

# Flavour and CP Symmetries for Leptogenesis

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CP<sup>3</sup> Origins  
Cosmology & Particle Physics



**Invisibles 2016, Padova**

# Combining flavour and CP symmetries

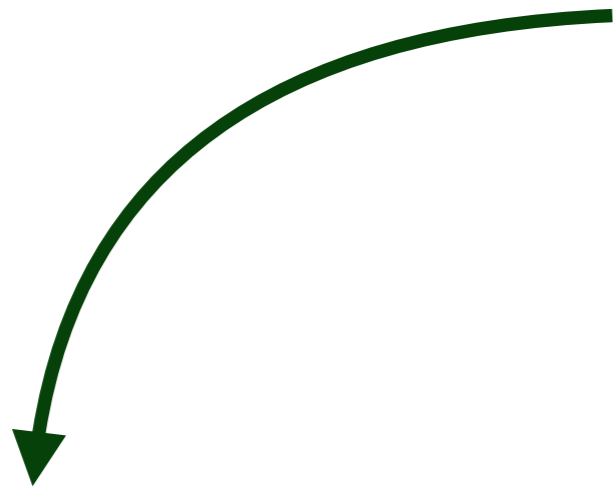
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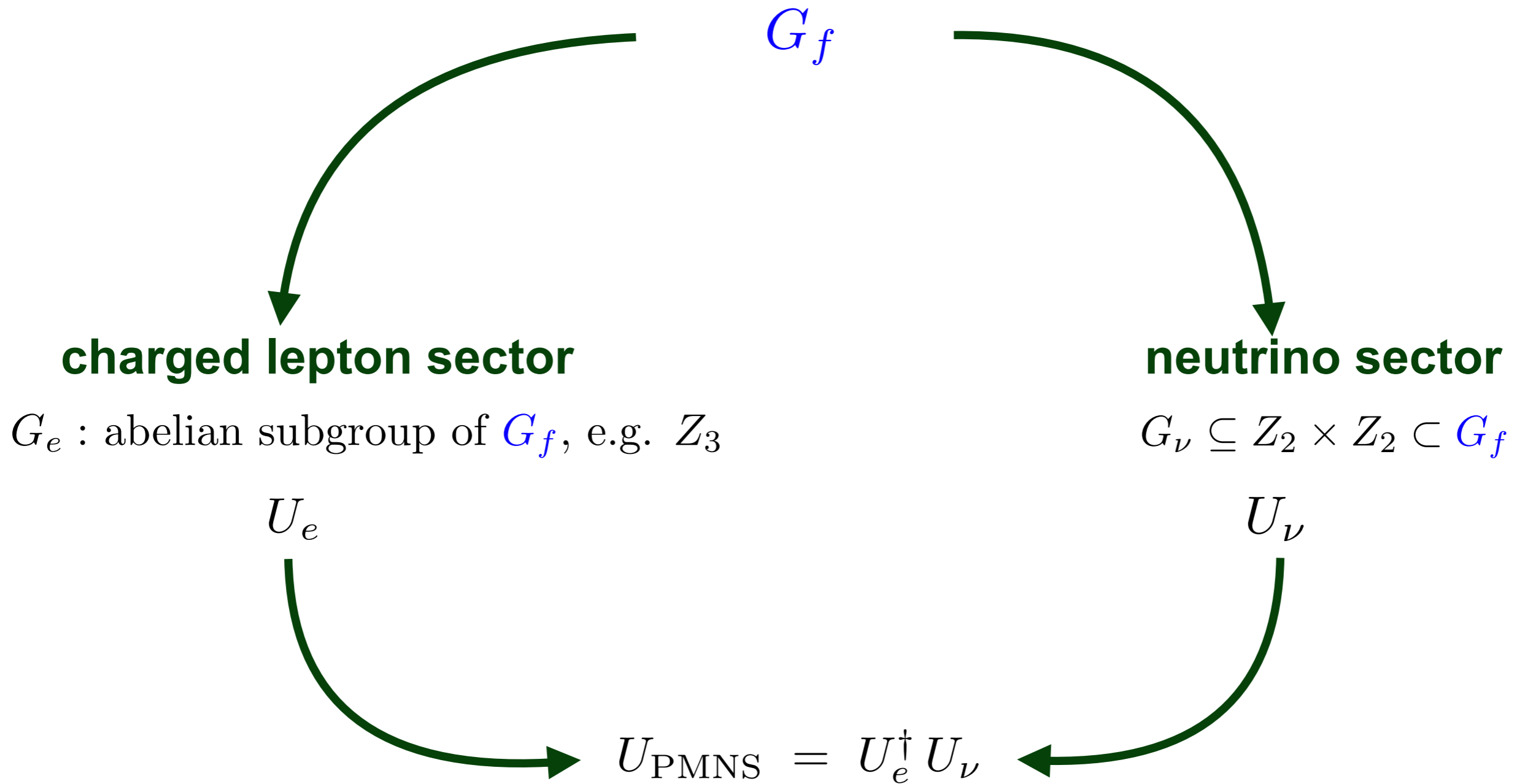
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**neutrino sector**

$G_\nu \subseteq Z_2 \times Z_2 \subset G_f$

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**this framework does not constrain lepton masses**

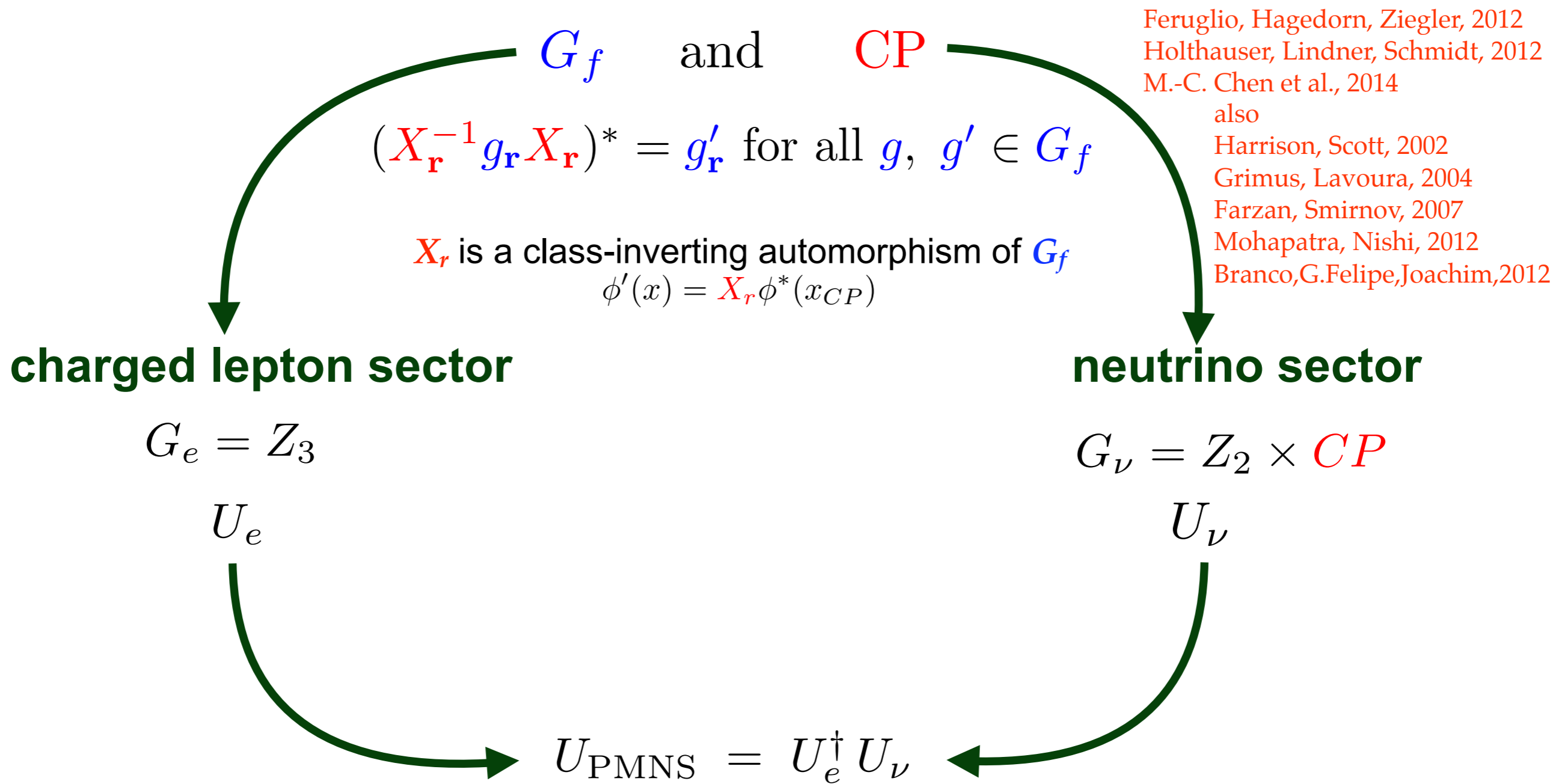
**drawback:** Majorana phases cannot be predicted

