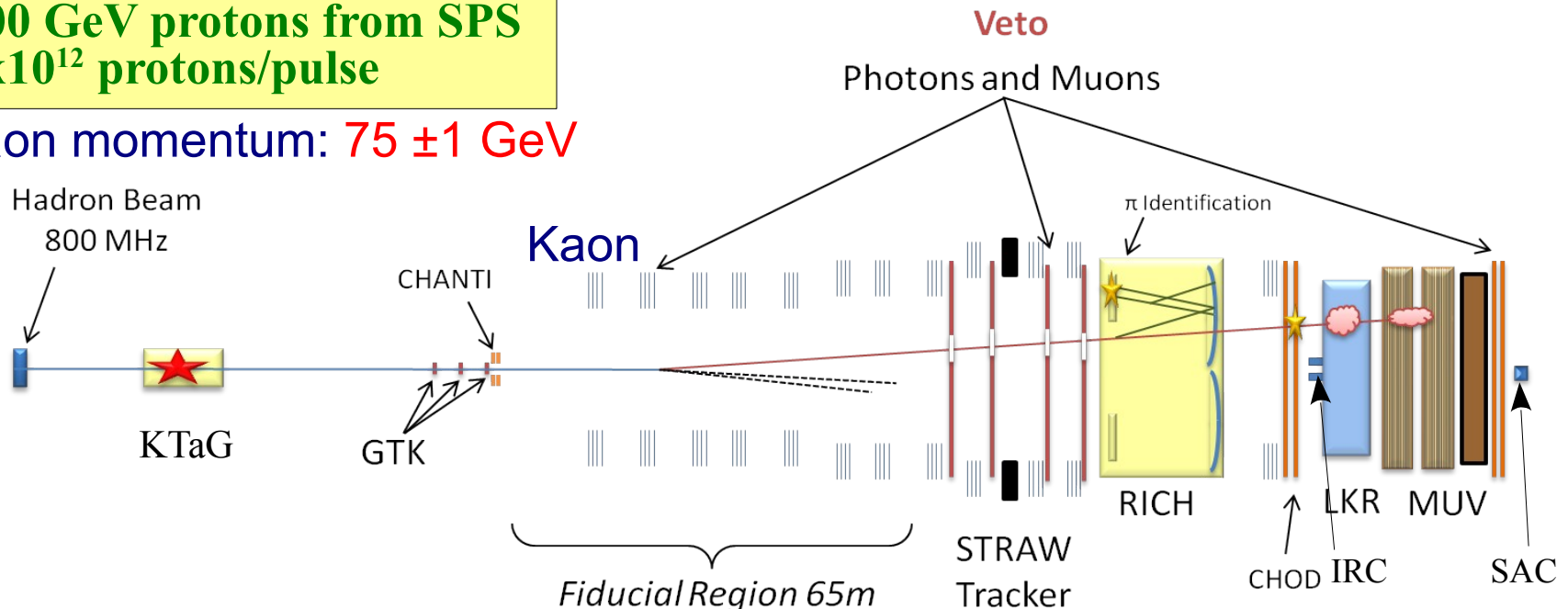
- Particle identification
- Kinematics reconstruction
- Particle vetoing



Experimental setup

400 GeV protons from SPS
 3×10^{12} protons/pulse

Kaon momentum: 75 ± 1 GeV



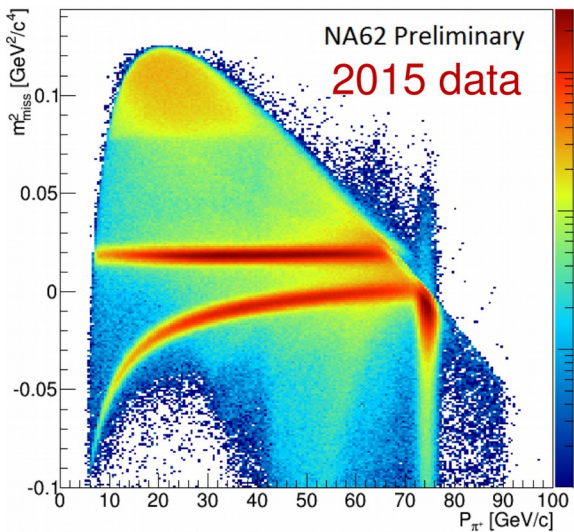
Unseparated hadron beam: kaon component 6%