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Feruglio, Hagedorn, Ziegler, 2012  
 Holthausen, Lindner, Schmidt, 2012  
 M.-C. Chen et al., 2014  
 also  
 Harrison, Scott, 2002  
 Grimus, Lavoura, 2004  
 Farzan, Smirnov, 2007  
 Mohapatra, Nishi, 2012  
 Branco, G. Felipe, Joachim, 2012

all the PMNS phases are constrained by the residual flavour and CP symmetries up to a real parameter  $\theta$

# Seesaw extension of the SM with $G_f$ and CP

seesaw Lagrangian generated after the breaking of  $G_f$  and CP

$$\mathcal{L}_l = -Y_l \bar{l} H \alpha_R - Y_D \bar{l} H^c N - \frac{1}{2} \overline{N^c} M_R N + \text{h.c.}$$

Under $G_f$	$l$	$\sim$	$\mathbf{3}$
	$N$	$\sim$	$\mathbf{3}$
	$\alpha_R$	$\sim$	$\mathbf{1}$

complex and faithful  
irrep of  $G_f$

RH charged leptons  $\alpha_R$  are distinguished by an auxiliary symmetry  $Z_3^{(\text{aux})}$



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# Lepton mixing pattern

$$m_l = Y_l v = \text{diag}(m_e, m_\mu, m_\tau)$$

invariant under  $G_e$

$$m_D = Y_D v = y_0 v \mathbb{I}_{3 \times 3}$$

invariant under  $G_f$  and CP

$$M_R$$

invariant under  $Z_2 \times \text{CP}$

given  $X \equiv X_3$  (CP transformation) and  $Z$  (generator of  $Z_2$  in  $\mathbf{3}$ )

$$Z^T M_R Z = M_R, \quad X M_R X = M_R^*$$

**consistency condition:**  $X Z^* - Z X = 0$

Feruglio, Hagedorn, Ziegler, 2012  
Holthausen, Lindner, Schmidt, 2012

$$U_R^T M_R U_R = \text{diag}(M_1, M_2, M_3)$$

$$X = \Omega \Omega^T$$

$$U_R = \Omega R_{ij}(\theta) K_\nu$$

$$K_\nu = \begin{pmatrix} 1 & 0 & 0 \\ 0 & i^{k_1} & 0 \\ 0 & 0 & i^{k_2} \end{pmatrix}$$

the matrix  $\Omega$  is determined by  $X$  and  $Z$

$X$  is an automorphism of  $G_f$  of order two (involution):  $X X^\dagger = X X^* = \mathbf{1}$

# Lepton mixing pattern

## Neutrino mass matrix:

$$m_\nu = -m_D M_R^{-1} m_D^T = -y_0^2 v^2 M_R^{-1}$$

$$U_\nu^\dagger m_\nu U_\nu^* = \text{diag}(m_1, m_2, m_3) \quad \text{with} \quad U_\nu = U_R$$

$$m_i = -\frac{y_0^2 v^2}{M_i}$$

## Lepton mixing matrix:

$$U_{PMNS} = U_e^\dagger U_\nu = U_R = \Omega R_{ij}(\theta) K_\nu$$

PMNS mixing matrix fixed by  $G_f$ ,  $G_e$  and  $G_\nu$  up to a free real parameter  $\theta$

**There is a strong correlation of all mixing angles and CP phases**

Consider leptogenesis realized at a scale  $10^{12} \text{ GeV} \lesssim T \sim M_i \lesssim 10^{14} \text{ GeV}$

(lepton flavour dynamics is not resolved)

Since the RH neutrino mass spectrum is not strongly hierarchical, all the 3 RH neutrinos contribute to the generation of the baryon asymmetry:

$$Y_B = \sum_{i=1}^3 Y_{Bi} \quad \text{with} \quad Y_{Bi} \approx 1.38 \times 10^{-3} \epsilon_i \sum_{j=1}^3 \eta_{ij} \approx 10^{-10}$$

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**CP asymmetries in  $N_i$  decays:**

$$\epsilon_i = -\frac{\sum_{\alpha} [\Gamma(N_i \rightarrow H l_{\alpha}) - \Gamma(N_i \rightarrow H^* \bar{l}_{\alpha})]}{\sum_{\alpha} [\Gamma(N_i \rightarrow H l_{\alpha}) + \Gamma(N_i \rightarrow H^* \bar{l}_{\alpha})]}$$



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**CP asymmetries in  $N_i$  decays:**

$$\epsilon_i = -\frac{1}{8\pi} \sum_{j \neq i} \frac{\text{Im} \left( (\hat{Y}_D^\dagger \hat{Y}_D)_{ij}^2 \right)}{(\hat{Y}_D^\dagger \hat{Y}_D)_{ii}} f(M_j/M_i) \gtrsim 10^{-6} \quad \text{with} \quad \hat{Y}_D = Y_D U_R$$

$\epsilon_i$  vanish in the limit of an exact flavour symmetry in the neutrino sector

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The efficiency factors are diagonal at LO in the RH neutrino family space:

$$\eta_{ij} \approx \eta_{ii} \delta_{ij} \quad \text{with} \quad 10^{-3} \lesssim \eta_{ii} \lesssim 0.5$$

In a specific model the neutrino Yukawa matrix receives contributions from flavour symmetry breaking fields:

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$\delta Y_D$  has a residual symmetry  $G_e = Z_3^{(D)}$

$$\delta Y_D = \begin{pmatrix} \frac{2}{\sqrt{3}} z_1 & 0 & 0 \\ 0 & -\frac{1}{\sqrt{3}} z_1 - z_2 & 0 \\ 0 & 0 & -\frac{1}{\sqrt{3}} z_1 + z_2 \end{pmatrix} \kappa$$

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The relevant combination in the CP asymmetries at LO in  $\kappa$  is

$$\hat{Y}_D^\dagger \hat{Y}_D \approx y_0 U_R^\dagger (y_0 \mathbb{I} + (\delta Y_D)^\dagger + \delta Y_D) U_R$$

the CP asymmetries at LO do not depend on the phases of  $z_1$  and  $z_2$

Hagedorn, EM, Petcov, 2009

Bertuzzo, Di Bari, Feruglio, Nardi, 2009

# One particular example

Let's consider the case of a generic lepton mixing:

$$U_R \equiv U_{PMNS} = \tilde{U} \text{diag} \left( 1, e^{i\alpha/2}, e^{i(\beta/2+\delta)} \right)$$

If the correction  $z_2$  is equal to zero in a specific model, then the CP asymmetries do not depend on the Dirac phase  $\delta$  in the PMNS matrix:

$$\epsilon_1 \approx -\frac{3\tilde{\kappa}^2}{2\pi} \left[ I_1 f\left(\frac{m_1}{m_2}\right) + I_2 f\left(\frac{m_1}{m_3}\right) \right] \quad \tilde{\kappa} \equiv |\text{Re}(z_1)| \kappa$$

$$\epsilon_2 \approx \frac{3\tilde{\kappa}^2}{2\pi} \left[ I_1 f\left(\frac{m_2}{m_1}\right) - I_3 f\left(\frac{m_2}{m_3}\right) \right] \quad \text{the sign of the CP asymmetries is determined by the Majorana phase(s)}$$

$$\epsilon_3 \approx \frac{3\tilde{\kappa}^2}{2\pi} \left[ I_2 f\left(\frac{m_3}{m_1}\right) + I_3 f\left(\frac{m_3}{m_2}\right) \right] \quad \text{no dependence on } \theta_{23} \text{ and } \delta$$

## CP invariants:

$$I_1 = \text{Im}[U_{PMNS,12}^2 (U_{PMNS,11}^*)^2] = s_{12}^2 c_{12}^2 c_{13}^4 \sin \alpha$$

$$I_2 = \text{Im}[U_{PMNS,13}^2 (U_{PMNS,11}^*)^2] = s_{13}^2 c_{12}^2 c_{13}^2 \sin \beta$$

$$I_3 = \text{Im}[U_{PMNS,13}^2 (U_{PMNS,12}^*)^2] = c_{13}^2 s_{13}^2 s_{12}^2 \sin(\beta - \alpha)$$

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**CP invariants:**

$$I_1 \approx (0.19 \div 0.22) \sin \alpha \quad I_2 \approx (1.2 \div 1.8) \times 10^{-2} \sin \beta$$

$$I_3 \approx (4.9 \div 8.4) \times 10^{-3} \sin(\beta - \alpha)$$

$$\kappa, \tilde{\kappa} \gtrsim 10^{-3} \quad \text{for} \quad \epsilon_i \gtrsim 10^{-6}$$

# Lepton mixing from $\Delta(3n^2)$ and $\Delta(6n^2)$ and CP

Good fit of lepton mixing angles is realised in scenarios with  $G_f = \Delta(3n^2)$  or  $\Delta(6n^2)$  and CP

The generator of  $G_e = Z_3$  is  $Q = a = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix} \quad \omega = e^{\frac{2\pi i}{3}}$

All the viable mixing patterns are reduced to one of the following cases:

Hagedorn, Meroni, EM, 2014  
also Ding, King, Neder, 2014

$\Delta(3n^2), \Delta(6n^2)$	case 1)	$Z = c^{n/2}$	$X = a b c^s d^{2s} P_{23}$
$\Delta(3n^2), \Delta(6n^2)$	case 2)	$Z = c^{n/2}$	$X = c^s d^t P_{23}$
$\Delta(6n^2)$	case 3 a) and case 3 b.1)	$Z = b c^m d^m$	$X = b c^s d^{n-s} P_{23}$

$0 \leq s, t, m \leq n - 1$ ;  $a, c$  and  $d$  (and  $b$ ) generators of  $\Delta(3n^2)$  (and  $\Delta(6n^2)$ )

$P_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad X = P_{23} : \mu\text{-}\tau \text{ reflection symmetry } (|\sin(\delta)|=1, \theta_{23} = \pi/4)$



# Lepton mixing and CP invariants in case 3b.1)

**Lepton mixing angles:**

$$\sin^2 \theta_{13} = \frac{1}{3} \left( 1 + \cos 2\phi_m \sin^2 \theta + \sqrt{2} \cos \phi_m \cos 3\phi_s \sin 2\theta \right)$$

$$\sin^2 \theta_{23} = \frac{1}{2} \left( 1 + \frac{2\sqrt{3} \sin \phi_m \sin \theta [\sqrt{2} \cos 3\phi_s \cos \theta - \cos \phi_m \sin \theta]}{2 - \cos 2\phi_m \sin^2 \theta - \sqrt{2} \cos \phi_m \cos 3\phi_s \sin 2\theta} \right)$$

$$\sin^2 \theta_{12} = 1 - \frac{2 \sin^2 \phi_m}{2 - \cos 2\phi_m \sin^2 \theta - \sqrt{2} \cos \phi_m \cos 3\phi_s \sin 2\theta}$$

**CP invariants:**

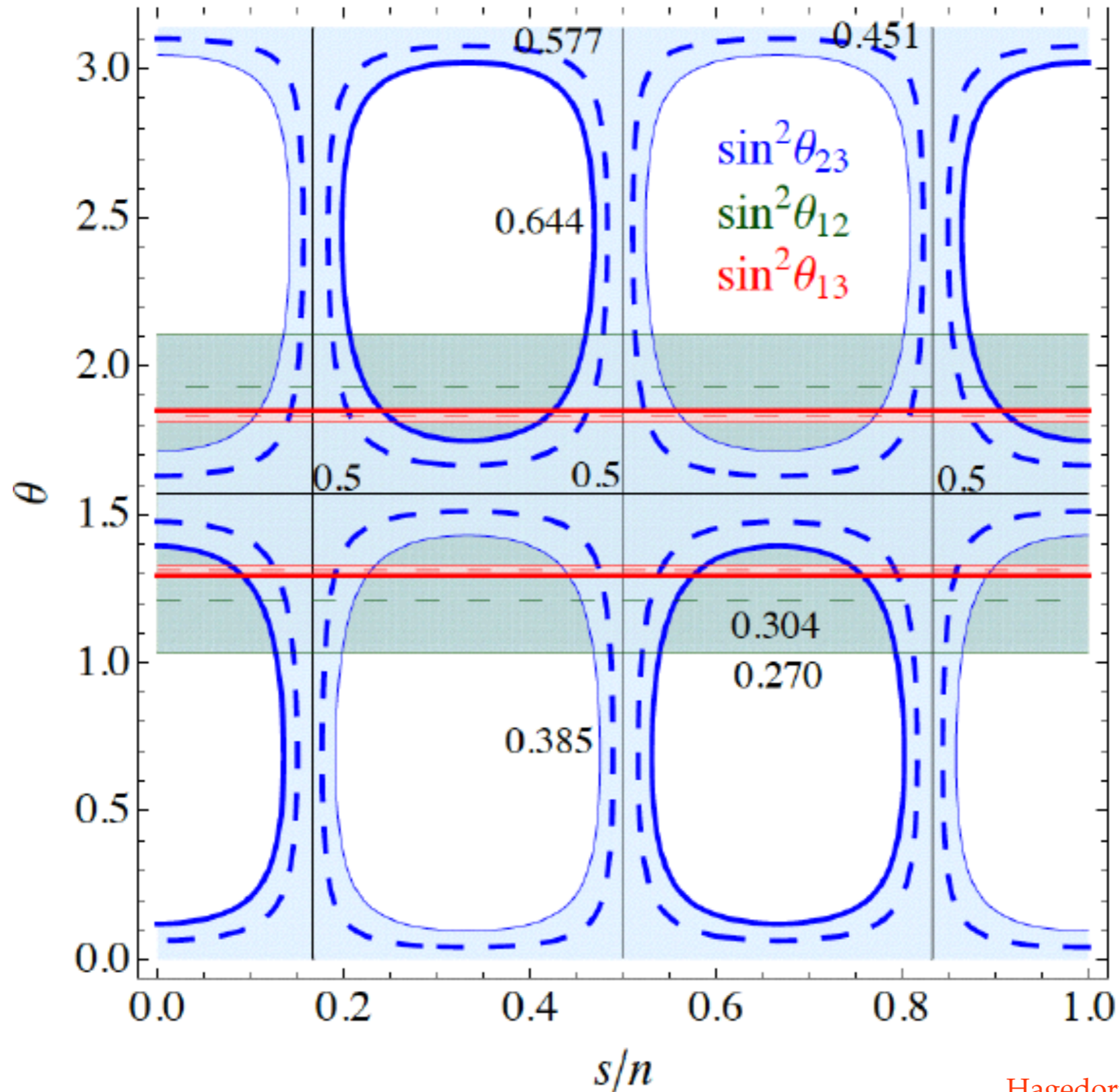
$$J_{CP} = -\frac{1}{6\sqrt{6}} \sin 3\phi_m \sin 3\phi_s \sin 2\theta$$

$$I_1 = \frac{4}{9} (-1)^{k_2+1} \sin^2 \phi_m \sin 3\phi_s \sin \theta \left( \cos 3\phi_s \sin \theta - \sqrt{2} \cos \phi_m \cos \theta \right)$$

$$I_2 = \frac{4}{9} (-1)^{k_1+k_2+1} \sin^2 \phi_m \sin 3\phi_s \cos \theta \left( \cos 3\phi_s \cos \theta + \sqrt{2} \cos \phi_m \sin \theta \right)$$

$$\phi_m \equiv \frac{\pi m}{n} \quad \text{and} \quad \phi_s \equiv \frac{\pi s}{n}$$