Expected 4.5×10^{12} kaon decays per year

- Data taking in 2014 and 2015
 - Beam commissioned up to nominal intensity
 - Performance studies (minimum bias data)
 - Nominal intensity data with CALO trigger

Almost all systems commissioned
GTK and L1(L2) partially

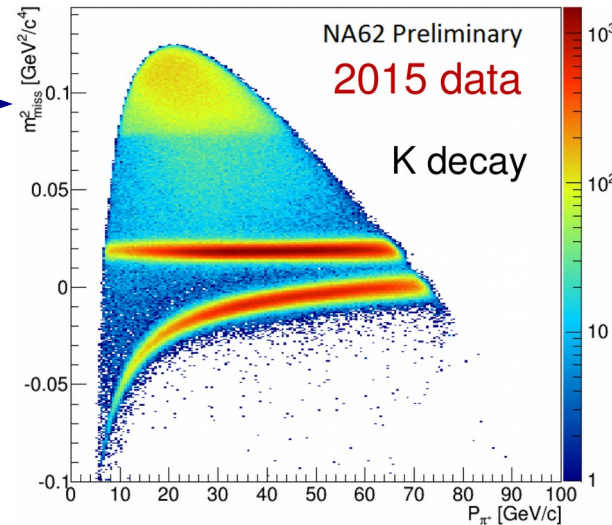
Particle ID



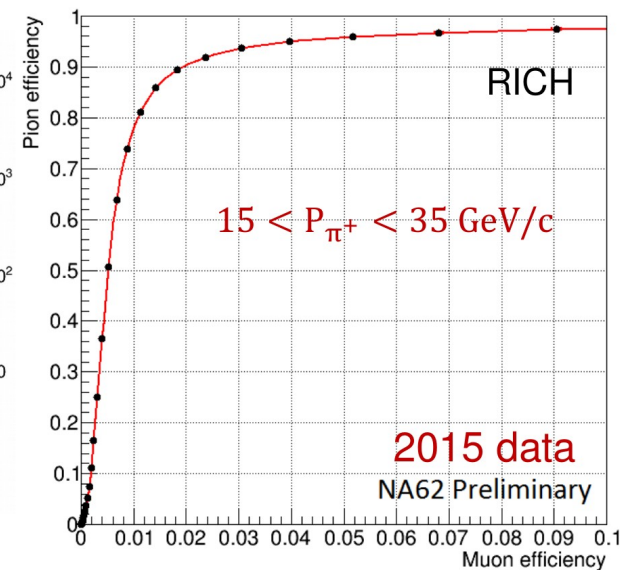
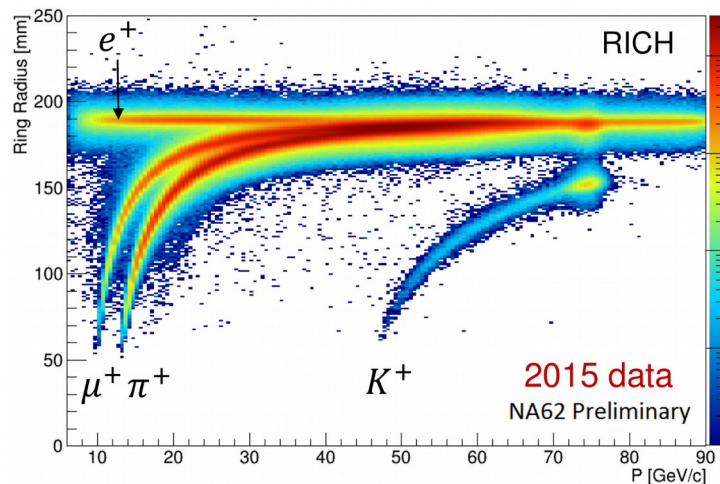
Kaon ID