# Lepton mixing in case 3b.1) for $m=n/2$



Hagedorn, Meroni, EM, 2014

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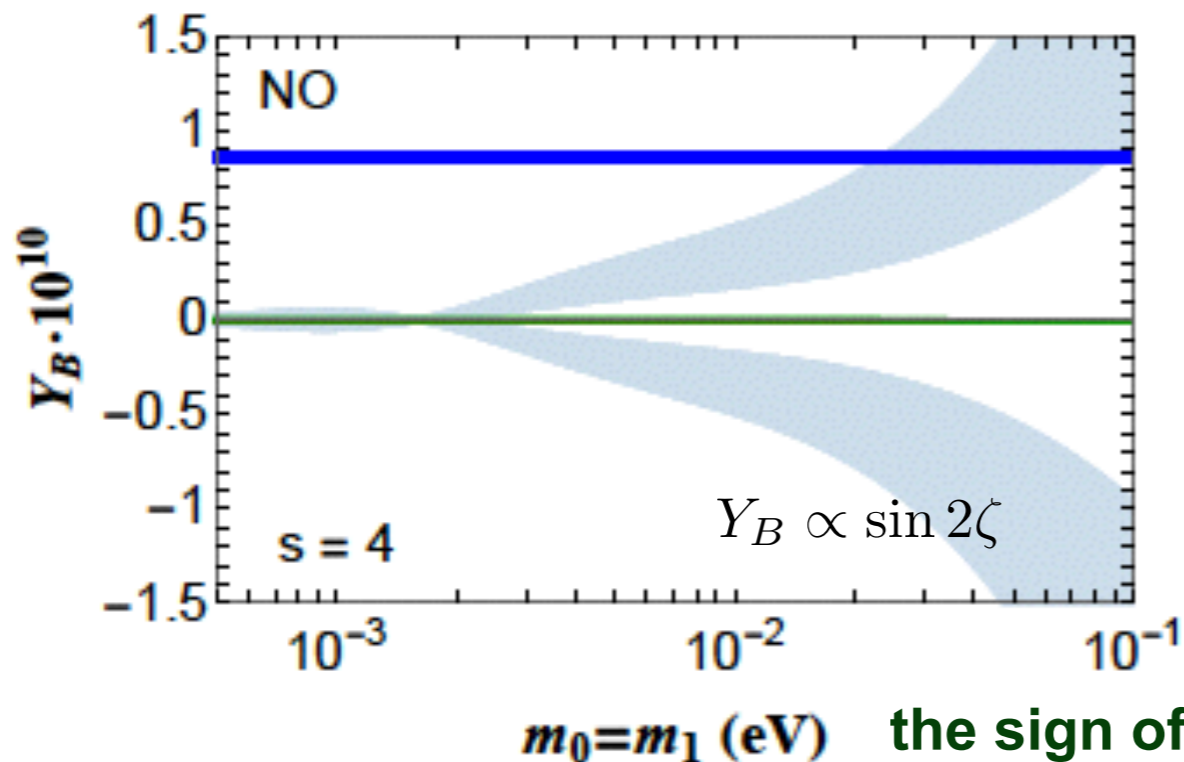
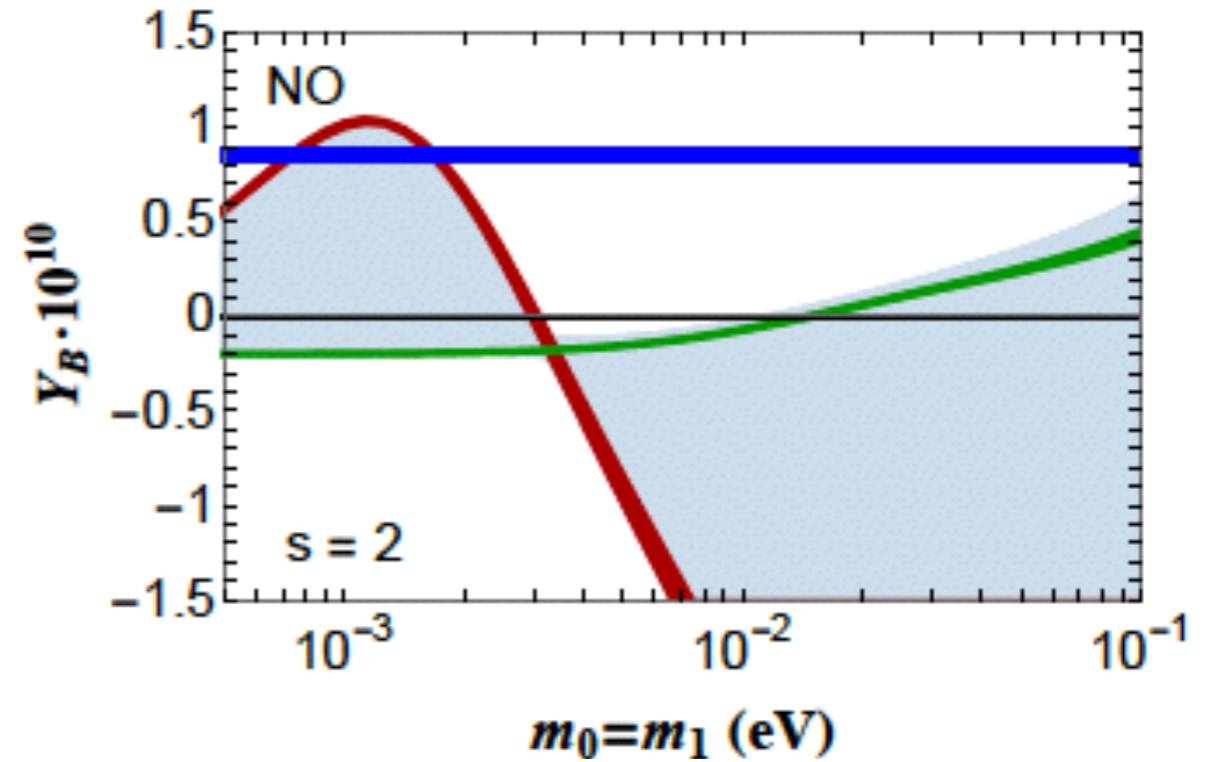
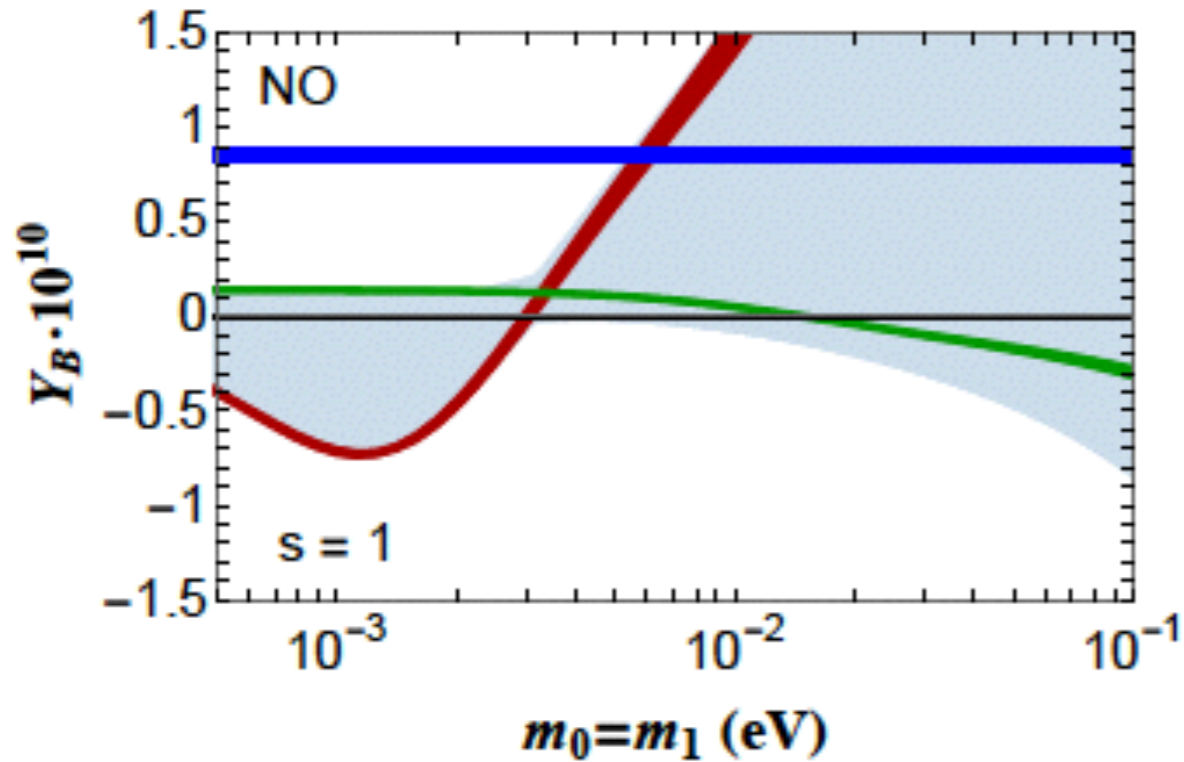
$$\sin \alpha = (-1)^{k_2+1} \sin 6 \phi_s, \quad \sin \beta = (-1)^{k_1+k_2+1} \sin 6 \phi_s, \quad |\sin \delta| \gtrsim 0.71$$