Selection

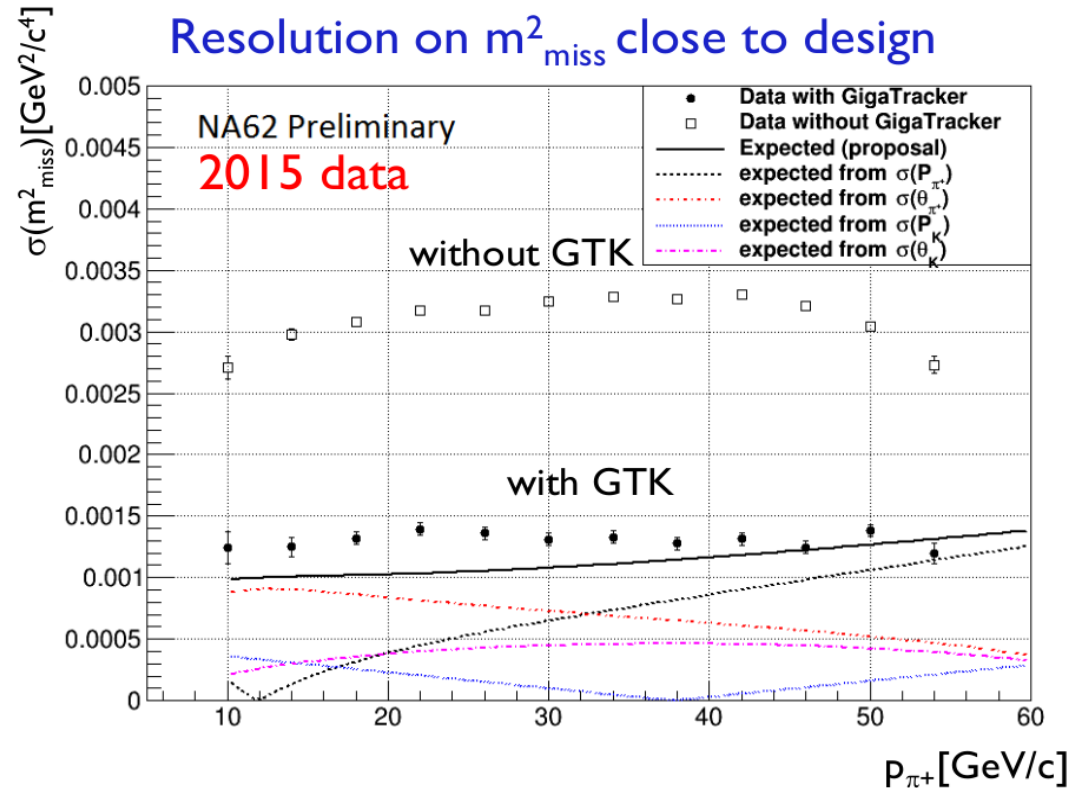
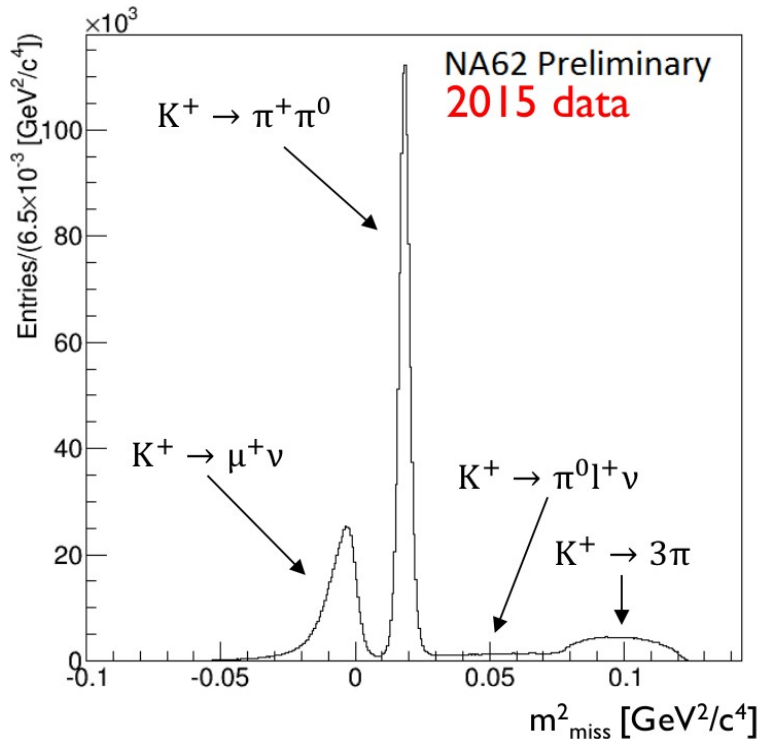
- Single downstream track (DS)
- Beam track matching the downstream track
- Kaon ID
- Downstream track matching energy in calorimeters