Explicit example:  $n=8, m=4$  and  $k_1=k_2=0$

$n$	8		
$m$	4		
$\sin^2 \theta_{12}$	0.316 $\div$ 0.321		
$\sin^2 \theta_{13}$	0.0186 $\div$ 0.0250		
$s$	1	2	4
$\theta$	1.29 $\div$ 1.33	1.81 $\div$ 1.82	1.29 $\div$ 1.33
$\sin^2 \theta_{23}$	0.416 $\div$ 0.427	0.635 $\div$ 0.643	1/2
$\sin \alpha = \sin \beta$	$-1/\sqrt{2}$	1	0
$\sin \delta$	0.934 $\div$ 0.937	0.734 $\div$ 0.738	-1

# Baryon asymmetry in case 3b.1) with $m=n/2$

the sign of  $Y_B$  can be fixed by the knowledge of the Majorana phases



$$\tilde{\kappa} = 4 \times 10^{-3}$$

- $\text{Re}(z_2) = 0$       red areas
- $\text{Re}(z_1) = 0$       green areas
- $|\text{Re}(z_{1,2})| \in [1/2, 2]$       blue-shaded areas

$$\text{Re}(z_1) = z \cos \zeta \quad \text{and} \quad \text{Re}(z_2) = z \sin \zeta$$

Hagedorn, EM, 2016

the sign of  $Y_B$  is not determined if CPV comes only from  $\delta$

# Most general neutrino Yukawa matrix

$$\mathcal{L}_l = -Y_l \bar{l} H \alpha_R - Y_D \bar{l} H^c N - \frac{1}{2} \overline{N^c} M_R N + \text{h.c.}$$

$Y_D$  and  $M_R$  invariant under  $G_\nu$ :

$$Z^\dagger Y_D Z = Y_D \quad \text{and} \quad X^* Y_D X = Y_D^*$$

$$Y_D = \Omega R_{ij}(\theta_L) \begin{pmatrix} y_1 & 0 & 0 \\ 0 & y_2 & 0 \\ 0 & 0 & y_3 \end{pmatrix} R_{ij}(-\theta_R) \Omega^\dagger$$

- 5 real parameters ( $y_{1,2,3}$ ,  $\theta_{L/R}$ ) not constrained by  $G_\nu$
- $U_{PMNS}$  depends on a new continuous parameter  $\vartheta(\theta, \theta_L, \theta_R)$
- flavoured CP asymmetries:

$$\epsilon_k^\alpha \propto y_i y_j (y_i^2 - y_j^2) \sin 2(\theta - \theta_R), \quad \epsilon_k^\alpha = 0 \quad \text{for} \quad k \neq i, j$$

- unflavoured CP asymmetries  $\epsilon_k = 0$ , unless corrections  $\delta Y_D$  are included

Some realizations in scenarios with residual flavour and CP symm. and hierarchical RH neutrinos discussed, e.g., in Mohapatra, Nishi, 2015; Chen, Ding, King, 2016

# Summary

$$G_f = \Delta(3n^2) \text{ or } \Delta(6n^2) \text{ and CP}$$

$$G_e = Z_3$$

$$Q^\dagger m_l^\dagger m_l Q = m_l^\dagger m_l$$

$$G_\nu = Z_2 \times \text{CP}$$

$$Z^T m_\nu Z = m_\nu \text{ and } X m_\nu X = m_\nu^*$$

- ★ The lepton mixing angles and CP phases depend on the group theoretical indices and one free parameter  $\theta$
- ❖ In the “unflavoured” regime CP asymmetries are non-zero only if the residual symmetry  $G_\nu$  is broken at higher orders ( $\epsilon_k \propto \kappa^2 \gtrsim 10^{-6}$ )
- \* non-zero CP asymmetries are possible if the CP phases of the PMNS matrix are non-trivial
- ◆ The correct sign and value of the baryon asymmetry can be easily explained in “unflavoured” leptogenesis (sign of  $Y_B$  related to the sign of the Majorana phase  $\alpha$ )
- ❖ The sign of  $Y_B$  is unconstrained if the dominant source of CP violation in leptogenesis is given by the Dirac phase  $\delta$  or flavour effects are dynamical

BACKUP SLIDES

# Group theory of $\Delta(3n^2)$ and $\Delta(6n^2)$

Generators of  $\Delta(3n^2) \sim (Z_n \times Z'_n) \rtimes Z_3$

$$a = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix} \quad \text{with } \omega = e^{\frac{2\pi i}{3}} \text{ and } \phi_n = \frac{2\pi}{n}$$

$$c = \frac{1}{3} \begin{pmatrix} 1 + 2 \cos \phi_n & 1 - \cos \phi_n - \sqrt{3} \sin \phi_n & 1 - \cos \phi_n + \sqrt{3} \sin \phi_n \\ 1 - \cos \phi_n + \sqrt{3} \sin \phi_n & 1 + 2 \cos \phi_n & 1 - \cos \phi_n - \sqrt{3} \sin \phi_n \\ 1 - \cos \phi_n - \sqrt{3} \sin \phi_n & 1 - \cos \phi_n + \sqrt{3} \sin \phi_n & 1 + 2 \cos \phi_n \end{pmatrix}$$

$$a^3 = e, \quad c^n = e, \quad d^n = e, \\ cd = dc, \quad aca^{-1} = c^{-1}d^{-1}, \quad ada^{-1} = c$$