- Pion PID: **RICH**
 $15 \text{ GeV}/c < P_{\pi^+} < 35 \text{ GeV}/c$
- $O(10^2)$ π/μ separation
- 80 % efficiency for π^+ in 2015



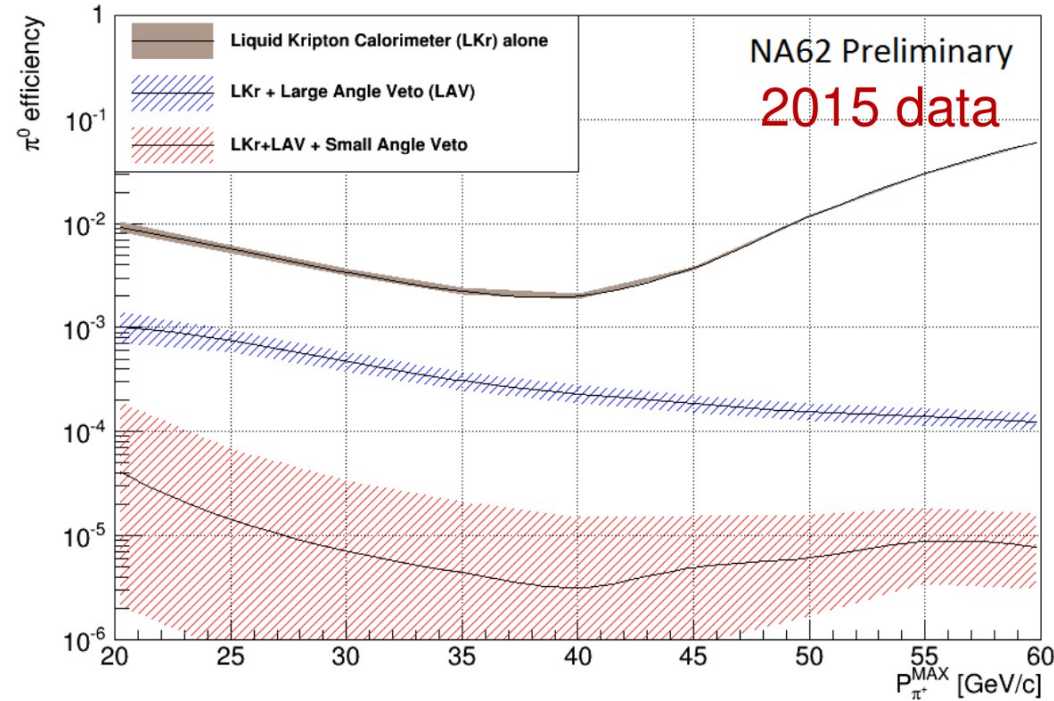
Kinematics



- $|M_{\text{miss}}|^2$ reconstruction
 - Si-pixel beam tracker
 - Straw tube tracker in vacuum
- Studied with $K^+ \rightarrow \pi^+ \pi^0$ events, LKr calorimeter selection
- $O(10^3)$ suppression factor in 2015 achieved (10^5 expected)