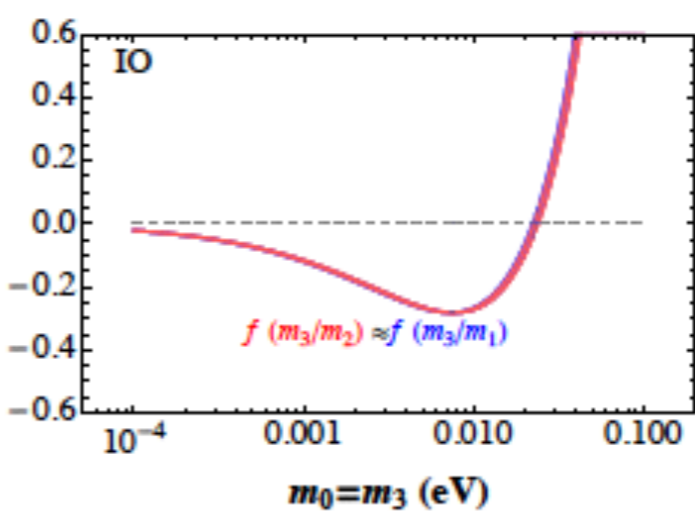
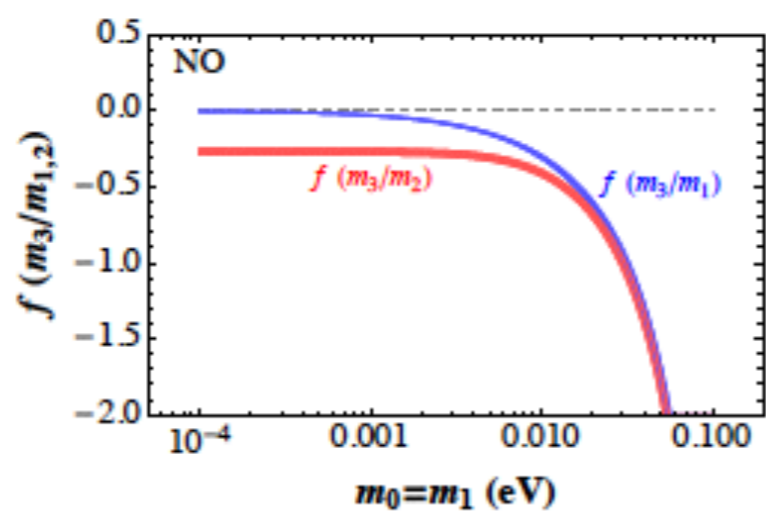
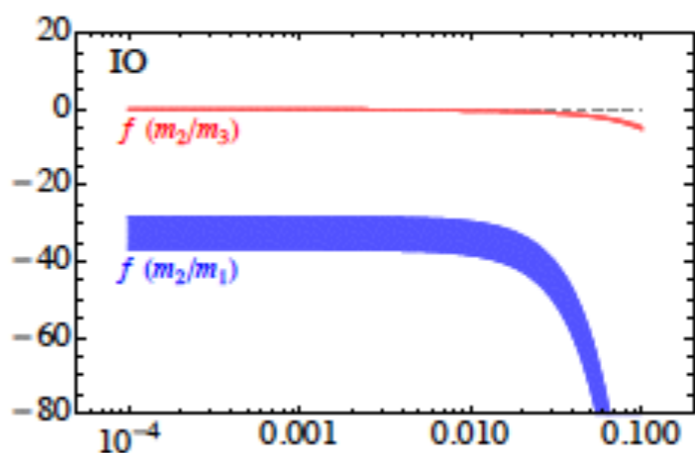
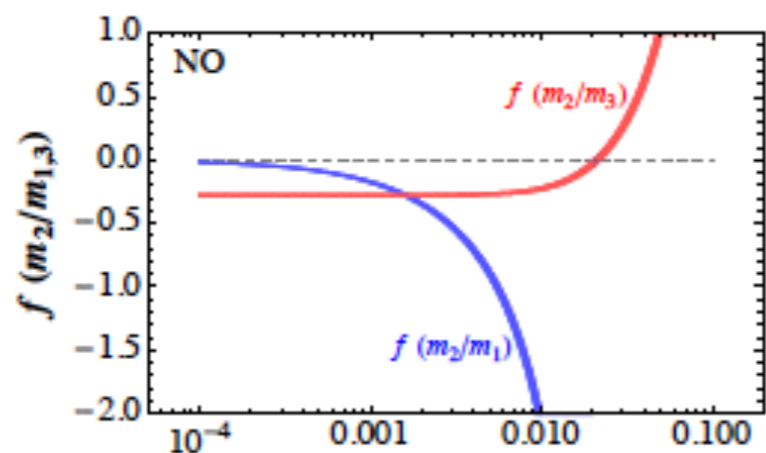
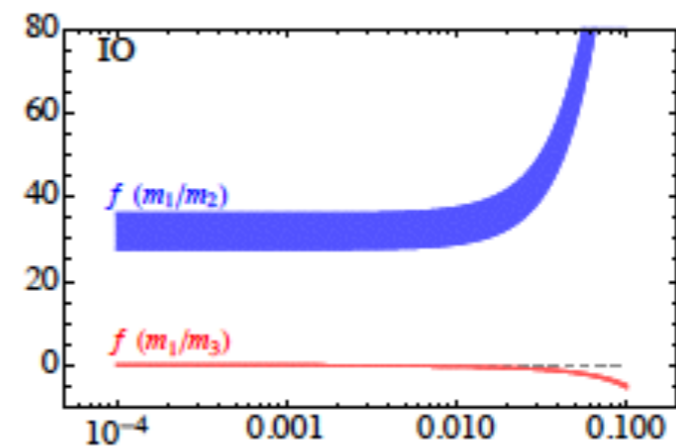
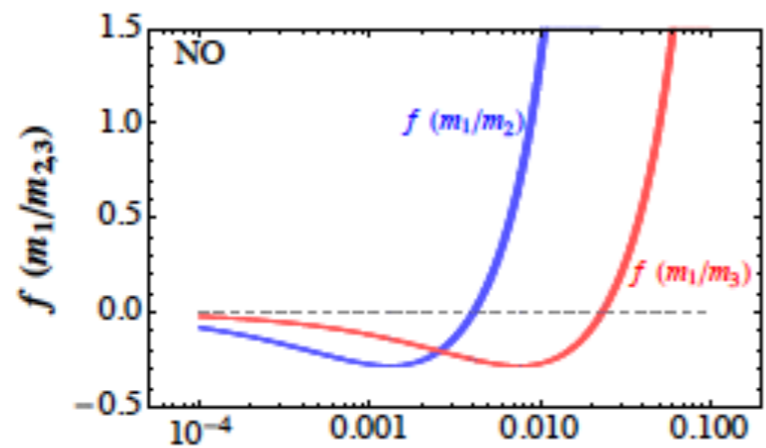
Generators of  $\Delta(6n^2) \sim (Z_n \times Z'_n) \rtimes S_3$

$$b = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \omega^2 \\ 0 & \omega & 0 \end{pmatrix}$$

$$b^2 = e, \quad (ab)^2 = e, \quad bcb^{-1} = d^{-1}, \quad bdb^{-1} = c^{-1}$$



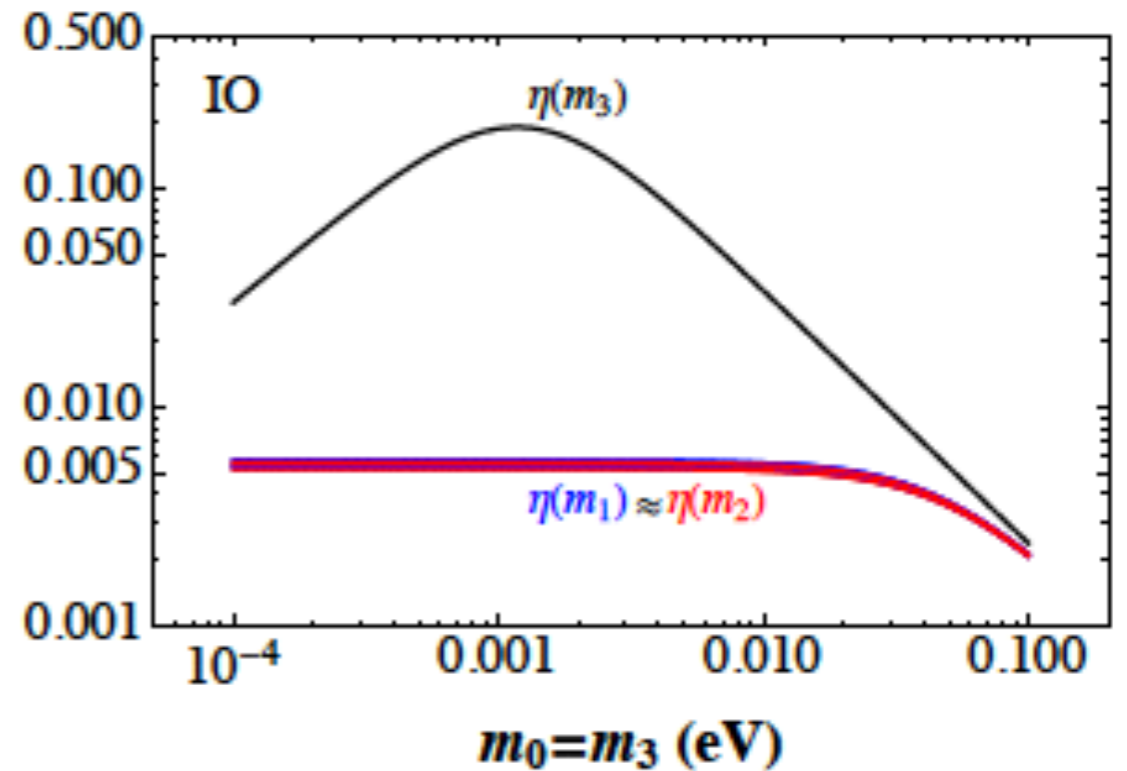
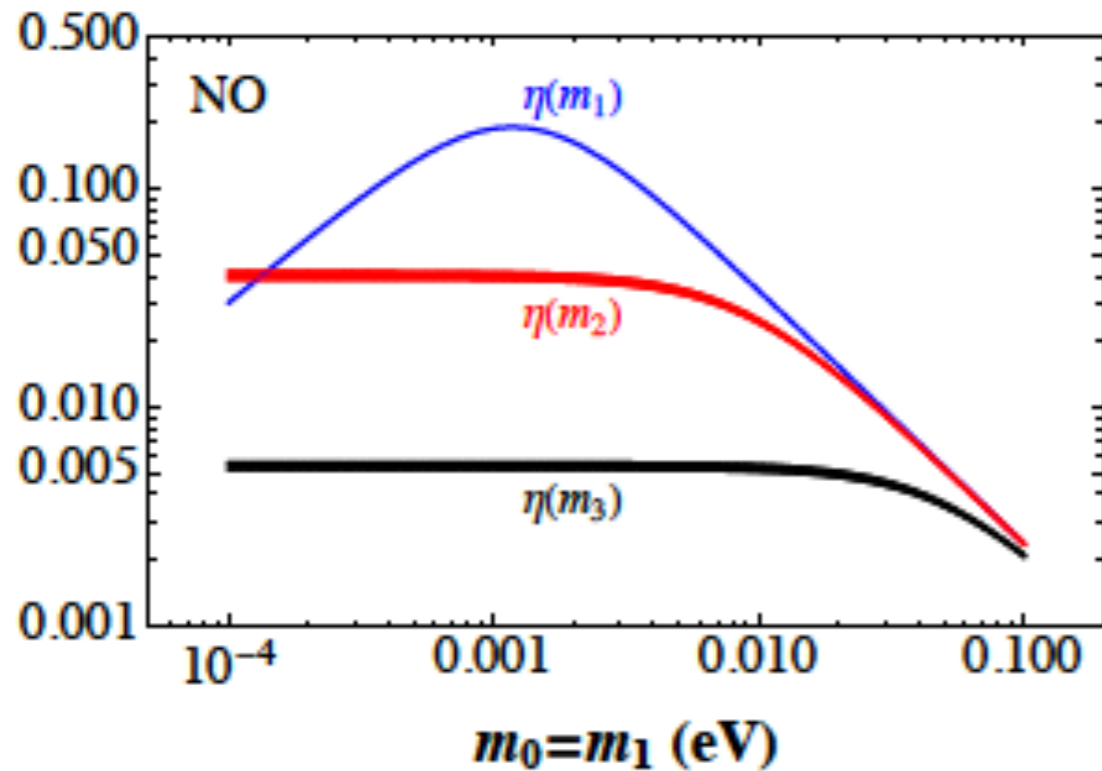
# Loop functions $f(M_i/M_j) \approx f(m_j/m_i)$