Vetoing

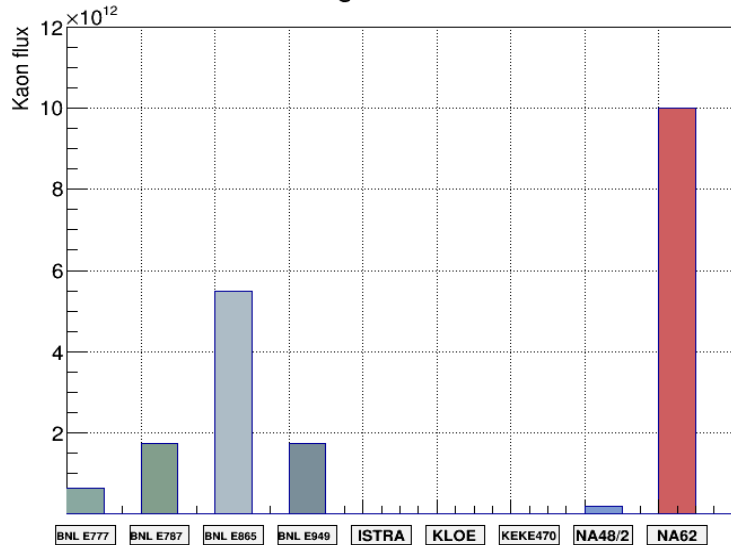
- Photon veto
 - LKr , LAV, SAC, IRC
 - 10^8 π^0 rejection factor expected
 - $E(\pi^0) > 40$ GeV for $K^+ \rightarrow \pi^+\pi^0$
 - Selected $K^+ \rightarrow \pi^+\pi^0$ sample based on kinematics
 - 2015 efficiency measurement statistically limited
- Muon rejection
 - In 2015: calorimetry, cut based
 - $10^4 - 10^6$ μ^+ suppression
 - 90% – 40% π^+ efficiency



- Time resolutions
 - Kaon ID: < 100 ps
 - Beam track: < 200 ps
 - Downstream track: < 200 ps
 - Calorimeters 1-2 ns

NA62: kaon factory

Charged kaon flux



- Excellent particle veto efficiency
- Excellent momentum resolution
- Particle ID efficiency
- High kaon flux

Mode	BSM Physics	90% CL present limit	NA62
$K^+ \rightarrow \pi^+ \mu^+ e^-$	LFV	$1.3 \cdot 10^{-11}$	$10^{-12}/10^{-13}$
$K^+ \rightarrow \pi^+ \mu^- e^+$	LFV	$5.2 \cdot 10^{-10}$	$10^{-12}/10^{-13}$
$K^+ \rightarrow \pi^- \mu^+ e^+$	LNV	$5 \cdot 10^{-10}$	$10^{-12}/10^{-13}$
$K^+ \rightarrow \pi^- e^+ e^+$	LNV	$6.4 \cdot 10^{-10}$	10^{-12}
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	LNV	$1.1 \cdot 10^{-9}$	$10^{-12}/10^{-13}$
$K^+ \rightarrow \mu^- \nu e^+ e^+$	LFV/LNV	$2 \cdot 10^{-8}$	10^{-12}
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	LFV/LNV	No data	10^{-12}
$K^+ \rightarrow \pi^+ X^0$	New particle	$5.9 \cdot 10^{-11}, m_{X^0} = 0$	10^{-12}
$K^+ \rightarrow \pi^+ \chi \chi$	New particle	-	10^{-12}
$K^+ \rightarrow \pi^+ \pi^+ l^- \nu$	$\Delta S \neq \Delta Q$	$1.2 \cdot 10^{-8}$	10^{-11}
$K^+ \rightarrow \pi^+ \gamma$	Angular momentum	$2.3 \cdot 10^{-9}$	10^{-12}
$K/D \rightarrow l \nu_h$ $\nu_h \rightarrow \pi l$	Heavy neutrino		
$\pi^0 \rightarrow$ invisible, $\pi^0 \rightarrow 3/4 \gamma$, $\pi^0 \rightarrow U \gamma$			
Dark sector: long living dark photon, long living ALPs			

Mode	Present	Expected
R_K	0.4%	0.2%
$K^+ \rightarrow \pi^+ \gamma \gamma$	<500 events	10^5 events
$K^+ \rightarrow \pi^0 \pi^0 e^+ \nu$	66000	$O(10^6)$
$K^+ \rightarrow \pi^0 \pi^0 \mu^+ \nu$	-	$O(10^5)$

Conclusion

- Rare kaon decays provide a very challenging opportunity to search for physics beyond the Standard Model
- Different LNV and heavy neutrino models probed through $K^\pm \rightarrow \pi^{\pm/\mp} \mu^\pm \mu^{\mp/\pm}$ decays
- NA62 experiment is commissioned and tested up to nominal intensity
- NA62 currently takes data – 200 days run in 2016

10% measurement of $\text{Br}(K^+ \rightarrow \pi^+ \nu \nu)$ waits behind the corner