$$f(x) = x \left[ \frac{1}{1-x^2} + 1 - (1+x^2) \log \left( 1 + \frac{1}{x^2} \right) \right]$$

Covi, Roulet, Vissani, 1996

# Efficiency factors



$$\eta_{ii} \equiv \eta(\tilde{m}_i) = \left( \frac{3.3 \times 10^{-3} \text{ eV}}{\tilde{m}_i} + \left( \frac{\tilde{m}_i}{0.55 \times 10^{-3} \text{ eV}} \right)^{1.16} \right)^{-1}$$

$$\text{with } \tilde{m}_i \equiv v^2 \frac{(\hat{Y}_D^\dagger \hat{Y}_D)_{ii}}{M_i} \approx m_i$$

**NO:** maximum efficiency in the production of  $Y_{B1}$ . Strongest washout effects are expected for  $Y_{B3}$ .

**IO:** the strong washout of  $Y_{B1}$  and  $Y_{B2}$  is compensated by the enhancement of the corresponding CP asymmetries.

# Lepton mixing matrix in case 2)

**Lepton mixing angles:**

$$\sin^2 \theta_{13} = \frac{1}{3} (1 - \cos \phi_u \cos 2\theta)$$

$$\sin^2 \theta_{12} = \frac{1}{2 + \cos \phi_u \cos 2\theta}$$

$$\sin^2 \theta_{23} = \frac{1}{2} \left( 1 + \frac{\sqrt{3} \sin \phi_u \cos 2\theta}{2 + \cos \phi_u \cos 2\theta} \right)$$

**CP invariants:**

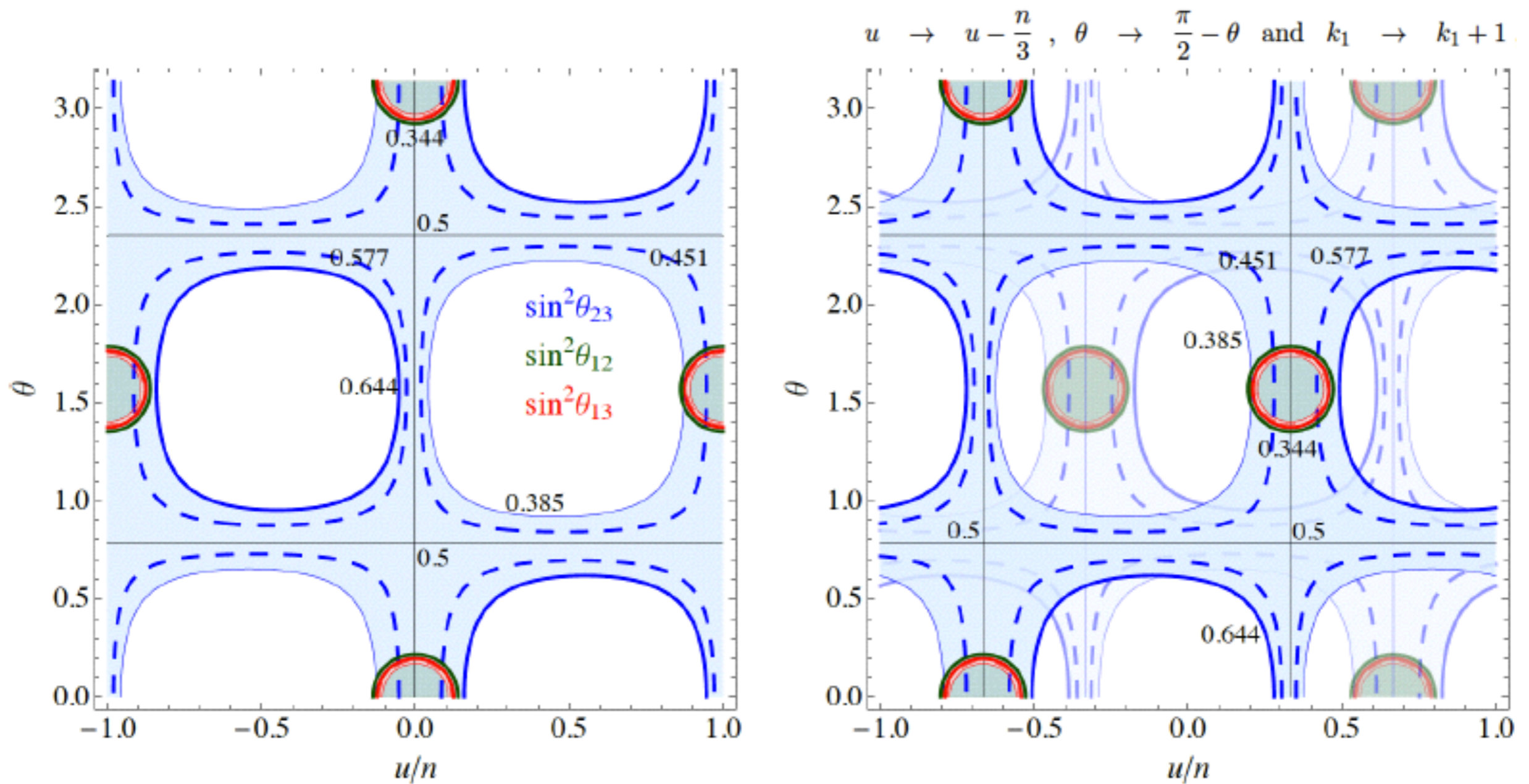
$$J_{CP} = -\frac{\sin 2\theta}{6\sqrt{3}}$$

$$I_1 = \frac{1}{9} (-1)^{k_1+1} ([\cos \phi_u + \cos 2\theta] \sin \phi_v - \sin \phi_u \cos \phi_v \sin 2\theta)$$

$$I_2 = \frac{1}{9} (-1)^{k_2} \sin 2\phi_u \sin 2\theta$$

$$I_3 = \frac{1}{9} (-1)^{k_1+k_2} ([\cos \phi_u - \cos 2\theta] \sin \phi_v + \sin \phi_u \cos \phi_v \sin 2\theta)$$

# Lepton mixing matrix in case 2)



Hagedorn, Meroni, EM, 2014

# Lepton mixing in case 2)

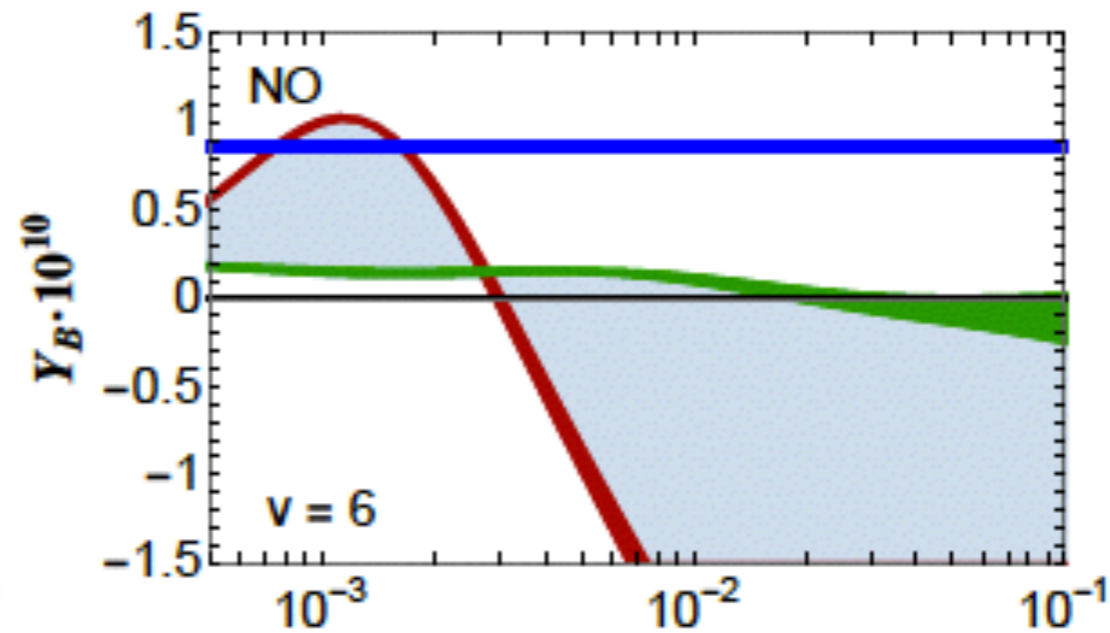
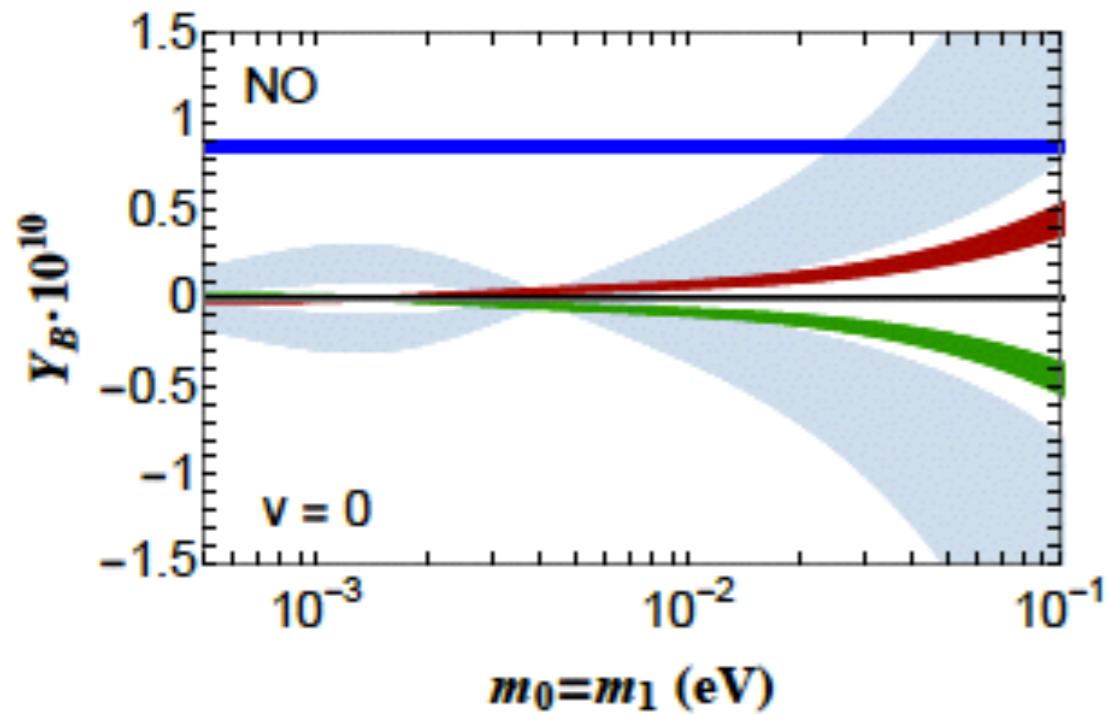
$$\sin \alpha = (-1)^{k_2+1} \sin 6 \phi_s, \quad \sin \beta = (-1)^{k_1+k_2+1} \sin 6 \phi_s, \quad |\sin \delta| \gtrsim 0.71$$

Explicit example:  $n=10, u=4$  and  $k_1=k_2=0$

$n$	10		
$u$	4		
$\theta$	1.40 $\div$ 1.44		
$\sin^2 \theta_{12}$	0.340 $\div$ 0.342		
$\sin^2 \theta_{13}$	0.0187 $\div$ 0.0250		
$\sin^2 \theta_{23}$	0.558 $\div$ 0.559		
$\sin \beta$	-0.94 $\div$ -0.83		
$\sin \delta$	0.80 $\div$ 0.86		
$v$	0	6, 24	12, 18
$\sin \alpha$	0.028 $\div$ 0.035	0.94 $\div$ 0.96	-0.62 $\div$ -0.56

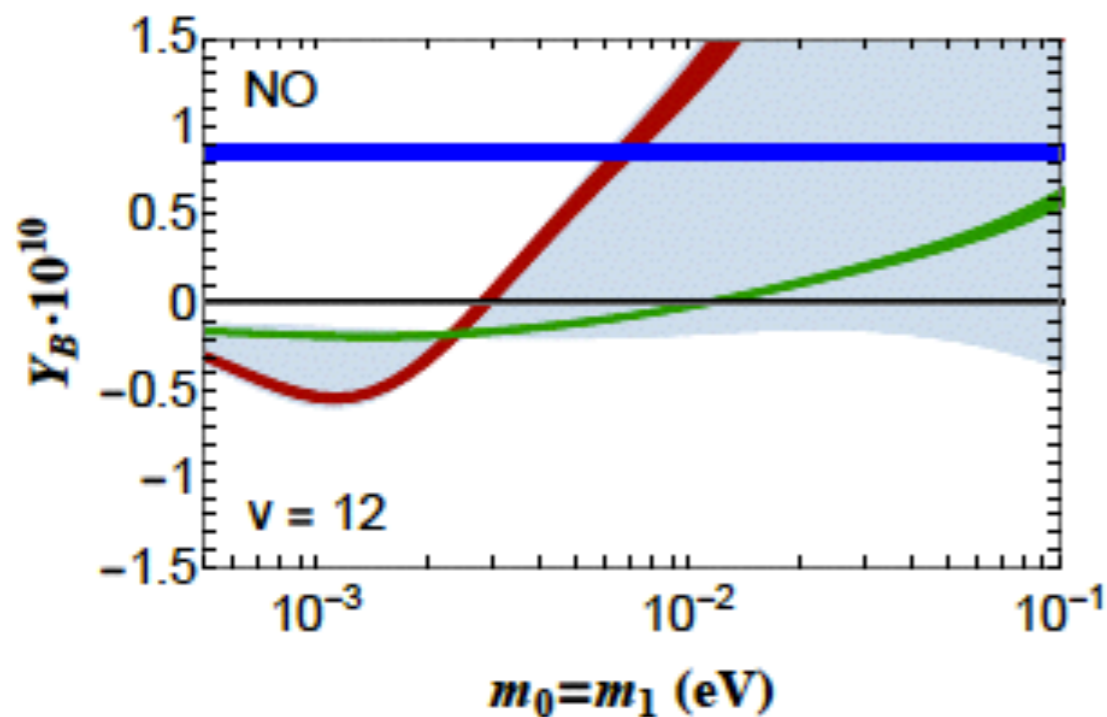
# Baryon asymmetry in case 2) with $n=10, u=4$

the sign of  $Y_B$  is fixed the Majorana phase  $\alpha$



$$\tilde{\kappa} = 4 \times 10^{-3}$$

- $\text{Re}(z_2) = 0$       red areas
- $\text{Re}(z_1) = 0$       green areas
- $|\text{Re}(z_{1,2})| \in [1/2, 2]$       blue-shaded areas



$$\text{Re}(z_1) = z \cos \zeta \quad \text{and} \quad \text{Re}(z_2) = z \sin \zeta$$

Hagedorn, EM, 2016